

Minutes of the Board of Trustees of the University of Kentucky, Tuesday, May 8, 2012

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, May 8, 2012 in the Board Room on the 18<sup>th</sup> Floor of Patterson Office Tower.

A. Meeting Opened

Dr. E. Britt Brockman, chair of the Board of Trustees, called the meeting to order at 1:00 p.m. Dr. Brockman asked Ms. Sandy Patterson, secretary of the Board, to call the roll.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: C.B. Akins, Sr., William C. Britton, E. Britt Brockman (chair), Sheila Brothers, Jo Hern Curris, William S. Farish, Jr., Micah Fielden, Oliver Keith Gannon, Carol Martin "Bill" Gatton, Pamela T. May, Billy Joe Miles, Terry Mobley, Sandy Bugie Patterson, Erwin Roberts, C. Frank Shoop, James W. Stuckert, John Wilson, Irina Voro, and Barbara Young. Absent from the meeting was Dr. Charles Sachatello. Dr. Brockman announced that a quorum was present.

The University administration was represented by President Eli Capilouto, Interim Provost Tim Tracy, and General Counsel Barbara W. Jones.

The University faculty was represented by Chair of the University Senate Council Hollie Swanson, and the University staff was represented by Chair of the Staff Senate Mike Adams.

Members of the various news media were also in attendance.

C. Consent Items

Dr. Brockman called attention to the five consent items on the agenda. They included the minutes for the regular meeting of the Board on March 27, 2012, PR 2 which deals with personnel actions, an acceptance of two gifts, and a request for an endowment name change. Mr. Stuckert moved approval of the consent items. Mr. Britton seconded the motion, and it carried without dissent. (See consent items listed below on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

Minutes March 27, 2012

PR 2 Personnel Actions

FCR 1 The Bernard Osher Foundation Gift

FCR 2 Gift from the Estate of Dr. E. Vernon Smith to Replace Unfulfilled Pledges to the Research Challenge Trust Fund

FCR 3 Request to Rename the Multicultural Studies Endowment to the Georgia Davis Powers Endowment

D. Chairman's Report (CR1)

Dr. Brockman began by reporting that there had been no requests since the last meeting to speak or appear before the Board.

Dr. Brockman reported the Executive Committee met this morning at 8:00 a.m. to discuss the Presidential Evaluation. There were three motions approved. The first was to engage a facilitator to lead the process. The second motion approved the draft set of questions to be used by the facilitator. The third authorized the chair to approach representatives of the various constituent categories that had been approved at the last Board meeting in CR 1.

In regards to the time table, President Capilouto will prepare a self-evaluation in early June. The facilitator will be on campus in mid to late June to interview the various constituents from the eight constituency groups approved in CR 1. The Executive Committee will meet with the facilitator in late June or July to discuss the results from the interviews. The Executive Committees recommendations will be delivered to the Board of Trustees soon thereafter.

E. President's Report (PR 1)

President Capilouto directed the Board of Trustees to review the summary and highlights contained in PR 1. He commented that the month had been exciting and perhaps transformative. He wanted to draw attention to a few items and people in particular.

President Capilouto thanked the Board for the leadership it has demonstrated in stating unequivocally that enhancing undergraduate education is a priority. On April 17, the groundbreaking for the new residence hall, New Central, was held. It is a 601 bed, modern living and learning community that will open in August 2013. He remarked that this is the first step of what will be a journey that changes the face of this campus over the next five-to-seven years. During this period of time, the University hopes to renovate or build some 9,000 new beds on this campus. These living and learning spaces will help our already strong efforts to improve retention and graduation rates, while creating the kind of space that students need to build community.

Another building project with tremendous symbolic value was dedicated on April 20th, Wildcat Alumni Plaza. Located off the Avenue of Champions, directly across from Memorial Coliseum, it is a 7-foot-tall bronze Wildcat statue. The UK Alumni Association, led by Stan Key, proudly funded this addition in the heart of our campus.

President Capilouto acknowledged that Dr. Tim Tracy had accepted the role as Interim Provost and Dean of the College of Pharmacy. Dr. Tracy brings a wealth of administrative and academic experience to this role, having served as Dean of the College of Pharmacy since August 2010. He came to UK after spending six years as Department Head and member of the faculty at the University of Minnesota. Prior to that, Dr. Tracy spent 11 years on the faculty at West Virginia University. He earned his Ph.D. in clinical pharmacy from Purdue University, and completed a postdoctoral fellowship in clinical pharmacology at Indiana University.

In addition to the day-to-day operations of the Provost Office, President Capilouto has asked Dr. Tracy to: focus on providing leadership as the University grapples with a challenging budget; continue to oversee the strengthening of the University's undergraduate education; prepare the University to transition to a new budget model effective in 2013-14; and lastly, evaluate changes to the organizational structure in the Office of the Provost.

President Capilouto will begin the process of conducting a national search for a permanent Provost with the hope of having a new Provost for the spring semester.

In addition, the University is in the process of conducting a national search for an Executive Vice President for Finance and Administration to replace Mr. Frank Butler, who announced plans to take phased retirement a few months ago. A search committee, led by the Dean of the College of Agriculture, Dr. Scott Smith, has completed an initial round of interviews with an outstanding pool of candidates. The search committee remains on schedule.

President Capilouto took one last opportunity to publicly thank Provost Kumble Subbaswamy, for his leadership and commitment to this University. The President noted that dramatic strides were made in undergraduate retention as Provost Subbaswamy led the adoption of a new landmark core curriculum for undergraduates. The Provost's focus on facilities and initiatives allowed scholars to reach new heights in research, and mentioned he had been a fierce advocate for students and faculty during his tenure. He thanked Swamy for his service, his commitment, and wished him every success as he assumes the Chancellorship at the University of Massachusetts Amherst.

The President commented on the reception the previous evening honoring not only Provost Subbaswamy, but also Frank Butler and Len Heller. He thanked Mr. Butler and Mr. Heller for their service to this institution and the Commonwealth.

President Capilouto proudly recognized Coach Dennis Emery, who coached the men's tennis team to the 2012 Southeastern Conference Championship behind a perfect 11-0 season. He was named the SEC Coach of the Year, actually his third Coach of the Year honor. He also mentioned that Eric Quigley, who graduated this past Sunday, won the SEC Player of the Year award in tennis.

President Capilouto announced that joining the Board today were the recipients of the Sarah Bennett Holmes Award. The award is granted annually to two women, a staff and faculty member, working at the University who promote the growth and well-being of other women at UK and across the Commonwealth. Named for a distinguished former Dean of Women at the University of Kentucky, the award was conceived by the UK Women's Forum in 1994.

President Capilouto introduced Ms. Sarah Nikirk, chair of the Women's Forum, to come forward to introduce this year's award winners.

Ms. Nikirk thanked President Capilouto, Chair Brockman and the Board of Trustees, for the opportunity to speak about the UK Women's Forum. She conveyed that the Women's Forum celebrates its 20th anniversary this year and was proud to say that the Sarah Bennett Holmes

award was one of the many programs administered by the UK Women's Forum. The Forum is also responsible for an annual conference, a monthly professional development lecture series, and an educational assistance award. This year more than 60 individuals were nominated for the prestigious Sarah Bennett Holmes Award.

The staff member selected to receive the award this year was Ms. Ann Bassoni of UK Human Resources Work+Life. Ms. Nikirk invited Ms. Bassoni to tell the Board more about herself.

Ms. Bassoni thanked everyone for the honor of the award and explained that as the Coordinator for the Work +Life Connections program, the program is a free mental health and referral service for all UK employees and their sponsored dependents. The program offers up to five free mental health visits. As a Licensed Clinical Social Worker, she has had the opportunity to meet employees from all over the world. From these immigrants, she has learned what struggling really is. This interaction has helped not only her, but others she has counseled. A number of the women she counsels are single, going to school, working full-time, taking care of kids, managing their stress level, but sometimes they just need a little extra support. She hears over and over again how these employees appreciate the opportunity to pursue an education while they work, and how grateful they are to have flexible supervisors that are willing to work around their schedules. She thanked Robynn Pease and Kim Wilson for their support of the program and her work. The Board gave Ms. Bassoni a round of applause.

Ms. Nikirk introduced the faculty award recipient, Dr. Gail Kennedy, a Librarian in Special Collections.

Dr. Kennedy thanked President Capilouto and the Board of Trustees. She explained that as she looked back at her 40+ years at the University, as an undergraduate, a staff member, a graduate student, and finally a faculty member at the University, she realized she had spent virtually her entire adult life learning at UK. She has learned not only in the classroom, but in her job and off campus in professional activities. Dr. Kennedy noted that as she moves into late career, she is very cognizant of the importance of giving back. This was indeed yet another life lesson learned at UK from many colleagues over the years. Her method of giving back is to mentor the next generation of librarians and also to support arts outreach within the University's rare books and manuscripts collection. In engaging undergraduates in the use of primary source materials, she hopes it will help balance the scale between what "I have learned and what I have gained and what I hope to give back." The Board gave Dr. Kennedy a round of applause.

President Capilouto's student spotlight was Mr. Ben Smith. Mr. Smith received a dual degree in Philosophy and Political Science from UK in 2011, and is now completing graduate studies in Philosophy. As an undergraduate, he served as president, both of the UK College Democrats as well as the UK Philosophy Club, along with membership in other student organizations. Mr. Smith joined the meeting today to educate the Board about a student organization he led in a humanitarian effort called Homegrown Kentucky.

Homegrown Kentucky operates in conjunction with UK's Appalachian Center and UK's Robinson Center for Appalachian Resource Sustainability. The group works with community organizations in impoverished areas of the state, seeking to use existing resources to secure land

and materials for school and community farms. The organization also works with initiatives such as farmers' markets, experimental classroom lessons and college credit for rising high school students. The group had recently secured its first internship stipend for UK Students wanting to participate in the project. Their efforts were recently honored by former President Bill Clinton at the Clinton Global Initiative's annual conference in March.

President Capilouto was delighted to introduce “yet another example -- among so many -- of how our students lead ...and learn to lead while they are here. How they seek opportunities and experiences outside the classroom, how they learn to give back, how they take what they learn here and create knowledge and opportunity for others in need.”

Mr. Ben Smith began by focusing on Owsley County, Kentucky, where the inaugural project took place. Owsley County has consistently ranked for the last approximately 25 years as one of the five poorest counties in the nation. He explained that the county is like a third world country within a few short hours from Lexington. In determining the focus of the project, three indicators were chosen that speak to the quality of life of a community. These were education levels, income levels per household, and health and nutritional levels. The project, Homegrown Kentucky, was an opportunity for students and community members to take ownership of something in their own right. The organization did not want to create a handout nor create a dependency. It involved the requisition of 10 acres of land in Owsley County adjacent to a school. The organization had a donation of all the necessary agricultural implements, from tractors to seeders to irrigation. Work schedules were created and community applications were accepted for groups wishing to participate. Currently, a student built greenhouse has been completed that will provide produce for the school in the winter. Not only has the school been able to reallocate the majority of their food budget to education needs, but students and community members stay active and are provided with better nutritional choices.

Mr. Smith was proud of the program as an extension of what UK does and “seeing blue” as a state. He spoke of the necessary ethical obligation or duty to the people that support the state and this institution. He encouraged the Board to view the video on YouTube and thanked them for the opportunity to tell them about Homegrown Kentucky. There was a round of applause.

F. Proposed Revisions to the Governing Regulations (PR 3)

President Capilouto explained that PR 3 is a proposed revision to the Governing Regulations required by SACS that requires the University make clear the process of the removal of a member of the University of Kentucky Board Of Trustees. This is the first reading of this proposed revision.

Chair Brockman asked for a motion for acceptance of the first reading of PR 3. Dr. Akins moved acceptance and Mr. Shoop seconded.

Dr. Voro asked for clarification in the background portion of the proposal. She offered that perhaps it would be more appropriate to say only the Governor can remove an “appointed” board member, as the Governor does not take part in the elected trustee members.

General Counsel Barbara Jones explained that per the statute, the Governor can remove any Board member.

The question was moved and the motion passed without dissent. (See PR 3 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

G. Academic Affairs Committee Report

Dr. Gannon, chair of the Academic Affairs Committee, thanked Trustee John Wilson for the presentation relating to a survey he had done over the past few weeks, gathering information from faculty members about the upcoming presidential evaluation. Within one week he received over 650 responses with a sampling of both quantitative and open-ended questions. His presentation was excellent and it was very informative.

H. Candidates for Degrees University System (AACR 1)

Dr. Gannon said that AACR 1 regards candidates for degree. The recommendation is that the president be authorized to confer upon each individual whose name appears on the attached list the degree to which he or she is entitled upon certification by the university registrar. The degrees have been properly approved by the University Senate and Academic Affairs Committee. Dr. Gannon, on behalf of the Academic Affairs Committee, recommended approval AACR 1.

Trustee Micah Fielden, the student representative to the Board of Trustees, added that he would appreciate the Board's consideration to be allowed to graduate. Laughter followed.

As there was no further discussion, AACR 1 passed without dissent. (See AACR 1 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

I. Academic Degrees Recommendation (AACR 2)

AACR 2 is a recommendation that the Board of Trustees approve the establishment of a Doctor of Philosophy with a major in Gender and Women's Studies degree and a Master of Arts with a major in Gender and Women's Studies in the College of Arts and Sciences, effective Fall of 2012.

Dr. Mark Kornbluh, Dean of the College of Arts and Sciences attended the committee meeting and provided a detailed description of the project. The program has been approved by the appropriate councils and the University Senate. The proposal has completed the 45 day review by the Council on Postsecondary Education and has the support and recommendation of the Provost.

On behalf of the Academic Affairs Committee, Dr. Gannon recommended approval of AACR2 and it passed without dissent. (See AACR 2 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

J. Academic Degree Recommendation (AACR 3)

Dr. Gannon said that AACR 3 recommends the Board of Trustees approve the establishment of a Bachelor of Science with a major in STEM (science, technology, engineering and math education) and an approved content area (e.g. Mathematics, Physics) in the College of Education effective Fall 2012. As a new degree, it is designed to put science, technology, engineering, and math educators into the workforce. These individuals, who have a background in education, will also have a degree within their technical field, (science, technology, engineering, and math.) This need is based on the lack of highly qualified mathematics and science teachers in middle and high school classrooms in the United States. This program has been approved by the appropriate councils and the Provost supports this recommendation.

On behalf of the Academic Affairs Committee, Dr. Gannon recommended approval of AACR 3 and it passed without dissent. (See AACR 3 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

K. Academic Degree Recommendation (AACR 4)

Dr. Gannon said that AACR 4 recommends the Board of Trustees approve the establishment of a Bachelor of Science with a major in Human Health Sciences in the College of Health Sciences effective Fall 2012. This is an alternate program that will prepare graduates to pursue healthcare careers available to students with a BS degree or enter advanced degree programs in the disciplines of dentistry, pharmacy, physician's assistants, and physical therapy. This program does not replace other traditional pathways to healthcare careers, instead it offers a unique alternative for those who seek careers in healthcare and the health professions.

This program has the approval of the appropriate councils, the University Senate, and has completed the 45 day review by the Council on Postsecondary Education. The Provost also supports this recommendation.

On behalf of the Academic Affairs Committee, Dr. Gannon recommended approval of AACR 4 and it passed without dissent. (See AACR 4 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

L. Change in Degree – College of Education (AACR 5)

Dr. Gannon said the recommendation of AACR 5 is the Board of Trustees approve a change in the name of the Doctor of Education with a major in Educational Policy Studies and Evaluation to a Doctor of Education with a major in Educational Policy Studies, Measurement, and Evaluation. The measurement of student and institutional improvement and change has always been implicit in the doctoral degree. Recently, however, "measurement" as an identifiable component of a doctoral degree in education has become a strong draw for aspiring education researchers, as school and university accountability pressures have grown. The faculty believes that this will better market the degree. This proposal has been approved by the

Graduate Council, Senate Council, and University Senate. The Provost supports this recommendation.

On behalf of the Academic Affairs Committee, Dr. Gannon recommended the approval of AACR 5 and it passed without dissent. (See AACR 5 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

M. Change in Degree – Graduate School (AACR 6)

Dr. Gannon said that AACR 6 was a recommendation that the Board of Trustees approve a change in the name of the Doctor of Philosophy with a major in Public Administration to Doctor of Philosophy with a major in Public Policy and Administration effective Fall 2012.

The proposed degree change more accurately captures the essence of the research and service activities of this faculty and students in the program (James W. Martin School of Public Policy and Administration) and is consistent with the title of the school. This proposal has been approved by the Graduate Council, Senate Council and University Senate. The Provost supports this recommendation.

On behalf of the Academic Affairs Committee, Dr. Gannon recommended the approval of AACR 6 and it passed without dissent. (See AACR 6 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

N. Change in Name of An Education Unit (AACR 7)

Dr. Gannon said that AACR 7 recommends the Board of Trustees approve the change in the name of the College of Communications and Information Studies to the College of Communication and Information effective July 1, 2012. The Faculty Council recommended to the College Assembly on January 25, 2012 that the name be changed. Dr. Dan O'Hair, Dean of the College briefed the committee on this proposal. The proposal was unanimously approved by the faculty in the College, recommended by the Senate Committee on Academic Organization and Structure and the Senate Council; and endorsed by the University Senate. The Provost supports this recommendation.

On behalf of the Academic Affairs Committee, Dr. Gannon recommended the approval of AACR 7 and it passed without dissent. (See AACR 7 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

O. Change in Name for the Center for the Study of Violence Against Children (AACR 8)

Dr. Gannon said that AACR 8 is a recommendation that the Board of Trustees approve the change in the name of the Center for the Study of Violence Against Children to the Center on Trauma and Children in the College of Social Work, effective immediately. The proposed name more accurately describes the activities of the center that address multiple forms of trauma exposure and including, but not limited to violence.



As an administrative unit, the Center does not involve educational policy and the University Senate Council has determined it has no jurisdiction. The proposal has been approved by the faculty in the unit and the leadership of the College. The Provost supports this recommendation.

On behalf of the Academic Affairs Committee, Dr. Gannon recommended the approval of AACR 8 and it passed without dissent. (See AACR 8 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

P. Change of Organizational Structure of the Appalachian Center (AACR 9)

Dr. Gannon said that AACR 9 recommends that the Board of Trustees approve the transfer of administrative oversight for the Appalachian Center from the Vice President for Research to the College of Art and Sciences effective July 1, 2012. The Appalachian Center was established by the Board of Trustees at its meeting on September 20, 1977. Its mission is to emphasize community-university partnerships in shaping research that is useful in and beyond the region in keeping with the land-grant mission of the University. Relocation of the Appalachian Center has been under discussion since the receipt of the Report of the Periodic Review Committee for the Appalachian Center in October 2009 and in response to a survey of the UK Appalachian Studies faculty in 2010. The top goal listed by the faculty in moving forward was to reunite the Appalachian Studies Program and the Appalachian Center so that the academic mission of both could be strengthened.

The proposal has been reviewed and recommended by the Senate Committee on Academic Organization and Structure, Senate Council and endorsed by the University Senate. The Provost of the University supports this recommendation.

On behalf of the Academic Affairs Committee, Dr. Gannon recommended the approval of AACR 9 and it passed without dissent. (See AACR 9 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

Q. Finance Committee Report

Mr. Stuckert recounted that the first three items, FCR 1, 2, and 3 were consent items and moved on to FCR 4.

R. 2011-2012 Budget Revisions (FCR 4)

Mr. Stuckert moved the approval of FCR 4, which asked the Trustees to authorize and approve a 2011-12 budget revision in the amount of \$2.389 million. It involves revised income estimate and subsequent expenditures. The motion passed without dissent. (See FCR 4 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

S. Capital Construction Report (FCR 5)

Mr. Stuckert said that FCR 5, the Capital Construction Report was presented by Bob Wiseman and reviewed by the Committee at this morning's meeting. He moved for the adoption of FCR 5 and it passed without dissent. (See FCR 5 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

T. Proposed 2012-13 Tuition and Mandatory Fees Schedule (FCR 6)

Mr. Stuckert thanked Angie Martin, Vice President of Financial Operations and Treasurer for the complete report as to the background of the recommended tuition increase and mandatory fees. After extensive discussion in the morning meeting, it was approved by the Committee. On behalf of the Finance committee, Mr. Stuckert moved for the adoption of FCR 6. Chair Brockman opened the floor for discussion.

Dr. Voro wanted to add a cautionary note, echoing what Trustee May had offered earlier in the Finance Committee meeting. Dr. Voro said that "we are putting the cart before the horse." She suggested it would be better to look inside the University to see how much, and what kind of money we spend and address administrative bloat. Only then should we "ask Kentucky families to pay."

Trustee Curris complimented President Capilouto and Angie Martin for the great work done in terms of research and the effort to be all encompassing. However, she voiced concern about the long term effect on continued tuition increases. She wanted it made clear that the reason she for vote in favor of a 6% increase was due to the fact that the University planned only a 3% raise in tuition for the next academic year.

Trustee Shoop wanted to reiterate from the committee's discussion that morning the information Angie Martin presented that 91% of our students were receiving scholarships, grants or some form of aid, totaling about \$3500 per semester. As tuition and fees were approximately \$4500, a student's total outlay (tuition and fees only) was a little less than \$1100. He was happy to hear this information and he thought that it helped to soften the blow of some of these tuition increases.

Dr. Akins voiced his concerns about the continued tuition increase as well. He had asked for and received information concerning Pell Grants and was very pleased to see that both the number of Pell Grants and the award amount had increased over the past 6 to 10 years. He wanted to make sure that the University was not only bringing in the best and the brightest, but also helping the least of those among us; making sure that they not only have opportunity, but also access.

Mr. Stuckert agreed that the information Mr. Shoop referenced was relevant. He also mentioned that 91% of our students paid \$1100 in 2011 as compared to 2006, when 80% received aid and paid \$854. Another issue that he found fascinating and knows Angie Martin and her staff will monitor, is the issue of student debt. He believes if we see these dollars becoming too great a burden for our students, it will be important that we adopt other methods to

compensate. He is encouraged that President Eli Capilouto, along with Interim Provost, Tim Tracy, are looking at a different methodology of budgeting which in turn means better accountability, which hopefully can help us perhaps go a little slower on tuition increases.

Trustee Brothers offered that though she can appreciate the fact that the out-of-pocket amount is still for many of our students only \$1100, given the presentation earlier about Homegrown Kentucky, she thinks the folks in Owsley County might have a different perspective about that \$1100. Though she will be voting in favor of this tuition increase, she too is concerned about continued tuition increases, though she is not sure where else we are going to get our money in the short term as we try to struggle with the decrease in state appropriations.

Trustee and Student Government President Fielden felt that though this was a difficult time as a student representative, because he sits in a position where he is also as a trustee, he has come to better learn the administrative needs and economic needs of the University. He supports the President fully in this proposal, because in the long run he felt the University is creating an environment that is more important for students. Students might have to stay longer to take the classes that they need. He felt that a 6% increase in tuition is manageable, when one looks at what could be the cost of an extra year, or an extra semester. In addition, what he thought also important was the President's approach regarding scholarships for students. He stated that he believed that as we continue to increase the amount of scholarships we are giving to our students, we will again further shift the burden of tuition off the student, back to the University and to the state, over time. He believes that a tuition increase is just part of the process for the University to survive the current economic times, but in the long run, it will be much better for our University and students will end up paying less.

Trustee Gatton asked about certain outside scholarships, the Rowdy Young Scholarship in particular, and were those types of dollars taken into account. Treasurer Angie Martin said they were counted in the UK Gifts and Endowments.

Dr. Wilson commented that the sticker shock from professional tuition, like medical, is not alleviated by the types of tuition in grants and aid. That's just a comment about the long-term problems and the increases in professional tuition.

Dr. Brockman asked for the vote and it passed with two abstentions. (See FCR 6 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

U. Proposed 2012-13 Room and Board Rates (FCR 7)

Mr. Stuckert said that FCR 7 involves the proposed 2012-13 room and board rates. It was discussed extensively and simultaneously with the tuition and fee schedule. The recommended rates are necessary to generate sufficient revenue to cover anticipated increases in operating costs. Mr. Stuckert moved adoption of FCR 7 and it passed without dissent. (See FCR 7 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

V. Resolution for the Designation of an Agent for the Application of FEMA Assistance (FCR 8)

Mr. Stuckert said that FCR 8 involves a resolution for the designation of an agent for the application of FEMA assistance. On behalf of the Finance Committee, Mr. Stuckert moved for the adoption of FCR 8 and it passed without dissent. (See FCR 8 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

W. Human Resources Committee Report

Mr. Roberts, chair of the Human Resources Committee, reported that the Committee had one informational item on their agenda this morning. Mr. Mike Adams, who is the Staff Senate Chair and Mr. Terry Olson, Chair of the Staff Senate Issues Committee made a presentation. It was the first time that the Staff Senate had come to the HR committee to present. It was very informative presentation and he wanted to thank Trustee Brothers for her efforts in making it happen.

Mr. Adams, gave an overview of the Staff Senate. Created in 2002, the primary purpose of the Staff Senate was to facilitate communication between the UK administration and staff. The Staff Senate is broken down into precincts, representing about 13,000 staff members. Some of the accomplishments of the Staff Senate include their work on University wide committees, Work+Life issues, and particularly with the Work+Life Faculty and Staff Survey. The Staff Senate has helped in creating programs to assist staff, the shared leave program, crisis issues programs, UK Fun Day, and the Outstanding Staff Awards.

Mr. Olson, the Chair of the Staff Issues Committee, gave a presentation that covered areas of opportunity for improvement.

Mr. Roberts also agreed with the suggestion that the Staff Senate Chair come to present to Human Resources Committee at least on an annual basis, and more if necessary.

X. Student Affairs Committee

Ms. Patterson, chair of the Student Affairs Committee, reported on the wonderful presentation from Director Michelle Ashcraft and four students about the Common Reading Experience. The Common Reading Experience is part of the New Student and Parent Program Office. The committee is made up of 24 people, one third faculty, one third staff, and one third students. All new students are assigned the book to read and 70% of the students attend the book discussion during K week. It is also used in UK 101, a freshman experience course designed to improve retention. The book for Fall 2012 is *The Unforgiving Minute: A Soldiers Education* by Craig Mullaney. Mr. Mulraney will be here on campus on October 2.

Graduate assistant Sarah Jones, president of the Student Activities Board, told the committee about the University Honors and Recognition Awards Program, held a few weeks ago. The program is designed to recognize the students, staff, and faculty at the University and to honor them.

SGA President Fielden gave a student government update. WIG, The Wildcat Interest Group, presented at a previous committee meeting, boasts of six United States Congressional offices that have accepted UK students as interns. He also shared his experience regarding K Week as a K Crew leader. He was very complimentary of the New Student and Parent Program, K Week and the Common Reading Experience. He feels it contributes greatly to getting students connected with campus, connected with each other, and thus, creates a sense of home and community.

Y. University Athletics Committee Report

Dr. Akins reported the Athletics Committee held its first meeting this morning. The committee is composed of five trustee members, three community members and one faculty representative. Prior to the official opening of the meeting, President Capilouto expressed his appreciation to all of the committee members for their time and commitment to serve.

Dr. Akins said that Athletics Director Mitch Barnhart and Assistant Director Sandy Bell presented reports at the meeting. A.D. Barnhart mentioned the fact that UK has four national champion sports teams and also has more sports than any other SEC community, 22 sports in all. UK has the lowest student fees in the SEC as well. He updated the committee on the reorganization of Athletics Department staff, taking the opportunity of some recent resignations to eliminate and consolidate some positions. This reorganization produced savings of \$350,000 - \$400,000 without negatively impacting the student athletes.

Other news from Athletics include new video screens for the visually impaired have been completed in Commonwealth Stadium, the new track with a field house that will seat nearly 3000 is nearing completion, and the improvements to softball complex should be finished in July. The softball complex will host the SEC Tournament next May. Equally important is that the Athletic Program is debt free.

Ms. Sandy Bell is Executive Associate Athletics Director for Student Athlete Services. She has a staff of four and one of those staff persons, eight hours a day, does nothing but monitor the social media to see what our athletes are doing on Twitter, Facebook, Ebay, etc. We have an excellent graduation rate, both in currently enrolled students and also with a new program, the "bring back program". This program is for those athletes committed to return to finish their degree.

During the summer, Ms. Bell's office is extraordinarily busy with NCAA eligibility requirements for both new and continuing student athletes. These requirements include both GPA and progress toward degree. Dr. Joe Fink, faculty representative on the Committee, reviews all of the players and teams paperwork. His signature is the final signature on whether or not an athlete is eligible. The Athletics Department also has an excellent APR, academic performance rate, that is based on retention and eligibility. There are only two schools in the SEC that have never had APR infraction, Vanderbilt and Kentucky.

Ms. Bell also mentioned the important role of advisors, tutors, counselors and mentors. In addition to the coaches, this group makes sure the students complete their classwork and attend class. Part of that commitment is the CATS center, the first academic center in the nation. It is now known as the best in the nation. At UK, 15 of the 20 varsity teams had a 3.0 GPA cumulative.

Z. University HealthCare Committee

Ms. Young, chair of the University HealthCare Committee, reported that the committee met yesterday afternoon. Mr. Sergio Melgar presented the March financial report. Discharges for the month of March were 3112 or 100.4 per day which is 1.2 discharges per day greater than the budget of 99.2. Operating revenue for the month totaled \$80.8 million, which is \$2.7 million greater than the budget for the month, and the year to date is \$56.1 million higher than last year. Overall operating expenses for the month are \$2.2 million above the budget. Year to date expenses were \$10 million above budget, and \$73 million above year to date for March fiscal year 2011. These expenses reflect higher surgical volumes, growing pains related to the opening of Pavilion A, and the growth in volume in both the inpatient and outpatient setting. Bad debt continues to increase in both rate and volume. The operating margin is \$16.9 million below last year but \$7.2 million above budget. UK HealthCare posted operating income of \$5.6 million for the month of March, with a year-to-date operating income from operations of \$6.4 million. Comparing the balance sheet at March fiscal year 2012 and fiscal year 2011, cash has decreased \$30 million and continues to be used to fund the remainder of phase 1A of the patient care facility. As projected cash and cash equivalents are at a negative balance (due to the build out of Pavilion A and amounts due from the government players) and are balanced by a loan from the University of Kentucky and the endowment of \$212.7 million. I also want to point out that discharges have been up for three months. This is a result of more capacity because of the opening of Pavilion A, the opening of the new ORs, and bringing online the newly renovated rooms in the old Chandler Hospital in October of 2011. As a result UK Healthcare is turning away fewer patients. ER activity, which is up 17%, has also added to the increase.

Dr. Paul Depriest presented an update of the Enterprise Quality Safety and Efficiency goals scorecard from UHC. Indicating that UK HealthCare continues to make good progress in the areas of PSI's (patient safety indicators) and HACs, (hospital acquired conditions.). With a few exceptions, these complications have improved dramatically. Particularly noteworthy is the decline in the number of central line infections which merited an achievement award.

Colleen Swartz, Chief Nurse Executive, presented the UK HealthCare service update. Starting in October 2012, 1% of CMS payments, that is Medicare/Medicaid services, will be tied to patient satisfaction data. For UK HealthCare 1% is estimated to be \$810,000 per year and this percentage will rise to 2% over subsequent years. While patient satisfaction in the UK system has improved markedly, particularly Chandler Hospital, the enterprise still has some hard work ahead to meet its goals in terms of patient satisfaction.

Brett Short presented the UK HealthCare compliance update. He reviewed the 2012 work plan and reviewed the division of reporting activity among the various working areas of the enterprise. He noted that there is a new tighter regulatory environment that will result in

increased enforcement and higher levels of scrutiny. The compliance office has been working on a UK HealthCare code of conduct, which will include policies governing enterprise relationships with industry, non-monetary compensation, and conflict resolution. In the coming year the compliance office will focus on issues related to healthcare reform, privacy, recovery audit, Medicaid contractors, partners and vendors, board communication, oversight and program effectiveness. In conclusion, he reviewed areas of progress in 2012.

Dr. Kevin Nelson presented for approval the current list of privileges and credentials for Chandler and Good Samaritan Hospital. The committee made a motion and all privileges and credentials were approved.

AA. Other Business

Robynn Pease, Director of Work+Life, reported to the Board of Trustees, the results of 2010 UK Work+Life Survey. Work+Life is part of the University of Kentucky strategy to develop human capital. It can be useful in attracting and retaining top talent, achieving diversity goals, increasing women's presence, including in success and tenure track positions, building morale and job satisfaction, increasing commitment, engagement and productivity and reducing health costs. This is the second work+life survey that has been conducted at the University of Kentucky. The first one was administered in 2005-2006 used three scales that looked at commitment, work+life support, and burnout. Two new scales were included in the 2010 survey to look at campus inclusivity and perception of disparate treatment. All regular full time faculty and staff were surveyed and the results were analyzed by the Office of Institutional Research headed by Roger Sugarman and the UK Institute of Workplace Innovation, headed by Meredith Lubky.

Ms. Pease reported the survey had an excellent response rate. 55% of faculty responded in comparison to 45% in 2005-2006. 58% of staff responded this year compared to 56% in 2005-2006, making the sample representative of the population. For detailed results of the survey and the Work+Life Advisory Council observations, please see [http://www.uky.edu/HR/WorkLife/2012\\_observations\\_report.html](http://www.uky.edu/HR/WorkLife/2012_observations_report.html).

Trustee Brothers praised and thanked Ms. Pease for her efforts. She also thanked Associate Vice President for Human Resources Kim Wilson and her support of the Work+Life Initiative. She said that it is very important that HR continue to support Work+Life initiatives. She pointed to the report and mentioned that she served on one of these committees, the trust value communication committee. Each committee produced individual reports with a summary at the beginning of each report. What Ms. Brothers found compelling was how often the same five issues arose in all the committee reports. The issues regarding trust, communication, feeling valued respected, and the use of shared governance. She feels that a lot of what President Capilouto is doing in terms of openness, with how budgets are prepared, different ways to evaluate faculty and staff, are going to be a great improvement.

Dr. Wilson commented that the Office of Work+Life, has made an impact on this campus and that is clearly the result of the talented employees, as represented by Sarah Bennett Holmes award winner Ann Bassoni and Director of Work+Life Robynn Pease.

Trustee Curris, in a brief report regarding the UK Alumni Association, reiterated the opening of the New Wildcat Plaza, and that it was well attended during graduation by the students and their families.

Trustee and SGA President Micah Fielden introduced the new SGA president, Stephen Bilas.

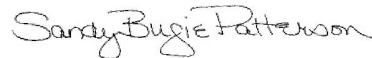
Trustee Brothers shared with the Board her attendance at a breakfast in the Student Affairs office, to simply acknowledge all of the fine efforts done by staff and students in his office. Dr. Robert Mock recognized the individuals who attend conferences, who had written documents that were cited, and who had earned their degrees while they were employed in his area. She reported that she there was a clear sense of family within his unit.

Dr. Akins acknowledged the great work that Micah Fielden had done in the last year and noted that if "he is representative of the kind of students that this University is producing, then were going to be in good shape

BB. Meeting Adjourned

Hearing no suggestions for additional matters, Dr. Brockman asked for a motion to adjourn and the meeting ended at 2:40 p.m.

Respectfully submitted,



Sandy Bugie Patterson  
Secretary, Board of Trustees

(PR 2 and 3; AACR 1, 2, 3, 4, 5, 6, 7, 8 and 9; FCR 1, 2, 3, 4, 5, 6, 7, and 8 are official parts of the Minutes of the meeting.)



# PR 1

Office of the President  
May 8, 2012

1. New Residence Hall Project Breaks Ground

University, state and city officials joined with students and representatives of the developer EdR (NYSE:EDR), as the University of Kentucky and EdR broke ground April 17 for New Central Residence Hall, the first residence hall to be built on campus since 2005 and the first building in what officials anticipate will be a multi-phase project that would revitalize UK's on-campus housing. Joining UK President Eli Capilouto and EdR President and CEO Randy Churchey at the groundbreaking celebration were Lt. Governor Jerry Abramson, Lexington Mayor Jim Gray, UK Board of Trustees Vice Chair Pamela May, UK Student Government President Micah Fielden, and other dignitaries, partners and UK officials.

2. UK Center, Verizon Establish Scholarship Program for Battered Women

The University of Kentucky has announced Verizon Wireless will fund the inaugural scholarship in a first-of-its-kind program for battered women established by the Center for Research on Violence Against Women. The cCenter plans to establish five Empowerment Scholarships under the umbrella of the Women's Empowerment Scholarship Program. Verizon Wireless donated \$100,000 from its HopeLine from Verizon phone-recycling program to create the first endowed scholarship, which is named the Verizon Wireless Women's Empowerment Scholarship.

3. UK's Steven W. Yates Receives SEC Faculty Achievement Award

The Southeastern Conference (SEC) announced the winners of its first ever Southeastern Conference Faculty Achievement Awards, including Steven W. Yates, professor of chemistry, physics, and astronomy in the UK College of Arts and Sciences. The awards honor professors from SEC universities with outstanding records in teaching and scholarship who serve as role models for other faculty and students.

4. Wildcat Alumni Plaza Unveiled

The University of Kentucky Alumni Association unveiled UK's newest landmark, Wildcat Alumni Plaza Friday, April 20. Located off Avenue of Champions, directly across from Memorial Coliseum, a 7-foot-tall, 9-foot-long intricately detailed bronze wildcat sculpture is the focal point of the new Wildcat Alumni Plaza. The UK Alumni Association funded the \$300,000 cost of the project with funds from the association's credit card investment fund. No membership dues or gifts paid by alumni went toward the project's expenses. Those interested in leaving their legacy near this new campus landmark may purchase a brick paver to be installed in the plaza.

All net proceeds will go to the UK Alumni Association Scholarship Endowment Fund to help generate scholarship awards for deserving UK students.

5. UK HealthCare Stroke Program Gains National Recognition for Superior Quality

The UK HealthCare Kentucky Neuroscience Institute Stroke Program has once again been recognized by the American Heart Association/American Stroke Association with their "Get With The Guidelines" Stroke Gold Plus Quality Achievement Award. The award recognizes UK HealthCare's commitment and success in implementing excellent, evidence-based care for stroke patients.

6. UK-affiliated Tech Businesses Gain \$3.7 Million in Matching funds

Eleven UK-affiliated companies received a total of \$3.7 million in state matching SBIR-STTR funds as part of a program to support and attract technology-based small businesses. UK-affiliated companies have either licensed technology from UK or are located at the UK ASTeCC campus incubator or at the university's Coldstream Research Campus. These companies were among 17 high-tech Kentucky companies the state awarded \$6.2 million in matching funds in the latest round of funding. Through the state's competitive Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) Matching Funds program, Kentucky matches all or part of federal SBIR-STTR awards received by Kentucky-based companies or those willing to relocate operations to Kentucky.

7. UK CAER's Ultra-quick Concrete Innovation Licensed to Minova

UK Center for Applied Energy Research and Minova's North American headquarters in Georgetown, Ky., have licensed a technology to Minova for a new high-strength, ultra-quick setting concrete product. Trademarked as Tekcrete Fast and Tekcrete Fast M, the product will be used by the mining industry and civil engineers to immediately stabilize damaged infrastructure. The research and joint patent for this project began in 2009 when the UK Center for Applied Energy Research (CAER) partnered with Minova on a project for the U.S. Department of Homeland Security, Science & Technology Directorate (DHS). UK President Eli Capilouto said this is a great example of bringing University of Kentucky research and transformational technology to the marketplace..

8. UK-affiliated Allylix Receives \$13.5 Million Investment

Allylix Inc., which uses proprietary yeast-based fermentation technology developed by the UK College of Agriculture and the Salk Institute for Biological Sciences, announced the development and upcoming commercialization of Epivone (epi-beta-vetivone), a rare and highly valuable terpene that will initially be commercialized for use in fragrance applications. Epivone has never been commercially available because it cannot be produced synthetically in a cost effective manner. BASF Venture Capital GmbH has also invested \$13.5 million in Allylix. Allylix has its R&D lab at the UK Coldstream Research Campus and produces a group of natural products called terpenes for flavors and fragrances in greater quantities, of higher quality, and at significantly lower cost than traditional sources.

9. UK and University of Cambridge Collaborate on Nanomedicine Research

Peixuan Guo, the William S. Farish Fund Endowed Chair in Nanobiotechnology at the UK College of Pharmacy and Markey Cancer Center, will collaborate with Stefano Pluchino, neurologist and experimental neuroscientist, at the University of Cambridge Department of Clinical Neuroscience, to solve some of the world's most pressing inflammatory neurological syndromes. After extensive discussions and consultation, the two researchers have agreed to pursue a joint collaboration between their two labs to utilize RNA nanotechnology for brain repair in syndromes where inflammation leads to chronic neural degeneration, such as multiple sclerosis, cerebral stroke and spinal cord injury.

10. UK College of Medicine Hosts Medical Educators for Conference

The University of Kentucky College of Medicine hosted the Southern Group on Educational Affairs Conference April 19-21 at the Hilton Lexington Downtown. The meeting is a regional conference of the Association of American Medical Colleges (AAMC) and included premiere medical educators from 47 medical schools across the south as well as special speakers from AAMC.

11. UK Celebrates National Donate Life Month with Flag-Raising Ceremony

UK HealthCare celebrated organ and tissue donors and recipients in April by raising the Donate Life flag in front of the hospital. UK Chandler Hospital is one of several Kentucky hospitals and organizations promoting organ and tissue donation awareness by flying or displaying the flags during April, which is National Donate Life Month.

12. Cancer Survivor Named 2012 Children's Miracle Network Hospitals Kentucky Champion

Sydney Dover, 8, daughter of Robert and Melissa Dover of Eastview in Hardin County, Ky., was officially announced as the 2012 Children's Miracle Network Hospitals Kentucky Champion. As Kentucky's representative, Sydney and her family will travel to Orlando and Washington D.C. in September to meet with delegates and media to highlight the vital work taking place at children's hospitals. Every year, the Children's Miracle Network Hospitals Champions program identifies a child with a remarkable medical story from each state. There is no question that for her family and friends, her doctors and her health care team, Sydney more than meets the qualifications of a champion and a miracle.

13. WUKY News Team Wins Several Regional, State Awards

The WUKY news team of Alan Lytle, news director, and Brenna Angel, reporter and morning news anchor, recently won several regional and state awards. Angel won two regional Edward R. Murrow Awards; the story "Lexington Family's Tragedy Results in DOD Changes" won in the the Audio Investigative Reporting category, and her story "Trainers and Jockeys Reflect on Keeneland Racing" won in the Use of Sound category. The WUKY news department also won nine 2012 Kentucky Associated Press Awards including first place for Lytle in the Radio News

Anchor category and first place for Angel in the Sports Reporting and Hard News Feature categories.

14. Earth Days in the Bluegrass Promotes Sustainability on Campus

April was Earth Month and the time of year for Earth Days in the Bluegrass (EDBG) on campus. The month-long celebration promoted sustainability, responsible global citizenship and the power of local action. EDBG – coordinated by The Office of Sustainability and UK Student Sustainability Council – featured several events focused on bicycles and alternative transportation.

15. Social Media Week Keeps Campus Community Connected

Social Media Week was observed on campus April 9-13. Coordinated by Student Government, Social Media Week was a collaboration of various groups across campus to produce a week of programming for students, staff and faculty interested in increasing their social media usage. Goals for the week included illustrating the value and flexibility of social media; exposing and encouraging students to continue to use social media; teaching students how to manage an online identity; and demonstrating professional and conscientious social media practices.

16. Two UPK Books Named Outstanding Academic Titles

Choice magazine named two books published by the University Press of Kentucky as Outstanding Academic Titles in its January issue. "After the Dream: Black and White Southerners since 1965," by Timothy J. Minchin and John A. Salmond, and "How Kentucky Became Southern: A Tale of Outlaws, Horse Thieves, Gamblers, and Breeders," written by alumna and part-time history instructor Maryjean Wall, made the list of titles the publication recommended to its readership.

17. More Than \$500,000 in Opera Scholarships Awarded

Fifteen students were awarded more than \$500,000 in scholarships to the UK Opera Theatre program at the seventh annual Alltech Vocal Scholarship Competition held March 4 at the Singletary Center.

18. Architecture, Design Students Work on Career Foundations Over Spring Break

Several students from School of Architecture and School of Interior Design spent their spring break working for major design firms around the country. The program introduces UK students to a variety of professional practices, and possible employers. Students were placed at: Bureau Spectacular, in Chicago; Diller Scofidio + Renfro, in New York City; Kennedy & Violich Architecture, in Boston; Morphosis Architects, Los Angeles and New York City; OMA, in New York City; SO-IL, in Brooklyn, N.Y.; Studio Gang Architects, in Chicago; UrbanLab, in Chicago; EOP Architects, in Lexington; VeenendaalCave, in Atlanta; Gensler, in Atlanta; Lisa Lynn Design Services, in Louisville; Perkins + Will, in Chicago; Heery International, in Orlando, Fla.; and Lockett & Farley, in Louisville.

19. Spagnoli Closes Out Photography Lecture Series

Art Museum at the University of Kentucky closed out its 2011-2012 Robert C. May Lecture Series with an exhibit and free public lecture by photographer, contemporary daguerreotypist and artist Jerry Spagnoli on March 23. The series, in its 13th year, is sponsored by the Robert C. May Photography Endowment, a museum fund established in 1994 for the support of acquisitions and programs relating to photography.

20. Singletary Signature Series Showcases International Talent

Singletary Center for the Arts closed out the 2011-2012 Singletary Signature Series, which showcased renowned musicians, dancers and ensembles from every corner of the globe. Most recently the series presented a concert with classical pianist Natasha Paremski and the UK Symphony Orchestra and a performance of "Don Quixote" by the Moscow Festival Ballet.

21. New Gaines Fellows Named

The Gaines Center for the Humanities has chosen 10 outstanding undergraduates as new scholars for the university's Gaines Fellowship Program for the 2012-13 and 2013-14 academic years. The new fellows are: chemistry major Sam Beavin; classics and English major Stephanie Gibson; psychology major Atanas Golev; political science major Sarah Hayden; mathematical economics major Drake Jackson; theatre major Dominique Luster; film, music, and Spanish major Benjamin Norton; international studies and gender and women's studies major Nicole Schladt; accounting major Xiao Wang; and architecture major Catherine Wentworth.

22. Interior Design Symposium Celebrates 40 Years

UK School of Interior Design celebrated its 40th anniversary with the free public symposium, "40+ Rethinking Design," March 29 and 30, at the UK Student Center. National experts participating in the event focused on the future of designed environments, giving special attention to the human experience in respect to natural resources, other people, and the processes that impact the interactions we have with our surroundings. Speakers included Prataap Patrose, of Boston's Urban Design Department; Robin Guenther, of Perkins+Will; Cindy Coleman, of Gensler; Chris Collins, of Tipodean Technologies.

23. Special Collections Presents Photography Exhibition

UK Special Collections is presenting "The Photographers," a loaned exhibition of 29 pictures of professional and amateur photographers, on display through June 8, at Margaret I. King Building. The exhibit includes images from Ed Weddle, J.C. Rieger, George H. Dabbs, William R. "Pictureman" Mullens, Doris Ulmann, John Jacob Niles, Kate Matthews, J. Winston Coleman and Louis Edward Nollau.

24. Zildjian's Keith Aleo is Special Guest at Percussion Ensemble Spring Concert

UK Percussion Ensemble welcomed guest artist Keith Aleo, of the Avedis Zildjian Cymbal Company, to campus for their spring concert held April 1, at the Singletary Center. The free public concert featured performances of Blake Wilkin's new piece "Dancing with Snakes" and several new original compositions for the UK Steel Band written by senior Tyler Swick.

25. Lecture Discusses Chinese Urbanization's Impact on Design

College of Design and the Confucius Institute presented a free public lecture and discussion on Chinese urbanization and its potential impact on modern design around the globe, as well as recent Pritzker Architecture Prize Winner Wang Shu. "Scale and Statehood: a Different Modernity" was presented by Jianfei Zhu, of the University of Melbourne, on April 4, at Pence Hall.

26. "Year of the Rabbit" Premieres at Women Writers Conference

Kentucky Women Writers Conference and Balagula Theatre presented the world premiere of "The Year of the Rabbit," by Los Angeles-based playwright Keliher Walsh. The play, the inaugural winner of the conference's Prize for Women Playwrights, was staged April 1-10, at Natasha's Bistro and Bar and Balagula Theatre.

27. Art Exhibit Explores Cancer Survivors' Journeys

Tuska Center for Contemporary Art and the UK Arts in HealthCare program featured two free public exhibitions of cancer survivors' journeys through disease and treatment. "Not As I Pictured," a series of autobiographical photo work and film by photojournalist John Kaplan, was on display March 19-April 13, at the Tuska Center. An exhibit of UK photographer and artist Ruth Adams' journey through cancer, "unremarkable," was on display through April 30, at Pavilion A at Albert B. Chandler Hospital.

28. Art's Visiting Artists and Scholars Series Brings Acclaimed Talents to Kentucky

Department of Art closed out the 2011-2012 Visiting Artists and Scholars series in April. The series brings locally and nationally recognized artists/scholars to the Commonwealth for residencies with UK's art faculty, students and staff, as well as public lectures. Most recently the department presented free public lectures at the White Hall Building with contemporary artist and writer Christopher Cozier; sculptor and alumnus Isaac Duncan III; and art educator Katherine Douglas.

29. Engineering Inducts Four into Hall of Distinction

The University of Kentucky College of Engineering inducted four new members into the Hall of Distinction in a ceremony held April 20 in the Main Building: Rebecca M. Cowen-Hirsch, Electrical Engineering, 1988; D.L. Lobb, Civil Engineering, 1979; James W. McCurry, Mechanical Engineering, 1953; and Larry E. Whaley, Civil Engineering, 1968.

30. Seven UK Graduate and Professional Programs in Top Quartile

U.S. News and World Report's "Best Graduate Schools Rankings 2013" ranked seven UK graduate and professional programs in the top quartile. The highest-ranked UK programs were: Pharmacy (No. 5), Martin School of Public Policy (No. 16 overall, No. 2 in Public Finance and Budgeting, No. 20 in Public Policy Analysis), Rehabilitation Counseling (No. 17), Nursing (No. 21), Physical Therapy (No. 27), Clinical Psychology (No. 42), and Social Work (No. 44).

31. Health Communication Conference Focuses on Health Literacy

The 12th biennial Kentucky Conference on Health Communication, titled "Health Literacy Research and Practice," was held April 19-21. The conference brought together researchers, educators, health care providers, policy makers and students for two and a half days of competitive paper and panel presentations, a competitive poster session and invited speaker presentations, many of which focus on themes related to health literacy, the ability of individuals to understand basic health information and make appropriate decisions.

32. Kentucky High School Speech League Returns to UK

After a 30 year absence, the Kentucky High School Speech League returned "Back to the Bluegrass." During the March 23-24 Senior State Competition, 493 students representing 51 schools competed. During the March 30-31 Junior State Competition, 371 students representing 39 schools competed. This means that 862 of Kentucky's best and brightest potential recruits from 90 schools across the Commonwealth were on campus. The Tournament of Champions, the most prestigious national debate competition for high school debaters from across the country, will held on campus from April 28-30.

33. Journalism Hall of Fame Inducts Six; CNBC's Harwood Presents Creason Lecture

On April 11, six members were inducted. The 2012 inductees are: Albert B. "Ben" Chandler, Jr., publisher of The Woodford Sun and writer of the "Happy Landings" editorial column in that newspaper; D.J. Everett III, president of Ham Broadcasting Company, which operates three radio stations serving Western Kentucky and Tennessee, WKDZ-FM, WKDZ-AM and WHVO; Chip Hutcheson, publisher of the The Times Leader in Princeton, Ky., past president of the Kentucky Press Association; Bill Luster, retired photojournalist with The Courier-Journal and winner of Pulitzer Prizes in 1976 and 1989, among numerous other awards; Bob McGaughey, retired chairman of the Department of Journalism and Mass Communication at Murray State University; and Michael M. York, former reporter with the Lexington Herald-Leader and The Washington Post and winner of the 1986 Pulitzer Prize for Investigative Reporting. As part of the program, John Harwood, chief Washington correspondent for CNBC and a political writer for the New York Times presented the annual Creason Lecture.

34. Gatton College Dedicates Graham Office in Memory of Slain Soldier

On April 13, the Gatton College of Business and Economics dedicated its new Graham Office of Career Management in memory of Jeffrey C. Graham, a 2003 graduate of the UK College of Engineering and UK's Army ROTC program. Graham, a second lieutenant in the U.S. Army,

died of injuries from both an improvised explosive and small-arms fire during Operation Iraqi Freedom in 2004. Lt. Graham is credited with saving numerous lives due to the actions he took at the time of the incident and military officials consider Graham a hero. Thanks to the generosity of an anonymous donor who wanted to honor Graham's legacy in a meaningful, practical and ongoing way, a suite on the second floor of the Gatton College building has been completely renovated and newly furnished as an office committed to assisting students in their career development process and employment success.

35. UK Arboretum at Center of Arbor Day Activities

The Arboretum, part of the College of Agriculture and the official State Botanical Garden of Kentucky, was a focal point of a month-long celebration of nature culminating in Arbor Day activities on April 28. The day included tree seedling giveaways, proclamations, booths, free admission to a garden wonderland and thousands of patrons celebrating sustainability. Financial support came from Kentucky Utilities, the presenting sponsor of "Party for the Planet," as well as Lexmark and Kentucky-American Water. The Arboretum, located on 100 acres of the UK campus at 500 Arboretum Drive, draws approximately 200,000 visitors each year.

36. Eight Student Teams Compete in iNet Venture Challenge

Eight undergraduate and graduate student teams competed in the first iNet Venture Challenge business plan/business concept competition the end of March at the Alumni House. Evan Leach and Scott Wagner took the \$2,000 prize for their mobile application development startup, Stadionaut, and third-year medical students Edward Wood and David Nelson, EHW LLC, took the \$1,000 second prize for an improved medical device. Runner-up teams Tag-a-Pet and AwesomeMed will join the winning teams and represent UK at the state competition, Idea State U. The iNet Venture Challenge was sponsored by the UK College of Communications and Information Studies, Big Blue Starters, the Von Allmen Center for Entrepreneurship/Lexington Innovation & Commercialization Center, and the Bluegrass Business Development Partnership, which includes the Lexington-Fayette Urban County Government, Commerce Lexington and the University of Kentucky.

37. UK Theatre's Farrell to Write Play for Slavery Project

UK Department of Theatre faculty member Herman Farrell has been invited to write a play for The American Slavery Project in New York City. "The American Slavery Project: Unheard Voices" will bring to life some of the 419 unknown men, women and children buried in the African Burial Ground in lower Manhattan. Never before has a site specific work been created to honor the voiceless at the African Burial Grounds and never has a theatrical entity partnered with a museum site like African Burial Grounds to bring such an historical era to life for an audience. A community of writers will create original text for Obie winner Nilaja Sun and critically acclaimed actor, Godfrey Simmons to perform in a site-specific performance at the African Burial Ground monument.



38. Verdi Quartet Takes First Place in Chamber Music Competition

The Verdi String Quartet, (Daniel Brier and Kyle Van Arsdalen, violins; Ivan Ugorich, viola; and Christopher Erickson, cello) took first place in the college division of the 16th annual Macauley Chamber Music Competition in March. The BlueBrass Quintet, (Eric Millard and Rui Li, trumpets; Megan McMahon, horn; Josh Daragavell, trombone; and Mike McMahon, tuba) also took third prize in the College division.

39. Men's Chorus to Perform at Southern Division Convention

UK Men's Chorus, under Jefferson Johnson, has been invited to perform at the ACDA Southern Division Convention in Winston-Salem, N.C.

40. Wind Ensemble to Play at National Band Directors Conference

The UK Wind Ensemble was selected from a highly competitive pool of distinguished programs to perform at the 2013 College Band Directors National Association National Conference at the University of North Carolina – Greensboro March 22. A total of nine university performing organizations were selected to receive invitations from an application pool of 46 groups that applied.

41. Library Journal Names Three UK Alumni as "Movers and Shakers"

Three UK School of Library and Information Science (SLIS) graduates were named as “Movers and Shakers” in the library industry (March 15 edition of the Library Journal). April Ritchie (SLIS/'99), Adult Services Coordinator, Erlanger Branch of the Kenton County Public Library was selected because of her commitment to the profession and her work to create a sister library program in Kentucky. She is the first public librarian from Kentucky to win the award. Also named were Jim Blanton (SLIS/'01), Senior Library Manager, Chesapeake Public Library in Virginia, and Tricia Racke Bengel (SLIS/'96), Emerging Technologies Administrator and Interim Director of Nashville Public Libraries. Blanton helped to develop an online video game to assist patrons in learning about money matters, while Bengel created "Limitless Libraries," a partnership between the Nashville Public Library and Metro Nashville Public Schools that have bolstered school library collections and given kids easy access to the nearly two million items in NPL's collection.

42. Three from UK Picked as Oxford American "Superstars of Southern Art"

The current issue of the Oxford American has a list of The New Superstars of Southern Art, and it includes three Lexington-based artists with UK ties. Lina Tharsing (BFA alum) is at No. 5. Institute 193 founder Phillip March Jones checks in at No. 68, and Lennon Michalski (art instructor) was No. 89. The issue, called “The Visual South” issue, will be on newsstands until June.

43. Faculty/Staff Awards and Accomplishments

John Anthony (Chemistry) was presented with the UK Libraries Medallion for Intellectual Achievement at the 2012 UK Libraries Annual Dinner held April 13, at the Hilary J. Boone Center. The event also featured guest speaker and history alumnus, Alan C. Lowe, director of the George W. Bush Presidential Library and Museum.

Jeffrey Ashley, Jr. (Engineering) received the award for excellence in teaching at the University of Kentucky College of Engineering's Tau Beta Pi Awards banquet on April 10.

Ann Bassoni (Work-Life) received the Sarah Bennett Holmes Award (for staff) granted annually to women working at the University who promote the growth and well-being of other women at the University and across the Commonwealth.

Joseph Chappell (Plant and Soil Sciences) received a \$232,000 18-month grant from Texas Agri-Life for a project titled "Synthetic Crop for Direct Drop-In Biofuel Production through Photorespiration Intermediates and Engineering Terpenoid Pathways."

W. Harry Clarke (Music, professor emeritus) won the KMEA Distinguished Service Award, which honors an individual who has shown outstanding leadership service to KMEA and to music education.

Joanne Filkins (Music) had her article "The Horn Music of Bernhard Heiden" published in The Horn Call, the journal of the International Horn Society.

Hazel Forsythe (Nutrition and Food Science) won the Tri-state Diversity Conference Individual Award.

Jeffrey T. Huber (Library and Information Science) received the award for Excellence in Faculty Teaching at the 12th Annual College of Communications and Information Studies Awards and Recognition Dinner.

Bob Ivanov (Journalism) received the award for Faculty Excellence in Research at the 12th Annual College of Communications and Information Studies Awards and Recognition Dinner.

Nancy Jones (Theatre) was invited to participate in the Directors Lab North, a component of the Lincoln Center Directors Lab, in Toronto, Canada, at the end of May. Jones was one of 25 directors selected to participate. Jones also directed a play in the 24-Hour Theatre Festival at Actors Theatre of Louisville in April.

Ani Katchova (Agricultural Economics) was awarded an American Association for the Advancement of Science fellowship to take a 12-month leave at the U.S. Department of Agriculture's Economic Research Service. Katchova also received a Fulbright Specialist Scholar award to teach at BOKU, the University of Natural Resources and Life Sciences in Vienna this summer.

Gail Kennedy (Libraries, School of Library and Information Science alumna, 1975), received the Sarah Bennett Holmes Award (for faculty) granted annually to women working at the University who promote the growth and well-being of other women at the University and across the Commonwealth.

Janet Lensing (Entomology) was awarded \$110,000 from Animal and Plant Health Inspection Service for her work on Cooperative Agricultural Pest Surveys infrastructure.

Charlene Monaghan (CIS) received the award for Outstanding Staff member at the 12th Annual College of Communications and Information Studies Awards and Recognition Dinner.

Ebony G. Patterson (Art) received a \$4,000 commission from Small Axe, a Caribbean journal, to participate in "The Visual Life of Catastrophic History" project funded by an Andy

Warhol grant. Patterson submitted her series "of 72" exploring the ramifications of extraditing Jamaican drug lord Christopher "Dudus" Coke.

Sue Roberts (chair, Geography), received a Fulbright Scholarship for the 2012-13 academic year. She received the Fulbright University of Turku Scholar Award, an honor designed to foster greater understanding between the U.S. and Finland through educational exchange of individuals from the professional and academic communities.

Richard Schein (Geography) received a Fulbright Scholarship for the 2012-13 academic year. He was appointed as the Fulbright Bicentennial Chair in American Studies at the University of Helsinki, Finland, which ranks among the most distinguished Fulbright honors.

Brent Seales (Computer Science) has accepted a position as a visiting research scientist at the Google Cultural Institute. Specifically, Dr. Seales will be helping read the un-openable Dead Sea Scrolls through visualization. His role in this project will last for one year, which will be spent working in Paris.

Gerald Smith (History) co-editor of the Kentucky African American Encyclopedia Project, was a featured historian on an episode of the NBC-TV show "Who Do You Think You Are?" which aired March 9.

Jason Unrine (Plant and Soil Sciences) received an \$80,000 grant from the Environmental Protection Agency for the development of two-dimensional field flow fractionation for analysis of engineered nanomaterials in complex matrices.

Jami Warren (Communication) received the award for Faculty Community Service at the 12th Annual College of Communications and Information Studies Awards and Recognition Dinner.

William Witt (Plant and Soil Sciences) was awarded \$235,000 over 16 months from the Kentucky Transportation Cabinet for the management of troublesome weeds in highway rights of way.

#### 44. Alumni Awards and Accomplishments

Raymond Adams (Architecture), a New York-based photographer, is making a cross-country trip with a new exhibit, "America Witnessed."

Michael Eaves (Journalism, 1994) won a Telly Award, which is the premier award honoring the finest film and video productions and outstanding local, regional, and cable TV programs for a recent story he did on Dakota Meyer in his hometown. The Telly is to the Emmy what the Golden Globe is to the Academy Award.

W. James Host (Journalism, 1961) was named Outstanding Alumnus at the 12th Annual College of Communications and Information Studies Awards and Recognition Dinner.

Glenn Kotche (Percussion, 1994) was featured on cover of Modern Drummer. He is best known for his involvement in the band Wilco and was named the 10th greatest drummer of all time by Gigwise in 2008.

#### 45. Student Awards and Accomplishments

Stephen Bilas (Management and Finance) was elected Student Government president for the 2012-13 year in the SGA election held March 28-29.

Becca Clemons (Journalism) has been named the Kentucky Kernel's editor-in-chief for the 2012-2013 school year. Clemons is a current managing editor for the Kernel.

Aleksey Graboviy (Accounting) and Nolan Jackson (Political Science) have been awarded Henry Clay Internships presented by the Kentucky Society of Washington. The public policy internships will allow Graboviy and Jackson to work in an office of a member of the Kentucky congressional delegation or an office of the executive branch. Both students will receive a stipend of \$3,000 for living expenses in Washington during the six to eight week summer internship.

Stratton Hatfield (Natural Resources and Environmental Science) is one of 50 students to receive honorable mention recognition and \$350 cash award from the Morris K. Udall and Stewart L. Udall Foundation scholarship competition. The awards are presented to students committed to careers related to the environment, tribal public policy or Native American health care.

Chase Hawkins (Music) was named the winner of the National Trumpet Competition Graduate Solo Division at the competition held March 15-18, at George Mason University in Fairfax, Va.

Mary Katherine Kington (Finance) was elected Student Government vice-president for the 2012-13 year in the SGA election held March 28-29.

Jerrod Penn (Agricultural Economics) won the 2012 Daniel R. Reedy Quality Achievement Fellowship Award and the 2012 Gamma Sigma Delta Outstanding Graduate Student Award.

Elizabeth L. Petrun (Communication) received the award for Excellence in Graduate Teaching at the 12th Annual College of Communications and Information Studies Awards and Recognition Dinner.

Adam Sovkoplak (Music Composition) had his "Saxophone Quartet No. 1: A Slice of Silverstein" selected for performance at the College Music Society 25th Pacific Northwest Regional Conference in March. His "Sorrow" was performed at the CMS - South Central Chapter Regional Conference.

Greg Springer ( Music Education) was the winner of the Best Paper Award for the CMS South Central Regional Conference Competition in March.

# PR 2

Office of the President  
May 8, 2012

Members, Board of Trustees:

## PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions, and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII of the Governing Regulations of the university. These recommendations are transmitted to the Board by the appropriate provost/executive vice president through the president and have the president's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the president or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

**PRESIDENT**  
**AND**  
**EXECUTIVE VICE PRESIDENT**  
**FOR**  
**FINANCE AND ADMINISTRATION**

**I. BOARD ACTION**

**A. RETIREMENT**

Assistant Vice President for Campus Services

Brewer, Paul W., Bus Driver, Parking & Transportation Service, after 11 years and 3 months of consecutive service under AR 3.1 Section VIII.B, effective 2/18/12.

Executive Vice President for Finance and Administration

Welch, Mary H., Librarian, Human Resources, after 41 years and 8 months of consecutive service under AR 3.1 Section VIII.B, effective 3/31/12.

**B. EARLY RETIREMENTS**

Vice President for Facilities Management

Adams, Danny D., Engineer Aide, Physical Plant Division, after 34 years and 8 months of consecutive service under AR 3.1 Section VIII.A, effective 4/27/12.

Ansarian, Ali, Electrician III, Physical Plant Division, after 20 years and 5 months of consecutive service under AR 3.1 Section VIII.A, effective 1/16/12.

Waldridge, David J., Maintenance Technician Supervisor, Medical Center Physical Plant Division, after 27 years and 8 months of consecutive service under AR 3.1 Section VIII.A, effective 2/24/12.

**II. ADMINISTRATIVE ACTION**

**A. PROMOTIONS**

Athletics Director

Peevy, Alfred, from Athletics Director Associate Senior to Athletics Director Executive Associate, effective 3/1/12.

Perry, Alfred, from Athletics Director Assistant/Media Relations to Athletics Director Executive Associate, effective 3/1/12.

**CORRECTED:**

Peevy, Alfred, from Athletics Director Assistant/Media Relations to Athletics Director Executive Associate, effective 3/1/12.

**PROVOST**

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\*Special Title Series

## **I. BOARD ACTION**

### **A. ACADEMIC APPOINTMENTS**

- Badgett, James T., College of Medicine, Assistant Professor\*, Pediatrics, 5/1/12 through 6/30/12.
- Dillard, Robert P., College of Medicine, Professor (part-time), Pediatrics, 3/1/12 through 6/30/12.
- Follingstad, Diane R., College of Medicine, Professor (with tenure), Psychiatry, and joint appointment as Professor (without tenure), Psychology, College of Arts and Sciences, appointed to the Women's Circle Endowed Chair in the Center for Research on Violence Against Women, 7/1/12 through 6/30/17.
- Renzetti, Claire M., College of Arts and Sciences, Professor (with tenure), Sociology, appointed to the Judi Conway Patton Chair in the Center for Research on Violence Against Women, 7/1/12 through 6/30/17.
- Stephen, Thomas C., College of Medicine, Associate Professor (part-time), Pediatrics, 3/1/12 through 6/30/12.
- Wachs, Joy E., College of Nursing, Professor (voluntary), 2/1/12 through 6/30/16.

### **B. ADMINISTRATIVE APPOINTMENTS**

- Wallace, Carmel, College of Medicine, Clinical Associate Professor, Pediatrics, appointed Chair, Pediatrics, 4/1/12 through 3/31/15.

### **C. JOINT APPOINTMENTS**

- Welsh, Richard J., College of Medicine, Professor\* (with tenure), Psychiatry, joint appointment as Professor\* (without tenure), College of Social Work, effective 7/1/12.

### **D. REAPPOINTMENTS**

- Adams, Lumy S., College of Medicine, Associate Professor (without tenure), Physical Medicine and Rehabilitation, joint appointment as Associate Professor (without tenure), Neurology, 7/1/12 through 6/30/13.
- Allen, Timothy S., College of Medicine, Associate Professor (part-time), Psychiatry, 7/1/12 through 6/30/13.
- Attili, Anil K., College of Medicine, Clinical Associate Professor, Radiology, and joint appointments as Clinical Associate Professor, Internal Medicine and Clinical Associate Professor, Pediatrics, 7/1/12 through 6/30/13.
- Badgett, James T., College of Medicine, Professor (part-time), Pediatrics, 7/1/12 through 6/30/13.
- Beck, Sandra J., College of Medicine, Clinical Associate Professor, Surgery, 7/1/12 through 6/30/13.

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\*Special Title Series



Boarman, Christopher A., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/12 through 6/30/13.

Bright, Katherine L., College of Medicine, Professor (part-time), Pediatrics, 7/1/12 through 6/30/13.

Brooks, Michael A., College of Medicine, Clinical Associate Professor, Radiology, and joint appointment as Clinical Associate Professor, Internal Medicine, 7/1/12 through 6/30/13.

Brubaker, Robert R., College of Medicine, Professor (visiting), Microbiology, Immunology and Molecular Genetics, 7/1/12 through 6/30/13.

Butterfield, David, College of Arts and Sciences, Professor (with tenure), Chemistry, reappointed to the UK Alumni Professorship, 7/1/12 through 6/30/17.

Capasso, Patrizio, College of Medicine, Clinical Professor, Radiology, and joint appointment as Clinical Professor, Surgery, 7/1/12 through 3/15/13.

Carlson, Charles, College of Arts and Sciences, Professor (with tenure), Psychology, and joint appointments as Associate Professor (without tenure), Oral Health Science, College of Dentistry and Professor (without tenure), Behavioral Science, College of Medicine, reappointed to the Robert H. and Anna B. Culton Professor in the Center for Research on Violence Against Women, 7/1/12 through 6/30/14.

Chang, Phillip K., College of Medicine, Clinical Associate Professor, Surgery, 7/1/12 through 6/30/13.

Coker, Ann L., College of Medicine, Professor (with tenure), Obstetrics and Gynecology, and joint appointment as Professor (without tenure), Epidemiology, College of Public Health, reappointed to the Verizon Wireless Endowed Chair in the Center for Research on Violence Against Women, 7/1/12 through 6/30/17.

Cook, Richard F., College of Agriculture, Associate Research Professor, Veterinary Science, 7/1/12 through 6/30/13.

Cunningham, Melvin D., College of Medicine, Professor (part-time), Pediatrics, 7/1/12 through 6/30/13.

DiSantis, David J., College of Medicine, Clinical Professor, Radiology, 7/1/12 through 6/30/13.

Escott, Edward J., College of Medicine, Clinical Associate Professor, Radiology, 7/1/12 through 6/30/13.

Fetherston, Jacqueline D., College of Medicine, Research Professor, Microbiology, Immunology and Molecular Genetics, 7/1/12 through 6/30/13.

Gerson, Kenneth L., College of Medicine, Professor (part-time), Pediatrics, 7/1/12 through 6/30/13.

Gevedon, Teresa G., College of Medicine, Clinical Associate Professor, Psychiatry, 7/1/12 through 6/30/13.

Goldstein, Steven J., College of Medicine, Clinical Professor, Radiology, 7/1/12 through 6/30/13.

Grigsby, Donna G., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/12 through 6/30/13.

Hahn, Ellen J., College of Nursing, Professor (with tenure), and joint appointment as Professor (without tenure), Health Behavior, College of Public Health, reappointed to the UK Alumni Professorship, 7/1/12 through 6/30/17.

Hall, Edward D., College of Medicine, Professor (with tenure), Anatomy and Neurobiology, and joint appointments as Professor (without tenure), Neurology and Professor (without tenure), Neurosurgery and Professor (without tenure), Physical Medicine and Rehabilitation, reappointed to the William R. Markesbery, M.D., Chair in Neurotrauma Research, 7/1/12 through 6/30/17.

Hersh, Joseph H., College of Medicine, Associate Professor (part-time), Pediatrics, 7/1/12 through 6/30/13.

Hoopes, Charles W., College of Medicine, Associate Professor\* (without tenure), Surgery, 7/1/12 through 6/30/13.

Humphries, Roger L., College of Medicine, Clinical Associate Professor, Emergency Medicine, 7/1/12 through 6/30/13.

Johnson, Ellis L., College of Medicine, Clinical Associate Professor, Radiation Medicine, and joint appointment as Clinical Associate Professor, Clinical Sciences, College of Health Sciences, 7/1/12 through 6/30/13.

King, Joseph G., College of Medicine, Clinical Associate Professor, Radiology, 7/1/12 through 6/30/13.

Klein, Lisa R., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/12 through 6/30/13.

Kudrimoti, Mahesh R., College of Medicine, Clinical Associate Professor, Radiation Medicine, 7/1/12 through 6/30/13.

Laham, James L., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/12 through 6/30/13.

Landers, Cheri D., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/12 through 6/30/13.

Lear, Teri L., College of Agriculture, Associate Research Professor, Veterinary Science, 7/1/12 through 6/30/13.

Maguire, Grace F., College of Medicine, Clinical Professor, Pediatrics, 7/1/12 through 6/30/13.

Martin, Julia E., College of Medicine, Clinical Associate Professor, Emergency Medicine, 7/1/12 through 6/30/13.

Merhar, Gary L., College of Medicine, Clinical Associate Professor, Radiology, 7/1/12 through 6/30/13.

Molloy, Janelle A., College of Medicine, Associate Professor\* (without tenure), Radiation Medicine, and joint appointment as Associate Professor\* (without tenure), Clinical Sciences, College of Health Sciences, 7/1/12 through 6/30/13.

Oates, Mary E., College of Medicine, Professor (with tenure), Radiology, reappointed to the Rosenbaum Chair of Radiology, 7/1/12 through 6/30/17.

Plunkett, Mark D., College of Medicine, Associate Professor\* (without tenure), Surgery, and joint appointment as Associate Professor\* (without tenure), Pediatrics, reappointed to the Frank C. Spencer, M.D. Endowed Chair in Surgery, 7/1/12 through 6/30/17.

Plunkett, Mark D., College of Medicine, Associate Professor\* (without tenure), Surgery, and joint appointment as Associate Professor\* (without tenure), Pediatrics, 7/1/12 through 6/30/13.

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\*Special Title Series

Primm, Daniel D., College of Medicine, Associate Professor (part-time), Orthopaedic Surgery, 7/1/12 through 6/30/13.

Purcell, Marguerite, College of Medicine, Associate Adjunct Professor, Radiology, 7/1/12 through 6/30/13.

Ramaiah, Chand, College of Medicine, Clinical Associate Professor, Surgery, 7/1/12 through 6/30/13.

Reda, Hassan K., College of Medicine, Clinical Associate Professor, Surgery, 7/1/12 through 6/30/13.

Reed, Stephen M., College of Agriculture, Adjunct Professor, Veterinary Science, 7/1/12 through 6/30/13.

Saha, Sibup P., College of Medicine, Clinical Professor, Surgery, and joint appointment as Clinical Professor, Biomedical Engineering, College of Engineering, 7/1/12 through 6/30/13.

Salles, Sara S., College of Medicine, Clinical Associate Professor, Physical Medicine and Rehabilitation, and joint appointment as Clinical Associate Professor, Anesthesiology, 7/1/12 through 6/30/13.

Sandoval, Arturo A., College of Fine Arts, Professor (with tenure), Art, reappointed to the UK Alumni Professorship, 7/1/12 through 6/30/17.

Schneider, Douglas, College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/12 through 6/30/13.

Shook, Lori A., College of Medicine, Clinical Professor, Pediatrics, 7/1/12 through 6/30/13.

Siegel, Anthony J., College of Medicine, Clinical Associate Professor, Psychiatry, 7/1/12 through 6/30/13.

Squires, Edward L., College of Agriculture, Research Professor, Veterinary Science, 7/1/12 through 6/30/13.

Steltenkamp, Carol L., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/12 through 6/30/13.

Stewart, Daniel H., College of Medicine, Clinical Associate Professor, Surgery, 7/1/12 through 6/30/13.

Sugarman, Jacqueline M., College of Medicine, Associate Professor (part-time), Pediatrics, 7/1/12 through 6/30/13.

Swope, Marian, College of Medicine, Clinical Associate Professor, Psychiatry, 7/1/12 through 6/30/13.

Szabunio, Margaret M., College of Medicine, Clinical Professor, Radiology, and joint appointment as Clinical Professor, Surgery, 7/1/12 through 6/30/13.

Telling, Glenn C., College of Medicine, Adjunct Professor, Microbiology, Immunology and Molecular Genetics, 7/1/12 through 6/30/13.

Thatayatikom, Akaluck, College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/12 through 6/30/13.

Tobin, Stuart, College of Medicine, Clinical Associate Professor, Surgery, 7/1/12 through 6/30/13.

Treadwell, Patricia A., College of Medicine, Professor (part-time), Pediatrics, 7/1/12 through 6/30/13.

Underwood, William L., College of Medicine, Professor (part-time), Pediatrics, and joint appointment as Assistant Professor (part-time), Family and Community Medicine, 7/1/12 through 6/30/13.

Wallace, Carmel, College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/12 through 6/30/13.

Woodring, John H., College of Medicine, Adjunct Professor, Radiology, 7/1/12 through 6/30/13.

Young, Thomas L., College of Medicine, Clinical Professor, Pediatrics, 7/1/12 through 6/30/13.

Zaglul, Horacio F., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/12 through 6/30/13.

Zhang, Jie, College of Medicine, Clinical Associate Professor, Radiology, and joint appointment as Clinical Associate Professor, Clinical Sciences, College of Health Sciences, 7/1/12 through 6/30/13.

#### **E. TERMINAL REAPPOINTMENTS**

Casey, Baretta R., College of Public Health, Clinical Professor, Health Behavior, and joint appointments as Clinical Professor, Family and Community Medicine, College of Medicine and Clinical Professor, Preventive Medicine and Environmental Health and Clinical Professor, Health Services Management, 7/1/12 through 6/30/13.

Stone, Ramona F., College of Public Health, Associate Research Professor, Health Behavior, 7/1/12 through 6/30/13.

#### **F. RETIREMENTS**

Burton, Hubert, Art Museum, Museum Preparator, after 15 years of consecutive service, under AR 3:1, Section VIII.B, effective 4/13/12.

Chapman, Thomas, College of Arts and Sciences, Professor (with tenure), Mathematics, after 38 years and 4 months of consecutive service, under AR 3:1, Section VIII.B, effective 6/30/12.

Dinger, James S., Research, Section Head, Kentucky Geological Survey, after 30 years and 10 months of consecutive service, under AR 3:1, Section VIII.B, effective 4/13/12.

Erickson, John, College of Arts and Sciences, Professor (with tenure), Modern and Classical Languages, Literatures, and Cultures, after 17 years and 10 months of consecutive service, under AR 3:1, Section VIII.B, effective 6/30/12.

Hickman, Margaret J., College of Nursing, Associate Professor\* (with tenure), after 20 years of consecutive service, under AR 3:1, Section VIII.B, effective 6/30/12.

Kearns, Lynne S., College of Medicine, Clinical Nurse Clinician, Orthopedic Surgery, after 24 years and 2 months of consecutive service, under AR 3:1, Section VIII.B, effective 3/20/12.

Leach, Charlene A., International Programs, International Student/Scholar Director, after 23 years and 10 months of consecutive service, under AR 3:1, Section VIII.B, effective 6/1/12.

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\*Special Title Series

- Pagoulatos, Angelos, College of Agriculture, Professor (with tenure), Agricultural Economics, after 37 years and 3 months of consecutive service, under AR 3:1, Section VIII.B, effective 6/4/12.
- Palmgreen, Philip C., College of Communications and Information Studies, Professor (with tenure), Communication, after 37 years and 3 months of consecutive service, under AR 3:1, Section VIII.B, effective 6/30/12.
- Stanhope, Marcia K., College of Nursing, Professor\* (with tenure), and joint appointment as Professor\* (without tenure), Health Services, College of Health Sciences, after 30 years and 5 months of consecutive service, under AR 3:1, Section VIII.B, effective 6/30/12.
- Tobin, Rita Y., Libraries, Librarian Technician Senior, Medical Center Library, after 23 years and 9 months of consecutive service, under AR 3:1, Section VIII.B, effective 5/18/12.
- Unrug, Konstanty F., College of Engineering, Professor (with tenure), Mining Engineering, after 32 years and 11 months of consecutive service, under AR 3:1, Section VIII.B, effective 6/30/12.
- West, Gayle B., College of Medicine, Account Clerk Senior, Psychiatry, after 29 years and 11 months of consecutive service, under AR 3:1, Section VIII.B, effective 3/23/12.

#### **G. EARLY RETIREMENTS**

- Christopher, Nancy J., Student Affairs, Food Services Supervisor, Student Center Food Court, after 32 years of consecutive service, under AR 3:1, Section VIII.A, effective 5/4/12.
- Coffey, Dewey S., College of Agriculture, Agriculture Regulatory Specialist, Regulatory Services, after 40 years and 2 months of consecutive service, under AR 3:1, Section VIII.A, effective 5/29/12.
- Cole, Gordon B., College of Fine Arts, Associate Professor\* (with tenure), Music, after 35 years and 11 months of consecutive service, under AR 3:1, Section VIII.A, effective 6/30/12.
- Herrington, Robert J., College of Agriculture, Auto Mechanic II, Woodford County Farm, after 36 years and 2 months of consecutive service, under AR 3:1, Section VIII.A, effective 3/31/12.
- Lambert, Sarah C., College of Medicine, Staff Support Associate I, Family Practice, after 39 years of consecutive service, under AR 3:1, Section VIII.A, effective 5/19/12.
- Mackie, Glenn F., College of Agriculture, County Extension Agent, Cooperative Extension Service, after 20 years and 8 months, effective 5/18/12.
- May, James R., College of Agriculture, Research Facility Manager, Animal and Food Sciences, after 42 years and 9 months, effective 5/31/12.
- Murray, Deborah A., College of Agriculture, Agriculture Extension Specialist, Family and Consumer Sciences, after 26 years and 1 month of consecutive service, under AR 3:1, Section VIII.A, effective 5/4/12.

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\*Special Title Series

Pfeiffer, Janet A., College of Agriculture, Research Analyst, Horticulture, after 29 years and 10 months of consecutive service, under AR 3:1, Section VIII.A, effective 4/12/12.

## **H. POST-RETIREMENT APPOINTMENTS**

Aug, Robert G., College of Medicine, Professor (part-time), Psychiatry, 7/1/12 through 6/30/13.

Cadle, Ronald G., College of Medicine, Assistant Professor (part-time), Microbiology, Immunology and Molecular Genetics, 7/1/12 through 6/30/13.

Fehr, Robert L., College of Agriculture, Professor (part-time), Biosystems and Agricultural Engineering, 7/1/11 through 6/30/12.

Guskey, Thomas R., College of Education, Professor (part-time), Educational, School, and Counseling Psychology, 7/1/12 through 6/30/13.

Hagihara, Patrick F., College of Medicine, Professor (part-time), Surgery, 7/1/12 through 6/30/13.

Hall, Bryan D., College of Medicine, Professor (part-time), Pediatrics, 7/1/12 through 6/30/13.

Kaak, Hans O., College of Medicine, Professor (part-time), Psychiatry, 7/1/12 through 6/30/13.

Kraus, Robert F., College of Medicine, Professor (part-time), Psychiatry, 7/1/12 through 6/30/13.

Lee, Charles, College of Medicine, Associate Professor (part-time), Radiology, 7/1/12 through 6/30/13.

Lieber, Arthur, College of Medicine, Professor (part-time), Radiology, 7/1/12 through 6/30/13.

Lucas, Bruce A., College of Medicine, Professor (part-time), Surgery, 7/1/12 through 6/30/13.

Noonan, Jacqueline A., College of Medicine, Professor (part-time), Pediatrics, 7/1/12 through 6/30/13.

Peel, Lula Joyce, College of Agriculture, Staff Support Associate (part-time), Biosystems and Agricultural Engineering, 7/1/11 through 6/30/12.

Ramage, Karen, College of Agriculture, Assistant Director for Operations (part-time), Cooperative Extension Service, 7/9/12 through 7/8/13.

Wong, Peter, College of Medicine, Professor (part-time), Pediatrics, 7/1/12 through 6/30/13.

## **I. PHASED RETIREMENT APPOINTMENTS**

Eakin, Paul, College of Arts and Sciences, Professor (with tenure), Mathematics, 7/1/12 through 6/30/17.

## **I. ADMINISTRATIVE ACTION**

### **A. ACADEMIC APPOINTMENTS**

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\*Special Title Series

Boomershine, Claire M., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 10/1/11 through 6/30/16.

Bowling, Kendall L., College of Medicine, Instructor (voluntary), Family and Community Medicine, 3/1/12 through 6/30/16.

Brown, Jennifer, College of Social Work, Instructor (part-time), 1/11/12 through 6/30/12.

Brown, L. J., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 2/8/12 through 6/30/12.

Burke, Dorene, College of Social Work, Instructor (part-time), 1/11/12 through 6/30/12.

Clark, Krysta L., College of Nursing, Instructor (part-time), 4/8/12 through 6/30/12.

Ferraris, Suellen P., College of Medicine, Assistant Professor (voluntary), Surgery, 2/1/12 through 6/30/16.

Gensel, John C., College of Medicine, Assistant Professor, Physiology, 4/27/12 through 6/30/12.

Goble, Michael D., College of Medicine, Instructor (voluntary), Family and Community Medicine, 3/1/12 through 6/30/16.

Hall, Courtney J., College of Social Work, Instructor (part-time), 1/11/12 through 6/30/12.

Haq, Abdul, College of Medicine, Assistant Professor (voluntary), Pediatrics, 1/1/12 through 6/30/16.

Ho, Adrian, Libraries, Librarian III, 5/1/12 through 6/30/13.

Holladay, Holly H., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 11/1/11 through 6/30/16.

Hudson, David A., College of Medicine, Assistant Professor (voluntary), Psychiatry, 3/1/12 through 6/30/16.

Kessel, Allyson L., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 10/1/11 through 6/30/16.

Leed, Ann M., College of Agriculture, Instructor (part-time), Animal and Food Sciences, 7/1/12 through 6/30/13.

Morales, Luis A., College of Medicine, Assistant Professor (voluntary), Psychiatry, 3/1/12 through 6/30/16.

Purdy, Janice R., College of Social Work, Instructor (part-time), 1/11/12 through 6/30/12.

Rosen, Brenda, College of Social Work, Instructor (part-time), 1/11/12 through 6/30/12.

Sasaki, Jane, College of Medicine, Assistant Professor (voluntary), Psychiatry, 3/1/12 through 6/30/16.

Shaffer, Callie E., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 3/1/12 through 6/30/16.

Smith, Mark G., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 2/1/12 through 6/30/16.

Stone, Stephanie H., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 2/1/12 through 6/30/16.

Taylor, Robert C., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 1/12/12 through 6/30/12.

Thacker, James N., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 3/1/12 through 6/30/12.

Thornton, David, College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 1/12/12 through 6/30/12.  
Tucker, Michael A., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 11/1/11 through 6/30/16.  
Woolverton, Sheryl W., College of Social Work, Instructor (part-time), 1/11/12 through 6/30/12.  
Yee, Tien-Mun, College of Engineering, Instructor (part-time), Civil Engineering, 1/1/12 through 6/30/12.

## **B. ADMINISTRATIVE APPOINTMENTS**

Dusso, Jennifer, College of Medicine, Ambulatory Clinical Operations Director, Family Practice/Georgetown, effective 3/1/12.  
Gray, Harold R., College of Fine Arts, Professor\* (with tenure), Music, appointed Interim Director, Music, 7/1/12 through 6/30/13.  
Halmos, Katalin, College of Medicine, Scientist III, Physiology, effective 4/1/12.  
Jennings, C. Darrell, College of Medicine, Professor (with tenure), Pathology and Laboratory Medicine, and joint appointment as Professor (without tenure), Graduate Center for Toxicology, appointed Interim Chair, Pathology and Laboratory Medicine, 7/1/12 through 6/30/13.  
Meyers, Shanna M., College of Medicine, Advanced Practice Provider III, Anesthesiology, effective 4/16/12.  
Skinner, Steven, College of Business and Economics, Professor (with tenure), Management, reappointed Interim Director, Management, 9/1/11 through 6/30/12.  
Trent, Teena L., College of Medicine, Advanced Practice Provider III, Anesthesiology, effective 4/16/12.  
Turner, Vicky, College of Medicine, Advanced Practice Provider III, Internal Medicine, effective 2/26/12.

## **C. JOINT APPOINTMENTS**

Yarrison, Rebecca B., College of Medicine, Clinical Assistant Professor, Internal Medicine, joint appointment as Clinical Assistant Professor, Pediatrics, 3/1/12 through 6/30/12.

## **D. REAPPOINTMENTS**

Adams, Amanda A., College of Agriculture, Assistant Research Professor, Veterinary Science, 7/1/12 through 6/30/13.  
Adams, Ingrid K., College of Agriculture, Assistant Extension Professor, Nutrition and Food Science, 7/1/12 through 6/30/13.  
Adkins, Brian W., College of Medicine, Clinical Assistant Professor, Emergency Medicine, 7/1/12 through 6/30/13.  
Ahn, Thomas, College of Business and Economics, Assistant Professor, Economics, 7/1/12 through 6/30/13.

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\*Special Title Series



Alhajeri, Abdunnasser A., College of Medicine, Clinical Assistant Professor, Radiology, and joint appointment as Clinical Assistant Professor, Neurosurgery, 7/1/12 through 6/30/13.

Allaire, Gloria, College of Arts and Sciences, Senior Lecturer, Modern and Classical Languages, Literatures, and Cultures, 7/1/12 through 6/30/15.

Ao, Tuoying, College of Agriculture, Assistant Adjunct Professor, Animal and Food Sciences, 7/1/12 through 6/30/13.

Artiushin, Sergey C., College of Agriculture, Assistant Research Professor (part-time), Veterinary Science, 7/1/12 through 6/30/13.

Aryal, Prakash, College of Medicine, Clinical Instructor, Radiation Medicine, 7/1/12 through 6/30/13.

Ayoob, Andres R., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/12 through 6/30/13.

Bagby, Glen S., College of Law, Instructor (part-time), 1/1/12 through 6/30/12.

Bagh, Adib, College of Business and Economics, Assistant Professor, Economics, and joint appointment as Assistant Professor, Mathematics, College of Arts and Sciences, 7/1/12 through 6/30/13.

Ballard-Croft, Cherry, College of Medicine, Assistant Research Professor, Surgery, 7/1/12 through 6/30/13.

Ballert, Erik Q., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/12 through 6/30/13.

Ballert, Katie N., College of Medicine, Assistant Professor\*, Surgery, 7/1/12 through 6/30/13.

Bartlett, Jennifer, Libraries, Librarian III, 7/1/12 through 6/30/13.

Bayliff, Sherry L., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/12 through 6/30/13.

Beaman, Francesca D., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/12 through 6/30/13.

Behar, Miriam J., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/12 through 6/30/13.

Bennett, Jeffrey S., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/12 through 6/30/13.

Bernert, Silke, College of Medicine, Clinical Assistant Professor, Physical Medicine and Rehabilitation, 7/1/12 through 6/30/13.

Bewley, Jeffrey M., College of Agriculture, Assistant Extension Professor, Animal and Food Sciences, 7/1/12 through 6/30/13.

Bishop, Bradley W., College of Communications and Information Studies, Assistant Professor, Library and Information Science, 7/1/12 through 6/30/13.

Blomquist, Gustav A., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/12 through 6/30/13.

Bonaime, Alice A., College of Business and Economics, Assistant Professor, Management, 7/1/12 through 6/30/13.

Borders, Daniel A., College of Medicine, Assistant Professor (part-time), Psychiatry, 7/1/12 through 6/30/13.

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\*Special Title Series

Bottiggi, Anthony J., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/12 through 6/30/13.

Boulay, Rose M., College of Education, Lecturer, Educational Leadership Studies, and joint appointment as Lecturer, Curriculum and Instruction, 7/1/12 through 6/30/13.

Bradley, Luke H., College of Medicine, Assistant Professor, Anatomy and Neurobiology, and joint appointment as Assistant Professor, Molecular and Cellular Biochemistry, 7/1/12 through 6/30/13.

Bratten, Brian, College of Business and Economics, Assistant Professor, Accountancy, 7/1/12 through 6/30/13.

Breheny, Patrick J., College of Public Health, Assistant Professor, Biostatistics, and joint appointment as Assistant Professor, Statistics, College of Arts and Sciences, 7/1/12 through 6/30/13.

Bridges, Phillip J., College of Agriculture, Assistant Professor, Animal and Food Sciences, 7/1/12 through 6/30/13.

Bruce, Brandon T., College of Medicine, Clinical Assistant Professor, Orthopaedic Surgery, 7/1/12 through 6/30/13.

Burgess, Raymond E., College of Business and Economics, Lecturer, Accountancy, 7/1/12 through 6/30/13.

Bush, Heather M., College of Public Health, Assistant Professor, Biostatistics, 7/1/12 through 6/30/13.

Camargo, Fernanda C., College of Agriculture, Assistant Extension Professor, Animal and Food Sciences, 7/1/12 through 6/30/13.

Causholli, Monika, College of Business and Economics, Assistant Professor, Accountancy, 7/1/12 through 6/30/13.

Cavatassi, William C., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/12 through 6/30/13.

Ceballo Osorio, Janeth, College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/12 through 6/30/13.

Challa, Harigovinda R., College of Medicine, Clinical Assistant Professor, Radiology, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/12 through 6/30/13.

Chen, Li, College of Public Health, Assistant Professor, Biostatistics, 7/1/12 through 6/30/13.

Christensen, Christian, College of Medicine, Assistant Adjunct Professor, Orthopaedic Surgery, 7/1/12 through 6/30/13.

Clifford, Chris P., College of Business and Economics, Assistant Professor, Management, 7/1/12 through 6/30/13.

Corales, Enedino R., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/12 through 6/30/13.

Corman, Elizabeth B., College of Social Work, Instructor (part-time), 1/11/12 through 6/30/12.

Crispen, Paul L., College of Medicine, Assistant Professor\*, Surgery, 7/1/12 through 6/30/13.

Crocker, Lina, College of Arts and Sciences, Senior Lecturer, English, 7/1/12 through 6/30/15.

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\*Special Title Series

Cumbermack, Kristopher M., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/12 through 6/30/13.

Cunningham, Billy E., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/12 through 6/30/13.

D'Orazio, Sarah E., College of Medicine, Assistant Professor, Microbiology, Immunology and Molecular Genetics, 7/1/12 through 9/30/12.

Daily, Michael F., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/12 through 6/30/13.

Danov, Zoran, College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/12 through 6/30/13.

Davenport, Daniel L., College of Medicine, Assistant Professor\*, Surgery, and joint appointment as Assistant Professor\*, Management, College of Business and Economics, 7/1/12 through 6/30/13.

Davis, Rebecca J., College of Business and Economics, Lecturer, Management, 7/1/12 through 6/30/13.

Dawkins, Adrian A., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/12 through 6/30/13.

Day, George B., College of Agriculture, Adjunct Instructor, Biosystems and Agricultural Engineering, 7/1/12 through 6/30/13.

Day, Scottie B., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/12 through 6/30/13.

Deane, Andrew S., College of Medicine, Assistant Professor\*, Anatomy and Neurobiology, 7/1/12 through 6/30/13.

Dell, Carol M., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/12 through 6/30/13.

Demos, Jon S., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/12 through 6/30/13.

Dennis, Maren C., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/12 through 6/30/13.

Desai, Dharmin D., College of Medicine, Clinical Assistant Professor, Radiation Medicine, 7/1/12 through 6/30/13.

Dillon, Johanne E., College of Medicine, Clinical Assistant Professor, Radiology, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/12 through 6/30/13.

Dowdy, Therese C., College of Agriculture, Adjunct Instructor, Biosystems and Agricultural Engineering, 7/1/12 through 6/30/13.

Easterling, Jessica N., College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/12 through 6/30/13.

Ellis, Scott C., College of Business and Economics, Assistant Professor, Management, 7/1/12 through 6/30/13.

Faragasso, Devin J., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/12 through 6/30/13.

Fardo, David W., College of Public Health, Assistant Professor, Biostatistics, 7/1/12 through 6/30/13.

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\*Special Title Series

Fornwalt, Brandon, College of Medicine, Assistant Professor, Pediatrics, and joint appointment as Assistant Professor, Biomedical Engineering, College of Engineering, 7/1/12 through 6/30/13.

Foster-Harper, Susan, Libraries, Librarian III, 7/1/12 through 6/30/13.

France, Linda, College of Education, Clinical Assistant Professor, Educational Leadership Studies, 7/1/12 through 6/30/13.

Franklin, Samuel R., College of Medicine, Assistant Professor\*, Anatomy and Neurobiology, 7/1/12 through 6/30/13.

Ganesh, Halemane S., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/12 through 6/30/13.

Gensel, John C., College of Medicine, Assistant Professor, Physiology, 7/1/12 through 6/30/13.

Gibbs, Richard D., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/12 through 6/30/13.

Goodin, Kara, College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/12 through 6/30/13.

Graves, Kathryn T., College of Agriculture, Clinical Assistant Professor, Veterinary Science, 7/1/12 through 6/30/13.

Grijalva, Galo E., College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/12 through 6/30/13.

Grondin, Richard C., College of Medicine, Assistant Professor, Anatomy and Neurobiology, 7/1/12 through 6/30/13.

Gulla, Darrin V., College of Business and Economics, Lecturer, Economics, 7/1/12 through 6/30/13.

Gustafson, Alison A., College of Agriculture, Assistant Professor, Nutrition and Food Science, 7/1/12 through 6/30/13.

Gyorffy, Michael J., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/12 through 6/30/13.

Halgin, Daniel, College of Business and Economics, Assistant Professor, Management, 7/1/12 through 6/30/13.

Hankins, Kristine W., College of Business and Economics, Assistant Professor, Management, 7/1/12 through 6/30/13.

Hapke, Jessica H., College of Business and Economics, Lecturer, Management, 7/1/12 through 6/30/13.

Hargrove, Ryan A., College of Agriculture, Assistant Professor, Landscape Architecture, 7/1/12 through 6/30/13.

Henningsen, Katie, Libraries, Librarian IV, 7/1/12 through 6/30/13.

Higgins, Bethany, College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/12 through 6/30/13.

Higgins, Stephen F., College of Agriculture, Assistant Adjunct Professor, Biosystems and Agricultural Engineering, 7/1/12 through 6/30/13.

Holle, Bruce, College of Arts and Sciences, Senior Lecturer, History, 7/1/12 through 6/30/15.

Hong-McAtee, Irene, College of Medicine, Assistant Professor\*, Pediatrics, 7/1/12 through 6/30/13.

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\*Special Title Series

Hood, Katrina M., College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/12 through 6/30/13.

Houchin, Angela D., College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/12 through 6/30/13.

Houchin, Timothy M., College of Medicine, Assistant Professor (part-time), Psychiatry, 7/1/12 through 6/30/13.

Hourigan, Jon S., College of Medicine, Assistant Professor\*, Surgery, 7/1/12 through 6/30/13.

Hruby, Alison, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/12 through 6/30/12.

Huang, Bin, College of Public Health, Assistant Professor, Biostatistics, 7/1/12 through 6/30/13.

Hundley, Jennifer L., College of Medicine, Assistant Professor (part-time), Surgery, 7/1/12 through 6/30/13.

Hundley, Jonathan C., College of Medicine, Assistant Professor\*, Surgery, 7/1/12 through 6/30/13.

Hutchens, Neal H., College of Education, Assistant Professor, Educational Policy Studies and Evaluation, 7/1/12 through 6/30/13.

Jasinski, Lindsey J., College of Medicine, Clinical Assistant Professor, Psychiatry, and joint appointment as Clinical Assistant Professor, Psychology, College of Arts and Sciences, 7/1/12 through 6/30/13.

Johnson, Steven D., College of Medicine, Clinical Assistant Professor, Psychiatry, and joint appointment as Clinical Assistant Professor, College of Social Work, 7/1/12 through 6/30/13.

Jones, Blake L., College of Social Work, Adjunct Instructor, 1/11/12 through 6/30/12.

Katkhuda, Ragheed, College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/12 through 6/30/13.

Kavuluru, Venkata N., College of Public Health, Assistant Professor, Biostatistics, 7/1/12 through 6/30/13.

Kim, June, College of Medicine, Clinical Assistant Professor, Radiology, 7/1/12 through 6/30/13.

Kozik, Deborah J., College of Medicine, Clinical Assistant Professor, Surgery, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/12 through 6/30/13.

Krohmer, Steven J., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/12 through 6/30/13.

LaBonty, Elizabeth A., College of Agriculture, Lecturer, Animal and Food Sciences, 7/1/12 through 6/30/13.

Langner, Ulrich W., College of Medicine, Clinical Assistant Professor, Radiation Medicine, 7/1/12 through 6/30/13.

Lanham, Jennifer D., College of Social Work, Instructor (part-time), 1/11/12 through 6/30/12.

Latham, Philip B., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/12 through 6/30/13.

Lee, Cortney Y., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/12 through 6/30/13.

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\*Special Title Series

Lee, James T., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/12 through 6/30/13.

Lehmkuhler, Jeffrey W., College of Agriculture, Assistant Extension Professor, Animal and Food Sciences, 7/1/12 through 6/30/13.

Li, Jing, College of Medicine, Assistant Research Professor, Surgery, 7/1/12 through 6/30/13.

Liau, James Y., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/12 through 6/30/13.

Lommel, Karen, College of Medicine, Assistant Professor\*, Psychiatry, and joint appointments as Assistant Professor\*, Pediatrics and Assistant Professor\*, Surgery, 7/1/12 through 6/30/13.

Luftman, Virginia H., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/12 through 6/30/13.

Luo, Wei, College of Medicine, Clinical Assistant Professor, Radiation Medicine, and joint appointment as Clinical Assistant Professor, Clinical Sciences, College of Health Sciences, 7/1/12 through 6/30/13.

Manis, Isaac G., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/12 through 6/30/13.

Marks, Alicia G., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/12 through 6/30/13.

Marti, Francesc, College of Medicine, Assistant Professor, Microbiology, Immunology and Molecular Genetics, 7/1/12 through 5/31/13.

McCoy, Michael Q., College of Medicine, Instructor (part-time), Pediatrics, and joint appointment as Instructor (part-time), Internal Medicine, 7/1/12 through 6/30/13.

Mende, Martin, College of Business and Economics, Assistant Professor, Management, 7/1/12 through 6/30/13.

Milan, Primo, College of Medicine, Assistant Adjunct Professor, Radiology, 7/1/12 through 6/30/13.

Miller, Cynthia J., College of Business and Economics, Lecturer, Accountancy, 7/1/12 through 6/30/13.

Murtha, Brian R., College of Business and Economics, Assistant Professor, Management, 7/1/12 through 6/30/13.

Nair, Rashmi T., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/12 through 6/30/13.

Neltner, Matthew J., College of Medicine, Assistant Adjunct Professor, Psychiatry, 7/1/12 through 6/30/13.

Newman, Ronald L., College of Medicine, Clinical Assistant Professor, Anesthesiology, and joint appointments as Clinical Assistant Professor, Physical Medicine and Rehabilitation and Clinical Assistant Professor, Rehabilitation Sciences, College of Health Sciences, 7/1/12 through 2/17/13.

Nickels, David J., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/12 through 6/30/13.

Nielsen, Martin K., College of Agriculture, Assistant Professor, Veterinary Science, 7/1/12 through 6/30/13.

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\*Special Title Series

Northrip, Kimberly R., College of Medicine, Clinical Assistant Professor, Pediatrics, and joint appointment as Clinical Assistant Professor, Health Behavior, College of Public Health, 7/1/12 through 6/30/13.

O'Neill, Amy M., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/12 through 6/30/13.

Obeng, Kwaku K., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/12 through 6/30/13.

Orthober, Raymond J., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/12 through 6/30/13.

Ostertag, Eric M., College of Medicine, Assistant Adjunct Professor, Microbiology, Immunology and Molecular Genetics, 7/1/12 through 6/30/13.

Parr, Jeffery W., College of Medicine, Assistant Adjunct Professor, Orthopaedic Surgery, 7/1/12 through 6/30/13.

Pawley, Barbara K., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/12 through 6/30/13.

Pearson, Kevin J., College of Medicine, Assistant Professor, Graduate Center for Nutritional Sciences, 7/1/12 through 6/30/13.

Pellegrini, Richard A., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/12 through 6/30/13.

Pittenger, Jaime, College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/12 through 6/30/13.

Pohl, Michael, College of Education, Assistant Professor, Kinesiology and Health Promotion, 7/1/12 through 6/30/13.

Polisetty, Rayudu B., College of Medicine, Assistant Professor (part-time), Radiology, 7/1/12 through 6/30/13.

Pollack, Susan H., College of Medicine, Assistant Research Professor, Pediatrics, and joint appointment as Assistant Research Professor, Preventive Medicine and Environmental Health, College of Public Health, 7/1/12 through 6/30/13.

Prabhu, Pramod V., College of Medicine, Clinical Assistant Professor, Radiation Medicine, 7/1/12 through 6/30/13.

Preston, David M., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/12 through 6/30/13.

Priddy, Kenny T., College of Agriculture, Adjunct Instructor, Biosystems and Agricultural Engineering, 7/1/12 through 6/30/13.

Radulescu, Aurelia, College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/12 through 6/30/13.

Radulescu, Vlad C., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/12 through 6/30/13.

Reams, Robin A., College of Medicine, Assistant Professor (part-time), Radiation Medicine, 7/1/12 through 6/30/13.

Ringley, Kimberly R., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/12 through 6/30/13.

Robbins, Susan N., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/12 through 6/30/13.

Rockich, Anna K., College of Medicine, Assistant Professor (part-time), Surgery, and joint appointment as Assistant Professor (part-time), Pharmacy Practice and Science, College of Pharmacy, 7/1/12 through 6/30/13.

Ross, Jenna L., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/12 through 6/30/13.

Rossano, Mary G., College of Agriculture, Assistant Professor, Animal and Food Sciences, 7/1/12 through 6/30/13.

Rychahou, Piotr G., College of Medicine, Assistant Research Professor, Surgery, 7/1/12 through 6/30/13.

Sama, Michael, College of Agriculture, Adjunct Instructor, Biosystems and Agricultural Engineering, 7/1/12 through 6/30/13.

Sandford, Jeremy, College of Business and Economics, Assistant Professor, Economics, 7/1/12 through 6/30/13.

Sauer, Jeorg H., College of Arts and Sciences, Senior Lecturer, Modern and Classical Languages, Literatures, and Cultures, 7/1/12 through 6/30/15.

Schnelle, Rebecca A., College of Agriculture, Assistant Extension Professor, Horticulture, 7/1/12 through 8/31/12.

Scott, Maura L., College of Business and Economics, Assistant Professor, Management, 7/1/12 through 6/30/13.

Seay, Thomas M., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/12 through 6/30/13.

Segura, Andrea C., College of Agriculture, Lecturer, Landscape Architecture, 7/1/12 through 6/30/13.

Sellitti, Marguerite A., College of Medicine, Assistant Professor (part-time), Radiation Medicine, 7/1/12 through 6/30/13.

Setser, Edward R., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/12 through 6/30/13.

Shah, Malay B., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/12 through 6/30/13.

Shapiro, Robert M., Libraries, Librarian IV, 7/1/12 through 6/30/13.

Siebenthaler, Jennifer W., College of Business and Economics, Lecturer, Accountancy, 7/1/12 through 6/30/13.

Simon, Robert E., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/12 through 6/30/13.

Singh, Indrapal N., College of Medicine, Assistant Research Professor, Anatomy and Neurobiology, 7/1/12 through 6/30/13.

Sithisarn, Thitinart, College of Medicine, Assistant Professor\*, Pediatrics, 7/1/12 through 6/30/13.

Sorial, Ehab S., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/12 through 6/30/13.

Spicer, Paul J., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/12 through 6/30/13.

Stadler, Laura P., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/12 through 6/30/13.

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\*Special Title Series



Stamatiadis, Anne Marie, College of Arts and Sciences, Senior Lecturer, English, 7/1/12 through 6/30/15.

Stanton, Donna D., College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/12 through 6/30/13.

Stephen, Thomas C., College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/12 through 6/30/13.

Stevens, Scott D., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/12 through 6/30/13.

Stockburger, Stephanie, College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/12 through 6/30/13.

Strifling, Rhya C., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/12 through 6/30/13.

Swayze, Sandra, College of Medicine, Assistant Professor (part-time), Radiation Medicine, 7/1/12 through 6/30/13.

Talley, Cynthia L., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/12 through 6/30/13.

Taylor, Shawn M., College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/12 through 6/30/13.

Todd Tzanetos, Deanna R., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/12 through 6/30/13.

Trinkle, Christine A., College of Engineering, Assistant Professor, Mechanical Engineering, 2/25/12 through 2/24/13.

Tucker, Brian K., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/12 through 6/30/13.

Turley, David P., College of Medicine, Clinical Assistant Professor, Emergency Medicine, 7/1/12 through 6/30/13.

Turner, M. Dawn, College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/12 through 6/30/13.

Tuttle, Jeffrey P., College of Medicine, Assistant Professor (part-time), Psychiatry, 7/1/12 through 6/30/13.

Urschel, Kristine L., College of Agriculture, Assistant Professor, Animal and Food Sciences, 7/1/12 through 6/30/13.

Uzuegbunam, Ikenna S., College of Business and Economics, Assistant Professor, Management, 7/1/12 through 6/30/13.

Van Meter, Emily M., College of Public Health, Assistant Professor, Biostatistics, 7/1/12 through 6/30/13.

Vanderpool, Robin C., College of Public Health, Assistant Professor, Health Behavior, 7/1/12 through 6/30/13.

Vincent, Leslie H., College of Business and Economics, Assistant Professor, Management, 7/1/12 through 6/30/13.

Vranicar, Mark G., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/12 through 6/30/13.

Wang, Qingding, College of Medicine, Assistant Research Professor, Surgery, 7/1/12 through 6/30/13.

Webber, Kelly H., College of Agriculture, Assistant Professor, Nutrition and Food Science, 7/1/12 through 6/30/13.

Wells, Paula H., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/12 through 6/30/13.

Westgate, Philip M., College of Public Health, Assistant Professor, Biostatistics, 7/1/12 through 6/30/13.

Whitesel, Heather L., College of Medicine, Clinical Assistant Professor, Surgery, and joint appointment as Clinical Assistant Professor, Orthopaedic Surgery, 7/1/12 through 6/30/13.

Winkler, Michael A., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/12 through 6/30/13.

Yanagida, Roh, College of Medicine, Clinical Assistant Professor, Surgery, 7/1/12 through 6/30/13.

Yang, Hongwei, College of Education, Assistant Professor, Educational Policy Studies and Evaluation, 7/1/12 through 6/30/13.

Yang, Lin, College of Public Health, Assistant Professor, Biostatistics, 7/1/12 through 6/30/13.

Yewell, Patrick, College of Social Work, Instructor (part-time), 1/1/12 through 6/30/12.

Yozwiak, John A., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/12 through 6/30/13.

Zhou, Changcheng, College of Medicine, Assistant Professor, Graduate Center for Nutritional Sciences, 7/1/12 through 6/30/13.

Ziada, Ali M., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/12 through 6/30/13.

#### **E. PROMOTIONS WITHOUT TENURE**

Hesseldenz, Jon P., Libraries, from Librarian IV, to Librarian III, 7/1/12 through 6/30/13.

Keinsley, Jason, Libraries, from Librarian IV, to Librarian III, 7/1/12 through 6/30/13.

Lybarger, Kathryn J., Libraries, from Librarian IV, to Librarian III, 7/1/12 through 6/30/13.

Martin, Heath C., Libraries, from Librarian IV, to Librarian III, 7/1/12 through 6/30/13.

#### **F. CHANGES**

Artiushin, Sergey C., College of Agriculture, from Assistant Research Professor, Veterinary Science, to Assistant Research Professor (part-time), Veterinary Science, 3/1/12 through 6/30/12.

Brown, Kristen Goble, from UK HealthCare, Clinical Administrator II, University Health Services, to Community Based Practices Director Assistant, Family Practice/Georgetown, College of Medicine, effective 2/26/12.

Hickman, Anne E., College of Medicine, from Nurse Clinical (part-time), Cancer Services-Inpatient, to Advanced Practice Provider II, Internal Medicine, effective 4/8/12.

Kennedy, Laura A., College of Agriculture, from Assistant Professor\*, Veterinary Science, to Clinical Assistant Professor, Veterinary Science, 7/1/12 through 6/30/13.

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\*Special Title Series

Kent, Louise A., College of Public Health, from Assistant Professor (voluntary), Health Services Management, to Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 7/1/11 through 6/30/16.

Porter, Brent, Enrollment Management, from Statistician, Admissions and Registrar, to Technical Administrator III, Admissions and Registrar, effective 4/1/12.

Wilding, Sarah J., College of Public Health, from Assistant Professor (voluntary), Health Services Management, to Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 7/1/11 through 6/30/16.

## **G. TERMINAL REAPPOINTMENTS**

Caldwell, Glyn G., College of Public Health, Assistant Professor, Epidemiology, 7/1/12 through 6/30/13.

Chesnut, Lorie W., College of Public Health, Instructor, Epidemiology, 7/1/12 through 6/30/13.

Lamberth, Cynthia, College of Public Health, Clinical Instructor, Preventive Medicine and Environmental Health, 7/1/12 through 6/30/13.

Reynolds, Stephanie D., College of Communications and Information Studies, Assistant Professor, Library and Information Science, 7/1/12 through 6/30/13.

Sands, L. F., College of Public Health, Assistant Research Professor, Epidemiology, and joint appointment as Assistant Research Professor, Health Services Management, 7/1/12 through 6/30/13.

Slavova, Svetla S., College of Public Health, Assistant Research Professor, Biostatistics, 7/1/12 through 6/30/13.

## **H. LEAVES OF ABSENCE**

Bhattacharyya, Dibakar, College of Engineering, Professor (with tenure), Chemical and Materials Engineering, Sabbatical leave at full salary, 7/1/12 through 12/31/12.

Brown, Tamara, College of Arts and Sciences, Associate Professor (with tenure), Psychology, and joint appointment as Associate Professor (without tenure), Behavioral Science, College of Medicine, Leave without pay, 7/1/12 through 6/30/13.

Cantrell, Susan, College of Education, Associate Professor (with tenure), Curriculum and Instruction, Sabbatical leave at full salary, 1/1/13 through 6/30/13.

Cupp, Pamela K., College of Communications and Information Studies, Assistant Research Professor, Communication, Leave at partial salary, 4/1/12 through 6/30/12.

Harrington, Nancy G., College of Communications and Information Studies, Professor (with tenure), Communication, and joint appointment as Professor (without tenure), Health Behavior, College of Public Health, Leave at full salary, 7/1/12 through 6/30/13.

Hastings, J. Todd, College of Engineering, Associate Professor (with tenure), Electrical and Computer Engineering, Entrepreneurial Leave, 4/1/12 through 3/31/13.

Hickner, Paula L., Libraries, Librarian I (with tenure), Sabbatical leave at full salary, 1/1/13 through 6/30/13.

Hogg, Gordon E., Libraries, Librarian I (with tenure), Sabbatical leave at full salary, 1/1/13 through 6/30/13.

Moser, Debra K., College of Nursing, Professor (with tenure), Sabbatical leave at full salary, 6/11/12 through 8/22/12.

Moser, Debra K., College of Nursing, Professor (with tenure), Sabbatical leave at full salary, 12/1/12 through 12/31/12.

Sackett, Judy A., Libraries, Librarian I (with tenure), Sabbatical leave at full salary, 7/1/12 through 12/31/12.

Skees, Jerry R., College of Agriculture, Professor (with tenure), Agricultural Economics, Entrepreneurial Leave, 5/1/12 through 4/30/13.

Swanberg, Jennifer E., College of Social Work, Associate Professor (with tenure), and joint appointments as Associate Professor (without tenure), Behavioral Science, College of Medicine and Associate Professor (without tenure), Health Behavior, College of Public Health and Associate Professor (without tenure), Management, College of Business and Economics, Leave without pay, 7/1/12 through 6/30/13.

## **I. RESIGNATIONS**

Arsenescu, Violeta, College of Medicine, Assistant Professor\*, Graduate Center for Nutritional Sciences, effective 3/30/12.

Bay, Carolyn A., College of Medicine, Clinical Associate Professor, Pediatrics, effective 6/30/12.

Boyanovsky, Boris B., College of Medicine, Assistant Research Professor, Internal Medicine, effective 3/31/12.

Campion, Jeffrey J., College of Medicine, Assistant Research Professor, Internal Medicine, effective 12/31/11.

Capasso, Patrizio, College of Medicine, Clinical Professor, and joint appointment as Clinical Professor, Surgery, effective 3/15/13.

Gardner, Mary E., College of Medicine, Clinical Assistant Professor, Anesthesiology, effective 7/5/12.

Johner, Christopher A., College of Medicine, Clinical Assistant Professor, Anesthesiology, effective 6/30/12.

Loeffler, Hugh H., College of Medicine, Clinical Assistant Professor, Internal Medicine, effective 6/30/12.

Lofgren, Richard P., College of Medicine, Professor\* (with tenure), Internal Medicine, effective 3/23/12.

McEwen, Melanie L., College of Medicine, Assistant Research Professor, Physical Medicine and Rehabilitation, and joint appointment as Assistant Research Professor, Anatomy and Neurobiology, effective 6/30/12.

McIntosh, Bruce A., College of Pharmacy, Clinical Assistant Professor, Pharmacy Practice and Science, effective 12/31/11.

Paudel, Kalpana S., College of Pharmacy, Assistant Research Professor, Pharmaceutical Sciences, effective 1/1/12.

Reynolds, Drew H., College of Medicine, Clinical Instructor, Surgery, effective 6/30/12.

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\*Special Title Series

Schnelle, Rebecca A., College of Agriculture, Assistant Extension Professor, Horticulture, effective 8/31/12.

Soares, Neelkamal S., College of Medicine, Clinical Assistant Professor, Pediatrics, and joint appointments as Clinical Assistant Professor, Family and Community Medicine, College of Medicine, and Clinical Assistant Professor, Educational, School and Counseling Psychology, College of Education, effective 6/1/12.

Tucker, Hugh M., College of Medicine, Assistant Research Professor, Pediatrics, effective 6/30/12.

Unal, Resat, College of Medicine, Assistant Research Professor, Internal Medicine, effective 6/30/12.

Vargas, Herschel D., College of Medicine, Clinical Associate Professor, Surgery, effective 6/8/12.

Witta, Jassir, College of Medicine, Clinical Assistant Professor, Internal Medicine, effective 6/30/12.

## **EXECUTIVE VICE PRESIDENT FOR HEALTH AFFAIRS**

### **I. BOARD ACTION**

#### **A. ADMINISTRATIVE APPOINTMENTS**

Endicott, Steve, Data Center Operations Technical Manager/UKHC, EVPHA Information Technology, effective 03/26/12

#### **B. CHANGES**

York, Dana, from Data Base Administrator/UKHC, EVPHA Information Technology to Data Base Administrator Engineer III/UKHC, EVPHA Information Technology, effective 03/25/12

#### **C. RETIREMENTS**

Wells, Bonnie T., Staff Support Associate II, after 20 years and 10 months of consecutive service, under AR 3:1 Section VII.A., effective 04/02/12

Hibbard, Bobbie, Storage Receiving Manager, after 22 years and 5 months of consecutive service, under AR 3:1 Section VIII.A., effective 06/08/12

Ferguson, David, M., Materials Management Technician, after 17 years and 5 months of consecutive service, under AR 3:1 Section VIII.A., effective 06/08/12

Bannon, Catherine, L., Nurse Clinical, after 36 years and 3 months of consecutive service, under AR 3:1 Section VIII.A., effective 06/29/12

McAlister, Marian, G., Staff Support Associate II, after 40 years and 5 months of consecutive service, under AR 3:1 Section VII.A., effective 05/04/12

### **II. ADMINISTRATIVE ACTION**

#### **A. CHANGES**

Welch, Anne, from University Health Services Coordinator/UKHC, University Health Services, to Clinical Administrator II/ UKHC, University Health Services, effective 04/08/12

Lynn, Jeffrey, from Sr. Systems Analyst Programmer/UKHC, EVPHA Information Technology to Citrix Engineer III/UKHC, EVPHA Information Technology, effective 03/25/12

McIntyre, Christopher, from Programmer Systems/UKHC, EVPHA Information Technology to Automation Tooling Engineer III/UKHC, EVPHA Information Technology, effective 03/25/12

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\*Special Title Series

# PR 3

Office of the President  
May 8, 2012

Members, Board of Trustees:

## PROPOSED REVISIONS TO THE GOVERNING REGULATIONS

Recommendation: that the proposed revision to Governing Regulation, Part II, be given preliminary consideration for approval and adoption at the next regular meeting of the Board of Trustees on May 8, 2012. The proposed revision adds a new section to the Governing Regulation to refer to Kentucky Revised Statutes, KRS 164.131(1)(d), which governs the removal of a member of the University of Kentucky Board of Trustees. Adding this reference is necessary for compliance with the Southern Association of Colleges and Schools (SACS) accreditation standards. The proposed revisions also include minor housekeeping changes.

Background: SACS Comprehensive Standard 3.2.5, states: *“The Governing Board has a policy whereby members can be dismissed only for appropriate reasons and by a fair process.”* Kentucky statute, KRS 164.131(1)(d), establishes the authority, grounds, and process by which a Board member can be removed. Only the Governor can remove a Board member and such removal must be for cause, “which shall include neglect of duty or malfeasance in office, after being afforded a hearing with counsel before the council and a finding of fact by the council.” The council, the Kentucky Council on Postsecondary Education (CPE), must conduct its hearings in accordance with KRS Chapter 13B, which establishes the formal rules and procedures for all aspects of the hearing process, including due process.

Since Kentucky statutes delegate the authority for removal of a Board member to the Governor and the CPE, SACS expects the University’s Governing Regulations to refer to the relevant statute to show that a Board member can only be dismissed for appropriate reasons and by a fair process.

A revised copy of Governing Regulation, Part II is attached. The proposed revision is underlined and shown on page 4, section B.2(d). A copy of KRS 164.131 is also attached.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_





## Governance of the University of Kentucky

### Major Topics

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- A. [Introduction](#)
- B. [Board of Trustees - Membership](#)
  - 1. [Definition](#)
  - 2. [Membership](#)
    - (a) [Appointed Members](#)
    - (b) [Elected Members](#)
    - (c) [Non-voting Members](#)
    - (d) [Removal of Members](#)
  - 3. [Officers](#)
- C. [Meetings of the Board of Trustees](#)
- D. [Conflicts of Interest of Board Membership](#)
- E. [Standing and Special Committees](#)
  - 1. [Standing Committee General Rules](#)
  - 2. [Standing Committees](#)
    - (a) [Executive Committee](#)
    - (b) [Academic Affairs Committee](#)
    - (c) [Finance Committee and Audit Subcommittee](#)
    - (d) [Human Resources Committee](#)
    - (e) [Investment Committee](#)
    - (f) [Nominating Committee](#)
    - (g) [Student Affairs Committee](#)
    - (h) [University Athletics Committee](#)
    - (i) [University Health Care Committee](#)
    - (j) [University Relations Committee](#)
  - 3. [Special Committees](#)
- F. [Powers and Responsibilities of the Board of Trustees](#)
- G. [Petitions to Address the Board of Trustees](#)
- H. [References and Related Materials](#)

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## A. Introduction

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The governance of the University of Kentucky is vested by law in the Board of Trustees (KRS 164.131). Within the limits set by the State Constitution and the federal and state laws,<sup>\*</sup> the Board of Trustees is the final authority in all matters affecting the institution and exercises jurisdiction over the institution's financial, educational, and other policies and its relation with the state and federal governments.

These *Governing Regulations* describe the composition, powers, and duties of the Board of Trustees of the University of Kentucky, as defined by Kentucky Revised Statutes, and establish policies and procedures for the performance of its functions. In these *Governing Regulations*, the Board of Trustees delegates certain responsibilities to the President, the University Senate, the Staff Senate, the Student Government Association, the Graduate Faculty, and the faculties of educational units in order to provide for the responsible and efficient administration of the University and the accomplishment of its goals.

As the chief administrative officer of the University, the President is authorized by the Board of Trustees to promulgate the *Administrative Regulations* including the *Human Resources Policies and Procedures*, ~~*Administrative Regulation*~~ and the *Business Procedures Manual*, to provide interpretation and implementation of these *Governing Regulations*, and of the *Minutes of the Board of Trustees*, and to delineate policies within the sphere of delegated responsibility. Chief administrative officers are authorized to establish unit policies and procedures attendant to their delegated administrative responsibilities.

At an institution-wide level, the University Senate, as a primary educational policy-forming agency of the University, establishes the broad academic policies of the University. Within the limits set by the Board of Trustees and the University Senate, the Graduate Faculty is delegated jurisdiction over programs leading to graduate degrees and has the responsibility to safeguard, promote and assist in the development of research in all fields. Within the limits set by the institution-wide policies of the Board of Trustees, the University Senate, and the Graduate Faculty, the respective faculties exercise the governance role of policy-making responsibility for the instructional, research and service programs of their educational units. The University Senate, the Graduate Faculty, and the faculties of educational units are authorized to issue rules concerning the policy and procedure-making responsibilities that are attendant to their delegated educational policy-making role.

Where appropriate, the rules and regulations appearing in the *Administrative Regulations*, *Human Resources Policy and Procedures*, ~~*Business Procedures Manual*~~, ~~*Administrative Regulations*~~ and *University Senate Rules* should refer to the source or sources in these *Governing Regulations* or *Minutes of the Board of Trustees*.

## B. Board of Trustees - Membership

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### 1. Definition

The Board of Trustees is a body corporate, under the name of Board of Trustees of the University of Kentucky, with the usual corporate powers, and possesses all the immunities, rights, privileges, and franchises usually attaching to the governing bodies of educational institutions. It may receive, hold, and administer, on behalf of the University, subject to the conditions attached, all revenues accruing from endowments, appropriations, allotments, grants or bequests, and all types of property. (KRS 164.160)

### 2. Membership

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<sup>\*</sup> The Council on Postsecondary Education in Kentucky (KRS 164.020) has powers and duties that relate to the governance of the University of Kentucky.

The Board of Trustees of the University of Kentucky consists of sixteen members appointed by the Governor, two (2) University faculty members who shall have the right to vote on all matters except that of faculty compensation, one (1) University staff employee, and one (1) University student.

(a) Appointed Members

The Governor shall make the appointments so as to reflect proportional representation on the Board of the two leading political parties of the Commonwealth based on the state's voter registration and to reflect no less than proportional representation of the minority racial composition of the Commonwealth. Appointments to fill vacancies shall be made for any unexpired terms in the same manner as provided for the original appointments. (KRS 164.131)

The terms of the appointed members shall be for six (6) years and until their successors are appointed and qualified. Three (3) of the appointments shall be graduates of the University and may include one (1) graduate of the institution who may reside outside the Commonwealth; three (3) shall be representative of agricultural interests; and ten (10) shall be other distinguished citizens representative of the learned professions. Each graduate member is appointed by the Governor from a list of three (3) names submitted by the alumni of the University according to a method prescribed by the Board of Trustees, upon recommendation of the UK Alumni Association, pursuant to KRS 164.131(2)(a); KRS 164.140. The prescribed methods are published in the *Minutes of the Meetings of the Board of Trustees* (May 6, 2003).

(b) Elected Members

The number of faculty members, staff employees, and student members elected to the Board of Trustees shall not exceed four (4). (KRS 164.131)

- (i) The two (2) faculty members representing the University shall be members of the faculty of the rank of assistant professor or above, elected by secret ballot by faculty members of the rank of assistant professor or above.

In accordance with KRS 164.131(3), faculty members eligible to vote and eligible to serve as elected members of the Board of Trustees shall be those regular, full-time employees conferred with a faculty title and a rank at the level of assistant professor (or its equivalent, librarian III) or above, and who do not have an administrative assignment at or above the level of department chair, and as otherwise defined in *Human Resources Policy and Procedure Administrative Regulation Number 4.0: Employee Status*.

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The faculty members shall serve for terms of three (3) years and until their successors are elected and qualified. They are eligible for reelection but are ineligible to continue to serve as members of the Board of Trustees if they cease to be members of the faculty. Elections to fill vacancies for any unexpired terms shall be held in the same manner as for the original elections.

The authority to develop procedures for the election of faculty members to serve as members of the Board of Trustees, in accordance with the provisions of KRS 164.131(3), is hereby delegated to the University Senate.

- (ii) The staff employee representing the University shall be a regular, full-time staff employee as defined in the *Human Resources Policy and Procedure Administrative Regulation Number 4.0: Employee Status*, excluding the President, Provost, executive vice presidents, vice presidents, and academic deans. The staff trustee shall represent all University staff employees.

The staff member shall serve a term of three (3) years and until a successor is elected and qualified. The staff member shall be eligible for reelection, but is ineligible to continue to serve as a member of the Board of Trustees if the staff employee ceases to be an employee of the

University. Elections to fill vacancies shall be for any unexpired term and shall be held in the same manner as provided for the original election.

The staff trustee shall be elected by secret ballot by the regular, full-time staff employees, including the President, Provost, executive vice presidents, vice presidents and academic deans.

The authority to develop procedures for the election of a staff employee to serve as a member of the Board of Trustees, in accordance with the provisions of KRS 164.131(4), is hereby delegated to the Staff Senate.

- (iii) The student member shall be the President of the Student Government Association during the appropriate academic year and may be an out-of-state resident. If the student member does not maintain the position as President or status as a full-time student at any time during that academic year, a special election shall be held to select a full-time student. The authority to develop procedures for the election of a student of the University to serve as a member of the Board of Trustees, in accordance with the provisions of KRS 164.131(5), is hereby delegated to the Student Government Association.

(c) Non-voting Members

Pursuant to KRS 164.170 and 164.131(1)(e), the Board of Trustees may from time to time designate persons as nonvoting honorary members of the Board of Trustees, with responsibilities and privileges as it may deem appropriate, except for the power to vote.

(d) Removal of Members

Pursuant to KRS 164.131(1)(d), "Board members may be removed by the Governor for cause, which shall include neglect of duty or malfeasance in office, after being afforded a hearing with counsel before the council and a finding of fact by the council." The "Council" is defined in KRS 164.001(8) as the Council on Postsecondary Education.

3. Officers

The Chair, Vice Chair, Secretary, and Assistant Secretary of the Board of Trustees shall be elected annually at the first fall meeting of the Board of Trustees. (KRS 164.180) The Chair of the Board of Trustees shall be limited to no more than four (4) consecutive terms as Chair. In the absence of the Chair, the Vice Chair presides at meetings of the Board of Trustees and the Executive Committee.

The Secretary keeps the minutes of all meetings, issues notices of meetings, and provides for the publication and distribution of the minutes in accordance with instructions from the Board of Trustees. The Secretary is responsible for the maintenance of an official revised copy of these Governing Regulations and for the publication of revisions. The Assistant Secretary is empowered to perform the duties of the Secretary when the Secretary is not present. The Assistant Secretary need not be a member of the Board of Trustees.

## C. Meetings of the Board of Trustees

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The Board of Trustees shall meet at least quarterly. Special meetings may be called by the Chair or by any three (3) members upon giving ten (10) days' written notice to each member of the Board of Trustees. The business to be transacted at special meetings shall be specified in the notice of the meeting. All meetings are to be held on the campus of the University unless otherwise specified by a majority vote of the Board of Trustees. A majority of the voting members of the Board of Trustees constitutes a quorum. (KRS 164.170)

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The Board of Trustees may adjourn any regular or called meeting to any date that it may set for such adjournment. Any meeting of the Board of Trustees, if a quorum is not present, may be adjourned by the members attending to a time when a quorum shall be present.

The meetings of the Board of Trustees shall be open to the public. The Board of Trustees is required to arrange for a stenographic transcript of each of its meetings to be prepared and filed, to send an agenda for each of the meetings to each member of the Board of Trustees, and to make a copy of the agenda available to the press. (KRS 164.170) For regularly scheduled meetings, the agenda shall be released to the general public at least three (3) full business days prior to the board Meeting. "Business Day" means Monday through Friday, excluding Saturday and Sunday and official University holidays. The Board of Trustees and its committees shall operate in compliance with the provisions of the Open Meetings Law. (KRS 61.800-KRS 61.850)

All necessary expenses incurred by the Trustees in traveling to and from and while attending meetings of the Board of Trustees are designated to be paid out of the funds of the University. (KRS 164.170)

The order of business at meetings of the Board of Trustees normally is as follows:

- Call to Order
- Roll Call
- Reading and Approval of Minutes with Necessary Modifications
- Chair's Report
- Report of the President
- Consideration of President's Recommendations for Action
- Consideration of President's Discussion Items
- Reports of Committees
- Items for Board Discussion
- Other Business
- Adjournment

The most recent version of *Robert's Rules of Order* shall be observed in conducting the business of the Board of Trustees except as these may be modified by regulations adopted by the Board of Trustees.

## D. Conflicts of Interest of Board Membership

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No employee of the University shall be directly or indirectly interested in any contract with the University for the sale of property, materials, supplies, equipment, or services, with the exception of compensation to the two (2) faculty members and the one (1) staff employee who are members of the Board of Trustees. (KRS 164.131)

No member of a board of trustees shall have an interest in any contract with a state university unless such contract shall have been subjected to competitive bidding in compliance with KRS Chapter 45A, unless such trustee shall have been the lowest bidder and unless such trustee shall have first notified in writing the remaining members of the board, and to the newspaper having the largest circulation in the county in which the state university is located, of his intention to bid on such contract. (KRS 45A.340(7))

No member of the Board of Trustees may be held to be a public officer by reason of membership on the Board except as provided in KRS 45A.335 for the purpose of KRS 45A.340. (KRS 164.150)

## E. Standing and Special Committees

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The purpose of standing and special committees is to assist the Board of Trustees in its oversight role by gathering information, discussing, and providing advice on policy proposals prior to their coming before the

entire Board of Trustees. Members of the University community and the general public may attend any committee meeting. An individual desiring to address a particular committee or otherwise participate in a committee meeting should contact the committee chair.

1. Standing Committee General Rules

- (a) Unless otherwise provided in this Governing Regulation, membership of standing committees shall include at least five (5) members of the Board of Trustees including the committee chair.
- (b) Unless otherwise provided in this Governing Regulation, committee members shall be appointed by the Chair of the Board of Trustees.
- (c) Unless otherwise provided in this Governing Regulation, the Chair of the Board of Trustees shall select the Chair for each committee from the Trustee members.
- (d) Board of Trustee members may be reappointed to a committee for consecutive terms.
- (e) Any vacancy occurring before the expiration of the term of the appointment shall be filled for the unexpired term.
- (f) A quorum of the Committee members must be present in order to conduct business.

2. Standing Committees

(a) Executive Committee

The Board of Trustees annually elects an Executive Committee of five (5) members that has the powers that the Board of Trustees delegates to it as prescribed in KRS 164.190. This election shall be held at the first fall meeting of the Board of Trustees. Vacancies may be filled at any meeting of the Board of Trustees. The Chair of the Board of Trustees shall be one (1) of the five (5) members and shall also serve as Chair of the Executive Committee. In general, the Executive Committee exercises oversight of the financial and business interests of the University and possesses the same powers as the Board of Trustees during the periods between meetings of the full Board of Trustees.

Meetings may be held as necessary at the call of the Chair. The Secretary of the Board of Trustees is the *ex officio* Secretary of the Executive Committee. The President may attend all meetings.

The Executive Committee is required to submit to the Board of Trustees at each meeting, for the latter's consideration and approval, a complete record of the proceedings of the Executive Committee. The authority of the Board of Trustees to amend the action of the Executive Committee does not extend to the rejection of any valid or authenticated expenditure of money by the Executive Committee (KRS 164.190). The Executive Committee shall serve as a hearing panel in the event of a faculty member, staff employee, or student appeal coming to the Board of Trustees.

The Executive Committee shall serve as the performance review committee for the President. The Executive Committee shall involve the entire Board of Trustees in this evaluation and shall also solicit input from the executive committees or executive councils of the University Senate, Staff Senate, and Student Government Association.

(b) Academic Affairs Committee

This Committee:

- (i) Reviews recommendations on policy matters pertaining to the academic mission of the University in instruction, research, and public service as well as to policy matters concerning academic freedom, tenure, and shared governance;

- (ii) Reviews academic program proposals from the President to ensure that a) the academic programs are consistent with the University's mission; b) resources are available to achieve academic priorities as set forth in the strategic plan; c) academic programs are appropriate for its student needs; and d) the University has a system in place for assessing the effectiveness of its academic programs;
- (iii) Reviews new program and degree-program closure proposals and changes in the academic units or in the academic organization to ensure these proposals or changes will effectively carry out the academic mission;
- (iv) Reviews the list of candidates for academic degrees approved by the faculty of the University through the University Senate for submission to the Board of Trustees; and
- (v) Provides needed information to the Board of Trustees.

(c) Finance Committee and Audit Subcommittee

This committee ensures the financial stability and long-term economic health of the University by monitoring the financial operations of the University and its affiliated corporations and making appropriate recommendations to the Board of Trustees to ensure achievement of the University's mission. Consistent with KRS 164A.550 through 164A.630, the committee maintains oversight responsibility for the financial management of the University in the areas of accounting, auditing, payroll, purchasing, capital construction, real property, and affiliated corporations. The Committee shall oversee the budgets of the University by reviewing and recommending to the Board of Trustees on the annual operating budgets. The Committee shall ensure that accurate and complete financial records are maintained by reviewing and recommending to the Board of Trustees on annual financial reports and related recommendations from the Audit Subcommittee, interim financial reports, long-term debt obligations, gifts to the University of \$400,000 or more, capital projects of \$600,000 or more, leases over \$200,000 per year, disposition of property, sale of assets, and financial transactions not provided for in the annual operating budget.

The Audit Subcommittee recommends to the Finance Committee on the appointment of the external auditors, receives and reviews the annual reports from the external auditors, and makes recommendations to the Finance Committee concerning these reports.

(d) Human Resources Committee

This committee reviews recommendations to the Board of Trustees regarding policies pertaining to employee benefits, rights, and privileges requiring Board of Trustees approval.

(e) Investment Committee

Under delegation from the Board of Trustees, and consistent with KRS 164A.550 through 164A.630, the Investment Committee is responsible for review and oversight of the endowment investment programs of the University and its affiliated corporations. These responsibilities include: formulating and reviewing investment policies; appointing, monitoring and evaluating investment managers and consultants; and reviewing and approving plans for the general management of the endowment funds of the University.

In addition to the Trustee members, the Investment Committee may, from time to time, have the Chair of the Board of Trustees appoint, upon recommendation of the University President, non-voting Community Advisory Members to assist it in its functions by providing specialized advice and support. Said Community Advisory Members shall be selected on the basis of their expertise in such areas as investment management and finance. The appointments of Community Advisory members to the Investment Committee shall be for three years and may be reappointed for an unlimited number of three year terms. The maximum number of Community Advisory members authorized at any one time shall be three (3).

The Investment Committee Chair reports to the Board of Trustees after each meeting of the committee on the performance results of endowment investments. Policies of the committee are implemented by the Office of the Treasurer in carrying out the day-to-day operations of the University's endowment funds.

(f) Nominating Committee

This committee:

- (i) Nominates officers of the Board of Trustees and members of the Executive Committee; and
- (ii) Reviews and recommends the appointment of trustees to the boards and committees of the University and its affiliated entities.

(g) Student Affairs Committee

This committee reviews:

- (i) Recommendations regarding revisions of the Code of Student Conduct; and
- (ii) Recommendations concerning the general welfare of students and the adoption of rules, procedures, rights, and responsibilities governing non-academic relationships between the University and its students.

(h) University Athletics Committee

The NCAA requires a member institution's chief executive officer to have ultimate responsibility and final authority for the conduct of the intercollegiate athletics program. In addition, the Southern Association of Colleges and Schools (SACS) requires an institution's chief executive officer to have ultimate responsibility for, and exercise appropriate administrative and fiscal control over, the intercollegiate athletics program.

To assist the President in carrying out this responsibility, the Athletics Committee is responsible for:

- (i) Providing counsel to the President concerning matters or activities of the Athletics Department;
- (ii) Reviewing the Athletics Department's annual budget and audit reports, major expenditures and acquisitions, and construction of facilities if the cost is expected to exceed \$400,000; and
- (iii) Providing needed information to the Board of Trustees.

The membership of the Committee shall be composed of five (5) voting Trustee members and up to three (3) non-voting Community Advisory members. In addition, the Faculty Athletics Representative shall serve as an *ex officio*, non-voting member. The membership of the Athletics Committee, including the Chair, shall be appointed by the President in consultation with the Chair of the Board of Trustees. The initial Board member appointments to the Athletics Committee shall be staggered terms in the following manner: two members for three years, two members for four years, and one member for five years. Board members appointed thereafter shall serve for three-year terms and may be reappointed.

(i) University Health Care Committee

This Committee serves as a governing body to operate the University Hospitals in accordance with the standards established by The Joint Commission, formerly 'Joint Commission on Accreditation of Health Care Organizations,' and to oversee the University's clinical enterprise. This responsibility includes management oversight for the development of policies, rules, and regulations for the



governance of the University Hospitals and clinical enterprise. Policies of the Committee are implemented by the Executive Vice President for Health Affairs in carrying out the day-to-day operations of the University Hospitals and clinical enterprise.

The membership of the Committee shall be composed of five (5) voting Trustee members, seven (7) non-voting Advisory Members, and up to seven (7) non-voting Community Advisory Members.. Each member shall be appointed by the Chair of the Board of Trustees, acting upon recommendation of the President of the University. The initial Board of Trustee member appointments to the University Health Care Committee shall be for staggered terms in the following manner: two members for three years, two members for four years and one member for five years. Board members appointed thereafter shall serve for three-year terms and may be reappointed. The University Health Care Committee may create sub-committees and shall create committee rules to guide their governance consistent with The Joint Commission. (See University Health Care Committee Operating Rules, approved by the Board of Trustees December 7, 2010)

(j) University Relations Committee

This committee:

- (i) Reviews recommendations relating to the economic, social, and public policy environments within which the University operates that directly affect the University; and
- (ii) Reviews policies or policy proposals regarding marketing and development as well as public, governmental, and alumni relations.

3. Special Committees

Special committees may be established and appointed at any time by the Chair of the Board of Trustees and with such charge as the Board of Trustees Chair may determine. Special committees shall carry out their duties as specified and report to the Board of Trustees. Such committees shall function until discharged. Membership shall include trustees and may also include persons who are not Board of Trustees members.

## F. Powers and Responsibilities

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The powers and responsibilities of the Board of Trustees include the following:

1. Periodically evaluate the institution's progress in implementing its missions, goals, and objectives to conform to the strategic agenda. (KRS 164.131)
2. Make such bylaws, rules, and regulations consistent with KRS Chapter 164 as it deems proper. (KRS 164.180)
3. Establish proper regulations for the governance of the University and the physical training, military or otherwise, of the students. The Board may authorize the suspension and dismissal of students for neglect or violation of the regulations or for other conduct prejudicial to the character and welfare of the University. (KRS 164.200)
4. Determine the colleges, schools, divisions, departments, bureaus, and offices which shall comprise the University within the scope of the Acts of Congress approved July 2, 1862, and Acts supplementary thereto, and the relation which each division shall sustain to each other division and to the whole. It may devise, allot, and arrange the distribution of divisions with the designation appropriate for each, and devise the means required for their effective instruction, administration, and governance. (KRS 164.210)

5. Appoint a President, professors, assistants, tutors, and other personnel and determine the compensation, duties, and official relations of each. In the appointment of presidents, professors, or instructors no preference shall be shown to any religious denomination. The Board is required to provide compensation for all positions created and filled by the Board of Trustees. The Board may provide for employee retirement benefits and such other employee benefits as are related to the respective employments and services furnished. Retirement plans may include, but without limitation, the retirement ages, the benefits of employees including group insurance, annuities, establishment of a trust fund or funds, and, the amounts to be paid or contributed by employees and the amounts to be paid or contributed by the University of Kentucky, and other appropriate terms and provisions with respect thereto. (KRS 164.220)
6. Exercise power over and control of appointments, qualifications, salaries, and compensation payable out of the State Treasury or otherwise, promotions and official relations of all employees of the University of Kentucky, as provided in KRS 164.220, and, subject to any restrictions imposed by general law, the retirement ages and benefits of such employees shall be under the exclusive jurisdiction of the board of trustees of the University of Kentucky, which shall be an independent agency and instrumentality of the Commonwealth. (KRS 164.225)
7. Suspend or remove any of the officers, teachers, professors, or agents that it is authorized to appoint, except that no President, professor, or teacher may be removed except for incompetence, neglect of or refusal to perform duties, or for immoral conduct, and then only after ten (10) days' notice in writing stating the nature of the charges preferred, and after the individual has been given an opportunity to make defense before the Board of Trustees by counsel or otherwise and to introduce testimony which shall be heard and determined by the Board of Trustees. (KRS 164.230)
8. Grant degrees to graduates of the University, prescribe conditions for the award of honorary degrees, and confer such honorary degrees, upon the recommendation of the faculty of the University, as it deems proper. (KRS 164.240)
9. Make a full report to the General Assembly, within the first month of each even-numbered year regular session, of the condition and operation of the University since the date of the previous report, with such recommendations concerning the University as are deemed necessary. (KRS 164.250)
10. Acquire additional lands or other property or material for the purpose of expanding its plant and extending its usefulness. When unable to contract with the owner of land or other property or material necessary for the purposes of the University, it may acquire the same by condemnation proceedings in the manner provided in KRS 416.010 to 416.080. (KRS 164.260)
11. Dispose of real estate held by the Commonwealth for the use or benefit of the University or the Kentucky Agricultural Experiment Station as authorized in KRS 164.270 and KRS 45.360.

## G. Petitions to Address the Board of Trustees

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The purpose of this section is to provide a standard process for members of the University community and the general public to address the Board of Trustees on matters relevant to the University. This process provides for reasonable access to the Board of Trustees, while also preserving the Board's orderly business operations.

The procedures established by this regulation do not supersede *Governing Regulations* or *Administrative Regulations* that specifically permit appeal to, or appearances before, the Board or a Board Committee.

### 1. Petitions

- (a) An individual desiring to address the Board of Trustees shall submit a written petition to the Chair of the Board of Trustees through the Office of the President at least two (2) full business days prior to a scheduled meeting of the full Board. The petition shall describe the subject matter and the

rationale for addressing the Board, and shall be submitted on the form (Petition to Address the Board of Trustees) attached as Appendix I to this regulation.

Petitions are available in the Office of the President, 101 Main Building, Lexington KY 40506-0032, or on-line at <http://www.uky.edu/Trustees/petition.htm>, and may be submitted in hardcopy or electronically. In order to be considered, any petition to address the Board of Trustees shall be submitted in accordance with this regulation and shall contain all required information. The Office of the President is responsible for forwarding to the Board Chair all petitions submitted in accordance with this regulation.

- (b) The Chair, who may consult with the President, shall determine if the subject matter of the petition is relevant to a pending or future agenda item, or deemed essential information necessary for the Board to fulfill its statutory responsibilities. If the Chair determines that the subject is relevant or the information is essential, the Chair shall:
  - (i) approve the petition to address the Board and ask that the petitioner be so informed; or
  - (ii) refer the petition to an appropriate committee of the Board and ask that the petitioner be informed of the Committee's meeting time and location.
- (c) The Committee to which a petition is referred shall review the merits of the petition to address the Board and shall take such action as it deems appropriate.
- (d) The list of petitioners requesting to address the Board of Trustees, along with the disposition of each petition, shall be made available immediately prior to each Board meeting at the meeting location.
- (e) The Chair of the Board of Trustees shall provide a report during the full Board meeting on the number of petitions to address the Board received, as well as the disposition of said petitions.
- (f) Prior to acting on an item or issue, the full Board shall hear approved petitioners who are present and prepared to address the Board when called to appear.
- (g) A record shall be kept indicating the petitioner's name, the subject matter, and action taken on each petition to address the Board.

## 2. Limitations

- (a) The Chair of the Board of Trustees may establish reasonable and appropriate limitations on the number of petitioners who may address the Board at each meeting and the time permitted for each address.
- (b) In the event of a substantial change to an action item, or the addition of an action item to the Board agenda, the Chair may, in his or her discretion, accept a petition(s) received outside the procedures and timelines established by this Governing Regulation.
- (c) The Chair of a Committee may establish reasonable and appropriate limitations on the number of petitioners who may address or participate in a committee meeting and the time permitted for each address.

## H. References and Related Materials

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KRS: 45A.335, 45A.340, 45.360, 61.800, 61.850, 164.131, 164.140, 164.160, 164.170, 164.180, 164.190, 164.200, 164.210, 164.220, 164.240, 164.250, 164.270, 164A.550, 164A.630, 416.010, 416.080

## Revision History

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| [6/11/2002](#), 9/17/2002, 10/10/2006, 10/16/2007, 6/17/2008, 3/29/2011, 9/13/2011

For archive versions contact: [Office of Legal Counsel](#)

## Appendix I

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### Petition to Address the Board of Trustees University of Kentucky

Petitions to address the Board may be made by completing and submitting this form to the Office of the President no later than two (2) business days before the start of the meeting (e.g., for a Tuesday, 1:00 p.m. meeting, petitions must be received by Friday at 1:00 p.m.). To ensure the proper recognition of speakers, no change in the original presenter may be made, except possibly as determined by the Board Chair or a Board Committee. For a complete list of guidelines, see GR II, *Governance of the University of Kentucky*.

#### **INFORMATION** (Please type or print.)

#### **Contact Information (All fields must be completed)\***

Name: \_\_\_\_\_ Telephone Number: \_\_\_\_\_  
E-Mail: \_\_\_\_\_ Mailing Address: \_\_\_\_\_

**\*YOU MUST PROVIDE A TELEPHONE NUMBER AND EMAIL ADDRESS IN ORDER FOR YOUR REQUEST TO BE CONSIDERED.**

#### **University Relationship**

Relationship to the University (please check the most appropriate category):  
 Student  Faculty  Staff  Alumni  Other (Please specify) \_\_\_\_\_

Name of Group (if applicable): \_\_\_\_\_

#### **Topic**

Topic on which you wish to speak: \_\_\_\_\_

Please indicate if you will speak  pro  con or  neutrally on this topic.

Briefly summarize your proposed address to the board: (maximum 1000 characters)

Have you contacted any University units about this topic previously?

Yes  No

If yes, list the units and the action taken by each office: (maximum 300 characters)

*The University of Kentucky is committed to providing equal opportunity for participation in all programs, services, and activities. Accommodations for persons with disabilities may be requested by contacting the Office of the President within 24 hours of the meeting. Requests received after this date will be honored whenever possible.*

Office of the President  
100 Main Building  
Lexington, KY 40506  
Phone: (859) 257-1704 Fax: (859) 323-2414 E-mail: pway@email.uky.edu

**164.131 Board of Trustees of University of Kentucky -- Membership -- Terms.**

- (1) (a) The government of the University of Kentucky is vested in a board of trustees appointed for a term set by law pursuant to Section 23 of the Constitution of Kentucky.
  - (b) All persons appointed after May 30, 1997, shall be required to attend and complete an orientation program prescribed by the council under KRS 164.020, as a condition of their service.
  - (c) The board shall periodically evaluate the institution's progress in implementing its missions, goals, and objectives to conform to the strategic agenda. Officers and officials shall be held accountable for the status of the institution's progress.
  - (d) Board members may be removed by the Governor for cause, which shall include neglect of duty or malfeasance in office, after being afforded a hearing with counsel before the council and a finding of fact by the council.
  - (e) The board shall consist of sixteen (16) members appointed by the Governor, two (2) members of the faculty of the University of Kentucky, one (1) member of the University of Kentucky nonteaching personnel, and one (1) member of the student body of the University of Kentucky. The voting members of the board shall select a chairperson annually.
- (2) (a) The terms of the appointed members shall be for six (6) years and until their successors are appointed and qualified; except the initial appointments shall be as follows:
    1. Two (2) members shall serve one (1) year terms;
    2. Two (2) members shall serve two (2) year terms, one (1) of whom shall be a graduate of the university, selected from a list of three (3) names submitted by the alumni of the university according to rules established by the board of trustees;
    3. Three (3) members shall serve three (3) year terms;
    4. Three (3) members shall serve four (4) year terms, one (1) of whom shall be a graduate of the university, selected as under subparagraph 2. of this subsection;
    5. Three (3) members shall serve five (5) year terms; and
    6. Three (3) members shall serve six (6) year terms, one (1) of whom shall be a graduate of the university, selected as under subparagraph 2. of this subsection.
  - (b)
    1. Three (3) of the appointments shall be graduates of the university and may include one (1) graduate of the institution who resides outside the Commonwealth;
    2. Three (3) shall be representative of agricultural interests; and
    3. Ten (10) shall be other distinguished citizens representative of the learned professions and may include one (1) who resides outside of Kentucky.

- (c) The Governor shall make the appointments so as to reflect proportional representation of the two (2) leading political parties of the Commonwealth based on the state's voter registration and to reflect no less than proportional representation of the minority racial composition of the Commonwealth.
  - (d) Appointments to fill vacancies shall be made for the unexpired term in the same manner as provided for the original appointments.
- (3) The two (2) University of Kentucky faculty members shall be of the rank of assistant professor or above. They shall be elected by secret ballot by all University of Kentucky faculty members of the rank of assistant professor or above. Faculty members shall serve for terms of three (3) years and until their successors are elected and qualified. Faculty members shall be eligible for reelection, but they shall be ineligible to continue to serve as members of the board of trustees if they cease to be members of the faculty of the university. Elections to fill vacancies shall be for the unexpired term in the same manner as provided for original elections.
  - (4) The nonteaching personnel member shall be any full-time staff member, excluding the president, vice-presidents, academic deans, and academic department chairpersons. The staff member shall represent all nonteaching university employees including but not limited to building facilities and clerical personnel. The staff member shall be elected by secret ballot by the nonteaching employees. The staff member shall serve a term of three (3) years and until a successor is elected and qualified. The staff member shall be eligible for reelection, but a staff member who ceases being an employee of the university shall not be eligible to continue to serve as a member of the board. Elections to fill vacancies shall be for the unexpired term and shall be held in the same manner as provided for the original election.
  - (5) The student member shall be the president of the student body of the university during the appropriate academic year and may be an out-of-state resident if applicable. If the student member does not maintain his position as student body president or his status as a full-time student at any time during that academic year, a special election shall be held to select a full-time student. The student member shall serve for a term of one (1) year beginning with the first meeting of the fiscal year which contains that academic year.
  - (6) The number of student and employee trustees of the University of Kentucky elected to the board shall not exceed four (4).
  - (7) Unless specifically approved by the board of trustees under the provisions of KRS 164.367, no member of the administrative staff of the university shall be directly or indirectly interested in any contract with the university for the sale of property, materials, supplies, equipment, or services, with exception of compensation to the two (2) faculty members, and the one (1) nonteaching personnel member.
  - (8) New appointees of the board shall not serve more than two (2) consecutive terms. Board members serving as of May 30, 1997, may be reappointed at the end of their existing terms and may serve two (2) additional full consecutive terms.

**Effective:** June 26, 2007

**History:** Amended 2007 Ky. Acts ch. 113, sec. 2, effective June 26, 2007. -- Amended 2005 Ky. Acts ch. 59, sec. 1, effective June 20, 2005. -- Amended 1998 Ky. Acts ch. 251, sec. 1, effective July 15, 1998. -- Amended 1997 (1st Extra. Sess.) Ky. Acts ch. 1, sec. 86, effective May 30, 1997. -- Created 1992 Ky. Acts ch. 10, sec. 4, effective July 1, 1992.



# AACR 1

Office of the President  
May 8, 2012

Members, Board of Trustees:

CANDIDATES FOR DEGREES  
UNIVERSITY SYSTEM

Recommendation: that the president be authorized to confer upon each individual whose name appears on the attached list the degree to which he or she is entitled upon certification by the university registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic Affairs Committee of the Board of Trustees.

Background: Since commencement exercises are held immediately following completion of examinations, it is not possible for the university registrar to certify satisfactory completion of requirements prior to commencement exercises for those candidates who complete their requirements in May.

For details on degree candidate listings,  
please contact Jacquie Hager  
Registrar's Office  
Room 10 Funkhouser Building  
University of Kentucky  
Lexington, KY 40506-0057  
859-257-7157

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Action taken:     Approved     Disapproved     Other\_\_\_\_\_

# AACR 2

Office of the President  
May 8, 2012

Members, Board of Trustees:

## ACADEMIC DEGREES RECOMMENDATION

Recommendation: that the Board of Trustees approve the establishment of a Doctor of Philosophy with a major in Gender and Women's Studies degree (CIP Code: 05.0299, PROG: GS PHD GWST) and a Master of Arts with a major in Gender and Women's Studies (en passant) degree (CIP Code: 05.0299, PROG: GS MA GWST) in the College of Arts and Sciences, effective in the Fall 2012 Semester.

Background: The Department of Gender and Women's Studies proposes an integrative, multidisciplinary, theoretically diverse approach to the study of gender, which includes the social construction of femininity and masculinity across cultures. The department is committed to research and teaching about the lives, cultures, perspectives, and activities of women as well as men globally, and to the understanding of gender as a construct that permeates human experience, thought, and history.

Students with doctoral degrees in this area will be competitive for academic positions in a variety of disciplinary fields including, women's studies, gender studies, masculinity studies, and sexuality studies; non-governmental organizations such as those recognized by the United Nations; national nonprofits, think tanks, and advocacy organizations; local nonprofits including violence prevention centers, rape crisis centers, and federal, state, and local government units focusing on women, families, or equity issues, including human relations commissions and commissions on women.

The graduate program in GWE is primarily a doctoral program and students will be admitted only to the Ph.D. program. However, in the case of students who are judged to be deficient in progress, the option of the MA provides them with the opportunity to complete a degree. Also, students will be awarded an MA en passant in the Ph.D. program.

These programs have the approval of the appropriate councils and the University Senate and have completed the forty-five day review by the Council on Postsecondary Education. The provost of the University supports this recommendation.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# AACR 3

Office of the President  
May 8, 2012

Members, Board of Trustees:

## ACADEMIC DEGREE RECOMMENDATION

Recommendation: that the Board of Trustees approve the establishment of a Bachelor of Science in Education with a major in STEM Education and an approved content area (e.g. Mathematics, Physics) degree (CIP Code: 13.1399, PROG: ED BSEDU STEM) in the College of Education, effective in the Fall 2012 Semester.

Background: In Spring 2011, the STEM Education faculty submitted an innovative undergraduate secondary certification program called STEM PLUS – Preparing Leaders for rUral/Urban Schools. STEM PLUS program participants will earn a Bachelor of Science in Education degree with a double major in STEM Education and their content major with secondary teaching certification in one or more state-certifiable STEM subjects. This degree program and major will allow for greater flexibility and multiple pathways toward becoming a STEM teacher.

The lack of highly qualified mathematics and science teachers in middle and high school classrooms in the United States is a crisis that is well established. For example, unqualified teachers teach about 56% of high school students taking physical science and 27% taking mathematics. At the state level, the Kentucky Department of Education annually compiles a list of certification shortages areas. Mathematics and science certification areas have been on the list since its inception in the 1990's.

The proposed STEM PLUS undergraduate degree and the STEM Education major option will help to address state and national STEM teacher shortages.

This program has the approval of the appropriate councils and the University Senate and has completed the forty-five day review by the Council on Postsecondary Education. The provost of the University supports this recommendation.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# AACR 4

Office of the President  
May 8, 2012

Members, Board of Trustees:

## ACADEMIC DEGREE RECOMMENDATION

Recommendation: that the Board of Trustees approve the establishment of a Bachelor of Science with a major in Human Health Sciences degree (CIP Code: 51.000, PROG: HS BS HHSC) in the College of Health Sciences, effective in the Fall 2012 Semester.

Background: The proposed program will prepare graduates to: 1) pursue healthcare careers available to students with a BS degree (e.g., mid-level management or supervision across healthcare environments, medical or pharmaceutical sales, community health advocacy); or 2) enter advanced degree programs in the disciplines of dentistry, pharmacy, physician assistants, and physical therapy. This program is not intended to replace other traditional pathways to healthcare careers. Instead, the program offers a unique alternative for those who seek careers in healthcare and the health professions.

The HHS will provide graduates with a strong foundation in the competencies necessary to deliver high quality healthcare in a dynamic environment. The program focuses on development of knowledge and skills that include, but are not limited to: ethical behavior in provision of all healthcare activities and services; effective participation within interprofessional teams; provision of culturally sensitive healthcare services; effective oral and written communication and use of information technology; attention to patient safety and healthcare quality; and leadership and advocacy for improved healthcare.

Graduates from the HHS degree program will leave with a comprehensive knowledge of healthcare and related issues and the initial competencies crucial for a successful career in healthcare. For those who seek admission to specialized clinical degree programs at the graduate or professional level, the program will provide the needed prerequisites in the sciences, mathematics, and social sciences for professional study while establishing the necessary background in the human health sciences.

This program has the approval of the appropriate councils and the University Senate and has completed the forty-five day review by the Council on Postsecondary Education. The provost of the University supports this recommendation.

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Action taken:      Approved      Disapproved      Other \_\_\_\_\_

# AACR 5

Office of the President  
May 8, 2012

Members, Board of Trustees:

## CHANGE IN DEGREE – COLLEGE OF EDUCATION

Recommendation: that the Board of Trustees approve a change in the name of the Doctor of Education with a major in Educational Policy Studies and Evaluation degree to Doctor of Education with a major in Educational Policy Studies, Measurement, and Evaluation (CIP Code: 13.0601, PROG: GS EDD EPME), effective in the Fall 2012 Semester.

Background: Measurement of student and institutional improvement and change has always been implicit in the doctoral degree, first created in the 1980s. Recently, however, "measurement" as an identifiable component of a doctoral degree in education has become a strong draw for aspiring education researchers, as school and university accountability pressures have grown. The faculty seek to better market the degree by making explicit what has always been implicit. Changing the degree name (while not changing the focus) will facilitate this process.

This proposal has the approval of the Graduate Council, Senate Council and University Senate. The provost of the University supports this recommendation.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# AACR 6

Office of the President  
May 8, 2012

Members, Board of Trustees:

## CHANGE IN DEGREE – GRADUATE SCHOOL

Recommendation: that the Board of Trustees approve a change in the name of the Doctor of Philosophy with a major in Public Administration degree to Doctor of Philosophy with a major in Public Policy and Administration (CIP Code: 44.0401, PROG: GS PHD PPAD), effective in the Fall 2012 Semester.

Background: The James W. Martin School of Public Policy and Administration name change was approved by the Board of Trustees at its meeting on May 3, 1994 to reflect the broader mission and activities of the unit. The proposed degree change more accurately captures the essence of the research and service activities of the faculty and students in the program and is consistent with the title of the school.

This proposal has the approval of the Graduate Council, Senate Council and University Senate. The provost of the University supports this recommendation.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# AACR 7

Office of the President  
May 8, 2012

Members, Board of Trustees:

## CHANGE IN NAME OF AN EDUCATIONAL UNIT

Recommendation: that the Board of Trustees approve the change in the name of the College of Communications and Information Studies to the College of Communication and Information, effective July 1, 2012.

Background: The Faculty Council recommended to the College Assembly on January 25, 2012 that the name of the college be changed providing the following rationale: “(1) The word “Studies” is redundant to our mission and often confuses students and administrators outside the college with the “Sciences” label for the School of Library and Information Sciences. (2) One of our units recently changed its name to “Media Arts and Studies” that is appropriate for its mission but is redundant with the name at the college level. (3) Ours is the longest name of UK’s colleges and often is truncated to Communication anyway. (4) Removing “Studies” puts more emphasis on Communication and Information. 5) The College National Advisory Board voted unanimously to recommend that the College change its name at its October 28, 2011 meeting. (6) The External Review Team as part of our program review process recommended the name change.”

The proposal was unanimously approved by the faculty in the College; recommended by the Senate Committee on Academic Organization and Structure and the Senate Council; and endorsed by the University Senate. The provost of the University supports this recommendation.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# AACR 8

Office of the President  
May 8, 2012

Members, Board of Trustees:

CHANGE OF NAME FOR THE  
CENTER FOR THE STUDY OF VIOLENCE AGAINST CHILDREN

Recommendation: that the Board of Trustees approve the change in the name of the Center for the Study of Violence Against Children to the Center on Trauma and Children, in the College of Social Work, effective immediately.

Background: The Center for the Study of Violence Against Children was established by the Board of Trustees at its meeting on September 11, 2007 and is dedicated to the enhancement of the health and well-being of children and their families through research, service and dissemination of information about child abuse and trauma. The Center's primary mission is to develop, assimilate, and disseminate knowledge and best practices that will contribute to reducing and ending violence against children and the effects of that violence across the life cycle.

The proposed name more accurately describes the activities of the center that address multiple forms of trauma exposure, including but not limited to violence. As an administrative unit, the center does not involve educational policy and the University Senate Council has determined it has no jurisdiction over the request.

The proposal has been approved by the faculty in the unit and the leadership of the college. The provost of the University supports this recommendation.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_



# AACR 9

Office of the President  
May 8, 2012

Members, Board of Trustees:

## CHANGE OF ORGANIZATIONAL STRUCTURE OF THE APPALACHIAN CENTER

Recommendation: that the Board of Trustees approve the transfer of administrative oversight for the Appalachian Center from the Vice President for Research to the College of Arts and Sciences, effective July 1, 2012.

Background: The Appalachian Center was established by the Board of Trustees at its meeting on September 20, 1977. Its mission is to emphasize community-university partnerships in shaping research that is useful in and beyond the region in keeping with the land grant mission of the University. The Center's role is to facilitate stronger connections between student and faculty researchers from across all colleges at the University of Kentucky whose teaching, research, learning and outreach includes a focus on Appalachia. The Center also works to strengthen relationships between colleges and universities in the Appalachian region, and between communities in the 54 Appalachian counties of Kentucky and university partners in collaborative projects documenting and addressing the region's particular contributions and challenges in a global context.

Relocation of the Appalachian Center has been under discussion since the Report of the Periodic Review Committee for the Appalachian Center in October 2009 and in response to a survey of the UK Appalachian Studies faculty in 2010. The top goal listed by the faculty in moving toward the future was to reunite the Appalachian Studies Program and the Appalachian Center so the academic mission of both could be strengthened.

The proposal has been reviewed and recommended by the Senate Committee on Academic Organization and Structure and the Senate Council; and endorsed by the University Senate. The provost of the University supports this recommendation.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# FCR 1

Office of the President  
May 8, 2012

Members, Board of Trustees:

## THE BERNARD OSHER FOUNDATION GIFT

Recommendation: that the Board of Trustees accept a gift of \$1 million from The Bernard Osher Foundation of San Francisco, California to add to the existing Osher Lifelong Learning Endowment in the College of Public Health's Osher Lifelong Learning Institute.

Background: The foundation, founded in 1977 by philanthropist Bernard Osher, seeks to improve quality of life through support for higher education and the arts. The University received a \$100,000 renewable grant in March 2007 to create the Osher Lifelong Learning Institute, a national network of university-affiliated enrichment learning programs for people who are 50 years of age or older. The institute serves Lexington, Somerset, and Morehead and provides more than 70 enrichment courses annually. In April 2009, the Board of Trustees accepted a gift of \$1 million to endow the institute. The additional \$1 million gift is to recognize the continued excellence of the program and to meet the increasing demand for the Institute's educational programs.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# FCR 2

Office of the President  
May 8, 2012

Members, Board of Trustees:

GIFT FROM THE ESTATE OF DR. E. VERNON SMITH TO REPLACE UNFULFILLED  
PLEDGES TO THE RESEARCH CHALLENGE TRUST FUND

Recommendation: that the Board of Trustees accept a gift of \$245,133 from the Estate of E. Vernon Smith to add to the existing Dr. E. Vernon Smith and Eloise C. Smith Macular Degeneration Endowed Chair in the College of Medicine to replace unfulfilled Research Challenge Trust Fund pledges.

Background: The Board of Trustees accepted a gift of \$2 million from Dr. Smith on June 13, 2006 to create the chair in macular degeneration and submit it for matching funds from Kentucky's Endowment Match Program. Dr. Smith, a 1937 graduate of the College of Arts and Sciences, died in October, 2011. The University has been notified that it is the beneficiary of the residual of his estate. In accordance with the Council on Postsecondary Education guidelines, \$245,133 of the \$250,000 estate gift received in April, 2012 will be used to replace the following defaulted pledges. The Council on Postsecondary Education will be advised of the replacements.

- The Board of Trustees accepted pledges of \$500,000 on March 6, 2007 to create and endow the Multicultural Studies Endowment in the Center for Research on Violence Against Women. The pledges were matched by Kentucky's Endowment Match Program. Two donors have informed the University that their remaining pledges of \$150,342 will not be fulfilled.
- The Board of Trustees accepted a pledge of \$100,000 on March 6, 2007 to create and endow the Rose Carol Shumate Professorship in Cancer Research in the College of Medicine. The pledge was matched by Kentucky's Endowment Match Program. The donor has notified the University that their remaining pledge of \$57,500 will not be fulfilled.
- The Board of Trustees accepted pledges of \$207,103 in April 2007 to create and endow the E. Preston Hicks Chair for Orthodontics and Oral Health Research in the College of Dentistry. The pledges were matched by Kentucky's Endowment Match Program. Several donors have informed the University that their remaining pledges of \$34,270 will not be fulfilled.

- The Board of Trustees accepted pledges of \$233,750 in January 2007 to create and endow the Women's Circle Endowment in the Center for Research on Violence Against Women. The pledges were matched by Kentucky's Endowment Match Program. A donor has informed the University that their remaining pledge of \$3,021 will not be fulfilled.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# FCR 3

Office of the President  
May 8, 2012

Members, Board of Trustees:

REQUEST TO RENAME THE MULTICULTURAL STUDIES ENDOWMENT TO THE  
GEORGIA DAVIS POWERS ENDOWMENT

Recommendation: that the Board of Trustees approve a request to rename the Multicultural Studies Endowment to the Georgia Davis Powers Endowment in the Center for Research on Violence Against Women.

Background: The Board of Trustees accepted pledges of \$500,000 in January 2007 to create and endow the Multicultural Studies Endowment. The gift was matched by Kentucky's Endowment Match Program. The renaming will honor the life and career of Georgia Davis Powers. She was a great leader in the civil rights movement in Kentucky even before she became the first African-American and the first woman elected to the Kentucky State Senate in 1968. The Georgia Davis Powers Endowment will address the importance of race, ethnicity, and other socio-cultural factors in research on violence against women.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# FCR 4

Office of the President  
May 8, 2012

Members, Board of Trustees:

## 2011-12 BUDGET REVISIONS

Recommendation: that the Board of Trustees authorize and approve the following revisions to the 2011-12 budget. The budget revisions outlined below will increase the University of Kentucky's total budget by \$2,389,300 – from \$2,700,992,500 to \$2,703,381,800.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
<b>A. <u>GENERAL FUND</u></b>			
1. Income Estimates			
Gifts, Grants and Contracts			
Gifts and Other Grants and Contracts	\$ 2,280,900	\$ 3,380,900	\$ 1,100,000
Sales and Services			
Agricultural Public and Regulatory Services	2,966,000	3,005,300	<u>39,300</u> \$ 1,139,300
2. Expenditures			
Agricultural Public Service			
Regulatory Services	\$ 4,034,700	\$ 4,074,000	\$ 39,300
Office of the Provost			
Residuals	1,000,000	2,100,000	<u>1,100,000</u> \$ 1,139,300
3. <u>Comments:</u> Gifts, Grants and Contracts revenue will increase \$1,100,000 as a result of completing a contract in the College of Engineering. These funds will be used to support college initiatives including start-up funds, subsidies to service centers and equipment purchases in the College of Engineering.			

A. GENERAL FUND (continued)

3. Comments (continued)

Sales and Services will increase \$39,300 from unanticipated revenues generated by the regulatory services of the feed and seed inspection programs. The additional revenue will support expenses from the increased workload in the Agricultural Public Service's Regulatory Services program.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
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C. RESTRICTED FUND

1. Income Estimates

Gifts, Grants and Contracts  
 Gifts and Other Grants and  
 Contracts  
 Appropriated Fund Balances –  
 University

	\$ 35,775,500	\$ 36,935,500	\$ 1,160,000
	69,743,900	69,833,900	<u>90,000</u>
			\$ 1,250,000

2. Expenditures

Provost  
 College of Agriculture  
 Arboretum  
 College of Engineering  
 Administration

	\$ 57,600	\$ 147,600	\$ 90,000
	364,200	1,524,200	<u>1,160,000</u>
			\$ 1,250,000

3. Comments: Restricted Gifts, Grants and Contracts income is expected to increase by \$1,160,000 from a capital gift received from the Marksbury Family Foundation to fulfill a pledge in support of the Marksbury Building.

The increase of \$90,000 is due to an unanticipated memorial gift to support the Arboretum's Children's Garden Project.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# FCR 5

Office of the President  
May 8, 2012

Members, Board of Trustees:

## CAPITAL CONSTRUCTION REPORT

Recommendation: that the capital construction report for the three months ending March 31, 2012 be accepted. This report refers only to projects that had activity within this quarter.

Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

***For the period January 1, 2012 thru March 31, 2012:***

***There were four new contracts this quarter:***

Project 2324.0	Renovate Northside Library Marrillia Design & Construction, \$1,105,714 ( <i>Construction</i> )
Project 2332.0	Upgrade, Renovate, Improve or Expand Research Labs (Chemistry/Physics) Mark Spaulding Construction Co., \$602,250 ( <i>Construction</i> )
Project 2335.0	Ambulatory Electronic Health Record Equipment/System (EHR) Jarboe Construction, \$164,800 ( <i>Construction</i> )
Project 2337.0	Renovate Parking Structure # 3 – UKHC Brown + Kubican, \$87,000 ( <i>Design</i> )

***Two contracts were completed this quarter:***

Project 2314.0	Upgrade, Renovate, Improve or Expand Research Labs (Fit-up 4 <sup>th</sup> & 5 <sup>th</sup> Floor CoP) Messer Construction Co., \$20,116,533
Project 2317.1	Renovate Old Pharmacy Building for Biology (Multi-Disciplinary Sciences Bldg) Jarboe Construction, \$2,043,392



***Two amendments were as follows:***

- Project 2312.01 Construct CAER Lab Bldg. # 2
- Provide for additional design services, purchase, coordination and installation of an educational interactive system for multiple locations throughout the building. (+) \$200,300
- Project 2315.0 Renovate Track and Field Facility
- Provide additional special inspections due to larger amount of concrete retaining walls than originally anticipated. (+) \$45,000

***Ten change orders greater than \$25,000 were as follows:***

- Project 2239.0 Construct Patient Care Facility
- Adjustment for final steam utility billing (+) \$184,103
- Project 2239.7 Construct Patient Care Facility (Pavilion A, OR Suites and Support)
- Extend general conditions and project staffing for forty five days to accommodate equipment timelines (+) \$35,749
  - Replace the existing air terminals with 8" Phoenix valves in rooms A102613 and A0122611 ensuring consistency and simplified troubleshooting (+) \$28,438
  - Provide isolation control dampers in the operating room return air ductwork for all nine OR's (+) \$41,291
- Project 2239.71 Construct Patient Care Facility (Data Center)
- Furnish the labor and materials to provide proper commissioning configuration utilizing 66 small load banks in lieu of 6 large load banks (+) \$52,057
- Project 2312.01 Construct CAER Lab Bldg. # 2
- Provide power connections as required for the environmental chambers and provide a filtered ceiling system (+) \$37,157
  - Provide an electric meter, a chilled water system COP and a hot water system COP needed to facilitate the Energy Dashboard (+) \$30,433
  - Extend general conditions and project staffing for an additional twenty seven days (+) \$37,624
- Project 2315.0 Renovate Track & Field Facility
- Provide a slot drain in the concrete walk between the track and the lower wall of the lower grandstand (+) \$25,300
- Project 2324.0 Renovate Northside Library
- Replace the existing underground sanitary lines and add a Watts 909 backflow preventer (+) \$32,902

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Action taken:  Approved  Disapproved  Other \_\_\_\_\_

## **CAPITAL CONSTRUCTION CONTRACTS QUARTERLY ACTIVITY REPORT**

This report contains capital projects that have a dollar scope value of \$600,000 and above. The projects are listed in alphabetical order and contain the project number, the dollar scope, a brief description of the project work and information pertaining to the primary consultant's contract and the primary contractor's contract. This information includes:

**Consultant:** This section contains the name of the consultant, the contract number, the original contract amount, the total number of amendments, the cumulative amendment amount, the revised contract amount, and a notes section. The notes section will describe, in bold face type, all amendments to the consultant's contract for the current quarter.

**Contractor:** This section contains the name of the contractor, the contract number, the original construction contract amount, the total number of change orders, the cumulative change order amount, the revised contract amount, information on the low, high and number of bids, the percent of the contract completed, and a section for notes. The notes section will describe, in bold face type, all change orders greater than \$25,000 for the current quarter.

## CAPITAL CONSTRUCTION QUARTERLY ACTIVITY REPORT

### Glossary

**Amendment:** refers to modifications or changes to the Consultant's contract with the University, usually the result of changes in the program or scope of a project caused by unforeseen conditions or at the request of the University.

**Change Order:** refers to modifications or changes to the Contractor's contract with the University, usually the result of conditions not anticipated by the contract documents, plans and/or specifications for the work.

**Consultant:** the entity, usually an architectural or engineering firm, with whom the University contracts and who has primary responsibility for providing services to design and to administer the contract for construction of a construction project. The prime consultant frequently employs the services of one or more sub-consultants in fulfilling its contractual obligation.

**Contract administration:** refers to the activities of the consultant during the construction phase of the project in which they verify that construction is in compliance with the requirements of the contract between the University and the contractor.

**General Contractor:** the entity, usually a general contractor but occasionally a trade contractor, with whom the University contracts and who has primary responsibility for completion the construction of a project. This "prime" contractor usually employs the services of various subcontractors (trade contractors) and vendors in fulfilling its contractual obligations.

**Feasibility Study:** refers to investigation, usually commissioned through an outside consultant, to advise the University of the merits of an anticipated construction project, and to evaluate and comment on matters such as need, probably cost, preliminary program issues, and so forth.

**Gross square feet:** the total area of the project, generally measured from the outside of exterior walls for all floors of the project, including mechanical spaces.

**H.B.C.:** refers to the Commonwealth of Kentucky Department of Housing, Buildings and Construction, the state agency having responsibility for review and approval of University construction projects to assure compliance with applicable building codes.

**Penthouse:** refers generally to the enclosure around roof mounted mechanical spaces in a project. Not considered occupied (or net assignable) space.

**Percent complete:** as used in the report refers to the expenditure to date divided by the total amount of the construction contract for the work.

**Net assignable square feet:** the usable area of the project excluding corridors, rest rooms, storage areas, mechanical spaces, etc.

**Phase:** The various stages of design and construction of a capital project, including:

- Programming phase: refers to the initial planning phase of a project, during which the University (with or without participation by a consultant) defines in detail the needs and requirements of a project. This program becomes the basis for consultant selection, fee negotiation, and design of the project.
- Schematic design (S/D or Phase 1): refers to the first formal phase of design by the consultant, in which a general design solution to project programmatic requirements is developed.
- Design development (D/D or Phase 2): refers to the second formal phase of design by the consultant, during which the schematic design is expanded to identify solutions to design issues in broad terms, sufficient to insure the stated programmatic needs can be met.
- Construction document phase (C/D or Phase 3): refers to the final phase of the design process in which the details and specific project requirements are identified and incorporated in a final set of biddable construction documents.
- Bidding phase: refers to the stage of a project during which proposals for construction are solicited, culminating in the award of one or more contracts for construction.
- Construction phase (or Phase 5): refers to the actual construction of the project by contractors.

**Scope:** The total estimated value of a capital project on completion, including all design, construction and administrative costs as well as the cost of capitalized furnishings and equipment.

**Substantial Completion:** refers to the time during construction, certified by the consultant, when the work is sufficiently complete for the University to occupy the facility for its intended use without significant interruption. Upon certification of substantial completion, the University assumes operational and maintenance responsibility and insurance liability for the project and the warranty period begins for most elements of the construction. Some work generally remains, including correction of minor punchlist items, before the work is certified finally complete.

**Trade Contractor:** Sub-contractors, or individual bid package contractors, who practice a specific, trade (electrical, painting, structural steel, for example)

**Major Projects  
Capital Project Management Division  
March 31, 2012**

**Ambulatory Electronic Health Record Equipment/System (EHR)**

*Project Description:*

This project will upgrade the existing electronic information infrastructure, to provide a common, secure electronic information infrastructure for sharing health information. In addition it will ensure interoperability among health record systems, which, if not implemented, could create up to a 3% reduction in Medicare reimbursements to the Hospital.

*Project Status:*

Phase 0, which included the pilot installations for this project, is now complete. Three clinics have been upgraded and the installation of the required equipment by Communications and Network Services is underway. These clinics will “go live” with electronic medical records in late May and early June.

Bid Package 1 is in the bidding process. This package includes an additional four clinics in the Kentucky Clinic and several clinics in Good Samaritan Hospital and Good Samaritan MOB. Bids are due on May 3, 2012. Construction is scheduled to begin in May and be complete June 27, 2012. These clinics will “go live” in August and September of 2012.

Bid Package 2 is in the later stages of design. This package will include additional clinics in the Kentucky Clinic, Gill Heart, Good Samaritan MOB, Morehead, Winchester, and Good Samaritan Professional Arts Center. Bid opening is scheduled for June 15, 2012. Construction is scheduled to begin in June and be complete October 12, 2012. These clinics will “go live” in October and November of 2012.

Bid Package 3 is in the early stages of design. This package will include the additional clinics Perimeter Drive, Kentucky Clinic South, Ben Roach, Whitney Hendrickson and Richmond. Bid opening is scheduled for July 10, 2012. Construction is scheduled to take place thru June and be complete November 21, 2012. These clinics will “go live” in January of 2013.

**Construct CAER Laboratory Bldg. #2**

*Project Description:*

The University is the recipient of a grant award from the National Institute of Standards and Technology (NIST) as part of the ‘America Recovery and Renewal Act’ federal stimulus package to construct a new stand-alone laboratory building for the University of Kentucky Center for Applied Energy Research located at Spindletop Research Park. Portions of the laboratory facility will be specially equipped to accommodate battery-manufacturing R&D. In addition, other portions of the building will be specially equipped to accommodate research related to biomass energy and bio-fuels, electrochemical energy storage, and solar energy.

*Project Status:*

Exterior storefront glass and metal composite panels are complete. The metal ceiling at the canopy is complete along with the light fixtures installed. The metal roof coping and downspouts are complete. Sun shades are being installed around the building. The exterior site grading has started and topsoil is in the process of being spread.

The interior work continues. Finish painting has started on both floors and ceiling grid is being installed. Overhead MEP continues and mechanical equipment is being started and tested. The elevator has been installed. Tile is being installed in the restrooms and toilet fixtures are being set. Control graphics are being worked on and units are starting to be brought on line. Electrical devices and lights are installed through the majority of the building. Carpet has been installed in the office areas along with the cove base. Wood doors and glass are being installed in the frames along with some of the hardware. Lab casework installation continues, but the majority is complete. All storefront doors are complete and operational and the security system is online and being programmed. Fire alarm installation is finished. Commissioning started in March.

### **Construct Patient Care Facility (Data Center)**

#### *Project Description:*

This project will fit-up approximately 2,000 square feet for a new computer machine room on the fourth floor of the new Patient Care Facility for a new hospital data center with redundant air conditioning, electrical and communication support systems.

#### *Project Status:*

The Data Center Project achieved Substantial Completion March 9, 2012. The installation of the owner supplied and installed servers, network equipment, cabling, supporting equipment and software is underway and on schedule. The data center is scheduled to be operational in June of 2012.

### **Guaranteed Energy Savings Performance Contract**

#### *Project Description:*

An energy service company (ESCO), such as AMERESCO, provides comprehensive energy and water management analysis plans as well as energy and water-related capital improvement services. Enabled by Kentucky Revised Statute 56.774, energy savings performance contracting is a cost-effective process for energy upgrades. Energy service companies guarantee that utility savings generated by facility upgrades are sufficient to pay back the capital investment during the next twelve years. If the project does not provide these returns on the investment, the ESCo is responsible for the difference. This project will help meet the overall University goal, which is to decrease the university's overall energy demands by 10-15 percent. Energy conservation measures in the project that will reduce the university's overall energy consumption include: Upgrades to lighting systems to the latest electric saving technology; fume hood controls in Chemistry/Physics; HVAC systems; steam and chiller plant controls; Installation of motor speed drives (VFD's) to save energy during mild weather conditions; Energy management software to monitor usage in real time; and automatic utility metering devices; Replacement of old plumbing fixtures to the latest water saving technology; Repair of pipe insulation; Behavioral modification programs to encourage energy conservation, tailored for campus and developed, implemented and measured to maximize effectiveness and initiate a culture of energy efficiency.

#### *Project Status:*

Project close-out procedures have begun and acceptance has occurred on all ECMs.

### **Renovate 4th Floor Sanders-Brown Center on Aging**

#### *Project Description:*

This project will consist of renovating approximately 9,000 square feet of research support space in the Sanders-Brown Center. This project will include retrofitting outdated, non-compliant research support space and the associated mechanical equipment to meet current regulatory requirements.

#### *Project Status:*

Walls are framed, in-wall rough in is complete and Phoenix valves and coils on the 4<sup>th</sup> floor have been installed. In the penthouse mechanical room the exhaust fan beams and large equipment pads have been formed and poured. Electrical panels have been replaced, masonry removed at louver opening, and the existing air compressor has been moved. In the upcoming months, the contractor will hang drywall, install sound traps and low pressure duct, install epoxy floors in pits and lab equipment access areas; install cage and rack washers, and sterilizers.

### **Renovate Northside Libraray**

#### *Project Description:*

This project consists of renovating approximately 11,000 GSF of space on a single floor. The building will be renovated into an undergraduate class lab facility for Biology and an outreach instructional facility for the Partnership Institute for Mathematics and Science Education Reform (PIMSER). The improvements will include a community activities room that will double as a classroom for both PIMSER and Biology. The renovation will also incorporate necessary upgrades of the building systems, such as communications, plumbing, HVAC, electrical, fire safety and security.

#### *Project Status:*

Demolition work has now been completed and framing for new walls has been set. During construction it was discovered that the under slab plumbing lines had deteriorated to the point they needed to be replaced. A change order was issued to replace the existing pipes, necessitating a 10 calendar day time extension due to the slabs having to be cut to provide access to the existing piping. In addition, after existing flooring was removed it was evident that more extensive floor leveling was required than was originally anticipated. A change order was also issued to

correct this found condition, although it did not result in a time extension. The revised substantial completion date is August 7, 2012 and the revised final completion date is September 6, 2012.

### **Renovate Nursing Building – Phase II**

#### *Project Description:*

This project will renovate approximately 8,200 square feet on the sixth floor of the College of Nursing Building to accommodate the construction of a new 118 seat Computer Classroom and associated support space. The project will be funded by federal grant of \$1,287,000 from the U.S. Department of Health and Human Services and University funds.

#### *Project Status:*

Installation of flooring materials, ceiling grid, fixed furniture, lockers, etc. is complete. In the next 2 weeks, all inspections will be completed, ceiling tiles will be installed, and the project shall be substantially complete.

### **Renovate Parking Structure # 3 – UKHC**

#### *Project Description:*

This project will consist of the selective repair of the EIFS on the spandrels and column wraps, repair pre-cast and connections, concrete slab repair, recoating of structural steel components, barrier cable repair, replacement of doors and frames, and miscellaneous painting.

#### *Project Status:*

The design has been completed and the project is out to bid. The bid opening is scheduled for April 22, with an anticipated start date for construction of May 1. The project's duration is 126 days.

### **Renovate Schmidt Vocal Arts Center**

#### *Project Description:*

This project will complete the renovations to include rehearsal rooms, teaching studios, practice rooms, music library, office space for staff and graduate assistants, and enhancements to the building's main entrance. The project has been funded for the design phase only.

#### *Project Status:*

The design is on hold pending fundraising efforts.

### **Renovate Track and Field Facility**

#### *Project Description:*

The University of Kentucky's Shively Track, used by the men's and women's track and cross country teams, is over 30 years old and needs to be renovated. This project will renovate the existing track and field facility by providing new track and field surfaces, field event training areas, spectator seating, spectator amenities (concessions, restrooms, etc.), and equipment storage. The track is anticipated to be a nine-lane synthetic surface track. An athlete only practice throw area has been integrated into the site plane, creating safety for adjacent spectators as well as providing a proper environment for the training and warm up of student athletes. A practice runway is being incorporated with the javelin throw. This area would also accommodate visiting track teams during meet events. The architectural design and materials will be integrated to enhance the proximity to the design elements of the University's adjacent baseball stadium. The concession building will overlook the track so spectators standing in line will continue to have visual access to the event completion. A new storage facility will be located at the Cooperstown Road entry, and will be of sufficient size to store all track and field equipment.

#### *Project Status:*

Buildings are in the final stages of completion, with painting and finishes complete. Ceiling tracks and ceiling border tiles are installed. Toilet accessories are installed, windows are complete and mechanical/electrical/plumbing is complete. Storm sewer installation is complete and sanitary sewer is approximately 50% complete. The remaining retaining wall is awaiting backfill completion. The project mobile offices are to be removed off-site to allow for sitework to continue. Construction offices are to be moved into Building "A" through the remainder of the project. Scoreboard relocation is to commence as well as installation of sports lighting components. Installation of track surface material will begin in June.

## **Renovate/Expand the Center for Applied Energy Research – Phase 1 & Phase 2**

### *Project Description:*

The primary purpose of this project will be multi-phased project incorporating the following components: Mineral Process Lab Building - The initial phase of the project will require the construction of a 6,400 square foot support building. This new facility will permit the relocation of the existing mineral processing group, currently housed in the Center's main facility. The existing equipment will be relocated along with support space, offices and labs. Relocation of the Crushing Room - The existing Crushing Room is to be relocated into an existing facility originally built for dry storage of coal. Bio-fuels Highbay Lab Fit-up and Improvements - Renovated space, vacated by the Mineral Processes Lab in above, will allow for the development of a bio-fuels laboratory for production, characterization, and testing of biomass derived fuels, with a focus on biodiesel, bio-oils, and biomass derived Fischer-Tropsch liquids. The principle objective of this project is the establishment of a laboratory dedicated to the development of improved processes for biomass utilization, with the goal of supporting the development of the biofuels industry in Kentucky. Combustion High Bay Hoods - The existing Combustion/High Bay Lab will have a new bench top fume hood and a new walk-in hood installed with required ductwork and exhaust fans.

### *Project Status:*

The metal building installation is complete. Site work rough grading is completed, along with exterior sidewalk installation. Mechanical, electrical and plumbing trades are approximately 90% complete. Interior finishes and ceilings are complete. We are currently awaiting delivery of larger ventilation fans and louvers. The outdoor bio-Diesel Storage Area is complete. Interior window glazing is to be installed and toilet fixtures and accessories are to be installed as well.

## **Replace Wildcat Lodge Student Housing (Wildcat Coal Lodge)**

### *Project Description:*

This project consists of the design and construction of a new housing facility of approximately 20,900 GSF to replace the existing Wildcat Lodge. The new facility is to house the UK men's basketball players in addition to other non-athlete students. The building will include a controlled main entryway, with combination living/bedroom spaces, a common lounge space, with a dining room and a kitchen. In addition, it will have an apartment for the hall direction and a public restroom in the entry area. The site will be located just east of the Joe Craft Center. The project scope was increased to \$8,050,000, as approved by President Todd, pursuant to UK Administrative Regulation 8:2:III-C-2(a) and by the Capital Projects and Bond Oversight Committee pursuant to KRS 45.760.

### *Project Status:*

Contractors continue to make progress on the exterior site work. Stone walls have been laid, and sidewalks have been poured. Masonry, stone work, windows, composite metal siding and roofing have been completed. Quarry tile has been laid in the sleeping rooms, and is being laid in the 1<sup>st</sup> floor lobby and lounge spaces. Cabinets have been set in the sleeping rooms and apartment. Carpet tile has been laid in the sleeping rooms on the 2<sup>nd</sup> and 3<sup>rd</sup> floors. Doors and hardware have been set and painting is in progress on all floors. Toilet and bath fixtures have been set. The emergency generator has been installed, and was started up for testing on 4/9/12. Above ceiling inspections have been performed, however, below ceiling inspections have not yet been scheduled.

The project was delayed as a result of excessive precipitation days and concealed foundation conditions in April 2011. Codell currently projects substantial completion will be achieved in mid May, however, that date may be optimistic.

## **Upgrade Renovate Improve or Expand Research Labs (Chemistry/Physics)**

### *Project Description:*

This project will renovate approximately 2,100 square feet of Physics wet bench research space in the Chemistry-Physics Building. The work consists of renovating several contiguous laboratories on the first floor in the Chemistry-Physics Building as follows: Demolition of existing finishes, partitions, and building systems, and Construction of new partitions, installation of new finishes, building systems, and laboratory items as required to create new research laboratories and their supporting spaces. Installation of new buildings systems in other areas of the Chemistry-Physics Building to support the new research labs as shown on the Contract Documents. New finishes include resilient floor tile, resinous flooring, resilient base, painted gypsum board, and suspended acoustical ceiling system. New building components include metal laboratory casework, epoxy laboratory counters and sinks, and fume hoods.

### *Project Status:*



Demolition, temporary partitions and masonry walls are complete. During the next 30 days the contractor will continue metal framing, MEP rough walls and ceilings, drywall hanging, duct insulation, wall painting and flooring will start. Substantial Completion is scheduled July 25, 2012. The project is currently on schedule and within budget.

### **Expand/Renovate Ambulatory Care: Orofacial Pain Clinic**

#### *Project Description:*

This phase of the Expand/Renovate Ambulatory Care project will renovate approximately 2,850 square feet on the second floor of the Kentucky Clinic Building, Wing C. This phase will include operatories, consulting exam rooms, a waiting room, resident carrel space, lab and supplies space, and radiographic equipment space.

#### *Project Status:*

Proposals for architectural services were received and Stengel-Hill Architects was the selected design team. They are currently working with the staff on schematic design. Expected project completion is 1st quarter 2013.

### **Renovate/Upgrade Softball Complex**

#### *Project Description:*

This project consists of spectator seating and amenities, including replacement of the existing portable seating sections for softball, with new treads and risers from end to end of the dugouts. The project will provide approximately 400-600 new fixed chair back seats as well as a combination of 600-800 bleachers, with and without bench backs, for a total permanent seating capacity of around 1200. New elevated terraces overlooking the field at the back of the new grandstands and new press facilities will be constructed. Also included is construction of a new indoor practice facility for softball that is approximately 100' x 100' in area, playing field improvements, new or upgraded field and site lighting, an entry plaza to the complex and a new ticket facility. This project also provides renovation of the existing facilities, including the Team Building into primarily the locker, lounge and equipment facilities for Softball. The new press box may have the ability to service soccer field as well as softball field, and other amenities as deemed feasible.

#### *Project Status:*

Schematic design is complete. Design Development and Construction Documents have begun with final documents due by May 1st.

### **Upgrade Student Center Infrastructure**

#### *Project Description:*

The Upgrade Student Center Infrastructure project will repair, upgrade and improve existing building infrastructure systems that have exceeded their useful life, need to be upgraded to meet current building code requirements, or need to be upgraded to meet current capacities being exceeded with occupant load. Systems include HVAC, plumbing and sanitary, mechanical, electrical and lighting, fire suppression/sprinkling, and communications infrastructures. As these infrastructure needs are upgraded, aesthetic and cosmetic upgrades will be made as a result of and in support of infrastructure upgrades.

#### *Project Status:*

All design work has stopped pending re-evaluation of project Scope and Budget.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

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**Ambulatory Electronic Health Record Equipment/System (EHR) Project -**

Project Number: 2335.00

**Project Description:**

Scope: \$4,861,760

This project will upgrade the existing electronic information infrastructure, to provide a common, secure electronic information infrastructure for sharing health information. In addition it will ensure interoperability among health record systems, which, if not implemented, could create up to a 3% reduction in Medicare reimbursements to the Hospital.

**Consultant: CMTA**

Contract # A121090

Original Contract Amount: \$123,465

Total Amendments to Date:

Cumulative Amendment Amount:

**Describe all amendments :**

Revised Contract Amount: \$123,465

**No amendments this quarter.**

**Contractor: Jarboe Construction, Inc.**

Contract # 7500002862

Original Contract Amount: \$164,800

Total Change Orders to Date: 3

Cumulative Change Order Amount: \$4,387

Low Bid: \$164,800

Revised Contract Amount: \$169,187

High Bid: \$203,300

Contract Percent Complete: 75%

Number of Bids: 4

**Describe all change orders greater than \$25,000.00:**

**No change orders greater than \$25,000 this quarter.**

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**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

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**Construct CAER Lab Bldg. #2**

Project Number: 2312.01

Scope: \$20,984,305

**Project Description:**

The University is the recipient of a grant award from the National Institute of Standards and Technology (NIST) as part of the 'America Recovery and Renewal Act' federal stimulus package to construct a new stand alone laboratory building for the University of Kentucky Center for Applied Energy Research located at Spindletop Research Park. Portions of the laboratory facility will be specially equipped to accommodate battery manufacturing R&D. And other portions of the building will be specially equipped to accommodate research related to biomass energy and biofuels, electrochemical energy storage, and solar energy.

**Consultant:** Murphy+Graves Architects

Contract # A101110	Original Contract Amount:	\$1,645,000
Total Amendments to Date: 1	Cumulative Amendment Amount:	\$200,300
<b>Describe all amendments :</b>	Revised Contract Amount:	\$1,845,300

**Amendment # 1, 2/14/12, an increase of \$200,300 was approved to provide additional design, purchase, coordination and installation for the Energy Dashboard concept. This is an electronic educational interactive dashboard system for multiple locations throughout the building.**

**Contractor:** Turner Construction      Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added. \*Turner's contract was awarded by RFP process.

Contract # 4500039389	Original Contract Amount:	\$15,405,394
Total Change Orders to Date: 096	Cumulative Change Order Amount:	\$676,278
Low Bid:	Revised Contract Amount:	\$16,081,672
High Bid:	Contract Percent Complete:	95%

Number of Bids:

**Describe all change orders greater than \$25,000.00:**

**Change Order # 3, 1/21/11,** was approved for an increase of \$146,400 to shift the underslab plumbing work from Bid Pack # 3 to Bid Pack # 1 facilitating the first floor slab being poured and completed earlier in the construction schedule resulting in cost savings. **Change Order # 22, 9/15/11** was approved for an increase of \$55,965 to provide an equipment change allowing for a fan that will operate more efficiently at a lower usage. **Change Order # 23, 9/15/11** was approved for an increase of \$50,307 to provide modification of the heat pump and ductwork layout to provide energy enhancement to the initial design. **Change Order # 24, 9/15/11** was approved for an increase of \$60,218 to add in redundant pumps to provide greater system reliability in case of failure. **Change Order # 26, 9/15/11** was approved for an increase of \$85,825 to add back the battery testing bunker bid package that was removed from Bid Pack # 3. **Change Order # 37, 11/16/11,** was approved for a decrease of \$191,492 to provide for value engineering changes after project bids were received. **Change Order # 49, 11/1/11,** was approved for an increase of \$52,188 to revise the primary power to support the power requirements for the project. This change is necessary due to found conditions and incorrect information in record documents of the utilities currently installed. **Change Order # 50, 11/1/11,** was approved for an increase of \$26,410 to provide oversized curb needed to close the roof opening for the strobic fan installation. **Change Order # 71, 1/11/12, was approved for an increase of \$37,157 to provide power connections as required for the environmental chambers and to provide a filtered ceiling system. Change Order # 78, 2/2/12, was approved for an increase of \$30,433 to provide an electric meter, a chilled water system COP and a hot water system COP needed to accomplish the energy comparison between the CAER I and CAER II Lab for the Energy Dashboard. Change Order # 92, 3/5/12, was approved for an increase of \$37,624 to extend general conditions and project staffing for an additional twenty seven days.**

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

**Construct Patient Care Facility**

**Project Number: 2239.0**

**Scope: \$530,958,626**

**Project Description:**

This project will construct a new hospital addition to include patient beds, patient diagnostic and treatment areas, support areas, support facilities, medical equipment and infrastructure. The project also includes the replacement of the hospital parking garage and a connecting bridge as well as land acquisition and utility costs. (Note: The project design scope at the present time is \$532 Million as has been discussed with the BOT.)

**Consultant:** GBBN, Inc.

Contract #	A061080	Original Contract Amount:	\$29,462,728
Total Amendments to Date:	11	Cumulative Amendment Amount:	\$7,460,160
<b>Describe all Amendments:</b>		Revised Contract Amount:	\$36,922,888

**Amendment # 1**, 9/12/06, was approved for \$299,044 for additional design services required to design two additional floors for the parking garage. This amendment also provides for additional survey services associated with the rerouting of the ductbank; the addition of 12 receptor sources to be studied as part of the existing wind study; and the need for additional storm water modeling to review the impact on the new Patient Care Facility during heavy rain storm events. **Amendment # 2**, 2/13/07, was approved for additional design services associated with increased scope including but not limited to increased renovation of areas in the existing hospital (all phases); development of early bid packages for infrastructure and site utility packages and temporary emergency department access; development of an additional bed wing (all phases); development of partial cafeteria (all phases); design of fitup for two additional floors (shell only - all phases); design of a redundant communications feed (all phases); additional design services associated with security systems; development of design of Phase 1B air handlers (thru DD's) and additional design of costs associated with relocation and installation of above ground and underground fuel tanks (all phases). Also included is additional reimbursable services for added geotechnical rock investigation; additional site survey and added construction testing. **Amendment # 3**, 5/13/08, an increase of \$868,849 was approved for additional design services to include completion of the PCF Auditorium and construct a mock up in the College of Nursing. It also provides for 3D images and graphic design services for fund raising efforts as well as additional costs associated with preparing early and separate supplemental bid packages. **Amendment # 4**, 4/14/09 an increase of \$332,700 was approved for expanded design services to include completion of design of the surgery waiting expansion, the expansion of Children's Hospital entry, changes in the auditorium design, refining several infrastructure elements and further development of the gift shop case work. **Amendment # 5**, 6/9/09, an increase of \$72,151 was approved for implementation of a GIS facility management system to import and manage data associated with buildings and spaces, with the ability to expand for future inclusion of detail equipment, finishes and furniture data information. **Amendment 6**, 10/13/09, an increase of \$281,162 was approved for additional design services, additional renderings, revision of art work lighting and additional expenses for the donor recognition design as well as additional construction administration services for the added auditorium AV systems. **Amendment 7**, 4/20/10, an increase of \$278,370 was approved to provide for services for the Celebrate Kentucky Wall, Rose Street Plaza, the green roof, enabling work and a lobby fountain. The amendment also provides for additional reimbursable services. **Amendment 8**, 6/8/10, an increase of \$937,498 was approved to provide for the completion of design and the addition of construction administration services for the Patient Care Facility OR Fit-out project. It also provides for design thru phase 2 of the kitchen and cafeteria fit out of the PCF. **Amendment 9**, 1/25/11, an increase of \$409,072 was approved to provide design and CA services for the PCF Data Center fit-up. **Amendment 10**, 5/10/11, an increase of \$611,368 was approved to extend CA services. **Amendment 11**, 11/8/11, an increase of \$395,464 was approved to provide additional utility investigation, design services, inspections and to extend the construction administration services for the PCF Project. Also includes an increase of \$71,722 for additional design services to include above ceiling access platforms and hatches and commissioning for the OR Suites project.

**Contractor:** Turner Construction Co.

*Note: This is a 'CM-At-Risk' contract. The amount will be increased as sub-contracts are bid and added. \*Turner's contract was awarded by RFP process.*

Total Change Orders to Date:	1315	Original Contract Amount:	\$319,756,574
Low Bid:		Cumulative Change Order Amount:	\$24,430,664
High Bid:		Revised Contract Amount:	\$344,187,238
Number of Bids:		Contract % complete:	99%

**Describe all change orders greater than \$25,000.00:**

**Change Order # 1**, 6/4/07, was approved for an increase of \$91,344 to facilitate the procurement strategy and meet the project timeline. A portion of select infrastructure was transferred from Bid Pack 4A to the earlier Bid Pack 3B. **Change Order #2**, 5/31/07, was approved for an increase of \$65,296 to relocate the existing direct buried steam lines and pressurized drain found to be in conflict with the new tunnel. Since the lines must be moved they will be relocated into the new tunnel to make the lines accessible for maintenance. **Change Order # 3**, 7/11/07, was approved for an increase of \$58,928 to relocate an existing sanitary sewer manhole and associated lines to sanitary sewer manhole and associated lines to resolve underground utility conflicts. **Change Order # 5**, 9/6/07, was approved for an increase of \$49,439 to replace and relocate deteriorated and inaccessible 2 1/2" and 1 1/2" underground direct buried steam lines that were revealed upon excavation. **Change Order # 9**, 10/3/07, was approved for \$2,840,457 to convert the TC-01 Sitework (RAM Engineering) Rock Allowance to an unspecified status. Ram will be responsible to remove all the rock associated with their scope of work for BP 3B and as defined by the Geotechnical Report. This is due to misinterpretation of site conditions. Actual rock removal quantities are greater than estimated quantities in the contract documents. **Change Order # 10**, 10/1/07, was approved for an increase of \$55,314 to convert the portion of the six existing sanitary and storm sewer lines that pass through the main tunnel to ductile iron to ensure that these lines don't rupture. The increase also provided for re-routing the existing water lines to avoid conflict with the new tunnel. **Change Order # 11**, 10/4/07, was approved for an increase of \$47,780 to replace and re-route the existing domestic water line as well as reroute the existing water main.

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## Construct Patient Care Facility Change Orders greater than \$25,000 Cont....

**Change Order # 13**, 10/9/07, was approved for \$40,755 to relocate approximately 150' storm line and associated manhole due to conflict with the new Cooling Plant # 3 utility tunnel. **Change Order # 21**, 11/21/07, was approved for an increase of \$53,383 to convert the 7000KVA transformer from aluminum to copper windings, add an air switch to the transformer and add a medium voltage outdoor breaker with remote monitoring and control capability to all five electric substations. These changes were made to increase the efficiency of the system and to meet specifications of upcoming substation upgrades. **Change Order # 23**, 12/10/07, was approved for a decrease of \$48,383 to alter the installation of the cold water and the cold water return piping system to avoid conflict with sensitive boiler equipment. **Change Order # 27**, 12/5/07, was approved for an increase of \$144,844 to provide saw cutting of the pedestrian walkway and walkway roofing. The saw cutting method will reduce noise and vibration impacts to the adjacent Critical Care Facility. **Change Order # 32**, 1/18/08, was approved for an increase of \$33,072 to enlarge the existing emergency generator pads to support installation of new diesel generators due to changes in EPA regulations. **Change Order # 34**, 2/1/08, was approved for \$388,426 to provide additional construction manager's staff and general conditions due to an additional two floors being added to the Patient Care Facility. **Change Order # 42**, 3/20/08, was approved for an increase of \$128,502 to extend the limits of the blasting and excavation of rock in Bid Pack # 4 to accommodate the installation of sanitary line. The rock removal was originally intended for Bid Pack # 5, but will provide cost savings if moved to Bid Pack # 4. **Change Order # 43**, 3/31/08, was \$229,016 to increase the rock allowance due to greater rock quantities than anticipated. **Change Order # 58**, 4/23/08, a decrease of \$60,522 was approved to utilize the existing ductbank for the new conductors. The existing ductbank was lower than expected and did not interfere with the new storm tunnel. **Change Order # 60**, 4/23/08, was approved for \$34,827 to increase the ductbank from six to twelve conduits to match the size of the existing ductbank. **Change Order # 66**, 5/6/08, was approved for \$42,700 to offset new 36" chilled water lines. A 2" foam glass board insulation was put in place between the chilled water and high pressure steam line due to unexpected elevation. **Change Order # 68**, 6/4/08, was approved for \$39,794 to perform rock removal while classes are in session using a rock trenching machine so as not to disturb teachers, students and staff in the Ag. Science Bldg. **Change Order # 71**, 5/7/08, was approved for \$47,571 to install four new handicap ramps and thermoplastic street marking to comply with ADA standards. **Change Order # 73**, 6/6/08, was approved for \$37,090 to replace water meter vault that is in the CP # 3 tunnel excavation footprint due to location of hidden chilled water lines. **Change Order # 85**, 6/4/08, was approved for \$28,092 to revise alignment and depth of electrical ductbank that runs from Scott Street toward Electric Substation # 2. This will insure proper cover for manhole and ductbank when future changes are made in this area. **Change Order # 90**, 6/9/08, was approved for \$42,604 to install new ductbank at a depth greater than planned due to concealed high voltage ductbank. **Change Order # 98**, 7/3/08, was approved for an increase of \$62,263 to modify the tower crane foundation. This was required due to unsatisfactory rock with mud seams and fractures found in the west tower crane location. **Change Order # 102**, 7/14/08, was approved for an increase of \$50,183 to increase the size of both main electrical duct banks. **Change Order # 110**, 7/30/08, was approved for an increase of \$25,219 to remove an additional 240 linear feet of bad soil on Scott Street that is unsuitable for the new duct bank. Excavated material will be replaced with flowable fill concrete. **Change Order # 121**, 8/25/08, was approved for an increase of \$32,912 to provide additional excavation for fuel tanks to meet required depth. **Change Order # 127**, 8/22/08, was approved for an increase of \$43,493 to perform additional excavation at area "A" due to Excavated material will be replaced with 4000 psi concrete. **Change Order # 128**, 8/22/08, was approved for an increase of \$30,655 to perform additional excavation at area "B" shear wall/grade beam due to unsuitable bearing. Excavated material will be replaced with 4000 psi concrete. **Change Order # 130**, 9/9/08, was approved for an increase of \$27,914 to provide control of the control valves with selector switch indicator lights. The switch will allow the operator to select which tank to pull oil from. **Change Order # 138**, 9/22/08, was approved for \$40,322 to provide a larger drilling rig needed to sleeve and bore larger holes due to the composition of the subgrade. **Change Order # 139**, 9/22/08, was approved for \$27,303 to sawcut the CCC tunnel footers and slab as required for the 16" sanitary pipe to pass thru the tunnel. The pipe will be sleeved to prevent shearing and concrete and resteel placed around the sleeve. This is due to the existing sanitary pipe being lower than expected. **Change Order # 143**, 9/29/08, was approved for \$33,766 to excavate 6' to 7' below design elevation on the 12.3 line from Column Line D.1 to the southeast corner. Excavated material will be replaced with 4000 psi concrete and added resteel. This is due to found rock. **Change Order # 159**, 11/3/08, was approved for an increase of \$38,301 to offset the chilled water piping. **Change Order # 164**, 11/26/08, was approved for an increase of \$34,881 to install a high pressure steam valve and a pump discharge valve in the main on the south side of the Gill Heart tap. This will reduce the outage to the Gill Heart building and the Critical Care to less than one day and will eliminate future outages. **Change Order # 165**, 11/26/08, was approved for an increase of \$73,079 to provide for additional removal of rock and soil and to provide additional concrete for the drilled piers. Found subsurface conditions required deeper piers than were required by contract. **Change Order # 173**, 1/20/09 was approved for an increase of \$39,957 to provide for additional cost required to bore under existing gas line for the new 24" sanitary main. The original gas line was not relocated by Columbia Gas as originally planned due to access issues. **Change Order # 177**, 3/2/09, was approved for an increase of \$51,550 to remove existing unsuitable soil where fuel tanks were removed. Backfill and compact will be added to grade. **No change orders over \$25,000 this quarter.**

### BP # 5 Change Orders

**Change Order # 2 BP # 5**, 6/30/08, was approved for \$36,359 to provide and install 48" diameter sump pit and piping, for the existing subsurface groundwater. This addressed the field condition of ground water that has been observed in open excavations. **Change Order # 4 BP # 5**, 7/23/08, was approved for \$26,723 to provide two mock-ups of the architectural precast panels. **Change Order # 7 BP # 5**, 9/15/08, was approved for an increase of \$39,922 to provide concrete shear walls at Column Line 12/E-F and Column Line 12/L-M, ground level to underside of third level. **Change Order # 8 BP # 5**, 9/30/08, was approved for an increase of \$26,540 to add one (1) gate valve and three (3) triple duty offset butterfly valves to high pressure steam lines. The original design did not allow for a partial cut-off of steam supply to the building. **Change Order # 20, BP # 5**, 12/2/08, was approved for an increase of \$38,438 to provide concrete shear walls in accordance with the structural documents. The trade contractors scope descriptions failed to assign this task. **Change Order # 24, BP # 5** 2/6/09 was approved for an increase of \$27,389 to revise the medium voltage cable spec to meet published UK standard. **Change Order # 26, BP # 5**, 1/20/09 was approved for an increase of \$25,547 to provide additional doors to the freight elevators allowing access to these elevators

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**Construct Patient Care Facility Change Orders greater than \$25,000 Cont....**

from the exterior. **Change Order # 27, BP # 5** 1/20/09 was approved for an increase of \$29,111 to install 60 linear feet of 18" reinforced concrete pipe from the existing storm manhole to the new 12' diameter manhole. **Change Order # 32, BP # 5**, 2/10/09 was approved for an increase of \$71,714 for the upsizing and rerouting of the steam generators. **Change Order # 41, BP # 5**, 3/26/09 was approved for an increase of \$75,511 to provide miscellaneous electrical revisions to Bid Pack 5 electrical systems to include new distribution panel and critical bus duct plugs. **Change Order # 45 BP # 5**, 4/30/09, was approved for an increase of \$26,288 to add a medium voltage outdoor breaker with remote monitoring and control capability to all five substation breakers. This will increase the efficiency of the system. **Change Order # 46 BP # 5**, 4/30/09, was approved for an increase of \$25,931 to provide and install twelve (12) humidifier condensate pumps to provide for disposal of the condensate from the air handlers. **Change Order # 47 BP # 5**, 5/12/09, was approved for an increase of \$41,529 to add a low pressure steam pumping system to the basement level of the facility. **Change Order # 51 BP # 5**, 5/29/09, was approved for an increase of \$228,937 to provide a compatible mating of the curtain wall and precast systems. This silicone tape allows for a water tight skin on the structure. **Change Order # 52 BP # 5**, 5/15/09, was approved for an increase of \$30,633 to re-route existing lines allowing for placing of structural column to support east connector. **Change Order # 57 BP # 5**, 6/1/09, was approved for an increase of \$29,521 to fund overtime payment for Baker Construction. This additional cost will be used to make up time lost due to weather delays in December 2008 and January 2009. These days will need to be made up to open the Emergency Department on the currently scheduled date. **Change Order # 62 BP # 5**, was approved for an increase of \$36,687 to fund overtime payment for Baker Concrete over four weeks in May to maintain schedule. Overtime work was required to maintain the concrete pour schedule due to inclement weather. **Change Order # 65 BP # 5**, was approved for an increase of \$47,723 to install backfill against the southeast and southwest corners of the Patient Care Facility. **Change Order # 66 BP # 5**, 6/26/09, was approved for an increase of \$26,436 to re-route existing sanitary sewer to allow for installation of bridge pier. **Change Order # 63 BP # 5**, 8/12/09, was approved for a increase of \$35,236 to allow for payment of shift premium to accelerate pan removal under the 4th and 5th decks. This will accelerate the work schedule. **Change Order # 69 BP # 5**, 7/2/09, was approved for an increase of \$27,497 to provide sidewalk stabilization during excavation for a pilaster. This change order also allows for removal and reinstallation of the distilled water line that conflicts with the new foundation. **Change Order # 84 BP # 5**, 9/2/09, was approved for an increase of \$43,756 to allow for a needed additional 2 feet of height to the Penthouse elevator. **Change Order # 85 BP # 5**, 9/7/09, was approved for an increase of \$67,697 to replace existing 18" ADS plastic storm pipe under the lobby area with 18" ductile iron pipe to meet code. **Change Order # 86 BP # 5**, 9/15/09, was approved for an increase of \$50,436 to fund the purchase and installation of fifty six control dampers required for proper functioning of the Air Handling Units that were purchased directly by UK. **Change Order # 88, BP # 5**, 10/2/09, was approved for an increase of \$69,965 to provide for payment of onsite personnel at the new Kentucky Labor Cabinet prevailing wage rates. **Change Order # 96, BP # 5**, 10/16/09, was approved for a decrease of \$25,727 to delete flex connection. **Change Order # 99, BP # 5**, 10/27/09, was approved for an increase of \$231,535 to furnish and install additional accessories, fitting, valves and controls for the heat exchangers. **Change Order # 117, BP # 5**, 12/9/09, was approved for an increase of \$27,631 to fund overtime work for the steel contractor in order to complete the necessary steel erection for the topping out ceremony. **Change Order # 126, BP # 5**, 1/11/10, was approved for an increase of \$109,869 to extend sleeves in all IDF/EIDF rooms in order to allow access to the sleeves from the floor below without infringing on the cable tray. **Change Order # 132, BP # 5**, 2/1/10, was approved for an increase of \$31,104 to add one 112 x 34 fire damper and modify two 112 x 34 fire smoke dampers in the outside air dampers on the fourth floor. The actuators for these dampers need to be internal due to space restrictions and access requirement. **Change Order # 133, BP # 5**, 2/10/10, was approved for an increase of \$26,580 to relocate the 3" chilled water supply take-off to the supply main on the discharge side of the chilled water pumps, so that it is located upstream of flow meter and will properly operate. **Change Order # 139, BP # 5**, 2/24/10, was approved for an increase of \$71,292 to provide safety drain traps to prevent condensate from backing up in the humidification steam generator tubes during a low pressure condition. **Change Order # 145, BP # 5**, 3/1/10, was approved for an increase of \$46,192 to provide top of shaft/elevator control room sleeves and machine beams to accommodate future elevator equipment. The work will be done at this time to allow for significant modifications to the building structure which would be difficult and disruptive when the hospital is operational. **Change Order # 147**, 3/2/10, was approved for an increase of \$49,407 to revise various duct sizes and pipe routing at several locations on the 3rd and 4th floor mechanical rooms. **Change Order # 148, BP # 5**, 3/4/10, was approved for an increase of \$138,921 to provide and install 40 foot mast to accommodate 7 antennas. This includes all structural support, warning lights, and fire stopping required for proper installation of the 40 foot mast. **Change Order # 149, BP # 5**, 3/4/10, was approved for an increase of \$66,388 to provide lift-net ethernet based central monitoring system, software, and supporting infrastructure for monitoring of all new and future elevators in the PCF. **Change Order # 150, BP # 5**, 3/8/10, was approved for an increase of \$67,125 to relocate high pressure steam header in basement above chilled water pipes to eliminate trapped piping in order to prevent an unwanted trap. **Change Order # 155, BP # 5**, 3/17/10, was approved for an increase of \$56,402 to revise south supply and return 24" chilled water feeds into basement as required. This will reverse the supply and return to match the connections to the mains in the tunnel. **Change Order # 156, BP # 5**, 3/19/10, was approved for an increase of \$37,489 to furnish and install access hatches on the 15th and 16th floors for future service and installation of equipment in elevator machine rooms at the top of each tower. **Change Order # 168, BP # 5**, 4/23/10, was approved for an increase of \$54,344 to relocate 16 fire/smoke damper actuators and add access doors as required to provide access for maintenance and yearly testing. **Change Order # 171, BP # 5**, 4/27/10, was approved for an increase of \$81,371 to furnish and install conduit and added control wiring from unit substations to remote Entellisys control stacks. **Change Order # 185, BP # 5**, 6/24/10, was approved for an increase of \$49,935 to route high pressure condensate from heating hot water and domestic hot water converters to high pressure return back to condensate pump. This enhances the reliability and safety of the building and campus steam system. **Change Order # 187, BP # 5**, 6/25/10, was approved for an increase of \$26,761 to provide additional halt position indicators for five elevators. **Change Order # 191, BP # 5**, 7/27/10, was approved for an increase of \$52,938 to install a check valve in the bypass line between the heating hot water supply and return at each of the units. **Change Order # 198, BP # 5**, 8/18/2010, was approved for an increase of \$129,334 to furnish and install snubbers on the transformers that serve the two fire pumps as a precautionary action to protect against future damage to the transformers. **Change Order # 203, BP # 5**, 10/12/10, was approved for an increase of \$161,355 to provide additional supervision required to manage concurrent crews on

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## Construct Patient Care Facility Change Orders greater than \$25,000 Cont...

stairwells in order to achieve the original milestone completion dates. The CM's original baseline schedule had drywall work in the stairwells progressing prior to achieving full water tightness of the building. **Change Order # 214, BP # 5, 1/10/11**, was approved for an increase of \$25,893 to fire wrap the exhaust ducts on the 14th level corridor. **Change Order # 221, BP # 5, 2/2/11**, was approved for an increase of \$51,570 to install a status annunciator panel for the transfer switches on the fourth floor. **Change Order # 227, BP # 5, 3/24/11**, was approved for an increase of \$32,087 to install an additional set of CT's on the load side of the stand alone fire pump circuit breakers on the fourth floor. **No change orders greater than \$25,000 this quarter.**

### BP # 6 Change Orders

**Change Order # 1, BP # 6, 1/23/09** was approved for an increase of \$74,499 to increase booster pump capacity. **Change Order # 2, BP # 6, 3/3/09** was approved for an increase of \$38,126 to allow for the installation of piping for dialysis systems on the 5th floor. **Change Order # 3, BP # 6, 3/24/09** was approved for an increase of \$101,006 to utilize Densarmor exterior sheeting for the electric and data closets. This will allow for the installation of the materials prior to the roofing of the structure. This will enhance the schedule and allow the project to remain on track for the substantial completion of the emergency department. **Change Order # 11 BP # 6, 5/21/09**, was approved for an increase of \$320,823 to re-design to meet the State's interpretation of the KY plumbing code. Venting was added as well as the resizing of piping. **Change Order # 12 BP # 6, 5/29/09**, was approved for a decrease of \$50,620 to delete all Galley Equipment from the 8th Floor. **Change Order # 13 BP # 6, 8/1/09**, was approved for an increase of \$33,352 to relocate the required power for the elevator machine room. **Change Order # 19 BP # 6, 7/2/09**, was approved for an increase of \$69,287 to fund the installation of ground bars and associated ground wiring in 110 locations data and electrical closets. **Change Order # 37 BP # 6, 8/18/09**, was approved for a decrease of \$73,319 to delete demolition of existing 36" storm drain and the new 36" storm line. **Change Order # 45 BP # 6, 8/28/09**, was approved for an increase of \$367,335 to install cast-in-place concrete anchors in the 6th floor to support the 5th floor MEP items. This work will drastically reduce the noise associated with the fit-out of the 5th floor which is scheduled to occur after the 6th floor is occupied. **Change Order # 46 BP # 6, 8/28/09**, was approved for an increase of \$28,293 to upgrade power, add a smart panel, and tie to the existing Tridium system for controls of exterior fountain system and site lighting. This allows for reduced maintenance costs and remote management of the exterior system. **Change Order # 47 BP # 6, 8/28/09**, was approved for an increase of \$127,027 to provide one Edgestar door controller per door. **Change Order # 53 BP # 6, 9/21/09**, was approved for an increase of \$29,323 to replace Luminaire type F55 with type F56. **Change Order # 55 BP # 6, 9/21/09**, was approved for an increase of \$191,975 to provide fit out of additional office spaces in the basement of the Patient Care Facility. **Change Order # 56 BP # 6, 9/22/09**, was approved for a decrease of \$80,087 to delete fiber and coax to work stations throughout the podium. Upon review of the function of these work stations fiber and coax was deemed unnecessary. **Change Order # 57 BP # 6, 9/29/09**, was approved for an increase of \$626,061 to amend Turner's contract to allow for payment of prevailing wage rate determined by the Kentucky Labor Cabinet. The rates included in the original bid documents were increased by the State prior to contract signing. **Change Order # 69, BP # 6, 10/16/09**, was approved for an increase of \$101,492 to provide coax cable to service televisions in all patient rooms. **Change Order # 72, BP # 6, 10/16/09**, was approved for an increase of \$351,828 to furnish and install 3,000 gallon concrete grease trap. This is required for the future fit-out of the kitchen and cafeteria. Installation at this time results in cost savings and lessens future impact on hospital operations. **Change Order # 82, BP # 6, 10/26/09**, was approved for an increase of \$38,299 to revise electric and data outlets due to staff changes made to increase the efficiency of the space. Additional cabling for the Hill-Rom system was also required. **Change Order # 100, BP # 6, 12/14/09**, was approved for an increase of \$66,196 to upgrade grounding of medium voltage electrical systems for both normal and emergency power from 7 switches to manholes E412 and E214E to provide a safe and reliable high voltage system. **Change Order # 103, BP # 6, 11/24/09**, was approved for an increase of \$36,720 to revise the route of one set of oxygen lines and to add offset to oxygen lines at all steam line expansion joints in the tunnel. This will create a more reliable and robust oxygen system. **Change Order # 104, BP # 6, 11/24/09**, was approved for an increase of \$58,594 to add additional unit heater and cabinet unit header DDC controls. **Change Order # 105, BP # 6, 11/24/09**, was approved for an increase of \$25,760 to provide the additional 120 Volt circuits needed for the operation of the smoke dampers. **Change Order # 109, BP # 6, 11/23/09**, was approved for an increase of \$52,082 to provide patient lift system with a rail in select Acute and Acute Isolation restrooms on the 6th and 7th floors. This will greatly improve patient care and reduce staff injuries. **Change Order # 110, BP # 6, 11/24/09**, was approved for an increase of \$44,339 to purchase dialysis boxes required for the 6th and 7th floor ICU patient rooms. **Change Order # 111, BP # 6, 11/25/09**, was approved for an increase of \$95,389 to provide raceways, electrical wiring and teledata wiring for wireless telemetry system. **Change Order # 112, BP # 6, 11/25/09**, was approved for a decrease of \$139,555 to reduce the number of drops and raceways for the wireless telemetry grid. **Change Order # 113, BP # 6, 11/24/09**, was approved for an increase of \$38,833 to revise the main duct mains in to allow for proper installation of mechanical systems. **Change Order # 114, BP # 6, 12/9/09**, was approved for an increase of \$27,464 to reroute existing electrical feeders that are located overhead in the hospital loading dock to allow for installation of new utilities. **Change Order # 115, BP # 6, 12/9/09**, was approved for an increase of \$53,632 to change power from 80/20 emergency to a normal 50/50 configuration in all patient rooms on the 6th and 7th floors. **Change Order # 117, BP # 6, 12/9/09**, was approved for a decrease of \$64,113 to relocate data and power outlets, and make changes to light switching on the 6th and 7th floors. **Change Order # 122, BP # 6, 12/15/09**, was approved for an increase of \$207,802 to provide one additional data outlet and power receptacle in the wall in each of the 128 patient rooms. **Change Order # 125, BP # 6, 12/15/09**, was approved for an increase of \$41,512 to provide conduit for the door access intercom system for the intercom device to the cable tray and to the Intercom Master Panels throughout the project. **Change Order # 134, BP # 6, 12/15/09**, was approved for an increase of \$48,070 to upgrade and revise the HVAC, electrical services, and IT infrastructure to support additional equipment in the security/dispatch room. **Change Order # 143, BP # 6, 12/15/09**, was approved for an increase of \$36,860 to provide additional ventilation in the 4th floor CCC Mechanical tower to accommodate Swisslog equipment. **Change Order # 144, BP # 6, 1/4/10**, was approved for an increase of \$49,623 to provide temporary weather protection to contain winter heat in the podium. **Change Order # 146, BP # 6, 1/4/10**, was approved for an increase of \$40,504 to make adjustments to the ductwork to allow for installation of systems while maintaining ceiling height as required for proper utilization of the spaces. **Change Order # 157, BP # 6, 1/14/10**, was approved for an increase of \$123,223 to furnish and install one medical gas master alarm panel in Room H64 to allow for a UL rated system.

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**Construct Patient Care Facility Change Orders greater than \$25,000 Cont....**

**Change Order # 161, BP # 6, 2/1/10,** was approved for an increase of \$34,574 to revise the light fixture selection and lighting fixture layout for the up lights highlighting the eyebrow on the 5th and 12th floors of the structure. **Change Order # 162, BP # 6, 2/1/10,** was approved for an increase of \$82,634 to modify VESDA smoke detection system. **Change Order # 167, BP # 6, 2/4/10,** was approved for an increase of \$229,299 to add data and power outlets for the upper cabinet space in patient room wardrobes for IT equipment mounting space. **Change Order # 168, BP # 6, 2/4/10,** was approved for an increase of \$108,706 to provide additional power necessary for the final pneumatic tube system design. **Change Order # 174, BP # 6, 2/16/10,** was approved for an increase of \$136,321 to revise 6th floor ductwork and cable tray to include additional conduit runs in vicinity of the mechanical chases to allow for proper access to mechanical and electrical equipment. **Change Order # 175, BP # 6, 2/16/10,** was approved for an increase of \$115,641 to revise 7th floor ductwork and cable tray to include additional conduit runs in vicinity of the mechanical chases to allow for proper access to mechanical and electrical equipment. **Change Order # 176, BP # 6, 2/23/10,** was approved for an increase of \$154,586 to add power infrastructure to support additional UPS devices in all 32 EIDF rooms. **Change Order # 177, BP # 6, 2/23/10,** was approved for an increase of \$37,436 to remove and replace floor tile in the ED. **Change Order # 181, BP # 6, 2/23/10,** was approved for an increase of \$40,887 to re-route glycol and hot water piping on level 14 due to unforeseen space constraints. **Change Order # 183, BP # 6, 2/24/10,** was approved for an increase of \$50,973 to revise the location of 8 patient tracking monitors and add 7 locations for overhead tracking monitors in the ED. **Change Order # 188, BP # 6, 3/4/10,** was approved for an increase of \$102,822 to add piping and electrical connections for dialysis equipment in 16 step down rooms to increase the flexibility of the patient rooms. **Change Order # 192, BP # 6, 3/4/10,** was approved for an increase of \$88,311 to revise domestic water piping to the steam pressure reducing stations for the four domestic water heat exchangers. **Change Order # 194, BP # 6, 3/8/10,** was approved for an increase of \$31,061 to provide 5" copper pipe in lieu of steel for heating hot water piping. **Change Order # 201, BP # 6, 3/12/10,** was approved for an increase of \$108,500 to upgrade dialysis boxes and add electrical connections for dialysis equipment. **Change Order # 205, BP # 6, 3/17/10,** was approved for a decrease of \$26,766 to remove sprinklers from north and west canopies and add sprinklers to south drive-through canopy. This assures greater coverage where vehicular traffic is located and reduces the chance of freezing in areas that are not required by code. **Change Order # 207, BP # 6, 3/17/10,** was approved for an increase of \$136,040 to modify the temporary basement offices to address code compliance. **Change Order # 218, BP # 6, 3/25/10,** was approved for an increase of \$52,662 to revise 3rd and 4th floor ductwork and piping to accommodate installation of all trades. **Change Order # 221, BP # 6, 3/25/10,** was approved for an increase of \$40,734 to provide plumbing and electrical services to five dialysis boxes in the ED. **Change Order # 226, BP # 6, 3/29/10,** was approved for an increase of \$56,337 to revise power and specialty lighting throughout the lobby ground level and first level to accommodate selections of the art program. **Change Order # 229, BP # 6, 4/7/10,** was approved for an increase of \$31,569 to provide temporary doors and hardware for electrical rooms for final testing of the high voltage gear. **Change Order # 234, BP # 6, 4/13/10,** was approved for an increase of \$50,535 to add section of built-in curved seating, revise wall cap material, and add gaming area countertop to the pediatric waiting in the ED. **Change Order # 238, BP # 6, 4/14/10,** was approved for an increase of \$93,626 provide the infrastructure and connections necessary to support University supplied uninterrupted power supplies in the IDF and EIDF rooms through the project. **Change Order # 243, BP # 6, 4/22/10,** was approved for an increase of \$76,602 to furnish and install condensate drains for two air handler units in electrical rooms on the fourth floor and insulate condensate drains throughout the building. **Change Order # 244, BP # 6, 4/21/10,** was approved for an increase of \$52,639 to revise ductwork in the 1st floor lobby to accommodate the radiused bulkhead to include extending the height of the plenums along the lobby exterior wall and installing revised linear grills. **Change Order # 251, BP # 6, 4/21/10,** was approved for an increase of \$74,208 to revise coring layout, poke thru devices, and electrical and technology raceways for Steelcase furniture in ED area. **Change Order # 252, BP # 6, 4/21/10,** was approved for an increase of \$74,097 to add temperature mixing valves to eyewashes and emergency showers on the ground, 6th and 7th floors. **Change Order # 253, BP # 6, 4/21/10,** was approved for an increase of \$30,041 to provide additional electrical connections as required for installation of 11 Swisslog blower units and one Swisslog transfer unit on the 4th floor. **Change Order # 258 BP # 6, 4/23/10,** was approved for an increase of \$425,593 to furnish and install waste plumbing and venting required for 64 patient rooms, staff rest rooms, staff break areas, and utility spaces on the 8th floor of the PCF. Completing this work at this time eliminates the need for access to the 7th floor, which will be occupied when the 8th floor fit-out construction starts. **Change Order # 261, BP # 6, 4/27/10,** was approved for an increase of \$68,333 to modify the fire alarm systems in the PCF, Gill Building, Critical Care Center and Chandler Hospital such that all buildings report to the new fire control center in the PCF. **Change Order # 267, BP # 6, 4/29/10,** was approved for an increase of \$35,307 to add additional plantings and ornamental fencing between the Agriculture Building and Emergency parking area to establish the boundary between the two. **Change Order # 287, BP # 6, 5/13/10,** was approved for an increase of \$38,906 to install dust shield covers on 164 drop in lights in the ED for infection control purposes. **Change Order # 288, BP # 6, 5/13/10,** was approved for an increase of \$47,608 to re-route storm piping, irrigation piping, and vent piping above the ceiling in the auditorium to allow for proper installation of mechanical equipment. **Change Order # 301, BP # 6, 5/18/10,** was approved for an increase of \$54,139 to convert two secure treatment rooms into isolation rooms by adding a new isolation exhaust fan on the 1st floor roof, within the chiller screen wall. Modify air balance and add room monitors for proper pressurization. **Change Order # 327, BP # 6, 6/3/10,** was approved for an increase of \$107,562 to provide temporary upright sprinkler heads where ceilings are not yet installed on the ground floor, and upgrade and extend several of the existing partitions to 2 hour walls for the phased turnover of the ED. **Change Order # 328, BP # 6, 6/7/10,** was approved for an increase of \$101,969 to add missing life safety power to 87 fire smoke dampers. **Change Order # 332 BP # 6, 6/10/10,** was approved for an increase of \$88,130 to revise metal stud layout, electrical work, duct work, floor and wall finishes to accommodate the "Celebrate Kentucky" wall design. The final design of the wall was not available when the construction documents were published. **Change Order # 334, BP # 6, 6/11/10,** was approved for an increase of \$42,212 to add various security hardware items to the ground floor ED which were not included in the original contract documents. **Change Order #336, BP # 6, 6/11/10,** was approved for an increase of \$34,217 to provide horizontal connections for 34 locations for the overhead paging system in the ED. The final design of the system was not selected by the end users prior to the submission of construction documents. **Change Order # 340, BP # 6, 6/24/10,** was approved for an increase of \$80,919 to add card readers, locking door hardware, exit button, door contact, key switch, shear locks, retractable latches and delayed egress controller to various doors in the ED to realize the required security and access control for imaging areas and med. storage rooms.



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**Construct Patient Care Facility Change Orders greater than \$25,000 Cont....**

**Change Order # 343, BP # 6, 6/25/10,** was approved for an increase of \$45,551 to include a new pathway and the abutting planting mounds for the green roof on the 5th floor roof. It includes the relocation of the excess Green Roof planting trays that are displayed by the new pathway and planting mounds. **Change Order # 350, BP # 6, 6/25/10,** was approved for an increase of \$89,093 to add one duplex outlet and change one duplex to quad outlet in 128 Acute Care and ICU Patient Rooms on the floors. **Change Order # 354, BP # 6, 6/29/10,** was approved for an increase of \$69,437 to modify street light bases and illuminated signs along Limestone St. to allow installation of exterior signs.

**Change Order # 363, BP # 6, 7/6/10,** was approved for an increase of \$26,160 to add panel, transformer and fused disconnect in the parking garage to support lighting and receptacles in the bridge lobby. **Change Order # 380, BP # 6, 7/27/10,** was approved for an increase of \$34,092 to drain heating hot water system, and relocate 15 valves of varying sizes. This will facilitate requirement of fewer outages and disruptions to patient care in future phases of construction. **Change Order # 404, BP # 6, 8/6/10,** was approved for an increase of \$47,988 to relocate various sanitary, waste, and vent lines that are above high voltage gear on the fourth floor, and place pans under any lines that cannot be moved in order to eliminate the threat to electrical gear below. **Change Order # 405, BP # 6, 8/6/10,** was approved for an increase of \$59,470 to revise lobby design to accommodate design of the Main Donor Wall. The design of the Donor Wall was not available when the project was bid. **Change Order # 413, BP # 6, 8/11/10,** was approved for an increase of \$60,950 to revise the Rose Street Plaza landscaping to include additional subsoil, planting medium, and plants as required to expand the landscaping plan. **Change Order # 416, BP # 6, 8/11/10,** was approved for an increase of \$29,068 to furnish and install automatic dampers and their controls for the atrium smoke exhaust fans.

**Change Order # 433, BP # 6, 8/25/10,** was approved for an increase of \$36,213 to reroute storm pipe in the ground floor lobby area including portion the underground piping. **Change Order # 441, BP # 6, 8/30/10,** was approved for an increase of \$48,310 to furnish the labor and material to revise four steel reinforced concrete fan bases, and provide four additional steel reinforced concrete fan bases on the fifteenth floor of the facility. **Change Order # 442, BP # 6, 8/30/10,** was approved for an increase of \$182,106 to revise the lobby rotunda lighting to include 25 additional Illumivision Light Wave fixtures and remote lighting control system. These lights will be installed in recesses in the rotunda.

**Change Order # 451, BP # 6, 9/7/10,** was approved for an increase of \$27,185 to change fabric on the 6th and 7th floor Mechoshades to enhance function and durability of the shades in the inpatient areas. **Change Order # 456, BP # 6, 9/7/10** was approved for an increase of \$80,283 to furnish and install all required elements to create a new lobby water feature to include the water feature, supporting electrical and pumping systems, control systems. **Change Order # 462, BP # 6, 9/13/10,** was approved for an increase of \$284,907 to install conduit and cabling to accommodate nurse call device quantity and location changes per approved Hill Rom drawings. **Change Order # 465, BP # 6, 9/14/10,** was approved for an increase of \$313,636 to furnish and install 128 Marlite artwork panels and Korogard wall protection with related trim on inpatient room walls at the head of the bed to accommodate the final selection of the artwork for patient rooms. **Change Order # 467, BP # 6, 9/15/10,** was approved for an increase of \$26,145 to furnish & install additional trapeze hangers for life safety & emergency. **Change**

**Order # 475, BP # 6, 9/15/10,** was approved for an increase of \$88,510 to furnish and install additional 120V power connections required for twenty-four PTZ cameras. **Change Order # 477, BP # 6, 9/17/10,** was approved for an increase of \$57,683 to provide additional work in the laboratory required to complete the connections between the east connector and to maintain and enhance operations of the Critical Care 6th floor laboratory. **Change Order # 481, BP # 6, 9/22/10,** was approved for an increase of \$76,752 to provide 2 additional sets of filters for AHU's that were not purchased with the equipment or from the HVAC contractor. **Change Order # 485, BP # 6, 9/28/10,** was approved for \$313,198 to furnish and install electrical conduit and wiring associated with additional electrical outlets throughout the 6th & 7th floors.

**Change Order #461, BP # 6, 10/18/10,** was approved for an increase of \$30,566 to replace the existing analog security cameras for the into the Helipad with digital cameras so that they will tie into the new security monitoring system. **Change Order # 500, BP # 6, 10/1/10,** was approved for an increase of \$54,866 to furnish and install the required infrastructure, finishes and equipment to activate a food kiosk on the first floor.

**Change Order # 505 BP # 6, 10/11/10,** was approved for an increase of \$87,055 to provide additional labor and material to furnish and install ductwork, heating water piping and associated controls to heat and cool the dining area adjacent to first floor lobby. **Change Order # 509, BP # 6, 10/12/10,** was approved for an increase of \$87,829 to furnish the labor and materials to reinforce the underside of the existing Children's Hospital bridge. **Change Order # 512 BP # 6, 10/12/10,** was approved for an increase of \$85,357 to fund overtime costs for drywall installation on the 6th and 7th floors to maintain project schedule. **Change Order # 516, BP # 6, 10/18/10,** was approved for an increase of \$57,559 to revise the conduit path to the ICU workstation from via columns to via under-floor poke-thrus in eight locations. **Change Order # 51**

**BP # 6, 10/18/10,** was approved for an increase of \$57,819 to furnish and install 52 smoke detectors including one on each side of the rated doors with door hold opens in the Emergency Department as required by the Fire Marshall. **Change Order #518, BP # 6, 10/19/10,** was approved for an increase of \$37,616 to install 20 gravity dampers to serve as backdraft dampers for roof top fans. **Change Order # 522 BP # 6 10/26/10,** was approved for an increase of \$28,015 to provide properly terminated patch cables between room boards and precautionary signs in 128 rooms on the 6th and 7th floors due to selection of signage. **Change Order # 526, BP # 6, 10/26/10,** was approved for an increase of \$51,847 to provide full depth replacement of the pavement scheduled for milling (Rose Street up to Limestone Street intersection) due to existing problems in pavement. **Change Order # 538, BP # 6, 11/1/10,** was approved for an increase of \$87,125 to revise nurse call device locations and modify power and data locations in furniture systems on the sixth and seventh floors to accommodate the nurse call and furniture systems selected. **Change Order # 542, BP # 6, 10/28/10** was approved for an increase of \$32,304 to provide temporary installation of 23 AV strobe devices in the construction areas adjacent to the areas of the ED that are occupied as requested by the State Inspector.

**Change Order #544, BP # 6, 11/1/10,** was approved for an increase of \$25,607 to build a frame below fan coil units in the 14th level elevator machine rooms to eliminate the ability for someone to walk under the units as requested by the Elevator Inspector. **Change Order # 54**

**BP # 6, 10/28/10,** was approved for an increase of \$26,149 to furnish labor and material to shim casework to align curtain wall and precast panels in 128 patient rooms. **Change Order # 551 , BP # 6, 11/2/10,** was approved for an increase of \$48,092 to furnish the labor and materials to install seventeen data outlets for lighting control interface panels. **Change Order # 561, BP # 6, 11/5/10,** was approved for an increase of \$135,267 to provide power, data and detailed rough-in requirements for security devices on the ground through six levels.

**Change Order # 566 , BP # 6, 11/12/10,** was approved for an increase of \$45,037 to furnish and install materials necessary to protect lobby stone floor during the construction process. **Change Order # 567 , BP # 6, 11/10/10,** was approved for an increase of \$31,970 to furnish and install six 4 inch conduits on the 6th floor in lieu of the cable tray shown on design due to space constraints. **Change Order # 569 , BP # 6, 11/12/10,** was approved for an increase of \$29,094 to furnish and install additional power and technology cabling/outlets in the ED core area.

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## Construct Patient Care Facility Change Orders greater than \$25,000 Cont....

**Change Order # 572 , BP # 6**, 11/12/10 was approved for an increase of \$31,746 to modify the type-C data outlet requirements on 6th and 7th floors for 802.11 wireless access points. **Change Order # 573, BP # 6**, 11/11/10 was approved for an increase of \$25,590 to add eighteen additional fireman's phone jacks and associated conduit and wiring for elevator control rooms. **Change Order # 574 , BP # 6**, 11/10/10, was approved for a decrease of \$29,881 to delete unnecessary work previously purchased as a result of revisions made in the Rose Street Plaza. **Change Order # 585 ,BP # 6**, 11/24/10, was approved for an increase of \$77,023 to provide for color revision of the marble that serves as a base for the ten columns in the main lobby. **Change Order # 601 , BP # 6**, 12/14/10, was approved for an increase of \$47,480 to provide premium time for the electrician to keep the electrical rough-in ahead of drywall installation due to change order work. **Change Order # 604, BP # 6**, 12/14/10 was approved for a decrease of \$27,229 to delete hot water piping insulation for temporary heat on shelled floors 5, 8, 9, 10, 11 & 12. **Change Order # 617 , BP # 6**, 12/17/10, was approved for an increase of \$54,781 to provide for modifications to 6th and 7th floor door hardware and electronic security hardware as required by building code inspector. **Change Order # 619, BP # 6**, 1/24/11, was approved for an increase of \$83,092 to furnish and install temporary floor protection over all the thin set tile on the first level of the lobby to prevent scratches and damage from equipment and tools. **Change Order # 621, BP # 6**, 1/3/11, was approved for an increase of \$63,378 to recircuit the outlets serving the physiological monitors in 128 of the patient rooms on 6th and 7th floors from normal to critical power. **Change Order # 643, BP # 6**, 1/10/11, was approved for a decrease of \$32,258 to delete various signs from the signage schedule due to review of final site conditions and traffic patterns. **Change Order # 644, BP # 6**, 1/10/11, was approved for an increase of \$41,411 to revise the final signage package per drawings for ground floor areas A and B (Emergency Department). **Change Order # 650, BP # 6**, 1/13/11, was approved for an increase of \$50,318 to provide labor and material to construct support offices to the Ground and First Level Information Desks of the main floor lobby. **Change Order # 658, BP # 6**, 1/26/11, was approved for an increase of \$88,797 to furnish and install materials necessary to protect lobby stone during the construction process. **Change Order # 664, BP # 6**, 1/27/11, was approved for an increase of \$25,469 to revise elevator controls to add Cat 6 UTP cable and jack in specific elevator machine rooms to support TUG electronics. **Change Order # 671, BP # 6**, 1/31/11, was approved for an increase of \$25,508 to furnish the labor and install 1" shaft liner overlapping the vertical tube steel columns in elevator shafts for groups 1-5 per the state building inspector's request. **Change Order # 673, BP # 6**, 2/2/11, was approved for a decrease of \$107,640 to provide a credit for the quantity of miscellaneous metals and associated labor no longer required to mount the University purchased patient lift rails. **Change Order # 675, BP # 6**, 2/2/11, was approved for an increase of \$25,192 to raise three exhaust fans and provide exterior grade inlet duct extension, outside air bypass duct and balance damper for fans. **Change Order # 682, BP # 6**, 2/17/11, was approved for an increase of \$30,888 to provide the necessary wall protection in the west connector corridor due to high use. **Change Order # 689, BP # 6**, 2/21/11, was approved for an increase of \$44,499 to provide temporary heat for Lobby 1 and 2 finishes. **Change Order # 692, BP # 6**, 2/24/11, was approved for an increase of \$230,433 to create an allowance funded from the Construction Manager's contingency to fund overtime for specific work on a case by case basis. **Change Order # 703, BP # 6**, 3/1/11, was approved for an increase of \$32,704 to provide the labor and material to install twenty five flow and tamper switches. **Change Order # 705, BP # 6**, 3/2/11, was approved for an increase of \$98,771 to perform demolition for the Second Level surgery break room and adjacent areas in the CCC. **Change Order # 709, BP # 6**, 3/7/11, was approved for an increase of \$29,956 to provide and install the wood seats in masonry walls as shown on the landscape drawings. **Change Order # 711, BP # 6**, 3/9/11, was approved for an increase of \$462,671 to provide the labor and material to upgrade the door hardware and electronic security hardware for 119 openings throughout the PCF that have not been previously addressed. Work includes addition of smoke detectors, additional fire alarm devices, electronic door hardware, access control wiring, card readers, door position switches, door control panels, intercom locations and supporting infrastructure and equipment required to support the additional elements. **Change Order # 722, BP # 6**, 3/18/11, was approved for an increase of \$82,324 to provide control wiring and start-up to electrified door hardware for 48 doors. Includes power supplies, magnetic hold opens, latch bolt assemblies and associated wiring and programming. **Change Order # 751 BP # 6**, 4/28/11 was approved for an increase of \$35,916 to provide rework upgrades of the circuitry to meet the requirements of the lighting in the patient rooms. **Change Order # 760 BP # 6**, 6/8/11 was approved for an increase of \$25,315 to upgrade the circuitry in dietary rooms A06026 and A07026 to support the food service equipment. **Change Order # 763 BP # 6**, 5/9/11 was approved for an increase of \$37,731 to add 12 wireless access points at west connectors to existing structures on the 1st and 2nd levels based on operational patterns. **Change Order # 776 BP # 6**, 6/16/11 was approved for an increase of \$44,563 to provide temporary heating augmentation in Lobbies 1 & 2 from January thru March. **Change Order # 778 BP # 6**, 6/16/11 was approved for an increase of \$37,263 to provide raceways for smoke detectors at all stairwell exit doors in all floors. **Change Order # 810, BP # 6**, 7/19/11 was approved for a decrease of \$86,636 to provide a credit for unnecessary expenses that were incurred as a result of the failure to complete a preliminary short circuit coordination study before the installation of the feeder circuits to the 112.5 KVA dry type transformers. **Change Order # 821, BP # 6**, 8/2/11 was approved for an increase of \$120,151 to fund the cost for additional storm piping requirements for areas in 3rd and 4th floor mechanical rooms. **Change Order # 862, BP # 6**, 8/12/11 was approved for an increase of \$28,168 to provide for modifications that will allow the construction elevator to operate independently from the service elevators, creating less disruption in hospital operations during future construction. **Change Order # 866, BP # 6**, 8/24/11 was approved for a decrease of \$35,000 to provide a credit for standard metal frames in lieu of specified galvanized metal frames on the patient bathroom doors on all floors. **Change Order # 876, BP # 6**, 8/24/11 was approved for an increase of \$65,927 to furnish the labor and material to add 1800 sf of the metal panel ceiling/soffit on the east and west ends near the west connector area adjacent to the existing pedway. **Change Order # 886, BP # 6**, 9/6/11 was approved for an increase of \$57,849 to provide modifications required to allow the building chilled water system to operate within the campus chilled water system parameters. **Change order # 905, BP # 6**, 2/2/12, was approved for an increase of \$184,103 to adjust the final steam cost for steam provided by UK to the projects. The contractor was inadvertently overcharged for steam billings and this reimburses the mistake.

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**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

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**PCF - Hospital - CUP Expansion**

Project Number: 2239.32

Scope: \$13,150,000

**Project Description:**

This is a portion of the larger Patient Care Facility Project (2239.0). The project includes an 18,000 square foot addition to the existing Central Utilities Plant on Press Avenue. The expansion will include provisions for two new 125,000 lb./hr boilers and additional chillers. The structure will include an enlarged cold well and basement in the cooling section. The boilers and supporting equipment will be installed under this project. Chiller purchases are not contemplated at this time.

**Consultant:** GBBN Inc.

See project 2239.0

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

**Describe all amendments :**

Revised Contract Amount:

**Contractor:** Messer Construction Co.

Contract # 4500008954

Original Contract Amount:

\$9,474,000

Total Change Orders to Date: 48

Cumulative Change Order Amount:

\$786,756

Low Bid: \$9,474,000

Revised Contract Amount:

\$10,260,756

High Bid: \$10,400,000

Contract Percent Complete:

99%

Number of Bids: 2

**Describe all change orders greater than \$25,000.00:**

**Change Order # 4**, 12/10/07, was approved for \$37,518 to provide required additional rock and soil drilling. **Change Order # 7**, 2/21/08, was approved for an increase of \$53,981 to provide temporary building heat until the new dearator and surge tank are installed. **Change Order # 14**, 7/23/08, was approved for an increase of \$28,219 to change the boiler water piping from ductile iron to schedule 80 black steel pipe. **Change Order # 17**, 7/10/08, was approved for an increase of \$26,270 to install new platform in the northwest corner of the CUP addition to provide greater accessibility and safer access to valves and equipment. **Change Order # 21**, 7/23/08, was approved for an increase of \$45,585 to provide for additional costs resulting from the late delivery of the boilers provided by the University and resulting complications which impacted items on the critical path. Liquidated damages collected from the boiler manufacturer will be applied to the compensation associated with this change order. **Change Order # 30**, 8/11/08, was approved for an increase of \$28,249 to relocate the new condensate pump from the BBSRB tunnel to the College of Pharmacy tunnel. Provide valving to allow the pump to pump in either direction. This change allows for more versatile operation of the campus steam system. **Change Order # 34**, 10/30/08, was approved for an increase of \$52,257 to provide and install pipe insulation for the new boiler feed water piping and the boiler blow-down piping. **Change Order # 35**, 11/3/08, was approved for an increase of \$34,693 to provide and install two wye strainers and to install and connect the stack gas analyzers and Horiba panels. Because the boilers were a direct purchase by the University this scope of work was not originally included in the contract documents. **Change Order # 37**, 2/2/09 was approved for \$26,661 to revise the Civil Site plan to provide greater security and improve the utilization of the grounds north of the new construction. **Change Order # 44**, 2/24/10, was approved for an increase of \$25,745 to perform various items of work related to the boiler installation not included in the contractor's original contract documents. **Change Order # 45**, 2/24/10, was approved for an increase of \$54,881 to install a new VFD for each of eight ventilation fans. The high efficiency boiler operation required increased building temperature control to manage the combustion process of the burners of the two boilers. **No change orders greater than \$25,000 this quarter.**

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**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

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**Construct Patient Care Facility - Data Center**

Project Number: 2239.71

Scope: \$6,799,109

**Project Description:**

This project will fit-up approximately 2,000 square feet for a new computer machine room on the fourth floor of the new Patient Care Facility for a new hospital data center with redundant air conditioning, electrical and communication support systems.

**Consultant:** GBBN Architects

See project 2239.0

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

**Describe all amendments :**

Revised Contract Amount:

**Contractor:** Turner Construction

Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added. \*Turner's contract was awarded by RFP process.

Contract # 4500047768

Original Contract Amount:

\$5,708,213

Total Change Orders to Date:

Cumulative Change Order Amount:

\$92,758

Low Bid:

Revised Contract Amount:

\$5,800,971

High Bid:

Contract Percent Complete:

99%

Number of Bids:

**Describe all change orders greater than \$25,000.00:**

**Change Order # 5, was approved for an increase of \$52,057 to furnish the labor and materials to utilize 66 small load banks during the commissioning process in lieu of 6 large load banks to accommodate proper commissioning.**

## CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

### Construct Patient Care Facility (Pavilion A, OR Suites and Support)

Project Number: 2239.70

**Project Description:**

Scope: \$35,000,000

This project provides a new surgical suite to be constructed in the shell space of the new Patient Care Facility. The project includes construction of 8 operating rooms, 1 hybrid OR, with supporting spaces for surgical pathology, surgical pharmacy, anesthesiology, staff lounge and lockers, and preoperative / post operative functions. Also included will be the construction of a new central sterile supply and associated elevators to serve the new surgery areas. In addition the project will supply a 63,000 CFM air handling unit to provide for the HVAC requirements of this area. The fit-up encompasses an area of approximately 66,000 SF.

**Consultant:** GBBN

Contract # A061080

Original Contract Amount: \$937,498

Total Amendments to Date: 1

Cumulative Amendment Amount: \$349,722

**Describe all amendments :**

Revised Contract Amount: \$1,287,220

**Amendment 9**, 1/25/11, an increase of \$349,722 was approved to provide redesign of the surgical areas and central sterile supply to include the production of Construction Documents and add 6,990 square feet for the Post Anesthetic Care Unit (PACU). **No amendments this quarter.**

**Contractor:** Turner Construction Co. (Construction Manager At-Risk) Note: This is a 'CM-At-Risk' contract.  
The amount will be increased as subcontracts are bid and added. \*Turner's contract was awarded by RFP process.

Contract # 4500041362

Original Contract Amount: \$15,253,686

Total Change Orders to Date: 180

Cumulative Change Order Amount: \$890,166

Low Bid:

Revised Contract Amount: \$16,143,852

High Bid:

Contract Percent Complete: 99%

Number of Bids:

**Describe all change orders greater than \$25,000.00:**

**Change Order # 1**, 8/30/10, was approved for an increase of \$29,377 to construct a full-scale mock-up of select O.R. spaces for Owner review and testing of equipment locations. **Change Order # 9**, 4/5/11 was approved for a decrease of \$82,157 to provide credit for a value engineering option of changing the ceiling tile type from a fiberglass tile to a scrubbable mylar tile. **Change Order # 23**, 4/21/11 was approved for an increase of \$25,167 to install data cables serving security devices. **Change Order # 24**, 4/27/11 was approved for an increase of \$61,488 to address MEP conflicts with the final Stryker equipment submittal. **Change Order # 30**, 5/23/11 was approved for a decrease of \$55,829 to provide a credit for owner to purchase equipment direct from Graybar. **Change Order # 35**, 5/23/11 was approved for an increase of \$69,824 to add med gas wall outlets in various areas. **Change Order # 46**, 6/10/11 was approved for an increase of \$38,571 to modify the single sink design to a triple sink design at four workstations. **Change Order # 61**, 7/12/11 was approved for an increase of \$34,736 to revise underslab plumbing to relocate floor drains and vent lines in the CSS area to accommodate Steris equipment. **Change Order # 110**, 10/5/11, was approved for an increase of \$37,610 to furnish and install 12 automatic door operators. **Change Order # 122**, 11/1/11, was approved for an increase of \$27,016 to provide stainless steel enclosures at 5 washers, 1 backflow valve and a bank of electrical disconnects to conceal exposed conduit and plumbing piping in the CSS and Decontam areas. **Change Order # 170**, 2/2/12, was approved for an increase of \$35,749 to extend general conditions and project staffing for forty five days due to equipment delays. **Change Order # 178**, 2/20/12, was approved for an increase of \$28,438 to replace the existing air terminals with 8" Phoenix valves in rooms A102613 and A012611 to ensure consistency and simplify troubleshooting. **Change Order # 177**, 2/21/12 was approved for an increase of \$41,291 to provide isolation control dampers in the operating room return air ductwork for all nine OR's.

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**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

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**Guaranteed Energy Savings Performance Contracts**

Project Number: 2288.00

**Project Description:**

Scope: \$25,000,000

An energy service company (ESCO), such as AMERESCO, provides comprehensive energy and water management analysis plans as well as energy and water-related capital improvement services. Enabled by Kentucky Revised Statute 56.774, energy savings performance contracting is a cost-effective process for energy upgrades. Energy service companies guarantee that utility savings generated by facility upgrades are sufficient to pay back the capital investment during the next twelve years. If the project does not provide these returns on the investment, the ESCo is responsible for the difference. This project will help meet the overall University goal, which is to decrease the university's overall energy demands by 10-15 percent within the sixty-one buildings involved.

Energy conservation measures in the project that will reduce the university's overall energy consumption include: Upgrades to lighting systems to the latest electric saving technology; fume hood controls in Chemistry/Physics; HVAC systems; steam and chiller plant controls; Installation of motor speed drives (VFD's) to save energy during mild weather conditions; Energy management software to monitor usage in real time; and automatic utility metering devices; Replacement of old plumbing fixtures to the latest water saving technology; Repair of pipe insulation; Behavioral modification programs to encourage energy conservation, tailored for campus and developed, implemented and measured to maximize effectiveness and initiate a culture of energy efficiency.

**Consultant: N/A**

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

**Describe all amendments :**

Revised Contract Amount:

**Contractor: Ameresco Inc**

Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added.

\*Ameresco's contract was awarded by RFP process.

Contract # 4500042240

Original Contract Amount:

\$24,675,589

Total Change Orders to Date: 2

Cumulative Change Order Amount:

-\$308,890

Low Bid:

Revised Contract Amount:

\$24,366,699

High Bid:

Contract Percent Complete:

94%

Number of Bids:

**Describe all change orders greater than \$25,000.00:**

**Change Order # 1, 6/8/11** was approved for a decrease of \$458,890 to create an allowance to be used for abatement.

**No change orders greater than \$25,000 this quarter.**

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**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

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**Renovate 4th Floor Sanders-Brown Center on Aging**

Project Number: 2313.01

**Project Description:**

Scope: \$6,428,471

This project will consist of renovating approximately 9,000 square feet of research support space in the Sanders-Brown Center. This project will include retrofitting outdated, noncompliant research support space and the associated mechanical equipment to meet current regulatory requirements. The project is fully funded from an NIH Grant as part of the ARRA.

**Consultant:** Omni Architects

Contract # A101140

Original Contract Amount:

\$562,119

Total Amendments to Date: 1

Cumulative Amendment Amount:

\$28,000

**Describe all amendments :**

Revised Contract Amount:

\$590,119

**Amendment 1, 12/14/10, an increase of \$28,000 was approved for additional design and construction administration services associated with LEED certification. No amendments this quarter.**

**Contractor:** Messer Construction Co Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added. \*Messer's contract was awarded by RFP process.

Contract # 4500040166

Original Contract Amount:

\$382,695

Total Change Orders to Date: 1

Cumulative Change Order Amount:

\$3,104

Low Bid:

Revised Contract Amount:

\$385,799

High Bid:

Contract Percent Complete:

29%

Number of Bids:

**Describe all change orders greater than \$25,000.00:**

**No change orders greater than \$25,000 this quarter.**

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**Renovate Northside Library**

Project Number: 2324.00

**Project Description:**

Scope: \$2,373,000

This project consists of renovating approximately 11,000 GSF of space on a single floor. The building will be renovated into an undergraduate class lab facility for Biology and an outreach instructional facility for the Partnership Institute for Mathematics and Science Education Reform (PIMSER). The improvements will include a community activities room that will double as a classroom for both PIMSER and Biology. The renovation will also incorporate necessary upgrades of the building systems, such as communications, plumbing, HVAC, electrical, fire safety and security.

**Consultant:** Omni Architects

Contract # A121070

Original Contract Amount:

\$155,000

Total Amendments to Date:

Cumulative Amendment Amount:

**Describe all amendments :**

Revised Contract Amount:

\$155,000

**No amendments this quarter.**

**Contractor:** Marrillia Design & Construction

Contract # 7500002705

Original Contract Amount:

\$1,105,714

Total Change Orders to Date: 6

Cumulative Change Order Amount:

\$53,297

Low Bid: \$1,105,714

Revised Contract Amount:

\$1,159,011

High Bid: \$1,322,000

Contract Percent Complete:

17%

Number of Bids: 10

**Describe all change orders greater than \$25,000.00:**

**Change Order # 6, 3/27/12, was approved for an increase of \$32,902 to replace the existing underground sanitary lines and add a Watts 909 backflow preventer.**

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**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

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**Renovate Nursing Building Phase II**

Project Number: 2316.00

Scope: \$1,342,500

**Project Description:**

This project will renovate approximately 8,200 square feet on the sixth floor of the College of Nursing Building to accommodate the construction of a new 118 seat Computer Classroom and associated support space. The project will be funded by federal grant of \$1,287,000 from the U.S. Department of Health and Human Services and University funds.

**Consultant:** GRW, Inc.

Contract # A11090

Original Contract Amount: \$73,000

Total Amendments to Date:

Cumulative Amendment Amount:

**Describe all amendments :**

Revised Contract Amount: \$73,000

**No amendments this quarter.**

**Contractor:** Churchill McGee, LLC.

Contract # 7500000658

Original Contract Amount: \$628,199

Total Change Orders to Date: 003

Cumulative Change Order Amount: \$11,812

Low Bid: \$628,199

Revised Contract Amount: \$640,011

High Bid: \$706,265

Contract Percent Complete: 95%

Number of Bids: 12

**Describe all change orders greater than \$25,000.00:**

**No change orders greater than \$25,000 this quarter.**

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**Renovate Old Pharmacy Building for Biology (Multi- Disciplinary Sciences Building)**

Project Number: 2317.10

Scope: \$3,677,500

**Project Description:**

The old Pharmacy building (now Multi-Disciplinary Sciences Building) will be used to provide teaching lab space for Biology, future research lab space for Biology and Chemistry, and office space to co-locate the University's significant core of statistical expertise from the colleges of Arts and Sciences, Public Health and Medicine.

This phase of the project will renovate approximately 18,120 square feet on the first, second and third floors of the Multi-Disciplinary Sciences Building and will include the modification of the existing offices and the creation of additional offices, computer class labs, a Biology class lab and support spaces. The estimated scope of \$3.4 million will be funded from institutional fund balances.

**Consultant:** Stengel-Hill Architecture

Contract # A111080

Original Contract Amount: \$250,000

Total Amendments to Date: 1

Cumulative Amendment Amount: \$29,600

**Describe all amendments :**

Revised Contract Amount: \$279,600

**Amendment 1, 5/10/11, an increase of \$29,600 was approved to provide additional design services as required due to scope increase. No amendments this quarter.**

**Contractor:** Jarboe Construction, Inc.

Contract # 4500047132

Original Contract Amount: \$1,884,000

Total Change Orders to Date: 18

Cumulative Change Order Amount: \$159,392

Low Bid: \$1,884,000

Revised Contract Amount: \$2,043,392

High Bid: \$2,242,000

Contract Percent Complete: 100%

Number of Bids: 11

**Describe all change orders greater than \$25,000.00:**

**Change Order # 5, 8/24/11 was approved for an increase of \$109,805 to provide an additional 1400 nsf of finished office space on the third floor. The space will be used for the department of Statistics. No change orders greater than \$25,000 this quarter.**



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**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

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**Renovate Parking Structure #3 - UKHC**

Project Number: 2337.00

Scope: \$1,238,824

**Project Description:**

This project will consist of the selective repair of the EIFS on the spandrels and column wraps, repair pre-cast and connections, concrete slab repair, recoating of structural steel components, barrier cable repair, replacement of doors and frames, and miscellaneous painting.

**Consultant:** Brown & Kubican

Contract # A121100

Total Amendments to Date:

**Describe all amendments :**

**No amendments this quarter.**

Original Contract Amount:

\$87,000

Cumulative Amendment Amount:

Revised Contract Amount:

\$87,000

**Contractor:** No construction contract awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

**Describe all change orders greater than \$25,000.00:**

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

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**Renovate Schmidt Vocal Arts Center**

Project Number: 2326.00

Scope: \$1,500,000

**Project Description:**

This project will complete the renovations to include rehearsal rooms, teaching studios, practice rooms, music library, office space for staff and graduate assistants, and enhancements to the building's main entrance.

**Consultant:** Sherman Carter Barnhart

Contract # A111120

Total Amendments to Date:

**Describe all amendments :**

**No amendments this quarter.**

Original Contract Amount:

\$128,540

Cumulative Amendment Amount:

Revised Contract Amount:

\$128,540

**Contractor:** No construction contract awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

**Describe all change orders greater than \$25,000.00:**

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

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**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

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**Renovate Track and Field Facility**

Project Number: 2315.00

**Project Description:**

Scope: \$12,803,102

The University of Kentucky's Shively Track, used by the men's and women's track and cross country teams, is over 30 years old and needs to be renovated. This project will renovate the existing track and field facility by providing new track and field surfaces, field event training areas, spectator seating, spectator amenities (concessions, restrooms, etc.), and equipment storage. The track is anticipated to be a nine-lane synthetic surface track. An athlete only practice throw area has been integrated into the site plane, creating safety for adjacent spectators as well as providing a proper environment for the training and warm up of student athletes. A practice runway is being incorporated with the javelin throw. This area would also accommodate visiting track teams during meet events. The architectural design and materials will be integrated to enhance the proximity to the design elements of the University's adjacent baseball stadium. The concession building will overlook the track so spectators standing in line will continue to have visual access to the event completion. A new storage facility will be located at the Cooperstown Road entry, and will be of sufficient size to store all track and field equipment.

**Consultant:** Ross Tarrant Architects

Contract # A111060

Original Contract Amount:

\$868,500

Total Amendments to Date: 1

Cumulative Amendment Amount:

\$45,000

**Describe all amendments :**

Revised Contract Amount:

\$913,500

**Amendment # 1, 2/14/12, an increase of \$45,000 was approved to provide for additional special inspections needed due to a larger amount of concrete retaining walls than originally anticipated.**

**Contractor:** Abel Construction Co. Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added. \*Abel's contract was awarded by RFP process.

Contract # 4500043874

Original Contract Amount:

\$9,820,610

Total Change Orders to Date: 45

Cumulative Change Order Amount:

\$318,819

Low Bid:

Revised Contract Amount:

\$10,139,429

High Bid:

Contract Percent Complete:

73%

Number of Bids:

**Describe all change orders greater than \$25,000.00:**

**Change Order # 2, 8/24/11** was approved for an increase of \$30,332 to provide sand based sod in lieu of regular soil based sod to provide better drainage performance. **Change Order # 10, 8/24/11** was approved for a decrease of \$45,600 to use interlocking deck in lieu of welded deck and to use galvanized steel in lieu of painted finish on structure. **Change Order # 12, 8/25/11** was approved for an increase of \$117,663 to provide and install an eight lane practice track. **Change Order # 20, 10/13/11,** was approved for an increase of \$35,975 to increase the Kentucky American Water Co. allowance needed to relocate a section of waterline along Cooperstown Drive. **Change Order # 21, 11/3/11,** was approved for an increase of \$25,275 to install subdrainage piping for the permeable pavers for the drive along the north side of the track. **Change Order # 34, 1/23/12, was approved for an increase of \$25,300 to provide a slot drain in the concrete walk between the track and the lower wall of the lower grandstand.**

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**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

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**Renovate/Expand the Center for Applied Energy Research (Ph II)**

Project Number: 2286.20

Scope: \$1,285,224

**Project Description:**

The primary purpose of this project will be multi-phased project incorporating the following components:  
Mineral Process Lab Building - The initial phase of the project will require the construction of a 6,400 square foot support building. This new facility will permit the relocation of the existing mineral processing group, currently housed in the Center's main facility. The existing equipment will be relocated along with support space, offices and labs. Relocation of the Crushing Room - The existing Crushing Room is to be relocated into an existing facility originally built for dry storage of coal. Bio-fuels Highbay Lab Fit-up and Improvements - Renovated space, vacated by the Mineral Processes Lab in above, will allow for the development of a bio-fuels laboratory for production, characterization, and testing of biomass derived fuels, with a focus on biodiesel, bio-oils, and biomass derived Fischer-Tropsch liquids. The principle objective of this project is the establishment of a laboratory dedicated to the development of improved processes for biomass utilization, with the goal of supporting the development of the biofuels industry in Kentucky. The existing Combustion/High Bay Lab will have a new bench top fume hood and a new walk-in hood installed with required ductwork and exhaust fans.

**Consultant:** Denham Blythe

Contract # A101070

Original Contract Amount: \$40,000

Total Amendments to Date:

Cumulative Amendment Amount:

**Describe all amendments :**

Revised Contract Amount: \$40,000

**No amendments this quarter.**

**Contractor:** Parco Construction Group

Contract # 4500048996

Original Contract Amount: \$996,600

Total Change Orders to Date: 04

Cumulative Change Order Amount: \$34,057

Low Bid: \$996,600

Revised Contract Amount: \$1,030,657

High Bid: \$1,358,000

Contract Percent Complete: 74%

Number of Bids: 8

**Describe all change orders greater than \$25,000.00:**

**No change orders greater than \$25,000 this quarter.**

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**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

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**Replace Wildcat Lodge Student Housing (Wildcat Coal Lodge)**

Project Number: 2309.00

Scope: \$8,050,000

**Project Description:**

This project consists of the design and construction of a new housing facility of approximately 18,000 GSF to replace the existing Wildcat Lodge. The new facility is to house the UK men's basketball players in addition to other non-athlete students. The building will include a controlled main entryway, with combination living/bedroom spaces, a common space, with dining and gaming rooms. In addition, it will have a conference room and public restrooms in all common areas. The site will be located just east of the Joe Craft Center.

**Consultant:** JRA Architects

Contract # A101090

Original Contract Amount: \$554,253

Total Amendments to Date: 2

Cumulative Amendment Amount: \$10,975

**Describe all amendments :**

Revised Contract Amount: \$565,228

**Amendment 1**, 5/11/10, an increase of \$6,239.33 was approved to provide phase 2 geotechnical exploration services as a reimbursable expenditure. **Amendment 2**, 9/13/11, an increase of \$4,735.50 was approved to provide additional design services for the building foundation as a result of subsurface conditions that varied from the geotechnical report were discovered. **No amendments this quarter.**

**Contractor:** Codell Construction Company

Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added.

\*Codell's contract was awarded by RFP process.

Contract # 4500038207

Original Contract Amount: \$6,497,970

Total Change Orders to Date: 44

Cumulative Change Order Amount: \$212,887

Low Bid:

Revised Contract Amount: \$6,710,857

High Bid:

Contract Percent Complete: 88%

Number of Bids:

**Describe all change orders greater than \$25,000.00:**

**Change Order # 26**, 11/28/11, was approved for an increase of \$78,417 to accept add alternate # 1 to provide construction of an exterior patio. **Change Order # 27**, 12/22/11, was approved for a decrease of \$35,000 due to a reduction of scope in the graphics package. **No change orders greater than \$25,000 this quarter.**

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## CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

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### Student Housing - Supporting Utility Plant Upgrades

Project Number: 2153.30

Scope: \$1,275,000

**Project Description:**

This project includes expanding the Virginia Avenue Central Utility Plant to accommodate future expansion in steam and chilled water capacity. The project was established in support of the Student Housing Project.

**Consultant:** AM Kinney

Contract # A041000GG

Total Amendments to Date:

**Describe all amendments :**

**No amendments this quarter.**

Original Contract Amount:	\$26,315
Cumulative Amendment Amount:	
Revised Contract Amount:	\$26,315

**Contractor:** English Boiler & Tube Inc.

\*English Boiler's contract was awarded by RFP process.

Contract # 4500008298

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

**Describe all change orders greater than \$25,000.00:**

**No change orders greater than \$25,000.00 this quarter.**

Original Contract Amount:	\$1,147,748
Cumulative Change Order Amount:	
Revised Contract Amount:	\$1,147,748
Contract Percent Complete:	99%

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### Upgrade, Renovate, Improve or Expand Research Labs (Chem-Physics)

Project Number: 2332.00

Scope: \$832,000

**Project Description:**

This project will renovate approximately 2,100 square feet of Physics wet bench research space in the Chemistry-Physics Building. The work consists of renovating several contiguous laboratories on the first floor in the Chemistry-Physics Building as follows: Demolition of existing finishes, partitions, and building systems, and Construction of new partitions, installation of new finishes, building systems, and laboratory items as required to create new research laboratories and their supporting spaces. Installation of new buildings systems in other areas of the Chemistry-Physics Building to support the new research labs as shown on the Contract Documents. New finishes include resilient floor tile, resinous flooring, resilient base, painted gypsum board, and suspended acoustical ceiling system. New building components include metal laboratory casework, epoxy laboratory counters and sinks, and fume hoods.

**Consultant:** Omni Architects

Contract # A121080

Total Amendments to Date:

**Describe all amendments :**

**No amendments this quarter.**

Original Contract Amount:	\$59,000
Cumulative Amendment Amount:	
Revised Contract Amount:	\$59,000

**Contractor:** Mark Spaulding Construction Co.

Contract # 7500003088

Total Change Orders to Date: 1

Low Bid: \$602,250

High Bid: \$665,000

Number of Bids: 8

**Describe all change orders greater than \$25,000.00:**

**No change orders greater than \$25,000 this quarter.**

Original Contract Amount:	\$602,250
Cumulative Change Order Amount:	\$22
Revised Contract Amount:	\$602,272
Contract Percent Complete:	22%

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## CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

### Upgrade, Renovate, Improve or Expand Research Labs (Fit-up 4th & 5th Floor CoP)

Project Number: 2314.00

**Project Description:**

Scope: \$28,000,000

The Biological / Pharmaceutical Complex is a new 286,000 s.f. academic / research building for the University of Kentucky College of Pharmacy. The Fourth and Fifth Floor Fit-Out items for the building include miscellaneous metals and stairs, rough and finish carpentry, wall systems, flooring systems, ceiling systems, doors, frames, hardware, coatings, specialized equipment, plumbing systems, fire protection systems, mechanical systems, electrical systems and communications and controls systems. Food Service Fit-out items include rough and finish carpentry, wall systems, flooring systems, ceiling systems, frames, hardware, coatings, plumbing systems, fire protection systems, mechanical systems, electrical systems and communications and control systems. Audio-visual fit-up includes wiring, sound systems, video systems and control systems.

**Consultant:** EOP Architects

Contract # A101120

Total Amendments to Date: 1

Original Contract Amount: \$898,640

Cumulative Amendment Amount: \$17,800

**Describe all amendments :**

Revised Contract Amount: \$916,440

**Amendment 1, 12/16/11,** an increase of \$17,800 was approved for additional design services as required to incorporate additional emergency power feeds to support the implementation of the NMR within the basement of the new building.

**No amendments this quarter.**

**Contractor:** Messer Construction Co.

Contract # 4500039714

Total Change Orders to Date: 61

Low Bid:

High Bid:

Original Contract Amount: \$19,398,973

Cumulative Change Order Amount: \$717,561

Revised Contract Amount: \$20,116,534

Contract Percent Complete: 100%

Number of Bids:

**Describe all change orders greater than \$25,000.00:**

**Change Order # 1, 9/17/10,** was approved for an increase of \$25,705.13 to assign MultiVista under Messer's contract to provide construction documentation for the entire project to include project documentation (photo sets) with Progression and Detailed Sets, preconstruction site survey and slideshows. **Change Order # 30, 8/18/11** was approved for an increase of \$88,193 to install the video/media wall capable of providing full-screen playback from either the Alcorn McBride video player or the Contemporary Research HDTV cable tuner. **Change Order # 33, 9/23/11** was approved for an increase of \$141,546 to provide changes to labs 596 & 576, support rooms 594A, 574B and 574A required to support the particular needs of a new researcher's research. **Change Order # 38, 10/5/11,** was approved for an increase of \$69,999 to provide all site work at the west elevation and previously not installed landscape due to 4th and 5th floor staging area. **Change Order # 42, 10/6/11,** was approved for an increase of \$26,075 to add HVAC ductwork to stair N. **Change Order #44, 11/3/11,** was approved for an increase of \$29,604 to provide plantings and landscaping in front of KMSF where new steam piping is installed. **No change orders greater than \$25,000 this quarter.**

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**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

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**Upgrade Student Center Infrastructure**

Project Number: 2325.00

Scope: \$23,000,000

**Project Description:**

The Upgrade Student Center Infrastructure project will repair, upgrade and improve existing building infrastructure systems that have exceeded their useful life, need to be upgraded to meet current building code requirements, or need to be upgraded to meet current capacities being exceeded with occupant load. Systems include HVAC, plumbing and sanitary, mechanical, electrical and lighting, fire suppression/sprinkling, and communications infrastructures. As these infrastructure needs are upgraded, aesthetic and cosmetic upgrades will be made as a result of and in support of infrastructure upgrades.

**Consultant:** Omni Architects

Contract # A111130

Original Contract Amount: \$2,028,460

Total Amendments to Date:

Cumulative Amendment Amount:

**Describe all amendments :**

Revised Contract Amount: \$2,028,460

**No amendments this quarter.**

**Contractor:** Messer Construction Co. (Construction Manager At-Risk) Note: This is a 'CM-At-Risk' contract.

The amount will be increased as subcontracts are bid and added. \*Messer's contract was awarded by RFP process.

Contract # 4500047728

Original Contract Amount: \$1,034,780

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount: \$1,034,780

High Bid:

Contract Percent Complete:

Number of Bids:

**Describe all change orders greater than \$25,000.00:**

**No change orders greater than \$25,000 this quarter.**

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# FCR 6

Office of the President  
May 8, 2012

Members, Board of Trustees:

## PROPOSED 2012-13 TUITION AND MANDATORY FEES SCHEDULE

Recommendation: that the Board of Trustees approve the attached 2012-13 tuition and mandatory fees schedule and authorize the President to submit the schedule to the Council on Postsecondary Education for approval at its June 21 meeting.

Background: Pursuant to KRS 164.020, the Council on Postsecondary Education has the statutory authority to set tuition rates for Kentucky's public colleges and universities. At its April 20 meeting, the Council established the following criteria for the research institutions:

- maximum six percent increase for resident, undergraduate tuition and mandatory fees
- nonresident, undergraduate tuition and mandatory fees must be at least two times the resident undergraduate rate
- market competitive tuition and mandatory fee rates for graduate and first-professional students

The attached schedule reflects a recommended six percent increase in both tuition and mandatory fees for most resident and non-resident students. Due to market conditions, the tuition and mandatory fee rates for the College of Medicine and the College of Pharmacy reflect an increase of three percent. However, planned enrollment growth and shifts in the mix of resident and non-resident students within these programs are projected to generate gross tuition revenue equal to at least a six percent increase in revenue.

The six percent (\$30 per semester) increase in mandatory fees has been distributed based on a recommendation from the Student Fees Committee. Activities receiving a portion of the increase include the Johnson Center, Technology, Student Center and Student Involvement.

The recommended tuition and mandatory fees comply with the Council's criteria.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_



**2012-13 TUITION AND MANDATORY FEES SCHEDULE<sup>1</sup>**  
**Effective Fall 2012**

	<b>Per Semester Full-Time Fee<sup>2</sup></b>		<b>Part-Time and Intersessions Per-Credit-Hour Fee<sup>3</sup></b>	
	<b><u>2011-12</u></b>	<b><u>2012-13</u></b>	<b><u>2011-12</u></b>	<b><u>2012-13</u></b>
	<b>Undergraduate</b>			
<i>Lower Division</i>				
Resident	\$4,564.00	\$4,838.00	\$365.00	\$389.00
Non-Resident	\$9,370.00	\$9,932.00	\$766.00	\$813.00
<i>Upper Division</i>				
Resident	\$4,696.00	\$4,978.00	\$376.00	\$400.00
Non-Resident	\$9,495.00	\$10,065.00	\$776.00	\$824.00
<b>Graduate</b>				
Resident	\$4,933.00	\$5,229.00	\$519.00	\$552.00
Non-Resident	\$10,163.00	\$10,773.00	\$1,100.00	\$1,168.00
<b>Master in Business Administration (MBA)</b>				
<i>Evening and part-time students</i>				
Resident	\$5,702.00	\$6,044.00	\$605.00	\$642.00
Non-Resident	\$12,129.00	\$12,857.00	\$1,319.00	\$1,399.00
<b>Master of Arts in Diplomacy and International Commerce</b>				
Resident	\$5,172.00	\$5,482.00	\$546.00	\$580.00
Non-Resident	\$10,398.00	\$11,022.00	\$1,127.00	\$1,195.00
<b>Master of Science in Physician Assistant Studies</b>				
Resident	\$5,314.00	\$5,633.00	\$562.00	\$597.00
Non-Resident	\$10,540.00	\$11,172.00	\$1,143.00	\$1,212.00
<b>Master of Science in Radiological Medical Physics</b>				
<b>Master of Science in Health Physics</b>				
<b>(College of Health Sciences, Division of Radiation Sciences)</b>				
Resident	\$5,742.00	\$6,086.00	\$609.00	\$647.00
Non-Resident	\$10,937.00	\$11,593.00	\$1,187.00	\$1,259.00

	<b>Per Semester Full-Time Fee<sup>2</sup></b>		<b>Part-Time and Intersessions Per-Credit-Hour Fee<sup>3</sup></b>	
	<b><u>2011-12</u></b>	<b><u>2012-13</u></b>	<b><u>2011-12</u></b>	<b><u>2012-13</u></b>
<b>Law</b>				
Resident	\$9,153.00	\$9,702.00	\$891.00	\$947.00
Non-Resident	\$15,858.00	\$16,809.00	\$1,562.00	\$1,658.00
<b>Pharm. D.</b>				
Resident	\$11,118.00	\$11,466.00	\$911.00	\$941.00
Non-Resident	\$20,228.00	\$20,850.00	\$1,670.00	\$1,723.00
<b>Professional Doctoral (Nursing, Public Health and Transitional part-time Physical Therapy)</b>				
Resident	\$6,431.00	\$6,817.00	\$686.00	\$728.00
Non-Resident	\$14,036.00	\$14,878.00	\$1,531.00	\$1,624.00

	<b>Annual Full-Time Fee<sup>4</sup> Effective July 2011</b>	
	<b><u>2011-12</u></b>	<b><u>2012-13</u></b>
<b>Medicine<sup>5</sup></b>		
<i>Students - entering class of fall 2008</i>		
Resident	\$26,344.00	\$26,344.00
Non-Resident	\$49,219.00	\$49,219.00
<i>Students - entering class of fall 2009</i>		
Resident	\$29,233.00	\$29,233.00
Non-Resident	\$53,639.00	\$53,639.00
<i>Students - entering class of fall 2010</i>		
Resident	\$30,110.00	\$30,110.00
Non-Resident	\$55,248.00	\$55,248.00
<i>Students - entering class of fall 2011</i>		
Resident	\$31,907.00	\$31,907.00
Non-Resident	\$58,533.00	\$58,533.00
<i>Students - entering class of fall 2012</i>		
Resident	-	\$32,889.00
Non-Resident	-	\$60,334.00

**Annual  
Full-Time Fee<sup>4</sup>  
Effective July 2011**

	<u>2011-12</u>	<u>2012-13</u>
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**Dentistry<sup>6</sup>**

Resident	\$26,857.00	\$28,458.00
Non-Resident	\$54,807.00	\$58,085.00

**Master in Business Administration (MBA)<sup>7</sup>**

*Full-time students in the “Day” Program*

*Rates effective June 1, 2012*

Resident	\$10,543.00	\$11,165.00
Non-Resident	\$20,989.00	\$22,237.00

**Doctorate of Physical Therapy**

Resident	\$16,016.00	\$16,966.00
Non-Resident	\$35,022.00	\$37,112.00

**NOTES:**

<sup>1</sup>Rates include mandatory fees (see below). Students taking all of their courses at off-campus locations (including distance learning classes) outside of Fayette County and its contiguous counties may have mandatory fees waived. However, if a student who is eligible for this waiver wants to participate in any activity supported by these fees, the student must pay the total mandatory fees. Pursuant to the Memorandum of Agreement with the Kentucky Community and Technical College System, students enrolled at the Bluegrass Community and Technical College have the opportunity to selectively choose services from the list of mandatory fees.

<sup>2</sup>The full-time rate is charged to undergraduate and pharmacy students enrolled for 12 credit hours or more, graduate and professional doctoral students enrolled for 9 credit hours or more, and law students enrolled for 10 credit hours or more.

<sup>3</sup>Students enrolled part-time and in intersessions (e.g. summer and winter terms) are charged on a per-credit hour basis. Students considered full-time for financial aid and reporting purposes, but with less than full-time credit hours, (i.e., graduate students in residency status enrolled for zero or two credit hours) will be assessed on a per-credit hour basis.

<sup>4</sup>The annual rates for Medicine, Dentistry, MBA (“Day Program”), and the Doctorate of Physical Therapy include mandatory fees for 2012-13 totaling \$1,241.

<sup>5</sup>Beginning with Fall 2007, the College of Medicine tuition and mandatory fee rates are 'locked in' for each entering class cohort. The rates will not change while students are enrolled in the program. A half-time 2012-13 tuition and fee rate of \$17,065.00 for resident students and \$30,787.50 for non-residents is established for those medical students who have been approved by the College of Medicine Student Progress and Promotion Committee to take a reduced curriculum load.

<sup>6</sup>A half-time 2012-13 tuition and fee rate of \$14,850.00 for resident students and \$29,663.00 for non-resident students is established for those dental students who have been approved by the Dean of the College of Dentistry to take a reduced curriculum load.

<sup>7</sup>The 2012-13 rates for the Master of Business Administration 'Day' Program are effective June 1, 2012.

**2012-13 MANDATORY FEES SCHEDULE**  
**Effective Fall 2012**

	<b>Per Semester</b>		<b>Part-Time and Intersessions</b>	
	<b>Full-Time Fees</b>		<b>Per-Credit-Hour Fees</b>	
	<b><u>2011-12</u></b>	<b><u>2012-13</u></b>	<b><u>2011-12</u></b>	<b><u>2012-13</u></b>
Athletics	\$19.00	\$19.00	-	-
Environmental Stewardship	\$3.00	\$3.00	-	-
International Study Abroad	\$6.00	\$6.00	-	-
Johnson Center	\$69.00	\$74.00	\$6.90	\$7.40
Student Activities	\$15.50	\$15.50	-	-
Student Center	\$88.75	\$92.00	\$8.80	\$9.20
Student Government Association	\$11.50	\$11.50	-	-
Student Health	\$175.00	\$175.00	-	-
Student Involvement	\$16.25	\$21.00	\$1.60	\$2.10
Technology	\$82.00	\$99.00	\$8.00	\$9.90
WRFL Student Radio	\$5.00	\$5.00	-	-
Student Services	\$12.00	\$12.00	\$1.20	\$1.20
<b>Total</b>	<b>\$503.00</b>	<b>\$533.00</b>	<b>\$26.50</b>	<b>\$29.80</b>

# FCR 7

Office of the President  
May 8, 2012

Members, Board of Trustees:

## PROPOSED 2012-13 ROOM AND BOARD RATES

Recommendation: that the Board of Trustees adopt the attached 2012-13 room and board rates.

Background: The proposed dining rates reflect a four percent increase for each of the four available plans. Students living in residence halls are required to purchase the Minimum Dining Plan.

The proposed housing rates reflect no increase for tradition residence halls and graduate and family apartments. The proposed housing rates for the premium residence halls and Greek housing reflect increases of six percent and three percent, respectively. The recommended rates are necessary to generate sufficient revenue to cover anticipated increases in operating costs.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

PROPOSED 2012-13 ROOM AND BOARD RATES

**Dining (per semester effective Fall 2012)**

Dining Services will offer five dining plans during 2012-13. All students living in residence halls are required to purchase the Minimum Plan. Four plans provide five to 21 meals per week. A block plan offers 130 meals which can be used any time during the semester. Weekly and block plan meals are provided at Commons Market and Blazer Café (“unlimited choices” buffets) and at K-Lair, Ovid’s Café, and Bluegrass Cafe (combo meals).

Each dining plan also includes ‘Flex Dollars’. Flex dollars can be used at any of Dining Services’ 22 locations to purchase a’ la carte items. Flex dollars may be carried over from the fall to spring semester.

	2011-12 Per Semester			2012-13 Per Semester		
	Avg. Meals Per Week	Flex Dollars	Cost	Avg. Meals Per Week	Flex Dollars	Cost
Minimum Plan:	5	\$300	\$1,121	5	\$300	\$1,166
Optional Plans:	BLOCK	\$300	\$1,464	BLOCK	\$300	\$1,523
	10	\$300	\$1,659	10	\$300	\$1,725
	14	\$300	\$2,158	14	\$300	\$2,244
	21	\$300	\$2,732	21	\$300	\$2,841

**Fall and Spring Housing (per semester effective Fall 2012)**

	<u>2011-12</u>	<u>2012-13</u>
<i>Residence Halls</i>		
<u>Traditional Residence Halls</u>		
➤ Housing with air-conditioning	\$2,255.00	\$2,255.00
➤ Greek Housing	\$2,322.50	\$2,392.00

	<u>2011-12</u>	<u>2012-13</u>
<u>Premium Residence Halls</u>		
➤ Suites – Double	\$3,015.00	\$3,196.00
➤ Suites – Single	\$4,460.00	\$4,728.00
<u>Additional Housing Fees</u>		
➤ Smith, New North, Kirwan II, and Holmes (per semester)	\$67.00	\$67.00
NOTE: Smith, New North, Kirwan II, and Holmes are Living-Learning Communities. Smith Hall and New North Hall will remain open during all stated academic recesses of the University between August 21, 2011 and May 1, 2012 to accommodate students who require housing during recesses.		
➤ Other Halls Open during Academic Recess (per day)	\$7.50	\$7.50
NOTE: The per-diem rate is established for occupancy of halls that are not normally open during stated academic recesses of the university (Thanksgiving, Holiday, and spring break). Students must secure special permission to remain in housing during these periods.		
<u>Apartment Housing (per month effective July 1, 2012)</u>		
➤ Greg Page Stadium View Family Apartments		
2 Bedroom	\$630.00	\$630.00
➤ Cooperstown – Shawneetown		
Efficiency	\$490.00	\$490.00
1 Bedroom	\$585.00	\$585.00
2 Bedroom	\$630.00	\$630.00
➤ Commonwealth Village		
Efficiency	\$490.00	\$490.00
1 Bedroom	\$585.00	\$585.00
➤ Linden Walk/Rose Lane		
Efficiency	\$490.00	\$490.00
➤ German House		
Single Room	\$575.00	\$575.00
1 Bedroom	\$660.00	\$660.00



2011-12

2012-13

Summer School Housing (effective Summer 2013)

➤ 8-Week Session		
Single Occupancy	\$1,495.00	\$1,495.00
Double Occupancy	\$1,210.00	\$1,210.00
➤ 4-Week Session		
Single Occupancy	\$745.00	\$745.00
Double Occupancy	\$600.00	\$600.00
➤ 6-Week Session		
Single Occupancy	\$1,115.00	\$1,115.00
Double Occupancy	\$905.00	\$905.00

# FCR 8

Office of the President  
May 8, 2012

Members, Board of Trustees:

RESOLUTION FOR THE DESIGNATION OF AN  
AGENT FOR THE APPLICATION OF FEMA ASSISTANCE

Recommendation: that the Board of Trustees approve a Resolution designating Ms. Angela S. Martin, Vice President of Financial Operations and Treasurer for the University of Kentucky, to be the authorized agent for the University to execute an application with the appropriate Commonwealth of Kentucky office for the purpose of obtaining federal financial assistance under the Disaster Relief Act or otherwise available from the President's Disaster Relief Fund.

Background: The attached DESIGNATION OF APPLICANT'S AGENT Resolution must be filed along with additional supporting documentation with the appropriate Commonwealth of Kentucky office in order that the University may recover available and appropriate federal funds from the Disaster Relief Act or President's Disaster Relief Fund. This document shall be retained on file in the Legal Office and shall be updated by the Board on an annual basis.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_