

Minutes of the Meeting of the Executive Committee of the Board of Trustees of the University of Kentucky, Tuesday, January 30, 1979.

The Statutory Executive Committee of the Board of Trustees of the University of Kentucky, Lexington, Kentucky, convened in regular session on January 30, 1979, at the hour of 2:00 p.m., EST, (local time), in the Board Room on the 18th floor of the Patterson Office Tower on the campus of the University at Lexington, Kentucky. Mr. William B. Sturgill, Chairman, presided and, upon call of the roll it was ascertained that the following members of the Executive Committee were present: Mr. William R. Black, Mr. Albert G. Clay, Mr. George W. Griffin, and Mr. Homer Ramsey. Also present were Mrs. Betty Pace Clark, Secretary of the Board of Trustees and ex-officio secretary of the Executive Committee; Professor Constance P. Wilson, Professor Michael Adelstein, and Mr. Eugene Tichenor, all members of the Board of Trustees. The University Administration was represented by President Otis A. Singletary; Vice Presidents Lewis W. Cochran, Robert Zumwinkle, Stanley Wall, Peter P. Bosomworth, John Smith, Jack C. Blanton, and Donald B. Clapp; Dr. Wimberly C. Royster, Dean of the Graduate School and Coordinator of Research; and Mr. John Darsie, Legal Counsel. Members of the various news media were present.

A. Meeting Opened

The Chairman called the meeting to order at 2:15 o'clock. Following the invocation, pronounced by Mr. Sturgill, the Secretary called the roll and reported all members of the Executive Committee were present. The Chairman then declared the meeting officially open for the conduct of business at 2:16 o'clock.

B. Minutes Approved

On motion duly made, seconded, and carried, the reading of the Minutes of the meeting of the Board of Trustees held on December 12, 1978, was dispensed with and the Minutes were approved as published.

C. Opening of Bids on University of Kentucky Housing and Dining System Revenue Bonds, Series J and Series K

The Chairman indicated that the first order of business should be for the Executive Committee to open and read the sealed competitive bids theretofore received in the office of the Vice President for Business Affairs for the purchase of the \$1,900,000 "University of Kentucky Housing and Dining System Revenue Bonds, Series J," and the \$4,584,000 "University of Kentucky Housing and Dining System Revenue Bonds, Series K."

Before submitting to the Executive Committee the purchase bids theretofore received in his office, the Vice President for Business Affairs tendered to the Executive Committee the following instruments, which upon his request and recommendation were made a part of the minutes of this meeting, without requiring that the same be copied in full in the minutes: (a) an Affidavit of an employee of the Bond Buyer, New York, New York, showing publication of the "Notice of Sale of Bonds" on January 16, 1979, (b) an Affidavit of an employee of The Courier-Journal, Louisville, Kentucky, showing publication of the "Notice of Sale of Bonds" on January 16, 1979, and (c) a copy of the "Official Statement" prepared on behalf of the University by First Kentucky Securities Corporation, and which had been disseminated to prospective bond purchasers. By unanimous agreement the same were approved, and were ordered to be filed in the office of the Secretary of the Board, as constituting records of the Board of Trustees in connection with the public sale of such bonds.

At the invitation of the Chairman, the University's Vice President for Business Affairs delivered to the Chairman of the Executive Committee the sealed competitive bids theretofore received in his office for the purchase of the above-identified bonds, and the same were publicly opened and read, and were ordered to be recorded in the minutes of the meeting, in summary form, as follows:

PURCHASE BIDS RECEIVED FOR \$1,900,000 "UNIVERSITY OF KENTUCKY HOUSING AND DINING SYSTEM REVENUE BONDS, SERIES J"

<u>NAME OF BIDDER</u>	<u>PURCHASE PRICE OFFERED</u>	<u>INTEREST COUPON RATES STIPULATED</u>
J.J.B. Hilliard - W.L.Lyons & Company, Inc., and Associates	\$1,871,500	1980/1985 - 5.75%
		1986/1988 - 5.90%
		1989/1995 - 6.00%
		1996 - 6.10%
		1997 - 6.20%
		1998 - 6.25%
		1999/2000 - 6.30%
		2001/2003 - 6.35%
		2004/2008 - 6.40%
Merrill Lynch, White Weld Capital Markets Group; Pain, Webber, Jackson & Curtis, Inc.; and Associates	\$1,871,500	1980/1991 - 5.70%
		1992 - 5.80%
		1993 - 5.90%
		1994/1995 - 6.00%
		1996 - 6.10%
		1997 - 6.20%
		1998 - 6.30%
		1999/2000 - 6.40%
		2001/2003 - 6.45%
		2004/2008 - 6.50%
		NIC - 6.444934%

<u>NAME OF BIDDER</u>	<u>PURCHASE PRICE OFFERED</u>	<u>INTEREST COUPON RATES STIPULATED</u>
Johnston, Brown, Burnett & Knight, Inc.; John Nuveen & Co.; and Associates	\$1,871,500	1980/1991 - 5.75% 1992 - 5.80% 1993 - 5.90% 1994 - 6.00% 1995 - 6.10% 1996 - 6.20% 1997 - 6.25% 1998 - 6.30% 1999 - 6.40% 2000 - 6.45% 2001 - 6.50% 2002 - 6.55% 2003/2005 - 6.60% 2006/2008 - 6.625%  NIC - 6.5400%
UMIC, Inc.	\$1,871,500	1980/1995 - 6.00% 1996 - 6.10% 1997 - 6.20% 1998 - 6.30% 1999 - 6.40% 2000 - 6.45% 2001/2003 - 6.50% 2004/2006 - 6.60% 2007/2008 - 6.70%  NIC - 6.57344%

PURCHASE BIDS RECEIVED FOR \$4,584,000 "UNIVERSITY OF KENTUCKY HOUSING AND DINING SYSTEM REVENUE BONDS, SERIES K"

The Vice President for Business Affairs reported that the only bid received in his office for the purchase of the Series K Bonds was the bid of the United States Department of Housing and Urban Development, submitted in accordance with its Loan Agreement with the Board of Trustees, the same being for the purchase of all of the Series K Bonds at a uniform interest rate of 3% per annum, subject to acceptance of any equal or better bids received from other sources; no such other bids having been received.

A motion was made by Executive Committee Member Clay that all of the listed purchase bids be referred to the Vice President for Business Affairs and the Fiscal Agent for verification of computations, and for submission to the Executive Committee of a report and recommendations with regard thereto; and that pending submission of such report, the Executive Committee proceed in the transaction of other proper business. Said motion was seconded by Executive Committee Member Griffin, and upon call of the roll it was unanimously adopted, and it was so ordered.

D. President's Report to the Trustees (PR 1)

After reviewing briefly some of the items in PR 1, President Singletary recommended its acceptance. Without objection, the Chairman received the report and ordered it filed.

E. Recommendations of the President (PR 2)

Noting that the members of the Executive Committee had received PR 2 in advance of the meeting and that the personnel changes recommended in it were routine in nature, President Singletary recommended that PR 2, Recommendations of the President, be approved. On motion duly made, seconded and carried, PR 2 was approved. (See PR 2 at the end of the Minutes.)

F. Supplemental Recommendations of the President (PR 3)

After reminding the Executive Committee members that the materials, received after the tentative agenda and supporting data are mailed out, are included in PR 3, Supplemental Recommendations of the President, President Singletary called attention to PR 3a which contained recommendations relative to personnel changes, the designation of the Vice Chairman of the Council of Supervisors in the University Hospital, and appointments to the Board of Directors, Ephraim McDowell Community Cancer Network, Inc. He then recommended that PR 3a be approved as a whole, but suggested that prior to action being taken, Mr. Black, Chairman of the Council of Supervisors might like to give a brief report of the first meeting of this body held on Tuesday, morning, January 30, 1979.

Mr. Black reported that all 13 members of the Council were present at the meeting and had reviewed the program to be followed. He said that for the first five months, the Council will meet monthly. After the annual meeting in June, they will meet every two months.

There being no further discussion, on motion made, seconded, and passed, PR 3a was approved as a whole. (See PR 3a at the end of the Minutes.)

G. Multidisciplinary Center for Gerontology (PR 3b)

At President Singletary's request both Dr. Cochran and Dr. Bosomworth explained the recommendation in PR 3b to establish a Multidisciplinary Center for Gerontology saying that such a center would provide more effective coordination and administration of the multidisciplinary programs for research, instruction and service in gerontology. Following their remarks, President Singletary recommended approval of PR 3b.

Without discussion, on motion and second, PR 3b was approved. (See PR 3b at the end of the Minutes.)

H. E. A. Edwards Professorship (PR 3c)

President Singletary explained that the will of Evalyn A. Edwards, deceased, provided for the establishment of a fund to be held by the University and used for the purpose of "... scientific study and research in the area of cause, remedy, alleviation and prevention of brain dysfunction or physical abnormalities contributing to or associated with the development of schizophrenia." The Vice President for the Medical Center and the Dean of the College of Medicine have recommended the establishment of the professorship described in the recommendation in PR 3c as the most advisable way of complying with the wishes of the donor and have recommended that Arnold Ludwig, M.D., be appointed as the first holder of the professorship.

With President Singletary recommending, on motion by Mr. Black, seconded by Mr. Ramsey, and passed, approval was given to the establishment of the E. A. Edwards Professorship in the Department of Psychiatry and to the appointment of Arnold Ludwig, M.D., as the first holder of the professorship. (See PR 3c at the end of the Minutes.)

I. Candidates for Degrees - Community College System (PR 4)

President Singletary recommended that approval be given to the awarding of degrees and/or certificates as specified to the persons named in PR 4. Without discussion, on motion made, seconded and carried, it was so ordered. (See PR 4 at the end of the Minutes.)

J. Budget Revisions for 1978-79 (PR 5)

The budget revisions recommended in PR 5, being of a routine nature, President Singletary recommended approval. On motion by Mr. Black, seconded by Mr. Clay and passed, the budget revisions recommended in PR 5 were authorized and approved. (See PR 5 at the end of the Minutes.)

K. Interim Financial Report (FCR 1)

Mr. Clay, Chairman of the Finance Committee, said that the Committee had met on the morning of January 30, 1979, and had considered the items to be presented for action, the first of which was the Interim Financial Report for the five month period ending December 30, 1978. Noting that the University was "on target", Mr. Clay moved acceptance of the report as presented in FCR 1. His motion was seconded by Mr. Ramsey, and passed without dissent. (See FCR 1 at the end of the Minutes.)

L. Authorization for Sale of Land (FCR 2)

The Finance Committee so recommending, Mr. Clay moved that the University declare 11,892 square feet of land along Viley

Road surplus to its needs and authorize the Vice President for Business Affairs to sell the land to the Urban County Government for the purpose of widening the Viley Road approach to Leestown Pike. His motion was seconded by Mr. Black and passed without dissent. (See FCR 2 at the end of the Minutes.)

M. Revision of Policy Statement on Refunds to Students Upon Withdrawal from the University (FCR 3)

Mr. Clay reported that the Finance Committee had considered the revised policy statement on refunds to students withdrawing from the University as proposed in FCR 3. The Committee felt it desirable to amend the report prior to its adoption by inserting in (6) page 2 the phrase "within a year's time of withdrawal" following "... of the community colleges". Number 6 would then read: "In all types of withdrawal, except for students enrolled through the University Extension Division, the student must request officially through the Registrar's Office on the Lexington campus or at one of the community colleges within a year's time of withdrawal a refund of fees paid, otherwise no refund will be authorized."

Mr. Clay then moved approval of the policy statement as amended. His motion was seconded by Mr. Griffin and passed with all present voting aye. (See FCR 3 at the end of the Minutes.)

N. Determination and Acceptance of Best Bids Received

The Vice President for Business Affairs then reported to the Executive Committee that all purchase bids for the "University of Kentucky Housing and Dining System Revenue Bonds, Series J and Series K," had been duly reviewed by him in conjunction with the Fiscal Agent, and that all mathematical computations submitted by the respective bidders had been verified.

The Vice President for Business Affairs tendered to the Board a proposed resolution, prepared by Bond Counsel, embodying the conclusions so reached.

A motion was made by Executive Committee Member Clay for immediate adoption of a proposed resolution, drafted by Bond Counsel and submitted by the Vice President for Business Affairs; seconded by Executive Committee Member Homer Ramsey; and read by the Secretary, in the following text:

A RESOLUTION OF THE EXECUTIVE COMMITTEE OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY MAKING A DETERMINATION OF THE BEST BID RECEIVED FOR THE PURCHASE OF \$1,900,000 "UNIVERSITY OF KENTUCKY HOUSING AND DINING SYSTEM REVENUE BONDS, SERIES J," AND FOR THE PURCHASE OF \$4,584,000 "UNIVERSITY OF KENTUCKY HOUSING AND DINING SYSTEM REVENUE BONDS, SERIES K"; ACCEPTING THE BEST BID RECEIVED FOR EACH OF SAID SERIES OF BONDS; AND ESTABLISHING THE INTEREST RATES ACCORDINGLY.

THE EXECUTIVE COMMITTEE OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY DOES HEREBY RESOLVE, AS FOLLOWS:

Section 1. It is hereby determined and declared that the best bid received for the purchase of the Board's \$1,900,000 "University of Kentucky Housing and Dining System Revenue Bonds, Series J," was and is the bid of J.J.B. Hilliard - W. L. Lyons, & Company, Inc., and Associates, offering to purchase all of said Series J Bonds at a price of \$1,871,500 (plus accrued interest from December 1, 1978, to date of delivery) and stipulating interest coupon rates, as follows:

<u>Year</u>	<u>Amount</u>	<u>Interest Rate</u>	<u>Year</u>	<u>Amount</u>	<u>Interest Rate</u>
1980	\$15,000	5.75%	1995	\$25,000	6.00%
1981	20,000	5.75%	1996	30,000	6.10%
1982	20,000	5.75%	1997	45,000	6.20%
1983	20,000	5.75%	1998	25,000	6.25%
1984	20,000	5.75%	1999	65,000	6.30%
1985	20,000	5.75%	2000	95,000	6.30%
1986	35,000	5.90%	2001	90,000	6.35%
1987	55,000	5.90%	2002	90,000	6.35%
1988	40,000	5.90%	2003	90,000	6.35%
1989	55,000	6.00%	2004	90,000	6.40%
1990	60,000	6.00%	2005	90,000	6.40%
1991	30,000	6.00%	2006	200,000	6.40%
1992	45,000	6.00%	2007	215,000	6.40%
1993	25,000	6.00%	2008	235,000	6.40%
1994	55,000	6.00%			

Section 2. The purchase bid identified in Section 1 of this Resolution is hereby accepted by the Executive Committee, acting on behalf of the Board of Trustees of the University, and said purchase bid, together with this Resolution of acceptance, shall constitute a firm contract for the sale of said Series J Bonds by the Board of Trustees, and the purchase thereof by said identified bidder, in accordance with the announced conditions of the public sale.

Section 3. The \$1,900,000 "University of Kentucky Housing and Dining System Revenue Bonds, Series J," shall bear interest from their date at the respective coupon rates set forth in Section 1 hereof and the Fiscal Agent and Bond Counsel shall give proper instructions for the preparation of the bonds by a nationally recognized bond printing firm in accordance therewith. When the Series J Bonds have been prepared by the printer, and have been executed in accordance with the authorized proceedings, the same shall be tendered to the Trustee for authentication, and shall be delivered by the proper officers of the University to the designated purchaser, upon payment of the purchase price therefor.

Section 4. It is hereby determined and declared that the only Bid received for the purchase of \$4,584,000 "University of Kentucky Housing and Dining System Revenue Bonds, Series K," was and is the purchase bid submitted by the United States Department of Housing and Urban Development ("HUD") pursuant to its Loan Agreement with the Board of Trustees of the University, offering to pay therefor \$4,584,000 conditioned that all of such bonds shall bear interest at 3% per annum; and said purchase bid is hereby accepted in the name and on behalf of the Board of Trustees of the University. The said purchase bid, together with this Resolution of acceptance, shall constitute a firm contract for the sale of said Series K Bonds by the Board of Trustees of the University, and the purchase thereof by the United States Department of Housing and Urban Development. HUD having elected to receive the Series K Bonds in the form of a single fully registered Bond, as authorized by the Board, the Fiscal Agent and Bond Counsel are hereby authorized and directed to cause a nationally recognized bond printing firm to prepare such single fully registered bond; and upon execution thereof in accordance with the authorized proceedings, the same shall be tendered to the Trustee for authentication; and after authentication the same shall be delivered to HUD at such time or times as may be in conformity with the authorizing proceedings and the known policies and practices of HUD.

Section 5. This Resolution shall be in full force and effect from and after its adoption; and the Secretary is hereby authorized to deliver to the respective named purchasers of said Series J and Series K Bonds one or more certified copies hereof, as evidence of the respective contracts for the purchase and sale of said bonds.

The motion for the immediate adoption of said Resolution having been made and seconded the Chairman of the Executive Committee called for discussion; and after full discussion, put the question, and upon call of the roll the vote upon said motion and upon the adoption of said Resolution was recorded as follows: Voting "Aye": Mr. William B. Sturgill, Mr. William R. Black, Mr. Albert G. Clay, Mr. George W. Griffin, and Mr. Homer Ramsey. Voting "Nay": None.

Thereupon the Chairman of the Executive Committee declared that the said motion and Resolution had been duly carried and were in full force and effect.

0. Meeting Adjourned

There being no further business, upon motion and vote the meeting was adjourned at 2:50 o'clock.

Respectfully submitted,

Betty Pace Clark, Secretary  
Board of Trustees

(PR's 2, 3a, 3b, 3c, 4, and 5, and FCR's 1, 2, and 3 which follow are official parts of the Minutes of the Meeting.)



Office of the President  
January 30, 1979

PR 2

Members, Executive Committee, Board of Trustees:

RECOMMENDATIONS OF THE PRESIDENT

I. Appointments and/or Other Staff Changes

Recommendations: (1) that approval be given to the appointments and/or other changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The following recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate vice president through the President and have his concurrence.

Under the Governing Regulations the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

BOARD ACTION

New Appointments

- Allen, George P., Assistant Professor, Department of Veterinary Science, College of Agriculture, 12/1/78 through 6/30/79.  
Artzner, Philippe, Visiting Associate Professor, Department of Mathematics, College of Arts and Sciences, 1/1/79 through 5/15/79.  
Beach, Lawrence, Associate Professor, Department of Radiation Medicine, College of Medicine, 12/1/78 through 6/30/79.  
Benthall-Nietzel, Deedra, Associate Professor (part-time), College of Law, 1/16/79 through 5/15/79.  
Carter, Charles, Assistant Professor, Department of Physical Therapy, College of Allied Health Professions, 1/1/79 through 6/30/79.  
Clark, Perry Wilson, Assistant Extension Professor\*, Department of Animal Sciences, College of Agriculture, 12/1/78 through 6/30/79.  
Flashman, Robert H., Assistant Extension Professor\*, Department of Home Economics Extension, College of Agriculture, 12/15/78 through 6/30/80.

\*Special Title Series

Fletcher, Roger, Visiting Professor, Department of Mathematics,  
College of Arts and Sciences, 8/16/79 through 5/15/80.  
Hammons, Stanley, Clinical Professor (voluntary), Department of  
Community Medicine, College of Medicine, 1/1/79 through 6/30/79.  
Hughes, Terrence, Instructor in the Community College System,  
Hazard Community College, 1/1/79 through 5/31/79.  
Jacob, Robert J., Assistant Professor, Department of Pathology,  
College of Medicine, 11/15/78 through 6/30/79.  
Keohane, John J., Assistant Professor, Department of Computer  
Science, College of Arts and Sciences, 1/1/79 through 5/15/81.  
Koch, Michael H., Instructor (temporary), Department of Family  
Practice, College of Medicine, 4/1/79 through 6/30/79.  
Lee, Gwendolyn, Associate Professor\*, College of Nursing, 1/1/79  
through 6/30/79.  
Lee, Joe, Professor (part-time), College of Law, 1/16/79 through  
5/15/79.  
Meyers, James H., Assistant Professor, Department of Pathology,  
College of Medicine, 1/1/79 through 6/30/79.  
Milner, Sam, Professor (part-time), College of Law, 1/16/79  
through 5/15/79.  
Moore, Escum L. Jr., Professor (part-time), College of Law,  
1/16/79 through 5/15/79.  
Perlman, Pete, Associate Professor (part-time), College of Law,  
1/16/79 through 5/15/79.  
Robinson, Thomas C., Associate Professor\*, (with tenure), and  
Associate Dean, Department of Allied Health Education and  
Research, and College of Allied Health Professions, retroactive  
10/1/78.  
Roszell, Calvert T. Jr., Professor (part-time), College of Law,  
1/16/79 through 5/15/79.  
Savage, Joe C., Professor (part-time), College of Law, 1/16/79  
through 5/15/79.  
Shah, Ashokumar S., Assistant Professor, Department of Psychiatry,  
College of Medicine, 1/1/79 through 6/30/79.  
Sjogren, Staffan, Assistant Professor, Department of Anesthesiology,  
College of Medicine, 1/1/79 through 6/30/79.  
Sopori, Mohan L., Assistant Professor, Department of Pathology,  
College of Medicine, 1/1/79 through 6/30/79.  
Vish, Donald H., Associate Professor (part-time), College of Law,  
1/16/79 through 5/15/79.

Non-Academic Appointments

Casale, Frank, Operations Coordinator, Department of Community  
Medicine, College of Medicine, retroactive 12/1/78.  
Ferguson, Susan, Nutritionist Coordinator for Diabetes Education,  
Department of Medicine, College of Medicine, retroactive 1/1/79.  
Gorton, Charles Edwin, Assistant Budget Director, Budget Office,  
effective 2/1/79.  
Householder, Dean D., 4-H Horse Extension Specialist, Department  
of Animal Sciences, College of Agriculture, retroactive 1/2/79.

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\*Special Title Series

McNamara, Michael J., Assistant to the Director, University Information Services, University Relations, 1/29/79 through 6/30/79.

Reece, Linda C., Extension Specialist in Home Economics, Home Economics Extension, College of Agriculture, retroactive 12/15/78.

Spano, Joanne, Labor Education Specialist, Continuing Education, College of Business and Economics, 12/18/78 through 6/30/79.

Sutton, Carroll S., St. Systems Analyst Programmer, Department of Regulatory Services, College of Agriculture, 1/1/79 through 6/30/79.

Torbert, Kenneth W., Dental Auxiliary Educator, Department of Community Dentistry, College of Dentistry, retroactive 12/1/78.

Wiseman, Elizabeth C., Extension Information Specialist, Department of Public Information, College of Agriculture, retroactive 1/1/79.

Wrathall, Leila, Program Administrator for Residency Programs, Center for Labor Education, College of Business and Economics, retroactive 12/11/78.

#### Administrative Appointments

Bean, Kenneth C., Associate Professor in the Community College System, (with tenure), named Assistant Director for Business Affairs, Somerset Community College, retroactive 1/1/79.

Hoffman, Donald, Associate Professor, Department of Art Education, College of Fine Arts, and Department of Curriculum and Instruction, College of Education, named Director, Council on Aging, effective immediately.

Wright, Ballard D., Associate Professor, (with tenure), named Chairman, Department of Anesthesiology, College of Medicine, 11/1/78 through 10/31/84.

#### Promotions

Lyon, H. Edward, from Assistant Clinical Professor (voluntary), to Associate Clinical Professor (voluntary), Department of Restorative Dentistry, College of Dentistry, 1/1/79 through 6/30/80.

#### Tenure Granted

Wright, Ballard D., Associate Professor, (with tenure), Department of Anesthesiology, College of Medicine, retroactive 11/1/78.

#### Joint Appointments

Fleming, Juanita, Professor, (with tenure), College of Nursing, also named Professor, Department of Higher Education, College of Education, 1/1/79 through 6/30/81.

Rosenthal, Gerald A., Associate Professor, (with tenure), School of Biological Sciences, College of Arts and Sciences, also named Associate Professor, Graduate Center for Toxicology, retroactive 1/1/79.

Siegel, Malcolm R., Professor, (with tenure), Department of Plant Pathology, College of Agriculture, also named Professor, Graduate Center for Toxicology, retroactive 1/1/79.

#### Changes

Gaitskill, Kent, from Producer/Director, to Production Manager, Medical Center Television, Medical Center Library and Communications Systems, retroactive 7/1/78.

Granacher, Robert, from Assistant Professor (adjunct series), to Associate Clinical Professor (voluntary), Department of Psychiatry, College of Medicine, 1/1/79 through 6/30/79.

Nadler, Sam, from Visiting Associate Professor (part-time), to Visiting Associate Professor (full-time), Department of Mathematics, College of Arts and Sciences, 1/1/79 through 6/30/79.

#### Retirements

Crawford, George, Painter, Physical Plant Division, after 22 consecutive years of service, effective 4/1/79.

Dean, Willard H., Staff Assistant, Business Services, after 24 consecutive years of service, effective 2/16/79.

Gentry, Nonorl B., Food Service Worker I, Auxiliary Services, after 32 consecutive years of service, effective 1/27/79.

Hager, C. R., Assistant Dean, University Extension, after 22 consecutive years of service, effective 3/1/79.

Harney, Willard N., Assistant Farm Manager, College of Agriculture, after 20 consecutive years of service, effective 2/28/79.

Peel, Edna S., Food Service Worker I, Auxiliary Services, after 21.5 consecutive years of service, effective 3/20/79.

Scanlon, Michael J., Typesetter V., Business Services, after 29.5 consecutive years of service, effective 3/31/79.

#### Early Retirement

Tate, Martha L., Assistant Director for Business Affairs, Community College System, after 21.5 consecutive years of service, effective 1/3/79.

#### Post-Retirement Appointments

Bowman, Cecil, Maintenance and Operations, College of Agriculture, 7/1/78 through 12/31/78.

Duncan, Joseph G., Associate Extension Professor\* (part-time), Department of Public Information, College of Agriculture, 1978-79 academic year.

### ADMINISTRATIVE ACTION

#### New Appointments

Andrews, David, Instructor (part-time), Department of Business Administration, College of Business and Economics, 1/15/79 through 5/15/79.

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\*Special Title Series

- Bible, R. E., Visiting Instructor, Department of Physics and Astronomy, College of Arts and Sciences, 1/1/79 through 5/31/79.
- Boyd, Michael D., Clinical Instructor (voluntary), College of Pharmacy, 12/1/78 through 6/30/79.
- Broadus, James M., Visiting Assistant Professor, Department of Economics, College of Business and Economics, 1/1/79 through 6/30/79.
- Brown, Keith Ray, Clinical Instructor (voluntary), College of Pharmacy, 12/1/78 through 6/30/79.
- Burkhardt, Robert J., Visiting Assistant Professor, Department of Philosophy, College of Arts and Sciences, 1/1/79 through 5/31/79.
- Burkhead, John D., Instructor (part-time), Department of Sociology, College of Arts and Sciences, 1/1/79 through 5/31/79.
- Burks, Joseph E. II, Visiting Instructor (part-time), Department of Art, College of Fine Arts, 1/1/79 through 5/15/79.
- Carroll, Edward C., Clinical Instructor (voluntary), Department of Community Dentistry, College of Dentistry, 1/1/79 through 6/30/80.
- Feinberg, David L., Visiting Instructor (part-time), Department of Psychology, College of Arts and Sciences, 1/1/79 through 5/15/79.
- Hammerschmidt, Patricia A., Instructor (part-time), Department of Nutrition and Food Science, College of Home Economics, 1/1/79 through 5/31/79.
- Hartman, Donald G., Instructor (part-time), Department of Business Administration, College of Business and Economics, 1/1/79 through 5/31/79.
- Hester, Norman C., Adjunct Assistant Professor\* (part-time), Department of Geology, College of Arts and Sciences, 1/1/79 through 5/15/80.
- Howard, Leonard R., Visiting Assistant Professor (part-time), Department of Political Science, College of Arts and Sciences, 1/1/79 through 5/31/79.
- Kogut, Paul M., Visiting Instructor (part-time), Department of Physics and Astronomy, College of Arts and Sciences, 1/1/79 through 4/30/79.
- Lee, Hsiao-hwa, Instructor (part-time), Department of Germanic Languages and Literatures, College of Arts and Sciences, 1/1/79 through 5/31/79.
- Levy, Francis, Clinical Instructor (voluntary), Department of Physical Therapy, College of Allied Health Professions, 9/20/78 through 6/30/79.
- Redmon, Delmar Ray, Visiting Assistant Professor, Department of Philosophy, College of Arts and Sciences, 1/1/79 through 5/31/79.
- Reeves, Edward B., Instructor (part-time), Department of Anthropology, College of Arts and Sciences, 1/1/79 through 5/31/79.
- Reese, Pat Ray, Visiting Instructor (part-time), Department of Sociology, College of Arts and Sciences, 1/1/79 through 5/31/79.
- Ritz, Mike, Instructor (part-time), Department of Health, Physical Education and Recreation, College of Education, 1/1/79 through 5/15/79.

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\*Special Title Series

Witten, Barbara J., Instructor, Department of Educational Psychology and Counseling, College of Education, 1/1/79 through 6/30/80.

Community College System

Elizabethtown Community College

Lunsford, Russell W., Instructor in the Community College System, 1/1/79 through 6/30/79.

Jefferson Community College

Myers, Charles Michael, Instructor in the Community College System, 1/1/79 through 5/31/79.

Madisonville Community College

Meredith, Joan L., Instructor in the Community College System, 1/1/79 through 6/30/79.

Mulhall, Margaret M., Assistant Professor in the Community College System, 1/1/79 through 6/30/79.

Paducah Community College

Penrod, John K., Instructor in the Community College System, 1/15/79 through 6/30/79.

Somerset Community College

Aaron, Edward M., Instructor in the Community College System, 1/1/79 through 5/31/79.

Brown, Adlie F. Jr., Voluntary Instructor in the Community College System (part-time), 1/1/79 through 5/31/79.

Brummett, David, Voluntary Instructor in the Community College System (part-time), 1/1/79 through 5/31/79.

Combs, Gary Wayne, Voluntary Instructor in the Community College System (part-time), 1/1/79 through 5/31/79.

Dunkum, Joseph O., Voluntary Instructor in the Community College System (part-time), 1/1/79 through 5/31/79.

Dunmyer, Gail L., Voluntary Instructor in the Community College System (part-time), 1/1/79 through 5/31/79.

Hieronymus, Ben T., Voluntary Instructor in the Community College System (part-time), 1/1/79 through 5/31/79.

Log, G. Barry, Voluntary Instructor in the Community College System (part-time), 1/1/79 through 5/31/79.

Mayes, Jerry G., Voluntary Instructor in the Community College System (part-time), 1/1/79 through 5/31/79.

Metzmeier, Frank J., Voluntary Instructor in the Community College System (part-time), 1/1/79 through 5/31/79.

Mirrielees, Robert Alan, Voluntary Instructor in the Community College System (part-time), 1/1/79 through 5/31/79.

Owens, Byron M., Voluntary Instructor in the Community College System (part-time), 1/1/79 through 5/31/79.

Ross, Danny Duncan, Voluntary Instructor in the Community College System (part-time), 1/1/79 through 5/31/79.

Shearer, Robert C., Voluntary Instructor in the Community College System (part-time), 1/1/79 through 5/31/79.

Tohill, Rhomad A., Voluntary Instructor in the Community College System (part-time), 1/1/79 through 5/31/79.

Tuttle, Terri H., Voluntary Instructor in the Community College System (part-time), 1/1/79 through 5/31/79.  
Wilkerson, Harold T., Voluntary Instructor in the Community College System (part-time), 1/1/79 through 5/31/79.

Southeast Community College

Beach, Louisa, Instructor in the Community College System, 1/1/79 through 5/31/79.

Joint Appointments

Culley, Gregory A., Clinical Instructor (voluntary), Department of Pediatrics, College of Medicine, also named Clinical Instructor (voluntary), Department of Allied Health Education and Research, College of Allied Health Professions, 8/1/78 through 6/30/79.  
Dworkin, James, Assistant Professor, Department of Special Education, College of Education, also named Assistant Professor, Department of Orthodontics, College of Dentistry, 1/1/79 through 6/30/79.

Reappointments

Allen, Timothy W., Instructor (part-time) (visiting), Department of Philosophy, College of Arts and Sciences, 1/1/79 through 5/31/79.  
Baskin, Carol C., Visiting Instructor (part-time), Department of Biological Sciences, College of Arts and Sciences, 1/1/79 through 5/31/79.  
Caley, Kathryn, Assistant Professor in the Community College System, Jefferson Community College, to rescind terminal contract for 1978-79 approved by Board 7/7/78.  
Hoffman, Eric, Visiting Instructor (part-time), Department of Sociology, College of Arts and Sciences, 1/1/79 through 5/31/79.  
Janecek, Susan, Instructor (part-time), Department of Slavic and Oriental Languages, College of Arts and Sciences, 1/1/79 through 5/31/79.  
Mayes, Jesse G., Visiting Instructor (part-time), Department of Engineering Mechanics, College of Engineering, 1/1/79 through 4/30/79.  
Mendes, Guy M. III, Visiting Instructor (part-time), Department of Art, College of Fine Arts, 1/1/79 through 5/15/79.  
Muir, Gerald A., Visiting Instructor (part-time), Department of Art, College of Fine Arts, 1/1/79 through 5/31/79.  
Riddle, Jerry M., Assistant Professor in the Community College System, Madisonville Community College, 1/1/79 through 6/30/79.  
Sady, Helen, Assistant Professor\*, Department of Allied Health Education and Research, College of Allied Health Professions, 1/1/79 through 6/30/79.  
Sorokin, Larisa, Instructor (part-time), Department of Slavic and Oriental Languages, College of Arts and Sciences, 1/1/79 through 5/31/79.

Promotions

Marino, Kenneth E., from Instructor to Assistant Professor, Department of Business Administration, College of Business and Economics, 8/16/78 through 6/30/79.

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\*Special Title Series

Changes

- Bacdayan, Carolyn, Research Associate, also named Assistant Professor (adjunct series), Department of Behavioral Science, College of Medicine, 11/1/78 through 6/30/79.
- Beihn, Robert, from Clinical Instructor (voluntary), Department of Radiation Medicine, College of Medicine, to Instructor (part-time), Department of Diagnostic Radiology and Department of Radiation Medicine, College of Medicine, 12/1/78 through 6/30/79.
- Black, Elsa G., from Instructor (part-time), to Visiting Instructor (full-time), School of Journalism, College of Communications, 1/1/79 through 5/31/79.
- Kelly, Mary K., from Scientific Analyst/Programmer, to Senior Systems Analyst/Programmer, Computing Center, Vice President for Administration, 2/1/79 through 6/30/79.
- Polancich, Robert W., from Clinical Instructor (voluntary), to Instructor (part-time), Department of Prosthodontics, College of Dentistry, 1/1/79 through 6/30/79.
- Preston, Robert A., from Associate Clinical Professor (voluntary), to Instructor (part-time), Department of Clinical Pastoral Counseling, College of Allied Health Professions, 10/1/78 through 6/30/79.

Leaves of Absence

- Breazeale, Daniel, Associate Professor, Department of Philosophy, College of Arts and Sciences, Leave without pay, 1/1/79 through 6/30/79.
- Cabe, Carl, Professor, Department of Economics, College of Business and Economics, Leave without pay, 1/1/79 through 6/30/79.
- Cigolle, Mark, Assistant Professor, College of Architecture, Leave without pay, 1/1/79 through 6/30/79.
- Eaton, Thomas E., Assistant Professor, Department of Mechanical Engineering, College of Engineering, Leave without pay, spring semester 1979.
- Ferstman, Gerald, Associate Professor, Department of Art, College of Fine Arts, Leave without pay, academic year 1979-80.
- Gibson, James L., Professor, Department of Business Administration, College of Business and Economics, Sabbatical leave with full salary, spring semester 1980.
- Jarecky, Roy K., Professor\*, Department of Behavioral Science, College of Medicine, Sabbatical leave with full salary, 9/1/79 through 3/1/80.
- Jones, Stanley E., Associate Professor, Department of Engineering Mechanics, College of Engineering, Sabbatical leave with half-salary, academic year 1979-80.
- Levine, Richard S., Associate Professor, College of Architecture, Sabbatical leave with full salary, 1/1/79 through 6/30/79.
- Mateer, Richard S., Professor (Mining Engineering Program), Department of Civil Engineering, College of Engineering, Sabbatical leave with half-salary, academic year 1979-80.
- Noe, Roger, Assistant Professor in the Community College System, Southeast Community College, Leave without pay, 1/7/79 through 3/7/79.

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\*Special Title Series



- Prats, Amando, Assistant Professor, Department of English, College of Arts and Sciences, Sick leave 11/1/78 through fall semester 1978.
- Prestridge, James A., Associate Professor, College of Architecture, Sabbatical leave with full salary, 1/1/79 through 6/30/79.
- Purdue, Peter, Associate Professor, Department of Statistics, College of Arts and Sciences, Sabbatical leave with full-salary, Fall semester 1979.
- Sparks, Henry L., County Extension Specialist, Extension Program, College of Agriculture, Cancel leave request for 1/1/79 through 6/30/80, as approved 7/78.
- Thornburg, Kathy, Associate Professor, Department of Family Studies, Human Development and Resource Management, College of Home Economics, Sabbatical leave with full salary, fall semester 1979.
- Thornton, Paul, Professor, Department of Clinical Nutrition, College of Allied Health Professions, Leave without pay, 4/1/79 through 5/31/79.

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Action: Approved XX Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: January 30, 1979.

Office of the President  
January 30, 1979

PR 3a

Members, Executive Committee, Board of Trustees:

SUPPLEMENTAL RECOMMENDATIONS OF THE PRESIDENT

I. Designation of Vice Chairman, Council of Supervisors, University Hospital

Recommendation: that Mrs. Sally Hermansdorfer be designated as Vice Chairman of the Council of Supervisors of the University of Kentucky Hospital, effective January 1, 1979 through December 31, 1979.

Background: Under the By-Laws of the Council of Supervisors for the University Hospital, "The Chairman and Vice Chairman shall be designated by the Board of Trustees at the Trustees meeting wherein new Supervisors are appointed." The Chairman of the Council, Mr. William R. Black, was designated at the December 12, 1978 meeting of the Board of Trustees; however, through an oversight, the Vice Chairman was not designated at that time.

II. Appointments and/or Other Staff Changes

Recommendations: (1) that approval be given to the appointments and/or other changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The following recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate vice president through the President and have his concurrence.

Under the Governing Regulations the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

BOARD ACTION

New Appointment

Vail, James A., Instructor in the Community College System,  
Madisonville Community College, 1/15/79 through 6/30/79.

Administrative Appointment

Cangelosi, Joseph D. Jr., Assistant Director for Business Affairs,  
Ashland Community College, 1/1/79 through 6/30/79.

Early Retirement

Jacobs, Sarah C., Nursing Assistant, University Hospital, early  
retirement approved by the Board of Trustees on October 17,  
1978, rescinded at the request of Mrs. Jacobs.

III. Appointments to Board of Directors, Ephraim McDowell Community  
Cancer Network, Inc.

Recommendation: that the Board of Trustees approve the appointment  
of Mr. Robert L. Johnson, Dr. John D. Cronin, Dr. A. Wendell Musser,  
Mrs. Frederick Van Lennep, and Mrs. David S. Weil to the Board of  
Directors of the Ephraim McDowell Community Cancer Network, Inc.  
for the terms indicated: Mr. Johnson and Dr. Cronin, November 1,  
1978 through June 30, 1979; Dr. Musser, Mrs. Van Lennep and Mrs.  
Weil, November 1, 1979 through October 31, 1981.

Background: According to the Bylaws of the Ephraim McDowell  
Community Cancer Network, its Board of Directors shall consist  
of no fewer than 18 nor more than 24 members appointed by the  
President and approved by the Board of Trustees of the University  
of Kentucky. Mr. Johnson and Dr. Cronin (non faculty members) will  
fill the unexpired terms of Dr. David Heydinger and Dr. Philip  
Weiler; Dr. A. Wendell Musser (faculty member) will fill the  
vacancy created by the end of Dr. William Sabes term; and Mrs.  
Van Lennep and Mrs. Weil will fill two vacancies, which will bring  
the Board to its full complement of 24 members.

These appointments have been recommended by the present Board  
of Directors of the Network in accordance with the Bylaws.

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Action: Approved XX Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: January 30, 1979.

Office of the President  
January 30, 1979

PR 3b

Members, Executive Committee, Board of Trustees:

MULTIDISCIPLINARY CENTER FOR GERONTOLOGY

Recommendation: that the Board of Trustees authorize the establishment of a new educational (academic) unit called the Multidisciplinary Center for Gerontology, which shall be comparable to an interdisciplinary research institute and administratively responsible to the Dean of the Graduate School and Coordinator of Research.

Background: The Multidisciplinary Center for Gerontology is proposed for establishment at the University of Kentucky to provide more effective coordination and administration of multidisciplinary programs for research, instruction, and service in gerontology. This Center will facilitate bringing together faculty from various colleges and departments in the University who have in common a strong interest in one or more areas in gerontology. With the support of a small core staff, the Center will continually stimulate faculty and students toward a greater awareness of the problems of the older person in society and the problems that the older person presents to society. Extramural financial support will be sought to complement and supplement the University support.

Research Program: The research program of the Center will stimulate, coordinate, and develop multidisciplinary and disciplinary research on the social, biological, economic, political, medical and behavioral aspects of aging. The research will be conducted by faculty, research associates and staff of the University of Kentucky and will be supported through grants and other extramural funding obtained through the Center. The recent creation of the National Institute on Aging and the Administration on Aging within HEW provides two major sources of such funding. In addition, the Center will sponsor research symposia, colloquia and seminars as part of its activities.

Instructional Program: A major goal of the instructional program of the Center will be to list relevant courses multidisciplinary in nature, taught by faculty from various disciplines within the University, and presented to upper division undergraduates and graduate students at the University. These courses will be listed by the Center and co-listed in appropriate departments. In addition, the Center will work with various departments within the University to develop and implement departmentally-based courses in gerontology.

To comply with guidelines of the National Institute on Aging that training programs funded by that agency be multidisciplinary in scope, the Center will cooperate in the planning of gerontology training proposals submitted by other educational units and will coordinate the multidisciplinary components of training programs funded by N.I.A.

Service Program: The Center will assume the responsibility to coordinate and stimulate activities in a number of gerontologically-related service activities. The Center will sponsor and co-sponsor with other divisions of the University a number of workshops, continuing education programs and demonstration projects in various areas of gerontology, as well as continually disseminate information about gerontology to the general public.

Advisory Council: The Advisory Council to the Multidisciplinary Center for Gerontology will consist of four permanent members: Vice President for Academic Affairs, Vice President for the Medical Center, Dean of the Graduate School and Coordinator of Research, and Dean of the College of Medicine; and three members to be appointed by the President; and shall include representatives from those colleges as may be significantly involved with gerontology, such as the Colleges of Social Professions, Arts and Sciences, and Home Economics. The Dean of the Graduate School and Coordinator of Research shall serve as Chairman of the Advisory Council. The Advisory Council shall advise the Dean of the Graduate School on the Center's program and its administration.

The Advisory Council shall hold regular quarterly meetings. Notice of the meetings shall be given by the Chairman. Special meetings of the Council may be called by the Chairman or upon written request of at least three members of the Council.

The Advisory Council shall make recommendations to the Dean of the Graduate School and Coordinator of Research for a Director of the Multidisciplinary Center--and with the approval of the Dean of the College of Medicine shall be the Director of the Sanders-Brown Center--who shall be a member of the faculty of the University of Kentucky.

Staff: The proposed Multidisciplinary Center shall be administered by a core staff supported by regularly budgeted funds. The core staff will consist of a Director who, in addition, with the approval of the Dean of the College of Medicine shall be the Director of the Sanders-Brown Center, an Associate Director in charge of the non-biological programs in the Center, and staff associates and secretaries. Staff additions and program expansion will be supported by extramural funds as these become available.

The Multidisciplinary Center, comparable to an interdisciplinary research institute, shall be administratively responsible to the Dean of the Graduate School and Coordinator of Research, who upon recommendation from the Advisory Council shall recommend on the appointment of the Director to serve as the Center's Executive Administrative Officer. The Director should have a demonstrated interest and commitment to the multidisciplinary aspects of gerontology. The Dean of the Graduate School, upon the advice and consent of the Director, shall appoint an Associate Director to assist the Director in the administration of the non-biological aspects of the Center's program. The Center may have employees and make appointments of research scientists with adjunct appointments, but regular and special title appointments will have primary appointments associated with other educational (academic) units.

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This proposal for establishment of the Multidisciplinary Center for Gerontology has been reviewed by appropriate administrators and committees and recommended for approval by the University Senate.

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Action: Approved XX Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: January 30, 1979

Office of the President  
January 30, 1979

PR 3c

Members, Executive Committee, Board of Trustees

E. A. EDWARDS PROFESSORSHIP

Recommendation:

1. That the Board authorize the establishment of the E. A. Edwards Professorship in the Department of Psychiatry of the College of Medicine upon the following terms and conditions:

A. Qualifications for Appointment

1. Outstanding prior work in causes, nature, prevention and/or cure of schizophrenia or related disorders.
2. Demonstrated competence in research design, statistical principles and technology.
3. Broad clinical and research experience in general psychiatry.

B. Conditions of Appointment

Appointment as E. A. Edwards Professor shall remain in force during the entire tenure of the designated faculty member and shall not be subject to revocation except for resignation, disability, retirement, loss of tenure or the voluntary assumption of other duties.

C. Functions

1. Conduct research in schizophrenia and related disorders, for the purpose of clarifying cause, nature, prevention and/or cure of schizophrenia.
2. Compile and be knowledgeable about past and current scientific research pertaining to schizophrenia and related disorders.

3. Serve as general research consultant for Department of Psychiatry.
4. Conduct seminars and present didactic lectures to medical students and house staff on schizophrenia and related disorders.
5. Consult with medical students, house staff and attendings on diagnostic and management problems of selected cases.
6. Consult and collaborate with medical students, house staff and attendings with regard to design, statistical analysis and evaluation instruments for proposed research projects.
7. Conduct clinical activities (e.g., individual out-patient therapy, selected consultations and attending on selected in-patients).

2. That Dr. Arnold M. Ludwig be appointed as the E. A. Edwards Professor of Psychiatry.

3. That the President of the University be authorized to establish the remaining terms and conditions of the professorship in accord with the terms of the Will of Evalyn A. Edwards, deceased.

Background:

The Will of Evalyn A. Edwards, deceased, provided for the establishment of a fund to be held by the University and used for the purpose of "... scientific study and research in the area of cause, remedy, alleviation and prevention of brain dysfunction or physical abnormalities contributing to or associated with the development of schizophrenia."

The Dean of the College of Medicine and the Vice President for the Medical Center have recommended the establishment of the professorship described herein, in accord with University Administrative Regulation (AR II-9.0-2) as the most feasible way of complying with the wishes of the donor and have recommended the appointment of Arnold M. Ludwig, M.D., the Chairman of the Department of Psychiatry, as the first holder of the professorship.



Recommendation 3 above permits the President to establish the details regarding the administration of the fund in accord with the donor's wishes.

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Action: Approved XX Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: January 30, 1979.

Office of the President  
January 30, 1979

PR4

Members, Executive Committee, Board of Trustees:

CANDIDATES FOR DEGREES - COMMUNITY COLLEGE SYSTEM

Recommendation: that the degrees and certificates specified on the attached list be awarded to the persons named, upon certification that the requirements for those degrees or certificates have been satisfactorily completed.

Background: At the November 12, 1965 meeting of the Board of Trustees authorization was given to the awarding of the Degrees of Associate in Arts, Associate in Science and Associate in Applied Science, and of certificates for those who complete prescribed programs of two years or less of college work. The candidates on the attached list are recommended to the President and the Board by the Community College Faculty and Vice President.

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Action: Approved XX Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: January 30, 1979.

UNIVERSITY OF KENTUCKY  
COMMUNITY COLLEGE SYSTEM

CANDIDATES FOR DEGREES

ASHLAND COMMUNITY COLLEGE

August 1978

Associate in Arts:

1. Ervin D. Williams

Associate in Science:

1. Phillip W. Imel

Associate in Applied Science:

1. Wendy L. Barnes  
2. Ronald R. Grizzle  
3. Melissa C. Hall  
4. Edna J. Hogsten  
5. Amy L. Naughle  
6. Mandilyn B. Romer  
7. Richard L. Schreiber  
8. Lowell E. Walton  
9. James H. Webster  
10. Clara J. Wheeler

December 1978

Associate in Arts:

1. Toni Bailey  
2. Joseph Barker  
3. Nancy Calder  
4. Sharon Faulkner  
5. Birdell Fish  
6. Gary Graves  
7. Gregory Harper  
8. Ann Kern  
9. Helen Lazear  
10. Pearl May  
11. Pamela McClintock  
12. Marion Peterson  
13. Jeffrey Robinson  
14. Charles Stark  
15. Richard Sweeney  
16. Diana Whitt

Associate in Science:

1. Sally Bussey  
2. Tamara Everman  
3. Harry Kahne  
4. John Kennard  
5. Terry Meredith

Associate in Applied Science:

1. Melinda Ackerman  
2. Barry L. Gibson  
3. Debra Howard  
4. Walter Pigmon

5. Chrishane Smith
6. Michael Sparks
7. Sheila Tipton
8. Kathy J. Wheeler

ELIZABETHTOWN COMMUNITY COLLEGE

August 1978

Associate in Arts:

1. Rebecca Lynn Abell
2. Elizabeth D. Worley

Associate in Science:

1. Nicholas V. Raub, Jr.

Associate in Applied Science:

1. Millard R. Boggs
2. John O. Lewis
3. John H. Madden

HENDERSON COMMUNITY COLLEGE

December 1978

Associate in Arts:

1. Nancy J. Cates
2. Jean A. Luck
3. Jack Scott

Associate in Applied Science:

1. Debbra Clunie
2. Melba Higgs
3. Jeanne Padgett
4. Steven B. Rice
5. Gina C. West
6. Kathryn J. Wood

JEFFERSON COMMUNITY COLLEGE

August 1977

Associate in Arts:

1. James Sidney Donahue
2. Gary Wayne Haley
3. Debra Elizabeth Henry
4. Clara Marie Zoeller Hill
5. Karol Emil Koehler
6. William Charles Meadors
7. Lisa Henderson Nelson
8. Anthony Joseph Powell
9. Gary E. Taylor

Associate in Science:

1. Robert Joseph Johnson
2. Karol Emil Koehler

Associate in Applied Science:

1. Mary Ann Blandford
2. Mary Sharon Comstock
3. Ethel S. Crittenden
4. Donald R. Hadley
5. Regina Harwell
6. Michael Dennis Holbrook
7. Connie Susan Irvin
8. Robert Lawrence Johnston
9. Howard D. Melton
10. Margaret Heller Miracle
11. Arleater Murrah
12. Beth Ann Neill
13. Nhu Tuan Nguyen
14. M. Russell Rakestraw, II
15. Karen Sprinks
16. Norman A. Thomas

MADISONVILLE COMMUNITY COLLEGE

August 1977

Associate in Applied Science:

1. Lance Joseph Austin
2. Michael S. Carrier
3. James Edward Mann
4. Fred M. Mayes
5. Connie Beth Reynolds

May 1978

Associate in Arts:

1. John Keith Cartwright
2. Bonita E. Cooper
3. Christopher Bedford Egbert
4. Joan Clayton Gill
5. Kathy Sue Mannis
6. Shirlyn Slaton Stephens
7. Thomas Herbert Thomason, Jr.
8. Johnnie Wayne Vaughn
9. Barry E. Winders
10. Jennifer Lynn Yarbrough

Associate in Science:

1. Alpha Sanda Inglis

Associate in Applied Science:

1. Linda Darlene Babb
2. Rodney O. Bailey
3. Linda J. Ball
4. Suellen Blue
5. Tammy Ann Bowles

6. Barry Louis Bridges
7. Linda Mae Bryan
8. Truman Dean Burden
9. Robert Wayne Campbell
10. Mark Anthony Cannon
11. Kimberly Davenport Chandler
12. Lana Marie Clark
13. James Steven Clayton
14. Margaret Cole Cole
15. William Joseph Coulter
16. Gina L. Crick
17. Jimmie Wayne Davis
18. Martha Sue Dement
19. Elizabeth Carol Dewing
20. James Douglas Fields
21. Connie Jo Forker
22. Theresa C. Freels
23. Deborah Jean Fritts
24. Julia Littlepage Glaysbrook
25. Debbie K. Gooch
26. Fred Kenneth Grant
27. Marsha Pogue Hardin
28. Robert Lee Hardison
29. Melody Elaine Harris
30. Roy Douglas Jenkin
31. John Timothy Kurre
32. Kenneth Ray Long
33. Nancy C. Marcum
34. Linda Jackson McDaniel
35. Nancy Lynn McEndre
36. Larry Gayle McIntosh
37. Douglas James McLaughlin
38. William F. McReynolds, Jr.
39. Charles Randall Menser
40. Barbara Joyce Miller
41. Ann Miller Mitchell
42. Cynthia Lynn Coburn Moore
43. Mark Allen Moore
44. Michael David Nance
45. Eddie Howard Page
46. Tony Jeffery Palmer
47. Judy Lynn Penrod
48. Kathi Lee Perry
49. William Perry Plain
50. Patti S. Porter
51. Linda H. Renshaw
52. Dale Brent Rhoads
53. Virginia Lee Runyan
54. Mark Stephen Russell
55. Janice F. Sallee

56. Stephen Ray Shelton
57. William Darrell Stephenson
58. Jimmy Darrel Sullivan
59. Lavine W. Terry
60. Edward William Thornton
61. Joseph Miller Turner
62. Linda Sue Vincent
63. William Edward Wood, II
64. Judith Kay Yates

PADUCAH COMMUNITY COLLEGE

August 1978

Associate in Arts:

1. Beverly Blythe Beyer
2. Barbara A. Croley
3. Jonathan Eugene Hines

Associate in Applied Science:

1. Rickey Dean Evans

December 1978

Associate in Arts:

1. Clyde B. Akins
2. David Michael Bottoms
3. Marva Leonard Carroll
4. Kathleen A. Dillon
5. Sonia Iris Arvelo Hailey
6. Kimberly Ann Mittendorf
7. Jacqueline Pace
8. Carolyn Richards

Associate in Applied Science:

1. Gary G. Grace
2. Joe M. Hall
3. Deborah Jane House
4. Teddy Lee Hudson
5. Pamela Johnson
6. Jennifer Beard Jones
7. Johnny Carl Keller
8. Anthony Paul Kern
9. Mercedes A. Matchem
10. Debra Timmons Reeves
11. Jerry Wayne Robinson
12. Brenda Carol Simens
13. Richard W. Wright

SOMERSET COMMUNITY COLLEGE

August 1978

Associate in Applied Science:

1. Gregory Allen Fitzgerald
2. Douglas Wayne Flatt
3. Sandara L. Hoff
4. Gayle Taylor Scoggin
5. Marilyn Rose Strunk
6. Robert Wayne Warren
7. Danny Wilson

December 1978

Associate in Arts:

1. Ray Elwood Ammons
2. Georbe Robert Edwards
3. Eva Sherlene Howard
4. Monica Layne Jones
5. Steven Wayne Neely
6. Dwight Douglas Ping
7. Patricia Ann Sawyers
8. William Brooks Sharp
9. Gene Ferguson Sheehan
10. James Keith Sloan
11. Rebecca Ann Tarter

Associate in Science:

1. George Burcham, Jr.
2. Gloria Sharon King
3. Kenny Pierce
4. Randy J. Zimmerman

Associate in Applied Science:

1. Linda Felicia Frank
2. Gary Lee Girdler
3. James Russell Grahma
4. Lecia Dawn Guthrie
5. Judith Lynn McGowan
6. Mary Grey Moffett
7. Gary Joe Smallwood
8. Marilyn Rose Strunk



HAZARD COMMUNITY COLLEGE

August 1978

Associate in Arts:

1. Suzanne Price
2. Sam C. McIntosh

Associate in Applied Science:

1. Carl Barnett
2. William Robert Owen
3. Phillip Noel Hart
4. Joe J. Wallace
5. Timothy W. Smith

PR5

Members, Executive Committee, Board of Trustees:

1978-79 Budget Revisions

Recommendation: that the following revisions in the 1978-79 budget be authorized and approved.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
<b>A. <u>General Fund</u></b>			
<b>1. Income Estimates</b>			
Student Fees			
Continuing Education			
Fees	\$1,731,600	\$1,763,600	\$ 32,000
Federal Appropriations			
Vocational Education	748,000	749,600	1,600
Agricultural Experiment Station	3,147,500	3,392,700	245,200
Agricultural Cooperative Extension	6,634,200	6,887,300	253,100
Gifts and Grants			
Kentucky Medical Services Foundation	103,100	1,065,900	962,800
Other Gifts and Grants	971,800	995,500	23,700
Sales and Services			
Departmental Sales and Services	2,909,900	2,924,800	14,900
Fund Balances	8,249,800	8,387,900	138,100
			<u>1,671,400</u>
<b>2. Expenditures</b>			
Academic Affairs			
College of Architecture			
Administration	169,500	176,800	7,300
College of Arts and Sciences			
French	269,100	272,500	3,400
College of Education			
Vocational Education -			
Special Projects	271,000	272,600	1,600
College of Social Professions			
Agricultural Experiment Station	675,300	678,600	3,300
Agricultural Cooperative Extension	9,620,300	9,865,500	245,200
Administration and Support	14,776,800	15,029,900	253,100
Undergraduate Studies			
Instructional Resources			
	523,100	529,000	5,900

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
2. Expenditures (Cont'd)			
Graduate School			
General Research			
Support	\$ 1,218,400	\$ 1,356,500	\$ 138,100
Community College System			
Elizabethtown Community			
College	1,351,700	1,357,700	6,000
Hopkinsville Community			
College	963,500	970,500	7,000
Maysville Community			
College	662,700	665,200	2,500
Prestonsburg Community			
College	859,000	880,000	21,000
Southeast Community			
College	682,100	687,300	5,200
Medical Center			
College of Medicine			
Departmental Patient			
Services	-0-	445,300	445,300
Dean's Office	390,200	907,700	517,500
Research Laboratories	45,900	54,900	9,000
			<u>1,671,400</u>

3. Comments - Additional fees generated by continuing education fees will support budget increases for continuing education programs in the College of Social Professions, Maysville Community College, Prestonsburg Community College and Southeast Community College. Budget increases for the College of Education Agricultural Experiment Station and Agricultural Cooperative Extension will be funded from additional federal appropriations. Increased reimbursed costs from the Kentucky Medical Services Foundation will support College of Medicine departmental patient services activities and academic and personnel development. Private gifts will support revised budgets for the College of Architecture, College of Arts and Sciences' French department, Elizabethtown Community College and Hopkinsville Community College. Special diagnostic services will support the College of Medicine's Research Laboratories' activities. An increase in photographic services will fund the budget increase for the Office of Instructional Resources. Additional Graduate School research support will be supported by appropriated fund balances.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
<b>B. <u>Restricted Funds</u></b>			
1. Income Estimates	\$14,237,000	\$14,760,300	\$523,300
2. Expenditures			
Academic Affairs			
Administration			
Personnel Development	-0-	1,000	1,000
College of Arts and Sciences			
English	1,700	3,500	1,800
College of Business and Economics			
Business Administration	4,300	11,500	7,200
College of Communications			
School of Journalism	10,700	12,300	1,600
College of Home Economics			
Nutrition and Food Science	800	130,800	130,000
College of Social Professions			
Instruction	368,900	391,300	22,400
Agricultural Experiment Station			
Agronomy	99,800	154,300	54,500
Animal Sciences	12,000	25,500	13,500
Entomology	13,500	21,900	8,400
Forestry	8,300	35,300	27,000
Veterinary Science	55,000	65,800	10,800
Agricultural Cooperative Extension			
Associate Director	100,000	300,000	200,000
Medical Center			
College of Medicine			
Administration	25,200	25,300	100
Medicine	14,700	27,400	12,700
Pathology	-0-	16,000	16,000
Community College System			
Hazard Community College	68,800	69,300	500
Madisonville Community College	14,300	16,300	2,000
University Wide			
General Library	59,000	61,200	2,200
Student Aid			
Gifts and Grants for Scholarships			
Community College Scholarships	189,100	191,700	2,600
General Scholarships	393,100	402,100	9,000
			<u>523,300</u>

Page Four

3. Comments - Income restricted for the purposes indicated above will fund the proposed additional expenditures.

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Action taken: Approved XX Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: January 30, 1979

Office of the President  
January 30, 1979

FCR 1

Members, Executive Committee, Board of Trustees:

INTERIM FINANCIAL REPORT

Recommendation: that the financial report for the five months ending November 30, 1978, be accepted.

Background: The November, 1978, report reflects income of \$111,502,145 for the period of the report; the income figure is 50% of the annual income estimate of \$221,936,067.

The expenditures and commitments represent a total of \$90,931,444 for this period of five months, or 41% of the expenditure authority of \$221,936,067 approved by the Board.

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Action: Approved XX Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: January 30, 1979.

University of Kentucky  
Balance Sheet  
November 30, 1978  
With Comparative Figures for 1977-78

ASSETS

	<u>1978-79</u>	<u>1977-78</u>
<b>Current Funds:</b>		
<b>Unrestricted:</b>		
Cash in banks:	\$ 790,217	\$ 14,794
Depository funds		
Cash on deposit with State Treasurer:	4,602,283	3,725,419
Trust and agency funds	5,594,510	44,339
General fund appropriation	<u>10,987,010</u>	<u>3,784,552</u>
Total cash		
Due from other funds and affiliated corporations	4,423,786	6,390,629
Accounts receivable	2,144,343	1,988,349
Accrued interest receivable	118,241	
Notes receivable	72,094	72,094
Investment-short term	15,851,264	14,324,961
Inventories	3,579,099	3,715,797
Unrealized income (Schedule 3)	<u>73,730,551</u>	<u>68,448,558</u>
<b>Total unrestricted</b>	<u>110,906,388</u>	<u>98,724,940</u>
<b>Restricted:</b>		
Cash in banks	2,560,326	3,538,669
Imprest funds	35,819	36,951
Cash on deposit with State Treasurer	1,976,294	1,960,363
Total cash	<u>4,572,439</u>	<u>5,535,983</u>
Petty cash advances	220,293	248,348
Travel advances	216,095	202,832
Accounts receivable	3,374	5,237
Accrued interest receivable	174,306	6,935
Investments:		
Short-term (Schedule 5)	7,410,000	5,810,000
Other	<u>232,236</u>	<u>156,904</u>
Unrealized income:		
Restricted (Schedule 3)	5,133,517	5,468,527
Tobacco Research Trust Fund (Schedule 3)	<u>2,929,529</u>	<u>2,309,287</u>
<b>Total restricted</b>	<u>20,891,789</u>	<u>19,744,053</u>

University of Kentucky  
Balance Sheet

November 30, 1978

Schedule 1

With Comparative Figures for 1977-78

LIABILITIES AND FUND BALANCES

	<u>1978-79</u>	<u>1977-78</u>
<b>Current Funds:</b>		
<b>Unrestricted:</b>		
Outstanding encumbrances	\$ 4,596,332	\$ 4,031,392
Accounts payable-county funds	84,203	58,406
Accrued expenses	891,000	751,000
Advance from state for imprest cash fund	1,300,000	1,300,000
Deferred Income	551,891	430,885
Accrued vacation	2,600,000	2,400,000
Unencumbered balance, departmental appropriations (Schedule 4)	91,053,899	82,330,805
Fund balances:		
Allocated for working capital (Schedule 2)	<u>9,829,063</u>	<u>7,422,452</u>
Total unrestricted	<u>110,906,388</u>	<u>98,724,940</u>
<b>Restricted:</b>		
General fund collections	3,234,976	1,957,330
Outstanding encumbrances	423,392	692,369
Due to other funds and affiliated corporations	3,250,401	4,258,334
Advance from state for imprest cash fund	50,000	50,000
Refundable deposits	57,371	
Outstanding check liability	96,217	83,245
Unencumbered balance, departmental appropriations (Schedule 4)	4,705,239	4,683,898
Unencumbered balance, Tobacco Research Trust Fund		
Appropriation (Schedule 4)	3,064,486	2,763,748
Fund balances:		
Restricted fund (Schedule 2)	4,931,374	4,515,656
Tobacco Research Trust Fund-unallocated funds (Schedule 2)	<u>1,078,333</u>	<u>739,473</u>
Total restricted	<u>20,891,789</u>	<u>19,744,053</u>



University of Kentucky  
Balance Sheet  
November 30, 1978

Schedule 1

With Comparative Figures for 1977-78

ASSETS

	<u>1978-79</u>	<u>1977-78</u>
Hospital and clinics:		
Due from other funds and affiliated corporations	870,286	815,791
Accounts receivable, net allowance for doubtful account of \$3,129,168 in 1978 and \$3,671,309 in 1977	11,874,436	7,633,889
Inventories	547,115	612,425
Unrealized income (Schedule 3)	<u>19,076,333</u>	<u>16,267,412</u>
Total hospital and clinics	<u>32,368,170</u>	<u>25,329,517</u>
Housing and dining system:		
Cash in bank	182,515	76,250
Cash on deposit with State Treasurer	626,916	653,562
Accounts receivable	221,726	201,725
Investments-short term	1,839,878	2,323,442
Inventories	1,568,436	1,305,557
Prepaid expenses	341,195	261,513
Unrealized income (Schedule 3)	<u>7,254,621</u>	<u>6,453,558</u>
Total housing and dining system	<u>12,035,287</u>	<u>11,275,607</u>
Auxiliary enterprises:		
Cash in banks	410,016	212,706
Cash on deposit with State Treasurer	<u>410,780</u>	<u>943,612</u>
Total cash	<u>820,796</u>	<u>1,156,318</u>
Accounts receivable	15,762	26,164
Notes receivable	87,000	
Inventories	474,524	480,137
Unrealized income (Schedule 3)	<u>2,309,371</u>	<u>2,049,258</u>
Total auxiliary enterprises	<u>3,707,453</u>	<u>3,711,877</u>
TOTAL CURRENT FUNDS	<u>\$ 179,909,087</u>	<u>\$ 158,785,994</u>

University of Kentucky  
Balance Sheet  
November 30, 1978

Schedule 1

With Comparative Figures for 1977-78

LIABILITIES AND FUND BALANCES

	<u>1978-79</u>	<u>1977-78</u>
<b>Hospital and clinics:</b>		
Outstanding encumbrances	1,081,040	389,128
Accrued vacation	430,000	400,000
Unexpended balance, departmental appropriation (Schedule 4)	22,702,411	18,760,191
Fund balances-allocated for working capital (Schedule 2)	<u>8,154,719</u>	<u>5,780,198</u>
Total hospital and clinics	<u>32,368,170</u>	<u>25,329,517</u>
<b>Housing and dining system:</b>		
Accrued expenses	108,414	101,136
Accrued wages	97,295	130,794
Accrued vacation	55,000	50,000
Accounts payable	297,269	222,057
Due to other funds and affiliated corporations	1,205,915	2,344,551
Refundable deposits	48,725	31,650
Deferred income	2,388,671	1,421,767
Unexpended balance, departmental appropriations (Schedule 4)	7,545,618	6,721,032
Fund balances:		
Allocated for future operating purposes (Schedule 2)	<u>288,380</u>	<u>252,620</u>
Total housing and dining system	<u>12,035,287</u>	<u>11,275,607</u>
<b>Auxiliary enterprises:</b>		
Outstanding encumbrances	333,589	138,429
Due to other funds and affiliated corporations	338,982	561,434
Unencumbered balance, departmental appropriation (Schedule 4)	1,932,970	1,855,252
Fund balances-allocated:		
Working capital	484,562	512,614
Future operating purposes	617,350	644,148
Total fund balances (Schedule 2)	<u>1,101,912</u>	<u>1,156,762</u>
Total auxiliary enterprises	<u>3,707,453</u>	<u>3,711,877</u>
<b>TOTAL CURRENT FUNDS</b>	<u>\$ 179,909,087</u>	<u>\$ 158,785,994</u>

University of Kentucky  
Balance Sheet  
November 30, 1978

With Comparative Figures for 1977-78

ASSETS

	<u>1978-79</u>	<u>1977-78</u>
<b>Loan Funds:</b>		
Cash in bank	\$ 844,750	\$ 951,602
Notes receivable, net allowance for doubtful accounts of \$725,947	10,202,962	9,471,078
Due from other funds and affiliated corporations	1,382	
Due from United Student Aid loan fund	<u>2,000</u>	<u>2,000</u>
<b>TOTAL LOAN FUNDS</b>	<u>\$ 11,051,094</u>	<u>\$ 10,424,680</u>
<b>Endowment Funds:</b>		
Investments	\$ 3,742,463	\$ 3,439,562
Due from other funds and affiliated corporations	<u>860</u>	
<b>TOTAL ENDOWMENT FUNDS</b>	<u>\$ 3,743,323</u>	<u>\$ 3,439,562</u>
<b>Plant Funds:</b>		
Unexpended:		
Cash on deposit with State Treasurer:		
Trust and agency	\$ 4,888,127	\$ 5,215,635
Capital and construction funds	<u>22,280,657</u>	<u>19,525,311</u>
Total cash on deposit with State Treasurer	<u>27,168,784</u>	<u>24,740,946</u>
Due from other funds and affiliated corporations	1,414,690	1,159,086
Due from Federal Government	595,679	
Construction in progress	18,359,440	17,360,806
Funded future construction	<u>24,390,672</u>	<u>12,781,417</u>
<b>Total unexpended plant funds</b>	<u>71,929,265</u>	<u>56,042,255</u>

University of Kentucky  
Balance Sheet  
November 30, 1978  
With Comparative Figures for 1977-78

LIABILITIES AND FUND BALANCES

	<u>1978-79</u>	<u>1977-78</u>
<b>Loan Funds:</b>		
Due to other funds and affiliated corporations		\$ 3,610
Federal sponsored loan funds:		
Federal Government	\$ 8,522,417	8,240,297
University matching funds	956,482	923,641
Accumulated interest income	<u>929,259</u>	<u>809,416</u>
Total sponsored loan funds	<u>10,408,158</u>	<u>9,973,354</u>
University loan funds:		
University student aid funds	87,849	78,339
University special student loan funds	553,087	367,377
United Student Aid loan funds	<u>2,000</u>	<u>2,000</u>
Total University loan funds	<u>642,936</u>	<u>447,716</u>
<b>TOTAL LOAN FUNDS</b>	<u>\$ 11,051,094</u>	<u>\$ 10,424,680</u>
<b>Endowment Funds:</b>		
Due to other funds and affiliated corporations		\$ 1,530
Fund balances	<u>\$ 3,743,323</u>	<u>3,438,032</u>
<b>TOTAL ENDOWMENT FUNDS</b>	<u>\$ 3,743,323</u>	<u>\$ 3,439,562</u>
<b>Plant Funds:</b>		
Unexpended:		
Outstanding encumbrances	\$ 16,205,966	\$ 11,818,639
Bonds payable	8,367,167	8,376,442
Appropriations-unencumbered balances	12,377,909	12,915,222
Fund balances allocated for construction-renovation	<u>34,978,223</u>	<u>22,931,952</u>
Total unexpended plant funds	<u>71,929,265</u>	<u>56,042,255</u>

University of Kentucky  
Balance Sheet  
November 30, 1978

With Comparative Figures for 1977-78

ASSETS

	<u>1978-79</u>	<u>1977-78</u>
Renewal and replacements:		
Cash on deposit with Trustees	13,135	21,252
Cash on deposit with State Treasurer	311,327	394,221
Investments held by Trustees	<u>982,266</u>	<u>935,467</u>
Total renewal and replacements	<u>1,306,728</u>	<u>1,350,940</u>
Retirement of indebtedness:		
Cash on deposit with State Treasurer	50,000	50,000
Cash on deposit with Trustees	3,528,229	1,842,214
Investments held by Trustees	<u>43,192,651</u>	<u>44,751,844</u>
Total retirement of indebtedness	<u>46,770,880</u>	<u>46,644,058</u>
Investment in plant:		
Land	17,139,529	16,447,306
Buildings	234,170,867	232,470,067
Equipment	<u>78,556,139</u>	<u>71,033,421</u>
Total investment in plant	<u>329,866,535</u>	<u>319,950,794</u>
TOTAL PLANT FUNDS	<u>\$ 449,873,408</u>	<u>\$ 423,988,047</u>
Agency Funds:		
Cash in bank	\$ 182,855	\$ 455,276
Due from other funds and affiliated corporations	120,160	18,000
Investments short-term	<u>18,000</u>	<u>18,000</u>
TOTAL AGENCY FUNDS	<u>\$ 321,015</u>	<u>\$ 473,276</u>

University of Kentucky  
Balance Sheet  
November 30, 1978  
With Comparative Figures for 1977-78

LIABILITIES AND FUND BALANCES

	<u>1978-79</u>	<u>1977-78</u>
Renewal and replacements:		
Due to other funds and affiliated corporations	125,860	272,763
Outstanding Encumbrances	142,357	48,512
Fund balances:		
Restricted	822,350	830,000
Unrestricted	216,161	199,665
Total fund balances	<u>1,038,511</u>	<u>1,029,665</u>
Total renewal and replacements	<u>1,306,728</u>	<u>1,350,940</u>
Retirement of indebtedness:		
Bonds payable	32,962,000	33,095,000
Fund balances	13,808,880	13,549,058
Total retirement of indebtedness	<u>46,770,880</u>	<u>46,644,058</u>
Investment in plant:		
Bonds payable	98,312,833	100,464,058
Lease purchase obligation	5,155,000	5,305,000
Net Investment in plant	<u>226,398,702</u>	<u>214,181,736</u>
Total investment in plant	<u>329,866,535</u>	<u>319,950,794</u>
<b>TOTAL PLANT FUNDS</b>	<u>\$ 449,873,408</u>	<u>\$ 423,988,047</u>
Agency Funds:		
Outstanding Encumbrances	\$ 1,086	\$ 9,258
Due to other funds and affiliated corporations	<u>319,929</u>	<u>464,018</u>
Funds held in custody for others	<u>321,015</u>	<u>473,276</u>
<b>TOTAL AGENCY FUNDS</b>	<u>\$ 321,015</u>	<u>\$ 473,276</u>

University of Kentucky  
 Schedule of Changes in Fund Balances-Current Funds  
 Five Months Ended November 30, 1978

Schedule 2

	<u>Unrestricted Allocated</u>	<u>Restricted</u>	<u>Tobacco Research Trust Fund</u>	<u>Hospitals and Clinics</u>	<u>Housing Dining System Funds for Future Operating Purposes</u>	<u>Auxiliary Enterprises</u>
Fund Balances July 1, 1978	\$ 17,071,986	\$ 4,931,374	\$ 1,078,333	\$ 8,154,719	\$ 288,380	\$ 1,101,912
Realized income	85,247,654	1,577,405	1,430,471	16,169,862	5,540,324	1,536,429
Unrealized income	<u>73,730,551</u>	<u>5,133,517</u>	<u>2,929,529</u>	<u>19,076,333</u>	<u>7,254,621</u>	<u>2,309,371</u>
Total estimated income	<u>158,978,205</u>	<u>6,710,922</u>	<u>4,360,000</u>	<u>35,246,195</u>	<u>12,794,945</u>	<u>3,845,800</u>
Less fund balance appropriated	<u>7,242,923</u>					
Net current estimated income	<u>151,735,282</u>	<u>6,710,922</u>	<u>4,360,000</u>	<u>35,246,195</u>	<u>12,794,945</u>	<u>3,845,800</u>
Total available	<u>168,807,268</u>	<u>11,642,296</u>	<u>5,438,333</u>	<u>43,400,914</u>	<u>13,083,325</u>	<u>4,947,712</u>
Current year expenditures/ encumbrances	67,924,306	2,005,683	1,295,514	12,543,784	5,249,327	1,912,930
Unencumbered balances, appropriations	<u>91,053,899</u>	<u>4,705,239</u>	<u>3,064,486</u>	<u>22,702,411</u>	<u>7,545,618</u>	<u>1,932,970</u>
Total authorized appropriations	<u>158,978,205</u>	<u>6,710,922</u>	<u>4,360,000</u>	<u>35,246,195</u>	<u>12,794,945</u>	<u>3,845,800</u>
Fund Balances November 30, 1978	<u>\$ 9,829,063</u>	<u>\$ 4,931,374</u>	<u>\$ 1,078,333</u>	<u>\$ 8,154,719</u>	<u>\$ 288,380</u>	<u>\$ 1,101,912</u>

University of Kentucky  
 Summary of Current Revenues and Appropriated Balances  
 Five Months Ended November 30, 1978  
 With Comparative Figures for 1977-78

Fund and Source:	1978-1979			1977-1978		
	Estimated for the Year	Realized to Date	% Realized to Date	Estimated for the Year	Realized to Date	% Realized to Date
<b>Unrestricted:</b>						
Student fees	\$ 21,283,900	\$ 11,370,807	53	\$ 20,350,829	\$ 11,600,760	57
Federal appropriations	10,679,129	4,189,437	39	10,769,042	3,853,634	36
County appropriations	1,787,200	398,241	22	1,577,968	482,351	31
Endowment and trust fund income	177,900	48,636	27	162,000	49,466	31
Investment income	1,835,000	788,787	43	1,835,691	133,411	7
Gifts and grants:						
Affiliated corporations	4,558,333	75,974	2	7,709,098	10,375	
Other	648,771	44,561	7	876,022	258,014	30
Sales, services, other income	4,766,894	1,997,641	42	4,233,415	1,736,185	41
Subtotal	45,737,127	18,914,084	41	47,514,065	18,124,196	38
State appropriations	105,998,155	59,090,647	56	88,655,600	49,596,911	56
Fund balances appropriated	7,242,923	7,242,923	100	4,276,712	4,276,712	100
Total unrestricted	158,978,205	85,247,654	54	140,446,377	71,997,819	51
Restricted	6,710,922	1,577,405	24	6,705,687	1,237,160	18
Tobacco Research Trust Fund:						
Receipts	4,360,000	1,430,471	33	3,800,000	1,490,713	39
Fund balances appropriated				700,000	700,000	100
Total Tobacco Research Trust Fund	4,360,000	1,430,471	33	4,500,000	2,190,713	49
Hospital and Clinics:						
State appropriations	7,017,145	3,669,029	52	5,179,400	3,127,758	60
Hospital earned income-net	28,229,050	12,500,833	44	25,299,100	11,083,330	47
Fund balances appropriated				418,305	418,305	100
Total Hospital and Clinics	35,246,195	16,169,862	46	30,896,805	14,629,393	47
Housing and Dining System	12,794,945	5,540,324	43	11,544,925	5,091,367	44
Auxiliary Enterprises	3,845,800	1,536,429	40	3,490,828	1,441,570	41
TOTAL CURRENT FUNDS AND APPROPRIATED BALANCES	\$ 221,936,067	\$ 111,502,145	50	\$ 197,584,622	\$ 96,588,022	49



University of Kentucky  
 Summary of Current Funds Expenditures  
 Five Months Ended November 30, 1978  
 With Comparative Figures for 1977-1978

Fund or Function:	1978-1979			1977-1978		
	Appropriation for the Year	Expended Encumbered to Date	% Expended Encumbered to Date	Appropriation for the Year	Expended Encumbered to Date	% Expended Encumbered to Date
<b>Unrestricted</b>						
Departments of instruction and research	\$ 62,088,812	\$ 25,924,235	42	\$ 56,758,497	\$ 20,747,969	37
Summer session-1978	1,272,182	1,037,112	82	1,151,694	1,009,586	88
Organized activities	1,529,896	566,921	37	1,383,317	498,641	36
Organized research	13,654,288	6,087,819	45	11,140,136	5,202,621	47
Libraries	5,064,429	2,377,699	47	3,838,718	2,111,125	55
Extension and public service	17,942,440	7,365,865	41	14,774,547	5,950,755	40
Maintenance and operation of plant	13,087,388	5,466,842	42	10,755,793	4,471,584	42
Renovation, office furniture, etc.	4,390,317	2,607,031	59	1,847,300	1,772,515	96
General administration	7,016,170	2,628,333	37	6,394,698	2,308,495	36
Student services	4,688,746	1,891,157	40	4,080,732	1,571,265	39
Staff benefits	12,467,417	1,785,761	14	14,581,105	5,377,144	37
General institutional services	7,018,235	3,278,816	47	6,123,907	2,540,114	41
Service enterprises	224,147	171,045	76	157,638	69,064	44
Debt service cost	5,925,500	5,423,827	92	5,925,500	3,677,222	62
Student aid	1,805,271	886,843	49	1,491,740	807,472	54
Reserves	802,967	425,000	53	41,055		
<b>Total unrestricted</b>	<b>158,978,205</b>	<b>67,924,306</b>	<b>43</b>	<b>140,446,377</b>	<b>58,115,572</b>	<b>41</b>

University of Kentucky  
 Summary of Current Funds Expenditures  
 Five Months Ended November 30, 1978  
 With Comparative Figures for 1977-78

Fund or Function:	1978-1979			1977-1978		
	Appropriation for the Year	Expended Encumbered to Date	% Expended Encumbered to Date	Appropriation for the Year	Expended Encumbered to Date	% Expended Encumbered to Date
<b>Restricted:</b>						
Departments of instruction and research	\$ 2,514,915	\$ 808,047	32	\$ 2,952,930	\$ 1,034,436	35
Organized activities	118,449	59,847	51	90,701	7,651	8
Organized research	255,987	97,908	38	229,582	86,635	38
Libraries	62,977	12,712	20	38,011	6,227	16
Extension and public services	169,384	17,512	10	86,252	28,487	33
General institutional services	700,038	268,157	38	485,543	90,937	18
Student aid	1,804,650	741,500	41	515,481	767,416	149
Reserves	1,084,522			2,307,187		
<b>Total restricted</b>	<b>6,710,922</b>	<b>2,005,683</b>	<b>30</b>	<b>6,705,687</b>	<b>2,021,789</b>	<b>30</b>
Tobacco Research Trust Fund	4,360,000	1,295,514	30	4,500,000	1,736,252	39
Hospital and Clinics	35,246,195	12,543,784	36	30,896,805	12,136,614	39
Housing and Dining System	12,794,945	5,249,327	41	11,544,925	4,265,104	37
Auxiliary Enterprises	3,845,800	1,912,830	50	3,490,828	1,635,576	47
<b>TOTAL CURRENT FUND EXPENDITURES</b>	<b>\$ 221,936,067</b>	<b>\$ 90,931,444</b>	<b>41</b>	<b>\$ 197,584,622</b>	<b>\$ 79,910,907</b>	<b>40</b>

University of Kentucky  
 Schedule of Short Term Investments  
 November 30, 1978

	<u>Maturity Value</u>	<u>Cost</u>
<b>Restricted Current Fund:</b>		
<b>Government securities:</b>		
FICB, 7.15%, 1/2/79	\$ 300,000	\$ 300,000
FHLB, 6.70%, 11/25/80	125,000	125,000
U. S. Treasury Notes, 7.875%, 5/15/86	485,000	485,000
<b>Total government securities</b>		<u>910,000</u>
<b>Certificates of deposit:</b>		
Bank of Lexington, 7.65%, 12/20/78	1,000,000	1,000,000
First Security National Bank & Trust Company, 7.61%, 3/29/79	1,500,000	1,500,000
First Security National Bank & Trust Company, 7.75%, 4/12/79	1,000,000	1,000,000
First Security National Bank & Trust Company, 8.91%, 6/28/79	500,000	500,000
First Security National Bank & Trust Company, 8.91%, 7/25/79	500,000	500,000
First Security National Bank & Trust Company, 9.31%, 10/4/79	1,000,000	1,000,000
First Security National Bank & Trust Company, 10.55%, 11/01/79	1,000,000	1,000,000
<b>Total certificates of deposit</b>		<u>6,500,000</u>
<b>TOTAL RESTRICTED FUNDS</b>		<u>\$ 7,410,000</u>
<b>Agency Funds:</b>		
<b>Government securities:</b>		
U. S. Treasury Notes, 7.87%, 5/15/86	\$ 15,000	\$ 15,000
<b>Certificates of deposit:</b>		
First Security National Bank & Trust Company, 5.5%, 12/2/78	3,000	3,000
<b>TOTAL AGENCY FUNDS</b>		<u>\$ 18,000</u>

University of Kentucky  
 Schedule of Retirement of Indebtedness Funds  
 For the Five Months Ended November 30, 1978

	<u>Estimated</u>	<u>Realized</u>	<u>% Realized</u>
Unrestricted current funds	\$ 5,925,500	\$ 5,423,827	92
Housing and dining system funds	1,474,692	764,021	52
Auxiliary enterprises current funds	<u>170,959</u>	<u>50,694</u>	<u>30</u>
<b>TOTAL RETIREMENT OF INDEBTEDNESS FUNDS</b>	<u>\$ 7,571,151</u>	<u>\$ 6,238,542</u>	<u>82</u>

Source of Funds:

Unrestricted current funds  
 Housing and dining system funds  
 Auxiliary enterprises current funds

**TOTAL RETIREMENT OF INDEBTEDNESS FUNDS**

	<u>Appropriated</u>	<u>Expended</u>	<u>% Expended</u>
Debt Service:			
Unrestricted funds debt:			
Consolidated Educational Buildings-Series A	\$ 506,775	\$ 67,683	13
Consolidated Educational Buildings-Series B	468,807	71,424	15
Consolidated Educational Buildings-Series C	234,496	48,257	21
Consolidated Educational Buildings-Series D	2,445,140	1,072,646	44
Consolidated Educational Buildings-Series E	271,512	135,456	50
Consolidated Educational Buildings-Series F	565,063	237,712	42
Community Colleges Educational Buildings-Series A	846,525	358,799	42
Community Colleges Educational Buildings-Series B	197,350	58,875	30
Community Colleges Educational Buildings-Series C	<u>389,832</u>	<u>148,997</u>	<u>38</u>
Subtotal	<u>5,925,500</u>	<u>2,199,849</u>	<u>37</u>
Housing and Dining System Revenue Bonds-Series A-I	<u>1,474,692</u>	<u>504,341</u>	<u>34</u>

University of Kentucky  
 Schedule of Retirement of Indebtedness Funds  
 For the Five Months Ended November 30, 1978

Auxiliary Enterprises:	<u>Appropriated</u>	<u>Expended</u>	<u>% Expended</u>
Chi Omega	2,725	2,613	96
Alpha Delta Pi	12,733	10,384	82
Dorms A-F	39,195	3,098	8
Dorms G-L	50,598	13,799	27
Phi Delta Theta	8,320	2,110	25
Delta Delta Delta	4,850	4,450	92
Alpha Tau Omega	8,500	2,209	26
Housing Bonds of 1960	13,750	3,376	25
Student Housing Bonds of 1964	<u>30,288</u>	<u>8,656</u>	<u>29</u>
Subtotal	<u>170,959</u>	<u>50,695</u>	<u>30</u>
TOTAL RETIREMENT OF INDEBTEDNESS FUNDS	<u>\$ 7,571,151</u>	<u>\$ 2,754,885</u>	<u>36</u>

University of Kentucky  
 Schedule of Sinking Funds  
 November 30, 1978

<u>Bond Issue with Reserves Fully Funded:</u>	<u>Total</u>	<u>Bonds</u>	<u>Fund Balances</u>
	<u>Sinking Fund</u>	<u>Payable</u>	
Housing and Dining System Revenue Bond and Interest Sinking Fund	\$ 1,999,468		\$ 1,999,468
Consolidated Educational Buildings Project and Interest Sinking Fund:			
Series A	1,002,292		1,002,292
Series B	968,015		968,015
Series C	390,671		390,671
Series D	3,441,435		3,441,435
Series E	394,697		394,697
Series F	955,249		955,249
Student Housing Bond and Interest Sinking Fund of 1964	101,000		101,000
Community College Educational Buildings Project Bond and Interest Sinking Fund:			
Series A	1,283,013		1,283,013
Series B	309,600		309,600
Series C	578,680		578,680
Student Housing Bond and Interest Sinking Fund of 1960	70,804		70,804
Dormitory Revenue Bonds of 1956	50,000		50,000
<b>Total Bond Issues with Reserves Fully Funded</b>	<u>11,544,924</u>		<u>11,544,924</u>
<b>Bonds Fully Funded by Escrow Accounts:</b>			
Keeneland, Cooperstown and Student Union Future Bond and Interest Fund	2,489,028	1,962,000	527,028
<b>Consolidated Educational Series D Refunding Issue:</b>			
Bonds Payable	31,000,000	31,000,000	
Premium on Sale of Bonds	839,150		839,150
Amortization of Discount on Investment	2,068,750		2,068,750
Investment Income	8,278,882		8,278,882
Interest Paid	(9,449,854)		(9,449,854)
<b>Total Series D Refunding Issue</b>	<u>32,736,928</u>	<u>31,000,000</u>	<u>1,736,928</u>
<b>TOTAL SINKING FUNDS</b>	<u>\$ 46,770,880</u>	<u>\$ 32,962,000</u>	<u>\$ 13,808,880</u>

University of Kentucky  
 Schedule of Renewal and Replacement  
 For the Five Months Ended November 30, 1978

	<u>Restricted</u>	<u>Unrestricted</u>	<u>Total Fund Balances</u>
<b>Repair and Maintenance Reserves:</b>			
Housing and Dining System	\$ 750,000	\$ 163,023	\$ 913,023
Student Housing Buildings of 1964	42,350		42,350
Student Housing Buildings of 1960	<u>30,000</u>	<u>9,736</u>	<u>39,736</u>
<b>Total repair and maintenance reserves</b>	<u>822,350</u>	<u>172,759</u>	<u>995,109</u>
<b>Renewal and Replacement Funds:</b>			
Housing and Dining Funds		(71,184)	(71,184)
Other Auxiliary Enterprises		<u>114,586</u>	<u>114,586</u>
<b>Total renewal and replacement funds</b>		<u>43,402</u>	<u>43,402</u>
<b>GRAND TOTAL</b>	<u>\$ 822,350</u>	<u>\$ 216,161</u>	<u>\$ 1,038,511</u>

Office of the President  
January 30, 1979

FCR2

Members, Executive Committee, Board of Trustees:

AUTHORIZATION FOR SALE OF LAND

Recommendation: That the University of Kentucky declare 11,892 square feet of land along Viley Road surplus to its needs and authorize the Vice President for Business Affairs to sell the land to the Urban County Government.

Background: The Urban County Government has requested from the University a strip of land approximately 350 feet by 35 feet for the purpose of widening the Viley Road approach to Leestown Pike. The Urban County Government has agreed to pay \$2,750 for this land; a price established by State officials.

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Action: Approved XX Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: January 30, 1979.



Office of the President  
January 30, 1979

FCR3

Members, Executive Committee, Board of Trustees:

REVISION OF POLICY STATEMENT ON REFUNDS  
TO STUDENTS UPON WITHDRAWAL FROM THE UNIVERSITY

Recommendation: That the following revised refund policy statement be approved, effective fall semester, 1979.

- (1) A student who officially withdraws from the University through the Registrar's Office on the Lexington campus or at one of the community colleges or from a course through the appropriate College prior to the beginning of classes as designated in the official University or community college system calendar shall be entitled to a full refund of registration fees paid or cancellation of amount owed for the term.
- (2) A student who officially withdraws from the University through the Registrar's Office on the Lexington campus or at one of the community colleges or from a course through the appropriate College prior to the end of the first week of classes as designated in the official University or community college system calendar shall be entitled to a refund of 80 percent of the registration fees paid or cancellation of 80 percent of the amount owed for the term.
- (3) A student who officially withdraws from the University through the Registrar's Office on the Lexington campus or at one of the community colleges or from a course through the appropriate College after the first week of classes, but prior to the mid-term date as designated in the official University or community college system calendar, shall be entitled to a refund of one-half the registration fees paid or cancellation of one-half the amount owed for the term.
- (4) A student who officially withdraws from the University through the Registrar's Office on the Lexington campus or at one of the community colleges or from a course through the appropriate College after the official mid-term date shall be entitled to no refund.

- (5) A student enrolled through the University Extension Division who officially withdraws from an off-campus or evening school class(es) shall receive a full refund of all fees paid provided the withdrawal occurs prior to the end of the second week of the class. A student who officially withdraws after the second week of the class, but prior to the official mid-term date, shall be entitled to a refund of one-half the fees paid. If the withdrawal occurs after the official mid-term date, no refund will be authorized.
- \* (6) In all types of withdrawal, except for students enrolled through the University Extension Division, the student must request officially through the Registrar's Office on the Lexington campus or at one of the community colleges a refund of fees paid, otherwise no refund will be authorized.

Background: The present refund policy, effective September 18, 1973, has been found to be inequitable for students who registered at the University and for certain reasons never attended the University. It is felt that full refund of fees should be made to students who have not attended a class(es) and a partial refund of fees made to students who have attended only the first week of class(es). This policy takes into consideration the fact that students pay less than 25 percent of the educational costs incurred by the University when they are enrolled.

\* amended to read:

"In all types of withdrawal, except for students enrolled through the University Extension Division, the student must request officially through the Registrar's Office on the Lexington campus or at one of the community colleges within a year's time of withdrawal a refund of fees paid, otherwise no refund will be authorized."

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Action: Approved XX Disapproved \_\_\_\_\_ Other as amended

Date: January 30, 1979.