

## MINUTES

**Meeting of the Board of Trustees  
University of Kentucky  
1:00 P.M.  
August 13, 2002  
18<sup>th</sup> Floor Patterson Office Tower**

### Minutes

#### **Accompanying Materials:**

#### **President's Report and Action Items**

- PR 2 Personnel Actions
- PR 3 Proposed Amendment to the Governing Regulations
- PR 4 Approval of Administrative Regulation AR II-1.6-1 Retirement Plan
- PR 5 Appointment/Reappointment of Advisory Board at the Lexington Community College
- PR 6 Appointment of Vice President for Student Affairs
- PR 7 Appointment of Vice President for Academic Outreach and Public Service

#### **Academic Affairs Committee Report**

- AACR 1 Candidates for Degrees – Community College System

#### **Finance Committee Report**

- FCR 1 Capital Construction Report
- FCR 2 Report of Leases
- FCR 3 Approval of Lease
- FCR 4 Coldstream Research Campus Ground Lease – First Alliance Corporation
- FCR 5 Endowment Name Change
- FCR 6 Establishment of Gift Annuity Program
- FCR 7 Establishment of Franklin E. Tuttle Memorial Quasi-Endowment Fund
- FCR 8 Deane B. Blazie Gift and Pledge
- FCR 9 Kentucky Utilities, Inc., Gift
- FCR 10 Kentucky Medical Services Foundation Pledge
- FCR 11 George A. Young Estate Gift
- FCR 12 Anonymous Gift

-

## MINUTES

**Meeting of the Board of Trustees  
University of Kentucky  
1:00 P.M.  
August 13, 2002  
18<sup>th</sup> Floor Patterson Office Tower**

### Minutes

#### **Accompanying Materials:**

#### **President's Report and Action Items**

- PR 2 Personnel Actions
- PR 3 Proposed Amendment to the Governing Regulations
- PR 4 Approval of Administrative Regulation AR II-1.6-1 Retirement Plan
- PR 5 Appointment/Reappointment of Advisory Board at the Lexington Community College
- PR 6 Appointment of Vice President for Student Affairs
- PR 7 Appointment of Vice President for Academic Outreach and Public Service

#### **Academic Affairs Committee Report**

- AACR 1 Candidates for Degrees – Community College System

#### **Finance Committee Report**

- FCR 1 Capital Construction Report
- FCR 2 Report of Leases
- FCR 3 Approval of Lease
- FCR 4 Coldstream Research Campus Ground Lease – First Alliance Corporation
- FCR 5 Endowment Name Change
- FCR 6 Establishment of Gift Annuity Program
- FCR 7 Establishment of Franklin E. Tuttle Memorial Quasi-Endowment Fund
- FCR 8 Deane B. Blazie Gift and Pledge
- FCR 9 Kentucky Utilities, Inc., Gift
- FCR 10 Kentucky Medical Services Foundation Pledge
- FCR 11 George A. Young Estate Gift
- FCR 12 Anonymous Gift

-

Minutes of the Meeting of the Board of Trustees of the University of Kentucky,  
Tuesday, August 13, 2002.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, August 13, 2002 in the Board Room on the 18<sup>th</sup> Floor of Patterson Office Tower.

A. Meeting Opened

Mr. Billy Joe Miles, Chairperson, called the meeting to order at 1:00 p.m., and Ms. Marian Sims gave the invocation.

B. Oath of Office

Paul Van Booven, Acting General Counsel, administered the Oath of Office to the following new member:

Myra Leigh Tobin, elected by the Alumni Association to replace John R. “Jack” Guthrie, for a term ending June 30, 2008.

C. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mr. Paul W. Chellgren, Ms. Marian Smith Edge, Professor Michael Kennedy, Dr. Robert P. Meriwether, Mr. Billy Joe Miles (Chairman), Dr. Claire Pomeroy, Mr. Steven S. Reed, Mr. Tim Robinson, Mr. Frank Shoop, Ms. Marian Moore Sims, Ms. Alice Stevens Sparks, Dr. W. Grady Stumbo, Ms. Myra Leigh Tobin, Ms. JoEtta Y. Wickliffe, Mr. Billy B. Wilcoxson, Mr. Russ Williams, Ms. Elaine A. Wilson, and Ms. Barbara S. Young. Absent from the meeting were Ms. Pamela R. May and Dr. Elissa Plattner. The University administration was represented by President Lee T. Todd, Jr., Acting Provost Michael Nietzel, Acting Senior Vice President Jack C. Blanton, Senior Vice President and Chancellor of the Medical Center James W. Holsinger, Jr., Acting Vice President for Research James Boling, and Acting General Counsel, Mr. Paul Van Booven.

Members of the various news media were also in attendance. A quorum being present, the Chairperson declared the meeting officially open for the conduct of business at 1:04 p.m.

D. Consent Agenda

Mr. Miles noted the following four items on the consent agenda and asked for a motion of approval:

Approval of Minutes – June 11, 2002  
July 15, 2002 Executive Committee  
PR 2 Personnel Actions

AACR 1 Candidates for Degrees – Community College System  
AACR 2 Candidates for Degrees – University System

Ms. Wilson moved approval of the Consent Agenda. Her motion, seconded by Ms. Edge, carried. (See PR 2, AACR 1 and AACR 2 at the end of the Minutes.)

E. President's Report to the Board of Trustees (PR 1)

President Todd called attention to the following items in PR 1:

1. The University of Kentucky breaks \$200 million mark in research. This year's awards represent a 22.1 percent increase over last year. President Todd reported that the University is up 10% already over last July and he believes the strategies put in place last year will begin to take even more effect this year. He congratulated the faculty for a great performance.
2. Twenty-two African-American upperclassmen spent their summer working in the scientific laboratories and academic offices of several University of Kentucky professors hired under the state's Research Challenge Trust Fund program. This was a recommendation from the Commission for Diversity headed by Professor Deneese Jones at that time. President Todd and Governor Paul E. Patton presented certificates to the participants at the end of their work. President Todd said that this is the type of program that the University wants added to the KYSS program, Kentucky Young Scientists Summer Program.
3. The University of Kentucky broke ground for a new Center for Rural Health building in Hazard. The \$13.1 million project represents a unique cooperative effort between the University of Kentucky, Kentucky Community and Technical College System (KCTCS), state, federal and private funding. This building will be named the Bailey-Stumbo building in honor of Dr. Stumbo who has contributed so much to that region. President Todd expressed congratulations to Dr. Stumbo.
4. The American Society for Virology brought nearly 1,300 of the world's most prominent researchers on plant, animal and human viruses to UK's campus for a five-day meeting. President Todd said that comments were made about it being the best conference held in the 21-year history of that society.
5. The University of Kentucky generated \$1.5 million in royalties during fiscal 2001-2002 from intellectual property licensed for use by business and industry. President Todd noted that this is down somewhat; however, UK did have a record of 83 inventions disclosed this past year.

6. Five UK faculty have been named Fulbright Scholars for 2002-2003 academic year, permitting them to study abroad. That is a great tribute to Rory Remer, Edward (Skip) Kifer, Mark Berger, Desmond Brown and Greg Brock. President Todd said he was proud of their accomplishments.
7. The University of Kentucky held its annual College Business and Management Institute in late July. President Todd reported that there were 760 participants in that program this year. Dr. Jack Blanton was roasted at their banquet for his long-term participation and leadership in the conference. Dr. Todd expressed congratulations to Dr. Blanton for another great conference.
8. UK Trustee Russ Williams traveled to New York City in mid-July as one of 500 volunteer facilitators specially chosen from around the nation to assist in choosing the new World Trade Center proposals. President Todd expressed appreciation to Mr. Williams for taking the time to participate in the discussions and for representing the University in that endeavor.

President Todd asked the Board to take note of the other “good stuff” in his report that he did not have time to mention.

F. Introduction of Executive Committee of Staff Senate

President Todd reminded the Board of its action last year to establish a Staff Senate. He reported that elections had been held, and the Executive Committee of the Staff Senate had been selected. He said that Mr. Williams had been a leader in this effort and asked him to introduce the new members of the Staff Senate.

Mr. Williams said that the Staff Senate is now in place. The Executive Committee and other members of the Staff Senate were present to meet the Board. He introduced the following 2002-2003 Staff Senate Executive Committee and Chairs of the Standing Committees:

Chair	Bill Adkisson, Department of Surgery
Vice Chair	Vacant due to a transfer to another department
Secretary	Karen Michul, Coldstream Research Campus
Treasurer	Kim Judd, General Accounting
Parliamentarian	Tyrone Gentry, Green County Cooperative Extension Office

Chair, President’s Advisory Committee	Paula Dunn Graduate and Family Housing
---------------------------------------	---

Chair, Staff Trustee Advisory Committee	Dave Vantrees College of Education
---	---------------------------------------

Chair, By-Laws Committee	Ann Livingstone International Affairs
Chair, Election Committee	Mary Harralson College of Fine Arts
Chair, Public Relations Committee	Bill Bruening Department of Agronomy
Chair, Staff Issues Committee	Jonathan Demersseman College of Arts and Sciences

Mr. Williams asked other staff senators in attendance to also stand and be recognized, following which everyone received a round of applause.

President Todd recognized Dr. Jeff Dembo, Chair of the Senate Council. He congratulated him on his leadership role and said he looked forward to working with the University Senate.

G. Update on Coldstream Research Campus

President Todd said that the Board would have a presentation on some of the facts, figures and accomplishments of Coldstream Research Campus. At a previous Finance Committee meeting, Mr. Chellgren requested a review of the structure and the leasing of property at Coldstream. President Todd indicated that a sub-committee of the Finance Committee may be appointed to undertake that request, and he would be talking with Mr. Chellgren about that. He asked Dr. Boling to introduce Ms. Kathy Stewart, who would be making the Coldstream Research Campus presentation.

Dr. Boling said that when President Todd became President of the University, he reorganized where the Coldstream Research Campus lies within the University structure. Prior to the reorganization, the Coldstream Research Campus had been handled by Central Administration. It is now under the Office of the Vice President for Research and the Office of the Associate Vice President for Research. Under the Office of the Associate Vice President for Research, Dr. Joe Fink is responsible for Information Services, ASTeCC, Intellectual Property and the Coldstream Research Campus.

Dr. Boling said that Kathy Stewart is the Executive Director of the Coldstream Research Campus. Ms. Stewart is a graduate of Georgetown College with a MBA from Bellarmine College. She spent some of her early career at the National City Bank and other financial institutions in Louisville. In the mid 1980's she came to Lexington as Vice President in Commercial Lending at Citizens Union Bank and followed that at the National City Bank. Her last bank appointment was with the PNC Bank. In 1999 she came to the University and was appointed Executive Director of the Coldstream Research Campus. She has been doing an excellent job for the University and will give an update on the total campus at Coldstream.

Ms. Stewart thanked Dr. Boling and the Board of Trustees for giving her the opportunity to update them on Coldstream Research Campus. The presentation gave a brief history of the Research Campus, demographic information and described the amenities to tenants locating on the campus. Ms. Stewart's presentation detailed the number of lots leased, mini-campus details, employee count and number of buildings, including total square footage. The types of companies that have located at Coldstream were discussed and a listing of the current tenants was detailed. Marketing partners were presented, as was detailed financial data that showed preliminary June 30, 2002 revenues of \$467,500 and net income of \$123,200. The presentation was closed with an offer to the Board of Trustees to tour Coldstream and questions were entertained.

President Todd said that Ms. Stewart had a passion and energy for her job, and he appreciated what she does at Coldstream. He said that he had the pleasure long before he became President of sitting on the zoning group that zoned Coldstream and going to City Council meetings to get it processed, and he was fairly familiar with it.

H. Proposed Amendment to the Governing Regulations (PR 3)

President Todd said that PR 3 is an amendment to the Governing Regulations that clarifies the annual election of the Chairperson of the Board of Trustees. It is an election of the Chairperson as one of the five voting members of the Executive Committee. This is presented for preliminary consideration at this meeting and will be voted on at the next meeting, provided at least one month has elapsed. President Todd said that no action was necessary at this time.

I. Approval of Administrative Regulation AR II-1.6-1 University of Kentucky Retirement Plan (PR 4)

President Todd said that PR 4 was an approval of administrative regulation regarding the retirement plan. There was a need to define more clearly which University positions are considered to be executive for purposes of mandatory retirement. Previously, it read that mandatory retirement was applicable to people employed in bona fide executive or high policy making positions for two or more years. For the purposes of this new regulation, bona fide executive or high policy making position means the President, Provost, Senior Vice Presidents, Vice Presidents, Deans of the academic colleges, the Director of Athletics, and the General Counsel. This should be a little easier to understand. He asked for a motion of approval of PR 4. Ms. Sims so moved. Her motion, seconded by Ms. Wilson, carried. (See PR 4 at the end of the Minutes.)

Mr. Miles said that there is a lot of discussion about the 65-year retirement. He asked if this change affected the 65-year retirement in anyway.

President Todd said this would not change that. It is still there.

Mr. Miles said that there has always been a misunderstanding about the 65-year rule and a lot of people think that at 65 you are retired. He mentioned C. M. Newton who went past age 65 and asked if this changed that.

President Todd said that the Board can take action to override that rule so possibly that is what accounts for that situation.

J. Appointment/Reappointment of Advisory Board at the Lexington Community College (PR 5)

President Todd said that PR 5 is the appointment/reappointment of the Advisory Board members at Lexington Community College (LCC). The recommendation is that Margaret Koffler and Reginald Thomas be appointed for four-year terms ending June 30, 2006 and the reappointment of Paula Hanson, O. Randall Powell and William Wilson for three-year terms ending June 30, 2005 to the Advisory Board at LCC. Mr. Williams moved approval. Ms. Edge seconded the motion, and it carried. (See PR 5 at the end of the Minutes.)

K. Appointment of Vice President for Student Affairs (PR 6)

President Todd said that PR 6 is the Appointment of Vice President for Student Affairs. He recommended that Dr. Patricia S. Terrell be appointed as Vice President for Student Affairs effective August 14, 2002. Following Dr. Kuder's retirement, there was a search to fill the position. Dr. Terrell, who is a graduate of this university and served in capacities in student affairs at the University of Louisville as well as Southern Methodist University and most recently at Utah State University, was selected and recommended by that advisory group. He recommended the appointment of Dr. Terrell. Tim Robinson so moved. Mr. Chellgren seconded the motion, and it passed.

L. Appointment of Vice President for Academic Outreach and Public Service (PR 7)

President Todd said that PR 7 is a request for the appointment of Vice President for Academic Outreach and Public Service. He said his recommendation is based on the search committee's recommendation. He recommended that Dr. Retia Scott Walker be appointed Vice President for Academic Outreach and Public Service effective August 14, 2002. He reminded the Board that it recently approved the formation of the position. It is his strong feeling that the University needs someone in this capacity to advance the public service and academic outreach mission of the University. He said it was interesting to learn as he interviewed Dr. Walker that she also served in New York City in their public service well before she came to the University of Kentucky. He recommended the appointment of Dr. Retia S. Walker as Vice President for Academic Outreach and Public Service. Ms. Wilson so moved. Ms. Sparks seconded the motion, and it carried. (See PR 7 at the end of the Minutes.)\*

\*Amendment of this paragraph was approved at the February 25, 2003 Board meeting. Please see the minutes page ([www.uky.edu/Trustees/Minutes](http://www.uky.edu/Trustees/Minutes)) for that meeting.



M. Capital Construction Report (FCR 1)

Mr. Chellgren, Chairperson of the Finance Committee, reported that the Finance Committee had a brief meeting that morning. FCR 1 is the quarterly Capital Construction Report. During the last quarter there were no new contracts, some 3 amendments and 14 change orders. The only particular project that was brought to the Finance Committee's attention was change orders on a blanket utility upgrade project on page 8 in the Capital Construction Report. This is not to be unexpected in the \$14 plus million project where they are making massive changes in the water lines, the chillers and various other parts of the infrastructure of a campus of this magnitude and of this age. He moved the acceptance of FCR 1. Ms. Wilson seconded the motion, and it passed.

N. Report of Leases (FCR 2)

Mr. Chellgren said that FCR 2 is the recurring Report of Leases. There were three new leases and one renewal lease. These are leases where annual consideration is less than \$30,000, and they are described in FCR 2. There is nothing on the list that needs to be brought to the Board's attention. He moved the acceptance of FCR 2. Mr. Williams seconded the motion, and it carried. (See FCR 2 at the end of the Minutes.)

O. Approval of Lease (FCR 3)

Mr. Chellgren reported that FCR 3 is the approval of leases exceeding \$30,000 annually in value. One is a renewal and one is a new lease. The first one is a renewal of a lease in Hazard, Kentucky. This lease facility will be replaced by the new Rural Health facility that is named after Senator Benny Ray Bailey and Dr. Stumbo. The second item is a new lease between the University and the University Commons in Lexington, Kentucky for six buildings consisting of 72 apartment units near campus on Red Mile Road. These six buildings will accommodate approximately 280 students and make some significant step to accommodate the expanded student enrollment. He pointed out that these units are priced in terms of rental to the students to break even from the University's point of view. It is not a net cost to the University. This will be a lease expense, and then there will be revenue from student rent. He moved the acceptance of FCR 3. Ms. Sparks seconded the motion, and it carried. (See FCR 3 at the end of the Minutes.)

P. Coldstream Research Campus Ground Lease First Alliance Corporation (FCR 4)

Mr. Chellgren said FCR 4 is a request from one of the tenants at Coldstream. The tenant has requested that their lot be moved from lot 21 to lot 24 in the mini-campus that was referenced in the Coldstream presentation. He moved the adoption of FCR 4. Mr. Shoop seconded the motion, and it passed. (See FCR 4 at the end of the Minutes.)

Dr. Stumbo and Mr. Miles asked that the record show that they abstained from voting because of a business relationship.

Q. Endowment Name Change (FCR 5)

Mr. Chellgren said that FCR 5 is a request that the Board approve the change of the name of an endowment in the Chandler Medical Center from the U.S. Surgical Endowed Professorship to the U.S. Surgical-Pfizer Endowed Professorship. U.S. Surgical has been acquired by Pfizer and that would be the reason for the change. He moved the acceptance of FCR 5. Ms. Edge seconded the motion, and it carried.

R. Establishment of Gift Annuity Program (FCR 6)

Mr. Chellgren said that FCR 6 is the establishment of a gift annuity program at the University. The Finance Committee had a comprehensive discussion about this new program. Gift annuities are attractive to donors because they do qualify as charitable deductions for income tax purposes. Donors receive a fixed payment guaranteed for the life at a rate that is in effect when the gift annuity is negotiated and executed. This is following a pattern that almost all major academic and other charitable institutions have. The Development Office believes that it will be an important additional donation opportunity for the donors and contributors of the University. He moved the acceptance of FCR 6. Ms. Wilson seconded the motion, and it carried. (See FCR 6 at the end of the Minutes.)

S. Gifts and Pledges (FCR 7 through FCR 12)

Mr. Chellgren said that with the Board's concurrence, he would like to deal with FCR 7 through 12 as a blanket resolution. These are the contributions that need Board approval.

FCR 7 – approve the establishment of the Franklin E. Tuttle Memorial Quasi-Endowment Fund. In 1988, the University of Kentucky received just over \$1.4 million from the estate of A. Sidney Behrman, as a memorial to Dr. Tuttle, a former chemistry professor. Those funds were invested in the short-term investment program that would not have earned significant returns. The decision has been made to place these funds in the pooled endowment investments that will historically, perhaps not the last three years, generate much better money market returns.

FCR 8 – accept a gift of \$10,000 and a pledge of \$40,000 from Deane Blazie from Hobe Sound, Florida to create and endow a professorship in the College of Engineering, Department of Electrical and Computer Engineering. This is eligible for matching funds in the state's Research Challenge Trust Fund (RCTF).

FCR 9 – accept a gift of \$50,000 from Kentucky Utilities, Inc., which is now linked with Louisville Gas and Electric, to create and endow a professorship in

the College of Engineering, Department of Electrical and Computer Engineering. This is eligible for matching funds from the RCTF.

FCR 10 – accept a generous gift of \$500,000 from Kentucky Medical Services Foundation to establish and endow a chair that will enhance and help science research in the Chandler Medical Center. It is anticipated that this gift will be matched by the state’s RCTF to get the \$1 million principal needed to establish a chair.

FCR 11 – accept a gift of \$1,479,600 from the estate of George A. Young to the College of Medicine to establish and endow the George A. Young Endowment for Stroke Research in the Sanders-Brown Center on Aging. Mr. Young was a 1947 graduate of the University. He died in July 1990. It took time to liquidate his estate. The gift is eligible for matching funds from the state’s RCTF.

FCR 12 – accept an anonymous gift of \$400,000 to the Department of Athletics for the baseball stadium renovation project.

Mr. Chellgren noted that four of the six gifts show eligibility for matching funds from the Research Challenge Trust Fund. With these four gifts, that particular fund and the interest on the fund is exhausted. He said that the Committee is hopeful that there will be another program when the state gets a budget. At this point, the University has exhausted all of its RCTF funds. He moved the acceptance of FCR 7-12 inclusive. Ms. Sims seconded the motion, and it passed. (See FCR 7, 8, 9, 10, 11, and 12 at the end of the Minutes.)

#### T. Investment Committee Report

Mr. Miles called upon Mr. Wilcoxson to give the Board an update on the recent activities of the Investment Committee.

Mr. Wilcoxson reported that the Investment Committee had reviewed the allocation and performance results of the fixed income portfolio. The University’s investment consultants, Ennis Knupp & Associates, recommended that proposals be requested from prospective fixed income managers and that consideration be given to dividing the fixed income assets into a core and a core plus strategy. After interviewing prospective managers, the Investment Committee decided to hire Reams Investment Management Company as a core manager, and Pacific Investment Management Company (PIMCO) as a core plus manager. The University’s allocation to fixed income securities of approximately \$130,000,000 was divided equally between the two companies.

Ms. Young asked Mr. Wilcoxson to provide an explanation of core and core plus investment strategies.

Mr. Wilcoxson explained that the basic difference between core and core plus fixed income investing is the amount of risk taken. The core plus strategy offers a higher yield but with slightly more risk based on the individual ratings of the securities purchased. Basically the difference between the core and core plus is the amount of risk taken. After a thorough review, assisted by Ennis Knupp, the Investment Committee agreed that overall there may be less risk by allocating 50% of the fixed income portfolio to a core plus strategy.

Mr. Wilcoxson asked Mr. Clay Owen to comment. Mr. Owen stated that the two styles complement each other and the market returns for each of the styles do not exactly correlate. The diversification tends to reduce overall risk (volatility of returns) in the fixed income portfolio. The two portfolios would be similar for 80% of the investments, i.e. high quality corporate bonds, U. S. Treasuries, etc. In the core plus portfolio, up to 20% can be invested in securities with lower ratings, such as high yield bonds, foreign bonds (non U.S. \$ denominated) and debt of emerging markets. Core plus is more aggressive, but over time, when combined with a core portfolio, the volatility of annual returns is less because of the diversification.

Mr. Wilcoxson stated that the Investment Committee is taking what one might say is a little more risk, but believes that the potential for increasing the revenue makes the additional risk acceptable.

U. Nominating Committee

Mr. Shoop, Chairperson of the Nominating Committee, asked the Nominating Committee to remain so they could set a date for the Nominating Committee to meet.

V. Mr. Mobley Recognized

Mr. Shoop recognized Mr. Mobley for stepping in and doing such a great job as Acting Director of Athletics. It shows the capabilities of a man that can wear several hats at the University. He asked Mr. Mobley to stand and be recognized, following which he received a round of applause.

President Todd said he was going to compliment Mr. Mobley, but he prefers that the Board members do that in his stead. He pointed out that the match of two of the \$67,000,000 rounds of "Bucks for Brains" is the green hat area that Mr. Mobley wears. He has taken this endowment from about \$200,000,000 to about \$450,000,000 in a four-year period. He said that he appreciated both levels of services that Mr. Mobley has provided the University.

W. Meeting Adjourned

With no further business to come before the Board, Mr. Miles adjourned the meeting at 1:55 p.m.

Respectfully submitted,

JoEtta Wickliffe  
Secretary, Board of Trustees

(PR 2, 3, 4, 5; 6, 7; AACR 1, AACR 2; FCR 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, and 12 which follow are official parts of the Minutes of the meeting.)

# PR 2

Office of the President  
August 13, 2002

Members, Board of Trustees:

## PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate Provost/Vice President through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

---

Action taken:     Approved     Disapproved     Other \_\_\_\_\_

**PRESIDENT AND  
SENIOR VICE PRESIDENT FOR ADMINISTRATION**

**I. BOARD ACTION**

**A. ADMINISTRATIVE APPOINTMENTS**

Office of Legal Counsel

Van Booven, Paul C., Acting General Counsel, 5/23/02 through 5/22/03.

Vice President for Fiscal Affairs and Information Technology

Martin, Angela S., Associate Vice President, Planning, Budget & Policy Analysis, effective 7/8/02.

**B. REAPPOINTMENTS**

Boling, James A., Acting Vice President for Research, 7/1/02 through 6/30/03.

Nietzel, Michael T., Acting Provost, 7/1/02 through 6/30/03.

**C. RETIREMENTS**

Vice President for Auxiliary and Campus Services

Begley, John C., Stores Supervisor, Food Storage Center, after 15 years of consecutive service, under AR II-1.6-1 Section III.A.2, effective 9/3/02.

Burton, John L. Jr., Custodial Worker I, Custodial Services, after 15 years of consecutive service, under AR II-1.6-1 Section III.A.2, effective 9/27/02.

Marshall, Shelby A., Grounds Supervisor, Grounds Services, after 33 years and 2 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 9/30/02.

McKitric, Donna, Cook/Baker II, Food Services, after 27 years and 10 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 8/1/02.

Vice President for Fiscal Affairs and Information Technology

Lovan, Nora G., Program System Analysis Sr., after 36 years of consecutive service, under AR II-1.6-1 Section III.B, effective 8/16/02.

**D. EARLY RETIREMENTS**

Vice President for Fiscal Affairs and Information Technology

Williams, Rose M., Custodial Worker II, Employee Benefits, after 22 years of consecutive service, under AR II-1.6-1 Section III.A.2, effective 5/31/02.

**E. POST-RETIREMENT APPOINTMENTS**

Blanton, Jack C., Acting Senior Vice President for Administration, from August 17, 2002, through June 30, 2003.

Athletics

Bettie Lou Evans, Part-time Director of Golf Operations, from September 1, 2002, through June 30, 2003.

**II. ADMINISTRATIVE ACTION**

**A. PROMOTIONS**

Vice President for Fiscal Affairs and Information Technology

Lush, Kimberly, promoted from Accountant Sr, MT0043, in the Human Resource Services/Benefits Financial Accounting Department to Accounting Manager II, MT0047, in the Human Resources Services/Benefits Financial Accounting Department effective July 1, 2002.

**B. LEAVES OF ABSENCE**

Vice President for Fiscal Affairs and Information Technology

Muller, Kris, Administrative Staff Officer II, leave of absence without pay, effective 6/1/02 through 1/30/03.

---

\*Special Title series



**PROVOST**

**I. BOARD ACTION**

**A. ACADEMIC APPOINTMENTS**

- Bagby, Ihsan, College of Arts and Sciences, Associate Professor (without tenure), Modern and Classical Languages, Literatures and Cultures, 8/16/02 through 6/30/04.
- Jawahir, I.S., College of Engineering, Professor (with tenure), Mechanical Engineering, appointed to the James F. Hardymon Chair in Advanced Manufacturing, 7/1/02 through 6/30/07.
- Lee, Chad David, College of Agriculture, Assistant Extension Professor, Agronomy, 7/1/02 through 6/30/03.
- Masabni, Joseph G., College of Agriculture, Assistant Extension Professor, Horticulture, 7/1/02 through 6/30/03.
- McDaniel, Linda, College of Business and Economics, Professor (with tenure), Accountancy, from Von Allmen Endowed Professorship in Accountancy, to Von Allmen Endowed Chair in Accountancy, 7/1/02 through 6/30/07.
- McGarvey, John T., College of Law, Professor (part-time), Law, 8/16/02 through 12/31/02.
- Michelman, Susan O., College of Human Environmental Sciences, Associate Professor (with tenure), Merchandising, Apparel and Textiles, effective 8/1/02.
- Rueda, Ana, College of Arts and Sciences, Professor (with tenure), Hispanic Studies, effective 8/16/02.
- Schwab, Gregory J., College of Agriculture, Assistant Extension Professor, Agronomy, 7/1/02 through 6/30/03.
- Ward, Richard C., College of Law, Professor (part-time), Law, 8/16/02 through 12/31/02.

**B. ADMINISTRATIVE APPOINTMENTS**

- Fiedler, Theodore, College of Arts and Sciences, Professor (with tenure), Modern and Classical Languages, Literatures and Cultures, appointed Chair, Modern and Classical Languages, Literatures and Cultures, 7/1/02 through 6/30/06.
- Hall, John, College of Education, Associate Professor (with tenure), Kinesiology and Health Promotion, and Chair, Kinesiology and Health Promotion, reappointed Chair, Kinesiology and Health Promotion, 7/1/02 through 6/30/03.
- Hansen, Gary, College of Agriculture, Extension Professor (with tenure), Community and Leadership Development, from Acting Chair, Community and Leadership Development, to Chair, Community and Leadership Development, 7/1/02 through 6/30/08.

Michelman, Susan O., College of Human Environmental Sciences, Associate Professor (with tenure), Merchandising, Apparel and Textiles, appointed Chair, Merchandising, Apparel and Textiles, 8/1/02 through 6/30/08.

Sineath, Timothy, College of Communications and Information Studies, Professor (with tenure), Library and Information Science, and Director, Library and Information Science, reappointed Director, Library and Information Science, 7/1/02 through 6/30/03.

### **C. JOINT APPOINTMENTS**

Cibulka, James G., College of Education, Professor (with tenure), Administration and Supervision, and joint appointment as Professor (without tenure), Educational Policy Studies and Evaluation, effective 7/1/02.

Crofton, John, College of Engineering, Associate Professor (with tenure), Murray State University, joint reappointment as Associate Professor (without tenure), Electrical and Computer Engineering, 8/16/02 through 6/30/03.

### **D. REAPPOINTMENTS**

Hamblin, Pierce W., College of Law, Professor (part-time), Law, 8/16/02 through 5/15/03.

Ho, W.S. Winston, College of Engineering, Professor (temporary), Chemical and Materials Engineering, 7/1/02 through 8/15/02.

Kumar, Ratnesh, College of Engineering, Associate Professor (temporary), Electrical and Computer Engineering, 7/1/02 through 8/15/02.

Larson, Raymond W., College of Law, Professor (part-time), Law, 8/16/02 through 5/15/03.

Pringle, Robert W. Jr., Graduate School, Adjunct Professor, Patterson School of Diplomacy and International Commerce, 7/1/02 through 6/30/03.

Ryen, Dag, Graduate School, Professor (temporary), Patterson School of Diplomacy and International Commerce, 8/16/02 through 5/15/03.

Stevens, James D., College of Engineering, Associate Professor (part-time), Civil Engineering, 7/1/02 through 6/30/03.

### **E. PROMOTIONS**

Connors, Terrance E., College of Agriculture, from Assistant Extension Professor, Forestry, to Associate Extension Professor (without tenure), Forestry, 8/1/02 through 6/30/03.

Hetzel, Lori, College of Fine Arts, from Assistant Professor\*, Music, to Associate Professor\* (with tenure), Music, effective 7/1/02.

McCormick, Katherine, College of Education, from Assistant Professor, Special Education and Rehabilitation Counseling, to Associate Professor

---

\*Special Title series

(with tenure), Special Education and Rehabilitation Counseling, effective 7/1/02.

Ryan, Elizabeth, College of Communications and Information Studies, from Assistant Professor\*, Journalism and Telecommunications, to Associate Professor\* (with tenure), Journalism and Telecommunications, effective 7/1/02.

Spalding, Elizabeth, College of Education, from Assistant Professor, Curriculum and Instruction, to Associate Professor (with tenure), Curriculum and Instruction, effective 7/1/02.

#### **F. CHANGES**

Boyd, Douglas A., College of Communications and Information Studies, Professor (with tenure), Communication, and Director, Office of International Affairs, from Director, Office of International Affairs, to Associate Provost, Office of International Affairs, 7/1/02 through 6/30/05.

Byers, Charles W., College of Agriculture, from Professor (with tenure), Community and Leadership Development, to Professor\* (with tenure), Community and Leadership Development, effective 7/1/02.

#### **G. RETIREMENTS**

Molloy, Lynn F., Lexington Community College, Associate Professor (with tenure), Lexington Community College, after 11 years and 11 months of consecutive service, under Section III.B of AR II-1.6-1, effective 7/1/02.

Nasar, Syed A., College of Engineering, Professor (with tenure), Electrical and Computer Engineering, after 32 years and 5 months of consecutive service, under Section III.B of AR II-1.6-1, effective 6/30/02.

Tharsing, Robert, College of Fine Arts, Associate Professor (with tenure), Art, after 31 years of consecutive service, under Section III.B of AR II-1.6-1, effective 8/14/02.

#### **H. EARLY RETIREMENTS**

Back, Carmolyn B., Lexington Community College, Instructional Specialist, Biological Sciences and Nursing, after 28 years and 10 months of consecutive service and a total of 29 years and 8 months of service, under Section III.A.2 of AR II-1.6-1, effective 5/31/02.

Bivens, Jewell A., College of Business and Economics, I.S. Technical Support Specialist III, Center for Entrepreneurship, after 24 years and 9 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 7/31/02.

Gross, Mescal H., College of Agriculture, Staff Support Associate I, Family and Consumer Sciences, after 30 years and 1 month of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 6/28/02.

---

\*Special Title series

Larimore, Adele, College of Agriculture, County Extension Secretary, Cooperative Extension Service, after 36 years and 4 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 7/31/02.

Muller, Robert N., College of Agriculture, Associate Professor (with tenure), Forestry, after 24 years and 1 month of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 5/31/02.

## **I. POST-RETIREMENT APPOINTMENTS**

Baseheart, John, College of Communications and Information Studies, Associate Professor (part-time), Communication, 7/1/02 through 12/31/02, and Acting Chair, Communication, 1/1/03 through 5/15/03.

Bostrom, Robert N., College of Communications and Information Studies, Professor (part-time), Communication, 7/1/02 through 6/30/03.

Brady, Mary, College of Agriculture, Extension County Clerk (part-time), Cooperative Extension Service, 7/1/02 through 6/30/03.

Brown, Benita, College of Agriculture, Secretary (part-time), Cooperative Extension Service, 4/25/02 through 6/30/03.

Donohew, R. Lewis, College of Communications and Information Studies, Professor (part-time), Communication, 7/1/02 through 6/30/03.

Parker, Mary Betty, College of Agriculture, Secretary (part-time), Cooperative Extension Service, 5/7/02 through 7/10/02.

Rice, Larry, College of Engineering, Research Facility Manager (part-time), Chemical and Materials Engineering, 7/1/02 through 6/30/03.

Rush, Ramona R., College of Communications and Information Studies, Professor (part-time), Communication, 7/1/02 through 12/31/02.

## **II. ADMINISTRATIVE ACTION**

### **A. ACADEMIC APPOINTMENTS**

Anton, Marian F., College of Arts and Sciences, Assistant Professor, Mathematics, 8/16/02 through 6/30/04.

Bradford, Kay, College of Human Environmental Sciences, Assistant Professor, Family Studies, 8/16/02 through 6/30/03.

Buckner, Terry D., Lexington Community College, Librarian IV, Lexington Community College, 8/1/02 through 6/30/03.

Bush, Nathania A., Lexington Community College, Instructor, Lexington Community College, 8/1/02 through 6/30/03.

Campbell, Sean W., College of Arts and Sciences, Assistant Professor, Geography, 8/16/02 through 6/30/04.

Carmo, Ana, College of Arts and Sciences, Assistant Professor, Geological Sciences, 7/1/02 through 6/30/04.

Crothers, George, College of Arts and Sciences, Assistant Professor\*, Anthropology, 7/1/02 through 6/30/04.

---

\*Special Title series

Edwards, Kim, College of Arts and Sciences, Assistant Professor (temporary), English, 8/16/02 through 6/30/03.

French, Christiana, Lexington Community College, Librarian IV, Lexington Community College, 8/1/02 through 6/30/03.

Fullbrook, Denise, College of Arts and Sciences, Assistant Professor, English, 8/16/02 through 6/30/04.

Gholz, Charles Eugene, Graduate School, Assistant Professor, Patterson School of Diplomacy and International Commerce, 7/1/02 through 6/30/03.

Goodin, Michael M., College of Agriculture, Assistant Professor, Plant Pathology, 7/1/02 through 6/30/03.

Hardin, Albert Anthony, College of Fine Arts, Assistant Professor, Theatre, 8/1/02 through 6/30/03.

Hostetler, Miriam, College of Arts and Sciences, Lecturer, Modern and Classical Languages, Literatures and Cultures, 7/1/02 through 6/30/03.

Howell, Rebecca G., College of Arts and Sciences, Lecturer, English, 8/16/02 through 6/30/03.

Jones, Oscar L. Jr., Lexington Community College, Instructor, Lexington Community College, 8/1/02 through 6/30/03.

Jung, Lee Ann, College of Education, Assistant Professor, Special Education and Rehabilitation Counseling, 7/1/02 through 6/30/03.

Kang, Sung-Ha, College of Arts and Sciences, Assistant Professor, Mathematics, 8/16/02 through 6/30/04.

Larson, Susan, College of Arts and Sciences, Assistant Professor, Hispanic Studies, 7/1/02 through 6/30/04.

Lillard, Linda, College of Communications and Information Studies, Assistant Professor, Library and Information Science, 8/1/02 through 6/30/03.

Lockhart, Kathy A., College of Business and Economics, Lecturer, Management, 8/16/02 through 5/15/03.

Marksbury, Tom, College of Arts and Sciences, Lecturer, English, 8/16/02 through 6/30/03.

Minkova, Milena, College of Arts and Sciences, Assistant Professor, Modern and Classical Languages, Literatures and Cultures, 7/1/02 through 6/30/04.

Moore, Russell E., Lexington Community College, Instructor, Lexington Community College, 8/1/02 through 6/30/03.

Motamedi, Hossein, Lexington Community College, Instructor, Lexington Community College, 8/1/02 through 6/30/03.

Nagel, Uwe, College of Arts and Sciences, Assistant Professor, Mathematics, 8/16/02 through 6/30/04.

Perry, Gerald R., College of Business and Economics, Lecturer, Management, 8/16/02 through 5/15/03.

Pruitt, Beth Anne, College of Education, Lecturer, Special Education and Rehabilitation Counseling, 7/1/02 through 6/30/03.

Roemmele, Lise I., Lexington Community College, Instructor, Lexington Community College, 8/1/02 through 6/30/03.

Rogers, Nels Jeff, College of Arts and Sciences, Assistant Professor\*, Modern and Classical Languages, Literatures and Cultures, 8/16/02 through 6/30/04.

Rowe, Harold, College of Arts and Sciences, Assistant Professor, Geological Sciences, 7/1/02 through 6/30/04.

Rushin, Palisa W., Lexington Community College, Instructor, Lexington Community College, 7/1/02 through 6/30/03.

Simms, Ruth, Lexington Community College, Instructor, Lexington Community College, 8/1/02 through 6/30/03.

Snapp, William M., College of Business and Economics, Lecturer, Management, 8/16/02 through 6/30/03.

Voss, S. Randal, College of Arts and Sciences, Assistant Professor, Biology, 8/16/02 through 6/30/04.

Walker, Debra J., Lexington Community College, Instructor, Lexington Community College, 8/1/02 through 6/30/03.

Woodrum, Kim R., College of Arts and Sciences, Lecturer, Chemistry, 7/1/02 through 6/30/03.

#### **B. ADMINISTRATIVE APPOINTMENTS**

Dickson, Ann, College of Human Environmental Sciences, Associate Professor (with tenure), Interior Design, and Acting Director, Interior Design, reappointed Acting Director, Interior Design, 8/1/02 through 5/31/03.

Hougland, James, College of Arts and Sciences, Professor (with tenure), Sociology, appointed Acting Chair, Sociology, 7/1/02 through 6/30/03.

Weber, Brenda R., Undergraduate Studies, Director Associate, Undergraduate Studies, effective 7/15/02.

#### **C. JOINT APPOINTMENTS**

Hereford, James, College of Engineering, Assistant Professor, Murray State University, joint appointment as Assistant Professor, Electrical and Computer Engineering, 8/16/02 through 6/30/03.

#### **D. REAPPOINTMENTS**

Adams, Ruth, College of Fine Arts, Assistant Professor, Art, 7/1/02 through 6/30/03.

Andrews, James, College of Communications and Information Studies, Assistant Professor, Library and Information Science, 7/1/02 through 6/30/03.

Anyaegbunam, Chike, College of Communications and Information Studies, Assistant Professor, Journalism and Communications, 7/1/02 through 6/30/03.

---

\*Special Title series

- Benoit, Gerald, College of Communications and Information Studies, Assistant Professor, Library and Information Science, 7/1/02 through 6/30/03.
- Bintz, William, College of Education, Assistant Professor, Curriculum and Instruction, 7/1/02 through 12/31/02.
- Boyle, Karen, College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 8/1/02 through 12/31/02.
- Bryant, Rosemary, College of Communications and Information Studies, Lecturer, Communication, 7/1/02 through 6/30/03.
- Cappe, Yvonne, College of Communications and Information Studies, Assistant Professor\*, Journalism and Communications, 7/1/02 through 6/30/03.
- Castaneda, Marco A., College of Business and Economics, Assistant Professor, Economics, 7/1/02 through 6/30/03.
- Chandra, Uday, College of Business and Economics, Assistant Professor, Accountancy, 7/1/02 through 6/30/03.
- Cheshire, Dan, College of Social Work, Assistant Professor, Social Work, 7/1/02 through 6/30/03.
- Choo, Ching Chiaw, College of Architecture, Instructor (part-time), Architecture, 7/1/02 through 7/31/02.
- Clark, John F., College of Communications and Information Studies, Assistant Professor\*, Journalism and Telecommunications, 7/1/02 through 6/30/03.
- Clasey, Jody, College of Education, Assistant Professor, Kinesiology and Health Promotion, 7/1/02 through 6/30/03.
- Collins-Camargo, Crystal F., College of Social Work, Assistant Clinical Professor, Social Work, 7/1/02 through 6/30/03.
- Corman, Elizabeth B., College of Social Work, Clinical Instructor, Social Work, 8/1/02 through 6/30/03.
- Corso, Alberto, College of Arts and Sciences, Assistant Professor, Mathematics, 7/1/02 through 12/31/02.
- Cummins, Karen M., College of Human Environmental Sciences, Instructor (temporary), Merchandising, Apparel and Textiles, 8/16/02 through 5/15/03.
- Dailey, Raleigh, College of Fine Arts, Lecturer, Music, 7/1/02 through 6/30/03.
- Duffy, Michelle K., College of Business and Economics, Assistant Professor, Management, 7/1/02 through 6/30/03.
- Ersing, Robin L., College of Social Work, Assistant Professor, Social Work, 7/1/02 through 6/30/03.
- Fender, Kim, College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 8/1/02 through 12/31/02.
- Fields, Nelson, College of Fine Arts, Assistant Professor, Theatre, 7/1/02 through 6/30/03.
- Goble, Christine A., College of Engineering, Assistant Professor (part-time), Mechanical Engineering, 8/16/02 through 6/30/03.

---

\*Special Title series

- Graetz, Lillian, Lexington Community College, Assistant Professor, Lexington Community College, 7/1/02 through 6/30/03.
- Groeber, Chris, College of Social Work, Clinical Instructor, Social Work, 7/1/02 through 6/30/03.
- Ham, Seonok, College of Human Environmental Sciences, Assistant Professor, Nutrition and Food Science, 7/1/02 through 6/30/03.
- Haven, Robert W., College of Fine Arts, Assistant Professor, Theatre, 7/1/02 through 6/30/03.
- Hesterberg, Latonya J., College of Social Work, Assistant Clinical Professor, Social Work, 7/1/02 through 6/30/03.
- Hill, Mabel Emmalee, College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 8/1/02 through 12/31/02.
- Holbein, Gordon F., College of Business and Economics, Lecturer, Management, 7/1/02 through 6/30/03.
- Holm-Hudson, Kevin, College of Fine Arts, Assistant Professor, Music, 7/1/02 through 6/30/03.
- Ireland, Sandra, College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 8/16/02 through 5/15/03.
- Jahed, Peyman, College of Architecture, Instructor (part-time), Architecture, 7/1/02 through 7/31/02.
- Jones, Nancy, College of Fine Arts, Assistant Professor\*, Theatre, 7/1/02 through 6/30/03.
- Kalala, Nkongolo, Lexington Community College, Assistant Professor, Lexington Community College, 7/1/02 through 6/30/03.
- Letcher, Traci, College of Communications and Information Studies, Lecturer, Communication, 7/1/02 through 6/30/03.
- Lindberg, Laurie, College of Human Environmental Sciences, Instructor (part-time), Interior Design, 8/16/02 through 12/31/02.
- Litzelfelner, Pat, College of Social Work, Assistant Professor, Social Work, 7/1/02 through 6/30/03.
- Lockhart, Daniel E., College of Business and Economics, Lecturer, Management, 7/1/02 through 6/30/03.
- Lovell, Mark A., College of Arts and Sciences, Assistant Professor, Chemistry, 7/1/02 through 12/31/02.
- Maloney, Doreen, College of Fine Arts, Assistant Professor, Art, 7/1/02 through 6/30/03.
- Martin, Andrew D., College of Arts and Sciences, Lecturer, Mathematics, 7/1/02 through 6/30/03.
- Mason, Carlton W., College of Business and Economics, Lecturer, Accountancy, 7/1/02 through 6/30/03.
- Matei, Sorin, College of Communications and Information Studies, Assistant Professor, Journalism and Telecommunications, 7/1/02 through 6/30/03.
- McComb, Gary Neil, College of Architecture, Instructor (part-time), Architecture, 7/1/02 through 7/31/02.

---

\*Special Title series



- McCrary, Nancye, College of Fine Arts, Assistant Professor, Art, 7/1/02 through 6/30/03.
- McKay, Michael, College of Architecture, Instructor (part-time), Architecture, 7/1/02 through 7/31/02.
- McVay, Vicki, College of Fine Arts, Lecturer, Music, 8/1/02 through 6/30/03.
- Milbourne, Karen, College of Fine Arts, Assistant Professor, Art, 7/1/02 through 6/30/03.
- Miller, Robert O., College of Communications and Information Studies, Instructor (part-time), Communication, 8/16/02 through 12/31/02.
- Moore, Susan, College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 8/1/02 through 12/31/02.
- Neal, Terry L., College of Business and Economics, Assistant Professor, Accountancy, 7/1/02 through 6/30/03.
- Newlon, Elizabeth, College of Business and Economics, Assistant Professor, Economics, 7/1/02 through 6/30/03.
- Oaks, Janice, College of Arts and Sciences, Lecturer, English, 7/1/02 through 6/30/03.
- Olson, Carole J., College of Social Work, Lecturer, Social Work, 8/16/02 through 5/15/03.
- Otis, Melanie D., College of Social Work, Assistant Professor, Social Work, 7/1/02 through 6/30/03.
- Reed, Robert R. III, College of Business and Economics, Assistant Professor, Economics, 7/1/02 through 6/30/03.
- Roberto, Anthony J., College of Communications and Information Studies, Assistant Professor, Communication, 7/1/02 through 6/30/03.
- Robinson, Deirdra, College of Social Work, Clinical Instructor, Social Work, 7/1/02 through 6/30/03.
- Rogers, Jackie, College of Education, Assistant Adjunct Professor, Special Education and Rehabilitation Counseling, 7/1/02 through 6/30/03.
- Schabel, Donald, College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 8/1/02 through 12/31/02.
- Shepherd, Brian, College of Arts and Sciences, Assistant Professor, Biology, 7/1/02 through 6/30/04.
- Simpson, April, College of Fine Arts, Assistant Professor (temporary), Art, 8/1/02 through 6/30/03.
- Skaggs, Bruce C., College of Business and Economics, Assistant Professor, Management, 7/1/02 through 6/30/03.
- Stafford, Richard J., College of Business and Economics, Lecturer, Management, 7/1/02 through 6/30/03.
- Stephens, Rebecca, College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 8/16/02 through 5/15/03.
- Swanberg, Jennifer, College of Social Work, Assistant Professor, Social Work, 7/1/02 through 6/30/03.

Swanson, Elizabeth, College of Architecture, Instructor (part-time), Architecture, 7/1/02 through 7/31/02.

Teegavarapu, Ramesh, College of Engineering, Assistant Professor (temporary), Civil Engineering, 9/1/02 through 8/31/03.

Thomas, Sidney, College of Business and Economics, Lecturer, Management, 8/16/02 through 5/15/03.

Trask, Kathleen Ann, College of Business and Economics, Assistant Professor, Economics, 7/1/02 through 6/30/03.

Vorobieva, Irina, College of Fine Arts, Assistant Professor\*, Music, 7/1/02 through 6/30/03.

Weeks, Pamela L., College of Social Work, Assistant Clinical Professor, Social Work, 7/1/02 through 6/30/03.

White, Jackie, College of Communications and Information Studies, Assistant Professor\*, Library and Information Science, 7/1/02 through 6/30/03.

Wickliffe, Vanessa, College of Human Environmental Sciences, Assistant Professor, Merchandising, Apparel and Textiles, 7/1/02 through 6/30/03.

Wilson, Annabelle, College of Fine Arts, Assistant Professor (temporary), Art, 8/1/02 through 6/30/03.

Witt, Don, College of Communications and Information Studies, Adjunct Instructor, Communication, 8/16/02 through 5/15/03.

Zirkle, Ross E., College of Fine Arts, Assistant Professor, Art, 7/1/02 through 6/30/03.

#### **E. PROMOTIONS WITHOUT TENURE**

DelVecchio, Devon, College of Business and Economics, from Instructor, Management, to Assistant Professor, Management, 7/1/02 through 6/30/03.

Healander, Beth, Lexington Community College, from Instructor, Lexington Community College, to Assistant Professor, Lexington Community College, 7/1/02 through 6/30/03.

Human, Renee, Lexington Community College, from Instructor, Lexington Community College, to Assistant Professor, Lexington Community College, 7/1/02 through 6/30/03.

#### **F. CHANGES**

McClure, Thirzie Leola, College of Communications and Information Studies, from Assistant Professor\*, Communication, to Assistant Professor, Communication, 7/1/02 through 6/30/03.

#### **G. TERMINAL REAPPOINTMENTS**

Peffer, Sean, College of Business and Economics, Assistant Professor, Accountancy, 7/1/02 through 6/30/03.

---

\*Special Title series

## H. LEAVES OF ABSENCE

- Anton, Marian F., College of Arts and Sciences, Assistant Professor, Mathematics, Scholarly fellowship leave, 8/16/02 through 12/31/02.
- Brown, Grayson, College of Agriculture, Professor (with tenure), Entomology, Sabbatical leave with full salary, 10/1/02 through 3/31/03.
- Chassen-Lopez, Francie, College of Arts and Sciences, Associate Professor (with tenure), History, from Sabbatical leave with full salary, 7/1/02 through 12/31/02, to Sabbatical leave with full salary, 1/1/03 through 6/30/03.
- Dauert, Sylvia, College of Arts and Sciences, Professor (with tenure), Chemistry, Sabbatical leave with full salary, 7/1/02 through 12/31/02.
- Eastwood, Bruce, College of Arts and Sciences, Professor (with tenure), History, Sabbatical leave with half salary, 7/1/02 through 6/30/03.
- Ellis, Aime, College of Arts and Sciences, Assistant Professor, English, Leave without pay, 7/1/02 through 6/30/03.
- Foreman, Walter, College of Arts and Sciences, Associate Professor (with tenure), English, to rescind Sabbatical leave with half salary, 7/1/02 through 6/30/03.
- Harrington, Nancy, College of Communications and Information Studies, Associate Professor (with tenure), Communication, and Chair, Communication, Sabbatical leave with full salary, 1/1/03 through 6/30/03.
- Hershman, Donald, College of Agriculture, Extension Professor (with tenure), Plant Pathology, Sabbatical leave with full salary, 5/26/03 through 8/17/03.
- Kifer, Edward, College of Education, Professor (with tenure), Educational Policy Studies and Evaluation, from Sabbatical leave with half salary, 7/1/02 through 6/30/03, to Sabbatical leave with full salary, 7/1/02 through 12/31/02, and Scholarly fellowship leave, 1/1/03 through 6/30/03.
- Miller, Wallis, College of Architecture, Associate Professor (with tenure), Architecture, Sabbatical leave with full salary, 7/1/02 through 12/31/02, and Scholarly fellowship leave, 1/1/03 through 6/30/03.
- O'Hara, Kieran, College of Arts and Sciences, Associate Professor (with tenure), Geological Sciences, Sabbatical leave with half salary, 7/1/02 through 6/30/03.
- Pickens, Rupert, College of Arts and Sciences, Professor (with tenure), Modern and Classical Languages, Literatures and Cultures, Sabbatical leave with full salary, 7/1/02 through 12/31/02.
- Rocanova, Anthony, College of Architecture, Associate Professor (with tenure), Architecture, Sabbatical leave with half salary, 1/1/03 through 12/31/03.
- Spalding, Elizabeth, College of Education, Associate Professor (with tenure), Curriculum and Instruction, Sabbatical leave with full salary, 1/1/03 through 6/30/03.

Townley, Kim F., College of Human Environmental Sciences, Associate Professor (with tenure), Family Studies, Leave without pay, 7/1/02 through 6/30/03.

Velasco, Sherry, College of Arts and Sciences, Associate Professor (with tenure), Hispanic Studies, Leave without pay, 7/1/02 through 6/30/03.

**MEDICAL CENTER**

**I. BOARD ACTION**

**A. ACADEMIC APPOINTMENTS**

- Anderson-Harper, Heidi M., College of Pharmacy, Professor (with tenure), named to the U.S. Surgical Endowed Professorship in Pharmacy Administration, 9/1/02 through 8/31/07.
- Crooks, Peter A., College of Pharmacy, Professor (with tenure), named to the University Professorship in Pharmaceutical Sciences, 9/1/02 through 8/31/07.
- Dwoskin, Linda P., College of Pharmacy, Professor (with tenure), named to the U.S. Surgical-Pfizer Endowed Professorship in Pharmaceutical Sciences, 9/1/02 through 8/31/07.
- Fernander, Anita F., College of Medicine, Assistant Professor, Behavioral Science, 9/2/02 through 6/30/03.
- Foard, Joni, College of Pharmacy, Clinical Assistant Professor, 8/1/02 through 6/30/03.
- Ghansah, Joseph N., College of Medicine, Clinical Assistant Professor, Anesthesiology, 9/1/02 through 6/30/03.
- Gonzalez-Koch, Alvaro R., College of Medicine, Assistant Professor\*, Internal Medicine, 9/1/02 through 6/30/03.
- Griffith, Joan R., College of Medicine, Assistant Professor\*, Pediatrics, 11/1/02 through 6/30/03.
- Hall, Edward D., College of Medicine, Professor (with tenure), Anatomy & Neurobiology, effective 7/1/02; also named to the Spinal Cord and Brain Injury Chair No. 4 (Clinical Director), 7/1/02 through 6/30/07.
- Hall, Lynne A., College of Nursing, Professor (with tenure), named to the Marcia A. Dake Professorship of Nursing Science, 9/1/02 through 8/31/07.
- Hasan, Mian A., College of Medicine, Clinical Assistant Professor, Internal Medicine, 8/14/02 through 6/30/03.
- Hester, Molly Y., College of Medicine, Assistant Professor\*, Diagnostic Radiology, 8/14/02 through 6/30/03.
- Johnsrude, Christopher L., College of Medicine, Associate Professor (voluntary), Pediatrics, 8/14/02 through 6/30/03.
- Ko, Chemyong, College of Health Sciences, Assistant Professor, Clinical Sciences, 8/1/02 through 6/30/03.
- Loftin, Charles D., College of Pharmacy, Assistant Professor, 8/1/02 through 6/30/03.
- Modesitt, Susan C., College of Medicine, Assistant Professor\*, Obstetrics and Gynecology, 8/1/02 through 6/30/03.

---

\*Special Title series

Perrier, Donald, College of Pharmacy, Professor (with tenure), named to the University Professorship in Pharmaceutical Education, effective 9/1/02 through 8/31/07.

Rohr, Jurgen, College of Pharmacy, Professor (with tenure), effective 7/1/02.

Stanhope, Marcia K., College of Nursing, Professor\* (with tenure), named to the Good Samaritan Foundation, Inc. Chair in Community Health Nursing, 9/1/02 through 8/31/07.

Stansbury, C. M., College of Dentistry, Associate Professor (part-time), Oral Health Science, 8/14/02 through 6/30/03.

St. Clair, Daret K., Graduate School, Professor (with tenure), Graduate Center for Toxicology, named to the James Graham Brown Chair in Neuroscience, effective 9/1/02 through 8/31/07.

Ufomata, Dickson P., College of Dentistry, Associate Professor (part-time, temporary), Oral Health Practice, 9/1/02 through 6/30/03.

## **B. ADMINISTRATIVE APPOINTMENTS**

Ches, Marcy D., Office of the Chancellor, Corporate Compliance Administrator, effective 8/14/02.

## **C. JOINT APPOINTMENTS**

Yannelli, John R., College of Medicine, Associate Professor (with tenure), Internal Medicine, joint appointment as Associate Professor (without tenure), College of Pharmacy, new joint appointment as Associate Professor (without tenure), College of Health Sciences, Department of Clinical Sciences, effective 8/14/02.

## **D. REAPPOINTMENTS**

Bard, James W., College of Medicine, Professor (part-time), Internal Medicine, 7/1/02 through 6/30/03.

## **E. PROMOTIONS**

VanMeter, Woodford S., College of Medicine, Associate Professor\* (with tenure), Ophthalmology, to Professor\* (with tenure), Ophthalmology, effective 9/1/02.

## **F. CHANGES**

Bruce, Margaret C., College of Medicine, from Associate Professor (with tenure), Pediatrics, joint appointment as Associate Professor (without tenure), Physiology, to Associate Professor (part-time), Pediatrics, joint appointment as Associate Professor (part-time), Physiology, 9/1/02 through 6/30/03.

---

\*Special Title series

Grigsby, Donna G., College of Medicine, from Associate Professor\* (with tenure), Pediatrics, to Associate Professor (part-time), Pediatrics, 7/1/02 through 6/30/03.

Hall, Debra, University Hospital, from Staff Development Specialist, Staff Development, to Clinical Nurse Researcher, Nursing Administration, effective 8/24/02.

Hughes, Alfred C., University Hospital, from Nurse Division Charge II, Nursing Critical Care, to Hospital Operations Administrator, Hospital Administration, effective 8/25/02.

McMahon, Douglas G., College of Medicine, from Professor (with tenure), Physiology, to Adjunct Professor, Physiology, 8/1/02 through 6/30/03.

### **G. RETIREMENTS**

Clawson, D. K., College of Medicine, Clinical Professor, Surgery, after 7 years, 9 months of consecutive service, and a total of 16 years, 3 months, under AR II-1.6-1, Section III.B, effective 6/30/02.

Sisken, Betty F., Graduate School, Professor (part-time), Center for Biomedical Engineering, after 27 years, 10 months of consecutive service, effective 7/31/02.

Stanley, Beverly A., College of Health Sciences, Assistant Professor\* (with tenure), Rehabilitation Sciences, after 41 years, 10 months of consecutive service, under AR II-1.6-1, Section III.B, effective 6/30/02.

### **H. EARLY RETIREMENTS**

Atkerson, John W., Chancellor's Office, Operations Manager, Physical Plant Division, after 16 years, 1 month of consecutive service, under AR II-1.6-1, Section III.A.2, effective 7/31/02.

Freeman, Bettye L., College of Nursing, Account Clerk III, Administration, after 24 years, 3 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 8/30/02.

Giovanetto, Laurie, Maternal Care Services, Registered Nurse, Labor and Delivery/Nursing, after 28 years, 10 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 6/1/02.

Newman, Marie, University Hospital, Health Data Analyst Senior, Medical Records, after 29 years, 3 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 6/28/02.

Rebuck, John E., University Hospital, Chief Technologist, QA, Diagnostic Radiology, after 9 years, 6 months of consecutive service, and a total of 16 years, 4 months, under AR II-1.6-1, Section III.A.2, effective 6/28/02.

Riddle, Wilhelmenia, College of Medicine, Administrative Support Associate I, Diagnostic Radiology, after 15 years, 5 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 8/30/02.

Stevenson, Carole, University Hospital, Financial Counselor, Comprehensive Breast Care Center, after 27 years, 1 month of consecutive service, and a

---

\*Special Title series

total of 33 years, 11 months, under AR II-1.6-1, Section III.A.2, effective 8/30/02.

## **I. POST RETIREMENT APPOINTMENTS**

- Clawson, D. K., College of Medicine, Professor (part-time), Surgery, 7/1/02 through 6/30/03.
- Gaither, Elizabeth T., College of Medicine, Staff Support Associate (part-time), Family Practice, 7/1/02 through 6/30/03.
- Sisken, Betty F., Graduate School,, Professor (part-time), Center for Biomedical Engineering, 8/1/02 through 6/30/03.
- Traurig, Harold H., College of Medicine, Professor (part-time), Anatomy & Neurobiology, 9/1/02 through 6/30/03.

## **II. ADMINISTRATIVE ACTION**

### **A. ACADEMIC APPOINTMENTS**

- Adkisson, Iris, College of Health Sciences, Instructor (voluntary), Rehabilitation Sciences, 8/14/02 through 6/30/03.
- Archibald, Sandi K., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 8/14/02 through 6/30/03.
- Bale, Phillip, College of Medicine, Assistant Professor (voluntary), Family Practice, 8/14/02 through 6/30/03.
- Belknap, Miriam D., College of Health Sciences, Instructor (voluntary), Rehabilitation Sciences, 8/14/02 through 6/30/03.
- Brown, Sakita, College of Dentistry, Clinical Assistant Professor, Oral Health Science, 8/14/02 through 6/30/03.
- Brownstein, Arnold N., College of Medicine, Assistant Professor (voluntary), Ophthalmology, 8/14/02 through 6/30/03.
- Caskey, Paula P., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/1/02 through 6/30/03.
- Chi, In Soon, Medical Center Library, Librarian IV, Medical Center Library, 8/14/02 through 6/30/03.
- Cooke, Circe D., College of Medicine, Assistant Professor (part-time), Psychiatry, 9/16/02 through 6/30/03.
- Craddock, D. C., College of Medicine, Assistant Professor (part-time), Diagnostic Radiology, 8/14/02 through 6/30/03.
- DeArmond, Tammy B., College of Health Sciences, Instructor (voluntary), Health Services, 8/14/02 through 6/30/03.
- Deaton, Brian, College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 6/1/02 through 6/30/03.
- Francis, Shanna, College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 6/1/02 through 6/30/03.
- Gill, Paula K., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 6/1/02 through 6/30/03.



Hakim, Azam J., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 8/14/02 through 6/30/03.

Hall, Hubert, College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 6/1/02 through 6/30/03.

Hiestand, David M., College of Medicine, Instructor (part-time), Pediatrics, 8/14/02 through 6/30/03.

Knopp, Tina A., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 8/14/02 through 6/30/03.

Kremer, Nancy B., College of Health Sciences, Instructor (voluntary), Health Services, 8/14/02 through 6/30/03.

Lewgood, Tony, College of Health Sciences, Instructor (voluntary), Health Services, 8/14/02 through 6/30/03.

Maggard, M. B., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 6/1/02 through 6/30/03.

Nunnaly, Gregory C., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 6/1/02 through 6/30/03.

O'Donnell, David, College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 6/1/02 through 6/30/03.

Osman, Jeffery, College of Pharmacy, Assistant Professor (voluntary), 8/14/02 through 6/30/03.

Peterson, Edward A., College of Medicine, Instructor (part-time), Ophthalmology, 8/14/02 through 6/30/03.

Vance, John C., College of Medicine, Assistant Professor (voluntary), Pediatrics, 8/14/02 through 6/30/03.

Walters, R. W., College of Medicine, Assistant Professor (voluntary), Family Practice, 8/14/02 through 6/30/03.

Watson, Keith, College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 6/1/02 through 6/30/03.

White, J. G., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 6/1/02 through 6/30/03.

Wint, Eric, College of Health Sciences, Instructor (voluntary), Rehabilitation Sciences, 8/14/02 through 6/30/03.

Wolfgang-Jackson, Lesley, Medical Center Library, Librarian IV, Medical Center Library, 8/14/02 through 6/30/03.

Wright, Terry, College of Dentistry, Assistant Professor (voluntary), Oral Health Practice, 8/14/02 through 6/30/03.

## **B. ADMINISTRATIVE APPOINTMENTS**

Kelley, Sandra, College of Nursing, Nurse Practitioner, effective 7/1/02.

Martin, Craig, University Hospital, Clinical Staff Pharmacist II, Pharmacy, effective 7/1/02.

### **C. JOINT APPOINTMENTS**

- Adams, Val R., College of Pharmacy, Assistant Professor\*, joint appointment as Assistant Professor\*, College of Medicine, Department of Internal Medicine, 7/1/02 through 10/22/02.
- Cunningham, Larry L., College of Dentistry, Assistant Professor, Oral Health Science, joint appointment as Assistant Professor, College of Medicine, Department of Surgery, 8/14/02 through 6/30/03.

### **D. REAPPOINTMENTS**

- Carter, Evelyn R., College of Nursing, Instructor (part-time), 7/1/02 through 6/30/03.
- Cox, Judd G., College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/02 through 6/30/03.
- Gurram, Nandkishore R., College of Medicine, Assistant Professor (part-time), Internal Medicine, 7/1/02 through 6/30/03.
- Loan, Theresa D., College of Nursing, Assistant Professor (part-time), 7/1/02 through 12/31/02.
- Maness, Julia K., College of Nursing, Instructor (part-time), 7/1/02 through 6/30/03.

### **E. CHANGES**

- Bosch, Antonio, College of Medicine, from Clinical Assistant Professor, Internal Medicine, to Assistant Professor\*, Internal Medicine, 8/14/02 through 6/30/03.
- Camitta, Michael G., College of Medicine, Assistant Professor\*, Pediatrics, from 7/1/02 through 6/30/03, to 7/8/02 through 6/30/03.
- Chandarana, Jyotin V., College of Medicine, from Assistant Professor (voluntary), Family Practice, joint appointment as Assistant Professor (voluntary), Internal Medicine, to Assistant Professor (part-time), Family Practice, joint appointment as Assistant Professor (part-time), Internal Medicine, 8/14/02 through 6/30/03.
- Govil, Amit, College of Medicine, from Assistant Professor (voluntary), Family Practice, to Assistant Professor (part-time), Family Practice, 8/14/02 through 6/30/03.
- Hu, Mei M., College of Medicine, Assistant Professor\*, Physical Medicine and Rehabilitation, from 7/1/02 through 6/30/03, to 7/15/02 through 6/30/03.
- McElderry, Darby H., College of Medicine, from Assistant Professor (part-time), Pediatrics, to Assistant Professor\*, Pediatrics, 8/14/02 through 6/30/03.
- Patel, Ashit, College of Medicine, from Instructor (temporary), Surgery, to Clinical Instructor, Surgery, 9/1/02 through 6/30/03.

---

\*Special Title series

- Pena, Luis R., College of Medicine, from Assistant Professor (part-time), Internal Medicine, to Assistant Professor\*, Internal Medicine, 8/1/02 through 6/30/03.
- Ratliff, Darian, College of Medicine, from Assistant Professor (voluntary), Family Practice, to Assistant Professor (part-time), Family Practice, 8/14/02 through 6/30/03.
- Slone, Kenneth M., College of Medicine, from Assistant Professor (voluntary), Family Practice, to Assistant Professor (part-time), Family Practice, 8/14/02 through 6/30/03.
- Thomas, Janice M., College of Medicine, from Clinical Assistant Professor, Psychiatry, to Assistant Professor (part-time), Psychiatry, 7/1/02 through 6/30/03.
- Wilson, Timothy C., College of Medicine, from Instructor (temporary), Surgery, to Clinical Instructor, Surgery, 9/1/02 through 6/30/03.

#### **F. LEAVES OF ABSENCE**

- Speck, Dexter F., College of Medicine, Associate Professor (with tenure), Physiology, sabbatical leave at full salary, 11/1/02 through 4/30/03.
- Wever, Jo Ann M., College of Nursing, Associate Professor\* (with tenure), miscellaneous leave without pay, 8/1/02 through 12/31/02.

---

\*Special Title series

**VICE PRESIDENT FOR RESEARCH**

**I. BOARD ACTION**

**A. REAPPOINTMENT**

Davies, H. Maelor, Director, Kentucky Tobacco Research and Development Center, 7/1/02 through 6/30/03.

**B. EARLY RETIREMENT**

Fisher, Edith Eline, Tobacco and Health Research Institute, Staff Support Associate II, after 22 years and 9 months of consecutive service and a total of 23 years and 5 months of service, under AR II-1.6-1, Section III.A.2, effective June 30, 2002.

**II. ADMINISTRATIVE ACTION**

**A. ADMINISTRATIVE APPOINTMENT**

Dickey, Kenneth, Acting University Veterinarian and Acting Director of the Division of Laboratory Animal Resources, 6/1/02 through 6/30/03.

Iten, Albert Lawrence, Office of Research Integrity, Professional Associate IV, effective 7/1/02.

**C. CHANGES**

Weis, Deborah A., Office of Research Communications, from University Relations Officer/Research level 46 to University Relations Officer/Research level 47, effective 7/1/02.

# PR 3

Office of the President  
August 13, 2002

Members, Board of Trustees:

## PROPOSED AMENDMENT TO THE GOVERNING REGULATIONS

Recommendation: that the following proposed amendment to the Governing Regulations of the University of Kentucky be received for preliminary consideration and, at the next regular meeting of the Board of Trustees provided at least one month has elapsed, be included on the agenda for action.

Background: The proposed amendment clarifies that the annual election of the Chair of the Board of Trustees also is an election of the Chair as one of the five voting members of the Executive Committee.

Note: The locations of proposed changes are indicated by a vertical mark in the margin. Proposed additions are underlined; proposed deletions are lined through.

### a. EXECUTIVE COMMITTEE

The Board of Trustees annually elects an Executive Committee of five members, which has the powers that the Board delegates to it as spelled out in KRS 164.190. This election shall be held at the September meeting of the Board. Vacancies may be filled at any meeting of the Board. The Chair of the Board of Trustees shall be one of the five members and shall also serve as Chair of the Executive Committee. In general, the Committee exercises oversight of the financial and business interests of the University and possesses the same powers as the Board during the periods between meetings of the full Board.

---

Action taken:       Approved       Disapproved       Other \_\_\_\_\_

# PR 4

Office of the President  
August 13, 2002

Members, Board of Trustees:

APPROVAL OF ADMINISTRATIVE REGULATION AR II-1.6-1  
UNIVERSITY OF KENTUCKY RETIREMENT PLAN

Recommendation: that the following proposed amendment to Administrative Regulation II-1.6-1 relating to the mandatory retirement age for executive positions within the University of Kentucky be received for approval by the Board of Trustees.

Background: There is a need to define more clearly which University positions are considered to be executive for purposes of mandatory retirement.

C. Mandatory Retirement

1. General

With the exceptions described in Sections III.C.2 and III.C.3, no mandatory retirement date is applicable to employees of the University of Kentucky.

2. Executives

The mandatory retirement date, as an executive, for all employees of the University of Kentucky employed in bona fide executive or high policy making positions for two or more years prior to the attainment of age 65 and who are entitled to an immediate nonforfeitable annual retirement benefit from the University which equals or exceeds the amount per year specified by federal statute is hereby established as the end of the University's fiscal year in which the employee attains age 65. For purposes of this regulation, "bona fide executive or high policy making position" means the President, Senior Vice Presidents, Vice Presidents, Deans of the academic colleges, the Director of Athletics, and the General Counsel. For purposes of this regulation, "bona fide executive or high policy making position" means the President, Provost, Senior Vice Presidents, Vice Presidents, Deans of the academic colleges, the Director of Athletics, and the General Counsel.

---

Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# PR 5

Office of the President  
August 13, 2002

Members, Board of Trustees:

APPOINTMENT/REAPPOINTMENT OF ADVISORY BOARD AT THE  
LEXINGTON COMMUNITY COLLEGE

Recommendation: that approval be given to the appointment of Margaret L. Koffler and Reginald L. Thomas for four-year terms ending June 30, 2006 and the reappointment of Paula Hanson, O. Randall Powell and William Wilson for three-year terms ending June 30, 2005 to the Advisory Board at the Lexington Community College.

Background: the University Board of Trustees at the December 13, 1983 meeting approved the establishment of an Advisory Board at the Lexington Community College. That action called for the President of the University to recommend to the Board of Trustees seven lay members of the Board to serve in an advisory capacity to the President of the college and act as a liaison between the community and the college to assist the college in meeting the needs of the area. One elected faculty representative and one elected student representative also serve on the Board.

---

Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# PR 6

Office of the President  
August 13, 2002

Members, Board of Trustees:

## APPOINTMENT OF VICE PRESIDENT FOR STUDENT AFFAIRS

Recommendation: that Dr. Patricia S. Terrell be appointed as Vice President for Student Affairs, effective August 14, 2002.

Background: Dr. James Kuder retired as Associate Provost for Student Affairs on June 30, 2002. A search committee was appointed to identify and recommend qualified persons for the position of Vice President for Student Affairs. Approximately 100 candidates were considered by the search committee and two finalists were interviewed on campus. Dr. Terrell received enthusiastic support across the university and was strongly supported by the search committee.

Dr. Terrell has recently served as Vice President for Student Services and adjunct faculty in the College of Business Department of Human Resource Management at Utah State University. She received an Ed.D. from the University of Kentucky and bachelor's and master's degrees from the University of Louisville. Dr. Terrell has had a career spanning 27 years in higher education, including administrative posts at the University of Louisville, Southern Methodist University and Utah State University.

Dr. Terrell has been honored by her peers with election to vice president of the National Association of Student Personnel Administrators (NAPA) Region III and to secretary, president, and the board of directors of the Golden Key International Honour Society. Her articles have been published in refereed journals on student and higher education issues, including student development theory, legal issues, and using data and information for decision making.

---

Action taken:     Approved     Disapproved     Other \_\_\_\_\_



# PR 7

Office of the President  
August 13, 2002

Members, Board of Trustees:

APPOINTMENT OF VICE PRESIDENT FOR ACADEMIC OUTREACH  
AND PUBLIC SERVICE

Recommendation: that Dr. Retia Scott Walker be appointed as Vice President for Academic Outreach and Public Service, effective August 14, 2002.

Background: In April 2002 the position of Vice President for Academic Outreach and Public Service was established with the responsibility for developing and directing service learning and volunteer opportunities for students; coordinating a variety of faculty outreach and service programs on behalf of communities throughout Kentucky and the region; promoting the University's efforts to develop and evaluate potential solutions to some of the state's most persistent problems in the area of education, health, community vitality, and economic development; and securing additional funding for outreach and service projects at the University. A search committee was appointed to identify and recommend qualified persons for the position. Approximately 60 applications were received.

Dr. Walker is currently Professor of Family Studies and Dean of the College of Human Environmental Sciences. She received a Ph.D. in Education Administration from Iowa State University; an M.S.Ed. and Professional Certification in Educational Administration and Supervision from Pace University (NYC); and an M.S. in Home Economics from Hunter College (NYC). Dr. Walker has nearly 30 years of experience administering educational programs, including 18 years in higher education at the University of Maryland Eastern Shore and the University of Kentucky. Her professional and community peers have honored her for contributions to the profession and the community.

Dr. Walker's interest in service learning began while teaching in the New York City schools. She believes in integrating academic experiences with community outreach activities as a way of better preparing students for the challenges of life. Dr. Walker is currently directing a U.S. Department of Housing and Urban Development community outreach and research project involving an interdisciplinary team of faculty, graduate students, city government personnel, leaders of community-based organizations and residents in the east end of Lexington.

---

Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# AACR 1

Office of the President  
August 13, 2002

Members, Board of Trustees:

## CANDIDATES FOR DEGREES -- COMMUNITY COLLEGE SYSTEM

Recommendation: that the degrees specified on the attached list be awarded to the persons named, upon certification that the requirements for those degrees have been satisfactorily completed.

Background: At the November 12, 1965 meeting of the Board of Trustees authorization was given to the awarding of the Degrees of Associate in Arts, Associate in Science and Associate in Applied Science. The candidates on the attached list are recommended to the President and the Board by the Community College Faculty and the Chancellor.

---

Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# Candidates Applying for KCTCS/UK Degrees April 2 – June 1, 2002

## Elizabethtown Community College

### Associate in Science — KCTCS/UK

Edwards, Timothy C.                      Life & Natural Sciences                      Assoc in Science

### Associate in Applied Science — KCTCS/UK

Embry, Jennifer L.                      Social & Behavioral                      Early Child Educ  
 Riley, Chrysal Ann                      Business                      Comp Info Sys Prog

## Hopkinsville Community College

### Associate in Arts — KCTCS/UK

Campbell, Amanda Clemons                      Humanities                      Humanities  
 Mauldin, Angela M                      Humanities                      Humanities

Assoc in Arts  
 Assoc in Arts

Sarratt, Dawn Marie  
 White, Stephanie Ann

Assoc in Arts  
 Assoc in Arts

### Associate in Applied Science — KCTCS/UK

Davis, Diane Elizabeth                      Social & Behavioral                      Human Services

## Jefferson Community College

### Associate in Arts —KCTCS/UK

Blanton, Cherelyn M.                      Humanities                      Humanities  
 Martin, Rob M                      Humanities                      Humanities

Assoc in Arts  
 Assoc in Arts

Owen, Carol Ann  
 Schmidt, Jeffrey R

Assoc in Arts  
 Assoc in Arts

Total KCTCS/UK AA — Jefferson Community College:                      4  
**Grand Total AA — Jefferson:                      11**

### Associate in Applied Science — KCTCS/UK

Ross, Anthony                      Social & Behavioral

Gen Occ Tech Stu

Spurley, Larry D

Social & Behavioral

Gen Occ Tech Stu

## **Prestonsburg Community College**

### **Associate in Arts — KCTCS/UK**

Johnson, Dreama N. Social & Behavioral Assoc in Arts

### **Associate in Science — KCTCS/UK**

Bailey, Jennifer Lee Life & Natural Sciences Assoc in Science

### **Associate in Applied Science — KCTCS/UK**

Shepherd, Chris Business Bus Tech:Mgmt Opt

## **Somerset Community College**

### **Associate in Arts — KCTCS/UK**

Henderson, Jeff Bruce Humanities  
Reece, Amy L Humanities

Assoc in Arts  
Assoc in Arts

Stokes, Lisa R  
Wallen, Christopher W

Humanities  
Humanities

Assoc in Arts  
Assoc in Arts

Missing report(s)

# FCR 1

1

Office of the President  
August 13, 2002

Members, Board of Trustees:

## CAPITAL CONSTRUCTION REPORT

Recommendation: that the capital construction report for the three months ending June 30, 2002, be accepted. This report refers only to projects that had activity within this quarter.

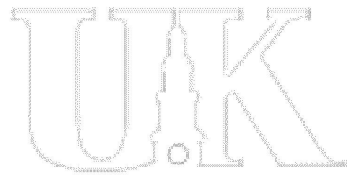
Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

For the period April 1 – June 30, 2002, there were no new contracts, 3 amendments and 14 change orders.

---

Action Taken:  Approved     Disapproved     Other \_\_\_\_\_

*University of Kentucky*



*Capital Construction  
Quarterly Activity Report*

*For the three months ending June 30, 2002*

## **CAPITAL CONSTRUCTION CONTRACTS QUARTERLY ACTIVITY REPORT**

This report contains capital projects that have a dollar scope value of \$400,000 and above. The projects are listed in alphabetical order and contain the project number, the dollar scope, a brief description of the project work and information pertaining to the primary consultant's contract and the primary contractor's contract. This information includes:

**Consultant:** This section contains the name of the consultant, the contract number, the original contract amount, the total number of amendments, the cumulative amendment amount, the revised contract amount, and a notes section. The notes section will describe, in bold face type, all amendments to the consultant's contract for the current quarter.

**Contractor:** This section contains the name of the contractor, the contract number, the original construction contract amount, the total number of change orders, the cumulative change order amount, the revised contract amount, information on the low, high and number of bids, the percent of the contract completed, and a section for notes. The notes section will describe, in bold face type, all change orders greater than \$25,000 for the current quarter.



## CAPITAL CONSTRUCTION QUARTERLY ACTIVITY REPORT

### Glossary

**Amendment:** refers to modifications or changes to the Consultant's contract with the University, usually the result of changes in the program or scope of a project caused by unforeseen conditions or at the request of the University.

**Change Order:** refers to modifications or changes to the Contractor's contract with the University, usually the result of conditions not anticipated by the contract documents, plans and/or specifications for the work.

**Consultant:** the entity, usually an architectural or engineering firm, with whom the University contracts and who has primary responsibility for providing services to design and to administer the contract for construction of a construction project. The prime consultant frequently employs the services of one or more sub-consultants in fulfilling its contractual obligation.

**Contract administration:** refers to the activities of the consultant during the construction phase of the project in which they verify that construction is in compliance with the requirements of the contract between the University and the contractor.

**General Contractor:** the entity, usually a general contractor but occasionally a trade contractor, with whom the University contracts and who has primary responsibility for completion the construction of a project. This "prime" contractor usually employs the services of various subcontractors (trade contractors) and vendors in fulfilling its contractual obligations.

**Feasibility Study:** refers to investigation, usually commissioned through an outside consultant, to advise the University of the merits of an anticipated construction project, and to evaluate and comment on matters such as need, probably cost, preliminary program issues, and so forth.

**Gross square feet:** the total area of the project, generally measured from the outside of exterior walls for all floors of the project, including mechanical spaces.

**H.B.C.:** refers to the Commonwealth of Kentucky Department of Housing, Buildings and Construction, the state agency having responsibility for review and approval of University construction projects to assure compliance with applicable building codes.

**Penthouse:** refers generally to the enclosure around roof mounted mechanical spaces in a project. Not considered occupied (or net assignable) space.

**Percent complete:** as used in the report refers to the expenditure to date divided by the total amount of the construction contract for the work.

**Net assignable square feet:** the usable area of the project excluding corridors, rest rooms, storage areas, mechanical spaces, etc.

**Phase:** The various stages of design and construction of a capital project, including:

- Programming phase: refers to the initial planning phase of a project, during which the University (with or without participation by a consultant) defines in detail the needs and requirements of a project. This program becomes the basis for consultant selection, fee negotiation, and design of the project.
- Schematic design (S/D or Phase 1): refers to the first formal phase of design by the consultant, in which a general design solution to project programmatic requirements is developed.
- Design development (D/D or Phase 2): refers to the second formal phase of design by the consultant, during which the schematic design is expanded to identify solutions to design issues in broad terms, sufficient to insure the stated programmatic needs can be met.
- Construction document phase (C/D or Phase 3): refers to the final phase of the design process in which the details and specific project requirements are identified and incorporated in a final set of biddable construction documents.
- Bidding phase: refers to the stage of a project during which proposals for construction are solicited, culminating in the award of one or more contracts for construction.
- Construction phase (or Phase 5): refers to the actual construction of the project by contractors.

**Scope:** The total estimated value of a capital project on completion, including all design, construction and administrative costs as well as the cost of capitalized furnishings and equipment.

**Substantial Completion:** refers to the time during construction, certified by the consultant, when the work is sufficiently complete for the University to occupy the facility for its intended use without significant interruption. Upon certification of substantial completion, the University assumes operational and maintenance responsibility and insurance liability for the project and the warranty period begins for most elements of the construction. Some work generally remains, including correction of minor punchlist items, before the work is certified finally complete.

**Trade Contractor:** Sub-contractors, or individual bid package contractors, who practice a specific, trade (electrical, painting, structural steel, for example).

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

---

**Administration Building Restoration**

Project Number: 2025.0

Scope: \$16,075,000

**Project Description:**

The Administration Building Reconstruction project involves rebuilding the interior and exterior portions of the building while re-using the exterior masonry wall shell that remained after the May 2001 fire. In general, the President's office and related functions will be housed in the building along with a visitor's center, a smart classroom, public ceremonial space and other administrative offices. All building systems will be new and include state of the art HVAC, electrical, communications, fire/smoke detection and suppression components and will be connected to the central campus utility systems. Structural and architectural systems and details are now being developed but will include new toilets, elevator and other amenities associated with new construction to meet current building codes and accessibility standards. Sitework will include the creation of a Patterson Plaza entrance at the east side of the building and a connecting link to the Gillis Building. Other sitework details are now being programmed.

**Consultant:** James Potts Architects

Contract # A021160

Total Amendments to Date: (0)

Original Contract Amount: \$841,340

Cumulative Amendment Amount:

Revised Contract Amount: \$841,340

**Describe all amendments :**

No amendments this quarter.

**Contractor:** No construction contracts awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

**Describe all change orders greater than \$25,000.00:**

No change orders greater than \$25,000 this quarter.

---

---

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

---

**Aging/Allied Health Phase II**

Project Number: 1651.1

Scope: \$36,018,664

**Project Description:**

This project consists of constructing a building to house the College of Allied Health Professions. It includes administrative and faculty offices, classrooms, teaching labs, conference and seminar rooms, a college commons, a student lounge, a student locker room, as well as therapy and exam rooms for the Communication Disorders Division. The building will consist of approximately 210,000 GSF, to include approximately 29,800 GSF of shelled space on the fifth floor, which will be fit up later by a separate project. In addition, the building will provide administrative offices, conference rooms and support spaces to house the Medical Center Chancellor's Office Suite. The building includes offices for the Center on Aging on the third floor and Research Laboratories occupying a part of the 4th floor.

**Consultant:** CMW, Inc.

Contract # A981240

Total Amendments to Date: 4

Original Contract Amount: \$310,500

Cumulative Amendment Amount: \$2,110,770

Revised Contract Amount: \$2,421,270

**Describe all amendments :**

**Amendment #1**, 5/19/99 an increase of \$1,829,926 was approved to provide additional design services. This amendment covers additional Consultant services for Phases 2-5. **Amendment #2**, 1/5/00, an increase of \$125,000 was approved to provide reimbursable services for a resident inspector and vibration monitoring. **Amendment #3**, 2/21/00, an increase of \$75,915 was approved to provide additional design services required for increasing the project scope and reimbursable services for a constructability review. **Amendment #4**, 1/8/02, an increase of \$79,929.73 was approved to provide for additional design services due to owner requested changes and to provide for additional resident inspector services as a result of extending the construction contract completion date by 61 days. **No amendments this quarter.**

**Contractor:** D.W. Wilburn, Inc.

Contract # X012376

Total Change Orders to Date: 86

Low Bid: \$29,770,000

High Bid: \$33,000,000

Number of Bids: 5

Original Contract Amount: \$29,770,000

Cumulative Change Order Amount: \$1,411,247

Revised Contract Amount: \$31,181,247

Contract Percent Complete: 96%

**Describe all change orders greater than \$25,000.00:**

**Change Order #4**, 5/10/00, an increase of \$56,268 was approved to raise the height of Hospital Drive and adjoining access drives. **Change Order #6**, 5/25/00, an increase of \$96,735 was approved to provide various modifications to the direct bury steam line. **Change Order #8**, 8/30/00, an increase of \$83,302 was approved to change external expansion joints to internal expansion joints in the steam line. **Change Order #17**, 9/30/00, an increase of \$49,395 was approved to change the signaling at Rose Street and to add equipment as mandated by state review. **Change Order #25**, 12/14/00, an increase of \$51,813.25 was approved to modify architectural, structural, mechanical and electrical requirements per the post bid addendum. **Change Order #26**, 1/9/01, an increase of \$34,460.90, was approved to relocate four existing water lines found to be in the path of tunnel construction. **Change Order #27**, 1/11/01, an increase of \$35,180.05, was approved to modify grading and clarify sign replacement work in front of the Nursing College and Pharmacy College. **Change Order #29**, 1/25/01, an increase of \$54,022.24, was approved to lower vault MH-407-1 in order to allow grading at Hospital Drive to work with the building and pedway. **Change Order #30**, 1/31/01, an increase of \$418,664.90, was approved to add chilled water piping to basement and west yard. **Change Order #38**, 3/30/01, for \$120,466.40 was approved for additional rock removal. **Change Order #49**, 06/12/01, an increase of \$44,165.75 was approved to provide for additional excavation for rock removal between manholes EC and ED along Ag. North Drive. **Change Order # 50**, 8/6/01, an increase of \$49,491.00 was approved to modify electrical manholes U-138, E-411, and E-412. **Change Order #57**, 10/01/01, an increase of \$26,119.95 was approved to provide additional raceway in order to provide additional capabilities for teleconferencing to the project. **Change Order #69**, 1/10/02, an increase of \$26,477.70 to extend the sanitary sewer line. **No change orders greater than \$25,000 this quarter.**

---

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

---

**Biomedical /Biological Sciences Research Bldg**

Project Number: 1876.0

Scope: \$67,200,000

**Project Description:**

The new structure will serve as a state of the art facility for collaboration in research and graduate education, biological chemistry, genetics, molecular and cellular biology, neuroscience and related fields. The design will allow the faculty of multidisciplinary teams to be housed in adjoining labs.

**Consultant:** A.M. Kinney

Contract # A001400

Total Amendments to Date: (1)

Original Contract Amount: \$5,600,000

Cumulative Amendment Amount: \$485,742

Revised Contract Amount: \$6,085,742

**Describe all amendments :**

**Amendment #1**, 12/11/01, an increase of \$485,742.00, was approved to provide consultant services to include the addition of a pedestrian bridge to the main campus, expanded Vivarium facilities and the addition of space for an amenity feature. **No amendments this quarter.**

**Contractor:** Gilbane (Construction Manager At-Risk)

Note: This is 'CM-At-Risk' contract. The contracted amount will be increased as sub-contracts are bid and added.

Contract # X203535

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount: \$3,716,981

Cumulative Change Order Amount:

Revised Contract Amount: \$3,716,981

Contract Percent Complete:

**Describe all change orders greater than \$25,000.00:**

**No change orders greater than \$25,000 this quarter.**

---

**Central Fire Alarm Systems**

Project Number: 1718.0

Scope: \$690,000

**Project Description:**

Upgrade/install new fire alarm systems in Bowman, Bradley, Breckinridge and Kinkead Halls. Project will also include evaluation of existing central system and a long range plan for future development of the central fire alarm system.

**Consultant:** Staggs & Fisher

Contract # A981230

Total Amendments to Date: (0)

Original Contract Amount: \$56,300

Cumulative Amendment Amount:

Revised Contract Amount: \$56,300

**Describe all amendments :**

**No amendments this quarter.**

**Contractor:** Simplex

Contract # X102556

Total Change Orders to Date: 1

Low Bid: \$444,575

High Bid: \$0

Number of Bids: 1 (RFP)

Original Contract Amount: \$444,575

Cumulative Change Order Amount: \$21,045

Revised Contract Amount: \$465,620

Contract Percent Complete: 100%

**Describe all change orders greater than \$25,000.00:**

**No change orders greater than \$25,000.00 this quarter.**

---

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

---

**Chemotherapy Infusion Center Expansion**

Project Number: 1894.0

Scope: \$960,000

**Project Description:**

Renovate approximately 2,400 gross square feet of the first floor of the Markey Cancer Center's Roach Building to house the chemotherapy infusion center.

**Consultant:** Gartner, Burdick, Bauer-Nilsen, Inc. (GBBN)

Contract # A001370

Total Amendments to Date: (1)

Original Contract Amount: \$39,170

Cumulative Amendment Amount: \$94,449

Revised Contract Amount: \$133,619

**Describe all amendments :**

**Amendment #1**, 11/15/01, was approved for an increase of \$94, 449.00 to provide consultant services for the redesign of the Chemotherapy Infusion Center Expansion. This was due to recommended changes from a recent space utilization study. **No amendments this quarter.**

**Contractor:** The Wagner Co.

Contract # X204335

Total Change Orders to Date: 3

Low Bid: \$558,813

High Bid: \$608,800

Number of Bids: 7

Original Contract Amount: \$558,813

Cumulative Change Order Amount: \$7,516

Revised Contract Amount: \$566,329

Contract Percent Complete: 12.2%

**Describe all change orders greater than \$25,000.00:**

**No change orders greater than \$25,000.00 this quarter.**

---

**Commonwealth Stadium Field Lighting**

Project Number: 2014.0

Scope: \$1,500,000

**Project Description:**

The project will provide design and construction of new lighting for the University of Kentucky's Commonwealth (football) Stadium. The lighting will be designed to meet NCAA and Southeastern Conference standards for Division One football as well as enhance the television and video appearance of the stadium and field. Emergency lighting will be included in the lighting design.

**Consultant:** CMTA

Contract # A021050

Total Amendments to Date: (1)

Original Contract Amount: \$108,000

Cumulative Amendment Amount: \$53,000

Revised Contract Amount: \$161,000

**Describe all amendments :**

**Amendment #1**, 2/12/02, was approved for an increase of \$53,000. This increase was to provide Phase 2- Design Development and Phase 3 - Construction Documents for a redesigned lighting scheme and also to allow for reimbursable expenses associated with subconsulting work. **No amendments this quarter.**

**Contractor:** Musco Sports Lighting

Contract # X206106

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount: \$1,134,300

Cumulative Change Order Amount:

Revised Contract Amount: \$1,134,300

Contract Percent Complete:

**Describe all change orders greater than \$25,000.00:**

**No change orders greater than \$25,000.00 this quarter.**

---

---

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

---

**Crisp Building Replacement**

Project Number: 1751.0

Scope: \$5,355,000

**Project Description:**

This project will construct a 20,000 gross square foot, two story Education and Challenger Learning Center as part of the University's effort to provide higher level engineering courses to students in Western Kentucky. The project is located on the Campus of Paducah Community College.

**Consultant:** Sherman Carter Barnhart

Contract # A001390

Total Amendments to Date: (0)

Original Contract Amount: \$309,800

Cumulative Amendment Amount:

Revised Contract Amount: \$309,800

**Describe all amendments :**

**No amendments this quarter.**

**Contractor:** A & K Construction Company

Contract # X105323

Total Change Orders to Date: 10

Low Bid: \$0

High Bid: \$0

Number of Bids: (4) RFP

Original Contract Amount: \$3,677,972

Cumulative Change Order Amount: \$96,941

Revised Contract Amount: \$3,774,913

Contract Percent Complete: 87%

**Describe all change orders greater than \$25,000.00:**

**Change Order #3**, 12/18/01, was approved for \$32,692.20 to provide labor and materials for "Marmoleum" sheet linoleum at rooms 101, 102, 103, 100A, 122A, Landing 122A, and lobby. **Change Order #10**, 4/20/02, was approved for \$25,742.00 to furnish and install additional heat pumps. After completion of design and award of the construction contract, the college determined a need to accomodate an increased number of students and additional computing equipment in three classrooms. Additional cooling capability is required to adequately condition the spaces to compensate for the increased students and equipment.

---

**Enhancement of Research Facilities DLAR**

Project Number: 1953.0

Scope: \$938,133

**Project Description:**

This project will include the renovation of several rooms, installation of a watering system, and installation of an environmental monitoring system in a research facility support space located in the basements of the Combs building, the Dentistry building, and the Medical Science building.

**Consultant:** Gartner, Burdick, Bauer-Nilsen, Inc. (GBBN)

Contract # A011120

Total Amendments to Date: (0)

Original Contract Amount: \$92,000

Cumulative Amendment Amount:

Revised Contract Amount: \$92,000

**Describe all amendments :**

**No amendments this quarter.**

**Contractor:** Woodford Builders

Contract # X203533

Total Change Orders to Date: 2

Low Bid: \$669,700

High Bid: \$808,558

Number of Bids: 6

Original Contract Amount: \$669,700

Cumulative Change Order Amount: \$919

Revised Contract Amount: \$670,619

Contract Percent Complete: 49%

**Describe all change orders greater than \$25,000.00:**

**No change orders greater than \$25,000.00 this quarter.**

---

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

---

**Gluck Equine Research Center Renovation**

Project Number: 2005.0

Scope: \$4,700,000

**Project Description:**

The project consists of the renovation of 18,000 gross square feet of existing laboratory space within the Maxwell H. Gluck Equine Research Center. The newly created space will include both offices and laboratories and will also include renovation of three laboratories on the fourth floor.

**Consultant:** Sherman / Carter / Barnhart

Contract # A011220

Total Amendments to Date: (1)

Original Contract Amount: \$355,000

Cumulative Amendment Amount: \$41,000

Revised Contract Amount: \$396,000

**Describe all amendments :**

**Amendment #1, 7/9/2002, was approved for an increase of \$41,000 to cover the cost for the Consultant and their consultants to provide additional consultant services for Phase 3 and Phase 5 as stipulated in Section 3.2 of the contract. The Phase 3 services include testing and evaluating the building's air flow conditions and emergency power system. The Phase 5 services include the preparation of supplemental Drawings to modify research areas.**

**Contractor:** Burchfield/Thomas

Contract # X203732

Total Change Orders to Date: 5

Low Bid: \$2,497,000

High Bid: \$2,761,000

Number of Bids: 5

Original Contract Amount: \$2,497,000

Cumulative Change Order Amount: \$6,899

Revised Contract Amount: \$2,503,899

Contract Percent Complete: 13%

**Describe all change orders greater than \$25,000.00:**

**No change orders greater than \$25,000.00 this quarter.**

---

**Hospital Entrance Lobby Improvements**

Project Number: 1979.0

Scope: \$465,800

**Project Description:**

Renovation of University Hospital Lobby.

**Consultant:** CMW Architects

Contract # A011000B

Total Amendments to Date: (0)

Original Contract Amount: \$90,000

Cumulative Amendment Amount:

Revised Contract Amount: \$90,000

**Describe all amendments :**

**No amendments this quarter.**

**Contractor:** Spectrum Contracting

Contract # X201303

Total Change Orders to Date: 12

Low Bid: \$349,820

High Bid: \$419,900

Number of Bids: 5

Original Contract Amount: \$349,820

Cumulative Change Order Amount: \$41,207

Revised Contract Amount: \$391,027

Contract Percent Complete: 89%

**Describe all change orders greater than \$25,000.00:**

**Change Order #2. 1/10/02, was approved for \$25,183.00 to change linoleum to Centiva tiles to increase durability and ease of maintenance. No change orders greater than \$25,000 this quarter.**

---



**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

---

**Infrastructure Utility Upgrade Phase I**

Project Number: 1949.0

Scope: \$10,865,275

**Project Description:**

The project consists of an upgrade to utilities that feed the Southern most portion of Campus. The project is composed of seven (7) major components as follows: (1) A primary electrical ductbank along the east side of Limestone Street (2) A new communications ductbank along the east side of Rose Street (3) Revovation of Cooling Plant #3 to add cooling tower capacity & replace an existing chiller. (4) Construct a new utility tunnel & installation of chilled water & steam piping from the Critical Care Ctr. across Hosptial Drive to Cooling Plant #3. (5) Install a new steam line in the ground floor of the UK Hospital. (6) A new communications ductbank from the Med. Ctr. to the Agricultural Sciences Campus. (7) A new primary ductbank from Substation #1 to MH #E413.

**Consultant:** Staggs and Fisher

Contract # A011180

Total Amendments to Date: (0)

Original Contract Amount: \$252,583

Cumulative Amendment Amount:

Revised Contract Amount: \$252,583

**Describe all amendments :**

**No amendments this quarter.**

**Contractor:** English Paving

Contract # X104409

Total Change Orders to Date: 72

Low Bid: \$6,905,000

High Bid: \$7,735,000

Number of Bids: 5

Original Contract Amount: \$6,905,000

Cumulative Change Order Amount: \$1,843,692

Revised Contract Amount: \$8,748,692

Contract Percent Complete: 95%

**Describe all change orders greater than \$25,000.00:**

**Change Order #10**, 9/19/01, was approved for \$101,703.00 to extend the existing manhole E105 and replace the ductbank for 105 to 106. **Change Order #12**, 9/19/01, was approved for \$29,548.18 for revisions to communications work throughout the route on Rose Street. **Change Order #14**, 9/25/01, was approved for \$103,843.70 for pressure reducing valves, relief valve, condensate pump, and other steam specialities added to steam pit on Huguelet Drive. The design did not incorporate the lower steam pressure and a steam pressure reducing station was required. **Change Order #23**, 11/30/01, was approved for \$86,337.77 for to provide utility extensions, including installation of the second 4 KV electrical feed and provision for expansion of emergency power ductbanks and manhole necessary to support the Plant Science facility. **Change Order #24**, 11/30/01, was approved for \$36,518.30 to provide communications ductbank and manhole that was deleted from the Plant Science project and are being installed under this project for coordination with related infrastructure construction. **Change Order #26**, 12/07/01, was approved for \$29,359.00 for added sidewalks and curbs on Rose. **Change Order #27**, 12/07/01, was approved for \$87,325.00 for added sidewalks and curbs on Limestone. **Change Order #36**, 12/21/01, was approved for \$106,133.31 for added electrical ductbank and manhole on Hospital Drive. **Change Order #39**, 1/11/02, was approved for \$272,946.73 to install new ductbank on Rose St. **Change Order #40**, 2/11/02, was approved for \$113,384.35 for revisions required to facilitate connections to future lab facility and route cabling around Sanders Brown tunnel. **Change Order #41**, 2/11/02, was approved for \$26,437.40 to revise the ductbanks and manholes at Kentucky Clinic. **Change Order #49**, 4/2/02, was approved for \$45,385.69 to relocate an existing deteriorated buried gas piping. **Change Order #51**, 4/4/02, was approved for \$120,471.94 to install a 10" chilled water supply and return piping determined to be critical to the reliability of the cooling system. **Change Order #56**, 4/29/02, was approved for \$67,655.47 to add a manhole on Rose Street per Kentucky Utilities requirements. **Change Order #61**, 4/30/02, was approved for \$36,934.81 to revise the existing deteriorated electric ductbank and to extend a manhole. **Change Order #62**, 4/30/02, was approved for \$84,238.04 to revise storm piping at Taylor Education Building.

---

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

---

**Infrastructure Utility Upgrade Phase II - Cooling Plant #1**

Project Number: 1997.0

Scope: \$14,135,000

**Project Description:**

Project consists of replacing cooling towers, pumps, chillers, and piping in Cooling Plant #1. New chilled water and condenser piping will be installed and sized for future replacement of all chillers.

**Consultant:** Staggs and Fisher

Contract # A021080

Total Amendments to Date: (0)

Original Contract Amount: \$342,622

Cumulative Amendment Amount:

Revised Contract Amount: \$342,622

**Describe all amendments :**

**No amendments this quarter.**

**Contractor:** E. L. Pipe

Contract # X201347

Total Change Orders to Date: 54

Low Bid: \$10,389,222

High Bid: \$11,832,000

Number of Bids: 3

Original Contract Amount: \$10,389,222

Cumulative Change Order Amount: \$1,003,035

Revised Contract Amount: \$11,392,257

Contract Percent Complete: 98%

**Describe all change orders greater than \$25,000.00:**

**Change Order #2**, 12/07/01, was approved for \$98,485.18 for asbestos abatement for Cooling Plant #1. **Change Order #7**, 1/18/02 was approved for \$28,444.00 to provide 8" structural, concrete pads under the chillers. **Change Order #13**, 2/7/02, was approved for \$39,105.75 to add four pipe wells to the pump. **Change Order # 22**, 3/14/02, was approved for \$75,084.56 to relocate bus duct for installation of cooling tower piping. **Change Order #27**, 3/28/02, was approved for \$250,000.00 for additional overtime to expedite completion of cooling plant #1. **Change Order #40**, 5/23/02, was approved for \$52,455.16 for acceleration of grade beams, ductbanks, and 24" chilled water piping. **Change Order #41**, 5/23/02, was approved for \$139,580.96 to revise 1st floor piping supports from floor mounted to ceiling mounted, between existing concrete beams to provide clearance around equipment. **Change Order # 44**, 5/23/02, was approved for \$29,504.40 for additional temperature control components to provide better control of cooling plant.

---

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

---

**Mechanical Engineering Building**

Project Number: 1740.1

Scope: \$24,775,000

**Project Description:**

Construct a 114,000 gross square foot facility located adjacent to the Civil Engineering Building and Miller Hall, on the site previously occupied by the Engineering Quadrangle (Anderson Hall).

**Consultant:** Anshen & Allen Architects, Inc.

Contract # A991110

Total Amendments to Date: (2)

Original Contract Amount: \$1,865,000

Cumulative Amendment Amount: \$194,535

Revised Contract Amount: \$2,059,535

**Describe all amendments :**

**Amendment #1**, 4/14/00, an increase of \$100,000 was approved to provide resident inspector services. **Amendment #2**, 2/13/01, an increase of \$94,535.00, was approved to provide additional design services that include reconstructing and extending campus walkways, restoring the area to a natural landscaped condition and designing a utility tunnel extension. **No amendments this quarter.**

**Contractor:** Whittenberg Construction Company

Contract # X012689

Total Change Orders to Date: 58

Low Bid: \$20,238,000

High Bid: \$21,973,000

Number of Bids: 7

Original Contract Amount: \$20,238,000

Cumulative Change Order Amount: \$516,406

Revised Contract Amount: \$20,754,406

Contract Percent Complete: 97%

**Describe all change orders greater than \$25,000.00:**

**Change Order #4**. 10/16/00 was approved for \$25,882.00 to remove unsatisfactory bearing mat. **Change Order #5** 10/06/00 was approved for \$48,014.00 to add blasting monitoring services. **Change Order #10**, 2/19/01, was approved for \$33,630.00 to remove mud seams under column locations and fill with concrete; remove bad soil in administration block and fill with stone. **Change Order #16**. 6/15/01, was approved for \$29,928.00 to provide and install additional expansion joints. **Change Order #23**. 6/15/01, was approved for \$67,072.00 to provide additional walls, and guardrails. **Change Order #27**, 6/27/01, was approved for \$38,256.00 for subsurface and site conditions encountered during excavation which required additional shoring to meet safety standards and to protect adjacent elements. **Change Order #55**, 4/8/02, was approved for \$25,249.01 for reconciliation of actual caisson length.

---

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

---

**Nursing Building Elevator Controls Upgrade**

Project Number: 2044.0

Scope: \$500,000

**Project Description:**

Upgrade the elevator control systems in the Nursing building. The work will include the installation of a control system that will provide a standard microprocessor operation system for each elevator including all necessary car control systems as well as emergency communications systems.

**Consultant:** Lyle Associates Architects

Contract # A021180

Total Amendments to Date: (0)

Original Contract Amount:

\$41,375

Cumulative Amendment Amount:

Revised Contract Amount:

\$41,375

**Describe all amendments :**

**No amendments this quarter.**

**Contractor:** No construction contracts awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

**Describe all change orders greater than \$25,000.00:**

**No change orders greater than \$25,000.00 this quarter.**

---

---

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

---

**Plant Sciences Building**

Project Number: 1745.0

Scope: \$21,119,750

**Project Description:**

Construction of a 96,000 gross square foot facility to include faculty and staff offices, conference rooms, research labs, environmentally controlled plant growth chambers and support services.

**Consultant:** Design: Louis & Henry Group/Const. Admin: BCCLT

Contract # A991100

Total Amendments to Date: (1)

Original Contract Amount: \$1,371,048

Cumulative Amendment Amount: \$11,163

Revised Contract Amount: \$1,382,211

**Describe all amendments :**

**Amendment #1**, 5/25/00, an increase of \$11,163 was approved to provide additional design services required during the design phase including electronic CADD files of Construction Documents. **No amendments this quarter.**

**Contractor:** D. W. Wilburn, Inc.

Contract # X013436

Total Change Orders to Date: 48

Low Bid: \$18,496,000

High Bid: \$21,656,000

Number of Bids: 5

Original Contract Amount: \$18,496,000

Cumulative Change Order Amount: \$560,543

Revised Contract Amount: \$19,056,543

Contract Percent Complete: 86%

**Describe all change orders greater than \$25,000.00:**

**Change Order #2**, 10/20/00, was approved for \$28,716.65 to provide solar cool bronze glass. **Change Order #4**, 11/16/00, was approved for \$43,799.68 to change underground power ducts. **Change Order #10**, 3/26/01, was approved for \$31,557.34 for adjustment of actual drill shaft depths. **Change Order #16**, 9/19/01, was approved for \$231,981.60 to relocate the emergency generator to outside of the building and revise electrical room equipment switchgear layout. **Change Order #25**, 1/16/02, was approved for \$25,187.50 to delete the VCT floor tile and install 12" x 12" porcelain floor tile and 4"x12" porcelain bullnose wall base. **Change Order #26**, 1/23/02, was approved for \$72,850.70 for modifications made to the architecture, HVAC, Plumbing, and Electric as related to the plant growth containment room 152B. The changes were made to allow for the intended use by the user to be in accordance with governing rules and regulations. **Change Order #32**, 2/1/02, was approved for \$34,454.00 to provide additional structural steel members. **Change Order #34**, 3/7/02, was approved for \$25,635.80 to provide piping, elbows, and fittings as marked up on the Kentucky Plumbing Review set of drawings. **Change Order #43**, 6/27/02, was approved for \$74,879.95 to provide design modifications that would accomplish upgrading RO Water System.

---

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

---

**Primary Care Ctr/Outpatient Diagnostic & Treatment Ctr**

Project Number: 1764.0

Scope: \$22,700,000

**Project Description:**

Construct a new facility of approximately 90,000 gross square feet of space to house the Center for Advanced Surgery and the Gill Heart Institute. The new facility will consist of an outpatient surgery center and an outpatient clinic devoted to diagnostic and treatment services.

**Consultant:** CMW, Inc.

Contract # A991240

Total Amendments to Date: (0)

Original Contract Amount: \$2,147,765

Cumulative Amendment Amount:

Revised Contract Amount: \$2,147,765

**Describe all amendments :**

**No amendments this quarter.**

**Contractor:** D.W. Wilburn, Inc.

Contract # X014454

Total Change Orders to Date: 44

Low Bid: \$18,670,000

High Bid: \$20,799,000

Number of Bids: 4

Original Contract Amount: \$18,670,000

Cumulative Change Order Amount: \$45,667

Revised Contract Amount: \$18,715,667

Contract Percent Complete: 46%

**Describe all change orders greater than \$25,000.00:**

**Change Order #6**, 1/11/01, was approved for \$35,748.88 to modify roof assembly, fire proofing, one hardware set, four doors, exit signs at penthouse and smoke detectors at air code system per review by Housing, Building and Construction Division. **Change Order #9**, 5/8/01, was approved for \$62,186.00 to relocate 5 existing water lines that are in conflict with the ramp in the courtyard area, and to relocate existing storm drainage structures that are also in conflict with new construction. **Change Order #12**, 5/16/01, was approved for \$39,302.40 to add lantern light fixtures to match Allied Health Project. **Change Order #33**, 2/7/02, was approved for \$42,875.27 to make architectural and structural modifications to the electrical room to accommodate electrical gear. **Change Order #37**, 4/8/02, was approved for \$34,909.40 to change the key switches to a Yale seven pin cylinder. **Change Order #44**, 5/29/02, was approved for \$31,459.40 to add light fixtures consisting of 21 Holophane Bollards and 9 Holophane Pole lights. Cost of fixture installation is included in the original contract work. These fixtures were originally planned to be owner provided and contractor installed. This change order will have the contractor provide these fixtures.

---

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

---

**Research Lab Fit-up (Aging Allied/Health) 5th Floor**

Project Number: 1984.0

**Project Description:**

Scope: \$7,000,000

This project consists of the construction to fit-up the shelled fifth floor of the Aging/Allied Health Building for the Research Lab use.

**Consultant:** James W. Potts Architects

Contract # A011160

Total Amendments to Date: (0)

Original Contract Amount: \$410,000

Cumulative Amendment Amount:

Revised Contract Amount: \$410,000

**Describe all amendments :**

**No amendments this quarter.**

**Contractor:** No construction contracts awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

**Describe all change orders greater than \$25,000.00:**

**No change orders greater than \$25,000.00 this quarter.**

---

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

---

**Seaton Center Addition/Renovation**

Project Number: 1781.0

Scope: \$15,350,000

**Project Description:**

Construction of a 87,000 square foot recreation center. The building is being constructed as an addition to the existing Seaton Center and will include 4 basketball courts, 4 raquetball courts, 12,000 square feet of exercise equipment, a free weight room, a 4 lane running track, administrative offices, 2 aerobics rooms, and a climbing wall.

**Consultant:** HNTB Corporation

Contract # A001050

Total Amendments to Date: (2)

Original Contract Amount: \$997,000

Cumulative Amendment Amount: \$196,370

Revised Contract Amount: \$1,193,370

**Describe all amendments :**

**Notes: Amendment #1**, 10/12/00, an increase of \$79,730, was approved to provide a full time, on-site resident inspector during the construction phase. **Amendment #2**, 3/29/02, an increase of \$116,640 was approved to provide for four additional months of Phase 5A/E services including four additional months of Resident Inspector fees and for unforeseen storm/sanitary sewer renovations. **No amendments this quarter.**

**Contractor:** CB&S Construction Company

Contract # X100530

Total Change Orders to Date: 74

Low Bid: \$12,128,000

High Bid: \$13,730,000

Number of Bids: 4

Original Contract Amount: \$12,128,000

Cumulative Change Order Amount: \$500,525

Revised Contract Amount: \$12,628,525

Contract Percent Complete: 82%

**Describe all change orders greater than \$25,000.00:**

**Change Order #2**, 12/22/00, was approved for \$90,210.00 for additional costs to excavate existing rock for building foundation. **Change Order #11**, 5/8/01, was approved for \$32,248.00 to add black granite aggregate to achieve the appearance of the approved precast sample. **Change Order #22**, 7/13/01, was approved for \$31,962.00 to furnish and install a lightening protection system. **Change Order #25**, 8/14/01, was approved for \$34,315.00 for the replacement of plastic laminate countertops with solid surface countertops which will provide lasting protection. **No change orders greater than \$25,000 this quarter.**

---



CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

---

**Shively Sports Center Renovation**

Project Number: 1972.0

Scope: \$475,000

**Project Description:**

This proposed renovation includes the addition of a central HVAC system to the building using the campus steam and chilled water systems, the creation of four separate locker/shower spaces which can be utilized by male and female teams, the creation of a laundry area and the updating of finishes for the floors, and ceilings.

**Consultant:** CMTA

Contract # A011150

Total Amendments to Date: (0)

Original Contract Amount: \$32,000

Cumulative Amendment Amount:

Revised Contract Amount: \$32,000

**Describe all amendments :**

**No amendments this quarter.**

**Contractor:** Lagco, Inc.

Contract # X202267

Total Change Orders to Date: 9

Low Bid: \$383,220

High Bid: \$512,413

Number of Bids: 3

Original Contract Amount: \$383,220

Cumulative Change Order Amount: \$6,486

Revised Contract Amount: \$389,706

Contract Percent Complete: 78%

**Describe all change orders greater than \$25,000.00:**

**No change orders greater than \$25,000 this quarter.**

---

**UK Center for Rural Health**

Project Number: 1965.0

Scope: \$13,065,000

**Project Description:**

The University of Kentucky is undertaking the design and construction of a new Center for Rural Health to provide space to house the existing programs of the Center and the Health Professions programs of Hazard Community College.

**Consultant:** Omni Architects

Contract # A011110

Total Amendments to Date: (1)

Original Contract Amount: \$879,845

Cumulative Amendment Amount: \$140,682

Revised Contract Amount: \$1,020,527

**Describe all amendments :**

**Amendment #1**, 3/12/02, was approved for an increase of \$140,682.00 for consultant services to expand the scope of the project. Federal funding was received requiring re-programming and design. **No amendments this quarter.**

**Contractor:** No construction contracts awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

**Describe all change orders greater than \$25,000.00:**

**No change orders greater than \$25,000.00 this quarter.**

---

# FCR 2

Office of the President  
August 13, 2002

Members, Board of Trustees:

## REPORT OF LEASES

Recommendation: that the Board accept the President's Report of the following lease agreements in accordance with Board Policy:

A new lease between the University of Kentucky (Department of Agronomy) and Carl Waits, 126 Carolyn Lane, Nicholasville, Kentucky for space located at 5613 Tates Creek Road, Nicholasville, Kentucky, effective May 1, 2002 through September 30, 2002, for the use of one acre of land for nursery disease research, at an annual rate of \$1,000.00, be accepted and made a matter of record.

A new lease between the University of Kentucky (Farm Analysis) and Crossfield Center, Inc., 233 Stourbridge Court, Versailles, Kentucky for space located at 115 Crossfield Drive, Versailles, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 1,050 square feet of office space, at an annual rate of \$8,925.00, be accepted and made a matter of record.

A new lease between the University of Kentucky (Kentucky Injury Prevention & Research Center) and Morehead State University, UPO Box 507, Morehead, Kentucky for space located at Morehead State University, UPO Box 507, Morehead, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 480 square feet of office space, at an annual rate of \$2,615.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Cooperative Extension Service) and Gandolfo Realty Co., Inc., 1780 Eastwood Drive, Lexington, Kentucky for space located at 733 Red Mile Road, Lexington, Kentucky, effective July 1, 2002 through June 30, 2003, for the use of 2,300 square feet of office space, at an annual rate of \$20,400.00, be accepted and made a matter of record.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases entered into under this authority be reported to the Board of Trustees.

---

Action Taken:  Approved     Disapproved     Other \_\_\_\_\_

# FCR 3

Office of the President  
August 13, 2002

Members, Board of Trustees:

## APPROVAL OF LEASE

Recommendation: that the Senior Vice President for Administration be authorized to negotiate and execute the following:

A renewal lease between the University of Kentucky (Rural Health) and Appalachian Regional Healthcare, PO Box 8086, Lexington, Kentucky, for space located at 100 Airport Gardens Road, Hazard, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 42,203 square feet of office/clinic/classroom space, at an annual rate of \$269,268.78.

A new lease between the University of Kentucky (Auxiliary Services, Student Housing) and University Commons-Lexington, Kentucky, Ltd, 845 Red Mile Road, Lexington, Kentucky, for space located at 845 Red Mile Road, Lexington, Kentucky, effective August 15, 2002 through May 15, 2004, for the use of 85,320 square feet consisting of 72 apartment units, at an annual rate of \$1,049,760.00.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases in excess of \$30,000 be approved by the Board of Trustees.

---

Action taken:  Approved     Disapproved     Other \_\_\_\_\_

---

# FCR 4

Office of the President  
August 13, 2002

Members, Board of Trustees:

COLDSTREAM RESEARCH CAMPUS GROUND LEASE  
FIRST ALLIANCE CORPORATION

Recommendation: that the Administration approve relocation of First Alliance Corporation from lot 21 to lot 24 for the purpose of developing a 16,500 square foot owner-occupied commercial building. The key terms of the originally approved ground lease will remain the same.

Background: On April 30, 2002 the Board of Trustees approved a ground lease for First Alliance Corporation to locate on approximately one (1) acre of lot 21 for an amount of \$18,000 annually. Since the Board's approval, First Alliance has requested to locate on lot 24.

---

Action Taken:     Approved     Disapproved     Other \_\_\_\_\_

# FCR 5

Office of the President  
August 13, 2002

Members, Board of Trustees:

## ENDOWMENT NAME CHANGE

Recommendation: that the Board of Trustees change the name of an endowment in the Chandler Medical Center from the U. S. Surgical Endowed Professorship to the U. S. Surgical-Pfizer Endowed Professorship.

Background: This endowment was first established in 1998. This change correctly reflects the names of the donor companies.

---

Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# FCR 6

Office of the President  
August 13, 2002

Members, Board of Trustees:

## ESTABLISHMENT OF GIFT ANNUITY PROGRAM

Recommendation: that the Board of Trustees approve the attached resolution to establish a Gift Annuity Program at the University of Kentucky.

Background: A charitable Gift Annuity Program will enhance the Office of Development's fund-raising efforts. A gift annuity is a contract under which a charity, in return for a transfer of cash or other property, agrees to pay a fixed sum of money for a period measured by one or two lives. The contributed property becomes part of the charity's assets, and the payments to annuitants are a general obligation of the charity. Gift annuities are attractive to donors because (1) a significant portion of a contribution will qualify as a charitable deduction, and (2) the fixed payments are guaranteed for life at the rate(s) in effect when the gift annuity is executed.

The University will follow the rates suggested by the American Council on Gift Annuities (ACGA) in executing gift annuity contracts. The rates established by ACGA generally assume that the residuum (balance remaining at the termination of the annuity) will be 50% of the original contribution.

All gift annuity contributions will be maintained in a separate Gift Annuity Reserve Fund established for purposes of investing and managing assets of the Program. The costs of the Gift Annuity Program, including required payments to annuitants, will be paid from the Reserve Fund. Even though the Program is expected to be entirely self-supporting, the University is required to identify a separate source of funds as additional security for the payments to annuitants. The attached Resolution provides that the unrestricted quasi-endowment funds will be pledged to guarantee the annuity payments.

---

Action Taken:     Approved     Disapproved     Other \_\_\_\_\_

**RESOLUTION TO ESTABLISH A GIFT ANNUITY PROGRAM**  
**AT THE UNIVERSITY OF KENTUCKY**

**WHEREAS** securing planned charitable gifts in general and charitable gift annuity contributions in particular is recognized to be of considerable importance to the future financial stability and overall operation of the University of Kentucky (hereinafter “University”),

**NOW, THEREFORE, BE IT RESOLVED THAT:**

1. The Board of Trustees hereby authorizes the University to establish a program for the issuance of immediate and deferred payment charitable gift annuities.
2. The University’s gift annuity program shall be conducted in compliance with all applicable requirements of federal and state law, including Kentucky and other states.
3. The annuity rates offered to donors by the University in connection with gift annuity contributions shall be those recommended by the American Council on Gift Annuities. A higher rate may be offered with prior approval of the Treasurer, if determined to be in the best interest of the University. A lower rate may be offered without prior approval.
4. The University shall establish and maintain a gift annuity reserve fund. The Treasurer of the University shall oversee the management of the fund, including the investment of fund assets. The full amount of all gift annuity contributions shall be credited to the fund, along with all earnings on assets attributable to the fund. Disbursements from the fund may be made for the following purposes: to make annuity payments; to pay for the costs associated with investing and managing fund assets and administering the gift annuity program; and to make available to the University for its educational charitable purposes (as designated by the gift annuity donor, if applicable) the amount of fund assets attributable to a particular annuity obligation upon satisfaction of that obligation. Disbursements for any other purposes must be approved by the Treasurer.
5. The annuity payments will be made directly from the gift annuity reserve fund, and are further guaranteed by the University’s unrestricted quasi endowment funds if the reserve fund is insufficient to cover the annuity payments. Use of the unrestricted quasi endowment funds would require prior approval by the Board of Trustees.
6. The University may employ agents and advisors to assist with the management and operation of the program.
7. The fair market value of property contributed in exchange for a gift annuity shall be at least Ten Thousand Dollars [\$10,000.00], regardless of when the first annuity payment will be made and regardless of whether payments will be made to one annuitant or to two annuitants. The minimum gift annuity required to create an endowment with the residuum shall be Two Hundred Thousand Dollars [\$200,000.00]. The minimum gift annuity required to create a restricted fund with the residuum shall be Fifty Thousand Dollars [\$50,000.00].

8. The University shall accept gift annuity contributions made with property other than cash and publicly traded securities with prior approval of the Treasurer, if determined to be in the best interest of the University.

9. The minimum age for annuitants at the time annuity payments commence shall be 60 for both immediate and deferred annuities. Exceptions may be made with prior approval of the Treasurer, if determined to be in the best interest of the University.

10. Annuity payments shall be made quarterly at the end of each calendar quarter. Exceptions may be made with prior approval of the Treasurer, if determined to be in the best interest of the University.

11. The terms of this Resolution may be amended by the University's Board of Trustees.

Adopted by the University of Kentucky on the \_\_\_\_ day of \_\_\_\_, 2002.

Attested by:

\_\_\_\_\_  
Board Secretary

Affix corporate seal:



# FCR 7

Office of the President  
August 13, 2002

Members, Board of Trustees:

ESTABLISHMENT OF FRANKLIN E. TUTTLE MEMORIAL  
QUASI-ENDOWMENT FUND

Recommendation: that the Board of Trustees approve the establishment of the Franklin E. Tuttle Memorial Quasi-Endowment to provide general support of the programs in the Department of Chemistry.

Background: In 1988, the University of Kentucky received \$1,417,000 from the estate of A. Sidney Behrman, as a memorial to Dr. Franklin C. Tuttle, a former chemistry professor at the University of Kentucky. Mr. Behrman's will stipulated that the bequest be used for the benefit of the Department of Chemistry.

The bequest of \$1,417,000 was placed in a restricted account and has been invested annually in the University's short-term investment program. Interest earnings and portions of the original gift have been used to support the Department of Chemistry undergraduate and graduate programs. The restricted account has a current balance of \$1,340,894.

Dr. Boyd Haley, Chair, Department of Chemistry, has requested that the current balance in the restricted fund be transferred to an Endowment account in order to achieve a long-term perspective for investment purposes, which will allow the University to better fulfill its stewardship responsibilities.

The principal will be placed in the pooled endowment investments and will generate an annual spending distribution of approximately \$67,000 for support of the Department of Chemistry.

---

Action Taken:     Approved     Disapproved     Other \_\_\_\_\_

# FCR 8

Office of the President  
August 13, 2002

Members, Board of Trustees:

## DEANE B. BLAZIE GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$10,000 and a pledge of \$40,000 from Deane B. Blazie, Hobe Sound, Florida, to create and endow the Blazie Family Professorship in the College of Engineering Department of Electrical and Computer Engineering.

Background: Deane B. Blazie, a native of Frankfort, Kentucky, graduated with a Bachelor of Science degree in electrical engineering in 1968. He established Blazie Engineering in 1986, following other successful entrepreneurial endeavors. Mr. Blazie recently sold the company and is pursuing related business interests. Mr. and Mrs. Blazie are UK Barker Fellows. The endowment will support a professorship and is eligible for matching funds from the state's Research Challenge Trust Fund.

---

Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# FCR 9

Office of the President  
August 13, 2002

Members, Board of Trustees:

## KENTUCKY UTILITIES, INC., GIFT

Recommendation: that the Board of Trustees accept a gift of \$50,000 from Kentucky Utilities, Inc., Louisville, Kentucky, to create and endow a professorship in the College of Engineering Department of Electrical and Computer Engineering.

Background: Kentucky Utilities has been a generous donor to the College of Engineering. KU has supported the construction of the Ralph G. Anderson Building and engineering scholarships for minority students. The recently-completed office space partnership between UK and KU bringing a University presence to downtown Lexington is another example of the longstanding relationship. The Kentucky Utilities Professorship will provide an opportunity for the Department of Electrical and Computer Engineering to bring outstanding research faculty to the college. The gift is eligible for matching funds from the state's Research Challenge Trust Fund.

---

Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# FCR 10

Office of the President  
August 13, 2002

Members, Board of Trustees:

## KENTUCKY MEDICAL SERVICES FOUNDATION PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$500,000 from Kentucky Medical Services Foundation (KMSF), Lexington, Kentucky, to establish and endow a chair that will enhance health science research in the UK Chandler Medical Center.

Background: The chair is expected to rotate among the Medical Center colleges. The senior vice president and chancellor of the Medical Center will select a college to have the chair and designate the period of time the college will have the chair available. The college dean will appoint a committee to select the chair holder, subject to the chancellor's approval. The pledge is eligible for matching funds from the state's Research Challenge Trust Fund.

---

Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# FCR 11

Office of the President  
August 13, 2002

Members, Board of Trustees:

## GEORGE A. YOUNG ESTATE GIFT

Recommendation: that the Board of Trustees accept a gift of \$1,479,600 from the estate of George A. Young to the College of Medicine to establish and endow the George A. Young Endowment for Stroke Research in the Sanders-Brown Center on Aging.

Background: Mr. Young, a 1947 graduate of UK, was a Lexington businessman and a commercial and residential developer. He died in July, 1990. His will provided for gifts to seven local and national charitable organizations. Due to the time required to liquidate properties he owned and to litigation brought by the beneficiary organizations, the University did not receive its share of the distribution until June, 2002. The gift will support research of strokes and stroke-related disorders. The gift is eligible for matching funds from the state's Research Challenge Trust Fund.

---

Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# FCR 12

Office of the President  
August 13, 2002

Members, Board of Trustees:

## ANONYMOUS GIFT

Recommendation: that the Board of Trustees accept from a donor who wishes to be anonymous a gift of \$400,000 to the Department of Athletics for the baseball stadium renovation project.

Background: The total cost of the baseball stadium project was \$3.5 million and included doubling the seating capacity to 2,000.

---

Action taken:     Approved     Disapproved     Other \_\_\_\_\_