

## AGENDA

**Meeting of the Board of Trustees  
University of Kentucky  
1:00 P.M.  
April 7, 1998**

**Invocation**

**Roll Call**

**Approval of Minutes**

**President's Report and Action Items**

- PR 1 President's Report to the Trustees
- PR 2 Personnel Actions
- PR 3 Central Administration
- A. Proposed Amendments to the Governing Regulations
  - B. Agreement with Paducah Junior College, Inc.
  - C. University Research Professorships
  - D. Changes in University Alcohol Policy
  - E. Procedure for Electing Board of Trustee Member from Nonteaching Personnel
- PR 4 Community College System (No items to report)
- PR 5 Lexington Campus (No items to report)
- PR 6 Medical Center
- A. Naming University Building - Medical Center
  - B. Agreement Between University of Kentucky and Kentucky Medical Services Foundation

**Finance Committee**

1. Acceptance of Interim Financial Report for the University of Kentucky for the Eight Months Ended February 28, 1998
2. Report of Leases
3. Patent Assignment Report
4. C. Richard and Terri P. Smith Gift
5. First Federal Savings and Loan Association Gift and Pledge
6. Kentucky Medical Services Foundation, Inc. Gift
7. LG&E Energy Corporation Gift and Pledge
8. Pfizer, Inc. Gift

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, April 7, 1998.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, April 7, 1998 in the Board Room on the 18th floor of Patterson Office Tower.

A. Meeting Opened

Governor Edward T. Breathitt, Chairperson, called the meeting to order at 1:00 p.m., and the invocation was pronounced by Dr. Elissa Plattner.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mr. Ted Bates, Mrs. Kay Shropshire Bell, Governor Edward T. Breathitt (Chairperson), Mr. Paul W. Chellgren, Ms. Melanie Cruz, Mr. Merwin Grayson, Mr. John "Jack" Guthrie, Mr. James F. Hardyman, Professor Loys L. Mather, Mr. Billy Joe Miles, Dr. Elissa Plattner, Mr. Steven S. Reed, Professor Daniel R. Reedy, Mr. C. Frank Shoop, Dr. W. Grady Stumbo, Mr. Martin Welenken, and Ms. JoEtta Y. Wickliffe. Absent from the meeting were Dr. Robert P. Meriwether and Mr. Billy B. Wilcoxson. The University administration was represented by President Charles T. Wethington, Jr.; Chancellors James W. Holsinger, and Elisabeth Zinser; Vice Presidents Joseph T. Burch, Fitzgerald Bramwell, Edward A. Carter, and Eugene Williams; Dr. Ben W. Carr, Assistant to the President for Administrative Affairs; Dr. Juanita Fleming, Special Assistant for Academic Affairs; and Mr. Richard E. Plymale, General Counsel.

Members of the various news media were also in attendance. A quorum being present, the Chairperson declared the meeting officially open for the conduct of business at 1:04 p.m.

C. Approval of Minutes

Governor Breathitt said that the Minutes of the Board meeting on March 3, 1998 had been distributed and asked for any additions or corrections. Mr. Shoop moved that the Minutes be approved as distributed. Mr. Guthrie seconded the motion, and it carried.

D. Resolution

Governor Breathitt said that a resolution had been prepared in honor and support of the basketball team. He read the following resolution:

WHEREAS, the 1997-1998 University of Kentucky Wildcat basketball team has brought tremendous glory to the University by winning the NCAA national men's basketball tournament for the seventh time and for the second time in the past three years, and

WHEREAS, under the capable leadership of UK basketball Coach Orlando “Tubby” Smith and his valued assistants, George Felton, Shawn Finney and Mike Sutton, and the rest of the support staff, the team has solidified the support of Kentuckians all across the state and UK fans throughout the world, and

WHEREAS, each member of the UK Wildcat basketball team has proved to be a fine representative of this University, upholding all standards of excellence and integrity, and

WHEREAS, this particular group of UK athletes has become known in San Antonio and at all points in every direction as the “Comeback Cats,” snatching victory from the jaws of defeat in each of their last three games -- each against top 10 opponents -- and lending a sense of pride for every Kentuckian for their never-say-die attitude, and

WHEREAS, the 1997-98 basketball Wildcats proved to all who thrilled to their pinpoint passing, clutch three-pointers and unselfish play that Teamwork with a capital “T” is still the most important ingredient in success on and off the basketball court, and

WHEREAS, the coaches and players that made up this Wildcat team showed time and time again the versatility, willingness and tenacity necessary to adapt their style of offense and defense to win, no matter the opponent or the situation, and

WHEREAS, the 1998 National Champions brought athleticism and sports entertainment to a new level in what is being widely hailed as the most exciting Final Four in the history of the NCAA Men’s Basketball Tournament,

NOW THEREFORE BE IT RESOLVED, that the University of Kentucky Board of Trustees officially gives its highest commendation and registers its great appreciation to Coach Tubby Smith and the UK Wildcats for returning the NCAA championship back where it belongs -- to Kentucky and the University of Kentucky. This Board, and the citizens of the Commonwealth of Kentucky, shall be forever grateful to the 1997-1998 team for their championship achievements and accomplishments, and for the fun and excitement they brought to every corner of the state, and to UK fans throughout the world.

This the 7th day of April, 1998.

The resolution was adopted unanimously, and President Wethington said the resolution was highly appropriate.

E. President's Report to the Board of Trustees (PR 1)

President Wethington called attention to the following items in PR 1:

1. The University of Kentucky has been named a Best College Buy by *The Student Guide to America's 100 Best College Buys*.
2. One of two grants by the National Cancer Institute has gone to the Outreach Center for Science and Health Careers. A \$1.8 million grant will fund the Kentucky Appalachian Science Enrichment Program for the next 5 years.
3. The Community College System has received a National Science Foundation grant for a new associate degree program in network systems administration. Lexington Community College will play a lead role in that grant.
4. The UK/Lexington-Fayette County Arboretum is the site for a "Walk Across Kentucky" on Arbor Day, April 18. The new trees and paths depict all regions of the Commonwealth.
5. The College of Medicine continues to rise in its research funding rankings. The funding from the National Institutes of Health (NIH) increased to \$29.2 million from \$27.2. This amount increased the college's ranking to 30th among public medical schools receiving NIH funding.
6. Eight new members of the Carl A. Lampert Music Hall of Fame were inducted March 8th.
7. Five new members of the Kentucky Journalism Hall of Fame were inducted April 6th.

President Wethington asked the members to read the other items in the report at their leisure.

F. Personnel Actions (PR 2)

President Wethington recommended that approval be given to the appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted. He said he was pleased to recommend approval of PR 2. Mr. Chellgren moved approval. The motion, seconded by Mr. Welenken, carried. (See PR 2 at the end of the Minutes.)

President Wethington called attention to the retirement of Dr. Ed Sagan in PR 2. Dr. Sagan has been a part of the University of Kentucky for 28.9 years. He served as the Dean of the College of Education from 1980-1990 and as Acting Dean of the College of Social Work for the last two years. He has done an exceptional job in that role as well.

Dr. Sagan has filled a number of very important roles in the University of Kentucky. He recognized Dr. Sagan in the audience and asked him to stand and be recognized, following which he received a round of applause.

G. Proposed Amendments to the Governing Regulations (PR 3A)

President Wethington said that PR 3A concerns proposed changes to the Governing Regulations. He reminded the Board that these proposed changes were approved for consideration at the last meeting. The regulations state that proposed changes must stay on the table for at least one month before being considered for final action.

President Wethington reported that the two proposed changes in PR 3A had been sent to the University Senate, the Senate Council, the Student Government Association, and other groups as well for review. He explained that the proposal at the last Board meeting was to lower the number of faculty members serving on the search committee for president of the University from four to three because a large number of the Community College System faculty were no longer under the management of the University. He noted that the University Senate and Senate Council had suggested that the number of faculty members remain at four. Having heard their recommendation, President Wethington stated that the number would remain at four, and PR 3A reflects no change from the existing Governing Regulations.

President Wethington said the other suggestion came from students who were concerned about the number of students serving on the University Senate. The concerned students suggested that the number of students serving on the University Senate be increased from 18 to 19 because a student from Lexington Community College had been added to the University Senate. President Wethington explained that two colleges, the College of Communication and the College of Library Science, were combined two years ago; however, the Governing Regulations were not changed from 18 to 17 students to reflect the two colleges being combined. Therefore, the number of students serving on the University Senate should remain at 18 which includes the representative added from the Lexington Community College. The colleges and the Graduate School of the University System and the Lexington Community college will have one student representative on the University Senate.

On motion made by Professor Mather, and seconded by Mr. Guthrie, PR 3A approved. (See PR 3A at the end of the Minutes.)

H. Agreement with Paducah Junior College, Inc. (PR 3B)

President Wethington said that PR 3B is an agreement between the University of Kentucky Board of Trustees and Paducah Junior College, Inc. He reminded the Board that Paducah Junior College is an entity created by statute that has certain responsibilities for Paducah Community College. On August 19, 1997 the Board concurred with the General

Assembly action and delegated management of Paducah Community College to the Kentucky Community and Technical College System (KCTCS). Once that happened, Paducah Community College's management on January 14, 1998 was turned over to the new KCTCS Board. There are still arrangements between the University of Kentucky and Paducah Junior College, Inc. which need to be reduced to writing reflecting programs that are not community college programs, i.e., the bachelor's degree programs in Engineering that are being offered in Paducah. He explained that this agreement is not a replacement agreement, but an additional agreement with Paducah Junior College, Inc. that will establish the relationship between the University of Kentucky Board of Trustees and the other program arrangements in Paducah that are not delegated to the KCTCS Board. He said he was pleased to recommend that the Board authorize the execution of the agreement with Paducah Junior College, Inc. On motion made by Mr. Welenken, seconded by Mrs. Bell and carried, PR 3B was approved. (See PR 3B at the end of the Minutes.)

I. University Research Professorships (PR 3C)

President Wethington recommended that the Board approve the naming of Professors Joseph Chappell, Department of Agronomy, and Peter C. Eklund, Department of Physics and Astronomy, as University Research Professors for 1998-99. He noted that the first Research Professors were named by the Board of Trustees at its March 8, 1977 meeting, and the purpose of these professorships is to enhance and encourage scholarly research productivity. Professor Reedy moved that PR 3C be approved. His motion, seconded by Mr. Reed passed.

President Wethington said Professor Chappell was not able to be present at the meeting. Professor Eklund was asked to stand and be recognized, following which he received a round of applause.

President Wethington said that he would come back to item PR 3D and have Mr. Burch make a report.

J. Procedure for Electing Board of Trustee Member from Nonteaching Personnel (PR 3E)

President Wethington said that PR 3E is the Procedure for Electing a Board of Trustee member from Nonteaching Personnel. During the last legislative session, a bill passed that would add a staff member to the University of Kentucky Board of Trustees. He noted that the bill was signed on April 1, and the Board material for the Board meeting had already been mailed to the members. A process for the election of a staff member to the Board of Trustee needs to be in place since the person will probably take office in July or August, depending on when the legislation actually takes effect. This is normally 90 days after the official action of the General Assembly and the Governor.

He recommended that the Board of Trustees approve the following method for electing a non-teaching employee of the University of Kentucky as a member of the Board of Trustee.

1. The Vice President for Fiscal Affairs shall utilize the Human Resource Services Division to establish a process for the nomination of candidates and conduct an election by secret ballot to elect the nonteaching employee for membership on the Board. The Vice President shall certify the result of the election to the President, who shall transmit the result to the Board of Trustees.

2. Staff members eligible to vote and eligible to serve as an elected member of the Board of Trustees shall be those regular, full time staff of the University of Kentucky, as defined in the University's Staff Personnel Policy and Procedure Administrative Regulations. This procedure would exclude the president, vice presidents, academic deans and academic department chairpersons. Those positions are exempted in the statute.

The plan directs George DeBin, Vice President for Fiscal Affairs, and Human Resource Services to immediately begin the process of determining those eligible to serve, to circulate those names to all of the staff employees, to have a nomination process take place to determine individuals to be placed on a ballot, and conduct secret ballot(s) as the case may be to determine a representative to be brought to the board and be sworn in as a Board member in July or August 1998. President Wethington said he was delighted to enter into such a process to select a staff member to join the faculty and student members on the Board, and he was pleased to recommend approval of PR 3E. Mr. Miles moved approval of PR 3E. Ms. Cruz seconded the motion, and it passed. (See PR 3E at the end of the Minutes.)

President Wethington noted there were no items to report for the Community College System and the Lexington Campus under PR 4 and PR 5 respectively.

K. Agreement Between University of Kentucky and Kentucky Medical Services Foundation (PR 6B)

President Wethington said PR 6B is the annual agreement between the University of Kentucky and Kentucky Medical Services Foundation (KMSF). The recommendation is that the Board approve the agreement attached to PR 6B which was negotiated pursuant to the Board of Trustees resolution regarding the Medical Practice Plan adopted on June 20, 1978 and later amended. The agreement reflects only changes in dates. There are no other substantive changes in the document. He said he was pleased to recommend approval of PR 6B. Mr. Guthrie moved approval. The motion, seconded by Mr. Shoop passed. (See PR 6B at the end of the Minutes.)

L. Naming University Building - Medical Center (PR 6A)

President Wethington referred back to PR 6A and said that it is a recommendation that the Board of Trustees approve the naming of the heart institute and building the Linda and Jack Gill Heart Institute. Linda and Jack Gill were told at the time they made their generous gift to the University that the administration would propose to the Board that the heart institute and building be named for them. President Wethington said he was pleased to recommend the naming of the heart institute and building the Linda and Jack Gill Heart Institute. Mr. Shoop moved approval. Mr. Bates seconded the motion, and it carried. (See PR 6A at the end of the Minutes.)

M. Changes in University Alcohol Policy (PR 3D)

President Wethington asked the Board to go back to PR 3D. He reminded the Board of the October 21, 1997 meeting at which time the Board directed the administration to examine the alcohol issue at the University of Kentucky and report back to the Board before the end of the spring semester. He asked Mr. Burch to make a report about a proposed change in the university's alcohol policy and report on the actions the university has taken in the interim period. Mr. Burch gave the following report:

On October 21, 1997, the Board of Trustees passed a resolution expressing its concern regarding the abuse of alcohol among students and directing the administration to examine this issue as it relates to student life at the University of Kentucky.

Mr. Burch, Chancellor Zinser, and student affairs administrators conducted a series of meetings with residential students in fraternities, sororities, and residence halls. There were discussions with student leaders, fraternity and sorority alumni, and executives of national fraternity and sorority organizations. These discussions were very helpful in determining how the students felt about the use of alcohol. The students are very aware of the issue and have strong opinions. They are not of one mind. Their opinions vary from "leave things as they are" to a mature understanding of the difficulties and dangers students face when they use alcohol to excess.

The administration knows from experience and from discussions that there are enormous peer pressures to conform to a drinking culture, and that too often this peer pressure contributes to unwise and unsafe acts. Fortunately, the University of Kentucky has not had a serious injury to a student recently, as has been the case on other campuses. The examination of practices at the university; however, has led to a concern that the university is not immune to such occurrences.

In almost every discussion with the students, the vast majority expressed an understanding that it was time to make some changes in the regulations concerning the use of alcohol. Invariably, students would point to the lack of extracurricular activities, of a social or recreational nature, as a contributing factor. In every discussion they encouraged



the administrators to increase social and recreational programming to provide alternatives for the students.

In addition to these discussions, Dr. Zinser sponsored a Greek Symposium on alcohol-free housing in January, which was attended by executives or delegates from forty (40) national fraternities and sororities. The day-long session included representatives from the 22 fraternities and 17 sororities and provided opportunities for more student input and discussion. The symposium provided an opportunity to hear from Greek leaders from throughout the United States. The administration was grateful that so many decided to come to the University of Kentucky and participate in the discussions. The leaders of Greek organizations seemed determined to change the culture in their local chapters and strongly encouraged universities to assist in the process of change.

The administration is recognizing what is becoming a national movement toward alcohol-free housing in the Greek system. Led at first by several national fraternities, and now joined by others and by the national fraternity and sorority governing organizations, all are moving toward alcohol-free chapter houses and requesting universities to strengthen their policies regarding the use of alcohol.

For as many years as anyone can remember, national sororities have not allowed alcohol to be used in their chapter houses. The National Panhellenic Conference has recently issued strong statements encouraging the national fraternity organizations to adopt similar policies. In December, 1997, the National Interfraternity Conference voted unanimously to pursue alcohol-free chapter houses, especially on campuses where the host institutions commit themselves to support such an initiative.

On the University of Kentucky campus, the SAE Chapter will be occupying their new fraternity house. The alumni leaders and fraternity members have already announced that alcohol will not be permitted in the house. This is the kind of positive leadership which will set the new standard for fraternities on the campus. They have already been joined by Sigma Nu, Phi Kappa Psi, and Phi Gamma Delta who have announced similar policies for the future, and two other fraternities who will soon be announcing. And, of course, Farmhouse fraternity has always maintained an alcohol-free policy in their chapter house.

On Monday, March 30, the Student Affairs Division hosted a teleconference entitled "Solutions for Reducing High-Risk Alcohol Use in the College Community." It was attended by students and staff in the UK University System and the Community Colleges.

Student alcohol abuse is currently rated as the number one problem on campuses by presidents across the country. It is responsible for over 40 percent of academic difficulties and numerous acts of campus crime and violence.

It has become clear that the University needs to strengthen its alcohol education programs as well as to enhance its efforts to provide social and recreational outlets for the students, without the reliance on alcohol.

With this background, and with a commitment toward improving student life and student organizations, particularly fraternities and sororities, the administration has taken the following actions:

- Effective at the beginning of the fall semester, 1998, the University of Kentucky Alcohol Policy will be amended to clarify that fraternity and sorority houses will be treated the same as other undergraduate housing leased from and/or supervised by the University regarding the use of alcohol. In other words, all undergraduate housing will be alcohol-free.

In the past, the University has relied upon the house corporations, who lease houses from the University, and the chapters themselves to ensure compliance with applicable laws and University regulations.

In the case of fraternities, this policy has not been successful. The house corporations have not been able to properly supervise the chapter houses to prevent underage drinking or alcohol abuse.

- The University of Kentucky will require, under the terms of the existing leases, that house directors, who would be responsible for enforcing university regulations, be employed to live in chapter houses. In some cases, renovations will need to occur to provide suitable facilities. The administration will work with individual chapters to accomplish this requirement within agreed upon schedules.
- The criteria for the registration of student organizations will be amended to require fraternity and sorority organizations to abide by the University alcohol policies and to require the employment of house directors as a condition of registration.
- A proposal will be brought to the Board in May to revise the Code of Student Conduct making a violation of the alcohol policy a violation of the Code of Student Conduct.
- The University is committed to making every effort to strengthen its existing alcohol education programs and to improve the social and recreational life of the campus by developing and enhancing programs which do not rely on the use of alcohol. Measures have already been taken to increase funding in next year's budget for the Student Center, for recreation, and to initiate a comprehensive alcohol and health education program. The additional funding will increase the number of hours the university can keep the Student Center and Seaton Center open for social and recreational activities.

- In addition, the university plans to assist those fraternities or sororities which are in need of renovation. This applies particularly to certain fraternity houses. Through fund raising efforts on their part, and assistance on the university's part, the administration wants to renovate those houses which are not presently up to acceptable standards. In some cases, the fraternities and sororities may want to occupy new houses, and the administration will be happy to assist in any way it can, as it did with the SAE Chapter.

The changes being made are enthusiastically supported by Chancellor Zinser, the Vice Chancellor for Student Affairs, and the Dean of Students, and those student affairs staff members who work most closely with the students.

Mr. Burch said everyone believes these changes will improve the residential life of the university's campus, particularly in the fraternity houses, and will assist those organizations to focus on improvements in academic excellence, leadership and community service.

President Wethington entertained questions from the Board and a discussion followed.

President Wethington recommended that the Board accept the report from the administration regarding the use of alcohol on the University of Kentucky campus. He explained that the Board would in effect be accepting the report of the administration in recognizing the fact that a code of student conduct would come back to the Board for approval at the May meeting. Ms. Cruz moved approval of PR 3D. Her motion, seconded by Professor Mather, passed. (See PR 3D at the end of the Minutes.)

N. Comments by President Wethington

President Wethington said he was pleased with the budget for the University of Kentucky that passed the General Assembly last week. He said he would have a more complete report for the Board at the May meeting when the university has the final official documents.

President Wethington said he would like to comment on the \$100M set aside for the Research Challenge Trust Fund for the University of Kentucky and the University of Louisville which is one part of the budget that has been passed by the General Assembly. This is a fund that the university has supported strongly. It is in the budget document in the first year and is funded from cash. He said he anticipated that the \$100M fund will be appropriated to the Council on Postsecondary Education during the summer of 1998. The University of Kentucky and the University of Louisville will then bring forth proposals, including matching funds, to access this Research Challenge Trust Fund and bring those monies into the universities. He expressed his appreciation to the General Assembly and particularly to Governor Patton for his leadership in getting this Research Challenge Trust Fund shepherded through the General Assembly and having it be a part of the final budget

document. President Wethington said, in his opinion, it will be a major asset to the University of Kentucky in the future.

Governor Breathitt commended the Governor's Office in taking a leadership role in negotiating the Research Challenge Trust Fund back into a first priority.

Dr. Plattner noted that President Wethington was in Frankfort frequently during the General Assembly session, and she often saw him in the hallways. He won the award for letting legislators know how important this Fund was to the university's future. It was his convincing arguments that got this achieved. Governor Breathitt praised President Wethington for his ability to communicate with the legislators.

President Wethington thanked Governor Breathitt and Dr. Plattner. He reminded the Board that this was a recommendation by the Council on Postsecondary Education, and Governor Patton had made that recommendation in the Executive Budget presented to the General Assembly. The General Assembly made certain changes, but the end result is a fund in the same amount as the fund that was recommended by Governor Patton and the Council on Postsecondary Education. He noted that the only change was from a bond supported fund to a cash supported fund. He said that his preference was a cash supported fund and, in his opinion, it is an excellent end result. He commended the leadership at the state level, the Governor and Council on Postsecondary Education, and the General Assembly for making it possible. It will make a major beneficial change in the University of Kentucky over time.

Governor Breathitt said that it had been announced that two thirds will go to the University of Kentucky and one third will go to the University of Louisville.

O. Acceptance of Interim Financial Report for the University of Kentucky for the Eight Months Ended February 28, 1998 (FCR 1)

Mr. Hardymon, Chairperson of the Finance Committee, reported that the Committee met and considered eight items. FCR 1 is the monthly financial report for the eight months ending February 28, 1998. He called attention to the addition of the overview of the report and explained that the financials and balance sheets through 1997 include the Community College System while those going into 1998 represent the Lexington Community College and the University System. The expenditures compare very favorably with last year and the revenue percentages are also very close to those last year. With the balance sheet and cash flow being in good shape, Mr. Hardymon moved approval of FCR 1. Mr. Chellgren seconded the motion, and it passed. (See FCR 1 at the end of the Minutes.)

P. Report of Leases (FCR 2)

Mr. Hardymon said that FCR 2 is a report of leases that have an annual value of less than \$30,000 per year. He reviewed the leases in the report and moved approval of

FCR 2. His motion, seconded by Professor Reedy carried. (See FCR 2 at the end of the Minutes.)

Q. Patent Assignment Report (FCR 3)

Mr. Hardymon said that FCR 3 is a quarterly patent assignment report for the quarter January 1, 1998 through March 31, 1998. He commented on each of the assignments and stated that they were very important to the University from the standpoint of not only possible commercial value but even recruiting activities. He moved approval of FCR 3. Mr. Reed seconded the motion, and it passed. (See FCR 3 at the end of the Minutes.)

R. C. Richard and Terri P. Smith Gift (FCR 4)

Mr. Hardymon said that there were five gifts and pledges. He moved that the Board accept a gift of \$175,000 from C. Richard and Terri P. Smith to establish an endowed scholarship fund. The fund created by this gift will benefit students from 29 designated eastern Kentucky counties. He noted that C. Richard and Terri P. Smith are not graduates of the University of Kentucky, but they obviously have a great interest in eastern Kentucky. Under the terms of the endowment, the students have to demonstrate a need for financial assistance and neither parent can be a college graduate. He moved approval of this fine gift. Ms. Cruz seconded his motion, and it carried. (See FCR 4 at the end of the Minutes.)

S. First Federal Savings and Loan Association Gift and Pledge (FCR 5)

Mr. Hardymon said that FCR 5 is a gift and pledge from First Federal Savings and Loan Association of Hazard, Kentucky. The gift is \$25,000, and the pledge is \$75,000 to the Hazard Community College and is designated for the Student Classroom and Economic Development Center that is under construction. Their pledge will be paid with \$25,000 annual gifts through 2001. On motion made by Mr. Hardymon, seconded by Mr. Welenken and carried, FCR 5 was approved. (See FCR 5 at the end of the Minutes.)

T. Kentucky Medical Services Foundation, Inc. Gift (FCR 6)

Mr. Hardymon moved that the Board accept the gift of a house valued at \$147,000 from the Kentucky Medical Services Foundation, Inc. (KMSF) of Lexington, Kentucky. KMSF has allowed the Medical Center to use the house as office space for the past year and is now giving the property to the University. It is conveniently located across from the Chandler Medical Center and will provide the Medical Center with much needed office space. He moved approval of FCR 6. His motion, seconded by Mr. Bates, passed. (See FCR 6 at the end of the Minutes.)

U. LG&E Energy Corporation Gift and Pledge (FCR 7)

Mr. Hardymon said that FCR 7 is entitled LG&E Energy Corporation gift and pledge. LG&E and Kentucky Utilities are in the final stages of closing a merger, and this is a gift of \$140,000 and a pledge of \$110,000 from LG&E Energy Corporation to the University of Kentucky Athletic Association for the Center for Academic and Tutorial Services. The \$140,000 gift will be used for computer equipment, and the \$110,000 pledge is expected in 1999. He moved approval of FCR 7. Mr. Reed seconded the motion, and it carried. (See FCR 7 at the end of the Minutes.)

V. Pfizer, Inc. Gift (FCR 8)

Mr. Hardymon said FCR 8 is a Pfizer, Inc. gift of \$125,000 to the Chandler Medical Center for the Certificate of Medical Management Program. This program offers credit to participating physicians in accounting, finance, health systems organization and management. He moved approval of this very fine gift. Dr. Stumbo seconded the motion, and it passed. (See FCR 8 at the end of the Minutes.)

W. Meeting Adjourned

There being no further business to come before the Board, the Chairperson adjourned the meeting at 1:48 p.m.

Respectfully submitted,

Merwin Grayson  
Secretary  
Board of Trustees

(PR 2, 3A, 3B, 3C, 3D, 3E, 6A, and 6B; FCR 1, 2, 3, 4, 5, 6, 7, and 8 which follow are official parts of the Minutes of the meeting.)

Office of the President  
April 7, 1998

1. UK Named a Best College Buy by Student Guide

UK is a wise choice for high school seniors looking for a high-quality education at a low cost, according to *The Student Guide to America's 100 Best College Buys*. The guide cites UK's liberal arts and engineering programs as strengths and lists the University's 10 most popular majors as accounting, biology, business administration, civil engineering, electrical engineering, English, mechanical engineering, physical therapy, political science and psychology.

2. Grant Renews Effort to Encourage Students to Pursue Health Careers

One of two grants by the National Cancer Institute has gone to the Outreach Center for Science and Health Careers. A \$1.8-million grant will fund the Kentucky Appalachian Science Enrichment Program for the next five years. The program encourages Appalachian and minority high school sophomores who are interested in health, math and science careers by bringing them to campus for five weeks of hands-on, problem-oriented activities. The program has attracted students from Kentucky, Tennessee, Virginia and West Virginia.

3. Community College System Receives Grant for New Computer Degree

An \$850,000 National Science Foundation grant will fund a new associate degree program in network systems administration. Lexington Community College will work with other community colleges to develop the degree, which will be offered statewide and will upgrade the core math and science courses required for the degree. This is the second major NSF grant awarded to the Community College System. The first grant upgraded the math curriculum and established computer-based teaching laboratories in each of the 14 community colleges.

4. Engineering Students Conduct Experiment In Weightless Environment

Four engineering students conducted a NASA experiment last month on an airplane flight that used controlled falls to create a zero-gravity environment. The experiment, sponsored by the National Aeronautics and Space Administration in Houston, studied the effect of weightlessness on inflatable structures that could be used for satellites and the international space station. Students participating in the flight were Jen Eckert, Todd Griffith, Jim Jackson and Kathy Sienko. Patrick Hobbs served as an alternate participant.

5. Lexington Honors UK Artist with Fiber Arts Day

March 29 was Fiber Arts Day in Lexington, as eight galleries and museums saluted the work of Arturo Sandoval, a UK art professor. Lexington Mayor Pam Miller pronounced the day in recognition of Sandoval's work. One of his largest works, "Millennium," was dedicated that day at the Singletary Center for the Arts. The citywide exhibits will run through June 16, the largest of which is "Arturo Alonzo Sandoval: A 25-Year Retrospective" at the UK Art Museum.

6. Arboretum To Bring 'Walk Across Kentucky' to Campus

A walk across Kentucky will take no more than a trip to the UK/Lexington-Fayette County Arboretum, thanks to a stand of new trees and paths that depict all the regions of the Commonwealth. "Walk Across Kentucky" will be dedicated on Arbor Day, April 18.

7. Medical School Ranks 30th in NIH Research Funding

The College of Medicine's funding last academic year from the National Institutes of Health increased to \$29.2 million from \$27.2 million the previous year. This amount increased the college's ranking to 30th among public medical schools receiving NIH funding.



8. Colleges Receive Grants to Continue Work in Rural Communities

Four community colleges each have received a \$150,000 Ford Foundation grant to continue participation in the Rural Community College Initiative. The initiative involves 25 rural colleges in areas where the economy traditionally lags behind the nation, with the goal of aiding long-range economic development. Hazard and Southeast community colleges have participated since the initiative's beginning in 1994, and Somerset and Prestonsburg community colleges have since joined.

9. Music School Inducts Members Into Hall Of Fame

Eight new members of the Carl A. Lampert Music Hall of Fame were inducted March 8. The inductees include: alumnus C. Benjamin Arnold Jr., teacher Jean McConnell Chrisman, former UK music professor Sara Holroyd, patrons Robert and Rita Lam, vocalist Ann Maher Mason, the late folklorist John Jacob Niles and journalist Rena Lipetz Niles. The hall of fame is part of the College of Fine Arts.

10. Journalism School Inducts Members Into Hall of Fame

Five new members of the Kentucky Journalism Hall of Fame were inducted April 6. The inductees include: George Gill, former publisher of *The Courier-Journal*; Dave Nakdimen, retired *WAVE-TV* political reporter, Louisville; Bill Neikirk, chief Washington correspondent for the *Chicago Tribune*; Duanne Puckett, editor, *Shelbyville Sentinel-News*, and Billy Reed, sports columnist for the *Lexington Herald-Leader*. The hall of fame is located in the Grehan Building.

11. Community Colleges, University of Southern Indiana Sign Agreement

The University of Southern Indiana has agreed to accept the Kentucky Block Transfer Agreement as a fulfillment of its university core curriculum requirements. Community college students transferring under the agreement will be required to fulfill the UK requirements as well as a two-hour health and fitness requirement.

12. Researchers Develop “Cocktail” to Treat Brain Injury Recovery

Researchers at the Head Injury Research Center have developed the first anabolic cocktail – a combination of nutritional supplement and growth factors – reported to improve patient recovery from traumatic brain injury. The researchers have laid the foundation for new brain injury treatments with their series of studies. The studies examined the use of zinc, insulin-like growth factors and growth hormones. Patients who received the experimental treatment maintained their weight levels, and their nitrogen balance was significantly improved, indicating that muscle mass was being retained.

13. Owensboro Community College Receives Grant for 1,700 Trees

Owensboro Community College’s “outdoor classroom” will receive 1,200 new trees and another 500 trees that will go to schools in the Owensboro area, all as part of a grant from the Green River Resource and Development Council. The UK Forestry Department will plant the 1,200 trees, and local elementary school children already have helped pot the additional 500 trees, which will be maintained for a year before being delivered to the schools.

14. Art Museum Receives Museum Loan Network Grant

The UK Art Museum was one of only 12 institutions to receive a Museum Loan Network grant this year. The \$3,323 travel grant will allow the University to investigate the possibility of a one-year loan of Southern landscape paintings from the Morris Museum of Art in Augusta, Georgia. The Museum Loan Network is funded by the John S. and James L. Knight Foundation and the Pew Charitable Trust and is based at the Massachusetts Institute of Technology.

15. Student Awards and Honors

Joseph Hayes, Sol Paz and Christian Schaller, architecture, won the College of Architecture Oswald Research and Creativity Awards, placing first, second and third, respectively.

Terry Ewalt, Patrick Smith, Lesley Solomon, Rebel Solomon and Somsak Vuntangboon, Elizabethtown Community College, finished with a 3-1 record at the Elizabethtown Community College Academic Tournament.

16. Significant Faculty and Staff Activities

Ron Atwood, curriculum and instruction, and John Christopher, physics and astronomy, were awarded a \$52,490 Kentucky Council on Postsecondary Education Eisenhower Science Grant for a three-week physical science institute for 28 central Kentucky elementary school teachers.

Tracy Casada, Somerset Community College, was elected Kentucky Association of Collegiate Registrars and Admissions Officers president.

Belva Collins and John Schuster, special education and rehabilitation counseling, received a three-year, \$770,107 U.S. Department of Education grant for training rural teachers using interactive technology.

Peter Eklund and Keh-Fei Liu, physics, were elected American Physical Society fellows.

Frank Ettensohn, geological sciences, Truman Stevens, curriculum and instruction, and Tom Troland, physics and astronomy, received a \$51,896 Council on Postsecondary Education Eisenhower Science Grant for a three-week program with Fayette County and Jessamine County high school science teachers.

Lynne Hall and Ann Peden, nursing, Lora Payne, nursing doctoral candidate, and Mary Kay Rayens, biostatistics consulting, received the Southern Nursing Research Society D. Jean Wood Award for Nursing Scholarship for "Prevalence and Correlates of Depressive Symptoms in College Women."

Virgil W. Hays, animal sciences, received the National Pork Industry Distinguished Service Award for research and service in the area of livestock drug usage.

James Holsinger, Medical Center chancellor, was elected YMCA of Central Kentucky vice chairman.

Len O'Hara, Paducah Community College, was named Paducah-McCracken County Citizen of the Year.

Rosetta Sandidge, curriculum and instruction, was chosen president-elect of the Kentucky Association of Colleges for Teacher Education.

Janice C. Schach, landscape architecture, was named an American Council on Education fellow.

Richard W. Schwartz, surgery, was named the Association for Surgical Education's Distinguished Educator.

Nikiforos Stamatiadis, transportation, won the Institute of Transportation Engineers Southern District best technical paper award for "ITS and Human Factors for the Older Driver: Where We Stand."

Gregory A. Waller, English, won the Society for Cinema Studies Katherine Singer Kovacs Book Award for “Main Street Amusements: Movies and Commercial Entertainment in a Southern City.”

Zhigang Wang, toxicology, received one of four \$195,000 Burroughs Wellcome Fund New Investigator awards in toxicology.

# PR 2

Office of the President  
April 7, 1998

Members, Board of Trustees:

## PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

0197

**CENTRAL ADMINISTRATION**

**I. BOARD ACTION**

**A. RETIREMENT**

**Vice President for Information Systems**

Hayter, Midori, Lead Computer Operator, University Computing, after 21.8 consecutive years of service, under AR II-1.6-1 Section III.B, effective 3/24/98.

**B. CHANGES**

**Office of the President**

Carr, Ben , Chancellor, Community College System, changed to Assistant to the President for Administrative Affairs, effective 1/13/98.

**II. ADMINISTRATIVE ACTION**

**A. ADMINISTRATIVE APPOINTMENTS**

**Vice President for Research and Graduate Studies**

Galceran, Carlos M., Geologist II, Kentucky Geological Survey, effective 3/16/98.

**B. PROMOTION WITHOUT TENURE**

**Vice President for Information Systems**

Metzmeier, Kurt X., from Librarian IV, Law Library, University Libraries, to Librarian III, Law Library, University Libraries, effective 7/1/98 through 6/30/99.

Parris, Carol J., from Librarian IV, Law Library, University Libraries, to Librarian III, Law Library, University Libraries, effective 7/1/98 through 6/30/99.

Thompson, Weston T., from Librarian IV, Special Collections, University Libraries, to Librarian III, Special Collections, University Libraries, effective 7/1/98 through 6/30/99.

Trainor, Cynthia A., from Librarian IV, Electronic Resources, University Libraries, to Librarian III, Electronic Resources, University Libraries, effective 7/1/98 through 6/30/99.

Walker, Veronica, from Librarian IV, Technical Services, University Libraries, to Librarian III, Technical Services, University Libraries, effective 7/1/98 through 6/30/99.

**COMMUNITY COLLEGE SYSTEM**

**I. BOARD ACTION**

**A. RETIREMENTS**

Henderson Community College

Walker, Arnold C., Operations Supervisor, under AR II-1.6-1 Section III.B, following 15.6 consecutive years of service, 1/2/98.

Lexington Community College

Hall, Dorothy, Staff Assistant VIII, under AR II-1.6-1 Section III.B, following 18.5 consecutive years of service, 1/2/98.

**B. EARLY RETIREMENT**

Hopkinsville Community College

McReynolds, Mary Lou, Professor in the Community College System (with tenure), under AR II-1.6-1 Section III.A.2, following 27.4 consecutive years of service, 12/31/97.

Lexington Community College

Johnson, Judith, Cooperative Education Field Specialist, under AR II-1.6-1 Section III.A.2, following 15.4 consecutive years of service, 1/20/98.

**II. ADMINISTRATIVE ACTION**

**A. ACADEMIC APPOINTMENTS**

Lexington Community College

Bischoff, Jason, Instructor in the Community College System (voluntary), 1/1/98 through 12/31/2000.

Crutcher, Teresa, Instructor in the Community College System (voluntary), 1/1/98 through 12/31/2000.

Salins, Brenda, Instructor in the Community College System (voluntary), 1/1/98 through 12/31/2000.



## **B. PROMOTIONS WITHOUT TENURE**

### Lexington Community College

Abel, Eileen, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/98 through 6/30/99.

Carlton, Heather, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/98 through 6/30/99.

Justice, Laura, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/98 through 6/30/99.

Kobraei, Minoo, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/98 through 6/30/99.

Livisay, Sharon, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/98 through 6/30/99.

Norman, Valiant, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/98 through 6/30/99.

Pelfrey, Holly, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/98 through 6/30/99.

Thacker, Teresa, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/98 through 6/30/99.

Worth, Ben, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/98 through 6/30/99.

## **C. LEAVES OF ABSENCE**

### Lexington Community College

Doty, Sarajane, Associate Professor in the Community College System (with tenure), sabbatical at half-pay, 7/1/98 through 6/30/99.

Ingle, Cynthia, Associate Professor in the Community College System (with tenure), sabbatical at full pay, 7/1/98 through 12/31/98.

Leonard, Cindy, Professor in the Community College System (with tenure), sabbatical at half-pay, 7/1/98 through 6/30/99.

Mobray, Erla, Associate Professor in the Community College System (with tenure), sabbatical at full pay, 7/1/98 through 12/31/98.

Richardson, Kathleen, Librarian II in the Community College System (with tenure), sabbatical at full pay, 7/1/98 through 12/31/98.

**LEXINGTON CAMPUS**

**I. BOARD ACTION**

**A. PROMOTION**

**College of Arts and Sciences**

Udvardy, Monica, from Assistant Professor\*, Anthropology, to Associate Professor\* (with tenure), Anthropology, effective 7/1/97.

**B. RETIREMENTS**

**College of Agriculture**

Thompson, Audrey, Extension County Clerk, Field Programs, after 37.4 consecutive years of service, under Section III.B of AR II-1.6-1, effective 2/16/98.

**College of Education**

Sagan, Edgar, Associate Professor (with tenure), Educational Policy Studies and Evaluation, and Acting Dean, College of Social Work, after 28.9 consecutive years of service, under Section III.B of AR II-1.6-1, effective 7/1/98.

**College of Engineering**

Mateer, Richard, Professor (with tenure), Mining Engineering, after 39.4 consecutive years of service, under Section III.B of AR II-1.6-1, effective 12/31/97.

**Vice Chancellor for Administration**

Baugh, J. Frank, Auxiliary Services Manager, Food Storage Center, Auxiliary Services, after 17.9 consecutive years of service, under Section III.B of AR II-1.6-1, effective 12/31/97.

**C. EARLY RETIREMENTS**

**College of Arts and Sciences**

Anderson, Roger B., Professor (with tenure), Russian and Eastern Studies, after 24.6 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 12/31/97.

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\* Special Title Series

College of Engineering

Morris, Carolyn J., Account Clerk V, Engineering Advancement, after 21.9 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 2/27/98.

Vice Chancellor for Administration

Downey, Jesse L., Truck Driver I, Physical Plant Division, after 14.6 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 4/30/98.

Mobley, Clarence E., Control Room Attendant II, Housing, Auxiliary Services, after 22.4 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 3/27/98.

Warner, Martha A., Custodial Worker IV, Housing, Auxiliary Services, after 18.8 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 12/31/97.

**D. POST-RETIREMENT APPOINTMENT**

College of Agriculture

Allen, Steve, Extension Specialist (part-time), Agricultural Economics, 10/24/97 through 2/2/98.

**II. ADMINISTRATIVE ACTION**

**A. ACADEMIC APPOINTMENTS**

College of Agriculture

Gorton, William T. III, Lecturer, Agricultural Economics, 1/14/98 through 5/15/98.

College of Arts and Sciences

Donohue, Kathleen, Assistant Professor, Biological Sciences, 8/16/98 through 6/30/00.

Ousey, Graham C., Assistant Professor, Sociology, 8/16/98 through 6/30/00.

Rallapalli, J., Instructor (part-time), Physics and Astronomy, 1/1/98 through 5/31/98.

Ravulapati, S., Instructor (part-time), Physics and Astronomy, 1/1/98 through 5/31/98.

College of Communications and Information Studies

Jordan, James C., Instructor (part-time), Journalism and Telecommunications,  
1/1/98 through 5/15/98.

College of Engineering

Haggard, Paul, Assistant Professor (part-time), Mechanical Engineering, 1/1/98  
through 5/15/98.

College of Human Environmental Sciences

Bush, Dana Keller, Instructor (part-time), Family Studies, 1/1/98 through  
5/15/98.

College of Social Work

Olson, Carole J., Assistant Professor (part-time), Social Work, 1/1/98 through  
5/15/98.

**B. ADMINISTRATIVE APPOINTMENT**

College of Arts and Sciences

Sands, Donald E., Professor (with tenure), Chemistry, and Acting Dean,  
College of Arts and Sciences, reappointed Acting Dean, College of Arts  
and Sciences, 4/1/98 through 6/30/98.

**C. REAPPOINTMENTS**

College of Business and Economics

Ferrier, Walter J., Assistant Professor, Management, 7/1/98 through 6/30/99.  
Holbein, Gordon F., Assistant Professor, Management, 7/1/98 through 6/30/99.  
Hulse, David S., Assistant Professor, Accountancy, 7/1/98 through 6/30/99.  
Phillips, Joan M., Assistant Professor, Management, 7/1/98 through 6/30/99.  
Taylor, Valerie A., Assistant Professor, Management, 7/1/98 through 6/30/99.  
Thompson, Eric C., Assistant Research Professor, Economics, 7/1/98 through  
6/30/99.

College of Communications and Information Studies

Ireland, Sandra, Instructor (part-time), Library and Information Science,  
6/11/98 through 8/6/98.

Saunders, Dudley F., Instructor (part-time), Journalism and  
Telecommunications, 1/15/98 through 5/15/98.

College of Social Work

Barr, Larry, Assistant Professor (part-time), Social Work, 1/1/98 through 5/15/98.

**D. LEAVES OF ABSENCE**

College of Arts and Sciences

Johnson, Clifford, Assistant Professor, Physics and Astronomy, Leave without pay, 1/1/98 through 6/30/98.

College of Engineering

Griffioen, James, Associate Professor (with tenure), Computer Science, Sabbatical leave with half salary, 7/1/98 through 6/30/99.

Seales, W. Brent, Associate Professor (with tenure), Computer Science, Sabbatical leave with half salary, 7/1/98 through 6/30/99.

College of Fine Arts

Brunner, Lance, Associate Professor (with tenure), Music, Sabbatical leave with half salary, 7/1/98 through 6/30/99.

Campbell, James, Professor\* (with tenure), Music, Sabbatical leave with full salary, 1/1/99 through 6/30/99.

Elliott, David G., Associate Professor\* (with tenure), Music, Sabbatical leave with full salary, 7/1/98 through 12/31/98.

Pollack, Rhoda-Gale, Professor (with tenure), Theatre, Sabbatical leave with full salary, 7/1/98 through 12/31/98.

Robinson, Schuyler, Professor\* (with tenure), Music, Sabbatical leave with full salary, 1/1/99 through 6/30/99.

Scroggins, Robert, Associate Professor (with tenure), Art, Sabbatical leave with full salary, 1/1/99 through 6/30/99.

Wang, Cecilia, Associate Professor (with tenure), Music, Sabbatical leave with full salary, 1/1/99 through 6/30/99.

**E. LEAVE OF ABSENCE CHANGE**

College of Business and Economics

Krislov, Joseph, Professor (with tenure), Economics, to change from Sabbatical leave with half salary, 1/1/98 through 12/31/98, to Sabbatical leave with half salary, 1/1/98 through 6/30/98.

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\* Special Title Series

**MEDICAL CENTER**

**I. BOARD ACTION**

**A. ACADEMIC APPOINTMENTS**

**College of Medicine**

Donaldson, Elvis S., Professor (voluntary), Obstetrics and Gynecology, 4/8/98 through 6/30/00.

Moore, Angela R., Clinical Assistant Professor, Diagnostic Radiology, 7/1/98 through 6/30/99.

Newman, Ronald L., Assistant Professor\*, Anesthesiology, joint appointment as Assistant Professor, College of Allied Health Professions, Department of Clinical Sciences, 4/8/98 through 6/30/98.

Rasheed, Nadia, Clinical Instructor, Anesthesiology, 4/8/98 through 6/30/98.

**B. JOINT APPOINTMENTS**

**College of Allied Health Professions**

Fleming, Steven T., Associate Professor (with tenure), Health Services, joint appointment as Assistant Professor, Graduate School, Martin School of Public Policy and Administration, 7/1/98 through 6/30/00.

**College of Medicine**

Bondada, Subbarao, Professor (with tenure), Microbiology and Immunology, joint appointment as Professor (without tenure), Graduate School, Graduate Center for Toxicology, effective 4/8/98.

Daugherty, Alan, Associate Professor (without tenure), Internal Medicine, joint appointment as Associate Professor (without tenure), Physiology, 4/8/98 through 6/30/98.

Noonan, Daniel J., Associate Professor (with tenure), Biochemistry, joint appointment as Associate Professor (without tenure), Graduate School, Graduate Center for Toxicology, effective 4/8/98.

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\*Special Title Series

College of Pharmacy

Mactutus, Charles F., Associate Research Professor, joint appointment as Associate Research Professor, College of Arts and Sciences, Department of Psychology, 4/8/98 through 6/30/98.

**C. REAPPOINTMENTS**

College of Allied Health Professions

Beaulieu, Joyce E., Associate Professor (part time), Health Services, 7/1/98 through 6/30/99.

Kuder, Linda B., Associate Adjunct Professor, Health Services, 7/1/98 through 6/30/99.

College of Dentistry

Kaplan, Alan L., Associate Adjunct Professor, Oral Health Science, 7/1/98 through 6/30/99.

College of Medicine

Benedetto, Anthony R., Research Professor, Diagnostic Radiology, 7/1/98 through 6/30/99.

Bright, Katherine L., Associate Professor (part time), Pediatrics, 7/1/98 through 6/30/99.

Maguire, Grace F., Associate Professor (part time), Pediatrics, 7/1/98 through 6/30/99.

Moscow, Jeffrey A., Associate Professor (without tenure), Pediatrics, 7/1/98 through 6/30/99.

Nath, Avindra, Associate Professor (without tenure), Neurology, joint appointment as Associate Professor (without tenure), Microbiology and Immunology, 7/1/98 through 6/30/99.

Park, Adrian, Associate Professor\* (without tenure), Surgery, 7/1/98 through 6/30/99.

Riley, Kathryn P., Associate Professor (part time), Preventive Medicine and Environmental Health, 7/1/98 through 6/30/99.

Thraikill, Kathryn M., Associate Professor (without tenure), Pediatrics, 7/1/98 through 6/30/99.

Turner, H. S., Clinical Professor, Preventive Medicine and Environmental Health, 7/1/98 through 6/30/99.

Wolf, Betty I., Clinical Associate Professor, Pediatrics, 7/1/98 through 6/30/99.

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\*Special Title Series

#### D. TENURE

##### College of Medicine

Lasley, Robert D., Associate Professor (without tenure), Surgery, joint appointment as Associate Professor (without tenure), Physiology, to Associate Professor (with tenure), Surgery, joint appointment as Associate Professor (without tenure), Physiology, effective 7/1/98.

#### E. PROMOTIONS

##### College of Medicine

Arden, Warwick A., Assistant Professor, Surgery, joint appointment as Assistant Professor, Physiology, to Associate Professor (with tenure), Surgery, joint appointment as Associate Professor (without tenure), Physiology, effective 7/1/98.

Clerici, William J., Assistant Professor, Surgery, joint appointment as Assistant Professor, College of Arts and Sciences, Department of Psychology, to Associate Professor (with tenure), Surgery, joint appointment as Associate Professor (without tenure), College of Arts and Sciences, Department of Psychology, effective 7/1/98.

Etscheidt, Mark A., Clinical Assistant Professor, Anesthesiology, to Clinical Associate Professor, Anesthesiology, 7/1/98 through 6/30/99.

Girdler, Renee V., Clinical Assistant Professor, Family Practice, to Clinical Associate Professor, Family Practice, 7/1/98 through 6/30/99.

Jackson, Brian A., Associate Professor (with tenure), Physiology, to Professor (with tenure), Physiology, effective 7/1/98.

Johnson, Darren L., Assistant Professor, Surgery, joint appointment as Assistant Professor, College of Allied Health Professions, Department of Clinical Sciences, to Associate Professor (with tenure), Surgery, joint appointment as Associate Professor (without tenure), College of Allied Health Professions, Department of Clinical Sciences, effective 7/1/98.

Lock, Richard, Clinical Assistant Professor, Anesthesiology, to Clinical Associate Professor, Anesthesiology, 7/1/98 through 6/30/99.

Perry, Robert D., Associate Professor (with tenure), Microbiology and Immunology, to Professor (with tenure), Microbiology and Immunology, effective 7/1/98.



Prasad, M. R., Associate Research Professor, Surgery, to Research Professor, Surgery, 7/1/98 through 6/30/99.

Vasconez, Henry C., Associate Professor (with tenure), Surgery, joint appointment as Associate Professor (without tenure), Pediatrics, to Professor (with tenure), Surgery, joint appointment as Professor (without tenure), Pediatrics, effective 7/1/98.

## **F. CHANGES**

### College of Medicine

Glenn, James F., Professor (part-time), Surgery, from retirement after four years, seven months consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 3/31/98, to retirement after four years, seven months consecutive years of service, under AR II-1.6-1 Section III.B, effective 3/31/98.

## **G. RETIREMENTS**

### Chancellor's Office

Greene, Dorsey, Account Clerk VI, University Health Service, after 27 years, two months consecutive years of service, under AR II-1.6-1 Section III.B, effective 3/13/98.

### College of Medicine

Churchill, Elizabeth, Staff Assistant VIII, Internal Medicine, after five years, six months consecutive years of service, under AR II-1.6-1 Section III.B, effective 1/2/98.

## **H. EARLY RETIREMENTS**

### College of Medicine

Atkinson, Nancy Lobb, Psychiatric Intake Coordinator, Psychiatry, after 35 years, seven months consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 3/31/98.

Haberland, Naomi, Administrative Assistant I, Family Practice, after five years, seven months consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 4/23/98.

Louderback, Nancye, Staff Assistant VII, Pediatrics, after 16 years, two months consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 1/23/98.

College of Nursing

Jones, Charlene, Administrative Assistant I, Administration, after 32 years, six months consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 3/13/98.

University Hospital

Cummins, Mary L., Pharmacy Technician, Pharmacy, after 15 years, seven months consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 3/6/98.

Lloyd, Johanna, Registered Nurse, Nursing, after 15 years consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 1/23/98.

**I. POST-RETIREMENT APPOINTMENTS**

College of Medicine

Aug, Robert G., Professor (part-time), Psychiatry, 7/1/98 through 6/30/99.

High, Dallas M., Professor (part-time), Preventive Medicine and Environmental Health, 4/8/98 through 6/30/98, and reappointed Professor (part-time), Preventive Medicine and Environmental Health, 7/1/98 through 6/30/99.

Kraus, Robert F., Professor (part-time), Psychiatry, 7/1/98 through 6/30/99.

**II. ADMINISTRATIVE ACTION**

**A. ACADEMIC APPOINTMENTS**

College of Allied Health Professions

Blackstone, Jack C., Assistant Professor (voluntary), Health Services, 4/8/98 through 6/30/00.

Evans, Sherwood H., Instructor (voluntary), Health Services, 4/8/98 through 6/30/00.

Holland, Larry J., Assistant Professor (voluntary), Health Services, 4/8/98 through 6/30/00.

Kirk, William D., Assistant Professor (voluntary), Health Services, 4/8/98 through 6/30/00.

Lawler, Harold J., Assistant Professor (voluntary), Health Services, 5/6/98 through 6/30/00.

Lawson, Terry A., Instructor (voluntary), Health Services, 5/6/98 through 6/30/00.

Legenza, Mary T., Assistant Professor (voluntary), Health Services, 4/8/98 through 6/30/00.

Patterson, J. K., Assistant Professor (voluntary), Health Services, 4/8/98 through 6/30/00.

Schmitt, Catharine A., Assistant Professor (voluntary), Health Services, 4/8/98 through 6/30/00.

Shouse, LeRoy, Assistant Professor (voluntary), Health Services, 4/8/98 through 6/30/00.

Sparks, Sheldia B., Instructor (voluntary), Clinical Sciences, 4/8/98 through 6/30/00.

Thorner, Alan J., Assistant Professor (voluntary), Health Services, 5/6/98 through 6/30/00.

Touma, Ralph I., Assistant Professor (voluntary), Health Services, 4/8/98 through 6/30/00.

Volpenhein, Charles, Instructor (voluntary), Health Services, 4/8/98 through 6/30/00.

Workman, Marcy R., Instructor (voluntary), Health Services, 4/8/98 through 6/30/00.

#### College of Dentistry

Brown, Joseph J., Instructor (voluntary), Oral Health Science, 5/1/98 through 6/30/00.

Farmer, Steven E., Instructor (voluntary), Oral Health Science, 5/1/98 through 6/30/00.

Hay, William E., Instructor (voluntary), Oral Health Science, 5/1/98 through 6/30/00.

Isaacs, John T., Instructor (voluntary), Oral Health Science, 5/1/98 through 6/30/00.

Justice, Laura L., Instructor (voluntary), Oral Health Science, 5/1/98 through 6/30/00.

Kenney, Charles W., Assistant Professor (voluntary), Oral Health Science, 5/1/98 through 6/30/00.

Larkin, Linda, Instructor (voluntary), Oral Health Science, 5/1/98 through 6/30/00.

Laws, Keith A., Instructor (voluntary), Oral Health Science, 5/1/98 through 6/30/00.  
Marshall, Darryl J., Instructor (voluntary), Oral Health Science, 5/1/98 through 6/30/00.  
Mueller, Thomas B., Instructor (voluntary), Oral Health Science, 5/1/98 through 6/30/00.  
Pearson, Cheryl A., Instructor (voluntary), Oral Health Science, 5/1/98 through 6/30/00.  
Thurman, James C., Instructor (voluntary), Oral Health Science, 5/1/98 through 6/30/00.  
Wilkins, Kimberly F., Instructor (voluntary), Oral Health Science, 5/1/98 through 6/30/00.

College of Medicine

Craig, Ann W., Assistant Professor (voluntary), Pediatrics, 4/8/98 through 6/30/00.  
Dalloul, Elias A., Assistant Professor (voluntary), Internal Medicine, 4/8/98 through 6/30/00.  
Garvy, Beth, Assistant Professor, Internal Medicine, joint appointment as Assistant Professor, Microbiology and Immunology, 4/8/98 through 6/30/98.  
Lawson, W. T., Assistant Professor (voluntary), Internal Medicine, 4/8/98 through 6/30/00.  
Love, Margaret M., Assistant Professor (temporary, part time), Family Practice, 4/8/98 through 6/30/98.  
Mounts, Steve P., Assistant Professor (voluntary), Family Practice, 4/8/98 through 6/30/00.  
Noble, Eric L., Assistant Professor (voluntary), Family Practice, 4/8/98 through 6/30/00.  
Smith-Sloan, Sallie, Assistant Professor (voluntary), Internal Medicine, 3/16/98 through 6/30/00.  
Soltan, Alaa E., Assistant Professor (voluntary), Internal Medicine, 4/8/98 through 6/30/00.  
Stevenson, Brian, Assistant Professor, Microbiology and Immunology, 5/1/98 through 6/30/98.  
Tjoa, Hong I., Assistant Professor (voluntary), Internal Medicine, 4/8/98 through 6/30/00.  
Uber,, Glen M., Assistant Professor (voluntary), Family Practice, 4/8/98 through 6/30/00.  
White, W. A., Assistant Professor (voluntary), Internal Medicine, 4/8/98 through 6/30/00.

College of Pharmacy

Bunting, Robert, Instructor (voluntary), 4/8/98 through 6/30/00.  
Danhauer, Jeffrey, Instructor (voluntary), 4/8/98 through 6/30/00.  
Duckworth, Debbie, Instructor (voluntary), 4/8/98 through 6/30/00.  
Finn, Dave, Instructor (voluntary), 4/8/98 through 6/30/00.  
Mink, Ralph, Instructor (voluntary), 4/8/98 through 6/30/00.  
Richardson, JoMarie, Instructor (voluntary), 4/8/98 through 6/30/00.

**B. JOINT APPOINTMENTS**

College of Medicine

Knox, Tamara L., Assistant Professor (voluntary), Psychiatry, joint appointment as Assistant Professor (voluntary), Family Practice, 4/8/98 through 6/30/00.

**C. REAPPOINTMENTS**

College of Allied Health Professions

Botkin, John R., Assistant Adjunct Professor, Health Services, 7/1/98 through 6/30/99.  
Brickell, Jean, Assistant Professor\*, Clinical Sciences, 7/1/98 through 10/14/98.  
Campbell, Kim K., Assistant Adjunct Professor, Clinical Sciences, 7/1/98 through 6/30/99.  
Fahringer, David A., Assistant Professor\*, Health Services, 7/1/98 through 6/30/99.  
Freidhoff, Gail C., Assistant Professor\*, Clinical Sciences, 7/1/98 through 6/30/99.  
Fry, James R., Assistant Professor\*, Health Services, 7/1/98 through 6/30/99.  
Gardner, Toni G., Assistant Adjunct Professor, Clinical Sciences, 7/1/98 through 6/30/99.  
Geil, Patricia, Assistant Adjunct Professor, Clinical Sciences, 7/1/98 through 6/30/99.  
Hagerman, Ellen C., Clinical Assistant Professor, Clinical Sciences, 7/1/98 through 6/30/99.  
Hescock, Harold R., Assistant Professor\*, Health Services, 7/1/98 through 6/30/99.  
Hicks, Ramona, Assistant Professor, Clinical Sciences, 7/1/98 through 6/30/99.

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\*Special Title Series

Hurley, Douglas E., Assistant Adjunct Professor, Health Services, 7/1/98 through 6/30/99.

Jennings, Patricia R., Assistant Professor\*, Health Services, 7/1/98 through 6/30/99.

Kremer, Theresa, Assistant Adjunct Professor, Clinical Sciences, 7/1/98 through 6/30/99.

Kuperstein, Janice, Assistant Professor\*, Clinical Sciences, 7/1/98 through 6/30/99.

Lloyd, Brenda M., Adjunct Instructor, Clinical Sciences, 7/1/98 through 6/30/99.

Main, Karen A., Assistant Research Professor, Health Services, 7/1/98 through 6/30/99.

Morris, Donna S., Assistant Professor\*, Clinical Sciences, 7/1/98 through 6/30/99.

Olesinski, Raymond L., Assistant Professor\*, Clinical Sciences, 7/1/98 through 1/10/99.

Overman, Sue B., Assistant Adjunct Professor, Clinical Sciences, 7/1/98 through 6/30/99.

Owens, R. B., Clinical Assistant Professor, Clinical Sciences, 7/1/98 through 6/30/99.

Papanikolaou, Tomi C., Lecturer, Clinical Sciences, 7/1/98 through 6/30/99.

Perritt, Lea J., Assistant Professor (part time), Health Services, 7/1/98 through 6/30/99.

Schulman, Elizabeth D., Assistant Professor, Health Services, joint appointment as Assistant Professor, Graduate School, Martin School of Public Policy and Administration, 7/1/98 through 6/30/99.

Schwarz, Bradford W., Assistant Professor\*, Health Services, 7/1/98 through 6/30/99.

Scifers, James R., Assistant Professor\*, Clinical Sciences, 7/1/98 through 6/30/99.

Sims, Priscilla, Adjunct Instructor, Clinical Sciences, 7/1/98 through 6/30/99.

Snider, Lyle B., Assistant Professor\*, Health Services, 7/1/98 through 6/30/99.

Steinman, Margaret N., Assistant Adjunct Professor, Clinical Sciences, 7/1/98 through 6/30/99.

Troyer, Henry, Assistant Professor\*, Clinical Sciences, 7/1/98 through 6/30/99.

Wojtowicz, GERALYN M., Clinical Assistant Professor, Clinical Sciences, 7/1/98 through 6/30/99.

College of Dentistry

- Seaver, Daniel C., Assistant Adjunct Professor, Oral Health Science, 7/1/98 through 6/30/99.  
Shaw, Pamella P., Assistant Adjunct Professor, Oral Health Science, 7/1/98 through 6/30/99.  
Skelton, Judith, Assistant Professor\*, Oral Health Science, 7/1/98 through 6/30/99.

College of Medicine

- Abraham, V. S., Assistant Professor, Surgery, 7/1/98 through 6/30/99.  
Allweiss, Pamela, Assistant Professor (part time), Preventive Medicine and Environmental Health, joint appointment as Assistant Professor (part time), Family Practice, 7/1/98 through 6/30/99.  
Andersen, Anders H., Assistant Research Professor, Anatomy & Neurobiology, 7/1/98 through 6/30/99.  
Aroon, Javali B., Assistant Professor (part time), Psychiatry, 7/1/98 through 6/30/99.  
Banta, Craig A., Clinical Assistant Professor, Neurology, 7/1/98 through 6/30/99.  
Barnhill, John, Clinical Assistant Professor, Psychiatry, 7/1/98 through 6/30/99.  
Birge, Barbara A., Instructor (part time), Physiology, 7/1/98 through 6/30/99.  
Blades, Deborah A., Assistant Professor, Surgery, 7/1/98 through 6/30/99.  
Brenzel, Allen J., Assistant Professor\*, Psychiatry, joint appointment as Assistant Professor\*, Pediatrics, 7/1/98 through 6/30/99.  
Browning, Steven R., Assistant Research Professor, Preventive Medicine and Environmental Health, 7/1/98 through 6/30/99.  
Bush, Moria N., Clinical Assistant Professor, Pediatrics, 7/1/98 through 6/30/99.  
Cadle, Ronald G., Adjunct Instructor, Pediatrics, 7/1/98 through 6/30/99.  
Campbell, Jeffrey P., Assistant Professor, Surgery, 7/1/98 through 6/30/99.  
Campbell, Lynn R., Assistant Professor\*, Pediatrics, 7/1/98 through 6/30/99.  
Cass, Wayne A., Assistant Professor, Anatomy & Neurobiology, 7/1/98 through 6/30/99.

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\*Special Title Series

- Cheever, Todd R., Assistant Professor\*, Psychiatry, 7/1/98 through 6/30/99.
- Cibula, Jean E., Assistant Professor, Neurology, 7/1/98 through 6/30/99.
- Collins, Rebecca L., Assistant Professor\*, Pediatrics, 7/1/98 through 6/30/99.
- Cooley, Andrew T., Assistant Professor, Psychiatry, 7/1/98 through 6/30/99.
- Corales, Enedino R., Clinical Assistant Professor, Psychiatry, 7/1/98 through 6/30/99.
- D'Angelo, Sandra L., Clinical Assistant Professor, Pediatrics, joint appointment as Clinical Assistant Professor, Behavioral Science, 7/1/98 through 6/30/99.
- Danner, Deborah D., Assistant Research Professor, Preventive Medicine and Environmental Health, 7/1/98 through 6/30/99.
- Estus, Steven, Assistant Professor, Physiology, 7/1/98 through 6/30/99.
- Gevedon, Teresa G., Clinical Assistant Professor, Psychiatry, 7/1/98 through 6/30/99.
- Gewirtz, Robert J., Assistant Professor, Surgery, 7/1/98 through 6/30/99.
- Godlaski, Theodore M., Assistant Research Professor, Psychiatry, 7/1/98 through 6/30/99.
- Goebel, Jens, Assistant Professor, Pediatrics, 7/1/98 through 6/30/99.
- Haydon, Richard C., Clinical Assistant Professor, Surgery, 7/1/98 through 6/30/99.
- Hill, Kelly K., Assistant Professor, Psychiatry, joint appointment as Assistant Professor, Pediatrics, 7/1/98 through 12/31/98.
- Jennings, Connie W., Clinical Assistant Professor, Internal Medicine, 7/1/98 through 6/30/99.
- Johnston, Thomas D., Assistant Professor, Surgery, 7/1/98 through 6/30/99.
- Kacki, Marta H., Clinical Assistant Professor, Diagnostic Radiology, 7/1/98 through 6/30/99.
- Katz, Debra A., Assistant Professor, Psychiatry, joint appointment as Assistant Professor, Neurology, 7/1/98 through 6/30/99.
- Kepferle, Loyd T., Assistant Research Professor, Preventive Medicine and Environmental Health, 7/1/98 through 6/30/99.
- Knapp, Pamela E., Assistant Professor, Anatomy & Neurobiology, 7/1/98 through 6/30/99.
- Kregor, Janice M., Assistant Professor (part time), Pediatrics, 7/1/98 through 6/30/99.

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\*Special Title Series



Kwolek, Christopher J., Assistant Professor, Surgery, 7/1/98 through 6/30/99.

Lear, Linda A., Clinical Assistant Professor, Pediatrics, 7/1/98 through 6/30/99.

Logan, T. K., Assistant Research Professor, Psychiatry, 7/1/98 through 6/30/99.

Manaligod, Jose M., Assistant Professor, Surgery, 7/1/98 through 6/30/99.

Maragos, William F., Assistant Professor, Neurology, joint appointment as Assistant Professor, Anatomy & Neurobiology, 7/1/98 through 6/30/99.

Martin, Walter L., Assistant Professor (part time), Psychiatry, 7/1/98 through 6/30/99.

McClintock, Timothy S., Assistant Professor, Physiology, 7/1/98 through 6/30/99.

Minion, David J., Assistant Professor, Surgery, 7/1/98 through 6/30/99.

Mullett, Timothy W., Assistant Professor, Surgery, 7/1/98 through 6/30/99.

Munch, Larry C., Assistant Professor (part time), Surgery, 7/1/98 through 6/30/99.

Nolan, Timothy F., Assistant Adjunct Professor, Psychiatry, 7/1/98 through 6/30/99.

Ochoa, Juan B., Assistant Professor, Surgery, 7/1/98 through 6/30/99.

Park-Sarge, Ok-Kyong, Assistant Professor, Physiology, 7/1/98 through 6/30/99.

Penix, LaRoy P., Assistant Professor, Neurology, 7/1/98 through 6/30/99.

Pollack, Susan H., Assistant Research Professor, Pediatrics, joint appointment as Assistant Research Professor, Preventive Medicine and Environmental Health, 7/1/98 through 6/30/99.

Prince, Timothy S., Assistant Professor, Preventive Medicine and Environmental Health, 7/1/98 through 6/30/99.

Reed, Deborah B., Assistant Research Professor, Preventive Medicine and Environmental Health, 7/1/98 through 6/30/99.

Ryan, Stephen J., Clinical Assistant Professor, Neurology, 7/1/98 through 6/30/99.

Sanchez, Juan A., Assistant Professor, Surgery, 7/1/98 through 6/30/99.

Sanders, Tammy S., Assistant Professor, Surgery, 7/1/98 through 6/30/99.

Satin, Jonathan, Assistant Professor, Physiology, 7/1/98 through 6/30/99.

- Shearer, Mary E., Clinical Assistant Professor, Internal Medicine, 7/1/98 through 6/30/99.
- Shirley, Pamela B., Assistant Professor (part time), Psychiatry, 7/1/98 through 6/30/99.
- Smart, Eric J., Assistant Professor, Physiology, 7/1/98 through 6/30/99.
- Smith, Cynthia A., Clinical Assistant Professor, Neurology, 7/1/98 through 6/30/99.
- Snow, Diane M., Assistant Professor, Anatomy & Neurobiology, 7/1/98 through 6/30/99.
- Srivatsan, Malathi, Assistant Research Professor, Physiology, 7/1/98 through 6/30/99.
- Steltenkamp, Carol L., Clinical Assistant Professor, Pediatrics, 7/1/98 through 6/30/99.
- Storey, Gayle S., Assistant Professor, Diagnostic Radiology, joint appointment as Assistant Professor, Surgery, 7/1/98 through 6/30/99.
- Strunk, Danny J., Assistant Adjunct Professor, Pediatrics, 7/1/98 through 6/30/99.
- Swope, Marian, Clinical Assistant Professor, Psychiatry, 7/1/98 through 6/30/99.
- Taylor, Robert F., Assistant Adjunct Professor, Physiology, 7/1/98 through 6/30/99.
- Trevathan, Edwin, Assistant Professor, Neurology, joint appointment as Assistant Professor, Pediatrics, 7/1/98 through 6/30/99.
- Valentino, Joseph, Assistant Professor, Surgery, 7/1/98 through 6/30/99.
- Walker, John R., Assistant Professor (part time), Pediatrics, 7/1/98 through 6/30/99.
- Wallace, Carmel, Assistant Adjunct Professor, Pediatrics, 7/1/98 through 6/30/99.
- Weinrich, Suzanne M., Clinical Assistant Professor, Family Practice, 7/1/98 through 6/30/99.
- Wells, Raymond D., Assistant Professor (part time), Family Practice, 7/1/98 through 6/30/99.
- Werner, Heinrich A., Assistant Professor, Pediatrics, joint appointment as Assistant Professor, Anesthesiology, 7/1/98 through 6/30/99.
- Xu, Fadi, Assistant Research Professor, Physiology, 7/1/98 through 6/30/99.
- Yurek, David M., Assistant Research Professor, Surgery, joint appointment as Assistant Research Professor, Anatomy & Neurobiology, 7/1/98 through 6/30/99.

Zhang, Zhiming, Assistant Research Professor, Anatomy & Neurobiology, 7/1/98 through 6/30/99.

College of Nursing

Barton, Sharon J., Assistant Professor\*, 7/1/98 through 6/30/99.  
Berry, Ruth D., Clinical Assistant Professor, 7/1/98 through 6/30/99.  
Buckles, Diane C., Instructor (part time), 7/1/98 through 6/30/99.  
Chappell, Hazel W., Instructor (temporary), 7/1/98 through 10/31/98.  
Chlebowy, Diane O., Assistant Professor\*, 7/1/98 through 6/30/99.  
Cowley, Jennifer B., Instructor (part time), 7/1/98 through 6/30/99.  
Hardin-Pierce, Melanie G., Instructor (part time), 7/1/98 through 6/30/99.  
Heiser, Regina M., Adjunct Instructor, 7/1/98 through 6/30/99.  
Kloha, Nancy R., Clinical Instructor, 7/1/98 through 6/30/99.  
Lock, Sharon E., Assistant Professor\*, 7/1/98 through 6/30/99.  
Pearson, Martha A., Instructor (part time), 7/1/98 through 6/30/99.  
Plemmons, Suzanne M., Clinical Instructor, 7/1/98 through 6/30/99.  
Stefaniak, Karen A., Adjunct Instructor, 7/1/98 through 6/30/99.  
Thompson, Rebecca R., Instructor (part time), 7/1/98 through 6/30/99.  
Welsh, J. Darlene, Assistant Professor\*, 7/1/98 through 6/30/99.

Graduate School

Wang, Zhigang, Assistant Professor, Graduate Center for Toxicology, 7/1/98 through 6/30/99.

**D. CHANGES**

College of Medicine

Wells, Raymond D. from Assistant Professor (voluntary), Family Practice, to Assistant Professor (part time), Family Practice, 4/8/98 through 6/30/98.

College of Nursing

Wagner, Kathleen D. from Assistant Professor\*, to Assistant Professor (part time), 7/1/98 through 6/30/99.

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\*Special Title Series

**E. TERMINAL REAPPOINTMENTS**

College of Medicine

Wu, Donghai, Assistant Professor, Biochemistry, 7/1/98 through 10/31/98.

**F. LEAVES OF ABSENCE**

College of Allied Health Professions

Gairola, Gerry A., Professor (with tenure), Health Services, sabbatical leave at half salary, 8/1/98 through 6/30/99.

College of Medicine

Schwartz, Richard W., Professor (with tenure), Surgery, sabbatical leave at half salary, 7/1/98 through 6/30/99.

# PR 3A

Office of the President  
April 7, 1998

Members, Board of Trustees:

## PROPOSED AMENDMENTS TO THE GOVERNING REGULATIONS

Recommendation: that the following amendments to the Governing Regulations of the University of Kentucky, which were received by the Board of Trustees for preliminary consideration on March 3, 1998, be approved.

(NOTE: Proposed additions are underlined; proposed deletions have a line through the text).

Amendment #1 - Change Part IV - The University Senate (University System), Page 1 (see attached).

Amendment #2 - Change Part VII - University Organization, Pages 2, 8, 9, 13 and 14 (see attached).

Amendment #3 - Change Part VIII - University System Appointments, Pages 1, 2, 3 and 4 (see attached)

Background: The Southern Association of Colleges and Schools (SACS) has approved the substantive change process mandated by House Bill 1 of the First 1997 Extraordinary Session of the Kentucky General Assembly (the Kentucky Postsecondary Education Improvement Act of 1997). On January 14, 1998, management responsibilities for the Community College System were assumed by the Board of Regents of the Kentucky Community and Technical College System. These proposed Governing Regulations changes place the Lexington Community College under the management of the Chancellor of the Lexington Campus effective July 1, 1998.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

## PART IV

## THE UNIVERSITY SENATE (UNIVERSITY SYSTEM)

The University Senate shall be composed of both elected and ex officio membership.

The elected membership shall number ~~104-113~~, of which ~~85~~ 94 members shall represent the faculty, one member shall represent the emeriti faculty, and 18 members shall represent the student body.

The ~~85~~ 94 elected faculty members shall be apportioned each spring among the colleges and the University Libraries according to the following two equally weighted factors based on data for the preceding fall semester: (1) the number of full-time faculty, except those appointed in the extension series (although they are eligible for election to membership), research title series, Medical Center clinical title series, and visiting series, with the rank of assistant professor or higher in the college or the University Libraries; and (2) the number of full-time students enrolled in the college, computed so that students enrolled in the Graduate School shall be assigned to the college in which they are pursuing their studies. Each elected faculty member shall serve for a term of three years and shall be eligible for re-election for a second consecutive term but ineligible for further re-election until one year has elapsed. If a faculty member of the Senate should at any time during a term become ineligible to serve (e.g., by reason of assuming an administrative title, resigning, or taking official leave which precludes attendance), the administrative head of the group represented shall declare a vacancy and designate that member from the eligible faculty who at the last election received the next highest vote to serve for the duration of the elected faculty member's ineligibility.

The one elected emeritus faculty member shall represent the University of Kentucky Association of Emeriti Faculty. The Association shall elect one senator for a term of three years and an alternate from its membership through an election process approved by the Senate Council. If the elected emeritus faculty member of the Senate at any time during a term becomes ineligible or unable to serve, the elected alternate shall serve the remainder of the term.

The 18 elected student membership shall consist of and represent the members of the full-time student body in the various colleges and the Graduate School of the University System. The colleges and the Graduate School each shall have one student representative. Students with no declared major shall be represented through the College of Arts and Sciences. Each elected student member shall be a junior, senior, or graduate or professional student, or in the case of the Lexington Community College a sophomore student, shall not be on either academic or disciplinary probation, shall serve for a term of one year, and shall be eligible for re-election as

## PART VII

### UNIVERSITY ORGANIZATION

For the purpose of administering the various programs of the University, there shall be established educational and administrative units within the University. All units of the University shall be established, altered, or abolished only on vote of the Board of Trustees. For matters having to do with the organization of the University as it affects academic policies, the Board relies upon the advice of the University Senate along with that of the President. It relies upon the advice of the President concerning administrative organization and powers and responsibilities of the officers of the University.

The educational-administrative organization of the University shall be such as to minimize duplication of effort and to enable the University to operate as a single, closely integrated institution, not as a loose association of colleges and departments. Barriers between educational and administrative units shall not be allowed to interfere with the academic purposes of the institution.

#### A. Educational Organization

##### 1. Definition

The basic organization of the educational units of the University System shall be departments, schools, colleges, graduate centers, and multidisciplinary research centers and institutes.

The unit of the University System for instruction, research, and extension in a defined field of learning is the department, school, or college. All courses shall be given by the regular instructional units. However, interdisciplinary instructional programs may be established to utilize courses and faculty from different departments, schools, and colleges. The chief administrative officer of a department or interdisciplinary instructional program is a chairperson.

The term "school" is used to refer to: (1) the Graduate School; and (2) an administrative unit within a college which is under the general direction of the faculty of that college. The chief administrative officer of a school that is an administrative unit within a college is an associate dean or director.

The Honors Program is an educational unit which is equivalent to a department. Its chief administrative officer is a director who is equivalent to a department chairperson.

A graduate center is an educational unit of an interdisciplinary or multidisciplinary nature, which is equivalent to a department, and is located administratively in the Graduate

School. It is associated exclusively with graduate programs and their attendant courses and research. Its faculty have primary appointments within a college or, in some cases, within the center.

A multidisciplinary research center or institute is an educational unit established for the administration of multidisciplinary programs which are primarily research in nature. Such an educational unit is administratively responsible to the Vice President for Research and Graduate Studies unless the administrative responsibility specifically has been delegated otherwise. The chief administrative officer of a multidisciplinary research center or institute is a director.

In some cases, the terms "bureau" and "office" may be used for units with some research functions, especially when these are not intercollege or interschool in nature.

A college is a major educational unit of the University. Its chief administrative officer is a dean.

The basic organization of the educational units of the Community College System shall be divisions and colleges.

The unit of the Community College System for instruction and community service in related fields of learning is the division. The chief administrative officer of a division is a chairperson.

The individual community colleges are the major educational units of the Community College System. Lexington Community College is a unit of the Community College System; however, it is managed as a unit in the University System of the University of Kentucky and shall be represented in the University Senate. The chief administrative officer of a community college is a president.

## 2. Academic Ranks

Academic ranks in the University System shall consist of instructor, assistant professor, associate professor, professor, and other ranks that are fully or partially equivalent to these recognized ranks.

Academic ranks in the Community College System shall include Instructor in the Community College System, Assistant Professor in the Community College System, Associate Professor in the Community College System, Professor in the Community College System, and other ranks that are fully or partially equivalent to these recognized ranks.

Ranks and special titles and a description of the qualifications for each shall be established by the President after consultation with appropriate administrative and faculty groups, including the Senate Council and/or the Community College Council. Likewise, emeritus



The faculty of a community college may organize a Faculty Assembly for the purpose of making recommendations relating to the professional welfare of its members. It shall prepare a set of rules which shall describe its organization and procedures for the performance of its functions. Full-time faculty members devoting more than fifty percent of their effort to teaching, librarianship, and counseling are eligible for membership in the Faculty Assembly. Other members of the faculty may become members upon invitation of a majority of the members of the Assembly.

The rules of the Faculty Assembly of a community college shall be consistent with the Governing Regulations, the Administrative Regulations, and the Rules of the Senate of the Community College System and shall be adequate to allow the Assembly to perform its responsibilities. Copies of the rules shall be submitted to the president of the college, the Community College Council, and the Chancellor for the Community College System for review and approval before being made operational.

c. Faculties of Divisions

The faculty of a division shall consist of a chairperson and the members of the division who are members of the faculty of the community college.

The division faculty has the responsibility for the internal policies of the division, insofar as these policies do not conflict with those of other divisions, with the rules of the faculty of the college of which it is a part, or with the Rules of the Senate of the Community College System. It should hold regularly scheduled meetings and is authorized, with the approval of the president of the college and the Chancellor for the Community College System, to establish rules for procedure and a committee structure to deal with matters for which it has responsibility. It should establish with the division chairperson and the president of the college procedures to be used within the division in advising on the appointment of new members of the division, promotions, reappointments, terminal appointments, decisions not to reappoint, post-retirement appointments, and the granting of tenure, and the procedures to be used on the division level in faculty performance evaluations and in the preparation of budget requests.

The division chairperson shall preside over all division faculty meetings, except as the division chairperson may delegate this function, and shall be an ex officio member of all division committees. Copies of minutes of division faculty meetings shall be made available to all members of the faculty of the division and the president of the college.

d. Lexington Community College

Faculty organization in the Lexington Community College shall be consistent with paragraphs a, b, and c of this section with the exception that the faculty shall meet on call of the President of the University; the Chancellor of the Lexington Campus shall fulfill the roles of

the Chancellor of the Community College System; the Rules of the University Senate rather than the Rules of the Senate of the Community College System are applicable, and the Community College Council shall have no role in the review of the rules of the Faculty Assembly for the Lexington Community College.

9. Student Participation

Rules of procedure in educational units of the University shall provide for participation of students in the development of educational policies.

B. Administrative Organization

1. Definition

The administrative organization of the University is determined by the educational organization of the University and the teaching, research, service, and other functions of the University. Each administrative officer, other than the President, shall be responsible to the President, directly or through one or more superior officers, for the efficient operation of the organizational unit or functions for which the administrative officer is responsible. The administrative organization is established by the President with the approval of the Board of Trustees. It may be expected to change with increasing size and diversity in functions of the University. The duties of administrative officers reporting directly to the President shall be those delegated by the President and described in the Administrative Regulations. Each administrative officer is expected to recommend to the appropriate next superior officer the administrative organization necessary to carry out assigned duties. The positions of deans and presidents of colleges, directors, and department and division chairpersons, with descriptions of major duties assigned, are described in these Governing Regulations. Major changes in administrative organization must be approved by the Board of Trustees.

Each administrative officer is authorized to establish administrative and/or advisory committees to aid in the performance of assigned functions.

2. Dean of the Graduate School

The Dean of the Graduate School is chairperson of the Graduate Faculty and the Graduate Council. Under the broad direction of the President, the Vice President for Research and Graduate Studies, the Chancellor for the Lexington Campus, and the Chancellor for the Medical Center, the Dean provides general planning, guidance, review, and coordination for all of the University's endeavors in graduate education. The Dean appoints associate members of the Graduate Faculty and recommends to the Vice President for Research and Graduate Studies/appropriate chancellor on the appointment of regular members requiring the approval of the President. The Dean recommends to the Vice President for Research and Graduate Studies/appropriate chancellor on the following actions affecting faculty members who are or

The director of a multidisciplinary research center or institute is charged with the planning, implementation, coordination, and efficient management of the program and activities of the center or institute. The director shall have the same responsibilities as those of a department chairperson relative to faculty with joint appointments and non-faculty personnel in the center or institute. The director shall provide recommendations and advice to appropriate educational unit administrators concerning space, financial, and other resources, as well as the identification and appointment of faculty associates in the center or institute. The director shall submit the core budget request for the center or institute and administer this budget after its approval. In addition, the director may have other responsibilities delegated by the Vice President for Research and Graduate Studies or other specific administrator to whom the center or institute is administratively responsible.

In connection with the above major administrative functions, the director shall seek the advice of the staff of the center or institute, individually or as a group, or of advisory committees that may be appointed by the director of the center or institute or by the administrator to whom the center or institute is administratively responsible. The director shall speak for the center or institute and be an ex officio member of all of its committees.

7. Chairpersons of Interdisciplinary Instructional Programs  
(University System)

The chairperson of an interdisciplinary instructional program shall be a member of one of the academic departments participating in the program.

The chairperson shall be responsible to the dean(s) of the college(s) in which the program is located and advise the dean(s) on staff and other needs of the program in connection with budget planning. The chairperson shall rely upon the advice of a committee of interested faculty drawn from the departments offering the courses composing the curriculum.

8. Presidents of Community Colleges

The president of a community college is the chief administrative officer of the college. Under the general direction of the Chancellor for the Community College System, or in the case of the Lexington Community College under the general direction of the Chancellor of the Lexington Campus, the president is charged with general responsibility for the overall administration of the college. It is the president's function to see that the applicable University of Kentucky Governing Regulations, the Administrative Regulations, and the Rules of the Senate of the Community College System are enforced, and in the case of the Lexington Community College, that the Rules of the University Senate are enforced. The president is the chairperson of the college faculty and an ex officio member of all college committees. Along with the college faculty, the president is responsible for the development and implementation of an instructional program commensurate with the purposes of a comprehensive community college. The president is responsible for the curricula of the college, for the quality of instruction given therein, for the

assignment of duties to all persons, and for the service provided by the faculty of the college, individually and as a whole. The president shall utilize, through the Office of the Chancellor for the Community College System or in the case of the Lexington Community College, through the Office of the Chancellor of the Lexington Campus, the provisions for liaison with colleges and departments of the University System for advisory assistance and services relating to the instructional program.

The president shall review and finalize the performance evaluations recommended by the division chairpersons or other administrative personnel of the college.

The president shall be responsible without delegation for recommendations on salaries, salary changes, appointments, reappointments, terminal appointments, decisions not to reappoint, post-retirement appointments, promotions, and granting of tenure for members of the college or for ultimate action thereon when such authority has been delegated to the president by the Chancellor for the Community College System or by the President of the Kentucky Community and Technical College System, and in the case of the Lexington Community College, the Chancellor of the Lexington Campus, or by the President of the University.

With regard to students, the president is charged with administering the requirements and regulations of the community college concerning the admission, probation, and dismissal of students.

The president shall be responsible for the preparation of the budget request for the college, for the business and financial operation of the college, either assumed or delegated, and for the maintenance and operation of the physical plant of the college.

It is the president's responsibility to relate the college program to the community through relationships with ~~the~~ an advisory board or board of directors through appropriate use of public information media, and by appropriate relationships with community groups and individuals. The president personifies the University in the community and shall endeavor to further constructive relations between college and community.

9. Division Chairpersons (Community College System)

The division chairperson serves as chairperson of the division faculty in the development by the division of policies in regard to such matters as the implementation and revision of programs and courses. The division chairperson assists the president, or the president's designee, in the preparation of the class and teaching schedule for the division. The division chairperson presides over all division meetings, except as the division chairperson may delegate this function, and is an ex officio member of all division committees. The division chairperson has administrative responsibility for implementing the division's program within the limits established by the regulations of the University and the Community College System, the

## PART VIII

### UNIVERSITY SYSTEM APPOINTMENTS

#### A. Administrative Appointments

##### 1. Regular Appointments

The President of the University is appointed by the Board of Trustees with the advice of a joint committee of the Board, faculty, and student body. The committee shall consist of five members of the Board appointed by its chairperson; three members of the full-time teaching and/or research faculty of the University System, selected by a procedure determined by the University Senate; one member of the full time teaching faculty of the Community College System and one full-time student appointed by the chairperson of the Board. The committee shall provide opportunity for discussion between representative administrative, faculty, and student groups and prospective presidential candidates.

The chancellors, vice presidents, chief administrative officers of educational units (deans, directors, and chairpersons), and every administrative and professional employee of the University who is to report directly to the President or whose position is at Level 16 or above in the Administrative and Professional Classification System shall be appointed by the Board of Trustees, acting upon recommendation of the President. Except in the cases of those individuals who report directly to the President, a recommendation from an appointee's superior officer shall be obtained prior to recommending an appointment or reappointment by the Board of Trustees. Non-faculty employees other than those described above may be appointed by the President or, if their employment is to be in a chancellor/vice president sector, by the appropriate chancellor/vice president upon recommendation of the appropriate person or persons superior in rank to the appointees. The President shall report all appointments at Levels 12 through 15 in the Administrative and Professional Classification System to the Board of Trustees. The President and the chancellors/vice presidents may delegate the power to appoint personnel whose appointments are not made by the Board of Trustees to other appropriate administrative officers.

If the Board does not accept the recommendation of the President with respect to an appointment, it shall call upon the President to make another recommendation. Likewise, the President may refuse to approve a recommendation but, in each such case, the President shall ask for a new recommendation. The same principle shall apply at all levels of the administrative organization.

##### 2. Acting Appointments

When vacancies occur in administrative positions other than the presidency, the President or, if the officer is on appointment in a chancellor/vice president sector, the appropriate chancellor/vice president may appoint acting officers to fill the positions until it is possible to make regular appointments by the established procedure. When the officer is one who does not report directly to the President, the President or a chancellor/vice president, as appropriate, shall request a recommendation from that officer's superior. If the officer administers a college, school, department, or a community college the appropriate chancellor/vice president also shall request a recommendation from a committee which includes faculty representation from that unit. Acting appointments shall be for a maximum term of one year; however, reappointment may be made after a satisfactory review. All appointments of acting officers shall be reported to the Board of Trustees.

With the approval of the next higher administrative level, a regular administrative officer may designate a temporary officer to serve in an acting capacity for a period not to exceed four months while the regular officer is away from campus on University business or approved leave.

### 3. Search Committees

Recommendations on the appointment of the chief administrative officers of all educational units of the University shall be made after advice from search committees.

When vacancies in administrative positions are anticipated, search committees shall be appointed sufficiently in advance of the vacancy to avoid the appointment of an acting administrator. When unanticipated vacancies occur, search committees shall be appointed as soon as the established procedures for appointment can be carried out.

Search committees for deans of colleges shall be appointed by the appropriate chancellor/vice president after consultation with the Senate Council.

Search committees for directors of schools shall be appointed by the deans of the colleges after consultation with the appropriate faculty bodies within the colleges.

Search committees for chairpersons of academic departments shall be appointed by the deans of the colleges after consultation with (1) the associate dean or director of the school within the college if the department is in such a school; (2) the faculty of the department; and (3) the Dean of the Graduate School if the department is involved in a graduate program.

Search committees for directors of interdisciplinary institutes shall be appointed, after consultation with the Graduate Council, by the Vice President for Research and Graduate Studies or other specific officer to whom administrative responsibility has been delegated.

A search committee for a president of the Lexington eCommunity eCollege shall be appointed by the Chancellor of the ~~Community College System~~ Lexington Campus after consultation with the faculty of the ~~community eCollege~~.

Search committees for chairpersons in the Lexington Community College shall be appointed by the President of the College after consultation with at least the tenured faculty members of the appropriate divisions.

Search committees shall operate under procedures prescribed by the President after consultation with the Senate Council ~~or the Community College Council~~.

Recommendations of the search committee shall be transmitted to the President through the normal administrative channels, along with the recommendation of the administrative officer responsible for the appointment. Recommendations on the appointment or reappointment of a chairperson of an academic department or division shall be accompanied by written statements from at least the tenured members of the department or division.

4. Terms of Chairpersons and Directors

a. Department Chairpersons

The term of a department chairperson's appointment shall be four years, except in the Colleges of Agriculture, Dentistry, Human Environmental Sciences, Medicine, Nursing, and Pharmacy where it shall be six years. Ordinarily, a department member will be asked to serve as chairperson for only one term. A chairperson may be reappointed, however, when the faculty advisory committee appointed to review the work of the department (AR II-1.0-6) finds that the particular circumstances and needs of the department make such a reappointment desirable. Reappointment beyond the second term may occur under exceptional circumstances when it is deemed to be in the best interests of the University. This practice may vary from discipline to discipline.

b. Chairpersons of Interdisciplinary Instructional Programs  
(University System)

Insofar as applicable, the rules for the appointment and reappointment of a department chairperson shall apply also to the appointment and reappointment of a chairperson of an interdisciplinary instructional program.

c. Division Chairpersons (Lexington Community College System)

The division chairperson shall be appointed for a term of three years and normally shall serve for no more than three consecutive terms. Reappointment beyond the third

term may occur under exceptional circumstances when it is deemed to be in the best interest of the community college and has been recommended by a faculty advisory committee.

d. Directors of Multidisciplinary Research Centers and Institutes  
(University System)

The director of a multidisciplinary research center or institute shall be appointed for a term of six years. A director may be reappointed, however, when an ad hoc committee appointed to review and evaluate the center or institute (AR II-1.0-6) finds that the particular circumstances and needs of the center or institute make such a reappointment desirable.

B. Academic Appointments, Reappointments, Promotions, and Granting of Tenure

All appointments and promotions of faculty to positions which involve (1) an actual or equivalent academic rank of associate professor or professor, (2) tenure, or (3) an initial salary above \$60,000 shall be made by the Board of Trustees, acting upon recommendation of the President. Appointments and promotions of faculty to positions which involve an actual or equivalent academic rank below associate professor and an initial salary not exceeding \$60,000 may be finally approved by the President who shall report such actions (except those relating to graduate teaching and research assistants) to the Board of Trustees. The President may delegate the power to make appointments and promotions that do not require approval by the Board. The President, following consultation with the Senate Council ~~or the Community College Council~~ as ~~pertinent~~, shall establish such review systems as are appropriate to assist the President, or other appropriate administrative officers designated by the President, in making informed judgments concerning academic appointments, promotions, and granting of tenure.



# PR 3B

Office of the President  
April 7, 1998

Members, Board of Trustees:

## AGREEMENT WITH PADUCAH JUNIOR COLLEGE, INC.

Recommendation: that the Board of Trustees authorize the execution of an Agreement with Paducah Junior College, Inc.

Background: On August 19, 1997 the Board of Trustees concurred with the Board of Trustees of Paducah Junior College that Paducah Junior College (PJC) continue to be included in the Community College System as Paducah Community College, pursuant to Section 114 of the Kentucky Postsecondary Education Improvement Act of 1997 (HB 1) enacted by the 1997 Extraordinary Session of the Kentucky General Assembly. Paducah Community College is now managed by the Kentucky Community and Technical College System.

The University continues to cooperate with Paducah Junior College in educational programs beyond the scope of the community college. The attached Agreement covers: (a) engineering education, (b) disposition of proceeds from the sale of the Crisp Building, (c) continuation of joint economic development efforts, (d) additional educational opportunities in conjunction with Paducah Junior College consistent with the mission of the University, and (e) appointment of a liaison between UK and PJC.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

AGREEMENT

THIS AGREEMENT, made and entered into this \_\_\_\_\_ day of \_\_\_\_\_, 1998, by and between the BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY, a public body corporate, existing pursuant to Section 164.100 of the Kentucky Revised Statutes and being the lawful governing body of the University of Kentucky (hereinafter "University"), and the BOARD OF TRUSTEES OF PADUCAH JUNIOR COLLEGE, Paducah, Kentucky, existing pursuant to Section 165.160 of the Kentucky Revised Statutes (hereinafter "PJC").

WITNESSETH

WHEREAS, the University has a statewide mission as a land grant University to provide quality educational opportunities throughout the Commonwealth pursuant to KRS 164.125; and

WHEREAS, the University and PJC have had a thirty (30) year legal commitment to each other recognized and supported by the Kentucky Postsecondary Education Improvement Act of 1997 (hereinafter "Act"), as "previous actions under prior law," KRS 164.593; and

WHEREAS, the University and PJC desire to continue their tradition of cooperation and to formalize the expectations and responsibilities of each to the promotion of postsecondary education opportunities in Paducah, McCracken County and the surrounding area; and

WHEREAS, the Act encourages unique partnerships in the development of education opportunities in the Commonwealth;

NOW, THEREFORE, for and in consideration of the premises and the

mutual covenants stated herein, the parties agree as follows:

1. Engineering Education. The University agrees to continue its role consistent with prior law to provide engineering degree programs on the PJC campus, subject to the continuation of state funding to support the program. This commitment includes providing funding, faculty and support staff, management of programs, curricula, facilities and all other activities to maintain an accredited program of engineering. The University may provide engineering programs beyond those now offered depending upon the needs in the area. The parties also intend that the University will provide a substantial number of continuing education opportunities for the engineering and technical workers in the area.

2. Crisp Building and Proceeds. The Crisp Building, located on Irvin Cobb Drive in Paducah, Kentucky, is scheduled to be transferred to Murray State University. The purpose of the gift of the building to the University was to enhance the presence of the University in the Paducah community. In order to remain faithful to the intent of the donor of the building, the parties agree that any proceeds from the sale of the Crisp Building shall be used to provide debt service for construction of a building on the PJC campus which will further the mission of the University.

3. Economic Development Efforts. The University and PJC have been active partners in economic development efforts in the Jackson Purchase Area of Western Kentucky. The parties agree to continue these pursuits, including the presence of University offices and activities in the Paducah Information Age

Park Resource Center. This presence shall provide opportunities for the connection to and use of the University's Library, computers and technical support systems, teleconferencing facilities and other specialized technology resources and personnel whenever necessary and feasible.

4. Additional Educational Opportunities. Recognizing that the Act encourages shared program delivery among the educational institutions of the Commonwealth (for example, the "Commonwealth Virtual University"), and recognizing the current substantial linkage of educational services existing between the parties, the University and PJC agree to provide additional educational opportunities, including but not limited to health related programs and services, in the Paducah region consistent with the mission of the University, and to seek to meet other present and future economic development needs of the region.

5. Liaison. The University and PJC Board shall jointly appoint and share equally the costs to employ an administrator to serve as a liaison between PJC and the University. This person shall be an employee of the University, but he or she shall be responsible to both PJC and the University for coordinating the activities of the parties in Paducah that are set out in this Agreement, except for engineering education. This person shall have extensive and ready access to all appropriate PJC and University officials. The parties shall by July 1, 1998 establish a specific job description for this person.

6. Duration of Agreement. This Agreement shall continue until either party requests, in writing, that the Agreement be terminated. The

requesting party must provide at least five (5) years advance notice of its desire to terminate this Agreement.

7. Amendment of Agreement. This Agreement may be amended by an affirmative vote of the University and PJC Boards.

8. Severability. The parties agree that if any part of this Agreement is declared void by a court of competent jurisdiction, then the remaining parts of this Agreement shall remain valid and enforceable.

9. Binding Effect. This Agreement shall be binding upon and shall inure to the benefit of the parties and their successors and assigns.

IN TESTIMONY WHEREOF, witness the signatures of the Board of Trustees of the University of Kentucky and the Board of Trustees of Paducah Junior College, each by an officer thereunto duly authorized, as of the day and year first above written.

BOARD OF TRUSTEES OF THE  
UNIVERSITY OF KENTUCKY

By: \_\_\_\_\_  
Edward T. Breathitt  
Chairman

BOARD OF TRUSTEES OF  
PADUCAH JUNIOR COLLEGE

By: \_\_\_\_\_  
Marshall E. Nemer  
Chairman

# PR 3C

Office of the President  
April 7, 1998

Members, Board of Trustees:

## UNIVERSITY RESEARCH PROFESSORSHIPS

Recommendation: that the Board of Trustees approve the naming of Professors Joseph Chappell, Department of Agronomy, and Peter C. Eklund, Department of Physics and Astronomy, as University Research Professors for 1998-99.

Background: In April 1976, the University Senate recommended to the President the establishment of one-year Research Professorships and that priority be given to the goal of establishing permanent Research Professorships as funds became available. At its meeting in May 1976, the University of Kentucky Research Foundation voted to support one-year Research Professorships to be activated in the Fall of 1977. The first Research Professors were named by the Board of Trustees at its meeting on March 8, 1977.

The purpose of the University Research Professorships is to enhance and encourage scholarly research productivity, provide an opportunity for concentrated research effort for selected faculty members, recognize outstanding research achievement by members of its faculty, emphasize its research function, and to publicize its research accomplishments in order that the research function of the University be appropriately recognized and understood.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

# PR 3D

Office of the President  
April 7, 1998

Members, Board of Trustees:

## CHANGES IN UNIVERSITY ALCOHOL POLICY

Recommendation: that the Board of Trustees accept the report from the administration regarding the use of alcohol on the University of Kentucky campus.

Background: On October 21, 1997, the Board of Trustees passed a resolution expressing concern regarding the use of alcohol and directing the administration to examine the issue as it relates to student life at the University of Kentucky.

The administration has taken action to amend the University of Kentucky Alcohol Policy bringing fraternity and sorority houses under the same policies as undergraduate residence halls, requiring all undergraduate housing to be alcohol-free.

In addition, fraternity and sorority houses will be required to have live-in house directors, who will be responsible for enforcing university regulations.

The criteria for the registration of student organizations will be amended to reflect these changes.

The administration will propose a revision to the Code of Student Conduct to make violation of the alcohol policy a violation of the Code of Student Conduct.

The University will strengthen existing alcohol education programs and will strive to improve the social and recreational life of the campus to increase opportunities for alcohol-free activities.

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Action taken:



Approved

Disapproved

Other \_\_\_\_\_

# PR 3E

Office of the President  
April 7, 1998

Members, Board of Trustees:

## PROCEDURE FOR ELECTING BOARD OF TRUSTEE MEMBER FROM NONTEACHING PERSONNEL

Recommendation: that the Board of Trustees approve the following method for electing a nonteaching employee of the University of Kentucky as a member of the Board of Trustees.

1. The Vice President for Fiscal Affairs shall utilize the Human Resource Services Division and establish a process for the nomination of candidates and conduct an election by secret ballot to elect the nonteaching employee for membership on the Board. The Vice President shall certify the result of the election to the President, who shall transmit the result to the Board of Trustees.

2. Staff members eligible to vote and eligible to serve as an elected member of the Board of Trustees shall be those regular, full-time staff of the University of Kentucky, as defined in Staff Personnel Policy and Procedure Administrative Regulations, Number 4.0: Employee Status, excluding the president, vice-presidents, academic deans and academic department chairpersons.

Background: Senate Bill 295 enacted by the 1998 Regular Session of the Kentucky General Assembly added a University of Kentucky nonteaching personnel member to the University Board of Trustees. The statute states that (1) the nonteaching personnel member shall be any full-time staff member, excluding the president, vice-presidents, academic deans, and academic department chairpersons; (2) the staff member shall represent all nonteaching university employees, including but not limited to, building, facilities and clerical personnel; (3) the staff member shall be elected by secret ballot by the nonteaching personnel; (4) the staff member shall serve a term of three years and until a successor is elected and qualified; (5) the staff member is eligible for reelection; and (6) if the staff member ceases to be an employee of the University, he or she is ineligible to continue to serve as a member of the Board.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_



# PR 6A

Office of the President  
April 7, 1998

Members, Board of Trustees:

## NAMING UNIVERSITY BUILDING - MEDICAL CENTER

Recommendation: that the Board of Trustees approve the naming of the heart institute and building the Linda and Jack Gill Heart Institute.

Background: The new heart institute building will be constructed on the University of Kentucky Chandler Medical Center Campus beginning in 1999. The generosity of Linda and Jack Gill have made the dream of a heart institute and a new building for the institute a reality. The Chandler Medical Center supports this effort to recognize the interest and involvement of Linda and Jack Gill in the establishment of the heart institute.

The Committee on Naming University Buildings has made its recommendation to the Chancellor of the Medical Center who recommends to the President and Board of Trustees that the heart institute and building be named the Linda and Jack Gill Heart Institute.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

PR 6B

Office of the President  
April 7, 1998

Members, Board of Trustees:

AGREEMENT BETWEEN UNIVERSITY OF KENTUCKY AND  
KENTUCKY MEDICAL SERVICES FOUNDATION

Recommendation: that the Board approve the attached agreement between the Board of Trustees of the University of Kentucky and Kentucky Medical Services Foundation, Inc. which was negotiated pursuant to the "Board of Trustees Resolution Regarding Medical Practice Plan" adopted on June 20, 1978 and later amended.

Background: The Board action on June 20, 1978 provided that:

The president is authorized to negotiate and execute a contract subject to approval of the Board of Trustees, with an appropriately qualified organizational entity for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under the plan.

Kentucky Medical Services Foundation, Inc., meets the "criteria" specified under Section 3A for the appropriately qualified organization. The attached agreement conforms to the provisions of the June 20, 1978 Board resolution and specifically satisfies the "conditions" set forth in Section 3B.

The format of the attached document modifies the 1997-98 agreement only with respect to dates.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

THIS AGREEMENT made and entered into this 7<sup>th</sup> day of April, 1998 by and between the BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY, a statutory body corporate existing pursuant to Section 164.100 et seq. of the Kentucky Revised Statutes (hereinafter referred to as the University) and KENTUCKY MEDICAL SERVICES FOUNDATION, INC., a non-stock, non-profit corporation formed pursuant to Chapter 273 of the Kentucky Revised Statutes (hereinafter referred to as the Foundation).

WITNESSETH:

Section 1. RECITALS

A. Foundation is organized for the purposes stated in its Articles of Incorporation as a non-stock, non-profit corporation and will use and apply the whole or any part of its income and principal exclusively for charitable, scientific, or educational purposes at or for the benefit of, the University of Kentucky Medical Center.

B. University faculty in the clinical departments or units of the College of Medicine have heretofore executed agreements with Foundation and University, known as "Practice

Agreements and Assignments" whereby said faculty have assigned to Foundation their professionally generated clinical income.

C. The recruitment and retention of the members of the medical faculty of the University will be improved by permitting the said members to supplement, by income from the practice of medicine, the salaries provided by the University.

D. The University recognizes that the medical practice activities of its faculty members can be conducted in a more efficient and economical manner if those faculty members who produce income are permitted, through the medium of the Foundation, to exercise a larger voice in the expenditure of the funds so produced.

E. The University has heretofore established, a "geographic full-time medical service plan", the said geographic full-time plan having been adopted on June 20, 1978, as amended on March 3, 1980, September 15, 1981, June 19, 1984 and June 13, 1995; said action is hereby incorporated by reference as if set out in full herein.

F. The aforementioned action of University's Board of Trustees authorized the University's President to negotiate and execute a contract, subject to the approval of said Board, with an appropriately qualified entity for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under the plan.

NOW THEREFORE, the parties hereto agree as follows:

## Section 2. DEFINITIONS

A. "The Plan" means the geographic full-time medical service plan for the University of Kentucky College of Medicine established by action of the Board of Trustees of said University dated June 20, 1978, as amended on March 3, 1980, September 15, 1981, June 19, 1984 and June 13, 1995.

B. "Clinical Income" means the following income attributable to members of the Plan: (1) all compensation, income and payments (direct or in kind and whether characterized as fees, retainers, or otherwise) for professional services rendered or to be rendered, including, but not limited to those relating to the diagnosis, treatment or evaluation of patients or others and consultation, and (2) all payments whether direct or in kind (excluding actual travel costs) for providing advice, consultation, serving on boards or committees, overseeing, supervising or other participation with any person or entity involved with (a) health or medical care, (b) evaluation or care of patients, (c) drugs, (d) therapy of any kind, (e) accident or disease prevention, (f) health or medical care facilities, (g) programs involving health or medical care or (h) health or medical care of any other type, and (3) witness fees and payments relating to depositions, testimony or other evaluations in the capacity of a witness, and (4) all other income which relates to or would not exist but for the professional education, experience, or training of members of the Plan. The Dean has the authority to approve exceptions to clinical income and is required to document the nature of the exception and the reason. Provided, however, that nothing contained herein shall be deemed to include in the term "clinical income" any item excluded therefrom by paragraph II, B of the plan.

C. "Practice Agreements" means those documents, denominated Practice Agreements and Assignments heretofore executed by certain members of University's faculty, the University, and the Foundation.

D. "University" as used herein, whenever approvals or consents are necessary, shall mean the approval or consent of the President or his or her designee unless the President determines that approval by the Board of Trustees is necessary.

### Section 3. NATURE OF THE AGREEMENT

Pursuant to Paragraph III, A. of the aforementioned action of University's Board of Trustees dated June 20, 1978, as amended on March 3, 1980, September 15, 1981, June 19, 1984 and June 13, 1995, University hereby recognizes Foundation as an "appropriately qualified organizational entity" as described therein for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under University's "geographic full-time medical services plan".

### Section 4. BILLING AND COLLECTION

Foundation agrees that it will accept, pursuant to practice agreements, the assignment of all clinical income by participants in the plan and, in accordance with such assignment, agrees to bill the amount of duly established charges for professional services to parties determined to be responsible for payment of such charges.

Section 5. PAYMENTS TO UNIVERSITY

A. In accord with paragraph II, D. 2 of the plan, the parties recognize that Foundation must reimburse University for actual expenses incurred by it which are attendant to the production of clinical income.

B. Foundation agrees to pay to University, as reimbursement for the expenses attendant to the production of clinical income, University's actual annual costs, as determined by clinical department or unit expenditures as reimbursement of direct clinic personnel expenses and other current operating expenses, in direct support of the operation of the Kentucky Clinics.

Payments hereunder shall be in the following manner:

The University will submit statements of actual expenditures for each month by the 15th day of the succeeding month. KMSF will reimburse the University of Kentucky by the 22nd day of that month.

C. In addition to the above, Foundation agrees that it will make an unrestricted grant to the University of an amount which is not less than the plan component of income for each member as described in paragraph II, C., 2 of the plan. Said grant will be paid in monthly installments.

D. Foundation agrees that it will make an unrestricted grant to the University for non clinic expenses such as other department personnel and current department operating expenses.

Payments hereunder shall be made in the following manner:

University will submit statements of actual expenditures for each month by the 15th day of the succeeding month. KMSF will make a grant to the University of Kentucky by 22nd day of that month.

Section 6. REIMBURSEMENT OF FOUNDATION

A. The parties recognize that University receives certain services from Foundation, for which it is due reimbursement as specified herein below.

B. University agrees to pay to Foundation on behalf of members of the Department of Diagnostic Radiology for the provision of x-ray interpretation services to the University student Health Service during the period July 1, 1998 - June 30, 1999 a sum not to exceed Twenty One Thousand Seven Hundred Ninety Five (\$21,795.00) Dollars.

C. University agrees to pay Foundation for provision of health services at Kentucky Clinic Prestonsburg by University Health Services an amount equal to \$1,000.00 per annum for each physician providing services at that site and \$2,000.00 per annum for the local medical director providing services at that site, payable in two (2) equal installments on July 15 and January 15 of each fiscal year.

D. University agrees to pay to Foundation on behalf of the clinical faculty members of the College of Medicine, for provision of specified physical examinations to certain University employees, a fee for each examination.



E. University agrees to pay Foundation on behalf of clinical faculty members of the Department of Pathology and Laboratory Medicine, for provision of pathology services to the University Health Service, a fee of \$6.00 per patient for pap smears, whether normal or abnormal, for the professional component. All other pathology professional services are to be reimbursed at 50% of the usual and customary fee for each service rendered.

F. University will make payment to Foundation quarterly, on the last calendar day of each quarter beginning September 30, 1998, for services as described in paragraph B and C hereinabove. University will make payment to Foundation monthly, on the last calendar day of each month beginning July 31, 1998, for services as described in paragraphs D and E.

#### Section 7. COST SETTLEMENTS

A. The parties recognize that the provision of medical services in the current competitive environment may at times require a joint effort on the part of the University and University Hospital and the Foundation in the formation of new delivery systems. These efforts may at times require the sharing of cost between the parties. Formulas for the sharing will be developed and mutually agreed to by the parties, which may result in payment by the University to the Foundation, or by the Foundation to the University.

Examples of such joint efforts include but are not limited to:

1. Discounts to University of Kentucky employees and their dependents as part of the University of Kentucky Health Plan.

2. The operation of the UK Health Care Plans.
3. The operation of certain marketing efforts.
4. Payment to the Fund for Advancement of Education and Research for the Medical Center for services rendered to clinical departments or units of the College of Medicine by members of practice plans of the Colleges of Allied Health, Nursing or Pharmacy.

B. The parties also agree that data exchanges between the computer systems, regardless of mode, of the University and the Foundation will be payable at cost by the receiving party, or offset by an exchange of data deemed by both parties to be payment-in-kind.

C. Foundation may, on behalf of the members of the clinical departments of the College of Medicine, acquire real and/or personal property to be utilized in the production of clinical income resulting from teaching, research and service functions performed by the members of the clinical departments. Acquisition of said real and/or personal property must be authorized by the Board of Directors of Foundation.

#### Section 8. ACADEMIC ENRICHMENT FUND

A. Consonant with Section II, D. 3 of the plan adopted by University's Board of Trustees on June 20, 1978, as amended on March 3, 1980, September 15, 1981, June 19, 1984 and June 13, 1995, Foundation agrees that it will pay to The Fund for Advancement of Education and Research in the University of Kentucky Medical Center (hereinafter Fund), an amount equal

to one and one-half (1.5%) percent of the actual clinical income collected by said Foundation. The Fund will deposit said payments in an account maintained for the purpose of providing an academic enrichment fund to be used by the Dean of the College of Medicine in support of the programs of said College and for related purposes. Amounts due hereunder will be computed by Foundation on a monthly basis as income is received and remitted to the Fund within twenty-five (25) days after the close of each monthly accounting period.

B. Foundation further agrees that it will deposit, in an account maintained by Foundation, on the same basis as provided in subsection A. hereinabove, an additional amount equal to three and one-half (3.5%) percent of the actual clinical income collected by said Foundation, said funds to be used by the Dean of the College of Medicine for the enrichment of the programs of the College and for related purposes in his sole discretion.

#### Section 9. PARTICULAR COVENANTS OF THE FOUNDATION

A. Foundation's Articles of Incorporation, as filed with the Secretary of State of the Commonwealth of Kentucky on June 19, 1978, as amended have been inspected by the University as a condition precedent to University's entry into this agreement. Foundation covenants that it will not, absent the written agreement of the University, amend or otherwise alter said Articles or adopt any by-law or other operating practice which would effectively alter the character of said Foundation.

B. Foundation covenants it will not engage in the practice of medicine, nor will it

without the written consent of the University solicit, administer, receive, perform, or accept any gift, grant, devise, bequest, contract or other arrangement with or from any governmental unit, entity, or subdivision or any person, corporation, partnership, association or other entity whatsoever except as specifically permitted herein or as necessary for normal business operations. Provided, however, that nothing contained herein shall be construed to prohibit the Foundation (subject to the approval of the Dean of the College of Medicine) from negotiating and executing contracts providing exclusively for professional services by faculty who have executed practice agreements.

C. Foundation covenants that it will not without the written consent of the University merge with any other corporation or convey all or substantially all of its assets to any other corporation, partnership, or other entity except as specifically provided herein and that, in the event of its dissolution its Board of Directors shall cause its assets to be applied and distributed as follows: (a) all liabilities and obligations of the corporation shall be paid, satisfied and discharged, or adequate provisions shall be made therefore; (b) assets held by the corporation upon a condition which occurs by reason of the dissolution, shall be returned, transferred or conveyed in accordance with such requirements; and (c) all of the remaining assets of the corporation shall be transferred or conveyed to the Board of Trustees of the University of Kentucky, or its successor, to be used for medical education and research, if said University or its successor, shall qualify as a public corporation and an instrumentality of the State of Kentucky or as an exempt organization under Section 501(c) (3) of the Internal Revenue Code of 1954, as

amended; and if said University or its successor shall not so qualify, then to some other organization or organizations organized and operated exclusively for charitable, educational, or scientific purposes in the field of medicine as shall at the time qualify as an exempt organization or organizations under Section 501(c) (3) of the Internal Revenue Code of 1954, as amended.

#### Section 10. MEMBERSHIP AND BENEFITS

A. University agrees that it will assist the Foundation to enforce the terms of the Practice Agreements entered into by members of University's clinical departments of its College of Medicine by requiring adherence to said agreements as a condition of continued employment as a member of University's faculty.

B. University agrees that it will require, as a condition of employment as a member of University's faculty in a clinical department or unit that members of its faculty execute Practice Agreements and Assignments in the form of same which may be modified by mutual agreement of the parties hereto from time to time.

C. Foundation agrees that it will not, absent the written consent of University, bill for, collect or administer any item of income for any person or entity not holding membership in the plan, nor will it permit persons not members of the plan to serve as voting members on its Board of Directors, nor will it provide any benefit or other thing of value to any person or entity not a member of the plan except for (1) termination benefits to former members as provided by action of Foundation's Board of Directors, (2) incidental benefits indirectly accruing to employees of the

Medical Center by virtue of Foundation's activities, (3) students and House Staff of University, (4) payment to nurses incident to their recruitment to the University Medical Center, (5) education and technical training costs of persons involved in patient care activities (6) education and technical training costs of persons indirectly involved in patient care activities if approved on a case by case basis by the Dean of the College of Medicine or the Chancellor for the Medical Center, or (7) to persons who engage the services of the Foundation pursuant to the provisions of Section 22. Additional Activities, or (8) to persons whose employment is authorized by Section 11.B., or (9) payments in the ordinary course of its business.

D. In order to provide University of Kentucky Health Plan patients with a unified bill, Foundation is authorized to bill and collect the following items: clinic Pharmacy charges, patient deductible and non-covered items, fees generated by the faculty in the clinical practice plans of the colleges in the Medical Center and Oral Surgery fees. The collection and billing shall be in accord with that certain contract entered into between the University and the Foundation.

E. In certain contractual relationships for the provisions of patient care services it may be necessary or advantageous to present a unified billing, incorporating charges from a University patient care organizational entity(ies) and Foundation members. In such cases, the Foundation is authorized to bill and collect on behalf of the University patient care organizational entity(ies) provided a proposed unified billing arrangement has been developed and agreed to by the parties and has been reviewed by University legal counsel.

Examples of such unified billing include but are not limited to:

1. Charges for hospital and physician services under the terms of an agreement with Humana or Healthwise Health Care Plans for the provision of kidney transplantation services.
2. Hourly charges for physician, dental, and nursing services rendered at the Family Care Center under the terms of an agreement with the Lexington-Fayette Urban County Department of Social Services.
3. University of Kentucky Health Care Plans.

F. Foundation shall assume responsibility for the costs of drugs and supplies to be used in the patient care programs in the clinics and is hereby authorized to bill, collect, and deposit in foundation accounts revenue from these non ancillary items.

G. Foundation agrees that it will strictly enforce the terms of its Practice Agreements and Assignments, report promptly to University in the event any individual fails or refuses to abide thereby and join with University in any appropriate action, in law or equity, necessary to secure compliance therewith.

#### Section 11. PERSONNEL

The parties recognize that the Foundation must employ various individuals to carry out its functions hereunder; however, the parties also recognize, and hereby declare their intention to adhere to, those provisions of the plan adopted by University's Board of Trustees on June 20, 1978, as amended on March 3, 1980, September 15, 1981, June 19, 1984 and June 13, 1995,

particularly those portions which provide that University must retain responsibility and authority for the appointment of faculty and house staff, the determination of institutional staffing patterns and the maintenance of balance among programs and their component activities.

Accordingly, with respect to employment of personnel by Foundation, the parties agree as follows:

A. Foundation staff

The Foundation may employ an executive director and such assistants, including secretarial and clerical personnel as may be determined by its Board of Directors to be necessary or appropriate to permit said Foundation to bill and collect professional fee income, to administer its funds in accord with the terms of the geographic full-time plan, and to fulfill any other authorized activities of Foundation.

The Foundation is authorized to house computer equipment as may be required to communicate with its central computer and house sufficient employees in the Kentucky Clinic Buildings for the purpose of providing billing and financial information to patients of University physicians who are members of the plan and other activities as mutually agreed. In addition, Foundation is also authorized to utilize designated storage space in the basement of the Kentucky Clinic Building for information necessary to the billing function.

B. Other personnel

During the term of this contract Foundation agrees that it will not have any employees other than the employees authorized in Section 11. A. to perform services in University's patient



care or college programs. Provided, however, that this provision shall not be construed to prohibit specific agreements between the parties whereby Foundation will employ personnel for service duties which generate income, provided, however, that such professionals may be employed only upon the recommendation and approval of the Dean of the College of Medicine and approval of same by the Chancellor for the Medical Center, with payment of salary and related costs to be from income produced by such professional activity.

C. Equal opportunity-affirmative action

Foundation agrees that it will not discriminate against any employee or applicant for employment because of race, color, religion, sex, national origin, age, handicap or disability . The Foundation agrees that it will take affirmative action to ensure that applicants are employed and employees are treated during employment, without regard to their race, color, religion, sex, national origin, age, handicap, or disability. Such action shall include, but not be limited to the following: employment, upgrading, demotion or transfer, recruitment or recruitment advertising; layoff or termination; rates of pay or other compensation; and selection for training. The Foundation agrees that it will, in all solicitations or advertisements for employees, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, national origin, age, handicap, or disability. The Foundation agrees that it will indemnify and save harmless the University, the Board of Trustees of the University including the individual members thereof, officers, agents and employees of the University by reason of any action by law or equity or by reason of any administrative proceeding initiated by any

person arising out of any such employment action or practice of Foundation, including the payment of attorney fees and other costs of such action or proceeding.

#### Section 12. USE OF UNIVERSITY FACILITIES

The parties recognize that paragraphs III, B. 4, b, d, g and i of the "geographic full-time plan" adopted by University's Board of Trustees on June 20, 1978, as amended on March 3, 1980, September 15, 1981, June 19, 1984 and June 13, 1995, require that institutional control be maintained with respect to direction and supervision of institutional activities and programs, assignment and use of space in institutional facilities, determination of goals, objectives and priorities and the maintenance of balance among programs and their component activities.

Accordingly, Foundation and University agree that University will provide space within the University Hospital, Kentucky Clinic and other space officially designated for the conduct of the patient care operations.

With respect to any other facilities which University may from time to time provide to Foundation, Foundation shall be deemed a licensee without any property right whatsoever in said premises or facilities and shall, upon demand of University, vacate same.

#### Section 13. MEDICAL RECORDS

The parties agree that all medical records relating to the care of patients in University facilities or as a part of University programs, are and shall remain the property of University and

that Foundation has no right or property interest therein. Provided, however, that nothing herein shall be construed to limit access to said records by members of University's faculty, staff, and students pursuant to existing University procedures. Provided, further, that University agrees to provide or make available to Foundation such information and data from patient records and program data maintained by university as may be necessary for Foundation to perform its billing and collection functions herein provided, including such information and data as may be necessary to meet the requirements of third-party or other payers as the basis for payment for services.

#### Section 14. INSPECTION AND AUDIT

A. Foundation agrees that, in accord with paragraph II, B. 1, of the action of University's Board of Trustees dated June 20, 1978, as amended on March 3, 1980, September 15, 1981, June 19, 1984 and June 13, 1995, its books, records and accounts are open at all reasonable times to inspection and audit by appropriately designated University representatives.

B. The parties agree that the University's Internal Auditors may conduct an audit of Foundation's operations and accounts for the period ending June 30, 1998 and such other audits, including audits to determine compliance with this agreement, the terms of the action of University's Board of Trustees on June 20, 1978, as amended on March 3, 1980, September 15, 1981, June 19, 1984 and June 13, 1995, the terms of the departmental agreements referred to in Section 20 hereof, as may be deemed necessary or advisable by the University of Kentucky

Controller and Treasurer, and that Foundation's agents and employees will cooperate to the fullest extent possible with University's auditors designated to conduct same. Further, the parties agree that a firm of Certified Public Accountants, mutually agreeable to the parties, will be employed by the Foundation to perform an independent audit of the Foundation's books, records and accounts for the year ended June 30, 1998.

C. The Parties agree that Foundation will be furnished information by University sufficient for Foundation to verify the nature and detail of expenditure of funds paid to University under the provisions of Section 5. B hereinabove.

Foundation agrees that it will furnish to University the following reports.

Annually

Audited Financial Statements to include:

Opinion of Independent Certified Public Accountants

Balance Sheet

Statement of Changes in Fund Balances

Statement of Current Revenues and Expenditures

Supplementary Schedules

Quarterly

Financial Statements to include:

Balance Sheet

Statement of Operations

Gross Billings

Collections

Financial Allowances

Bad Debts

Aging Trial Balance of Accounts Receivable by:

Patient liability

Third party payer

Reports of Delinquent Account Activity upon written request of University Treasurer  
to include:

Referrals

Collections

#### Section 15. DEFAULT

A. In the event of any failure of Foundation to pay any sum due to University hereunder within thirty (30) days after written notice of such default shall have been mailed to Foundation, or any failure to perform any other of the terms, conditions or covenants of this agreement to be observed or performed by Foundation for more than thirty (30) days after written notice of such default shall have been mailed to Foundation, or if Foundation shall become bankrupt or insolvent, or file any debtor proceedings, or take or have taken against

Foundation in any court pursuant to any statute either of the United States or of any State a petition in bankruptcy or insolvency or for reorganization or for the appointment of a receiver or trustee of all or a portion of Foundation's property, or if Foundation makes an assignment for the benefit of creditors, or petitions for or enters into an arrangement therewith, then University may terminate this agreement. Provided however, that should any default occur which cannot reasonably be corrected within such thirty (30) day period then Foundation shall have such additional time to correct said default as may be reasonably necessary if Foundation promptly initiates, and expeditiously carries out, appropriate action to correct such default.

B. University may at its election terminate this agreement with respect to any department without termination of the entire agreement for the same reasons and in the same manner as provided hereinabove.

#### Section 16. PROFESSIONAL LIABILITY

Nothing contained herein shall be construed to provide that any member of University's clinical faculty, house staff or student is acting as an agent of Foundation when providing care to patients in University facilities or as a part of an approved University program. University agrees that, when so acting, said faculty, house staff and students shall be considered agents of said University and that payment of claims or judgments may be made on their behalf from the fund provided pursuant to KRS 164.941 or other University funds.

Section 17. MANAGEMENT AND INVESTMENT POLICIES

A. The parties recognize and agree that the reimbursement of University costs incurred attendant to the production of clinical income and the provision of a sum equal to ten (10%) percent (which sum is inclusive of the (5%) five percent described in Sections 8A. and B.) of Foundation's gross clinical income received for the purpose of providing an academic enrichment fund for University's College of Medicine constitute the second and third charges, respectively, upon Foundation's gross income, the first charge being Foundation's ordinary and necessary expenses incurred for costs of administration and in billing and collecting said income and providing for the central administration of Foundation.

B. Foundation agrees that funds deposited in a separate account pursuant to Section 8, paragraph B, Academic Enrichment Fund and the separate account pursuant to its contribution for self-insurance identified in Section 18, paragraph B shall be invested only in the following: (1) direct obligations of the United States Treasury; (2) other appropriate securities issued by federal agencies (including the Federal National Mortgage Association, the Export Import Bank, Federal Intermediate Credit Bank, Federal Home Loan Banks, Federal Land Banks); and (3) certificates of deposit collateralized by direct obligations of the federal government, federal agency obligations which qualify for direct investment under this recommendation, general obligations of the Commonwealth of Kentucky or obligations of the University of Kentucky.

Foundation shall be entitled to the investment income derived from said account, provided however investment income derived from balances attributed to the academic

enrichment fund identified in subparagraph A. and Section 8, paragraph B. hereinabove shall be credited to said account.

Section 18. PROVISION OF PROFESSIONAL LIABILITY INSURANCE

A. Under the professional liability insurance program, Foundation agrees that it will pay the University, as reimbursement, for its pro rata share of the malpractice contributions as determined by the Medical Malpractice Claims Committee, of the costs of the following: (i) payments required pursuant to KRS 164.941 in one (1) lump sum payment within five (5) working days of receipt of amount due, (ii) costs of claims administration in twelve (12) equal installments the first said installment being due and payable on July 31, 1998 and monthly thereafter until June 30, 1999, and (iii) its pro rata share of the costs of excess commercial insurance within five (5) working days of receipt of amount due.

B. In addition, Foundation has agreed that between July 1, 1998 and June 30, 1999, if required by the medical malpractice claims committee it will cause to be deposited in a separate account within the Foundation its pro rata share of the malpractice contributions as determined by the Medical Malpractice Claims Committee of the additional contributions for self insurance, said account together with interest accruing thereto to be held solely for the purpose of payment of legal fees, settlements, judgments arising for liabilities defined in KRS 164.941(3) and for lump sum payments referenced in previous paragraph 18A. No moneys in said account shall be expended for any other purpose than as provided in this Section without the written agreement



of University, nor shall such moneys be encumbered, pledged or otherwise hypothecated. The moneys in said account shall be invested only as provided in Section 17 hereof. Payment to the separate account may be in equal installments, provided that the full pro rata share of the malpractice contributions as determined by the Medical Malpractice Claims Committee shall be deposited by June 30, 1999.

C. In sum, Foundation agrees to pay in both assessments and contributions of its pro rata share of the malpractice contributions as determined by the Medical Malpractice Claims Committee of the total program costs of 1998-99 as determined by KRS 164.941 and the Medical Malpractice Claims Committee.

#### Section 19. ADMINISTRATION OF DEPARTMENTAL FUNDS

A. Foundation, after making provision for (1) the costs of its administration and costs relating to billing and collection, (2) reimbursement of University expenses pursuant to Section 5 hereof, (3) the College of Medicine Academic Enrichment Fund pursuant to Section 8 hereof and (4) provision of professional liability insurance pursuant to Section 18 hereof, and (5) provision of payment of actual expenses incurred by it which are attendant to the production of clinical income, and (6) such reserves as are reasonably determined by the Foundation's Board of Directors, and (7) other obligations which are agreed to by the Foundation and are made pursuant to any agreement with, at the written request of, or ratified in writing by the University of Kentucky, agrees that it will maintain its accounts in such a manner that income attributable to

the professional clinical activities of each of the following departments or divisions shall be shown separately on books maintained by the Foundation from any other fund or funds held by said Foundation:

Anesthesiology  
Clinical Program Units  
Diagnostic Radiology  
Emergency Medicine  
Family Practice  
Kentucky Clinic Frankfort  
Kentucky Clinic Hazard  
Kentucky Clinic Prestonsburg  
Kentucky Clinic South  
Internal Medicine  
Neurology  
Ob/Gyn  
Ophthalmology  
Pathology and Laboratory Medicine  
Pediatrics  
Preventive Medicine & Environmental Health  
Psychiatry  
Radiation Medicine  
Physical Medicine and Rehabilitation  
Surgery

B. Thereafter, Foundation agrees that it will expend the funds maintained by it in the aforementioned accounts only in accord with the departmental or divisional agreement relating to

each department or division. Said departmental agreements are recognized as a material portion of this agreement. Therefore the parties agree that University shall retain custody of all funds accruing to the account of departments for which an approved departmental agreement has not been executed. Said departmental or divisional agreements may be amended from time to time, as provided in said agreements, with the approval of the Dean of the College of Medicine.

#### Section 20. NOTICES

All notices, demands and requests hereunder shall be in writing and shall be given by United States Registered or Certified Mail or by messenger delivery, in the case of University to:

Vice Chancellor for Clinical Services  
MN-150  
University Medical Center  
800 Rose Street  
Lexington, Kentucky 40536-0084

In the case of Foundation to:

Kentucky Medical Services Foundation, Inc.  
729 South Limestone  
Lexington, Kentucky 40508

Each party may from time to time change its address for purposes of notice by giving to the other party notice of such change of address. Any notice, demand or request given by United States Registered or Certified Mail, as provided herein, shall be deemed served on the date it is

deposited in the United States mails properly addressed and with postage fully prepaid.

#### Section 21. RECORDS ACCESS

Pursuant to Public Law 96-499, Section 952, the Foundation agrees to make available upon written request from the Secretary of Health and Human Resources, the Comptroller General, or the Department of Human Services of the State of Kentucky or any of their duly authorized representatives, access to this contract and all other service agreements written or oral entered into or renewed since December 5, 1980, and access to books, documents, and records necessary to verify costs of services provided by such arrangements exceeding \$10,000 in a 12-month period. Access will be permitted until four years have expired after completion of services furnished, and in accordance with the applicable federal regulations.

If the Foundation carries out any of the duties under this contract through a sub-contract with a related organization, with a value or cost of \$10,000 or more over a 12-month period, such sub-contract shall contain a clause to the effect that until the expiration of four years after the furnishing of such services pursuant to the sub-contract, the related organization shall make available, upon written request from the Secretary or Comptroller General, or any of their duly authorized representatives, the sub-contract, books, documents and records of such organization that are necessary to verify the nature and extent of such costs.

#### Section 22. ADDITIONAL ACTIVITIES

The University recognizes that in the changing health care delivery environment, the future condition of the University of Kentucky Medical Center and the University of Kentucky College of Medicine will depend on adjusting to those changes. The Foundation recognizes that it can assist the University to make these adjustments and that it should do so when such assistance can be provided without having a material negative impact on the Foundation's primary duty to bill and collect on behalf of its members. Thus, subject only to the limitation that the Foundation not engage in the practice of medicine or duplicate other activities of the University of Kentucky Medical Center, the Foundation with the prior written approval of the University shall be entitled and is authorized to engage in and pay for the expenses of business activities of any and/or all types, (directly or indirectly, as an owner, investor or through a wholly or partly owned subsidiary, pursuant to a contract and/or joint venture and/or in any other manner) including but not limited to the provision of administrative services, a Management Services Organization (MSO), and other services to individuals and entities within and/or outside the Plan. It is also authorized with prior written approval of the University to incur obligations in connection therewith, as contemplated by or authorized pursuant to this Agreement. The University shall determine whether duplication of activities exists and a means for resolution of same.

#### Section 23. TERM

The term of this agreement shall begin on July 1, 1998 and end on June 30, 1999.

IN WITNESS WHEREOF, the parties hereto have executed this agreement, pursuant to proper authorization of their respective governing boards, as of the day and year first above written.

BOARD OF TRUSTEES OF  
THE UNIVERSITY OF KENTUCKY

BY: \_\_\_\_\_  
Charles T. Wethington, Jr.  
President  
University of Kentucky

ATTEST: \_\_\_\_\_

KENTUCKY MEDICAL SERVICES  
FOUNDATION, INC.

BY: \_\_\_\_\_  
Frank C. Miller, M.D.  
President

ATTEST: \_\_\_\_\_

RECOMMENDED BY:

\_\_\_\_\_  
James W. Holsinger, Jr., M.D., Ph.D.  
Chancellor for the Medical Center

\_\_\_\_\_  
Emery A. Wilson, M.D.  
Vice Chancellor for Clinical Services

# FCR 1

Office of the President  
April 7, 1998

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE  
UNIVERSITY OF KENTUCKY FOR THE EIGHT MONTHS ENDED  
FEBRUARY 28, 1998

**Recommendation:** that the Board of Trustees accept the University of Kentucky Consolidated Financial Report for the Eight Months Ended February 28, 1998.

**Background:** The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Health Care Collection Service.

As of February 28, 1998, the University had realized income of \$746,710,000 representing 72% of the 1997-98 estimate of \$1,035,045,000. Expenditures and commitments total \$676,812,000 or 65% of the approved budget of \$1,035,045,000.

Effective January 14, 1998 the Board of Trustees pursuant to the direction of the General Assembly (The Kentucky Postsecondary Improvement Act of 1997) delegated to the Board of Regents of the Kentucky Community and Technical Colleges System (KCTCS) the management responsibilities for the University of Kentucky Community College System (UKCCS) except for the Lexington Community College. This delegation of management responsibilities to KCTCS includes management of facilities and grounds, assets, liabilities, revenues, personnel, programs, financial and accounting services and support services. Governmental Accounting and Financial Reporting Standards provide that the financial operations of the UKCCS no longer be included in the University of Kentucky financial reports. The Interim Financial Report for the Eight Months Ended February 28, 1998 does not include the UKCCS 1997-98 Operating Budget of \$173,609,000 or the following UKCCS account balances:

Assets	\$297,083,000
Liabilities	\$127,080,000
Fund Balances	\$170,003,000
Revenues and Appropriated Fund Balances	\$144,924,000
Expenditures and Commitments	\$128,376,000

Amounts for the 1996-97 Fiscal Year are not affected by this change and are historically accurate.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

**CONSOLIDATED BALANCE SHEET**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FEBRUARY 28, 1998**  
with comparative totals for February 28, 1997  
(in thousands)

	1997-98					1996-97	
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
<b>ASSETS</b>							
Cash and cash equivalents	\$ 114,763	\$ 52,488	\$ 4,904	\$ 155	\$ 120,375	\$ 292,685	\$ 331,628
Notes, loans, and A/R (less bad debt allowances of \$12,275)	116,503	16,026	20,135	555	2,484	155,703	143,651
Investments	35,704	83,645		203,503	44,049	366,901	356,107
Property, plant, and equipment					1,185,455	1,185,455	1,328,053
Inventories and other	15,612	63				15,675	15,336
<b>Total Assets</b>	<b>\$ 282,582</b>	<b>\$ 152,222</b>	<b>\$ 25,039</b>	<b>\$ 204,213</b>	<b>\$ 1,352,363</b>	<b>\$ 2,016,419</b>	<b>\$ 2,174,775</b>
<b>LIABILITIES AND FUND BALANCES</b>							
<b>Liabilities</b>							
Accounts payable	\$ 27,042	\$ 2,931	\$ 318		\$ 543	\$ 30,834	\$ 23,736
Employee withholdings and deposits		29,688				29,688	32,649
Other liabilities	6,908	2,882			3,590	13,380	10,835
Liability for self insurance	48,034					48,034	37,309
Deferred income	6,317	14,189				20,506	18,618
Bonds payable					214,793	214,793	330,534
Capitalized lease obligation					35,046	35,046	34,101
Net deferred revenues and appropriated fund balances	75,179	575				75,754	96,526
<b>Total Liabilities</b>	<b>163,480</b>	<b>50,265</b>	<b>318</b>		<b>253,972</b>	<b>468,035</b>	<b>584,308</b>
<b>Interfund Balances</b>	<b>(18,264)</b>	<b>55,643</b>			<b>(37,379)</b>		
<b>Fund Balances</b>							
<b>Current unrestricted</b>							
Working capital	127,474					127,474	101,686
Future operating purposes	7,624					7,624	9,504
Other	2,268					2,268	4,343
<b>Current restricted</b>							
Loan		46,314	24,721			46,314	42,996
True endowments				\$ 127,429		127,429	109,794
Term endowments				9,361		9,361	11,189
Quasi endowments				67,423		67,423	61,696
<b>Plant</b>							
Retirement of indebtedness					30,002	30,002	41,502
Renewal and replacement					31,115	31,115	43,465
Allocated for designated projects					163,158	163,158	218,205
Net investment in plant					911,495	911,495	922,213
<b>Total Fund Balances</b>	<b>137,366</b>	<b>46,314</b>	<b>24,721</b>	<b>204,213</b>	<b>1,135,770</b>	<b>1,548,384</b>	<b>1,590,467</b>
<b>Total Liabilities and Fund Balances</b>	<b>\$ 282,582</b>	<b>\$ 152,222</b>	<b>\$ 25,039</b>	<b>\$ 204,213</b>	<b>\$ 1,352,363</b>	<b>\$ 2,016,419</b>	<b>\$ 2,174,775</b>



**CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE EIGHT MONTHS ENDED FEBRUARY 28, 1998**  
with comparative totals for February 28, 1997  
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	<b>1997-98</b>				<b>1996-97</b>	
	Original Budget	Revised Budget	Realized To Date	%	Realized To Date	%
<b>General Fund</b>						
(1) State appropriations	\$ 277,693	\$ 280,123	\$ 210,807	75	\$ 259,461	75
(2) Student fees	100,558	100,770	96,683	96	122,554	94
(3) Federal appropriations	15,186	15,186	10,492	69	9,817	64
(4) County appropriations	6,463	6,736	3,557	53	3,844	59
(5) Endowment income	835	835	418	50	386	47
(6) Investment income	8,362	8,362	7,943	95	7,568	88
Gifts and grants						
(7) Affiliated corporations	12,450	12,450	5,890	47	5,612	49
(8) Other	58,878	56,446	28,346	50	30,892	55
(9) Sales and services	50,506	53,367	29,351	55	27,341	60
(10) Fund balance	10,848	17,527	17,527	100	20,952	100
(11) Total General Fund	541,779	551,802	411,014	74	488,427	76
(12) Auxiliary Enterprises	33,261	33,511	21,922	65	28,465	66
(13) Restricted Funds	58,767	59,335	32,733	55	60,037	66
(14) Affiliated Corporations	137,393	137,563	100,933	73	98,342	70
<b>Hospital</b>						
(15) Revenues	252,834	252,834	180,108	71	165,190	73
(16) Fund balance						
Total Revenues and						
(17) Appropriated Fund Balances	<u>\$ 1,024,034</u>	<u>\$ 1,035,045</u>	<u>\$ 746,710</u>	72	<u>\$ 840,461</u>	73

**CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE EIGHT MONTHS ENDED FEBRUARY 28, 1998**  
with comparative totals for February 28, 1997  
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1997-98			1996-97		
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(1) Instruction	\$ 224,351	\$ 224,763	\$ 137,173	61	\$ 175,725	64
(2) Research	130,570	131,087	80,102	61	81,281	62
(3) Public service	137,247	139,274	85,079	61	86,659	61
(4) Academic support	66,516	67,996	38,837	57	45,530	59
(5) Student services	18,636	20,388	15,978	78	18,397	66
(6) Institutional support	38,378	42,140	28,415	67	28,637	56
(7) Student financial aid	36,086	36,725	30,851	84	58,301	93
(8) Operation and maintenance	38,043	38,215	22,938	60	30,860	62
(9) Mandatory transfers (debt service)	21,575	21,575	21,575	100	32,932	100
(10) Hospital	252,771	252,771	169,524	67	150,312	66
(11) Auxiliary enterprises	59,861	60,111	46,340	77	45,360	66
(12) Total Expenditure by Program	<u>\$ 1,024,034</u>	<u>\$ 1,035,045</u>	<u>\$ 676,812</u>	65	<u>\$ 753,994</u>	66

**EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE EIGHT MONTHS ENDED FEBRUARY 28, 1998**  
with comparative totals for February 28, 1997  
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1997-98			1996-97		
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(13) Personnel costs	\$ 588,491	\$ 588,752	\$ 383,775	65	\$ 431,430	66
(14) Operating expenses	360,274	367,019	237,495	65	247,609	62
(15) Mandatory transfers (debt service)	30,154	30,154	26,985	89	38,341	92
(16) Capital outlay	45,115	49,120	28,557	58	36,614	66
(17) Total Expenditure by Category	<u>\$ 1,024,034</u>	<u>\$ 1,035,045</u>	<u>\$ 676,812</u>	65	<u>\$ 753,994</u>	66

**CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE EIGHT MONTHS ENDED FEBRUARY 28, 1998**  
with comparative totals for February 28, 1997  
(in thousands)

	(A)	(B)	(C)
	<u>1997-98</u>		<u>1996-97</u>
(1) <b>Realized Revenues</b>		<b>\$ 729,183</b>	<b>\$ 819,509</b>
<b>Appropriated Fund Balances</b>			
(2) <b>Current Unrestricted Fund</b>	<b>\$ 17,527</b>		<b>20,952</b>
(3) <b>University Hospital</b>			
(4) <b>Total Appropriated Fund Balances</b>		<b><u>17,527</u></b>	<b><u>20,952</u></b>
(5) <b>Total Revenues and Appropriated Fund Balances</b>		<b><u>746,710</u></b>	<b><u>840,461</u></b>
(6) <b>Expenditures/Encumbrances</b>	<b>876,812</b>		<b>753,994</b>
(7) <b>Less: Reserve for Encumbrances</b>	<b>(5,221)</b>		<b>(8,322)</b>
(8) <b>Total Expenditures</b>		<b><u>671,591</u></b>	<b><u>745,672</u></b>
(9) <b>Excess/(Deficit) Restricted Receipts Over Transfers to Revenues</b>		<b><u>635</u></b>	<b><u>1,737</u></b>
(10) <b>Net Deferred Revenues and Appropriated Fund Balances</b>		<b><u>\$ 75,754</u></b>	<b><u>\$ 96,526</u></b>

# FCR 2

Office of the President  
April 7, 1998

Members, Board of Trustees:

## REPORT OF LEASES

Recommendation: that the Board accept the President's Report of the following lease agreements in accordance with Board Policy:

The renewal of a lease between the University of Kentucky (Agronomy Department) and Homer Mitchell, P. O. Box 16-A, Route 2, Princeton, Kentucky, for space located on Route 2, Princeton, Kentucky, effective April 1, 1998 through September 30, 1998, for the use of two acres of land for soil research, at an annual rate of \$200.00, be accepted and made a matter of record.

The renewal of a lease between the University of Kentucky (Agronomy Department) and Wilbert Perkins, Route 2, Frankfort, Kentucky, for space located on Route 1, Frankfort, Kentucky, effective May 1, 1998 through September 30, 1998, for the use of 2.3 acres of land for tobacco experimentation, at an annual rate of \$2,500.00, be accepted and made a matter of record.

A new lease between the University of Kentucky (Kentucky Injury Prevention & Research Center) and the City of Ferguson, P. O. Box 24, Ferguson, Kentucky, for space located in the Parks & Recreation Building, 2620 South Highway 1247, Ferguson, Kentucky, effective March 1, 1998 through June 30, 2000, for the use of 200 square feet of office space, at an annual rate of \$2,400.00, be accepted and made a matter of record.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases entered into under this authority be reported to the Board.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

# FCR 3

Office of the President  
April 7, 1998

Members, Board of Trustees:

## PATENT ASSIGNMENT REPORT

Recommendation: that the patent assignment report for the period January 1, 1998 through March 31, 1998 be accepted.

Background: FCR 5, dated March 4, 1997, authorized that all future copyright and patent filings and prosecutions be conducted by the University of Kentucky Research Foundation (UKRF), and that the Vice President for Research and Graduate Studies or his designee be authorized to execute any needed documents to obtain appropriate patent or copyright protection. Quarterly reports on patent and copyright applications are to be submitted to the Finance Committee of the Board.

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Action taken:

Approved

Disapproved

Other \_\_\_\_\_

PATENT ASSIGNMENT  
QUARTERLY REPORT FOR THE PERIOD JANUARY 1, 1998 THROUGH  
MARCH 31, 1998

The following assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation has been executed:

1. U.S. Patent Serial Number: 08/534,854, filed September 27, 1995, titled "IMPLANTABLE CONTROLLED RELEASE DEVICE TO DELIVER DRUGS DIRECTLY TO AN INTERNAL PORTION OF THE BODY". Inventors: Paul Ashton and Paul Andrew Pearson. This invention provides an implantable, rate-controlled drug delivery device, with a hollow reservoir, a backing member circumscribing the hollow reservoir, and a drug delivery tube communicating with the hollow reservoir. The drug delivery tube includes at least one rate-limiting permeable membrane which regulates drug delivery.
2. U.S. Patent Serial Number: (to be assigned), filed (to be filed), titled "SOIL REGENERATION APPARATUS AND METHOD". Inventors: Larry D. Wells and John P. Fulton. This invention provides an apparatus for regenerating compacted soil in reclaimed surface mining lands. The apparatus includes an auger rotatably mounted above and forward of the blade of an earthmover, such as a bulldozer. The auger is longer than the blade and is mounted such that it extends substantially beyond one side of the blade. Adjacent to this extension, a controllable lateral baffle is mounted for selectively adjusting a transverse dimension of the blade. In operation, compacted soil is collected by the blade until it reaches the auger. The auger then decompacts, transversely displaces, and deposits the soil relative to the blade, thereby creating a decompacted rooting layer that is ideal for planting. The lateral baffle may be selectively adjusted during operation to control the level of deposition of decompacted soil to provide a substantially level berm. A method of soil regeneration using the apparatus of the present invention is also disclosed.
3. U.S. Patent Serial Number: (to be assigned), filed (to be filed), titled "USE OF LOBELINE AS A TREATMENT FOR PSYCHOSTIMULANT, ABUSE WITHDRAWAL AND EATING DISORDERS". Inventors: Peter A. Crooks and Linda P. Dwoskin. This invention is based on the discovery that lobeline and nicotine evoke [<sup>3</sup>H]dopamine ([<sup>3</sup>H]DA). The lobeline-evoked overflow was calcium-independent and not antagonized by mecamylamine, suggesting a mechanism of action other than the stimulation of nicotinic receptors. Whereas, nicotine stimulates nicotinic receptors, lobeline inhibits [<sup>3</sup>H]DA uptake into synaptic vesicles and striatal synaptosomes. The results suggest that different mechanisms are responsible for the increase in striatal DA release evoked by lobeline and nicotine. The results also suggest that the action of lobeline is similar to that of amphetamine. These results suggest the use of lobeline in treating individuals for drug dependence and for eating disorders.

# FCR 4

Office of the President  
April 7, 1998

Members, Board of Trustees:

## C. RICHARD AND TERRI P. SMITH GIFT

Recommendation: that the Board of Trustees accept a gift of \$175,000 from C. Richard and Terri P. Smith of Crestwood, Kentucky, to establish an endowed scholarship fund.

Background: The fund created by the gift of Mr. and Mrs. Smith, who own a cattle farm in Oldham County, will benefit students from 29 designated eastern Kentucky counties. The students who receive scholarships from the C. Richard and Terri P. Smith Scholarship Fund can attend the University of Kentucky, Lexington Community College, Prestonsburg Community College or Southeast Community College. Under the terms of the endowment, the recipients must demonstrate a need for financial assistance and neither parent can be a college graduate.

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Action taken:

Approved

Disapproved

Other \_\_\_\_\_

# FCR 5

Office of the President  
April 7, 1998

Members, Board of Trustees:

## FIRST FEDERAL SAVINGS AND LOAN ASSOCIATION GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$25,000 and a pledge of \$75,000 from First Federal Savings and Loan Association of Hazard, Kentucky, to Hazard Community College.

Background: The gift is designated for the Student Classroom and Economic Development Center that is under construction. The pledge will be paid with \$25,000 annual gifts through 2001.

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Action taken:

Approved

Disapproved

Other \_\_\_\_\_



# FCR 6

Office of the President  
April 7, 1998

Members, Board of Trustees:

KENTUCKY MEDICAL SERVICES FOUNDATION, INC. GIFT

Recommendation: that the Board of Trustees accept the gift of a house valued at \$147,000 from the Kentucky Medical Services Foundation, Inc., of Lexington, Kentucky.

Background: Kentucky Medical Services Foundation is conveying to the university a house it owns at 1117 South Limestone Street. The house is located diagonally across from the Chandler Medical Center and will provide the Medical Center with much-needed office space. KMSF has allowed the Medical Center to use the house as office space for the past year and is now giving the property to UK.

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Action taken:  Approved       Disapproved       Other \_\_\_\_\_

# FCR 7

Office of the President  
April 7, 1998

Members, Board of Trustees:

## LG&E ENERGY CORPORATION GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$140,000 and a pledge of \$110,000 from LG&E Energy Corporation of Louisville, Kentucky, to the University of Kentucky Athletic Association for the expansion of the Center for Academic and Tutorial Services.

Background: LG&E Energy Corporation is the holding company for Louisville Gas and Electric. The \$140,000 gift will be used for computer equipment for the Center for Academic and Tutorial Services, known as CATS, which is considered a model academic center for the nation's colleges and universities. The \$110,000 pledge payment is expected in 1999.

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Action taken:

Approved

Disapproved

Other \_\_\_\_\_

# FCR 8

Office of the President  
April 7, 1998

Members, Board of Trustees:

## PFIZER, INC. GIFT

Recommendation: that the Board of Trustees accept a gift of \$125,000 from Pfizer, Inc., Centerville, Ohio, to the Chandler Medical Center for the Certificate of Medical Management Program.

Background: The gift by Pfizer, a pharmaceutical company, will support the Certificate of Medical Management program that is being offered jointly by the Medical Center and the Carol Martin Gatton College of Business and Economics. This innovative program offers academic credit to participating physicians in accounting, finance, health systems organization and management.

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Action taken:

Approved

Disapproved

Other \_\_\_\_\_