

Minutes of the Meeting of the Executive Committee of the Board of Trustees of the University of Kentucky, Tuesday, August 17, 1971

The Executive Committee of the Board of Trustees of the University of Kentucky met at 2:00 o'clock (Eastern Daylight Time) on Tuesday, August 17, 1971, in Room E on the 18th floor of the Patterson Office Tower on the University campus with the following members present: Mr. Albert G. Clay, Chairman; Mr. Thomas P. Bell; Mr. Eugene Goss; and Mr. George W. Griffin. Mr. Richard E. Cooper was unable to attend. Representatives of the University administration present included President Otis A. Singletary; Vice Presidents Alvin L. Morris, Lewis W. Cochran, A. D. Albright, Glenwood L. Creech, Peter P. Bosomworth, Lawrence E. Forgy, Jr., Robert G. Zumwinkle, and Stanley Wall; Dr. Donald B. Clapp, Budget Director; and Mr. John C. Darsie, Legal Counsel. Representatives of the various news media also attended.

A. Meeting Opened

Mr. Clay pronounced the invocation. After a report by the Secretary that a quorum was present, the meeting was declared officially open for the conduct of business at 2:08 p. m.

B. Resolution Adopted on Dr. Robert W. Rudd

Mr. Thomas Bell read a resolution on Dr. Robert W. Rudd expressing appreciation for his contributions as a non-voting faculty member of the Board of Trustees and moved its adoption. His motion was seconded by Mr. Goss and passed. (See resolution at the end of the Minutes.)

C. President's Report to the Trustees

Inasmuch as copies of the President's Report to the Trustees were available to members of the Board, President Singletary said he would not review the items in it but would be pleased to answer any questions which Board members might have. There being no questions, Mr. Clay accepted the report and it was ordered filed.

D. Recommendations of the President (PR 2)

The recommendations in PR 2 being routine in nature and there being no questions, on motion by Mr. Goss, seconded by Mr. Griffin, and passed, PR 2, Recommendations of the President, was approved as a whole and ordered made an official part of the Minutes of the meeting. (See PR 2 at the end of the Minutes.)

E. Elimination of Mandatory Graduation Fee (PR 4)

President Singletary called attention to the background statement of PR 4 which explained the items covered by the present mandatory graduation fee charged all graduating seniors and explained that many students felt they were required to pay for certain items in which they had no particular interest. The Student Government Board last year and this year have recommended that the fee be eliminated and the University administration, after considering the matter carefully, recommends that the Board of Trustees approve the elimination of the fee. He added that those students who desired the services provided could still obtain them by payment of the fee established to cover said services.

On motion by Mr. Bell, seconded by Mr. Griffin, and passed, the mandatory graduation fee was eliminated. (See PR 4 at the end of the Minutes.)

F. Budget Revisions for 1971-72 (PR 5)

Dr. Clapp explained to the members of the Board that item D in the proposed revisions would authorize the University to expend the funds generated by the tax proceeds on tobacco in accordance with a budget already approved by the Kentucky Tobacco Research Board. Other than this the revisions recommended were of a routine nature. There being no questions, on motion by Mr. Griffin, seconded by Mr. Goss, and passed, the proposed budget revisions in PR 5 were authorized and approved. (See PR 5 at the end of the Minutes.)

G. Proposed Revision of Governing Regulations (May 1970) (PR 6)

President Singletary indicated that there were certain changes which needed to be made in the Governing Regulations adopted in May 1970 and, inasmuch as such changes must lie on the table for a month prior to adoption, he requested that the Executive Committee accept the document presented to them for study and consideration and for approval at the September meeting of the Board. He indicated that copies would be distributed to all members of the Board following acceptance by the Executive Committee.

At the request of President Singletary, Dr. Paul Sears reviewed those changes which were substantive in nature and which were included as a part of PR 6.

On motion by Mr. Bell, seconded by Mr. Griffin, and passed, the proposed revised version of the Governing Regulations of the University of Kentucky was accepted for study and consideration and for final approval at the September meeting of the Board of Trustees. (See PR 6 at the end of the Minutes.)

H. Interim Financial Report (FCR 1)

There being no questions relative to the interim financial report, on motion by Mr. Griffin, seconded by Mr. Bell, and passed, the interim financial report covering the period ending May 31, 1971 was accepted and ordered made a matter of record. (See FCR 1 at the end of the Minutes.)

I. Appropriation to Division of Auxiliary Services Approved (FCR 2)

At the request of Mr. Griffin, Chairman of the Finance Committee, Mr. Forgy explained briefly the uses to which the \$123,000 appropriation requested for the Division of Auxiliary Services would be put and on motion by Mr. Griffin, seconded by Mr. Bell, and passed, FCR 2 was approved. (See FCR 2 at the end of the Minutes.)

J. Report of Investments (ICR 1)

Mr. Clay called attention to the quarterly report of investments (ICR 1), accepted it on behalf of the Executive Committee, and ordered it filed. (See ICR 1 at the end of the Minutes.)

K. Meeting Adjourned

There being no further business to come before the meeting, on motion duly made, seconded, and carried, the meeting adjourned at 2:25 p. m.

Respectfully submitted,

Lawrence E. Forgy, Jr.  
Secretary, Board of Trustees

(Resolution on Dr. Rudd, PRs 2, 4, 5, and 6, FCRs 1 and 2, and ICR 1 which follow are official parts of the Minutes of the meeting of the Executive Committee of the Board of Trustees on August 17, 1971.)

## RESOLUTION

The Executive Committee of the Board of Trustees of the University of Kentucky notes with regret that the term of

ROBERT W. RUDD

as a non-voting faculty member of the Board of Trustees of the University of Kentucky expired on June 30, 1971 and wishes to express on behalf of the full Board thanks and appreciation for the contributions which he has made to the deliberations of this body during his tenure.

Dr. Rudd, Professor of Agricultural Economics and Chairman of the Department of Agricultural Economics, has served the University well not only in his own discipline but in other areas also. Because of his reputation as one of the younger faculty members with a real potential for leadership, President John W. Oswald selected him as his first Faculty Assistant in 1963. When his year was completed, President Oswald was so impressed with his administrative ability that he requested Dr. Rudd to serve as Acting Dean of the College of Commerce. This assignment was handled in such an effective manner that upon the death of Professor Aubrey Brown, Dr. Rudd was named as Chairman of the Department of Agricultural Economics and has served in that capacity since 1968.

A man of keen intellect and pleasing personality, Dr. Rudd was chosen by his colleagues as one of the faculty representatives on the Presidential Search Committee charged with the responsibility of seeking Dr. Oswald's successor. In the election for one of the non-voting faculty members of the Board of Trustees held in the spring of 1968, Dr. Rudd was the majority choice to fill the vacancy created by the expiration of Dr. Stephen Diachun's term. With his academic and administrative background, Dr. Rudd's opinions always carried considerable weight with his fellow Board members and his advice and counsel were frequently sought and acted upon. He will be missed from the future deliberations of the Board.

Now, therefore, wishing to make the Board's recognition of Dr. Rudd's valuable contributions during the past three years a matter of record, be it resolved that this resolution be adopted, spread upon the Minutes of the meeting, and a copy transmitted to Dr. Rudd.

PRI

PRESIDENT'S REPORT TO THE TRUSTEES

AUGUST 17, 1971

1. QUICKSAND PROGRAM HAS AREA IMPACT

Representatives of the College of Agriculture Cooperative Extension Service of the Quicksand Area have been involved in numerous programs that have had an impact on the lives of the people in Southeast Kentucky. A recent report of work performed over the past year notes the Extension staff provided information to over 10,000 people, trained 2,716 local leaders and distributed over 38,000 pieces of mail. The work of the staff has been devoted to increasing farm income through new enterprises and better crop and livestock practices. Emphasis has been placed on helping low-income families. Individual and group assistance was given to approximately 135 families in clothing renovation. Nutrition assistants and others of the staff worked with over a thousand families, assisting them in providing better food for their children. Over 3,000 families were contacted and offered help in improving housing conditions. There have been programs on career planning for children, drug abuse and misuse, and an organized effort to increase Homemakers Club membership. Assistance has been given to community organizations and counties on public housing, water and sewer systems through water districts, sanitary landfills, labor surveys for industrial prospects, area vocational schools, tourism and outdoor recreation and comprehensive planning necessary for orderly development of community facilities. Industrial parks totaling 50 acres were developed in Breathitt and Letcher counties with the help of the Extension staff members.

2. MED CENTER LAUNCHES KIDNEY DONOR PROGRAM

The 76th kidney transplant was performed at the Albert B. Chandler Medical Center in early June with dramatic team play involving the Kentucky State Police, Fayette County Police, and the University of Cincinnati Medical Center. The medical centers at Lexington and Cincinnati maintain a registry of patients awaiting kidney transplants and their tissue types. Information is gathered and organs exchanged through an organization established by the Ohio Valley Regional Medical Program. The dramatic episode with Cincinnati helped launch the Kidney Foundation of Kentucky "Gift of Life Campaign" in central Kentucky. Purpose of the campaign has been to help people understand the kidney donor program and efforts of the Kidney Foundation, and to point out that a lack of funds has made it impossible to develop a kidney program in Kentucky adequate to meet public needs. Sufficient donor kidneys are not readily available and the cost of the artificial kidney machine is prohibitive to many people.

3. 7,000 IN SUMMER SESSION

Approximately 7,000 students--a 10 per cent increase over last year--participated in the summer session. Dr. Elbert W. Ockerman, dean of admissions and registrar, said more than 85 per cent of the students who enrolled for both sessions had pre-registered. Next year he plans to make advance registration available to all students who wish to attend during the summer terms. Of the 1,417 students who registered for the four-week intersession, Dean Ockerman said 1,410 registered in advance.

4. HEALTH CAREERS ORIENTATION IN THIRD YEAR

A summer program for 24 Kentucky students interested in health careers is being conducted at the Albert B. Chandler Medical Center. The orientation and on-the-job training in health careers program now is in its third year. In the past two years, 52 students received training in the "summer in medical sciences" seminar developed by the Lexington-based chapter of the Student American Medical Association. The program is aimed specifically at high school juniors, seniors, and freshmen or sophomore college students from financially deprived homes and/or racial minority backgrounds, according to Jon Joseph, SAMA summer program director. A third year medical student from Louisville, Joseph is assisted by Dewey Ballard, a second year student, also of Louisville. The eight week project offers health care exposure in the fields of psychiatry, physical therapy, pharmacology and occupational therapy, as well as medicine, dentistry and nursing. Students assist in Medical Center labs and clinics. Funds to support the career experience this year are provided by the office of the vice president, the E. O. Robinson Mountain Fund and by some county divisions of the Neighborhood Youth Corps of the U.S. Department of Labor.

5. \$10,000 BEQUEST SET FOR SCHOLARSHIPS

A \$10,000 bequest from the estate of the late Dr. Mary Agnes Gordon to the University will be used to establish a scholarship fund for girls from the state of Kentucky, with preference going to those students who plan to major in psychology. The bequest was noted in Dr. Gordon's will which recently was probated in Clark County Court and which directed how the gift was to be used. Dr. Gordon received her BA degree here in 1925, and her MA degree in 1927. In 1929, she earned a Ph.D degree from Columbia University after serving as an assistant in psychology at the institution. She later worked at Mills College in California and for TVA before becoming associated with the U.S. Air Force.

6. UNDERSTANDING MEDICARE/MEDICAID AIM OF NEW PROGRAM

Dr. Earl Kauffman, director of the Council on Aging, says the Council is preparing a new program that will be known as the Schools for Medicare/Medicaid. The Council has received a \$13,533 grant from the Public Higher Education Committee for Kentucky to support the program in its first year. Dr. Kauffman said the program has been in the planning stages for over a year. It has been established by a committee representing the Social Security Administration, Metropolitan Life Insurance Co., Kentucky Departments of Economic Security and Health, Council on Aging, and Kentucky Commission on Aging. The schools for medicare/medicaid will gather basic information based upon questions asked by users of the program. Answers to the questions will be supplied by the Social Security officials and representatives of the Kentucky Departments of Economic Security and Health. Experts in teaching and counseling will adapt this information into courses of study which will be presented to various groups of older people throughout the state. If the program is successful, it will be adapted for distribution via national educational TV, home study courses, and continuing education programs sponsored by senior citizens' clubs and organizations, Dr. Kauffman stated.

7. STUDY PROPULSION SYSTEM FOR HIGH-SPEED TRAIN

The propulsion system for a noiseless train capable of speeds up to 250 miles an hour could be the end result of a research project at the University. Dr. Syed Abu Nasar, a native of Pakistan and professor of electrical engineering, said plans are to develop a linear induction motor (LIM). He said, "picture how an electric motor would appear if the coil were cut open and placed on a flat (linear) surface. In an electric motor the rotor spins inside the coil. In the LIM, the rotor moves on a horizontal plane. One of the major problems that has not been solved is how to collect power while the vehicle moves at 250 mph. There are now experimental trains powered by linear induction motors, but they travel about 35 mph."

Dr. Nasar cited the advantages of such a vehicle over conventional trains as the elimination of noise, shorter travel time, elimination of pollution, and relatively little expense when compared to the cost of operations of trains now in use. He has been working on basic problems of the motor since he completed work on his Ph.D at the University of California at Berkeley in 1963. He came here in 1968. Prof. Nasar said the National Science Foundation has supported his research in the amount of \$48,000 over the past three years. Two of his students have finished work on their masters' degrees and another student is working toward the Ph.D on problems associated with the linear induction motor.

8. CONFERENCE ON RECYCLING SOLID WASTE IS SEPTEMBER 16

A conference to investigate recycling of solid waste will be held at the Agricultural Science Center on September 16. The program will be called "Recycling in the Bluegrass Region: Myth or Reality," and the most knowledgeable men and women on the recycling process will be sought as speakers, says David K. Blythe, director of the College of Engineering Office of Continuing Education, and chairman of the planning committee. Several hundred persons are expected to participate in the one-day affair dealing with various kinds of solid waste. Workshop topics include waste paper, industrial metals, household scraps, junk cars, glasses, and ceramics and organic materials, which include oils, plastic and rubber. Other subjects to be covered include economics and recycling, the government's role, the public role and social responsibilities, and recycling from concept to reality. In addition, there will be a symposium on municipal waste. A recycling information exhibit will be on display at the conference site.

9. NAME EIGHT TO PHARMACY VOLUNTEER FACULTY

The College of Pharmacy has named eight Kentucky pharmacists to its voluntary faculty. The new appointments are W. Michael Bauman, Alvin R. Bertram, William Curry, Milton H. Nichols, and William K. Wheeler, all of Lexington, Robert L. Barnett, and Joseph Schutte, Louisville, and George W. Grider, Danville. Most of the pharmacists will teach in the Community Practice Clerkship course. As volunteers, they will contribute their instruction services to the college at no salary.

Community Practice Clerkship is designed to provide the student with practical learning experience by allowing him to spend two afternoons a week in the volunteer faculty member's pharmacy. During the semester, the student will rotate through three different pharmacies. Only one student at a time is assigned to a teacher, which permits individual instruction while giving the student an in-depth view of patient-related problems encountered in the practice of community pharmacy.

10. STUDENTS WIN SOCIETY MEMBERSHIPS

Two students in the Department of Classical Languages and Literatures--both undergraduates--have been awarded memberships in the Kentucky Society, American Institute of Archaeology, in recognition of outstanding work during the past year. Faculty members of the department have selected Miss Lisa Waslo, Cincinnati, and Greg Tremere, Lexington, for the honor, according to Dr. Lawrence S. Thompson, UK professor of classics. The memberships were donated by Hugh Peal, class of 1922, the first Rhodes scholar from Kentucky.



11. 14 STUDENTS ELECTED TO SENATE

Fourteen students, winners in the spring elections, will take their seats in the newly-formed Student Senate at the opening of the fall semester. SG President Scott Wendelsdorf said the students will serve not only as members of the University Senate, they will form the legislative branch of Student Government. The new senators, the college they will represent in the Senate, and their hometowns are: Larry Noe (Agriculture), Campbellsville; Nancy Totten (Allied Health), St. Albans, W. Va.; Lewis Colten (Architecture), Lexington; Howell Hopson (Arts & Sciences), Cadiz; Paul T. Ferrell (Business & Economics), Lexington; Dennis D. Stuckey (Dentistry), Frankfort; Pam Sallengs (Education), Radcliff; Damon Talley (Engineering), Magnolia; Al Sharp (Graduate School), Pittsburgh, Pa.; Cynthia Watts (Home Economics), Brandenburg; Ronald D. Weddle (Medicine), Liberty; Kathy Liedtke (Nursing), Lagrange, Ill.; Dan Daffron (Pharmacy), Monticello, and Franklin W. Nooe (Social Professions), Harrodsburg.

12. OVER 100 STUDYING AT HCC FOR HS DIPLOMA

Henderson Community College is successfully fulfilling one of the major functions charged to the community college. The Adult Basic Education Program enrolled 129 adults in classes last November, and 104 were still active in May. Many of these were high school dropouts who hoped to successfully complete the General Education Development (GED) test and thereby earn a high school equivalency certificate. A number of them then plan to enroll in such programs at the college as social work technology, forestry and wood technology, management technology, accounting, professional secretaryship, laboratory technician, and nursing. Classes also were organized in Union and Webster counties, and supervised by the college. In Union county, 42 persons met each Thursday in the high school building at Morganfield, and in Webster county, there were 15 in the class meeting each Wednesday at the Dixon H-U-W Center. The program provides a general education class aimed at providing the basic skills in math, reading and English that will enable a high school dropout to pass the GED test. Once the student obtains the GED certificate, he is eligible to enroll in any class at the Henderson college.

13. TWO COLLEGES CONDUCT SEMINARS FOR OLDER PERSONS

Programs aimed at older persons have been held at two community colleges. A six-session seminar concluded in May at Ashland Community College for residents of that area, with discussion topics including social security benefits, taxes, citizenship responsibilities, and contemporary art and literature. A total of 67 persons took part in a Living and Learning School at Somerset Community College last semester. The Rev. L. D. Fisher, local minister, and Kenneth Bean of the college were commended on their "outstanding job" in conducting the program at Somerset.

14. HAZARD, SOUTHEAST IN ALCOR CONSORTIUM

Hazard Community College and Southeast Community College will be part of a six-college consortium which will operate programs of recreation, education, health, nutrition and community development in 75 isolated communities in a 24-county East Kentucky and Tennessee area. Called ALCOR, funds for the program, in the amount of \$233,830, have been granted over a three-year period by the W. K. Kellogg Foundation. More than 75 students in the fields of nursing, medicine, dentistry and special education are working this summer in the various ALCOR centers. The program is administered by Alice Lloyd College.

15. SPEECH CLINIC AIMED AT STUTTERERS

Dr. Robert A. Valyo, director of speech pathology and audiology, College of Education, has just conducted a new clinical program (June 15-Aug. 6) aimed at helping persons who stutter. Dr. Valyo pointed out that stuttering is most apparent in youngsters who attend grades three through twelve. Although many children between ages three and seven stutter, it is fairly normal for them to exhibit such patterns, which frequently disappear without treatment.

16. SPRINGFIELD GIRL WINS FULBRIGHT GRANT

A new Fulbright scholar at the University, Miss Sally Hamilton of Springfield, will take her Fulbright grant to the University of Heidelberg in Germany. When she returns from Heidelberg she will begin studies for her doctorate at UK, and hopes some day to teach. Miss Hamilton received her MA here in May and was a teaching assistant in the German department while in Graduate School. During the four-week intersession she taught a course in "German for Reading Knowledge."

17. PROF. LAWSON NAMED ACTING LAW DEAN

Prof. Robert G. Lawson has been named acting dean of the College of Law. The announcement by President Singletary was made at a dinner honoring Dr. W. L. Matthews Jr., the retiring law school dean. Lawson's appointment will be effective with the start of the fall semester and he will serve until the appointment of a permanent successor to Matthews. Lawson is a native West Virginian, a graduate of Berea College, and the College of Law. He practiced law in Roanoke, Va., and in Lexington before joining the law faculty on a full-time basis in 1966.

Dean Matthews announced his intention to retire from the deanship last year. His return to full-time teaching and research duties as a member of the law faculty will mark the end of a 14-year tenure as dean.

18. CDC AGENCY BACKS SISTER-CITIES IDEA

A letter from Lexington Mayor Charles Wylie to city officials of Guayaquil--the largest city in Ecuador--proposing that the two cities become sister cities, was carried to Guayaquil by Greg Daugherty of the University Human Relations Center who traveled under the auspices of the Partners of the Americas and the Office of International Programs in the Center for Developmental Change. Daugherty was accompanied to Ecuador by two students, Jody Greene, Greensburg, and Neal Cain, Somerset. The students were to spend six weeks traveling to the three largest cities in Ecuador where they were to look into the possibility of students in all Kentucky colleges and universities going to Ecuador as exchange students. The main purpose of Lexington and Guayaquil becoming sister cities is to exchange information about one another and to become better acquainted. Kentucky is a partner to the area of Ecuador where Guayaquil is located in the interchange sponsored by the National Association of the Partners of the Americas.

19. ARCHITECTS NOTING THE YOUTH TREND

Dean Charles Graves of the College of Architecture thinks that architectural students not only have prodded the profession but are instituting trends that gradually will seep into the architectural establishment. "Schools have always been ahead of the professional societies and that's the way it should be," he says. As examples of the "visible change" that has taken place at the University, the dean points to a number of off-campus studio activities that have tended to replace the old practice of building-design: the mountain studies program at Hyden, where students have broadened their interests to such environmental issues as solid waste and recreation; a studio project involving a Virginia commune; various neighborhood projects in Lexington, such as the one in Pralltown.

Ray Love and David Eddrington are two of Graves' former students who were engaged in the Pralltown project during their fifth year of school. They became so involved in putting together an urban-renewal proposal for the dilapidated 3½-block area and its 300 mostly black residents in the shadow of the University that they stayed on after graduation, working from modest quarters at 189 Prall Street. Their aim: 300 units of new housing, a commercial center, and a recreational area.

20. WEIL GIVES 42,000 TO COLLEGE OF AGRICULTURE

A Fayette county farmer has given \$2,000 to the College of Agriculture. Herschel Weil specified the grant be used by the Department of Agronomy for research on management and improvement of the quality of forage grass. Dr. A. J. Hiatt, agronomy chairman, said Weil has been active in local, state and national civic and service clubs. Weil is a member of the board of directors of the National Conference of Christians and Jews and the steering committee for the University College of Medicine.

21. KGS PRODUCED 156 MAPS, REPORTS LAST YEAR

The Kentucky Geological Survey, in cooperation with the U.S. Geological Survey, produced a total of 156 new maps and reports during fiscal 1970-71. These documents are much in demand by such departments of state government as highways, commerce, parks, natural resources, and health. The oil, gas, clay, coal, fluorspar, zinc and construction industries also are heavy users, as are schools, county agents, hunters and fishermen, civil engineers, the Soil Conservation Service, and those concerned with waste disposal. The U.S. Corps of Engineers is another major user of these maps and other publications.

From the campus sales office during the year, there were sold more than 30,000 maps and reports. KGS also maintains over 100,000 linear feet of core samples from oil and gas wells, and over 11,000 sets of envelope samples of well cuttings--an official repository that is visited daily for study by representatives of the state's mineral industries. The work of KGS is divided into Mineral Resources Investigations, Geologic Mapping, Water Resources, and Topographic Mapping Revision. The Mineral Resources, Topographic Mapping, and Water Resources programs are expected to be continuing operations. The Geologic Mapping program, begun in 1960 in cooperation with the U. S. Geological Survey, was expected to be completed in 12 years. In 1964, however, the schedule of appropriations was modified to extend the completion date to 1978. At that time, the U.S. Survey is expected to move its staff members now in Kentucky to another state for similar work.

Dr. Lewis W. Cochran recently said that the maps and reports produced by KGS have resulted in great savings in the construction of highways and buildings throughout the Commonwealth and consequently have been of inestimable value to the state's economic development. "We are proud of the Survey and its work. It is truly a public service area in which Kentucky ranks among the nation's leaders and in which the benefits to the State are quite large in proportion to the expenditure," he said.

22. WOLFE COUNTY CHILDREN GET DENTAL TREATMENT

By the end of the summer more than 1,000 disadvantaged children in Wolfe county will have received total dental treatment through a project administered by the Department of Pedodontics. Wolfe county was selected for the program for several reasons: Lack of a full-time dentist in the county created the greatest need; the county is a non-fluoride area, which indicated a high level of tooth decay, and close proximity to Lexington made the area convenient for dental students. The upcoming fourth year dental students do the actual dental treatment. Six dental trailers, furnished by the dental division of the State Board of Health, are parked near the schools. The children either are brought to the clinic by parents or friends, or the dental students transport them from their homes. This is the last phase of a five-year project conducted each summer during June and July. After the summer program is completed, some of the dental students return to Wolfe county six months later to examine patients needing follow-up examinations.

23. UKRF REPORTS YEAR'S RESEARCH AWARDS OVER \$14 MILLION

The University of Kentucky Research Foundation has reported that \$14,085,448.33 was received during the fiscal year which ended July 1. Reflecting a steady growth in such awards to the University for research purposes, the figure is compared to last year's receipt of \$13.6 million. During the period May 1-June 30, since the last reporting date, a total of 121 agreement awards was received by UKRF, amounting to \$2,649,474. Thirty-two grants received additional funds amounting to \$544,419.47, and four grants were decreased by \$25,589. One other award was received in the amount of \$223.42. Total agreement awards for the current reporting period: \$3,168,527.89.

COLLEGE OF AGRICULTURE

S. C. Bohanan, Thailand Project, Agency for International Development, \$185,000.

Department of Agricultural Economics--Robert W. Rudd, Department of Agricultural Economics Program Development Fund, The Asia Society, Inc., \$6000.

Department of Agricultural Engineering--K. Mills, Professional Advisory Service Center, Office of Civil Defense, \$8,825 additional funds (total amount of grant now \$13,030).

Department of Agronomy--G. Collins, Studies on the Inheritance of Alkaloids in Nicotiana Tobacum, R. J. Reynolds Tobacco Company, \$4,000. G. Collins, Black Shank Disease Plots Program, R. J. Reynolds, \$1,000. J. W. Herron, Weed Science Research, Uniroyal Chemical Company, \$700. A. J. Hiatt, Research on Dark-Fired Tobacco, Stauffer Chemical Company, \$300. J. H. Smiley and W. O. Atkinson, Tobacco Research, Sun Oil Company, \$500.

Department of Animal Sciences--George Mitchell, Vitamin A Metabolism in Sheep, National Institute of Arthritis & Metabolic Diseases, \$23,706. V. Hays, Research Assistantship in Swine Nutrition, Commercial Solvents Corporation, \$3,000. G. Mitchell, Vitamin A Metabolism in Sheep, National Institute of Arthritis and Metabolic Diseases, \$22,205. D. Olds, Animal Reproductive Physiology and/or Genetics, American Breeders Service, Inc., \$600.

Department of Entomology--Wesley Gregory and H. G. Raney, Research Using M-3635 for Control of Wireworms in Corn, The Dow Chemical Co., \$1,000. Wesley Gregory, The Efficacy and Residue Nature of Chlordane Insecticides on Corn and Soils, Velsicol Chemical Co., \$1,000. Wesley Gregory, Research on Insects Attacking No-Tillage Corn, Stauffer Chemical Co., \$300. Wesley Gregory and H. G. Raney, Research on Corn Insects, Geigy Chemical Co., \$500 (additional funds). B. C. Pass, Wesley Gregory, F. W. Knapp, H. G. Raney and Richard Thurston, Research on the Control of Mosquitoes & Insects Attacking Tobacco & Corn, Chemagro Corporation, \$600. J. G. Rodriguez, Study Antimetabolites as a Possible Control of Acarid Mites, General Foods Corporation, \$12,500 (additional funds). B. C. Pass, Research Work for the Control of the Alfalfa Weevil, Geigy Chemical Company, \$500.

B. C. Pass, Research on the Evaluation of Bux for the Control of Insects on No-Tillage Corn, Chevron Chemical Company, \$500. R. A. Scheibner and R. Thurston, Research on the Control of Insects Attacking No-Tillage Corn and Tobacco, Mobil Chemical Company, \$450. R. Thurston and B. C. Pass, Support of Research in Alfalfa and Tobacco Insects with Cyanamid Products, American Cyanamid Company, \$1,000.

Department of Horticulture--H. C. Mohr and Horst Schach, Donations for Constructing and Sustaining the New Landscape Garden Center, Kentucky Nurserymen's Association, Council of Garden Clubs--Owensboro Area and The Garden Club of Frankfort, \$150.

Department of Home Economics Extension-- D. A. Tichenor, Cooperative Program with Lees Junior College on Our Foods and Nutrition Cooperative Extension Program, Lees Junior College, \$363 (additional funds - total amount of award now \$1,188).

Department of Development Programs--Mike Duff and J. L. Ragland, Concentrated Services in Training and Education Project in Trigg, Lyon & Livingston Counties, Kentucky, Tennessee Valley Authority, \$6,500.

Department of 4-H Programs--Conrad Feltner, 4-H Community Pride Projects, Standard Oil Co., \$1,000.

#### COLLEGE OF ARTS AND SCIENCES

School of Biological Sciences--S. F. Conti, Biomedical Sciences Support Grant, National Institutes of Health, \$32,232.

Department of Chemistry--W. F. Wagner and Donald Sands, Properties and Structures of Solvents of Metal Chelates Encountered in Solvent Extraction Systems, Atomic Energy Commission, \$20,700. K. Niedenzu, Studies of Metal-Boron Compounds, Research Corporation, \$4,000. W. F. Wagner and D. E. Sands, Properties and Structures of Solvates of Metal Chelates Encountered in Solvent Extraction Systems, AEC, \$28,845.

Department of French--Theodore Mueller, Foreign Language Conference, Miscellaneous, \$2,075 (additional funds).

Department of Mathematics--Edgar Enochs, Absolutely Pure Modules, National Science Foundation, \$10,000. L. Wayne Goodwyn and J. P. Ryan, Topological Entropy and Generators, NSF, \$17,000. C. A. Eberhart, Locally Compact Semigroups, NSF, \$11,169. E. E. Enochs, Isomorphic Polynomial Rings, NSF, \$20,000.

Department of Media Services--Don Wheeler, CPB Support Grant, Corporation of Public Broadcasting, \$9,500. D. E. Wheeler, Public Radio Support Grant for CPB-Qualified Stations, Corporation for Public Broadcasting, \$7,500.

Department of Music--Wesley K. Morgan, Collegium Musician Program, Mrs. W. H. Lyons, \$50.

Department of Physics--G. S. Hurst, Charged Particle Interactions with Noble Gases, AEC, \$5,000 reduction (total amount of grant now \$11,000).

Department of Sociology--H. L. Voss, Deviant Behavior Drug Abuse, NIH, \$57,652. M. C. Coughenour, Social Sciences - Research Training, National Institute of Mental Health, \$36,960.

Department of Anthropology--P. Drucker, Archeological Reconnaissance Studies, National Park Service, \$568. P. Drucker, Archeological Salvage Reconnaissance and Survey in Right-of-way of Section 1, Interstate 24, Marshall and McCracken Counties, Ky., Kentucky Department of Highways, \$8,832.

#### COLLEGE OF BUSINESS AND ECONOMICS

Office of Development Services and Business Research--H. K. Charlesworth, Coal Transport Study, Office of the Attorney General, \$3,000. H. K. Charlesworth, Educational Assistance to Local Government, University of Kentucky as the State Agency for Title 1, \$17,500. W. C. Tolman, Continuing Labor Education, UK-Title 1, \$19,000.

#### COLLEGE OF EDUCATION

Department of Vocational Education--Harold Binkley, Vocational and Technical Education Workshop Development Program, United States Office of Education and Kentucky Bureau Vocational Education, \$109,200. H. Bruce, Training Institute to Develop Leadership in Vocational Curriculum Development, Kentucky Bureau of Vocational Education, \$34,802.

Department of Curriculum and Instruction--Lowell Eberwein, Academic Skills Program at Kentucky Village, State Department of Child Welfare, \$9,126. Sue Lail, Teacher Corps Interns Field Trip Account, Various, \$600. Sue Lail, Teacher Corps - Intervening Summer - Cycle Four, USOE, \$2,570 (additional funds - total amount of award now \$42,573). Sue Lail, Teacher Corps 2nd Year In-Service Cycle 4, USOE, \$14,697 (decrease in allotment - total amount of award now \$37,301).

#### CENTER FOR DEVELOPMENTAL CHANGE

Leila Fraser, University of Kentucky - Monterrey Summer Program, Sears-Roebuck Foundation, \$2,000 (additional funds - total amount of award now \$4,000). W. H. Griffin, Ecuador Participants Office of International Programs (Kentucky Geological Survey and the U. S. Geological Survey), AID, \$3,628 (additional funds - total amount of award now \$8,082). Howard Beers, Crouse Foundation - Summer Study Fellowship, Crouse Foundation, \$600. K. Anshel, Analysis of Migration From Eastern Kentucky, NIH, \$71,600. L. Fraser, University of Kentucky - Monterrey Summer Program, Monterrey Technological Institute, \$1,000. Peace Corps Training Center--W. Leach and V. Owens, Venezuelan Hospital Administration Training Program, Peace Corps, \$129,908.

COLLEGE OF ENGINEERING

Department of Chemical Engineering--C. E. Hamrin, Parametric Pumping, AEC, \$19,006. C. E. Hamrin, Separation of Boron Isotopes By Parametric Pumping, AEC, \$17,000.

Department of Civil Engineering--Fred Wright, Design of Roof Bolt Patterns for Jointed Rocks, Bureau of Mines, \$3,608 (additional funds - total amount of award now \$33,522). Fred Wright, Stresses and Safe Bolting Patterns in Coal Mine Roof Rocks at Intersections, Bureau of Mines, \$65,258. R. A. Lauderdale, Training in Water Supply and Pollution Control, U. S. Department of the Interior, Federal Water Pollution Control Administration, \$6,447.13.

Department of Electrical Engineering--R. L. Cosgriff, Unmanned Vehicles for Use in Mines, Bureau of Mines, \$93,973 (additional funds).

Office of Research Engineering Services--R. E. Puckett, Research Relating to Use of Digital Computers, Fuller, Mossbarger and Scott, \$250.

Wenner-Gren Research Laboratory--K. O. Lange, Supplement No. 7 - Response of Earth Organisms to Centrifugally Simulated Gravities and Related Stresses Environments, National Aeronautics and Space Administration, \$137,500.

INSTITUTE OF ENVIRONMENTAL RESEARCH

James Prestridge, Land Development Study in Western Kentucky, Kentucky Reclamation Association Inc., \$17,000.

COMMUNITY COLLEGE SYSTEM

Ashland Community College--N. Ghassomians, Summer Program for the Disadvantaged, Kentucky Bureau of Vocational Education, \$12,300. R. L. Goodpaster, Library Materials Grant Title 11-A HEA 65, U. S. Office of Education, \$2,815.

Elizabethtown Community College--J. S. Owen, Library Materials Grant Title 11-A HEA 65, U. S. Office of Education, \$2,672.

Hazard Community College--J. M. Jolly, Library Materials Grant Title 11-A HEA 65, USOE, \$2,703.

Henderson Community College--M. Arnold, Library Materials Grant Title 11-A HEA 65, USOE, \$2,789.

Hopkinsville Community College--T. Riley, Summer Program for the Disadvantaged, Kentucky Bureau of Vocational Education, \$6,600.

Jefferson Community College--H. R. Bolan, Orientation to Office Occupations, Ky. BVE, \$4,593.25. J. Smith, Summer Program for the Disadvantaged, Ky. BVE, \$9,050.

Madisonville Community College--G. H. Massey, Library Materials Grant Title 11-A HEA 65, USOE, \$2,670. G. H. Massey, Summer Program for the Disadvantaged, Ky. BVE, \$6,600.



Maysville Community College--C. Wethington, Library Materials Grant Title 11-A HEA 65, USOE, \$2,748.

Paducah Community College--D. Clemens, Library Materials Grant Title 11-A HEA 65, USOE, \$2,606.

Prestonsburg Community College--R. R. Allen, Summer Program for the Disadvantaged, Ky. BVE, \$15,521.40. H. A. Campbell, Library Materials Grant Title 11-A HEA 65, USOE, \$2,676.

Somerset Community College--R. Kelley, Library Materials Grant Title 11-A HEA 65, USOE, \$2,783. J. H. Trospen, Summer Program for the Disadvantaged, Ky. BVE, \$4,236.

Southeast Community College--W. P. Gerlach, ALCOR Program, Alcor, Incorporated, \$9,612. W. P. Gerlach, ALCOR Program, Alcor, \$14,303. H. L. Patterson, Upward Bound, USOE, \$65,401.

#### COMPUTING CENTER

M. Solomon, Data Processing Services - Isolation of Drug Sensitive Questions, Drug Effects, Etc., NIMH, \$2,349.62 (additional funds).

#### GRADUATE SCHOOL

L. W. Cochran, NSF Graduate Traineeship Program, NSF, \$10,120 (additional funds, total amount of grant now \$120,084).

#### INSTITUTE FOR ENVIRONMENTAL STUDIES

L. Samons, Student Environmental Design Project, National Society of Interior Designers Educational Foundation, Inc., \$2,450.

#### MARGARET I. KING LIBRARY

H. Gordon, Library Materials Grant Title 11-A Hea 65, USOE, \$16,570.

#### OHIO VALLEY REGIONAL MEDICAL PROGRAM

W. H. McBeath, Ohio Valley Regional Medical Program, NIH, \$368,979 (additional funds, total amount of grant now \$1,269,711).

#### COLLEGE OF LIBRARY SCIENCE

L. Allen, Training Program for Venezuelan Librarians, USOE, \$1,800.

#### COLLEGE OF SOCIAL PROFESSIONS

E. Witte, Enrichment of Undergraduate Social Welfare Program: Focus on Students With Atypical Background (2nd Year), Social and Rehabilitation Service, \$33,945. E. Witte, Training Program for Kentucky School Social Workers, UK - Title I, \$13,265.

#### SOCIAL WELFARE RESEARCH INSTITUTE

G. Wilber, Social Welfare Research Institute - Fiscal Year 1971, Social and Rehabilitation Service, \$95,000.

MEDICAL CENTER

Office of the Vice President--M. D. Sprinkle, Kentucky-Ohio-Michigan Regional Medical Library Union List of Serials, Wayne State University, \$23,289.22.

Medical Center Library--O. Hamlin Jr., Medical Library Resource Support, NIH, \$11,997.

COLLEGE OF DENTISTRY

Administration--M. W. Packer, Developing Leadership and Methods in Health Care Delivery, Bureau of Health Manpower, \$6,960. M. W. Packer, Health Professions Educational Improvement Program - Special Project Account, Bureau of Health Manpower, \$128,037.

Department of Oral Biology--G. I. Roth, Postremal Infusion of Potential Neurohumors, NIH, \$18,255. H. C. Bickley, Graduate Training in the Basic Sciences, NIH, \$24,517.

Department of Orthodontics--W. R. Proffit, Studies of the Intra-Oral Pressure Environment, NIH, \$1,990 (decrease in allotment - total amount of award now \$37,892). P. A. Thornton, Research Fellowship - Dr. David L. May, NIH, \$1,000. W. R. Proffit, Studies of the Intra-Oral Pressure Environment, NIH, \$34,248. W. R. Proffit, American Dental Association Research Account, American Dental Association, \$300. W. R. Proffit, American Association of Orthodontists, American Association of Orthodontists, \$200.

MEDICAL CENTER LIBRARY

Omer Hamlin, Kentucky, Michigan, Ohio Regional Medical Library Program, Wayne State University, \$1,765.05 (additional funds).

COLLEGE OF MEDICINE

Office of the Dean--W. S. Jordan, University of Kentucky College of Medicine Alumni Association Fund, Misc. Individuals, \$10, (additional funds). W. S. Jordan, Dean's Emergency Fund, Miscellaneous, \$50 (additional funds). W. S. Jordan, University of Kentucky College of Medicine Alumni Association Fund, University of Kentucky College of Medicine Alumni Association, \$345 (additional funds). W. S. Jordan, Coordinator-Field Worker for Disadvantaged Students, National Fund for Medical Education, \$43,198. W. S. Jordan, Health Professions Educational Improvement Program - Special Project Grant, Public Health Service, \$228,918.

Department of Anatomy--S. D. Smith, Studies of Adult Frog Limb Regeneration, NIH, \$8,887.

Department of Anesthesiology--M. A. Carnes, Anesthesia Fund, Misc. Individuals, \$50 (additional funds). P. P. Bosomworth, Anesthesiology-Miscellaneous, Miscellaneous Contributions, \$850 (additional funds). P. P. Bosomworth, Shriners Hospital for Crippled Children Account for Residents in Anesthesiology, Shriners Hospital for Crippled Children, \$8,000 (additional funds, total amount of grant now \$14,400). D. H. Morrow, Anesthesia and Digitalis Tolerance, NIH, \$14,026.

Department of Biochemistry--F. J. Bollum, Biosynthetic Polydeoxynucleotides, NIH, \$8,232 (additional funds). A. S. Hu, The Regulations of Bacterial Metabolism, NIH, \$3,902 (decrease in allotment - total amount of award now \$24,510). D. C. White, NIH, Post-Doctoral Supply Allowance - Paul H. Ray, NIH, \$1,000. D. C. White, Assay, Identification and Possible Removal of a Powerful Volatile Respiratory Inhibitor From Fresh Tobacco Smoke, American Medical Association, \$38,689.

Department of Community Medicine--I. B. Shine, Genetic Diseases-Service Contract, State Department of Health, \$2,000. I. B. Shine, Genetic Diseases-Service Contract, State Department of Health, \$12,000. M. H. Vandiviere, Utilization Review Committee, Kentucky State Department of Health Service Contract, \$3,356. M. H. Vandiviere, Continuing Education Program - T.B. Control (UKRF 1309), Ky. Health Service Contract, \$13,850. M. H. Vandiviere, T.B. Control Consultant Service, Ky. Health Service Contract, \$9,244. A. S. Benenson, Residency Training, General Preventive Medicine, PHS, \$19,375. A. S. Benenson, Administrative Activities of the Director Commission on Immunization, Armed Forces Epidemiological Board, U.S. Department of the Army, \$8,033. M. L. Furcolow, Studies in Production, Testing and Field Evaluation of Fungus Antigens for Fine Testing, Lederle Laboratories, \$5,000. I. B. Shine, Genetic Survey in Kentucky, Kentucky Department of Health, \$2,500. M. H. Vandiviere, Haitian T.B. Project, Haitian American Tuberculosis Institute, \$1,650 (additional funds, total amount of grant now \$9,023).

Department of Medicine--James Hollingsworth, Leukocyte Function in Arthritic Joints, NIH, \$21,606. Robert Luke, Student Scholarship Fund, AMA, \$750. E. D. Rees, Phase III Clinical Study of MK-185, Merck Sharp & Dohme Research Labs., \$59,840. Ralph Shabetai, Student Scholarship Fund, Kentucky Heart Association, \$3,200 (additional funds). Borys Surawicz, Abnormal Egg in the Absence of Heart Disease-Symposium, Hoechst Pharmaceutical Co., \$500.

Department of Ophthalmology--J. Wirtschafter, Ophthalmology Development Fund, Misc. Individuals, \$864 (additional funds).

Department of Microbiology--J. E. Siskin, Metabolic Sequences in the Mitotic Cycle, NIH, \$39,869.

Department of Pathology--W. V. Miller, Central Kentucky Blood Center Contract, Central Kentucky Blood Center, \$19,294.

Department of Pediatrics--J. Calton, Children's Fund, WLW-Lyons Charities, Miscellaneous Individuals, \$575 (additional funds). V. L. James, Cystic Fibrosis Diagnostic and Treatment Clinical Center, National Cystic Fibrosis Research Foundation, \$2,850. J. A. Noonan, Significance of Hypohaptoglobinemia in Rheumatic Fever, Kentucky Heart Association and Heart Association of Louisville and Jefferson County, \$5,518. J. Noonan, Pediatric's Heart Research Fund and Ricky Warren Memorial, Misc. Individuals, \$10 (additional funds). W. E. Wheeler, Gerber Scholarship Account, Gerber Products Co., \$800 (additional funds).

Department of Pharmacology--T. Csaky, Combined M.D./M.S. Pharmacology Program, Merck Co. Foundation, \$3,200 (additional funds). H. A. Gordon, Post-Doctoral Supply Allowance - Tracy D. Wilkins, NIH, \$1,000.

Department of Physiology--J. W. Archdeacon, Uptake of Iron by the Developing Placenta, NIH, \$19,863.

Department of Physiology and Biophysics--B. Peretz, Neural Correlates of Periodic and Patterned Movements, National Institutes of Mental Health, \$32,396.

Department of Psychiatry--W. G. Drew, Action of Marijuana on Recent Memory Mechanisms, NIH, \$50,777, in July; \$63,564, in June.

Department of Surgery--A. Hellebusch, Urological Research and Resident Fund, Misc. Individuals, \$360 (additional funds). W. R. Jewell, Clinical Drug Evaluation Program, State of Wisconsin, \$1,567.80 (additional funds). P. M. Weeks, Regulation of Cell Synthesis of Collagen and Mucopolysaccharide, The John A. Hartford Foundation, \$20,132.

#### COLLEGE OF NURSING

L. M. Denman, Undergraduate Psychiatric Nursing, NIMH, Division of Manpower and Training Programs, \$24,813.

#### COLLEGE OF PHARMACY

Department of Clinical Pharmacy--C. A. Walton, Adverse Drug Reaction Reporting Program, Treasurer of the United States, \$200 (additional funds). Department of Pharmacy--J. V. Swintosky, Health Professions Educational Improvement Program - Institutional Grant, NIH, Bureau of Health Professions, Education, and Manpower Training, \$107,998.

#### COLLEGE OF ALLIED HEALTH PROFESSIONS

Department of Clinical Pastoral Counseling--R. S. Carpenter, UKRF Clinical Pastoral Education Account, Misc. Personal Donations, \$1,200 (additional funds).

#### OTHER

UK Library Associates \$223.42

#### 24. SIGNIFICANT ACTIVITIES OF FACULTY AND STAFF

Football Coach John Ray served as co-chairman with Mrs. Sue Hackett of the 1971 Hope Chest campaign of the Eastern Kentucky Chapter of the National Multiple Sclerosis Society.

James P. Alcorn, director of the Placement Service, has been elected president of Spindletop Hall. Jay Brumfield, Alumni Affairs, continues as secretary.

Constance P. Wilson, associate professor of social work, took part in a curriculum workshop in teaching of social work practice as integrated method held at Ohio State University in June.

Donald F. Wallace, coordinator of federal programs, Elizabethtown Community College, is spending six weeks at American Association of Junior Colleges national headquarters for intensive backgrounding in Congressional and other federal operations, four weeks in the office of a congressman, and two weeks in a regional office of the U. S. Department of Health, Education and Welfare, as one of six AAJC interns.

"Fundamentals of Oral Surgery" is the title of a new basic text for dental students just published by two faculty members of the College of Dentistry. Dr. Emmett R. Costich, associate dean of the college and professor of oral surgery, and Dr. Raymond P. White, assistant professor and chairman of the Department of Oral Surgery, explain in their book the newest and accepted procedures of sound oral surgical principles and techniques.

Dr. Albert S. Levy, associate professor of education, has been appointed to the Kentucky Commission on Employment of the Handicapped and will serve as an advisory member.

Dr. S. Sidney Ulmer, professor of political science, presented a study on changes in judicial behavior at Virginia Polytechnic Institute for 50 faculty members of political science departments from throughout the U.S. who were attending a six-week conference on Mathematical Applications in Political Science, sponsored by the National Science Foundation.

Dr. Pedro L. Koe-Krompecher, College of Architecture, has been appointed by Governor Louie B. Nunn as a member of the Kentucky Heritage Commission, the administrative body for preservation of historic buildings, structures and sites.

Paul Michael Davis, associate professor of accounting, is co-author of an income tax guide published recently by the Estate Tax Publishing Co. Dr. Davis's monograph is entitled "A Practical Guide to Preparing a Fiduciary Income Tax Return." The work was done with the cooperation of Frederick W. Whiteside of the College of Law.

Dr. James G. Harris Jr., professor of psychology, has been re-elected to a four-year term on the Kentucky Board of Examiners in Psychology. He currently serves as chairman.

Office of the President  
August 17, 1971

PR 2

Members, Executive Committee, Board of Trustees:

RECOMMENDATIONS OF THE PRESIDENT

I. Quarterly Report on Gifts and/or Grants (See attachment)

Recommendation: that the report on gifts and/or grants covering the period from April 1, 1971 through June 30, 1971 be accepted and made a matter of record.

Background: The attached report represents the gifts and/or grants accepted by the President on behalf of the Board of Trustees for the period April 1 through June 30, in accordance with the policy adopted by the Board of Trustees on November 19, 1965. Acknowledgment of gifts and/or grants has been made to all donors by the President on behalf of the Board.

II. Approval of Degrees and Certificates for  
Community College Graduates

Recommendation: that the degrees and certificates specified below be awarded to the persons named, upon certification that the requirements for those degrees or certificates have been satisfactorily completed.

Background: At the November 19, 1965 meeting of the Board of Trustees authorization was given to the awarding of the Degrees of Associate in Arts, Associate in Science and Associate in Applied Sciences, and of certificates for those who complete prescribed programs of two years or less of college work. The candidates listed below are recommended to the President and the Board by the Community College Faculty and Vice President.

COMMUNITY COLLEGE SYSTEM  
1971 Summer Graduates

Elizabethtown Community College

*Associate in Arts*  
DeVille, Katherine L.

*Associate in Science*  
Gray, Sandra  
Paschall, Donna E.

*Associate in Applied Science*  
Farmer, Deloris A.  
McDowell, John B.  
Mabe, Deborah A.

Henderson Community College

*Associate in Arts*  
Tomlimgson, Vyrdena G.

*Associate in Applied Science*  
Ayers, Chester M.  
Parr, James M.

*Certificate of College Studies*  
Hopkins, Steve R.  
Knight, John E.  
Marlin, David M.

Jefferson Community College

*Associate in Arts*  
Bierbaum, Deborah  
Brown, Dianah  
Fields, Linda  
Habich, Albert L.  
Hale, George  
Kaufman, Rebecca S.  
Kraus, Bruce A.  
Mabrey, Laurie  
McFarland, William, Jr.  
Mudrick, Martha M.  
Roth, Gary

*Associate in Applied Science*  
Heck, Patricia A.  
Gunn, William T.

*Certificate of College Studies*  
William R. Selman

Lexington Technical Institute

*Associate in Applied Science*  
Durrett, Susan  
Elam, Angela

Maysville Community College

*Associate in Arts*  
Henderson, Linda J.  
Plummer, Larry W.

Paducah Community College

*Associate in Arts*  
McKinney, Janet F.  
Miller, Barbara A.  
Wilson, Roger D.

*Associate in Science*  
Downs, Sandra K.  
Edwards, Larry S.  
Kight, Stephen B.

Frostonsburg Community College

*Associate in Arts*  
Montgomery, Glennora  
Owens, James W. II

*Certificate of College Studies*  
Childers, Tony R.  
Conaway, Martha S.  
Daniels, Lon C.  
Dotson, Mitchell, Jr.

Frestonsburg Community College (cont')

Duty, Vernon D.  
Garrett, Sandra K.  
Miller, William D.

Somerset Community College

*Associate in Arts*

Bourne, James  
Mulberry, Wayne  
Roberts, Joseph  
Slynn, Robert, Jr.

*Associate in Science*

Morris, Ralph

*Certificate of College Studies*

Dishman, Harold  
Gilmore, Margaret



III. Appointments and/or Other Staff Changes

Recommendation: that approval be given to the appointments and/or other changes which require Board action and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: Approval of the Board of Trustees is required for all appointments to the positions with the rank of Associate Professor and above, for promotions, for the granting of tenure, and for salary changes above \$12,000 for non-academic personnel and \$15,000 for academic personnel. All other appointments and/or changes may be made by the President and reported to the Board.

BOARD ACTION

New Appointments

- Gary Bower, Visiting Associate Professor, Department of Art, College of Arts and Sciences, effective September 1, 1971
- Graeme Fairweather, Associate Professor, Department of Mathematics, College of Arts and Sciences, retroactive to July 1, 1971
- Milton E. Morris, Associate Extension Professor\*, Agriculture Extension Program, College of Agriculture, retroactive to July 1, 1971
- Brian Motley, Associate Professor (with tenure), Department of Economics, College of Business and Economics, retroactive to August 15, 1971
- Hirofumi Shibata, Associate Professor (with tenure), Department of Economics, College of Business and Economics, retroactive to August 15, 1971
- Roger Wets, Professor (with tenure), Department of Mathematics, College of Arts and Sciences, retroactive to August 15, 1971
- Arthur A. Hellebusch, Associate Clinical Professor (part-time), Department of Surgery, College of Medicine, retroactive to July 1, 1971
- John J. Hutton, Associate Professor (with tenure), Department of Medicine, College of Medicine, effective December 1, 1971
- Joseph C. Parker, Jr., Associate Professor (with tenure), Department of Pathology, College of Medicine, retroactive to August 1, 1971
- Carl J. Peter, Assistant Professor\*, Department of Allied Health Education and Research, and Coordinator of Continuing Education, Center for Learning Resources, College of Allied Health Professions, retroactive to August 1, 1971 (Salary over \$15,000)
- Edward Patrick Engels, Assistant Professor, Department of Radiation Medicine, College of Medicine, retroactive to June 1, 1971 (Salary over \$15,000)
- Patrick F. Hagihara, Assistant Professor, Department of Surgery, College of Medicine, retroactive to July 1, 1971 (Salary over \$15,000)

\*Special Title Series

Theodore A. Kotchen, Assistant Professor, Department of Medicine,  
College of Medicine, effective January 1, 1972 (Salary over \$15,000)  
Jagdish S. Patil, Assistant Professor, Department of Surgery, Division  
of Urology, College of Medicine, retroactive to June 1, 1971 (Salary  
over \$15,000)  
John R. van Nagell, Jr., Assistant Professor, Department of Obstetrics  
and Gynecology, College of Medicine (Salary over \$15,000)  
Stanley B. Carpenter, Assistant Professor, Department of Forestry,  
College of Agriculture, retroactive to July 1, 1971, (Salary over \$15,000)  
Harvey E. Hamilton, Assistant Extension Professor, Department of  
Agricultural Engineering, College of Agriculture, retroactive to  
July 1, 1971 (Salary over \$15,000)  
Opal Mann, Assistant Extension Professor\*, Home Economics Extension,  
College of Agriculture, retroactive to July 1, 1971 (Salary over \$15,000)  
Charles E. Rieck, Assistant Professor, Department of Agronomy, College  
of Agriculture, retroactive to July 15, 1971 (Salary over \$15,000)

#### Administrative Appointments

Thomas H. Maher, Director of Program Analysis and Evaluation, Office  
of Vice President for Institutional Planning, retroactive to August 15, 1971  
William E. Corley, Associate Administrator, University Hospital,  
retroactive to June 1, 1971  
Clarence J. Young, Jr., Director of Medical Center Development, Medical  
Center, retroactive to August 1, 1971

#### Changes in Status

Robert C. Emling, Director of Medical Illustrations, Division of Library  
and Communication Systems, changed to Associate Director of Division  
of Library and Communication Systems, Medical Center, retroactive to  
July 1, 1971  
Paul A. Thornton, Associate Professor (Adjunct Series), Department of  
Physiology and Biophysics, College of Medicine, changed to Associate  
Professor (with tenure), Department of Clinical Nutrition, College of  
Allied Health Professions, retroactive to July 1, 1971

#### Non-Academic Appointment

Nancy L. Green, Student Publications Adviser, Division of Student Affairs,  
retroactive to August 16, 1971 (Salary over \$12,000)

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\*Special Title Series

Promotions (Retroactive to July 1, 1971)

- V. Aravindakshan, Department of Medicine, College of Medicine, from Instructor of Clinical Medicine to Assistant Professor
- John E. Plumlee, Department of Anesthesiology, College of Medicine, from Assistant Professor to Associate Professor (with tenure)
- Lloyd F. Redick, Department of Anesthesiology, College of Medicine, from Associate Professor (with tenure) to Professor (with tenure) and Chairman
- Nicholas J. Pisacano, from Associate Professor of Clinical Medicine\*, Department of Medicine, College of Medicine--Associate Professor of Biology (with tenure), T. H. Morgan School of Biological Science, College of Arts and Sciences--Associate Professor\*, Department of Allied Health Education and Research, College of Allied Health Professions to Professor\*, Department of Allied Health Education and Research--Associate Professor of Clinical Medicine\*, Department of Medicine--Associate Professor of Biology (with tenure), T. H. Morgan School of Biological Science
- Margaret Jean Ryan, Elizabethtown Community College, from Instructor to Senior Instructor (with tenure)

Reappointment (Retroactive to July 1, 1971)

- Harriett A. Rose, Associate Professor, Department of Psychology, College of Arts and Sciences

Leaves of Absence

- Robert M. Drake, Jr., Dean, College of Engineering, leave without pay for the period September 1, 1971 through August 31, 1972
- Robert J. DeAngelis, Associate Professor, Department of Metallurgical Engineering and Material Science, College of Engineering, sabbatical leave for the period July 1, 1972 through June 30, 1973, at half-salary
- Ellis Hartford, Professor, Department of Social and Philosophical Studies, College of Education, sabbatical leave for one semester beginning January, 1972
- Anne C. Hayes, Instructor, Department of Vocational Education, College of Education, leave without pay for the period September 1, 1971 through August 31, 1972
- George S. Hurst, Professor, Department of Physics and Astronomy, College of Arts and Sciences, extension of leave without pay for the period July 1, 1971 through June 30, 1972

\*Special Title Series

- Terence Johnson, Associate Professor, Department of Art, College of Arts and Sciences, leave without pay for the period July 1, 1971 through June 30, 1972
- John E. Kennedy, Professor, College of Law, extension of leave without pay for the academic year 1971-72
- Gilbert Sears, Area Extension Specialist, College of Agriculture, sabbatical leave at half-salary for the period October 20, 1971 through April 20, 1972, and leave without pay for the period April 21, 1972 through August 31, 1972
- James Simpson, Associate Professor, Department of Mathematics, College of Arts and Sciences, leave without pay for the period July 1, 1971 through June 30, 1972
- Robert Spillman, Instructor-Student Teaching\*, Department of Vocational Education, College of Education, extension of leave without pay for the period August 15, 1971 through June 30, 1972
- William S. Ward, Professor, Department of English, College of Arts and Sciences, sabbatical leave for the period January 1, 1972 through June 30, 1972
- Dale H. Farabee, Assistant Clinical Professor, Department of Psychiatry, College of Medicine, leave without pay for the period July 1, 1971 through June 30, 1972
- Jan D. Hasbrouck, Associate Professor, Department of Anesthesiology, College of Medicine, sabbatical leave postponed
- Louise R. Jimm, Associate Professor of Clinical Nursing, College of Nursing, leave without pay for the period July 1, 1971 through August 13, 1971
- Stephen K. Atkinson, Instructor, Somerset Community College, leave without pay for the period July 1, 1971 through June 30, 1972
- Walter Gerlach, Senior Instructor, Southeast Community College, leave without pay for the period July 1, 1971 through December 31, 1971, and sabbatical leave for the period January 1, 1972 through June 30, 1972, at half-salary
- Martha Hill, Instructor, Elizabethtown Community College, leave without pay for the period July 1, 1971 through June 30, 1972

#### Retirements

- Laura K. Martin, Associate Professor, College of Library Science, after 31 years of service, retroactive to June 30, 1971
- Bernard C. Burman, Laboratory Technician A, Department of Animal Sciences, College of Agriculture, after 15 years of service, effective September 15, 1971
- Mae J. Mountjoy, Supervisor, Alumni Relations and Records, after over 15 years of service, effective October 19, 1971

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\*Special Title Series

ADMINISTRATION ACTION

New Appointments

Arvil Van Adams, Assistant Professor, Department of Economics, College of Business and Economics  
Paul J. Amatuzzo, Assistant Professor, College of Architecture  
Rexford W. Avery, Visiting Instructor, Department of Physics and Astronomy, College of Arts and Sciences  
Joseph Baber, Assistant Professor, Department of Music, College of Arts and Sciences  
Allan Bashor, Assistant Professor, Department of Sociology, College of Agriculture  
Russell Lee BreDahl, Assistant Extension Professor, Department of Animal Sciences, College of Agriculture  
James Campbell, Assistant Professor, Department of Art, College of Arts and Sciences  
Richard W. Carey, Assistant Professor, Department of Mathematics, College of Arts and Sciences  
Patricia Cegelka, Assistant Professor, Department of Special Education, College of Education  
Kenneth M. Coleman, Assistant Professor, Department of Political Science, College of Arts and Sciences  
Michael B. Collins, Instructor, Department of Anthropology, College of Arts and Sciences  
Maria G. Dallerba, Visiting Professor, College of Architecture  
Bill Con Dobbs, Visiting Instructor, Department of Physics and Astronomy, College of Arts and Sciences  
David Edwards, Jr., Visiting Instructor, Department of Physics and Astronomy, College of Arts and Sciences  
Steven B. Edwins, Assistant Professor, College of Architecture  
James E. Fletcher, Assistant Professor, Department of Telecommunications, College of Arts and Sciences  
Kenneth B. Germain, Assistant Professor, College of Law  
Thomas Hammock, Assistant Professor (Adjunct)\*, Department of Psychology, College of Arts and Sciences  
J. Merrell Hansen, Assistant Professor, Department of Curriculum and Instruction, College of Education  
John R. Hartman, Assistant Extension Professor, Department of Plant Pathology, College of Agriculture  
Joseph Murray Hayse, Instructor, Department of English (Honors), College of Arts and Sciences  
Randall B. Heiligmann, Assistant Professor, Department of Forestry, College of Agriculture  
Donald H. J. Hermann, Assistant Professor, College of Law  
Thomas S. Howell, Assistant Professor (Applied)\*, Department of Music, College of Arts and Sciences

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\* Special Title Series

David R. Janssen, Assistant Professor, Department of Special Education,  
College of Education  
Arthur Jones, Instructor, Department of Art, College of Arts and Sciences  
Paul Knipping, Assistant Professor, Department of Health, Physical  
Education and Recreation, College of Education  
Kathleen B. Levitz, Visiting Assistant Professor, Department of Mathematics,  
College of Arts and Sciences  
Boyd Mefferd, Visiting Associate Professor, Department of Art, College of  
Arts and Sciences  
\*\* Joseph Melnick, Assistant Professor, Department of Psychology, College of  
Arts and Sciences  
Richard E. Nettleton, Visiting Associate Professor, Department of Physics  
and Astronomy, College of Arts and Science  
Michael Pease, Assistant Professor, College of Architecture  
Diane Renne, Assistant Professor, Department of Special Education, College  
of Education  
Jerry G. Rose, Assistant Professor, Department of Civil Engineering,  
College of Engineering  
Robert Ross, Assistant Professor, Department of Art, College of Arts  
and Sciences  
Don K. Seegmiller, Instructor, Department of Political Science, College of  
Arts and Sciences  
Richard L. Smith, Assistant Professor, Department of Curriculum and  
Instruction, College of Education  
Franklin D. Snyder, Visiting Instructor, Department of Physics and  
Astronomy, College of Arts and Sciences  
Robert Tharsing, Visiting Assistant Professor, Department of Art, College  
of Arts and Sciences  
Dean Trivette, Librarian IV, Agriculture Library  
Ellen L. Voland, Instructor, Department of Human Development and Family  
Relations, College of Home Economics  
Richard A. Winett, Assistant Professor, Department of Psychology, College  
of Arts and Sciences  
Gerald L. Anderson, Lecturer, Department of Social Work, College of  
Social Professions  
Raymond Lynn Bradley, Lecturer and Resource Coordinator, College of  
Social Professions  
Ralph A. Johnson, Lecturer (part-time), Department of Social Work, College  
of Social Professions, and Director of Education for the Project "Newgate"  
M. Patrick McCabe, Lecturer, Department of Social Work, College of  
Social Professions, and Project Director of "Newgate"  
David A. Shattles, Lecturer, Department of Social Work, College of  
Social Professions  
Pete E. Travis, Lecturer, Department of Social Work, College of Social  
Professions  
William C. Young, Lecturer, Department of Social Work, College of Social  
Professions

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\*\* Appointment will be at rank of Instructor if Ph. D. requirements are not  
completed by September 1, 1971

Larry Robert Hudson, Instructor\*, Department of Respiratory Therapy,  
College of Allied Health Professions  
James Albert Jackson, Assistant Professor\*, Department of Medical  
Technology, College of Allied Health Professions  
Susan B. Lipscomb, Instructor\*, Department of Radiologic Technology,  
College of Allied Health Professions  
Nancy K. Socha, Instructor (part-time), Department of Medical Technology,  
College of Allied Health Professions  
Earl Preston Hicks, Visiting Assistant Professor, Department of Pedodontics,  
College of Dentistry (on assignment from University of North Carolina and  
will be compensated by that institution)  
Robert D. Marciani, Assistant Professor (part-time), Department of Oral  
Surgery, College of Dentistry  
Robert E. McGlone, Visiting Associate Professor, Department of Orthodontics,  
College of Dentistry  
Rodney Oney, Instructor, Department of Restorative Dentistry, College of  
Dentistry  
Erik Rambusch, Instructor, Department of Periodontics, College of Dentistry  
Loren L. Miller, Instructor, Department of Psychiatry, College of Medicine  
Raymond E. Papka, Instructor of Anatomy, Department of Anatomy, College  
of Medicine  
Sara S. Anshel, Librarian IV, Medical Center Library  
Shari Imse, Clinical Instructor, Department of Dental Hygiene, College of  
Allied Health Professions (Voluntary Faculty)  
A. Roger Meece, Clinical Instructor, Department of Physical Therapy,  
College of Allied Health Professions (Voluntary Faculty)  
Jennie D. Seaton, Assistant Clinical Professor, Department of Medical  
Technology, College of Allied Health Professions (Voluntary Faculty)  
M. Jane Wilson, Clinical Instructor, Department of Medical Technology,  
College of Allied Health Professions (Voluntary Faculty)  
Edward Bruen, Clinical Instructor, Department of Community Dentistry,  
College of Dentistry (Voluntary Faculty)  
Kenneth Estes, Clinical Instructor, Department of Community Dentistry,  
College of Dentistry (Voluntary Faculty)  
John Noel, Clinical Instructor, Department of Community Dentistry,  
College of Dentistry (Voluntary Faculty)  
Leon Riggs, Clinical Instructor, Department of Community Dentistry, College  
of Dentistry (Voluntary Faculty)  
Robert Sparks, Clinical Instructor, Department of Community Dentistry,  
College of Dentistry (Voluntary Faculty)  
James D. Hull III, Clinical Instructor, Department of Surgery, College of  
Medicine (Voluntary Faculty)  
Thomas Allen Woodward, Clinical Instructor, Department of Pediatrics,  
College of Medicine (Voluntary Faculty)  
Paul Baumgartner, Clinical Instructor, Department of Clinical Pharmacy,  
College of Pharmacy (Voluntary Faculty)

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\*Special Title Series

Raymond Richard Schultetus, Instructor, Ashland Community College  
Wilbur G. Smith, Librarian IV, Ashland Community College  
Gary Dale Scott, Instructor, Elizabethtown Community College  
Garland K. Holloway, Instructor, Hazard Community College  
Mary Elizabeth Monninger, Senior Instructor, Hazard Community College  
Cora A. Ellett, Instructor, Hopkinsville Community College and  
Madisonville Community College  
Marjanna Joan Frising, Librarian IV, Hopkinsville Community College  
Larry Eugene Lancaster, Instructor, Hopkinsville Community College and  
Madisonville Community College  
Carl W. Suddeath, Instructor, Hopkinsville Community College  
William T. Turner, Instructor, Hopkinsville Community College  
Nancy Carol Wilson, Instructor, Hopkinsville Community College  
Carol H. Baldwin, Instructor, Jefferson Community College  
Katherine Smith Detherage, Instructor, Jefferson Community College  
John Galbraith Duncan, Instructor, Jefferson Community College  
Edmund J. Gohmann, Jr., Instructor, Jefferson Community College  
Maria B. Miller, Instructor, Jefferson Community College  
Ronald M. Walford, Instructor, Jefferson Community College  
John Fuhrmann, Instructor, Lexington Technical Institute  
Timothy Alfred Cantrell, Instructor, Madisonville Community College  
B. James Dawson, Coordinator of Student Services, Madisonville Community  
College  
Harry King Benson, Instructor, Maysville Community College  
Jane Chapman, Instructor, Paducah Community College  
Patsy Ann Davis, Instructor, Paducah Community College  
Audrey Bucklew Faughn, Instructor, Paducah Community College  
Joe Dan Gold, Instructor, Paducah Community College  
Reva Jenkins Harper, Instructor, Paducah Community College  
Rodney W. Morman, Instructor, Paducah Community College  
John L. Stewart, Instructor, Paducah Community College  
O. K. Vick, Instructor, Paducah Community College  
Carolyn Sue Hay, Instructor, Prestonsburg Community College  
John A. Herald, Instructor and Admissions Officer, Prestonsburg Community  
College  
Neleta Jeffries, Instructor, Somerset Community College  
Wilda J. Newman, Instructor, Somerset Community College  
John A. Swope, Instructor, Somerset Community College  
Danny Robinette, Instructor, Southeast Community College  
Charles Melvin Simpson, Southeast Community College

#### Administrative Appointments

William Adams, named Acting Chairman of the Department of Anthropology,  
College of Arts and Sciences, for the Fall Semester, 1971



- Herbert Drennon, Acting Chairman, Department of French, College of Arts and Sciences, reappointed Acting Chairman of the Department of French for a one-year term
- James E. Funk, Professor, Department of Mechanical Engineering, College of Engineering, named Acting Dean of the College of Engineering for a one-year term, and reappointed Associate Dean for Graduate Studies for a three-year term retroactive to January 1, 1971
- Hebert Heger, Assistant Professor, Department of Curriculum and Instruction, College of Education, named Associate Director of Urban Education Center, Louisville, Kentucky for a two-year term
- James Hopkins, named Chairman of the Department of History, College of Arts and Sciences for a three-year term
- John E. Keller, named Interim Chairman of the Department of Spanish and Italian, College of Arts and Sciences
- Richard Lowitt, Professor, Department of History, College of Arts and Sciences, named Associate Dean of the College of Arts and Sciences for a two-year term
- Herbert L. Lyon, Associate Professor, Department of Business Administration, College of Business and Economics, named Assistant Dean for Program Development, and Director of Bureau of Government Services, Office of Business Development and Government Services
- L. Randolph McGee, Associate Professor, Department of Economics, College of Business and Economics, named Director of Bureau of Business and Economic Research, Office of Business Development and Government Services
- Joseph M. Ripley, Chairman, Department of Telecommunications, College of Arts and Sciences, reappointed Chairman of the Department of Telecommunications for a your-year term
- David W. Victor, Extension Instructor, Department of Business Administration, College of Business and Economics, named Director of Bureau of Business Extension, Office of Business Development and Government Services
- Carolyn McKinney, Assistant Professor, Department of Management and Family Economics, College of Home Economics, named Chairman of the Department of Management and Family Economics
- William S. Gochenour, Jr., Associate Professor, Department of Community Medicine, College of Medicine, named Director of Animal Care Services, Medical Center
- Loretta Denman, Professor, College of Nursing, named Acting Dean of the College of Nursing, effective September 1, 1971 for six months
- William H. Dennen, Chairman, Department of Geology, College of Arts and Sciences, reappointed Chairman of the Department of Geology for a four-year term beginning Fall, 1971

Promotions (Retroactive to July 1, 1971 unless otherwise specified)

- Joan Blythe, Department of English, College of Arts and Sciences, from Instructor to Assistant Professor
- Joseph F. Musser, Jr., Department of English, College of Arts and Sciences, from Instructor to Assistant Professor
- Jane Bryant, Department of Medical Technology, College of Allied Health Professions, from Instructor (part-time) to Assistant Professor (part-time)
- Reedes Hurt, Department of Medical Technology, College of Allied Health Professions, from Instructor (part-time) to Assistant Professor (part-time)
- Denver Robertson, Department of Medical Technology, College of Allied Health Professions, from Instructor (part-time) to Assistant Professor (part-time)
- Irvine Stapp, Department of Medical Technology, College of Allied Health Professions, from Instructor (part-time) to Assistant Professor (part-time)
- Thomas Stoeckinger, Department of Medical Technology, College of Allied Health Professions, from Clinical Instructor (Voluntary Faculty) to Assistant Clinical Professor (Voluntary Faculty)
- Walter R. Brewer, Department of Surgery, College of Medicine, from Clinical Instructor (Voluntary Faculty) to Assistant Clinical Professor (Voluntary Faculty)
- Guy C. Cunningham, Department of Pediatrics, College of Medicine, from Associate Clinical Professor (Voluntary Faculty) to Clinical Professor (Voluntary Faculty)
- Ira L. Hemmings, Department of Pediatrics, College of Medicine, from Clinical Assistant (Voluntary Faculty) to Clinical Instructor (Voluntary Faculty)
- Patrick L. Jasper, Department of Pediatrics, College of Medicine, from Clinical Assistant (Voluntary Faculty) to Clinical Instructor (Voluntary Faculty)
- Paul G. Kyker, Jr., Department of Pediatrics, College of Medicine, from Clinical Assistant (Voluntary Faculty) to Clinical Instructor (Voluntary Faculty)
- Garner E. Robinson, Department of Pediatrics, College of Medicine, from Clinical Assistant (Voluntary Faculty) to Clinical Instructor (Voluntary Faculty)
- William L. Underwood, Department of Pediatrics, College of Medicine, from Clinical Assistant (Voluntary Faculty) to Clinical Instructor (Voluntary Faculty)
- William T. Watkins, Department of Pediatrics, College of Medicine, from Clinical Assistant (Voluntary Faculty) to Clinical Instructor (Voluntary Faculty)
- Erwin A. Jones, Department of Pediatrics, College of Medicine, from Clinical Instructor (Voluntary Faculty) to Associate Clinical Professor (Voluntary Faculty)
- Martin P. Kaplan, Department of Pediatrics, College of Medicine, from Assistant Clinical Professor (Voluntary Faculty) to Associate Clinical Professor (Voluntary Faculty)

Noble T. MacFarlane, Jr., Department of Pediatrics, College of Medicine, from Assistant Clinical Professor (Voluntary Faculty) to Associate Clinical Professor (Voluntary Faculty)  
Joan E. Rider, Department of Pediatrics, College of Medicine, from Assistant Clinical Professor (Voluntary Faculty) to Associate Clinical Professor (Voluntary Faculty)  
Richard B. McElvein, Department of Surgery, College of Medicine, from Assistant Clinical Professor (Voluntary Faculty) to Associate Clinical Professor (Voluntary Faculty), retroactive to August 1, 1971  
Charles W. Taylor, Department of Pediatrics, College of Medicine, from Clinical Instructor (Voluntary Faculty) to Assistant Clinical Professor (Voluntary Faculty)  
Edith Joy Pennington, Jefferson Community College, from Instructor to Senior Instructor (with tenure)

Reappointments - Lexington Campus  
July 1, 1971 to July 1, 1972

College of Agriculture

Billy J. Barfield, Assistant Professor, Department of Agricultural Engineering  
Marcus R. Barnett, Assistant Extension Professor, Area Extension Programs  
C. T. Haan, Assistant Professor, Department of Agricultural Engineering  
Richard William Henley, Assistant Extension Professor, Department of Horticulture  
Raymond Gilbert Lockard, Associate Professor, Department of Horticulture  
Logan T. Louderback, Assistant Extension Professor\*, Area Extension Programs

College of Arts and Sciences

Henry M. Barlow, Assistant Professor, Department of Sociology  
Roger D. Haney, Assistant Professor, Department of Speech  
Daniel B. Henry, Assistant Professor, Department of Mathematics  
Donald F. McCoy, Jr., Assistant Professor, Department of Psychology  
Glen Wilfred Swanson, Assistant Professor, Department of History  
John Albert Via, Assistant Professor, Department of English  
Marian M. Winsryg, Assistant Professor, Department of Art

College of Allied Health Professions

Mildred Jobe, Instructor (part-time), Department of Medical Technology  
Betty S. Mulberry, Instructor (part-time), Department of Medical Technology  
Ellen Shackelford, Instructor (part-time), Department of Medical Technology

\*Special Title Series

Frances Cromwell, Clinical Instructor, Department of Physical Therapy  
(Voluntary Faculty)  
Joseph Drake, Clinical Instructor, Department of Physical Therapy  
(Voluntary Faculty)  
Robert Hayhurst, Clinical Instructor, Department of Physical Therapy  
(Voluntary Faculty)  
Donald Lange, Clinical Instructor, Department of Physical Therapy  
(Voluntary Faculty)  
Stuart Lowenthal, Clinical Instructor, Department of Physical Therapy  
(Voluntary Faculty)  
Stan Schlacter, Clinical Instructor, Department of Physical Therapy  
(Voluntary Faculty)  
Elizabeth Yoakum, Clinical Instructor, Department of Physical Therapy  
(Voluntary Faculty)  
John A. Koepke, Associate Clinical Professor, Department of Medical  
Technology (Voluntary Faculty)

Reappointments - Community College System

July 1, 1971 to July 1, 1972

Nikola Ghassomians, Instructor, Ashland Community College  
Donald A. Chapman, Instructor, Henderson Community College  
Julian E. Duffy, Instructor, Henderson Community College  
David J. Messer, Instructor, Henderson Community College  
Robert L. Schoenhoff, Instructor, Jefferson Community College  
Sara E. Fuller, Librarian IV, Paducah Community College  
Vannah C. Harris, Instructor, Somerset Community College

Changes in Status

Gretchen E. Lagodna, Assistant Professor of Clinical Nursing, College of  
Nursing, changed to Lecturer (half-time), College of Nursing  
Lal M. Rankin, Clinical Instructor (Voluntary Faculty), Department of  
Community Dentistry, College of Dentistry, changed to Instructor (part-  
time), Department of Oral Diagnosis and Oral Medicine, College of  
Dentistry  
William G. Malette, Associate Professor (Adjunct), Department of Surgery,  
College of Medicine, changed to Associate Professor, Department of  
Surgery, and Associate Dean for Veterans Affairs, College of Medicine  
Beverly A. Smith, Instructor (part-time), Department of Obstetrics and  
Gynecology, changed to Instructor, Department of Obstetrics and  
Gynecology, College of Medicine

Change in Title

William A. Bryan, Associate Dean of Students and Director of Student Services,  
changed to Associate Dean of Admissions and Student Personnel and  
Director of Student Services for the Medical Center

Terminal Reappointments (Retroactive to July 1, 1971)

Rodney Farrar, Assistant Professor of Music (Applied)\*, Department of Music, College of Arts and Sciences  
Gary L. Fowler, Assistant Professor, Department of Geography, College of Arts and Sciences  
Charles E. Eastin, Assistant Professor of Surgery\*, Department of Surgery, College of Medicine  
Kenneth Gerson, Assistant Professor, Department of Pediatrics, College of Medicine  
John W. Gorman, Assistant Professor, Department of Cell Biology, College of Medicine  
John P. Gustafson, Instructor, Paducah Community College  
Paul Harp, Instructor, Paducah Community College

\*Special Title Series

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Action: Approved     X     Disapproved            Other           

Date:   August 17  , 1971

GIFT REPORT

OFFICE OF DEVELOPMENT

April 1, 1971 - June 30, 1971

SUMMARY

COLLEGES AND DEPARTMENTS

Allied Health Professions-	
Clinical Pastoral Education	\$ 1,070.00
College of Engineering	9,452.98
College of Home Economics	25.00
College of Medicine	5,409.00
College of Nursing	55.00
College of Pharmacy	7,570.00
Department of Agronomy	1,200.00
Department of Horticulture	150.00
Department of Community Medicine	50.00
Department of Journalism	1,150.00
Department of Music	50.00
Department of Ophthalmology	215.00
Department of Philosophy	1,000.00
Department of Psychology	10,000.00
Department of Veterinary Science	50,000.00
Department of Social Services	400.00
Nell Donovan International Student Loan Fund	1,000.00
Little Kentucky Derby Loan Fund	163.00
Pediatrics Recreation Fund	25.00
Student Medical Association	
Summer Program	5,000.00
Sub Total	<u>\$ 93,984.98</u>

COMMUNITY COLLEGES

Ashland	3,972.50
Prestonsburg	3,570.15
Southeast	150.00
Sub Total	<u>\$ 7,692.65</u>

HAGGIN TRUST FUND \$ 36,402.45

MEMORIALS \$ 10,554.65

SANG AWARD \$ 2,943.98

SCHOLARSHIPS \$ 7,371.96

UNRESTRICTED \$ 5,550.00

TOTAL CASH \$ 164,500.67

TOTAL SECURITIES 6,031.25

TOTAL CASH & SECURITIES \$ 170,531.92

OFFICE OF DEVELOPMENT  
 GIFTS AND / OR GRANTS  
 QUARTERLY REPORT  
 April 1, 1971 - June 30, 1971

<u>DONOR</u>	<u>AMOUNT</u>	<u>PURPOSE</u>
Dr. & Mrs. Staley F. Adams	\$ 50.00	Hawkins Memorial
Mr. & Mrs. Hubert Allen	15.00	Shepherd Memorial Scholarship
Allied Chemical Foundation	750.00	Scholarship-Ashland
Allis Chalmers Foundation	1,000.00	Unrestricted
American Business Women's Association	330.00	Scholarship
Miss Marion Andros	10.00	College of Medicine
Armco Foundation	1,000.00	College of Engineering
Armco Steel Corporation	1,000.00	College of Engineering
Mrs. George Armstrong	20.00	Shepherd Memorial Scholarship
Mr. & Mrs. Manuel Arnett	10.00	Shepherd Memorial Scholarship
Arrasmith, Judd Rapp & Associates	50.00	Scholarship
Vernon Banks	5.00	Shepherd Memorial Scholarship
Robert L. Barnett	100.00	College of Pharmacy
Edward V. Barry	100.00	College of Pharmacy
R. Paul Baumgartner, Jr.	5.00	College of Pharmacy
Miss Metta Bean	25.00	Dorothy Bier Memorial
Mrs. Elizabeth Beddoe	10.00	Scholarship-Prestonsburg
Mrs. George Beddoe	35.00	Scholarship-Prestonsburg
Bennett & Tune Architects	50.00	Scholarship
Harry Best	5.00	Little Kentucky Derby Loan Fund

Beta Nu of Kappa Sigma	10.00	Little Kentucky Derby Loan Fund
Beta Psi of Alpha Delta Pi	10.00	Little Kentucky Derby Loan Fund
Mr. & Mrs. Jeff Bier	10.00	Dorothy Bier Memorial
Mr. & Mrs. John P. Bier	50.00	Dorothy Bier Memorial
Jack Binnion	20.00	College of Pharmacy
Stuart Blazer Foundation	3,000.00	String Music Youth Program- Ashland Community College
David K. Blythe	100.00	College of Engineering
Clyde A. Bolen	25.00	Tisdall Memorial
Hubert O. Bowen	1.00	Little Kentucky Derby Loan Fund
George Brabner, Jr.	20.00	Tisdall Memorial
William R. Bridges	250.00	Shepherd Memorial Scholarship
John Y. Brown, Jr.	1,000.00	Unrestricted
Phillip J. Brunskill	25.00	Pediatrics Recreation Fund
Frances K. Bump	10.00	Scholarship-Prestonsburg
Jeanne Bureau	5.00	Hawkins Memorial
Mrs. Charlotte Burns	25.00	Tisdall Memorial
James F. Caines	50.00	College of Engineering
Kash R. Callahan	80.00	College of Medicine
C. R. Carpenter	50.00	Scholarship
Mr. & Mrs. Dan Caulkins	15.00	College of Medicine
Beatrice Cavanaugh	15.00	Tisdall Memorial
Mr. & Mrs. O. R. Cecil	10.00	Hawkins Memorial
Dr. & Mrs. John Chenault	25.00	Hawkins Memorial
Elizabeth J. Churchill	10.00	Tisdall Memorial
Citizen's National Bank Prestonsburg, Kentucky	150.00	Scholarship-Prestonsburg
Mr. & Mrs. Paul Claiborne	50.00	Shepherd Memorial Scholarship
Class of 1970, U. K.	10.00	Tisdall Memorial



Albert G. Clay	15.00	Little Kentucky Derby Fund
Joe B. Clement	100.00	College of Pharmacy
Mr. & Mrs. W. A. Cleveland	5.00	Robert W. Hicks Memorial
Mr. & Mrs. Tony B. Cocanougher	10.00	Robert W. Hicks Memorial
Edward W. Cochran	20.00	Dorothy Bier Memorial
Caruthers A. Coleman, Jr.	50.00	Scholarship
College of Engineering Faculty	20.00	Hawkins Memorial
College of Nursing, Faculty Fund	55.00	College of Nursing
Mrs. Burton Collins	7.50	College of Medicine
Compton Adjustment Service, Incorporated	100.00	Scholarship-Prestonsburg
Condit Parent Teacher Association	10.00	Ashland Community College
Helen Crittenden	10.00	Dorothy Bier Memorial
Cumberland Lions Club	150.00	Scholarship-Southeast CC
Iris Lynn Dann	10.00	Tisdall Memorial
L. Berkeley Davis	1,000.00	College of Engineering
R. R. Dawson	10.00	Little Kentucky Derby Loan Fund
Dayton Power and Light Co.	500.00	Scholarship
Delta Gamma Sorority	15.00	Little Kentucky Derby Loan Fund
Delta Delta Delta Sorority	10.00	Little Kentucky Derby Loan Fund
Delta Zeta Sorority	20.00	Little Kentucky Derby Loan Fund
Dr. & Mrs. George Denmark	200.00	Carl Tatum Memorial
Design Environment Group Architects, Incorporated	100.00	Scholarship
Mrs. Hershel E. Dillion	26.65	Tisdall Memorial
Robert M. Drake, Jr.	200.00	College of Engineering
Paul H. Duback	20.00	Dorothy Bier Memorial
Dr. Mike Duff	10.00	Shepherd Memorial Scholarship
Beverly D. Duke	20.00	College of Pharmacy

Mr. & Mrs. George P. Dunn	5.00	Hawkins Memorial
Mrs. W. M. Dunn	5.00	Hawkins Memorial
Mr. & Mrs. Wilbert H. Earley	10.00	Shepherd Memorial Scholarship
Dr. & Mrs. Charles E. Eastin	5.00	Robert W. Hicks Memorial
Nancy R. Elwood	50.00	Tisdall Memorial
Mr. & Mrs. Joe T. Embry	15.00	Hawkins Memorial
Mr. & Mrs. Eugene Ensminger	12.00	Tisdall Memorial
J. Kenneth Evans	5.00	Shepherd Memorial Scholarship
George W. Ewell	200.00	Ewell Memorial Scholarship
George W. Ewell	200.00	Ewell Memorial Scholarship
Mrs. Cecil F. Farmer	5.00	Hawkins Memorial
Curte Ferguson	10.00	Shepherd Memorial Scholarship
Mrs. Maude Fielder	3.00	Shepherd Memorial Scholarship
First Federal Savings & Loan Association (P'burg)	300.00	Scholarship-Prestonsburg
First Security National Bank	35.00	Tisdall Memorial
Dr. & Mrs. Norman Fisher	25.00	Robert W. Hicks Memorial
Mr. David Fleming	30.00	Tisdall Memorial
Dr. Timothy G. Fleming	10.00	College of Medicine
Floyd County PTA	200.00	Scholarship-Prestonsburg
Floyd Federal Savings & Loan Association	300.00	Scholarship-Prestonsburg
Forest Avenue Christian Church Disciples	20.00	Tisdall Memorial
Francis Stokes	300.00	Scholarship-Prestonsburg
J. B. Freeland	75.00	College of Medicine
Dr. John H. Freer	10.00	College of Medicine
Dr. Joseph M. Freiberg	50.00	Department of Community Medicine
Dr. Joseph M. Freiberg	25.00	College of Medicine
Dr. Edward C. Frierson	5.00	Tisdall Memorial
Mr. & Mrs. Scott L. French	10.00	Robert W. Hicks Memorial

Mrs. Etter Gallimore	5.00	Shepherd Memorial Scholarship
Garden Club of Frankfort	25.00	Department of Horticulture
Garden Club of Perry County	25.00	Shepherd Memorial Scholarship
J. D. Gay, Jr.	100.00	Robert W. Hicks Memorial
Mrs. Rebecca Gibson	15.00	Shepherd Memorial Scholarship
R. H. Gillespie	80.00	College of Medicine
Dr. Alvin L. Goldman	25.00	Dorothy Salmon Memorial
Dr. Mary Agnes Gordon Estate	10,000.00	Scholarship-Psychology
Mr. & Mrs. W. H. Graves	5.00	Hawkins Memorial
Mr. & Mrs. Charles Gray	4.00	College of Medicine
Grayson Foundation, Inc.	50,000.00	Department of Veterinary Science
Haggin Trust Fund	36,402.45	Margaret V. Haggin Fund
Mr. and Mrs. Seldon V. Hail	10.00	Shepherd Memorial Scholarship
Mrs. Carter Hales	25.00	Hawkins Memorial Fund
John Hall	200.00	Scholarship-Prestonsburg
Mr. & Mrs. Robert Hall, Jr.	100.00	Robert W. Hicks Memorial
Suzanne B. Hamilton	5.00	Little Kentucky Derby Loan Fund
Helen W. Hanchette	15.00	Dorothy Bier Memorial
Edward A. Hancock	10.00	Dorothy Bier Memorial
E. Albert Harding	100.00	College of Pharmacy
Cecil C. Harp	10.00	Hawkins Memorial
William Randolph Hearst Foundation	100.00	Scholarship
William Randolph Hearst Foundation	150.00	Department of Journalism
Mrs. Charles B. Henry	25.00	Dorothy Salmon Memorial
Dr. Earl C. Hewitt	25.00	Hawkins Memorial
Mrs. R. W. (Amanda) Hicks II ) Mr/Mrs. Newell Hicks ) Mrs. Robert Hicks III ) Mrs. Henry S. Hail ) (joint gift)	4,000.00	Robert W. Hicks Memorial

Hoechst Pharmaceutical Corp.	500.00	College of Medicine
Dr. & Mrs. Carl G. Hoffman	100.00	College of Medicine
Mr. & Mrs. Clinton K. Hoffman	25.00	Hawkins Memorial
Mrs. Elmer Holliday	25.00	Shepherd Memorial Scholarship
Mrs. Roy Huffman & Mrs. Nannie Huffman	15.00	Shepherd Memorial Scholarship
Gordon L. Hyde, M. D.	300.00	College of Medicine
International Business Machines Corporation	450.00	Unrestricted
Jackson Kiwanis Club	100.00	Shepherd Memorial Scholarship
Dr. Alfred Jacobs	50.00	College of Medicine
Karen L. Jendrzwjczyk	5.00	Dorothy Bier Memorial
Frances S. Jennings	10.00	Harry Best Loan Fund
Mr. & Mrs. David E. Johnson	25.00	Tisdall Memorial
Johnny K. Johnson	25.00	College of Medicine
Mrs. Keen Johnson	1,000.00	Journalism
Mrs. Margaret N. Johnson	5.00	Department of Ophthalmology
Mrs. Edward Jones	7.50	College of Medicine
Kappa Delta Sorority	5.00	Little Kentucky Derby Loan Fund
Mrs. J. H. Keenon	150.00	Scholarship
Kentucky Charolais Association, Incorporated	100.00	Robert W. Hicks Memorial
Kentucky Chapter, American College of Surgeons	500.00	College of Medicine
Kentucky Council of Cooperatives-Louisville	200.00	Scholarship
Kentucky Farm Bureau Scholarship Foundation, Inc.	250.00	Scholarship
Kentucky Nurserymen's Association	100.00	Department of Horticulture
Mr. & Mrs. W. B. Kluesner, Jr.	25.00	College of Pharmacy
W. B. Kluesner, Jr.	25.00	College of Pharmacy
Mr. & Mrs. Tom Konsler	10.00	Shepherd Memorial Scholarship

Paul E. Kress	50.00	Dorothy Bier Memorial
Teddy Kuster	5.00	Robert W. Hicks Memorial
Lange Instrument Co. Inc.	1,822.98	College of Engineering
S. Duane Latham	10.00	Robert W. Hicks Memorial
Mrs. John E. Layton	12.50	Robert W. Hicks Memorial
Lee Potter Smith & Associates of Paducah, Inc.	150.00	Scholarship
Mrs. A. L. Lillard	5.00	Hawkins Memorial
J. E. Lockett	10.00	Hawkins Memorial
Lynch Garden Club	10.00	Shepherd Memorial Scholarship
Lelia C. Leidenger Estate	163.96	Lelia Calhoun Student Loan Fund
Lexington Lions Club	210.00	Department of Ophthalmology
Robert L. Long	5.00	College of Pharmacy
Louis & Henry, P.S.C.	150.00	Scholarship
Mrs. William S. Lyons	50.00	Collegium Musician Program
Mrs. Elizabeth D. MacDonald	5.15	Scholarship-Prestonsburg
Mrs. Margaret Macmaster	5.00	Dorothy Bier Memorial
Mrs. Anna Magura	10.00	Scholarship-Prestonsburg
Mr. Mike Magura	50.00	Scholarship-Prestonsburg
Dr. Nathan Manilow	2,500.00	Scholarship
Dudley C. Martin	25.00	Shepherd Memorial Scholarship
Mason & Hanger-Silas Mason, Co., Inc.	750.00	Scholarship-Architecture
The S. E. Massengill Co.	2,000.00	Unrestricted
The S. E. Massengill Co.	3,000.00	College of Pharmacy
Dr. & Mrs. Joseph L. Massie	10.00	Hawkins Memorial
Franklin J. Matchette Foundation	1,000.00	Department of Philosophy
R. V. May Company	300.00	Scholarship-Prestonsburg
Oliver E. Mayer	30.00	College of Pharmacy
Maytown Woman's Club	50.00	Scholarship-Prestonsburg

Raymond S. McClelland	25.00	Dorothy Bier Memorial
Art McCourt	100.00	College of Pharmacy
Elizabeth D. McDonald	50.00	Scholarship-Prestonsburg
McLoney & Tune Architects	300.00	Scholarship-Architect
Merck & Company, Inc. (PA.)	50.00	College of Pharmacy
Merck Company Foundation (N.J.)	3,200.00	College of Pharmacy
Mrs. Catherine B. Mickle	2.00	Carl Tatum Memorial Fund
Mr. & Mrs. Phil M. Miles	5.00	Hawkins Memorial
Gary B. Miller	10.00	College of Pharmacy
Mr. & Mrs. Lee Miller	10.00	Dorothy Bier Memorial
Robert L. Miller	25.00	College of Pharmacy
Rev. Wm. R. Moody	500.00	Clinical Pastoral
Mr. & Mrs. G. Moretti	5.00	Hawkins Memorial
Mr. & Mrs. Garni Moretti & Miss Jeanne Bureau	30.00	College of Engineering
William Morgan	10.00	College of Pharmacy
Philip Morris, Incorporated	1,200.00	Department of Agronomy
Mr. & Mrs. Mason Morrison	5.00	Robert W. Hicks Memorial
Mr. & Mrs. Mason Morrison	5.00	Shepherd Memorial Scholarship
D. P. Morton	50.00	Dorothy Bier Memorial
Mr. & Mrs. Fredrick Muckinhaupt	5.00	Dorothy Bier Memorial
Mrs. K. F. Muckinhaupt	5.00	Dorothy Bier Memorial
Mr. & Mrs. K. Richard Muckinhaupt	5.00	Dorothy Bier Memorial
Betty Jean Murad	5.00	Tisdall Memorial
Maj. Michael S. Nall	10.00	College of Medicine
New Eyes for the Needy, Inc.	400.00	Department of Social Services
Nolan & Nolan, Inc.	50.00	Scholarship
Nell F. Norman	10.00	College of Medicine

Olin Corporation	1,250.00	College of Engineering
Mrs. Albert Owens	30.00	Tisdall Memorial
Mrs. Myron Pass	10.00	College of Pharmacy
Bart N. Peak	10.00	Hawkins Memorial
Doyle E. Peaslee	2.00	Little Kentucky Derby Loan Fund
James H. Pence	1,000.00	Undesignated
Mrs. Mary Pettus	8.00	Hawkins Memorial
Mr. & Mrs. Douglas M. Phillips	80.00	College of Medicine
Frederick B. Phillips	50.00	College of Pharmacy
Shirley H. Phillips	10.00	Shepherd Memorial Scholarship
Physical Therapy Department	70.00	Allied Health Professions
Pi Beta Phi Sorority	10.00	Little Kentucky Derby Loan Fund
Piggly Wiggly, Incorporated	25.00	Scholarship-Prestonsburg
Susan Pillans	5.00	Haffler Memorial Scholarship
Susan Pillans	5.00	Haffler Memorial Scholarship
Planned Parenthood Center	15.00	College of Medicine
Mr. & Mrs. Henry H. Pope	10.00	Shepherd Memorial Scholarship
John Poundstone, M. D.	20.00	College of Medicine
Prestonsburg Dairy Queen	200.00	Scholarship-Prestonsburg
Mrs. John Marshall Prewitt	5.00	Scholarship-Prestonsburg
Joyce Kay Price	10.00	Tisdall Memorial
Dr. & Mrs. Wm. W. Purkey	10.00	Carl Tatum Memorial Fund
Mrs. Thelma True Pursiful	15.00	Shepherd Memorial Scholarship
Mrs. Jacqueline L. Rapier	700.00	Rapier Memorial Scholarship
Miss Elizabeth S. Rathman	15.00	Dorothy Bier Memorial
Gevanghn Ratt	5.00	Little Kentucky Derby Loan Fund
Rev. & Mrs. M. R. Regan	25.00	Shepherd Memorial Scholarship
Winnifred S. Reynolds	20.00	Robert W. Hicks Memorial
Mr. & Mrs. Alfred L. Richards	18.00	Carl Tatum Memorial Fund

Calvin Risner	10.00	Shepherd Memorial Scholarship
Ben F. Roach	100.00	Robert W. Hicks Memorial
T. M. Roach	100.00	Robert W. Hicks Memorial
Dr. C. R. Roberts	15.00	Shepherd Memorial Scholarship
A. H. Robins Company	3,000.00	College of Pharmacy
Robinson, Rectenwald & Tackett	100.00	College of Pharmacy
E. O. Robinson Mountain Fund	5,000.00	Student Medical Association Summer program
Roche Laboratories	250.00	College of Pharmacy
Mrs. Ellen Diane Rogers	15.00	Shepherd Memorial Scholarship
Colvin P. Rouse	25.00	Robert W. Hicks Memorial
Isaac P. Rouse	25.00	Robert W. Hicks Memorial
J. Howard Rouse	25.00	Robert W. Hicks Memorial
Owen C. Rouse, Jr.	10.00	Robert W. Hicks Memorial
Mrs. Louis W. Rubin	10.00	Munich Memorial Fund
Dr. & Mrs. W. H. Rush	2,000.00	Hawkins Memorial
Ryan Associated Architects, Inc.	50.00	Scholarship
Philip D. Sang	2,943.98	Sang Award
Science Hill Kiwanis Club	150.00	Scholarship-Somerset CC
Dr. E. I. Scrivner	10.00	Hawkins Memorial
Wayne L. Sengstock	5.00	Tisdall Memorial
Robert G. Shelton	10.00	College of Pharmacy
Dr. Robert D. Shepard	100.00	Shepherd Memorial Scholarship
Shively Woman's Club	1,000.00	Scholarship
Dr. Otis A. Singletary	10.00	Little Kentucky Derby Loan Fund
Earl P. Slone	100.00	College of Pharmacy
John E. Smallman	500.00	Scholarship-Prestonsburg CC
Smith, Kline & French Laboratories, Inc.	50.00	College of Pharmacy



William D. Smith	80.00	College of Medicine
Mr. & Mrs. Frank Sower	10.00	Hawkins Memorial Fund
Ernest W. Sperzel, Jr.	25.00	College of Pharmacy
Rev. Joseph P. Springob	15.00	Dorothy Bier Memorial
Leola R. Sproles	10.00	Hawkins Memorial
Mr. & Mrs. David St. Clair	100.00	Winternitz Memorial Fund
Richard S. Starks	50.00	Robert W. Hicks Memorial
Julia Britt Steanson	10.00	Scholarship-Prestonsburg
Mrs. Edwina L. Steele	12.50	Robert W. Hicks Memorial
Mr. & Mrs. Emil C. Steinbach	20.00	College of Medicine
Dr. Sidney R. Steinberg	25.00	College of Medicine
Dr. A. J. Steiner	15.00	Hawkins Memorial Fund
G. Arthur Stetson	25.00	Dorothy Bier Memorial
Dean Dwight E. Stevenson	500.00	Clinical Pastoral Education
Mrs. H. J. Strickland	10.00	Tisdall Memorial
George A. Stubbler	80.00	College of Medicine
Mr. Edward Studer	50.00	College of Pharmacy
Capt. G. J. Sweeney	10.00	College of Medicine
Mrs. Rose Hayes Swope	5.00	Shepherd Memorial Scholarship
Charles G. Talbert	5.00	Little Kentucky Derby Loan Fund
Mr. & Mrs. D. V. Terrell	15.00	Hawkins Memorial Fund
Terry Elkhorn Mining Co.	300.00	Scholarship-Prestonsburg
Mr. & Mrs. Robert Terry	10.00	Shepherd Memorial Scholarship
The 1907 Foundation, Inc.	100.00	Unrestricted
Mrs. James Thornton	30.00	Tisdall Memorial
Mr. & Mrs. Joseph J. Tisdall	50.00	Tisdall Memorial
Don Schultz	100.00	College of Pharmacy
Union Carbide Corp.	3,000.00	College of Engineering
United Community Services of Greater Milwaukee, Inc.	20.00	Dorothy Bier Memorial

United States Shoe Corp.	300.00	Scholarship-Prestonsburg
Mrs. Katharine Pearl Urban	5.00	College of Medicine
James C. VanMeter	212.50	Student Work FIVCO-Ashland
Mr. & Mrs. Glen A. Vergason	5.00	Tisdall Memorial
Wakefield-Scearce Galleries	25.00	Hawkins Memorial Fund
Mr. & Mrs. Roy N. Walters	10.00	Shepherd Memorial Scholarship
Vinson A. Watts	5.00	Shepherd Memorial Scholarship
Mr. & Mrs. R. W. Waugh, Jr.	10.00	College of Medicine
Leonard A. Weakley	100.00	Hawkins Memorial
Mr. & Mrs. Rex Wesley	15.00	Shepherd Memorial Scholarship
Mr. & Mrs. Grandfield White	5.00	Dorothy Bier Memorial
A. J. Whitehouse, M. D.	105.00	Scholarship
Wichman & Sallee Architects	25.00	Scholarship
Dr. & Mrs. Carl Wiesel	10.00	College of Medicine
Mrs. Ernest T. Wightman	5.00	Shepherd Memorial Scholarship
Capt. & Mrs. Wm. E. Wilbert III	10.00	Shepherd Memorial Scholarship
Arthur A. Williams	15.00	Robert W. Hicks Memorial
Miss Helen Wilmore	25.00	College of Home Economics
Mr. & Mrs. Wm. Wilson	10.00	College of Medicine
Woman's Club of U. K.	10.00	McVey Memorial Scholarship
Woman's Club of U. K.	253.00	McVey Memorial Scholarship
Woman's Club of U. K.	1,000.00	International Student Loan Fund
Women's Residence Halls Social Fund	10.00	Little Kentucky Derby Loan Fund
Woodmen of the World	100.00	Scholarship
Mr. & Mrs. Wm. T. Woodson	10.00	Little Kentucky Derby Loan Fund
Mrs. Helen Wright	25.00	Dorothy Bier Memorial

Mrs. Wm. M. Wright	10.00	Dorothy Bier Memorial
Wyeth Laboratories	100.00	College of Pharmacy
XI Chapter-Alpha XI Delta	5.00	Little Kentucky Derby Loan Fund
Mrs. William R. Yaunt	10.00	Scholarship
	<u>\$164,500.67</u>	

OFFICE OF DEVELOPMENT

April 1, 1971 - June 30, 1971

NON-MONETARY GIFTS

<u>DONOR</u>	<u>GIFTS</u>
James Akers	Beneficiary in Personal Insurance
Mrs. Henry T. Bewlay	10 shares S.S. Kresge Stock (\$790.00)
George W. Ewell	100 shares Marriott Corporation (\$4,250.00)
John D. Goodloe	10 shares Coca-Cola Stock (\$991.25)
Kentucky Irrigation Pipe Co.	300 feet perforated aluminum irrigation pipe-Horticulture (\$370.00)
Dr. David H. Loof	Book-"Appalachias Children" - Medical Center Library
Senator Thruston B. Morton	Official Papers and Records of Senator Thruston B. Morton- King Library
Mr. & Mrs. Bruce H. Platt	Books- "The Great Psychologists" "Aristotle to Freud" "Theophrastus & The Greek" "Physiological Psychology before Aristotle" "Long Row of Candles" (memory-R. A. McCullough) Ashland Community College
David L. Ringo	4,000 shares Oceanography Development Corporation (Letter stock-market value not established)
Dr. Morris Scherago	Scientific Journals-King Library
Dr. Sidney S. Ulmer	Ten volume set of books in Political Science, Government, and Public Policy of the Universal Reference System Department of Political Science
TOTAL MARKET VALUE ALL SECURITIES	\$6,031.25

Office of the President  
August 17, 1971

PR 4

Members, Executive Committee, Board of Trustees:

ELIMINATION OF MANDATORY GRADUATION FEE

Recommendation: that the Board authorize the elimination of the mandatory graduation fee.

Background Statement: The Board of Trustees has established graduation fees to cover the costs of diplomas, hoods, cap and gown rentals, partial costs of the yearbook, and the costs of copywriting, microfilming and binding of theses and dissertations. This recommended action would eliminate the mandatory fee for diplomas, hoods, yearbook, and caps and gowns; but the student will still have the option of purchasing a diploma or hood and renting a cap and gown. The Graduate School will continue to charge each Masters and Doctoral candidate for the copywriting, microfilming and binding of the thesis or dissertation.

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Action: Approved     X     Disapproved                      Other                     

Date:     August 17    , 1971

Office of the President  
August 17, 1971

PR 5

Members, Executive Committee, Board of Trustees:

1971-72 Budget Revisions

Recommendation: that the following revisions in the 1971-72 budget be authorized and approved:

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>Restricted Funds</u>			
1. Income estimates	\$ 4,146,100	\$ 4,327,800	\$ 181,700
2. Expenditures			
Student Aid			
Gifts and Grants for Scholarships	205,000	209,600	4,600
Agricultural Extension			
Agricultural Engi- neering	-0-	1,500	1,500
Arts and Sciences			
Geology	10,500	11,300	800
History	900	1,400	500
Journalism	8,800	9,800	1,000
Philosophy	-0-	1,000	1,000
Business and Economics			
Development Services and Business Research	-0-	52,500	52,500
General Library			
Inter-Library Loan Program	-0-	4,300	4,300

<b>Community Colleges</b>			
Hopkinsville Community College - Pre-Discharge Program	-0-	50,000	50,000
Jefferson Community College - Extended-care ADN Program	-0-	36,000	36,000
Vocational Education - Business Programs	-0-	11,500	11,500
Cooperative Education	19,000	37,000	<u>18,000</u>
			\$ 181,700

3. Comments - The proposed additional expenditures will be funded from increased income restricted to the purpose indicated above.

**B. General Funds**

1. Income estimates			
Sales and Services	1,490,900	1,500,900	10,000
2. Expenditures			
Business and Economics Development Services and Business Research	78,700	88,700	10,000

3. Comments - Additional income from sales and services will support the proposed increased expenditure indicated above.

**C. Auxiliary Funds**

1. Income estimates	9,934,200	9,955,300	21,100
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2.	Expenditures			
	Medical Center			
	Microscope Oper-			
	ations	-0-	13,500	13,500
	Agriculture			
	Forestry Camp	-0-	7,600	<u>7,600</u>
				\$ 21,100

3. Comments - Income from rental fees of microscopes in the Medical Center, and food and lodging fees charged participants in the Department of Forestry Summer camp will support the proposed expenditures detailed above.

D. Tobacco Research - Trust Fund

1.	Income estimates	-0-	4,720,000	4,720,000
2.	Expenditures	-0-	4,720,000	4,720,000

3. Comments - Funds generated by tax proceeds deposited to the Tobacco Research - Trust Fund will support the above expenditures. The Kentucky Tobacco Research Board approved the 1971-72 expenditures on June 28, 1971 and the legislation calls for the expenditure of those funds by the University of Kentucky after such approval. Approval of the proposal by the Board of Trustees would authorize the University to proceed with this program for the 1971-72 fiscal year.

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Action: Approved   X   Disapproved            Other           

Date:   August 17  , 1971



Office of the President  
August 17, 1971

PR 6

Members, Executive Committee, Board of Trustees:

PROPOSED REVISION OF GOVERNING REGULATIONS

Recommendation: that the Governing Regulations of the University of Kentucky (September 1971) be received for study and consideration and that the document be approved at the meeting of the Board of Trustees in September.

Background: The Board of Trustees approved the present Governing Regulations of the University of Kentucky (May 1970) at the May 1970 meeting of the Board of Trustees. Since that time several amendments to the document have been adopted and several others are being recommended at this time. The copy which is being presented to the Executive Committee of the Board today and which will be mailed to all members of the Board represents a revised version of the 1970 document and incorporates editorial and format changes as well as the amendments already adopted and those being proposed.

Other than the editorial and format changes, which do not affect the content of the regulations, the attached material shows the specific changes requiring approval by the Board of Trustees.

Missing page(s)

## II. GOVERNMENT OF THE UNIVERSITY OF KENTUCKY

### A. BOARD OF TRUSTEES

#### 4. Officers (Page 5)

The Governor of Kentucky is Chairman of the Board of Trustees. In the absence of the Chairman, the [Chairman of the Executive Committee] vice chairman presides.

Annually at the meeting prior to commencement, the Board elects a vice chairman and a secretary. . . .

## IV. THE UNIVERSITY SENATE (University System)

(Page 12, Paragraph 3)

The President shall be the chairman of the Senate and shall be the presiding officer except as he may delegate this function. The Dean of Admissions and Registrar shall serve as ex officio secretary. A member of the voting University faculty, not necessarily a member of the Senate, appointed by the Senate Council shall serve as parliamentarian. The sergeant at arms shall be a member of the voting University faculty, who is not a member of the Senate, appointed by the Senate Council.

## V. SENATE OF THE COMMUNITY COLLEGE SYSTEM

(Page 14, Paragraph 3)

The Senate [shall] may meet annually in regular session at a time and place designated in a call by the Vice President for the Community College System. Special sessions may be called by the President or the Vice President for the Community College System.

(Page 15, Paragraph 2)

Consistent with the Governing Regulations of the Board of Trustees and the Administrative Regulations, the Senate of the Community College System is authorized to develop regulations for the conduct of its functions which shall be known as the Rules of the Senate of the Community College System. [These regulations shall become operative after approval by the President and the Board of Trustees.] Copies of these regulations shall be furnished to the President and the Board of Trustees.

## VII. UNIVERSITY ORGANIZATION

### A. EDUCATIONAL ORGANIZATION

#### 2. Academic Ranks (Page 19)

Academic ranks in the University shall consist of Instructor, Assistant Professor, Associate Professor and Professor, and of other ranks that are fully or partially equivalent to these recognized ranks. Ranks and a description of the qualifications for each shall be established by the President after consultation with the appropriate administrative and faculty groups including the Senate Council and, for titles in the Community College System, the Community College Council. Likewise, emeritus ranks for retired administrative and academic personnel and the rights of holders of emeritus titles shall be established by the President after consultation with the Senate Council and/or the Community College Council. The establishment of new ranks and major changes in criteria for ranks shall have the approval of the Board of Trustees.

Academic ranks in the Community College System shall include Instructor [, Senior Instructor and other ranks that may be established for use in the Community College System] in the Community College System, Assistant Professor in the Community College System, Associate Professor in the Community College System, and Professor in the Community College System. The academic ranks of Assistant Professor, Associate Professor and Professor or their equivalent in the University System may also be used for community college faculty with equivalent criteria.

### B. ADMINISTRATIVE ORGANIZATION

(Page 23, Paragraph 1)

The administrative organization of the University is determined by the educational organization of the University and the teaching, research, service and other functions of the University. Each administrative officer, other than the President, shall be responsible to the President, directly or through his next superior officer, for the efficient operation of the organizational unit or functions for which he is responsible. The administrative organization is established by the President with the approval of the Board of Trustees. It may be expected to change with increasing size and change in functions of the University. The duties of administrative officers reporting directly to the President shall be those delegated by the President and described in the Administrative Regulations. Each administrative officer is expected to recommend to his superior the administrative organization necessary to carry out his assigned duties. The positions of academic deans, directors and department chairmen with descriptions of major duties assigned are described in these Regulations. [More detailed descriptions of duties are described in the Administrative Regulations. The Administrative Regulations also include descriptions of all other administrative positions.] Major changes in administrative organization must be approved by the Board of Trustees.

(Part VII, B, 5 of Governing Regulations of the University of Kentucky)

5. Department Chairman (University System)

(Page 25, Paragraph 2)

The department chairman is responsible for recommendations on the appointment of new members of the department, [salaries, salary changes,] promotions, reappointments, terminal appointments, decisions not to reappoint, post-retirement appointments (X-C-8) and the granting of tenure. Procedures and criteria used in preparing recommendations shall be those established by the University, the college and the departmental faculty. As a minimum, the procedures must include consultation with all tenured members of the department and with all those with the rank of assistant professor or equivalent who have been members of the department [staff] for two years, except as noted below. All recommendations on matters listed above must include the written judgment of each consulted member of the department along with the recommendations of the chairman. The following exceptions may be made: (1) faculty members need not be consulted on recommendations for promotion affecting members with [equivalent or] higher rank [, except that all faculty members with tenure shall be consulted on recommendations for granting of tenure]; (2) faculty members without tenure need not be consulted on recommendations for granting of tenure; (3) the right to make recommendations on temporary appointments and/or appointments at the assistant professor level or below may be delegated, with these appointments to be reviewed by the tenured faculty of the department during the second semester of the first year of appointment.

(Page 25, Paragraph 4)

The department chairman submits the budget request for his department and administers the budget after approval. He is responsible for making recommendations on salaries and salary changes.

VIII. APPOINTMENTS

A. Administrative

1. Regular

(Page 27, Paragraph 2)

The vice presidents, the Dean of the Graduate School, the deans of the colleges, the directors of the community colleges, the chairmen of the departments and every administrative officer of the University who is to report directly to the President or whose initial salary is in excess of \$15,000 per annum shall be appointed by the Board of Trustees, acting upon the recommendation of the President.

( Part VIII, A, 3 of Governing Regulations of the University of Kentucky)

3. Search Committees

(Page 28, Paragraph 8)

Search committees shall operate under procedures prescribed by the President after consultation with the Senate Council or the Community College Council.

IX. REVIEWS OF EDUCATIONAL UNITS

(Page 30, Paragraph 2)

Procedures for the appointment of review committees and for the conduct of the reviews shall be described in administrative regulations after consultation with appropriate administrative and faculty groups including the Senate Council or the Community College Council. The committees shall be formed principally from faculty members and shall normally include members from within and without the unit being reviewed and may include members from without the University.

X. REGULATIONS AFFECTING ACADEMIC EMPLOYMENT

B. TENURE

1. Types of Appointment (Page 31)

Full-time academic appointments shall be of three kinds: 1) non-tenure appointments; 2) appointments with tenure and 3) post-retirement appointments (X-C-8). After the expiration of a [review] probationary period, non-tenure appointees may be granted tenure.

2. [Review] Probationary Periods (Page 31)

(Page 32, Paragraph 1)

Following appropriate [review] probationary periods not exceeding in duration those described above, all persons of Associate Professor or higher rank shall be given tenure or have their appointments terminated; all persons of Assistant Professor rank (or equivalent ranks as adjudged by the President) shall: 1) be promoted to Associate Professor with tenure, 2) be transferred to a non-research rank with tenure, or 3) have their appointments terminated. Established appointment, promotion, and review procedures shall be followed in making these decisions.

(Part X, B, of Governing Regulations of the University of Kentucky)

3. Appointment Record

(Page 32)

The precise terms and conditions covering each appointment shall be stated in writing on an official appointment record. Notice of reappointment shall be in the possession of the appropriate administrative officials and the appointee at least three months before the renewed appointment begins when possible, or at the earliest date feasible in view of budget considerations. It shall be the responsibility of the Vice President for Administration to insure compliance with this regulation.

5. Termination of Appointment

a. Reasons for Termination

(Page 33, Paragraph 1)

In the instance of termination because of a financial emergency the faculty member may have the issues reviewed by [the University Senate Advisory Committee on Privilege and Tenure] the Advisory Committee on Privilege and Tenure of the University Senate or the Senate of the Community College System with the right of appeal to the President and Board of Trustees. The faculty member shall be given notice as soon as possible and never less than 12 months' notice. The released faculty members place shall not be filled by a replacement within a period of two years, unless the released faculty member has been offered reappointment and a reasonable time within which to accept or decline it.

b. Procedure

(Page 33, Paragraphs 1 and 2)

Dismissal of a faculty member with continuous tenure or of a non-tenured member before the end of his specified term of appointment shall be preceded by discussions between the faculty member and an appropriate administrative officer or officers looking toward a mutual settlement. In the event of failure to arrive at an agreed upon settlement the [President] appropriate academic vice president shall be responsible for the preparation of a reasonably particularized statement of charges which shall be furnished to the faculty member and the [University Senate Advisory Committee on Privilege and Tenure] Advisory Committee on Privilege and Tenure of the University Senate or the Senate of the Community College System. The committee shall make an informal investigation for the purpose of attempting to effect an adjustment and, in the case of failure, to recommend to the President whether, in its opinion, dismissal proceedings should be undertaken. Its opinion shall not be binding upon the President.

(Part X, B, of the Governing Regulations of the University of Kentucky)

(Page 33, Paragraph 2)

If the President initiates dismissal proceedings, the individual concerned shall have the right to be heard initially by [a University Senate ad hoc Hearing Committee (Privilege and Tenure)] the Hearing Committee (Privilege and Tenure) of the University Senate or the Senate of the Community College System.

c. Suspensions

(Page 34)

Until the final decision upon termination of an appointment has been reached, the faculty member will be suspended, or assigned to other duties in lieu of suspension, only if immediate harm to himself or others is threatened by his continuance. Before suspending a faculty member, pending an ultimate determination of his status through the hearing machinery, the President will consult with the [University Senate Advisory Committee on Privilege and Tenure] Advisory Committee on Privilege and Tenure of the University Senate or the Senate of the Community College System. Salary will continue during the period of suspension.

6. Academic Freedom of Non-tenured Faculty

(Page 34)

If a faculty member on a non-tenure appointment or a member on post-retirement appointment alleges that a decision not to reappoint was caused by considerations violative of academic freedom, or that he was given less advance notice than that specified in these regulations, his allegations shall be given preliminary consideration by [the University Senate Advisory Committee on Privilege and Tenure] the Advisory Committee on Privilege and Tenure of the University Senate or the Senate of the Community College System, which will seek to settle the matter by informal methods. His statement shall be accompanied by a statement that he agrees to the presentation, for the consideration of the [Senate] committee[s], of such reasons and evidence as the University may allege in support of its decision. If the difficulty is unresolved at this stage, and if the committee so recommends, the procedure set forth in 5(b) shall be applied, except that the faculty member making the complaint is responsible for stating the grounds upon which he bases his allegations, and the burden of proof shall rest upon him. If he succeeds in establishing a prima facie case, it is incumbent upon those who made the decision not to reappoint him to come forward with evidence in support of their decision.

[9. Tenure in the Community College System

(Page 35)

All regulations concerning tenure shall apply to the Community College System as to the University System except that 1) the rank of Instructor may be retained for a maximum of seven years; 2) tenure may be granted for the rank of Senior Instructor.]



(Part X, B, of the Governing Regulations of the University of Kentucky)

[10] 9. Graduate Student Academic Staff

(Page 35, Paragraph 2)

Likewise a graduate or teaching assistant who has established a prima facie case to the satisfaction of the committee that a consideration violative of academic freedom significantly contributed to the non-reappointment shall be given a statement of reasons by those responsible for the non-reappointment and an opportunity to be heard by [the committee] a University Senate Hearing Committee (Privilege and Tenure).

[11] 10. Opportunities for Hearings

(Page 35)

In no case shall a member of the academic staff who is not otherwise protected by the preceding regulations which relate to dismissal proceedings be dismissed (termination before the end of a period of appointment) without having been provided with a statement of reasons and an opportunity to be heard before [the University Senate Advisory Committee on Privilege and Tenure] the Advisory Committee on Privilege and Tenure of the University Senate or the Senate of the Community College System.

Likewise a member of such an academic staff who establishes a prima facie case to the satisfaction of the [University Senate Advisory Committee on Privilege and Tenure] committee that a consideration violative of academic freedom significantly contributed to his non-reappointment shall be given a statement of reasons by those responsible for the non-reappointment and an opportunity to be heard by [the committee] the Hearing Committee (Privilege and Tenure) of the University Senate or the Senate of the Community College System.

(Part X of the Governing Regulations of the University of Kentucky)

C. CONDITIONS OF EMPLOYMENT

5. Leaves of Absence

a. Sabbatical Leave

(Page 39, last paragraph)

[Full-time instructors and senior instructors in the Community College System earn credit toward sabbatical leave. Senior instructors are eligible for sabbatical leave.]

8. Retirement

a. Group I Employees

(Page 41, Paragraph 1)

Group I employees include the full-time academic and major administrative personnel of the University System and the Community College System except for the President and the vice presidents, for whom a separate retirement system has been established, and for University positions covered by Civil Service or other Federal retirement plans other than Social Security. The list of Group I employees is open for the addition of categories of administrative and academic personnel by the President without Board action. [Full-time instructors and senior instructors in the Community College System are included in Group I.]

[b. Group II Employees. Delete entire section since these employees are covered by Staff Personnel Policy and Procedure Manual.]

Finance Committee Report  
August 17, 1971

FCR 1

Members, Executive Committee, Board of Trustees:

INTERIM FINANCIAL REPORT

Recommendation: that the financial report for the eleven months ending May 31, 1971, be accepted.

Background: The May 31, 1971 report reflects income of \$104,238,800 for the period of the report; the income figure is 97.3% of the annual income estimate of \$107,096,960.

The expenditure and commitments represent a total of \$93,290,506 for this period of eleven months, or 87.1% of the expenditure authority of \$107,096,960 approved by the Board.

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Action: Approved  Disapproved  Other

Date: August 17, 1971

UNIVERSITY OF KENTUCKY

Lexington, Kentucky

June 16, 1971

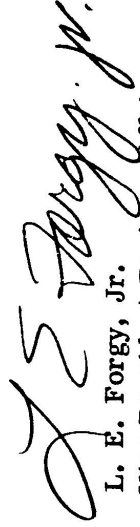
Office of Vice President  
Business Affairs and Treasurer

Dr. Otis A. Singletary, President  
University of Kentucky  
Lexington  
Kentucky

Dear Dr. Singletary:

The interim financial report of the University of Kentucky for the eleven months ended May 31, 1971 is submitted herewith. This is the tenth such report of the current fiscal year and is intended to set forth a complete and permanent record of the financial affairs of the University for the period covered.

Respectfully submitted,



L. E. Forgy, Jr.  
Vice President-Business Affairs  
and Treasurer

Schedule 1

University of Kentucky  
Balance Sheet  
May 31, 1971  
With Comparative Figures for 1970

	<u>1971</u>	<u>1970</u>
<b>Current Funds:</b>		
<b>Unrestricted:</b>		
<b>Cash in bank:</b>		
Depository funds	\$ 875,797	\$ 840,036
Federal funds	407,752	
Imprest funds	138,493	59,494
Total cash	<u>1,422,042</u>	<u>899,530</u>
<b>State funds- unexpended balances:</b>		
Trust and agency funds	6,602,661	6,086,623
General fund appropriation	29,272	869,144
Due from other funds and affiliated corporations	1,616,938	1,580,078
Accounts receivable	475,921	136,598
Notes receivable	72,544	71,190
Inventories	1,456,504	1,468,601
Unrealized income	<u>2,361,571</u>	<u>3,424,591</u>
<b>Total unrestricted</b>	<u>14,037,453</u>	<u>14,536,355</u>
<b>Restricted:</b>		
<b>Cash in bank</b>	474,710	498,924
<b>Tobacco research trust fund:</b>		
Imprest funds	25,764	
Trust and agency funds	2,015,811	
Petty cash advances	143,941	161,251
Travel advances	92,051	105,533
Accounts receivable	30,769	34,013
<b>Investments:</b>		
Short-term	4,516,614	3,533,932
Other	842,616	841,534
Unrealized income	<u>1,199,624</u>	<u>734,436</u>
<b>Total restricted</b>	<u>9,341,900</u>	<u>5,909,623</u>

ASSETS

University of Kentucky  
Balance Sheet  
May 31, 1971

Schedule 1

With Comparative Figures for 1970

LIABILITIES AND FUND BALANCES

	<u>1971</u>	<u>1970</u>
<b>Current Funds:</b>		
<b>Unrestricted:</b>		
Outstanding encumbrances	\$ 2,020,025	\$ 2,274,721
Advance from state for imprest cash fund	650,000	650,000
Unencumbered balance, departmental appropriations	8,975,706	9,510,412
Fund balances:		
Allocated:		
Reserve for inventories	1,045,754	987,009
Reserve for notes receivable	71,190	71,190
Workmen's compensation payments	19,626	
Future operating purposes	887,616	829,866
Unallocated	<u>367,536</u>	<u>213,157</u>
Total unrestricted	<u>14,037,453</u>	<u>14,536,355</u>
<b>Restricted:</b>		
Outstanding encumbrances	220,050	47,449
Due to other funds and affiliated corporations	3,235,711	3,312,950
Advance from state for imprest cash fund	50,000	
Outstanding check liability	20,595	19,955
Undistributed collections and clearing	2,197,738	
Unencumbered balance, departmental appropriations	1,047,139	1,003,585
Unencumbered balance, Tobacco Research Trust Fund appropriations	691,478	676,345
Fund balances:		
Restricted fund	802,241	849,339
Tobacco Research Trust Fund- unallocated funds	<u>1,076,948</u>	
Total restricted	<u>9,341,900</u>	<u>5,909,623</u>

Schedule 1

University of Kentucky  
Balance Sheet  
May 31, 1971  
With Comparative Figures for 1970

	1971	1970
<u>ASSETS</u>		
Hospital and clinics:		
Cash in bank-depository funds	\$ 810,485	\$ 136,475
State funds-unexpended balances:		
Trust and agency funds	120,214	873,478
Accounts receivable, net of allowance for doubtful accounts	3,896,686	2,141,836
Inventories	<u>376,890</u>	<u>379,864</u>
Total hospital and clinics	<u>5,204,275</u>	<u>3,531,703</u>
Housing and dining system:		
Cash	403,803	738,894
Due from other funds and affiliated corporations	1,340,346	624,805
Accounts receivable	114,838	190,720
Inventories	593,085	645,510
Prepaid expenses	92,764	425
Accrued interest income	<u>97,531</u>	<u>97,531</u>
Total housing and dining system	<u>2,544,836</u>	<u>2,297,865</u>
Auxiliary enterprises:		
Cash in bank	19,360	10,853
Due from other funds and affiliated corporations	503,367	890,319
Accounts receivable	11,817	14,353
Inventories	317,714	245,556
Unrealized income	<u>132,940</u>	<u>213,116</u>
Total auxiliary enterprises	<u>985,198</u>	<u>1,374,197</u>
<b>TOTAL CURRENT FUNDS</b>	<u><u>\$32,113,662</u></u>	<u><u>\$27,649,763</u></u>

University of Kentucky  
Balance Sheet  
May 31, 1971

Schedule 1

With Comparative Figures for 1970

LIABILITIES AND FUND BALANCES

	<u>1971</u>	<u>1970</u>
<b>Hospital and clinics:</b>		
Outstanding encumbrances	\$ 22,355	\$ 145,790
Due to other funds	118,083	602
Unexpended balance, departmental appropriations	2,313,135	1,425,922
Fund balances -allocated:		
Reserve for inventories	366,932	350,686
Reserve for accounts receivable	2,262,424	1,357,184
Revenue in excess of estimated income	<u>121,346</u>	<u>251,519</u>
Total hospital and clinics	<u>5,204,275</u>	<u>3,531,703</u>
<b>Housing and dining system:</b>		
Accrued wages	46,902	40,476
Accounts payable	40,701	57,202
Accrued expenses	46,546	28,730
Deferred income	600,877	576,783
Fund balances:		
Funds for renewals and replacements	1,340,678	1,177,588
Allocated for future operating purposes	<u>469,132</u>	<u>417,106</u>
Total housing and dining system	<u>2,544,836</u>	<u>2,297,885</u>
<b>Auxiliary enterprises:</b>		
Outstanding encumbrances	113,853	108,877
Unencumbered balance, departmental appropriations	321,201	714,217
Fund balances:		
Reserve for inventories	317,714	245,556
Funds for renewals and replacements	21,729	
Allocated for future operating purposes	<u>210,701</u>	<u>305,547</u>
Total auxiliary enterprises	<u>985,198</u>	<u>1,374,197</u>
<b>TOTAL CURRENT FUNDS</b>	<u>\$32,113,662</u>	<u>\$27,649,763</u>



## Schedule 1

University of Kentucky  
Balance Sheet  
May 31, 1971  
With Comparative Figures for 1970

	<u>1971</u>	<u>1970</u>
<b>ASSETS</b>		
<b>Loan Funds:</b>		
Cash in bank	\$ 337,877	\$ 241,260
Due from other funds	24,338	
Notes receivable	5,276,926	4,714,915
Due from Federal Government	12,736	11,088
Due from United Student Aid loan fund	<u>2,000</u>	<u>2,000</u>
	<u>\$ 5,653,877</u>	<u>\$ 4,969,263</u>
<b>TOTAL LOAN FUNDS</b>		
<b>Employment Funds:</b>		
Cash	\$ 22,233	\$ 18,241
Accounts receivable	39,907	
Investments:		
Short-term	1,000	
Other	<u>871,963</u>	<u>795,264</u>
	<u>\$ 935,103</u>	<u>\$ 813,505</u>
<b>TOTAL ENDOWMENT FUNDS</b>		
<b>Plant Funds:</b>		
Unexpended plant funds:		
Cash on deposit with State Treasurer	\$ 946,661	\$ 670,381
Capital construction funds	15,965,299	21,659,705
Due from Federal government	2,582,754	2,527,036
Due from other funds	152,483	34,025
Construction funded	<u>106,974,652</u>	<u>76,558,740</u>
Total unexpended plant funds	<u>126,621,849</u>	<u>101,449,887</u>

University of Kentucky  
Balance Sheet  
May 31, 1971

Schedule 1

With Comparative Figures for 1970

LIABILITIES AND FUND BALANCES

	<u>1971</u>	<u>1970</u>
<b>Loan Funds:</b>		
<b>Federal sponsored loan funds:</b>		
Federal government	\$ 4,931,980	\$ 4,341,496
University matching funds	425,328	399,120
Accumulated interest income	<u>178,281</u>	<u>124,904</u>
Total Federal sponsored loan funds	<u>5,535,589</u>	<u>4,865,520</u>
<b>University loan funds:</b>		
University student loan funds	46,839	37,595
University special student loan funds	69,449	64,148
United Student Aid loan fund	<u>2,000</u>	<u>2,000</u>
Total University loan funds	<u>118,288</u>	<u>103,743</u>
<b>TOTAL LOAN FUNDS</b>	<u>\$ 5,653,877</u>	<u>\$ 4,969,263</u>
<b>Endowment Funds:</b>		
Funds balances	<u>\$ 935,103</u>	<u>\$ 813,505</u>
<b>TOTAL ENDOWMENT FUNDS</b>	<u>\$ 935,103</u>	<u>\$ 813,505</u>
<b>Plant Funds:</b>		
<b>Unexpended plant funds:</b>		
Outstanding encumbrances	\$ 6,308,113	\$ 10,863,513
Due to Commonwealth of Kentucky	10,630	1,986,137
Notes payable	13,827,194	45,100,000
Bonds payable	16,197,772	4,290,000
Appropriations-unencumbered balances	10,895,580	11,377,444
University equity in projects	<u>79,382,560</u>	<u>27,832,793</u>
<b>Total unexpended plant funds</b>	<u>126,621,849</u>	<u>101,449,887</u>

Schedule 1

University of Kentucky  
Balance Sheet  
May 31, 1971

With Comparative Figures for 1970

	<u>1971</u>	<u>1970</u>
<u>ASSETS</u>		
Retirement of indebtedness funds:		
Cash on deposit with State Treasurer	\$ 865,512	\$ 369,618
Unrealized income	<u>140</u>	
Total retirement of indebtedness funds	<u>865,652</u>	<u>369,618</u>
Sinking funds:		
Cash on deposit with Trustee	1,180,176	92,881
Investments held by Trustee	<u>11,122,418</u>	<u>10,368,003</u>
Total sinking funds	<u>12,302,594</u>	<u>10,460,884</u>
Invested in plant:		
Land	5,776,832	5,702,516
Buildings	151,456,892	122,637,876
Equipment	<u>36,071,744</u>	<u>32,650,851</u>
Total invested in plant	<u>193,305,468</u>	<u>160,991,243</u>
<b>TOTAL PLANT FUNDS</b>	<u>\$333,095,563</u>	<u>\$273,271,632</u>
Agency Funds:		
Cash in bank	\$ 3,922	\$ 4,427
Due from other funds	656,268	705,808
Investments - short term	<u>3,000</u>	
<b>TOTAL AGENCY FUNDS</b>	<u>\$ 663,190</u>	<u>\$ 710,235</u>

Schedule 1

University of Kentucky  
Balance Sheet  
May 31, 1971

With Comparative Figures for 1970

LIABILITIES AND FUND BALANCES

	<u>1971</u>	<u>1970</u>
Retirement of indebtedness funds:		
Unexpended balances, debt service	\$ 777,332	\$ 290,687
Funds balances	<u>88,320</u>	<u>78,931</u>
Total retirement of indebtedness funds	<u>865,652</u>	<u>369,618</u>
Sinking funds:		
Bonds payable	4,798,000	5,012,000
Funds balances	<u>7,504,594</u>	<u>5,448,884</u>
Total sinking funds	<u>12,302,594</u>	<u>10,460,884</u>
Invested in plant:		
Notes payable	14,272,806	45,960,500
Bonds payable	51,072,228	400,000
Due to other funds	<u>127,960,434</u>	<u>114,630,743</u>
Net investment in plant	<u>193,305,468</u>	<u>160,991,243</u>
Total invested in plant	<u>193,305,468</u>	<u>160,991,243</u>
TOTAL PLANT FUNDS	<u>\$338,095,563</u>	<u>\$273,271,632</u>
Agency Funds:		
Agency funds balances	<u>\$ 663,190</u>	<u>\$ 710,235</u>
TOTAL AGENCY FUNDS	<u>\$ 663,190</u>	<u>\$ 710,235</u>

University of Kentucky  
Statement of Changes in Fund Balances-Current Funds  
Eleven Months Ended May 31, 1971

	<u>Unallocated</u>	<u>Operating</u>	<u>Restricted</u>	<u>Hospital and</u>	<u>Auxiliary</u>	<u>Housing and</u>
	<u>Purposes</u>	<u>Purposes</u>	<u>Purposes</u>	<u>Clinics</u>	<u>Renewals and</u>	<u>Dining System</u>
	<u>Operating</u>	<u>Operating</u>	<u>Operating</u>	<u>Operating</u>	<u>Replacements</u>	<u>Funds for</u>
	<u>Purposes</u>	<u>Purposes</u>	<u>Purposes</u>	<u>Purposes</u>	<u>Enterprises</u>	<u>Enterprises</u>
Balances, July 1, 1970	\$ 1,936,068	\$ 4,219,416	\$ 802,241	\$ 2,262,424	\$ 1,155,667	\$ 979,558
<b>Allocations:</b>						
Current operations:						
Original budget		( 2,805,400)				
Allocated by January Board Meeting	( 1,296,500)	( 126,400)				
Prior year encumbrances	( 278,045)					
Unexpended plant funds		( 400,000)				
Current year provisions					446,362	
Expended					( 261,351)	
Parking account						( 511,855)
Renovation of furniture and fixtures						( 107,002)
Printing and duplicating equipment						( 150,000)
Adjustments of prior year expenditures - net			<u>6,013</u>			
Balances, May 31, 1971	<u>\$ 367,536</u>	<u>\$ 887,616</u>	<u>\$ 802,241</u>	<u>\$ 2,262,424</u>	<u>\$ 1,340,678</u>	<u>\$ 210,701</u>

Schedule of Changes in Unrestricted Fund and  
Hospital Estimated Income  
For the Eleven Months Ended May 31, 1971

Schedule 3

	Original Budget	Interim Adjustments				Adjusted Budget
		July through December	January	February	April	
<b>Unrestricted Fund:</b>						
Student fees	\$ 9,415,200	\$ 103,100	\$	3,300		\$ 9,521,600
State appropriations	53,132,840	(643,230)	8,707	2,333	\$ (2,745)	52,497,905
Federal appropriations	5,841,100	203,600	286,100	\$ 728,666		7,059,466
County appropriations	776,100	69,800				845,900
Endowment and trust fund income	181,200	1,200				182,400
Gifts and grants	2,535,400	73,700	26,500			2,635,600
Sales, services and other income	2,275,000	13,243	300,000	2,500		2,590,743
Unallocated fund balances appropriated	2,805,400	270,235	1,022,900	7,810		4,106,345
<b>Total unrestricted</b>	<b>\$ 76,962,240</b>	<b>\$ ( 181,752)</b>	<b>\$ 1,631,507</b>	<b>\$ 302,043</b>	<b>\$ ( 2,745)</b>	<b>\$ 79,439,959</b>
<b>Hospital and clinics:</b>						
State appropriations	\$ 4,297,160	\$ 11,500	\$ (8,707)	\$ (2,333)	\$ 2,745	\$ 4,300,365
Hospital income	9,082,900	23,600				9,106,500
<b>Total Hospital and     clinics</b>	<b>\$ 13,380,060</b>	<b>\$ 35,100</b>	<b>\$ ( 8,707)</b>	<b>\$ ( 2,333)</b>	<b>\$ 2,745</b>	<b>\$ 13,406,865</b>

Schedule of Changes in Unrestricted Fund  
and Hospital Expenditure Appropriations  
For the Eleven Months Ended May 31, 1971

Schedule 4

	Unrestricted	Hospital and Clinics	Total
Original budget	\$ 76,962,240	\$ 13,380,060	\$ 90,342,300
Transfers from unrestricted to Hospital and clinics	( 460)	460	
Increases ( decreases ) as approved by Board of Trustees:			
August	83,400		83,400
Allotments for encumbrances ( total of \$ 400,000 approved in August )	278,045		278,045
September	( 626,730)		( 626,730)
October	8,243		8,243
November	94,600	23,600	118,200
January	1,622,800		1,622,800
February	291,900		291,900
April	728,666		728,666
May	( 2,745 )	2,745	
Total per Report	\$ 79,439,959	\$ 13,406,865	\$ 92,846,824

University of Kentucky  
 Summary of Current Funds Revenues and Appropriated Balances  
 Eleven Months Ended May 31, 1971  
 With Comparative Figures for 1970

Schedule 5

Eleven Months Ended May 31, 1970 ( see note.)		Eleven Months Ended May 31, 1971	
Estimated for the Year	Realized to Date	Estimated for the Year	Realized to Date
	% Realized		% Realized
\$ 8,335,094	103.3	\$ 9,521,600	109.7
47,524,027	100.0	52,497,905	101.1
6,187,474	74.3	7,059,466	84.5
776,101	89.0	845,900	83.3
152,400	78.8	182,400	66.3
2,371,957	12.9	2,635,600	4.9
2,042,252	103.6	2,590,743	96.0
4,902,857	100.0	4,106,345	100.0
<u>72,292,162</u>	<u>95.3</u>	<u>79,439,959</u>	<u>97.0</u>
2,297,479	68.0	2,841,046	57.7
4,383,063	100.0	4,300,365	100.0
6,723,460	103.7	9,106,500	101.8
575,404	100.0		
<u>11,681,927</u>	<u>102.2</u>	<u>13,406,865</u>	<u>100.9</u>
7,254,993	95.7	7,656,193	95.3
<u>2,658,209</u>	<u>92.0</u>	<u>2,666,603</u>	<u>95.1</u>
<u>\$96,184,770</u>	<u>\$ 91,753,995</u>	<u>\$107,096,960</u>	<u>\$104,238,800</u>
	95.4		97.3

TOTAL CURRENT FUNDS  
 REVENUES AND  
 APPROPRIATED  
 BALANCES

TOTAL CURRENT FUNDS  
 REVENUES AND  
 APPROPRIATED  
 BALANCES

Note: Certain reclassifications have been made to the figures for the eleven months ended May 31, 1970 for the purpose of comparability.



University of Kentucky  
 Summary of Current Funds Expenditures  
 Eleven Months Ended May 31, 1971  
 With Comparative Figures for 1970

Schedule 6

Eleven Months Ended May 31, 1970		Eleven Months Ended May 31, 1971	
Appropriation for the Year	Expended or Encumbered to Date	Appropriation for the Year	Expended or Encumbered to Date
\$27,126,921	\$23,263,097	\$28,081,683	\$24,424,595
6,435,172	5,758,445	6,577,690	5,903,548
658,451	658,990	636,085	619,209
1,209,957	1,010,697	559,299	495,604
6,449,702	5,565,842	6,472,173	5,699,305
2,171,147	1,989,220	2,188,094	2,043,696
8,928,295	7,726,497	9,762,276	8,428,430
4,806,261	4,618,707	5,285,151	4,848,276
422,533	238,204	734,425	734,445
2,613,592	2,121,569	3,205,407	2,599,831
2,414,687	2,132,068	2,510,629	2,243,248
4,379,900	4,000,986	4,949,846	4,501,702
1,873,401	1,635,667	1,962,954	1,552,528
127,362	96,095	115,012	129,297
1,238,100	1,560,514	5,226,094	5,169,959
242,751	405,152	849,103	1,070,580
<u>1,193,930</u>		<u>324,038</u>	
<u>72,292,162</u>	<u>62,781,750</u>	<u>79,439,959</u>	<u>70,464,753</u>
	<u>86.8</u>		<u>88.7</u>
Unrestricted:			
Departments of instruction and research			
Community colleges	86.0		86.9
Summer session-1970	89.5		89.8
Organized activities	82.0		97.3
Organized research	83.5		88.6
Libraries	85.4		88.0
Extension and public service	92.0		93.4
Maintenance and operation of plant	87.0		86.3
Renovation, office furniture, etc.	96.1		91.7
General administration	56.4		100.0
Student services	81.2		81.1
Staff benefits	88.3		89.3
General institutional services	91.3		88.9
Service enterprises	87.3		77.6
Debt service cost	75.5		112.4
Student aid	126.4		98.9
Reserves	166.9		126.0
Total unrestricted			

University of Kentucky  
 Summary of Current Funds Expenditures  
 Eleven Months Ended May 31, 1971  
 With Comparative Figures for 1970

Schedule 6

		Eleven Months Ended May 31, 1970		Eleven Months Ended May 31, 1971	
	Appropriation	Expended or	% Expended or	Appropriation	Expended or
Year	Encumbered	to Date	Encumbered	Encumbered	to Date
Year	to Date	to Date	to Date	to Date	to Date
\$	470,529	\$ 204,968	43.6	\$ 771,299	\$ 376,608
	4,578	3,621	80.0	248,585	149,107
	158,079	126,882	80.3	176,176	116,658
	13,387	927	6.9	14,320	1,636
	466,322	228,993	49.1	337,337	116,765
	40,282	46,220	114.7	19,872	10,357
	111,140	148,332	133.5	92,016	95,480
	1,033,162	861,191	83.4	1,181,451	927,296
	<u>2,297,479</u>	<u>1,621,134</u>	<u>70.6</u>	<u>2,841,046</u>	<u>1,793,907</u>
				<u>1,086,294</u>	<u>394,816</u>
				13,406,865	11,093,730
				<u>7,656,193</u>	<u>7,198,398</u>
				<u>2,666,603</u>	<u>2,345,402</u>
				<u>\$107,096,960</u>	<u>\$93,290,506</u>
					<u>87.1</u>

Restricted:

Departments of instruction and research

Community colleges

Organized research

Libraries

Extension and public service

General administration

General institutional services

Student aid

Total restricted

Tobacco Research Trust Fund

Hospital and clinics

Housing and dining system

Auxiliary enterprises

TOTAL CURRENT FUNDS EXPENDITURES

University of Kentucky  
 Schedule of Short Term Investments  
 For the Eleven Months Ended May 31, 1971

Schedule 7

	Maturity Value	Cost
<b>Restricted Current Funds:</b>		
<b>Government securities:</b>		
Banks for Cooperatives, 5.25%, 7-1-71	\$ 129,000	\$ 129,000
FNMA Notes, 8.7%, 3-10-72	20,000	20,000
Federal Land Bank Bonds, 8.45%, 7-20-71	50,000	50,000
FNMA Notes, 8.45%, 10-12-71	300,000	300,000
Federal Home Loan, 8.2%, 11-26-71	150,000	150,000
FIC Bank Bonds, 6.8%, 8-2-71	500,000	500,000
Banks for Cooperatives, 5.7%, 6-1-71	200,000	200,000
FIC Bank Bonds, 5.3%, 10-4-71	100,000	100,000
U. S. Treasury Bills, 3.5%, 6-24-71	500,000	496,160
U. S. Treasury Bills, 4.05%, 10-28-71	500,000	490,437
Total government securities		<u>2,435,597</u>
<b>Corporate notes:</b>		
Penn Central Transportation Company, 8.875%, 6-29-70	500,000	<u>481,017</u>
<b>Certificates of deposit:</b>		
Bank of Commerce and Trust Company, 5.5%, 2-5-72	100,000	100,000
First Security National Bank & Trust Company, 6.5%, 12-7-71	300,000	300,000
First Security National Bank & Trust Company, 5.875%, 6-30-71	200,000	200,000
First Security National Bank & Trust Company, 5%, 3-8-72	100,000	100,000
First Security National Bank & Trust Company, 5%, 6-28-71	500,000	500,000
Bank of Lexington, 5.25%, 3-8-72	100,000	100,000
Bank of Commerce & Trust Company, 5.5%, 3-8-72	100,000	100,000
First Security National Bank & Trust Company, 5.5%, 5-12-72	100,000	100,000
Louisville Trust Company, 5.5%, 5-12-72	100,000	100,000
Total certificates of deposit		<u>1,600,000</u>
<b>TOTAL RESTRICTED FUNDS</b>		<u><u>\$ 4,516,614</u></u>
<b>Endowment Funds:</b>		
<b>Government securities:</b>		
Banks for Cooperatives, 5.25%, 7-1-71	\$ 1,000	<u>\$ 1,000</u>
<b>Agency Funds:</b>		
<b>Certificates of deposit:</b>		
First Security National Bank & Trust Company, 5%, 6-2-71	\$ 3,000	<u>\$ 3,000</u>

University of Kentucky  
 Schedule of Unexpended Plant Funds  
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	<u>Board Approved</u>	<u>Estimated Project Cost - Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
<u>Main Campus-Subschedule A</u>				
<u>Consolidated Educational Bonds C &amp; D-FCR 7, October 20, 1970</u>				
Classroom-Office Building 128 and 129	\$ 12,700,000	\$ 11,980,250	\$ 11,933,781	\$ 46,469
Agricultural Sciences - Lab Building # 2-749	5,391,679	5,390,399	5,135,864	250,815
Appurtenant Facilities - Parking Structures-916	3,906,009	3,752,074	3,752,074	
Appurtenant Facilities-Utilities	4,916,519	5,144,744		
Medical Center-Relocation of Cooling Tower-302		1,794,413	1,722,452	71,961
Electrical Substation # 2-Central Campus-919		706,652	702,152	4,500
Cooling Plant # 2-Central Campus-920		1,184,592	1,184,592	
Central Heating Plant- Aux. Equipment-921		443,774	426,351	17,423
Utility Distribution System-935		367,284	367,284	
Cooling Plant # 1-Expansion-942		632,625	596,878	35,747
Subtotal	4,916,519	5,144,744	4,999,709	129,631
Appurtenant Facilities - Permanent Surface Parking	413,214	355,300		
Surface Parking- Lighting and Landscaping-936		291,454	291,454	
Surface Parking- Harrison and Euclid Avenues-963		61,527	61,527	
Site Clearance-107		2,319	2,319	
Subtotal	413,214	355,300	355,300	
Appurtenant Facilities - Pedestrian Access & Light-937	345,598	345,598	318,612	26,986
Lab Office & Studio Facilities -University Wide	1,705,800	1,648,101		
Arts Building for Metal Casting-601		101,933	101,423	510
Prefabricated Research Lab. Phase I-934		1,546,168	1,546,168	
Subtotal	1,705,800	1,648,101	1,647,591	510

University of Kentucky  
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<u>Main Campus - Subschedule A - continued</u>	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
Complete Interior Reconstruction - Memorial Hall -930	\$ 458,103	\$ 424,494	\$ 424,494	\$ 424,494	
Substantial Interior Reconstruction - Portion Library Service Building-924	472,708	472,708	472,708	438,317	34,391
Appurtenant Facilities - Site Preparation Veterans Administration Hospital Site Preparation and Clearance-941	480,490	491,518	427,490	414,412	13,078
Veterans Administration Hospital - Connecting Bridge-943 Subtotal	480,490	491,518	491,518	471,556	19,962
Laboratory Office Facilities -967-FCR-2, May 5, 1970 Site Clearance-107	2,300,000	2,300,000	2,131,142	1,836,635	294,507
Subtotal	2,300,000	2,300,000	2,134,620	1,840,113	294,507
Health, Physical Education and Recreation Bldg. -968-FCR-2	2,500,000	2,500,000	116,950	104,273	12,677
Reserved for Additional Projects	1,018,000	1,018,000			
Completed Projects	420,186	420,186	420,186	420,186	Completed
Total	37,028,306	36,243,372	32,657,818	31,841,870	815,948
Contingency	1,787,682	920,568			
Legal, Administrative & Interest Expense Clearing-900	5,748,347	5,036,542	5,036,542	4,174,158	862,384
			2,859,128	2,859,128	2,859,128
<b>Total Subschedule A</b>	<b>\$44,564,335</b>	<b>\$42,200,482</b>	<b>\$40,553,488</b>	<b>\$36,016,028</b>	<b>\$ 4,537,460</b>

University of Kentucky  
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<u>Main Campus -Subschedule A -continued</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
<u>Source of Funds:</u>			
<u>Bond Anticipation Notes</u>			
Bonds	29,250,000		
Less			
Maturity	<u>525,000</u>		
Bonds to be			
Sold	\$ 28,725,000		
Realized from			
Note Sale		\$ 28,100,000	\$ 625,000
Total Series C Bonds	4,290,000	4,290,000	
Interest Income	3,649,412	3,506,527	142,885
Note Premium	1,239	1,239	
Fund Balances			
December 12, 1969 Annual Debt Service Appropriation	110,000	110,000	
December 14, 1970 Annual Debt Service Appropriation	700,000	700,000	
Subtotal	37,475,651	36,707,766	767,885
Veterans Administration	679,544	232,293	447,251
Special State Capital Construction Funds	578,000	578,000	
State Capital Construction Funds	500,000	500,000	
Auxiliary Enterprises	149,882	149,882	
Housing and Dining Funds	225,979	225,979	
Title I Grants	1,445,356	1,069,952	375,404
Title II Grants	1,129,070	1,072,616	56,454
Trust and Agency ( Furniture and Equipment-Service Bldg. )	17,000	17,000	
Total Source of Funds	<u>\$ 42,200,482</u>	<u>\$ 40,553,488</u>	<u>\$ 1,646,994</u>

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eleven Months Ended May 31, 1971

Schedule 8

Main Campus-Subschedule A - continued

SUMMARY OF UNAPPROPRIATED FUNDS - SERIES C & D BONDS

Balance in Clearing Account	\$ 2,859,128
Unrealized Income	
Estimated Interest Earnings	\$ 142,885
Other Unrealized Income	1,504,109
Total Unrealized Income	1,646,994
Subtotal	4,506,122
Additional Allotments	
HPER Building	2,383,050
Laboratory Office Fac.	165,380
Others	19,124
Total Additional Allotments	( 2,567,554)
Balance Available	1,938,568*

\* Includes the \$ 1,018,000 set aside by the Cabinet for future allocation.

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	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
<u>Main Campus-Planning-Subschedule B</u>					
<u>Consolidated Educational Bonds ( not assigned )</u>					
( PR 10 & 11-January 29, 1969 )					
Lab Office Building- Biological Sciences-Phase I-940	\$ 10,000,000	\$ 11,378,000	\$ 120,625	\$ 120,625	
Addition to Margaret I. King Library-938	4,100,000	4,100,000	132,864	132,864	
Computer Facilities ( cancelled )-962	2,800,000	7,500	7,500	6,208	\$ 1,292
Medical Center - New Construction and Renovation	8,500,000	8,500,000			
Addition of 2 Floors -301			10,000	10,000	
Handicapped Children's Center -939			10,000	10,000	
Patient Care Services -307			7,000	5,000	2,000
Subtotal	8,500,000	8,500,000	27,000	25,000	2,000
Total Subschedule B	\$ 25,400,000	\$ 23,985,500	\$ 287,989	\$ 284,697	\$ 3,292

Source of Funds:  
General Obligation Bonds \$ 287,989



Schedule 8

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eleven Months Ended May 31, 1971

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
<u>Main Campus-Subschedule C</u>					
<u>Non-Recurring Maintenance Items ( FCR-6, April 2, 1968 &amp; PR 5, November 19, 1968 )</u>					
Roof Repairs - E Wing Engineering Building ( Anderson Hall ) -922	\$ 42,000	\$ 33,764	\$ 16,577	\$ 16,577	
Air Conditioning- Bowman, Bradley, Breckinridge and Kinkead-138	387,410	387,410	387,410	371,843	\$ 15,567
Centrex-Conduit System	94,272	94,272			
Conduit for Medical Center-321 Installation-39-087			55,309	52,044	3,265
Subtotal	94,272	94,272	91,751	88,486	3,265
Repairs -Administration Building	60,000	73,491			
Masonry Repairs-965			22,391	22,391	
Window Air Conditioners 39-090			11,750	11,729	21
Replace Windows 39-091			39,350	39,350	
Subtotal	60,000	73,491	73,491	73,470	21
Roof Repairs- McVey Hall	15,000	15,000			
McVey Hall-Roof Repairs-38404			5,000	5,000	
McVey Hall-Gutter Repairs -38392			10,000	10,000	
Subtotal	15,000	15,000	15,000	15,000	
Roof Repairs Memorial Hall-Cancelled Project	18,000				
Floor Tile-Cancelled Projects	121,000				
Electrical Cancelled Projects	210,000				
Electrical Fine Arts -38444	9,000	9,000	9,000		9,000

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eleven Months Ended May 31, 1971

Schedule 8

<u>Main Campus - Subschedule C - continued</u>	Board Approved Project Cost	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
Hardware -	\$ 20,000	\$ 20,000			
Alumni Gym & Taylor Building-38428			\$ 10,000	\$ 9,750	\$ 250
Animal Pathology Bldg. & Home Economics Bldg. -Hardware-38429		10,000			
Subtotal	20,000	20,000	20,000	19,750	250
Roof Repairs -Administration Annex-969	8,000	16,236	16,236	14,760	1,476
Campus Utilities Electrical 119,38-443,972		145,000	65,703	28,529	37,174
Renovation Taylor Education Bldg. -117		132,629	26,198	1,000	25,198
Completed Projects	165,318	162,251	162,251	162,251	Completed
Total	1,150,000	1,089,053	883,617	800,666	82,951
Unallotted Non-Recurring Maintenance			224,120		224,120
Contingency		18,684			
Total Subschedule C	\$ 1,150,000	\$ 1,107,737	\$ 1,107,737	\$ 800,666	\$ 307,071
Source of Funds:	Amount	Realized			
University Fund Balances	\$ 15,000	\$ 15,000			
General Obligation Bonds	41,390	41,390			
Restricted	1,928	1,928			
Renovation Funds-1969-70	27,738	27,738			
Fund Balances -					
PR-5, November 19, 1968	900,000	900,000			
FCR-6, April 2, 1968	112,400	112,400			
FCR-6, April 2, 1968	137,600	137,600			
Transferred to Minor Renovation Funds	( 80,000)	( 80,000)			
Transferred to State	(55,000)	( 55,000)			
Capital Construction Projects	6,681	6,681			
Athletic Association					
Total Source of Funds	\$ 1,107,737	\$ 1,107,737			

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eleven Months Ended May 31, 1971

Subschedule C--continued

SUMMARY OF UNAPPROPRIATED FUNDS--NON-RECURRING MAINTENANCE

Balance in Clearing	\$ 224,120
To be Allotted	
Taylor Education	\$ 106,431
Others	99,005
Total	( 205,436)
Balance Available	18,684*

\* Balance Available has decreased significantly due to \$ 80,000 transfer to 1970-71 renovation funds.

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eleven Months Ended May 31, 1971  
 Schedule 8

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
<u>Main Campus - Subschedule D</u>					
State Capital Construction Funds- ( FCR-3, February 18, 1969)					
Agricultural Regulatory Service Facilities -745					
Community Medicine Facility-Mycology and Animal Tuberculosis-322	\$ 100,000	115,185	3,720 \$	108,774 \$	6,411
Renovation of Engineering Quadrangle-111	250,000	349,332	349,332	333,262	16,070
Development of Intramural Fields-118	250,000	250,000	173,040	168,760	4,280
Renovation of Neurosurgery-960	220,000	270,014	270,014	267,235	2,779
Quicksand Wood Utilization Center	150,000	173,751			
Trailers-109			147,440	145,830	1,610
Trailers-Force Account-110			12,000	12,000	Completed
Student Housing Renovation-320			14,311	14,311	Completed
Subtotal	150,000	173,751	173,751	172,141	1,610
ETV Equipment for Community Colleges-518	100,000	118,109	118,109	87,814	30,295
Reserve for Additional Projects		166,706	166,706		166,706
Contingency	3,500	2,683			
Undistributed ( not allotted specific projects )			79,643		79,643
Total Subschedule D	\$ 1,073,500 \$	1,449,500 \$	1,449,500 \$	1,141,706 \$	307,794
Source of Funds:					
Board Action-FCR-3	\$ 1,853,500 \$	1,853,500			
Transferred to Ag. Science Building II	(500,000)	(500,000)			
Transferred from Non-Recurring Maintenance	55,000	55,000			
Restricted Funds ( Engr. Equipment Account )	41,000	41,000			
Total Source of Funds	<u>\$ 1,449,500 \$</u>	<u>1,449,500</u>			

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eleven Months Ended May 31, 1971

Subschedule D-continued

SUMMARY OF UNAPPROPRIATED FUNDS-STATE CAPITAL CONSTRUCTION FUNDS

Balance in Clearing Account	\$ 246,349
Additional Allotments	<u>( 76,960)</u>
Balance Available	\$ 169,389*

\* Overruns on Mycology and Community College ETV have caused the reduction of the \$ 200,000 Reserved for Additional Projects.

University of Kentucky  
Schedule 8

Schedule of Unexpended Plant Funds  
For the Eleven Months Ended May 31, 1971

	<u>Board</u>	<u>Estimated</u>	<u>Allotment</u>	<u>Expenditures</u>	<u>Balance</u>
	<u>Approved</u>	<u>Project Cost</u>	<u></u>	<u>and</u>	<u>of</u>
				<u>Encumbrances</u>	<u>Allotment</u>
<u>Main Campus-Subschedule E</u>					
Major Improvements-Miscellaneous Construction					
( PR-6, November 10, 1967 & FCR-2, December 9, 1969 )					
Substantial Interior Reconstruction Portions					
Agr. Science Building-Small Animal Lab to Tobacco	\$ 162,500	\$ 220,813	\$ 220,813	\$ 220,813	
Health and Research-319					
Substantial Interior Reconstruction Portions					
Anderson Hall ( Engr. Quadrangle )-922	136,500	138,009	136,541	136,541	
Minor Interior Changes & Major Mech & Electrical					
Highway Materials Research Lab ( Graham Avenue )-932	150,000	225,405	217,141	215,073	\$ 2,068
Substantial Reconstruction Agr. Exp. Station					
Air Conditioning 39-070, 071, 072, 073	75,000	75,000	40,950	44,840	( 3,890)
Completed projects	985,850	1,027,361	1,027,361	1,027,361	Completed
Cancelled Projects	95,500				
Contingency and Clearing Account	194,650	1,934	155,135		155,135
Subtotal PR-6	1,800,000	1,688,522	1,797,941	1,644,628	153,313
Demolition of Houses -107		58,262	52,465	48,769	3,696
Flammable Storage Buildings -101		51,000	47,378	47,347	31
<b>Total Subschedule E</b>	<b>\$ 1,800,000</b>	<b>\$ 1,797,784</b>	<b>\$ 1,897,784</b>	<b>\$ 1,740,744</b>	<b>\$ 157,040</b>

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eleven Months Ended May 31, 1971

<u>Main Campus-Subschedule E -continued</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
<u>Source of Funds:</u>			
Fund Balances-PR-6, November 10, 1967		\$ 1,013,376	\$ 1,013,376
General Obligation Bonds -			
FCR-2, December 9, 1969	\$ 786,624		
Available Balances Returned	( 10,097)		
Total G. O. B.			
Grants	776,527	776,527	
Trust and Agency	136,588	136,588	
Restricted Funds	10,000	10,000	
Subtotal	28,066	28,066	
	1,964,557	1,964,557	
Less:			
Sewer Connection Fee- FCR-3, March 12, 1968	( 35,000)	( 35,000)	
Conversion of Electrical Distribution System -			
FCR-4, March 16, 1971	( 100,000)	( 100,000)	\$ ( 100,000)
Transferred to Minor Renovation Funds	( 20,000)	( 20,000)	
Transferred to Research Feed Processing Center	( 11,773)	( 11,773)	
Total Source of Funds	\$ 1,797,784	\$ 1,897,784	\$ ( 100,000)

SUMMARY OF UNAPPROPRIATED FUNDS-MAJOR IMPROVEMENTS

Balance in Clearing Account	\$ 155,135
Additional Allotments -	
This Schedule	\$ 53,201
FCR-4	100,000
Total Additional Allotments	153,201)
Balance Available	\$ 1,934*

\* Balance Available has decreased significantly due to \$ 20,000 transfer to 1970-71 renovation funds.

University of Kentucky  
 Schedule of Unexpended Plant Funds  
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Schedule 8

Main Campus-Subschedule F a. Others	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
Closed Circuit TV	\$ 70,000	\$ 71,205			
Engr. & Commerce Buildings-966			10,000	7,000	3,000
Taylor Distribution Equipment-39-077			11,005	5,275	5,730
Completion Classroom-Office-39-076			9,500	9,500	
White Hall Distribution Equipment-39-078			4,200	3,070	1,130
T. V. Receivers and Tape 39-079			32,400	9,052	23,348
Closed Circuit TV 39-088			3,500	2,963	537
Patterson Tower Closed Circuit TV 39-075			600	600	Completed
Subtotal	70,000	71,205	71,205	37,460	33,745
Coldstream Research Feed Processing Center-746 ( FCR-3, June 9, 1970 )	293,475	293,475	293,475	278,383	15,092
South Farm Horticulture-Storage and Research-750 Miscellaneous Parking Lot Construction-964, 970		72,691	72,601	72,601	
( FCR-2, June 9, 1970 )	200,000	246,400	246,400	221,919	24,481
Ag. Service-Agronomy Seed & Lab-928		2,500	2,500	1,000	1,500
Fire Escape- Jewell & Reynolds-905		56,432	56,432	55,756	676
Renovation-Lafferty Hall-971		3,500	3,500	2,000	1,500
Alterations to Doctor's Parking Lot-325		4,500	4,500	4,500	
Shively Sports Center Swimming Pool-978		2,000	2,000		2,000
Renovation Frazee Hall-974		2,500	2,500	2,000	500
Funkhouser - Biological Sciences-931		1,000	1,000	1,000	Completed
Total Subschedule F. a.	563,475	754,113	756,113	676,619	79,494



University of Kentucky  
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 For the Eleven Months Ended May 31, 1971

Schedule 8

Main Campus-Subschedule F-continued

Source of Funds-Subschedule F. a.

	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
Auxiliary Enterprises ( Parking Fees )	\$ 246,400	\$ 246,400	
State Fire and Tornado Fund	134,932	134,932	
USDA Grants	164,503	56,301	\$ 108,202
70-71 Renovation Funds	81,000	81,000	
69-70 Renovation Funds	2,500	2,500	
Fund Balances	76,705	76,705	
Transferred from PR 6	11,773	11,773	
Program Improvement Reserve	36,300	36,300	
Subtotal	754,113	645,911	108,202
Advance from General Obligation Bonds		108,202	( 108,202 )
Advance from Fund Balances		2,000	2,000
<b>Total</b>	<b>\$ 754,113</b>	<b>\$ 756,113</b>	<b>( 2,000 )</b>

b. Purchase of Computer-103 ( FCR-2, December 9, 1969)

<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment Completed</u>
\$ 2,007,344	\$ 2,007,344	\$ 2,007,344	\$ 2,007,344	\$ 2,007,344

Source of Funds - Subschedule F. b.  
FCR-2

Trust and Agency	\$ 1,819,612	\$ 1,819,612
Restricted Funds	187,732	187,732
<b>Total</b>	<b>2,007,344</b>	<b>2,007,344</b>

University of Kentucky  
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Schedule 8

<u>Main Campus-Subschedule F-continued</u>	<u>Board</u>	<u>Estimated</u>	<u>Allotment</u>	<u>Expenditures</u>	<u>Balance</u>
	<u>Approved</u>	<u>Project Cost</u>	<u></u>	<u>and</u>	<u>of</u>
	<u>Amount</u>	<u>Realized</u>	<u></u>	<u>Encumbrances</u>	<u>Allotment</u>
c. <u>Property Acquisitions-135</u>					
<u>Source of Funds-Subschedule F. c.</u>					
<u>General Obligation Bonds</u>					
FCR-4, May 6, 1969	\$ 2,300,000				
FCR-2, December 9, 1969	187,732				
Subtotal					
Restricted Fund Balances					
PR-5, November 19, 1968	900,000				
FCR-2, December 9, 1969	( 187,732)				
Phasing Out Operations of South End					
Frozen Food Locker	( 9,517)				
Transfer of Land Sale Proceeds					
Subtotal					
Proceeds from Real Estate Sales	684,645	684,645			
Trust and Agency Funds	98,606	98,606			
Program Improvement Reserve					
PR-7, May 5, 1970	32,450				
PR-4, January 19, 1971	100,000				
Subtotal	532,450				
Total	3,803,433		3,803,433	3,314,871	\$ 488,562

University of Kentucky  
 Schedule of Unexpended Plant Funds  
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Schedule 8

Main Campus-Subschedule F-continued

d. Completed Projects ( Medical Center - Hill Burton )

Source of Funds-Subschedule F. d.

Federal Grants  
 Special State Appropriation  
 Total

\$ 25,398,144 \$ 25,286,631 \$ 25,286,631 \$ 25,286,631

Amount Realized      Unrealized

\$ 10,192,147 \$ 10,192,147  
 15,094,484 15,094,484

25,286,631 25,286,631

Board Estimated

Approved Project Cost  
 1,947,240 1,947,240

Allotment  
 1,947,240

Expenditures and Encumbrances  
Balance of Allotment  
 1,902,693 44,547

e. Housing and Dining Complex

Source of Funds-Subschedule F. e.

Series H & I Bonds ( HUD )  
 Trust and Agency  
 Total

Amount Realized      Unrealized

\$ 1,917,069 \$ 1,917,069  
 30,171 30,171

1,947,240 1,947,240

Board Estimated

Approved Project Cost  
 8,031

Allotment  
 400,000

Expenditures and Encumbrances  
Balance of Allotment  
 8,031 400,000

f. Undistributed Portion of Fund Balances -100

Restricted Funds  
 University Funds Reserved for Additional Projects  
 University Funds Reserved for Parking  
 Unallocated

\$ 8,031 \$ 8,031  
 400,000 400,000  
 200,000 200,000  
 336,050 336,050

Total Subschedule F. f.

944,081

Total Subschedule F

\$ 33,716,203 \$ 33,798,761 \$ 34,744,842 \$ 33,188,158 \$ 1,556,684

University of Kentucky  
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 For the Eleven Months Ended May 31, 1971

Schedule 8

	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
<b>Main Campus - Subschedule G</b>					
<b>General Obligation Bonds</b>					
Campus Utilities Expansion FCR-3, December 8, 1970	\$ 1,300,000	\$ 1,300,000			
Interconnection of Steam Lines - Medical Center & Upper Street Heating Plants - 324		\$ 6,000	\$ 6,000	\$ 3,500	\$ 2,500
Chilled Water Steam and Electrical Distribution			630,133	505,281	124,852
Multidiscipline - 973			636,133	508,781	127,352
Subtotal	1,300,000	1,300,000			
Completed Projects FCR-2, June 9, 1970	196,507	190,005	190,005	190,005	Completed
Conversion of Electrical Distribution System - FCR-4, March 16, 1971	1,500,000				
Replacement of Boilers - Upper Street Heating Plant - 975		950,000	49,500	5,000	44,500
Conversion from 4KV to 12 KV - 976		500,000	5,000	2,500	2,500
Subtotal	1,500,000	1,450,000	54,500	7,500	47,000
Contingency		85,407			
Unallocated General Obligation Bonds			1,200,522		1,200,522
Total Subschedule G	\$ 2,996,507	\$ 3,025,412	\$ 2,081,160	\$ 706,286	\$ 1,374,874
<b>Source of Funds:</b>					
General Obligation Bond Proceeds	\$ 5,783,000	\$ 5,783,000			
Transferred to Other Schedules:					
Subschedule B ( Main Campus Planning )	( 287,989 )	( 287,989 )			
Subschedule C ( Non-Recurring Maintenance )	( 41,390 )	( 41,390 )			
Subschedule E ( Major Improvements )	( 776,527 )	( 776,527 )			
Subschedule F. a. ( Main Campus - Others )	( 2,487,732 )	( 108,202 )	108,202		
Subschedule F. c. ( Property Acquisitions )	2,189,362	2,081,160	108,202		
Subtotal G. O. B.		2,081,160			
University Funds FCR-4, March 16, 1971					
Subschedule E		\$ 100,000			
Subschedule F. f. ( Reserve )		400,000			
Subschedule F. f. ( Unallocated )		336,050			
Total University Funds	836,050		836,050		
Total Source of Funds	\$ 3,025,412	\$ 2,081,160	\$ 944,252		

University of Kentucky  
Schedule of Unexpended Plant Funds  
For the Eleven Months Ended May 31, 1971

Subschedule G--continued

SUMMARY OF UNAPPROPRIATED FUNDS--GENERAL OBLIGATION BONDS

GO Bonds Current Balance	\$ 1,200,522
Advances to be Repaid	<u>108,202</u>
Subtotal	<u>1,308,724</u>
Additional Allotment	
Utilities Expansion	(663,867)
Electrical Distribution	<u>( 559,450)</u>
Balance Available	85,407

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eleven Months Ended May 31, 1971

Schedule 8

Community Colleges - Subschedule H  
Consolidated Education Bonds - Series A & B (FCR-2-  
 November 17, 1970)

	<u>Board</u> <u>Approved</u>	<u>Estimated</u> <u>Project Cost</u>	<u>Allotment</u>	<u>Expenditures</u> <u>and</u> <u>Encumbrances</u>	<u>Balance</u> <u>of</u> <u>Allotment</u>
<b>Ashland Phase I</b>					
Preliminary Development-517	\$ 3,365,206	\$ 3,322,206	\$ 12,436	\$ 12,436	Completed
Academic Facilities-523		90,000	3,309,770	3,297,704	\$ 12,066
Construction of Parking Lot-519			90,000		90,000
Subtotal	3,365,206	3,412,206	3,412,206	3,310,140	102,066
<b>Elizabethtown</b>					
Preliminary Development-510	1,559,095	1,564,095	9,025	9,025	
Academic Facilities-525			1,555,070	1,554,348	722
Subtotal	1,559,095	1,564,095	1,564,095	1,563,373	722
<b>Hazard</b>					
Preliminary Development-507	1,831,213	1,831,213	10,427	10,427	
Academic Facilities-527			1,820,786	1,789,668	31,118
Subtotal	1,831,213	1,831,213	1,831,213	1,800,095	31,118
<b>Henderson</b>					
Preliminary Development-511	1,239,570	1,239,570	3,925	3,925	Completed
Academic Facilities-529			1,235,645	1,229,067	6,578
Subtotal	1,239,570	1,239,570	1,239,570	1,232,992	6,578
<b>Hopkinsville</b>					
Preliminary Development-514	759,753	759,754	9,075	9,075	Completed
Academic Facilities-531			750,679	747,680	2,999
Subtotal	759,753	759,754	759,754	756,755	2,999
<b>Jefferson</b>					
Phase I-547	5,576,910	5,664,310	1,365,101	1,365,101	
Phase II-534			3,861,327	3,782,267	79,060
Utilities Tunnell-549			427,882	412,638	15,244
Phase III-535			10,000	10,000	
Subtotal	5,576,910	5,664,310	5,664,310	5,570,006	94,304

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eleven Months Ended May 31, 1971  
 Schedule 8

<u>Community Colleges - Subschedule H - continued</u>	<u>Board</u>	<u>Estimated</u>	<u>Allotment</u>	<u>Expenditures</u>	<u>Balance</u>
<u>Madisonville</u>	<u>Approved</u>	<u>Project Cost</u>	<u></u>	<u>and</u>	<u>of</u>
<u>Preliminary Development-550 ( FCR-3 ) ( March 10, 1970 ) \$</u>	<u>2,500,000</u>	<u>\$</u>	<u>14,200</u>	<u>\$</u>	<u>607</u>
Academic Facilities -554	2,500,000		115,750	79,790	35,960
Trailers-551	11,150		11,150	11,150	
<u>Subtotal</u>	<u>2,500,000</u>		<u>141,100</u>	<u>104,533</u>	<u>36,567</u>
<b>Maysville</b>	1,866,511		9,450	9,450	
Preliminary Development-536			1,857,061	1,800,691	56,370
Academic Facilities -537			1,866,511	1,810,141	56,370
<u>Subtotal</u>	<u>1,866,511</u>		<u>1,866,511</u>	<u>1,810,141</u>	<u>56,370</u>
<b>Prestonsburg</b>	1,645,096		12,897	12,597	300
Preliminary Development-513			1,632,199	1,625,458	6,741
Academic Facilities -541			1,645,096	1,638,055	7,041
<u>Subtotal</u>	<u>1,645,096</u>		<u>1,645,096</u>	<u>1,638,055</u>	<u>7,041</u>
<b>Somerset</b>	814,726		9,450	9,450	
Preliminary Development-512			805,276	798,844	6,432
Academic Facilities -543			814,726	808,294	6,432
<u>Subtotal</u>	<u>814,726</u>		<u>814,726</u>	<u>808,294</u>	<u>6,432</u>
<b>Southeast</b>	1,015,381		10,594	10,594	Completed
Preliminary Development-515			1,005,787	995,492	10,295
Academic Facilities -545			1,016,381	1,006,086	10,295
<u>Subtotal</u>	<u>1,015,381</u>		<u>1,016,381</u>	<u>1,006,086</u>	<u>10,295</u>
<b>Movable Buildings 548, 539</b>	718,817		718,803	718,803	
<b>Hopkinsville -508</b>	223,620		213,732	213,732	
<b>Somerset-506</b>	176,380		116,336	116,336	

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eleven Months Ended May 31, 1971

SCNEUUE O

Community Colleges-Subschedule H-continued  
Educational Television-Community Colleges  
 Southeast-521  
 Henderson-552  
 Prestonsburg-553  
 Subtotal

Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of
\$				Alloctment
45,000				
\$	19,203	\$ 19,248	\$ 12,795	\$ 6,453
	24,500	2,716	2,022	694
	5,086	5,384	4,591	793
45,000	48,789	27,348	19,408	7,940

Total 23,337,278 23,560,988 21,031,181 20,668,749 362,432

Contingency 513,684

Legal, Administrative, and Interest Expenses 881,663 881,181 482

Clearing Account-500 1,747,318 1,747,318

Total Subschedule H

\$23,337,278 \$25,299,975 \$23,660,162 \$21,549,930 \$ 2,110,232

Source of Funds:

Title I Grants  
 Norton Memorial Hospital  
 Ashland Board of Education  
 Madisonville Reserve  
 Trust and Agency  
 Due to State ( Advances )  
 Old Series A & B Bonds  
 Series A & B Bonds:  
 Original Issue-16,300,000  
 Series A  
 Fund Balances  
 Series B  
 Bond Premium  
 Interest Earned

Amount Realized Unrealized  
 \$ 7,113,914 \$ 5,538,471 \$ 1,575,443

95,063 95,063  
 45,000 45,000  
 23,150 23,150  
 2,500 2,500  
 1,960 10,630 (10,630)  
 1,960 1,960

12,125,000 12,125,000  
 75,000 75,000  
 4,489,000 4,489,000  
 360 360  
 1,329,028 1,329,028

Total Source of Funds

\$25,299,975 \$23,660,162 \$ 1,639,813



University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eleven Months Ended May 31, 1971

Subschedule H-continued

SUMMARY OF UNAPPROPRIATED FUNDS-SERIES A & B BONDS

Balance in Clearing Account	\$ 1,747,318
Unrealized Income	<u>1,639,813</u>
Subtotal	3,387,131
Additional Allotments	
Legal and Admin ( Est )	\$ 343,640
Others	<u>( 2,873,447 )</u>
Balance Available	\$ 513,684

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eleven Months Ended May 31, 1971

Schedule 8

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
<u>Community Colleges-Subschedule I</u>					
Others-					
Jefferson-Demolition Old Pharmacy Bldg.	\$	6,000 \$	6,000	\$	6,000
Somerset Amphitheater -502		1,000	1,000 \$	1,000	
Ashland Phase II-524		7,500	7,500	5,000	2,500
	\$	14,500 \$	14,500 \$	6,000 \$	8,500

Total Subschedule I

Source of Funds:

	Amount	Realized	Unrealized
Trust and Agency Advance FCR-3, March 10, 1970	\$ 7,500	\$ 7,500	
Fund Balances	1,000	1,000	
Due from Other Funds	7,500	\$ 7,500	
Advance to be Repaid	( 7,500)		( 7,500)
1970-71 Renovation Funds	6,000	6,000	
	\$ 14,500	\$ 14,500	
Total Source of Funds		14,500	

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eleven Months Ended May 31, 1971

<u>Minor Renovation Funds-Subschedule J</u>	<u>Board</u>	<u>Estimated</u>	<u>Allotment</u>	<u>Expenditures</u>	<u>Balance</u>
	<u>Approved</u>	<u>Project Cost</u>		<u>and</u>	<u>of</u>
				<u>Encumbrances</u>	<u>Allotment</u>
1970-71 Renovation Funds					
Projects in Process	\$ 600,000	\$ 430,991	\$ 430,991	\$ 249,513	\$ 181,478
Completed Projects		48,468	48,468	48,468	Completed
Unallocated Regular Clearing Account 39-500			231,559		231,559
Unallocated Miscellaneous Clearing Account 39-600			3,849		3,849
Stock Furniture Account 39-096		25,596	25,596	22,770	2,826
Contingency		236,652			
Subtotal	600,000	741,707	740,463	320,751	419,712
1969-70 Renovation Funds					
Projects in Process	400,000	97,323	97,323	95,453	1,870
Completed Projects		161,386	161,386	161,386	Completed
Unallocated Regular Clearing Account 39-099			111,053		111,053
Contingency		111,053			
Subtotal	400,000	369,762	369,762	256,839	112,923
1968-69 Renovation Projects in Process		67,263	67,263	67,263	
Total Subschedule J	\$ 1,000,000	\$ 1,178,732	\$ 1,177,488	\$ 644,853	\$ 532,635

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eleven Months Ended May 31, 1971

Schedule 8

<u>Subschedule J-continued</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
<u>Source of Funds:</u>			
<u>Current Year's Allocation</u>			
PR-7, May 5, 1970	\$ 300,000		
PR-4, January 19, 1971	<u>300,000</u>		
Total 1970-71 Allocation		600,000	600,000
Transferred to Subschedule F. a.		( 81,000)	( 81,000)
Transferred to Subschedule I		( 6,000)	( 6,000)
Transferred from 1969-70 Stock Furniture		596	596
Transferred from Non-Recurring Maintenance		80,000	80,000
Transferred from Major Improvements PR-6		20,000	20,000
Auxiliary Enterprises		17,000	17,000
Transferred from Other Funds		<u>111,111</u>	<u>109,867</u>
		1,244	1,244
Subtotal 1970-71 Funds		741,707	740,463
		1,244	1,244
<u>Prior Year's Allocation</u>			
Transferred to Subschedule C		400,000	400,000
Transferred to Subschedule F. a.		( 27,738)	( 27,738)
		<u>( 2,500)</u>	<u>( 2,500)</u>
Subtotal 1969-70 Funds		369,762	369,762
1968-69 Renovation Funds		67,263	67,263
Total Source of Funds		<u>\$ 1,178,732</u>	<u>\$ 1,177,488</u>
		<u>1,244</u>	<u>1,244</u>

SUMMARY OF UNAPPROPRIATED FUNDS-MINOR RENOVATION

Balance in Clearing Accounts	\$ 346,461
Unrealized Income	<u>1,244</u>
Balance Available	347,705

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eleven Months Ended May 31, 1971

Schedule 8

<u>SUMMARY OF SUBSCHEDULES</u>	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
A. Consolidated Education Bonds C & D	\$ 44,564,335	\$ 42,200,482	\$ 40,553,488	\$ 36,016,028	\$ 4,537,460
B. Main Campus - Planning	25,400,000	23,985,500	287,989	284,697	3,292
C. Non-Recurring Maintenance	1,150,000	1,107,737	1,107,737	800,666	307,071
D. State Capital Construction Funds	1,073,500	1,449,500	1,449,500	1,141,706	307,794
E. Major Improvements	1,800,000	1,797,784	1,897,784	1,740,744	157,040
F. Main Campus - Others	33,716,203	33,798,761	34,744,842	33,188,158	1,556,684
G. General Obligation Bonds	2,996,507	3,025,412	2,081,160	706,286	1,374,874
H. Consolidated Education Bonds A & B	23,337,278	25,299,975	23,660,162	21,549,930	2,110,232
I. Community Colleges - Other	14,500	14,500	14,500	6,000	8,500
J. Renovation Funds	1,000,000	1,178,732	1,177,488	644,853	532,635
	<u>\$135,037,823</u>	<u>\$133,858,383</u>	<u>\$106,974,650</u>	<u>\$ 96,079,068</u>	<u>\$ 10,895,582</u>

GRAND TOTAL OF SCHEDULE

GRAND SUMMARY OF AVAILABLE PLANT FUNDS

<b>Capital Construction Funds</b>	
Main Campus	
Series C & D Bonds ( Subschedule A )	\$ 1,940,683
Non-Recurring Maintenance ( Subschedule C )	18,684
State Capital Construction ( Subschedule D )	169,389
Major Improvements ( Subschedule E )	1,934
Main Campus Others ( Subschedule F )	8,031
GO Bonds ( Subschedule G )	<u>85,407</u>
Subtotal Main Campus	\$ 2,224,128
Community Colleges	
Series A & B ( Subschedule H )	<u>513,684</u>
<b>Total Available Capital Construction Funds</b>	<b>\$ 2,737,812</b>
<b>Renovation Funds</b>	
Minor Renovation ( Subschedule J )	<u>347,705</u>
<b>Total Available Renovation Funds</b>	<b>347,705</b>
<b>Total Available Plant Funds</b>	<b><u>\$ 3,085,517</u></b>

University of Kentucky  
 Schedule of Retirement of Indebtedness Funds  
 For the Eleven Months Ended May 31, 1971

Schedule 9

	<u>Estimated</u>	<u>Realized</u>	<u>% Realized</u>
<b>Income:</b>			
<b>Unrestricted funds debt:</b>			
Charged to unrestricted current funds	\$ 5,170,100	\$ 5,169,959	99.9
Investment income from sinking funds	84,721	84,721	100.0
Charges to retirement of indebtedness funds balances	290,686	290,686	100.0
Subtotal	<u>5,545,507</u>	<u>5,545,366</u>	<u>99.9</u>
Housing and dining system debt:			
Charges to housing and dining system current funds	1,553,783	1,553,783	100.0
investment income from sinking funds	106,360	106,360	100.0
Subtotal	<u>1,660,143</u>	<u>1,660,143</u>	<u>100.0</u>
Auxiliary enterprises debt:			
Charges to auxiliary enterprises current funds	188,579	188,579	100.0
	<u>\$ 7,394,229</u>	<u>\$ 7,394,088</u>	<u>99.9</u>
	<u>Appropriated</u>	<u>Expended</u>	<u>% Expended</u>
<b>Debt Service:</b>			
<b>Unrestricted funds debt:</b>			
Consolidated Educational Buildings-Series A	\$ 504,796	\$ 504,796	100.0
Consolidated Educational Buildings-Series B	463,427	463,427	100.0
Consolidated Educational Buildings-Series C	295,021	294,880	99.9
Consolidated Educational Buildings-Series D	2,948,344	2,948,344	100.0
Community Colleges Educational Buildings-Series A	1,088,006	1,088,006	100.0
Community Colleges Educational Buildings-Series B	245,913	245,913	100.0
Subtotal	<u>5,545,507</u>	<u>5,545,366</u>	<u>99.9</u>
Housing and Dining System Revenue Bonds-Series A-I	<u>1,660,143</u>	<u>1,660,143</u>	<u>100.0</u>

TOTAL RETIREMENT OF INDEBTEDNESS FUNDS

University of Kentucky  
 Schedule of Retirement of Indebtedness Funds  
 For the Eleven Months Ended May 31, 1971

Schedule 9

	<u>Appropriated</u>	<u>Expended</u>	<u>% Expended</u>
Auxiliary enterprises debt:			
Chi Omega	\$ 3,288	\$ 3,288	100.0
Alpha Delta Pi	15,411	15,411	100.0
Dorms A-F	39,175	39,175	100.0
Dorms G-L	51,495	51,495	100.0
Sigma Phi Epsilon	8,310	8,310	
Delta Delta Delta	6,225	6,225	100.0
Alpha Tau Omega	8,430	8,430	100.0
Alpha Gamma Rho and Sigma Chi	16,245	16,245	100.0
Student Housing Bonds of 1964	40,000	40,000	100.0
Subtotal	<u>188,579</u>	<u>188,579</u>	<u>100.0</u>
TOTAL RETIREMENT OF INDEBTEDNESS FUNDS	<u>\$ 7,394,229</u>	<u>\$ 7,394,088</u>	<u>99.9</u>

University of Kentucky  
 Schedule of Sinking Funds  
 May 31, 1971

Schedule 10

University of Kentucky Housing and Dining System Revenue Bond and Interest Sinking Fund Account	\$ 1,705,564
University of Kentucky Housing and Dining System Repair and Maintenance Reserve	788,388
Bowman, Keeneland, Cooperstown, Blazer and Student Union Future Interest Fund	1,127,936
Consolidated Educational Buildings Project Bond and Interest Sinking Fund-Series A	963,296
Consolidated Educational Buildings Project Bond and Interest Sinking Fund-Series B	920,828
Consolidated Educational Buildings Project Bond and Interest Sinking Fund-Series C	288,549
Consolidated Educational Buildings Revenue Bonds-Series D-Special Note Sinking Fund	847,292
Community Colleges Educational Buildings Project Bond and Interest Sinking Fund-Series A	91,547
Community Colleges Educational Buildings Project Bond and Interest Sinking Fund-Series B	108,345
Community Colleges Educational Buildings-Special Renewal Note Sinking Fund	307,982
University of Kentucky Student Housing Bond and Interest Sinking Fund Account of 1960	43,648
University of Kentucky Student Housing Building Maintenance and Equipment Reserve Account of 1960	20,656
University of Kentucky Student Housing Bond and Interest Sinking Fund Account of 1964	94,781
Community Colleges Educational Buildings-Series A- Bond and Interest Fund- Escrow Account	88,030
Community Colleges Educational Buildings-Series B-Bond and Interest Fund- Escrow Account	<u>107,752</u>

\$ 7,504,594

TOTAL SINKING FUNDS



University of Kentucky  
 Summary of Other Funds Transactions  
 For the Eleven Months Ended May 31, 1971

Schedule 11

<u>Loan Funds:</u>	Balances <u>July 1, 1970</u>	<u>Receipts</u>	<u>Expenditures</u>	Balances <u>May 31, 1971</u>
Federal sponsored loan funds:				
Federal government	\$ 4,279,205	\$ 552,775		\$ 4,931,980
University of Kentucky	391,415	33,913		425,328
Accumulated interest income	141,199	37,082		178,281
University loan funds:				
University student loan funds	40,730	6,109		46,839
University special student loan fund	64,380	5,069		69,449
United Student Aid loan fund	2,000			2,000
	<u>\$ 4,918,929</u>	<u>\$ 734,948</u>		<u>\$ 5,653,877</u>
<u>Endowment Funds:</u>				
	<u>\$ 814,716</u>	<u>\$ 120,387</u>		<u>\$ 935,103</u>
<u>Agency Funds:</u>				
	<u>\$ 758,331</u>	<u>\$ 7,891,149</u>	<u>\$ 7,986,290</u>	<u>\$ 663,190</u>

Finance Committee Report  
August 17, 1971

FCR 2

Members, Executive Committee, Board of Trustees:

APPROPRIATION TO DIVISION OF AUXILIARY SERVICES

Recommendation: that \$123,000.00 be appropriated to the Division of Auxiliary Services for capital improvements in the Housing and Dining System from unappropriated funds in the Housing and Dining account.

Background: The roof of the Food Storage Center has been repaired numerous times to prevent damage to the freezing lockers and other contents in storage. The building was constructed in 1955 and the roof was scheduled for replacement in 1970. We also need to repair and resurface the sun decks of Holmes Hall which was constructed in 1957. These decks have received damage from freezing in the winter, and heat expansion in the summer. This repair is necessary to prevent leaks.

Students have requested additional electrical power in Donovan Hall to allow for normal residence hall living. This was also a recommendation of the Commission on Residential Living. The electrical circuit has been inadequate since Donovan was constructed, and we request that approval now be given to correct this inadequacy.

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Action: Approved   X   Disapproved            Other           

Date:   August 17  , 1971

Investment Committee Report  
August 17, 1971

ICR 1

Members, Executive Committee, Board of Trustees:

REPORT OF INVESTMENTS

Recommendation: that the report of changes made in investments for the University of Kentucky and its Affiliated Corporations for the quarter ended June 30, 1971, be accepted.

Background: A part of the Board policy regarding investments is a provision that quarterly reports be submitted by the Investment Committee of the Board. The attached reports reflect the purchases and sales made during the Second Quarter of 1971, for the University of Kentucky Athletic Association, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, the University of Kentucky and the University of Kentucky Research Foundation.

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Action: Approved   X   Disapproved            Other           

Date:   August 17  , 1971

UNIVERSITY OF KENTUCKY ATHLETIC ASSOCIATION  
Statement of Portfolio Changes  
For the Second Quarter, 1971

<u>Purchases:</u>	<u>Purchase Date</u>	<u>Yield</u>	<u>Par Value</u>
U. S. Treasury Bills	4/ 6/71	3.53%	\$ 100,000.00
U. S. Treasury Bills	5/ 3/71	3.67%	<u>100,000.00</u>
Total - Purchases			<u>\$ 200,000.00</u>

<u>Sales:</u>	<u>Maturity Date</u>	<u>Yield</u>	<u>Par Value</u>
Consolidated FIC Bonds	4/ 1/71	8.05%	\$ 100,000.00
Federal Land Bank Bonds	4/20/71	8.50%	150,000.00
Banks for Cooperatives Notes	6/ 1/71	5.70%	100,000.00
U. S. Treasury Bills	6/24/71	3.53%	100,000.00
U. S. Treasury Bills	6/24/71	3.67%	<u>100,000.00</u>
Total - Sales			<u>\$ 550,000.00</u>

THE FUND FOR ADVANCEMENT OF EDUCATION AND RESEARCH  
 IN THE UNIVERSITY OF KENTUCKY MEDICAL CENTER  
 Statement of Portfolio Changes  
 For the Second Quarter, 1971

<u>Purchases:</u>	<u>Purchase Date</u>	<u>Yield</u>	<u>Par Value</u>
U. S. Treasury Bills	4/ 6/71	3.53%	\$ 300,000.00
U. S. Treasury Bills	5/ 3/71	3.67%	250,000.00
Certificate of Deposit	5/12/71	5.50%	<u>400,000.00</u>
Total - Purchases			<u>\$ 950,000.00</u>
<u>Sales:</u>	<u>Maturity Date</u>	<u>Yield</u>	<u>Par Value</u>
Consolidated FIC Bonds	4/ 1/71	8.05%	\$ 100,000.00
Federal Home Loan Bank Bonds	4/26/71	7.25%	100,000.00
Banks for Cooperatives Notes	5/ 3/71	6.70%	100,000.00
Certificate of Deposit	5/27/71	6.50%	200,000.00
FIC Bank Bonds	6/ 1/71	7.30%	65,000.00
Banks for Cooperatives Notes	6/ 1/71	5.70%	200,000.00
150 Shares of Boeing Company Stock	6/14/71	-----	3,393.29
U. S. Treasury Bills	6/24/71	3.53%	300,000.00
U. S. Treasury Bills	6/24/71	3.67%	250,000.00
Certificate of Deposit	6/28/71	5.00%	200,000.00
Certificate of Deposit	6/28/71	5.00%	200,000.00
Certificate of Deposit	6/28/71	5.00%	200,000.00
Certificate of Deposit	6/28/71	5.00%	100,000.00
Certificate of Deposit	6/29/71	6.00%	<u>250,000.00</u>
Total - Sales			<u>\$ 2,268,393.29</u>

UNIVERSITY OF KENTUCKY  
Statement of Portfolio Changes  
For the Second Quarter, 1971

<u>Purchases:</u>	<u>Purchase Date</u>	<u>Yield</u>	<u>Par Value</u>
U. S. Treasury Bills	4/ 6/71	3.53%	\$ 500,000.00
U. S. Treasury Bills	5/11/71	4.13%	500,000.00
Certificate of Deposit	5/12/71	5.50%	100,000.00
Certificate of Deposit	5/12/71	5.50%	100,000.00
Certificate of Deposit	6/ 2/71	5.00%	<u>3,000.00</u>
Total - Purchases			<u>\$ 1,203,000.00</u>

<u>Sales:</u>	<u>Maturity Date</u>	<u>Yield</u>	<u>Par Value</u>
1,000 Consolidated Edison Company Rights	3/ 1/71	-----	\$ 106.88
Consolidated FIC Bonds	4/ 1/71	8.05%	500,000.00
Federal Land Bank Bonds	4/20/71	8.50%	400,000.00
The Twelve Federal Land Banks Consolidated Federal Farm Loan Bonds	4/20/71	7.35%	39,000.00
500 Commonwealth Edison Company Rights	4/30/71	-----	62.51
Banks for Cooperatives Notes	6/ 1/71	5.70%	200,000.00
Certificate of Deposit	6/ 2/71	5.00%	3,000.00
U. S. Treasury Bills	6/24/71	3.53%	500,000.00
547 American Telephone & Telegraph Company Rights	6/25/71	-----	170.94
Certificate of Deposit	6/28/71	5.00%	500,000.00
Certificate of Deposit	6/30/71	5.875%	<u>200,000.00</u>
Total - Sales			<u>\$ 2,342,340.33</u>

UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION  
Statement of Portfolio Changes  
For the Second Quarter, 1971

<u>Purchases:</u>	<u>Purchase Date</u>	<u>Yield</u>	<u>Par Value</u>
U. S. Treasury Bills	4/ 6/71	3.53%	<u>\$ 100,000.00</u>
<u>Sales:</u>	<u>Maturity Date</u>	<u>Yield</u>	<u>Par Value</u>
Banks for Cooperatives Notes	4/ 1/71	7.10%	\$ 100,000.00
Federal Land Bank Bonds	4/20/71	8.50%	150,000.00
The Twelve Federal Land Banks Consolidated Federal Farm Loan Bonds	4/20/71	7.35%	20,000.00
U. S. Treasury Bills	6/24/71	3.53%	100,000.00
500 American Telephone & Telegraph Rights	6/25/71	-----	156.25
Certificate of Deposit	6/28/71	5.00%	<u>100,000.00</u>
Total - Sales			<u>\$ 470,156.25</u>