

AGENDA

**Meeting of the Board of Trustees
University of Kentucky
1:00 P.M.
October 20, 1998**

Invocation

Roll Call

Approval of Minutes

President's Report and Action Items

- PR 1 President's Report to the Trustees
 - A. Center for Applied Energy Research Report
- PR 2 Personnel Actions
- PR 3 Central Administration (No items to report)
- PR 4 Community College System (No items to report)
- PR 5 Lexington Campus (No items to report)
- PR 6 Medical Center
 - A. Creation of a School of Public Health
 - B. Naming of University Laboratories - Medical Center

Finance Committee

1. Acceptance of Interim Financial Report for the University of Kentucky for the Three Months Ended September 30, 1998
2. Acceptance of the Financial Statements of the University of Kentucky and Affiliated Corporations and Other Related Organizations for the Year Ended June 30, 1998
3. Report of Leases
4. Approval of Lease
5. Patent and Copyright Assignments Report
6. GTE South, Inc. Pledge
7. Helen Thacker Hill Gift of \$105,397
8. Oliver H., Jr. and Anne H. Raymond Gift and Pledge of \$2 Million
9. Ashland Inc. Pledge of \$1 Million

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, October 20, 1998.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, October 20, 1998 in the Board Room on the 18th floor of Patterson Office Tower.

A. Meeting Opened

Governor Edward T. Breathitt, Chairperson, called the meeting to order at 1:12 p.m., and the invocation was pronounced by Mr. Martin Welenken.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mr. Ted Bates, Governor Edward T. Breathitt (Chairperson), Mr. Nathan Brown, Mr. Paul W. Chellgren, Mr. Merwin Grayson, Mr. John "Jack" Guthrie, Mr. James F. Hardymon, Professor Loys L. Mather, Dr. Robert P. Meriwether, Mr. Billy Joe Miles, Dr. Elissa Plattner, Mr. Steven S. Reed, Professor Daniel R. Reedy, Mr. C. Frank Shoop, Mrs. Marian Sims, Dr. W. Grady Stumbo, Mr. Martin Welenken, Mr. Billy B. Wilcoxson, and Mr. Russell Williams. Absent from the meeting was Ms. JoEtta Y. Wickliffe. The University administration was represented by President Charles T. Wethington, Jr.; Chancellors James W. Holsinger, and Elisabeth Zinser; Vice Presidents Fitzgerald Bramwell, Joseph T. Burch, Ben W. Carr, Edward A. Carter, George DeBin and Eugene Williams; Dr. Juanita Fleming, Special Assistant for Academic Affairs; and Mr. Richard E. Plymale, General Counsel.

Members of the various news media were also in attendance. A quorum being present, the Chairperson declared the meeting officially open for the conduct of business at 1:15 p.m.

C. Approval of Minutes

Governor Breathitt said that the Minutes of the Board meeting on September 15, 1998 had been distributed and asked for any additions or corrections. Mr. Guthrie moved that the Minutes be approved as distributed. Mr. Shoop seconded the motion, and it carried.

D. President's Report to the Board of Trustees (PR 1)

President Wethington called attention to the following items in PR 1:

1. The University received \$47,666,298 in private donations during the last academic year. This represents a 15 percent increase over the prior year, and the most private funding ever received.

2. The Center for Applied Energy Research will receive \$10 million from the Department of Energy.
3. The College of Education was approved for a \$2 million Kentucky Council on Postsecondary Education grant to develop a Center for Literacy development.
4. An experimental technique called microwave ablation was used by UK electrophysiologist Andrea Natale last month to repair a patient's atrial flutter, the first time the technique has been used outside of California.
5. Students in the College of Education placed items into a time capsule on October 7th to mark the college's 75th anniversary.
6. Visitors coming electronically to UK are now welcomed with a more modern, user-friendly greeting at the University's web site. The new site, designed by Orb-bit Design Group and maintained by UK Public Relations will provide up-to-date information to the public about the University.
7. University researchers continue to look at KERA. An eight-year study by UK researchers shows that children who were enrolled in a special preschool program in Kentucky public schools are consistently performing as well in their classes as the general population of children who were not enrolled in the program.
8. Former New York mobster Michael Franzese presented a seminar about gambling on college campuses this month at the UK Singletary Center for the Arts. The seminar was sponsored by the UK Athletic Association in order to help students and the general public understand the dangers of sports betting.

President Wethington asked the Board to read the other items in the report at their leisure.

E. Personnel Actions (PR 2)

President Wethington recommended that approval be given to the appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted. Mrs. Sims moved approval. The motion, seconded by Mr. Shoop, carried. (See PR 2 at the end of the Minutes.)

F. Creation of a School of Public Health (PR 6A)

President Wethington called on Chancellor Holsinger to comment about the creation of a School of Public Health.

Chancellor Holsinger said it was a great pleasure for him to recommend that the Board approve the establishment of a School of Public Health. The University is moving to the year 2020 to be a Top 20 public research university. He said, in his opinion, one of the key points in moving in that direction is the creation of a School of Public Health. Public health is an area that has been overlooked for years in American health care, and as a result of that several new schools of public health have been created across the country. There is no school of public health in the Commonwealth of Kentucky, nor in several of the surrounding states. The closest ones are Ohio State and the University of North Carolina.

He said that the creation of a School of Public Health is a part of the Five-year Strategic Plan. A School of Public Health will allow the University to focus more heavily on issues of the growth of managed care and new information technology in health care. It will also help renew the University's longstanding emphasis in the academic health center in the areas of health promotion and disease prevention. This will allow individuals that work throughout the Commonwealth of Kentucky to have an enhanced opportunity to obtain the kinds of training that is required in order for them to be able to effectively be involved in the knowledge and skills that will allow them to assist the citizens of the Commonwealth in these areas of population based medicine. The creation of a School of Public Health within the Chandler Medical Center will result in the University being only the 10th academic health center of 125 in the United States to have all six of the health professions schools: medicine, dentistry, nursing, allied health, pharmacy and public health.

President Wethington recommended that the Board approve the establishment of the School of Public Health within the College of Medicine. He noted that the recommendation has been through the necessary bodies on the Lexington Campus, the Medical Center and the University Senate. It comes to the Board with the recommendation of the faculty in the appropriate bodies that are involved in the establishment of such a school. He explained that it will be a school within the College of Medicine, not the establishment of a separate college. Following a discussion about the budget, structure and programs, Mr. Chellgren moved approval of PR 6A. Mrs. Sims seconded the motion, and it passed. (See PR 6A at the end of the Minutes.)

G. Naming of University Laboratories - Medical Center (PR 6B)

President Wethington recommended that the Board approve the naming of Laboratory 125 in the Sanders Brown Center on Aging the R. C. Durr Neuropathology Research Laboratory, and that Laboratory 223 be named the Paul D. Blazer, Jr. Stroke Research Laboratory. He noted that a significant contribution has been made by Mr. R. C. Durr to the Center on Aging, and the Blazer family has contributed \$250,000 toward the study of strokes at the University of Kentucky. The Committee on Naming University Buildings has made its recommendation to the Chancellor of the Medical Center that

these laboratories be named accordingly. On motion made by Mrs. Sims, seconded by Mr. Wilcoxson and carried, PR 6B was approved.

Dr. Plattner thanked President Wethington and Chancellor Holsinger for their effort in accelerating and moving forward the new addition to research at the university.

President Wethington expressed appreciation to Dr. Plattner and Mr. Grayson for their efforts in helping with the Sanders-Brown Center on Aging.

H. Center for Applied Energy Research Report

President Wethington said that he had asked Dr. Frank Derbyshire, Director of the University of Kentucky Center for Applied Energy Research and professor of Chemical Engineering, to make a presentation. Dr. Derbyshire comes highly credentialed and highly experienced. The Center for Applied Energy Research, in his opinion, is carrying out the mission that was envisioned for the Center when it was established and made part of the University. He noted that the energy lab had been with the University of Kentucky and the University of Louisville and was returned to the University of Kentucky. He asked Vice President Bramwell to introduce Dr. Derbyshire.

Dr. Bramwell said that Dr. Derbyshire had been the Director of the University of Kentucky Center for Applied Energy Research and a professor of Chemical Engineering since September 1989. His previous professional experience includes: Research Director, Sutcliffe Speakman Carbons Ltd.; Associate Professor of Fuel Science at Pennsylvania State University; Group leader at Mobil R & D Corporation's Central Research Division, and other research positions in the private sector. He holds a B.Sc. Honors degree in Chemical Engineering from Manchester University, England; a M. Eng. degree in Chemical Engineering from MacMaster University, Ontario; and a Ph.D. in Chemical Engineering from Imperial College, England. He is a member of several professional organizations, holds 14 patents and has had 140 papers presented and published in conference proceedings and journals. Dr. Bramwell said it was a pleasure to introduce Dr. Derbyshire.

Dr. Derbyshire thanked President Wethington and the Board for giving him an opportunity to talk about the University of Kentucky Center for Applied Energy Research (CAER). He provided a brief overview of the history and activities of the Center followed by a description of recent funding successes. He said that CAER is a nonacademic unit whose primary focus is research on the use of Kentucky's energy resources and associated environmental impact. Since 1988, CAER has been an integral unit of the University of Kentucky and has prospered in the university setting. The Center has attracted extramural funding to a cumulative value of almost \$30 million since 1990, has extensively supported both students and faculty, has fostered program diversification, and has been active in both instruction and service. Recent significant funding awards include three contracts from the Department of Energy totaling \$8.4 million. These contracts will support research that is concerned with the production of

fuels and chemicals from coal gases, strategies for the utilization and disposal of coal combustion byproducts, and the recovery and use of fine coal waste. The Center is also a major participant in a large grant award from the National Science Foundation to establish an Advanced Carbon Materials Center. This research will strengthen the Center's involvement in materials synthesis and consolidate existing collaborations with three tier-one departments in the Research Challenge Trust Fund -- chemical & materials engineering, chemistry, and physics. Following his presentation, he entertained questions from the Board.

Dr. Reedy commented on the interface the centers have with the instructional role as an institution and its academic programming. He pointed out that Dr. Derbyshire and CAER have been exemplary in the way they have provided that interface. One of the highest forms of instruction at the institution occurs in those laboratories with direct interaction with researchers and faculty members. A number of graduate students and faculty members are involved in those activities, and CAER has done a splendid job of providing that interface in a number of sectors.

President Wethington called attention to Dr. Derbyshire's reference to the energy lab ties with the "targets of opportunity", the programs in the Report of the Task Force on Research and Graduate Education Priorities identified to have achieved some national or international level of recognition. Dr. Derbyshire is also making a great effort to tie the efforts of CAER into the departments on campus, particularly engineering, chemistry, physics and others as well.

President Wethington said that the byproduct that Professor Reedy mentioned of providing a place for faculty and students (graduate and undergraduate) to get experience during their time at the University is another wonderful addition that the energy lab makes to the entire University. He said he was very pleased with the progress of the energy lab and praised Dr. Derbyshire for his fine leadership. Dr. Derbyshire received a round of applause.

I. Announcement by Marian Sims

Mrs. Sims announced that 1998 marks the 60th anniversary of the University of Kentucky Student Center, and it has served the campus well. The student organizations are housed there, and it is a hub of activity for the entire campus. She encouraged the Board to participate in the 60th anniversary events scheduled for November 6th, 7th, and 8th.

J. Acceptance of Interim Financial Report for the University of Kentucky for the Three Months Ended September 30, 1998 (FCR 1)

Mr. Hardymon, Chairperson of the Finance Committee, reported that the Finance Committee met and considered nine items to submit to the Board. FCR 1 is an Interim Financial Report that is considered at every meeting. This report is for the three months

that ended September 30, 1998. He noted the percentage of total budget of income is 28% which is the same as this time last year, and the expenditure level is slightly less, 24% versus 25%. He stated that the balance sheet is strong, and there is positive cash flow. The Committee reviewed the items that had differences from last year and found that they were the result of a timing issue of payments from the state and other sources. He moved approval of FCR 1. Mr. Miles seconded the motion, and it carried. (See FCR 1 at the end of the Minutes.)

K. Acceptance of the Financial Statements of the University of Kentucky and Affiliated Corporations and Other Related Organizations for the Year Ended June 30, 1998 (FCR 2)

Mr. Hardymon said FCR 2 recommends the acceptance of the financial statements of the University of Kentucky and the nine affiliated corporations and other related organizations for the year that ended June 30, 1998. The Finance Committee has the oversight responsibility involving working with the university's outside auditors and the internal financial group. The statements are well done and easy to read. They should be interesting documents to use for talking about the University.

He reported that the Finance Committee received a full report and copies of the management letters involving compliance, internal control, and House Bill 622. The letters stated very strongly that the University has a good financial position, and there are no deficiencies to report. The Finance Committee will receive another letter on internal control which is a part of the process. He noted that the Board would receive a letter and questionnaire regarding Y2000 compliance. He said that he believes the university is far along toward this compliance. Mr. Hardymon moved approval of FCR 2. Mr. Shoop seconded the motion, and it passed. (See FCR 2 at the end of the Minutes.)

L. Report of Leases (FCR 3)

Mr. Hardymon said FCR 3 is the Report of Leases that the Board must accept. He noted that there were two rather small leases that do not exceed \$30,000 annually. He reviewed the square footage and costs and moved approval of FCR 3. His motion, seconded by Professor Mather, passed. (See FCR 3 at the end of the Minutes.)

M. Approval of Lease (FCR 4)

Mr. Hardymon said FCR 4 is for approval of a lease with an annual value over \$30,000. This is an addendum to a lease involving office space for Kentucky Injury Prevention/Behavioral Science located on Waller Avenue in Lexington. He noted that the rate for the expansion is \$11.50 per square foot which is the same rate as the original lease. He moved approval of FCR 4. Mr. Miles seconded his motion, and it passed. (See FCR 4 at the end of the Minutes.)

N. Patent and Copyright Assignments Report (FCR 5)

Mr. Hardymon said FCR 5 is the Patent and Copyright Assignments Report for the quarter June 1 through September 30, 1998. He said that the important thing for the Board to remember is that it is approving a process. These patents are developed by highly talented individuals. He briefly reviewed the assignments and moved approval of FCR 5. Mr. Bates seconded the motion, and it carried. (See FCR 5 at the end of the Minutes.)

O. GTE South, Inc. Pledge (FCR 6)

Mr. Hardymon said FCR 6 recommends that the Board accept a pledge of \$1,500,000 from GTE South, Inc. of Irving, Texas. \$600,000 goes to the communications and network systems building, and \$900,000 is for an endowed chair in computer science in the College of Engineering Department of Computer Science. The pledge will be paid in five annual installments of \$300,000 beginning in January 1999. On motion made by Mr. Hardymon, seconded by Mr. Brown and carried, FCR 6 was approved. (See FCR 6 at the end of the Minutes.)

P. Helen Thacker Hill Gift of \$105,397 (FCR 7)

Mr. Hardymon moved that the Board accept a gift of municipal bonds valued at \$105,397.69 from Helen Thacker. Ms. Hill received her bachelor's and master's degrees from the University of Kentucky College of Education. This scholarship fund will benefit students with certified disabilities who are committed to a career in the rehabilitation of developmentally disabled adults in rural areas. The University will sell the bonds and use those funds as designated. He moved approval of FCR 7. Mr. Welenken seconded his motion, and it passed. (See FCR 7 at the end of the Minutes.)

Q. Oliver H., Jr. and Anne H. Raymond Gift and Pledge of \$2 Million (FCR 8)

Mr. Hardymon moved that the Board accept a gift of \$1 million and pledge of \$1 million from Oliver H., Jr. and Anne H. Raymond of Louisville, Kentucky, to establish and endow four Raymond-Blythe Professorships and ten Raymond-Terrell Fellowships in the College of Engineering's Department of Civil Engineering. He reported that Mr. and Mrs. Raymond have been loyal and generous benefactors of the College of Engineering. Mr. Raymond is a 1954 graduate with a bachelor's degree in civil engineering, and Mrs. Raymond received her degree in commerce from UK in 1954. He has been involved with the University and College for many years and is a member of the Hall of Distinction and recipient of the Department of Civil Engineering's Lifetime Achievement Award. This gift is for \$1 million immediately and the pledge will be paid in four annual installments of \$250,000 beginning in 1999. He moved approval of FCR 8. Professor Reedy seconded his motion, and it passed. (See FCR 8 at the end of the Minutes.)

R. Ashland Inc. Pledge of \$1 Million (FCR 9)

Mr. Hardymon moved that the Board accept a pledge of \$1 million from Ashland Inc. to establish and endow the Ashland Chair of Chemical Engineering in the College of Engineering's Department of Chemical and Materials Engineering. This gift will be paid in annual installments over a five-year period beginning in 1998. He stated that Ashland Inc. has been a corporate leader in support of education in Kentucky for many decades, and it is only fitting that this gift will be the first corporate gift submitted by the University for matching state money through the Research Challenge Trust Fund. He moved approval of FCR 9. His motion, seconded by Mr. Chellgren, carried. (See FCR 9 at the end of the Minutes.)

Governor Breathitt thanked Mr. Hardymon for his very fine report for the Finance Committee. He said that the financial statements of the University are obviously in excellent shape, and he expressed appreciation to the administration and staff for their fine work. The outstanding patents that have been reported reflect great credit on the research and scholarly activities of the University, and the gifts which the University continues to receive are indicative of the confidence the alumni and friends have in the administration and faculty of the University.

S. Other Comments

Mr. Wilcoxson commented that, in his opinion, the Board of Trustees has the finest representation of people of any Board that he has served on at the University of Kentucky, and the University is fortunate to have the best president that anyone could ever want to lead a university.

Dr. Stumbo requested the faculty representatives to the Board communicate with their colleagues on the University Senate about the successes that have been achieved under the leadership of Dr. Wethington in supplying higher level degree courses out in the state at community colleges. He pointed to the successes in the Radiography Program at Hazard Community College where students for the fourth year in a row had a 100% pass rate. Dr. Stumbo also noted that Strategic Indicators 9, 18 and 19 relate directly to undergraduate instruction and retention and the University's commitment to improving undergraduate education. He stated that even though we are seeking to improve the University as a research institution, we must also keep focused on the quality of undergraduate instruction--from having more full-time faculty involvement, to insuring that teaching assistants are well trained and monitored. He also urged that faculty leaders give special attention to fulfilling parental expectations that it should not take six years to obtain a 4 year degree.

Governor Breathitt thanked Dr. Stumbo for his remarks and urged that the President report to the Board in the future regarding the issues raised by Dr. Stumbo.

President Wethington commented that the University this year began a major initiative focused on undergraduate instruction. Chancellor Zinser and Dr. Louis Swift, Dean for Undergraduate Studies, are heading this effort. He said that he would report to the Board in a few months about the specifics of what the faculty and administration are doing in support of undergraduate instruction.

Mr. Shoop thanked Paul Chellgren and Ashland Inc. for not only what they have done for the University but for taking the lead role in promoting higher education across the state. He expressed his personal thanks to Mr. Chellgren.

Governor Breathitt reported that KET celebrated their 30th anniversary this year, and KET's statewide network is the direct result of the generosity of the Blazer Foundation. That Foundation paid for all the transmittal sites, and Ashland Inc.'s real estate went out and acquired each one of those sites. Ashland Inc. sent their trained staff of top real estate people out and put light in the dark corners of the state. He also thanked Ashland Inc. for their contributions.

T. Meeting Adjourned

There being no further business to come before the Board, the Chairperson adjourned the meeting at 2:30 p.m.

Respectfully submitted,

Merwin Grayson, Jr.
Secretary
Board of Trustees

(PR 2, 6A and 6B; FCR 1, 2, 3, 4, 5, 6, 7, 8, and 9 which follow are official parts of the Minutes of the meeting.)

Office of the President
October 20, 1998

1. University Sets Private Fundraising Record

The University received \$47,666,298 in private donations last academic year, a 15 percent increase over the prior year and the most private funding ever received. The number of donors totaled 43,797, including 24,967 alumni. Alumni gave \$13.7 million, a 16 percent increase over the prior year. The largest gift was a \$7 million bequest from the estate of Jes and Clementine Schlaikjer for equine research.

2. CAER Receives \$10 Million from Department of Energy

Four Center for Applied Energy Research projects received more than \$10 million in grants from the U.S. Department of Energy. The grants include \$5.4 million for Bert Davis' coal liquefaction research, \$2.2 million for Thomas Robl and Jack Groppo to develop technology for recovering high-quality fuel and absorbent carbons from ash ponds and landfills, \$1.9 million for Kentucky DoE/EPSCoR management and \$800,000 for Bhupendra Parekh's work on carbon recovery from fine coal.

3. New Athletic Academic Center Dedicated

The new Center for Academic and Tutorial Services (CATS) in Memorial Coliseum now gives UK athletes the best academic support available at every level in their education. The new center features 25 tutor rooms, 35 computers, a study area that can serve up to 90 people and classrooms that can be used for required NCAA seminars and other classes. The Career and Lifeskills Resource Center also is located at the center to help athletes find careers. The new center was made possible due to a \$1 million donation from the Ohio Casualty Group.

4. College of Education to Develop Literacy Center

A two-year \$2 million Kentucky Council on Postsecondary Education grant to the College of Education will be used to develop a center for literacy development. The center will involve all eight state universities and will offer training to educators in reliable, research-based reading models that promote literacy.

5. New Technique for Heart Problem Performed at UK Hospital

An experimental technique called microwave ablation was used by UK electrophysiologist Andrea Natale last month to repair a patient's atrial flutter, the first time the technique has been used outside of California. UK is one of five medical centers studying the technique, which could be an important alternative for patients whose atrial flutter defies traditional therapies. The technique involves making tiny burns inside the upper chamber of the patient's heart.

6. Students Mark College of Education's 75th Year

Students at the College of Education placed items into a time capsule October 7 to mark the college's 75th anniversary. The capsule was buried on the grounds of the Taylor Education Building and will be reopened in 2023 when the college celebrates its centennial. Since 1923, the college has graduated about 18,300 students and currently serves 1,401 undergraduate and 789 graduate students.

7. UK Hospital Expands Helicopter Service

The UK Hospital added a second helicopter to its fleet this month. The Sikorsky S-76 is based in Jackson between 11 a.m. and 11 p.m. seven days a week, allowing the hospital to better serve Southeastern Kentucky. Two pilots and the medical flight crew – which consists of a nurse and paramedic – depart each morning from UK and return that night. UK's helicopter service receives about 2,200 requests for transport a year and accommodates about 1,100 of those requests. The second helicopter will allow UK to respond more effectively to increased numbers of requests.

8. University Unveils New Web Page

Visitors coming electronically to UK are now welcomed with a more modern, user-friendly greeting at the University's web site. The new site, designed by Orb-bit Design Group and maintained by UK Public Relations, debuted October 1 at www.uky.edu. The site features navigation specifically targeted to the viewer, constantly changing photos and updated information. From the home site, users enter other pages based on who they are: faculty, staff, student, prospective student or visitor.

9. University Researchers Give KERA Preschool Good Grades

An eight-year study by UK researchers shows that children who were enrolled in a special preschool program in Kentucky public schools are consistently performing as well in their classes as the general population of children who were not enrolled in the program. The preschool allows economically disadvantaged children age 4 and children with disabilities age 3 and 4 to participate. The UK study compares children who participated with eligible children who did not participate and children who were not eligible. The eligible children who did not participate didn't perform as well in academics and were more likely to have developmental and behavioral problems.

10. Kentucky Businesses Comment On Confidence in Economy

Business leaders in Kentucky expect to increase sales, profits and capital spending in the next year, according to findings of the Kentucky Business Confidence Survey by the UK Center for Business and Economic Research. However, the survey reveals a slight decline in the optimism reflected in a similar survey released last fall. While 70.2 percent of Kentucky businesses expect sales increases next year, 76.2 percent expected sales increases in last year's survey.

11. Dentistry and Radiography Students Have 100 Percent Pass Rate

Dental laboratory technology students at Lexington Community College had a 100 percent pass rate on the Recognized Graduate Exam, the first step to becoming certified as a dental technician. LCC's average score was 84.02, compared to the national average of 71.02. Radiography students at Hazard Community College had a 100 percent pass rate on the American Registry of Radiologic Technology examination. This is the fourth year in a row that all HCC students have passed the test.

12. Six Inducted into Education Alumni Hall of Fame

Six people recently were inducted into the College of Education Hall of Fame. They are George Blanda, former UK and National Football League football player; Laretta Byars, UK vice chancellor for minority affairs; Constantine "Deno" Curris, president of Clemson University; Helen Thacker Hill, director of student support services at Georgia College and State University; C.M. Newton, UK director of athletics; and Chester Travelstead, former provost and dean of the University of New Mexico College of Education.

13. Former Mobster Discusses College Gambling at Seminar

Former New York mobster Michael Franzese presented a seminar about gambling on college campuses this month at the UK Singletary Center for the Arts. The seminar was sponsored by the UK Athletic Association in order to help students and the general public understand the dangers of sports betting, including its links to organized crime.

14. Jefferson Community College Receives Endowed Scholarships

Two new memorial scholarships now benefit Jefferson Community College students majoring in early childhood education. The Barbara Plum scholarship fund awarded a \$500 scholarship this fall, and the Dr. Alice Howry James scholarship will be given for the first time in 1999. Both Plum and James were faculty members in the community college's early childhood education program.

15. Madisonville Community College Receives Scholarship Fund

Ross, Sinclair and Associates, an investment banking firm in Lexington, Louisville, Mayfield and Cincinnati, has established a \$4,000 scholarship fund at Madisonville Community College. The fund will provide scholarships for students in business administration, marketing or accounting and will be limited to transfer students who plan to obtain a bachelor's degree from a four-year institution.

16. Appalachian Network Receives \$1 Million

A \$1 million grant will help equip 10 Eastern Kentucky schools with computer software, calculators and a new curriculum. The Annenberg Rural Challenge gave the grant to the Appalachian Rural Education Network, a group that includes the Appalachian Center. The network is committed to improving education in the region. Ten more schools will be added to the program this year.

17. Geriatric Education Center Receives Funding

The Ohio Valley Appalachia Regional Geriatric Education Center, a consortium of UK, East Tennessee State University, the University of Cincinnati and the University of Louisville, has received \$250,000 from the U.S. Department of Health and Human Services. The grant is administered by UK and provides curriculum development and continuing education programming for rural health care providers in Kentucky, Ohio and Tennessee.

18. Senate Council Receives Post-Tenure Faculty Development Grant

A \$10,000 American Association for Higher Education grant will be used to establish a post-tenure faculty development initiative. The association awarded the grant to the Faculty Senate Council. Senate Council member James Applegate, communication, will serve as liaison on the project.

19. Student Awards and Honors

Bernadette Barton, sociology, Crystal Brogan, physics, Mary Gilmartin, geography, Gregory Mayer, biology, Diane Strangis, special education, Sugata Tripathi, physics, and Patchanee Tuntibunpakul, entomology, received the Graduate School's Dissertation Enhancement Awards, which provide up to \$3,000 in support for doctoral research projects at off-campus sites.

20. Significant Faculty and Staff Activities

Dianne Adams, Hazard Community College, was named the state delegate to the American Mathematical Association of Two-Year Colleges.

Michael Andrykowski, behavioral science, served as a member of the Behavioral and Social Sciences Grant Review Study Section for the Department of Defense Breast Cancer Research Program.

James Applegate, communication, was elected second vice-president of the National Communication Association and was honored by the Kentucky Communication Association when it created the James Applegate Scholarship.

Robert Baker, ophthalmology, received the American Association for Pediatric Ophthalmology and Strabismus Honor Award.

Joseph Berger, neurology, was named the Hoechst Marion Roussel Visiting Professor and Scholar at Drew University of Medicine and Science Center.

Subbarao Bondada, microbiology and immunology, received the College of Medicine's Faculty Research Award.

Rosemarie Booze, anatomy and neurobiology, received a \$702,394 National Institute on Drug Abuse grant for her project, "Gender Differences in Cocaine Responsiveness."

Tom Butler, Steven Parker and Anton Reece, Paducah Community College, served as judges of the Philo T. Farnsworth Video Competition, sponsored by the Alliance for Community Media Central States Region.

Lisa Cassis, pharmaceutical sciences, received a \$179,693 award from the National Heart, Lung and Blood Institute to examine hormonal control of weight.

O.R. Dathorne, English, was invited to become a member of the editorial advisory board that will evaluate *A Turbulent Voyage: Readings in African American Studies*.

Jody Deem, allied health professions, was chosen president-elect of the Kentucky Speech-Language-Hearing Association.

Robert Dickson and Robert Lester, biochemistry, received a \$277,731 National Institute of General Medical Science award to continue a study on the function of sphingolipids.

Tom Garrity, behavioral science, and Carl Leukfeld, Center on Drug and Alcohol Research, received a \$785,764 National Institute on Drug Abuse grant to provide bio-behavioral research training in drug abuse for doctoral and postdoctoral trainees.

Greg Hagaan, Madisonville Community College, had a review of Martin Espada's *Imagine the Angels of Bread* accepted for the spring issue of *Another Chicago Magazine*.

Zeila Wiley Holloway, agriculture; Everett McCorvey, fine arts; Johne Parker, engineering; Derrick Ramsey, alumni affairs; and Jim Wims, residence life, were honored at the Lyman T. Johnson Alumni banquet. Alumnus Wilbur Hackett also was honored.

Deneese Jones, curriculum and instruction, was appointed to the Early Reading Incentive Grant Steering Committee.

Carl Leukefeld, Center on Drug and Alcohol Research, was appointed a member of the National Institute on Drug Abuse Health Services Subcommittee Initial Review Group.

T.K. Logan, Center on Drug and Alcohol Research, received a \$509,048 National Institute on Drug Abuse grant for her project, "An Examination of HIV Risk Behavior and Violence Among Crack Users."

Karen Main and Renee Parris, Center for Rural Health, received a \$518,000 National Institutes of Health grant for their rural health outreach proposal.

Mary Marchant, agricultural economics, was appointed markets and trade panel manager for the U.S. Department of Agriculture's National Research Initiative.

James Muller, cardiology, was honored with a Career Achievement Award by the Archdiocese of Indianapolis.

Wolfgang Natter, geography and German, was named the College of Arts and Sciences Distinguished Teacher.

Michael Nelson and Terry Scott, special education and rehabilitation counseling, received a \$73,302 U.S. Department of Education grant to establish a center for positive behavioral interventions and support.

L. Creed Pettigrew, neurology, received the College of Medicine's Master Teacher Award.

David Puleo, biomedical engineering, received a \$209,986 Whitaker Foundation grant for his study, "Preparation and Analysis of Biochemically Modified Surfaces to Control Bone-Cell Orthopedic-Biomaterial Interactions."

Jude Roy, Madisonville Community College, had a short story, "Pete's Story," published in the September issue of *A Writer's Choice Literary Journal*.

Joe Scopa Jr., Southeast Community College, was named a member of the Kentucky Humanities Council board.

Julie Sebastian, nursing, was selected as a member of the first class of the Robert Wood Johnson Executive Nurse Fellows Program.

Nancy Stiles, internal medicine and geriatrics/gerontology, was re-elected president of the Kentucky Geriatric Society.

Greg Stump, English, had two articles published: "Comments on the Paper by Inkelas & Orgun" in *Morphology and Its Relations to Phonology and Syntax* and "Inflection" in *The Handbook of Morphology*.

Karen Tice, educational policy studies and evaluation, had a new book published, *Tales of Wayward Girls and Immoral Women: Case Records and the Professionalization of Social Work* by University of Illinois Press.

Scott Vander Ploeg, Madisonville Community College, has written program notes on “English Meditative Poetry: The Nativity” for the January meeting of The Lark Ascending, a New York music and poetry performance group.

Sarah Wackerbarth, Martin School of Public Policy and Administration and Sanders-Brown Center on Aging, received a \$120,915 grant from the Alzheimer’s Association for her study, “Seeking a Diagnosis of Alzheimer’s: Supports and Barriers.”

PR 2

Office of the President
October 20, 1998

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken:

Approved

Disapproved

Other _____

CENTRAL ADMINISTRATION

I. BOARD ACTION

A. EARLY RETIREMENTS

Vice President for Fiscal Affairs

Hayden, Edward, Maintenance Supervisor I, Physical Plant Division, after 37 years, 10 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 10/30/98.

Mitchell, Joseph, Maintenance Mechanic I, Physical Plant Division, after 23 years, 10 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 11/17/98.

Reed, Thomas, Custodial Worker II, Physical Plant Division, after 25 years, 3 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 11/20/98.

Richard, Inez, Custodial Worker Supervisor I, Physical Plant Division, after 19 years, 9 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 10/23/98.

Taylor, Robert, Storekeeper, Physical Plant Division, after 37 years, 11 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 10/30/98.

II. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE APPOINTMENT

Vice President for Research and Graduate Studies

Ormsbee, Lindell, Professor (with tenure), Civil Engineering, appointed Acting Director of the Kentucky Water Resources Research Institute, 9/1/98 through 12/31/98.

LEXINGTON CAMPUS

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Bolin, David C., Assistant Professor*, Veterinary Science, 11/1/98 through 6/30/99.

Dillon, Carl R., Associate Professor (with tenure), Agricultural Economics, effective 9/1/98.

College of Arts and Sciences

Rim, Seog-Hoon, Visiting Professor, Mathematics, 8/16/98 through 12/31/98.

B. JOINT APPOINTMENT

College of Arts and Sciences

Palmer, Brent, Associate Professor (with tenure), Biological Sciences, joint appointment as Associate Professor (without tenure), Toxicology, Graduate School, Medical Center, 10/1/98 through 6/30/99.

C. REAPPOINTMENTS

College of Arts and Sciences

Madden, Edward H., Adjunct Professor, Philosophy, 7/1/98 through 6/30/00.

College of Engineering

Huffman, Gerald P., Research Professor, Chemical and Materials Engineering, 7/1/98 through 6/30/99.

Huggins, Frank E., Research Professor, Chemical and Materials Engineering, 7/1/98 through 6/30/99.

College of Law

Harberson, Albert II, Professor (part-time), Law, 8/16/98 through 5/15/99.

* Special Title Series

D. TERMINAL REAPPOINTMENT

College of Engineering

Shah, Naresh, Associate Research Professor, Chemical and Materials Engineering, 7/1/98 through 8/31/99.

E. RETIREMENT

College of Arts and Sciences

Sendlein, Lyle V.A., Professor (part-time), Geological Sciences, and Director, Kentucky Water Resources Research Institute, Research and Graduate Studies, after 15 years of consecutive service, under Section III.B of AR II-1.6-1, effective 8/31/98.

F. EARLY RETIREMENTS

College of Agriculture

Jackson, Almira, Staff Support Associate I, Forestry, after 19 years and 7 months of consecutive service and a total of 24 years of service, under Section III.A.2 of AR II-1.6-1, effective 10/30/98.

Janzen, Martha, Service Lab Supervisor, Veterinary Science, after 17 years and 3 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 11/20/98.

College of Education

Berryman, JoAnn, Assistant Professor* (with tenure), Special Education and Rehabilitation Counseling, after 34 years and 4 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 12/31/98.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Rupasingha, Anil, Instructor (part-time), Agricultural Economics, 8/26/98 through 12/18/98.

* Special Title Series

College of Architecture

Huston, Michael E., Instructor (part-time), Architecture, 9/1/98 through 12/31/98.
McDowell, Thomas, Instructor (part-time), Architecture, 9/1/98 through 12/31/98.
Pride-Wells, Michael, Assistant Professor, Architecture, 9/1/98 through 6/30/99.

College of Arts and Sciences

Asher, H. Inness, Instructor (part-time), English, 8/16/98 through 12/31/98.
Austgen, Suzanne M., Instructor (part-time), English, 8/16/98 through 12/31/98.
Bebensee, George, Lecturer, English, 8/16/98 through 12/31/98.
Blankenship, Susan E., Instructor (part-time), Political Science, 8/16/98 through 12/31/98.
Block, Mary R., Instructor (part-time), History, 8/16/98 through 12/31/98.
Carter, Nancy E., Instructor (part-time), English, 8/16/98 through 12/31/98.
Crawford, Anne M., Instructor (part-time), Psychology, 8/16/98 through 12/31/98.
Ellisor, John T., Instructor (part-time), History, 8/16/98 through 12/31/98.
Fields, James P., Instructor (part-time), English, 8/16/98 through 12/31/98.
Fleck, Patrice M., Lecturer, English, 8/16/98 through 12/31/98.
Fosl, Catherine A., Instructor (part-time), History, 8/16/98 through 12/31/98.
Gibson, Donna H., Instructor (part-time), Mathematics, 8/16/98 through 12/31/98.
Hammond, John, Instructor (part-time), Physics and Astronomy, 8/16/98 through 12/31/98.
Harrison, Kim J., Instructor (part-time), Mathematics, 8/16/98 through 12/31/98.
Heavin, Karen Sue, Instructor (part-time), Mathematics, 8/16/98 through 12/31/98.
Heiner, Steven E., Instructor (part-time), Philosophy, 8/16/98 through 12/31/98.
Ingram, Donna M., Instructor (part-time), Mathematics, 8/16/98 through 12/31/98.
Janairo, Edward R., Instructor (part-time), Philosophy, 8/16/98 through 12/31/98.
Kiffmeyer, Thomas J., Instructor (part-time), History, 8/16/98 through 12/31/98.
Klint, Joann, Instructor (part-time), Spanish and Italian, 8/16/98 through 12/31/98.
Lewis, Jeffrey J., Instructor (part-time), English, 8/16/98 through 12/31/98.
Maki, John, Instructor (part-time), Physics and Astronomy, 8/16/98 through 12/31/98.
Mell, Marylin, Instructor (part-time), English, 8/16/98 through 12/31/98.
Morley, Elizabeth L., Lecturer, English, 8/16/98 through 12/31/98.
Pitcock, Ronald L., Instructor (part-time), English, 8/16/98 through 12/31/98.
Pitts, Timothy C., Instructor (part-time), Geography, 8/16/98 through 12/31/98.
Reeves, Rhonda, Instructor (part-time), English, 8/16/98 through 12/31/98.
Riggs, Jane L., Instructor (part-time), English, 8/16/98 through 12/31/98.

Rolland, Frederique, Instructor (part-time), Spanish and Italian, 8/16/98 through 12/31/98.
Rosdatter, Paula E., Instructor (part-time), Philosophy, 8/16/98 through 12/31/98.
Schuman, Daniel B., Instructor (part-time), Philosophy, 8/16/98 through 12/31/98.
Settles, Doris D., Instructor (part-time), English, 8/16/98 through 12/31/98.
Turner, Marvin C., Instructor (part-time), English, 8/16/98 through 12/31/98.
Weinberg, Brian E., Instructor (part-time), English, 8/16/98 through 12/31/98.
Wegrzyn, Joseph F., Lecturer, English, 8/16/98 through 12/31/98.

College of Business and Economics

Rogness, John A., Instructor (part-time), Economics, 8/16/98 through 5/15/99.

College of Education

Hill, Carol Bain, Instructor (part-time), Curriculum and Instruction, 8/16/98 through 12/31/98.
Lima, Geraldo da Costa, Instructor (part-time), Educational and Counseling Psychology, 8/16/98 through 12/31/98.

College of Engineering

Lhamon, Michael E., Assistant Professor (temporary), Electrical Engineering, 7/1/98 through 6/30/99.
Reed, William H., Assistant Professor (part-time), Chemical and Materials Engineering, 8/26/98 through 12/31/98.
Smart, Jim L., Assistant Professor*, Chemical and Materials Engineering, 7/1/98 through 6/30/00.
Stephens, Lyndon S., Assistant Professor, Mechanical Engineering, 1/2/99 through 6/30/00.

College of Fine Arts

Hoffman, Richard, Visiting Lecturer, Music, 8/16/98 through 5/15/99.

Lexington Community College

Chafin, Nancy S., Instructor (temporary), Lexington Community College, 8/20/98 through 5/31/99.
Derringer, Larissa, Instructor (voluntary), Lexington Community College, 7/1/98 through 6/30/01.

* Special Title Series

Duff, Janet W., Instructor (temporary), Lexington Community College, 8/24/98 through 12/31/98.

Glaesser, Allan H., Instructor (temporary), Lexington Community College, 8/25/98 through 12/31/98.

Lederle-Ensign, Cheryl, Instructor (temporary), Lexington Community College, 8/24/98 through 12/31/98.

Nicol, Laura J., Instructor, Lexington Community College, 9/14/98 through 6/30/99.

Smith, Stacy E., Instructor (temporary), Lexington Community College, 8/19/98 through 5/31/99.

B. ADMINISTRATIVE APPOINTMENT

College of Human Environmental Sciences

Brock, Gregory W., Professor (with tenure), Family Studies, appointed Acting Chair, Family Studies, 8/1/98 through 6/30/99.

C. REAPPOINTMENTS

College of Architecture

Mattone, Matthew, Instructor (part-time), Architecture, 9/1/98 through 12/31/98.

Stevens, Randall, Instructor (part-time), Architecture, 9/1/98 through 12/31/98.

College of Arts and Sciences

Ades, Harriet F., Instructor (part-time), Chemistry, 8/16/98 through 12/31/98.

Anderson, Clelia S., Instructor (part-time), Philosophy, 8/16/98 through 12/31/98.

Arnold, Timothy W., Instructor (part-time), English, 8/16/98 through 12/31/98.

Bindumadhavan, K., Instructor (part-time), Physics and Astronomy, 8/16/98 through 12/31/98.

Campbell, Bruce A., Instructor (part-time), Mathematics, 8/16/98 through 12/31/98.

Contreras, Lisa, Instructor (part-time), Spanish and Italian, 8/16/98 through 12/31/98.

Crow, Kevin H., Instructor (part-time), History, 8/16/98 through 12/31/98.

Dendle, Peter J., Instructor (part-time), English, 8/16/98 through 12/31/98.

Emerick, Ellen L., Instructor (part-time), English, 8/16/98 through 12/31/98.

Fritts, Priscilla, Instructor (part-time), Spanish and Italian, 8/16/98 through 12/31/98.

Goff-Yates, Amy, Instructor (part-time), Philosophy, 8/16/98 through 12/31/98.

Graves, George W., Instructor (part-time), English, 8/16/98 through 12/31/98.

Hall, Christopher K., Instructor (part-time), Mathematics, 8/16/98 through 12/31/98.

Holbrook, Paul E. Jr., Instructor (part-time), Philosophy, 8/16/98 through 12/31/98.

Hulett, Diane N., Instructor (part-time), English, 8/16/98 through 12/31/98.

Jankovic, Vladimir J., Instructor (part-time), Philosophy, 8/16/98 through 12/31/98.

Johnson, Lee Ann, Instructor (part-time), Physics and Astronomy, 8/16/98 through 12/31/98.

Marksbury, Thomas A., Instructor (part-time), English, 8/16/98 through 12/31/98.

McGinley, Christopher J., Instructor (part-time), English, 8/16/98 through 12/31/98.

Moss, Marvin T., Instructor (part-time), Mathematics, 8/16/98 through 12/31/98.

Natale, Marina, Instructor (part-time), Spanish and Italian, 8/16/98 through 12/31/98.

Norman, Charlene W., Instructor (part-time), Mathematics, 8/16/98 through 12/31/98.

Paine, Christopher M., Instructor (part-time), English, 8/16/98 through 12/31/98.

Pichard, Leisa D., Instructor (part-time), English, 8/16/98 through 12/31/98.

Pitts, Melissa M., Instructor (part-time), English, 8/16/98 through 12/31/98.

Pruett, J. Lynn, Instructor (part-time), English, 8/16/98 through 12/31/98.

Reece, Erik A., Instructor (part-time), English, 8/16/98 through 12/31/98.

Robinson, Kristen D., Instructor (part-time), History, 8/16/98 through 12/31/98.

Robinson, Penelope S., Instructor (part-time), Mathematics, 8/16/98 through 12/31/98.

Rosenberg, Gilbert B., Instructor (part-time), Sociology, 8/16/98 through 12/31/98.

Scheibel, Patricia L., Instructor (part-time), English, 8/16/98 through 12/31/98.

Schumann, Shannon, Instructor (part-time), Mathematics, 8/16/98 through 12/31/98.

Shumer, Daniel L., Instructor (part-time), English, 8/16/98 through 12/31/98.

Stamatiadis, Ann Marie, Instructor (part-time), Spanish and Italian, 8/16/98 through 12/31/98.

Suggs, Thomas F., Instructor (part-time), English, 8/16/98 through 12/31/98.

Susman, David T., Instructor (part-time), Psychology, 8/16/98 through 12/31/98.

Thompson, Tammy L., Instructor (part-time), English, 8/16/98 through 12/31/98.

Trumbo, Geoffrey B., Instructor (part-time), English, 8/16/98 through 12/31/98.

Vanderpool, Betty L., Instructor (part-time), Mathematics, 8/16/98 through 12/31/98.

White, C. Patrick, Instructor (part-time), English, 8/16/98 through 12/31/98.

Wilke, David, Instructor (part-time), Philosophy, 8/16/98 through 12/31/98.

Williamson, John R., Instructor (part-time), English, 8/16/98 through 12/31/98.

Woodrum, Kim R., Instructor (part-time), Chemistry, 8/16/98 through 12/31/98.

College of Fine Arts

Chaney, James V., Instructor (part-time), Theatre, 8/16/98 through 12/31/98.
Glixon, Beth, Instructor (part-time), Music, 8/16/98 through 5/15/99.
Hoffman, Dorotea, Instructor (part-time), Music, 8/16/98 through 5/15/99.
Karp, Margaret B., Instructor (part-time), Music, 8/16/98 through 5/15/99.
Laytart, Carla Beth, Instructor (part-time), Music, 8/16/98 through 12/31/98.
McVay, Vicki, Instructor (part-time), Music, 8/16/98 through 5/15/99.
Nelson, Lawrence, Instructor (part-time), Music, 8/16/98 through 12/31/98.
Osland, Lisa, Instructor (part-time), Music, 8/16/98 through 12/31/98.
Stucky, Rodney, Instructor (part-time), Music, 8/16/98 through 12/31/98.

College of Social Work

Peacock, Patricia L., Assistant Professor (part-time), Social Work, 8/16/98
through 5/15/99.
Wigginton, John, Assistant Professor (part-time), Social Work, 8/16/98 through
5/15/99.

D. LEAVES OF ABSENCE

College of Agriculture

Kiesel, Linda, Extension Specialist, Agricultural Communications Services,
Educational leave with full salary, 7/1/99 through 12/24/99.
Poonacha, K.B., Professor* (with tenure), Veterinary Science, Leave without pay,
10/15/98 through 5/13/99.

College of Communications and Information Studies

Applegate, James, Professor (with tenure), Communication, and Chair,
Communication, Sabbatical leave with full salary, 1/1/99 through 6/30/99.

College of Education

Bieber, Jeffery, Associate Professor (with tenure), Educational Policy Studies and
Evaluation, Sabbatical leave with full salary, 1/1/99 through 6/30/99.
Labanowich, Stanley, Associate Professor (with tenure), Kinesiology and Health
Promotion, Sabbatical leave with half salary, 1/1/99 through 12/31/99.

* Special Title Series

E. LEAVES OF ABSENCE CHANGES

College of Engineering

Menguc, M. Pinar, Professor (with tenure), Mechanical Engineering, to rescind Sabbatical leave with half salary, 7/1/98 through 6/30/99.

Tzou, H.S., Professor (with tenure), Mechanical Engineering, to change from Sabbatical leave with half salary, 7/1/98 through 6/30/99, to Sabbatical leave with full salary, 7/1/98 through 12/31/98.

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Dentistry

deLeeuw, Reny, Assistant Professor (temporary), Oral Health Science,
10/21/98 through 6/30/99.

B. ADMINISTRATIVE APPOINTMENTS

Chancellor's Office

Benvenuti, Robert J., Corporate Compliance Officer, effective
10/21/98.

University Hospital

Claypool, Joseph O., Chief Operating Officer, effective 10/21/98.

C. PROMOTIONS

College of Pharmacy

Jay, Susan M., Assistant Professor (part time), to Associate Professor
(part time), 10/21/98 through 6/30/99.

D. CHANGES

College of Dentistry

Henry, Robert G., from Associate Professor (voluntary), Oral Health
Science, to Associate Professor (part time), Oral Health Science,
10/21/98 through 6/30/99.

College of Medicine

Mankad, Vipul N., Professor (with tenure), Pediatrics, from
Reappointment as Chair, Department of Pediatrics, 9/8/98 through
9/7/02, to Reappointment as Chair, Department of Pediatrics,
9/8/98 through 9/7/04.

E. EARLY RETIREMENTS

College of Medicine

Love, Margaret, Staff Support Associate I, Family Practice, after 21 years, four months of consecutive service and a total of 33 years, three months of service, under AR II-1.6-1 Section III.A.2, effective 9/25/98.

Neill, Linda, Patient Relations Coordinator, UKHCP, after nine years, 11 months of consecutive service and a total of 20 years, two months of service, under AR II-1.6-1 Section III.A.2, effective 9/11/98.

F. POST-RETIREMENT APPOINTMENTS

University Hospital

Lloyd, Johanna, Registered Nurse, Neonatal Intensive Care Unit, 10/21/98 through 6/30/99.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

Decker, Hollie, Instructor (voluntary), Clinical Sciences, 10/21/98 through 6/30/00.

Denton, Sean, Instructor (voluntary), Health Services, 10/21/98 through 6/30/00.

Jones, Angie, Instructor (voluntary), Health Services, 10/21/98 through 6/30/00.

Kirtley, Heather, Instructor (voluntary), Clinical Sciences, 10/21/98 through 6/30/00.

Milam, Andrea, Assistant Professor*, Clinical Sciences, 10/1/98 through 6/30/99.

Ross, Teresa A., Instructor (voluntary), Clinical Sciences, 10/21/98 through 6/30/00.

*Special Title Series

College of Dentistry

Mays, Jane R., Assistant Professor (part time), Oral Health Practice,
10/21/98 through 6/30/99.

Sutton, Jeanne, Assistant Professor (temporary), Oral Health Practice,
10/21/98 through 6/30/99.

College of Medicine

Annabathula, Jagan M., Assistant Professor (voluntary), Internal
Medicine, 10/21/98 through 6/30/00.

Caldwell, Glyn, Assistant Professor (voluntary), Preventive Medicine
and Environmental Health, 10/21/98 through 6/30/00.

Campbell, Jacqueline, Assistant Professor (voluntary), Pediatrics,
10/21/98 through 6/30/00.

Curd, Philip R., Assistant Professor (part time), Preventive Medicine
and Environmental Health, 10/21/98 through 6/30/99.

Daniel, Lee, Assistant Professor (voluntary), Surgery, 10/21/98
through 6/30/00.

Dikkala, Venkateswara R., Assistant Professor (voluntary), Pediatrics,
10/21/98 through 6/30/00.

Ghazal, Hassan, Assistant Professor (voluntary), Family Practice,
10/21/98 through 6/30/00.

Gullo, Sibel, Assistant Professor (voluntary), Pediatrics, 10/21/98
through 6/30/00.

Hendrickson, Robert F., Assistant Professor (voluntary), Family
Practice, 10/21/98 through 6/30/00.

Kirn, David S., Assistant Professor (voluntary), Surgery, 10/21/98
through 6/30/00.

Lindemuth, Julie, Assistant Professor (voluntary), Pediatrics, 10/21/98
through 6/30/00.

McKinney, Michael L., Assistant Professor (voluntary), Pediatrics,
10/21/98 through 6/30/00.

College of Nursing

Jensen, Lynne A., Instructor (part time), 10/21/98 through 6/30/99.

College of Pharmacy

Campbell, William, Instructor (voluntary), 10/21/98 through 6/30/00.

Johnson, Dan, Instructor (voluntary), 10/21/98 through 6/30/00.

Mayne, Robert, Instructor (voluntary), 10/21/98 through 6/30/00.

McCreary, Paul, Instructor (voluntary), 10/21/98 through 6/30/00.
Murphy, Debra A., Clinical Assistant Professor, 10/21/98 through 6/30/99.
Murphy, Elesia M., Instructor (voluntary), 10/21/98 through 6/30/00.
Oliveira, Marcos, Assistant Professor, 10/21/98 through 6/30/99.
Ramser, Emmett, Instructor (voluntary), 10/21/98 through 6/30/00.
Romanelli, Frank, Assistant Adjunct Professor, 10/21/98 through 6/30/99.
Smith, Sara, Clinical Assistant Professor, 10/21/98 through 6/30/99.
Winstead, P. S., Assistant Adjunct Professor, 10/21/98 through 6/30/99.

B. JOINT APPOINTMENTS

College of Medicine

Srinivasan, Vijaya L., Clinical Assistant Professor, Family Practice, joint appointment as Clinical Assistant Professor, Internal Medicine, 10/21/98 through 6/30/99.

College of Nursing

Murry, Mary M., Instructor (voluntary), joint appointment as Instructor (voluntary), College of Medicine, Department of Family Practice, 10/21/98 through 6/30/00.

C. CHANGES

College of Dentistry

Anderson, Steven A., from Assistant Professor (voluntary), Oral Health Science, to Assistant Professor (part time), Oral Health Science, 10/21/98 through 6/30/99.
Bogardus, Amy J., from Assistant Professor (voluntary), Oral Health Science, to Assistant Professor (part time), Oral Health Science, 10/21/98 through 6/30/99.
Mullins, Edyee J., from Assistant Professor (voluntary), Oral Health Science, to Assistant Professor (part time), Oral Health Science, 10/21/98 through 6/30/99.
Ratliff, Cynthia, from Instructor (voluntary), Oral Health Science, to Assistant Professor (part time), Oral Health Practice, 10/21/98 through 6/30/99.

College of Medicine

Batra, Chandramohan P., from Assistant Clinical Professor, Family Practice, 7/15/98 through 6/30/99, to Assistant Clinical Professor, Family Practice, 10/1/98 through 6/30/99.

Koury, Shaheed I. from Assistant Professor*, Emergency Medicine, to Assistant Professor (part time), Emergency Medicine, 12/1/98 through 6/30/99.

Menke, Thomas E. from Assistant Professor (part time), Surgery, to Assistant Professor (voluntary), Surgery, 10/21/98 through 6/30/00.

Walker, James R., from Assistant Professor (voluntary), Psychiatry, to Assistant Professor (part time), Psychiatry, 9/1/98 through 6/30/99.

College of Pharmacy

McIntosh, Bruce A. from Instructor (voluntary), to Clinical Assistant Professor, 10/21/98 through 6/30/99.

D. TERMINAL REAPPOINTMENTS

College of Medicine

Toborek, Michal, Assistant Research Professor, Surgery, 10/1/98 through 6/30/99.

PR 6A

Office of the President
October 20, 1998

Members, Board of Trustees:

CREATION OF A SCHOOL OF PUBLIC HEALTH

Recommendation: that the Board of Trustees approve the establishment of the School of Public Health within the College of Medicine.

Background: There is no comprehensive school of public health in the Commonwealth of Kentucky, nor in several of the surrounding states. Kentucky students, particularly non-traditional students who work in health departments, have a difficult time obtaining a public health degree. The creation of a School of Public Health would bring together faculty from throughout the University to focus on teaching, research and service in public health.

A number of trends in health care point to the need to establish such a School. These trends include issues such as the growth of managed care, new information technology in health care, and a renewed emphasis on health promotion and disease prevention. These trends, among others, have increased the demand for individuals trained in the population-based sciences that are represented by schools of public health. As the result of this demand, there have been several new schools of public health established across the nation.

The creation of a School of Public Health within the College of Medicine represents a unique opportunity to respond to the needs of Kentucky students interested in a public health degree, to assist those who work in Kentucky with new public health knowledge and skills and to increase the prevention curriculum in the health professions colleges in the Chandler Medical Center. The creation of a School of Public Health will result in the Chandler Medical Center becoming one of the few academic health centers composed of all six schools of the health professions.

Action taken: Approved Disapproved Other _____

PR 6B

Office of the President
October 20, 1998

Members, Board of Trustees:

NAMING OF UNIVERSITY LABORATORIES – MEDICAL CENTER

Recommendation: that the Board of Trustees approve the naming of Laboratory 125 in the new Sanders-Brown Center on Aging addition the R.C. Durr Neuropathology Research Laboratory and that Laboratory 223 in the new Sanders-Brown Center on Aging addition be named the Paul D. Blazer Jr. Stroke Research Laboratory.

Background: The naming of Laboratory 125 in the new Sanders-Brown Center on Aging addition the R.C. Durr Neuropathology Research Laboratory is in honor of Mr. R.C. Durr. Mr. Durr has donated \$400,000 towards the construction of the addition to the Sanders-Brown Center on Aging. The naming of Laboratory 223 in the new Sanders-Brown Center on Aging addition the Paul D. Blazer Jr. Stroke Research Laboratory is in honor of Mr. Paul D. Blazer, Jr. The Blazer family has committed \$250,000 towards the study of the mechanisms resulting in strokes in humans.

The Committee on Naming University Buildings has made its recommendation to the Chancellor of the Medical Center that these laboratories in the Sanders-Brown Center on Aging be named accordingly. The Committee is of the opinion that these requests fully comply with the criteria set forth in Administrative Regulation II-1.1-6.

Action taken: Approved Disapproved Other _____

FCR 1

Office of the President
October 20, 1998

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE
UNIVERSITY OF KENTUCKY FOR THE THREE MONTHS ENDED
SEPTEMBER 30, 1998

Recommendation: that the Board of Trustees accept the University of Kentucky Consolidated financial report for the three months ended September 30, 1998.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Health Care Collection Service.

As of September 30, 1998, the University had realized income of \$299,258,000 representing 28% of the 1998-99 estimate of \$1,072,002,000. Expenditures and commitments total \$254,841,000 or 24% of the approved budget of \$1,072,002,000.

Action taken:



Approved



Disapproved

Other _____

CONSOLIDATED BALANCE SHEET
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
SEPTEMBER 30, 1998
with comparative totals for September 30, 1997
(in thousands)

	1998-99					1997-98	
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
ASSETS							
Cash and cash equivalents	\$ 89,785	\$ 53,962	\$ 969	\$ 1,567	\$ 118,606	\$ 264,889	\$ 285,223
Notes, loans, and A/R (less bad debt allowances of \$17,969)	119,543	17,596	24,303	840	13,229	175,511	155,745
Investments	44,593	82,761		203,617	33,227	364,198	325,400
Property, plant, and equipment					1,225,792	1,225,792	1,165,457
Inventories and other	18,436	60				18,496	16,680
Total Assets	\$ 272,357	\$ 154,379	\$ 25,272	\$ 206,024	\$ 1,390,854	\$ 2,048,886	\$ 1,948,505
LIABILITIES AND FUND BALANCES							
Liabilities							
Accounts payable	\$ 27,978	\$ 4,204	\$ 714	\$	\$ 197	\$ 33,093	\$ 30,991
Employee withholdings and deposits		32,375				32,375	30,419
Other liabilities	6,515	2,364		4,539	3,273	16,691	9,869
Liability for self insurance	44,838					44,838	44,302
Deferred income	14,741	9,977				24,718	24,465
Bonds payable					214,831	214,831	215,308
Capitalized lease obligation					28,797	28,797	35,047
Total Liabilities	94,072	48,920	714	4,539	247,098	395,343	390,401
Interfund Balances	(20,845)	60,637			(39,792)		
Net deferred revenues and appropriated fund balances	51,379	(153)				51,226	40,745
Fund Balances							
Current unrestricted							
Working capital	134,101					134,101	127,363
Future operating purposes	6,929					6,929	8,647
Other	6,721					6,721	7,916
Current restricted							
Loan		44,975	24,558			44,975	46,228
True endowments				132,248		132,248	119,373
Term endowments				4,585		4,585	9,600
Quasi endowments				64,652		64,652	60,061
Plant							
Retirement of indebtedness					13,178	13,178	15,256
Renewal and replacement					17,061	17,061	36,577
Allocated for designated projects					176,709	176,709	154,602
Net investment in plant					976,600	976,600	908,203
Total Fund Balances	147,751	44,975	24,558	201,485	1,183,548	1,602,317	1,517,359
Total Liabilities and Fund Balances	\$ 272,357	\$ 154,379	\$ 25,272	\$ 206,024	\$ 1,390,854	\$ 2,048,886	\$ 1,948,505

CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 1998
with comparative totals for September 30, 1997
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1998-99				1997-98	
	Original Budget	Revised Budget	Realized To Date	%	Realized To Date	%
General Fund						
(1) State appropriations	\$ 294,707	\$ 294,707	\$ 71,803	24	\$ 68,501	24
(2) Student fees	109,429	109,429	55,893	51	51,599	52
(3) Federal appropriations	15,144	15,144	3,971	26	4,571	30
(4) County appropriations	6,735	6,735	1,054	16	945	15
(5) Endowment income	902	902	192	21	187	22
(6) Investment income	8,559	8,559	2,940	34	2,721	33
Gifts and grants						
(7) Affiliated corporations	13,746	13,746	3,077	22	2,648	21
(8) Other	51,949	51,949	13,245	25	10,971	19
(9) Sales and services	52,996	52,996	9,716	18	8,034	16
(10) Fund balance	14,985	14,985	14,985	100	11,879	100
(11) Total General Fund	569,152	569,152	176,876	31	162,056	30
(12) Auxiliary Enterprises	32,123	32,123	9,377	29	8,677	26
(13) Restricted Funds	56,917	56,917	14,448	25	12,586	22
(14) Affiliated Corporations	148,402	148,402	33,731	23	31,342	23
Hospital						
(15) Revenues	265,408	265,408	64,826	24	71,624	28
(16) Fund balance						
(17) Total Revenues and Appropriated Fund Balances	<u>\$ 1,072,002</u>	<u>\$ 1,072,002</u>	<u>\$ 299,258</u>	28	<u>\$ 286,285</u>	28

**CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 1998**
with comparative totals for September 30, 1997
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1998-99			1997-98		
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(1) Instruction	\$ 235,175	\$ 234,361	\$ 53,839	23	\$ 50,601	22
(2) Research	147,641	145,883	32,453	22	30,692	23
(3) Public service	141,917	142,449	34,237	24	32,226	24
(4) Academic support	64,696	64,297	17,002	26	17,178	26
(5) Student services	18,993	19,342	5,062	26	4,841	26
(6) Institutional support	37,991	39,270	10,501	27	11,333	28
(7) Student financial aid	39,840	41,069	17,534	43	14,853	41
(8) Operation and maintenance	40,018	39,600	7,899	20	6,837	20
(9) Mandatory transfers (debt service)	18,486	18,486			3,239	15
(10) Hospital	265,232	265,232	60,709	23	70,313	28
(11) Auxiliary enterprises	62,013	62,013	15,605	25	15,473	26
(12) Total Expenditure by Program	\$ 1,072,002	\$ 1,072,002	\$ 254,841	24	\$ 257,586	25

**CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 1998**
with comparative totals for September 30, 1997
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1998-99			1997-98		
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(13) Personnel costs	\$ 608,535	\$ 610,277	\$ 148,525	24	\$ 138,802	24
(14) Operating expenses	401,801	399,044	92,196	23	99,458	27
(15) Mandatory transfers (debt service)	27,516	27,516	1,781	6	6,657	22
(16) Capital outlay	34,150	35,165	12,339	35	12,669	29
(17) Total Expenditure by Category	\$ 1,072,002	\$ 1,072,002	\$ 254,841	24	\$ 257,586	25

CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 1998
with comparative totals for September 30, 1997
(in thousands)

	(A)	(B)	(C)
	<u>1998-99</u>		<u>1997-98</u>
(1) Realized Revenues		\$ 284,273	\$ 274,406
Appropriated Fund Balances			
(2) Current Unrestricted Fund	\$ 14,985		11,879
(3) University Hospital			
(4) Total Appropriated Fund Balances		<u>14,985</u>	<u>11,879</u>
(5) Total Revenues and Appropriated Fund Balances		299,258	286,285
(6) Expenditures/Encumbrances	254,841		257,587
(7) Less: Reserve for Encumbrances	<u>(7,176)</u>		<u>(12,032)</u>
(8) Total Expenditures		<u>247,665</u>	<u>245,555</u>
(9) Excess/(Deficit) Restricted Receipts Over Transfers to Revenues		<u>(367)</u>	<u>15</u>
(10) Net Deferred Revenues and Appropriated Fund Balances		<u>\$ 51,226</u>	<u>\$ 40,745</u>

FCR 2

Office of the President
October 20, 1998

Members, Board of Trustees:

ACCEPTANCE OF THE FINANCIAL STATEMENTS OF
THE UNIVERSITY OF KENTUCKY AND AFFILIATED
CORPORATIONS AND OTHER RELATED ORGANIZATIONS
FOR THE YEAR ENDED JUNE 30, 1998

Recommendation: that the Board of Trustees accept the financial statements of the University of Kentucky for the year ended June 30, 1998, including separate financial statements for the Housing and Dining System, the Tobacco and Health Research Institute, and the University Hospital, and special reports submitted by Deloitte & Touche on Compliance and on Internal Control and on Compliance with House Bill 622.

That the Board of Trustees accept the audited financial statements of the affiliated corporations and other related organizations of the University of Kentucky including The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Research Foundation, University of Kentucky Athletic Association, University of Kentucky Business Partnership Foundation, Inc., University of Kentucky Mining Engineering Foundation, Inc., University of Kentucky Equine Research Foundation, Inc., University of Kentucky Humanities Foundation, Inc., University of Kentucky Center on Aging Foundation, Inc., Health Care Collection Service, Inc., University of Kentucky Alumni Association, and University of Kentucky Hospital Auxiliary for the year ended June 30, 1998.

Background: The Finance Committee of the Board of Trustees of the University of Kentucky has reviewed the financial statements and related reports with the administration of the University of Kentucky. These financial statements have been audited by Deloitte & Touche, Certified Public Accountants, and are presented to the Board for acceptance. Deloitte & Touche has also submitted certain reports to the Board of Trustees as required by *Governmental Auditing Standards*.

Note: The above reports are not included in the Minutes of the meeting but are included in the official files.

Action taken:

Approved

Disapproved

Other _____

FCR 3

Office of the President
October 20, 1998

Members, Board of Trustees:

REPORT OF LEASES

Recommendation: that the Board accept the President's Report of the following lease agreements in accordance with Board Policy:

A new lease between the University of Kentucky (Rural Health) and Loyall Ministerial Youth Center, P. O. Box 445, Loyall, Kentucky, for space located on Park Hill Road, Loyall, Kentucky, effective September 15, 1998 through June 30, 1999, for the use of 900 square feet of space, at an annual rate of \$1,000.00, be accepted and made a matter of record.

A new lease between the University of Kentucky (Kentucky Cancer Program) and Buffalo Trace Area Development District, P. O. Box 460, Maysville, Kentucky, for space located at 327 West Second Street, Maysville, Kentucky, effective September 1, 1998 through June 30, 2000, for the use of 300 square feet of office space, at an annual rate of \$3,000.00, be accepted and made a matter of record.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases entered into under this authority be reported to the Board.

Action taken: Approved Disapproved Other _____

FCR 4

Office of the President
October 20, 1998

Members, Board of Trustees:

APPROVAL OF LEASE

Recommendation: that the Vice President for Fiscal Affairs be authorized to negotiate and execute the following:

An addendum to a lease between the University of Kentucky (Kentucky Injury Prevention/Behavioral Science) and Henry E. Cravens and Rudy G. Bicknell, %Cravens Properties, 651 Perimeter Drive, Suite 605, Lexington, Kentucky, for space located at 333 Waller Avenue, Lexington, Kentucky, effective October 1, 1998 through June 30, 2000, for the use of 2,109 square feet of office space, at an annual rate of \$24,253.50, bringing the total annual rate to \$118,231.50.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases in excess of \$30,000 be approved by the Board of Trustees

Action taken: Approved Disapproved Other _____

FCR 5

Office of the President
October 20, 1998

Members, Board of Trustees:

PATENT AND COPYRIGHT ASSIGNMENTS REPORT

Recommendation: that the patent and copyright assignments report for the period June 1 through September 30, 1998, be accepted.

Background: FCR 5, dated March 4, 1997, authorized that all future copyright and patent filings and prosecutions be conducted by the University of Kentucky Research Foundation (UKRF), and that the Vice President for Research and Graduate Studies or his designee be authorized to execute any needed documents to obtain appropriate patent or copyright protection. Quarterly reports on patent and copyright applications are to be submitted to the Finance Committee of the Board.

Action taken:



Approved



Disapproved



Other _____

PATENT ASSIGNMENTS
QUARTERLY REPORT FOR THE PERIOD JUNE 1 THROUGH SEPTEMBER 30, 1998

Patents

The following assignments on behalf of the Board of Trustees to the University of Kentucky Research Foundation have been executed:

1. U.S. Patent Serial Number: 08/534,685, filed November 27, 1995, titled "TRANSGENIC MICE WHICH OVEREXPRESS NEUROTROPHIN-3 (NT-3) AND METHODS OF USE." Inventor: Kathryn M. Albers and Brian M. Davis. This invention provides transgenic mice that express increased levels of neurotrophin-3 (NT-3) in epithelium. The NT-3 growth factor expressing transgenic mice are useful in the study of neurodegenerative disorders of the brain such as Parkinson's syndrome and Alzheimer's disease, of the spinal cord motor neurons such as amyotrophic lateral sclerosis, and for testing drug candidates for the treatment of these diseases.
2. U.S. Patent Serial Number: 08/687,916, filed July 29, 1996, titled "ISOLATED SPINACH RIBULOSE-1,5-BISPHOSPHATE CARBOXYLASE/OXYGENASE LARGE SUBUNIT N-METHYLTRANSFERASE AND METHOD OF INACTIVATING RIBULOSE-1,5-BISPHOSPHATE CARBOXYLASE/OXYGENASE LARGE SUBUNIT N-METHYLTRANSFERASE." Inventor: Robert L. Houtz. This invention provides the gene sequence for ribulose-1,5-bisphosphate carboxylase/oxygenase large subunit n-methyltransferase (protein methylase III or Rubisco LSMT). This enzyme catalyzes methylation of the α -amine of lysine-14 in the large subunit of Rubisco. In addition, a full-length cDNA clone for Rubisco LSMT is provided. Transgenic plants and methods of producing same which (1) have the Rubisco LSMT gene inserted into the DNA, and (2) have the Rubisco LSMT gene product or the action of the gene product deleted from the DNA are also provided. Further, methods of using the gene to selectively deliver desired agents to a plant are also provided.
3. U.S. Patent Serial Number: (to be assigned), filed (to be filed), titled "COMPOUNDS ENCODING THE PROTECTIVE M-LIKE PROTEIN OF STREPTOCOCCUS EQUI AND ASSAYS THEREFOR." Inventor: John Timoney. The present invention relates to molecular compounds which encode the protective M-like protein of Streptococcus equi (SeM), the amino acid compound which is thereby encoded, and compositions of matter which incorporate either the encoding compounds or the cellular components for which they encode. For instance, vaccines which utilize the amino acid compounds or vectors and cell lines useful to make the amino acid compounds described herein are subjects of the present invention. The present invention provides methods to stimulate S. equi-specific immune response in horses. It also provides diagnostic assays for Streptococcus equi.
4. U.S. Patent Serial Number: (to be assigned), filed (to be filed), titled "CYTOCHROME P450 ENZYMES AND RELATED COMPOUNDS AND METHODS." Inventor: Michael Barrett. The present invention provides nucleic acids which encode P450s in corn that when expressed in the presence of a reductase, metabolize compounds

4. U.S. Patent Serial Number: (to be assigned), filed (to be filed), titled "CYTOCHROME P450 ENZYMES AND RELATED COMPOUNDS AND METHODS." Inventor: Michael Barrett. The present invention provides nucleic acids which encode P450s in corn that when expressed in the presence of a reductase, metabolize compounds exemplary of several classes of insecticides and herbicides. The invention also includes amino acids encoded by the nucleic acids, as well as vectors, cells and eukaryotes comprising the nucleic or amino acid compounds. Also included are methods using the materials provided.
5. U.S. Patent Serial Number: (to be assigned), filed (to be filed), titled "SUSTAINED RELEASE ANESTHETICS FORMULATIONS." Inventor: Michael J. Jay, G. Thomas Kluemper and Sang Hun Kim. This invention provides formulations and methods pertaining to sustained-release topical anesthetics.
6. U.S. Patent Serial Number: 08/665,827, filed June 18, 1996, titled "CANAVANINE ANALOGS AND THEIR USE AS CHEMOTHERAPEUTIC AGENTS (AS AMENDED)." Inventor: Peter A. Crooks and Gerald A. Rosenthal. This invention provides pharmaceutical compositions of canavanine analogs and a method of treatment of cancer, particularly pancreatic cancer with canavanine analogs.
7. U.S. Patent Serial Number: (to be assigned), filed (to be filed), titled "COMBINED ANTAGONIST COMPOSITIONS." Inventor: Scott Hamann. This invention provides novel compositions consisting of a combination of nicotinic, opioid, serotonergic and/or adrenergic antagonists in combination with nicotinic and opioid agonists, anti-depressants, stimulants, non-steroidal anti-inflammatory drugs, and local anesthetics, such combinations being useful in the treatment of excitable system disorders, pain and psychiatric disorders. The invention also provides methods of use for these novel compositions.
8. U.S. Patent Serial Number: (to be assigned), filed (to be filed), titled "USE OF YEAST POLY(A) BINDING PROTEINS AND THEIR GENES FOR BROAD RANGE PROTECTION OF PLANTS AGAINST BACTERIAL, FUNGAL AND VIRAL PATHOGENS." Inventor: Arthus G. Hunt, Qing-Shun Li, Jianjun Yang and Carol Von Lanken. This invention provides transgenic plants expressing the yeast PAB1 gene which have a systemic acquired resistance (SAR) to bacterial, fungal and viral pathogens. Plants that accumulate the yeast polyadenylate binding protein (PAB) display a range of abnormalities, including a characteristic chlorosis in leaves to a necrosis and pronounced inhibition of growth. The severity of these abnormalities reflects the levels of yeast PAB expression in the transgenic plants. In contrast, no obvious differences are seen in undifferentiated callus cultures that express the same range of yeast PAB. The expression of the yeast PAB1 gene in plants does not affect expression of the plant PAB gene family or alter poly(A) length in the total RNA population. It is proposed that the yeast PAB1 gene or its products interferes with as yet unidentified functions of PABs, which functions are manifest only in differentiated, developed plants.

FCR 6

Office of the President
October 20, 1998

Members, Board of Trustees:

GTE SOUTH, INC. PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$1,500,000 from GTE South, Inc. of Irving, Texas, to assist in funding the Information Systems' communications and network systems building and to create and endow the GTE South Chair in Computer Science in the College of Engineering's Department of Computer Science.

Background: GTE South, Inc. is a long-time financial supporter and provider of communications services to UK. The university uses GTE services for its central telephone switch and many statewide communication functions. The pledge will be paid in five annual installments of \$300,000 beginning in January, 1999. The communications and network systems building will be constructed on the southwest corner of the Rose and Maxwell Streets intersection. Construction is expected to begin later this year.

The \$900,000 portion of the gift for the faculty chair in computer science was given to encourage research that will promote the application of voice, data and video communication technologies and to support networking technologies in the College of Engineering.

Action taken:

Approved

Disapproved

Other _____

FCR 7

Office of the President
October 20, 1998

Members, Board of Trustees:

HELEN THACKER HILL GIFT OF \$105,397

Recommendation: that the Board of Trustees accept a gift of municipal bonds valued at \$105,397.69 from Helen Thacker Hill of Milledgeville, Georgia, to establish and endow the Martin-Thacker Scholarship Fund in the College of Education.

Background: Helen Thacker Hill received her bachelor's and master's degrees from the UK College of Education, where her mentor was Leslie L. Martin, a long-time professor in the college. The scholarship fund will benefit students with certified disabilities who are committed to a career in the rehabilitation of developmentally disabled adults in rural areas. Recipients of scholarships must also meet the requirements for admission to the master's degree program in rehabilitation counseling in the Department of Special Education and Rehabilitation Counseling. Mrs. Hill is director of student support services at Georgia College and State University in Milledgeville.

Action taken:

Approved

Disapproved

Other _____

FCR 8

Office of the President
October 20, 1998

Members, Board of Trustees:

OLIVER H., JR. AND ANNE H. RAYMOND GIFT AND PLEDGE OF \$2 MILLION

Recommendation: that the Board of Trustees accept a gift of \$1 million and pledge of \$1 million from Oliver H., Jr. and Anne H. Raymond of Louisville, Kentucky, to establish and endow four Raymond-Blythe Professorships and ten Raymond-Terrell Fellowships in the College of Engineering's Department of Civil Engineering.

Background: Mr. and Mrs. Raymond have been loyal and generous benefactors of the College of Engineering. He is a 1954 UK graduate with a bachelor's degree in civil engineering. She received her degree in commerce from UK in 1954. Mr. Raymond has been involved with the university and college for many years and is a member of the college's Hall of Distinction and recipient of the Department of Civil Engineering's Lifetime Achievement Award. The Raymond's gift will provide \$1 million for civil engineering professorships and \$1 million for civil engineering graduate fellowships. The pledge will be paid in four annual installments of \$250,000 beginning in 1999.

Action taken:

Approved

Disapproved

Other _____

FCR 9

Office of the President
October 20, 1998

Members, Board of Trustees:

ASHLAND INC. PLEDGE OF \$1 MILLION

Recommendation: that the Board of Trustees accept a pledge of \$1 million from Ashland Inc. of Ashland, Kentucky, to establish and endow the Ashland Chair of Chemical Engineering in the College of Engineering's Department of Chemical and Materials Engineering.

Background: Ashland Inc. has been a corporate leader in the promotion and support of education advancement in Kentucky for many decades. Ashland has been especially generous to the University of Kentucky. The gift will be paid in annual installments over a five-year period beginning in 1998. This gift will be the first corporate gift submitted by UK for matching state money through the Research Challenge Trust Fund.

This chair will be the initial step in establishing a new doctoral program in biopharmaceutical engineering. The professor who holds the chair will direct an interdisciplinary program involving the Colleges of Engineering and Pharmacy. The program will advance the understanding of human biology at the subcellular and genetic levels that have resulted in new forms of drug therapy. The program will prepare graduates from both engineering and pharmaceutical science to enter this highly complex field.

Action taken: Approved Disapproved Other _____