

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, March 9, 2010.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, March 9, 2010, in the Board Room on the 18th Floor of Patterson Office Tower.

A. Meeting Opened

Ms. Mira Ball, chair, called the meeting to order at 1:00 p.m. and asked Ms. Pamela May, secretary of the Board, to call the roll.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mira Ball (chair), Stephen Branscum, E. Britt Brockman, Penelope Brown, Jo Hern Curris, Carol Martin “Bill” Gatton, Ann Haney, Pamela T. May, Billy Joe Miles, Sandy Bugie Patterson, Robynn Pease, Erwin Roberts, Charles R. Sachatello, Frank Shoop, Ryan Smith, James W. Stuckert, and Barbara Young. Absent from the meeting were Dermontti Dawson, Everett McCorvey, and Ernest Yanarella. Ms. May announced that a quorum was present.

The university administration was represented by President Lee T. Todd, Jr., Provost Kumble Subbaswamy, Executive Vice President for Finance and Administration Frank Butler, Executive Vice President for Health Affairs Michael Karpf, and General Counsel Barbara W. Jones.

The university faculty was represented by Chair of the University Senate Council David Randall, and the university staff was represented by Chair of the Staff Senate Bryan Back.

Members of the various news media were also in attendance.

C. Consent Items

Ms. Ball called attention to the consent items which included the minutes, personnel actions, and acceptance of a gift and pledge. Ms. Patterson moved approval of the consent items. Mr. Stuckert seconded the motion, and it carried without dissent. (See consent items listed below at the end of the Minutes.)

Minutes – December 1, 2009
PR 2 Personnel Actions
FCR 1 Dr. Siby P. and Mrs. Rebecca J. Saha Pledge

D. Recognition of Cheerleaders

Ms. Ball called upon Ms. Haney to read a citation in recognition of the cheerleaders, and Ms. Haney read the following citation:

The Board of Trustees of the University of Kentucky hereby recognizes and honors the University of Kentucky Wildcat Cheerleaders as the 2010 National Champion Cheerleaders at the Universal Cheerleader's Association's National College Cheerleading Championship for NCAA Division IA schools. Having won this prestigious title an unprecedented eighteen times, the cheerleading squad has claimed three consecutive national championships, including 14 out of the last 16 years and winning more than any other Division IA school. Under the direction of head coach Jomo Thompson and cheerleader advisor T. Lynn Williamson, the UK cheerleaders are recognized as some of the finest, intelligent athletes in the University's sports programs. They are model students in the classroom, giving generously of their time to various charitable organizations and serving as public ambassadors of the University of Kentucky and the Commonwealth. They have brought recognition to the University of Kentucky throughout the nation.

The members of the Board of Trustees are delighted in applauding the University of Kentucky Wildcat Cheerleading squad for remarkable athletic accomplishments and hereby express congratulations and gratitude to the Cheerleading Program for its continued dedication and commitment to excellence on behalf of the University and the Commonwealth.

Ms. Haney congratulated the cheerleaders, and they received a round of applause.

Ms. Curris asked to make a comment about a friend who was at a premier institution in the ACC that visited her during the weekend and attended the basketball game. Her friend told her that the UK cheerleaders are absolutely the best in the nation. The cheerleaders received another round of applause.

E. President's Report (PR1)

President Todd called attention to the following items in PR 1:

CAER Receives \$11.8 Million Grant for R&D Efforts at National Battery Center

UK had a press conference with Governor Steve Beshear and Secretary of Energy Leonard Peters regarding the Center for Applied Energy Research receiving an \$11.8 million grant from the National Institute of Standards and Technology, which is stimulus money and very competitive. There were 169 proposals written for approximately \$123 million in this particular competition. UK received the fifth largest amount of money, tying with Purdue University.

Rodney Andrews and his team have done a phenomenal job. The grant will allow the university to build a stand-alone energy building at the Spindletop Research site in Lexington. The \$3 million that the state was going to provide for retrofitting a former Department of Energy office building will be redirected to this project for a total of \$14.8 million.

The university had also reserved \$1 million for renovation of the former Department of Energy office building; therefore, another building will be built at the Spindletop Research site strictly for energy research.

UK Distributes Royalties from Outrider's Collaboration with 3M

UK chemistry professor John Anthony and Outrider CEO John Beran were asked to stand and be recognized. Mr. Beran has licenses with global technology giant 3M and other companies. Professor Anthony, considered a pioneer in plastic materials, also collaborates with 3M and other companies. Mr. Beran and Professor Anthony have developed some technology that has resulted in an arrangement with 3M. The university is receiving \$847,000 in royalties from a license agreement for compounds developed by Professor Anthony. This is an example of the university creating businesses for the country out of intellectual property at the university. Professor Anthony and Mr. Beran presented a check in the amount of \$847,000 to President Todd. Mr. Beran and Professor Anthony received a round of applause.

UK Researcher Contributes to Developing a Quick Test to Assess Alzheimer's Patients

A UK researcher has helped to develop a quick Alzheimer's test to evaluate the treatment response of patients with Alzheimer's disease. It is a three-minute assessment for patients with severe Alzheimer's disease. The Sanders-Brown Center continues to be a national leader in that arena.

President Todd remembered Dr. William Markesbury, who recently passed away, and talked about his Memorial Service. He said that Dr. Markesbury was truly a gentleman. The Memorial Service was more about the human being than the scientist that he was, but the contributions and the position that he has allowed UK to have in Alzheimer's research is phenomenal.

UK Companies Attract 52 Percent of \$47.5 Million in Venture Funding

Entrepreneurial companies in the Lexington area attracted \$47.5 million in venture funding in 2009, including angel and venture capital investments. This is venture capital and federal money from federal government grants, and it is private money from individuals. The \$47.5 million was invested in 88 early stage companies, 39 of which have intellectual property from UK. The UK affiliated companies received 52 percent of the venture funding. Since UK started doing this in 2003, 800 jobs have been created in those 88 companies with the average salary being \$78,500.

Officials, Supporters 'Top-out' Markesbury Digital Village Building

UK officials and supporters celebrated the placing of the final steel I-beam in the structural skeleton of the \$18.6 million David Markesbury Building. This building will be built with state match money from the "Bucks for Brains" program and with donations from James Hardyman, Davis Markesbury and his wife Beverly, and Jim McDonald. UK can still make progress even when there are tough times financially in the state.

Six Are Named 'Great Teachers' by UK Alumni Association

Six UK professors have been recognized by the Alumni Association for their excellence in the classroom and are the recipients of the 2010 Great Teacher Award. This is one of the highest honors that teachers can receive because they have to be nominated by students.

Allylix Secures \$6 Million in National Venture Funding

Allylix, which licensed its original technology from the UK College of Agriculture, has raised \$6 million. They have developed a lemon-lime flavoring. It is a unique company that has been around for awhile. The company started with some UK researchers along with some researchers in San Diego, California. They have their product ready to go to market, and they have raised another \$6 million worth of venture funding to make sure they can make it.

DanceBlue Marks Fifth Year as Fundraising Event for Pediatric Cancer Patients

DanceBlue, a student-organized fundraising event that benefits pediatric oncology patients at the Kentucky Children's Hospital, celebrated a milestone last weekend. The event is student driven, and this is the 5th year in a row the students have raised over \$2 million total. They made a new record of receiving \$636,000 this year and broke last year's record of approximately \$600,000. There is enough money coming in with the consistency that they could budget some of the money to hire staff members to work with the families of the children who have cancer. This event is a great happening.

Sustainable Manufacturing Institute Wins \$1.5 Million Research Grant

UK's sustainable manufacturing program has been awarded a three-year, \$1.5 million grant from the National Institute of Standards and Technology to develop a common standard to measure and evaluate the sustainability of manufacturing processes and products.

Three Faculty Are Named Fulbright Scholars, Will Teach Overseas

Three UK faculty members have been named Fulbright Scholars for the 2009-2010 academic year. The Fulbright Scholar Program is the flagship international exchange program of the United States. It allows distinguished academics to spend extended periods of time studying and teaching at foreign universities. This is a high honor for the faculty and the institution.

President Todd referred back to PR 2 and called attention to the retirement of Coach Rich Brooks in the Athletics Department. He asked that the minutes reflect that this institution owes Coach Brooks a great deal for what he has done for the institution, for the athletics program, for the state of Kentucky, and for not only the victories that he has had and the bowl games that he has attended but the quality and way in which he has done what he has done. Coach Brooks has put UK in a new platform and thinking for the university as a whole. He was the one that asked to have Joker Phillips named as the coach-in-waiting because he did not want there to be any lag in UK's recruiting. President Todd wished Coach Brooks the very best and said he hoped to see more of him as UK continues its football experiences.

F. University Research Professorships (PR 3)

President Todd asked the Board to approve the naming of Professors Kimberly Anderson, Sumit Das, Pradyumna (Paul) Karan, S. Randal Voss, and Sidney Whiteheart as University Research Professors for 2010-11. He said that the professors will be able to use the title of research professor throughout their entire career, and it is a real testament to the quality of work they do in their labs, offices, and classrooms. He noted that these professors are some of the most esteemed faculty. He asked Vice President for Research James Tracy to introduce the professors.

Dr. Tracy made the following introductions and presented each recipient with a plaque:

Kimberly Ward Anderson - Dr. Anderson has been a member of the UK faculty since 1993 in the Department of Chemical and Materials Engineering in the College of Engineering. In 2002 she was named a Gill Eminent Professor of Chemical Engineering. She was an early proponent of interdisciplinary research pursuing collaborations across multiple colleges on the UK campus. She studies the interactions between cells and proteins with synthetic interfaces to develop and characterize bioactive interfaces for applications as sensors and as therapeutic and diagnostic tools. Professor Anderson will spend the year investigating adhesion and deformation properties of cancer cells in the process of metastasis. Dr. Anderson received a round of applause.

Sumit Das - Dr. Das is a professor in the Department of Physics and Astronomy in the College of Arts and Sciences. He joined the faculty in 2002. He is an internationally recognized scholar in the branch of theoretical physics known as "string theory." Dr. Das will spend the year extending his research on the application of string theory to cosmology with the goal of predicting the size and distribution of galaxies and the number and size of black holes produced by the Big Bang. Dr. Das received a round of applause.

Pradyumna (Paul) Karan – Dr. Karan has been a member of the UK faculty since 1964. He is a professor and former chairman of the Department of Geography in the College of Arts and Sciences. His research focuses on the analysis of sustainable development in environmental management. Dr. Karan is a pre-eminent scholar of the Himalaya, the world's highest mountain range. He plans to continue to explore the interrelations between humans, the communities that they inhabit, and the various regions of the Himalaya and the impact on the physical environment. He has been engaged in this work for over 50 years. Dr. Karan received a round of applause.

Randal Voss - Dr. Voss joined the UK faculty in 2002 and in 2005 was promoted to associate professor in the Department of Biology, College of Arts and Sciences. Dr. Voss is a leading proponent of ambystomatid salamanders as a versatile and robust model of vertebrate biology. One of the advantages of the salamanders is a limb can be cut off, and it will regenerate. It has a great deal of medical importance. Dr. Voss is director of the National Science Foundation-funded Ambystoma Genetic Stock Center, a biological

resource that is unique in the world on the UK campus. This professorship will allow Dr. Voss a break from his heavy teaching load so that he can devote the year to research. Dr. Voss received a round of applause.

Dr. Sidney (Wally) Whiteheart - Dr. Whiteheart is in the Department of Molecular and Cellular Biochemistry. He came to UK in 1994 and holds the rank of full professor. Wally, as he is better known to most, studies how cells secrete materials. Specifically, his research focuses on blood platelet function in “clotting” both in normal blood vessel repair and in inappropriate clotting that leads to stroke and heart attack. Dr. Tracy added his personal thanks to Dr. Whiteheart for the last two years for chairing the Institutional Animal Care and Use Committee. Dr. Whiteheart received a round of applause.

On motion made by Dr. Brockman and seconded by Ms. Haney, PR 3 was approved without dissent. (See PR 3 at the end of the Minutes.)

G. Honorary Degree Recipients (PR 4)

President Todd recommended that the Board approve awarding the degree of Honorary Doctor of Letters to Judith Clabes, the Honorary Doctor of Arts to Daniel Libeskind, and the Honorary Doctor of Science to Robert Straus.

Judith Clabes graduated from UK in 1967 with a Bachelor of Arts degree in English and Journalism. She was editor of the *Kentucky Post* for many years and CEO of the Scripps Howard Foundation. She helped create UK’s First Amendment Center. Ms. Clabes is recommended for an Honorary Doctor of Letters degree.

Daniel Libeskind is one of the most prolific and accomplished architects practicing today. He began his teaching career at UK as an assistant professor from 1973-75. In 2008, he completed the Ascent building in Covington, Kentucky. In 2004, he was appointed the first Cultural Ambassador for Architecture by the U.S. Department of State. He also won the master plan competition for the area formerly occupied by the World Trade Center Towers in New York City. He designed the Jewish Museum in Berlin, the Imperial War Museum North in Manchester, England. He is an honorary member of the Royal Academy of Arts in London, England. Mr. Libeskind is recommended for an Honorary Doctor of Arts degree.

Dr. Robert Straus came to UK in 1956 as a member of the planning staff for the UK Medical Center, which will celebrate its 50th anniversary this coming year. He served as the first coordinator of academic affairs for the Medical Center and was chair of the Department of Behavioral Science for 28 years. He was elected as a member of the Institute of Medicine at the National Academy of Sciences for his groundbreaking studies of alcoholism. Dr. Straus is recommended for an Honorary Doctor of Science degree.

On motion made by Mr. Branscum and seconded by Mr. Stuckert, PR 4 was approved without dissent. (See PR 4 at the end of the Minutes.)

H. Report on Results of Alumni Member Election (PR 5)

President Todd said that PR 5 is a recommendation that the report of the Secretary of the Board on the results of the election authorized by the Alumni Association be received and put to record, and that the Secretary be authorized to certify to Governor Steve Beshear the names of the three persons receiving the largest number of votes, from which list a successor to Ann Haney will be appointed. The individuals are listed in the order in which they received votes, and the top three are Terry Mobley, Marianne Smith Edge, and Franklin Farris. President Todd noted that Ann Haney will be retiring as her term comes to an end June 30, 2010. Mr. Stuckert moved approval of PR 5. Ms. Patterson seconded his motion, and it carried without dissent. (See PR 5 at the end of the Minutes.)

I. Director Emeritus of the Kentucky Transportation Center Title Be Conferred Upon Calvin G. Grayson (PR 6)

President Todd recommended that Calvin Grayson be named Director Emeritus of the Kentucky Transportation Center in recognition of his service and dedication to the College of Engineering at UK. Mr. Grayson came to UK in 1984 after he had been Secretary of Transportation. He was the first professional engineer selected by a governor to be Secretary of Transportation and did a great job. He served as Director of the Kentucky Transportation Center in the College of Engineering until 1997. The center has brought in untold amounts of money in the area of research and transportation. One of his instrumental acts that gained the university a national reputation was called the Advantage I-75 multi-state research program for Intelligent Transportation Systems applications to commercial vehicle operations. Mr. Grayson was able to get the funding for a project that eliminated big trucks from having to stop and be weighed. The truck drivers can now drive by and get the trucks weighed without having to stop.

President Todd recommended that Calvin Grayson be named Director Emeritus of the Kentucky Transportation Center. Ms. Curris moved approval. Dr. Sachatello seconded the motion, and it carried without dissent. (See PR 6 at the end of the Minutes.)

J. College of Design Report

President Todd said that it was a pleasure to introduce the Dean of the College of Design Michael Speaks. Dean Speaks came to Kentucky from California and has been at the university for two years. He loves doing things from designing energy efficient manufactured housing to redoing riverfronts along the Ohio River. He asked Dean Speaks to come forward and give his presentation.

Dean Speaks said that he wanted to show some things that the faculty and students are doing in the College of Design. He began his presentation by talking about fly ash and the many things that can be done with it. Through a series of photographs, he showed some design + energy related projects that the college is doing around the state and talked about some of the college's design + energy initiatives.

The College of Design has entered strategic partnerships with a number of energy researchers, providers, and manufacturers, to launch "Design + Energy Initiatives." The focus is on developing innovative, energy-related design solutions. Some featured projects are below:

Fly Ash Prototypes

The College of Design's Fabrication and Design Workshop has been working with the University of Kentucky Center for Applied Energy Research (CAER) to create prototypes that make use of fly ash. Fly ash is one of the residues generated in the combustion of coal and is generally captured from the chimneys of coal-fired power plants.

www.uky.edu/Design/FlyAsh

Solar Decathlon

One of 20 finalists selected by the U.S. Department of Energy, the College of Design, in collaboration with the College of Engineering and College of Agriculture at the University of Kentucky, designed and built an energy-efficient, solar house, which was displayed in Washington, D.C. in fall 2009. On October 16, 2009 the U.S. Department of Energy announced the winners of the 2009 Department of Energy Solar Decathlon competition on the National Mall in Washington, D.C. The University of Kentucky's SKY BLUE House placed ninth in the competition. www.uky.edu/Design/Solar_Decathlon

www.uky.edu/solarhouse

Houseboat to Energy Efficient Residences (HBEER)

Partnering with the Center for Applied Energy Research at UK, and sponsored, in part, by the Kentucky Highlands Investment Corporation and the Kentucky Housing Corporation, the College of Design has initiated a multi-year project to design and build energy efficient, low-income residences. This fall, three architecture studios are developing design concepts for three deliverables: 1) a thousand square foot manufactured housing unit; 2) a community/neighborhood design that will enable the units to take advantage of centralized energy efficiencies as well as geothermal; and 3) factory design where the units can be manufactured. www.uky.edu/Design/HBEER

The River Cities Project: Henderson, KY

The "River Cities Project" is an extension and expansion of "The Henderson Project," which began in June 2007 when students from the University of Kentucky's College of Design and the Southern California Institute of Architecture in Los Angeles traveled to Henderson for a five-day design workshop. The "River Cities Project" began this year with a one-year studio focused on Henderson. In fall, 2008 a graduate level studio developed strategic design proposals for the city of Henderson. In spring, 2010, the emphasis is on the recently retired HMPL1 Plant and the Henderson riverfront. The graduate studio is now developing designs that will adaptively reuse the recently decommissioned HMPL1 plant situated between two existing parks on the scenic Ohio River in Henderson.

www.uky.edu/Design/Henderson

Brown Forman Chair in Urban Design Spring 2009

In Spring 2009 the College of Design at the University of Kentucky conducted a year-long research and design study of the Shippingport area in Louisville, KY. The Shippingport area, located just west of downtown Louisville, has a significant waterfront and extensive infrastructure and enormous potential for future development. Development has been limited, however, because the entire area is cut off from the rest of the city by the freeway. Students analyzed and made strategic design proposals for the Shippingport area intended to stimulate economic development and bring much-needed jobs. Proposals included developing a complex of business incubators and needed vocational schools, including a culinary school with a restaurant; developing a centralized hospitality complex served by light rail that would tie together the many entertainment "events" hosted by the city; creating a network of pocket parks that connect to the existing Olmstead Park system; and developing a new Green Ford Motor Company Campus where a new line of hybrid and electric products would be designed, developed, and built. This past spring, students developed these proposals into design proposals. A large model and video presentations of the proposals were displayed at the 21c Museum in Louisville from May 27 to June 6.

Dean Speaks talked about a symposium called politics at the Lexington Public Library. It is a symposium looking at the relationship between design and public policy. It is called politics because one of the speakers, who is the director of National Spatial Planning in the Netherlands, wrote a book last year called *Design and Politics*.

Dean Speaks thanked President Todd and members of the Board for the opportunity to speak at the meeting, and he received a round of applause.

President Todd expressed pleasure in having someone, who is not a Kentuckian, come into the dean's position and adopt projects which can utilize Kentucky's assets. He thanked Dean Speaks for his presentation.

K. Candidate for Degree - Bluegrass Community and Technical College (AACR 1)

Ms. Brown, chair of the Academic Affairs Committee, reported that the committee met that morning and had three action items as well as an informational update from Geraldine Emachio, the associate dean for the College of Fine Arts. AACR 1 is a recommendation that the president be authorized to confer upon the individual whose name appears on the list the degree to which she is entitled. Since July 1, 2004, there has been an agreement that Lexington Community College students who were or are officially enrolled on or before September, 2004 in associate degree programs approved by the UK Board of Trustees and who complete the associate degree program on or before August 31, 2010 shall have their degrees conferred by the UK Board of Trustees. On behalf of the Academic Affairs Committee, Ms. Brown moved acceptance of AACR 1. Mr. Shoop seconded her motion, and it passed without dissent. (See AACR 1 at the end of the Minutes.)

L. Change of Organizational Structure of the Alvin E. Evans Law Library (AACR 2)

Ms. Brown said that AACR 2 recommends that the Board of Trustees approve the transfer of administrative oversight for the Alvin E. Evans Law Library from UK Libraries to the College of Law, effective July 1, 2010.

In the past, the Alvin E. Evans Law Library has been administered as part of the UK Libraries. Under this structure, the Dean of the College of Law, the Director of Law Library, and the law faculty have been responsible for the determination of basic law library policies related to meeting the reference, instructional, research, and service needs of the College of Law faculty, staff, and students.

Only six out of 200 United States law schools have an administrative structure for law library operations similar to the UK's current structure. This structure has raised concerns for UK's American Bar Association (ABA) accreditation process in the past because of the ABA's requirement of autonomy.

This proposal has been reviewed and recommended for approval by the University Senate. The provost of the university supports this recommendation. On behalf of the Academic Affairs Committee, Ms. Brown moved approval of AACR 2. Ms. May seconded her motion, and it carried without dissent. (See AACR 2 at the end of the Minutes.)

M. Proposed Revision to Administrative Regulation: Research Conflict of Interest and Financial Disclosure Policy (AACR 3)

Ms. Brown said that AACR 3 recommends that the Board of Trustees approve the attached revision of Administrative Regulation (AR) 7:2, Research Conflict of Interest and Financial Disclosure Policy. The proposed revision consists of clarifying the definition of "Significant Financial Interest" pursuant to a recommendation by the Association for the Accreditation of Human Research Protection Programs (AAHRPP).

The university's mission involves three primary functions: instruction, research, and service. In compliance with state and Federal law, AR 7:2 sets forth policies, principles, and procedures to ensure that the personal financial interests of university employees do not compromise: a) the objectivity with which research is designed, conducted, and reported; b) the welfare of research participants; or, c) the objectivity with which intellectual property is developed.

The revision clarifies the definition of "Significant Financial Interest" according to the standards of the Association for the Accreditation of Human Research Protection Programs (AAHRPP). The AR is also renumbered according to the new University Administrative Regulation numbering system. On behalf of the Academic Affairs Committee, Ms. Brown moved approval of AACR 3. Ms. Young seconded her motion, and it carried without dissent. (See AACR 3 at the end of the Minutes.)

Ms. Brown reported on the information item presented to the committee. She said that Dr. Geraldine Maschio from the College of Fine Arts spoke on arts and creativity in the new general education curriculum. A course in the arts and creativity will be a requirement in the new general education curriculum. Currently, six courses are being developed requiring creative thinking, historical importance, and an experiential component. Students will need to make something in music, art, theatre, or creative writing. The making art experience is designed to encourage students to think differently about problem solving.

She also shared an update on a \$200,000 grant that the College of Fine Arts has applied for from the Association of Performing Arts Presenters funded by the Doris Duke Foundation. The grant focuses on integrating performing arts on campus in transformative ways. UK is currently in round two of the application process and will find out in August about the grant.

N. Audit Subcommittee Report

Mr. Branscum, chair of the Audit Subcommittee, reported that the committee met that morning with several items of business. The committee first heard from Joseph Reed, UK's senior director of internal audit. Mr. Reed summarized both the internal audit and audit subcommittee charters and highlighted the suggested amendments. The charters were last updated five and six years ago respectively, and a draft of the suggested changes is planned for review at the first meeting of the fiscal year 2011.

The committee reviewed and approved an updated 2009-10 work plan to reflect and incorporate the actual work that is being performed. The work plan was adjusted for a more comprehensive review and at management's request. The adjusted work plan focuses on the process consultation information system security and comprehensive audit reviews. The previous 2009-10 work plan activities will be assessed and carried forward on the 2010-11 work plan. The committee had the opportunity to ask questions regarding the 2010-11 work plan process.

The committee also heard from Mary McKinley, who represented the university's external auditors BKD. Ms. McKinley reviewed her firm's preliminary audit plan for the year ending June 30, 2010. The committee had the opportunity to ask questions about the audit engagement in areas of emphasis in this year's audit.

The committee also revised the audit engagement letter for the services BKD will provide for Coldstream Laboratories Inc. (CLI). The new engagement is for a compilation of the balance sheet and related statements of operation, stakeholder's equity, and cash flows for the year ending December 31, 2009. In addition, BKD will perform other agreed upon procedures to review various account balances and transactions of the financial statement.

The next meeting of the Audit Subcommittee will be Tuesday, October 12. At that time, the committee will receive the management's presentation of the audited financial statements for the year ended June 30, 2010 and hear from the external auditors regarding the conduct of the audit.

O. Finance Committee Report

Mr. Branscum, chair of the Finance Committee, reported that the committee met that morning with all members of the committee present as well as many Board members. He thanked everyone for their commitment to the committee. He reported that there were eight reports and action items. FCR 1 regarding a gift and pledge was on the consent agenda. The gift and pledge totals \$1 million of which \$400,000 is eligible to be matched by the state through the Endowment Match Program. On behalf of the university, he thanked Dr. and Mrs. Siby Saha for their generous support.

P. Acceptance of Interim Financial Report for the University of Kentucky for the Six Months Ended December 31, 2009 (FCR 2)

Mr. Branscum said that FCR 2 is a recommendation that the Board accept the consolidated financial report for the six months ended December 31, 2009. A summary of the financial statements was distributed to the committee prior to the meeting. During the first six months, the university has realized 51 percent of the revenue estimate and expended 44 percent of the approved budget.

State appropriations reflect a decrease of \$15 million or 8 percent as compared to the prior year actual. By June 30, 2010, state funds will be reduced by a total of \$30 million as compared to the original FY 2009-10 budget. However, \$21 million of the \$30 million cut is offset by federal stimulus funds.

The balance sheet remains sound with assets of \$3.5 billion and liabilities of \$1.1 billion. Fund balances of \$2.2 billion represent a nearly 63 percent equity position in the total assets.

Revenues and appropriated fund balances have exceeded expenditures to date by \$173.1 million. At the end of the first six months of the 2009-10 Fiscal Year, financial operations are consistent with the budget plan, and the university's financial condition remains excellent.

On behalf of the Finance Committee, Mr. Branscum recommended the acceptance of FCR 2. Mr. Stuckert seconded the motion, and it carried without dissent. (See FCR 2 at the end of the Minutes.)

Q. Construct Center for Applied Energy Research Laboratory Building #2 (FCR 3)

Mr. Branscum said that FCR 3 is a recommendation that the Board approve the initiation of the Construct Center for Applied Energy Research Laboratory Building #2 capital project. The project is to construct a new 36,000 square foot facility at the Spindletop Research site. This facility will house labs for the process development and prototype manufacturing and testing to support applied research on batteries, capacitors, solar energy materials, and biofuels. The Kentucky Biofuels Laboratory will also be located within this new facility.

The project is estimated to cost \$16.27 million of which \$11.8 million is funded from a federal stimulus funds grant, \$3.5 million from the Kentucky Energy and Environment Cabinet, and the remaining \$944,000 from the university's Office of the Vice President for Research.

Additionally, a \$3.5 million grant from the Energy and Environment Cabinet is being negotiated to allow expanded energy efficiency and renewable energy technology implementation within the new facility. If successful, this will bring the total scope of the project to \$19.77 million.

Normally, the capital approval process begins with the Board of Trustees and upon the Board's approval, the project is submitted to the Council on Postsecondary Education (CPE) and the Capital Projects and Bond Oversight Committee. However, due to the requirements of the federal grant regarding when the project is initiated and completed, and after consultation with Board Chair Mira Ball, President Todd submitted this project to CPE at its February 11, 2010 meeting. CPE approved the project contingent upon the Board's approval at this meeting. Assuming the Board approves the project, it will be submitted to the Capital Projects and Bond Oversight Committee on March 15. On behalf of the Finance Committee, Mr. Branscum recommended approval of FCR 3. Mr. Shoop seconded the motion, and it carried without dissent. (See FCR 3 at the end of the Minutes.)

R. Renovate 4th Floor Sanders-Brown Center (FCR 4)

Mr. Branscum said that FCR 4 recommends that the Board approve the initiation of the Renovate 4th Floor Sanders-Brown Center capital project. This project will renovate 9,000 square feet on the 4th floor of the Sanders-Brown Center on Aging. The project will include retrofitting outdated research support space and mechanical equipment to meet current regulatory requirements.

The project is estimated to cost \$6.4 million and will be fully funded with a grant from the National Institutes of Health through the American Recovery and Reinvestment Act federal stimulus package.

Contingent upon approval from the Board, the project will be submitted to CPE on April 23 and the Capital Projects and Bond Oversight Committee on May 18. On behalf of the Finance Committee, Mr. Branscum recommended approval of FCR 4. Dr. Brockman seconded the motion, and it carried without dissent. (See FCR 4 at the end of the Minutes.)

S. Kentucky Cabinet of Health and Family Services Lease (FCR 5)

Mr. Branscum said that FCR 5 authorizes the Executive Vice President for Finance and Administration or his designee to negotiate and execute a lease between UK and the Kentucky Cabinet of Health and Family Services for Lot 35 in the Coldstream Research Campus and to execute all appropriate final record plats as to the future dedication of roadways and rights of way to the Lexington-Fayette Urban County Government necessary to access the property.

As background information, the 2008 Kentucky General Assembly authorized \$129 million to construct a new Eastern State Hospital at the Coldstream Research Campus. As part of an agreement between the state, the Kentucky Community and Technical College System, the Lexington-Fayette Urban County Government and the University of Kentucky, new KCTCS buildings will be constructed at the current Eastern State Hospital site. While KCTCS will continue to have a presence at UK, the university and KCTCS will collaborate to designate and reallocate KCTCS buildings on the university's main campus.

On behalf of the Finance Committee, Mr. Branscum recommended approval of FCR 5. Ms. Patterson seconded the motion, and it carried without dissent. (See FCR 5 at the end of the Minutes.)

T. Renovate Parking Structure #3 – Hospital (FCR 6)

Mr. Branscum said that FCR 6 is for the project to renovate Parking Structure #3 which is the Kentucky Clinic parking structure located between Rose Street and South Limestone. The renovation will include repairing the concrete topping on levels 2 and 3; painting of pre-cast connections, and other repairs necessary to protect the structure from moisture penetration. The project is estimated to cost \$1 million and will be funded by UK HealthCare. On behalf of the Finance Committee, he recommended approval of FCR 6. Mr. Stuckert seconded the motion, and it carried without dissent. (See FCR 6 at the end of the Minutes.)

U. Capital Construction Report (FCR 7)

Mr. Branscum said that Mr. Wiseman gave a very detailed update on the capital construction report for the three months ending December 31, 2009. There were three new contracts; two contracts completed; one contract amended; and 33 change orders greater than \$25,000.

The three new contracts were:

- A \$40,000 contract with Denham Blythe to design the Renovate/Expand Center for Applied Energy Research – Phase II project;
- A \$407,000 contract with Meyer Midwest to construct the Children's Garden at the Arboretum project; and,
- A \$276,300 contract with TP Mechanical to renovate the Central Computing Facility project.

The two completed contracts were:

- the \$1 million contract with LAGCO, Inc., for the Replace Steam and Condensate Pipe – Farm Road project; and
- the \$1.89 million contract with Denham Blythe for the Convert Hunt Morgan Space to Class Lab project.

While the number of change orders appears large, over 20 of the 33 change orders are associated with the patient care facility and total less than \$1.5 million. When the project was initiated, the Board was advised that there would be many such change orders as the project progressed. The project is on schedule and on budget and contingencies as well. On behalf of the Finance Committee, Mr. Branscum recommended approval of FCR 7. Ms. Haney seconded the motion, and it carried without dissent. (See FCR 7 at the end of the Minutes.)

V. Patent Assignment Report (FCR 8)

Mr. Branscum said that Vice President for Research James Tracy gave the committee an exciting, amazing quarterly Patent Report about the research program. As of December 31, 2009, the university has filed 16 patent applications and had 12 patents issued. The university received \$603,077 of patent income. On behalf of the Finance Committee, Mr. Branscum recommended acceptance of FCR 8. Ms. Pease seconded the motion, and it carried without dissent. (See FCR 8 at the end of the Minutes.)

W. Investment Committee Report

Dr. Brockman, chair of the Investment Committee, reported that the Endowment had a net market value of \$768.8 million as of January 31, 2010. Consistent with the overall market decline, the Endowment lost 8.2 percent for the fiscal year ended June 30, 2008 and 20.9 percent for the fiscal year ended June 30, 2009. The Endowment has recovered some of the prior market losses in the current fiscal year, returning 13.6 percent for the seven-month period that ended January 31st.

He said that the Investment Committee met that morning to review performance results and hear an update on the implementation of the new target asset allocation approved in June 2008. The new target allocation, with a lower equity allocation and higher allocation to alternative investments, is expected to modestly increase returns; but more importantly, the new target allocation is expected to decrease the volatility of future returns.

For the year ended December 31, 2009, the Endowment pool returned 22.4 percent, compared to the policy benchmark return of 17.1 percent. The policy benchmark is a weighted average of various market index returns that are representative of the university's target asset allocation. The 2009 outperformance against the policy benchmark is due to an overweight to equities because the decision was made to delay full implementation of the new asset allocation so that the equity assets could benefit from the market rally and recover some of the prior losses. This decision proved to be beneficial. In June 2009, after three months of market recovery, the investment staff initiated a transition plan to implement the new absolute and real return strategies in four quarterly installments. The fourth and final quarterly installment will be completed this month. By gradually reducing the exposure to equities and funding the new absolute and real return strategies, the Endowment participated in the market recovery during the second half of calendar year 2009 and is positioned well for 2010, which looks to be a challenging market environment.

Prior to the Investment Committee meeting, the Office of the Treasurer provided an investment overview session for interested Board members on the university's endowment and overnight and short-term investment programs.

X. Student Affairs Committee Report

Ann Haney, chair of the Student Affairs Committee, reported that the committee met that morning and received an Overview of the Education Abroad Program presented by Dr. Susan Carvalho, associate provost for international affairs, and Dr. David Bettez, international affairs director. Dr. Carvalho presented the following information about the program:

- UK needs to be a global institution because it reaches out globally.
- A Focused Plan for internationalization was created four years ago, and one of the main goals of that plan is to have students be able to study abroad.
- Student Affairs is working with international affairs to remove barriers reported to be family, friend, finances, and fear.
- In the 2008-09 school year, UK had almost 600 students participate in Study Abroad program.
- It is hoped that the recently approved International Education fee that all students pay when they pay their tuition will help close the gap with the funding and the finance part by supplying students with small scholarships toward international study.
- There is a program called Revenue Neutral, which is devising programs with other universities in other countries whereby UK's students pay UK tuition to study at international universities and vice versa for their students as well. This makes it more affordable for students on both sides of the world to be able to study in other countries.
- Smith Hall is home to the Global Village Community in the Living Learning Communities. A domestic student can request an international student as a roommate and live there. This helps make the transition a little easier, and American students also have much to learn culturally. It is not just international students learning from us, it is us learning from them as well. Smith Hall has been a great success for UK students.

Ms. Haney said the committee had a lively question and answer session about the Study Abroad program. The goal of that office is to have every UK student who desires to study abroad at some point during their tenure on campus, to be able to study abroad. Studying abroad is becoming much more important in the world today.

Ms. Haney said that the committee received a report from Student Government President Ryan Smith. She commended the DanceBlue team for their record raising amount of approximately \$2 million in five years. She noted that much of those five years have been during tough economic times. This accomplishment is absolutely phenomenal.

For Board members who have not attended a DanceBlue event, Ms. Haney strongly urged them to go next year. It is an amazing experience. She said that she went at the beginning, and the energy level was high. She also went back about three hours before it concluded to see how the students were doing, and they absolutely exhausted her. There were

approximately 200 student organizations to take part in the event this year. That is almost half of the registered student organizations. It was a heartwarming, wonderful event.

Ms. Haney reported that the Student Government Association is also working on a scholarship drive. The goal is to raise \$250,000 for general student scholarships. She said that Ryan Smith had thanked UK officials for allowing the students to take part in the selection process for the new vice president of student affairs. Mr. Smith has also been working closely on the housing issue with local government. She asked Mr. Smith to give the Board an update on the housing issue.

Mr. Smith said that the student housing issue has become a point of contention over the past several months. The city is attempting to redefine the definition of family and communal living. The city is attempting to cap student housing around campus on State Street and University Street to no more than four students. He believes that this might displace a lot of students, but the Student Housing Task Force that the city developed made a recommendation that the university create an off campus housing office. He commended the university for doing that and for following the recommendation of the Student Housing Task Force in creating an off campus housing office.

Mr. Smith commended UK Police Chief Joe Monroe for organizing a forum for students to come and speak with him about any concerns they might have whether it be safety concerns or another subject. Chief Monroe has a great program called "Coffee with the Chief," and anytime any student has an issue or a problem or they just want to talk to him about something on campus, he schedules appointments with students. He thanked Chief Monroe for the openness and his willingness to work with students.

Mr. Smith informed the Board that the students held a rally for higher education in Frankfort this past month, and it was a great success. The students had meetings with Senator David Williams, Governor Steve Beshear, and Representative Carl Rollins, as well as various other legislators. The students voiced their concern and support for funding for secondary education.

Mr. Stuckert asked for clarification on Mr. Smith's previous comment about capping student housing to no more than four students.

Mr. Smith explained that it would be four per house. Currently, there are not any regulations on R1 and R2 zone properties, and the city is looking at capping unrelated individuals living together in a home. This would primarily affect students, particularly on State Street and University Street where there is 80 to 90 percent students living on those streets. If there are homes occupied by five, six, or seven students, it is going to be capped at four, and those students are going to be forced to find other living arrangements under the current proposal that has been passed. He noted that it is a proposal at this time, and there are many other meetings it has to go through.

Ms. Haney concluded her remarks by saying that the Student Government Association is looking at ways that student athletic tickets can be distributed, particularly for basketball.

Students are interested in having a tweaked or new procedure. She noted that this goes back to 1967 when she started at UK, and the students did not like the ticket distribution process at that time.

Y. University Health Care Committee Report

Ms. Young, chair of the University Health Care Committee, reported that the Health Care Committee met Monday afternoon. There were eight trustees and three community members present. The committee had one action item. UHCCR 1 is a recommendation that the Board approve a new appointment and reappointment of those listed in exhibit A to the University of Kentucky Center on Aging Foundation Board of Directors. The corporation is a not-for-profit support organization of the university, established to support the university's Center on Aging and related programs.

Mrs. Mollie Jameson, who is a community volunteer and Alzheimer's Disease advocate from Lexington, Kentucky, is recommended for appointment. Ms. Jameson graduated from Eastern Kentucky University in 1980. She is married to Tom Jameson. She has served on the Family Care Center Board, Lexington Ball Committee, International Museum of the Horse Board, Black Stallion Foundation and has been active in many community projects and fundraising activities.

Mr. Robert Quick, president and CEO of Commerce Lexington, Lexington, Kentucky is recommended for reappointment.

On behalf of the committee, Ms. Young moved approval of UHCCR 1. Mr. Gatton seconded her motion, and it carried without dissent. (See UHCCR 1 at the end of the Minutes.)

Mr. Young reported that Mr. Sergio Melgar gave the financial report for January. Volume for the system has weakened in the last couple of months, but there has continued to be an increase in discharges on a year-to-year basis of about 1.5 percent. Surgery volume continues very strong and growth year-to-year is just under 5 percent, which is great.

The patient scene continues to increase in acuity indicating UK HealthCare continues to become a more important referral center for the Commonwealth.

On the revenue side, the numbers continue very strong with January recording \$67 million. January revenue this year was \$8 million higher than last year.

Expenses have remained stable and consequently the strong revenue has translated to a year-to-year bottom line of approximately \$26 million, an increase of \$12 million over the prior year.

There was an investment loss for the month, but investment income for the year is now at \$20 million.

Ms. Young reported that the committee heard a report from Mr. Murray Clark about the building project. As Mr. Branscum reported previously, the project is on schedule and on budget.

Ms. Young said that a good portion of the meeting was devoted to Dean Jay Perman, who is leaving Kentucky and going to Maryland. Dr. Perman reported on the state of the College of Medicine and key achievements during his tenure. He reported improvements in the quality and number of applicants to the college, noting that applications had doubled to over 2,000 in each of the last four years for 115 available openings, while the MCAT score had risen to 31, an increase of 10 percent in four years and the highest level ever for UK. There are now over 3,100 UK College of Medicine graduates practicing in Kentucky. There has been a significant growth in research with grants this year, totaling almost \$100 million after seven months, while the past five years have averaged \$110 million for the entire year. NIH grants are up significantly from the same period two years ago nearly doubling.

Ms. Young said that the college will miss Dean Perman. He has really done a remarkable job, and the college has come a long way during his tenure. The good news is that he has left the college in very good shape. UK will be a very attractive position for recruitment.

Susan McDowell, the assistant dean of graduate medical education, presented a report card for the College of Medicine. Among other statistics, she reported that the class of 2008-09, which consisted of 149 students, had graduated, and 84.5 percent passed their medical board exam. Ms. McDowell said this was very respectable for any medical school. Of those who graduated, 51 percent stayed in Kentucky.

Ms. Young concluded her report by informing the Board that the College of Medicine will face its accreditation review at the end of the year.

Z. Other Business

Mr. Stuckert said that he would like to take a moment and comment about President Lee Todd. He thanked President Todd for his leadership of the University of Kentucky. He reflected on a comment that President Todd made to the search committee when he was hired as president: "I do not want to be a president of a university. I want to be the president of the University of Kentucky." President Todd sold everybody on the committee with that comment.

Mr. Stuckert said as a Board member and as someone who has known President Todd for 30 years, he thinks that President Todd has done an incredible job leading the university forward. President Todd is under unrelenting pressure from so many entities, such as students, parents, alumni, faculty, staff, legislators, and the Athletics Department. Mr. Stuckert noted that he has received an e-mail from President Todd as late as 10:40 p.m.

Mr. Stuckert said that President Todd is more than willing to spend time with students. He said that he brought an individual that he would really love to have matriculate in the university to meet with President Todd, and President Todd was more than glad to spend an hour with the individual.

Mr. Stuckert referred to the Great Teacher Awards mentioned in PR 1 and said that President Todd was a great teacher when he taught at UK and was a recipient of a Great Teacher Award. Kentucky Highlands that Dean Michael Speaks spoke about in his presentation is one of the entities that backed Lee Todd when he had Databeam Corporation 27 years ago.

President Todd has never given up on what he really believes in, and that is trying to get Kentucky to do and to become a more internally oriented job creation. President Todd has done it from day one and is still doing it. It is unrelenting pressure, and he just keeps going.

Mr. Stuckert recalled some comments made by the Council on Postsecondary Education President Bob King the previous evening. President King talked about how the legislators in Frankfort respect President Todd. Mr. King says that President Todd is well respected by his peers throughout the university land and is called numeral uno relative to his peer group.

Mr. Stuckert said that President Todd has represented the University of Kentucky extraordinarily well, and he is very pleased personally with everything that President Todd has done with his guidance and leadership. He said that UK is in wonderful hands, and he wanted to take a moment and thank President Todd. The Board gave Mr. Stuckert a round of applause for his comments about President Todd.

AA. Meeting Adjourned

With no further business, Ms. Ball adjourned the meeting at 2:20 p.m.

Respectfully submitted,

Pamela T. May
Secretary, Board of Trustees

(PR 2, 3, 4, 5, and 6; AACR 1, 2, and 3; FCR 1, 2, 3, 4, 5, 6, 7, and 8; and UHCCR 1 which follow are official parts of the Minutes of the meeting.)

PR 1

Office of the President
March 9, 2010

1. CAER Receives \$11.8 Million Grant for R&D Efforts at National Battery Center

The University of Kentucky Center for Applied Energy Research has been awarded an \$11.8 million grant from the National Institute of Standards and Technology (NIST) to expand laboratory facilities and intensify energy research efforts at the Kentucky-Argonne National Battery Manufacturing Research and Development Center. The grant provides funding for a new 36,000-square-foot building dedicated to research in the biomass and biofuels industries; advanced distributed power generation and storage; and technologies for electric vehicles. Accompanying the \$11.8 million, a \$3 million investment will be added from the Commonwealth of Kentucky. The facility will be constructed at the Spindletop Research site in Lexington. The funding was provided under the American Recovery and Reinvestment Act, the federal economic stimulus program.

2. UK Distributes Royalties from Outrider's Collaboration with 3M

Outrider Technologies, which develops plastic-based semiconductors for the electronics industry, has paid UK \$847,000 in royalties since 2007 from a license agreement for compounds developed by UK chemistry professor John Anthony. Outrider, co-founded by CEO John Beran and Anthony, now chief science officer, has licenses with global technology giant 3M and other companies. Anthony, considered a pioneer in plastic materials, also collaborates with 3M on sponsored research. In his academic research, Anthony uses organic semiconductors in energy efficient lighting, flexible solar cells, and flat-panel displays, and is part of the carbon materials group at the Center for Applied Energy Research. Outrider is located in the UK Advanced Science and Technology Commercialization Center campus incubator. At UK, inventors of patented technology that is licensed share royalties with their UK department and college.

3. UK Researcher Contributes to Developing a Quick Test to Assess Alzheimer's Patients

A UK researcher has helped to develop a quick test that could be used by doctors in the clinic to evaluate the treatment response of patients with advanced Alzheimer's disease. Frederick A. Schmitt, professor of neurology, psychiatry, behavioral science, and psychology in the UK College of Medicine and UK Sanders-Brown Center on Aging, along with Judith Saxton of the University of Pittsburgh and other colleagues, published their findings in the journal *Alzheimer Disease and Associated Disorders*. The researchers looked at memory and thinking scores from the Severe Impairment Battery (SIB), focusing on eight of the 51 SIB items. The eight items included were: recall the current month, name all 12 months of the year, repeat a sentence, write one's own name, name one's favorite foods (fluency), identify a spoon, demonstrate how to use a spoon, and repeat a sequence of numbers (digit span). The researchers

concluded that the SIB-8 is a quick, three-minute assessment for patients with severe Alzheimer's disease.

4. Nunn Center for Oral History Launches Iraq and Afghanistan War Veterans Project

The Louie B. Nunn Center for Oral History, under the direction of Doug Boyd, and alumnus Tyler Gayheart have announced the launch of an oral history project specifically documenting individual experiences during the Iraq and Afghanistan wars. The Iraq and Afghanistan Project will consist of personal interviews with veterans of Operation Iraqi Freedom and Operation Enduring Freedom in Afghanistan. Initial interviews will be conducted with veterans currently attending UK. Several in-depth audio and video interviews will focus on each veteran's military experience as well as transitions back into civilian life, particularly into higher education.

5. UK Companies Attract 52 Percent of \$47.5 Million in Venture Funding

Entrepreneurial companies in the Lexington area attracted \$47.5 million in venture funding in 2009, including angel and venture capital investments, according to an annual survey by the Lexington Venture Club. Of the 88 companies participating in the survey, 39 are affiliated with UK either as a startup based on UK technology or as a research-and-development collaboration with UK researchers. These UK affiliated companies received 52 percent of the venture funding, were responsible for 37 percent of the full time jobs, and paid higher salaries than the other new Lexington area companies – \$78,500 compared to \$64,750. UK President Lee T. Todd Jr. announced the survey results at the annual venture club event. The survey is coordinated by Commerce Lexington and the UK Office for Commercial and Economic Development's Von Allmen Center for Entrepreneurship.

6. Officials, Supporters 'Top-out' Marksbury Digital Village Building

On February 15, UK officials and supporters celebrated the placing of the final steel I-beam in the structural skeleton of the \$18.6 million Davis Marksbury Building, the latest building in UK's "digital village." Entrepreneur and UK College of Engineering alumnus Davis Marksbury was on hand with UK President Lee T. Todd, Jr., College of Engineering Dean Thomas W. Lester, and other dignitaries for the topping-out ceremony on the building, which is being constructed on Rose Street next to the James F. Hardyman Building, which also is part of the digital village. Marksbury was the lead donor for the three-story, 45,014-gross-square-foot building that will house the UK Center for Visualization and Virtual Environments, the Department of Electrical and Computer Engineering, and the Department of Computer Science. All are part of the UK College of Engineering. The building also will be UK's first to receive Leadership in Energy and Environmental Design (LEED) certification developed by the U.S. Green Building Council.

7. Six Are Named 'Great Teachers' by UK Alumni Association

Six UK professors have been recognized by the UK Alumni Association for their excellence in the classroom. The recipients of the 2010 Great Teacher Awards are Tracy A.

Campbell, History; Andrea L. Dennis, Law; Dr. David R. Gore, Dentistry; Giuseppe Labianca, Management; Graham D. Rowles, Gerontology; and J. Darlene Welsh, Nursing. Started in 1961, the Great Teacher Award is the oldest continuous award that recognizes teaching at UK. Nominations are made by students, with selection of award recipients by the UK Alumni Association Great Teacher Award Committee, in cooperation with the student organization Omicron Delta Kappa. Great Teacher Award recipients each receive a citation, an engraved plaque, and a cash award.

8. Lucille Little Library Offers Exhibit of Haitian Children's Art

The Lucille C. Little Fine Arts Library and Learning Center presented a special exhibit, "Art of the Children of Haiti," during the first three weeks of February. The timely exhibit, which came shortly after Haiti's devastating earthquake in January, contains pictures of the Good Shepherd Orphanage in Carrefour, Haiti; pictures of the children; and vibrant artwork from the kids. The exhibit was mounted by art education junior Lauren Buswell, who spent last spring break and her summer vacation working with the children of the Good Shepherd Orphanage. Buswell's original visit to the Haitian orphanage was part of a trip organized by the Wesley Foundation and civil engineering student and head resident of the Wesley Foundation, Chrispin Gabriel, a former resident of the orphanage until he came to UK in 2004.

9. Allylix Secures \$6 Million in National Venture Funding

Allylix, which licensed its original technology from the UK College of Agriculture, has raised \$6 million in the first closing of its Series C financing to fund commercialization of its first three products for the flavor and fragrance industry. The biotechnology firm's metabolic engineering platform enables low cost production of high value terpene products through yeast fermentation. In 2008, Allylix licensed its technology for use in specific biofuels products. The first product to be released in commercial quantities will be nootkatone, a long lasting citrus flavor and fragrance used in the food, beverage, and personal care industries. UK is part of the original investors in Allylix through Kentucky Technology Inc. in the Bluegrass Angel Venture Fund I. Individual Bluegrass Angels are also original 2004 investors and joined national investors in 2007 for a \$3.35 million B round of funding. Allylix has business offices in San Diego, California and research and development laboratories at UK's Coldstream Research Campus.

10. DanceBlue Marks Fifth Year as Fund-raising Event for Pediatric Cancer Patients

DanceBlue, a student-organized fundraising event that benefits pediatric oncology patients at the Kentucky Children's Hospital, celebrated a milestone last weekend: 2010 marks DanceBlue's fifth anniversary. DanceBlue 2010, a dance marathon, was held March 5 and 6 at Memorial Coliseum. At least 120 teams registered to participate, doubling the number of teams in the 2009 event.

11. Geological Survey Completes Study of Oil, Gas Potential on State Lands

Kentucky Geological Survey staff completed and presented to the Kentucky General Assembly the results of a study of the potential revenue Kentucky could realize from oil and natural gas resources on state-owned and university-owned land. Brandon Nuttall of the KGS Energy and Minerals Section led the study, with assistance from Tom Sparks and Sarah Briland. Their analysis of information provided by state agencies and universities showed that between \$6 million and \$15.4 million in additional revenue each year could potentially be derived from development of these resources.

12. Kentucky Children's Hospital Holds Event to Reduce Teen Suicides

Kentucky Children's Hospital's adolescent medicine program, Stop Youth Suicide, and Lexington high school students held the first annual "Spread the Love-a-thon" Sunday, February 14 at the Temple Adath Israel in Lexington. Leslie Robin, an adolescent medicine volunteer, helped develop the event in which people made pledges to sponsor an activity. Teens got into groups of 10 and sent out "Life Lines" to other teenagers. Each Life Line consisted of two genuine compliments and a brief message about youth suicide. Participants used their own cell phones and/or laptops to compete for the greatest number of Life Lines made in two hours. The teenagers got sponsors who pledged to give a certain amount per Life Line, and prizes were awarded for various accomplishments. Each day, on average, 12 adolescents commit suicide in the United States and every two hours and five minutes, a person under the age of 25 commits suicide.

13. Pharmacy Unit Creates Institute for Pharmaceutical Outcomes and Policy

The UK College of Pharmacy's Department of Pharmacy Practice and Science has created the Institute for Pharmaceutical Outcomes and Policy, which will focus on improving health-related medication outcomes of individuals and populations in Kentucky and beyond. Researchers at the institute will translate science into policy and outcomes through research, consultation, training, and community outreach targeting safe, efficient, and effective use of medications. The institute employs more than 40 professional staff, researchers, and students engaged in pharmaceutical outcomes and policy projects either grant-funded or contracted with Kentucky Medicaid, UK HealthCare, the Kentucky Cabinet for Health & Family Services, the Urban Institute, the Robert Wood Johnson Foundation, UK Human Resources, and the UK Center for Clinical and Translational Science. The institute's projects include the development of decision support technology, data warehousing, business analysis tools, policy analysis, and program evaluations.

14. Smoke-free Laws May Reduce Smoking Rates Among College Students

Comprehensive smoke-free laws in communities surrounding college campuses may reduce smoking rates among college students who live, work, and recreate there, particularly after the laws are well-established, according to a UK study published Jan. 29 in the *Journal of Community Health*. The study also examined the effect of smoke-free laws on alcohol use among college students and found no association between enacting smoke-free laws and alcohol

use among college students. The study examined whether strength and duration of municipal smoke-free laws are associated with cigarette and alcohol use among college students. Full-time undergraduates from two Southeastern universities participated in surveys assessing tobacco and alcohol use and other risk behaviors before and after the enactment of comprehensive municipal smoke-free laws. One university was located in a city with an established (3.5 years) comprehensive smoke-free law that included bars. The other was in a city with a less established (eight months) law.

15. Sustainable Manufacturing Institute Wins \$1.5 Million Research Grant

UK's sustainable manufacturing program has been awarded a three-year, \$1.5 million grant from the National Institute of Standards and Technology to develop a common standard to measure and evaluate the sustainability of manufacturing processes and products. The three-year grant to the College of Engineering's Institute for Sustainable Manufacturing will support the creation of a framework designed to help communicate a range of issues necessary to sustaining manufacturing enterprises. I. S. Jawahir, director of the Institute for Sustainable Manufacturing, noted that development of the measurement and evaluation standard will assist companies in reducing manufacturing costs, production time, material use, waste, and energy consumption.

16. Three Faculty Are Named Fulbright Scholars, Will Teach Overseas

Three UK faculty members have been named Fulbright Scholars for the 2009-2010 academic year: Claudia M. Hopenhayn, associate professor in the UK College of Public Health Department of Epidemiology; Randall Roorda, associate professor in the UK College of Arts and Sciences Department of English and former director of the UK Writing Program; William Joseph Silvia, professor in the UK College of Agriculture Department of Animal and Food Sciences. The Fulbright Scholar Program is the flagship international exchange program of the United States and provides grants which allow distinguished academics to spend extended periods of time studying and teaching at foreign universities. The Fulbright Program is sponsored by the U.S. Department of State Bureau of Educational and Cultural Affairs. More than 50,000 academics and professionals have earned Fulbright grants since the program's 1947 inception.

17. Nursing Study Indicates High Number of Northern Kentucky Homes Have Radon

A recent UK study of several Northern Kentucky homes revealed more than one third of them were at or above federal limits for radon, an odorless, colorless radioactive soil gas that is a known health hazard. Five residents whose homes had the highest levels of radon were given free radon mitigation systems. The "Test and Win Research Study" was sponsored by the Northern Kentucky office of the Clean Indoor Air Partnership at the UK College of Nursing. A total of 193 Boone, Kenton, and Campbell County residents enrolled in the study. Eligible residents received a short-term radon test kit to place in their homes and return to be analyzed. Seventy-one percent of the test kits were returned showing radon levels ranging from 0.5 to 49.3 picoCuries per liter (pCi/L). The Environmental Protection Agency recommends mitigation procedures for homes at or above 4 pCi/L, the equivalent of the radiation from 200 unprotected chest x-rays a year. Thirty-seven percent of the homes tested at or above the EPA action level.

18. University Press of Kentucky Author Wins South Carolina Historical Society Award

University Press of Kentucky author Janet G. Hudson was recently selected as the recipient of the 2009 George C. Rogers, Jr. Award for her book *Entangled by White Supremacy: Reform in World War I-era South Carolina*. The George C. Rogers, Jr. Award is presented annually by the South Carolina Historical Society (SCHS) to the author of the best book concerning South Carolina history published during the previous calendar year. In her book, Hudson explores a period in which racial issues were often overlooked, examining the effects of white supremacy on racial, educational, and economic reform in a state with a significant African-American population. The SCHS was established in 1855 with the purpose of collecting historical documents and artifacts to preserve the state's history in an accessible environment. The society is a private nonprofit organization.

19. 'Career Speed-Dating' Offers Medical Students a Chance to Reflect on Specialties

A lot can happen in 10 minutes. For UK College of Medicine students, 10 minutes may have impacted their entire future as they "career speed-dated" with alumni February 6 at the UK Hilary J. Boone Center. Career speed-dating is a new concept that is making its way around college campuses, prompting the UK College of Medicine Alumni Association to host "Career Chats with Alumni." The program gives students a greater understanding of specialties in the medical field and the lifestyles associated with each. Students were given 10 minutes at each table, specified by the specialty of the alumni. After the 10-minute mark, students had six opportunities to stay and chat or leave and talk to other alumni with different specialties.

20. Student Awards and Achievements

Maria Almario, a doctoral student in Educational, School, and Counseling Psychology, will receive the Sexual Assault Awareness Award from the Kentucky Association of Sexual Assault Programs for her work in promoting social justice.

Stephanie Straub, English and Philosophy, presented the 16th annual Edward T. Breathitt Undergraduate Lectureship in the Humanities January 21 in the William T. Young Library Auditorium. Straub's lecture, which focused on the topic of personal identity examined in Ingmar Bergman's "Persona" and Jean-Paul Sartre's "Being and Nothingness," was titled "The Self and Other: Meaning, Identity, and Communication in Ingmar Bergman's 'Persona.'" In recognition of her selection to deliver the Breathitt Lectureship, Straub also received a commemorative award and a \$500 honorarium.

21. Faculty and Staff Awards and Achievements

Jayakrishna Ambati, Ophthalmology and Visual Sciences, has been selected the 2010 winner of the Roger Johnson M.D. Memorial Award in Macular Degeneration Research. The award recognizes outstanding contribution to the understanding of the pathogenesis and treatment of age-related macular degeneration by a clinician or basic science researcher working anywhere in the world.

Susan Carvalho, Hispanic Studies and International Programs, has been elected honorary president of Sigma Delta Pi, the national collegiate Hispanic studies honor society. Carvalho is being recognized for her outstanding advocacy of Sigma Delta Pi and ongoing accomplishments in academic leadership.

Nancy Cox, Kentucky Agricultural Experiment Station; Gregg Rentfrow and Melissa Newman, Animal and Food Sciences; Timothy Woods and Wuyang Hu, Agricultural Economics, received a \$359,000 one-year grant from the Kentucky Agricultural Development Board for "Food Product Research and Development for Kentucky's Small Food Processors."

Sylvia Daunert, Chemistry, had research on glowing bacteria featured in the February 2010 issue of *Popular Science Magazine*. The bacteria light in fiber-optic cable detects bacteria coming in contact with a toxin, a novel development that could lead to cleaner and safer water in our state's water supply.

Elizabeth Easter, Merchandising, Apparel, and Textiles, received the Patricia Brantley Todd Award of Excellence in Human Environmental Sciences.

Melissa Goodman, Hickman County family and consumer sciences Extension agent, received the Patricia Brantley Todd Award of Excellence in Human Environmental Sciences.

Tom Guskey, Education, received the Contribution to the Field Award from the National Staff Development Council (NSDC). The NSDC was cited as having improved the effectiveness of professional development leaders across the world by bridging the gap between education research and practice.

Jason Hans, Family Studies, received the 2009 New Teacher Award for Excellence in College and University Teaching in the Food and Agricultural Sciences from the U.S. Department of Agriculture and the Association of Public and Land-grant Universities.

Clyde W. Holsapple, Management Information Systems, will be inducted as a Fellow of the Decision Sciences Institute (DSI) at the organization's 2010 Annual Meeting in San Diego later this year. Holsapple becomes only the 109th DSI Fellow in the organization's more than 40-year history, joining notable scholars from such prestigious business schools as Wharton, Harvard, Yale, Stanford, Duke, North Carolina, Texas, Michigan, Arizona, Minnesota, Wisconsin, UCLA, and the London Business School.

Philip Landfield, Molecular and Biomedical Pharmacology, has received an American Association for the Advancement of Science (AAAS) Fellows Award. Fewer than 20 individuals in the Commonwealth of Kentucky have received this award. The AAAS is an international nonprofit organization dedicated to advancing science around the world. The election as a fellow of AAAS recognizes meritorious efforts to advance science or its applications.

Jingshan Li, Electrical and Computer Engineering, has received a five-year \$400,000 National Science Foundation Early Career Development (CAREER) grant for his proposal to study robust manufacturing systems that can withstand variations in machines, human factors, and products.

Suzanne Prevost, Nursing, has been named president-elect of Sigma Theta Tau, the International Honor Society of Nursing. Prevost will serve as president-elect during 2010 and 2011, then become president for 2012 and 2013.

Helen Pushkarskaya, Agricultural Economics, was been selected to receive the 2010 Outstanding Teaching of a Course Award from the Southern Agriculture Economic Association.

Lisa Ruble, Education, received a two-year, \$998,940 grant from the National Institute of Mental Health as part of the American Recovery and Reinvestment Act to examine three types of

professional development models of training and compare their effects on child and teacher outcomes in the education of children with Autism Spectrum Disorder.

Michael Speaks, Design, was selected as one of seven judges for the Taipei Pop Music Center International Competition. The design competition was looking for a custom design for a large pop music performing center in Taipei with outdoor performing plaza. Total construction budget for the design is \$106 million. Reiser + Umemoto RUR Architecture PC from the United States was announced as winner of the competition January 29.

Tammy Stephenson, Nutrition and Food Science, received the Patricia Brantley Todd Award of Excellence in Human Environmental Sciences.

Gerald Supinski, Internal Medicine, received a two-year, \$480,000 per year National Institutes of Health Challenge Grant to study respiratory weakness as a factor in the morbidity of intensive-care patients. He will soon be testing new treatments that could improve long-term patient outcomes while reducing costs of care.

Kathy Swan and Gerry Swan, Education, along with William and Mary colleague Mark Hofer, received the National Technology Leadership Initiative Award in Social Studies from the College and University Faculty Assembly. The award is given to one paper each year, and this is the fourth time in the last six years that she has been involved in a paper winning the award.

Robbie Turner, UK Police sergeant, and his black Labrador retriever, Becka, were among the best K-9 teams in the country chosen by the Bureau of Alcohol, Tobacco, Firearms, and Explosives to work in explosives detection at Super Bowl XLIV in Miami, Fla.

Thomas Widiger, Psychology, has been named the winner of the 2009 Distinguished Scientist Award by the Society for a Science of Clinical Psychology (SSCP). The SSCP Distinguished Scientist Award recognizes individuals who made important career contributions to the science of clinical psychology.

Timothy Woods and Ani Katchova, Agricultural Economics, received a \$62,654 grant from the U.S. Department of Agriculture Rural Development Cooperative Agreement for a project titled "Local Sourcing as a Differentiation and Recruitment Strategy for Food Consumer Cooperatives."

PR 2

Office of the President
March 9, 2010

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions, and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the university. These recommendations are transmitted to the Board by the appropriate provost/executive vice president through the president and have the president's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the president or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: Approved Disapproved Other _____

PRESIDENT
AND
EXECUTIVE VICE PRESIDENT
FOR
FINANCE AND ADMINISTRATION

I. BOARD ACTION

A. RETIREMENTS

Assistant Vice President for Public Safety

Dunn, Jerry A., Parking Control Attendant, Parking & Transportation Services, after 24 years and 6 months of consecutive service under AR 3:1 Section VI.B, effective 1/4/10.

Associate Vice President for Human Resources

Austin, Janice O., Social Worker Senior, Elder Care, after 5 years and 11 months of consecutive service under AR 3:1 Section VI.B, effective 1/31/10.

Athletics

Brooks, Richard L., Head Football Coach, UK Athletics, after 7 years of consecutive service under AR 3:1 Section VI.B, effective 1/31/10.

Executive Vice President for Finance and Administration

Hopper, Jerry R., Maintenance Tech. II, Human Resources, after 19 years and 2 months of consecutive service under AR 3:1 Section VI.B, effective 3/31/10.

Roe, Samuel, Painter II, Human Resources, after 11 years and 2 months of consecutive service under AR 3:1 Section VI.B, effective 4/30/10.

Vice President for Facilities Management

Love, Prince E., Building Operator Supervisor, Physical Plant Department, after 40 years and 10 months of consecutive service under AR 3:1 Section VI.B, effective 1/8/10.

B. EARLY RETIREMENTS

Executive Vice President for Finance and Administration

Beck, Joseph O, Jr., Custodial Worker I., Human Resources, after 35 years and 6 months of consecutive service under AR 3:1 Section VI.A, effective 1/1/10.

Jones, William, Cement Mason II, Human Resources, after 30 years and 7 months of consecutive service under AR 3:1 Section VI.A, effective 1/1/10.

Office of Legal Counsel

Mobley, Andrea Kay, Administrative Coordinator II, Office of Legal Counsel, after 16 years and 4 months of consecutive service under AR 3:1 Section VI.A, effective 1/1/10.

Vice President for Commercialization and Development

Carpenter, Tina R., Executive Director/Coldstream Research Campus, after 25 years and 3 months of consecutive service under AR 3:1 Section VI.A, effective 2/28/10.

Vice President for Development

Demoran, Elizabeth H., Alumni Affairs Director Associate, University Relations, after 37 years of consecutive service under AR 3:1 Section VI.A, effective 2/15/10.

Vice President for Facilities Management

Jacobs, Beverly J., Custodial Worker II, UK Housing and Dining, after 41 years and 5 months of consecutive service under AR 3:1 Section VI.A, effective 2/1/10.

Powell, Charles F., Plumber I, Physical Plant/Plumbing Services, after 31 years and 4 months of consecutive service under AR 3:1 Section VI.A, effective 1/30/10.

Vice President for Financial Operations and Treasurer

Rosser, Trudy E., Administrative Support Associate II, University Budget Office, after 19 years and 4 months of consecutive service under AR 3:1 Section VI.A, effective 3/31/10.

II. ADMINISTRATIVE ACTION

Director of Purchasing

Finn, Denise, Purchasing Director Associate, Purchasing Division, effective 12/7/09.

PROVOST

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

- Abada, Hicham T., College of Medicine, Clinical Associate Professor, Radiology, 10/2/09 through 6/30/10.
- Franklin, Gregory C., College of Arts and Sciences, Professor (without tenure), Aerospace Studies, 7/1/10 through 6/30/13.
- Gerkin, Susan R., College of Medicine, Clinical Assistant Professor, Internal Medicine, 12/31/09 through 6/30/10.
- Haskell, Bruce S., College of Dentistry, Professor (part-time), Oral Health Science, 1/13/10 through 6/30/10.
- Hewett, Timothy E., College of Health Sciences, Adjunct Professor, Rehabilitation Sciences, 1/1/10 through 6/30/10.
- Holt, Elizabeth G., College of Medicine, Clinical Assistant Professor, Internal Medicine, 1/15/10 through 6/30/10.
- Hundley, Jonathan C., College of Medicine, Assistant Professor*, Surgery, 12/1/09 through 6/30/10.
- Kitzman, Patrick H., College of Health Sciences, Associate Professor (with tenure), Rehabilitation Sciences, appointed to the Richard McDougall Alumni Professorship, 1/1/10 through 12/31/14.
- Pfeifle, Andrea L., College of Medicine, Assistant Professor*, Family and Community Medicine, 1/1/10 through 6/30/10.
- Spina, Marcelo, College of Design, Professor (visiting), Architecture, and appointed to the Sutherland Professorship of Landscape Design, 1/1/10 through 6/30/10.
- Stocker, Sean D., College of Medicine, Assistant Adjunct Professor, Physiology, 10/1/09 through 6/30/10.
- Van Eldik, Linda J., College of Medicine, Professor (with tenure), Anatomy and Neurobiology, appointed to the Dr. E. Vernon Smith and Eloise C. Smith Alzheimer's Research Chair Fund, 2/1/10 through 6/30/15.
- Venkatesh, Ramakrishna, College of Medicine, Associate Professor* (without tenure), Surgery, appointed to the Professorship of Urologic Surgical Research, 7/1/09 through 6/30/14.
- Walker, Frank X., College of Arts and Sciences, Associate Professor (temporary), English, 1/1/10 through 6/30/10.

B. ADMINISTRATIVE APPOINTMENTS

- Bachner, Paul, College of Medicine, Professor* (with tenure), Pathology and Laboratory Medicine, reappointed Chair, Pathology and Laboratory Medicine, 12/1/09 through 11/30/15.
- Franklin, Gregory C., College of Arts and Sciences, Professor (without tenure), Aerospace Studies, appointed Chair, Aerospace Studies, 7/1/10 through 6/30/13.

*Special Title Series

Landfield, Philip W., College of Medicine, Professor (with tenure), Molecular and Biomedical Pharmacology, reappointed Chair, Molecular and Biomedical Pharmacology, 3/1/10 through 2/28/16.

Van Eldik, Linda J., College of Medicine, Professor (with tenure), Anatomy and Neurobiology, appointed Director, Sanders-Brown Center on Aging, 2/1/10 through 1/31/16.

C. JOINT APPOINTMENTS

Butler, John S., Graduate School, Professor (with tenure), Martin School of Public Policy and Administration, joint appointment as Professor (without tenure), Economics, College of Business and Economics, effective 10/1/09.

Costich, Julia F., College of Public Health, Associate Professor* (with tenure), Health Services Management, joint appointment as Associate Professor* (without tenure), Family and Community Medicine, College of Medicine, effective 1/1/10.

deBeer, Frederick C., College of Medicine, Professor (with tenure), Internal Medicine, and joint appointment as Professor (without tenure), Microbiology, Immunology and Molecular Genetics, new joint appointment as Professor (without tenure), Graduate Center for Nutritional Sciences, effective 7/1/07.

Hartsfield, James K., College of Dentistry, Professor (with tenure), Oral Health Science, joint appointment as Professor (without tenure), Microbiology, Immunology and Molecular Genetics, College of Medicine, effective 1/1/10.

Luo, Jia, College of Medicine, Professor (with tenure), Internal Medicine, joint appointment as Professor (without tenure), Graduate Center for Nutritional Sciences, effective 2/1/10.

Riggle, Ellen, College of Arts and Sciences, Professor (with tenure), Political Science, joint appointment as Professor (without tenure), Gender and Women's Studies, effective 1/1/10.

Smith, Charles D., College of Medicine, Professor (with tenure), Neurology, and joint appointment as Professor (without tenure), Anatomy and Neurobiology, new joint appointment as Professor (without tenure), Radiology, effective 12/21/09.

D. PROMOTIONS

Arsenescu, Razvan I., College of Medicine, from Assistant Professor, Internal Medicine, to Associate Professor* (without tenure), Internal Medicine, 10/1/09 through 9/30/10.

Stephenson, Joel C., College of Medicine, from Assistant Professor (voluntary), Internal Medicine, to Associate Professor (voluntary), Internal Medicine, 1/1/10 through 6/30/11.

E. CHANGES

Abdel-Latif, Ahmed, College of Medicine, Assistant Professor*, Internal Medicine, from 10/1/09 through 6/30/10, to 12/4/09 through 6/30/10.

Case, Elizabeth A., College of Medicine, Clinical Assistant Professor, Obstetrics and Gynecology, from 10/1/09 through 6/30/10, to 12/21/09 through 6/30/10.

*Special Title Series

Florea, Shannon C., College of Medicine, Clinical Assistant Professor, Internal Medicine, from 7/18/09 through 6/30/10, to 8/1/09 through 6/30/10..

Maguire, Grace F., College of Medicine, from Professor (part-time), Pediatrics, to Clinical Professor, Pediatrics, 7/1/09 through 6/30/10.

Prybil, Lawrence D., College of Public Health, from Professor (visiting), Health Services Management, to Professor (part-time), Health Services Management, 4/1/10 through 6/30/10.

F. RETIREMENTS

Cole, Cynthia M., College of Medicine, Associate Professor* (with tenure), Behavioral Science, and joint appointment as Associate Professor* (without tenure), College of Social Work, after 17 years and 4 months of consecutive service, under AR 3:1, Section VI.B, effective 6/30/10.

Garrity, Thomas F., College of Medicine, Professor (with tenure), Behavioral Science, after 39 years and 11 months of consecutive service, under AR 3:1, Section VI.B, effective 6/30/10.

Hong, Chuen B., College of Agriculture, Professor* (with tenure), Veterinary Science, after 30 years and 2 months of consecutive service, under AR 3:1, Section VI.B, effective 3/1/10.

Lesnaw, Judith, College of Arts and Sciences, Professor (with tenure), Biology, after 35 years and 11 months of consecutive service, under AR 3:1, Section VI.B, effective 6/30/10.

Olson, Robert, College of Arts and Sciences, Professor (with tenure), History, after 36 years and 10 months of consecutive service, under AR 3:1, Section VI.B, effective 6/30/10.

Whitehouse, Wanda J., College of Medicine, Administrative Services Assistant, Medical Information, after 23 years and 1 month of consecutive service, under AR 3:1, Section VI.B, effective 1/2/10.

G. EARLY RETIREMENTS

Buckingham, David T., College of Agriculture, Agricultural Regulatory Specialist Senior, Regulatory Services, after 34 years and 11 months of consecutive service, under AR 3:1, Section VI.A, effective 4/30/10.

Charles, Lynda M., College of Public Health, Program Coordinator I, Southeast Center for Agricultural Health and Injury Prevention, after 23 years and 8 months of consecutive service, under AR 3:1, Section VI.A, effective 2/28/10.

Creech, Vicki S., Medicine, Radiologist Technician Master Clinical, Obstetrics and Gynecology, after 19 years and 4 months of consecutive service, and a total of 33 years and 3 months of service, effective 12/16/09.

Dunn, Julie, College of Law, Alumni Program Assistant, after 21 years and 4 months of consecutive service, under AR 3:1, Section VI.A, effective 1/15/10.

Harrison, Ron N., Research, Disability Program Director, Interdisciplinary Human Development Institute, after 18 years and 9 months of consecutive service, under AR 3:1, Section VI.A, effective 1/4/10.

*Special Title Series

Lee, H. C. Angela, Enrollment Management, Administrative Systems Group Team Member Level 1, Registrar's Office, after 23 years and 2 months of consecutive service, under AR 3:1, Section VI.A, effective 1/14/10.

Parker, Dawn Gaye, Libraries, Library Technician, after 27 years and 1 month of consecutive service, under AR 3:1, Section VI.A, effective 12/15/09.

Sims, Carolyn C., College of Nursing, Administrative Staff Officer II, after 18 years and 10 months of consecutive service, under AR 3:1, Section VI.A, effective 12/31/09.

H. POST-RETIREMENT APPOINTMENTS

Allen, David M., College of Arts and Sciences, Professor (part-time), Statistics, 1/1/10 through 5/15/10.

Campbell, William R., College of Arts and Sciences, Associate Professor (part-time), English, 1/1/10 through 5/15/10.

Costin, Rick, College of Agriculture, Kentucky Farm Business Management Specialist (part-time), Agricultural Economics, 1/1/10 through 12/31/10.

Hong, Chuen B., College of Agriculture, Professor (part-time), Veterinary Science, 3/5/10 through 3/4/11.

Howard, Henry, College of Arts and Sciences, Professor (part-time), Mathematics, 1/1/10 through 5/15/10.

Jones, Larry D., College of Agriculture, Professor (part-time), Agricultural Economics, 10/1/10 through 8/31/11.

Stinnett, Nancy F., College of Medicine, Administrative Support Associate I (part-time), Internal Medicine, 2/7/10 through 2/6/11.

Sullivan, Nathan R., College of Social Work, Associate Professor (part-time), 1/1/10 through 6/30/10.

Wells, James, College of Arts and Sciences, Professor (part-time), Mathematics, 1/1/10 through 5/15/10.

Wiehe, Vernon R., College of Social Work, Professor (part-time), 1/1/10 through 6/30/10.

Wilson, Joseph W., College of Arts and Sciences, Professor (part-time), Chemistry, 1/1/10 through 5/15/10.

Zornes, Suellen, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 1/7/10 through 1/6/11.

I. PHASED RETIREMENT APPOINTMENTS

Carpenter, Clyde R., College of Design, Professor (with tenure), Architecture, 7/1/10 through 6/30/15.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Aalboe, Joanna A., College of Dentistry, Assistant Research Professor, Oral Health Science, 1/4/10 through 6/30/10.

- Abbas, Haider, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 12/1/09 through 6/30/11.
- Adams, Charles D., College of Social Work, Instructor (part-time), 1/1/10 through 6/30/10.
- Al Kassar, Ghiath, College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 12/1/09 through 6/30/11.
- Ali, Kamilah S., College of Medicine, Assistant Research Professor, Graduate Center for Nutritional Sciences, 12/7/09 through 6/30/10.
- Anthony, Evelyn Y., College of Medicine, Assistant Professor (visiting), Radiology, 2/12/10 through 6/30/10.
- Arndorfer, Laura A., College of Medicine, Assistant Professor (voluntary), Psychiatry, 12/1/09 through 6/30/11.
- Atkins, Lori L., College of Medicine, Assistant Professor (visiting), Radiology, 2/12/10 through 6/30/10.
- Bates, Joseph H., College of Public Health, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 2/1/10 through 6/30/11.
- Breheny, Patrick J., College of Public Health, Assistant Professor, Biostatistics, and joint appointment as Assistant Professor, Statistics, College of Arts and Sciences, 8/1/09 through 6/30/10.
- Buck, Kevin E., College of Medicine, Assistant Professor (voluntary), Pediatrics, 10/1/09 through 6/30/11.
- Burton, Steven B., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 1/1/10 through 6/30/11.
- Caton, Erica, College of Social Work, Adjunct Instructor, 1/1/10 through 6/30/10.
- Caudill, Tamara B., College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 1/1/10 through 5/15/10.
- Caywood, Elizabeth, College of Social Work, Instructor (part-time), 1/1/10 through 6/30/10.
- Chesnut, Lorie W., College of Public Health, Instructor (part-time), Epidemiology, 8/15/09 through 6/30/10.
- Clark, Michael W., Graduate School, Instructor (part-time), Martin School of Public Policy and Administration, 8/15/09 through 6/30/10.
- Coffman, Wesley B., College of Dentistry, Assistant Professor (voluntary), Oral Health Practice, 1/7/10 through 6/30/11.
- Couti, Jacqueline, College of Arts and Sciences, Assistant Professor, Modern and Classical Languages, Literatures, and Cultures, 8/16/10 through 6/30/12.
- Crabtree, Shawn W., College of Public Health, Assistant Professor (voluntary), Health Services Management, 1/1/10 through 6/30/11.
- Dahms, Elizabeth A., College of Arts and Sciences, Instructor (part-time), Gender and Women's Studies, 1/1/10 through 5/15/10.
- Damron, Mark, College of Dentistry, Instructor (voluntary), Oral Health Science, 1/1/10 through 6/30/11.
- Davin, Diana J., College of Social Work, Instructor (part-time), 1/1/10 through 6/30/10.
- Dikici, Emre, College of Arts and Sciences, Instructor (part-time), Chemistry, 1/1/10 through 5/15/10.
- Doss, Janet W., College of Social Work, Instructor (part-time), 1/1/10 through 6/30/10.

- Elswick-Haney, Tiffany S., College of Social Work, Instructor (part-time), 1/1/10 through 6/30/10.
- Erena, Gregory R., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 12/18/09 through 6/30/10.
- Fallin, Amanda, College of Nursing, Instructor (part-time), 1/13/10 through 6/30/10.
- Froehling, Oliver R., College of Arts and Sciences, Assistant Adjunct Professor, Geography, 8/16/09 through 6/30/10.
- Galloway, Amy, College of Education, Instructor (part-time), Educational Leadership Studies, 8/16/09 through 12/31/09.
- Ganahl, Brigitte, College of Nursing, Instructor (part-time), 1/13/10 through 6/30/10.
- Goggans, Phillip N., College of Arts and Sciences, Instructor (part-time), Philosophy, 1/1/10 through 5/15/10.
- Goins, Melinda, College of Health Sciences, Assistant Professor (voluntary), Rehabilitation Sciences, 1/1/10 through 6/30/11.
- Goldstein, Stephen L., College of Health Sciences, Assistant Professor (voluntary), Rehabilitation Sciences, 12/1/09 through 6/30/11.
- Graddy, Tevis G., College of Arts and Sciences, Instructor (part-time), Geography, 1/1/10 through 5/15/10.
- Grossardt, Theodore, College of Arts and Sciences, Assistant Adjunct Professor, Geography, 8/16/09 through 6/30/10.
- Gyves-Ray, Katherine M., College of Medicine, Assistant Professor (visiting), Radiology, 2/12/10 through 6/30/10.
- Halabi, Safwan S., College of Medicine, Assistant Professor (visiting), Radiology, 2/12/10 through 6/30/10.
- Hall, Alice R., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 12/1/09 through 6/30/11.
- Hall, Holly M., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 12/1/09 through 6/30/11.
- Hall, Sheila, College of Health Sciences, Assistant Professor (voluntary), Rehabilitation Sciences, 8/1/09 through 6/30/10.
- Halsted, Mark J., College of Medicine, Assistant Professor (visiting), Radiology, 3/12/10 through 6/30/10.
- Hamby, Brandy L., College of Social Work, Instructor (part-time), 1/1/10 through 6/30/10.
- Harrison, Anil, College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 11/1/09 through 6/30/11.
- Henninger, J. Thomas, College of Engineering, Lecturer, Mechanical Engineering, 2/1/10 through 6/30/10.
- Hughes, Juanita F., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 11/1/09 through 6/30/11.
- Hundley, Jennifer L., College of Medicine, Assistant Professor (part-time), Surgery, 1/1/10 through 6/30/10.
- Iqbal, Vaseem, College of Medicine, Assistant Professor (visiting), Radiology, 3/12/10 through 6/30/10.
- Jackson, Christa D., College of Education, Assistant Professor, Curriculum and Instruction, 8/16/10 through 5/15/11.

- Johnson, Nancy E., College of Public Health, Assistant Professor (part-time), Preventive Medicine and Environmental Health, 1/1/10 through 9/30/10.
- Jones, Blake L., College of Social Work, Adjunct Instructor, 1/1/10 through 6/30/10.
- Katz, Michael E., College of Medicine, Assistant Professor (visiting), Radiology, 3/12/10 through 6/30/10.
- Lenz, Holger S., College of Arts and Sciences, Instructor (temporary), Modern and Classical Languages, Literatures, and Cultures, 1/1/10 through 6/30/10.
- Levin, Terry L., College of Medicine, Assistant Professor (visiting), Radiology, 3/12/10 through 6/30/10.
- Little, Christopher, College of Fine Arts, Instructor (part-time), Music, 1/1/10 through 6/30/10.
- Liu, Yuan, College of Public Health, Assistant Professor (voluntary), Health Services Management, 1/1/10 through 6/30/11.
- Long, James H., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 12/1/09 through 6/30/11.
- Lowery, Curtis L., College of Public Health, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 3/1/10 through 6/30/11.
- Lu, Hong, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 1/1/10 through 6/30/11.
- Lucas, Timothy K., College of Design, Instructor (part-time), Architecture, 1/1/10 through 6/30/10.
- Lyon, Christopher M., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 12/1/09 through 6/30/11.
- Lyons, Karen S., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 12/1/09 through 6/30/11.
- Mahboob, Neeraj, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 2/1/10 through 6/30/11.
- Mao, Catherine D., College of Health Sciences, Assistant Research Professor, Clinical Sciences, 10/1/09 through 6/30/10.
- Marshall, Kelley W., College of Medicine, Assistant Professor (visiting), Radiology, 3/12/10 through 6/30/10.
- Martin, Sandra S., College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 1/1/10 through 5/15/10.
- McQueary, Susanna M., College of Social Work, Instructor (part-time), 1/1/10 through 6/30/10.
- Meyers, Maureen E., College of Arts and Sciences, Instructor (part-time), Anthropology, 1/1/10 through 5/15/10.
- Moore, Dixie, College of Arts and Sciences, Assistant Adjunct Professor, Psychology, 1/1/10 through 12/31/11.
- Moreman, John A., College of Arts and Sciences, Instructor (part-time), English, 2/1/10 through 5/15/10.
- Murphy, Daniel J., College of Arts and Sciences, Instructor (part-time), Anthropology, 1/1/10 through 5/15/10.
- Nugent, Richard R., College of Public Health, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 2/1/10 through 6/30/11.

- Oliver, Steven, College of Education, Adjunct Instructor, Educational Policy Studies and Evaluation, 1/1/10 through 12/31/13.
- Ozel, Ayca D., College of Arts and Sciences, Instructor (part-time), Chemistry, 1/1/10 through 5/15/10.
- Parks, John F., College of Social Work, Instructor (part-time), 1/1/10 through 6/30/10.
- Peterson, Marilyn, College of Nursing, Instructor (part-time), 1/13/10 through 6/30/10.
- Pezzi, James S., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 12/1/09 through 6/30/11.
- Powers, Brian S., College of Arts and Sciences, Instructor (part-time), Political Science, 1/1/10 through 6/30/10.
- Preston, Nancy C., College of Social Work, Instructor (part-time), 1/1/10 through 6/30/10.
- Ray, Robin, College of Nursing, Instructor (part-time), 1/13/10 through 6/30/10.
- Richardson, Jayson W., College of Education, Assistant Professor, Educational Leadership Studies, 8/16/10 through 5/15/11.
- Rossmann, Michelle D., College of Medicine, Assistant Professor (visiting), Radiology, 2/12/10 through 6/30/10.
- Royal, Kenneth, College of Education, Instructor (part-time), Educational Leadership Studies, 1/1/10 through 5/15/10.
- Saltiel, Armando A., College of Medicine, Assistant Professor (visiting), Radiology, 11/13/09 through 6/30/10.
- Saunders, Carolyn S., College of Social Work, Adjunct Instructor, 1/1/10 through 6/30/10.
- Setters, Melissa, College of Nursing, Instructor (part-time), 1/17/10 through 6/30/10.
- Sokoloff, Ronald M., College of Medicine, Assistant Professor (visiting), Radiology, 11/13/09 through 6/30/10.
- Stewart, William S., College of Social Work, Instructor (part-time), 1/1/10 through 6/30/10.
- Sunderam, Sridhar, Graduate School, Assistant Professor, Biomedical Engineering, 11/16/09 through 6/30/10.
- Sutton-Davis, Lesa, College of Medicine, Assistant Professor (voluntary), Pediatrics, 12/1/09 through 6/30/11.
- Takebayashi, Akari, College of Design, Instructor (part-time), Architecture, 1/1/10 through 6/30/10.
- Thamann, Amy, College of Social Work, Instructor (part-time), 1/1/10 through 6/30/10.
- Thomas, Dawn A., College of Nursing, Instructor (part-time), 1/13/10 through 6/30/10.
- Todd, Carrie E., College of Arts and Sciences, Instructor (part-time), Anthropology, 1/1/10 through 5/15/10.
- Trent, Stacie, College of Nursing, Instructor (part-time), 1/13/10 through 6/30/10.
- Tuttle, Jeffrey P., College of Medicine, Assistant Professor (part-time), Psychiatry, 3/1/10 through 6/30/10.
- Van Metre, Ray M., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 12/1/09 through 6/30/11.
- Walker, David M., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 1/1/10 through 6/30/11.
- Walsh, Sabrina, College of Public Health, Assistant Research Professor, Epidemiology, 2/1/09 through 6/30/09.
- Ward, Roger A., College of Arts and Sciences, Instructor (part-time), Philosophy, 1/1/10 through 6/30/10.

- Weinberg, Brian E., College of Arts and Sciences, Instructor (part-time), English, 1/1/10 through 5/15/10.
- Wilkes, Karry R., College of Medicine, Assistant Professor (voluntary), Pediatrics, 11/1/09 through 6/30/11.
- Williams, Jennifer L., College of Arts and Sciences, Instructor (part-time), Anthropology, 1/1/10 through 5/15/10.
- Williamson, Deborah L., College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/10 through 5/15/10.
- Wyatt, Susan H., College of Medicine, Assistant Professor (visiting), Radiology, 1/4/10 through 6/30/10.
- Young, William S., College of Engineering, Lecturer, Mechanical Engineering, 2/1/10 through 6/30/10.
- Zhou, Changcheng, College of Medicine, Assistant Professor, Graduate Center for Nutritional Sciences, 2/1/10 through 6/30/10.

B. ADMINISTRATIVE APPOINTMENTS

- Bilderback, Laura, College of Agriculture, Veterinarian Senior, Livestock Disease Diagnostic Center, effective 1/4/10.
- Birdwhistell, Terry L., Libraries, Librarian I (with tenure), appointed Interim Dean, Libraries, 1/1/10 through 6/30/10.
- Bjork, Lars, College of Education, Professor (with tenure), Educational Leadership Studies, appointed Interim Chair, Educational Leadership Studies, 1/1/10 through 6/30/10.
- Hamon, Valerie Julane, College of Medicine, Communications Director, Dean's Office, effective 12/20/09.
- Ryan, Debra L., College of Medicine, Physician Assistant Senior Surgical, Neurosurgery, effective 1/25/10.

C. REAPPOINTMENTS

- Ades, Harriet, College of Arts and Sciences, Instructor (part-time), Chemistry, 1/1/10 through 5/15/10.
- Andrews, William M., College of Arts and Sciences, Assistant Adjunct Professor, Geography, 8/16/09 through 6/30/10.
- Austin, Janice, College of Social Work, Adjunct Instructor, 1/1/10 through 6/30/10.
- Barrio-Vilar, Laura, College of Arts and Sciences, Instructor (part-time), English, 1/1/10 through 5/15/10.
- Biebel, Elizabeth P., College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/10 through 5/15/10.
- Blackburn, Teena H., College of Arts and Sciences, Instructor (part-time), Philosophy, 1/1/10 through 5/15/10.
- Blankenship, Cary W., College of Arts and Sciences, Instructor (part-time), History, 1/1/10 through 5/15/10.
- Bower, Walter H., College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/10 through 5/15/10.

- Bowersox, John R., College of Arts and Sciences, Adjunct Instructor, Earth and Environmental Sciences, 1/1/10 through 5/15/10.
- Bramlage, Ron, College of Social Work, Instructor (part-time), 1/1/10 through 6/30/10.
- Brown, Paul, College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 1/1/10 through 5/15/10.
- Buck, Patrick L., College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 1/1/10 through 5/15/10.
- Cain, John P., College of Arts and Sciences, Instructor (part-time), Statistics, 1/1/10 through 5/15/10.
- Callahan-Caudill, Krista E., College of Arts and Sciences, Instructor (temporary), English, 1/1/10 through 5/15/10.
- Campbell, Todd, College of Arts and Sciences, Instructor (temporary), English, 1/1/10 through 5/15/10.
- Cardiff, Cheryl, College of Arts and Sciences, Instructor (part-time), English, 1/1/10 through 5/15/10.
- Chaney, Joyce P., College of Arts and Sciences, Instructor (part-time), English, 1/1/10 through 5/15/10.
- Chau, Destiny F., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/10 through 6/30/11.
- Clark, Amy C., College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 1/1/10 through 5/15/10.
- Clarke, Benita J., College of Arts and Sciences, Adjunct Instructor, Hispanic Studies, 1/1/10 through 5/15/10.
- Clepper, Marta L., College of Arts and Sciences, Instructor (part-time), Earth and Environmental Sciences, 1/1/10 through 5/15/10.
- Collins, Jennifer S., College of Arts and Sciences, Instructor (part-time), Chemistry, 1/1/10 through 5/15/10.
- DeMichele, Matthew, College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/10 through 5/15/10.
- DeRosset, Darrell, College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 1/1/10 through 5/15/10.
- Eberhard, David M., College of Arts and Sciences, Instructor (part-time), English, 1/1/10 through 5/15/10.
- Edwards, William T., College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/10 through 5/15/10.
- Egan, Thomas, College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/10 through 5/15/10.
- El-Guindy, Boshra N., College of Arts and Sciences, Instructor (temporary), English, 1/1/10 through 5/15/10.
- Ellis, Steven L., College of Arts and Sciences, Adjunct Instructor, Physics and Astronomy, 1/1/10 through 5/15/10.
- Epperson, Elizabeth F., College of Social Work, Instructor (part-time), 1/1/10 through 6/30/10.
- Evans, Julie L., College of Social Work, Instructor (part-time), 1/1/10 through 6/30/10.
- Fairfield, James C., College of Arts and Sciences, Instructor (part-time), English, 1/1/10 through 5/15/10.

- Fetters, Cassandra D., College of Arts and Sciences, Instructor (part-time), English, 1/1/10 through 5/15/10.
- Fields, Jim, College of Arts and Sciences, Instructor (part-time), English, 1/1/10 through 5/15/10.
- Fitzpatrick, Sarah, College of Arts and Sciences, Instructor (part-time), English, 1/1/10 through 5/15/10.
- Fulbrook, Denise, College of Arts and Sciences, Instructor (part-time), English, 1/1/10 through 5/15/10.
- Gardner, James, College of Arts and Sciences, Instructor (part-time), English, 1/1/10 through 5/15/10.
- Garland, James S., College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 1/1/10 through 5/15/10.
- Gauthier, Karen L., College of Arts and Sciences, Instructor (part-time), History, 1/1/10 through 5/15/10.
- German, Aaron J., College of Arts and Sciences, Instructor (part-time), Philosophy, 1/1/10 through 5/15/10.
- Gibbs, William, College of Arts and Sciences, Instructor (part-time), History, 1/1/10 through 5/15/10.
- Gillen, Martie, College of Social Work, Adjunct Instructor, 1/1/10 through 5/31/10.
- Golden, Patricia M., College of Communications and Information Studies, Instructor (part-time), Communication, 1/1/10 through 5/31/10.
- Greer, Sherri, College of Education, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/10 through 6/30/10.
- Grey, Chrystal Y., College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/10 through 5/15/10.
- Groves, Glenn R., College of Arts and Sciences, Instructor (part-time), History, 1/1/10 through 5/15/10.
- Grubbs, Morris A., College of Arts and Sciences, Adjunct Instructor, English, 1/1/10 through 5/15/10.
- Guardino, Donna J., College of Arts and Sciences, Instructor (part-time), English, 1/1/10 through 5/15/10.
- Hale, Bonnie B., College of Social Work, Instructor (part-time), 1/1/10 through 6/30/10.
- Halladay, Keith E., College of Arts and Sciences, Instructor (part-time), English, 1/1/10 through 5/15/10.
- Harned, Michael E., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/10 through 6/30/11.
- Hazlette, Margaret A., College of Social Work, Instructor (part-time), 1/1/10 through 6/30/10.
- Henke, Kevin R., College of Arts and Sciences, Adjunct Instructor, Earth and Environmental Sciences, 1/1/10 through 5/15/10.
- Herron, Jeffrey J., College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/10 through 5/15/10.
- Hesterberg, Latonya J., College of Social Work, Instructor (part-time), 1/1/10 through 6/30/10.
- Holcomb-Kreiner, Stephanie M., College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/10 through 5/15/10.

- Hood, James L., College of Arts and Sciences, Instructor (part-time), History, 1/1/10 through 5/15/10.
- Isenhour, Cynthia J., College of Arts and Sciences, Instructor (part-time), Anthropology, 1/1/10 through 5/15/10.
- Jahed, Peyman, College of Engineering, Instructor (part-time), Civil Engineering, 1/1/10 through 6/30/10.
- Johnson, Terry L., College of Arts and Sciences, Instructor (temporary), English, 1/1/10 through 5/15/10.
- Kanatzer, Terri, College of Social Work, Instructor (part-time), 1/13/10 through 6/30/10.
- Karaca, Haluk E., College of Engineering, Assistant Professor, Mechanical Engineering, 1/1/10 through 12/31/11.
- Karimian, Cyrus D., College of Arts and Sciences, Instructor (part-time), Political Science, 1/1/10 through 5/15/10.
- Keathley, Valerie J., College of Arts and Sciences, Instructor (part-time), Geography, 1/1/10 through 5/15/10.
- King, Molly, College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 1/1/10 through 5/15/10.
- Kopyc, Sharon, College of Social Work, Instructor (part-time), 1/1/10 through 6/30/10.
- Kurtz-Ogilvie, Whitney L., College of Arts and Sciences, Instructor (temporary), English, 1/1/10 through 5/15/10.
- Lagos, Raul, College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 1/1/10 through 5/15/10.
- Lanham, Jennifer D., College of Social Work, Instructor (part-time), 1/1/10 through 6/30/10.
- Lankster, Florence M., College of Social Work, Instructor (part-time), 1/1/10 through 6/30/10.
- Lazzari, Lori W., College of Social Work, Instructor (part-time), 1/1/10 through 6/30/10.
- Leedham, Cynthia, College of Arts and Sciences, Adjunct Instructor, Sociology, 1/1/10 through 5/15/10.
- Lopez, Josefina C., College of Arts and Sciences, Adjunct Instructor, Hispanic Studies, 1/1/10 through 5/15/10.
- MacQuown, Debra R., College of Arts and Sciences, Instructor (part-time), English, 1/1/10 through 5/15/10.
- Marinelli, James M., College of Arts and Sciences, Instructor (temporary), English, 1/1/10 through 5/15/10.
- Marion, Daniel A., College of Arts and Sciences, Assistant Adjunct Professor, Geography, 8/16/09 through 6/30/10.
- Mirsky, Ellie A., College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 1/1/10 through 5/15/10.
- Mooney, Jennifer L., College of Arts and Sciences, Adjunct Instructor, Sociology, 1/1/10 through 5/15/10.
- Morris, Amanda K., College of Arts and Sciences, Instructor (temporary), English, 1/1/10 through 5/15/10.
- Motamedi, Hossein, College of Arts and Sciences, Instructor (part-time), Political Science, 1/1/10 through 5/15/10.

- Mullen, Rebecca P., College of Arts and Sciences, Instructor (temporary), English, 1/1/10 through 5/15/10.
- Murphy, Erin H., College of Arts and Sciences, Instructor (part-time), Philosophy, 1/1/10 through 5/15/10.
- Mynatt, Jane M., College of Social Work, Instructor (part-time), 1/1/10 through 6/30/10.
- Newman, Shelley F., College of Arts and Sciences, Instructor (part-time), Chemistry, 1/1/10 through 5/15/10.
- Norman, Charlene, College of Arts and Sciences, Instructor (part-time), Mathematics, 1/1/10 through 5/15/10.
- O'Hara, Michael, College of Arts and Sciences, Instructor (temporary), English, 1/1/10 through 5/15/10.
- Oakley, Oliver R., College of Health Sciences, Assistant Professor, Clinical Sciences, 1/1/10 through 6/30/10.
- Omlor, Dan, College of Arts and Sciences, Instructor (part-time), English, 1/1/10 through 5/15/10.
- Pena Rivera, Carlos A., College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 1/1/10 through 5/15/10.
- Post, Alaina J., College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 1/1/10 through 5/15/10.
- Purdy, Beth H., College of Arts and Sciences, Adjunct Instructor, Hispanic Studies, 1/1/10 through 5/15/10.
- Reedy-Strother, Tammy L., College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/10 through 5/15/10.
- Reese, Christopher L., College of Arts and Sciences, Instructor (part-time), English, 1/1/10 through 5/15/10.
- Robinson, Penelope, College of Arts and Sciences, Instructor (part-time), Mathematics, 1/1/10 through 5/15/10.
- Rogers, Freddie J., College of Arts and Sciences, Instructor (part-time), History, 1/1/10 through 5/15/10.
- Rolfes, Siobhan B., College of Arts and Sciences, Instructor (part-time), English, 1/1/10 through 5/15/10.
- Rosenbaum, Ned, College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 1/1/10 through 5/15/10.
- Ruez, Derek M., College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/10 through 5/15/10.
- Saffari, Kay, College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 1/1/10 through 5/15/10.
- Scanlon, Mary D., College of Social Work, Adjunct Instructor, 1/13/10 through 6/30/10.
- Schmidt, Jack B., College of Arts and Sciences, Instructor (part-time), Mathematics, 1/1/10 through 5/15/10.
- Schroot, Lisa M., College of Arts and Sciences, Instructor (part-time), English, 1/1/10 through 5/15/10.
- Seabolt, John P., College of Arts and Sciences, Adjunct Instructor, Biology, 1/1/10 through 5/15/10.
- Sewell, Jamil A., College of Arts and Sciences, Instructor (part-time), Political Science, 1/1/10 through 5/15/10.

- Shearer, Boyd L., College of Arts and Sciences, Instructor (part-time), Geography, 1/1/10 through 5/15/10.
- Shumer, Daniel, College of Arts and Sciences, Instructor (temporary), English, 1/1/10 through 5/15/10.
- Smith, Jennifer, College of Social Work, Instructor (part-time), 1/1/10 through 6/30/10.
- Smith, Richard, College of Arts and Sciences, Instructor (part-time), English, 1/1/10 through 5/15/10.
- Smoot, Richard C., College of Arts and Sciences, Instructor (part-time), History, 1/1/10 through 5/15/10.
- Sopronenko, Igor V., College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 1/1/10 through 5/15/10.
- Srouji, Leen M., College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 1/1/10 through 5/15/10.
- Stapel, Christopher J., College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/10 through 5/15/10.
- Staples, George M., Graduate School, Instructor (part-time), Patterson School of Diplomacy and International Commerce, 1/1/10 through 6/30/10.
- Swanson, Azhar B., College of Arts and Sciences, Adjunct Instructor, Modern and Classical Languages, Literatures, and Cultures, 1/1/10 through 5/15/10.
- Taylor, Carol, College of Social Work, Adjunct Instructor, 1/13/10 through 6/30/10.
- Thompson, Timothy, College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/10 through 5/15/10.
- Trinkle, Christine A., College of Engineering, Assistant Professor, Mechanical Engineering, 1/1/10 through 12/31/11.
- Van Hoose, Carla, College of Social Work, Instructor (part-time), 1/1/10 through 6/30/10.
- Van Sickels, Mary, College of Arts and Sciences, Instructor (part-time), English, 1/1/10 through 5/15/10.
- Vatovec, Timothy S., College of Arts and Sciences, Instructor (part-time), Geography, 1/1/10 through 5/15/10.
- Vien, John B., College of Arts and Sciences, Instructor (part-time), Philosophy, 1/1/10 through 5/15/10.
- Visona, Paolo, College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 1/1/10 through 5/15/10.
- Voskresensky, Anna, College of Arts and Sciences, Adjunct Instructor, Modern and Classical Languages, Literatures, and Cultures, 1/1/10 through 5/15/10.
- Walsh, Sabrina, College of Public Health, Assistant Research Professor, Epidemiology, 7/1/09 through 6/30/10.
- Walts, Richard L., College of Arts and Sciences, Instructor (part-time), English, 1/1/10 through 5/15/10.
- Wang, Zhenming, College of Arts and Sciences, Assistant Adjunct Professor, Earth and Environmental Sciences, 7/1/09 through 6/30/11.
- Wellman, Stephen M., College of Arts and Sciences, Instructor (part-time), Philosophy, 1/1/10 through 5/15/10.
- Whitlock, Tammy, College of Arts and Sciences, Instructor (part-time), History, 1/1/10 through 5/15/10.

Whitlow, Patricia C., College of Arts and Sciences, Adjunct Instructor, Sociology, 1/1/10 through 5/15/10.

Wilke, David G., College of Arts and Sciences, Instructor (part-time), Philosophy, 1/1/10 through 5/15/10.

Wood, Janice E., College of Arts and Sciences, Instructor (part-time), History, 1/1/10 through 5/15/10.

Wood, Mark F., College of Arts and Sciences, Instructor (temporary), English, 1/1/10 through 5/15/10.

Yewell, Patrick, College of Social Work, Instructor (part-time), 1/1/10 through 6/30/10.

Zimmerman, Suzanne K., College of Social Work, Instructor (part-time), 1/1/10 through 6/30/10.

D. PROMOTIONS WITHOUT TENURE

Ho, Denise Y., College of Arts and Sciences, from Instructor, History, to Assistant Professor, History, 11/1/09 through 6/30/11.

E. CHANGES

Agouridis, Carmen T., College of Agriculture, from Assistant Research Professor, Biosystems and Agricultural Engineering, to Assistant Professor, Biosystems and Agricultural Engineering, 1/1/10 through 6/30/10.

Bailey, Sean C., College of Engineering, Assistant Professor, Mechanical Engineering, from 1/1/10 through 12/31/11, to 12/31/09 through 12/31/11.

Baron, Andre T., College of Medicine, from Assistant Professor, Internal Medicine, and joint appointment as Assistant Professor, Epidemiology, College of Public Health, to Assistant Professor (part-time), Epidemiology, College of Public Health, and joint appointment as Assistant Professor (part-time), Obstetrics and Gynecology, College of Medicine, 8/1/09 through 7/31/10.

Brann, William, Enrollment Management, from Statistician, Admissions and Registrar, to Admissions/Registrar Director Associate, Admissions and Registrar, effective 12/7/09.

Cassidy, Ryan C., College of Medicine, from Assistant Professor*, Orthopaedic Surgery, to Clinical Assistant Professor, Orthopaedic Surgery, 7/1/10 through 6/30/11.

Compton, Jonathan, Research, from College Grant Officer Senior, Human Development Institute, to Disability Program Director, Human Development Institute, effective 1/4/10.

Coulter, James G., Research and Data Management Center, from Information Technology Manager III (.60 FTE), to Information Technology Manager III (.75 FTE), effective 12/1/09.

Forren, Janet L., College of Nursing, from Instructor (part-time), to Assistant Professor, 1/1/10 through 6/30/10.

Hack, John-Mark B., College of Agriculture, Instructor (part-time), from Agricultural Economics, to Community and Leadership Development, 1/1/09 through 5/30/09, and 8/1/09 through 5/30/10.

*Special Title Series

- Hale, Frank, College of Arts and Sciences, from Instructor (temporary), Philosophy, to Instructor (part-time), Philosophy, 1/1/10 through 5/15/10.
- Jiang, Wei, College of Arts and Sciences, from Instructor (temporary), Modern and Classical Languages, Literatures, and Cultures, to Lecturer, Modern and Classical Languages, Literatures, and Cultures, 1/1/10 through 5/15/10.
- Kershaw, Debra S., College of Arts and Sciences, from Instructor (part-time), Sociology, to Instructor (temporary), Sociology, 1/1/10 through 5/15/10.
- Kincer, Jeanie, Research, from Laboratory Animal Veterinarian Director Assistant, Level 50, Laboratory Animal Resources, to Laboratory Animal Veterinarian Director Assistant, Level 51, Laboratory Animal Resources, effective 12/1/09
- Knutson, Nichole, Undergraduate Studies, from Program Coordinator II, Robinson Scholars Operations, to Special Initiatives Director, Undergraduate Studies, effective 1/4/10.
- Simon, Rachel A., College of Arts and Sciences, from Instructor (part-time), English, to Instructor (temporary), English, 1/1/10 through 5/15/10.

F. TERMINAL REAPPOINTMENTS

- Calderhead, William J., College of Education, Assistant Professor, Special Education and Rehabilitation Counseling, 7/1/10 through 6/30/11.
- Kim, Myung-Hee, College of Medicine, Assistant Research Professor, Internal Medicine, 1/1/10 through 12/31/10.
- Miller, Rebecca, College of Communications and Information Studies, Assistant Professor, Library and Information Science, 12/15/09 through 12/14/10.

G. LEAVES OF ABSENCE

- Birdwell, John C., College of Fine Arts, Associate Professor* (with tenure), Music, Sabbatical leave at full salary, 7/1/10 through 12/31/10.
- Bollinger, Christopher R., College of Business and Economics, Professor (with tenure), Economics, Sabbatical leave at full salary, 1/1/11 through 6/30/11.
- Bosch, Anna, College of Arts and Sciences, Associate Professor (with tenure), English, from Sabbatical leave at full salary, 7/1/10 through 12/31/10, to Sabbatical leave at full salary, 7/1/13 through 12/31/13.
- Brown, Desmond O., College of Agriculture, Associate Professor* (with tenure), Nutrition and Food Science, Sabbatical leave at full salary, 8/15/10 through 12/31/10.
- Capilouto, Gilson J., College of Health Sciences, Associate Professor (with tenure), Rehabilitation Sciences, Sabbatical leave at full salary, 7/1/10 through 12/31/10.
- Cheng, Fuhua, College of Engineering, Professor (with tenure), Computer Science, Leave without pay, 7/1/09 through 6/30/10.
- Childs, Paul, College of Business and Economics, Associate Professor (with tenure), Management, Sabbatical leave at full salary, 1/1/11 through 6/30/11.
- Clarke, William H., College of Fine Arts, Associate Professor* (with tenure), Music, Sabbatical leave at full salary, 7/1/10 through 12/31/10.
- Clasey, Jody, College of Education, Associate Professor (with tenure), Kinesiology and Health Promotion, and joint appointment as Associate Professor (without tenure),

*Special Title Series

- Physical Medicine and Rehabilitation, College of Medicine, Sabbatical leave at full salary, 8/16/10 through 12/31/10.
- Ehrkamp, Patricia, College of Arts and Sciences, Assistant Professor, Geography, Leave without pay, 7/1/10 through 12/31/10.
- Goodrum, Paul M., College of Engineering, Associate Professor (with tenure), Civil Engineering, Sabbatical leave at full salary, 7/1/10 through 12/31/10.
- Hallman, Diana R., College of Fine Arts, Associate Professor (with tenure), Music, from Sabbatical leave at full salary, 7/1/09 through 12/31/09, to Sabbatical leave at full salary, 1/1/10 through 6/30/10.
- Harrison, Anne L., College of Health Sciences, Associate Professor* (with tenure), Rehabilitation Sciences, Sabbatical leave at full salary, 7/1/10 through 12/31/10.
- Hassebrook, Laurence G., College of Engineering, Professor (with tenure), Electrical and Computer Engineering, Sabbatical leave at full salary, 7/1/10 through 12/31/10.
- Jordan, Bradford D., College of Business and Economics, Professor (with tenure), Management, Sabbatical leave at full salary, 1/1/11 through 6/30/11.
- Ko, Chemyong, College of Health Sciences, Associate Professor (with tenure), Clinical Sciences, Sabbatical leave at full salary, 3/10/10 through 8/31/10.
- Lekakis, Lazaros J., College of Medicine, Clinical Assistant Professor, Internal Medicine, Leave at full salary, 10/1/09 through 10/31/09.
- Liu, De, College of Business and Economics, Assistant Professor, Management, Sabbatical leave at full salary, 8/16/10 through 12/31/10.
- Mason, Daniel E., College of Fine Arts, Associate Professor* (with tenure), Music, to rescind Sabbatical leave at full salary, 1/1/10 through 6/30/10.
- Payne, Frederick A., College of Agriculture, Professor (with tenure), Biosystems and Agricultural Engineering, Sabbatical leave at half salary, 4/1/10 through 3/31/11.
- Payne, Jeff L., College of Business and Economics, Associate Professor (with tenure), Accountancy, Sabbatical leave at full salary, 8/16/10 through 12/30/10.
- Peffer, Sean, College of Business and Economics, Associate Professor (with tenure), Accountancy, Sabbatical leave at full salary, 1/1/11 through 6/30/11.
- Pen, Ronald A., College of Fine Arts, Associate Professor (with tenure), Music, Sabbatical leave at full salary, 1/1/11 through 6/30/11.
- Plaster, Bradley R., College of Arts and Sciences, Assistant Professor, Physics and Astronomy, to rescind Sabbatical leave at half salary, 1/1/11 through 6/30/11.
- Remer, Rory, College of Education, Professor (with tenure), Educational, School, and Counseling Psychology, from Sabbatical leave at full salary, 8/16/10 through 12/31/10, to Sabbatical leave at full salary, 1/1/11 through 6/30/11.
- Rouch, Keith E., College of Engineering, Professor (with tenure), Mechanical Engineering, Sabbatical leave at full salary, 7/1/10 through 12/31/10.
- Starr-LeBeau, Gretchen, College of Arts and Sciences, Associate Professor (with tenure), History, from Sabbatical leave at full salary, 7/1/10 through 12/31/10, to Sabbatical leave at two-thirds salary, 7/1/10 through 6/30/11.
- Tietyen Mullens, Janet L., College of Agriculture, Associate Extension Professor (with tenure), Nutrition and Food Science, Sabbatical leave at full salary, 7/1/10 through 12/31/10.
- Yu, Jihai, College of Business and Economics, Assistant Professor, Economics, Leave without pay, 8/16/10 through 5/15/11.

H. RESIGNATIONS

- Balke, C. William, College of Medicine, Professor (with tenure), Physiology, and joint appointment as Professor (without tenure), Internal Medicine, effective 2/28/10.
- Ballard, Peggy E., College of Health Sciences, Assistant Professor*, Clinical Sciences, effective 6/30/10.
- Baradhi, Krishna M., College of Medicine, Clinical Assistant Professor, Internal Medicine, effective 6/30/10.
- Bonnell, Mark R., College of Medicine, Assistant Professor*, Surgery, effective 6/30/11.
- Cardi, W. J., College of Law, Professor (with tenure), effective 6/30/10.
- Davis, Helane, College of Law, Assistant Professor, and joint appointment as Librarian III, Libraries, effective 6/15/10.
- Dennis, Andrea L., College of Law, Assistant Professor, effective 6/30/10.
- Glassmeyer, Sarah L., Libraries, Librarian III, effective 3/12/10.
- Grant, Robert T., College of Medicine, Clinical Assistant Professor, Orthopaedic Surgery, effective 3/12/10.
- Gustashaw, William E., College of Education, Assistant Professor*, Special Education and Rehabilitation Counseling, effective 6/30/10.
- Holtman, Joseph R., College of Medicine, Professor (with tenure), Anesthesiology, effective 2/28/10.
- Li, Mengtao, College of Dentistry, Assistant Research Professor, Oral Health Science, effective 1/4/10.
- Lu, Hong, College of Medicine, Assistant Research Professor, Internal Medicine, effective 12/31/09.
- Miller, Kim, College of Education, Associate Professor (with tenure), Kinesiology and Health Promotion, effective 6/30/10.
- Mukherjee, Debabrata, College of Medicine, Professor* (with tenure), Internal Medicine, effective 4/30/10.
- Tuttle, Jeffrey P., College of Medicine, Assistant Professor*, Psychiatry, effective 2/28/10.
- Van Doren, Saratha K., College of Agriculture, Assistant Professor, Plant and Soil Sciences, effective 1/8/10.
- Varkey, Leelamma, College of Medicine, Clinical Assistant Professor, Family and Community Medicine, effective 2/28/10.

*Special Title Series

EXECUTIVE VICE PRESIDENT FOR HEALTH AFFAIRS

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Baker, Stephanie, University Hospital, Clinical Staff Pharmacist, Pharmacy Services/Chandler, effective 2/10/10.

B. RETIREMENTS

Daugherty, Kyran, Nurse Clinical, after 41 years and 6 months of consecutive service, under AR II-1.6-1, Section VI.A, effective 11/07/09.

Conroy, Jane E., Nurse Clinical, after 22 years and 6 months of consecutive service, under AR II-1.6-1, Section VI.A, effective 12/18/09.

Martin, Joanne A., Admitting/Financial Counselor Director, after 39 years and 8 months of consecutive service, under AR 3.1 Section VI.A, effective 03/05/10.

Plumley, Daniel D., Technical Administrator I/Clinical, after 35 years and 6 months of consecutive service, under AR 3.1 Section VI.B., effective 12/04/09.

Sparks, Nancy A., Nursing Care Technician, after 17 years and 1 month of consecutive service, under AR 3.1 Section VI.B, effective 01/04/10.

VanPee, Maria E., Account Clerk Senior/UKHC, after 27 years and 3 months of consecutive service, under AR 3.1 Section VI.B, effective 01/15/10.

Fain, Donna, University Health Services Director Assistant, after 24 years and 10 months of consecutive service, under AR 3.1 Section VI.B, effective 01/30/10.

Day III, Zed E., Vice President Associate Information Technology/UKHC, after 12 years and 2 months of consecutive service, under AR 3.1 Section VI.B, effective 02/01/10.

Venugopal, Kanaka, Medical Technologist, after 17 years and 11 months of consecutive service, under AR 3.1 Section VI.A, effective 03/15/10.

Newby, Sandra F., Endoscopy Technician Senior, after 30 years of consecutive service, under AR 3.1 Section VI.A, effective 03/26/10.

Hamlin, Ann R., Pharmacy Director Assistant, after 35 years and 5 months of consecutive service, under AR 3.1 Section VI.A, effective 03/31/10.

Goff, Rebecca A., Medical Transcriptionist, after 41 years of consecutive service, under AR 3.1 Section VI.A, effective 06/01/10.

Parker, Kenneth G., Operating Room Technician, after 38 years and 6 months of consecutive service, under AR 3.1 Section VI.B, effective 01/29/10.

Varkey, Dr. Leelamma, Assistant Professor/Clinical Faculty, after 1 year and 7 months of consecutive service, under AR 3.1 Section VI.B, effective 03/31/10.

II. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE APPOINTMENTS

Hyman, Teresa, University Hospital, Product Line Manager Senior,
Marketing/Executive Vice President for Health Affairs, effective 1/17/10.

B. CHANGES

Kendrick, Crystal, University Hospital, from Sr. Patient Service Coordinator, Internal
Medicine to Clinical Manager Principal, Markey Cancer Center, effective
01/17/10.

Herrington, Amy, University Hospital, from Patient Care Manager, H3100, Hospital
Emergency Administration to Patient Care Manager, H3000, 8th Administration,
effective 12/20/09.

PR 3

Office of the President
March 9, 2010

Members, Board of Trustees:

UNIVERSITY RESEARCH PROFESSORSHIPS

Recommendation: that the Board of Trustees approve the naming of Professors Kimberly Anderson, Department of Chemical and Materials Engineering, Sumit Das, Department of Physics and Astronomy, Pradyumna (Paul) Karan, Department of Geography, S. Randal Voss, Department of Biology, and Sidney Whiteheart, Department of Molecular and Cellular Biochemistry as University Research Professors for 2010-11.

Background: In April 1976, the University Senate recommended to the president the establishment of one-year Research Professorships and that priority be given to the goal of establishing permanent Research Professorships as funds became available. At its meeting in May 1976, the University of Kentucky Research Foundation voted to support one-year Research Professorships to be activated in the fall of 1977. The Board of Trustees named the first Research Professors at its meeting on March 8, 1977.

The purpose of the University Research Professorships is to enhance and encourage scholarly research productivity, provide an opportunity for concentrated research effort for selected faculty members, recognize outstanding research achievement by members of its faculty, emphasize its research function, and publicize its research accomplishments in order that the research function of the University be appropriately recognized and understood.

Action taken: Approved Disapproved Other _____

PR 4

Office of the President
March 9, 2010

Members, Board of Trustees:

HONORARY DEGREE RECIPIENTS

Recommendation: that the Board of Trustees approve awarding the degree of Honorary Doctor of Letters to Judith Clabes, Honorary Doctor of Arts to Daniel Libeskind, and Honorary Doctor of Science to Robert Straus, as approved and recommended by the University Faculty.

Background: Pursuant to the Conditions of Merit for Honorary Degrees, the University Joint Committee on Honorary Degrees has recommended to the elected Faculty Senators that the specified honorary degree be awarded to Judith Clabes, Daniel Libeskind, and Robert Straus. The elected Faculty Senators have approved the recommendation.

Biographical sketches of the recipients are attached.

Action taken: Approved Disapproved Other _____

Judith Clabes
Honorary Doctor of Letters

Judith Clabes grew up in Henderson, Kentucky and graduated from UK in 1967 with a BA in English and Journalism. She is a pioneer among women in journalism. For thirteen years, she was the editor of the *Kentucky Post* and was the first woman to serve in that position. Her work resulted in an award-winning newspaper, including a prestigious National Journalism Award for defense of the First Amendment. In 1996, she became the CEO of the Scripps Howard Foundation where she has continued the Foundation's tradition of promoting the First Amendment, supporting journalism education, and fostering diversity in the media. Ms. Clabes also helped create UK's First Amendment Center and is a member of the UK Hall of Distinguished Alumna and the UK Journalism Hall of Fame. For these reasons, Judith Clabes is recommended as a recipient of the 2010 Honorary Doctor of Letters.

Daniel Libeskind
Honorary Doctor of Arts

Daniel Libeskind is one of the most prolific and accomplished architects practicing today. He began his teaching career at UK and was an Assistant Professor in the UK Department of Architecture from 1973 – 1975. In 2008, he completed a residential tower in Covington, Kentucky, the Ascent at Roebing Bridge, which received an award for the best high-rise development. In 2004, he was appointed the first Cultural Ambassador for Architecture by the U.S. Department of State and was the winner of the master plan competition for the area formerly occupied by the World Trade Center Towers in New York City. He also has numerous international awards and commissions. He designed the Jewish Museum in Berlin and the Imperial War Museum North in Manchester, England. Mr. Libeskind has received numerous honors for his work: The American Academic of Arts and Letters Award for Architecture, the German Architecture Prize and the Hiroshima Art Prize. He was also made an honorary member of the Royal Academy of Arts in London, England. For these reasons, Daniel Libeskind is recommended as a recipient of the 2010 Honorary Doctor of Arts.

Robert Straus
Honorary Doctor of Science

Dr. Robert Straus, Professor Emeritus, came to UK in 1956 as a member of the planning staff for the UK Medical Center and has made decades of contributions to this institution. He served as the first coordinator of academic affairs for the Medical Center and as the chair of the Department of Behavioral Science for 28 years. In addition to his service, scholarship, and research, Dr. Straus has trained generations of behavioral scientists and physicians. In 1975, he was elected as a member of the Institute of Medicine at the National Academy of Sciences for his ground-breaking studies of alcoholism. In 1988, he received the Leo Reeder award from the American Sociological Association, the highest recognition for the discipline of medical sociology. He also received a lifetime achievement award from the American Public Health Association in 1993. For these reasons, Dr. Straus is recommended as a recipient of the 2010 Honorary Doctor of Science.

PR 5

Office of the President
March 9, 2010

Members, Board of Trustees:

REPORT ON RESULTS OF ALUMNI MEMBER ELECTION

Recommendation: that the report of the Secretary of the Board of Trustees on the results of the election authorized by the Alumni Association be received and put to record, and that the Secretary be authorized to certify to the Governor the names of the three persons receiving the largest number of votes, from which list a successor to Ann Brand Haney, whose term expires June 30, 2010, will be appointed.

Background: The term of Ann Brand Haney as alumna member of the Board of Trustees expires June 30, 2010. An election has been held among the graduates of the University of Kentucky as prescribed by statute and in accordance with the rules and regulations of the Board of Trustees. The attached letter from the Secretary of the Board of Trustees to the President of the University reports the results of the election.

Action taken: Approved Disapproved Other _____



UNIVERSITY OF KENTUCKY

Board of Trustees
Lexington, KY 40506
www.uky.edu

February 1, 2010

President Lee T. Todd, Jr.
Office of the President
101 Main Building
University of Kentucky
Lexington, Kentucky 40506-0032

Dear President Todd:

The term of Ann Brand Haney as alumna trustee of the Board of Trustees expires June 30, 2010. An election has been held among graduates of the University of Kentucky, as prescribed by statute and by the rules and regulations of the Board of Trustees, to nominate to the Governor three graduates from whom a successor will be appointed. Mr. Stan Key, Director of Alumni Affairs and Executive Director of UK Alumni Association, was responsible for tabulation of the voting results. The votes were tabulated by an Online system administered by the UK Alumni Association. The results of the election are as follows:

<u>Candidates</u>	<u>Votes</u>
Terry B. Mobley	1,012
Marianne Smith Edge	975
Franklin H. Farris	593
Charles M. Moore Jr.	578
William G. Francis	522
Dan Gipson	492

Please submit this information to the Board of Trustees that same may be approved, and the names of the three receiving the highest number of votes be certified to the Governor of the Commonwealth of Kentucky from which list he may appoint one to serve a six-year term.

Respectfully submitted,

Pamela T. May
Secretary
Board of Trustees

/pfw

**Biographies of Three Persons Receiving the Most Votes
in the 2009 Alumni Trustee Election**

March 3, 2010

(in order of votes received)

TERRY B. MOBLEY, Lexington, Ky., is a native of Harrodsburg, Ky., and a 1965 graduate of UK with a B.A. in History. Terry was a three year starter for Coach Adolph Rupp's teams from 1962-65. He has recently retired from UK where he served for 33 years as Director of Development and Chief Development Officer, Interim Athletics Director, Vice President for Development and Vice President for Institutional Advancement responsible for UK Alumni Association, Development Office, Public Relations, Government Relations and WUKY radio station. Under his supervision, the \$1 billion capital campaign was planned, implemented and successfully completed. Terry has served on the board of the Business Partnership Foundation, Gluck Equine Research Foundation, Center on Aging Foundation, Mining Engineering Foundation and the UK Development Council. He has been a member of the UK Alumni Association Board of Directors since 1977 and is a recipient of the UK Alumni Association Distinguished Service Award. He continues to serve as Vice Chair of the Hope Center Inc. which provides shelter and recovery programs for men and women. Terry and his wife, Becky (A&S '67), are both UK Fellows and have three children, all UK alums.

MARIANNE SMITH EDGE, Owensboro, Ky., is President of MSE & Associates LLC, a nutrition communications and organizational strategy consulting company serving the food and nutrition industry, healthcare and nonprofit sectors for over 23 years. She is a former President of The American Dietetic Association. Marianne is a 1977 graduate of the College of Human Environmental Sciences with a B.S. in Dietetics and received her M.S. in Public Health-Nutrition from Western Kentucky University. While at UK, she was a member of the Gamma Phi Beta Sorority and the Student Center Board, serving as Homecoming Chair in 1976. Marianne served as Alumni Trustee from 2000-06, chairing the Student Affairs Committee and serving on the Executive, Nominations, Academic Affairs, and the UK Hospital Board during her term. She is a past President of the UK Alumni Association, a Life Member and is a recipient of the UK Alumni Association Distinguished Service Award. Marianne is a member of the UK Advocacy Network, the UK Development Council and is a UK Fellow, establishing the Breeding-Edge Scholarship for dietetic students. She was inducted into the School of Human Environmental Sciences Hall of Fame in 2001. Marianne currently serves on the USDA National Agricultural Research, Extension, Education and Economics Advisory Committee, Kentucky 4-H Foundation, and various health, education and cultural arts boards in Owensboro. She is Co-Founder of IMPACT 100-Owensboro, a women's giving circle and is a 2005 Leadership Kentucky graduate. She is married to John R. Edge.

FRANKLIN H. FARRIS JR., Louisville, Ky., retired in September 2009 as the Managing Partner of the Louisville office of KPMG LLP, an international accounting firm. He joined KPMG's Louisville office in 1972 and was elected Partner of the firm in 1984. Since retirement, Frank has returned to public accounting, joining the firm of Mountjoy Chilton Medley LLP as a partner on February 1, 2010. A native of Maysville, Ky., Frank received a B.S. in accounting from the University of Kentucky in 1972. While at UK, he was a member of Sigma Alpha Epsilon fraternity serving as President of the chapter in 1972. He served as a member of the State Board of Accountancy of Kentucky from 2002-2005, is a member and past Vice President and past board member of the Kentucky Society of Certified Public Accountants, served as President of the Louisville Area Chapter of CPAs and is a member of the American Institute of Certified Public Accountants. Frank served as President of the UK Alumni Association from July 2003-June 2004. He is a board member of the Greater Louisville UK Alumni Club, where he served as

its President from July 1994-June 1996. Frank is a member of the board of directors of the Greater Louisville Fund for the Arts. He has served as a board member and Treasurer of the Kentucky Council on Economic Education and on the University of Kentucky's School of Accountancy Advisory Board. Previous board positions include The DePaul School in Louisville, the Japan/America Society of Kentucky, Volunteers of America, and Hospice of Louisville, where he served as its board Chairman from 1987-1989. Frank is an elder of Beargrass Christian Church (Disciples of Christ) where he served the church as Chairman of the Official Board in 1997.

PR 6

Office of the President
March 9, 2010

Members, Board of Trustees:

DIRECTOR EMERITUS OF THE KENTUCKY TRANSPORTATION CENTER
TITLE BE CONFERRED UPON CALVIN G. GRAYSON

Recommendation: that Mr. Calvin G. Grayson be named Director Emeritus of the Kentucky Transportation Center in recognition of his service and dedication to the University of Kentucky College of Engineering.

Background: Mr. Grayson joined the University of Kentucky on July 1, 1984 and was appointed the first director of the Kentucky Transportation Center in the College of Engineering. He served as Director until his retirement on February 28, 1997.

During his service he guided and developed the research, experiential education, and technology exchange programs of the center as its first director. He was instrumental in gaining national recognition for the center in several area including establishing the Advanced Transportation Institute encouraging interdisciplinary transportation education and the Advantage I-75 multi-state R&D program for Intelligent Transportation Systems applications to commercial vehicle operations. Mr. Grayson served as an active board member on the Southeastern Council of University Transportation Centers. In addition, he has been and continues to be an active proponent of improving highway safety in the Commonwealth. Prior to his work at the University, he served as the Kentucky Secretary of Transportation and was the first professional engineer to be appointed to that position by a Governor of Kentucky. Since his retirement, he has continued to serve the Commonwealth by being an involved citizen interested in improving Kentucky's transportation system.

Action taken: Approved Disapproved Other _____

AACR 1

Office of the President
March 9, 2010

Members, Board of Trustees:

CANDIDATE FOR DEGREE
BLUEGRASS COMMUNITY AND TECHNICAL COLLEGE

Recommendation: that the president be authorized to confer upon each individual whose name appears on the attached list the degree to which she is entitled, upon certification by the Kentucky Community and Technical College System (KCTCS) Board and the University of Kentucky Registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic Affairs Committee of the Board of Trustees.

Background: On July 1, 2004, a Memorandum of Agreement among the University of Kentucky, the Kentucky Community and Technical College System, and Lexington Community College (LCC) pursuant to House Joint Resolution 214 was approved. The agreement states that LCC students who were or are officially enrolled on or before September, 2004, in associate degree programs approved by the UK Board of Trustees and who complete the associate degree program on or before August 31, 2010, shall have their degrees conferred by the UK Board of Trustees.

Following the passage of that agreement, the Lexington Community College name has been changed to the Bluegrass Community and Technical College.

For details on degree candidate listings,
please contact

Jacquie Hager
Registrar's Office
Room 10 Funkhouser Building
University of Kentucky
Lexington, KY 40506-0057
859-257-7157

Action taken: Approved Disapproved Other _____

AACR 2

Office of the President
March 9, 2010

Members, Board of Trustees:

CHANGE OF ORGANIZATIONAL STRUCTURE
OF THE ALVIN E. EVANS LAW LIBRARY

Recommendation: that the Board of Trustees approve the transfer of administrative oversight for the Alvin E. Evans Law Library from UK Libraries to the College of Law, effective July 1, 2010.

Background: In the past, the Alvin E. Evans Law Library has been administered as part of the UK Libraries. Under this structure, the Dean of the College of Law, the Director of Law Library, and the law faculty have been responsible for the determination of basic law library policies related to meeting the reference, instructional, research, and service needs of the College of Law faculty, staff, and students.

For several years, the College of Law and UK Libraries have discussed the advisability of transferring the faculty and operations of the Law Library to be housed entirely within the College of Law. Only six out of 200 United States law schools have an administrative structure for law library operations similar to the University of Kentucky's (UK) current structure. This structure has raised concerns for UK's American Bar Association (ABA) accreditation process in the past because of the ABA's requirement of autonomy.

The proposal has been reviewed and recommended for approval by the University Senate. The Provost of the University supports this recommendation.

Action taken: Approved Disapproved Other _____

AACR 3

Office of the President
March 9, 2009

Members, Board of Trustees:

PROPOSED REVISION TO ADMINISTRATIVE REGULATION:
RESEARCH CONFLICT OF INTEREST AND FINANCIAL DISCLOSURE POLICY

Recommendation: that the Board of Trustees approve the attached revision of Administrative Regulation (AR) 7:2, Research Conflict of Interest and Financial Disclosure Policy. The proposed revision consists of clarifying the definition of “Significant Financial Interest” pursuant to a recommendation by the Association for the Accreditation of Human Research Protection Programs (AAHRPP).

Background: The university’s mission involves three primary functions: instruction, research, and service. In compliance with state and Federal law, AR 7:2 sets forth policies, principles, and procedures to ensure that the personal financial interests of University employees do not compromise: a) the objectivity with which research is designed, conducted, and reported; b) the welfare of research participants; or, c) the objectivity with which intellectual property is developed.

The revision clarifies the definition of “Significant Financial Interest” according to the standards of the Association for the Accreditation of Human Research Protection Programs (AAHRPP). The AR is also renumbered according to the new University Administrative Regulation numbering system.

A revised copy of AR 7:2, is attached. Proposed additions are underlined; proposed deletions are lined through.

Action taken: Approved Disapproved Other _____

UNIVERSITY OF KENTUCKY ADMINISTRATIVE REGULATIONS	IDENTIFICATION AR <u>7:2</u>	PAGE 1
	DATE EFFECTIVE <u>3/9/10</u>	SUPERSEDES REGULATION DATED AR II-4.0-4: 9/19/95; <u>12/11/07</u>

Deleted: II-4.0-4

Deleted: 12/11/07

RESEARCH CONFLICT OF INTEREST AND FINANCIAL DISCLOSURE POLICY

(Approved by the Board of Trustees)

I. Policy

Integrity, objectivity, and absence of self-dealing are essential at all levels and in all aspects of University activities, including research. The University and its employees are committed to conducting themselves and their activities in accordance with the highest standards of integrity and ethics. The University recognizes that actual or potential conflicts of interest may occur in the normal conduct of research and other activities. Thus, it is essential that investigators disclose their and their family members' financial interests related to research for review by the University. After disclosure the University can make an informed judgment about a particular activity and require appropriate oversight, limitations, or prohibitions in accordance with this policy.

II. Purpose

In compliance with state and Federal law, this regulation sets forth policies, principles, and procedures to ensure that the personal financial interests of University employees do not compromise:

- A. the objectivity with which research is designed, conducted, and reported;
- B. the welfare of research participants; or
- C. the objectivity with which intellectual property is developed.

(NOTE: For regulations on consulting activities and conflict of interest, see AR II-1.1-1)

III. Scope

This regulation applies to all faculty and staff employees, and students involved in research and related activities and to the disclosure and subsequent development of intellectual property.

IV. Definitions

The following definitions apply to this regulation, unless a different definition is used by an applicable federal agency. In that case, the definition used in the federal agency's regulations will apply.

A. "Investigator" means the principal investigator, a co-principal investigator, and any other person at the University who is responsible for the design, conduct, or reporting of research.

B. "Family Member" means spouse or dependent child.

C. "Associated Entity" means any trust, organization, or enterprise over which an investigator or a family member of the investigator, individually or collectively, exercises a controlling interest.

D. "Relevant Significant Financial Interest" means an interest that would reasonably appear to be affected by the research for which funding is sought.

E. "Research" or "Research Activity" means a systematic investigation designed to develop or contribute to generalizable knowledge. The term encompasses basic and applied research such as bench work, clinical trials or other work and product development, and other forms of creative activity. The term includes any such research or creative activity conducted at the University, by University personnel under the auspices of the University, or conducted in situations in which University positions or titles are utilized in resultant publications, presentations, or other forms of intellectual property, irrespective of whether such research is funded by contract, gift, cooperative agreement, or general funds of the Commonwealth. The term also includes collaborative work with a manufacturer, a distributor, or a sponsor of the research and includes any tangible or intangible inventions, discoveries, patents, or ideas necessary for development, utilization or processes associated with the activity.

F. "Significant Financial Interest" means anything of monetary value, including, but not limited to, salary or other payments for services (e.g., consulting fees or honoraria), equity interests (e.g., stocks, stock options, or other ownership interests), and intellectual property rights (e.g., patents, copyrights, and royalties from such rights). For research involving human subjects, "significant financial interest" also includes employee or executive relationships with entities that have a financial interest in the research even when no remuneration is involved or financial interest in the sponsor, product, or service being tested. The term does not include any of the following:

1. Salary or other remuneration from the University;

2. Income from seminars, lectures, or teaching engagements sponsored by public or nonprofit entities;
3. Income from service on advisory committees or review panels for public or nonprofit entities;
4. Income (e.g., salary, fees, or other continuing payments) in an amount of \$10,000 or less per annum from any one business enterprise or entity when aggregated for the investigator and members of the investigator's family; and
5. Financial interests in any one business enterprise or entity if the value of those interests does not exceed \$10,000 in value as determined through public prices or other reasonable measures of fair market value, and does not represent more than a five percent (5%) ownership interest when aggregated for the investigator and members of the investigator's family.

V. Research Conflict of Interest Committee (RCOIC)

A. Membership

1. The RCOIC is appointed by the President and reports to the Vice President for Research (VPR). The President shall designate the chair and appoint ex officio members.
2. The RCOIC shall be composed of a minimum of five (5) members appointed by the President and selected from recommendations submitted separately by the VPR and the University Senate Council.
3. A majority of the membership shall consist of faculty employees without administrative appointments. The remaining appointments shall be comprised of faculty employees with administrative appointments (e.g., associate deans, deans, directors of centers or institutes) or staff.
4. The RCOIC members, other than those with ex officio status, shall have staggered three (3)-year appointments.

B. Responsibilities

1. The RCOIC provides assistance on matters involving conflicts of interest and disclosures of significant financial interests in research as defined by this regulation. The RCOIC makes recommendations on courses of action designed to manage, reduce, or eliminate the conflict. More specific responsibilities are outlined in section VIII of this regulation.

2. The RCOIC shall maintain written minutes of meetings and, on request, shall prepare reports for the VPR.

VI. Conflicts of Interest

A. A potential or actual conflict of interest exists when a significant financial interest (as defined herein) of an investigator, a family member of the investigator or an associated entity, would reasonably appear to be affected by the research activities of the investigator or the development of intellectual property.

B. An investigator may not engage in research activities in which a potential or actual conflict of interest exists in fact or develop intellectual property for which actual or potential conflict exists, unless authorized in writing by the VPR and conducted in full accord with conditions and restrictions imposed by the VPR.

VII. Disclosure Requirements

A. No application for funding from an external agency may be submitted to the agency until all investigators have completed required disclosure forms. Disclosure forms must be completed even if no relevant significant financial interest exists.

B. An investigator shall disclose relevant significant financial interests of the investigator and the investigator's family members:

1. at the time an application is submitted for external research support;
2. prior to submission of intellectual property (e.g., copyrights, patents, and trademarks) for registration or filing, or
3. at the time an application is submitted for review by the Institutional Review Board (IRB).

C. For so long as the research is ongoing, disclosures under this provision relating to ongoing research must be updated any time a relevant significant financial interest, not originally disclosed, develops or is acquired. Disclosures under this provision related to intellectual property shall be updated prior to the execution of any contract, license, or other transaction involving the intellectual property. The VPR may also require an investigator to update a disclosure at any time.

D. Disclosures required by this provision shall be submitted to the dean of the investigator's college or the director of the institute or center, or other appropriate senior administrator of the educational or administrative unit where the investigator has a primary appointment. In any instance in which the required disclosure statement(s) are not submitted at the time the application is submitted to the Office of Sponsored Projects

Administration (OSPA) or an application is submitted to the IRB, the dean or director shall solicit such statements from the investigator.

E. Disclosures shall be made on standard disclosure forms available from OSPA:
<http://www.uky.edu/eForms/forms/discfin.pdf>

VIII. Review of Financial Interest Disclosure Statements and Management of Conflicts

A. Review of Financial Interest Disclosure Statements

Disclosure statements shall be promptly reviewed by the dean, director, or senior administrator for the purpose of determining if a relevant significant financial interest is disclosed. If the disclosure statement reveals any relevant significant financial interest, a potential or actual conflict exists.

B. No Relevant Significant Financial Interest Disclosed

If the review reveals no relevant significant financial interest, disclosure statements shall immediately be forwarded to OSPA, and no further action is required.

C. Significant Financial Interest Disclosed and Management

A conflict of interest exists and management of a relevant significant financial interest is required if a person could reasonably conclude that the interest could directly and significantly affect the design, conduct, or reporting of the research activity under consideration, the protection of research participants, or the development of intellectual property. When a relevant significant financial interest is disclosed, the following actions must be completed prior to expenditure of any funds under the award, prior to approval by the IRB or prior to the submission of intellectual property for registration:

1. The dean, director, or senior administrator shall consult with the investigator and the parties shall jointly review the disclosure statement. If a potential or actual conflict of interest is eliminated by agreement of the parties (e.g., through divestiture of interest, severance of relationships), no further action is required except that the dean, director, or senior administrator shall provide a written confirmation of the agreement of the parties to the VPR and forward the disclosure statement to OSPA.

2. If elimination of the conflict or potential conflict is not possible, the investigator shall propose a course of action to manage or reduce the conflict. The dean, director, or senior administrator may offer assistance with the plan and OSPA may also be consulted for guidance. In any case, the dean, director, or senior administrator shall review the plan proposed by the investigator and may attach additional comments or information. For research involving human

subjects the plan shall be designed so that the financial interest does not affect the risk to or welfare of research participants.

3. The plan shall be forwarded to OSPA, either directly or through the IRB in the case of non-externally funded human subjects research. OSPA shall review the disclosure for completeness and may request additional information. The disclosure and recommended management plan shall be forwarded to the RCOIC for review.

4. The RCOIC shall review all the material. The RCOIC may accept the recommended plan, add to the plan or formulate a different course of action to be taken in the management, reduction, or elimination of the conflict.

(a) The RCOIC shall provide the investigator an opportunity to meet with the RCOIC to discuss the situation and possible courses of action. The RCOIC may request the investigator, dean, director, or senior administrator to meet with the RCOIC or to provide information pertinent to the issue. The RCOIC may, in its discretion, consult with experts.

(b) The RCOIC shall propose appropriate conditions or restrictions to the VPR. The RCOIC may also conclude that the potential or actual conflict is sufficiently remote or unrelated to the research or intellectual property so that no action, other than the disclosure and annual reporting requirements, is required. In either event, the proposal shall be in writing, and a copy of the proposal shall be provided to the investigator. The investigator may also submit written objections or exceptions to the proposal to the VPR. The investigator's response must be submitted within five (5) business days following delivery of the proposal, unless time requirements of any agency or sponsor require shorter time frames.

(c) The RCOIC has wide discretion to recommend, and the VPR to impose, conditions or restrictions on investigators in formulating courses of action designed to deal with potential or actual conflicts of interest. Examples of conditions or restrictions that may be employed to manage, reduce, or eliminate such conflicts include:

- i. public disclosure of significant financial interests;
- ii. monitoring of the research by independent reviewers;
- iii. modification of the research plan;
- iv. disqualification from participation in all or a portion of the research;

- v. divestiture of the significant financial interest; or
- vi. severance of relationships that create the actual or potential conflict of interest.

5. The VPR may accept the report and recommendations of the RCOIC, or return the matter to the RCOIC with stated concerns or suggestions. The VPR shall render the final decision, and shall notify the researcher, the dean, or director and the RCOIC of any terms and conditions that shall be employed to manage, reduce or eliminate the conflict.

6. If the research involves human subjects, a copy of the final accepted report and recommendations of the RCOIC shall be forwarded to the IRB. Disclosure of the financial interest to the research participant alone is not a sufficient plan.

D. Conflict of Interest Management Reports

The plan recommended by the RCOIC and accepted by the VPR may require a report. In most cases a report shall be filed annually and at the end of the project. Reports shall be submitted to the RCOIC and shall document the actions taken to implement the conditions outlined in the plan.

IX. Enforcement

A. The following acts and failures violate the provisions of this regulation:

- 1. intentionally or recklessly providing incomplete, erroneous, or misleading information on a disclosure form;
- 2. failing for any reason to make any required disclosure;
- 3. failing to provide requested information needed for an appropriate review of potential or actual conflict of interest situations.

B. A violation of this regulation is grounds for the discipline of an investigator or other employee. Sanctions that may be imposed for such a violation include but are not limited to any one or more of the following:

- 1. involuntary termination of employment;
- 2. non-renewal of appointment;
- 3. suspension;

4. letter of admonition;
5. public disaffirmation of the research;
6. notification to regulatory bodies (e.g., U.S. Patent Office, Copyright Office);
7. notification to actual or potential research funding agencies; and
8. protest to editorial boards. Additionally, the University may decline the sponsored research agreement, or decline to submit or further process any intellectual property.

C. Disciplinary proceedings initiated under this regulation shall be conducted in accordance with the Governing and Administrative Regulations the Human Resources Policy and Procedures, or the Student Code of Conduct.

X. Miscellaneous

A. Disclosure statements, other documentation used in the review of such statements, and records of institutional action taken pursuant to this policy shall be maintained in OSPA for a period of three (3) years following completion of the research project that generated the disclosure statements, documentation, or institutional actions, for a period of time needed for resolution of government action involving such records, or for a period of time fixed by the VPR, whichever is longer. To the extent permitted by law, such records shall be maintained confidentially.

B. The University shall make the information described in the preceding paragraph available as prescribed by the sponsoring agency's policy or, upon request, to external funding agencies, along with requested information as to how a relevant potential or actual conflict of interest was managed, reduced, or eliminated to protect the objectivity of the research. If a potential or actual conflict of interest is identified after a grant or contract has been awarded, the University shall inform the awarding agency of that conflict within sixty (60) days of its discovery (or as otherwise required by law) along with actions taken to manage, reduce, or eliminate the actual or potential conflict of interest.

C. If the University ever determines that it is unable to manage satisfactorily a potential or actual conflict of interest that threatens the objectivity of particular research activity, the University shall promptly inform the relevant external funding agencies of that fact as required by the agency policy.

D. In making applications for external funding of research activities, investigators will be required to certify that they have read and understand this regulation.

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References:

National Institutes of Health 42 CFR Part 50 Subpart F

National Science Foundation "Award and Administrative Guide" Chapter IV.A.

Association for the Accreditation of Human Research Protection Programs (AAHRPP)

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Revised
FCR 1

Office of the President
March 9, 2010

Members, Board of Trustees:

DR. SIBU P. AND MRS. REBECCA J. SAHA GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$100,000 and pledge of \$900,000 from Dr. Sibü P. and Mrs. Rebecca J. Saha of Lexington, Kentucky to create and endow the Saha Cardiovascular Research Center Fund in the College of Medicine and submit \$400,000 of the pledge for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Sibü P. Saha, MD, is a professor in the division of Cardiothoracic Surgery and chair of the Gill Heart Institute Director's Council at the University of Kentucky. He and Mrs. Saha share a life-long commitment to education and research, particularly in the area of cardiovascular health. This endowment will support and augment the ongoing research activities of the Cardiovascular Research Center.

Action taken: Approved Disapproved Other _____

FCR 2

Office of the President
March 9, 2010

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE
UNIVERSITY OF KENTUCKY FOR THE SIX MONTHS ENDED
DECEMBER 31, 2009

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the six months ended December 31, 2009.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Central Kentucky Management Services.

As of December 31, 2009, the University had realized income of \$1,243,447,000 representing 51 % of the 2009-10 estimate of \$2,433,190,000. Expenditures total \$1,070,340,000 or 44 % of the approved budget.

Action taken: Approved Disapproved Other _____



*Consolidated Financial
Statements*

For the six months ended December 31, 2009

CONSOLIDATED BALANCE SHEET
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
DECEMBER 31, 2009

with comparative totals for December 31, 2008
(in thousands)

	2009-10					2008-09	
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
ASSETS							
Cash and cash equivalents	\$ 326,238	\$ 39,353	\$ 7,469	\$ 2,988	\$ 133,815	\$ 509,863	\$ 493,475
Notes, loans, and A/R (less bad debt allowances of \$45,401)	169,915	80,673	24,529	2,500	172	277,789	277,302
Investments	20,635	145,333		824,744	29,752	1,020,464	879,667
Property, plant, and equipment, net of depreciation					1,615,673	1,615,673	1,408,375
Inventories and other assets	50,832				3,929	54,761	53,827
Total Assets	\$ 567,620	\$ 265,359	\$ 31,998	\$ 830,232	\$ 1,783,341	\$ 3,478,550	\$ 3,112,646
LIABILITIES AND FUND BALANCES							
Liabilities							
Accounts payable	\$ 71,436	\$ 3,578	\$ 286		\$ 2,114	\$ 77,414	\$ 91,812
Employee withholdings and deposits		15,326				15,326	14,864
Other liabilities	15,893	9	22,034	\$ 29,610	22,851	90,397	79,989
Liability for self insurance	55,727					55,727	58,558
Deferred income	44,755	41,832				86,587	68,610
Bonds payable					586,405	586,405	509,725
Capitalized lease obligation					152,314	152,314	138,315
Total Liabilities	187,811	60,745	22,320	29,610	763,684	1,064,170	961,873
Interfund Balances	(70,129)	68,129		13,820	(11,820)		
Net deferred revenues and appropriated fund balances	169,481	3,626				173,107	157,744
Fund Balances							
Current unrestricted							
Working capital	274,696					274,696	243,107
Future operating purposes	5,761					5,761	5,512
Current restricted		132,859				132,859	109,678
Loan			9,678			9,678	10,206
True endowments				535,676		535,676	441,292
Term endowments				2,989		2,989	2,582
Quasi endowments				244,944		244,944	196,915
Charitable trusts				2,663		2,663	775
Gift annuities				530		530	369
Plant							
Retirement of indebtedness					16,822	16,822	11,186
Renewal and replacement					26,204	26,204	22,191
Allocated for designated projects					135,613	135,613	147,834
Net investment in plant					852,838	852,838	801,382
Total Fund Balances	280,457	132,859	9,678	786,802	1,031,477	2,241,273	1,993,029
Total Liabilities and Fund Balances	\$ 567,620	\$ 265,359	\$ 31,998	\$ 830,232	\$ 1,783,341	\$ 3,478,550	\$ 3,112,646

CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE SIX MONTHS ENDED DECEMBER 31, 2009
with comparative totals for December 31, 2008
(in thousands)

	2009-10				2008-09	
	Original Budget	Revised Budget	Realized To Date	%	Realized To Date	%
General Fund						
State appropriations	\$ 324,616	\$ 294,137	\$ 161,775	55	\$ 176,689	55
Student fees	272,461	272,461	142,023	52	133,194	53
County appropriations	15,855	15,855	8,844	56	7,780	51
Endowment and investment income	5,518	5,518	2,745	50	5,003	45
Non governmental grants and contracts	131,000	131,000	63,072	48	58,687	53
Grants, donations, pledges						
Affiliated corporations	32,721	32,721	14,881	45	13,842	47
Other	20,549	20,584	2,133	10	2,093	9
Sales and services	24,977	24,785	10,129	41	11,703	46
Transfers	21,465	22,102	11,545	52	10,070	54
Total General Fund	849,162	819,163	417,147	51	419,061	52
Auxiliary Enterprises	70,469	71,772	40,334	56	38,138	52
Restricted Funds						
Federal appropriations	16,519	17,387	8,226	47	9,587	55
State Fiscal Stabilization Fund	-	21,067	21,067	100	-	-
Other	133,250	133,295	50,218	38	46,087	39
Affiliated Corporations	368,364	368,364	194,882	53	177,228	50
UK Hospitals	906,262	906,262	415,692	46	384,230	51
Total Revenues	2,344,026	2,337,310	1,147,567	49	1,074,331	51
Appropriated Fund Balance						
Current unrestricted fund	95,665	95,880	95,880	100	103,228	100
Total Revenues and Appropriated Fund Balance	\$ 2,439,691	\$ 2,433,190	\$ 1,243,447	51	\$ 1,177,559	53

**CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE SIX MONTHS ENDED DECEMBER 31, 2009**

with comparative totals for December 31, 2008
(in thousands)

	2009-10				2008-09	
	Original Budget	Revised Budget	Expended To Date	%	Expended To Date	%
Instruction	\$ 340,593	\$ 311,353	\$ 129,124	41	\$ 126,638	43
Research	292,843	307,106	127,478	42	122,913	42
Public service	297,292	298,441	141,464	47	135,447	47
Academic support	115,550	119,509	51,088	43	49,515	43
Student services	30,804	32,953	14,856	45	14,389	48
Institutional support	125,709	119,264	42,812	36	39,622	31
Student financial aid	97,616	98,539	53,158	54	47,951	52
Operation and maintenance	64,229	69,667	28,524	41	28,461	45
Mandatory transfers (debt service)	61,610	61,610	43,425	70	34,737	77
UK Hospitals	884,544	884,544	368,613	42	356,553	48
Auxiliary enterprises	128,901	130,204	69,798	54	63,589	50
Total Expenditure by Program	\$ 2,439,691	\$ 2,433,190	\$ 1,070,340	44	\$ 1,019,815	46

**EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE SIX MONTHS ENDED DECEMBER 31, 2009**

with comparative totals for December 31, 2008
(in thousands)

	2009-10				2008-09	
	Original Budget	Revised Budget	Expended To Date	%	Expended To Date	%
Personnel costs	\$ 1,114,016	\$ 1,120,649	\$ 611,249	55	\$ 581,721	53
Operating expenses	1,108,173	1,088,983	398,931	37	385,283	37
Mandatory transfers (debt service)	61,610	61,610	43,425	70	34,737	77
Capital outlay	155,892	161,948	16,734	10	18,074	36
Total Expenditure by Category	\$ 2,439,691	\$ 2,433,190	\$ 1,070,340	44	\$ 1,019,815	46

**CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE SIX MONTHS ENDED DECEMBER 31, 2009**

with comparative totals for December 31, 2008
(in thousands)

	2009-10	2008-09
Realized Revenues	\$ 1,147,567	\$ 1,074,331
Appropriated Fund Balances		
Current Unrestricted Fund	\$ 95,880	103,228
Total Appropriated Fund Balances	95,880	103,228
Total Revenues and Appropriated Fund Balances	1,243,447	1,177,559
Expenditures	1,070,340	1,019,815
Net Deferred Revenues and Appropriated Fund Balances	\$ 173,107	\$ 157,744

FCR 3

Office of the President
March 9, 2010

Members, Board of Trustees:

CONSTRUCT CENTER FOR APPLIED ENERGY
RESEARCH LABORATORY BUILDING #2

Recommendation: that the Board of Trustees approve the initiation of the Construct Center for Applied Energy Research Laboratory Building #2 capital project.

Background: The University of Kentucky's Center for Applied Energy Research (CAER) received an \$11,832,685 grant from the National Institute of Standards and Technology (NIST) under the "America Recovery and Reinvestment Act" federal stimulus package. This NIST grant will be used to construct a new standalone 36,000 gross square foot laboratory building at the Spindletop Research site. The new facility will include labs for process development and prototype manufacturing and testing to support applied research on batteries, capacitors, solar energy materials, and biofuels. The Kentucky Biofuels Laboratory also will be located within the new facility.

The initial scope of the project is \$16,276,913: \$11,832,685 will be funded from the NIST grant, \$3,500,000 million from the Kentucky Energy and Environment Cabinet; and the remaining \$944,228 from the University's Office of the Vice President for Research as the University's non-federal cost share. Additionally, a State Energy Plan demonstration grant for \$3,500,000 is being negotiated with the Energy and Environment Cabinet, to allow expanded energy efficiency and renewable energy technology within the building. If successful, the application will bring the total scope of the project to \$19,776,913. The University will request maintenance and operations funding for the facility from the state.

The interim capital approval process begins with the Board of Trustees, and if approved, the University then requests approval from the Council on Postsecondary Education (CPE) followed by the Capital Projects and Bond Oversight Committee. Because of the timing requirements of the NIST grant, President Lee T. Todd, upon consultation with Chair Mira Ball, submitted the project to CPE on February 11, 2010 prior to obtaining Board approval. CPE approved the project pending the Board's approval at its March 9 meeting. The project will be submitted to the Capital Projects and Bond Oversight Committee on March 15, 2010, if approved by the Board.

Action taken: Approved Disapproved Other _____

FCR 4

Office of the President
March 9, 2010

Members, Board of Trustees:

RENOVATE 4TH FLOOR SANDERS-BROWN CENTER

Recommendation: that the Board of Trustees approve the initiation of the Renovate 4th Floor Sanders-Brown Center capital project.

Background: The National Institutes of Health (NIH) has awarded the University a \$6,432,134 grant, funded from the America Recovery and Reinvestment Act federal stimulus package, to renovate 9,000 square feet of research support space in the Sanders-Brown Center. This project will include retrofitting outdated, non-compliant research support space and the associated mechanical equipment to meet current regulatory requirements.

The project is expected to be fully funded by the grant. NIH has notified the University that the funding will be available in March.

Contingent upon approval by the Board of Trustees, the University will submit this capital project for authorization to the Council on Postsecondary Education at its April 23, 2010 meeting and the Capital Projects and Bonds Oversight Committee at its May 18, 2010 meeting.

Action taken: Approved Disapproved Other _____

FCR 5

Office of the President
March 9, 2010

Members, Board of Trustees:

KENTUCKY CABINET OF HEALTH AND FAMILY SERVICES LEASE

Recommendation: that the Executive Vice President for Finance and Administration, or his designee, be authorized to negotiate and execute a lease between the University of Kentucky, as Lessor, and the Kentucky Cabinet of Health and Family Services, as Lessee, for Lot 35 in the Coldstream Research Campus, Lexington, Kentucky, and to execute all appropriate final record plats as to the future dedication of roadways and rights of way to the Lexington-Fayette Urban County Government necessary to access the property. Lot 35 consists of approximately 28.85 acres. The terms of the lease will include annual rent of one dollar (\$1.00) for a period of ninety-nine (99) years.

Background: As part of an agreement between the Commonwealth of Kentucky, Kentucky Community and Technical College System ("KCTCS"), the Lexington-Fayette Urban County Government, and the University of Kentucky, the University is committed to facilitating the relocation and construction of a new Eastern State Hospital at Coldstream Research Campus.

Per House Bill 406 of the 2008 Kentucky General Assembly, \$129,005,000 was authorized for the construction of the new Hospital. Per House Bill 406:

The Finance and Administration Cabinet and the Cabinet for Health and Family Services are authorized to execute a long-term lease with the University of Kentucky for property at the University of Kentucky's Coldstream Research Campus on Newtown Pike in Lexington, Kentucky for the sum of one dollar per year for 99 years, on which to locate a new mental health facility to replace Eastern State Hospital.

Construction of KCTCS buildings is proposed for location at the current Eastern State Hospital site. In recognition that KCTCS will have a residual presence within the University, the University and KCTCS will collaborate to designate and reallocate KCTCS buildings on the University's campus.

Action taken: Approved Disapproved Other _____

FCR 6

Office of the President
March 9, 2010

Members, Board of Trustees:

RENOVATE PARKING STRUCTURE #3 - HOSPITAL

Recommendation: that the Board of Trustees approve initiation of the “Renovate Parking Structure #3 – Hospital” capital project.

Background: Authorized by the 2008 Kentucky General Assembly, this project includes repairing the concrete topping on levels Two and Three; painting of pre-cast connections; installation of a new expansion joint at the structural slab transition; full depth slab repairs for levels Four, Five, and Six; and application of an elastomeric waterproofing membrane on Level Six. These repairs are needed to protect the structure from moisture penetration and resulting deterioration of concrete and embedded metals.

This project is expected to cost \$1 million, well within the 2008 General Assembly’s \$2,485,000 authorization. The project will be funded by UK HealthCare.

Action taken: Approved Disapproved Other _____

FCR 7

Office of the President
March 9, 2010

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

Recommendation: that the capital construction report for the three months ending December 30, 2009 be accepted. This report refers only to projects that had activity within this quarter.

Background: Under House Bill 622 enacted by the 1982 Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

For the period October 1, 2009 thru December 31, 2009:

There were three new contracts this quarter:

Project 2286.2	Renovate/Expand Center for Applied Energy Research - Phase II Denham Blythe, \$40,000 (<i>Design</i>)
Project 2303.0	Construct Children's Garden at the Arboretum Meyer Midwest, \$407,000 (<i>Construction</i>)
Project 2304.0	Renovate Central Computing Facility TP Mechanical, \$276,300 (<i>Construction</i>)

Two contracts were completed this quarter:

Project 2248.1	Replace Steam and Condensate Pipe – Farm Road LAGCO, Inc. \$1,042,315
Project 2299.1	Convert Hunt Morgan Space to Class Lab Denham Blythe, \$1,891,778

One amendment as follows:

Project 2239.0	Construct Patient Care Facility - Additional design services, additional renderings, revision of art work lighting, and additional expenses for the donor recognition design as well as additional construction administration services for the added auditorium AV systems. (+) \$281,162
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Thirty Three change orders greater than \$25,000 were as follows:

- Project 2234.0 Expand & Upgrade Livestock Disease Diagnostic Lab
- Upgrade of brick quality in order to facilitate a better match to existing brick. (+) \$44,983
 - Provide temporary paving around Necropsy. (+) \$64,388
- Project 2235.0 Construct Biological/Pharmaceutical Complex Building
- Provide a Local Area Network (LAN) connection to the Air Handlers in the Penthouse. (+) \$36,707
 - Delete epoxy flooring and wall coatings in select rooms. (-) \$31,759
 - Delete select floor finishes in 5th floor and Penthouse shell space. (-) \$66,566
 - Provide a concrete retaining wall and associated foundations as well as make sidewalk grade modifications at the north side of the building entrance. (+) \$33,526
 - Addition of a moisture emission sealer for the Vivarium concrete floor to allow for the epoxy floor installation. (+) \$49,837
 - Provide space programming reconfigurations to accommodate the newly announced Institute for Pharmaceutical Outcomes and Policy (IPOP). (+) \$109,342
 - Provide fit up of the food service area on the 1st floor. (+) \$261,125
- Project 2239.0 Construct Patient Care Facility
- Provide for payment of revised Prevailing Wage Rates. (+) \$69,965
 - Delete unnecessary flex connections for installation of air handling units. (-) \$25,727
 - Provide and install additional accessories, fittings, valves, and controls for the heat exchangers. (+) \$231,535
 - Provide shift premium to the steel contractor to accelerate steel erection. (+) \$27,631
 - Provide coax cable in lieu of CAT6 cable to provide local cable service to televisions in patient rooms. (+) \$101,492
 - Provide and install a 3,000 gallon concrete grease trap. (+) \$351,828
 - Revise electric and data outlets to allow for more efficient use of the space. (-) \$38,299
 - Upgrade grounding of medium voltage electrical systems to provide a high voltage system. (+) \$66,196
 - Revise and re-route one set of oxygen lines; add offset to oxygen lines at all steam line expansion joints in the tunnel. (+) \$36,720
 - Provide additional unit heater and cabinet unit heater DDC controls. (+) \$58,594
 - Provide additional 120 Volt circuits needed for the operation of the smoke dampers. (+) \$25,760
 - Provide patient lift system with a rail in select Acute and Acute Isolation restrooms on the 6th and 7th floors. (+) \$52,082
 - Provide dialysis boxes required for the 6th and 7th floor ICU patient rooms. (+) \$44,339
 - Reduce the number of drops and raceways for the wireless telemetry grid. (-) \$139,555

- Provide raceways, electrical wiring, and teledata wiring for wireless telemetry system to accommodate a change in the cable type and a redistribution of the antennas. (+) \$95,389
 - Revise the main duct mains in basement areas A and C. (+) \$38,833
 - Re-route existing electrical feeders to allow for installation of new utilities. (+) \$27,464
 - Provide a power change from an 80/20 emergency configuration to a 50/50 configuration in all patient rooms on the 6th and 7th floors. (+) \$53,632
 - Relocate data and power outlets and revise light switching on the 6th and 7th floors. (-) \$64,113
 - Provide one additional data outlet and power receptacle in 128 patient rooms. (+) \$207,802
 - Provide conduit for the door access intercom system. (+) \$41,512
 - Upgrade and revise the HVAC, electrical services, and IT infrastructure in the security/dispatch room. (+) \$48,070
 - Provide additional ventilation in the 4th floor CCC Mechanical tower to accommodate Swisslog equipment. (+) \$36,860
- Project 2248.1 Replace Steam and Condensate Pipe – Farm Road
- Revision of steam piping and relocation of storm piping to coordinate with existing buried utilities. (+) \$50,281

Action taken: Approved Disapproved Other _____

CAPITAL CONSTRUCTION CONTRACTS QUARTERLY ACTIVITY REPORT

This report contains capital projects that have a dollar scope value of \$600,000 and above. The projects are listed in alphabetical order and contain the project number, the dollar scope, a brief description of the project work and information pertaining to the primary consultant's contract and the primary contractor's contract. This information includes:

Consultant: This section contains the name of the consultant, the contract number, the original contract amount, the total number of amendments, the cumulative amendment amount, the revised contract amount, and a notes section. The notes section will describe, in bold face type, all amendments to the consultant's contract for the current quarter.

Contractor: This section contains the name of the contractor, the contract number, the original construction contract amount, the total number of change orders, the cumulative change order amount, the revised contract amount, information on the low, high and number of bids, the percent of the contract completed, and a section for notes. The notes section will describe, in bold face type, all change orders greater than \$25,000 for the current quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY REPORT

Glossary

Amendment: refers to modifications or changes to the Consultant's contract with the University, usually the result of changes in the program or scope of a project caused by unforeseen conditions or at the request of the University.

Change Order: refers to modifications or changes to the Contractor's contract with the University, usually the result of conditions not anticipated by the contract documents, plans and/or specifications for the work.

Consultant: the entity, usually an architectural or engineering firm, with whom the University contracts and who has primary responsibility for providing services to design and to administer the contract for construction of a construction project. The prime consultant frequently employs the services of one or more sub-consultants in fulfilling its contractual obligation.

Contract administration: refers to the activities of the consultant during the construction phase of the project in which they verify that construction is in compliance with the requirements of the contract between the University and the contractor.

General Contractor: the entity, usually a general contractor but occasionally a trade contractor, with whom the University contracts and who has primary responsibility for completion the construction of a project. This "prime" contractor usually employs the services of various subcontractors (trade contractors) and vendors in fulfilling its contractual obligations.

Feasibility Study: refers to investigation, usually commissioned through an outside consultant, to advise the University of the merits of an anticipated construction project, and to evaluate and comment on matters such as need, probably cost, preliminary program issues, and so forth.

Gross square feet: the total area of the project, generally measured from the outside of exterior walls for all floors of the project, including mechanical spaces.

H.B.C.: refers to the Commonwealth of Kentucky Department of Housing, Buildings and Construction, the state agency having responsibility for review and approval of University construction projects to assure compliance with applicable building codes.

Penthouse: refers generally to the enclosure around roof mounted mechanical spaces in a project. Not considered occupied (or net assignable) space.

Percent complete: as used in the report refers to the expenditure to date divided by the total amount of the construction contract for the work.

Net assignable square feet: the usable area of the project excluding corridors, rest rooms, storage areas, mechanical spaces, etc.

Phase: The various stages of design and construction of a capital project, including:

- Programming phase: refers to the initial planning phase of a project, during which the University (with or without participation by a consultant) defines in detail the needs and requirements of a project. This program becomes the basis for consultant selection, fee negotiation, and design of the project.
- Schematic design (S/D or Phase 1): refers to the first formal phase of design by the consultant, in which a general design solution to project programmatic requirements is developed.
- Design development (D/D or Phase 2): refers to the second formal phase of design by the consultant, during which the schematic design is expanded to identify solutions to design issues in broad terms, sufficient to insure the stated programmatic needs can be met.
- Construction document phase (C/D or Phase 3): refers to the final phase of the design process in which the details and specific project requirements are identified and incorporated in a final set of biddable construction documents.
- Bidding phase: refers to the stage of a project during which proposals for construction are solicited, culminating in the award of one or more contracts for construction.
- Construction phase (or Phase 5): refers to the actual construction of the project by contractors.

Scope: The total estimated value of a capital project on completion, including all design, construction and administrative costs as well as the cost of capitalized furnishings and equipment.

Substantial Completion: refers to the time during construction, certified by the consultant, when the work is sufficiently complete for the University to occupy the facility for its intended use without significant interruption. Upon certification of substantial completion, the University assumes operational and maintenance responsibility and insurance liability for the project and the warranty period begins for most elements of the construction. Some work generally remains, including correction of minor punchlist items, before the work is certified finally complete.

Trade Contractor: Sub-contractors, or individual bid package contractors, who practice a specific, trade (electrical, painting, structural steel, for example)

Major Projects
Capital Project Management Division
January 31, 2010

Construct Biological Pharmaceutical Complex Building

Project Description:

The Biological Sciences/Pharmaceutical Complex, was authorized by the 2005 General Assembly and will construct a 281,000 gross square foot facility that includes some "shell space" to allow for the growing research needs. This "shell space" will be fitted out for research laboratories at a later date as university funds and/or grant dollars become available. This new building will provide the college with a state-of-the-art facility that will also allow the college to expand enrollment to address the shortage of pharmacists in Kentucky. The College of Pharmacy will relocate to this new facility and vacate their existing space. In addition to the current Pharmacy Building, college faculty members are housed in 10 buildings on and off campus.

Project Status:

The Spring 2010 academic year began in the newly completed COP. Final Certificate of Occupancy was issued this past month. Project is on schedule to complete Vivarium, Tunnel, and Site Work by February 2010. Dedication was held on January 25, 2010. The project is completing approximately 80 close-out COs on second shift (5:30 PM to 3 AM) and will complete by April 1, 2010.

Construct Children's Garden at the Arboretum

Project Description:

The Children's Garden will be a safe 1.85 acres outdoor environment designed to help children 2 to 10 years old learn about plants and the environment. There will be an integrated combination of various "child-scaled" theme gardens, garden-like elements for experiential play, and gardens planted and maintained by children. This initial phase will construct the geographical features including rock escarpments; the stream and pond; and some of the walks and plantings.

Project Status:

Secondary electric and box has been installed and drainage from the quilt fountain, yard drains and future garden areas is ready to be connected. Progress continuing as weather permits.

Construct Patient Care Facility

Project Description:

The Hospital's present patient bed tower is over 40 years old. Although the space served patients well, the infrastructure is aging, and the facility can not accommodate rapidly developing technology. This project is providing the site location evaluation, building programming, planning, and design services for a new bed tower. The project design team is lead by GBBN with major sub consultants including AM Kinney, Ellerbe-Becket, Staggs and Fisher, Affiliated Engineers, THP Limited as well as a number of specialty consultants. This project will construct a new hospital addition to include patient beds, patient diagnostic and treatment areas, support areas, support facilities, medical equipment and infrastructure. The project also includes the replacement of the hospital parking garage and a connecting bridge to clear the site for the new hospital addition as well as land acquisition and utility relocation costs. The progress of all the components is listed below.

PCF – Building (BP #'s 3B, 4, 5 & 6):

Project Description:

This project includes:

- (BP #3B) Early Site Work
- (BP #4) The demolition of the existing Parking Structure # 4. The work will involve the start of all deep foundations up to the grade of the excavation.
- (BP #5) The construction of the new 1,022,000 gross square feet building's structural frame, fireproofing, exterior wall enclosure for all new building spaces, windows, exterior doors and roofs. Also included will be all exterior site improvements, civil design for roadways, drainage systems, landscaping, hardscape surfaces and walks.
- (BP #6) The interior fit-out partitions, interior finishes, doors and frames, ceilings, mechanical systems, electrical systems, technology systems, medical equipment and fire protection for approximately 490,000 occupiable square feet; and 532,000 square feet of shell space. The work also will include drawings and specifications for signage, interior furnishings and lighting.

Project Status:

- Core and Shell (BP #5)

Precast Concrete installation continues on the west tower progressing to the 11th level and thru the 9th level on the east tower. Installation of concrete on the metal decks continued this month with the last pour completed on January 21. Masonry work continued this month. The other exterior areas are completed. The framing for the West and North eyebrow parapet along with the framing at the Bridge structure continued during January. The fireproofing contractor continued fire proofing the tower structure steel for the west and east towers. The roofing contractor has been mobilized to the site to start their roofing installations. The sealant contractor continues to work on the Podium elevations and beginning work on the West elevation of the west tower. The window contractor continues to work on window installations on the Podium elevations and was virtually complete by February 2010. Work on the bridge window panels is progressing on night shift. Currently, the window panels are installed from the bridge midpoint eastward. Work will continue west of the midpoint early next month in coordination with the metal framing. Installation of the structural steel and decking is complete and topped out through the 17th level. Metal deck is coming to completion at the garage and Phase II lobby areas. The final structural elements to be installed are the south canopy and tower eyebrow installations. The mechanical work is wrapping up in the basement with all major components set and final connections proceeding. Pipe rack installation is complete, pipe installation is complete, and we are prepping for testing. The permanent systems will come online early in February. The BP 5 electrical work continues with installation of temporary power and lighting on the tower levels. Installation of conduit was completed on the third and fourth levels. HVAC mechanical ductwork is 98% complete on the third and fourth floors with final connections continuing. Insulation continues with this work and is continuing in the basement MER. A four day no cost contract extension was granted for unusually inclement weather. The new substantial completion date for the project is February 25, 2011.

- Fit up (BP #6)

Bid package 6 work is continuing throughout the structure again this month. The interior trades continue to work through the ground floor in all areas. The offices for the UK PCF project team are continuing in the basement this month. Priority wall installation for the sixth level patient floor is continuing on both the east and west sides of the tower. Swisslog has been installing the pneumatic tube system in the new construction on the third level. The metals contractor has been concentrating on stairs and elevator steel again this month. Stair 5 was completed in January through the podium. Stair 4 was installed from the basement to the ground level. The acoustical contractor continues to work in throughout the building this month. Drywall hanging is 88% complete on the ground level. Framing at the first level waiting area the second level is 90% complete this month. Framing and drywall hanging continues at level three. Level 4 is 95% finished and the painters have begun this month. Framing and top out of priority walls on the sixth level continued this month. Layout and top track are completed through level 11 this month and will proceed through the building ahead of the fireproofing. The painting contractor continues to prime and first coat the ED. Priming and first coat continues on the fourth level this month. Also priming and painting the basement construction offices began this month. The flooring contractor has finished working in the basement office areas. They will transition to the ground level in February. Installation of sprinkler drops in the ceilings is ongoing, the fire pumps are set and connected and the system in the basement is being prepped for temporary usage. Sprinkler pipe installation continues on third and fourth levels. The temporary/permanent standpipe remains fully functional to the fifth floor and continues to rise as we pour out the towers. The ground floor is substantially complete in the finished spaces and will complete with ceiling grid installation. Detailing of HVAC duct systems continued on the ground floor with the basement being substantially complete pending installation of ceilings and accessories in shelled space. Installation of storm piping is substantially complete and the focus is now the jumping of the risers up through the towers. Sanitary waste and vent piping continued on the ground level and basement levels. Potable water piping continued at the ground and basement levels. Installation of electrical wiring continues throughout the building. A 15 day contract extension was granted due to a Mechanical Coordination Issue on the Ground Floor ED areas. The new substantial completion date for the project is February 25, 2011.

Digital Village, Building 2

Project Description:

This project consists of the design and construction of approximately 43,000 gross square feet of research space for the Center for Visualization and Virtual Environments as well as selected research activities in the Department of Computer Science and the Department of Electrical and Computer Engineering. The facility must be sufficiently flexible in design so that it can be easily adapted to support research into emerging areas of interest. The structure should provide flexible office space and support facilities. This project will pursue LEED Certification.

Project Status:

Work continues to progress on the building and infrastructure. Below slab plumbing in the basement is nearing completion, with stone backfill to follow. Erection of structural steel has begun. Waterproofing of concrete basement walls should begin in early February with backfill to follow. The slab on grade for the theater space should pour in early February. KAWC to tie in to the water main located in Rose St. in mid-February. Infrastructure work at Phase 7 to begin in mid-February, which will temporarily close the eastern most entrance (Entrance "C") to the "E" and "R" parking lot north of College View Avenue. The western most entrance (Entrance "B") to the parking lots from College View Avenue will remain open at this time, as will the access from Lexington Avenue (Entrance "A"). The schedule has slipped due to a combination of excessive weather delays that prohibited and/or restricted construction activities and due to inefficiencies of pouring the basement walls. Substantial completion is now scheduled for December 20, 2010. The contractor is evaluating the sequence of activities in an attempt to regain some of the lost days.

Expand Ophthalmology Clinic - Hospital

Project Description:

This project consists of renovating approximately 12,500 square feet of space on the third floor of the Kentucky Clinic, some of which is currently occupied by Neurosurgery, in order to expand the existing Ophthalmology Clinic (also located on the third floor of the Kentucky Clinic) into the vacated space. It also includes refurbishing the existing Ophthalmology Clinic space. This project will necessitate phased construction activities to include expansion into the additional space as well as a full refurbishment of the existing Ophthalmology Clinic.

Project Status:

JRA and CMTA are providing the consulting services for this project. Meetings have been held to review conceptual plan options and to refine the space layout. Following approval of the conceptual plan, the overall space will be phased to allow incremental renovations to occur as funds become available. The first phase is to renovate the former Neurosurgery space. Early cost projections suggest a cost overrun for the first phase work. A meeting is scheduled to discuss the budget and in particular, the anticipated costs of mechanical and electrical systems for the renovated space.

Expand & Upgrade Livestock Disease Diagnostic Lab

Project Description:

New space is needed for more than one veterinary pathologist to perform animal postmortem examinations in addition to renovation of current necropsy space. New space is also needed to add molecular biology/special procedures capabilities to the laboratory. New technology in the form of an alkaline hydrolysis digester is needed to replace an aging incinerator. The LDDC is an office and laboratory building with net assignable space of 3,860 square feet for offices and 28,100 square feet of laboratories and support space. It is proposed to add 6,300 and 1,200 square feet (net) of new laboratory and office space, respectively. An existing necropsy laboratory and support space will be renovated (2,000 square feet net). An aging incinerator is the present means of carcass disposal. An alkaline hydrolysis digester will be installed for carcass disposal after renovating 2,000 square feet (net) of space to contain the digester.

Project Status:

Work this month includes continuation of brick façade over the metal stud walls and dense glass walls. Work continues with mechanical and electrical installation. Roofing began this week and windows and doors are being installed. The trailers received a Certificate of Occupancy this month. The entire pathology wing has relocated into the two trailers on site and asbestos abatement has begun. The 10,000 pound tissue digester is complete and the ownership was transferred to the University. The digester has been insured by UK and remains stored at the manufacturer. Manufacturing of the second 10,000 pound tissue digester continues this month. The Factory Acceptance Test is scheduled for mid-February 2010. Both digesters are scheduled to be shipped to the site in mid-April 2010.

Renovate Central Computing Facility

Project Description:

This project will entail replacing existing batteries and air conditioning units in the existing computing facility in McVey Hall to ensure sufficient power distribution and cooling for the next few years. UKIT will continue development of long term plans for a new facility.

Project Status:

The pads for the new cooling units have been poured. All piping for the new cooling units is installed. The chiller delivery is scheduled for February 19. Substantial completion is scheduled mid-March, 2010.

Renovate Koinonia House (aka Schmidt Vocal Art Center)

Project Description:

The project continues the renovation of the Vocal Arts Center, which houses the UK Opera Program. The facility was acquired by the University in 1991. At that time, some of the first floor was renovated, but the second floor of the building remained shelled space. The current project includes upgrades to the electrical and mechanical systems, installation of a sprinkler system, a new stairwell, and an elevator. The project also begins fitting out the second floor to address needs created by the recent growth of the Opera Program. The second floor will eventually include rehearsal rooms, teaching studios, classrooms, practice rooms, a dance studio, and office space for staff and graduate assistants. Following this initial phase, additional shell space remains for a later project or phase.

Project Status:

Project is now substantially complete.

Renovate/Expand the Center for Applied Energy Research

Project Description:

The primary purpose of this project will be multi-phased project incorporating the following components:

Mineral Process Lab Building - The initial phase of the project will require the construction of a 6,400 square foot support building. This new facility will permit the relocation of the existing mineral processing group, currently housed in the Center's main facility. The existing equipment will be relocated along with support space, offices and labs. Relocation of the Crushing Room - The existing Crushing Room is to be relocated into an existing facility originally built for dry storage of coal. Bio-fuels Highbay Lab Fit-up and Improvements - Renovated space, vacated by the Mineral Processes Lab in above, will allow for the development of a bio-fuels laboratory for production, characterization, and testing of biomass derived fuels, with a focus on biodiesel, bio-oils, and biomass derived Fischer-Tropsch liquids. The principle objective of this project is the establishment of a laboratory dedicated to the development of improved processes for biomass utilization, with the goal of supporting the development of the biofuels industry in Kentucky. Combustion High Bay Hoods - The existing Combustion/High Bay Lab will have a new bench top fume hood and a new walk-in hood installed with required ductwork and exhaust fans.

Project Status:

MEP rough-ins in the new Biofuels High Bay Lab are complete. Work for February includes painting, installation of the mezzanine, lighting and controls.

Repair, Upgrade, Improve Building Systems – Hospital (Elevators Upgrade for KY Clinic)

Project Description:

This project encompasses refurbishing eight elevators in KY Clinic. The refurbishment includes replacing all cab finishes, lighting, major wear components, telephones, and controls. The elevators will be refurbished one at a time so as not to impact patient care. This project allows the Hospital to maximize elevator uptime and improve the aesthetics of the elevators. The Hospital relies on these systems for the efficient transfer of patients, visitors, supplies, and equipment.

Project Status:

Oracle Elevator has completed all submittals and received most of their materials. Elevator #8 and #2 refurbishments are nearing completion. Once these elevators are returned to service, the adjoining elevators will be refurbished. The project is on schedule at this time.

Replace Emergency Generators & Fire Pump - UK Good Samaritan Hospital

Project Description:

The scope of this project will consist of the replacement or upgrades of the following equipment at the Good Samaritan Hospital: emergency generator, transfer switches, air handling unit #25, constant pressure water pumps, deareator tank, and a fire pump.

Project Status:

- Bid Package #1(Deareator Tank)

A change order was initiated on January 20, 2010 to add controls for the deareator tank, as the pumps should be able to communicate with the boilers for proper maintenance and control of the system. This work should be complete by February 26, 2010.

- Bid Package # 2 (Emergency Generators, Transfer Switches, AHU and a Fire Pump)

The equipment pads have been poured; conduit rough-in has progressed in C125 and conduit has been roughed-in for the selector switches to the generator pad. Anticipated work to begin on the generator intake in early February; booster bump will be installed, duct bank will be stubbed into the generator room and wire will be pulled through the duct bank. Work will begin on the shaftwall, the main tank pad will be poured and site concrete will follow. Substantial completion is currently scheduled for May 31, 2010.

Upgrade-Renovate-Improve or Expand Research Labs (Renovate Research Labs in Chemistry-Physics Building)

Project Description:

The space vacated by the relocation of the Chemistry and Physics libraries will be converted into research and research support space. This renovation will create three wet bench research labs to accommodate three new faculty lines in Chemistry, and one materials lab for Physics. The materials lab will be a multi-disciplinary lab that will be used by Chemistry, Physics and Engineering.

Project Status:

Contractor bids were opened on January 22, with Denham-Blythe Contractors being the low-bidders. Construction should begin in early February with substantial completion scheduled for August 2, 2010.

ARS - Agriculture Project

Project Description:

The Forage Animal Production Research Laboratory will serve the Forage Animal Production Research Unit for the USDA - ARS on the campus of the University of Kentucky. The mission of the FAPRU is to improve productivity, profitability, competitiveness and environmental sustainability of forage based enterprises within the transition zone of the Eastern and Midwestern United States. Multidisciplinary teams to work to identify, evaluate, and manipulate genetic and physiological factors, using for the enhancement of food animal and equine health and performance in forage based enterprises as well as to improve forage plant persistence, quality, and production. Three to four story building with approximately 65,000 gross square feet of reasearch labs, equipment and support rooms, offices and conferences rooms. The program also includes approximately 12,000 gross square feet for greenhouses and head house.

Project Status:

A 100% Construction Documents review meeting proposed for May 2009 was again delayed to early 2010 due to , Federal funding delays.

Expand Coldstream Research Campus – Redundant Power Supply

Project Description:

The main electrical service to Coldstream Research Campus is currently supplied by Kentucky Utilities through a combination of overhead supply and underground distribution systems. The main 12KV power supply is delivered by an overhead circuit from Kentucky Utilities' IBM station. Several existing and future projects require that Coldstream Research Campus have a redundant electrical power supply available. The immediate redundant power needs are for the first building in the Lexhold Technology Center (under construction), Exstream Software buildings (under construction), the LFUCG Emergency Operations Center (being designed) and the UK Data Center (in design). In order to successfully market the Coldstream Research Campus in the future, separate and redundant power feeds will be essential.

Project Status:

Work on the installation of the new duct bank is approximately 70% complete and will continue through February as weather permits.

Renovate Nursing Building

Project Description:

This project will renovate and convert a covered patio on the third floor of the Nursing Building into student study and discussion space. Additionally, minor enhancements will be made in some fifth floor graduate student space. The project is fully funded from a U.S. Department of Health and Human Services, Health Resources and Services Administration grant.

Project Status:

A design contract was issued to Stengel-Hill Architecture. A kick-off meeting was held on January 25 with the design team and University representatives. It was agreed to combine the first two phases of design, since the initial schematics were complete via an earlier study. Design Development documents are scheduled to be delivered on March 3, 2010 with a review meeting scheduled for March 10, 2010.

Renovate Nutter Training Center Meeting Rooms

Project Description:

The existing spaces created for meeting room space for the individual position room and the divided space for the entire team meeting space are not functional for today's meeting space needs. With the recent conversion of the existing racquetball courts into one large theatre style meeting room for the entire team, similar rooms are needed to allow the team to meet in smaller groups as needed for the different positions, i.e., offensive line, defensive line, quarterbacks, running backs, wide receivers/tight ends, etc. Entire renovation will be within the current square footage allotted for this function.

Project Status:

All concrete steps for new meeting rooms are complete. MEP rough-ins are complete and drywall installation is ongoing. Work scheduled in February to include completion of all construction so that installation of the seating and furniture can begin on March 1.

Replace Wildcat Lodge Student Housing (Wildcat Coal Lodge)

Project Description:

This project consists of the design and construction of a new housing facility of approximately 18,000 GSF to replace the existing Wildcat Lodge. The new facility is to house the UK men's basketball players in addition to other non-athlete students. The building will include a controlled main entryway, with combination living/bedroom spaces, a common space, with dining and gaming rooms. In addition, it will have a conference room and public restrooms in all common areas. The site will be located just east of the Joe Craft Center.

Project Status:

JRA/Ratio Architects have been selected to provide design services. The initial kick-off meeting was held on January 8, and programming meetings were held on January 21. The next program/design meetings are scheduled for Feb. 4. The advertisement for Construction Management Firms has been issued, with proposals due Feb. 2. Shortlisted CM firms are to be interviewed on Feb. 25.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Biological Pharmaceutical Complex Building

Project Number: 2235.00

Project Description:

Scope: \$134,227,101

The Biological Sciences/Pharmaceutical Complex, was authorized by the 2005 General Assembly and will construct a 281,000 gross square foot facility that includes some "shell space" to allow for the growing research needs. This "shell space" will be fitted out for research laboratories at a later date as university funds and/or grant dollars become available. This new building will provide the college with a state-of-the-art facility that will also allow the college to expand enrollment to address the shortage of pharmacists in Kentucky. The College of Pharmacy will relocate to this new facility and vacate their existing space. In addition to the current Pharmacy Building, college faculty members are housed in 10 buildings on and off campus. Consolidation of the research and teaching expertise of the eighth ranked College of Pharmacy in the U.S. will further strengthen the instruction of students and research collaboration among faculty. The project is expected to be complete by December 2009.

Consultant: EOP Architects

Contract # A061150/A071060

Total Amendments to Date: 4

Original Contract Amount: \$10,585,020

Cumulative Amendment Amount: \$1,083,566

Describe all amendments :

Revised Contract Amount: \$11,668,586

Amendment #1, 5/8/07, an increase of \$220,700, was approved for additional design services to provide an additional research floor as well as a new utility tunnel. This amendment also provides for wind tunnel testing as well as a specialized lighting consultant. **Amendment #2**, an increase of \$282,276, was approved for Structural engineers to redesign the foundation and sub-slab connections due to discovery of unforeseen solution channel to bedrock and to redesign the first floor from a steel frame to a concrete frame. Additionally the amendment was to design a Donor Recognition Program that will address the range of donor opportunities as outlined by the University, as well as a standard program of plaque recognition. Pinpoint Associates are to locate all underground utilities beginning with Parking Structure # 2, traveling on Washington Avenue and ending at Limestone Avenue. This amendment also provides for an independent third party consultant to provide for all Special and Material Testing as required by the construction documents and 2007 KBC. **Amendment #3**, 1/13/09, an increase of \$344,270 was approved for additional scope of work necessary to accommodate the fit-up of the fourth and fifth floors as a separate stand alone package. The amendment also provides for additional structural engineering services associated with the addition of an elevator machine room, reinforcement of chilled water pipe structure at the Utility Tunnel and expediting design and construction documents for Bid Package 4. Additional special inspection and testing services are also included as well as civil engineering services associated with the survey, plat and filing as necessary for the permanent closure of Leader Avenue. **Amendment #4**, 6/9/09, an increase of \$236,320 was approved for additional scope of work necessary to accommodate the pre-construction phase of the 4th and 5th floor fit-up, the 1st floor food services facility fit-up and construction documents for the mast arm traffic signal and the steam line extension design. **No amendments this quarter.**

Contractor: Messer Construction Co. (Construction Manager At-Risk) Note: This is a 'CM-At-Risk' contract.

The amount will be increased as subcontracts are bid and added. *Messer's contract was awarded by RFP process. Contract % complete was calculated using the estimated \$107 Million Construction Budget.

Contract # 4500007696

Total Change Orders to Date: 313

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount: \$108,761,195

Cumulative Change Order Amount: \$3,903,623

Revised Contract Amount: \$112,664,819

Contract Percent Complete: 99%

Describe all change orders greater than \$25,000.00:

Change Order # 1, 9/4/07, was approved for an increase of \$46,616 to provide additional excavation and a storm manhole. The design work for underslab perimeter drainage was completed after the excavation package was bid.

Change Order # 4, 10/3/07 and increase of \$67,340 was approved to provide a 3 foot wide trench as part of Bid Package 1 instead of being included as scope of work in Bid Package 4. This will provide a possible cost

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Biological Pharmaceutical Complex Building Change Orders greater than \$25,000 Cont...

savings due to the efficiency of the excavation contractor being on site. **Change Order # 10**, 2/1/08, was approved for an increase of \$29,633 to add compacted crushed stone fill across the basement floor area. The added stone will provide a work base for first floor slab construction scaffolding. **Change Order # 17**, 2/1/08, was approved for an increase of \$25,146 to provide depressed slabs at floors 3 and 5 for installation of Cold Rooms. This will allow for recessed floor at the cold rooms without a curb or ramp. **Change Order # 18**, 5/27/08, was approved for \$329,492 to provide additional canopy at the south side of the building. This was added to Bid Pack 3 instead of placed with Bid Pack 4 as originally planned. Keeping the steel with one trade contractor provided economy due to ease of coordination and additional mobilization costs. **Change Order # 19**, 2/1/08, was approved for an increase of \$121,994 to provide changes to the structural steel package due to design modifications in Bid Package 4. **Change Order # 26**, 5/29/08, was approved for \$43,186 to provide structural columns at the north side of the penthouse. **Change Order # 29**, 3/31/08, was approved for an increase of \$62,605 to provide re-bar revisions at locations of recessed base plates. **Change Order # 40**, 7/25/08, was approved for an increase of \$118,200 to modify skin attachments and slab edges to remedy the conflict between existing structural steel and skin attachments. The conflict is due to the fast track nature of the bid packages. Modifications to skin attachments and slab edges are necessary to achieve the design intent. **Change Order # 43**, 8/22/08, was approved for \$39,323.71 to increase one (1) penthouse distribution panel from 600A to 800A. This also increases the feeder to this panelboard and feeders to the modular chiller skid and dry cooler. **Change Order # 53**, 9/24/08, was approved for an increase of \$36,064 for necessary steel required at the window jambs. As a result of the fast track nature of the bid packages the steel package was bought prior to the design of the window details. **Change Order # 55**, 9/24/08, was approved for an increase of \$60,874 to provide revised openings at penthouse roof. This is a result of final coordination of the penthouse roof structure with the actual RTU/curbs provided. **Change Order # 57**, 10/14/08, was approved for an increase of \$31,978 to repair the roadway cuts along Washington Avenue where Columbia Gas has installed their new gas line. The work was originally contracted with Columbia Gas but is being moved to the Construction Manager's scope to provide uniform restoration of Washington Avenue asphalt work. **Change Order # 58**, 10/16/08, was approved for an increase of \$88,473 to provide for Washington Avenue communications manhole expansion due to concealed conditions regarding existing utilities. **Change Order # 62**, 11/11/08, was approved for an increase of \$29,298 to provide for a change in selected wood finish in public spaces to cherry versus beech in lab, support and research spaces. **Change Order # 66**, 10/21/08, was approved for an increase of \$27,627 to provide additional coordination between Bid Packs 2, 3 and 4. **Change Order # 74**, 12/11/08, was approved for a decrease of \$30,500 to delete creation of the graphics programming on controls. The University will design it's own graphic screens. **Change Order # 97**, 3/3/09, was approved for an increase of \$136,955.83 to provide steel columns as required for the elevator structure. The contract documents did not adequately address all of the structural elements needed to accommodate the elevators. **Change Order # 103**, 3/4/09, was approved for an increase of \$34,234.30 to provide replacement of unsuitable soil at the site for the generator building expansion due to a differing site condition. **Change Order # 106**, 3/4/09, was approved for an increase of \$65,825.76 to revise tile and carpeting in order to maintain design consistency with the interior furnishings and finishes. This change also provides for a more durable floor in the higher traffic areas. **Change Order # 107**, 3/3/09, was approved for an increase of \$32,071.07 to provide cherry wood panels in lieu of metal panels at the sides of the media wall. The wood panels will provide a more uniform appearance to the proposed media wall in the atrium. **Change Order # 117**, 3/9/09, was approved for an increase of \$45,307 to provide for extension of gypsum board, on one or both sides of metal studs, to the deck above. **Change Order # 104**, 4/3/09, was approved for an increase of \$72,000 to provide alternate steam design as compared to the original bid due to value engineering during the post bid addendum negotiations. **Change Order # 126**, 5/5/09, was approved for an increase of \$45,307 to resolve conflict between mechanical circuit schedule and distribution panel schedules. **Change Order # 127**, 5/5/09, was approved for an increase of \$43,873 to provide additional coordination between the ceiling grid and the light fixture required to account for the actual size of the light fixture. **Change Order # 134**, 6/2/09, was approved for an increase of \$26,967 to provide the required service area above ceiling and to modify the sizing of HVAC and the communication installations allowing for revision in the atrium make-up air. **Change Order # 141**, 4/16/09, was approved for an increase of \$52,374 to provide modifications to the finish carpentry in the floor 1 and 2 auditoriums. Additionally, this change order provides modesty panels and wireways at each row of strip tables in all auditoriums. **Change Order # 159**, 4/24/09, was approved for an increase of \$166,314 to extend Construction Manager Services to allow the completion of the Vivarium due to bid package and steel delays. **Change Order # 160**, 4/30/09, was approved for an increase of \$80,706 to provide for increased cost for installation of the waterline by Kentucky American Water Company. **Change Order # 164**, 4/20/09, was approved for an increase of \$27,478 to convert storage room 501C to create an egress exit out of the Vivarium in order to meet KY Code requirements. **Change Order # 196**, 7/9/09, was approved for an increase of \$28,403 to provide a dedicated exhaust duct system and roof fan for basement Vivarium laundry dryers. **Change Order # 222**, 8/21/09, was approved for an increase of \$143,046 to provide alternate shaft caps at the tops of ten (10) shafts that extended upward through the building to the Penthouse Floor. Additionally, this change order includes structural walking surfaces above the rated assemblies and cement board

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

decking in lieu of fire retardant plywood. **Change Order # 224**, 8/21/09, was approved for an increase of \$58,339 for ceiling modifications at Floor 5 to construct beam wraps, to add a storefront smoke separation at the top of the atrium, and to add a large architectural grill with plenums above. **Change Order # 228**, 8/18/09, was approved for an increase of \$55,804 to provide ceiling modifications to include rulon cubes and the addition of plenum boxes and associated ductwork. **Change Order # 231**, 9/9/09, was approved for an increase of \$31,007 to relocate tower drives from the top of the tower to inside the CUP building. **Change Order # 241**, 10/5/09, was approved for an increase of \$36,707 for provision of a Local Area Network (LAN) connection to the Air Handlers in the Penthouse. **Change Order # 242**, 10/6/09, was approved for a decrease of \$31,759 to delete epoxy flooring in select rooms and replace with sealed concrete, and to delete epoxy wall coatings in select rooms and replace with block filler and paint. High performance coatings are not required in these rooms that are outside of the Vivarium. **Change Order # 243**, 10/6/09, was approved for a decrease of \$66,566 to delete select floor finishes on floor 5 and at the Penthouse. Because the area is a shell space, there is a potential for incompatibility between the sealed concrete and future floor finishes. The epoxy finish is also being deleted. **Change Order # 248**, 10/5/09, was approved for \$33,526 to provide a concrete retaining wall and associated foundation at the north side of the building entrance. Changes in the grade at the sidewalk, as well as a modification to the architectural catch basin are also provided for in this change. **Change Order # 259**, 10/5/09, was approved for \$49,837 to add a moisture emission sealer for the COP vivarium concrete floor. This will remedy high moisture content at the slab and create conditions within the manufacturer's requirements for the epoxy floor installation. **Change Order # 282**, 11/25/09, was approved for an increase of \$109,342 to reconfigure programming space to accommodate the newly announced Institute for Pharmaceutical Outcomes and Policy (IPOP). **Change Order # 307**, 11/25/09, was approved for an increase of \$261,125 to include fit-up of the Food Service area on the first floor. The "fit-out" consists of designed mechanical, electrical and plumbing systems/devices/finishes; wall, floor and ceiling finishes and casework/millwork. It does not include food service equipment.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Children's Garden at the Arboretum

Project Number: 2303.00

Scope: \$488,720

Project Description:

The Children's Garden will be a safe 1.85 acres outdoor environment designed to help children 2 to 10 years old learn about plants and the environment. There will be an integrated combination of various "child-scaled" theme gardens, garden-like elements for experiential play, and gardens planted and maintained by children. This initial phase will construct the geographical features including rock escarpments; the stream and pond; and some of the walks and plantings.

Consultant: M2D Design Group

Contract # A091040E #1

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:	\$35,068
Cumulative Amendment Amount:	
Revised Contract Amount:	\$35,068

Contractor: Meyer Midwest

Contract # 4500036412

Total Change Orders to Date:

Low Bid: \$407,000

High Bid: \$479,620

Number of Bids: 2

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Original Contract Amount:	\$407,000
Cumulative Change Order Amount:	
Revised Contract Amount:	\$407,000
Contract Percent Complete:	7%

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Patient Care Facility

Project Number: 2239.0

Scope: \$532,300,000

Project Description:

This project will construct a new hospital addition to include patient beds, patient diagnostic and treatment areas, support areas, support facilities, medical equipment and infrastructure. The project also includes the replacement of the hospital parking garage and a connecting bridge as well as land acquisition and utility costs. (Note: The project design scope at the present time is \$532 Million as has been discussed with the BOT.)

Consultant: GBBN, Inc.

Contract #	A061080	Original Contract Amount:	\$30,400,226
Total Amendments to Date:	6	Cumulative Amendment Amount:	\$6,703,793
Describe all Amendments:		Revised Contract Amount:	\$37,104,019

Amendment # 1, 9/12/06, was approved for \$299,044 for additional design services required to design two additional floors for the parking garage. This amendment also provides for additional survey services associated with the rerouting of the ductbank; the addition of 12 receptor sources to be studied as part of the existing wind study; and the need for additional storm water modeling to review the impact on the new Patient Care Facility during heavy rain storm events. **Amendment # 2**, 2/13/07, was approved for additional design services associated with increased scope including but not limited to increased renovation of areas in the existing hospital (all phases); development of early bid packages for infrastructure and site utility packages and temporary emergency department access; development of on additional bed wing (all phases); development of partial cafeteria (all phases); design of fitup for two additional floors (shell only - all phases); design of a redundant communications feed (all phases); additional design services associated with security systems; development of design of Phase 1B air handlers (thru DD's) and additional design of costs associated with relocation and installation of above ground and underground fuel tanks (all phases). Also included is additional reimbursable services for added geotechnical rock investigation; additional site survey and added construction testing. **Amendment # 3**, 5/13/08, an increase of \$868,849 was approved for additional design services to include completion of the PCF Auditorium and construct a mock up in the College of Nursing. It also provides for 3D images and graphic design services for fund raising efforts as well as additional costs associated with preparing early and separate supplemental bid packages. **Amendment # 4**, 4/14/09 an increase of \$332,700 was approved for expanded design services to include completion of design of the surgery waiting expansion, the expansion of Children's Hospital entry, changes in the auditorium design, refining several infrastructure elements and further development of the gift shop case work. **Amendment # 5**, 6/9/09, an increase of \$72,151 was approved for implementation of a GIS facility management system to import and manage data associated with buildings and spaces, with the ability to expand for future inclusion of detail equipment, finishes and furniture data information. **Amendment 6**, 10/13/09, an increase of \$281,162 was approved for additional design services, additional renderings, revision of art work lighting and additional expenses for the donor recognition design as well as additional construction administration services for the added auditorium AV systems.

Contractor: Turner Construction Co.

*Note: This is a 'CM-At-Risk' contract. The amount will be increased as sub-contracts are bid and added. *Turner's contract was awarded by RFP process.*

Total Change Orders to Date:	312	Original Contract Amount:	\$317,893,052
Low Bid:		Cumulative Change Order Amount:	\$11,411,040
High Bid:		Revised Contract Amount:	\$329,304,092
Number of Bids:		Contract % complete:	65%

Describe all change orders greater than \$25,000.00:

Change Order # 1, 6/4/07, was approved for an increase of \$91,344 to facilitate the procurement strategy and meet the project timeline. A portion of select infrastructure was transferred from Bid Pack 4A to the earlier Bid Pack 3B. **Change Order #2**, 5/31/07, was approved for an increase of \$65,296 to relocate the existing direct buried steam lines and pressurized drain found to be in conflict with the new tunnel. Since the lines must be moved they will be relocated into the new tunnel to make the lines accessible for maintenance. **Change Order # 3**, 7/11/07, was approved for an increase of \$58,928 to relocate an existing sanitary sewer manhole and associated lines to sanitary sewer manhole and associated lines to resolve underground utility conflicts. **Change Order # 5**, 9/6/07, was approved for an increase of \$49,439 to replace and relocate deteriorated and inaccessible 2 1/2" and 1 1/2" underground direct buried steam lines that were revealed upon excavation. **Change Order # 9**, 10/3/07, was approved for \$2,840,457 to convert the TC-01 Sitework (RAM Engineering) Rock Allowance to an unspecified status. Ram will be responsible to remove all the rock associated with their scope of work for BP 3B and as defined by the Geotechnical Report. This is due to misinterpretation of site conditions. Actual rock removal quantities are greater than estimated quantities in the contract documents. **Change Order # 10**, 10/1/07, was approved for an increase of \$55,314 to convert the portion of the six existing sanitary and storm sewer lines that pass through the main tunnel to ductile iron to ensure that these lines don't rupture. The increase also provided for re-routing the existing water lines to avoid conflict with the new tunnel. **Change Order # 11**, 10/4/07, was approved for an increase of \$47,780 to replace and re-route the existing domestic water line as well as reroute the existing water main. **Change Order # 13**, 10/9/07, was approved for \$40,755 to relocate approximately 150' storm line and associated manhole due to conflict with the new Cooling Plant # 3 utility tunnel. **Change Order # 21**, 11/21/07, was approved for an increase of \$53,383 to convert the 7000KVA transformer from aluminum to copper windings, add an air switch to the transformer and add a medium voltage outdoor breaker with remote monitoring and control capability to all five electric substations. These changes were made to increase the efficiency of the system and to meet specifications of upcoming substation upgrades. **Change Order # 23**, 12/10/07, was approved for a decrease of \$48,383 to alter the installation of the cold water and the cold water return piping system to avoid conflict with sensitive boiler equipment. **Change Order # 27**, 12/5/07, was approved for an increase of \$144,844 to provide saw cutting

Construct Patient Care Facility Change Orders greater than \$25,000 Cont....

of the pedestrian walkway and walkway roofing instead of traditional hammering. The saw cutting method will reduce noise and vibration impacts to the adjacent Critical Care Facility. **Change Order # 32**, 1/18/08, was approved for an increase of \$33,072 to enlarge the existing emergency generator pads to support installation of new diesel generators due to changes in EPA regulations. **Change Order # 34**, 2/1/08, was approved for \$388,426 to provide additional construction manager's staff and general conditions due to an additional two floors being added to the Patient Care Facility. **Change Order # 42**, 3/20/08, was approved for an increase of \$128,502 to extend the limits of the blasting and excavation of rock in Bid Pack # 4 to accommodate the installation of sanitary line. The rock removal was originally intended for Bid Pack # 5, but will provide cost savings if moved to Bid Pack # 4. Early rock removal will also eliminate blasting after installation of foundation in Bid Pack # 4. **Change Order # 43**, 3/31/08, was approved for an increase of \$229,016 to increase the rock allowance due to greater rock quantities than anticipated. **Change Order # 58**, 4/23/08, a decrease of \$60,522 was approved to utilize the existing ductbank for the new conductors. The existing ductbank was lower than expected and did not interfere with the new storm tunnel. **Change Order # 60**, 4/23/08, was approved for \$34,827 to increase the ductbank from six to twelve conduits to match the size of the existing ductbank. **Change Order # 66**, 5/6/08, was approved for \$42,700 to offset new 36" chilled water lines. A 2" foam glass board insulation was put in place between the chilled water and high pressure steam line due to unexpected elevation. **Change Order # 68**, 6/4/08, was approved for \$39,794 to perform rock removal while classes are in session using a rock trenching machine so as not to disturb teachers, students and staff in the Ag. Science Bldg. **Change Order # 71**, 5/7/08, was approved for \$47,571 to install four new handicap ramps and thermoplastic street marking to comply with ADA standards. **Change Order # 73**, 6/6/08, was approved for \$37,090 to remove and replace water meter vault that is in the CP # 3 tunnel excavation footprint due to location of hidden chilled water lines. **Change Order # 85**, 6/4/08, was approved for \$28,092 to revise alignment and depth of electrical ductbank that runs from Scott Street toward Electric Substation # 2, and revise the location of its associated manhole. This will insure proper cover for manhole and ductbank when future changes are made in this area. **Change Order # 90**, 6/9/08, was approved for \$42,604 to install new ductbank at a depth greater than planned due to concealed high voltage ductbank. **Change Order # 98**, 7/3/08, was approved for an increase of \$62,263 to modify the tower crane foundation. This was required due to unsatisfactory rock with mud seams and fractures found in the west tower crane location. **Change Order # 102**, 7/14/08, was approved for an increase of \$50,183 to increase the size of both main electrical duct banks. **Change Order # 110**, 7/30/08, was approved for an increase of \$25,219 to remove an additional 240 linear feet of bad soil on Scott Street that is unsuitable for the new duct bank. Excavated material will be replaced with flowable fill concrete. **Change Order # 121**, 8/25/08, was approved for an increase of \$32,912 to provide additional excavation for fuel tanks to meet required depth. **Change Order # 127**, 8/22/08, was approved for an increase of \$43,493 to perform additional excavation at area "A" shear wall/grade beam due to unsuitable rock. Excavated material will be replaced with 4000 psi concrete. **Change Order # 128**, 8/22/08, was approved for an increase of \$30,655 to perform additional excavation at area "B" shear wall/grade beam due to unsuitable bearing. Excavated material will be replaced with 4000 psi concrete. **Change Order # 130**, 9/9/08, was approved for an increase of \$27,914 to provide control of the control valves with a selector switch and indicator lights. The switch will allow the operator to select which tank to pull oil from. **Change Order # 138**, 9/22/08, was approved for \$40,322 to provide a larger drilling rig needed to sleeve and bore larger holes due to the composition of the subgrade. **Change Order # 139**, 9/22/08, was approved for \$27,303 to sawcut the CCC tunnel footers and slab as required for the 16" sanitary pipe to pass thru the tunnel. The pipe will be sleeved to prevent shearing and concrete and resteel placed around the sleeve. This is due to the existing sanitary pipe being lower than expected. **Change Order # 143**, 9/29/08, was approved for \$33,766 to excavate 6' to 7' below design elevation on the 12.3 line from Column Line D.1 to the southeast corner. Excavated material will be replaced with 4000 psi concrete and added resteel. This is due to found rock. **Change Order # 159**, 11/3/08, was approved for an increase of \$38,301 to offset the chilled water piping to avoid conflict with the communications tie-in to the cable tray. **Change Order # 164**, 11/26/08, was approved for an increase of \$34,881 to install a high pressure steam valve and a pump discharge valve in the main on the south side of the Gill Heart tap. This will reduce the outage to the Gill Heart building and the Critical Care to less than one day and will eliminate future outages. **Change Order # 165**, 11/26/08, was approved for an increase of \$73,079 to provide for additional removal of rock and soil and to provide additional concrete for the drilled piers. Found subsurface conditions required deeper piers than were required by contract. **Change Order # 173**, 1/20/09 was approved for an increase of \$39,957 to provide for additional cost required to bore under existing gas line for the new 24" sanitary main. The original gas line was not relocated by Columbia Gas as originally planned due to access issues. **Change Order # 177**, 3/2/09, was approved for an increase of \$51,550 to remove existing unsuitable soil where fuel tanks were removed. Backfill and compact will be added to grade. **No change orders over \$25,000 this quarter.**

BP # 5 Change Orders

Change Order # 2 BP # 5, 6/30/08, was approved for \$36,359 to provide and install 48" diameter sump pit and piping, for the existing subsurface groundwater. This addressed the field condition of ground water that has been observed in open excavations. **Change Order # 4 BP # 5**, 7/23/08, was approved for \$26,723 to provide two mock-ups of the architectural precast panels. **Change Order # 7 BP # 5**, 9/15/08, was approved for an increase of \$39,922 to provide concrete shear walls at Column Line 12/E-F and Column Line 12/L-M, ground level to underside of third level. **Change Order # 8 BP # 5**, 9/30/08, was approved for an increase of \$26,540 to add one (1) gate valve and three (3) triple duty offset butterfly valves to high pressure steam lines. The original design did not allow for a partial cut-off of steam supply to the building. **Change Order # 20, BP # 5**, 12/2/08, was approved for an increase of \$38,438 to provide concrete shear walls in accordance with the structural documents. The trade contractors scope descriptions failed to assign this task. **Change Order # 24, BP # 5** 2/6/09 was approved for an increase of \$27,389 to revise the medium voltage cable spec to meet published UK standard. **Change Order # 26, BP # 5**, 1/20/09 was approved for an increase of \$25,547 to provide additional doors to the freight elevators allowing access to these elevators from the exterior. **Change Order # 27, BP # 5** 1/20/09 was approved for an increase of \$29,111 to install 60 linear feet of 18" reinforced concrete pipe from the existing storm manhole to the new 12' diameter manhole. **Change Order # 32, BP # 5**, 2/10/09 was approved for an increase of \$71,714 for the upsizing and rerouting of the steam generators. **Change Order # 41, BP # 5**, 3/26/09 was approved for an increase of \$75,511 to provide miscellaneous electrical revisions to Bid Pack 5 electrical systems to include new distribution panel and critical bus duct plugs. **Change Order # 45 BP # 5**, 4/30/09, was approved for an increase of \$26,288 to add a medium voltage outdoor breaker with remote monitoring and control capability to all five substation breakers. This will increase the efficiency of the system. **Change Order # 46 BP # 5**, 4/30/09, was approved for an increase of \$25,931 to provide and install twelve (12) humidifier condensate pumps to provide for disposal of the condensate from the air handlers. **Change Order # 47 BP # 5**, 5/12/09, was approved for an increase of \$41,529 to add a low pressure steam pumping system to the basement level of the facility. These pumps are required for proper function of the system.

Construct Patient Care Facility Change Orders greater than \$25,000 Cont....

Change Order # 51 BP # 5, 5/29/09, was approved for an increase of \$228,937 to provide a compatible mating of the curtain wall and precast systems. This silicone tape allows for a water tight skin on the structure. **Change Order # 52 BP # 5**, 5/15/09, was approved for an increase of \$30,633 to re-route existing lines allowing for placing of structural column to support east connector. **Change Order # 57 BP # 5**, 6/1/09, was approved for an increase of \$29,521 to fund overtime payment for Baker Construction. This additional cost will be used to make up time lost due to weather delays in December 2008 and January 2009. These days will need to be made up to open the Emergency Department on the currently scheduled date. **Change Order # 62 BP # 5**, was approved for an increase of \$36,687 to fund overtime payment for Baker Concrete over four weeks in May to maintain schedule. Overtime work was required to maintain the concrete pour schedule due to inclement weather. **Change Order # 65 BP # 5**, was approved for an increase of \$47,723 to install backfill against the southeast and southwest corners of the Patient Care Facility. **Change Order # 66 BP # 5**, 6/26/09, was approved for an increase of \$26,436 to re-route existing sanitary sewer to allow for installation of bridge pier. **Change Order # 63 BP # 5**, 8/12/09, was approved for a increase of \$35,236 to allow for payment of shift premium to accelerate pan removal under the 4th and 5th decks. This will accelerate the work schedule. **Change Order # 69 BP # 5**, 7/2/09, was approved for an increase of \$27,497 to provide sidewalk stabilization during excavation for a pilaster. This change order also allows for removal and reinstallation of the distilled water line that conflicts with the new foundation. **Change Order # 84 BP # 5**, 9/2/09, was approved for an increase of \$43,756 to allow for a needed additional 2 feet of height to the Penthouse elevator. **Change Order # 85 BP # 5**, 9/7/09, was approved for an increase of \$67,697 to replace existing 18" ADS plastic storm pipe under the lobby area with 18" ductile iron pipe to meet code. **Change Order # 86 BP # 5**, 9/15/09, was approved for an increase of \$50,436 to fund the purchase and installation of fifty six control dampers required for proper functioning of the Air Handling Units that were purchased directly by UK. **Change Order # 88, BP # 5, 10/2/09, was approved for an increase of \$69,965 to provide for payment of onsite personnel at the new Kentucky Labor Cabinet prevailing wage rates.** **Change Order # 96, BP # 5, 10/16/09, was approved for a decrease of \$25,727 to delete flex connections note required for installation of the air handling units.** **Change Order # 99, BP # 5, 10/27/09, was approved for an increase of \$231,535 to furnish and install additional accessories, fitting, valves and controls for the heat exchangers.** **Change Order # 117, BP # 5, 12/9/09, was approved for an increase of \$27,631 to fund overtime work for the steel contractor in order to complete the necessary steel erection for the topping out ceremony.**

BP # 6 Change Orders

Change Order # 1, BP # 6, 1/23/09 was approved for an increase of \$74,499 to increase booster pump capacity. **Change Order # 2, BP # 6**, 3/3/09 was approved for an increase of \$38,126 to allow for the installation of piping for dialysis systems on the 5th floor. **Change Order # 3, BP # 6**, 3/24/09 was approved for an increase of \$101,006 to utilize Densarmor exterior sheeting for the electric and data closets. This will allow for the installation of the materials prior to the roofing of the structure. This will enhance the schedule and allow the project to remain on track for the substantial completion of the emergency department. **Change Order # 11 BP # 6**, 5/21/09, was approved for an increase of \$320,823 to re-design to meet the State's interpretation of the KY plumbing code. Venting was added as well as the resizing of piping. **Change Order # 12 BP # 6**, 5/29/09, was approved for a decrease of \$50,620 to delete all Galley Equipment from the 8th Floor due to the decision to not fit-out the 8th floor in phase 1A. **Change Order # 13 BP # 6**, 6/1/09, was approved for an increase of \$33,352 to relocate the required power for the elevator machine room. **Change Order # 19 BP # 6**, 7/2/09, was approved for an increase of \$69,287 to fund the installation of ground bars and associated ground wiring in 110 locations data and electrical closets. **Change Order # 37 BP # 6**, 8/18/09, was approved for a decrease of \$73,319 to delete demolition of existing 36" storm drain and the new 36" storm line. **Change Order # 45 BP # 6**, 8/28/09, was approved for an increase of \$367,335 to install cast-in-place concrete anchors in the 6th floor to support the 5th floor MEP items. This work will drastically reduce the noise associated with the fit-out of the 5th floor which is scheduled to occur after the 6th floor is already occupied. **Change Order # 46 BP # 6** approved for an increase of \$28,293 to upgrade power, add a smart panel, and tie to the existing Tridium system for controls of exterior fountain system and site lighting. This allows for reduced maintenance costs and remote management of the exterior system. **Change Order # 47 BP # 6**, 8/28/09, was approved for an increase of \$127,027 to provide one Edgestar door controller per door. **Change Order # 53 BP # 6**, 9/21/09, was approved for an increase of \$29,323 to replace Luminaire type F55 with type F56. **Change Order # 55 BP # 6**, 9/21/09, was approved for an increase of \$191,975 to provide fit out of additional office spaces in the basement of the Patient Care Facility. **Change Order # 56 BP # 6**, 9/22/09, was approved for a decrease of \$80,087 to delete fiber and coax to work stations throughout the podium. Upon review of the function of the these work stations fiber and coax was deemed unnecessary. **Change Order # 57 BP # 6**, 9/29/09, was approved for an increase of \$626,061 to amend Turner's contract to allow for payment of prevailing wage rate determined by the Kentucky Labor Cabinet. The rates included in the original bid documents were increased by the State prior to contract signing. **Change Order # 69, BP # 6, 10/16/09, was approved for an increase of \$101,492 to provide coax cable to service televisions in all patient rooms. Local cable services require coax instead of CAT6 cable.** **Change Order # 72, BP # 6, 10/16/09, was approved for an increase of \$351,828 to furnish and install 3,000 gallon concrete grease trap. This is required for the future fit-out of the kitchen and cafeteria. Installation at this time results in cost savings and lessens future impact on hospital operations.** **Change Order # 82, BP # 6, 10/26/09, was approved for an increase of \$38,299 to revise electric and data outlets due to staff changes made to increase the efficiency of the space. Additional cabling for the Hill-Rom system was also required.** **Change Order # 100, BP # 6, 12/14/09, was approved for an increase of \$66,196 to upgrade grounding of medium voltage electrical systems for both normal and emergency power from 7 switches to manholes E412 and E214E to provide a safe and reliable high voltage system.** **Change Order # 103, BP # 6, 11/24/09, was approved for an increase of \$36,720 to revise the route of one set of oxygen lines and to add offset to oxygen lines at all steam line expansion joints in the tunnel. This will create a more reliable and robust oxygen system.** **Change Order # 104, BP # 6, 11/24/09, was approved for an increase of \$58,594 to add additional unit heater and cabinet unit header DDC controls.** **Change Order # 105, BP # 6, 11/24/09, was approved for an increase of \$25,760 to provide the additional 120 Volt circuits needed for the operation of the smoke dampers.** **Change Order # 109, BP # 6, 11/23/09, was approved for an increase of \$52,082 to provide patient lift system with a rail in select Acute and Acute Isolation restrooms on the 6th and 7th floors. This will greatly improve patient care and reduce staff injuries.** **Change Order # 110, BP # 6, 11/24/09, was approved for an increase of \$44,339 to purchase dialysis boxes required for the 6th and 7th floor ICU patient rooms.** **Change Order # 111, BP # 6, 11/25/09, was approved for an increase of \$95,389 to provide raceways, electrical wiring and teledata wiring for wireless telemetry system.** **Change Order # 112, BP # 6, 11/25/09, was approved for a decrease of \$139,555 to reduce the number of drops and raceways for the wireless**

Construct Patient Care Facility Change Orders greater than \$25,000 Cont....

telemetry grid. Change Order # 113, BP # 6, 11/24/09, was approved for an increase of \$38,833 to revise the main duct mains in basement areas A and C to allow for proper installation of mechanical systems. Change Order # 114, BP # 6, 12/9/09, was approved for an increase of \$27,464 to reroute existing electrical feeders that are located overhead in the hospital loading dock to allow for installation of new utilities. Change Order # 115, BP # 6, 12/9/09, was approved for an increase of \$53,632 to change power from 80/20 emergency to a normal 50/50 configuration in all patient rooms on the 6th and 7th floors for more dependability. Change Order # 117, BP # 6, 12/9/09, was approved for a decrease of \$64,113 to relocate data and power outlets, and make changes to light switching on the 6th and 7th floors. Change Order # 122, BP # 6, 12/15/09, was approved for an increase of \$207,802 to provide one additional data outlet and power receptacle in the wall at the head of the bed in each of the 128 patient rooms. Change Order # 125, BP # 6, 12/15/09, was approved for an increase of \$41,512 to provide conduit for the door access intercom system for the intercom device to the cable tray and from cable tray to Intercom Master Panels throughout the project. Change Order # 134, BP # 6, 12/15/09, was approved for an increase of \$48,070 to upgrade and revise the HVAC, electrical services, and IT infrastructure to support additional equipment in the security/dispatch room. Change Order # 143, BP # 6, 12/15/09, was approved for an increase of \$36,860 to provide additional ventilation in the 4th floor CCC Mechanical tower to accommodate Swisslog equipment.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

PCF - Hospital - CUP Expansion

Project Number: 2239.32

Scope: \$13,150,000

Project Description:

This is a portion of the larger Patient Care Facility Project (2239.0). The project includes an 18,000 square foot addition to the existing Central Utilities Plant on Press Avenue. The expansion will include provisions for two new 125,000 lb./hr boilers and additional chillers. The structure will include an enlarged cold well and basement in the cooling section. The boilers and supporting equipment will be installed under this project. Chiller purchases are not contemplated at this time.

Consultant: GBBN Inc.
See project 2239.0

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

Contractor: Messer Construction Co.

Contract # 4500008954

Original Contract Amount:

\$9,474,000

Total Change Orders to Date: 43

Cumulative Change Order Amount:

\$701,171

Low Bid: \$9,474,000

Revised Contract Amount:

\$10,175,171

High Bid: \$10,400,000

Contract Percent Complete:

99%

Number of Bids: 2

Describe all change orders greater than \$25,000.00:

Change Order # 4, 12/10/07, was approved for \$37,518 to provide required additional rock and soil drilling. Change Order # 7, 2/21/08, was approved for an increase of \$53,981 to provide temporary building heat until the new dearator and surge tank are installed. Change Order # 14, 7/23/08, was approved for an increase of \$28,219 to change the boiler water piping from ductile iron to schedule 80 black steel pipe. Change Order # 17, 7/10/08, was approved for an increase of \$26,270 to install new platform in the northwest corner of the CUP addition to provide greater accessibility and safer access to valves and equipment. Change Order # 21, 7/23/08, was approved for an increase of \$45,585 to provide for additional costs resulting from the late delivery of the boilers provided by the University and resulting complications which impacted items on the critical path. Liquidated damages collected from the boiler manufacturer will be applied to the compensation associated with this change order. Change Order # 30, 8/11/08, was approved for an increase of \$28,249 to relocate the new condensate pump from the BBSRB tunnel to the College of Pharmacy tunnel. Provide valving to allow the pump to pump in either direction. This change allows for more versatile operation of the campus steam system. Change Order # 34, 10/30/08, was approved for an increase of \$52,257 to provide and install pipe insulation for the new boiler feed water piping and the boiler blow-down piping. Change Order # 35, 11/3/08, was approved for an increase of \$34,693 to provide and install two wye strainers and to install and connect the stack gas analyzers and Horiba panels. Because the boilers were a direct purchase by the University this scope of work was not originally included in the contract documents. Change Order # 37, 2/2/09 was approved for \$26,661 to revise the Civil Site plan to provide greater security and improve the utilization of the grounds north of the new construction. No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Convert Hunt Morgan Space to Class Lab

Project Number: 2299.10

Scope: \$2,569,900

Project Description:

This project will convert approximately 6,000 Net Square Feet of space on the ground floor of the Thomas Hunt Morgan Building into class lab teaching space. The project will include wet bench casework, flooring, ceilings, lighting, and Smart Classroom technology. The work will also include modifications to the plumbing, electrical and HVAC systems. Approximately 1800 Net Square Feet of space on the second floor will be renovated for two research faculty. There will be two research laboratories, each at 765 square feet and each with a 135 square foot office.

Consultant: Omni Architects

Contract # A091100

Total Amendments to Date: 1

Describe all amendments :

Amendment # 1, 4/14/09 an increase of \$13,630 was approved for additional design services as required to modify the bid documents to delete the second floor laboratory design, prepare new bid documents and prepare an add alternate bid for laboratory 215. **No amendments this quarter.**

Original Contract Amount: \$295,000

Cumulative Amendment Amount: \$13,630

Revised Contract Amount: \$308,630

Contractor: Denham-Blythe Inc.

Contract # 4500031786

Total Change Orders to Date: 35

Low Bid: \$1,679,638

High Bid: \$2,860,000

Number of Bids: 9

Describe all change orders greater than \$25,000.00:

Change Order # 1, 5/2/09, was approved for an increase of \$44,084 to increase the allowance for the laboratory casework. The original allowance was based on a preliminary estimate by the architects and the final quote was based on the actual bid documents. **Change Order # 2**, 5/12/09, was approved for an increase of \$51,128 to provide for replacement and rerouting of underground electric service due to concealed conditions. **Change Order # 4**, 5/18/09, was approved for an increase of \$28,078 to provide temporary repair of underslab electrical, fire alarm, and other building systems that were not documented. This change order also provided for ground water pumping during excavation as well as new backfill material and reinforcement. These issues were concealed conditions not known by maintenance personnel or shown on the original drawings. **Change Order # 32**, 9/21/09, was approved for an increase of \$29,344 to provide a new cell culture room in laboratory 215. **No change orders greater than \$25,000 this quarter.**

Original Contract Amount: \$1,679,638

Cumulative Change Order Amount: \$212,140

Revised Contract Amount: \$1,891,778

Contract Percent Complete: 100%

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Digital Village Building 2

Project Number: 2297.10

Project Description:

Scope: \$18,669,725

This project consists of the design and construction of approximately 43,000 gross square feet of research space for the Center for Visualization and Virtual Environments as well as selected research activities in the Department of Computer Science and the Department of Electrical and Computer Engineering. The facility must be sufficiently flexible in design so that it can be easily adapted to support research into emerging areas of interest. The structure should provide flexible office space and support facilities. This project will pursue LEED Certification.

Consultant: JRA Architects

Contract # A091110

Total Amendments to Date: 1

Describe all amendments :

Amendment 1, 8/11/09, an increase of \$37,800 was approved to provide for the research and design of a photovoltaic system. No amendments this quarter.

Original Contract Amount:	\$1,409,934
Cumulative Amendment Amount:	\$37,800
Revised Contract Amount:	\$1,447,734

Contractor: Congleton-Hacker * Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added. *Congleton-Hacker's contract was awarded by RFP process. *25 Trade subcontracts have been added to date

Contract # 4500031914

Total Change Orders to Date: 5

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Original Contract Amount:	\$13,618,810
Cumulative Change Order Amount:	\$21,836
Revised Contract Amount:	\$13,640,646
Contract Percent Complete:	13%

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Expand Ophthalmology Clinic - Hospital

Project Number: 2301.00

Project Description:

Scope: \$1,650,000

This project consists of renovating approximately 12,500 square feet of space on the third floor of the Kentucky Clinic, some of which is currently occupied by Neurosurgery, in order to expand the existing Ophthalmology Clinic (also located on the third floor of the Kentucky Clinic) into the vacated space. It also includes refurbishing the existing Ophthalmology Clinic space. This project will necessitate phased construction activities to include expansion into the additional space as well as a full refurbishment of the existing Ophthalmology Clinic.

Consultant: JRA Architects

Contract # A081140

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount: \$249,078

Cumulative Amendment Amount:

Revised Contract Amount: \$249,078

Contractor: No construction contract awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Expand & Upgrade Livestock Disease Diagnostic Lab

Project Number: 2234.00

Project Description:

Scope: \$27,165,200

New space is needed for more than one veterinary pathologist to perform animal postmortem examinations in addition to renovation of current necropsy space. New space is also needed to add molecular biology/special procedures capabilities to the laboratory. New technology in the form of an alkaline hydrolysis digester is needed to replace an aging incinerator. The Livestock Disease Diagnostic Lab is an office and laboratory building with net assignable space of 3,860 square feet for offices and 28,100 square feet of laboratories and support space. It is proposed to add 6,300 and 1,200 square feet (net) of new laboratory and office space, respectively. An existing necropsy laboratory and support space will be renovated (2,000 square feet net).

Consultant: CMW, Inc.

Contract # A061100

Total Amendments to Date: 3

Original Contract Amount: \$648,000

Cumulative Amendment Amount: \$1,846,800

Describe all amendments :

Revised Contract Amount: \$2,494,800

Amendment # 1, 12/12/06, was approved for \$90,000 to provide additional design services to accommodate a phased implementation consistent with the legislative budget action. Includes the additional design to study the new tissue digester effluent impact of the LFUCG Town Branch Wastewater Treatment Plant. **Amendment # 2**, 12/10/07, an increase of \$131,000 was approved for additional schematic design services to include multiple methods of animal carcass disposal including rendering, incineration and digestion. **Amendment # 3**, 7/16/08, an increase of \$1,625,800 to provide all of the architectural and engineering services required to complete Schematic Design, Design Development, Construction Documents and Construction Administration phases for an estimated \$20,000,000 worth of construction at the existing UK Livestock Disease Diagnostic Center Facility. **No amendments this quarter.**

Contractor: Congleton-Hacker Company (Construction Manager-At-Risk)

Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added.

*Congleton-Hacker's contract was awarded by RFP process.

Contract # 4500026910

Total Change Orders to Date: 37

Low Bid:

High Bid:

Original Contract Amount: \$18,146,148

Cumulative Change Order Amount: \$295,668

Revised Contract Amount: \$18,441,816

Contract Percent Complete: 45%

Number of Bids:

Describe all change orders greater than \$25,000.00:

Change Order # 6, 8/21/09, was approved for an increase of \$45,000 to provide dense graded aggregate (DGA) fill under the building's concrete slabs. The DGA was incorrectly detailed and is required for correct construction of the building concrete slabs. **Change Order # 19**, 12/14/09, was approved for an increase of \$44,983 to select a better match to existing bricks than the proposed brick. The General Shale brick that was bid was deemed unacceptable in the project mock-up wall. **Change Order # 35**, 12/21/09, was approved for an increase of \$64,388 to provide temporary paving around Necropsy. This portion of the paving was purposefully deferred during bidding due to market fluctuation.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate Central Computing Facility

Project Number: 2304.00

Scope: \$845,000

Project Description:

McVey Hall is the current facility housing the central computing operations. It is imperative that some facility issues be addressed for UK Information Technology (UKIT) to continue to provide reliable service for mission critical IT systems. The power and air-conditioning problems in McVey Hall require immediate short-term investments to ensure sufficient cooling and power distribution for the next few years. This project will include reconfiguration and upgrading of existing cooling units, replacing of UPS units that are expiring and installation of back-up electricity and cooling equipment.

Consultant: CMTA Engineers

Contract # A091020P #1

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:	\$48,500
Cumulative Amendment Amount:	
Revised Contract Amount:	\$48,500

Contractor: TP Mechanical

Contract # 4500036320

Total Change Orders to Date: 3

Low Bid: \$276,300

High Bid: \$476,399

Number of Bids: 4

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Original Contract Amount:	\$276,300
Cumulative Change Order Amount:	\$1,740
Revised Contract Amount:	\$278,040
Contract Percent Complete:	21%

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate Koinonia House (aka Schmidt Vocal Art Center)

Project Number: 2295.00

Scope: \$1,127,000

Project Description:

The project continues the renovation of the Vocal Arts Center, which houses the UK Opera Program. The facility was acquired by the University in 1991. At that time, some of the first floor was renovated, but the second floor of the building remained shelled space. The current project includes upgrades to the electrical and mechanical systems, installation of a sprinkler system, a new stairwell, and an elevator. The project also begins fitting out the second floor to address needs created by the recent growth of the Opera Program. The second floor will eventually include rehearsal rooms, teaching studios, classrooms, practice rooms, a dance studio, and office space for staff and graduate assistants. Following this initial phase, additional shell space remains for a later project or phase.

Consultant: Ross Tarrant Architects

Contract # A091090

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount: \$98,000

Cumulative Amendment Amount:

Revised Contract Amount: \$98,000

Contractor: Churchill-McGee, LLC

Contract # 4500033010

Total Change Orders to Date: 18

Low Bid: \$887,300

High Bid: \$1,123,750

Number of Bids: 6

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Original Contract Amount: \$887,300

Cumulative Change Order Amount: \$12,976

Revised Contract Amount: \$900,276

Contract Percent Complete: 87%

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate/Expand the Center For Applied Energy Research

Project Number: 2286.10

Project Description:

Scope: \$2,000,000

The primary purpose of this project will be a multi-phased project incorporating the following components:

Mineral Process Lab Building - The initial phase of the project will require the construction of a 6,400 square foot support building. This new facility will permit the relocation of the existing mineral processing group currently housed in the centers main facility. The existing equipment will be relocated along with support space, offices and labs.

Relocation of the Crushing Room - The existing Crushing Room is to be relocated into an existing facility originally built for dry storage of coal.

Biofuels High Bay Lab Fit-up and Improvements - Renovated space, vacated by the Mineral Processes Lab above, will allow for the development of a biofuels laboratory for production, characterization, and testing of biomass derived fuels, with a focus on biodiesel, bio-oils, and biomass derived Fischer-Tropsch liquids. The principle objective of this project is the establishment of a laboratory dedicated to the development of improved processes for biomass utilization, with the goal of supporting the development of the biofuels industry in Kentucky.

Combustion High Bay Hoods - The existing Combustion/High Bay Lab will have a new bench top fume hood and a new walk-in hood installed with required ductwork and exhaust fans.

Consultant: Biagi, Chance, Cummins, London & Titzer

Contract # A091080

Original Contract Amount: \$200,000

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount: \$200,000

No amendments this quarter.

Contractor: Denham Blythe, Co.

Contract # 4500033050

Original Contract Amount: \$1,156,991

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid: \$1,156,991

Revised Contract Amount: \$1,156,991

High Bid: \$1,544,000

Contract Percent Complete: 85%

Number of Bids: 6

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate/Expand the Center for Applied Energy Research (Ph II)

Project Number: 2286.20

Project Description:

Scope: \$882,221

This project will construct a shell to house the Center for Applied Energy Research mini refinery.

Consultant: Denham Blythe

Contract # A101070

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:	\$40,000
Cumulative Amendment Amount:	
Revised Contract Amount:	\$40,000

Contractor: No construction contract awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:	
Cumulative Change Order Amount:	
Revised Contract Amount:	
Contract Percent Complete:	

Repair, Upgrade, Improve Bldg. Systems - Hospital (Elevators Upgrade for KY Clinic)

Project Number: 2296.10

Project Description:

Scope: \$1,000,000

This project seeks to upgrade the elevator cabs, elevator controls and elevator pumps for the five elevators located in Kentucky Clinic (includes Medical Plaza). This project allows the Hospital to provide maintenance and upgrades to prevent breakdowns in the system. The Hospital relies on these systems for the efficient transfer of patients, visitors, supplies, and equipment. Unplanned interruptions to these systems create customer service problems and additional personnel costs.

Consultant: N/A

Contract #

Total Amendments to Date:

Describe all amendments :

Original Contract Amount:	
Cumulative Amendment Amount:	
Revised Contract Amount:	

Contractor: Oracle Elevator - * Awarded via RFP Process

Contract # 4500034540

Total Change Orders to Date: 1

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Original Contract Amount:	\$833,835
Cumulative Change Order Amount:	\$5,992
Revised Contract Amount:	\$839,827
Contract Percent Complete:	27%

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Replace Emergency Generators & Fire Pump - UK Good Samaritan Hospital

Project Number: 2277.30

Project Description:

Scope: \$2,025,550

This scope of this project will consist of the replacement or upgrades of the following equipment at the Good Samaritan Hospital: Emergency generator, transfer switches, air handling unit #25, constant pressure water pumps, deareator tank, and a fire pump.

Consultant: CMW, Inc.

Contract # A081150

Total Amendments to Date: 1

Describe all amendments :

Amendment 1, 11/11/08, and increase of \$8200 was approved for additional design services as required to provide location options for the emergency generators. **No amendments this quarter.**

Original Contract Amount: \$140,000

Cumulative Amendment Amount: \$8,200

Revised Contract Amount: \$148,200

Contractor: Messer Construction Co.

Contract # 4500035739

Total Change Orders to Date:

Low Bid: \$1,520,000

High Bid: \$1,893,960

Number of Bids: 4

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Original Contract Amount: \$1,520,000

Cumulative Change Order Amount:

Revised Contract Amount: \$1,520,000

Contract Percent Complete: 18%

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Replace Steam and Condensate Pipe - Farm Road

Project Number: 2248.10

Scope: \$1,675,000

Project Description:

Replace the existing, direct buried steam piping and vaults from the Kentucky Tobacco Research and Development Center to the Gluck Equine Research Center. The new pipe is to be routed south of Farm road and outside the ARS Agriculture Project (FAPRL) leased/construction area. The location of this future ARS connection will be coordinated with that project.

Consultant: Staggs & Fisher

Contract # A081160

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:	\$210,000
Cumulative Amendment Amount:	
Revised Contract Amount:	\$210,000

Contractor: Lagco, Inc.

Contract # 4500033011

Total Change Orders to Date: 7

Low Bid: \$899,900

High Bid: \$1,398,000

Number of Bids: 5

Describe all change orders greater than \$25,000.00:

Original Contract Amount:	\$899,900
Cumulative Change Order Amount:	\$142,415
Revised Contract Amount:	\$1,042,315
Contract Percent Complete:	100%

Change Order # 1, 6/24/09, was approved for an increase of \$63,305 to provide and install a portable steam boiler for the Gluck Equine Research Building. This was necessary because Gluck requires a minimum amount of steam throughout the year to operate laboratory cage washers as well as autoclaves. **Change Order # 7, 10/27/09,** was approved for an increase of \$50,281 to revise the route of steam piping and relocate storm piping to coordinate with existing buried utilities.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Student Housing - Supporting Utility Plant Upgrades

Project Number: 2153.30

Project Description:

Scope: \$1,275,000

This project includes expanding the Virginia Avenue Central Utility Plant to accommodate future expansion in steam and chilled water capacity. The project was established in support of the Student Housing Project.

Consultant: AM Kinney

Contract # A041000GG

Original Contract Amount: \$26,315

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$26,315

No amendments this quarter.

Contractor: English Boiler & Tube Inc.

*English Boiler's contract was awarded by RFP process.

Contract # 4500008298

Original Contract Amount: \$1,147,748

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

\$1,147,748

High Bid:

Contract Percent Complete:

99%

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000.00 this quarter.

Upgrade-Renovate-Improve or Expand Research Labs (Chemistry-Physics Building)

Project Number: 2302.00

Project Description:

Scope: \$2,080,000

The space vacated by the relocation of the Chemistry and Physics libraries will be converted into research and research support space. This renovation will create three wet bench research labs to accommodate three new faculty lines in Chemistry, and one materials lab for Physics. The materials lab will be a multi-disciplinary lab that will be used by Chemistry, Physics and Engineering.

Consultant: Omni Architects

Contract # A101060

Original Contract Amount: \$186,000

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$186,000

No amendments this quarter.

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

FCR 8

Office of the President
March 9, 2010

Members, Board of Trustees:

PATENT ASSIGNMENT REPORT

Recommendation: that the Board of Trustees accept the patent assignment report for the period September 1 through December 31, 2009.

Background: At its March 4, 1997 meeting, the Board of Trustees authorized the University of Kentucky Research Foundation to conduct all future copyright and patent filings and prosecutions. Quarterly reports on patent and copyright applications are to be submitted to the Finance Committee of the Board.

Action taken: Approved Disapproved Other _____

PATENT ASSIGNMENT
QUARTERLY FOR THE PERIOD THROUGH DECEMBER 31, 2009

Patents

The following assignments on behalf of the Board of Trustees of the University of Kentucky Research Foundation have been executed:

- 1. U.S. Patent Application Serial Number: (to be assigned)**
Filed: October 6, 2009
Title: “Enantioselective Synthesis of (+) and (-)-2-[1-(2,6-Dichlorophenoxy)-Ethyl]-1,3-Diazacyclopent-2-Ene”
Inventors: Drs. Peter A. Crooks and Ashish Pramod Vartak (Pharmaceutical Sciences)
Technical Description: This invention relates to a method for the enantioselective synthesis of (+) and (-) lofexidine or 2-[1-(2,6)-dichlorophenoxy)-ethyl]-1,3-diazacyclopent-2-ene.
Summary: Lofexidine is used to treat a variety of disorders, including hypertension and opiate withdrawal. Current formulations of lofexidine include a mixture of the mirror image molecules of lofexidine, (+)-lofexidine and (-)-lofexidine. While (+)-lofexidine is much more effective at treating hypertension, a mixture has been used because creating pure (+)-lofexidine has been too expensive. The inventors have invented a method of selectively synthesizing highly purified (+)-lofexidine [or (-)-lofexidine] that is much less expensive than current methods of synthesis, opening the possibility of using these purified forms clinically.

- 2. U.S. Patent Application Serial Number: (to be assigned)**
Filed: October 9, 2009
Title: “Use of Tris-Quaternary Ammonium Salts As Pain-Modulating Agents”
Inventors: Drs. Joseph R. Holtman (Anesthesiology), Peter A. Crooks, Linda P. Dwoskin and J. Michael McIntosh (Pharmaceutical Sciences)
Technical Description: This invention relates to the use of tris-quaternary ammonium salts for pain modulation.
Summary: Pain management is a critical health issue. The financial loss due to pain has been estimated at \$100 billion per year as a result of medical fees, decreased productivity, litigation, and the cost of drugs. Current drugs used to treat pain are either only moderately effective or are highly addictive. The inventors have developed a novel class of drugs that have been shown to decrease pain in laboratory animals and that may provide more effective pain relief without being addictive.

- 3. U.S. Patent Application Serial Number: (to be assigned)**
Filed: October 13, 2009
Title: “Use of a Novel Alpha-7 nAChR Antagonist to Suppress Pathogenic Signal Transduction in Cancer and AIDS”
Inventors: Drs. Peter A. Crooks, Linda P. Dwoskin, Zhenfa Zhang, and Guangrong Zheng (Pharmaceutical Sciences) and Roger L. Papke, Gretchen Lopez Hernandez and Jeffrey Thinschmidt (outside inventors).
Technical Description: This invention provides a method for the use of select quaternary ammonium antagonists to alpha-7 nAChR for the treatment of cancer and HIV and AIDS.
Summary: Several of the deadly symptoms of lung cancer and AIDs are thought to be mediated [or aggravated] by the stimulation neuronal nicotinic acetylcholine receptors [nAChR]. The inventors have proposed a method of treating some symptoms of lung cancer and AIDS by blocking the activity of nAChR with quaternary ammonium antagonists.
- 4. U.S. Patent Application Serial Number: (to be assigned)**
Filed: October 29, 2009
Title: “Methods and Compositions for Treating Tumors and Metastases through the Modulation of Latexin”
Inventors: Drs. Gary Van Zant and Ying Liang (Internal Medicine/Hematology)
Technical Description: This invention relates to methods for treating cancers and metastatic disease by modulating latexin expression and/or latexin activity.
Summary: Cancer remains the second leading cause of death worldwide, despite prolonged and concentrated efforts to develop cures. The inventors have discovered that tumor growth in animals can be inhibited by increasing the activity of latexin, an enzyme already present in animals. The inventors propose that cancer can be treated in humans by increasing the activity of latexin in humans.
- 5. U.S. Patent Application Serial Number: (to be assigned)**
Filed: November 4, 2009
Title: “Intranasal Benzodiazepine Compositions”
Inventor: Dr. Daniel Wermeling (Pharmacy Practice and Science)
Technical Description: This invention relates to benzodiazepine compositions formulated to be delivered intranasally.
Summary: Benzodiazepines are drugs that been used to treat a wide variety of clinical conditions, including anxiety, convulsions, and spasticity. Successful treatment of these conditions requires a strict adherence to the prescribed schedule of administration. However, many benzodiazepines are administered intravenously, which is painful and known to be associated with a higher level of treatment avoidance by patients. The inventor has devised formulations of benzodiazepine compositions that can be administered intranasally, a mode which is associated with a higher rate of patient compliance with prescribed schedules.

- 6. U.S. Patent Application Serial Number: (to be assigned)**
Filed: November 19, 2009
Title: “Diacylglycerol Acetyltransferase Sequences and Related Methods”
Inventors: Drs. David Hildebrand (Plant and Soil Sciences), Runzhi Li (Biochemistry) and Tomoko Hatanaka (outside inventor)
Technical Description: This invention relates to diacylglycerol acetyltransferase (DGAT) sequences and methods of using them. In particular, the invention relates to novel nucleic acid and amino acid sequences for DGAT and methods of using those sequences to increase production of epoxy fatty acids.
Summary: Plant seed oils may one day replace petroleum as the source of many industrial compounds. One interesting class of plant seed oils is the epoxy fatty acids, which are useful in the production of dyes, paints, adhesives, and plastics. Unfortunately, plant species which are amenable to agriculture do not contain high concentrations of epoxy fatty acids in their seeds. The inventors have discovered the genetic sequence of DGAT, which is responsible for the production of epoxy fatty acids in seeds. This enables DGAT genetic material to be introduced into agricultural crops, increasing the concentration of epoxy fatty acids in their seeds.
- 7. U.S. Patent Application Serial Number: (to be assigned)**
Filed: November 19, 2009
Title: “Compounds and Methods for Reducing the Occurrence of Post-Surgical Adhesions”
Inventors: Drs. Thomas D Dziubla, Eugene Kaplan and John Mark Medley (Chemical and Materials Engineering)
Technical Description: This invention relates to compounds and methods for reducing the occurrence of post-surgical adhesions. In particular, this invention relates to reducing adhesions using polymeric compounds comprised of acrylic acid groups and ethylene glycol.
Summary: Post-surgical adhesions occur when surgically damaged human tissue becomes attached to adjacent tissue. These adhesions frequently cause new problems, leading to more than \$1 billion spent each year for corrective surgery. The inventors have developed a class of compounds which, when applied to surgically injured tissue, reduce the formation of post-surgical adhesions.
- 8. U.S. Patent Application Serial Number: (to be assigned)**
Filed: December 3, 2009
Title: “Method for Supplementing the Diet and Ameliorating Oxidative Stress”
Inventor: Dr. Boyd E. Haley (Chemistry)
Technical Description: This invention relates to the field of dietary supplements for mammals and, more particularly, to methods reducing oxidative stress through dietary supplementation.
Summary: Oxidation is a naturally occurring chemical reaction that has the capacity to injure or kill the cells of living tissues. Exposure to heavy metals such as mercury and lead can drastically increase the amount of harmful oxidation in the tissues of animals. The inventor has developed a class of compounds which,

when ingested, can bind and promote the excretion of heavy metals from the body, decreasing the amount of harmful oxidation to cells and tissues.

9. U.S. Patent Application Serial Number: (to be assigned)

Filed: December 7, 2009

Title: "Use of Tetrakis-Quaternary Ammonium Salts As Pain-Modulating Agents"

Inventors: Drs. Joseph R. Holtman (Anesthesiology), Peter A. Crooks, Linda P. Dvoskin and J. Michael McIntosh (Pharmaceutical Sciences)

Technical Description: This invention relates to the use of tetrakis-quaternary ammonium salts for pain modulation.

Summary: Pain management is a critical health issue. The financial loss due to pain has been estimated at \$100 billion per year as a result of medical fees, decreased productivity, litigation, and the cost of drugs. Current drugs used to treat pain are either only moderately effective or are highly addictive. The inventors have developed a novel class of drugs that have been shown to decrease pain in laboratory animals and that may provide more effective pain relief without being addictive.

10. U.S. Patent Application Serial Number: (to be assigned)

Filed: December 22, 2009

Title: "Lightweight Inflatable Borehole Seismic Receiver"

Inventor: Dr. Michael Kalinski (Civil Engineering)

Technical Description: This invention relates to a borehole receiver unit for seismic testing and, more particularly, to an improved lightweight, inflatable borehole receiver unit for measuring dynamic seismic waves in downhole and crosshole testing, and a related method for positioning the borehole receiver unit in a borehole.

Summary: Seismic testing, such as in the prediction and evaluation of earthquakes, requires the use of special receivers that measure energy waves in the earth. The receivers currently used are heavy and cumbersome. In addition, current receivers are overly sensitive to the positioning of the receiver in a hole in the ground. The inventor has developed a new receiver that is much lighter and much easier to position.

Patent Activities

Fiscal year to date as of December 31, 2009

Number of Patent Applications	16
Number of Patents Issued	12
Patent Income	\$603,077

UHCCR 1

Office of the President
March 9, 2010

Members, Board of Trustees:

UNIVERSITY OF KENTUCKY CENTER ON AGING FOUNDATION, INC.
("CORPORATION") APPOINTMENT/REAPPOINTMENT OF BOARD OF
DIRECTORS

Recommendation: that the Board of Trustees approve the appointment and reappointment of the persons listed on Exhibit A, attached hereto, as members of the Board of Directors of the Corporation.

Background: The Corporation is a not-for-profit support organization of the University, established to support the University's Center on Aging and related programs. Under the Articles of Incorporation, the Board of Trustees of the University formally appoints members of the Corporation's Board of Directors. The new Directors listed on Exhibit A have been nominated, subject to approval of and formal appointment by the University Board of Trustees.

Action taken: Approved Disapproved Other _____

EXHIBIT A
UNIVERSITY OF KENTUCKY
SANDERS-BROWN CENTER ON AGING FOUNDATION
BOARD OF DIRECTORS, NON-EX OFFICIO MEMBERS
2010

Appointment

Mrs. Mollie Jameson
Community volunteer and Alzheimer's Disease advocate.
Lexington, KY

Reappointment

Robert Quick
President and CEO Commerce Lexington
Lexington, KY

**ADDITIONAL BACKGROUND ON NOMINEE FOR 2010 CENTER ON AGING
FOUNDATION BOARD**

Mollie Jameson graduated from Eastern Kentucky University in 1980, married Tom Jameson, an investment advisor at Prudential-Bache Securities and raised 2 children in Lexington, KY. She has served on the Family Care Center Board, Lexington Ball Committee, International Museum of the Horse Board, Black Stallion Foundation Board. She has been active in many community projects and fundraising activities.