

# FACT BOOKLET

1995 - 1996

— I n c l u d e s —

1996-98 Biennial Request



January 1996

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## To: Governor Patton and Members of the Kentucky General Assembly

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I am pleased to provide you with this summary of the University of Kentucky's 1996-98 Biennial Request. As with prior requests, this request has been developed to support the goals and objectives established in the University's Strategic Plan.

During this biennium, a significant effort was made by the higher education community to develop a revised funding model for higher education. Specifically, the University's funding request for 1996-98 is based on the following provisions of that revised funding model:

- ✓ The base year appropriation will be continued.
- ✓ Funding will be recommended for state debt service needs on currently authorized bond issues.
- ✓ Funding will be recommended for approved operations and maintenance needs for new facilities coming on-line.
- ✓ A current service calculation on the state appropriation excluding debt service (calculated in this request at three percent per year) will be recommended.

- ✓ Funding will be recommended to eliminate the funding "need" determined by the initial funding objective (to fund the Kentucky higher education system at an average level of actual funding levels of higher education systems in current benchmark states).
- ✓ Of this amount, sixty percent (60%) will address equity concerns (a significant amount of which would go to the Community College System which has been historically underfunded within the system of higher education in Kentucky). The remaining forty percent (40%) addresses performance, with the allocation of those funds subject to meeting established performance goals.
- ✓ Also, institutions can continue to put forth special requests.

The University supports the revised funding model and the Council recommendation based on the funding model, which we feel represents a responsible and considered request on behalf of higher education. On pages 14-16, a brief description of how those funds would be used within the University System and the Community College System are presented for your information.

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In addition to the funding model recommendation, the University has put forth a number of special requests that would further the University's commitment to public service, economic development and "outreach" programs. Other special requests would respond to special interests or needs of the Commonwealth. Those special requests are included on pages 15 and 17 of this booklet. The Council has recommended a limited number of high priority projects and initiatives within the special request, and we support their recommendation. However, we feel a number of the University's special requests not recommended by the Council are worthy of consideration and hope you will take a look at that portion of the request.

Also included in this booklet (pages 22-24) is the University's capital request. The Council has made a very good capital recommendation on behalf of higher education, and we hope you will respond positively to their recommendation. However, there are a number of other projects in the University's capital request which we would also like to propose for your consideration.

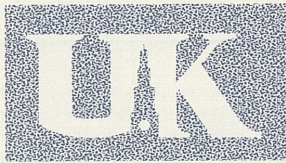
Finally, this booklet also contains information on the many excellent things currently going on at the University, responses to frequently asked questions about the University, detail on how the funds appropriated to us during this biennium were utilized, and a profile of pertinent facts about the University.

I hope you will look favorably upon the higher education request. If funded, it would eliminate the historical inequity in funding to the Community College System allowing us to respond appropriately to the well over 40,000 students being educated in the community colleges. Within the University System, it would move us forward in our aspiration to become a truly first-rate University which meets your expectations and those of the people of the Commonwealth of Kentucky. I look forward to working with you during the 1996 legislative session and would be pleased to respond to any questions you might have about the University's request.

Sincerely,



University Archives  
Margaret I. King Library - North  
University of Kentucky  
Lexington, Kentucky 40506  
President



## QUALITY

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The Academic Quality of the University of Kentucky is widely recognized.

- ◆ On the Lexington Campus, the average college entrance exam score of the freshman class (the ACT Composite) is four points above the national average.
- ◆ UK has enrolled 77 National Merit Scholars in the current freshman class, including a record 67 in-state Scholars. The overall number is six times the number of National Merit Scholars who entered UK six years ago.
- ◆ A national study has demonstrated that the Community College System has one of the highest rates of transfer in the nation -- 36 percent compared to a national average of 22 percent.
- ◆ The UK faculty is highly qualified with approximately 97 percent on the Lexington Campus having the highest degree available in their fields.
- ◆ UK receives high marks from a number of publications for the quality of its teaching and research:
  - ✓ *U.S. News and World Report* ranks the College of Pharmacy third best in the nation.
  - ✓ *U.S. News and World Report* ranks the College of Medicine third best at training primary care physicians.
  - ✓ For the fourth consecutive year, UK is included in *The Guide to 101 of the Best Values in America's Colleges and Universities*.

## TEACHING

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### The University of Kentucky Maintains a Faculty of the Highest Caliber and a Commitment to the Teaching-Learning Process.

- ◆ UK is now educating nearly 70,000 students. In 1994, the University -- including the University System in Lexington and the Community College System -- educated 46 percent of students attending public colleges and universities in Kentucky.
- ◆ Enrollment in UK's statewide network of 14 community colleges exceeds 43,000 and has nearly doubled over the past decade.
- ◆ An \$800,000 Advanced Technological Education grant from the National Science Foundation will provide state-of-the-art networked computer teaching laboratories on each of the 14 community college campuses.
- ◆ UK has more people in graduate education (including postdoctoral scholars) than ever before. For fall 1995, the number is over 5,200 -- a record number.
- ◆ Programs implemented to help keep students in school are:
  - ✓ Chemistry and calculus tutoring
  - ✓ Improved educational programming in residence halls
  - ✓ Student Support Services for disadvantaged students including tutoring, academic and career planning, and personal counseling
  - ✓ A "First Year Focus" workshop for new freshmen sponsored by the Office of Minority Affairs



## RESEARCH

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### UK is One of the Major Research Institutions in the United States.

- ◆ The Carnegie Foundation has designated UK as a Research University of the First Class, one of only 59 public universities so ranked.
- ◆ The University of Kentucky faculty and staff last year attracted well over \$116 million in outside grants, contracts and gifts -- a solid testimonial to their talent and expertise, a positive contribution to the state's economy, and a record amount!
- ◆ UK research is gaining ever-increasing national and international attention:
  - ✓ UK is one of seven centers funded by the National Institutes of Health for research on head and spinal cord injuries.
  - ✓ The College of Dentistry is ranked second among 54 dental schools in the U.S. in the level of external funding with grants and contracts exceeding \$13 million.
  - ✓ The College of Education received \$1 million from the National Science Foundation for the Kentucky Middle Grades Mathematics Teacher Network.

## PUBLIC SERVICE

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### The University of Kentucky's Public Service Programs Reach Out into Every County in the Commonwealth.

- ◆ The UK Cooperative Extension Service now makes more than 5 million service contacts every year, extending to each of the 120 counties.
- ◆ The Extension Service has also provided a wide array of projects for more than 29,000 homemakers in the state. One major effort was in human nutrition with programs emphasizing health and disease prevention in 105 counties in the state.
- ◆ The University's Center for Robotics and Manufacturing Systems has established a manufacturing information network that allows Kentucky manufacturers to make requests for technical information and to have these requests filled within 48 hours.
- ◆ The Area Health Education Center program provides health care professionals to underserved regions of the state.
- ◆ The Institute on Women and Substance Abuse has been reactivated as a statewide coalition to focus attention on the need for treatment and prevention programs for chemically dependent women in Kentucky.
- ◆ The College of Fine Arts Institute offers noncredit community education classes in art, music, dance, and architecture.

## ECONOMIC IMPACT

### UK is Among Kentucky's Very Largest Enterprises.

- ◆ UK is clearly a dominant enterprise in the Commonwealth. The citizens of Kentucky, therefore, have a very large and important investment in UK that is worth protecting. Indeed, the University can be considered the 8th largest "public corporation" in Kentucky.
- ◆ Consider further that UK is an enterprise that generates enough revenue on its own -- outside of state appropriations -- to rank as the 10th largest Kentucky "public corporation."

### Kentucky's Largest Public Companies Fiscal Year 1994

Company	Industry	Revenues
Columbia/HCA Healthcare	Health Care	\$ 14,543,000,000
Ashland Oil	Energy	\$ 10,334,000,000
Humana	Insurance	3,654,000,000
Provident	Insurance	2,959,064,000
Fruit of the Loom	Textiles	2,297,800,000
Brown-Forman	Consumer Products	1,679,630,000
Dollar General	Retailing	1,448,609,000
<b>UK - Total Operations</b>	<b>Higher Education</b>	<b>900,231,000</b>
Louisville Gas & Electric	Utility	829,663,000
Kentucky Utilities	Utility	636,628,000
<b>UK - Net of State Approp.</b>	<b>Higher Education</b>	<b>587,763,000</b>
Thomas Industries	Lighting	456,565,000
Vencor	Health Care	400,018,000
Comair Holdings	Air Transportation	360,704,000
NS Group	Steel	303,380,000
Steel Technologies	Manufacturing	241,160,000
Rally's	Fast Food	186,318,000
Papa John's International	Fast Food	161,535,000
Addington Resources	Mining	151,156,000
Pomeroy Computer	Computer Services	144,575,000
Res-Care	Health Care	137,854,000
Louisville Bedding	Textiles	122,862,000

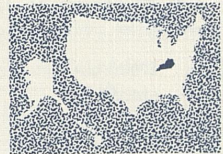
Source: 1995 Kentucky 40: A Survey of Kentucky's Largest Public Companies; Shandwick USA, Louisville, KY.



## A UNIQUE INSTITUTION

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### UK Stands Out Among Other Institutions in the Nation.



- ◆ UK is one of only two land-grant universities in the nation responsible for:
  - ✓ A statewide system of community colleges
  - ✓ A medical center with a full range of programs
  - ✓ Degree programs from the associate degree through the graduate and professional degrees
  - ✓ Serving as the principal institution for statewide instruction, research, and service programs in all fields without geographic limitation in the state
  - ✓ A major teaching hospital
- ◆ Completely self-supporting programs (such as the Hospital, Student Housing & Dining, and Athletics) help UK pursue its mission effectively and efficiently:
  - ✓ The UK Hospital is an exceptional case. Most states support the operation of their public university hospitals with state tax dollars and provide at least a part of their capital needs. Only \$1 million a year is provided from tax dollars for the operation of the UK Hospital, and that amount is specifically budgeted for the UK neo-natal unit.
  - ✓ Not only is UK Athletics self-supporting, but it also contributes to the academic support of the University.

## QUESTIONS & ANSWERS *about the University of Kentucky*

**Q** Does UK get most of its operating funds from the state?

**A** No. Only 33% of UK's support comes from state tax dollars appropriated to the institution.

**Q** From where does the rest of UK's funding come?

**A** From a variety of sources -- tuition, student housing and dining fees, patient revenues at the UK Medical Center, grants and contracts, gifts, and sales and services.

**Q** To what schools does UK compare itself?

**A** Institutions with similar missions to UK in the contiguous states and North Carolina, e.g. the University of North Carolina at Chapel Hill, the University of Tennessee, and Indiana University. The UK Community College System has its own set of comparable institutions in the contiguous states and North Carolina.

**Q** How does Kentucky stack up compared to other states in the southern region over the last few years?

**A** Based on recent Southern Regional Education Board data, during the last ten years, state and local funds to colleges in the southern region grew 13%, but declined 3% in Kentucky -- only Louisiana, West Virginia, and Mississippi fared worse. But, when you look at those numbers in relationship to enrollment, those numbers take on even more meaning. Full-time equivalent enrollment during the same period grew 24% in the southern region states, but 31% in Kentucky (much of that in the Community College System). State and local funding (dollars adjusted for inflation) per full-time equivalent fell 9% in the southern states -- but fell 26% in Kentucky -- the greatest decline (only matched by Louisiana) in the southern region. Specifically for the University of Kentucky, the University System ranks 13th out of 15 based on state appropriation per student. The Community College System continues to rank last.

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**Q** How can that be? Especially when the community colleges educate 30% of the college students attending public institutions in Kentucky.

**A** That's a good question. Why do UK and its Community College System rank so low, compared to similar institutions? In 1994-95, the UK Community College System did educate 30% of the college students in Kentucky public institutions, but received only 11% of the higher education appropriation.

**Q** Has UK always ranked so low in state support?

**A** In the late 1960s, UK received nearly 60% of the state's appropriation for higher education. That support has declined to 45% today. In 1979, 45 cents of each dollar UK spent came from the state. Today, it is down to 33 cents.

**Q** The UK faculty and staff are widely praised for attracting well over \$116 million last year in extramural grants, contracts, and gifts. Can some of this money be used to help make up for the loss in state funding?

**A** No. These funds are restricted by the granting agencies for specific purposes and cannot be spent by UK for other purposes, no matter how critical the need might be. But think about the impact of \$116 million on UK's ability to fulfill its mission and on the state's economy!

**Q** What specifically are UK's needs?

**A** UK needs competitive faculty and staff salaries, updated equipment, and new faculty/staff positions to improve programs and services and to deal with a decade of enrollment growth in the Community College System. A more specific breakdown of UK's needs are presented for you in priority order in the 1996-98 Biennial Request table starting on page 14 of this booklet.

## QUESTIONS & ANSWERS - *continued*

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**Q** How has UK developed its Biennial Request?

**A** The University of Kentucky's request has been developed within the following provisions of the recently approved funding model for higher education: (1) the base year appropriation will be continued; (2) funding will be recommended for state debt service needs on currently authorized bond issues; (3) funding will be recommended for approved operations and maintenance needs for new facilities coming on-line; (4) a current service calculation on the state appropriation excluding debt service (3% per year in this request) will be recommended; and (5) funding will be recommended to eliminate the funding "need" determined by the initial funding objective (of this amount, 60% will address equity concerns -- a significant amount of which would go to the Community College System -- with the remaining 40% to be distributed on the basis of meeting performance goals). In addition, institutions can put forth special requests.

**Q** Does UK support the recently approved funding model?

**A** We feel the funding model represents a good funding approach. It puts forth a reasonable request to the Governor and legislature; if funded, it will resolve the long-standing funding inequity of the Community College System; it provides an inflationary increase for salaries and operating expenses which, if not provided, constitutes a budget reduction; it incorporates performance; it provides an opportunity for universities to make requests of special interest or concern to the Commonwealth; it establishes a short-term funding objective; and it establishes a much-needed long-term funding strategy for higher education.

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Q

**How does UK determine budget priorities?**

A

The Strategic Plan of the University guides all priority-setting at UK. Every college or major administrative unit has developed goals, objectives, and strategies that are consistent with the institutional vision presented in the university-wide Strategic Plan.

Q

**If the Strategic Plan determines budget priorities, how does UK know that the plan is working?**

A

The Strategic Plan is revisited and updated routinely; furthermore, it includes strategic indicators by which the University monitors progress in achieving its mission. Some examples are:

- The percentage of lower division student credit hours taught by full-time faculty in the University System has increased from 53 percent in fall 1992 to 60 percent in fall 1994.

- The number of students transferring to the University System from the Community College System has increased from 682 in fall 1992 to 760 in fall 1994.

- The first- to second-year retention rate for the University System improved from 76.2 percent for the fall 1991 class to 78.9 percent for the fall 1993 class.

- UK's national research ranking has improved from 72nd in 1991-92 to 67th in 1993-94.

- The University has raised approximately \$22 million from private sources for the W.T. Young Library and a book endowment. With these funds, and through a financing plan, the University of Kentucky National Alumni Association formally embarked on this critically needed building project, and completion is projected for the spring of 1997.

## QUESTIONS & ANSWERS - *continued*

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**Q** How can we know that UK will use its funding wisely in support of the Strategic Plan?

**A** You will know because UK engages in a systematic, continuous planning and evaluation process that provides results-oriented information to you and other state government officials. In addition to planning activities, the University is under constant evaluation -- first by the Board of Trustees, some of the state's most respected citizens who meet regularly to review UK policies and programs. Other numerous evaluations include:

- An annual accountability report to state government officials
- An annual Strategic Plan Progress Report to the Board of Trustees

■ Performance evaluations conducted annually on every employee at UK - and salaries administered on the basis of individual employee merit

■ Periodic accreditation reviews by agencies such as the Southern Association of Colleges and Schools

■ Periodic program reviews of every academic program at the University

■ Close scrutiny through state management studies and by legislative committees

■ Regular reviews of UK's budget and academic programs by the Council on Higher Education

■ Careful monitoring of how UK uses its funds and operates its programs by potential donors and agencies who want to support UK programs

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**Q** How does the public investment in higher education impact Kentucky economically?

**A** Two recent studies on the economic impact of higher education on Kentucky found some very interesting results:

- Each \$1.00 of state support for higher education in Kentucky in the 1991-92 fiscal year resulted in \$3.40 of total spending in the Kentucky economy.
- For each job supported by state funding at the public universities and colleges, an additional 4.94 jobs in the public and private sectors of the Kentucky economy resulted from the effects of the state's support.
- In four years (1989-90 through 1992-93) the "value-added" to potential lifetime earnings of persons enrolled in Kentucky's eight public universities was \$32.7 billion -- a 12.9 times return on the state's investment for the same time period.

**Q** Can you summarize all the reasons for supporting UK?

- A** Yes.
- If Kentucky wants to keep its best and brightest students at home to study and then to work, and wants them to be taught by the best and brightest faculty,
  - If Kentucky wants UK to provide assistance in Kentucky's economic development in this age of technology and information,
  - If Kentucky wants UK to continue to touch the lives of Kentuckians through its public service and outreach programs, and
  - If Kentucky wants UK to fulfill its potential to have a global impact on the quality of human life,

*Then the expectations that the citizens of the Commonwealth have for the University must be supported by the state at a level that is competitive in the marketplace!*

## 1996-98 BIENNIAL REQUEST - Community College System

<ul style="list-style-type: none"> <li>• <b>Debt Service Adjustment</b> <span style="float: right;">\$ (77,000)</span></li> <li>• <b>Maintenance &amp; Operations for New Buildings</b> <span style="float: right;">1,124,500</span></li> <li>• <b>Statutory and Contractual Obligations</b> <span style="float: right;">658,600</span> <small>(Staff Benefits, Common Insurance, and Utilities)</small></li> <li>• <b>4% Salary Increase Per Year</b> <span style="float: right;">5,577,400</span> <small>(Includes Associated Benefits) - to maintain faculty and staff salaries at competitive levels</small></li> <li>• <b>Increased Cost of Supplies and Services</b> <span style="float: right;">710,300</span></li> <li>• <b>Faculty Salary Adjustment</b> <span style="float: right;">8,657,300</span></li> <li>• <b>Computer/Information Technology Support</b> <span style="float: right;">1,793,900</span> <small>- to support academic computing, distance learning technology, and administrative computing</small></li> <li>• <b>Faculty and Staff Position Catch-Up</b> <span style="float: right;">3,772,700</span> <small>- to provide adequate staffing and accommodate current enrollment</small></li> </ul>	<ul style="list-style-type: none"> <li>• <b>Access to Higher Education</b> <span style="float: right;">\$ 1,147,300</span> <small>- to provide academic assessment and placement centers, counseling and advising centers, computerized learning laboratories, library resources, and administrative services</small></li> <li>• <b>Accountability and Effectiveness</b> <span style="float: right;">1,568,100</span> <small>- to support instructional/laboratory equipment, expansion of general education offerings, institutional accountability, faculty/staff professional development, and quality improvement initiatives</small></li> <li>• <b>Technical Programs/Economic Development</b> <span style="float: right;">1,599,300</span> <small>- to strengthen technical programs and community/economic development activities</small></li> <li>• <b>Deferred Maintenance Funding</b> <span style="float: right;">1,500,000</span> <small>- to provide ongoing funding to maintain adequately the investment in the physical plant</small></li> <li>• <b>Staff Retirement Equalization</b> <span style="float: right;">96,700</span> <small>- to implement the final phase of the faculty and staff retirement equalization program</small></li> </ul>
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## Community College System - *Continued*

### Biennial Request - *Continued*

• **New Programs** \$ 2,831,100  
 - Mobile Health Programs; Engineering Technology and  
 Technical Studies Programs; and Public Service and Business  
 Technology Programs

**SUBTOTAL** \$ 30,960,200  
 (Funding Model)

• **Less Portion to be Supported by Council-  
 Recommended Tuition Increase** (1,133,200)

**TOTAL REQUEST FOR STATE SUPPORT** \$ 29,827,000  
 (Within Funding Model)

### *SPECIAL REQUESTS*

• **Costs Associated with University  
 Employees Becoming Members of the  
 Kentucky Health Purchasing Alliance** \$ 1,343,200

• **Lexington Community College Tuition  
 Equalization** \$ 629,300

• **Technology Initiative** 6,000,000  
 - to enable the community colleges to complete communications  
 infrastructure on college campuses, to equip classrooms and labs  
 with state-of-the-art hardware and software, to establish Support  
 Centers, and to provide communications network capability  
 (nonrecurring request for \$6,000,000 for each year of the biennium)

• **Business and Industry Technical Assistance  
 Centers (BITAC)** 924,200

• **Somerset Community College** 518,600  
 - London-Corbin Center

• **Maysville Community College** 518,600  
 - Licking Valley Center

## 1996-98 BIENNIAL REQUEST - University System

<ul style="list-style-type: none"> <li>• <b>Debt Service Adjustment</b> <span style="float: right;">\$ 115,200</span></li> <li>• <b>Maintenance &amp; Operations for New Buildings</b> <span style="float: right;">3,868,800</span></li> <li>• <b>Statutory and Contractual Obligations</b> <span style="float: right;">2,016,900</span> <small>(Staff Benefits, Common Insurance, and Utilities)</small></li> <li>• <b>4% Salary Increase Per Year</b> <span style="float: right;">24,407,200</span> <small>(Includes Associated Benefits) - to maintain faculty and staff salaries at competitive levels</small></li> <li>• <b>Increased Cost of Supplies and Services</b> <span style="float: right;">2,639,100</span></li> <li>• <b>Minority and Diversity Initiatives</b> <span style="float: right;">300,000</span></li> <li>• <b>Instructional and Research Equipment</b> <span style="float: right;">500,000</span> <small>- to provide for equipment purchases and its regular replacement</small></li> <li>• <b>Deferred Maintenance Funding</b> <span style="float: right;">1,007,400</span> <small>- to provide ongoing funding to maintain adequately the investment in the physical plant</small></li> </ul>	<ul style="list-style-type: none"> <li>• <b>Computer/Information Technology Support</b> <span style="float: right;">\$ 1,489,600</span> <small>- to provide desktop computing support, college technical support, library personnel and operating expenses, mainframe upgrade, and funding for modern political papers and the oral history program</small></li> <li>• <b>Staff Retirement Equalization</b> <span style="float: right;">499,600</span> <small>- to implement the final phase of the faculty and staff retirement equalization program</small></li> <li><b>SUBTOTAL</b> <span style="float: right;">\$ 36,843,800</span> <small>(Funding Model)</small></li> <li>• <b>Less Portion to be Supported by Council-Recommended Tuition Increase</b> <span style="float: right;">(4,543,900)</span></li> <li><b>TOTAL REQUEST FOR STATE SUPPORT</b> <span style="float: right;">\$ 32,299,900</span> <small>(Within Funding Model)</small></li> </ul>
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## University System - *Continued*

### *SPECIAL REQUESTS*

<ul style="list-style-type: none"> <li>• Costs Associated with University Employees Becoming Members of the Kentucky Health Purchasing Alliance \$ 4,071,400</li> <li>• Replacement of Cost of Debt Service for W.T. Young Library 3,204,300</li> <li>• HB 250 Implementation/Rural Health Initiative 15,794,700 <small>(In addition, \$1,613,300 of nonrecurring funds are requested in 1996-97.)</small></li> <li>• Engineering Enhancement 3,164,300</li> <li>• Agricultural Economic Development Initiatives 4,010,000</li> <li>• Support for Kentucky's Primary and Secondary Schools 1,096,300</li> <li>• Merit Scholarships (National Merit Scholars) 1,500,000 <small>- in-state students</small></li> </ul>	<ul style="list-style-type: none"> <li>• Expanded Graduate Opportunities \$ 1,213,500</li> <li>• Women's Health Center 794,100</li> <li>• Distance Learning Initiatives 1,639,900</li> <li>• Kentucky Pharmaceutical Research and Development Center 2,750,000</li> <li>• Enhancement of Center for Applied Energy Research 996,300</li> <li>• Kentucky Geological Survey 679,000 <small>- Ground Water Monitoring Network and Seismic Network</small></li> <li>• Law School Enhancement 616,600</li> <li>• Health Policy Center 615,900</li> <li>• Biotechnology/Gene Therapy 288,400</li> </ul>
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## 1994-95 USE OF INCREASE in State Appropriation

	University System	Community College System		University System	Community College System
<b>Special Appropriations</b>			<b>Surplus Expenditure Plan *</b>		
• Debt Service Adjustments	\$ (3,004,900)	\$ 127,100	• Classroom and Instructional Lab Renovations	\$ 1,170,000	\$ —
• Cancer Center Registry Program	80,000	—	• Deferred Maintenance and Life Safety Projects	497,000	—
• County Extension Agent Positions	1,500,000	—	• Academic and Administrative Computing Systems Upgrade	433,500	—
<b>Fixed Costs</b>			• Merit Scholarships	86,000	—
• Employee Benefits	862,100	425,000	• Agriculture Research - Animal Sciences	94,000	—
• Utilities	228,000	11,900	• Instructional Equipment	—	398,200
• Maintenance and Operations of New Buildings	122,000	—	• Match for NSF Math Grant	—	225,000
• Other	55,000	55,000	<b>TOTAL</b>	<b>\$ 5,332,200</b>	<b>\$ 2,567,500</b>
<b>Continuation Costs **</b>					
• Compensation Increases (3% merit pool)	3,209,500 **	746,400 **			
• Operating Expense Increase (2%)	— **	143,600 **			
• Library Acquisitions	— **	66,000 **			
<b>Program Improvement **</b>	—	369,300 **			

\* Utilized during 1994-95 for critical one-time programmatic and capital needs of the University.

\*\* Remainder of compensation increase, operating increase, library acquisitions, retirement equalization, and program improvements supported by tuition, other University income or reallocation.

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## 1995-96 USE OF INCREASE in State Appropriation\*

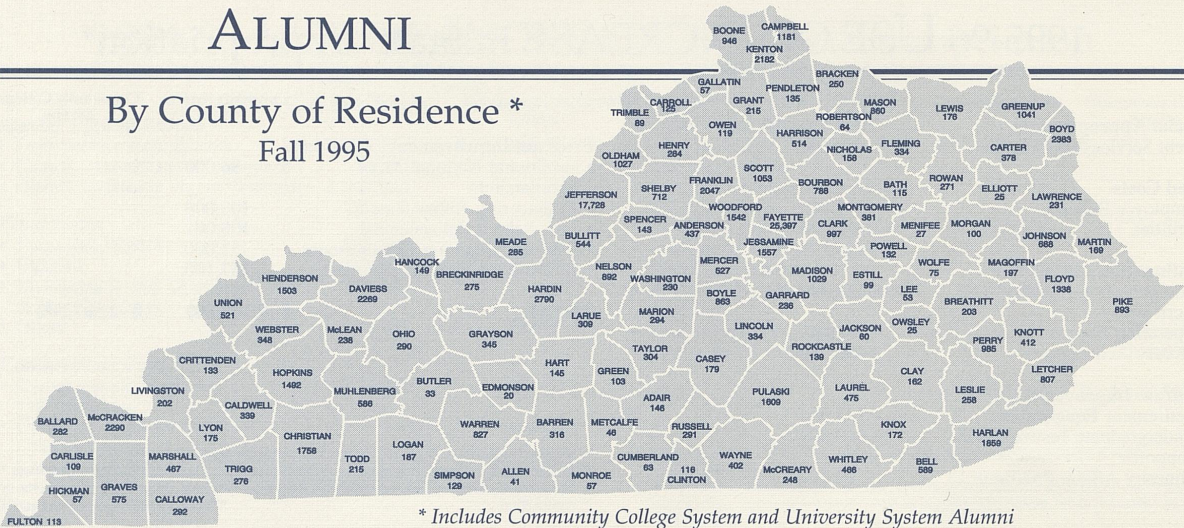
	University System	Community College System		University System	Community College System
<b>Special Appropriations</b>					
• Debt Service Adjustments	\$ 10,600	\$ 12,600			
<b>Fixed Costs</b>					
• Employee Benefits	871,900	127,100			
• Utilities	170,000	—			
<b>Continuation Costs**</b>					
• Compensation Increases (4% merit pool)	5,724,000 **	2,367,800 **			
• Operating Expense Increase (3%)	765,000 **	— **			
• Library Acquisitions	438,000 **	— **			
<b>Program Improvement**</b>					
• Retirement Equalization	235,000	— **			
• Undergraduate Instructional Support	410,500	—			
• Minority Affairs Programs	19,200	—			
			<b>Program Improvement - Continued</b>		
			• Additional National Merit Scholarships	\$ 86,000	\$ —
			• Engineering Enhancement	100,000	—
			• Law School Enhancement	100,000	—
			• Distance Learning Enhancement	53,000	—
			• Information Systems Positions	157,100	—
			<b>TOTAL</b>	<b>\$ 9,140,300</b>	<b>\$ 2,507,500</b>

\* Surplus Expenditure Plan (1%) utilized for recurring purposes in 1995-96.

\*\* Remainder of compensation increase, operating increase, library acquisitions, retirement equalization, and program improvements supported by tuition, other University income or reallocation.

# ALUMNI

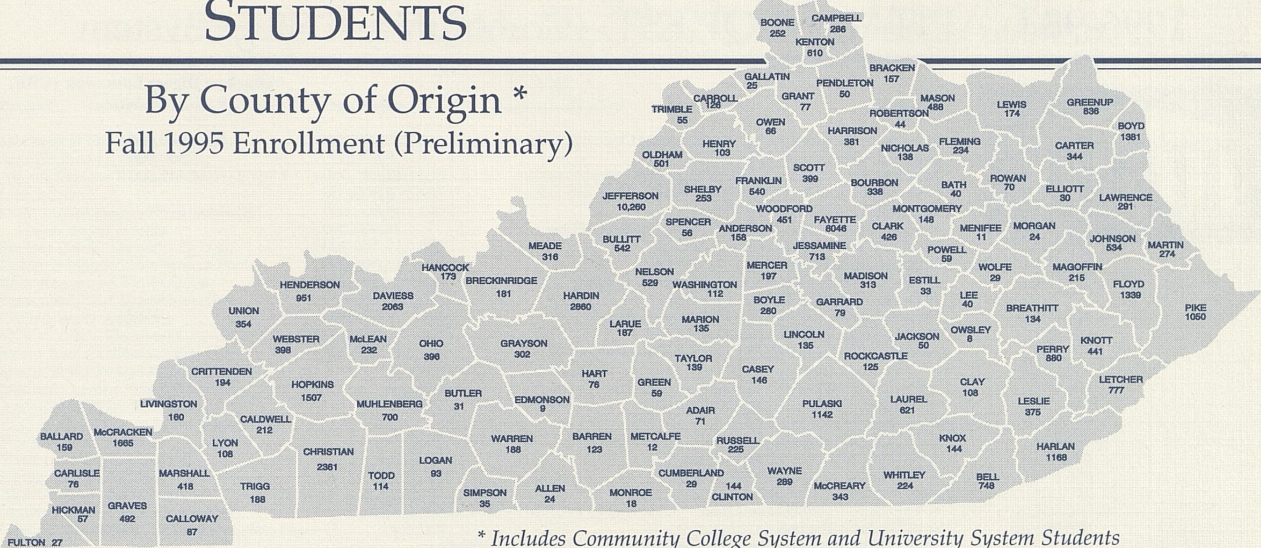
By County of Residence \*  
Fall 1995



\* Includes Community College System and University System Alumni

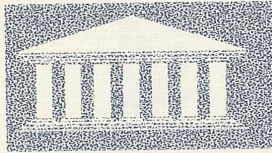
# STUDENTS

By County of Origin \*  
Fall 1995 Enrollment (Preliminary)



\* Includes Community College System and University System Students

## 1996-98 CAPITAL REQUEST - Community College System



Project	Council on Higher Education (CHE)	
	Request	Recommendation
Hazard - Student/Performing Arts/Regional Classroom Center Phase I	\$ 11,000,000 <sup>1,2</sup>	\$ 11,000,000 <sup>7</sup>
Ashland - Classroom Building	5,500,000 <sup>1</sup>	5,500,000 <sup>7</sup>
Hopkinsville - Regional Technical Training Center	11,445,000 <sup>1</sup>	11,445,000 <sup>7</sup>
Code Improvements/Environmental Health	2,000,000	*
Deferred Maintenance Project Pool	5,000,000	*
Lexington Community College Campus Expansion Phase I	16,000,000	**
Somerset - Classroom/Student Center	5,500,000	**
London/Corbin Community College	14,900,000	**
Southeast - Whitesburg Academic/Technical Building	3,300,000 <sup>3</sup>	**
Paducah - Classroom/Services Building	5,500,000	**
Prestonsburg - Classroom/Health Education Phase I	9,350,000 <sup>4</sup>	**
Prestonsburg - Pikeville Classroom Phase I	4,950,000 <sup>5</sup>	**
Madisonville - Science/Technical Classroom Building	1,600,000 <sup>6</sup>	**

<sup>1</sup> Previously authorized for planning and design

<sup>2</sup> Total project scope is \$13,000,000

<sup>3</sup> Total project scope is \$4,300,000

<sup>4</sup> Total project scope is \$9,800,000

<sup>5</sup> Total project scope is \$5,450,000

<sup>6</sup> Total project scope is \$4,600,000

<sup>7</sup> Recommended for bond authorization

\* Eligible for funding from a state bond pool, to be matched by the University on a dollar-for-dollar basis

\*\* Not recommended by the Council on Higher Education for state funding

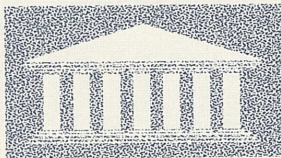
Project  
Mechani  
Aging/A  
Animal  
Life Saf  
Code In  
Hand  
Student  
Deferre  
Roof Re  
Patterson  
Chilled  
4KV to  
Steam L  
Storm S  
Medical  
Agricul  
KGS We  
Pence H  
Slope B  
Kastle H  
Agricul  
Chiller R  
Cooling



## 1996-98 CAPITAL REQUEST - University System

Project	Request	CHE		Project	Request	CHE	
		Recommendation	Project			Recommendation	Project
Mechanical Engineering Facility	\$ 16,000,000	1	\$ 16,000,000	11	Electrical Substation #1 Switching	\$ 500,000	**
Aging/Allied Health Building	15,500,000	2	**	Electrical Substation #1 and #2 Connections	1,500,000	**	**
Animal Science Research Center Phase I	12,480,000		12,480,000	11	Human Environmental Sciences Building	10,638,000	5
Life Safety	6,571,500	3	6,571,500	12	Specialized Greenhouses	1,500,000	6
Code Improvements/Environmental/ Handicapped Access	5,601,500	3	*	Cooling #3 to Lime Chilled Water Pipe	1,800,000	**	**
Student Center Sprinkler System	585,000		*	Steam Condensate Pipe Repair	1,000,000	**	**
Deferred Maintenance Project Pool	4,630,000		*	Substation #2 Renovation	2,000,000	**	**
Roof Repair and Replacement	3,652,000		*	Pollution Controls, Medical Center Heating Plant	1,333,000	*	*
Patterson Office Tower Elevators	1,033,000		*	Fine Arts Information Center - King North Renovations	2,000,000	7	**
Chilled Water Additions	700,000		**	High Security Isolation Facility	3,194,000	**	**
4KV to 12KV Electrical Conversion	1,500,000		*	Seaton Center Addition/Renovation	13,000,000	**	**
Steam Line Expansion - Rose Street	700,000		**	Career Planning and Placement Center	1,302,500	8	**
Storm Sewer Improvements - Funkhouser	800,000		**	Bowman Hall Renovation	4,289,000	**	**
Medical Center Chilled Water Loop	500,000		**	Cooling Plant #2 Expansion	3,000,000	**	**
Agricultural Plant Science Facility	18,427,000	4	**	Cooling Secondary Pumping	2,000,000	**	**
KGS Well Sample and Core Repository	3,300,000		**	Science and Engineering Research Lab Renovation	1,814,000	**	**
Pence Hall Renovation	2,600,000		**	Swine Facility - Princeton	900,000	9	**
Stone Building Renovation	3,300,000		**	Biological Sciences Addition	10,000,000	**	**
Kastle Hall Renovation	6,250,000		**	Anthropology Building	3,000,000	**	**
Agriculture North Renovation	2,667,000		**	Agriculture Science South - ACF Upgrade	770,000	*	*
Chiller Replacement (Cooling #3)	1,000,000		*	Kentucky Policy Research Center	2,300,000	10	**
Cooling, Secondary Building Pumping Phase II	1,000,000		**	Rural Health/Continuing Education Center	20,000,000	**	**

## 1996-98 CAPITAL REQUEST - University System



### University System - *Continued*

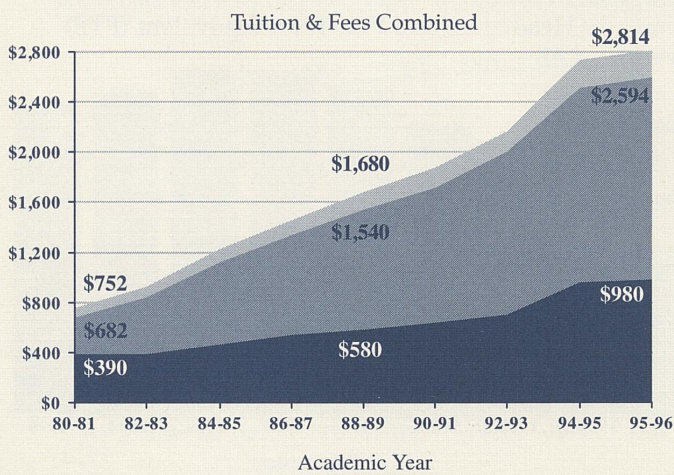
Project
Academic Equipment Replacement Program
Incinerator Replacement
Dentistry Mobile Dental Vans
Calorimeter
Telemedicine Systems
Autoradiography
MB Ultracentrifuges
MB/GT Phospho-Imager
GT Ultracentrifuges
Laser

Request	Council on Higher Education (CHE) Recommendation
\$ 20,000,000	*
1,600,000	*
530,000	**
104,000	*
600,000	*
206,300	*
339,000	*
123,000	*
339,000	*
104,000	*

- 1 Total project scope is \$20,000,000
- 2 Total project scope is \$32,500,000
- 3 \$6,571,500 recommended for state capital funds; \$5,601,500 is eligible for funding from a state bond pool, to be matched by the University on a dollar-for-dollar basis
- 4 Total project scope is \$20,027,000
- 5 Total project scope is \$11,638,000
- 6 Total project scope is \$3,000,000
- 7 Total project scope is \$4,000,000

- 8 Total project scope is \$2,605,000
- 9 Total project scope is \$1,800,000
- 10 Total project scope is \$2,800,000
- 11 Recommended for bond authorization
- 12 Recommended for capital funding
- \* Eligible for funding from a state bond pool, to be matched by the University on a dollar-for-dollar basis
- \*\* Not recommended by the Council on Higher Education for state funding

# ANNUAL TUITION & FEES



## 1995-96

	Tuition	Fees
<b>Resident</b>		
Graduate	\$ 2,480	\$ 334
Undergraduate	2,260	334
Community College *	980	— **
<b>Non-Resident</b>		
Graduate	\$ 7,440	\$ 334
Undergraduate	6,780	334
Community College	2,940	—

\* Tuition and fees at Lexington are \$1,954.00

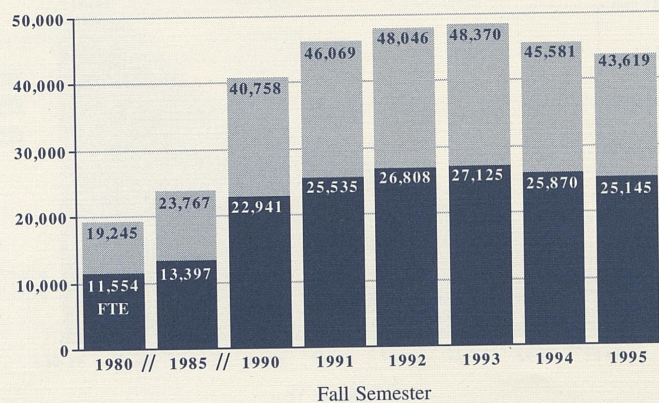
\*\* Mandatory fees at Prestonsburg are \$169.50

# ENROLLMENT - Community College System

## Fall 1995 Headcount (Preliminary)

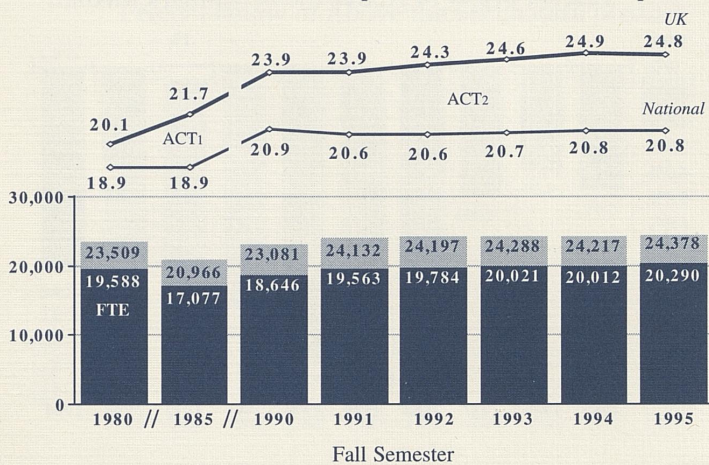
	Full-time	Part-time	Total
Ashland	1,497	1,063	2,560
Elizabethtown	1,479	2,287	3,766
Hazard	1,055	635	1,690
Henderson	573	618	1,191
Hopkinsville	716	2,182	2,898
Jefferson	3,081	6,192	9,273
Lexington	3,126	2,102	5,228
Madisonville	1,059	1,474	2,533
Maysville	675	687	1,362
Owensboro	1,042	1,306	2,348
Paducah	1,330	1,503	2,833
Prestonsburg	1,762	1,036	2,798
Somerset	1,588	1,085	2,673
Southeast	1,637	829	2,466
<b>Total</b>	<b>20,620</b>	<b>22,999</b>	<b>43,619</b>

## Headcount and Full-Time Equivalent (FTE)



# ENROLLMENT - University System

Headcount and Full-Time Equivalent (FTE) & ACT Comparison



Fall 1995 Headcount (Preliminary)

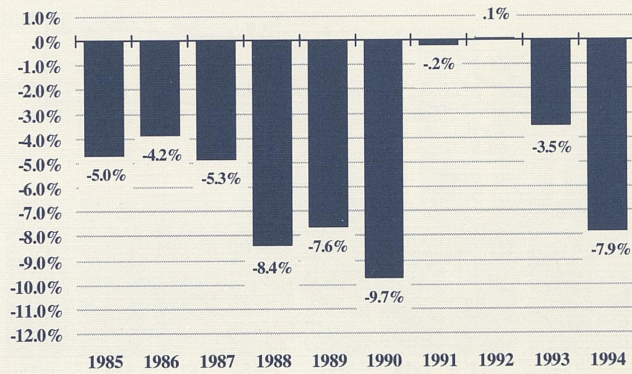
	Full-time	Part-time	Total
Undergraduate	14,890	2,499	17,389
Graduate	2,454	2,671	5,125
Professional	1,199	86	1,285
House Staff/Postdoctoral	579	—	579
<b>Total</b>	<b>19,122</b>	<b>5,256</b>	<b>24,378</b>

ACT1 - American College Test composite score of entering freshmen  
 ACT2 - Enhanced ACT composite score of entering freshmen

## FACULTY SALARIES - Community College System

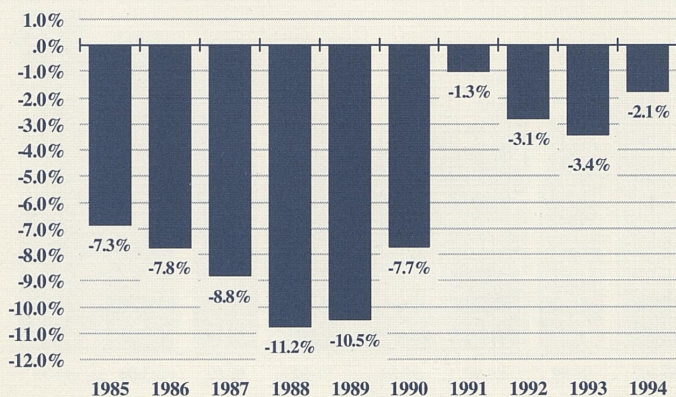
Fall	UK All-Ranks Average Salary	Benchmark Median Salary
1994	\$32,260	\$35,039
1993	31,389	32,531
1992	30,358	30,341
1991	30,434	30,480
1990	28,530	31,601
1989	26,582	28,777
1988	25,177	27,479
1987	25,125	26,524
1986	24,012	25,056
1985	22,226	23,387

Percent Below or Above the Benchmark Median



## FACULTY SALARIES - University System

Percent Below or Above the Benchmark Median



Fall	UK All-Ranks Average Salary	Benchmark Median Salary
1994	\$53,750	\$54,899
1993	52,152	53,962
1992	50,602	52,235
1991	50,414	51,062
1990	46,298	50,152
1989	42,008	46,957
1988	39,604	44,620
1987	38,715	42,461
1986	37,055	40,211
1985	34,624	37,342

## EXTERNAL SUPPORT - Obtained by Faculty and Staff

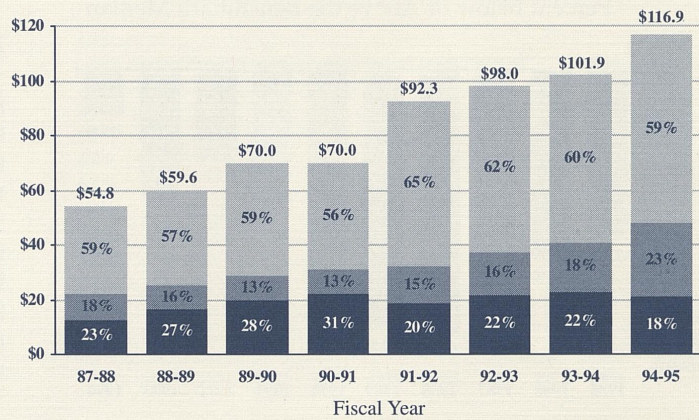
1994-95

### By Category of Support (In Millions)

Instruction	\$ 8.7
Research	74.9
Public Service	31.8
Other	1.5
<b>Total</b>	<b>\$ 116.9</b>

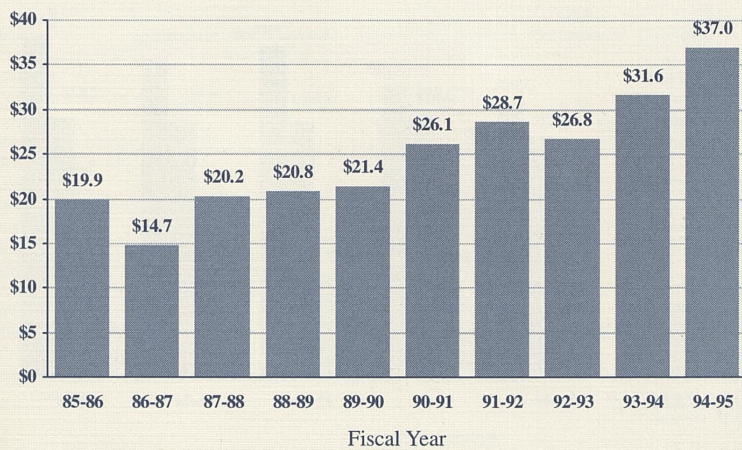
### By Source

Federal	59%
State	23%
Business, Industry, Other	18%





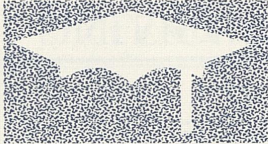
## PRIVATE GIVING



**1994-95**

(In Millions)

Alumni	\$ 11.7
Non-Alumni	6.6
Corporations/Foundations	16.1
Trusts/Associations	2.6
<b>Total</b>	<b>\$ 37.0</b>

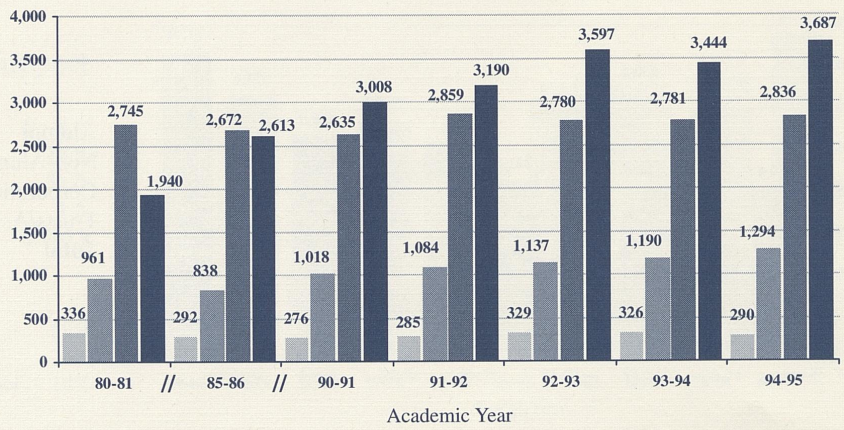


## DEGREES CONFERRED

1994-95

- Associate
- Baccalaureate
- Graduate
- Professional

3,687  
2,836  
1,294  
290



- Discipline
- Agriculture
  - Architecture
  - Area Studies
  - Biological/Life
  - Business/Man
  - Communication
  - Computer/Info
  - Dentistry
  - Education
  - Engineering
  - Fine and Appli
  - Foreign Langu
  - Health Professi
  - Home Econom
  - Law

## DEGREES CONFERRED - By Discipline

Discipline	Associate	Baccalaureate	Master's *	First		Discipline	Associate	Baccalaureate	Master's *	First	
				Doctoral	Professional					Doctoral	Professional
Agriculture	-	133	25	13	-	Letters	-	99	15	5	-
Architecture	-	73	-	-	-	Library Science	-	-	103	-	-
Area Studies	-	1	-	-	-	Mathematics	-	19	46	5	-
Biological/Life Sciences	-	106	16	34	-	Medicine	-	-	-	-	82
Business/Management	588	499	103	9	-	Pharm D.	-	-	-	-	54
Communications	3	186	8	11	-	Physical Sciences	-	25	25	16	-
Computer/Info Sciences	116	36	23	1	-	Precision Production	13	-	-	-	-
Dentistry	-	-	-	-	43	Protective Services	29	-	-	-	-
Education	-	203	172	37	-	Psychology	-	151	16	10	-
Engineering	61	311	94	18	-	Public Affairs	92	90	170	-	-
Fine and Applied Arts	14	82	13	7	-	Social Sciences	-	276	63	35	-
Foreign Languages	-	39	16	7	-	Interdisciplinary	1,510	29	-	-	-
Health Professions	1,236	386	131	15	-						
Home Economics	25	92	32	-	-						
Law	-	-	-	-	111	<b>Total</b>	<b>3,687</b>	<b>2,836</b>	<b>1,071</b>	<b>223</b>	<b>290</b>

\* Includes Specialist degrees

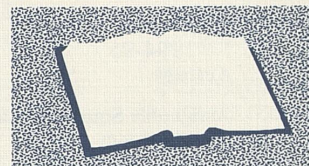
## FACULTY AND STAFF

1994-95 Full-Time Employees	University System				Community College System	
	Educational & General	Hospital & Auxiliary	Total	% Total	Total	% Total
Executive/Administrative/Managerial	316	105	421	4.2%	122	5.6%
Faculty	1,774	—	1,774	17.7%	1,125	51.9%
Other Professional	1,528	1,580	3,108	31.0%	276	12.7%
Secretarial/Clerical	1,326	772	2,098	20.9%	469	21.6%
Technical/Paraprofessional	679	350	1,029	10.2%	21	1.0%
Skilled Crafts	237	23	260	2.6%	—	0.0%
Service/Maintenance	697	650	1,347	13.4%	157	7.2%
<b>Total</b>	<b>6,557</b>	<b>3,480</b>	<b>10,037</b>	<b>100.0%</b>	<b>2,170</b>	<b>100.0%</b>

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## LIBRARY COLLECTIONS

1994-95	University System	Community College System
Volumes Held	2,590,061	497,419
Current Periodical Titles	26,844	3,767
Microforms	5,454,233	376,321
Audio-Visual Materials	62,913	45,190



In addition to the above, the Library's collections include over 230,000 maps; over 4,900 Oral History program interviews; 274 current state, national, and international newspapers; the University Archives; Audio-Visual Archives; the Modern Political Papers; the Bert T. Combs Appalachian Collection, including the Appalachian Regional Commission Archives; and the W. Hugh Peal Collection of 19th century English and related literature. The library is a regional depository for U.S. government publications and is also a depository for European Economic Community and Canadian publications, British Parliamentary Papers, Kentucky government publications, and technical reports from federal agencies, all selectively collected. Numerous CD ROM and on-line databases are accessible in the main and branch libraries.

Library sites include the Margaret I. King Library, 13 branch libraries, and 15 community college libraries. The NOTIS library system provides an on-line catalog for the holdings of all University libraries.

## LAND AND SPACE \*

1994-95	Community College System	University System	Other**	Total
<b>Acreage</b>	<b>1,075</b>	<b>684</b>	<b>23,662</b>	<b>25,421</b>
<b>Assignable Square Footage in Buildings***</b>				
Classroom	283,099	186,591	75,514	545,204
Laboratory	349,323	921,580	64,197	1,335,100
Office	360,495	1,369,126	120,262	1,849,883
Study	181,677	279,252	7,411	468,340
Special	40,932	642,594	450,982	1,134,508
General	274,546	603,843	110,330	988,719
Support	60,926	1,523,243	235,544	1,819,713
Health Care	276	382,827	19,011	402,114
Residential	—	1,245,819	159,334	1,405,153
Unclassified	26,935	177,425	177,144	381,504
<b>TOTAL</b>	<b>1,578,209</b>	<b>7,332,300</b>	<b>1,419,729</b>	<b>10,330,238</b>

\* Excludes space provided at no cost

\*\* Includes research farms, Robinson Forest, substations, 4-H camps, Adena Park, and leased space

\*\*\* Based on fall 1995 Physical Facilities Inventory

Educational  
Educational  
Residential  
Physical  
Construction  
Construction  
Office  
Other  
Subtotal  
Hospital  
Auxiliary  
TOTAL

\* Reflected

## GENERAL EQUIPMENT INVENTORIES

	Inventory* 6/30/93	Net Change 1993-94	Inventory 6/30/94	Net Change 1994-95	Inventory 6/30/95
<b>Educational and General</b>					
Educational Aids	\$ 40,450,000	\$ 2,437,000	\$ 42,887,000	\$ 3,113,000	\$ 46,000,000
Research	47,600,000	3,958,000	51,558,000	2,031,000	53,589,000
Physical Plant	978,000	183,000	1,161,000	307,000	1,468,000
Computing	68,715,000	6,231,000	74,946,000	3,727,000	78,673,000
Communications	8,278,000	(3,551,000)	4,727,000	613,000	5,340,000
Office	18,022,000	1,699,000	19,721,000	1,919,000	21,640,000
Other	13,054,000	(1,265,000)	11,789,000	773,000	12,562,000
<b>Subtotal</b>	<b>197,097,000</b>	<b>9,692,000</b>	<b>206,789,000</b>	<b>12,483,000</b>	<b>219,272,000</b>
<b>Hospital</b>	56,844,000	7,152,000	63,996,000	2,795,000	66,791,000
<b>Auxiliary Enterprises</b>	3,877,000	568,000	4,445,000	356,000	4,801,000
<b>TOTAL</b>	<b>\$257,818,000</b>	<b>\$ 17,412,000</b>	<b>\$275,230,000</b>	<b>\$ 15,634,000</b>	<b>\$290,864,000</b>

\* Reflects change in capitalization policy; dollar amount required for capitalization set at a higher level

## NEW FACILITIES

	Scope	Funding Source	Anticipated On-line Date
<b>Under Construction</b>			
Somerset: Southern Kentucky Rural Economic Development/Fine Arts Center	\$ 14,500,000	Federal/State/Agency	Spring 1996
Kentucky Clinic Expansion	6,000,000	Private	Spring 1996
Hazardous Materials Facility	1,600,000	State	Fall 1996
Parking Structure	10,000,000	Agency	Spring 1997
W.T. Young Library	58,000,000	Private/Non-Public	Spring 1997
<b>In Planning and Design</b>			
Hazard: Student/Performing Arts Center Phase I	13,750,000 <sup>1</sup>	Federal/State/Agency	*
Hopkinsville: Regional Technical Training Center	11,695,000 <sup>2</sup>	State	*
Ashland: Classroom Building	5,810,000 <sup>3</sup>	State	*
Tennis Stadium	1,576,000	Private	Fall 1996

<sup>1</sup> Includes design funds of \$ 750,000

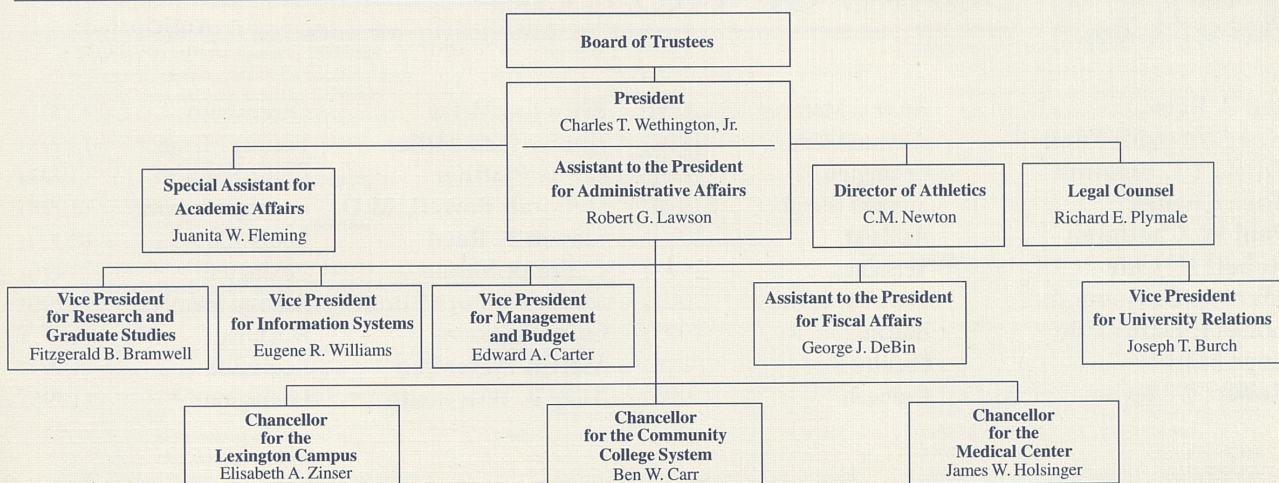
<sup>2</sup> Includes design funds of \$ 250,000

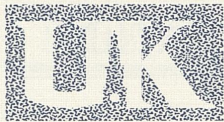
<sup>3</sup> Includes design funds of \$ 310,000

\* Contingent on state funding for project



# ADMINISTRATIVE ORGANIZATION





## BOARD OF TRUSTEES

---

<b>Ted B. Bates</b>	Alumni Member	(2000)	<b>Billy Joe Miles</b>	Owensboro	(2001)
<b>Kay Shropshire Bell</b>	Alumni Member	(1998)	<b>Jimmy Jack Miller</b>	Faculty Member	(1998)
<b>Edward T. Breathitt</b>	Lexington	(2001)	<b>Elissa Plattner</b>	Camp Springs	(1998)
<b>Shea Chaney</b>	Student Member	(1996)	<b>Deborah Powell, M.D.</b>	Faculty Member	(1998)
<b>Paul W. Chellgren</b>	Ashland	(1997)	<b>Steven S. Reed</b>	Louisville	(2000)
<b>Robert N. Clay</b>	Versailles	(1997)	<b>C. Frank Shoop</b>	Lexington	(1996)
<b>Merwin Grayson, Jr.</b>	Crescent Springs	(2001)	<b>Marian Moore Sims</b>	Alumni Member	(1996)
<b>James F. Hardymon</b>	Barrington, RI	(1999)	<b>Lois Weinberg</b>	Hindman	(1997)
<b>Loys Mather</b>	Faculty Member	(1996)	<b>Martin Welenken</b>	Louisville	(1999)
<b>Robert P. Meriwether, M.D.</b>	Paducah	(1998)	<b>Billy B. Wilcoxson</b>	Lexington	(1996)

## ADMINISTRATIVE HISTORY

- 1865** Agricultural and Mechanical College (A&M) established as part of Kentucky University
- 1869** James K. Patterson became President of A&M
- 1878** A&M separated from Kentucky University
- 1882** A&M moved to current location in Lexington
- 1885** Agricultural Experiment Station opened
- 1889** College of Agriculture established
- 1908** College of Arts and Sciences established, College of Law established, A&M name changed to "State University, Lexington, Kentucky"
- 1909** A collection of books was moved into the Carnegie Building and named University Library
- 1911** Henry S. Barker became President of State University
- 1916** State University renamed University of Kentucky
- 1917** Frank L. McVey became President of University of Kentucky, Graduate School established
- 1918** College of Engineering established
- 1923** College of Education established
- 1925** College of Business and Economics established
- 1941** Herman L. Donovan became President
- 1947** College of Pharmacy moved to University of Kentucky
- 1956** Medical Center authorized by General Assembly, Frank G. Dickey became President
- 1957** Ashland Extension Center established
- 1959** Patterson School of Diplomacy established, Honors Program initiated
- 1960** Medical Center opened, College of Medicine admitted first class, College of Nursing admitted first class, Henderson Extension Center established, Southeast Extension Center established
- 1962** College of Dentistry admitted first class, University Hospital opened
- 1963** John W. Oswald became President
- 1964** Community College System established, Elizabethtown Community College established, Prestonsburg Community College established, College of Architecture established
- 1965** Hopkinsville Community College established, Somerset Community College established, Lexington Technical Institute (LTI) established
- 1966** College of Allied Health Professions established
- 1967** College of Home Economics established
- 1968** Albert D. Kirwan became President, Jefferson Community College established, Paducah Community College established, Hazard Community College established, Maysville Community College established, Madisonville Community College established
- 1969** College of Social Work established, Otis A. Singletary became President
- 1970** College of Library and Information Science established
- 1976** College of Communications established, College of Fine Arts established
- 1982** University reorganized with a Central Administration and three sectors headed by Chancellors (Lexington Campus, Medical Center, and Community College System)
- 1984** LTI became Lexington Community College
- 1986** Owensboro Community College established
- 1987** David P. Roselle became President
- 1990** Charles T. Wethington, Jr. became President
- 1993** College of Library and Information Science combined with College of Communications

- C** Community Colleges
- A** Area Health Education Centers
- F** Research Farms
- R** Robinson Forest and Substations

