

MINUTES

**Meeting of the Board of Trustees
University of Kentucky
1:00 P.M.
April 2, 2002
18th Floor Patterson Office Tower**

Minutes

Accompanying Materials:

President's Report and Action Items

- PR 2 Personnel Actions
- PR 3 University Research Professorships

Finance Committee Report

- FCR 1 Approval of Lease
- FCR 2 Patent Assignment Report
- FCR 3 Merging Jacqueline A. Noonan Professorships
- FCR 4 Endowment Name Change in the College of Medicine
- FCR 5 John C. Harris Gift
- FCR 6 Creed F. Smith, Jr. Gift and Pledge
- FCR 7 William T. Young Pledge
- FCR 8 Arthur E. Walker, Jr. Graduate Fellowship in Civil Engineering Gift and Pledge
- FCR 9 Transfer of Blue-White Fund Quaisi-Endowment to the Wildcat Foundation

Minutes of the Meeting of the Board of Trustees of the University of Kentucky,
Tuesday, April 2, 2002.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, April 2, 2002 in the Board Room on the 18th Floor of Patterson Office Tower.

A. Meeting Opened

Mr. Billy Joe Miles, Chairperson, called the meeting to order at 1:05 p.m., and Ms. Elaine Wilson gave the invocation.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Ms. Marianne Smith Edge, Mr. John “Jack” Guthrie, Dr. Loys Mather, Ms. Pamela May, Dr. Robert P. Meriwether, Mr. Billy Joe Miles (Chairman), Dr. Elissa Plattner, Dr. Claire Pomeroy, Mr. Tim Robinson, Mr. Frank Shoop, Ms. Alice Sparks, Dr. Grady Stumbo, Ms. JoEtta Wickliffe, Mr. Russ Williams, Ms. Elaine Wilson, and Ms. Barbara Young. Absent from the meeting were Mr. Paul W. Chellgren, Mr. Steven S. Reed, Ms. Marian Sims, and Mr. Billy Wilcoxson. The University administration was represented by President Lee T. Todd, Jr.; Acting Senior Vice President Jack C. Blanton; Senior Vice President and Chancellor of the Medical Center James W. Holsinger, Jr.; Acting Provost Michael Nietzel; Acting Vice President for Research James Boling, and Mr. Richard E. Plymale, General Counsel.

Members of the various news media were also in attendance. A quorum being present, the Chairperson declared the meeting officially open for the conduct of business at 1:08 p.m.

C. Consent Agenda

Mr. Miles entertained a motion to approve the following items on the Consent Agenda:

Approval of Minutes
PR 2 Personnel Actions

On motion made by Ms. Edge and seconded by Ms. Wilson, the Consent Agenda was approved. (See PR 2 at the end of the Minutes.)

D. President's Report to the Trustees (PR 1)

President Todd called attention to the following items in PR 1 and asked that the Board read the entire document to get the full essence:

A team of University of Kentucky (UK) College of Medicine researchers has begun a clinical trial of a new investigational treatment for Parkinson's disease that may directly influence the degenerative disease process by regenerating damaged brain cells. Greg Gerhardt is one of UK's "Bucks for Brains" professors and one of the basic scientists who developed these techniques. This research is funded by a \$5 million grant from the National Institute of Neurological Disorders and Stroke, a division of the National Institutes of Health.

UK has two additional Truman scholars this year. Conley D. Chaney and Julie Murray have been awarded prestigious Truman Scholarships. President Todd and Acting Provost Nietzel recently walked in their classroom and surprised them with the news.

Acting Provost Nietzel, the associate provosts and deans agreed to forego 1 percent of their one time merit increase to support a new scholarship fund targeting Kentucky's top high school students. The administrators' contribution, totaling \$20,000, will go toward scholarships for Governor's Scholars and students in the Governor's School for the Arts. President Todd expressed appreciation to them and said it shows some great leadership. He reported that there were 220 applicants from the Governor's Scholars Program last year, and there are 550 this year.

There was a 98% show rate at the first merit weekend and a 99% show rate the second merit weekend. Merit Weekends are for the very top students to come to campus and see if they want to attend UK. These rates show that they are taking UK very seriously. The volume is up 43% over last year. President Todd believes the staff can get the enrollment up and filled with high quality students.

Three UK College of Medicine departments are ranked among the top 10 public medical school programs receiving funding from the National Institutes of Health in 2001. President Todd said this is the way an institution gets to "Top 20" status: step by step and by having this program get in the top ten. He said he is very proud of these people.

Country music star and Eastern Kentucky native Loretta Lynn was presented with an honorary Doctor of Arts degree in absentia in early March. President Todd noted that Ms. Lynn made it to the parking lot but was too ill to participate in the ceremony. He thanked Dr. Holsinger for representing him and the Board members who attended the event.

The UK Cheerleading Squad, which won its eighth consecutive national championship in January, met President Bush at the White House on March 12th during a Champions Day ceremony. Governor Paul Patton had them in Frankfort recently, and President and Mrs. Todd have them scheduled for dinner at Maxwell Place.

The faculty at the UK College of Engineering's Program in Paducah has been actively engaged in support of retaining the uranium enrichment plant in Western Kentucky. Three of them are MIT graduates, one woman who came out of International Paper Research Labs, and an extremely high caliber group of teachers and researchers. They are exploring some of the uses of depleted uranium as battery materials. President Todd reported that there are a billion pounds of that material in the Paducah site. The faculty is working closely with the EPA and others to determine if research can be done to neutralize the depleted uranium. He said the Paducah faculty has done an outstanding job.

A UK Chandler Medical Center gynecologic oncologist, John R. van Nagell, has been ranked among the best in the nation in the latest edition of "America's Top Doctors." President Todd said that Dr. van Nagell does a tremendous job, particularly in the Ovarian Cancer Screening Program. Dr. Todd said that he receives letters from women around the state who are thankful for that Screening Program.

President Todd reported that UK is up 18% over last year in grants received and could break \$200 million this year in grants received, which would be a real hallmark for the institution. This is an indication of the good work going on at the University.

President Todd asked the Board to read the other items in the report.

President Todd reported that the Future's Committee final report is on his desk. If it were not for a few issues on stem cell research and within the Athletics Department, he would have read it thoroughly by now. He said he had read the early draft, and there were some changes in the final draft. The Committee had many meetings and did take seriously the input and concerns. He said that action has not been taken, but he has received quite a few letters about it. He asked the Board members to suggest any comments. Recommendations will be considered, and the Committee will be dealing with implementation or modification of some of the recommendations. He thanked the Committee for their hard work and the many people who commented on the report. He noted that there were a lot of comments.

The Top 20 Committee's report is now in its draft form. The Committee has had their hearings and meetings around the campus. Their report will be in final form in the near future.

President Todd reported that the Vice President for Research search is moving along. He met with Professor Kim Anderson, Chair of the Search Committee, recently and learned that there are quite a few candidates. The Search Committee is still working hard to make sure there is a very diverse candidate pool, and this may take a little longer.

As the search and interview process begins, there may not be enough time to fill the position by the beginning of the next academic year. He said that he has requested that Dr. Boling remain in the position a little longer if necessary, and Dr. Boling has agreed to do this.

President Todd reported that Professor Anderson is pleased with the list of candidates that she has received. The Committee is working hard to outreach more and to try to bring in some candidates who may not have applied, who do not just apply to advertisements, and who are people who may not be looking for a job but ones that the University would be interested in having apply.

E. Update on the Visit of the Southern Association of Colleges and Schools

President Todd said that he had asked John Picoro, who has headed the University's Southern Association of Colleges and Schools (SACS) review, along with the able assistance of Board member Alice Sparks, to give an update on the upcoming visit of SACS. He said there is a role for the Board to play in this visit. He thanked Dr. Picoro for all the work he has done and asked him to give his report.

Dr. Picoro informed the Board that a copy of the addendum to the Self-Study Report had been placed in front of each members seat. The addendum provides an update on what has been happening at the University since President Todd took office and also how the University has dealt with an number of recommendations in doing the Self-Study.

Twenty-six peer reviewers will visit the University April 15th to 18th. These reviewers will largely be from the southern United States. Dr. Roger Sayers is the chair of the committee.

The peer review team will be arriving on April 15th. There will be a reception and dinner in their honor that evening. The Board has received an invitation, and many have already indicated they will be able to make that event.

The opening session will be held on Tuesday, April 16th at 8:30 a.m. in the Boardroom on the 18th floor of Patterson Office Tower. President Todd will give an update on the University as well as his vision for the University. The Deans and the Steering Committee for the Self-Study will be there. Board members are invited, and the review team may have questions for them.

The peer review team will be conducting interviews April 16th and 17th, and many members of the Board may be involved in an interview.

The exit report will be April 18th at 9:00 a.m. The chair of the Visiting Committee will give the preliminary report. This is an oral report, and there is no discussion. Dr. Piccoro said it would be very good if the Board could be there.

Ms. Sparks pointed out the hard work that went into the Self-Study by the Committee. She said that setting up the interviews is even harder and encouraged the Board to try to accommodate them. She also asked the Board to make every effort to attend the dinner. Their attendance not only confirms faith in what the Committee did but also supports President Todd.

Mr. Guthrie asked that the presentation on April 18th be audio taped and copies be sent to the Board members who cannot attend. He said he would like to hear the report, even though he might not be able to be here, and he thought the tapes would be very helpful.

President Todd said that could be arranged.

Mr. Guthrie then suggested that President Todd's presentation on Tuesday, April 16th, be incorporated in some way with the April 30th Board meeting, depending on how many Board members may or may not be able to attend the SACS meetings.

President Todd noted that the addendum to the Self-Study report was additional work for the Committee. Because of the change in administration, they wanted to summarize those changes so it is a nice historical document.

He thanked Dr. Holsinger and his "Fix-It" Committee. He mentioned that there are several issues in the report that have been fixed since the report was submitted to SACS. Hopefully, the peer review team will realize that this shows some initiative that has been taken. He said, in his opinion, it is the smart thing to do. If you have a problem, you go ahead and fix it. Do not wait six months for an outsider to read it and tell you that there is a problem. He said he appreciated the work of the Committee as well as their work summarized in the addendum.

F. Athletics Update

President Todd reported that he is on the Southeastern Conference (SEC) search committee for a new Commissioner for the SEC. He was not able to attend the first two meetings, but the firm the SEC is using is coming to his office next week to give him an update on the process. That firm has submitted their proposal and recommended themselves as a search firm to assist with the University of Kentucky search for an Athletics Director, along with 10 or 15 others that have been received. He noted that he gets a lot of mail on this issue.

He reported that he has not made any decision on a search firm. He will begin the process by putting out a RFP so that it can be advertised. Any search firm that wants to apply will know about it. If they have not already sent information, they can do that.

He said that he talked to four presidents last week at Virginia, Old Miss, Vanderbilt and Louisville just to get their input on how they went about their search process. Three presidents used search firms, and one did not. He said he would be calling a few others just to get their opinions.

He said that he would name a small committee just to advise him. It will not be a search committee, just a group of individuals that he wants to sit with him. He wants to bring in two or three search firms and let them present their credentials. He said he would like to have a few other people present to hear the presentations.

He wants to meet with athletic personnel and coaches and have them come in and speak to the group about what they would like to see that would make their jobs better and make their success rate higher in athletics. When the candidates are brought in, he would like to have a few more people listening to them.

President Todd reported that he had planned to have this done before the Board meeting; however, the legislative session has been consuming a fair amount of his time lately. Naming the group to assist him will be the highest item on his agenda now.

There has been a lot of speculation about the new Athletics Director, and he has received a lot of applications and nominations. He said that he appreciates all of them and to keep the cards and letters coming. It is high on his agenda to get this process completed.

He said he appreciated the job Mr. Mobley is doing as Acting Athletics Director. He recognized Kathy DeBoer in the audience and commented that it is a challenging time, but everyone is working together to reach a resolution.

President Todd said he is in the process of benchmarking other institutions about their Athletic Boards. The Ad Hoc Committee has input, and he will be receiving their thoughts about the Athletic Board, too. He said that he would be calling a meeting of the Athletic Board within the next few weeks.

He announced that he had appointed a committee to look at athletic tickets. That group will actually be helping him come up with an idea on how to structure a Ticket Committee. He said he is committed to having a Ticket Committee and a Budget Committee on the Athletic Board. The committee has begun looking at the ticket inventory, looking at the tickets for cars program, looking at the distribution process, and reviewing policies and procedures.

President Todd reported that Mr. Mobley and Marc Mathews, who works for Henry Clay Owen, are continuing to work with the athletic staff to better understand their

business operations. They are looking at budget practices and expenditures. They will have some recommendations for structuring a Budget Committee on the Athletic Board that will have that responsibility. He reiterated that athletics would have a high priority with him as soon as he can turn his attention to it, and he intends to do that very soon.

G. University Research Professorships (PR 3)

President Todd said that it was a pleasure to address PR 3. It is a recommendation that the Board approve the naming of Professors Gary Ferland, Department of Physics and Astronomy, Andrew Klapper, Department of Computer Science, Thomas Leinbach, Department of Geography, and Timothy McClintock, Department of Physiology, as University Research Professors for 2002-2003.

The purpose of the University Research Professorships is to enhance and encourage scholarly research productivity, provide an opportunity for concentrated research effort for selected faculty members, recognize outstanding research achievement by members of the faculty, emphasize the research function, and publicize research accomplishments in order that the research function of the University is appropriately recognized and understood.

Professors Ferland, Klapper and McClintock were in attendance. They were asked to stand and be recognized, following which they received a round of applause. Professor Leinbach is in Asia and could not attend the meeting.

On motion made by Mr. Williams, seconded by Mr. Shoop and carried, PR 3 was approved. (See PR 3 at the end of the Minutes.)

H. Tim Robinson Congratulated

President Todd congratulated Mr. Robinson on his re-election of President of the Student Government Association. Mr. Robinson received a round of applause.

Mr. Robinson said he has enjoyed working with everyone this past year. He looks forward to working with everyone next year and being a part of all the great changes that are happening on campus.

I. Approval of Lease (FCR 1)

Dr. Stumbo, Vice Chair of the Finance Committee, said that he was filling in for Mr. Chellgren, Chair of the Committee, who had to be out of town and could not attend the meeting.

He said that FCR 1 is a part of the town-gown relationship initiative of President Todd. It involves the old Kentucky Utilities headquarters building. Basically, this property leases for approximately \$15-18 dollars a square foot. The University is getting

a deal at \$5.00 a square foot, but even doing that, it may go above the threshold of \$200,000.

Dr. Stumbo explained that for the University to enter into this lease, it has to have legislative approval at this price. There has been some language inserted into the budget bill; however, the budget may or may not get passed. President Todd cannot enter into this lease until the Governor signs the budget document. It is a good deal for the University in terms of the space; therefore, the Board is acting on this pending action in Frankfort on the budget document. The budget document applies to the next two years for the state, but in that budget document is language for this fiscal year for the University to implement this. He said the Finance Committee recommends approval of this lease, pending approval of the budget in Frankfort. He moved approval of FCR 1. Ms. Wilson seconded the motion, and it passed. (See FCR 1 at the end of the Minutes.)

Mr. Miles said that he and Mr. Reed had visited with the mayor and a lot of people in downtown Lexington, and this is one of their priorities.

President Todd said that everyone needed to thank LGE and KU for providing this very reasonable space. He said that the mayor has also provided a good deal on parking spaces in the Transportation Center across the street. It is a great move for the University to get downtown.

J. Patent Assignment Report (FCR 2)

Dr. Stumbo said that FCR 2 is a patent assignment report for 8 patent assignments. He reported that the Finance Committee received a little more detailed information from Dr. Boling, and Dr. Boling would be glad to answer any questions from the Board. In addition to this report, the Board will begin receiving a biannual or quarterly update of the number of patents applied, the number sought, the number approved, and the income that the University is receiving from them. He recommended approval of FCR 2. Dr. Pomeroy seconded the motion, and it carried. (See FCR 2 at the end of the Minutes.)

K. Gift and Pledges (FCR 3-8)

Dr. Stumbo said that FCR 3 through 8 are items quite familiar to the Board. He called upon Mr. Mobley to address these items.

FCR 3 – approve merging two Jacqueline A. Noonan Professorships in the College of Medicine's Department of Pediatrics. There were two funds established to honor Dr. Noonan, who was the University's longtime chair of the Department of Pediatrics. Board action is required to combine these two funds into one professorship.

FCR 4 – approve changing the name of the Sterling Drug Visiting Professorship to the Molecular and Biomedical Pharmacology Seminar

Fund. Sterling Drug Company gave the University money in 1983 to establish a professorship in Molecular and Biomedical Pharmacology. The Company was sold and has gone out of business; therefore, the name is being changed.

FCR 5 – accept a gift of \$50,000 from John C. Harris of Highland Park, Illinois, to create and endow the Center for Financial Services Research in the School of Management in the Carol Martin Gatton College of Business and Economics. Mr. Harris is a 1969 graduate of the College. This gift is eligible for matching funds from the state's Research Challenge Trust Fund.

FCR 6 – accept a gift of \$5,000 and a pledge of \$7,500 from Creed F. Smith, Jr. of Kingwood, Texas. Mr. Smith's gift and pledge will be matched by a gift of \$37,000 from ExxonMobil Education Foundation. The gift and pledge are eligible for matching funds of \$50,000 from the state's Research Challenge Trust Fund. This is being given to honor Mr. Smith's father, Creed Fulton Smith, by creating and endowing a fellowship fund in the Carol Martin Gatton College of Business and Economics.

FCR 7 – accept a pledge of \$500,000 from William T. Young of Lexington, Kentucky, to create and endow the William T. Young Library Endowed Chair to be held by the Director of University Libraries in the director's academic discipline. This, again, is evidence of Mr. Young's longstanding commitment to the University's wonderful library.

FCR 8 – accept a gift of \$60,000 and a pledge of \$240,000 from Arthur E. Walker, Jr., of Mount Sterling, Kentucky, to establish and endow the Arthur E. Walker, Jr. Graduate Fellowship in Civil Engineering in the College of Engineering. This is eligible for matching funds from the state's Research Challenge Trust Fund. Mr. Walker is a longtime supporter of the University.

On motion made by Dr. Stumbo, seconded by Ms. Edge and passed, FCR 3 through 8 were approved. (See FCR 3, 4, 5, 6, 7, and 8 at the end of the Minutes.)

L. Transfer of Blue-White Fund Quasi-Endowment to the Wildcat Foundation (FCR 9)

Dr. Stumbo called upon President Todd to comment on FCR 9.

President Todd said FCR 9 concerns a situation with the new Cliff Hagan Baseball Stadium. Before presenting the proposal, he shared some background on the Wildcat Foundation for those who are not aware of its history. He recognized Steve Graves, President of the Wildcat Foundation, and reported that Mr. Graves had been

meeting with University officials the past few weeks. He told the Board that it is important for everyone to understand the background of the Foundation and some of the other projects the Foundation has been involved in before addressing the baseball stadium.

The Wildcat Foundation was originally established in the late 1970's to raise money and build the Wildcat Lodge. The Foundation has consisted of a group of individuals and hundreds of donors whose mission is to support special athletic projects and the success of the UK athletic program. The Foundation, through its own fundraising, has provided a number of improvements to the UK facilities.

After the Lodge project was completed and paid for, the Foundation was idle for several years. Their next project came in the late 1980's with the construction of basketball and athletic department offices in the north end of Memorial Coliseum and other training facilities. Other projects through the 1990's included projects in track, golf, basketball and football. Another project came around 1999-2000 with the renovation of the basketball and athletic department offices, the basketball weight and training room and other improvements to the Coliseum. The most recent project is the major renovation of UK's baseball facilities at Hagan Stadium.

President Todd noted that as they have reviewed the operations of the Athletics Department, they have also looked at projects involving the Wildcat Foundation. He, Dr. Blanton, and others have met with representatives of the Foundation, and they have been completely cooperative and open in assisting with the review of their transactions with the University. Based on that review, President Todd said that he feels that the Foundation has been fully compliant with past procedures of the University and also with any National Collegiate Athletic Association (NCAA) requirements.

After providing background information on the Foundation and reviewing its support over the years, President Todd said that the real issue that he wants to present is a proposal regarding the baseball stadium.

In August of 2001, UK signed an agreement with the Wildcat Foundation for them to build a new baseball stadium and then give it as a gift to the University. This project was estimated to cost \$2.5 million dollars. This project was needed by the University to help the baseball program compete in the Southeastern Conference.

The stadium has been substantially completed and, although there are outstanding pledges to the Foundation, the current fundraising opportunities do not appear likely to produce sufficient funds for a timely payment of the construction costs of the project. In addition, due to some unexpected infrastructure costs and design changes, the actual construction costs for the project could increase by as much as \$1 million to go up to \$3.5 million. He explained that the contractors ran into some electrical and steam lines that had to be relocated and were not estimated in the original \$2.5 million dollar proposal.

President Todd said he believes the best solution to this situation is for the Board to authorize a grant of an amount not to exceed \$3.5 million from the Blue-White Quasi-Endowment of the University for the purpose of funding the balance of the construction at the Cliff Hagan Baseball Stadium and authorize the Senior Vice President for Administration to enter into an agreement with the Wildcat Foundation whereby the funds withdrawn from the Blue-White fund would be transferred to the Foundation for the express purpose of satisfying the balance of the reasonable and actual construction costs of the new stadium. This grant will solve a timing issue related to the fundraising of the construction costs for the stadium project that was caused by a number of issues.

This agreement was signed in August of last year. The September 11th tragedy followed, and not long after that, were the problems in the stock market. With the recent publicity surrounding the Athletics Department that is now getting clarified, it has been difficult to close on some of the donations that are outstanding with the Wildcat Foundation in a timely fashion. That has created a timing issue. Pulling money out of the Blue-White fund to pay for this construction at this point and time is not a practice that either UK or the Wildcat Foundation prefers. There is a precedent for doing this, where funds have been transferred from the Blue-White Quasi Endowment to complete athletic projects and then replenish the fund. An example of this is the facilities improvement project at Commonwealth Stadium. The fact is the University now has an up-to-date, competitive baseball stadium in which to play and benefit its players and for its competitiveness in the SEC. Construction is very near the end of its completion, and the project just needs to be closed out.

President Todd said that he believes the use of Blue-White funds is completely appropriate because that endowment consists of funds that were donated for the express intent of helping the Athletic Department be competitive, and they are from private funds. There will be a focused effort by the Athletic Association, along with Mr. Graves and the supporters of the Wildcat Foundation, to secure the outstanding pledges and to reimburse the Blue-White fund. Mr. Graves and the Wildcat Foundation have agreed to work with Kathy DeBoer and Mr. Mobley in seeking the reimbursement of those funds from their contributors. Along with Steve Graves at the Wildcat Foundation, Brent Rice, Steve Lockmueller and Nelson Radwan are the other members, and they have had hundreds of donors over the three decades that the Foundation has been in existence. They have been loyal supporters of the University and have worked to improve UK's athletic program. President Todd said that he appreciated Mr. Graves coming to the Board meeting. He said he would be glad to answer any questions.

Mr. Shoop moved approval of FCR 9.

Dr. Stumbo said the Finance Committee discussed this item, received assurances about it, and thinks that it is legitimate. He moved the adoption of FCR 9.

Ms. May said that she appreciated Mr. Graves being there, and she did not want anyone to take what she was going to say the wrong way. One of the things that concerned her as a trustee is that this university signed a contract for the Foundation to

build something without the money being there. She asked if this is something that President Todd is going to address and put policies in place to make sure this does not happen again?

President Todd explained that when he said that the Wildcat Foundation has followed the practices of the University, he did not say that he agreed with the University's practices that were being followed at that time. He does not think the Foundation did either. There was actually a contract signed on the Wildcat Lodge, but contracts were not signed for other projects that took place between that project and this project. When we put this contract in place, we did not ask if the money was in the bank. Going forward, this will be part of the process.

Mr. Shoop said that Dr. Stumbo had made the motion on behalf of the Finance Committee, and he would like to second the motion. The motion carried. (See FCR 9 at the end of the Minutes.)

M. Ad Hoc Committee on Board Structure Report

Dr. Mather, Chair of the Ad Hoc Committee on Board Structure, gave a brief update on the progress of the Ad Hoc Committee on Board Structure. He reported that the Ad Hoc Committee had met many times the last three or four months. The Ad Hoc Committee anticipates bringing a written report and recommendations to the April 30th meeting of the Board for their initial action. They have one more meeting scheduled and he anticipates that they will be finishing at that meeting. They anticipate the recommendations will be both in terms of guidelines for affiliated corporations and related organizations as well as some proposed changes for the Board committee structure, not so much on committee structure but primarily on terms of charges to the Board committees.

He reported that the Ad Hoc Committee met with President Todd and a number of representatives of the University. The Ad Hoc Committee has had a tremendous amount of cooperation and input from the University administration, and they appreciate that.

Dr. Mather announced that Professor Michael Kennedy of the Department of Geography has been elected to succeed him beginning July 1st. He said that he and Professor Kennedy have worked closely over the last several years, and he anticipates that the Board will give Professor Kennedy the same fine cooperation that they have given him over the last several years. Professor Kennedy received a round of applause.

N. Comments about Journalism Hall of Fame Events

Mr. Guthrie announced that the University of Kentucky Journalism Alumni Association is sponsoring the Hall of Fame Induction. He distributed a brochure that provided some background information on the individuals. Some of them include Jo-Ann Albers, who is Director of the School of Journalism and Broadcasting at Western Kentucky University; John Carroll, who was editor of the Lexington Herald-Leader for a

time and is now with the Los Angeles Times; Dinh Phuc Le, who is an award winning photo journalist; Virginia Fox, who is Chief Executive Officer of the Kentucky Educational Television; John Hager, who was co-publisher and co-editor of the Owensboro Messenger-Inquirer; and Brian Rublein, former news director at WHAS-AM radio station in Louisville for 21 years.

Mr. Guthrie then made the following announcements:

President and Mrs. Todd are hosting a reception at 4:30 p.m. at Maxwell Place for the recipients and their families.

The 25th annual Joe Creason Lecture will be held at 6:00 p.m. at Memorial Hall. He said that Mr. Creason was known as Mr. Kentucky for many years, and he passed away in the late 1950's. He was an alumnus of this institution. He noted that the Courier-Journal endows this program. Angelo Henderson, who is with the Detroit News and was with the Wall Street Journal and a Pulitzer Prize winner last year, is the evening speaker. He is a 1985 graduate of the School of Journalism.

Mr. Guthrie said he hoped the Board would have the opportunity to join the Journalism events.

O. University Hospital Board Announcement and Report

Dr. Meriwether, Chair of the University Hospital Board, announced that the Hospital Board meeting was cancelled because they did not have a quorum. He recommended a committee that he has put together to help develop a strategic plan for the Medical Center. He emphasized Medical Center because the University Hospital and Medical Center are intertwined so tightly that they must be addressed both at one time. They cannot be everything to everybody. He said the individuals were discussed with President Todd and have his approval. He noted that President Todd had added some of the individuals on the list. While the list may seem long, every single person will be needed when they break up into working groups. Those appointed are Bob Blouin, Claire Pomeroy, Joe Fink, Frank Butler, Mike Nietzel, Jim Holsinger, Bill Abraham, Byron Young, Mohammed Mohiuddin, Emery Wilson, Billy Joe Miles, Lee Todd, Barbara Young, Joe Claypool and Bill Marksbery and the sitting members of the Board.

Mr. Miles said that he did not think that the Board had to vote on that committee since that is a Hospital Board committee. He asked if there was any other business.

P. Student Government Association Relay for Life Event

Mr. Robinson said he would like to take this opportunity to invite all the members of the Board to the Relay for Life event that will be held on campus. It is the Student Government's first effort to have a campus-wide service project. Mrs. Todd has agreed to be the chairperson for the event. The event will be next Friday, April 12th, starting at

5:00 p.m. There will be a walking track set up around the library. The event will benefit the American Cancer Society and the Markey Cancer Center. He encouraged the Board members to attend.

Q. Meeting Adjourned

With no further business to come before the Board, Mr. Miles adjourned the meeting at 1:55 p.m.

Respectfully submitted,

JoEtta Wickliffe
Secretary, Board of Trustees

(PR 2, 3; FCR 1, 2, 3, 4, 5, 6, 7, 8, and 9 which follow are official parts of the Minutes of the meeting.)

PR 2

Office of the President
April 2, 2002

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate Provost/Vice President through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: Approved Disapproved Other _____

SENIOR VICE PRESIDENT FOR ADMINISTRATION

I. BOARD ACTION

A. RETIREMENTS

Vice President for Auxiliary and Campus Services

Roller, Duane C., Auxiliary and Campus Services, Custodial Worker I, Physical Plant Division, after 14 years and 7 months of consecutive service, and 15 years and 1 month of total service, under AR II-1.6-1 Section III.B, effective 4/1/02.

B. EARLY RETIREMENTS

Vice President for Auxiliary and Campus Services

Anderson, Harris N., Auxiliary and Campus Services, Heat/Cool Plant Supervisor, Physical Plant Division, after 35 years of consecutive service, under AR II-1.6-1 Section III.A.2, effective 3/22/02.

Ashbrook, Jerry M., Auxiliary and Campus Services, Printing Specialist II, Publishing, after 24 years and 6 months of consecutive service, and 27 years and 11 months of total service, under AR II-1.6-1 Section III.A.2, effective 1/31/02

Green, Robert S., Auxiliary and Campus Services, Printing Specialist II, Publishing, after 22 years and 2 months of consecutive service, and 22 years and 9 months of total service, under AR II-1.6-1 Section III.A.2, effective 1/31/02.

Vice President for Fiscal Affairs and Information Technology

Sanders, Raymond, Respiratory Technician, Employee Benefits, after 22 years of consecutive service, under AR II-1.6-1 Section III.A.2, effective 4/2/02.

PROVOST

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

- Cao, Gang, College of Arts and Sciences, Associate Professor (without tenure), Physics and Astronomy, 1/1/02 through 12/31/03.
Das, Sumit R., College of Arts and Sciences, Professor (with tenure), Physics and Astronomy, effective 1/1/02.
Dieter, William R., College of Engineering, Assistant Professor, Electrical and Computer Engineering, 7/1/02 through 6/30/04.
Lynn, Bert C. Jr., College of Arts and Sciences, Associate Professor (with tenure), Chemistry, effective 1/1/02.

B. JOINT APPOINTMENTS

- Trammell, Rebecca S., College of Law, Assistant Professor, Law, and joint appointment as Librarian III, Libraries, 4/15/02 through 6/30/03.

C. REAPPOINTMENTS

- Cobb, James C., College of Arts and Sciences, Associate Adjunct Professor, Geological Sciences, 7/1/01 through 6/30/03.

D. PROMOTIONS

- Stockbridge, Richard, College of Arts and Sciences, from Associate Professor (with tenure), Statistics, to Professor (with tenure), Statistics, effective 1/1/02.

E. RETIREMENTS

- Gillis, Peter P., College of Engineering, Professor (with tenure), Chemical and Materials Engineering, to rescind retirement approved at the March 5, 2002 meeting.
Weisbrodt, Jeanette C., College of Agriculture, Account Clerk III, 4-H Programs, after 25 years and 9 months of consecutive service, under Section III.B of AR II-1.6-1, effective 4/26/02.
Wheeler, Linda L., College of Arts and Sciences, Staff Support Associate II, Russian and Eastern Studies, after 42 years and 11 months of consecutive service, under Section III.B of AR II-1.6-1, effective 5/31/02.

F. EARLY RETIREMENTS

Kuder, James M., Office of the Provost, Associate Provost, Student Affairs, after 15 years of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 7/1/02.

Roberts, Julia F., College of Agriculture, Account Clerk III, Management Operations, after 29 years and 5 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 4/29/02.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Bussey, Judy S., College of Communications and Information Studies, Instructor (part-time), Communication, 1/1/02 through 5/15/02.

Childs, Sharon, College of Communications and Information Studies, Adjunct Instructor, Journalism and Telecommunications, 1/1/02 through 5/31/02.

LeBeau, Raymond P., College of Engineering, Assistant Professor, Mechanical Engineering, 8/16/02 through 6/30/04.

Levenson, Nancy A., College of Arts and Sciences, Assistant Professor, Physics and Astronomy, 1/1/02 through 12/31/03.

Prendergast, Mark, College of Arts and Sciences, Assistant Professor, Psychology, 1/1/02 through 12/31/03.

Sparkman, Michael, College of Architecture, Instructor (part-time), Architecture, 1/7/02 through 5/15/02.

B. ADMINISTRATIVE APPOINTMENTS

Carvalho, Susan, College of Arts and Sciences, Associate Professor (with tenure), Spanish and Italian, appointed Acting Chair, Spanish and Italian, 7/1/02 through 6/30/03.

C. JOINT APPOINTMENTS

Newlon, Elizabeth, College of Business and Economics, Assistant Professor, Economics, joint appointment as Assistant Professor, Martin School of Public Policy and Administration, Graduate School, 7/1/01 through 6/30/02.

D. REAPPOINTMENTS

Chesnut, Donald Jr., College of Arts and Sciences, Assistant Adjunct Professor, Geological Sciences, 7/1/01 through 6/30/03.

Dinger, James S., College of Arts and Sciences, Assistant Adjunct Professor, Geological Sciences, 7/1/01 through 6/30/03.

Drahovzal, James A., College of Arts and Sciences, Assistant Adjunct Professor, Geological Sciences, 7/1/01 through 6/30/03.
Eble, Cortland F., College of Arts and Sciences, Assistant Adjunct Professor, Geological Sciences, 7/1/01 through 12/31/02.
Fisher, R. Stephen, College of Arts and Sciences, Assistant Adjunct Professor, Geological Sciences, 7/1/01 through 6/30/03.
Graham, Uschi M., College of Arts and Sciences, Assistant Adjunct Professor, Geological Sciences, 7/1/01 through 6/30/03.
Greb, Stephen F., College of Arts and Sciences, Assistant Adjunct Professor, Geological Sciences, 7/1/01 through 6/30/03.
Hower, James C., College of Arts and Sciences, Assistant Adjunct Professor, Geological Sciences, 7/1/01 through 6/30/03.
Kiefer, John D., College of Arts and Sciences, Assistant Adjunct Professor, Geological Sciences, 7/1/01 through 6/30/03.
Powell, William, College of Communications and Information Studies, Instructor (part-time), Journalism and Telecommunications, 2/1/02 through 5/31/02.
Weisenfluh, Gerald A., College of Arts and Sciences, Assistant Adjunct Professor, Geological Sciences, 7/1/01 through 6/30/03.
Wunsch, David R., College of Arts and Sciences, Assistant Adjunct Professor, Geological Sciences, 7/1/01 through 6/30/03.

E. PROMOTIONS WITHOUT TENURE

Baker, Whitney, Libraries, from Librarian IV, Libraries, to Librarian III, Libraries, 7/1/02 through 6/30/03.
Kraemer, Elizabeth G., Libraries, from Librarian IV, Libraries, to Librarian III, Libraries, 7/1/02 through 6/30/03.
Lewis, Nancy R., Libraries, from Librarian IV, Libraries, to Librarian III, Libraries, 7/1/02 through 6/30/03.
Manasco, James E., Libraries, from Librarian IV, Libraries, to Librarian III, Libraries, 7/1/02 through 6/30/03.
Rosko, Thomas J., Libraries, from Librarian IV, Libraries, to Librarian III, Libraries, 7/1/02 through 6/30/03.

F. LEAVES OF ABSENCE

Schwemm, Robert, College of Law, Professor (with tenure), Law, Sabbatical leave with half salary, 7/1/02 through 6/30/03.
Welling, Sarah, College of Law, Professor (with tenure), Law, Sabbatical leave with full salary, 7/1/02 through 12/31/02.

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

Allen, William C., College of Medicine, Associate Professor (part time),
Anesthesiology, 4/3/02 through 6/30/02.

B. ADMINISTRATIVE APPOINTMENTS

Haug, Richard H., College of Dentistry, Professor* (with tenure), Oral Health
Science, named Associate Dean for Clinical Affairs, College of
Dentistry, effective 1/18/02.

Mohiuddin, Mohammed, College of Medicine, Professor (with tenure),
Radiation Medicine, joint appointment as Professor (without tenure),
College of Allied Health Professions, Department of Clinical Sciences,
named Chair, Department of Diagnostic Radiology, College of
Medicine, 2/25/02 through 2/24/05.

C. JOINT APPOINTMENTS

Griffith, Charles H., College of Medicine, Associate Professor (with tenure),
Internal Medicine, joint appointment as Associate Professor (without
tenure), Pediatrics, new joint appointment as Associate Professor
(without tenure), Kentucky School of Public Health, effective 5/1/02.

Kyprianou, Natasha A., College of Medicine, Professor (with tenure),
Surgery, joint appointment as Professor (without tenure), Molecular and
Cellular Biochemistry, effective 4/3/02.

Springer, Joe E., College of Medicine, Professor (with tenure), Anatomy &
Neurobiology, joint appointment as Professor (without tenure),
Physiology, effective 4/3/02.

D. REAPPOINTMENTS

Allen, William C., College of Medicine, Associate Professor (part time),
Anesthesiology, 7/1/02 through 6/30/03.

Clawson, D. K., College of Medicine, Clinical Professor, Surgery, 7/1/02
through 6/30/03.

Conklin, John D., College of Medicine, Clinical Associate Professor,
Ophthalmology, 7/1/02 through 6/30/03.

Kaplan, Alan L., College of Dentistry, Associate Adjunct Professor, Oral
Health Science, 7/1/02 through 6/30/03.

*Special Title series

- Littleton, John M., College of Medicine, Professor (part time), Molecular and Biomedical Pharmacology, 7/1/02 through 6/30/03.
- Maguire, Grace F., College of Medicine, Associate Professor (part time), Pediatrics, 7/1/02 through 6/30/03.
- Passik, Steven D., College of Medicine, Associate Professor (without tenure), Internal Medicine, 7/1/02 through 6/30/03.
- Pettigrew, Anjana L., College of Medicine, Clinical Associate Professor, Pathology and Laboratory Medicine, joint appointments as Clinical Associate Professor, Obstetrics and Gynecology, Pediatrics, and Neurology, 7/1/02 through 6/30/03.
- Sanders, Sheila P., College of Medicine, Clinical Associate Professor, Ophthalmology, 7/1/02 through 6/30/03.
- Sloss, Robert R., College of Medicine, Clinical Associate Professor, Pathology and Laboratory Medicine, 7/1/02 through 6/30/03.
- Solomon, Stephen E., College of Medicine, Associate Professor (part time), Ophthalmology, joint appointment as Associate Professor (part time), Neurology, 7/1/02 through 6/30/03.
- Turner, H. S., College of Medicine, Clinical Professor, Preventive Medicine and Environmental Health, joint appointment as Clinical Professor, Family Practice, 7/1/02 through 6/30/03.
- Witzke, Donald B., College of Medicine, Associate Research Professor, Pathology and Laboratory Medicine, 7/1/02 through 6/30/03.
- Wolf, Betty I., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/02 through 6/30/03.
- Young, Thomas L., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/02 through 6/30/03.

E. CHANGES

- Passik, Steven D., College of Medicine, from Associate Professor (without tenure), Internal Medicine, 3/6/02 through 6/30/02, to Associate Professor (without tenure), Internal Medicine, 3/25/02 through 6/30/02.

F. EARLY RETIREMENTS

- Canady, Georgia M., College of Dentistry, Sterilization Tech Sr., Central Sterilization, after 18 years, 5 months of consecutive service, and a total of 38 years, 10 months, under AR II-1.6-1, Section III.A.2, effective 4/18/02.
- Carter, Willie L., Chancellor's Office, Medical Asst. Sr., University Health Service, after 35 years, 10 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 5/3/02.
- Logan, Betty, College of Allied Health Professions, Lab Tech B, Clinical Sciences, after 26 years, 9 months of consecutive service, and a total of 37 years, 5 months, under AR II-1.6-1, Section III.A.2, effective 5/31/02.

Schrodt, Mary R., University Hospital, Clinical Specialist, Neurosciences, after 10 years, 10 months of consecutive service, and a total of 15 years, 5 months, under AR II-1.6-1, Section III.A.2, effective 4/26/02.

G. POST RETIREMENTS

Friedell, Gilbert H., College of Medicine, Professor (part time), Pathology and Laboratory Medicine, 7/1/02 through 6/30/03.

Goodman, Norman L., College of Medicine, Professor (part time), Pathology and Laboratory Medicine, 7/1/02 through 6/30/03.

Tietz, Norbert W., College of Medicine, Professor (part time), Pathology and Laboratory Medicine, 7/1/02 through 6/30/03.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Broderick, Thomas M., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 4/3/02 through 6/30/03.

Brown, Teresa A., College of Allied Health Professions, Instructor (voluntary), Rehabilitation Sciences, 4/3/02 through 6/30/03.

Caturano, Robert, College of Allied Health Professions, Instructor (voluntary), Rehabilitation Sciences, 4/3/02 through 6/30/03.

Dillon, Patrick A., College of Allied Health Professions, Instructor (voluntary), Rehabilitation Sciences, 4/3/02 through 6/30/03.

Frederick, Paul J., College of Allied Health Professions, Instructor (voluntary), Rehabilitation Sciences, 4/3/02 through 6/30/03.

Godfrey, Chris J., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 4/3/02 through 6/30/03.

Goulbourne, Shaun, College of Allied Health Professions, Instructor (voluntary), Rehabilitation Sciences, 4/3/02 through 6/30/03.

Kapali, Naresh K., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 4/3/02 through 6/30/03.

Lin, Steve S., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 4/3/02 through 6/30/03.

Marano, Anthony, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 4/3/02 through 6/30/03.

Menon, Santosh G., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 4/3/02 through 6/30/03.

Morris, Nancy V., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 4/3/02 through 6/30/03.

Niebrugge, Kay A., College of Pharmacy, Assistant Professor (voluntary), 4/3/02 through 6/30/03.

Paily, Rejith, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 4/3/02 through 6/30/03.

- Park, Aimee S., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 4/3/02 through 6/30/03.
- Pinerola, Jase, College of Allied Health Professions, Instructor (voluntary), Rehabilitation Sciences, 4/3/02 through 6/30/03.
- Preston, Kris B., College of Pharmacy, Assistant Professor (voluntary), 4/3/02 through 6/30/03.
- Rawlings, Rick D., College of Pharmacy, Assistant Professor (voluntary), 4/3/02 through 6/30/03.
- Roussel, Guy, College of Medicine, Assistant Professor (part time), Family Practice, 4/3/02 through 6/30/02.
- Schloss, Edward, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 4/3/02 through 6/30/03.
- Strobl, Bryan, College of Pharmacy, Assistant Professor (voluntary), 4/3/02 through 6/30/03.
- Travis, William K., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 4/3/02 through 6/30/03.
- Waltman, Jonathan C., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 4/3/02 through 6/30/03.
- West, Murray, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 4/3/02 through 6/30/03.
- West, Richard, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 4/3/02 through 6/30/03.

B. ADMINISTRATIVE APPOINTMENTS

- Bryant, Tammy, College of Medicine, Physician Assistant, Internal Medicine, effective 2/18/02.
- Desrosiers, Mark, College of Medicine, Scientist III, Sanders Brown Center on Aging, effective 3/1/02.

C. JOINT APPOINTMENTS

- Gaugler, Joseph E., College of Medicine, Assistant Professor, Behavioral Science, joint appointment as Assistant Professor, College of Human Environmental Sciences, Department of Family Studies, 4/3/02 through 6/30/02.
- Glaser, Paul E., College of Medicine, Assistant Professor, Psychiatry, joint appointment as Assistant Professor, Anatomy & Neurobiology, 4/3/02 through 6/30/02.

D. REAPPOINTMENTS

- Absher, Kimberly J., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, 7/1/02 through 6/30/03.
- Aggarwal, Ashim, College of Medicine, Instructor (part time), Ophthalmology, 7/1/02 through 6/30/03.

- Ambati, Jayakrishna, College of Medicine, Assistant Professor, Ophthalmology, 7/1/02 through 6/30/03.
- Anstead, Michael I., College of Medicine, Assistant Professor*, Pediatrics, joint appointment as Assistant Professor*, Internal Medicine, 7/1/02 through 6/30/03.
- Bayliff, Sherry L., College of Medicine, Assistant Professor*, Pediatrics, 7/1/02 through 6/30/03.
- Blackburn, Peter J., College of Medicine, Instructor (part time), Ophthalmology, 7/1/02 through 6/30/03.
- Boarman, Christopher A., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/02 through 6/30/03.
- Cadle, Ronald G., College of Medicine, Adjunct Instructor, Pediatrics, 7/1/02 through 6/30/03.
- Caluori, Domenic M., College of Dentistry, Assistant Professor (part time), Oral Health Practice, 7/1/02 through 6/30/03.
- Chen, Kuey C., College of Medicine, Assistant Research Professor, Molecular and Biomedical Pharmacology, 7/1/02 through 6/30/03.
- Clements, John H., College of Dentistry, Assistant Professor (part time), Oral Health Practice, 7/1/02 through 6/30/03.
- Corales, Enedino R., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/02 through 6/30/03.
- Crutchfield, Barbara K., College of Medicine, Assistant Professor (part time), Ophthalmology, 7/1/02 through 6/30/03.
- D'Angelo, Sandra L., College of Medicine, Clinical Assistant Professor, Pediatrics, joint appointment as Clinical Assistant Professor, Behavioral Science, 7/1/02 through 6/30/03.
- Diamond, Scott E., College of Medicine, Assistant Professor, Physiology, 7/1/02 through 6/30/03.
- Ellis, Jeffrey L., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, 7/1/02 through 6/30/03.
- Geissler, Richard H., College of Medicine, Clinical Instructor, Pathology and Laboratory Medicine, 7/1/02 through 6/30/03.
- Gevedon, Teresa G., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/02 through 6/30/03.
- Glaser, Paul E., College of Medicine, Assistant Professor, Psychiatry, joint appointment as Assistant Professor, Anatomy & Neurobiology, 7/1/02 through 6/30/03.
- Goebel, Jens, College of Medicine, Assistant Professor, Pediatrics, 7/1/02 through 6/30/03.
- Gong, Ming C., College of Medicine, Assistant Professor, Physiology, 7/1/02 through 6/30/03.
- Gore, David R., College of Dentistry, Assistant Professor (part time), Oral Health Practice, 7/1/02 through 6/30/03.
- Gu, Liya, College of Medicine, Assistant Professor, Pathology and Laboratory Medicine, 7/1/02 through 6/30/03.

*Special Title series

- Hatfield, Regina B., College of Dentistry, Assistant Professor, Oral Health Practice, 7/1/02 through 6/30/03.
- Haubenreich, James E., College of Dentistry, Assistant Professor*, Oral Health Practice, 7/1/02 through 6/30/03.
- Huang, Michael H., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/02 through 6/30/03.
- Jennings, Connie W., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/02 through 6/30/03.
- Jones, Larry D., College of Medicine, Clinical Assistant Professor, Family Practice, 7/1/02 through 6/30/03.
- Katz, Debra A., College of Medicine, Assistant Professor*, Psychiatry, joint appointment as Assistant Professor*, Neurology, 7/1/02 through 6/30/03.
- Katz, Douglas G., College of Medicine, Assistant Professor*, Ophthalmology, 7/1/02 through 6/30/03.
- Kilgore, Michael W., College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/02 through 6/30/03.
- Kraner, Susan D., College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/02 through 6/30/03.
- Lele, Subodh M., College of Medicine, Assistant Professor*, Pathology and Laboratory Medicine, 7/1/02 through 6/30/03.
- Lindroth, John E., College of Dentistry, Assistant Professor*, Oral Health Practice, 7/1/02 through 4/1/03.
- Maggard, Stacie K., College of Dentistry, Assistant Professor (part time), Oral Health Practice, 7/1/02 through 6/30/03.
- Manley, Daniel B., College of Dentistry, Assistant Professor (part time), Oral Health Practice, 7/1/02 through 6/30/03.
- Mason, Karen J., College of Medicine, Assistant Professor*, Pediatrics, 7/1/02 through 6/30/03.
- Murphy, Paul J., College of Medicine, Assistant Professor (part time), Pathology and Laboratory Medicine, joint appointment as Assistant Professor (part time), College of Pharmacy, 7/1/02 through 6/30/03.
- Neill, Thomas K., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/02 through 6/30/03.
- Nikolova-Karakashian, Mariana, College of Medicine, Assistant Professor, Physiology, 7/1/02 through 6/30/03.
- O'Neill, Amy M., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/02 through 6/30/03.
- Osborne, Paul B., College of Dentistry, Assistant Professor*, Oral Health Practice, 7/1/02 through 6/30/03.
- Parlanti, Sherry M., College of Dentistry, Assistant Professor (part time), Oral Health Practice, 7/1/02 through 6/30/03.
- Pollack, Susan H., College of Medicine, Assistant Research Professor, Pediatrics, joint appointment as Assistant Research Professor, Preventive Medicine and Environmental Health, 7/1/02 through 6/30/03.

- Post, Steven R., College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, joint appointment as Assistant Professor, College of Pharmacy, 7/1/02 through 6/30/03.
- Rabchevsky, Alexander G., College of Medicine, Assistant Professor, Physiology, 7/1/02 through 6/30/03.
- Ray, Deborah S., College of Dentistry, Assistant Professor (part time), Oral Health Practice, 7/1/02 through 6/30/03.
- Rolf, Cristin M., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, 7/1/02 through 6/30/03.
- Roussel, Guy, College of Medicine, Assistant Professor (part time), Family Practice, 7/1/02 through 6/30/03.
- Sampers, Jackie, College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/02 through 6/30/03.
- Scheurich, Neil E., College of Medicine, Assistant Professor*, Psychiatry, 7/1/02 through 6/30/03.
- Schroder, Elizabeth A., College of Medicine, Assistant Research Professor, Pediatrics, 7/1/02 through 6/30/03.
- Selwitz, Stephen P., College of Dentistry, Assistant Professor (part time), Oral Health Practice, 7/1/02 through 6/30/03.
- Simon, Robert E., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/02 through 6/30/03.
- Srivatsan, Malathi, College of Medicine, Assistant Research Professor, Physiology, 7/1/02 through 6/30/03.
- Theiss, B. L., College of Dentistry, Clinical Assistant Professor, Oral Health Practice, 7/1/02 through 6/30/03.
- Thibault, Olivier H., College of Medicine, Assistant Research Professor, Molecular and Biomedical Pharmacology, 7/1/02 through 6/30/03.
- Tobita, Kimimasa, College of Medicine, Assistant Research Professor, Pediatrics, 7/1/02 through 6/30/03.
- Underwood, William L., College of Medicine, Assistant Professor (part time), Pediatrics, joint appointment as Assistant Professor (part time), Family Practice, 7/1/02 through 6/30/03.
- Vranicar, Mark, College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/02 through 6/30/03.
- Walker, James R., College of Medicine, Assistant Research Professor, Psychiatry, joint appointment as Assistant Research Professor, College of Social Work, Department of Social Work, 7/1/02 through 6/30/03.
- Wells, Donald G., College of Dentistry, Assistant Professor (part time), Oral Health Practice, 7/1/02 through 6/30/03.
- Woods, Sheila H., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/02 through 6/30/03.
- Woodward, Thomas A., College of Medicine, Clinical Assistant Professor, joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/02 through 6/30/03.
- Xu, Fadi, College of Medicine, Assistant Research Professor, Physiology, 7/1/02 through 6/30/03.

*Special Title series

F. CHANGES

Hartford, James M., College of Medicine, from Assistant Professor, Surgery, to Clinical Assistant Professor, Surgery, 4/3/02 through 6/30/02.

G. TERMINAL REAPPOINTMENTS

DeFranco, Paul E., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/02 through 6/30/03.

H. LEAVES OF ABSENCE

Boissonneault, Gilbert A., College of Allied Health Professions, Professor (with tenure), Clinical Sciences, joint appointment as Associate Professor (without tenure), The Graduate School, Graduate Center for Toxicology, sabbatical leave at full salary, 7/1/02 through 12/31/02.
Gaposchkin, Christopher G., College of Medicine, Assistant Professor*, Surgery, miscellaneous leave without pay, 3/10/02 through 6/30/02.

*Special Title series

PR 3

Office of the President
April 2, 2002

Members, Board of Trustees:

UNIVERSITY RESEARCH PROFESSORSHIPS

Recommendation: that the Board of Trustees approve the naming of Professors Gary Ferland, Department of Physics and Astronomy, Andrew Klapper, Department of Computer Science, Thomas Leinbach, Department of Geography, and Timothy McClintock, Department of Physiology, as University Research Professors for 2002-2003.

Background: In April 1976, the University Senate recommended to the President the establishment of one-year Research Professorships and that priority be given to the goal of establishing permanent Research Professorships as funds became available. At its meeting in May 1976, the University of Kentucky Research Foundation voted to support one-year Research Professorships to be activated in the fall of 1977. The Board of Trustees named the first Research Professors at its meeting on March 8, 1977.

The purpose of the University Research Professorships is to enhance and encourage scholarly research productivity, provide an opportunity for concentrated research effort for selected faculty members, recognize outstanding research achievement by members of its faculty, emphasize its research function, and to publicize its research accomplishments in order that the research function of the University be appropriately recognized and understood.

Action taken: Approved Disapproved Other _____

FCR 1

Office of the President
April 2, 2002

Members, Board of Trustees:

APPROVAL OF LEASE

Recommendation: that the Senior Vice President for Administration be authorized to negotiate and execute the following:

A new lease between the University of Kentucky (College of Social Work/Lexington Community College) and Kentucky Utilities Company, One Quality Street, Lexington, Kentucky, for space located at One Quality Street, Lexington, Kentucky, effective April 15, 2002 through April 14, 2007, for the use of 49,026 square feet of office/classroom space, at an annual rate of \$245,130.00.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases in excess of \$30,000 be approved by the Board of Trustees.

Action taken: Approved Disapproved Other _____

FCR 2

Office of the President
April 2, 2002

Members, Board of Trustees:

PATENT ASSIGNMENT REPORT

Recommendation: that the patent assignment report for the period December 1, 2001 through March 31, 2002, be accepted.

Background: FCR 5, dated March 4, 1997, authorized that all future copyright and patent filings and prosecutions be conducted by the University of Kentucky Research Foundation (UKRF), and that the Vice President for Research and Graduate Studies or his designee be authorized to execute any needed documents to obtain appropriate patent or copyright protection. Quarterly reports on patent and copyright applications are to be submitted to the Finance Committee of the Board.

Action taken: Approved Disapproved Other _____

PATENT ASSIGNMENT
QUARTERLY FOR THE PERIOD December 1, 2001 through March 31, 2002

Patents

The following assignments on behalf of the Board of Trustees to the University of Kentucky Research Foundation have been executed:

1. U.S. Patent Serial Number: (to be assigned), filed (to be filed), titled "MAGNETOELASTIC SENSOR FOR CHARACTERIZING PROPERTIES OF THIN-FILM/COATING." Inventors: Leonidas G. Bachas, Gary Barrett, Craig A. Grimes, Dimitris Kouzoudis and Mr. Stefan Schmidt. This invention provides an apparatus for determining elasticity characteristics of a thin-film layer. The apparatus comprises a sensor element having a base magnetostrictive element at least one surface of which is at least partially coated with the thin-film layer. The thin-film layer may be of a variety of materials (having a synthetic and/or biocomponent) in a state or form capable of being deposited, manually or otherwise, on the base element surface, such as by way of eye-dropper, melting, dripping, brushing, sputtering, spraying, etching, evaporation, dip-coating, laminating, etc. Among suitable thin-film layers for the sensor element of the invention are fluent bio-substances, thin-film deposits used in manufacturing processes, polymeric coating, paint, an adhesive, and so on. A receiver, preferably remotely located, is used to measure a plurality of values for magneto-elastic emission intensity of the sensor element in either characterization: (a) the measure of the plurality of values is used to identify a magneto-elastic resonant frequency value for the sensor element; and (b) the measure of the plurality of successive values is done at a preselected magneto-elastic frequency.
2. U.S. Patent Serial Number: (to be assigned), filed (to be filed), titled "IDENTIFICATION OF A NOVEL SEVENTEEN AMINO ACID PEPTIDE (PEPTIDE P) WHICH CAN BE USED FOR TREATING ISCHEMIA AND REPERFUSION INJURY." Inventors: Peter R. Oeltgen and Mark S. Kindy. This invention provides Peptide P, having the amino acid sequence Tyr-D-Ala-Phe-Ala-Asp-Val-Ala-Ser-Thr-Ile-Gly-Asp-Phe-Phe-His-Ser-Ile-Nh₂-SEQ ID NO:1, which is useful to treat ischemia.
3. U.S. Patent Serial Number: (to be assigned), filed (to be filed), titled "GENETIC INSULATOR FOR PREVENTING INFLUENCE BY ANOTHER GENE PROMOTER." Inventors: Susheng Gan and Mingtang Xie. This invention provides a 16 bp polynucleotide sequence of *Arabidopsis thaliana* as a genetic insulator that can effectively isolate a transgene from positional effects of neighboring gene activities in transgenic plant cells.
4. U.S. Patent Serial Number: (to be assigned), filed (to be filed), titled "METHOD FOR STORING AND RETRIEVING SEQUENTIAL INFORMATION." Inventors: Philip W. Landfield and Oliver Thibault. This invention provides a method and design for distributing and storing sets of temporally ordered information in a systematic and sequential fashion. This method is based on a model of how the brain functions in the

distribution and storage of temporally ordered memories, but it can also be applied to the design of new biological, electronic or optical devices. These devices may be used in the testing and development of new therapeutic drugs, in the detection of toxic agents or impaired performance, or in the development of new industrial and consumer devices in which the orderly storage of sequential information is important.

5. U.S. Patent Serial Number: (to be assigned), filed (to be filed), titled "USE OF INSULIN DEGRADING ENZYME (IDE) FOR THE TREATMENT OF ALZHEIMER'S DISEASE." Inventors: Louis B. Hersh and Atish Mukherjee. The peptidase insulin degrading enzyme (IDE) has been shown to cleave alpha beta peptides. Cleavage of alpha beta by recombinant IDE abolishes its cell toxicity. Recombinant IDE is expressed on the surface of cells or secreted from cells in plaque forming regions of the brain. Primary hippocampal and cortical cells are treated with alpha beta peptides in the presence or absence of IDE and cell toxicity measured. Cells are recombinantly engineered to express IDE on the cell surface or secrete it. The membrane bound and secreted forms of IDE are then expressed in a mammal.
6. U.S. Patent Serial Number: (to be assigned), filed (to be filed), titled "NOVEL MULTIDENTATE SULFUR-CONTAINING LIGANDS." Inventors: David A. Atwood, Mr. Brock S. Howerton, and Mr. Matthew Matlock. This invention provides sulfur-containing ligands for binding of heavy metals. The ligands incorporate a central ring structure and pendant alkyl-thiol chains. The ligands are suitable for binding any metal in or capable of being placed in a positive oxidative state, such as cadmium, lead, nickel, zinc, mercury, copper and the like. Additionally, methods for removal of heavy metals from various substances are disclosed, comprising separating selected heavy metals from selected substances by contacting the substances with an effective amount of the sulfur-containing chelate ligands for a sufficient time to form stable, irreversible ligand-metal precipitates, and removing such precipitates.
7. U.S. Patent Serial Number: (to be assigned), filed (to be filed), titled "METHODS TO IDENTIFY PLANT METABOLITES." Inventors: Deane L. Falcone and John M. Littleton. This invention provides materials and methods to manipulate the plant genome at the level of single plant cells in culture resulting in the ability to assign metabolic functionality to plant genes involved in the production of biologically active molecules and to create a means of product discovery based on the biosynthetic capacity of plants. The materials to create an activation mutagenesis include incorporation of enhancer sequences from a plant viral promoter at random places in the plant genome via Agrobacterium mediated DNA transfer (T-DNA). The usefulness is that genes in the immediate vicinity of the incorporation were activated which allows for immediate screening of the mutagenized plant cells. Additionally, the usefulness includes relevant areas of the genome were flanked by the inserted T-DNA which allows recovery of this area by standard molecular biology techniques. The method includes a procedure for screening large numbers of mutagenized plant cell cultures for activation of a relevant gene on the basis of the desired protein product on the basis of radioligand binding displacement assay.

8. U.S. Patent Serial Number: (to be assigned), filed (to be filed), "TEMPERATURE, STRESS, AND CORROSIVE SENSING APPARATUS UTILIZING HARMONIC RESPONSE OF MAGNETICALLY SOFT SENSOR ELEMENTS." Inventors: Craig A. Grimes and Keat G. Ong. This invention provides a temperature sensing apparatus including a sensor element made of a magnetically soft material operatively arranged within a first and second time-varying interrogation magnetic field, the first time-varying magnetic field being generated a frequency higher than that for the second magnetic field. A receiver, remote from the sensor element, is engaged to measure intensity of electromagnetic emissions from the sensor element to identify a relative maximum amplitude value for each of a plurality of higher-order harmonic frequency amplitudes so measured. A unit then determines a value for temperature (or other parameter of interest) using the relative maximum harmonic amplitude values identified. In other aspects of the invention, the focus is on an apparatus and technique for determining a value for stress condition of a solid analyte and for determining a value for corrosion, using the relative maximum harmonic amplitude values identified. A magnetically hard element supporting a biasing field adjacent to the magnetically soft sensor element can be included.

FCR 3

Office of the President
April 2, 2002

Members, Board of Trustees:

MERGING JACQUELINE A. NOONAN PROFESSORSHIPS

Recommendation: that the Board of Trustees approve merging two Jacqueline A. Noonan Professorships in the College of Medicine's Department of Pediatrics.

Background: The Department of Pediatrics has two endowed Jacqueline A. Noonan Professorships. The principal of \$39,367 in one of the professorships is too small to provide sufficient income to support a professorship. The department seeks to merge the smaller professorship with a larger one having a \$201,000 corpus to provide interest income substantial enough to recruit an outstanding physician scientist. Dr. Noonan was the long-time chair of the Department of Pediatrics prior to her retirement in 1998.

Action taken: Approved Disapproved Other _____

FCR 4

Office of the President
April 2, 2002

Members, Board of Trustees:

ENDOWMENT NAME CHANGE IN THE COLLEGE OF MEDICINE

Recommendation: that the Board of Trustees approve changing the name of the Sterling Drug Visiting Professorship to the Molecular and Biomedical Pharmacology Seminar Fund.

Background: Sterling Drug Company provided the initial gift in 1983 to create the Sterling Drug Visiting Professorship. The \$50,000 endowment provided funds to bring in seminar speakers. Sterling Drug Company was purchased by Winthrop Pharmaceuticals to create Sterling-Winthrop, Inc., which subsequently went out of business. Because there is no longer a company bearing the Sterling name, the Department of Molecular and Biomedical Pharmacology in the College of Medicine seeks to change the name of the fund.

Action taken: Approved Disapproved Other _____

FCR 5

Office of the President
April 2, 2002

Members, Board of Trustees:

JOHN C. HARRIS GIFT

Recommendation: that the Board of Trustees accept a gift of \$50,000 from John C. Harris of Highland Park, Illinois, to create and endow the Center for Financial Services Research in the School of Management in the Carol Martin Gatton College of Business and Economics.

Background: Mr. Harris is a 1969 graduate of the College and is an investment banker in Chicago. The Center of Financial Services Research will engage in research involving banking, international finance and investments. The gift is eligible for matching funds from the state's Research Challenge Trust Fund.

Action taken: Approved Disapproved Other _____

FCR 6

Office of the President
April 2, 2002

Members, Board of Trustees:

CREED F. SMITH, JR. GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$5,000 and a pledge of \$7,500 from Creed F. Smith, Jr., of Kingwood, Texas, to create and endow the Creed Fulton Smith Endowed Fellowship Fund in the Carol Martin Gatton College of Business and Economics. Mr. Smith's gift and pledge, which total \$12,500, will be matched by \$37,500 by the ExxonMobil Education Foundation creating a \$50,000 endowment.

Background: Mr. Smith, a 1962 graduate of the Gatton College of Business and Economics, is president of Carbon Consulting, Inc. He is creating the fellowship in memory of his father, Creed Fulton Smith. The fellowship will assist graduate students seeking a Masters in Business Administration. The donor is a native of Harlan County and prefers that recipients have graduated from a high school in one of 29 eastern Kentucky counties. The gift and pledge are eligible for matching funds of \$50,000 from the state's Research Challenge Trust Fund. Mr. Smith's pledge was made in February, 2002. He will fulfill his pledge with a gift of \$5,000 in 2003 and \$2,500 in 2004. ExxonMobil matches gifts on a 3-to-1 basis. Mr. Smith is an ExxonMobil retiree.

Action taken: Approved Disapproved Other _____

FCR 7

Office of the President
April 2, 2002

Members, Board of Trustees:

WILLIAM T. YOUNG PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$500,000 from William T. Young of Lexington, Kentucky, to create and endow the William T. Young Library Endowed Chair to be held by the Director of University Libraries in the director's academic discipline.

Background: Mr. Young, a Lexington businessman and philanthropist, was the largest benefactor for the construction of the university library and library endowment, both of which bear his name. This pledge, which is eligible to be matched by the state's Research Challenge Trust Fund, will create an endowed chair in the academic discipline of whoever is director of university libraries. The holder of the chair will conduct research in the college in which she/he holds an academic appointment. Research efforts would be designed to improve library and information science and focus on the use of technology in libraries, scholarly communications processes and other areas defined by the Provost. The current Director of University Libraries, Paul A. Willis, a professor of law, would become the first holder of the chair. The pledge will be paid upon receipt of matching funds from the state.

Action taken: Approved Disapproved Other _____

FCR 8

Office of the President
April 2, 2002

Members, Board of Trustees:

ARTHUR E. WALKER, JR. GRADUATE FELLOWSHIP
IN CIVIL ENGINEERING GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$60,000 and a pledge of \$240,000 from Arthur E. Walker, Jr., of Mount Sterling, Kentucky, to establish and endow the Arthur E. Walker, Jr. Graduate Fellowship in Civil Engineering in the College of Engineering.

Background: Mr. Walker attended the University of Kentucky in the mid-1960s and studied civil engineering. He is president of The Walker Company in Mt. Sterling. Mr. Walker is a longtime supporter of the College of Engineering, having served on the Civil Engineering Industry Advisory Board for Construction Management. The fellowship will provide assistance for graduate students studying construction management. The gift and pledge are eligible for matching funds from the state's Research Challenge Trust Fund. Mr. Walker's gift was made in December, 2001. The pledge will be paid in installments of \$60,000 each December of 2002, 2003, 2004 and 2005.

Action taken: Approved Disapproved Other _____

FCR 9

Office of the President
April 2, 2002

Members, Board of Trustees:

TRANSFER OF BLUE-WHITE FUND QUASI-ENDOWMENT
TO THE WILDCAT FOUNDATION

Recommendation: that the Board of Trustees (1) authorize the withdrawal of an amount not to exceed \$3,500,000.00 from the Blue-White Quasi-Endowment Fund for the purpose of funding a portion of the construction costs of the Cliff Hagan Baseball Stadium Project and (2) authorize the Senior Vice President for Administration to enter into an agreement with the Wildcat Foundation whereby the funds withdrawn from the Blue-White Fund would be transferred to the Wildcat Foundation for the sole purpose of paying the documented expenses for construction of the new stadium.

Background: The University of Kentucky executed an agreement August 1, 2001, whereby the Wildcat Foundation agreed to construct a new baseball stadium as a gift to the University. The stadium project has now been substantially completed. Due to extraordinary recent events, the Wildcat Foundation has not received the contributions originally expected for the project, and the current fundraising environment is not likely to produce funds on a schedule that will allow timely payment of construction expenses. The Blue-White Fund Quasi-Endowment consists of private funds donated to the University of Kentucky that may be used only for support of the intercollegiate athletics program. Pledges and gifts received by the Wildcat Foundation and the Athletic Association in the future will be used to replace funds withdrawn from the Blue-White Quasi Endowment.

Action taken: Approved Disapproved Other _____