

Minutes of the Meeting of the Executive Committee, Board of Trustees, University of Kentucky, Tuesday, October 17, 1978.

The Executive Committee of the Board of Trustees met at 2:00 o'clock (Eastern Daylight Time) on Tuesday, October 17, 1978, in the Board Room on the 18th floor of the Patterson Office Tower on the Lexington campus with the following members answering the call of the roll: Mr. William B. Sturgill, Chairman, Mr. William R. Black, Mr. Albert G. Clay, Mr. George W. Griffin, Mr. Homer W. Ramsey, and Mrs. Betty Carol Clark, Ex-Officio Secretary. Members of the Board present were Mr. John R. Gaines, Professor Michael Adelstein, and Mr. Eugene Tichenor. The University administration was represented by President Otis A. Singletary; Vice Presidents Robert Zumwinkle, Peter P. Bosomworth, Raymond R. Hornback, John T. Smith, and Jack C. Blanton; Dr. Wimberly C. Royster, Dean of the Graduate School and Coordinator of Research; and Mr. John Darsie, Legal Counsel. Representatives of the news media were also present.

A. Meeting Opened

Mr. Sturgill called the meeting to order at 2:05 o'clock. Following the invocation, pronounced by Mr. Black, the Secretary called the roll. A quorum being present, the Chairman declared the meeting officially open for the conduct of business at 2:07 p.m.

B. Minutes Approved

On motion duly made, seconded and carried, the reading of the Minutes of the meeting of the Board of Trustees for September 19, 1978, was dispensed with and the Minutes were approved as written.

C. President's Report to the Trustees (PR 1)

After a brief review of the items in his monthly report to the Trustees, President Singletary recommended that the report be received and filed. Without objection, it was so ordered.

D. Statement Relative to Iranian Students

Before taking up the items on the day's agenda, President Singletary spoke of the University's position relative to the Iranian students who had been arrested, tried, convicted, fined, and sentenced to jail as a result of their disruption of a meeting in April, 1978, at which Stansfield Turner, Director of the C.I.A., was the speaker. The students, after serving approximately a week of their sentences, have been released on bail provided by a Mt. Sterling citizen.

President Singletary continued that now that the District Court action has been taken, further judicial action by the University

seems unwarranted. The Dean of Students will, however, proceed to take appropriate disciplinary action as provided in the Student Code against the two Iranians enrolled as students at the University of Kentucky. Since the University does not comment on its internal discipline of students, President Singletary did not state what such action might be, except that it would not involve dismissal or suspension.

The President closed his remarks by saying that the University values its international students and wishes for them the formal education for which they came to this country and a warm and happy experience living among the American people. The University does, however, expect them to observe the regulations of the University and to obey the laws of the Commonwealth. If they do not do so, then they should be held accountable for their actions.

E. Recommendations of the President (PR 2)

President Singletary noted that the appointments and/or other staff changes in PR 2 were routine in nature and recommended approval.

Dr. Bosomworth requested permission to correct an error in the recommendation for the appointment of Marion R. Steiner on page 2 of PR 2. His appointment as Assistant Professor in the Department of Pathology read "with tenure" which should be deleted since the position does not carry tenure.

Mr. Black moved that PR 2 as corrected be approved. His motion was seconded by Mr. Clay and passed without dissent. (See PR 2 at the end of the Minutes.)

F. Supplemental Recommendations of the President (PR 3)

President Singletary said that the position of the Director of the University Hospital was a very sensitive and important position on this campus and he was pleased to be able to recommend the appointment of Dr. David C. Schmauss, a man of proven performance who could administer the affairs of the Hospital capably.

On motion by Mr. Griffin, seconded by Mr. Black and passed, the appointment of Dr. Schmauss as Director of the University Hospital was approved. (See PR 3 at the end of the Minutes.)

G. Budget Revisions for 1978-79 (PR 4)

President Singletary recommended approval of the Budget Revisions for 1978-79 as proposed in PR 4. There being no questions, on motion by Mr. Ramsey, seconded by Mr. Clay and passed, the Budget Revisions in PR 4 were authorized and approved. (See PR 4 at the end of the Minutes.)

H. Appointment of Medical Staff-University Hospital (PR 5)

In accordance with the Bylaws of the Medical Staff, University Hospital, appointments to the Medical Staff must have Board approval

and President Singletary recommended that PR 5, listing such appointments, be approved. On motion by Mr. Clay, seconded by Mr. Black and passed, PR 5 was approved. (See PR 5 at the end of the Minutes.)

I. Capital Construction Project (PR 6)

In order to accommodate the expected patient load in the labor and delivery areas of the University Hospital, it is necessary to renovate the Obstetrics Unit on the third floor of the hospital at an expected cost of \$300,900. President Singletary recommended that the Board approve for submission to the Council on Higher Education, this proposed renovation project as presented in PR 6. On motion by Mr. Black, seconded by Mr. Griffin and passed, it was so ordered. (See PR 6 at the end of the Minutes.)

J. UK-UL Coordinating Committee for the Health Sciences (PR 7)

President Singletary recommended that the Board affirm its support of the already existing UK-UL Coordinating Committee for the Health Sciences as proposed in PR 7. Without discussion, on motion by Mr. Ramsey, seconded by Mr. Clay, and passed, the Board affirmed the action of President Singletary and President Miller taken in 1976 to create a Coordinating Committee for the Health Sciences between the University of Kentucky and the University of Louisville, supported a re-emphasis of this coordinating responsibility, and recognized the participation of the Council on Higher Education, as appropriate, in that activity. (See PR 7 at the end of the Minutes.)

K. Activation of the Council of Supervisors, University Hospital and Amendments to Bylaws of the Medical Staff (PR 8)

Upon President Singletary's recommendation, Mr. Clay moved that the Board approve the amendments to the Bylaws of the Medical Staff, which included the activation of the Council of Supervisors, as recommended in PR 8. His motion was seconded by Mr. Black and passed without dissent. (See PR 8 at the end of the Minutes.)

L. Interim Financial Report (FCR 1)

Mr. Clay, Chairman, said that the Finance Committee had met on Tuesday morning, October 17, and reviewed the reports to be presented at the Executive Committee meeting. He then moved that the Financial Report for the period ending August 31, 1978, be accepted. His motion was seconded by Mr. Black and passed without dissent. (See FCR 1 at the end of the Minutes.)

M. Acceptance of UK Financial Reports and Report on Internal Accounting Controls for the Year Ended June 30, 1978 (FCR 2)

Mr. Clay read a letter from Coopers and Lybrand relative to Internal Accounting Controls and said that Mr. Blanton and his staff

would conduct an in-depth study upon which recommendations for improvements would be based. He then moved that the Board of Trustees accept the University of Kentucky Financial Reports and the Report on Internal Accounting Controls for the Year Ended June 30, 1978. His motion was seconded by Mr. Griffin and passed without dissent.

Before going to the next item on the agenda, Mr. Clay recommended that the Trustees might find it both interesting and helpful to read the 1977-78 Financial Report.

N. Acceptance of Audits for the University of Kentucky  
Affiliated Corporations (FCR 3)

Mr. Clay put in the form of a motion the recommendation in FCR 3 that the Board accept the audited financial statements for the University affiliated corporations for the fiscal year ended June 30, 1978. His motion was seconded by Mr. Black and passed without dissent. (See FCR 3 at the end of the Minutes.)

O. Meeting Adjourned

It having been determined that there was no further business to come before the meeting, on motion duly made, seconded and carried, the meeting adjourned at 2:35 p.m.

Respectfully submitted,

Betty Carol Clark, Secretary  
Board of Trustees

(PR's 2, 3, 4, 5, 6, 7, and 8; and FCR's 1, 2, and 3 which follow are official parts of the Minutes of the Meeting.)



Office of the President  
October 17, 1978

PR2

Members, Executive Committee, Board of Trustees:

RECOMMENDATIONS OF THE PRESIDENT

I. Appointments and/or Other Staff Changes

Recommendations: (1) that approval be given to the appointment and/or other changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The following recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate vice president through the President and have his concurrence.

Under the Governing Regulations the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

BOARD ACTION

New Appointments

Benthall-Nietzel, Deedra, Adjunct Associate Professor (part-time), College of Law, 9/1/78 through 12/31/78.

Hanson, Michael B., Assistant Professor (temporary), Department of Obstetrics and Gynecology, College of Medicine, 9/1/78 through 6/30/79.

Hudleson, Irene M., Associate Clinical Professor (voluntary), College of Nursing, 10/1/78 through 6/30/79.

Milner, Samuel, Adjunct Professor (part-time), College of Law, 9/1/78 through 12/31/78.

Mitchell, Dianne E., Assistant Professor\*, College of Nursing, 10/15/78 through 6/30/79.

Moore, Escum L. Jr., Adjunct Professor (part-time), College of Law, 9/1/78 through 12/31/78.

Ozil, Eralp, Visiting Assistant Professor\*\*, Department of Mechanical Engineering, College of Engineering, 9/1/78 through 6/30/79.

Rast, Nicholas, Professor, (with tenure), Department of Geology, College of Arts and Sciences, effective 1/1/79.

Ricci, Leonardo, Visiting Professor, College of Architecture, 8/16/78 through 12/31/78.

Rishi, M. L., Associate Clinical Professor (voluntary), Department of Community Medicine, College of Medicine, 10/1/78 through 6/30/79.

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\*Special Title Series

\*\*Adjunct Series

- Savage, Joe C., Adjunct Professor (part-time), College of Law,  
9/1/78 through 12/31/78.
- \* Steiner, Marion R., Assistant Professor, ~~(with tenure)~~, Department  
of Pathology, College of Medicine, 8/1/78 through 6/30/79.
- Trigg, Alvin B., Adjunct Professor (part-time), College of Law,  
9/1/78 through 12/31/78.

#### Non-Academic Appointments

- Bustrack, James A., Research Scientist, College of Pharmacy,  
retroactive 8/1/78.
- Cordiviola, Steven, Geologist, Kentucky Geological Survey, Graduate  
School, retroactive 9/1/78.
- Peplaski, James S., Senior Systems Programmer/Analyst, University  
Hospital, retroactive 9/5/78.
- Thomson, Patricia C., Senior Systems Analyst/Programmer, Computing  
Center, Vice President for Administration, retroactive 9/25/78.
- Threlkeld, Tom, Transplant Coordinator of Special Diagnostics,  
University Hospital, retroactive 9/5/78.
- Younger, Bonnie Brinly, Project Coordinator, Appalachian Folk Crafts  
Project for the Handicapped, College of Education, retroactive  
9/1/78.

#### Administrative Appointments

- Emanuel, Jane, Associate Professor, (with tenure), named Chairman,  
Department of Allied Health Education and Research, College of  
Allied Health Professions, 9/1/78 through 6/30/84.
- Kohn, Markell, Professor, (with tenure), reappointed Chairman, Department  
of Oral Surgery, College of Dentistry, 7/1/78 through 6/30/84.

#### Reappointments

- McClanahan, Edward Page, Visiting Associate Professor, Department of  
English, College of Arts and Sciences, 8/16/78 through 5/31/79.

#### Changes

- Marciani, Robert D., from Associate Professor (part-time), (without  
tenure), to Associate Professor, (with tenure), Department of  
Oral Surgery, College of Dentistry, retroactive 9/1/78.

#### Retirements

- Deaton, Logan M., Supervisor, Electric Shop, Physical Plant Division,  
after 16 consecutive years of service, effective 1/1/79.
- Hawkins, O. D., Farm Manager, Management Operations, College of  
Agriculture, after 23 consecutive years of service, effective  
11/30/78.
- Tanner, Albert, Chauffeur-Supervisor, Services, Physical Plant  
Division, after 15 consecutive years of service, effective  
12/1/78.

#### Early Retirements

- Jacobs, Sarah C., Nurse Assistant II, College of Nursing, after 16.5  
consecutive years of service, effective 12/29/78.

\* Corrected prior to action--appointment does not carry tenure.

Johnson, Marion L., Administrative Secretary, Home Economics Extension, after 25.5 consecutive years of service, effective 1/2/79.

Post-Retirement Appointments

Meece, O'Leary M., Coordinator, Exemplary In-School Youth Program Demonstration Project, Somerset Community College, 11/1/78 through 6/30/79.

ADMINISTRATIVE ACTION

New Appointments

Baker, Michael H., Instructor (part-time), College of Law, 10/1/78 through 2/28/79.

Baldwin, Forrest C. Jr., Clinical Instructor (voluntary), Department of Community Dentistry, College of Dentistry, 10/1/78 through 6/30/80.

Banner, Mary A., Instructor (part-time), Department of English, College of Arts and Sciences, 8/16/78 through 12/31/78.

Barnett, Alice L., Librarian IV, Library, Medical Center, 9/1/78 through 6/30/79.

Bowman, Arlene M., Instructor in the Community College System, Henderson Community College, 9/1/78 through 6/30/79.

Brooks, Arthur L. Jr., Instructor (part-time), College of Law, 10/1/78 through 2/28/79.

Bush, Deborah J., Instructor in the Community College System, Hopkinsville Community College, 8/1/78 through 6/30/79.

Carlton, Carol Lee, Librarian IV in the Community College System, Southeast Community College, 9/18/78 through 6/30/79.

Challinor, Dennis M., Clinical Instructor (voluntary), Department of Community Dentistry, College of Dentistry, 10/1/78 through 6/30/80.

Clark, Bruce F., Instructor (part-time), College of Law, 10/1/78 through 2/28/79.

Crowley, Lillie, Visiting Instructor (part-time), Department of Mathematics, College of Arts and Sciences, 9/1/78 through 12/31/78.

Day, JoAnne V., Instructor, Department of Family Studies, College of Home Economics, 9/1/78 through 5/15/79.

Delaney, Dennis M., Clinical Instructor (voluntary), Department of Community Dentistry, College of Dentistry, 10/1/78 through 6/30/80.

DeSimone, Shirley, Visiting Instructor (part-time), Department of English, College of Arts and Sciences, 8/1/78 through 12/31/78.

Dixon, Mansfield Jr., Clinical Instructor (voluntary), Department of Community Dentistry, College of Dentistry, 10/1/78 through 6/30/80.

Driskell, Boyce N., Visiting Instructor (part-time), Department of Anthropology, College of Arts and Sciences, 8/16/78 through 12/31/78.

Echternach, John, Clinical Instructor (voluntary), Department of Allied Health Education and Research, College of Allied Health Professions, 7/25/78 through 6/30/79.

Fields, R. P., Clinical Instructor (voluntary), Department of Community Dentistry, College of Dentistry, 10/1/78 through 6/30/80.

Fox, Marilyn Lee, Visiting Instructor (part-time), Department of English, College of Arts and Sciences, 8/16/78 through 12/31/78.

Fraleigh, Jackie, Clinical Instructor (voluntary), Department of Allied Health Education and Research, College of Allied Health Professions, 8/1/78 through 6/30/79.

Freeman, Garth B., Adjunct Assistant Professor\* (part-time), Department of Metallurgical Engineering, College of Engineering, 9/1/78 through 12/31/78.

Garrett, Phyllis R., Instructor in the Community College System, Henderson Community College, 9/25/78 through 6/30/79.

Gehring, Martha G., Instructor (part-time), Department of English, College of Arts and Sciences, 9/1/78 through 12/31/78.

Gooch, B. J., Visiting Instructor (part-time), Department of English, College of Arts and Sciences, 8/16/78 through 12/31/78.

Goshtasbpour-Parsi, Bahram, Visiting Assistant Professor, Department of Animal Sciences, College of Agriculture, 8/20/78 through 8/20/79.

Gutman, Dale G., Clinical Instructor (voluntary), Department of Community Dentistry, College of Dentistry, 10/1/78 through 6/30/80.

Hamilton, Patsy, Visiting Instructor (part-time), Department of English, College of Arts and Sciences, 8/16/78 through 12/31/78.

Hartnett, Timothy, Clinical Instructor (voluntary), Department of Allied Health Education and Research, College of Allied Health Professions, 8/1/78 through 6/30/79.

Hayden, Linda, Visiting Instructor (part-time), Department of Mathematics, College of Arts and Sciences, 8/16/78 through 12/31/78.

Hazrati, A. M., Visiting Assistant Professor, Department of Chemical Engineering, College of Engineering, 8/15/78 through 8/15/79.

Hewett, Donna, Visiting Instructor (part-time), Department of Mathematics, College of Arts and Sciences, 8/16/78 through 12/31/78.

Hill, Gail H., Clinical Instructor (voluntary), College of Pharmacy, 9/1/78 through 6/30/79.

Jennings, Jeannette, Assistant Professor in the Community College System, Ashland Community College, 9/1/78 through 12/31/78.

Joyce, Peter, Visiting Instructor (part-time), Department of Mathematics, College of Arts and Sciences, 8/16/78 through 12/31/78.

Jupin, Arvin, Visiting Instructor (part-time), Department of English, College of Arts and Sciences, 8/16/78 through 12/31/78.

Kalam, Muhammad Abul, Instructor in the Community College System, Lexington Technical Institute, 9/1/78 through 6/30/79.

Lear, William M. Jr., Instructor (part-time), College of Law, 10/1/78 through 2/28/79.

Littlepage, Randall L., Instructor (temporary), Department of Anesthesiology, College of Medicine, 9/1/78 through 11/30/78.

Lloyd, William G., Visiting Instructor (part-time), Department of Chemistry, College of Arts and Sciences, 8/16/78 through 12/31/78.

McDonald, Marcia L. S., Clinical Instructor (voluntary), College of Nursing, 9/1/78 through 6/30/79.

Mayes, Jesse G., Visiting Lecturer (part-time), Department of Engineering Mechanics, College of Engineering, 9/1/78 through 12/31/78.

Milby, Alison L., Instructor (part-time), College of Law, 10/1/78 through 2/28/79.

Pace, Robert E., Clinical Instructor (voluntary), College of Pharmacy, 9/1/78 through 6/30/79.

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\*Special Title Series

Pfeiffer, Mark A., Clinical Instructor (voluntary), Department of Community Dentistry, College of Dentistry, 10/1/78 through 6/30/80.

Phillips, Marita, Clinical Instructor (voluntary), Department of Allied Health Education and Research, College of Allied Health Professions, 8/1/78 through 6/30/79.

Pope, W. Nicholas, Instructor (part-time), College of Law, 10/1/78 through 2/28/79.

Pulito, Andrew R., Assistant Clinical Professor (part-time), Department of Surgery, College of Medicine, 8/1/78 through 6/30/79.

Rapp, Doris, Instructor (part-time), Department of Allied Health Education and Research, College of Allied Health Professions, 9/1/78 through 6/30/79.

Rawlings, Robert E., Instructor (part-time), College of Law, 10/1/78 through 2/28/79.

Reed, William H., Adjunct Assistant Professor\*, Department of Metallurgical Engineering, College of Engineering, 9/1/78 through 12/31/78.

Riddle, Jerry M., Assistant Professor in the Community College System, Madisonville Community College, 8/21/78 through 12/31/78.

Sako, Gordon A., Clinical Instructor (voluntary), Department of Community Dentistry, College of Dentistry, 10/1/78 through 6/30/80.

Sellars, Terry K., Instructor (part-time), College of Law, 10/1/78 through 2/28/79.

Stapp, Rose A., Visiting Instructor (part-time), Department of Mathematics, College of Arts and Sciences, 8/16/78 through 12/31/78.

Stilwell, Doris N., Assistant Professor\* (part-time), Department of Social Work, College of Social Professions, 8/28/78 through 5/15/79.

Stonecipher, M. A., Visiting Instructor (part-time), Department of English, College of Arts and Sciences, 8/16/78 through 12/31/78.

Stumbo, Janet L., Visiting Instructor (part-time), Department of English, College of Arts and Sciences, 8/16/78 through 12/31/78.

Swift, Robert G. Jr., Clinical Instructor (voluntary), College of Pharmacy, 9/1/78 through 6/30/79.

Tapp, John Jr., Instructor (part-time), Department of Agricultural Engineering, College of Agriculture, 9/1/78 through 12/31/78.

Taylor, Jerry A., Visiting Instructor (part-time), College of Architecture, 8/16/78 through 12/31/78.

Thomas, Stephen R., Visiting Instructor (part-time), Department of English, College of Arts and Sciences, 8/16/78 through 12/31/78.

Thornburg, Jim, Visiting Instructor (part-time), Department of English, College of Arts and Sciences, 8/16/78 through 12/31/78.

Vish, Donald H., Instructor (part-time), College of Law, 10/1/78 through 2/28/79.

Warden, Louise Sheridan, Instructor, College of Nursing, 10/9/78 through 6/30/79.

Wehrman, Paul T., Assistant Professor (part-time), Department of Endodontics, College of Dentistry, 9/1/78 through 5/31/79.

Welling, Sara N., Instructor (part-time), College of Law, 10/1/78 through 2/28/79.

West, John A., Instructor (part-time), College of Law, 10/1/78 through 2/28/79.

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\*Special Title Series

Williams, Ken, Visiting Instructor (part-time), Department of English, College of Arts and Sciences, 8/16/78 through 12/31/78.  
Wilson, David, Visiting Instructor (part-time), Department of English, College of Arts and Sciences, 8/16/78 through 12/31/78.

#### Non-Academic Appointments

Davis, Melissa, Instructional Assistant II (part-time), Department of Medical Technology, College of Allied Health Professions, retroactive 8/28/78.  
Devine, Charles L., Manager, Department of Radiation Medicine, College of Medicine, retroactive 9/11/78.  
Kirkpatrick, Eilene, Instructional Specialist II, Department of Community Dentistry, College of Dentistry, retroactive 8/28/78.  
McLaughlin, Mary C., Assistant Coordinator, Expanded Duty Training, Department of Community Dentistry, College of Dentistry, retroactive 8/28/78.

#### Joint Appointments

Bivins, Brack A., Assistant Professor, Department of Surgery, College of Medicine, also named Assistant Professor, College of Pharmacy, 9/1/78 through 6/30/79.  
Daugherty, William B., Assistant Professor (part-time), Department of Orthodontics, also named Assistant Professor (part-time), Department of Community Dentistry, College of Dentistry, 10/1/78 through 6/30/78.

#### Administrative Appointments

Brown, John K., Professor in the Community College System, (with tenure), named Acting Division Chairperson, Hazard Community College, 7/1/78 through 6/30/79.  
Greasley, Philip A., Assistant Professor, named Director, U.K. Center at Fort Knox, University Extension Programs, effective 10/17/78.  
Sinha, Jabil R., Assistant Professor in the Community College System, named Acting Division Chairman, Madisonville Community College, 9/1/78 through 12/31/78.  
Steadly, Gary F., Coordinator Continuing Education and Community Services, Jefferson Community College, 8/14/78 through 6/30/79.

#### Reappointments

Baer, Charlotte, Instructor (part-time), Department of English, College of Arts and Sciences, 8/16/78 through 12/31/78.  
Blacker, Marcia, Instructor (part-time), Department of English, College of Arts and Sciences, 8/16/78 through 12/31/78.  
Bohen, Christopher, Instructor (part-time), Department of English, College of Arts and Sciences, 8/16/78 through 12/31/78.  
Burton, Billy P., Clinical Instructor (voluntary), College of Pharmacy, 7/1/78 through 6/30/79.  
Campbell, Diane, Instructor (part-time), Department of English, College of Arts and Sciences, 8/16/78 through 12/31/78.  
Cetrulo, Christine, Instructor (part-time), Department of English, College of Arts and Sciences, 8/16/78 through 12/31/78.  
Durant, Susan M., Visiting Assistant Professor (temporary), Department of History, College of Arts and Sciences, 8/16/78 through 12/31/78.

Estes, Norman C., Assistant Professor, Department of Surgery,  
College of Medicine, 7/1/78 through 9/15/78.

Huntress, Elizabeth, Instructor (part-time), Department of English,  
College of Arts and Sciences, 8/16/78 through 12/31/78.

Hutchings, John W., Instructor (part-time), Department of English,  
College of Arts and Sciences, 9/1/78 through 12/31/78.

Jackson, Jacquelyn V., Instructor (part-time), Department of English,  
College of Arts and Sciences, 9/1/78 through 12/31/78.

Leverenz, Theo, Instructor (part-time), Department of English,  
College of Arts and Sciences, 8/16/78 through 12/31/78.

Lyon, George Ella, Instructor (part-time), Department of English,  
College of Arts and Sciences, 8/16/78 through 12/31/78.

Morrison, George H., Instructor (part-time), Department of English,  
College of Arts and Sciences, 8/16/78 through 12/31/78.

Murray, Mary Ann, Instructor (part-time), Department of English,  
College of Arts and Sciences, 8/16/78 through 12/31/78.

Patrick, Marietta, Instructor (part-time), Department of English,  
College of Arts and Sciences, 8/16/78 through 12/31/78.

Royster, Betty J., Instructor (part-time), Department of Mathematics,  
College of Arts and Sciences, 8/16/78 through 12/31/78.

Saykaly, Ronald J., Assistant Clinical Professor (part-time),  
Department of Medicine, College of Medicine, 7/1/78 through  
6/30/79.

Siwila, James, Instructor (part-time), Department of English, College  
of Arts and Sciences, 8/16/78 through 12/31/78.

Somers, Margaret, Instructor (part-time), Department of English,  
College of Arts and Sciences, 8/16/78 through 12/31/78.

Spears, Marthalee, Instructor (part-time), Department of English,  
College of Arts and Sciences, 8/16/78 through 12/31/78.

Spears, Ronald T., Instructor (part-time), Department of French  
Language and Literature, College of Arts and Sciences, 8/16/78  
through 12/31/78.

Watkins, Brownie T., Instructor (part-time), Department of English,  
College of Arts and Sciences, 8/16/78 through 12/31/78.

Wolf, David J., Visiting Instructor (part-time), Department of  
Anthropology, College of Arts and Sciences, 8/16/78 through 5/31/79

Woodress, Fred, Instructor (part-time), Department of English,  
College of Arts and Sciences, 8/16/78 through 12/31/78.

Young, Eliza M., Assistant Professor in the Community College System,  
Jefferson Community College, 8/1/78 through 6/30/79.

#### Promotion

Uematsu, Ari, from Instructor to Assistant Professor, Department of  
Surgery, College of Medicine, 10/1/78 through 6/30/79.

#### Waiver of Nepotism

Howell, John D., Instructor (part-time), Department of English,  
College of Arts and Sciences, 8/16/78 through 12/31/78, husband  
of Carol A. Howell, Instructor (part-time), Department of English.

#### Changes

Arnold, James T., from Clinical Instructor (voluntary), to Instructor  
(part-time), College of Pharmacy, 9/1/78 through 6/30/79.



Jessop, Alyce, from Assistant Professor, to Assistant Professor\*,  
College of Nursing, retroactive 8/1/78.  
Musacchio, Marilyn, from Assistant Professor, to Assistant Professor\*,  
College of Nursing, 7/1/78 through 6/30/79.  
Obray, Bruce, from Assistant Professor, to Assistant Professor\*,  
Department of Restorative Dentistry, College of Dentistry,  
8/1/78 through 6/30/79.  
Shelton, William A., from Visiting Instructor (part-time)(temporary),  
to Visiting Instructor (full-time) (temporary), Department  
of History, College of Arts and Sciences, 9/1/78 through 12/31/78.

Leaves of Absence

Adams, David R., Associate Professor, Department of Mathematics,  
College of Arts and Sciences, Leave without pay, Spring semester,  
1979.  
Ayers, William Bruce, Associate Professor in the Community College  
System, Southeast Community College, Leave without pay, 9/1/78  
through 6/30/79.  
Cazden, Robert, Professor, College of Library Science, Sabbatical  
leave with half-salary, academic year 1979-80.  
Clarke, Harry, Associate Professor of Applied Music\* and Director of  
Bands, School of Music, College of Fine Arts, Sabbatical leave  
with full salary, 7/1/79 through 12/31/79.  
Dye, Nancy, Assistant Professor, Department of History, College of  
Arts and Sciences, Leave without pay, Fall semester 1979.  
Gordon, William, Associate Professor, Department of English,  
College of Arts and Sciences, Leave without pay, Spring semester  
1979.  
Hartman, Judy Marie, County Extension Agent for Home Economics,  
Extension, Home Economics, College of Agriculture, Sabbatical  
leave with half-salary, 1/1/79 through 6/30/79 and Leave without  
pay, 7/1/79 through 12/31/79.  
Hutchinson, John, Professor, Department of Civil Engineering, College  
of Engineering, Sabbatical leave with full-salary, Spring  
semester 1979.  
Jansen, William H., Professor, Department of English, College of  
Arts and Sciences, Sick leave with pay, 9/29/78 through 12/31/78.  
Nelson, Daniel, Assistant Professor, Department of Political Science,  
College of Arts and Sciences, Leave without pay, Spring semester  
1979.  
Newberry, Anthony, Assistant Professor in the Community College System,  
Jefferson Community College, Leave without pay, 1/1/79 through  
6/30/79.  
Olson, Robert W., Associate Professor, Department of History, College  
of Arts and Sciences, Sabbatical leave with full salary, Fall  
semester 1979.  
Webb, Betty Ray, Area Home Furnishings Agent, Extension for Home  
Economics, College of Agriculture, Sabbatical leave with half-  
salary, 10/2/78 through 9/3/79.

\*Special Title Series

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Action taken: Approved \_\_\_\_\_ Disapproved \_\_\_\_\_ Other \_\_\_\_\_  
Date: \_\_\_\_\_ October 17 \_\_\_\_\_, 1978. Approved with  
correction noted  
on page 2



Office of the President  
October 17, 1978

PR3

Members, Executive Committee, Board of Trustees:

SUPPLEMENTAL RECOMMENDATIONS OF THE PRESIDENT

I. Appointments and/or Other Staff Changes

Recommendations: (1) that approval be given to the appointment and/or other changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The following recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate vice president through the President and have his concurrence.

Under the Governing Regulations the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

BOARD ACTION

Administrative Appointment

Schmauss, David, Executive Director of the University Hospital, effective October 1978- President authorized to sign employment contract.

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Action: Approved XX Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: October 17, 1978.

PR 4

Members, Executive Committee, Board of Trustees:

1978-79 BUDGET REVISIONS

Recommendation: that the following revisions in the 1978-79 budget be authorized and approved.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
<b>A. <u>General Fund</u></b>			
<b>1. Income Estimates</b>			
State Appropriation	\$112,474,500	\$112,590,300	\$ 115,800
Federal Appropriations			
Agricultural Experiment Station	2,960,200	3,147,500	187,300
Fund Balances	6,149,100	7,242,900	<u>1,093,800</u>
			1,396,900
<b>2. Expenditures</b>			
Academic Affairs			
Agricultural Experiment Station	9,433,000	9,620,300	187,300
Administration and Support			
Developmental Studies Program	-0-	9,600	9,600
Community College System			
Developmental Studies Program	-0-	106,200	106,200
University-Wide			
Nonrecurring Funds			
Prior Year Encumbrances	-0-	166,800	166,800
Renovation	3,296,500	4,223,500	<u>927,000</u>
			1,396,900
<b>3. <u>Comments</u> - An increase in state funds will support the Developmental Studies program for the Community College System and Academic Affairs. Additional federal appropriations will fund a budget increase for the Agricultural Experiment Station. Appropriated fund balance will support additional renovation and prior year encumbrance expenditures.</b>			

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
<b>B. Restricted Funds</b>			
1. Income Estimates	\$13,201,900	\$13,227,200	\$25,300
2. Expenditures			
Academic Affairs			
College of Arts and Sciences			
Geology	26,600	31,800	5,200
College of Business and Economics			
Business Admin- istration	3,000	4,300	1,300
Medical Center			
College of Medicine			
Surgery	12,500	17,500	5,000
University-Wide			
Annual Giving Fund	-0-	800	800
General Library	53,000	59,000	6,000
Student Aid			
Gifts and Grants for Scholarships			
General Scholarships	382,100	389,100	<u>7,000</u>
			<u>25,300</u>
3. <u>Comments</u> - Income restricted for the purposes indicated above will fund the proposed additional expenditures.			

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Action taken: Approved xx Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: October 17, 1978

Office of the President  
October 17, 1978

PR5

Members, Executive Committee, Board of Trustees

APPOINTMENT OF MEDICAL STAFF, UNIVERSITY HOSPITAL

Recommendation: That the following appointments to the Medical Staff, University Hospital, be approved, including the delineation of clinical privileges, effective through June 30, 1979, except where noted.

DIAGNOSTIC RADIOLOGY

<u>Full-time</u>	<u>Class</u>
Stufflebaum, Bradley C.	III

MEDICINE

<u>Voluntary</u>	
Cooper, Robert M.	II
<u>Full-time</u>	
Kistler, Lane A.	III
Kraman, Steve S.	III
McDonagh, Dennis J.	III
<u>Part-time</u>	
Mayer, Lloyd D.	I

HOUSE STAFF  
Effective 9/11/78 - 10/22/78

Department of Surgery

Edwards, Fred H.	I
Greenlee, Thomas H.	I
Yates, Neil	I

Background: According to the Bylaws of the Medical Staff, University Hospital, approved by the Board of Trustees on April 4, 1978, the Board or its Executive Committee must approve appointments to the Medical Staff, including delineation of clinical privileges. Clinical privileges are delineated according to Class I, Class II, and Class III.

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Action taken: Approved XX Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: October 17, 1978

Office of the President  
October 17, 1978

PR6

Members, Executive Committee, Board of Trustees:

CAPITAL CONSTRUCTION PROJECT

Recommendation: that the Board approve for submission to the Council on Higher Education a capital construction project for renovation of the Obstetrics Unit, Third Floor, University of Kentucky Medical Center Hospital.

Background: The Labor and Delivery Area of the University Hospital was designed to accommodate 1800 patients per year. At the present time, 2200 patients are accommodated each year, and it is predicted that the patient load will be 2900 patients by 1982. The proposed project calls for the renovation of 5890 square feet of space. Total cost of the project is \$300,900, and funds are available in the University's 1978-79 renovation budget.

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Action taken:    Approved        XX        Disapproved                Other            

Date:     October 17    , 1978

Office of the President  
October 17, 1978

PR7

Members, Executive Committee, Board of Trustees:

UK-UL COORDINATING COMMITTEE  
FOR THE HEALTH SCIENCES

Recommendation: that the Board of Trustees of the University of Kentucky affirm the action of President Singletary, who in 1976 joined with University of Louisville President James G. Miller, to create a coordinating committee for the health sciences between the University of Kentucky and the University of Louisville. The Board of Trustees supports a re-emphasis of this coordinating responsibility and recognizes the participation of the Council on Higher Education, as appropriate, in that activity.

Background: Over the past two years, personnel of the University of Kentucky and the University of Louisville have held a series of meetings for the purpose of improving the coordination of programs between the two institutions. They have successfully addressed a number of issues, such as malpractice insurance, practice plan development, hospital governance, nursing education, extramural education program development, capitation, pharmacy education, and selective admissions.

The Board recognizes that this coordination is a dynamic and ongoing process and that formal reporting of the activities of this committee is desirable. It is anticipated that reports will be prepared and submitted to the Health Sciences Advisory Committee of the Council on Higher Education and, ultimately, to the full Council in the future.

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Action taken: Approved XX Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: October 17, 1978

Office of the President  
October 17, 1978

PR8

Members, Executive Committee, Board of Trustees:

ACTIVATION OF THE COUNCIL OF SUPERVISORS,  
UNIVERSITY HOSPITAL, AND  
AMENDMENTS TO BY-LAWS OF THE MEDICAL STAFF

Recommendations:

1. That the Board approve amendments to the By-Laws of the Medical Staff which:

(a) Insert the words "Council of Supervisors" in place of the words "Board of Trustees" in the following sections: Article IV, Section 2c, 2d, 2d(i), 2d(ii), 2d(iii), 2e, 2f, 3f, 3g, 3g(i), 3g(ii), 3g(iii), Article V, Section 1b, Article VII, Section 1a, 2a, Article IX, Section 3a, Article X, Section 2a, 2b, 2b(3), 2c, Article XIV.

(b) Provide grammatical corrections not affecting substance in the following sections: Definitions #3, Article V, Section 1d, Article VII, Section 1, Article X, Section 2b.

(c) Include the Council of Supervisors as a participant in the decision making process relating to appointments and disciplinary actions involving members of the medical staff, appointments of certain chiefs of clinical services, the adoption of policies relating to JCAH accreditation and amendments to the By-Laws of the Medical Staff as provided in: Article IV Section 1a, Article V Section 2a, Article IX Section 2, and 5b, Article X Section 2b(1), Article XV and Article XVI.

2. That the Board amend the Rules and Regulations of the Medical Staff by deleting those provisions of Section 2. C. 2 providing for the suspension of medical staff for deficiencies in medical records.

3. That the Board delegate the functions provided to be exercised by the Council of Supervisors in the aforementioned amendments to the said Council.



Background:

On April 4, 1978, the Board approved, in principle, the By-Laws of the Council of Supervisors and the By-Laws of the Medical Staff with the understanding that subsequent action would be recommended to provide for actual appointments to the Board of Supervisors and the delegation of certain functions to it. The effect of the delegation contained in recommendation No. 3 above will be to empower the Council of Supervisors to take final action on applications for clinical privileges and appointments to the Medical Staff. In addition, the Council will be included among those who may initiate corrective action against members of the Medical Staff, will be empowered to participate in the appointment of certain chiefs of clinical service, will receive recommendations from the Hospital Clinical Board on medical policy and programs and will give final approval to the rules and regulations of the Medical Staff. Further amendments to the By-Laws of the Medical Staff will, however, continue to require approval of the Board of Trustees.

The primary reason for the creation of a Council of Supervisors, as stated in the Board's action of April 4, 1978, is to provide a mechanism for more closely monitoring the quality of patient care and to insure compliance with applicable Federal and State law and the requirements of the Joint Commission on Accreditation of Hospitals.

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Action taken: Approved XX Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: October 17, 1978.

Office of the President  
October 17, 1978

FCR 1

Members, Executive Committee, Board of Trustees:

INTERIM FINANCIAL REPORT

Recommendation: that the financial report for the two months ending August 31, 1978, be accepted.

Background: The August 1978, report reflects income of \$52,536,336 for the period of the report; the income figure is 24% of the annual income estimate of \$218,555,927.

The expenditures and commitments represent a total of \$32,153,362 for this period of two months, or 15% of the expenditure authority of \$218,555,927 approved by the Board.

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Action taken: Approved  Disapproved  Other

Date: October 17, 1978

University of Kentucky  
Balance Sheet  
August 31, 1978  
With Comparative Figures for 1977-78

ASSETS

	<u>1978-1979</u>	<u>1977-1978</u>
<b>Current Funds:</b>		
<b>Unrestricted:</b>		
Cash in banks:		
Depository funds	\$ 405,419	\$ 61,037
Federal funds		1,080,299
Cash on deposit with State Treasurer:		
Trust and agency funds	456,871	2,470,622
General fund appropriation	8,454,275	2,476,032
Total cash	<u>9,316,565</u>	<u>6,087,990</u>
Due from other funds	8,416,777	6,802,803
Accounts receivable	5,539,460	5,070,115
Notes receivable	72,094	72,094
Investment-short term	15,851,264	12,803,904
Prepaid expenses	23,657	
Inventories	3,715,325	3,691,533
Unrealized income (Schedule 5)	<u>111,099,416</u>	<u>101,079,739</u>
Total unrestricted	<u>154,034,558</u>	<u>135,608,178</u>
<b>Restricted:</b>		
Cash in banks	1,352,337	5,449,123
Cash on deposit with State Treasurer	1,868,044	2,105,681
Total cash	<u>3,220,381</u>	<u>7,554,804</u>
Petty cash advances	424,595	462,860
Travel advances	169,838	183,366
Accounts receivable	101,471	15,708
Accrued interest receivable	156,988	
Investments:		
Short-term (Schedule 7)	7,310,000	3,310,000
Other	249,001	246,324
Unrealized income:		
Restricted (Schedule 5)	5,761,672	5,697,037
Tobacco Research Trust Fund (Schedule 5)	<u>3,816,544</u>	<u>3,187,878</u>
Total restricted	<u>21,210,490</u>	<u>20,657,977</u>

University of Kentucky  
Balance Sheet  
August 31, 1978  
With Comparative Figures for 1977-78

LIABILITIES AND FUND BALANCES

	<u>1978-1979</u>	<u>1977-1978</u>
<b>Current Funds:</b>		
<b>Unrestricted:</b>		
Outstanding encumbrances	\$ 4,630,357	\$ 4,021,040
Accounts payable-county funds	84,203	58,406
Due to Federal government		649,157
Accrued expenses	891,000	751,000
Advance from state for imprest cash fund	1,300,000	1,300,000
Refundable deposits	7,771	
Deferred Income	969,426	1,571,837
Accrued vacation	2,600,000	2,400,000
Unencumbered balance, departmental appropriations (Schedule 6)	132,393,615	117,434,286
Fund balances:		
Allocated for working capital (Schedule 2)	<u>11,158,186</u>	<u>7,422,452</u>
<b>Total unrestricted</b>	<u>154,034,558</u>	<u>135,608,178</u>
<b>Restricted:</b>		
General Fund collections	2,168,198	1,692,184
Outstanding encumbrances	507,306	1,031,040
Due to other funds and affiliated corporations	3,308,885	3,658,859
Advance from state for imprest cash fund	50,000	50,000
Refundable deposits	58,541	
Outstanding check liability	83,042	86,612
Unencumbered balance, departmental appropriations (Schedule 6)	5,245,599	5,401,049
Unencumbered balance, Tobacco Research Trust Fund		
Appropriation (Schedule 6)	3,779,212	3,483,104
Fund balances:		
Restricted fund (Schedule 2)	4,931,374	4,515,656
Tobacco Research Trust Fund-unallocated funds (Schedule 2)	<u>1,078,333</u>	<u>739,473</u>
<b>Total restricted</b>	<u>21,210,490</u>	<u>20,657,977</u>

University of Kentucky  
Balance Sheet  
August 31, 1978

With Comparative Figures for 1977-78

	1977-1978	1978-1979
<u>ASSETS</u>		
Hospital and clinics:		
Due from other funds and affiliated corporations	3,415,169	691,548
Accounts receivable, net of allowance for doubtful account of \$3,119,469 in 1978 and \$2,698,456 in 1977	5,906,049	8,553,090
Inventories	581,198	731,679
Unrealized income (Schedule 5)	24,340,358	30,432,893
Total hospital and clinics	<u>34,242,774</u>	<u>40,409,210</u>
Housing and dining system:		
Cash in bank	329,064	1,680,993
Accounts receivable	436,549	404,192
Investments - short term	2,196,096	1,839,878
Inventories	1,171,683	1,315,766
Prepaid expenses	21,996	40,041
Total housing and dining system	<u>4,155,388</u>	<u>5,280,870</u>
Auxiliary enterprises:		
Cash in banks	151,038	209,077
Cash on deposit with State Treasurer	924,966	706,710
Total cash	<u>1,076,004</u>	<u>915,787</u>
Accounts receivable	14,124	10,039
Inventories	480,137	474,524
Unrealized income (Schedule 5)	3,034,017	3,355,875
Total auxiliary enterprises	<u>4,604,282</u>	<u>4,756,225</u>
<b>TOTAL CURRENT FUNDS</b>	<u>\$199,268,599</u>	<u>\$ 225,691,353</u>

University of Kentucky  
Balance Sheet  
August 31, 1978  
With Comparative Figures for 1977-78

	<u>1978-1979</u>	<u>1977-1978</u>
<b>LIABILITIES AND FUND BALANCES</b>		
Hospital and clinics:		
Outstanding encumbrances	744,934	212,560
Accrued vacation	430,000	400,000
Unexpended balance, departmental appropriation (Schedule 6)	31,079,557	27,850,016
Fund balances - allocated for working capital (Schedule 2)	<u>8,154,719</u>	<u>5,780,198</u>
<b>Total hospital and clinics</b>	<u>40,409,210</u>	<u>34,242,774</u>
Housing and dining system:		
Accrued expenses	80,339	142,692
Accrued wages	152,432	50,000
Accrued vacation	55,000	148,900
Accounts payable	192,284	870,470
Due to other funds and affiliated corporations	979,100	32,270
Refundable deposits	49,627	3,048,788
Deferred income	3,746,645	
Fund balances:		
Allocated for future operating purposes (Schedule 2)	25,443	(137,732)
Total housing and dining system	<u>5,280,870</u>	<u>4,155,388</u>
Auxiliary enterprises:		
Outstanding encumbrances	507,471	256,765
Due to other funds and affiliated corporations	532,515	674,043
Unencumbered balance, departmental appropriation (Schedule 6)	2,614,327	2,516,712
Fund balances - allocated:		
Working capital	484,562	494,261
Future operating purposes	617,350	662,501
Total fund balance (Schedule 2)	<u>1,101,912</u>	<u>1,156,762</u>
<b>Total auxiliary enterprises</b>	<u>4,756,225</u>	<u>4,604,282</u>
<b>TOTAL CURRENT FUNDS</b>	<u>\$ 225,691,353</u>	<u>\$ 199,268,599</u>

Schedule 1

University of Kentucky  
 Balance Sheet  
 August 31, 1978  
 With Comparative Figures for 1977-78

ASSETS

	<u>1978-79</u>	<u>1977-78</u>
<b>Loan Funds:</b>		
Cash in bank	\$ 940,447	\$ 566,686
Notes receivable, net allowance for doubtful accounts of \$580,859	10,071,093	9,532,610
Due from other funds and affiliated corporations	<u>2,000</u>	<u>299,856</u>
Due from United Student Aid loan fund		<u>2,000</u>
<b>TOTAL LOAN FUNDS</b>	<u>\$ 11,013,540</u>	<u>\$ 10,401,152</u>
<b>Endowment Funds:</b>		
Due from other funds	\$ 1,000	\$ 29,902
Investments	<u>3,731,384</u>	<u>3,202,233</u>
<b>TOTAL ENDOWMENT FUNDS</b>	<u>\$ 3,732,384</u>	<u>\$ 3,232,135</u>
<b>Plant Funds:</b>		
Unexpended:		
Cash on deposit with State Treasurer:		
Trust and agency	\$ 3,087,181	\$ 4,268,356
Capital and construction funds	<u>18,632,531</u>	<u>22,811,588</u>
Total cash on deposit with State Treasurer	<u>21,719,712</u>	<u>27,079,944</u>
Due from other funds and affiliated corporations	1,382,874	236,028
Due from Federal Government	595,677	
Construction in progress	17,036,258	13,413,764
Funded future construction	<u>6,050,325</u>	<u>24,545,484</u>
<b>Total unexpended plant funds</b>	<u>46,784,846</u>	<u>65,275,220</u>

University of Kentucky  
Balance Sheet  
August 31, 1978  
With Comparative Figures for 1977-78

LIABILITIES AND FUND BALANCES

	<u>1978-79</u>	<u>1977-78</u>
Loan Funds:		
Federal sponsored loan funds:		
Federal Government	\$ 8,598,342	\$ 8,324,180
University matching funds	952,840	859,833
Accumulated interest income	<u>905,622</u>	<u>780,399</u>
Total sponsored loan funds	<u>10,456,804</u>	<u>9,964,412</u>
University loan funds:		
University student aid funds	87,847	78,339
University special student loan funds	466,889	356,401
United Student Aid loan funds	<u>2,000</u>	<u>2,000</u>
Total University loan funds	<u>556,736</u>	<u>436,740</u>
TOTAL LOAN FUNDS	<u>\$ 11,013,540</u>	<u>\$ 10,401,152</u>
Endowment Funds:		
Fund balances	<u>\$ 3,732,384</u>	<u>\$ 3,232,135</u>
TOTAL ENDOWMENT FUNDS	<u>\$ 3,732,384</u>	<u>\$ 3,232,135</u>
Plant Funds:		
Unexpended:		
Outstanding encumbrances	\$ 14,061,187	\$ 11,327,092
Bonds payable	8,367,167	8,376,442
Appropriations-unencumbered balances	8,820,851	25,166,301
Fund balances allocated for construction-renovation	<u>15,535,641</u>	<u>20,405,385</u>
Total unexpended plant funds	<u>46,784,846</u>	<u>65,275,220</u>



Schedule 1

University of Kentucky  
Balance Sheet  
August 31, 1978

With Comparative Figures for 1977-78

ASSETS

	<u>1978-79</u>	<u>1977-78</u>
<b>Renewal and replacements:</b>		
Cash on deposit with Trustees	17,354	10,154
Cash on deposit with State Treasurer	327,332	236,149
Accrued Interest Receivable	14,015	13,152
Investments held by Trustees	<u>967,529</u>	<u>932,416</u>
<b>Total renewal and replacements</b>	<u>1,326,230</u>	<u>1,191,871</u>
<b>Retirement of indebtedness:</b>		
Cash on deposit with State Treasurer	50,000	50,000
Cash on deposit with Trustees	100,919	48,438
Accrued Interest Receivable	129,750	148,928
Investments held by Trustees	<u>43,007,717</u>	<u>44,645,288</u>
<b>Total retirement of indebtedness</b>	<u>43,288,386</u>	<u>44,892,654</u>
<b>Investment in plant:</b>		
Land	17,112,093	16,447,306
Buildings	234,170,867	232,850,707
Equipment	<u>78,556,139</u>	<u>71,033,420</u>
<b>Total investment in plant</b>	<u>329,839,099</u>	<u>320,331,433</u>
<b>TOTAL PLANT FUNDS</b>	<u>\$ 421,238,561</u>	<u>\$ 431,691,178</u>
<b>Agency Funds:</b>		
Cash in bank		\$ 407,245
Accounts Receivable	788,386	
Investments short-term	<u>18,000</u>	<u>18,000</u>
<b>TOTAL AGENCY FUNDS</b>	<u>\$ 806,386</u>	<u>\$ 425,245</u>

University of Kentucky  
Balance Sheet  
August 31, 1978  
With Comparative Figures for 1977-78

LIABILITIES AND FUND BALANCES

	<u>1978-79</u>	<u>1977-78</u>
Renewal and replacements:		
Due to other funds and affiliated corporations	86,775	83,903
Outstanding Encumbrances	129,084	45,639
Fund balances:		
Restricted	822,570	830,000
Unrestricted	287,801	232,329
Total fund balances	<u>1,110,371</u>	<u>1,062,329</u>
Total renewal and replacements	<u>1,326,230</u>	<u>1,191,871</u>
Retirement of indebtedness:		
Bonds payable	32,962,000	33,095,000
Fund balances	10,326,386	11,797,654
Total retirement of indebtedness	<u>43,288,386</u>	<u>44,892,654</u>
Investment in plant:		
Bonds payable	98,327,333	100,459,558
Lease purchase obligation	5,155,000	5,165,000
Net Investment in plant	226,356,766	214,706,875
Total investment in plant	<u>329,839,099</u>	<u>320,331,433</u>
TOTAL PLANT FUNDS	<u>\$ 421,238,561</u>	<u>\$ 431,691,178</u>
Agency Funds:		
Outstanding Encumbrances	\$ 7,384	\$ 93,379
Due to other funds and affiliated corporations	541,562	331,866
Funds held in custody for others	257,440	331,866
TOTAL AGENCY FUNDS	<u>\$ 806,386</u>	<u>\$ 425,245</u>

Schedule 2

University of Kentucky  
 Schedule of Changes in Fund Balances-Current Funds  
 Two Months Ended August 31, 1978

	Unrestricted Allocated	Restricted	Tobacco Research Trust Fund	Hospitals and Clinics	Housing Dining System Funds for Future Operating Purposes	Auxiliary Enterprises
Balances July 1, 1978	\$ 17,071,986	\$ 4,931,374	\$ 1,078,333	\$ 8,154,719	\$ 288,380	\$ 1,101,912
Allocations:						
Current operations:						
Original	(5,913,800)					
Current year operation					(262,937)	
BALANCES AUGUST 31, 1978	\$ 11,158,186	\$ 4,931,374	\$ 1,078,333	\$ 8,154,719	\$ 25,443	\$ 1,101,912

Schedule of Change in Unrestricted Fund and  
Hospital Estimated Income  
For Two Months Ended August 31, 1978

	<u>Original Budget</u>	<u>Revisions</u>	<u>Adjusted Budget</u>
<b>Unrestricted Funds:</b>			
Student fees	\$ 21,255,900		\$ 21,255,900
State appropriations	105,882,355		105,882,355
Federal appropriations	10,370,860		10,370,860
County appropriations	1,787,200		1,787,200
Endowment and trust fund income	177,900		177,900
Investment income	1,835,000		1,835,000
Gifts and grants - affiliated corporation	3,643,375		3,643,375
Gifts and grants - other	1,028,770		1,028,770
Sales, services, and other income	4,735,000		4,735,000
Fund balances allocated	<u>5,913,800</u>		<u>5,913,800</u>
Total unrestricted	<u>\$ 156,630,160</u>		<u>\$ 156,630,160</u>
<b>Hospital and clinics:</b>			
State appropriations	\$ 6,592,145		\$ 6,592,145
Hospital income	<u>28,229,050</u>		<u>28,229,050</u>
Total hospital and clinics	<u>\$ 34,821,195</u>		<u>\$ 34,821,195</u>

Schedule 4

Schedule of Changes in Unrestricted Funds  
and Hospital Expenditure Appropriations  
For the Two Months Ended August 31, 1978

	<u>Unrestricted</u>	<u>Hospitals and Clinics</u>	<u>Total</u>
Original budget	\$ 156,630,160	\$ 34,821,195	\$ 191,451,355
Increases (decreases) as approved by the Board of Trustees: July and August, 1978			
Total per report	<u>\$ 156,630,160</u>	<u>\$ 34,821,195</u>	<u>\$ 191,451,355</u>

University of Kentucky  
 Summary of Current Revenues and Appropriated Balances  
 Two Months Ended August 31, 1978  
 With Comparative Figures for 1977-78

	1978-1979			1977-1978		
	Estimated for the Year	Realized to Date	% Realized to Date	Estimated for the Year	Realized to Date	% Realized to Date
<b>Fund and Source:</b>						
<b>Unrestricted:</b>						
Student fees	\$ 21,255,900	\$ 7,428,268	35	\$ 20,321,300	\$ 6,161,930	30
Federal appropriations	10,370,860	932,719	9	10,099,583	1,443,398	14
County appropriations	1,787,200	158,562	9	1,577,968	265,226	17
Endowment and trust fund income	177,900			162,000		
Investment income	1,835,000	78,725	4	1,835,691	3,636	
Gifts and grants:						
Affiliated corporations	3,643,375			7,019,800	19,718	
Other	1,028,770	39,965	4	472,322	83,436	2
Sales, services, other income	4,735,000	718,336	15	4,212,890	730,268	17
<b>Subtotal</b>	<u>44,834,005</u>	<u>9,356,575</u>	<u>21</u>	<u>45,701,554</u>	<u>8,707,612</u>	<u>19</u>
State appropriations	105,882,355	30,260,369	29	88,732,324	24,646,527	28
Fund balances appropriated	5,913,800	5,913,800	100	4,276,712	4,276,712	100
<b>Total unrestricted</b>	<u>156,630,160</u>	<u>45,530,744</u>	<u>29</u>	<u>138,710,590</u>	<u>37,630,851</u>	<u>27</u>
Restricted	6,160,477	398,805	6	6,373,654	676,617	11
Tobacco Research Trust Fund:						
Receipts	4,360,000	543,456	12	3,800,000	612,122	16
Fund balances appropriated				700,000	700,000	100
<b>Total Tobacco Research Trust Fund</b>	<u>4,360,000</u>	<u>543,456</u>	<u>12</u>	<u>4,500,000</u>	<u>1,312,122</u>	<u>29</u>
Hospital and Clinics:						
State appropriations	6,592,145	1,651,507	25	5,102,676	1,379,373	27
Hospital earned income-net	28,229,050	2,736,795	10	25,299,100	4,682,045	18
Fund balances appropriated				418,305	418,305	100
<b>Total Hospital and Clinics</b>	<u>34,821,195</u>	<u>4,388,302</u>	<u>13</u>	<u>30,820,081</u>	<u>6,479,723</u>	<u>21</u>
Housing and Dining System	12,794,945	1,241,753	10	11,544,925	960,096	8
Auxiliary Enterprises	3,789,150	433,275	11	3,420,010	385,993	11
<b>TOTAL CURRENT FUNDS AND APPROPRIATED BALANCES</b>	<u>\$ 218,555,927</u>	<u>\$ 52,536,335</u>	<u>24</u>	<u>\$ 195,369,260</u>	<u>\$ 47,445,402</u>	<u>24</u>

University of Kentucky  
 Summary of Current Funds Expenditures  
 Two Months Ended August 31, 1978  
 With Comparative Figures for 1977-1978

Fund or Function:	1978-1979			1977-1978		
	Appropriation for the Year	Expended Encumbered to Date	% Expended Encumbered to Date	Appropriation for the Year	Expended Encumbered to Date	% Expended Encumbered to Date
<u>Unrestricted</u>						
Departments of instruction and research	\$ 60,121,027	\$ 9,671,610	16	\$ 56,069,318	\$ 7,267,249	13
Summer session - 1978	1,271,886	1,036,104	81	1,151,694	1,001,138	87
Organized activities	1,464,910	235,630	16	1,363,706	193,760	14
Organized research	12,562,938	2,804,164	22	10,824,970	2,312,496	21
Libraries	4,868,975	961,271	20	3,686,723	858,086	23
Extension and public service	17,712,159	2,886,200	16	14,310,016	2,346,151	16
Maintenance and operation of plant	12,830,837	2,515,098	20	10,604,100	2,025,110	19
Renovation, office furniture, etc.	3,398,700	24,913	1	1,847,300	521,200	28
General administration	7,436,473	927,258	12	5,817,860	942,608	16
Student services	4,440,303	795,142	18	4,019,750	561,793	14
Staff benefits	15,061,901	653,283	4	14,609,510	2,023,692	14
General institutional services	6,733,514	1,264,098	19	6,006,497	925,482	15
Service enterprises	180,517	131,959	73	157,638	111,645	71
Debt service cost	5,925,500			5,925,500		
Student aid	1,763,171	329,815	19	1,488,740	185,894	12
Reserves	857,349			827,268		
<b>Total unrestricted</b>	<b>156,630,160</b>	<b>24,236,545</b>	<b>15</b>	<b>138,710,590</b>	<b>21,276,304</b>	<b>15</b>

University of Kentucky  
 Summary of Current Funds Expenditures  
 Two Months Ended August 31, 1978  
 With Comparative Figures for 1977-1978

Fund or Function:	1978-1979			1977-1978		
	Appropriation for the Year	Expended Encumbered to Date	% Expended Encumbered to Date	Appropriation for the Year	Expended Encumbered to Date	% Expended Encumbered to Date
Restricted:						
Departments of instruction and research	1,469,605	349,123	24	1,536,280	491,405	32
Organized activities	112,849	27,340	24	52,701	1,348	3
Organized research	187,487	26,230	14	247,660	34,522	14
Libraries	39,942	2,095	5	28,010	1,857	7
Extension and public services	13,978	7,583	54	59,800	11,108	19
General institutional services	364,125	107,623	30	336,098	56,955	17
Student aid	815,774	394,884	48	507,276	375,410	74
Reserves	3,156,717			3,605,829		
Total restricted	<u>6,160,477</u>	<u>914,878</u>	<u>15</u>	<u>6,373,654</u>	<u>972,605</u>	<u>15</u>
Tobacco Research Trust Fund	4,360,000	580,788	13	4,500,000	1,016,896	23
Hospital and Clinics	34,821,195	3,741,638	11	30,820,081	2,970,065	10
Housing and Dining System	12,794,945	1,504,690	12	11,544,925	1,338,755	12
Auxiliary Enterprises	3,789,150	1,174,823	31	3,420,010	903,298	26
<b>TOTAL CURRENT FUND EXPENDITURES</b>	<u>\$218,555,927</u>	<u>\$ 32,153,362</u>	<u>15</u>	<u>\$195,369,260</u>	<u>\$ 28,477,923</u>	<u>15</u>



University of Kentucky  
 Schedule of Short Term Investments  
 August 31, 1978

	Maturity Value	Cost
<b>Restricted Current Fund:</b>		
Government securities:		
FICB, 7.15%, 1/2/79	\$ 300,000	\$ 300,000
PHLB, 6.70%, 11/25/80	125,000	125,000
U. S. Treasury Notes, 7.875%, 5/15/86	485,000	485,000
		<u>910,000</u>
Total government securities		
Certificates of deposit:		
Second National Bank & Trust Company, 6.88%, 9/15/78	500,000	500,000
First Security National Bank & Trust Company, 7.95%, 9/25/78	1,000,000	1,000,000
Bank of Lexington, 7.20%, 10/11/78	400,000	400,000
Bank of Lexington, 7.65%, 12/20/78	1,000,000	1,000,000
First Security National Bank & Trust Company, 7.61%, 3/29/79	1,500,000	1,500,000
First Security National Bank & Trust Company, 7.75%, 4/12/79	1,000,000	1,000,000
First Security National Bank & Trust Company, 8.91%, 6/28/79	500,000	500,000
First Security National Bank & Trust Company, 8.91%, 7/25/79	500,000	500,000
		<u>6,400,000</u>
Total certificates of deposit		
		<u>\$ 7,310,000</u>
<b>TOTAL RESTRICTED FUNDS</b>		
<b>Agency Funds:</b>		
Government securities:		
U. S. Treasury Notes, 7.87%, 5/15/86	\$ 15,000	\$ 15,000
Certificates of deposit:		
First Security National Bank & Trust Company, 5.5%, 9/2/78	3,000	3,000
		<u>3,000</u>
<b>TOTAL AGENCY FUNDS</b>		
		<u>\$ 18,000</u>

Schedule 8

University of Kentucky  
 Schedule of Retirement of Indebtedness Funds  
 For the Two Months Ended August 31, 1978

Source of Funds:	<u>Estimated</u>	<u>Realized</u>	<u>% Realized</u>
Unrestricted current funds	\$ 5,925,500		
Housing and dining system funds	1,474,692		
Auxiliary enterprises current funds	<u>170,959</u>		
<b>TOTAL RETIREMENT OF INDEBTEDNESS FUNDS</b>	<u>\$ 7,571,151</u>		
	<u>Appropriated</u>	<u>Expended</u>	<u>% Expended</u>
Debt Service:			
Unrestricted funds debt:			
Consolidated Educational Buildings-Series A	\$ 506,775		
Consolidated Educational Buildings-Series B	468,807		
Consolidated Educational Buildings-Series C	234,496		
Consolidated Educational Buildings-Series D	2,445,140		
Consolidated Educational Buildings-Series E	271,512		
Consolidated Educational Buildings-Series F	565,063		
Community Colleges Educational Buildings-Series A	846,525		
Community Colleges Educational Buildings-Series B	197,350		
Community Colleges Educational Buildings-Series C	<u>389,932</u>		
Subtotal	<u>5,925,500</u>		
Housing and Dining System Revenue Bonds-Series A-I	<u>1,474,692</u>		

University of Kentucky  
 Schedule of Retirement of Indebtedness Funds  
 For the Two Months Ended August 31, 1978

Auxiliary Enterprises:	<u>Appropriated</u>	<u>Expended</u>	<u>% Expended</u>
Chi Omega	2,725		
Alpha Delta Pi	12,733		
Dorms A-F	39,195		
Dorms G-L	50,598		
Phi Delta Theta	8,320		
Delta Delta Delta	4,850		
Alpha Tau Omega	8,500		
Housing Bonds of 1960	13,750		
Student Housing Bonds of 1964	30,288		
Subtotal	<u>170,959</u>		
TOTAL RETIREMENT OF INDEBTEDNESS FUNDS	<u>\$ 7,571,151</u>		

University of Kentucky  
 Schedule of Sinking Funds  
 August 31, 1978

Schedule 9

	<u>Total Sinking Fund</u>	<u>Bonds Payable</u>	<u>Fund Balances</u>
<u>Bond Issue with Reserves Fully Funded:</u>			
Housing and Dining System Revenue Bond and Interest Sinking Fund	\$ 1,742,612		\$ 1,742,612
Consolidated Educational Buildings Project and Interest Sinking Fund:			
Series A	629,139		629,139
Series B	629,663		629,663
Series C	224,472		224,472
Series D	2,300,120		2,300,120
Series E	271,772		271,772
Series F	603,306		603,306
101,446	101,446		101,446
Student Housing Bond and Interest Sinking Fund of 1964			
Community College Educational Buildings Project Bond and Interest			
Sinking Fund:			
Series A	874,929		874,929
Series B	187,104		187,104
Series C	374,287		374,287
Student Housing Bond and Interest Sinking Fund of 1960	71,631		71,631
Dormitory Revenue Bonds of 1956	50,000		50,000
	<u>8,060,481</u>		<u>8,060,481</u>
<u>Total Bond Issues with Reserves Fully Funded</u>			
<u>Bonds Fully Funded by Escrow Accounts:</u>			
Keeneland, Cooperstown and Student Union Future Bond and			
Interest Fund	\$ 2,490,977	\$ 1,962,000	\$ 528,977
Consolidated Educational Series D Refunding Issue:			
Bonds Payable	31,000,000	31,000,000	
Premium on Sale of Bonds	839,150		839,150
Amortization of Discount on Investment	2,068,750		2,068,750
Investment Income	8,278,882		8,278,882
Interest Paid	(9,449,854)		(9,449,854)
	<u>32,736,928</u>	<u>31,000,000</u>	<u>1,736,928</u>
<u>Total Series D Refunding Issue</u>			
<u>TOTAL SINKING FUNDS</u>	<u>\$ 43,288,386</u>	<u>\$ 32,962,000</u>	<u>\$ 10,326,386</u>

University of Kentucky  
 Schedule of Renewal and Replacement  
 For the Two Months Ended August 31, 1978

	<u>Restricted</u>	<u>Unrestricted</u>	<u>Total Fund Balances</u>
Repair and Maintenance Reserves:			
Housing and Dining System	\$ 750,000	\$ 166,286	\$ 916,286
Student Housing Buildings of 1964	42,570		42,570
Student Housing Buildings of 1960	<u>30,000</u>	<u>10,041</u>	<u>40,041</u>
Total repair and maintenance reserves	<u>822,570</u>	<u>176,327</u>	<u>998,897</u>
Renewal and Replacement Funds:			
Housing and Dining Funds		654	654
Other Auxiliary Enterprises		<u>110,820</u>	<u>110,820</u>
Total renewal and replacement funds		<u>111,474</u>	<u>111,474</u>
GRAND TOTAL	<u>\$ 822,570</u>	<u>\$ 287,801</u>	<u>\$ 1,110,371</u>

Schedule 11

University of Kentucky  
 Summary of Other Fund Transactions  
 For the Two Months Ended August 31, 1978

	<u>Balances</u> <u>July 1, 1977</u>	<u>Net Additions/(Deductions)</u>	<u>Balances</u> <u>August 31, 1978</u>
<b>Loan Funds:</b>			
<b>Federal sponsored loan funds:</b>			
Federal government	\$ 8,107,260	\$ 491,082	\$ 8,598,342
University of Kentucky	898,388	54,452	952,840
Accumulated interest income	899,558	6,064	905,622
<b>University loan funds:</b>			
University student loan funds	87,825	22	87,847
University special student loan funds	465,804	1,085	466,889
United Student Aid loan funds	<u>2,000</u>		<u>2,000</u>
<b>TOTAL LOAN FUNDS</b>	<u>\$ 10,460,835</u>	<u>\$ 552,705</u>	<u>\$ 11,013,540</u>
<b>Endowment Funds</b>	<u>\$ 3,692,303</u>	<u>\$ 40,081</u>	<u>\$ 3,732,384</u>
<b>Agency Funds</b>	<u>\$ 208,411</u>	<u>\$ 49,029</u>	<u>\$ 257,440</u>

Office of the President  
October 17, 1978

FCR 2

Members, Executive Committee, Board of Trustees:

ACCEPTANCE OF UNIVERSITY OF KENTUCKY FINANCIAL REPORTS  
FOR THE YEAR ENDED JUNE 30, 1978, AND THE REPORT ON  
INTERNAL ACCOUNTING CONTROLS, JUNE 30, 1978

Recommendation: that the Board of Trustees accept the University of Kentucky Financial Reports, University of Kentucky Housing and Dining System Report, and the Report on Internal Accounting Controls for the year ended June 30, 1978.

Background: The Finance Committee of the University of Kentucky has reviewed the audited financial reports and related statements in conjunction with the administration of the University of Kentucky. These reports have been audited by Coopers & Lybrand, Certified Public Accountants. The Finance Committee presented these reports to the Board for its acceptance.

Note: The Financial Reports and the Report on Internal Accounting Controls are not included in the Minutes of the meeting, but are included in the official files.

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Action taken: Approved XX Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: October 17, 1978

Office of the President  
October 17, 1978

FCR3

Members, Executive Committee, Board of Trustees:

ACCEPTANCE OF AUDITS FOR THE FUND FOR ADVANCEMENT  
OF EDUCATION AND RESEARCH IN THE UNIVERSITY OF  
KENTUCKY MEDICAL CENTER, THE UNIVERSITY OF KENTUCKY  
RESEARCH FOUNDATION, UNIVERSITY OF KENTUCKY ATHLETIC  
ASSOCIATION, HEALTH CARE COLLECTION SERVICE, INC.,  
AND UNIVERSITY OF KENTUCKY CREDIT UNION

**Recommendation:** that the Board of Trustees accept the audited financial statements of The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, The University of Kentucky Research Foundation, University of Kentucky Athletic Association, Health Care Collection Service, Inc., and University of Kentucky Credit Union for the fiscal year ended June 30, 1978.

**Background:** The annual financial reports of the affiliated corporations of the University have been audited by the firm Coopers & Lybrand, Certified Public Accountants, and are presented to the Board for its acceptance. These reports will be submitted to the Boards of the above affiliated corporations at the next scheduled meeting.

**Note:** The Audits are not included in the Minutes of the meeting, but are included in the official files.

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Action taken: Approved xx Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: October 17, 1978