

UNIVERSITY OF KENTUCKY

LEXINGTON, KENTUCKY 40506-0032

UNIVERSITY SENATE COUNCIL
10 ADMINISTRATION BUILDING

1 September 1988

Loys Mother, Ch.
Dore Lee, Ch. Elect

TO: Members, University Senate

The University Senate will meet in regular session on Monday, September 19, 1988, at 3:00 p.m. in ROOM 115 of the Nursing Building (CON/HSLC). PLEASE NOTE: The Nursing Building is across Rose Street from the University Hospital and is connected with the Medical Plaza. Room 115 is at the north end of the building.

AGENDA:

- ✓ 1. Minutes.
- ✓ 2. Address by President David Roselle.
- ✓ 3. Resolutions.
- ✓ 4. Introduction of Senate Officers and Committee Chairs.
- ✓ 5. Chairman's Announcements and Remarks.
- ✓ 6. Academic Ombudsman's Report for the 1987-88 Academic Year: Professor William Fortune.
7. Introduction of new Academic Ombudsman: Professor William Moody.

Randall Dahl
Secretary

Note: If you are unable to attend this meeting, please contact Ms. Martha Sutton (7-7155) in advance. Thank you.

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MINUTES OF THE UNIVERSITY SENATE, SEPTEMBER 19, 1988

The University Senate met in regular session at 3:00 p.m., Monday, September 19, 1988, in room 115 of the Health Sciences Building.

Loys Mather, Chairman of the Senate Council, presided.

Members absent were: Troy Abner, James L. Applegate*, Ronald Atwood*, Michael Baer*, David Bingham*, William H. Blackburn*, Peter P. Bosomworth, Glen Buckner*, Keith Byers, Rutheford B Campbell, Jr., Mary Sue Coleman*, Audrey L. Companion, Frederick Danner, Joseph Elias*, Charles W. Ellinger, Michael Fraley, James Freeman*, Craig Friedman*, Richard W. Furst, Thomas C. Gray, John R. Groves, Pat Hart*, Eric Headley, Mehran Jahed, John Just*, Kenneth K. Kubota, William B. Lacy, Gerald Lemons, Linda Levstik*, Paul Mandelstam*, James R. Marsden*, George Mitchell, David A. Nash*, Arthur J. Nonneman*, Donell Nunez*, William N. O'Connon*, Dennis T. Officer, Deborah E. Powell, Mary Ann Quarles, Al Slusher, Glen R. Van Loon, Steven Weisenburger, Charles T. Wethington and H. David Wilson*.

Chairman Mather welcomed the members of the Senate and guests. He said that each year the Senate invites the President of the University, who is also President of the Senate, to address the Senate at its first meeting of the academic year. This year is no exception. What is different this year, however, is the fact that the University community was specifically invited to attend. Those who were here for the President's address last year will recall that he addressed the Senate, then remained for an extended period of time to respond to questions from the audience. Since it was such an interesting and informative session, the Senate Council asked the President whether he would concur in the Council extending an invitation this year to all members of the University community to attend, and he agreed.

In his remarks last year in introducing the President, William Lyons expressed his pleasure in the President's outstanding effort to communicate with the faculty. From what Chairman Mather has observed, the President has demonstrated in many ways his desire to keep the lines of communication open between the administration and the faculty. The Chairman added that after the President's first year in office he has generated considerable excitement around the campus and the state about his vision for the University, not only what it can become as an institution but also what it can contribute to the Commonwealth as the state's comprehensive, land-grant university. Chairman Mather said it was a great pleasure to welcome the President on behalf of the University Senate and the University community.

President Roselle's remarks follow:

Thank you. I have enjoyed the first year on campus and I have enjoyed particularly the opportunity to interact with the officers of the Faculty Senate. One thing the Senate officers and I have done over the past year is to have breakfast together every month and talk about various agenda items, particularly Senate agenda items. I encourage all of you to keep such information as well as programmatic

*Absence explained.

information coming to me. It is important for me to understand your points of view about various issues. In a very real sense my job is marketing the institution's position, and it is important that I understand your programs and your interests so that I can accurately describe them in the conversations that I have around the state.

I hope all of you had a good summer. I'm glad you are back, and I'm glad our students are here and that all of them have now found beds. We are generally pleased with the response we have had with our recruitment efforts. UK is showing quantitative growth primarily in the Community College System. In Community Colleges last year there was an enrollment gain of about nine percent. In the last three years the Community College system has grown by more than a third. This year's enrollment in the Community Colleges is more than 32,000 students. The overall University enrollment is between 55,000-56,000 students. Of special importance to the Lexington campus is that this year, for the first time, the University of Kentucky topped 4,000 graduate students.

Minority enrollment at the University of Kentucky is also an important issue. This year UK showed an 8 percent increase in minority enrollment. The black freshman class at the University is about 5 percent of the entering freshman classes. We have made progress toward our goal of having UK's black student population be about the same as the black population of Kentucky.

The current Freshman class may be the most well qualified class ever to enter UK. ACT scores are up and are substantially above the national average. This year the percentage of students accepting our offer of admission increased. We hope that this indicates that our applicants sense opportunity at UK. There are 17 national merit finalists as members of our freshman class and this brings to 59 the national merit finalists enrolled at UK.

Applications for admissions at this University are healthy. Since 1984, applications have increased 50 percent and this year we have between 11,000 and 12,000 applications for the freshman class. We have also imposed an application fee for the University of Kentucky and the income thus obtained will be used to recruit additional well qualified applicants.

Five years ago UK spent \$70,000 annually on merit scholarships. This year, UK has committed more than \$1 million, and we recognize that this amount is still inadequate. Of special note to undergraduate students is the new University studies program. I have had earlier experience with bringing about such curricular revision and I know that it is a difficult, time-consuming task. I believe that all UK Faculty and administrators should be grateful to Professor Swift and his colleagues for their good work in defining the components of the University Studies Program.

On the subject of research, we recognize that sponsored projects alone are not a uniformly good measure of faculty scholarship. Nonetheless, research grants provide a quantifiable comparison of certain

kinds of activity. This year the faculty set a new record for the University of Kentucky in sponsored projects, more than \$54 million. The increase from last year is about nine percent.

Sponsored support is a very important source of income to UK and we want to increase the amount of such support. Simply put, such support makes things possible at the institution that would not otherwise be possible. For example, sponsored support makes available student employment opportunities and equipment and it allows us to increase the University's research agenda. We appreciate the hard work of the faculty in attracting such support. Moreover, we have repositioned UK's administration so as to be able to address better the administration of sponsored research projects. Our goal is to provide a supportive environment for faculty as they carry out sponsored research projects.

Many of our faculty received individual honors during the past year, and we take pride in such recognitions. I think without doubt the outstanding honor earned this last year was the election of Dr. Robert Shepherd to membership in the National Academy of Sciences. He is the first UK faculty member to be so honored, and he is the first Kentucky resident to ever be so honored.

Earlier this year, UK was part of an effort to recruit the Asphalt Institute to Lexington. This successful effort was based upon the Asphalt Institute's desire to be associated with UK and particularly with the faculty of the Transportation Center and the Department of Civil Engineering. The Asphalt Institute has as member institutions most of the large oil companies and their decision to relocate from suburban Washington, D.C. to Lexington was influenced by the centrality and beauty of Lexington's location.

During the past year, UK has initiated new requests with the Federal Government. The largest such effort is to obtain for the University of Kentucky additional funding in support of programs in technology transfer. Our efforts have received good support from Senator Ford and other members of the Kentucky Congressional delegation and we are optimistic that UK will receive financial assistance.

During the past year UK has added additional computational support. There will be more developments in that general arena as research projects and instruction become more technology dependent. I want to call special attention to the attractive increase in large scale computing under the leadership of John Connally and with the support of the facilities now available in the Computing Center.

I encourage all faculty to investigate the growing importance of technology to all three of our missions. We want the faculty to be active participants in defining appropriate technology and appropriate uses of technology and we invite you and your colleagues to take advantage of the facilities that are now present and help us determine where we go next with technology.

This was an active year in the area of fringe benefits. We were pleased to be able to provide tuition assistance for full-time employees at the University of Kentucky. The retirement plan was revised so as to incorporate two other investment plan carriers. We also revised the sick-leave policy. In all, UK made progress in the provision of fringe benefits.

Looking back, the past year was one of considerable success. Our major disappointment was we did not gain adequate finances to fully address the need for faculty and staff salary increases. On the other hand, we have gained recognition of the need, and I am hopeful that we will be able to make real progress in the years ahead.

In our conversations about budgets we always, and I mean always, highlight that the first priority is faculty and staff salaries. We also point out that the solution to the salary problem is affordable to the Commonwealth of Kentucky. We will work very hard in the coming year to gain recognition of the need to address the issue of faculty and staff salaries.

There are other pressing budgetary needs: health insurance, operating needs of academic departments, equipment needs and certain infrastructure needs. For example, we need to be able to improve the cooling plant and extend steam lines. These issues are nearly invisible but are very important.

I remain optimistic that the governmental leaders will see fit to alleviate our budget problems. I would encourage you to be optimistic, as well. I would also encourage you to recognize that these decision makers will be influenced by how well we carry out our missions and how well we are able to project UK to the citizens of the Commonwealth. Thus, for example, it really is important that the person who answers the phone properly represents the University of Kentucky. It really is important that when our faculty walk into their classrooms that they do it with a sense of responsibility, with a sense of purpose, and with the goal of offering their students the best possible education. It really is important that we take pride in the appearance of our campus, that we seek out opportunities for our students and other constituents, and that we demonstrate qualitative improvement in our curriculum and research programs. How well we interact with our publics and the pride we evidence in UK is important to our being able to gain the support needed to make improvements in our academic programs.

In spite of the budget problems, we have undertaken some important new initiatives at UK. These new initiatives are based upon the budgetary strategy of having UK become a place where it is more easily possible to carry out scholarship. Thus, we funded major improvements in the library budget and in the salaries paid to librarians. We also funded increases in graduate assistantship stipends, computing, departmental operating budgets, Singletary scholarships, and dissertation year fellowships.

We also funded programs for minority faculty recruitment and fellowships for minority students. I urge your consideration of ways that you can help UK recruit additional minority faculty and students. For example, when you attend professional meetings this year, do so with the purpose in mind that you represent an affirmative action employer. Report to your department chairman if you observe a minority faculty member or graduate student that UK might want to recruit.

As you all know, the National Collegiate Athletic Association (NCAA) has announced an inquiry into a wrongdoing alleged to have taken place in the University of Kentucky Basketball program. When allegations are made about an NCAA member institution, it is that institution's responsibility to self-investigate.

UK hired an independent attorney and initiated an investigation of the basketball program. Throughout the investigation, the University has avoided speculation about outcomes of the investigation. Rest assured that we will vigorously defend our program and our personnel against any allegation that is not substantiated by the investigation. On the other hand, if any wrongdoing is judged to have occurred, we will accept responsibility.

The UK investigation is different in its nature than is typical. For example, you may have seen the news account of the allegations made against the basketball program at the University of Kansas. The article indicated that the investigation had been going on for 18 months. It was a quiet investigation to determine what allegations would be made about the program. By contrast, we at UK have not had the luxury of a quiet investigation. Our story was broken in the newspapers before there was any chance to investigate. The 18 month investigation at the University of Kansas is quite long compared to the five months that the investigation has been going on at the University of Kentucky. However, the five month investigation at the University of Kentucky, since we get it for breakfast each morning, seems like a very long time indeed.

We are quite anxious to draw the NCAA matter to a conclusion. We hope to receive soon whatever remaining allegations are to be made from the National Collegiate Athletic Association. You will remember that the NCAA promised about 50 days ago that in approximately 30 days, we would receive additional allegations. We do look forward to receiving more allegations so that we can complete our investigation.

Before concluding, I want to talk about some futures and goals for the University of Kentucky. To begin with, our overarching goal for UK is to have it be one of the leaders in public higher education in the country. The administrative construct of the University, being a state university and a land grant university and having 14 community colleges as well as the support UK enjoys around the state, lead me to believe the goal is not an unreasonable one. To attain it, we must provide a quality place for faculty, staff and students where they can accomplish their programs of service, instruction and

scholarships. That means library, computing, equipment, facilities, salaries and a lot of other related issues.

We have made some major improvements in the Computing Center, hardware and software, and communications. We have benefited from the \$20 million equipment bond issue for equipment. Indeed, through educational discounts, cost sharing on grants, etc., our faculty have leveraged the support provided by the bond issue into about \$35 million worth of new equipment.

We will continue to make improvements in the library. We will try to continue to improve operating budgets for academic departments. We will be the kind of institution where individual faculty members who want to initiate a new program of inquiry are able to find support. My experience in higher education has caused me to believe that investments in faculty initiatives pay very rich dividends and we will attempt to provide such support.

We will endeavor to continue the improvement in the quality of our student body. Part of that is recruitment. But our mission requires us to provide support for improvements in education at all levels in Kentucky. To be sure, UK has obligations to the schools of Kentucky, but our support also extends to the regional universities and to the non-state supported colleges. We must recognize that graduates of all of these institutions enroll at UK and that it is thus important to UK that they have had good educational experiences.

UK will be an institution that is open and friendly to all persons regardless of race, color or creed.

Good graduate programs go hand in hand with the further development of our research programs at the University. We will endeavor to enhance the amount of funding and scope of graduate education and research. Our faculty have the very important responsibility to assist in this effort by securing extramural support for a portion of the University's research program and graduate student stipends.

Finally, we must think about strategies to retain our best faculty members and be able to recruit additional good faculty members at the University of Kentucky. Universities are people-dependent and if we are able to retain and recruit excellent faculty, the goal of leadership in public higher education is attainable for the University of Kentucky.

In a question and answer period following President Roselle's remarks Professor Jo Ann Rogers (Library and Information Science) asked a question concerning something that was included in a memorandum dated April 26, 1988, from Mr. Carter to the Chancellors which relates to the incentive that could be used for supplements for faculty salaries or a number of other purposes. First of all, her question related to the objective of the initiative, second to the procedure and thirdly to the evaluation of the program. She added that the faculty did not have much information about what the program entails. Her

understanding is that there would be a grant over a three-year period approximately a million dollars a year to be allocated in terms of \$2500 per faculty member. Her first question related to the number of people who are to get the award which she thought would be around 20 percent of the faculty. She said the stated objective was to improve the morale of the faculty. She wanted to know about the 80 percent who apparently would not participate in the three million dollar allocation. Her second question related to the criteria and procedure. The criteria as outlined in the memo said that the grants would be based on demonstrated excellence or strong potential for excellence in any one or more of teaching, research and service. The procedure in terms of selecting the top 20 percent of faculty does not mention peer review at the department level, college level, area discipline level, but does mention two faculty groups at the administrative level. Professor Rogers asked the President if he thought the criteria and procedures are adequate to identify the 20 percent of the selected faculty. Her third question related to the evaluation of the program. She said that in the memo from Mr. Carter to the Chancellors the directive was that the program which was to be administered sector by sector would in fact be evaluated but the date mentioned for the evaluation is October 1989, which, of course, would be sometime after the fiscal year in July. She wanted to know if it would be possible to evaluate the program on the basis of its objectives prior to its second annual allocation.

The President responded as follows:

The University was able to come up with some non-recurring monies and desired to spend that money in direct support of the faculty of the University. We were aware of the problems that we knew would have to be addressed in the department. Faculty retention was one such issue. Had it not been a difficult year for salaries, I would have held out for a requirement that these particular dollars were to be used for programmatic support and not for salary supplements. But it has been a difficult year for salaries and it was thus decided to not so restrict the grants.

Please be aware that I don't believe the deserving faculty at the University of Kentucky comprise only 20 percent of the faculty. And we hope to be able to make further grants of discretionary money for additional members of the faculty. Do know that I have had many letters about how people intend to use their money and I am impressed that the initial investment may pay large dividends. As far as criteria are concerned, I believe that questions such as 'Can this be given to people in support of their teaching programs?' should be answered by, 'It can if that is what is important to the furtherance to your overall program in your department or college.' The idea is to allow academic units the opportunity to make a grant to those colleagues whose programs (be they service, instruction or research) are deemed to have sufficient promise. This year, of course, the situation related to salaries caused faculty retention to also be a consideration.

As far as evaluation goes, we have discussed ways to evaluate the program. One way is to receive from members of the faculty who have been recipients of the grant some indication of what they did and how their programs were able to grow.

Professor Andy Grimes (Business and Economics) wanted to know the anticipated date for the program. President Roselle responded that it was started July 1. The President did not attempt to detail any program to the people but has left it to the Chancellors and Deans to carry out the obligation.

Professor Richard Labrecque (Education) felt the question was pressing the administration to think more in terms of the unintended consequences of the programs proposed. He said that any time goals were proposed they might be attained, but there may also be results of things one does not want. He added that in evaluations one might not only want to know what to do for a particular program but what did the program do for faculty morale across the board because every faculty member has children or many people do.

Professor Hans Gesund (Engineering) wanted to know how the imposition of application fees would affect minority students. The President responded there is a subsidiary program to minimize that impact. The President asked Associate Vice Chancellor Joseph Fink to respond. Professor Fink stated there was a procedure to exempt from payment anyone who reached certain criteria like exemption from the fee paid to ACT. The University would use the exact same criteria to exempt from paying the application fee for those students for whom the fee would be burdensome. President Roselle said that the University did not want to discourage applications from anyone for economic reasons. Professor Fink said the application fee would be for people applying for admission for Fall 1989. The fee is \$15.00, a non-refundable application processing fee.

Chairman Mather said that this had been a useful exchange and thanked President Roselle for his remarks. The Senate applauded the President.

Chairman Mather proposed postponement of approval of the Minutes of the meeting of April 25, 1988, until October because most Senators received their copies that morning. The Chair recognized Professor Don Leigh (Engineering) who read the following Resolution on Professor William Lyons, Chairman of the University Senate Council, 1987-88:

It is customary at the first Senate meeting of the academic year to recognize the outgoing Chairman of the Senate Council. William E. Lyons brought to the job of presiding over the Senate and chairing the Senate Council, a rare combination of dedication, professionalism, and good humor.

Bill's tenure of office was especially interesting in that it coincided with the first year of the new President of the University. In early meetings between President Roselle and Chairman Lyons it was decided, that as one means of fostering closer ties with the faculty, a subset of the Senate Council would have breakfast with the President once a month. This has been going on for the past year and is important not only in terms of the agenda items which are discussed, but perhaps more importantly, for the rapport which has been established between the President and the Senate Council.

The breakfasts of the full Senate Council were continued this past year -- invited guests included groups of deans and the local

legislative delegation. The year saw the last steps in preparation for the implementation of the University Studies Program this Fall. During the year the reorganizations of the College of Allied Health Professions and the College of Dentistry came before the Senate, as well as proposals for a Ph.D. in Public Administration and a B.A. in Arts Administration. As you may recall, a Faculty Poll was conducted. On April 14 an Honors Day convocation was held. Toward the end of Bill's term he had to handle the Senate Council response to the unfortunate remarks of a member of the Board of trustees.

The year was also interesting because it coincided with the first year of a new Governor who proposed a state budget which was nonsupportive of higher education. Bill Lyons was effective in marshalling a flow of letters concerning higher education and the budget to members of the general assembly. He was also effective in encouraging attendance at the rally sponsored by the Advocates for Higher Education in Frankfort in February.

Bill's professional expertise in state and local government, and his experience in local government, were additional dimensions of his background which enhanced his chairmanship of the Senate Council and the Senate. Professor Lyons, please accept our sincere thanks for your leadership and service to the University of Kentucky.

Professor Lyons was given a warm round of applause.

The Chair then recognized Professor John Piecoro (Pharmacy) for a Memorial Resolution.

MEMORIAL RESOLUTION

Howard Hopkins 1910-1988

On July 23, 1988 the University of Kentucky, and especially the College of Pharmacy, lost a valued professional colleague and friend -- Dr. Howard Hopkins.

Dr. Hopkins retired as associate dean of instruction and professor of pharmacy in 1976 after 18 years with the College. During his years of service, he demonstrated his dedication, ability and wisdom as a teacher, counselor, and administrator.

Dr. Hopkins was born in Brownsburg, Indiana on December 12, 1910. In 1930 he began his post-secondary education at Evansville College, eventually transferring to Butler University. He continued his education at Indiana University Extension from 1932-1936. Working his way through college, he completed his B.S. degree in pharmacy from Purdue University in 1938 and his M.S. degree from the University of Nebraska in 1940.

In 1941 he became dean of the College of Pharmacy at Ferris State College, Big Rapids, Michigan. In 1944 he joined the Smith-Dorsey division of the Wander Company in Lincoln, Nebraska, as a research pharmacist. He rose to the position of plant manager and

finally to associate director of pharmaceutical development. During his career with the pharmaceutical industry, he was one of the researchers involved in developing the technology of enclosing one tablet within another to assure chemical stability of different ingredients and to provide for a delayed second dose of medication. In 1957 he returned to academe and obtained a Ph.D. at the University of Nebraska in 1959. Later that same year he joined the faculty at the University of Kentucky.

Dr. Hopkins was a registered pharmacist in Indiana and Kentucky and an active member of his professional societies. For many years, he was advisor to Alpha Beta Chapter of Phi Delta Chi. He also represented the College as a delegate to the United States Pharmacopeial Convention, the group responsible for creating the U.S.P., which sets forth legal standards for the quality of drug substances and drug products in the United States.

He served on numerous University committees including the Rules Committee, the Library Committee, the University Senate, the Academic Council of the Medical Center and the Associate Deans Council. In addition, Dr. Hopkins was one of the original members of the University's Council on Aging and served in that capacity after his retirement. Active in the community, he served as member of the Board of Directors of the UK Credit Union and as captain of the United Way Planning Board for many years.

Dr. Hopkins was an ardent fisherman and gardener. His hobby was collecting and repairing unusual watches and clocks. Following retirement, he moved to Florida where he resided until his death.

Upon his retirement, a colleague noted, "Dr. Howard Hopkins' empathy for the youth of our time, coupled with his mature outlook, brought a sense of stability and calmness to a sometime frantic world. His colleagues and friends are sad that there are some who will not come under his tutelage. He will remain with us as an inspiration and an example for those in our profession." Time cannot erase the influence of his work in the College and in the profession of pharmacy across the country.

Chairman Mather asked the Senate to rise in a moment of silent tribute.

The Chair then introduced members of the Senate Council who are: Charles Ambrose, Medicine; James Applegate, Communications; Donald Leigh, Engineering; James Wells, Arts and Sciences; Mike Ram, Medicine; Paul Eakin, Arts and Sciences; Carolyn Bratt, Law; Jo Ann Rogers, Library and Information Science; Raymond Betts, Honors Program, Ex-officio, member of the Trustees; William Lyons, Arts and Sciences; James Rose, Ex-officio, President of the Student Government; Mary Sue Coleman, Medicine, Ex-officio, member of the Trustees; Lisa King and Mehran Jahed, Student Government representatives. These members of the Senate Council were given a warm applause.

The Chair then recognized Randall Dahl, University Registrar and Secretary of the University Senate; Martha Sutton, Recording Secretary; Frankie Garrison, Ombudsman's Office, Sergeant-At-Arms; Susan Wilson, Registrar's

Office, Sergeant-At-Arms; Gifford Blyton, Professor Emeritus and Paliamentarian; and special recognition to Celinda Todd, Administrative Assistant to the Senate Council.

Chairman Mather commented as follows:

Let me take a few moments to let you know of some things which are in the works, some things which have occurred recently and some things which will be happening over this academic year. First of all there are two new degree programs which are already in process and have gone to the Academic Programs Committee. You will likely see them in circulation to the Senate in the next few weeks. One is the Ph.D. in Nutrition, joint proposal involving the Colleges of Agriculture and Home Economics and the Medical Center. The second is a Masters in Health Administration, which I think is best characterized as a cooperative venture between UK and U of L. We are hopeful both of these will be ready for the Council on Higher Education in time to meet the November 1 deadline.

A second item, and I feel is a very major effort to take place this year, is the review of University admissions standards. If you recall, several years ago the University voted that at a certain point in time we would review all admissions standards as they apply to freshmen and transfer admissions. This does not apply to admissions to the upper division as some of the colleges have -- but front door admissions, if you want to call it that.

There have been a number of different admissions related steps taken over the last several years including selective admissions, the pre-college curriculum, and athletics admissions. Then it looked like it was time to review admission of non-degree students as well as transfer students and so on. The Senate, in its wisdom, decided to review all the admissions standards in a comprehensive manner. The Senate Council has appointed a special ad hoc committee to review these standards and I want to announce the members of that committee. Brauch Fugate from the Department of Mathematics will be the chair. Brauch was also the member of the committee which originally designed the selective admissions standards. In addition to Brauch there will be Glenn Blomquist from Business and Economics, Jo Ann Wever from Nursing, Mike Reed from Agriculture, Kawanna Simpson from Education, Ron Thomas from the Elizabethtown Community College, Barbara Mabry, Medicine, and there will be a student member in addition to these. I want to point out that the charge to this committee is not a major overhaul of admissions standards but primarily that of "fine tuning." Now that we have had selective admissions in place for a number of years and some experience in pre-college curriculum, we want to see what adjustments need to be made in our admissions policies.

In addition to this committee there will be quite a few things the Senate committees will be working on and with that I want to introduce to you the chairs of the various Senate committees. First, Rules and Elections will be chaired by Brad Canon; Library Committee, Joyce Bowlyow; Admissions and Academic Standards, David Durant;

Academic Planning and Priorities, Marcus McEllistrem; Academic Programs, Bill Lyons; Academic Organization and Structure, Paul Eakin; Research Committee, O. J. Hahn; Academic Facilities, Daniel Fulks; Institutional Finances and Resource Allocation, Stanley Brunn; and the newest committee of the Senate, University Studies Committee, is headed by Louis Swift. [A warm applause was accorded all those introduced.]

The Senate Council recently made the decision to appoint a special ad hoc committee to review the status of female faculty and administrative personnel at UK. This committee has not been appointed.

Other items that will be coming to this body will be a report from what is known as the Canon Committee, which is proposing to streamline procedures for course approval, program approval, and so on. I think the committee has made some progress in terms of how we can perhaps speed the processing of course and program changes.

Employee benefits is an item which is of increasing concern to the faculty. Over the last several months, the Senate Council discussed whether we should appoint an ad hoc committee concerning employee benefits or gain representation on the University's Employee Benefits Committee. The President was agreeable with the latter. Steve Vasek, professor in the College of Law, is serving on that committee and has agreed to represent the Council on the Employee Benefits Committee. He has already reported back to the Council. We found that to be a very fruitful exchange. I think in the months ahead you will hear from them particularly concerning their strategic plan for employee benefits.

The Senate rules have recently been revised and the 1988 edition should be available quite soon in your departments. We are hopeful that the time is not too far off when you will be able to access them online as well as having a hard copy.

The "Faculty Handbook" has been long in the process and by the end of this semester should be available. Again, we are hopeful that it will be available to you online as well as in hard copy.

We will have an orientation session for new senators. This has not been done in recent years. We will hold it before the October meeting. This came as a suggestion from some of the Senators saying they felt that a relatively short session might be of some value in helping new Senators understand the Rules of the Senate, etc.

Professor Ernest Middleton (Education) wanted to know the purpose of the new committee which had been established -- Female Faculty and Administrative Staff at UK. Chairman Mather responded that the mission and purpose was to review the status of women in staff, faculty and administrative positions in terms of numbers, promotion and rank. A Senator wanted to know about the role of minorities. Chairman Mather responded that there is a special committee appointed by the President concerning prejudice reduction. The Senate Council is waiting to see how broad that committee's scope will be in addressing

concerns of minorities on campus prior to deciding whether to establish an ad hoc committee on the status of minorities. The Chair added that if anyone had any concerns, they could talk with the Council.

The Chair welcomed William Fortune who has served the past year as the Academic Ombudsman for the period July 1, 1987 to June 30, 1988. Professor Fortune's report follows:

First, I want to introduce to you the current ombudsman -- a gentleman who has been ombudsman since July 1 of this year. That is Bill Moody. [Professor Moody was given a round of applause.] I thought I was leaving Bill a clean desk, but as it has turned out he has been busier the first two months than I was all year apparently.

Statistical summary [see attached]

There were 194 multiple-contact cases, compared with 505 such cases in 1986-7 and 538 such cases in 1985-6. There were 685 single-contact cases, compared with 2745 such cases in 1986-7 and 2016 such cases in 1985-6. The summaries attached break the 194 cases down five ways: 1) college and department involved; 2) college of the student; 3) year of student; 4) nature of controversy; 5) months. Analysis of the statistics reveals a precipitous decline in all categories. Possible explanations for the decline:

- a) that the office was not as visible as in past years;
- b) abbreviation -- that the year was peculiarly peaceful;
- c) improved academic relations -- heightened sensitivity on the part of teachers, effectiveness of mid-level administrators in solving problems.

Areas of activity of the office:

- 1) Education and Prevention. I was introduced at freshman orientation, spoke at TA orientation, addressed resident advisors, spoke to five dormitory groups, two classes, and the faculty of one college. I sent out reminders to the faculty, a cheating and plagiarism flow chart, and generally tried to perform an education and problem prevention role. I handled many calls from faculty members seeking advice on a myriad of problems.
- 2) Student assistance. Frankie Garrison and Donna Bruszweski are excellent in cutting through red tape, putting students in contact with the services they need.
- 3) Student academic complaints and problems. If the complaint is clearly without merit, the student is so advised and the call or visit becomes a "one-contact" statistic. If it appears that the matter has merit the student is first advised to speak with the instructor. If a TA is involved, I required the student to speak also with the department chair or course coordinator. If the student was unable to obtain satisfaction, I investigated fully and recommended a solution. Teachers generally were very receptive to suggestions -- many of the success stories involve matters other than

grades -- waiving a requirement for matriculation, waiving required hours for graduation -- in cases where equities were on the side of the student. In eight cases grades were voluntarily raised by the teacher.

When I was unsuccessful I sent the matter on to the Appeals Board with a full report and recommendation. The Board raised the grades of six students.

4) Cheating and Plagiarism. We had 20 cases of cheating and plagiarism through our office in some form -- many in the form of advice to the faculty member on how to proceed. Four cases were dropped, in three instances the students received less than an E grade in the course, in seven cases the penalty was an E in the course, in five cases suspension was imposed, and one student won before the Appeals Board. The Appeals Board heard only four cheating cases in 86-7, finding against the students in the other three cases.

Recommendations

1) The Senate needs to look at the problem of common exams. The Senate rule requires that departments utilizing common exams give students an option -- a section not employing common exams, an alternate exam date, or an exam spread over a large bloc of time. Many departments are not complying with the rule. Furthermore common exams conflict with other classes, work and legitimate campus activities.

In addition those departments employing common exams need to be sensitive to the complaint that TA coverage is uneven -- that some TAs prepare the students well and others don't.

2) Absence policies. Instructors cannot penalize a student for excused absences. Instructors do not like to give make-ups. The result is syllabi that probably do not meet the spirit of the no-penalty rule and a lot of hassle over whether absences are excused or unexcused. I came to feel that instructors should have the option of a policy in which students are permitted to miss one quiz for whatever reason.

3) Cheating and plagiarism. The minimum penalty is an E in the course. In a campus wide poll 164 favored retention of this penalty while 127 said it was too severe. Forty respondents admitted giving less than an E in the course.

Faculty need to make it clear what is permitted and not permitted on homework, lab assignments, term papers and the like. They need to know how to cite reference material. I was exposed to some good ideas by innovative faculty -- requiring an oral explanation of a term paper, for example.

4) Grade disparity. Huge disparities college to college, department to department, course to course, even section to section. Some departments appear to program students for failure -- with consistent

GPA's hovering around a 2.00 or less, others have average GPA's well over a 3.00. I think it would be useful to have cross-college discussions about grades, perhaps with departmental averages for 100 and 200 level courses publicized in the Kernel and elsewhere.

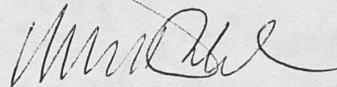
5) Teacher training for TAs and assistant professors. I hope there is an increased effort at every level to help those who are beginning their careers to learn how people learn (the psychology of education) and the mechanics of teaching -- how to prepare a lecture, prepare transparencies, create a multiple choice exam. We would all profit from teaching round tables.

Closing remarks. Thanks to David Roselle, Bill Lyons, Mac Jewell, Charles Byers, Frankie Garrison and Donna Bruszewski. I enjoyed the experience and recommend it to anyone who likes problem solving and wants to be a part of the University as a whole. Thank you very much."

A round of applause was accorded Professor Fortune, after which Chairman Mather made the following comments:

Thank you Bill for your report and for your year of service -- and to Bill Moody, good luck. I have two short announcements. One is a reminder for all of you and particularly for new Senators that it is necessary to sign in at the table at the door in order that we can take attendance. The second announcement is that we are in the process of an election for new members for the Senate Council. You should be receiving those ballots within a few days."

There being no further business the meeting was adjourned at 4:45 p.m.



Randall W. Dahl
Secretary, University Senate

COMPLAINTS FILED AGAINST THESE COLLEGES

Agriculture	6	Agr. Economics - 3 Animal Sciences - 2 Miscellaneous - 1
Allied Health	1	Physician Asst. - 1
Architecture	2	
Arts & Sciences	86	Anthropology - 2 Biological Sciences - 3 Chemistry - 7 English - 11 French - 3 Geography - 4 Geology - 1 History - 10 Linguistics - 1 Mathematics - 11 Philosophy - 3 Physics & Astronomy - 6 Political Science - 10 Psychology - 6 Russian & Eastern Studies - 1 Sociology - 1 Statistics - 2 Miscellaneous - 4
Business & Economics	22	Accounting - 2 Economics - 14 Management - 4 Miscellaneous - 2
Communications	6	Communications - 4 Journalism - 2
Dentistry	2	
Education	10	Educational & Counseling Psychology - 3 Special Education - 2 Vocational Education - 2 Miscellaneous - 3

Engineering	12	Civil - 2 Electrical - 3 Mechanical - 6 Metallurgical - 1
Fine Arts	9	Art - 4 Music - 5
Graduate School	2	
Home Economics	1	Family Studies - 1
Library & Information Science	1	
Medicine	4	Anatomy & Neurobiology - 1 Biochemistry - 1 Miscellaneous - 2
Nursing	3	
Pharmacy	3	
Social Work	2	
Evening & Weekend	2	
Experiential Education	2	
Miscellaneous	18	
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COLLEGE OF STUDENT

Agriculture	8
Allied Health	3
Architecture	2
Arts & Sciences	55
Business & Economics	26
Communications	14
Dentistry	2
Education	19
Engineering	15
Evening and Weekend	1
Fine Arts	6
Graduate School	22
Medicine	2
Nursing	3
Pharmacy	3
Social Work	1
L.C.C.	2
Miscellaneous	7
Multiple (Cases with multiple colleges)	2
Visiting	1

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YEAR OF STUDENT

Freshman	16
Sophomore	24
Jounior	43
Senior	61
Graduate Student	23
Visiting Student	1
L.C.C.	1
1st	1
3rd	4
5th	1
6th	1
Cases with Multiple Students	8
Miscellaneous	10
	<hr/>
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COMPLAINTS

61 - Grades	1 - Club Sports
17 - Cheating	Deadlines
13 - Illness	Double Major
12 - Instructor	Dropped from College
11 - Exam	Graduation with Distinction
10 - College	Honor Code
7 - Absences	Instructor's Office Hours
6 - Repeat Option	Late Paper
5 - Common Exam	Lost Final
4 - Death of Family Member/Friend	Partial Credit
Withdrawal	Personality Conflict
3 - Academic Bankruptcy	Take Home Exam
Admissions	Visiting Professor
Dead Week	
Finals	
Missed Final	TOTAL 194
Plagiarism	MONTHS
Transfer of Credits	January 24
2 - Bad Advising	February 13
Fellowships	March 15
Foreign Instructor	April 21
Registrar	May 33
Suspension	June 14
	July 5
	August 10
	September 6
	October 20
	November 11
	December 22
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UNIVERSITY SENATE 1988-1989

AGRICULTURE (7)

- ✓*Boling, James '91 (ASC)
- +Crowe, M. Ward '89 (VSC) *Infanger*
(Resigned-to be replaced)
- ✓Davis, Joe T. '90 (AEC)
- ✓Hemken, Roger W. '90 (ASC)
- ✓*Infanger, Craig L. '91 (AEC)
- ✓Kemp, James D. '89 (ASC)
- ✓*Witt, Mary L. '91 (HLA)

ALLIED HEALTH (2)

- ✓Bowlyow, Joyce '89 (CH)
- ✓Vittetoe, Marie C. '90 (MT)

ARCHITECTURE (1)

- ✓ Groves, John R. '89

ARTS AND SCIENCES (24)

Biological & Physical Sciences (11)

- ✓Allen, David M. '89 (STA)
(for Prior, resigned)
- ✓*Blackburn, William H. '91 (GLY) *McEllestrem*
- ✓+Demski, Leo S. '89 (BIO)
- ✓Gray, Thomas C. '89 (BIO)
- ✓*Guthrie, Robert D. '91 (CHE)
- ✓Johnson, David C. '90 (MA)
- ✓+Just, John J. '89 (BIO)
- ✓*Kubota, Kenneth K. '91 (CS)
- ✓McEllestrem, Marcus T. '90 (PHY)
- ✓*Subbaswamy, Kumble R. '91 (PHY)
- ✓Weil, Jesse L. '90 (PHY)

Literature & Philosophy (5)

- ✓*Callahan, Joan C. '91 (PHI)
- ✓Durant, David S., Jr. '90 (ENG)
- ✓Eller, Ronald D. '90 (HIS)
(for Dye, resigned)
- ✓*Foreman, Walter C. '91 (ENG)
- ✓Perreiah, Alan '89 (PHI)
- ✓Weisenburger, Steven '90 (ENG)
(for Allen, resigned)

Social Sciences (7)

- ✓Bladen, Wilford A. '88 (GEO)
(for Harris, Sabbatical)
- ✓+Brunn, Stanley D. '90 (GEO)
- ✓Canon, Bradley C. '89 (PS)
(for Clayton, Purged)
- ✓*Harris, Jesse G. '91 (PSY)
(Sabbatical Fall '88)
- ✓Jewell, Malcolm E. '89 (PS)
- ✓*Lacy, William B. '91 (SOC)
- ✓Lyons, William E. '90 (PS)
- ✓Nonneman, Arthur J. '89 (PSY)

BUSINESS AND ECONOMICS (10)

- ✓Blomquist, Glenn C. '90 (ECO)
- ✓*Berger, Mark C. '91 (ECO)
- ✓*Black, Dan A. '91 (ECO)
- ✓Calantone, Roger '89 (MGT)
- ✓Freeman, James W. '89 (MGT)
- ✓Fulks, Daniel L. '89 (ACC)
- ✓*Marsden, James R. '91 (ECO)
- ✓Officer, Dennis T. '90 (FIN)
(for Ingram, resigned)
- ✓Skinner, Steven J. '90 (MKT)
- ✓Tippos, Manuel A. '89 (ACC)
(for Born, Purged)

COMMUNICATIONS (3)

- ✓Applegate, James L. '89 (COM)
- ✓Lindlof, Thomas R. '90 (TEL)
- ✓*Moore, Roy L. '91 (JOU)

DENTISTRY (2)

- ✓Douglass, J. Burton, Jr. '90 (ORT)
- ✓Spedding, Robert H. '89 (PD)

EDUCATION (6)

- ✓Angelo, Richard '90 (EPE)
- ✓*Atwood, Ronald '91 (CUR)
- ✓Bickel, Frank J. '89 (EDU)
- ✓Danner, Frederick W. '89 (EDP)
- ✓Levstik, Linda '90 (CUR)
- ✓*Middleton, Ernest '91 (CUR)

ENGINEERING (6)

- ✓Cremers, Clifford J. '90 (ME)
- ✓*Deacon, John A. '91 (CE)
- ✓+Gesund, Hans '91 (CE)
- ✓Hahn, Ottfried J. '89 (ME)
- ✓Kermode, Richard I. '90 (CME)
- ✓+Leigh, Donald C. '90 (EM)

FINE ARTS (3)

- ✓Clarke, W. Harry '89 (MUS)
- ✓Glixon, Jonathan '90 (MUS)
- ✓Maschio, Geraldine '89 (TA)

HOME ECONOMICS (2)

- ✓Botkin, Darla '89 (FAM)
- ✓Edmondson, Mary Ellen '89 (FAM)

LAW (2)

- ✓Bratt, Carolyn S. '90
- ✓Rogers, John M. '89
(Resigned) *Underwood*

- *New member Fall 1988
- +Serving second consecutive term

LIBRARY AND INFORMATION SCIENCE (1)

✓+Rogers, Jo Ann V. '90

EDICINE (10)

- ✓Cibull, Michael '89 (PAT)
- ✓David, Alan K. '88 (FAM)
(for Kasarskis '91, Lv Fall '88)
- ✓Dillon, Marcus '89 (SUR)
- ✓Hu, Alfred S. L. '90 (BCH)
- ✓*Kasarskis, Edward J. '91 (NEU)
(On Lv Fall '88)
- ✓Lucas, Bruce A. '89 (SUR) Purged *Full*
- ✓Mandelstam, Paul '90 (MED)
- ✓O'Connor, William N. '89 (PAT)
(for Lucas, Purged)
- ✓Powell, Deborah E. '90 (PAT)
- ✓*Van Loon, Glen R. '91 (MED)
- ✓Wilson, H. David '89 (PED)
- ✓*Winer, Alfred D. '91 (BCH)

NURSING (2)

- ✓Sallee, Kathryn '89
- ✓Wever, Jo Ann '90

PHARMACY (2)

- ✓Piecoro, John J., Jr. '91
- ✓Shannon, Michael C. '90
(for Fink, resigned)

SOCIAL WORK (1)

- ✓*Wilson, Constance P. '91

UNIVERSITY LIBRARIES (1)

- ✓*Birchfield, James D. '91

EX OFFICIO MEMBERS

Voting (14)

- ✓Peter P. Bosomworth
- ✓Ray M. Bowen
- ✓Douglas Boyd
- ✓Rutheford B Campbell, Jr.
- ✓Ben W. Carr
(VC for AA CCS)
- ✓S. Zafar Hasan
- ✓C. Oran Little *2 times*
- ✓David A. Nash
- ✓Jose Oubrierie
- ✓Thomas C. Robinson
- ✓Wimberly C. Royster
- ✓Edgar L. Sagan
- ✓Donald E. Sands
- ✓Paul A. Willis

Non-Voting (32)

- ✓Michael A. Baer
- ✓Raymond F. Betts
- ✓Earl Bowen
(VC for AA for Medical Center)
- ✓Edward A. Carter
- ✓Jordan Cohen (Pharmacy)
- ✓Mary Sue Coleman
- ✓Audrey L. Companion
(Chairman of US Committee)
- ✓Randall W. Dahl
- ✓Richard C. Domek, Jr.
- ✓Charles W. Ellinger
(Chairman of US Committee)
- ✓Joseph L. Fink, III
- ✓Richard W. Furst
- ✓Art Gallaher, Jr.
- ✓Ronald C. Hoover
(Air Force ROTC)
- ✓James M. Kuder
(Vice Chancellor Student Affairs)
- ✓Gerald Lemons
(Army ROTC)
- ✓Peggy S. Meszaros
- ✓George Mitchell
(Chairman of US Committee)
- ✓William G. Moody
(Academic Ombudsman)
- ✓Daniel R. Reedy
(Acting Dean, Graduate School)
- ✓James Rose
(President of Student Government)
- ✓David P. Roselle
- ✓Louis J. Swift
- ✓Charles T. Wethington
- ✓Carolyn A. Williams
- ✓Eugene R. Williams
- ✓Emery A. Wilson
- ✓W. Douglas Wilson
(Dean of Students)

STUDENT SENATORS (18)

Voting

- Agriculture
- ✓ Tim Cansler
- Allied Health
- ✓ David Bingham
- Architecture
- ✓ Glen Buckner
- Arts & Sciences
- ✓ Keith Byers
- Business & Economics
- ✓ Craig Friedman
- Communications
- ✓ Doug Kramer
- Dentistry
- ✓ Eric Headley *Rose Anne Palermo*
- Education
- ✓ Pat Hart
- Engineering
- ✓ Joseph Elias
- Fine Arts
- ✓ Al Slusher *John Turner*
- Graduate School
- ✓ Mehran Jahed
- Home Economics
- ✓ Lisa King
- Law
- ✓ Troy Abner
- Library & Information Science
- Shari Carleton*
- Medicine
- ✓ Michael Fraley
- Nursing
- Paulette Sides*
- Pharmacy
- ✓ Donell Nunez
- Social Work
- ✓ Mary Ann Quarles
- Clay Hall*

SENATE COUNCIL

Voting

- ✓ Ambrose, Charles T. '89 (MMI)
- Applegate, James L. '89 (COM)
- Bratt, Carolyn S. '90 (LAW)
- ✓ Eakin, Paul M. '90 (A&S)
- Leigh, Donald '89 (ME)
- Lyons, William E. '88 (PS)
- ✓ Mather, Loys L. '87 (AGR)
- Ram, Madhira (Mike) D. '88 (SUR)
(for Costich, retired)
- Rogers, Jo Ann '90 (L&IS)
- ✓ Wells, James H. '88 (CS)
(for Hemenway, resigned)

Ex-officio (Non-Voting)

- ✓ Betts, Raymond '89 (HON PROG)
- ✓ Coleman, Mary Sue '90 (BCH)
- ✓ Rose, James '89 (SG President)

Ex-officio (Voting)

- ✓ Jahed, Mehran '89 (Student)
- ✓ King, Lisa '89 (Student)