

AGENDA

**Meeting of the Board of Trustees
University of Kentucky
1:00 P.M.
January 21, 1997**

Invocation

Roll Call

Approval of Minutes

President's Report and Action Items

- PR 1 President's Report to the Trustees
Report on Advanced Science and Technology Commercialization Center (ASTeCC)
- PR 2 Personnel Actions
- PR 3 Central Administration
A. Proposed Amendment to the Governing Regulations
B. Resolution of the University of Kentucky Alumni Association
Amending the Nomination Process for Alumni Trustees
- PR 4 Community College System (No items to report)
- PR 5 Lexington Campus (No items to report)
- PR 6 Medical Center (No items to report)

Finance Committee

1. Acceptance of Interim Financial Report for the University of Kentucky for the Six Months Ended December 31, 1996
2. Disposal of Surplus Property
3. Report of Leases
4. Patent Assignment - Research and Graduate Studies
5. Patent Assignment - Research and Graduate Studies
6. Revision of the Policy Statement on Tuition and Fee Refunds to Students
7. Avon Products, Inc. Gift
8. Dr. Claire Louise Caudill Professorship in Family Medicine
9. Naming of Fellowship Awards

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, January 21, 1997.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, January 21, 1997 in the Board Room on the 18th floor of Patterson Office Tower.

A. Meeting Opened

Governor Edward T. Breathitt, Chairperson, called the meeting to order at 1:10 p.m., and the invocation was pronounced by Mr. Ted Bates.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mr. Alan Aja, Mr. Ted Bates, Mrs. Kay Shropshire Bell, Governor Edward T. Breathitt (Chairperson), Mr. Paul W. Chellgren, Mr. Robert N. Clay, Mr. Merwin Grayson, Mr. John "Jack" Guthrie, Mr. James F. Hardymon, Professor Loys L. Mather, Dr. Robert P. Meriwether, Mr. Billy Joe Miles, Professor Jim Miller, Dr. Elissa Plattner, Mr. Steven S. Reed. Absent from the meeting were Professor Deborah Powell, Mr. C. Frank Shoop, Mrs. Lois C. Weinberg, Mr. Martin Welenken and Mr. Billy B. Wilcoxson. The University administration was represented by President Charles T. Wethington, Jr.; Chancellors Ben W. Carr, James W. Holsinger, and Elisabeth Zinser; Vice Presidents Joseph T. Burch, Fitzgerald Bramwell, Edward A. Carter, George DeBin, and Eugene Williams; John J. Picoro, Assistant to the President for Administrative Affairs; Dr. Juanita Fleming, Special Assistant for Academic Affairs; Mr. C. M. Newton, Director of Athletics, and Mr. Richard E. Plymale, General Counsel.

Members of the various news media were also in attendance. A quorum being present, the Chairperson declared the meeting officially open for the conduct of business at 1:12 p.m.

C. Approval of Minutes

Governor Breathitt said that the Minutes of the Board meeting on December 10, 1996 had been distributed and asked for any additions or corrections. Professor Jim Miller moved that the Minutes be approved as distributed. Professor Loys L. Mather seconded the motion, and it carried.

D. President's Report to the Board of Trustees (PR 1)

President Wethington stated Dr. Deborah Powell, faculty trustee, recently announced that she will be leaving the University in mid-April. She has been chosen to be the Executive Dean of the College of Medicine at the

University of Kansas. The University Senate plans to conduct a special election to choose someone to fill the seat of Dr. Powell.

President Wethington called attention to the following items in PR 1:

1. UK, for the fourth consecutive year, again ranked among the top 10 public institutions in the number of freshman National Merit Scholars enrolled in the fall 1996 semester.
2. The Chandler Medical Center opened Kentucky Clinic North on December 16. The clinic will provide primary care in a medically underserved area of Lexington.
3. UK and the City of Lexington jointly sponsored the Martin Luther King Jr. Day holiday celebration on January 20. President Wethington commended the faculty, staff, and students for their leadership and participation in the program.
4. UK's Robinson Scholarship Program, funded by the E. O. Robinson Trust, has been implemented at Southeast Community College. Hazard and Prestonsburg community colleges will also administer the program in their respective service areas.
5. The three new members to be inducted into the Gatton Business College Alumni Hall of Fame on February 7 are: Roy W. Bahl, Jr, Roger D. McDaniel and James W. Stuckert.
6. UK linebacker Mike Schellenberger is one of only 14 Division I-A football players awarded a \$5,000 NCAA Post-Graduate Scholarship based on athletic and academic excellence. President Wethington commended C. M. Newton, Jerry Claiborne, Bill Curry, Hal Mumme and others who have established and continued the excellent academic reputation of the University's football program.
7. The UK cheerleaders won first place among large schools competing in the Universal Cheerleaders Association Championships earlier this month. UK became the first school in its division to win the title three consecutive years.
8. The Thomas D. Clark Foundation has received a \$300,000 challenge grant from the National Endowment for the Humanities to allow the University Press of Kentucky to enhance its humanities book publishing program.

9. A new Urgent Treatment Center approved by Health Associates of Kentucky, Inc. opened recently in Frankfort. This is the first urgent treatment facility of its kind in the Frankfort area.
10. UK has been chosen to be one of 18 research universities to participate in a \$1 million Pew Charitable Trust sponsored program placing special emphasis on teacher training for new faculty members.

President Wethington asked the members to read the other items in the report at their leisure.

As a part of the President's Report, President Wethington said that there would be a report on the Advanced Science and Technology Commercialization Center (ASTeCC). He introduced Dr. Joseph Fink, previously the Dean of Admissions, who is a faculty member in the College of Pharmacy, and is now the Director of ASTeCC.

Dr. Fink stated he was pleased to report on ASTeCC. He briefly explained the three components of ASTeCC; as a concept, as a building, and as a program.

The first component of ASTeCC is the concept. The idea for ASTeCC was born in the late 1980's and through discussions among people at UK an approach was made to secure federal funding for the project. There were four separate appropriations made by Congress over a four-year period that led to the initial \$16-17 million allocation that created the ASTeCC building. The idea was supported by two separate federal agencies. The initial year funding came through the Economic Development Administration and the last three years of appropriations came through the Small Business Administration.

He noted the five objectives of ASTeCC:

1. To conduct high quality research in interdisciplinary fields.
2. To transfer technology from the University to the private sector.
3. To provide financial incentives for entrepreneurial faculty that will enable them to remain on the faculty while pursuing their business interests.
4. To enhance the income stream for the University.
5. To facilitate economic development.

He emphasized one of the role's for ASTeCC is to create jobs for UK graduates. The graduates with degrees in biology, chemistry, etc. will hopefully be able to find employment in the businesses that are coming out of ASTeCC. Since ASTeCC could not be all things to all people, focal areas were identified;

Biopolymers, Computational Sciences, Materials Sciences, Molecular Biology and Pharmaceutical Engineering.

Dr. Fink said the second component of ASTeCC is the building. It is an 80,000 sq. ft. facility and was constructed in four phases. The building opened in November 1994. It is connected to the Engineering Complex on one side and the Computing Center on the other side. There are 19 faculty-led research groups in the building and eight for-profit companies. The five colleges that the faculty members are affiliated with are: Agriculture, Allied Health Professions, Arts and Sciences, Engineering and Pharmacy. The building has shared support services as well as shared research resources such as Nuclear Magnetic Resonance Services, a Mass Spectrometer machine, and high speed centrifuges, etc.

The for-profit entities housed in the building are as follows: Affinity Labeling Technologies, Inc.; Bio-Products International Corporation; De Novo, Inc.; Equine Biodiagnostics, Inc.; K & K Biosciences, Inc.; Promogen, Inc.; Tigen Pharmaceuticals, Inc.; and Valvoline, Inc.

He said the final component of ASTeCC is the program. It is a program in which the University is attempting to facilitate economic development, economic diversity, diversify the economic base of the Commonwealth by bringing in high tech enterprises, encouraging their development and getting the faculty to focus their efforts in areas that have the potential for commercial development in the future. He noted that the Center conducts technical and administrative seminars monthly which are open to the public.

Dr. Fink invited the members of the Board to tour the facility at the conclusion of the Board meeting.

President Wethington expressed appreciation to Dr. Fink for a good report on the Advanced Science and Technology Commercialization Center.

E. Personnel Actions (PR 2)

President Wethington recommended that approval be given to the appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted. Dr. Meriwether moved approval. The motion, seconded by Mrs. Bell, carried. (See PR 2 at the end of the Minutes.)

F. Proposed Amendment to the Governing Regulations (PR 3A)

President Wethington recommended that the proposed amendment to the Governing Regulations of the University of Kentucky be approved. He reminded the Board that the proposed amendment was received for

preliminary consideration at the regular meeting of the Board on December 10, 1996. On motion made by Mrs. Bell and seconded by Mr. Miles, PR 3A was approved. (See PR 3A at the end of the Minutes.)

G. Resolution of the University of Kentucky Alumni Association Amending the Nomination Process for Alumni Trustees (PR 3B)

President Wethington said PR 3B is a Resolution of the University of Kentucky Alumni Association amending the nomination process for alumni trustees. He asked Joe Burch to explain the Resolution.

Mr. Burch said the UK Alumni Association Board of Directors discussed and considered the procedure for the selection of alumni trustees who are recommended to the Board and then to the Governor for appointment. The proposed amendments would shorten the amount of time taken in the process to allow the Governor to receive the recommendations closer to the time that the Governor makes the appointments. He noted the other three changes in the Resolution and said that the Alumni Board of Directors recommends the Board of Trustees approve the Resolution.

President Wethington said he was pleased to recommend the approval of PR 3B. Mr. Bates moved approval. His motion, seconded by Mr. Guthrie, carried. (See PR 3B at the end of the Minutes.)

Mr. Guthrie stated it was an honor to be an alumni trustee on the University's Board. He said he had endorsed the proposed changes prior to his appointment and, in his opinion, they are in the best interest of the alumni. He supports the effort.

Mrs. Bell and Mr. Bates also concurred with the recommendation.

Governor Breathitt said in view of the unfortunate accident of Derek Anderson, student athlete, that he was very much impressed with the way that Coach Pitino and C. M. Newton had stressed the welfare of the student athlete first instead of talking about its impact on the team. He wished Derek Anderson the very best of treatment, an early recovery and future success. He said he also appreciated the recognition that was given to the outstanding academic achievement of the football team during half time at the basketball game on January 18th. The recognition is a credit to the athletic department and to the coaching staffs of Jerry Claiborne and Bill Curry.

H. Acceptance of Interim Financial Report for the University of Kentucky for the Six Months Ended December 31, 1996 (FCR 1)

Mr. Hardymon, Chairperson of the Finance Committee, reported that the Finance Committee met prior to the Board meeting and considered nine

items for action. FCR 1 is a recommendation that the Board accept the University of Kentucky interim financial report for the six months ended December 31, 1996. The realized income is 51% of the budget and expenditures are 49% for the fiscal year. The University continues to have a positive cash flow and is in excellent financial condition. He moved approval of FCR 1. Mr. Chellgren seconded the motion, and it passed. (See FCR 1 at the end of the Minutes.)

I. Disposal of Surplus Property (FCR 2)

Mr. Hardymon reviewed the item in Attachment I and moved that the Board approve the disposition of surplus property as listed in the attachment to FCR 2. Mr. Reed seconded the motion, and it passed. (See FCR 2 at the end of the Minutes.)

J. Report of Leases (FCR 3)

Mr. Hardymon said that FCR 3 is the report of three new leases that are valued at less than \$30,000 per year. He noted one of the new leases is for temporary residential property for the Acting President at Ashland Community College. He said the leases were competitive and moved approval of FCR 3. Mr. Guthrie seconded the motion, and it passed. (See FCR 3 at the end of the Minutes.)

K. Patent Assignment (FCR 4)

Mr. Hardymon said FCR 4 is an invention which provides a computer implemented method for achieving the optimum selection of machining parameters and tool inserts for finish turning operations. He moved approval of FCR 4. Mr. Grayson seconded the motion, and it carried. (See FCR 4 at the end of the Minutes.)

L. Patent Assignment (FCR 5)

Mr. Hardymon said that FCR 5 is another invention concerning coal fly ash. The invention utilizes electrostatic forces to selectively separate unburned carbon from fly ash and then to concentrate that usable coal fly ash in a separate product stream. He moved approval. His motion, seconded by Professor Miller, passed. (See FCR 5 at the end of the Minutes.)

M. Revision of the Policy Statement on Tuition and Fee Refunds to Students (FCR 6)

Mr. Hardymon stated that FCR 6 recommends a revision of the policy statement on tuition and fee refunds to students that will adjust the schedule for refunds from a maximum of eight weeks to four weeks, to become effective

in the fall of 1997. The change will make the refund policy more consistent with the policy used by benchmark institutions both for the University System and the Community College System. He recommended approval of FCR 6. Mr. Chellgren seconded the motion, and it passed with the exception of three nay votes. (See FCR 6 at the end of the Minutes.)

Professor Miller said he supported the more liberal eight week policy for refunds because many students in the Community College System have commitment to families or jobs and need the extra time.

N. Avon Products, Inc. Gift (FCR 7)

Mr. Hardymon said FCR 7 is a recommendation that the Board of Trustees accept a \$100,000 gift from Avon Products, Inc., New York, for the Markey Cancer Center. The gift is one of ten Avon Breast Cancer Leadership Awards given nationwide to honor outstanding individuals and programs that make contributions in breast cancer education, outreach, and quality of life research. The award to the Kentucky Cancer Program honors Dr. Gilbert H. Friedell and the Kentucky Cancer Program of which he is co-director. The Kentucky Cancer Program has nine regional offices across the state. It offers preventive cancer information and designs innovation outreach programs. He recommended approval of FCR 7. Dr. Meriwether seconded the motion, and it passed. (See FCR 7 at the end of the Minutes.)

O. Dr. Claire Louise Caudill Professorship in Family Medicine (FCR 8)

Mr. Hardymon said FCR 8 recommends the Board approve the establishment of an endowed professorship in the College of Medicine Department of Family Medicine entitled the Dr. Claire Louise Caudill Professorship in Family Medicine. A family physician in Morehead, Kentucky, for nearly 50 years, Dr. Caudill is the sister of Lucille Caudill Little. She received an honorary degree from UK in 1992. The W. Paul and Lucille Caudill Little Foundation will fund the professorship with annual contributions to come from an endowment set up outside the University. The professorship is to be awarded to an outstanding teacher and physician who shares Dr. Caudill's practice of great interest in family medicine and her commitment to improving the quality of life for Kentuckians through community service. Mr. Hardymon recommended approval of FCR 8. His motion was seconded by Mr. Grayson, and it carried. (See FCR 8 at the end of the Minutes.)

President Wethington thanked Ms. Little and Dr. Caudill for their many contributions to Kentucky and to the University of Kentucky and for their continued support of the University. He asked them to stand and be recognized, following which they received a round of applause.

Governor Breathitt said both Dr. Caudill and Ms. Little are role models in Kentucky for citizenship and service. They are heroes for all Kentuckians for their many contributions.

P. Naming of Fellowship Awards (FCR 9)

Mr. Hardymon said FCR 9 is the naming of Fellowship Awards and he asked Dr. Fitzgerald Bramwell, Vice President for Research and Graduate Studies, to comment.

Dr. Bramwell said that after eighteen years of administrative service, including six and one-half years as Dean of the Graduate School, Dr. Daniel R. Reedy will be returning to the Department of Spanish and Italian. Dr. Reedy's contributions to graduate education are many and varied, and his long-term stewardship of the Graduate School has been marked by tremendous growth, expansion and by a significant increase in quality and standards.

The Quality Achievement Fellowships, instituted by Dean Reedy, were first offered in 1991-92 to graduate students. They provide a supplemental stipend to be added on a regular fellowship, teaching assistantship or research assistantships. The awards are potentially renewable for a second year for master's students or for a total of three years for doctoral students. The fellowships enable the University to attract outstanding graduate students by providing a critical margin of financial support and additional prestige to the graduate program's regular offerings. It is, therefore, fitting that the highly competitive and prestigious awards be named for Dean Reedy whose name has been synonymous with excellence and achievement in graduate education.

Mr. Hardymon moved approval of FCR 9. Professor Mather seconded the motion, and it passed. (See FCR 9 at the end of the Minutes.)

President Wethington acknowledged Dr. Reedy's presence in the audience and thanked him for his many contributions to the academic programs of the University of Kentucky, particularly the Graduate Program. He said it was a pleasure for the administration to recommend to the Board that the Quality Achievement Fellowships be named in Dr. Reedy's honor. He asked Dr. Reedy to stand and be recognized. He was given a round of applause.

Q. Meeting Adjourned

There being no further business to come before the Board, the Chairperson adjourned the meeting at 1:58 p.m.

Respectfully submitted,

Richard E. Plymale
Assistant Secretary
Board of Trustees

(PR 2, 3A, and 3B; FCR 1, 2, 3, 4, 5, 6, 7, 8, and 9 which follow are official parts of the Minutes of the meeting.)

Office of the President
January 21, 1997

PRESIDENT'S REPORT TO THE BOARD OF TRUSTEES

1. UK Again Ranks in Top 10 for Freshman National Merit Scholars

UK ranks 10th among all public institutions in the number of freshman National Merit Scholars who enrolled last fall, and 23rd among all colleges and universities in the United States. UK enrolled 72 National Merit Scholars, also placing it first among all Kentucky institutions, third among Southeastern Conference schools and second among 11 benchmark institutions. This is the fourth year in a row the number of National Merit Scholars in the freshman class has enabled UK to rank among the top 10 public institutions.

2. Chandler Medical Center Opens Kentucky Clinic North

UK's Chandler Medical Center has opened a new community-oriented clinic which will provide primary care in a medically underserved area of Lexington. Kentucky Clinic North, which officially opened on December 16, is located at its temporary site on Third Street, across from the fire station. The location was identified by the Lexington-Fayette Urban County Government and is being leased by UK. It is anticipated that a permanent building will be constructed in the northside area in the next two years. Initial funds to develop the new clinic came from a one-time Medicaid payment awarded to the UK Hospital last year. Medicaid allows states to match federal funds to extend care to medically underserved populations.

3. UK Celebrates Martin Luther King Jr. Holiday

UK and the city of Lexington again this year sponsored the annual Martin Luther King Jr. Day holiday celebration on January 20. "Let the Light of Youth Shine: Honoring the Legacy of Dr. Martin Luther King Jr." was the theme for this year's celebration. This year's featured speaker was 15-year-old Ayinde Jean-Baptiste, who is best known for his speech at the 1995 Million Man March in Washington, D.C. The 10-year-old event began with the annual march and was followed by the celebration in Heritage Hall. The Chandler Medical Center's annual celebration was held on January 16. Medical Center students performed musical selections and gave presentations on what the holiday means to them.

4. Robinson Scholarship Program Implemented at Southeast CC

UK's Robinson Scholarship Program, funded by the E.O. Robinson Trust, has been implemented at Southeast Community College. The program seeks to provide assistance to junior high school students in 29 Kentucky counties who otherwise would be unable to attend college. Southeast, Hazard and Prestonsburg community colleges will administer the program in their respective service areas. The program will identify eighth-grade students with potential for success at the University of Kentucky, Lexington, or one of the community colleges, but who might be educationally and socially impeded by economics, cultural, family and/or institutional conditions.

5. Three Named to Gatton Business College Hall of Fame

Three new members will be inducted into the Carol Martin Gatton College of Business and Economics Alumni Hall of Fame on February 7. The new inductees are: Roy W. Bahl Jr., a Florida native who received a master's degree in 1963 and a doctorate in economics from UK in 1965; Roger D. McDaniel, a Lexington native who received a master's degree in business administration in 1966; and James W. Stuckert, who earned a master's degree in business administration in 1961. Bahl is dean of the School of Policy Studies at Georgia State University; McDaniel has been associated with the Monsanto Company since 1962; and Stuckert has been chairman and chief executive officer of J.J.B. Hilliard, W.L. Lyons & Company since 1995.

6. Mike Schellenberger Awarded \$5,000 NCAA Post-Graduate Scholarship

UK linebacker Mike Schellenberger is one of only 14 Division I-A football players awarded a \$5,000 National Collegiate Athletics Association Post-Graduate Scholarship based on a combination of athletic and academic excellence. Schellenberger and Heisman Trophy winner Danny Wuerffel are the only two Southeastern Conference players chosen to receive the scholarship. Schellenberger, who won UK's Most Outstanding Linebacker Award as a junior and senior, graduated last May with a 3.78 grade point average in finance and is pursuing a master's degree in business administration.

7. Hopkinsville Regional Technology Center Establishes Advisory Council

Officials have announced the establishment of an advisory council that will help develop the Regional Technology Center on the campus of Hopkinsville Community College. The council will be composed of 18 members, including representatives from Hopkinsville Community College and from Kentucky Tech. Mike Wright, regional executive director of Kentucky Tech, and A. James Kerley, president of HCC, will serve on the council.

8. Cheerleaders Win Third Straight National Championship

The UK cheerleaders won first place among large schools competing in the Universal Cheerleaders Association Championships in Orlando, Florida, earlier this month. With the victory, UK became the first school in its division to win the title three consecutive years. Wildcat cheerleaders have taken home the title a record seven times. Gavin Duerson, who wears the UK Wildcat costume, placed second in the mascot competition.

9. Agriculture Economics Professor Named Fulbright Scholar

Mary Marchant, an associate professor of agricultural economics in the College of Agriculture, has been named a Fulbright Scholar for 1998. Marchant will teach and perform research in Viterbo, Italy, during the first three months of 1998. Marchant has been a member of the UK faculty since 1988.

10. Liver Transplant Patient and Transplant Directors Testify in Washington

Doris Frances of Georgetown, one of UK Hospital's liver transplant patients, testified in Washington, D.C., on December 12 before a special panel called by Health and Human Services Undersecretary Phillip Lee. She shared her experience as a liver transplant recipient during the panel's discussion about how donated organs should be distributed. Also testifying were Dinesh Ranjan, director of UK's liver transplant program, and Bruce Lucas, director of UK's kidney transplant program, immediate past president of the United Network for Organ Sharing and president of the Kentucky Organ Donor Affiliates. They spoke on the issues of liver allocation and increasing organ donation.

11. Clark Foundation and University Press Receive Challenge Grant

The Thomas D. Clark Foundation has received a \$300,000 challenge grant from the National Endowment for the Humanities to allow the University Press of Kentucky to enhance its humanities book publishing program. The grant is one of only two given this year to support American university presses. Such funding enables the University Press to handle large projects such as "A New History of Kentucky," to be released in April, and the new "Atlas of Kentucky." Appalachian studies and adult literacy texts also will receive support from the grant.

12. Southeast Community College Makes Technological Progress

Southeast Community College will open its state-of-the-art Interactive Television Classroom (ITV) this semester. The first of its kind in Southeastern Kentucky, the classroom is linked with a central ITV studio on the Lexington Campus.

13. New Urgent Treatment Center Opens in Frankfort

Health Associates of Kentucky, Inc., a corporation approved by the UK Board of Trustees last year to develop a system for providing medical care, has opened a new Urgent Treatment Center in Frankfort. Health Associates of Kentucky acquired the three Urgent Treatment Centers in Lexington last year. This is the first urgent treatment facility of its kind in the Frankfort area.

14. Lani Guinier to Deliver 1997 Blazer Lecture

Lani Guinier, an internationally known speaker on the public discussion of race issues, will deliver the 1997 Blazer Lecture on February 6 in Memorial Hall. Guinier is the founder of Commonplace, an organization which promotes public discussions of races issues. The Blazer Lecture Series was endowed in the UK College of Arts and Sciences in 1948 by Paul G. Blazer Sr., founder of Ashland Oil, and his wife, Georgia Blazer, the first woman to serve on the UK Board of Trustees.

15. Jefferson Community College Honored as 'Family Friendly'

Jefferson Community College has received a Silver Award given by the Business and Family Partnership of Jefferson County. The group annually honors those local businesses and institutions that actively incorporate and encourage "family friendly" work policies in their benefit packages.

16. UK Participating in Pew-Sponsored Faculty Teacher Training Program

UK is among 18 research universities chosen to participate in a \$1 million Pew Charitable Trust sponsored program which places special emphasis on teacher training for new faculty members. Among the other universities participating in the program are Howard, Cornell, Duke, Emory, Florida State, Marquette and Northwestern universities. UK's participation is through the Teaching and Learning Center.

17. LCC Participates in Welfare Reform Initiatives Planning Committee

Lexington Community College administrators are involved with other Lexington community leaders in the development of a strategy to respond to welfare reform initiatives. Coordinated by the Lexington-Fayette Urban County Government, "Lexington Makes It Work: A Planning Process for Self-Sufficiency" is being designed to assist welfare recipients through the process of job training through job placement and self-sufficiency. LCC President Janice N. Friedel and eight members of the college's staff serve on the strategy committee.

18. Department of Entomology's Home Page Proves Popular

The home page of the Department of Entomology in the College of Agriculture receives more than 12,000 "hits," or visits, per month, ranking it among the top UK home pages for frequency of individual access by computer users. (The home page for the Registrar's Office receives the most.) More than half of the requests addressed to the home page relate to the identification and management of insects and pests, and additional requests come from school-age children attracted by such home page features as the "Mystery Bug of the Week," which is supplemented by pictures and sound. The home page address on the World Wide Web is: www.uky.edu/Agriculture/Entomology/Enthp.htm

19. Student Awards and Honors

Mary Leshia Adkins, Prestonsburg Community College, has received a \$1,000 American Dental Association scholarship.

Margaret Colwell, Hazard Community College, is the recipient of a \$200 scholarship as the winner of the college's first Speak-Off contest sponsored by the college's communications faculty.

Ben Hopper, a graduate of Henderson Community College, received a national award for his cartoon strip "Newt and Germ," which appeared in the college's student newspaper, The Hill. Hopper, now a cartoonist for UK's student newspaper, the Kentucky Kernel, received the award from the College Media Advisers.

Sara Scureman, Lexington Community College, was highlighted in the November issue of "Update," a publication of the Lexington Sister Cities Commission, for her participation in an exchange program at St. Patrick's College/Maynooth in County Kildare, Ireland.

20. Significant Activities of Faculty and Staff

Harriette Arrington, curriculum and instruction, was the keynote speaker at the annual conference of the Alabama Reading Association.

Mary Rose Bauer, nursing services, is the recipient of a \$1,000 Nursing Research Award for her study on how to diminish pain associated with intravenous therapy.

Barbara Blake, nursing services, was honored by the American Red Cross with a Special Citation for Exceptional Volunteer Service.

Ellen Brightwell, agricultural communications, received the Kentucky Association of Government Communicators' 1996 Member Award for outstanding service.

Dana Calland, Terry Pasley and Darrel Abney, Maysville Community College, co-authored "Introduction to Functions through Applications," a mathematics textbook produced as a part of the National Science Foundation Advanced Technological Education Project.

James C. Chapman, vice chancellor for outreach and public service, has been elected chairman of the Lexington Commission on Race Relations.

Kate Chard, counseling psychology, has received a \$169,000 grant from the National Institute of Mental Health to research a psychotherapy model for survivors of child sexual abuse.

Larry Chiswell, Lexington Community College, has been appointed to an ad hoc committee to revise the Dental Assisting/Dental Hygiene Articulation Transfer Agreement between the Workforce Development Cabinet, Department for Technical Education and UK's Community College System.

Kathy Guyn, Southeast Community College, has been re-appointed to the Kentucky Educational Television Friends Board.

John N. Hall III, Hopkinsville Community College, received a national Communicator Award for a recruitment video produced for the college.

Kim Haydon, Community College System, is the recipient of the first Founder's Award for Service to the Kentucky Industrial Development Council (KIDC). The award was presented by Kentucky Governor Paul Patton during the November meeting. Haydon also was named to the KIDC Board of Directors.

Janet Kelty Hicks, Lexington Community College, is the recipient of the 1996 National League for Nursing's nursing education award.

Lisa Houha, Hopkinsville Community College, had her work, "Bonne Femme Parfait," a mixed media on wood, selected for exhibition in December at the Chuck Levitan Gallery in New York City.

Geoffrey Ibbott, radiation medicine, has been elected a fellow of the American Association of Physicists in Medicine.

The University of California-Riverside will honor Darren Johnson, surgery, in February as the "Outstanding Young Alumnus of 1996-97."

Six community college faculty members were awarded Chancellor's mini-grants valued at \$4,437 for the spring semester. The faculty and their projects are: Joseph Napora, Ashland, "The Internet Student Research: A Guide and A Handbook;" Cynthia Atkins and Sandy Cavanah, Hopkinsville, "Maximizing Your Net Worth in English 102;" Susan Edington, Madisonville, "Learning Profiles and Accompanying Educational Plans: Proposed Aids to Academic Success;" Lana Kay Barrett, Owensboro, "Successful Learning of Developmental Mathematics;" and Mo Hassan, Elizabethtown, "Operation Internet Integration."

Robert Perry, Prestonsburg Community College, received a certificate of achievement from the board of directors of the Historic Confederation of Kentucky for his work on the May House Project.

Joe Scopa, Southeast Community College, has been elected to the board of directors of the Kentucky Humanities Council.

Linda Spoelman and Katherine Thomas, Southeast Community College, presented a paper, "Global Connections with Caribbean Literature," at the third national Historically Black Colleges and Universities meeting. They also recently presented a paper at the Association for Caribbean Studies.

Paul Taylor, Lexington Community College, has been named president-elect of the Southern Association of Collegiate Registrars and Admissions Officers (SACRAO). He is the fifth Kentucky educator and the first from a UK community college in SACRAO's 50-year history to be chosen president-elect.

Bruce Webb, agriculture, has received a \$298,000 research grant from the National Science Foundation to support his work through the year 2000. He also was named a member of the editorial board of the Journal of General Virology.

Judith Worell, educational and counseling psychology, is the incoming president of the Division of Psychology of the American Psychological Association.

PR 2

Office of the President
January 21, 1997

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: Approved Disapproved Other _____

CENTRAL ADMINISTRATION

I. **BOARD ACTION**

A. **RETIREMENT**

Controller and Treasurer

Chapman, Lorene, Staff Assistant, Accounts Payable, after 22.5 consecutive years of service, under AR II-1.6-1 Section III.B, effective 1/2/97.

B. **EARLY RETIREMENT**

Vice President for Research and Graduate Studies

Gust, Clarence, Technical Services Manager, Center for Applied Energy Research, after 19.5 consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 12/31/96.

II. **ADMINISTRATIVE ACTION**

A. **ADMINISTRATIVE APPOINTMENT**

Vice President for Research and Graduate Studies

Andrews, Jr., William M., Geologist I, Kentucky Geological Survey, effective 12/11/96.

COMMUNITY COLLEGE SYSTEM

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

Somerset Community College

Crabtree, R. Mitchell, Assistant Professor in the Community College System,
1/1/97 through 6/30/97.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Transfer from Owensboro Community College to Jefferson Community College

Miley, Jennna, Instructor in the Community College System, 1/1/97 through
6/30/97.

Lexington Community College

Haney, Charlotte A., Instructor in the Community College System (voluntary),
12/5/96 through 6/30/99.

Workman, William J., Instructor in the Community College System (voluntary),
11/25/96 through 6/30/99.

Zink, Philip H., Instructor in the Community College System (voluntary),
12/20/96 through 6/30/99.

Madisonville Community College

Bare, Anthony, Instructor in the Community College System (voluntary),
10/1/96 through 8/21/98.

Bergeron, Annette L., Instructor in the Community College System (voluntary),
10/1/96 through 8/21/98.

Caturano, Robert, Instructor in the Community College System (voluntary),
9/1/96 through 8/21/99.

Cornelison, Hope, Instructor in the Community College System (voluntary),
10/23/96 through 8/21/98.

Ellis, Dana A., Instructor in the Community College System (voluntary),
9/1/96 through 8/21/98.

Gilabert, Susanne, Instructor in the Community College System (voluntary),
9/1/96 through 8/21/98.

Goebel, Jeanine A., Instructor in the Community College System (voluntary),
9/1/96 through 8/21/98.

Higdon, Evonne, Instructor in the Community College System (voluntary),
10/30/96 through 8/21/98.

Hobgood, Vickie A., Instructor in the Community College System (voluntary),
9/1/96 through 8/21/98.

Hooper, Laurie, Instructor in the Community College System (voluntary),
10/1/96 through 8/21/98.

Hunt, Karry Sue, Instructor in the Community College System (voluntary),
9/1/96 through 8/21/98.

King, Ron, Instructor in the Community College System (voluntary),
9/1/96 through 8/21/98.

LeFurge, Lance, Instructor in the Community College System (voluntary),
10/1/96 through 8/21/98.

Lesh, Carol, Instructor in the Community College System (voluntary),
1/1/97 through 8/21/98.

Lisanby, Roger, Instructor in the Community College System (voluntary),
10/30/96 through 8/21/98.

Mahan, Penny, Instructor in the Community College System (voluntary),
11/1/96 through 8/21/98.

Mayes, John K., Instructor in the Community College System (voluntary),
10/30/96 through 8/21/98.

Marriott, S., Instructor in the Community College System (voluntary),
10/30/96 through 8/21/98.

Milam, Stacie, Instructor in the Community College System (voluntary),
10/23/96 through 8/21/98.

Nelson, Paul, Instructor in the Community College System (voluntary),
9/1/96 through 8/21/98.

Norris, Julie D., Instructor in the Community College System (voluntary),
9/1/96 through 8/21/98.

Parker, Ken, Instructor in the Community College System (voluntary),
9/1/96 through 8/21/98.

Scalfaro, Terry, Instructor in the Community College System (voluntary),
10/1/96 through 8/21/98.

Shelton, Ray, Instructor in the Community College System (voluntary),
9/1/96 through 8/21/98.

Stuart, H. B., Instructor in the Community College System (voluntary),
10/30/96 through 8/21/98.

Thompson, Darrel, Instructor in the Community College System (voluntary),
10/1/96 through 8/21/98.

Paducah Community College

Elrod, Theresa, Instructor in the Community College System (voluntary),
1/31/97 through 1/30/00.

Smith, Judy N., Instructor in the Community College System (voluntary),
2/1/97 through 1/31/00.

Prestonsburg Community College

Wright, Terry, Instructor in the Community College System (voluntary),
1/1/97 through 4/30/97.

Southeast Community College

Pletcher, Shelley, Instructor in the Community College System (voluntary),
1/1/97 through 12/31/99.

B. ADMINISTRATIVE APPOINTMENTS

Office of the Chancellor

Bruce, Amy, Development Assistant II, effective 12/3/96.

C. LEAVES OF ABSENCE

Elizabethtown Community College

Beattie, Linda E., Associate Professor in the Community College System (with
tenure), sabbatical leave with half pay, 1/1/97 through 6/30/97.

Hazard Community College

Cook, Alicia, Associate Professor in the Community College System, leave of
absence without pay, 1/1/97 through 6/30/97.

LEXINGTON CAMPUS

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Mikel, William B., Associate Extension Professor (without tenure), Animal Sciences, 12/19/96 through 6/30/97.

Wiedenbeck, Janice K., Associate Professor (without tenure), Forestry, 12/1/96 through 6/30/97.

College of Law

Connelly, Allison I., Professor (temporary), Law, 1/13/97 through 6/30/97.

Goodman, Elizabeth Muth, Professor (part-time), Law, 1/13/97 through 5/15/97.

B. ADMINISTRATIVE APPOINTMENT

Vice Chancellor for Academic Affairs and Research

Worley, Linda K., Associate Professor (with tenure), German, College of Arts and Sciences, and Director, Teaching and Learning Center, appointed Associate Dean of Undergraduate Studies, effective 12/1/96.

C. REAPPOINTMENTS

College of Law

Elliott, Robert L., Professor (part-time), Law, 1/13/97 through 5/15/97.

Garner, William R., Professor (part-time), Law, 1/13/97 through 5/15/97.

Hamblin, Pierce W., Professor (part-time), Law, 1/13/97 through 5/15/97.

Savage, Joe C., Professor (part-time), Law, 1/13/97 through 5/15/97.

D. PROMOTIONS

College of Agriculture

Coyne, Mark S., from Assistant Professor, Agronomy, to Associate Professor (with tenure), Agronomy, effective 1/1/97.

Kurzynske, Janet S., from Assistant Extension Professor, Nutrition and Food Science, to Associate Extension Professor (with tenure), Nutrition and Food Science, effective 1/1/97.

E. RETIREMENTS

College of Arts and Sciences

Aleem, M.I.H., Professor (with tenure), Biological Sciences, after 30.5 consecutive years of service, under Section III.B of AR II-1.6-1, effective 12/31/96.

College of Engineering

Dillon, Oscar W., Professor (with tenure), Engineering Mechanics, after 31.5 consecutive years of service, under Section III.B of AR II-1.6-1, effective 12/31/96.

Grayson, Calvin, Director, Kentucky Transportation Center, after 12.25 consecutive years of service, under Section III.B of AR II-1.6-1, effective 2/28/97.

Vice Chancellor for Administration

Sidney, Virginia M., Cook/Baker III, Donovan Cafeteria, Auxiliary Services, after 41.33 consecutive years of service, under Section III.B of AR II-1.6-1, effective 1/17/97.

F. EARLY RETIREMENT

Vice Chancellor for Administration

Green, John B., Custodial Worker Supervisor I, Custodial Services, Physical Plant Division, after 29.9 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 1/31/97.

G. POST-RETIREMENT APPOINTMENT

College of Human Environmental Sciences

Payne, David C., Associate Professor (part-time), Family Studies, 1/1/97 through 5/15/97.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Falcone, Deane L., Assistant Professor, Agronomy, 1/17/97 through 6/30/97.

Gan, Susheng, Assistant Professor, Agronomy, 1/6/97 through 6/30/97.

Rohlf, Richard A., Adjunct Assistant Professor, Biosystems and Agricultural Engineering, 1/2/97 through 12/31/99.

College of Business and Economics

Taylor, Valerie A., Instructor, Management, 8/1/97 through 6/30/98.

College of Engineering

Dickey, Elizabeth C., Assistant Professor, Chemical and Materials Engineering, 1/15/97 through 6/30/98.

Plemmons, James K., Adjunct Assistant Professor, Civil Engineering, 1/1/97 through 6/30/98.

College of Human Environmental Sciences

Faith, Jane K., Instructor (voluntary), Nutrition and Food Science, 2/1/97 through 6/30/99.

Hughes, Robin, Instructor (voluntary), Nutrition and Food Science, 2/1/97 through 6/30/99.

Linebaugh, Julane, Instructor (voluntary), Nutrition and Food Science, 2/1/97 through 6/30/99.

Raitz, Carol, Instructor (voluntary), Nutrition and Food Science, 2/1/97 through 6/30/99.

College of Social Work

Ewalt, Jo Ann, Assistant Professor (part-time), Social Work, 1/1/97 through 5/15/97.

Miranne, Kristine B., Assistant Professor (part-time), Social Work, 1/1/97 through 5/15/97.

Peacock, Patricia L., Assistant Professor (part-time), Social Work, 1/1/97 through 5/15/97.

Riekert, Nan, Assistant Professor (part-time), Social Work, 1/1/97 through 5/15/97.

Walker, Edwina M., Assistant Professor (part-time), Social Work, 1/1/97 through 5/15/97.

Wallace, Peggy M., Assistant Professor (part-time), Social Work, 1/1/97 through 5/15/97.

B. ADMINISTRATIVE APPOINTMENT

College of Social Work

Sagan, Edgar, Associate Professor (with tenure), Educational Policy Studies and Evaluation, College of Education, and Acting Dean, College of Social Work, reappointed Acting Dean, College of Social Work, 7/1/97 through 6/30/98.

C. REAPPOINTMENTS

College of Engineering

Brauer, Elizabeth J., Assistant Professor, Electrical Engineering, 1/1/97 through 12/31/97.

College of Human Environmental Sciences

Browning, Mary H., Instructor (voluntary), Nutrition and Food Science, 2/1/97 through 6/30/99.

Burke, Ellen, Instructor (part-time), Family Studies, 1/1/97 through 5/15/97.

Eaves, Ava, Instructor (voluntary), Nutrition and Food Science, 2/1/97 through 6/30/99.

Hansen, Gary, Instructor (part-time), Family Studies, 1/1/97 through 5/15/97.

Kingsland, Elizabeth M., Instructor (part-time), Nutrition and Food Science, 2/3/97 through 5/15/97.

Langley, Barbara E., Instructor (voluntary), Nutrition and Food Science, 2/1/97 through 6/30/99.

Mahoney, Betsy, Instructor (voluntary), Nutrition and Food Science, 2/1/97 through 6/30/99.

Nolan, Bill, Instructor (part-time), Family Studies, 1/1/97 through 5/15/97.

Ortwein, Mary, Instructor (part-time), Family Studies, 1/1/97 through 5/15/97.

Pennington, Rose, Instructor (part-time), Family Studies, 1/1/97 through 5/15/97.

Stidolph, David L., Instructor (voluntary), Nutrition and Food Science, 2/1/97 through 6/30/99.

Tulloch, Charlotte, Instructor (part-time), Family Studies, 1/15/97 through 5/15/97.

Turner, Virginia, Instructor (voluntary), Nutrition and Food Science, 2/1/97 through 6/30/99.

White, Vicki, Instructor (part-time), Family Studies, 1/1/97 through 5/15/97.

College of Social Work

Roe, Jane K., Assistant Professor (part-time), Social Work, 1/1/97 through 5/15/97.

D. JOINT APPOINTMENT

College of Arts and Sciences

Crooks, Deborah L., Assistant Professor, Anthropology, joint appointment as Assistant Professor, Behavioral Sciences, College of Medicine, 2/1/97 through 6/30/98.

E. LEAVES OF ABSENCE

College of Agriculture

Brooks, Rhonda J., Expanded Food and Nutrition Assistant, Cooperative Extension Service, Leave without pay, 12/7/96 through 3/6/97.

Mowbray, John, Area Program Director, Cooperative Extension Service, Educational leave with full salary, 6/1/97 through 11/30/97.

Nielsen, Mark T., Associate Professor (with tenure), Agronomy, Leave without pay, 1/15/97 through 1/14/98.

College of Architecture

Rozenberg, Jerzy, Associate Professor (with tenure), Architecture, Sabbatical leave with full salary, 1/1/97 through 6/30/97.

College of Business and Economics

Dahlstrom, Robert, Associate Professor (with tenure), Management, Sabbatical leave with full salary, 7/1/97 through 12/31/97.

College of Engineering

Jaromczyk, Jerzy W., Associate Professor (with tenure), Computer Science, Sabbatical leave with full salary, 1/1/97 through 6/30/97.

College of Human Environmental Sciences

Ketch, Karen W., Associate Professor* (with tenure), Interior Design, Merchandising and Textiles, Sabbatical leave with full salary, 1/1/97 through 6/30/97.

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Medicine

Burnett, Eric A., Clinical Assistant Professor, Internal Medicine, 1/22/97 through 6/30/97.

Kearl, Gary W., Associate Professor (voluntary), Family Practice, 1/22/97 through 6/30/97.

Scutchfield, F. D., Professor (with tenure), Preventive Medicine and Environmental Health, joint appointment as Professor (without tenure), College of Allied Health Professions, Department of Health Services, effective 1/1/97 and named to hold the Peter P. Bosomworth Professorship for Health Policy and Research, effective 1/21/97.

B. JOINT APPOINTMENTS

College of Medicine

Nash, David A., Professor (with tenure), College of Dentistry, Department of Oral Health Practice, joint appointment as Professor (without tenure), Behavioral Science, effective 2/1/97.

C. PROMOTIONS

College of Medicine

Ruth, Douglas D., Assistant Professor (voluntary), Psychiatry, to Associate Professor (voluntary), Psychiatry, 1/22/97 through 6/30/97.

Simmons, Truman D., Clinical Assistant Professor, Diagnostic Radiology, to Clinical Associate Professor, Diagnostic Radiology, 1/22/97 through 6/30/97.

D. EARLY RETIREMENTS

College of Dentistry

Readnower, Elizabeth B., Administrative Assistant I, Oral and Maxillofacial Surgery, after 28.16 consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 1/17/97.

College of Medicine

Raleigh, Jennifer J., Department Administrative, Behavioral Science, after 19.33 consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 1/31/97.

Office of the Chancellor

Churchill, Charles L., Associate Department Administrator, University Health Service, after 20.66 consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 1/24/97.

University Hospital

Hardin, Phyllis, Registered Nurse, Department of Nursing, after 13.16 consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 12/6/96.

Pauer, Magdolna S., Account Clerk VII, General Accounting, after 16.33 consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 1/31/97.

Webb, Doris A., Cardiopulmonary Teach IV, Special Diagnostics, after 20.5 consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 1/3/97.

E. RETIREMENTS

College of Dentistry

Hardin, Margaret A., Account Clerk III, Dental Records, after 15.66 consecutive years of service, under AR II-1.6-1 Section III.B, effective 11/22/96.

College of Medicine

Haley, John V., Professor (with tenure), Behavioral Science, after 32.66 consecutive years of service, under AR II-1.6-1 Section III.B, effective 3/31/97.

University Hospital

Petrey, Minnie M., Account Clerk V, Patient Accounts, after 20.16 consecutive years of service, under AR II-1.6-1 Section III.B, effective 12/31/96.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

Bohannon, Tony, Instructor (voluntary), Clinical Sciences, 1/22/97 through 6/30/97.

Dilly, Elisa, Instructor (voluntary), Clinical Sciences, 1/22/97 through 6/30/97.

Durbin, Susan C., Instructor (voluntary), Clinical Sciences, 1/22/97 through 6/30/97.

Jackson, Donna C., Instructor (voluntary), Clinical Sciences, 1/22/97 through 6/30/97.

Kennedy, Julie, Instructor (voluntary), Clinical Sciences, 1/22/97 through 6/30/97.

Muscarella, Michael A., Instructor (voluntary), Clinical Sciences, 1/22/97 through 6/30/97.

Tilton, Lelia G., Instructor (voluntary), Clinical Sciences, 1/22/97 through 6/30/97.

Vajen, Thomas R., Assistant Professor (voluntary), Health Services, 1/22/97 through 6/30/97.

College of Dentistry

Brammell, Keith, Instructor (part-time), Oral Health Practice, 1/22/97 through 6/30/97.

Tharp, Kenneth L., Assistant Professor (part-time), Oral Health Practice, 1/22/97 through 6/30/97.

College of Medicine

Aumiller, John S., Assistant Professor (voluntary), Internal Medicine, 1/22/97 through 6/30/97.

Bartik, Mary M., Assistant Research Professor, Internal Medicine, 1/22/97 through 6/30/97.

Dhillon, Harbhajan S., Assistant Research Professor, Surgery, 2/1/97 through 6/30/97.

Matthew, William H., Assistant Professor (voluntary), Psychiatry, 1/22/97 through 6/30/97.

Thannoli, Natarajan, Assistant Professor (voluntary), Internal Medicine, 1/22/97 through 6/30/97.

Tyler, Ronald D., Assistant Professor (voluntary), Pediatrics, 1/22/97 through 6/30/97.

College of Pharmacy

Babb, Lisa K., Instructor (voluntary), 1/22/97 through 6/30/97.
Dilworth, Marty D., Instructor (voluntary), 1/22/97 through 6/30/97.
Edwards, Cathy, Instructor (voluntary), 1/22/97 through 6/30/97.
Embrey, Kay C., Instructor (voluntary), 1/22/97 through 6/30/97.
Hutchins, Frieda, Instructor (voluntary), 1/22/97 through 6/30/97.
Kwasiborski, Kati, Instructor (voluntary), 1/22/97 through 6/30/97.
Portwood, Krista, Instructor (voluntary), 1/22/97 through 6/30/97.
Smith, Jane, Instructor (voluntary), 1/22/97 through 6/30/97.
Stoots, Angela M., Instructor (voluntary), 1/22/97 through 6/30/97.
Underwood, Jerry, Instructor (voluntary), 1/22/97 through 6/30/97.

B. ADMINISTRATIVE APPOINTMENTS

College of Dentistry

Nash, David A., Dean and Professor (with tenure), Oral Health Practice, named Acting Chair, Departments of Oral Health Practice and Oral Health Science, 12/1/96 through 11/30/97.

C. JOINT APPOINTMENTS

College of Medicine

Bradley, B. Bradley, Assistant Professor (voluntary), College of Allied Health Professions, Department of Health Services, joint appointment as Assistant Professor (voluntary), Obstetrics and Gynecology, 9/1/96 through 6/30/97.
Crooks, Deborah L., Assistant Professor, College of Arts and Sciences, Department of Anthropology, joint appointment as Assistant Professor, Behavioral Science, 1/22/97 through 6/30/97.
Howard, John J., Assistant Professor (voluntary), College of Allied Health Professions, Department of Health Services, joint appointment as Assistant Professor (voluntary), Family Practice, 1/22/97 through 6/30/97.
Pienkowski, David, Assistant Professor, Research and Graduate Studies, Center for Biomedical Engineering, joint appointment as Assistant Professor, Surgery, 1/22/97 through 6/30/97.

D. REAPPOINTMENTS

College of Allied Health Professions

Jackson, Donna C., Instructor (voluntary), Clinical Sciences, 7/1/97 through 6/30/00.

E. CHANGES

College of Medicine

Bowers, Timothy K., from Assistant Professor (voluntary), Internal Medicine, to Assistant Professor (voluntary), Family Practice, 9/18/96 through 6/30/97.

F. TERMINAL REAPPOINTMENTS

College of Allied Health Professions

Boosalis, Maria G., Assistant Professor, Clinical Sciences, joint appointment as Assistant Professor, College of Medicine, Department of Internal Medicine, 1/15/97 through 1/14/98.

College of Nursing

Sward, Renate H., Instructor*, 1/1/97 through 12/31/97.

G. LEAVES OF ABSENCE

College of Medicine

Romond, Edward H., Associate Professor* (with tenure), Internal Medicine, sabbatical leave at full salary, 2/1/97 through 7/31/97.

*Special Title Series

PR 3A

Office of the President
January 21, 1997

Members, Board of Trustees:

PROPOSED AMENDMENT TO THE GOVERNING REGULATIONS

Recommendation: that the following proposed amendment to the Governing Regulations of the University of Kentucky is recommended for approval.

NOTE: Proposed additions are underlined; proposed deletions are bracketed.

Amendment - Change Part VII A1. to read

The Honors Program is an educational unit which is equivalent to a department, [and administratively responsible to the Dean of Undergraduate Studies.] Its chief administrative officer is a director who is equivalent to a department chairperson.

Rationale: This change is proposed because of a restructuring of the organization of the Lexington Campus. The position of Vice Chancellor for Academic Affairs has been restored and the Assistant Chancellor position deleted. The academic affairs matters assigned to the Assistant Chancellor and some of the responsibilities assigned to the Dean of Undergraduate Studies, including the Honor's Program, have been assigned to the Vice Chancellor for Academic Affairs and Research. This change is supported by the Chancellor of the Lexington Campus.

Action taken: Approved Disapproved Other _____

PR 3B

Office of the President
January 21, 1997

Members, Board of Trustees:

RESOLUTION OF THE UNIVERSITY OF KENTUCKY ALUMNI ASSOCIATION
AMENDING THE NOMINATION PROCESS FOR ALUMNI TRUSTEES

Recommendation: that the Board of Trustees approve a Resolution by the University of Kentucky Alumni Association which amends the rules and regulations for the nomination of the alumni trustee members of the Board of Trustees.

Background: Kentucky Revised Statutes Section 164.140 authorizes the Board of Trustees of the University of Kentucky to prescribe the method by which the alumni may nominate alumni trustee members to be appointed to the Board of Trustees.

Since 1935 the University of Kentucky Alumni Association Board of Directors has recommended the rules and regulations for nominating alumni trustees to the Board of Trustees for their approval. These rules and regulations were last amended on June 11, 1957.

On November 9, 1996, the University of Kentucky Alumni Association Board of Directors passed the attached resolution recommending amendments to the rules and regulations which would provide that each alumni association district be limited to no more than two candidates, the election be changed from fall to spring of the year, and alumni trustee incumbents not be permitted to succeed themselves.

Action taken:

Approved

Disapproved

Other _____

RESOLUTION

Presented to the Board of Trustees by the University of Kentucky Alumni Association January 21, 1997, outlining method of balloting for the alumni trustee members of Board of Trustees.

WHEREAS, Kentucky Revised Statutes Section 164.140 authorized the Board of Trustees of the University of Kentucky to prescribe rules and regulations for the nomination of alumni trustee members to be appointed to the Board of Trustees, and

WHEREAS, the use of a simplified ballot with which the biographical sketches of nominees can be consolidated utilizing the most effective and efficient distribution method in order to reduce expenses in mailing ballots, and

WHEREAS, it is desirable for these rules to be revised in order to clarify election and balloting procedures, and

NOW, therefore be it resolved that the following rules and regulations, as revised, shall govern the nomination of graduates of the University of Kentucky to be appointed to the Board of Trustees:

1. At a regular called meeting held not later than November 20, the Trustee Committee of the University of Kentucky Alumni Association shall nominate, from the graduates of the University, six persons who are qualified for appointment to the University Board of Trustees.
2. When the names of these six nominees have been certified by the Secretary of the Alumni Association, they shall be arranged alphabetically and placed on a ballot on which to record your vote.
3. Any in-state alumni association district is limited to no more than two candidates.
4. Each candidate may request one, and only one, list of active members of the UK Alumni Association.
5. The following election timeline in the year of the appointment by the Governor will be adhered to by the alumni office. The election will be held the second quarter of the year and completed by May 31. Ballots will be counted on the business day following the deadline for voting, and the three nominees receiving the most votes sent to the Secretary of the University Board of Trustees.
6. Alumni Trustee incumbents will not be permitted to succeed themselves in the following election, but will be eligible for any future alumni trustee election.

7. The ballots, so prepared, shall be mailed to those entitled to vote as their addresses appear in the files of the Alumni Office. With each ballot there shall be sent:
 - (a) A short biography of each candidate, which may contain among other things, the date of the candidate's graduation, their present and past occupation, and any public service which has been rendered.
 - (b) Necessary instructions for voting, including the number of persons to be voted for, and the method of marking and mailing the ballot.
 - (c) There also may be included other information or material of general interest to the alumni.
8. In the event that a ballot has been lost or otherwise destroyed, no person shall receive a duplicate ballot unless authorized by the Secretary of the Association. No person shall be permitted to vote other than by official ballot.
9. The vote shall be mailed or delivered to the Secretary of the Board of Trustees.
10. Each graduate may vote for any of the persons listed on the ballot. In order for a ballot to be valid no more than three persons can be voted for on any ballot.
11. Any ballot, to be counted, must be in the office of the Secretary of the Board of Trustees, not later than noon, of the deadline appearing on the ballot and no ballot received after that time shall be counted.
12. The ballots shall be counted by an election committee consisting of the Secretary of the Board of Trustees or their representative, the Secretary of the Alumni Association and other persons agreed on by them.
13. The Secretary of the Board shall certify to the Governor the names of three persons receiving the largest number of votes, from which the Governor may make appointment in accordance with the law.
14. Any details of the election not covered in the Kentucky Statutes or in these regulations shall be decided upon by the Board of Trustees.

REVISED NOVEMBER 9, 1996

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FCR 1

Office of the President
January 21, 1997

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE
UNIVERSITY OF KENTUCKY FOR THE SIX MONTHS ENDED
DECEMBER 31, 1996

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the six months ended December 31, 1996.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Health Care Collection Service.

As of December 31, 1996, the University had realized income of \$586,964,000 representing 51% of the 1996-97 estimate of \$1,143,495,000. Expenditures and commitments total \$564,764,000 or 49% of the approved budget of \$1,143,495,000.

Action taken:



Approved

Disapproved

Other _____

CONSOLIDATED BALANCE SHEET
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
DECEMBER 31, 1996
with comparative totals for December 31, 1995
(in thousands)

	1996-97					1995-96	
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
ASSETS							
Cash and cash equivalents	\$ 46,775	\$ 18,217	\$ 3,106	\$ 1,433	\$ 186,911	\$ 256,442	\$ 251,434
Notes, loans, and A/R (less bad debt allowances of \$11,005)	107,227	18,049	20,656	328	3,958	150,218	134,429
Investments	25,454	68,089		154,481	88,754	336,778	290,137
Property, plant, and equipment					1,311,151	1,311,151	1,223,247
Inventories and other	15,531	65				15,596	14,672
Total Assets	\$ 194,987	\$ 104,420	\$ 23,762	\$ 156,242	\$ 1,590,774	\$ 2,070,185	\$ 1,913,919
LIABILITIES AND FUND BALANCES							
Liabilities							
Accounts payable	\$ 21,377	\$ 1,336	\$ 436		\$ 30	\$ 23,179	\$ 28,729
Employee withholdings and deposits		11,057				11,057	9,100
Other liabilities	3,223	1,158			5,197	9,578	8,078
Liability for self insurance	37,496					37,496	34,604
Deferred income	21,969	10,800				32,769	20,710
Bonds payable					330,534	330,534	347,864
Capitalized lease obligation					34,101	34,101	37,342
Net deferred revenues and appropriated fund balances	29,484	1,733				31,217	37,340
Total Liabilities	113,549	26,084	436		369,862	509,931	523,767
Interfund Balances	(34,095)	35,340			(1,245)		
Fund Balances							
Current unrestricted							
Working capital	101,686					101,686	103,356
Future operating purposes	9,504					9,504	9,374
Other	4,343					4,343	3,334
Current restricted							
Loan		42,996	23,326			42,996	33,794
True endowments				\$ 92,276		92,276	75,735
Term endowments				10,026		10,026	8,658
Quasi endowments				53,940		53,940	50,058
Plant							
Retirement of indebtedness					46,378	46,378	41,968
Renewal and replacement					103,650	103,650	83,556
Allocated for designated projects					150,176	150,176	103,538
Net investment in plant					921,953	921,953	855,377
Total Fund Balances	115,533	42,996	23,326	156,242	1,222,157	1,560,254	1,390,152
Total Liabilities and Fund Balances	\$ 194,987	\$ 104,420	\$ 23,762	\$ 156,242	\$ 1,590,774	\$ 2,070,185	\$ 1,913,919

CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE SIX MONTHS ENDED DECEMBER 31, 1996
with comparative totals for December 31, 1995
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1996-97				1995-96	
	Original Budget	Revised Budget	Realized To Date	%	Realized To Date	%
General Fund						
(1) State appropriations	\$ 345,946	\$ 345,946	\$ 172,973	50	\$ 165,031	50
(2) Student fees	130,677	130,770	70,430	54	67,541	54
(3) Federal appropriations	15,240	15,240	6,976	46	6,721	44
(4) County appropriations	6,194	6,463	2,564	40	1,913	31
(5) Endowment income	822	822	235	29	247	31
(6) Investment income	8,599	8,599	6,322	74	5,970	72
Gifts and grants						
(7) Affiliated corporations	11,492	11,492	5,301	46	4,383	45
(8) Other	54,601	56,133	23,162	41	1,734	30
(9) Sales and services	45,436	45,857	19,086	42	14,209	47
(10) Fund balance	15,820	20,953	20,953	100	17,338	100
(11) Total General Fund	634,827	642,275	328,002	51	285,087	52
(12) Auxiliary Enterprises	42,603	42,904	20,629	48	20,353	52
(13) Restricted Funds	89,460	90,870	38,963	43	29,363	36
(14) Affiliated Corporations	140,679	141,065	72,639	51	67,128	54
Hospital						
(15) Revenues	226,381	226,381	126,731	56	122,302	54
(16) Fund balance					15,600	
Total Revenues and						
(17) Appropriated Fund Balances	<u>\$ 1,133,950</u>	<u>\$ 1,143,495</u>	<u>\$ 586,964</u>	51	<u>\$ 539,833</u>	52

CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE SIX MONTHS ENDED DECEMBER 31, 1996
with comparative totals for December 31, 1995
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1996-97				1995-96	
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(1) Instruction	\$ 275,018	\$ 275,315	\$ 134,085	49	\$ 114,419	48
(2) Research	130,517	132,580	63,078	48	58,368	47
(3) Public service	141,226	142,435	63,676	45	47,952	54
(4) Academic support	76,883	77,180	35,265	46	32,290	48
(5) Student services	25,559	26,364	13,318	51	12,567	49
(6) Institutional support	48,288	50,795	20,646	41	19,934	42
(7) Student financial aid	61,666	62,416	37,901	61	28,292	47
(8) Operation and maintenance	46,847	48,163	21,623	45	22,970	48
(9) Mandatory transfers (debt service)	33,018	33,018	32,932	100	32,623	99
(10) Hospital	227,014	227,014	107,133	47	111,882	46
(11) Auxiliary enterprises	67,914	68,215	35,107	51	32,506	52
(12) Total Expenditure by Program	<u>\$ 1,133,950</u>	<u>\$ 1,143,495</u>	<u>\$ 564,764</u>	49	<u>\$ 513,803</u>	50

EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE SIX MONTHS ENDED DECEMBER 31, 1996
with comparative totals for December 31, 1995
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1996-97				1995-96	
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(13) Personnel costs	\$ 647,590	\$ 650,559	\$ 320,108	49	\$ 280,408	50
(14) Operating expenses	393,908	398,206	177,335	45	157,079	44
(15) Mandatory transfers (debt service)	41,529	41,529	37,981	91	37,794	91
(16) Capital outlay	50,923	53,201	29,340	55	38,522	51
(17) Total Expenditure by Category	<u>\$ 1,133,950</u>	<u>\$ 1,143,495</u>	<u>\$ 564,764</u>	49	<u>\$ 513,803</u>	50

CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE SIX MONTHS ENDED DECEMBER 31, 1996
with comparative totals for December 31, 1995
(in thousands)

	(A)	(B)	(C)
	<u>1996-97</u>		<u>1995-96</u>
(1) Realized Revenues		\$ 566,011	\$ 506,895
Appropriated Fund Balances			
(2) Current Unrestricted Fund	\$ 20,953		17,338
(3) University Hospital			15,600
(4) Total Appropriated Fund Balances		<u>20,953</u>	<u>32,938</u>
(5) Total Revenues and Appropriated Fund Balances		586,964	539,833
(6) Expenditures/Encumbrances	564,764		513,803
(7) Less: Reserve for Encumbrances	<u>(8,560)</u>		<u>(8,076)</u>
(8) Total Expenditures		<u>556,204</u>	<u>505,727</u>
(9) Excess/(Deficit) Restricted Receipts Over Transfers to Revenues		<u>457</u>	<u>3,234</u>
(10) Net Deferred Revenues and Appropriated Fund Balances		<u>\$ 31,217</u>	<u>\$ 37,340</u>

FCR 2

Office of the President
January 21, 1997

Members, Board of Trustees:

DISPOSAL OF SURPLUS PROPERTY

Recommendation: that the Board of Trustees approve the disposal of surplus property as described in Attachment I.

Background: KRS 164A.575 requires that the governing Board sell or otherwise dispose of all surplus property which is no longer needed by the University. The Board must be provided with a description of the property and the reasons for the method of disposal. Attachment I provides the required information for requested surplus property disposal.

Action taken: Approved Disapproved Other _____

UNIVERSITY OF KENTUCKY
DISPOSAL OF SURPLUS PROPERTY

Property Description	Library Books and Journals
Reason for Disposal	Approximately 3,275 library books and journals have been selected from the Agriculture and Law Libraries to be sold. The books and journals are out-dated, obsolete editions that have been replaced with new volumes. All books and journals were offered to the community college libraries and other state supported school libraries. The Vice President of Information Systems and the Director of Libraries have recommended these items be sold to avoid storage costs and additional loss of value.
Method of Sale	The books and journals will be displayed for sale to faculty, staff, students, and the general public and will be priced from \$.50 to \$20.00.

FCR 3

Office of the President
January 21, 1997

Members, Board of Trustees:

REPORT OF LEASES

Recommendation: that the Board accept the President's Report of the following lease agreements in accordance with Board Policy:

A new lease between the University of Kentucky (Ashland Community College) and Ron Lemaster, 3406 Blackburn Avenue, Ashland, Kentucky, for property located at 1712 Blackburn Avenue, Ashland, Kentucky, effective December 1, 1996 through June 30, 1997, for the use of 920 square feet of residential property, at a rate of \$3,850.00, be accepted and made a matter of record.

A new lease between the University of Kentucky (Kentucky Homeplace) and Christian County Health Department, P. O. Box 647, Hopkinsville, Kentucky, for property located at 1700 Canton, Hopkinsville, Kentucky, effective January 22, 1997 through June 30, 1998, for the use of 503 square feet of office space, at a rate of \$3,000.00, be accepted and made a matter of record.

A new lease between the University of Kentucky (Kentucky Homeplace) and Alpha Johnson, P. O. Box 85, Bowling, Green, Kentucky, for property located at 223 Main Cross Street, Brownsville, Kentucky, effective December 9, 1996 through June 30, 1998, for the use of 790 square feet of office space, at a rate of \$2,400.00, be accepted and made a matter of record.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases entered into under this authority be reported to the Board.

Action taken: Approved Disapproved Other _____

FCR 4

Office of the President
January 21, 1997

Members, Board of Trustees:

PATENT ASSIGNMENT
RESEARCH AND GRADUATE STUDIES

Recommendation: that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: John P. Sadler, Ibrahim S. Jawahir, Zhongjie Da and Seog S. Lee, Serial No. (to be assigned), filed November 8, 1996.

Background: John P. Sadler, Ibrahim S. Jawahir, Zhongjie Da and Seog S. Lee have invented certain improvements in METHOD OF PREDICTING OPTIMUM MACHINING CONDITIONS. They have assigned their rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

Action taken: Approved Disapproved Other _____

ASSIGNMENT

WHEREAS, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the sole and exclusive owner, by assignment, of the following application for Letters Patent of the United States and the invention represented thereby:

Inventors: JOHN P. SADLER ET AL.

Serial Number:

Filed: NOVEMBER 8, 1996

For: METHOD OF PREDICTING OPTIMUM MACHINING CONDITIONS
and

WHEREAS, THE UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky and having a place of business in Lexington, Kentucky, is desirous of acquiring the entire right, title and interest in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION the entire right, title and interest in and to the aforesaid application and the invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries, the same to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Letters Patent may be granted, reissued or extended as fully as the same would have been held and enjoyed by said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this assignment, sale and transfer not been made; and said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications, as aforesaid, to issue to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, as assignee of the entire right, title

and interest, any and all Letters Patent for said invention including any and Letters Patent of the United States which may be issued or granted on or as a result of the application hereinbefore identified, in accordance with the terms of this agreement, and hereby covenants that it has the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

IN TESTIMONY WHEREOF, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has caused these presents to be signed by the Chairman thereunto duly authorized, and its seal to be affixed and attested this 21ST day of JANUARY, ¹⁹⁹⁷ 1996.

THE BOARD OF TRUSTEES OF THE
UNIVERSITY OF KENTUCKY

Edward T. Bickhill

S E A L

ATTEST:

Richard S. Pymale
Secretary, Board of Trustees

FCR 5

Office of the President
January 21, 1997

Members, Board of Trustees:

PATENT ASSIGNMENT
RESEARCH AND GRADUATE STUDIES

Recommendation: that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: John M. Stencel, John L. Schaefer, Heng (NMI) Ban, and Dennis Finseth, Serial No. 08/577,639, filed December 22, 1995.

Background: John M. Stencel, John L. Schaefer, Heng (NMI) Ban, and Dennis Finseth have invented certain improvements in METHOD AND APPARATUS FOR TRIBOELECTRIC-CENTRIFUGAL SEPARATION. They have assigned their rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

Action taken:

Approved

Disapproved

Other _____

ASSIGNMENT

WHEREAS, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the sole and exclusive owner, by assignment, of the following application for Letters Patent of the United States and the invention represented thereby:

Inventors: **JOHN M. STENCEL ET AL.**

Serial Number: 08/577,639

Filed: **DECEMBER 22, 1995**

For: **METHOD AND APPARATUS FOR TRIBOELECTRIC-CENTRIFUGAL SEPARATION;** and

WHEREAS, THE UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky and having a place of business in Lexington, Kentucky, is desirous of acquiring the entire right, title and interest in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION the entire right, title and interest in and to the aforesaid application and the invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries, the same to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Letters Patent may be granted, reissued or extended as fully as the same would have been held and enjoyed by said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this assignment, sale and transfer not been made; and said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications, as aforesaid, to issue to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, as assignee of the entire right, title and interest, any and all Letters Patent for said invention including

any and Letters Patent of the United States which may be issued or granted on or as a result of the application hereinbefore identified, in accordance with the terms of this agreement, and hereby covenants that it has the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

IN TESTIMONY WHEREOF, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has caused these presents to be signed by the Chairman thereunto duly authorized, and its seal to be affixed and attested this 21ST day of JANUARY, ¹⁹⁹⁷ 1996.

THE BOARD OF TRUSTEES OF THE
UNIVERSITY OF KENTUCKY

Edward T. Beahm

S E A L

ATTEST:

Richard E. Fynale
Secretary, Board of Trustees

FCR 6

Office of the President
January 21, 1997

Members, Board of Trustees:

REVISION OF THE POLICY STATEMENT ON TUITION AND FEE REFUNDS TO STUDENTS

Recommendation: that the following revised tuition and fee refund statement be approved, effective fall semester 1997.

(NOTE: Proposed addition is underlined; proposed deletion is bracketed.)

- (1) A student who officially withdraws from the University through the Registrar's Office on the Lexington Campus or at one of the community colleges or from a course through the appropriate College prior to the beginning of classes as designated in the official University or Community College System calendar shall be entitled to a full refund of registration fees paid or cancellation of amount owed for the term. The President may approve for specific programs with enrollment limitations non-refundable tuition deposits.
- (2) A student who officially withdraws from the University through the Registrar's Office on the Lexington Campus or at one of the community colleges or from a course through the appropriate College prior to the end of the first week of classes as designated in the official University or Community College System calendar shall be entitled to a refund of 80 percent of the registration fees paid or cancellation of 80 percent of the amount owed for the term.
- (3) A student who officially withdraws from the University through the Registrar's Office on the Lexington Campus or at one of the community colleges or from a course through the appropriate College after the first week of classes, but prior to the [mid-term date as designated in the official University or Community College System calendar] end of the fourth week of classes shall be entitled to a refund of one-half the registration fees paid or cancellation of one-half the amount owed for the term.
- (4) A student who officially withdraws from the University through the Registrar's Office on the Lexington Campus or at one of the community colleges or from a course through the appropriate College after the [official mid-term date] end of the fourth week of classes shall be entitled to no refund.

- (5) A student enrolled through the University Extension Division who officially withdraws from an off-campus or evening school class(es) shall receive a full refund of all fees paid provided the withdrawal occurs prior to the end of the second week of the class. A student who officially withdraws after the second week of the class, but prior to the [official mid-term date] end of the fourth week of classes, shall be entitled to a refund of one-half the fees paid. If the withdrawal occurs after the [official mid-term date] end of the fourth week of classes, no refund will be authorized.
- (6) Refunds for the summer sessions or for other short term sessions would be made proportionally in accordance with this policy based on the session length.
- (7) The President is authorized to approve and implement limited exceptions to this refund policy necessary to assure University compliance with relevant regulations promulgated by authorized agencies of the state and federal government, but such exceptions shall not relieve the student of the responsibility to provide official notification of withdrawal through the Registrar's Office on the Lexington Campus or at the affected community college to be eligible to receive any refund of tuition and fees.
- (8) In all types of withdrawal, except for students enrolled through the University Extension Division, the student must request officially through the Registrar's Office on the Lexington Campus or at one of the community colleges a refund of fees paid, otherwise no refund will be authorized.

Background: These are proposed revisions to the refund policy adopted by the Board of Trustees on May 4, 1993. Based on a review of refund policies at other institutions, the University of Kentucky refunds a significant portion of the tuition (50%) for a considerably longer time into the semester than most other institutions. The revised policy will enable the University to finalize refunds earlier in the semester, allow for improved revenue forecasting, improve allocation of faculty resources, and bring the refund policy more in line with other institutions.

Action taken:

Approved

Disapproved

Other _____

FCR 7

Office of the President
January 21, 1997

Members, Board of Trustees:

AVON PRODUCTS, INC. GIFT

Recommendation: that the Board of Trustees accept a gift of \$100,000 from Avon Products, Inc., New York, New York, for the Lucille P. Markey Cancer Center in the College of Medicine.

Background: The gift was one of ten Avon Breast Cancer Leadership Awards given nationwide to honor outstanding individuals and programs that make important contributions in breast cancer education, outreach, patient advocacy, and quality of life research with an emphasis on reaching underserved areas. The award to the Markey Cancer Center honors Dr. Gilbert H. Friedell and the Kentucky Cancer Program of which he is co-director. The Kentucky Cancer Program has nine regional offices across the state. It offers preventive cancer information and designs innovative outreach programs.

Action taken:

Approved

Disapproved

Other _____

FCR 8

Office of the President
January 21, 1997

Members, Board of Trustees:

DR. CLAIRE LOUISE CAUDILL PROFESSORSHIP IN FAMILY MEDICINE

Recommendation: that the Board of Trustees authorize the establishment of an endowed professorship in the College of Medicine Department of Family Medicine entitled the Dr. Claire Louise Caudill Professorship in Family Medicine.

Background: A family physician in Morehead, Kentucky, for nearly 50 years, Dr. Caudill is the sister of Lexington philanthropist Lucille Caudill Little. Mrs. Little's foundation, the W. Paul and Lucille Caudill Little Foundation, will fund the professorship with annual contributions. The professorship is to be awarded to an outstanding teacher and physician who shares Dr. Caudill's fervor for the practice of family medicine and her commitment to improving the quality of life for Kentuckians through community service. The holder of the professorship is to emulate Dr. Caudill's attributes as a caring family doctor dedicated to providing comprehensive holistic care that addresses prevention of disease in addition to the treatment of illness. Dr. Caudill received an honorary doctorate from the University of Kentucky in 1992.

Action taken:

Approved

Disapproved

Other _____

FCR 9

Office of the President
January 21, 1997

Members, Board of Trustees:

NAMING OF FELLOWSHIP AWARDS

Recommendation: that the Board approve naming the existing Quality Achievement Fellowships the "Daniel R. Reedy Quality Achievement Fellowships."

Background: After eighteen years of administrative service, including six and one-half years as Dean of the Graduate School, Dr. Daniel R. Reedy will be returning to the Department of Spanish and Italian. Dr. Reedy's contributions to graduate education are many and varied, and his long-term stewardship of the Graduate School has been marked by tremendous growth and expansion and by significant increase in quality and standards.

The Quality Achievement Fellowships, instituted by Dean Reedy, were first offered in 1991-92. They provide a supplemental stipend to be added on to a regular fellowship, teaching assistantship or research assistantship. The awards are potentially renewable for a second year for master's students or for a total of three years for doctoral students. These fellowships enable the University to attract outstanding graduate students by providing a critical margin of financial support and additional prestige to the graduate program's regular offerings. It is, therefore, fitting that these highly competitive and prestigious awards be named for Dean Reedy whose name has been synonymous with excellence and achievement in graduate education.

Action taken:

Approved

Disapproved

Other _____