

Minutes of the Meeting of the Executive Committee of the Board of Trustees of the University of Kentucky, Thursday, June 28, 1979

The Executive Committee of the Board of Trustees of the University of Kentucky met at 2:00 o'clock (Eastern Daylight Time) on Thursday, June 28, 1979, in the Board Room on the 18th Floor of the Patterson Office Tower on the Lexington campus with the following members answering the call of the roll: Mr. William B. Sturgill, Chairman, Mr. William R. Black, Mr. Albert G. Clay, Mr. George W. Griffin, Mr. Homer W. Ramsey, and Mrs. Betty Pace Clark, Ex Officio Secretary. Members of the Board of Trustees attending the meeting included Professor Michael Adelstein, Governor Albert B. Chandler, Mr. L. D. Gorman, Mr. Zirl Palmer, and Professor Constance P. Wilson. Mr. Mark Metcalf, the newly elected president of Student Government, who will be sworn in as the Student Trustee at the next meeting of the Board, was a guest at the meeting. The University administration was represented by President Otis A. Singletary; Vice Presidents Lewis W. Cochran, Peter P. Bosomworth, Raymond R. Hornback, John Smith, Jack C. Blanton, and Donald B. Clapp; Dr. Wimberly C. Royster, Dean of the Graduate School and Coordinator of Research; Mr. John Darsie, Legal Counsel; and Mr. David Stockham, representing Vice President Robert Zumwinkle. Members of the various news media were also in attendance.

A. Meeting Opened

The meeting was called to order at 2:19 p.m. Following the invocation, pronounced by Mr. Sturgill, the secretary called the roll and reported all members of the Executive Committee were present. The meeting was declared officially open for the conduct of business at 2:21 o'clock.

B. Minutes Approved

On motion duly made, seconded, and carried, the reading of the Minutes of the May 8, 1979, meeting of the Board of Trustees was dispensed with and the Minutes were approved as published.

C. President's Report to the Trustees (PR 1)

President Singletary recommended that his monthly report, copies of which were distributed to the members, be accepted and filed. Without dissent, it was so ordered.

D. Recommendations of the President (PR 2)

After calling particular attention to the appointment of Dr. Herbert Drennon as Acting Dean of the College of Communications, President Singletary recommended approval of the personnel changes recommended in PR 2. Without discussion, on motion by Mr. Black, seconded by Mr. Ramsey, and passed, PR 2 was approved as a whole. (See PR 2 at the end of the Minutes.)

E. Supplemental Recommendations of the President (PR 3a)

The appointment of Professor Charles Rowell as Acting Dean of Undergraduate Studies was mentioned by President Singletary prior to his recommendation that PR 3a be approved. On motion by Mr. Clay, seconded by Mr. Griffin, and passed, PR 3a was approved as a whole. (See PR 3a at the end of the Minutes.)

F. Appointment of the Dean of the College of Engineering (PR 3b)

President Singletary said it was his pleasure to recommend to the Board of Trustees the appointment of Dr. Roger Eichhorn as Dean of the College of Engineering, effective July 1, 1979. Dr. Eichhorn's name was presented with the unanimous recommendation of the Search Committee appointed to identify and recommend candidates for the deanship. The University is fortunate to have a professor on its faculty of such competence and experience willing to accept this important assignment. President Singletary then introduced Professor Eichhorn and recommended Board approval of his appointment as Dean of the College of Engineering.

On motion by Mr. Ramsey, seconded by Mrs. Clark, and passed without dissent, Dr. Roger Eichhorn was named Dean of the College of Engineering, effective July 1, 1979. (See PR 3b at the end of the Minutes.)

G. Administrative Appointment - Director of the Appalachian Center (PR 4a)

President Singletary indicated that he had urged Dr. John Stephenson, Dean of Undergraduate Studies, to become Director of the Appalachian Center because of his particular interest in and knowledge of Appalachian topics. The recommendation for his appointment came from a Search Committee appointed to screen candidates for the directorship and President Singletary recommended approval. After the introduction of Dr. Stephenson, Mr. Sturgill expressed his deep interest in the Center and pledged his support to Dr. Stephenson.

On motion by Mr. Clay, seconded by Mr. Black, and passed, Dr. John B. Stephenson was named Director of the Appalachian Center, effective July 1, 1979. (See PR 4a at the end of the Minutes.)

H. Administrative Appointment - Dean of University Extension and Director of Summer Programs (PR 4b)

The next administrative appointment was that of Dr. Stephen Langston as Dean of University Extension and Director of Summer Programs, effective July 1, 1979. This appointment also comes from a Search Committee appointed to identify and advise on candidates and President Singletary said that it has his complete endorsement. He added that Dr. Langston has served as Acting Dean for the past two years and also been involved in summer programming. It is felt that Dr. Langston is well qualified by experience to handle these programs and President Singletary recommended approval of his appointment.

On motion by Mr. Black, seconded by Mr. Griffin, and passed, Dr. Stephen Langston was appointed Dean of University Extension and Director of Summer Programs, effective July 1, 1979. (See PR 4b at the end of the Minutes.)

I. New Academic Degree - Master of Rehabilitation Counseling (PR 5a)

For many years the University has offered a program at the Masters level in Rehabilitation Counseling for which the Master of Arts in Education was awarded. It is felt that it would be more appropriate and more valuable to the students to be awarded a Master of Rehabilitation Counseling. All the individuals and groups involved have given their approval and President Singletary recommended that the Board approve the awarding of the Master of Rehabilitation Counseling to those students who satisfactorily complete the degree program approved by the University Senate. He emphasized that this is a new academic degree and not a new academic program.

Mr. Clay moved approval and his motion was seconded by Mr. Ramsey. Without dissent it was so ordered. (See PR 5a at the end of the Minutes.)

J. New Academic Degree - Bachelor of Fine Arts (PR 5b)

The second degree program for which approval is sought is that of the Bachelor of Fine Arts to be awarded to those students who complete the required course of study approved by the University Senate. Dr. Singletary noted that this was a new academic degree and not a new academic program.

Without discussion, on motion by Mrs. Clark, seconded by Mr. Ramsey and passed, authorization was given to the awarding of the degree of Bachelor of Fine Arts to those students who complete the required course of study approved by the University Senate. (See PR 5b at the end of the Minutes.)

K. Departmental Name Change - Department of Family Studies (PR 6)

President Singletary recommended that the name of the Department of Family Studies: Human Development and Resource Management in the College of Home Economics be changed to the Department of Family Studies in order to reflect more accurately the interest of the faculty and role of the Department. Mr. Clay so moved. His motion was seconded by Mr. Griffin and passed with all present voting "aye". (See PR 6 at the end of the Minutes.)

L. Budget Revisions for 1978-79 and 1979-80 (PR's 7 and 8)

At President Singletary's suggestion, Mr. Ramsey moved that the revisions in the budgets for 1978-79 and 1979-80 as recommended in PR's 7 and 8 be authorized and approved. His motion was seconded by Mr. Clay and passed without dissent. (See PR's 7 and 8 at the end of the Minutes.)

M. Confirmation of 1979-80 Fee Schedule (PR 9)

Under KRS 164.020, the Council on Higher Education is charged with the duty of determining the amount of entrance and/or registration fees for admission to Kentucky public institutions of higher Education. The fees for 1979-80 were established at meetings of the Council in April and May, 1979. With President Singletary so recommending, on motion by Mr. Black, seconded by Mr. Ramsey and passed, the Board of Trustees (1) confirmed changes in the fees charged non-resident students and students in the professional programs in dentistry, medicine, and pharmacy as established by the Council on Higher Education at its meetings on April 11, 1979 and May 24, 1979, and (2) established corresponding part-time and summer school charges under the guidelines of the Council on Higher Education. (See PR 9 at the end of the Minutes.)

N. Capital Construction Project (PR 10)

President Singletary explained the need for providing parking facilities for residents in the apartment type housing complex currently under construction in the area just south of Road "D", and recommended that the Board approve for transmission to the Council on Higher Education a capital construction project for a parking lot associated with the new apartment type housing units scheduled for occupancy in the Fall of 1979 at an estimated cost of \$125,000 with funds coming from the University housing and dining budget. Mr. Clay so moved. His motion was seconded by Mr. Griffin and passed without dissent. (See PR 10 at the end of the Minutes.)

O. Interim Extension of Employee Benefits (PR 11)

President Singletary said that until such time as the University administration is able to determine a policy relative to the provisions of benefits for employees who elect to continue in employment beyond the normal retirement age (the end of the fiscal year in which age 65 is reached), the Board is requested to extend, on an interim basis, the authorization of normal University TIAA/CREF contributions and/or the accrual of service credit, together with the provision of other normal employee benefits, to the extent available under current contractual arrangements, and in accord with usual University practice, for employees (other than executives and certain academic employees specified in previous action) who choose to continue in employment beyond their normal retirement date, pending the adoption of final regulations pertaining to the employee benefits for such personnel.

Mr. Clay moved approval of the interim extension of employee benefits as recommended in PR 11. His motion was seconded by Mr. Black and passed without dissent. (See PR 11 at the end of the Minutes.)

P. Approval of Agreement Between the University of Kentucky and the Kentucky Medical Services Foundation Inc. (PR 12)

President Singletary recommended approval of the agreement and its addendum between the Board of Trustees of the University of Kentucky and the Kentucky Medical Services Foundation, Inc. which was negotiated pursuant to the "Board of Trustees Resolution Regarding Medical Practice Plan" adopted June 20, 1978. The terms of the document are essentially the same as those in the agreement approved by the Board on July 7, 1978 for the period July 1, 1978 through June 30, 1979.

Mr. Ramsey moved approval. His motion was seconded by Mr. Black and passed without dissent. (See PR 12 at the end of the Minutes.)

Q. Interim Financial Report (FCR 1)

Mr. Clay, Chairman of the Finance Committee, reported that the Committee had met on the morning of June 28, 1979 and reviewed the items for submission to the Executive Committee for action. The Committee, having found that the income figures and the expenditures and commitments were "on target", recommended acceptance of the financial report for the nine-months period ending March 31, 1979 and for the eleven-months period ending May 31, 1979.

On motion duly made, seconded, and carried. it was so ordered. (See FCR 1 at the end of the Minutes.)

R. Financial Statement of Spindletop Hall, Inc. (FCR 2)

Mr. Clay said the Finance Committee was pleased with the definite improvement in the operation of Spindletop Hall since last year, and moved acceptance of the financial statement of Spindletop Hall, Inc. for the year ending December 31, 1978. His motion was seconded by Mr. Ramsey, and passed without dissent. (See FCR 2 at the end of the Minutes.)

S. Contract with Louisville and Jefferson County Board of Health, et al (FCR 3)

With the concurrence of the Finance Committee, Mr. Clay moved that the Executive Committee authorize the Chairman and Secretary of the Board of Trustees to execute the Fourth Supplemental Contract dated May 1, 1972, permitting the Regional Cancer Center Corporation to become a party to the agreement to use steam and chilled water from the Medical Center Steam and Chilled Water Plant providing steam and chilled water for a number of institutions in the area. His motion was seconded by Mr. Black and passed without dissent. (See FCR 3 at the end of the Minutes.)

T. Contracts with President and Vice Presidents

Mr. Clay indicated that the Finance Committee wished to add a recommendation not included on the agenda. He then moved that the Chairman of the Board of Trustees be authorized to executive contracts with the President and Vice Presidents providing for the terms of their employment for 1979-80. His motion was seconded by Mr. Ramsey and passed without dissent.

U. Designation of Nieman Print Proceeds as a Quasi-Endowment for Alumni Association Awards and Scholarships Fund (ICR 1)

Mr. Griffin, Chairman of the Investment Committee, recommended that a quasi-endowment fund be established from the proceeds of sales of the Leroy Nieman serigraph with a beginning principal of \$35,000 to be invested in the University's Fixed Income Pool, and that income from this endowment be designated for the Alumni Association Awards and Scholarships Fund within the University. His motion was seconded by Mr. Black and, without dissent, it was so ordered. (See ICR 1 at the end of the Minutes.)

V. Investment Policies - Current Funds (ICR 2)

Mr. Griffin called attention to the policy statement with respect to investment of available current funds as proposed in ICR 2 and indicated that the committee wished to amend the statement by adding at the end of (4) the following "and/or Capital Collective Temporary Investment Fund of the First Kentucky National Bank." He then moved approval of the policy statement as amended. His motion was seconded by Mr. Clay and passed without dissent. (See ICR 2 at the end of the Minutes.)

W. Report on Status of Penn Central Stock

Mr. Griffin reported that through the efforts of the Legal Counsel, certain members of the Board of Trustees, and others, the University has now recovered over \$985,000 of the loss suffered on the investment in Penn Central stock several years ago. The original loss amounted to \$974,000. President Singletary commended the Board, saying that this is an example of what a Board of Trustees can and should do for an institution and expressed his thanks for this latest example of the many and varied contributions which the Board makes to the University.

X. Meeting Adjourned

There being no further business to come before the meeting, on motion duly made, seconded and carried, the meeting was declared adjourned at 2:50 p.m.

Respectfully submitted,

Betty Pace Clark
Ex Officio Secretary
Executive Committee
Board of Trustees

(PR's 2, 3a, 3b, 4a, 4b, 5a, 5b, 6, 7, 8, 9, 10, 11, and 12;
FCR's 1, 2, and 3; and ICR's 1 and 2 which follow are official
parts of the Minutes of the meeting)

Office of the President
June 28, 1979

PR2

Members, Executive Committee, Board of Trustees:

RECOMMENDATIONS OF THE PRESIDENT

I. Appointments and/or Other Staff Changes

Recommendations: (1) that approval be given to the appointments and/or other staff changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The following recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate vice president through the President and have his concurrence.

Under the Governing Regulations the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

BOARD ACTION

Academic Appointments

DIVISION OF COLLEGES

College of Agriculture

Colliver, Donald G., Assistant Professor, Agricultural Engineering, 6/1/79 through 6/30/80.
Moore, Ian D., Assistant Professor, Agricultural Engineering, 5/7/79 through 6/30/80.
Olson, James R., Assistant Professor, Forestry, 7/1/79 through 6/30/80.
Springer, Warren Daniel, Adjunct Assistant Professor*, Agronomy, 4/1/79 through 6/30/80.
Thuemmel, William L., Visiting Associate Professor, International Programs for Agriculture, 6/5/79 through 6/30/80.
Weeks, Willard W., Visiting Associate Professor, Agronomy, 5/28/79 through 2/28/80.

College of Arts and Sciences

Brill, Joseph W., Assistant Professor, Physics and Astronomy, 1/1/80 through 5/15/81.
Cohen, Frederick R., Associate Professor, (with tenure), Mathematics, effective 8/16/79.

*Special Title Series

DeLong, Lance E., Assistant Professor, Physics and Astronomy,
8/16/79 through 5/15/80.
Flynn, David S., Visiting Assistant Professor, Physics and
Astronomy, 8/1/79 through 5/15/80.
Gragg, William B., Professor, (with tenure), Mathematics,
effective 8/16/79.
Griffith, William S., Assistant Professor, Statistics,
8/16/79 through 5/15/81.
Sigelman, Lee Philip, Associate Professor, (with tenure),
Political Science, effective 8/16/79.
Tausend, Sandra A., Assistant Professor, Sociology, 8/16/79
through 5/15/80.
Turner, William Hobert, Assistant Professor, Sociology,
8/16/79 through 5/15/80.

College of Business and Economics

Chen, C. Richard, Instructor, Accounting, 8/16/79 through
5/15/80.
Clark, Gary L., Instructor, Business Administration, 8/16/79
through 5/15/80.
Gray, C. Michael, Assistant Professor, Business Administration,
8/16/79 through 5/15/80.
Gray, Yvonne, Instructor, Accounting, 8/16/79 through 5/15/80.
Hammond, Jesse C., Instructor, Accounting, 8/16/79 through
5/15/80.
Krentler, Kathleen A., Instructor, Business Administration,
8/16/79 through 5/15/80.
Webb, Michael A., Instructor, Economics, 8/16/79 through
5/15/80.
Welch, Robert L., Assistant Professor, Economics, 8/16/79
through 5/15/80.

College of Education

Jose, Nancy, Assistant Professor, Health, Physical Education
and Recreation, 8/16/79 through 5/15/81.
Rose, Harriett, Adjunct Professor* (part-time), Educational
Psychology and Counseling, 8/16/79 through 5/15/82.
Sugai, George, Assistant Professor, Special Education,
8/16/79 through 5/15/81.

College of Engineering

Cathey, Jimmie J., Visiting Associate Professor, Electrical
Engineering, 8/1/79 through 5/15/80.
Roy, Debasish K., Assistant Professor, Civil Engineering,
8/16/79 through 5/15/81.
Szwilski, Anthony B., Visiting Associate Professor, Civil
Engineering, 8/16/79 through 5/15/80.

College of Home Economics

Schram, Vicki, Assistant Professor, Family Studies, 8/16/79
through 5/15/80.

*Special Title Series

College of Law

Fortune, William H., Associate Professor, (with tenure),
retroactive 1/1/79.

MEDICAL CENTER

College of Allied Health Professions

Fitzgerald, Owen Ray, Assistant Professor*, Clinical Pastoral
Counseling, 8/1/79 through 6/30/80.

College of Medicine

DeKosky, Steven T., Assistant Professor, Neurology, 6/1/79
through 6/30/80.

Koffler, Bruce H., Assistant Professor, Ophthalmology,
6/1/79 through 6/30/80.

Mahler, D. Mark, Assistant Professor, Family Practice and
Pediatrics, 8/1/79 through 6/30/80.

Martin, M. Nicholas, Assistant Professor*, Family Practice,
7/1/79 through 6/30/80.

Meegan, William J., Assistant Clinical Professor (part-time),
Pediatrics, 7/1/79 through 6/30/80

Riley, Christine N., Assistant Professor, Diagnostic Radiology,
6/1/79 through 6/30/80.

Wiley, Ronald L., Visiting Professor, Physiology and Biophysics,
6/1/79 through 8/15/79.

Wyatt, Robert J., Assistant Professor, Pediatrics, 6/15/79
through 6/30/80.

COMMUNITY COLLEGE SYSTEM

Southeast Community College

Guyn, Katherine, Instructor in the Community College System,
8/1/79 through 6/30/80.

Jestes, Marilyn G., Instructor in the Community College System,
8/1/79 through 6/30/80.

Pack, Carol L., Assistant Professor in the Community College
System, 8/1/79 through 6/30/80.

Appointments with Waiver of Nepotism

DIVISION OF COLLEGES

College of Education

Hiebert, Elfrieda H., Assistant Professor, Curriculum and
Instruction, 8/16/79 through 5/15/80.

Hiebert, James, Assistant Professor, Curriculum and Instruction
8/16/79 through 5/15/81.

*Special Title Series

MEDICAL CENTER

College of Medicine

Oeltgen, Jean K., Research Associate, Pathology, 5/1/79 through 2/28/80. Husband is Dr. Peter R. Oeltgen, Assistant Professor, Pathology,

Administrative Appointments

DIVISION OF COLLEGES

College of Arts and Sciences

Raitz, Karl, Associate Professor and Chairman, Geography, reappointed Chairman, effective 7/1/79.

College of Education

Faber, Charles, Professor, (with tenure), Administration and Supervision, and Chairman, renamed Chairman, effective 8/1/79

College of Engineering

Leigh, Donald C., Professor (with tenure), and Chairman, Engineering Mechanics, term as Chairman extended through 6/30/80.

Steele, Earl L., Professor (with tenure), and Chairman, Electrical Engineering, renamed Chairman, 7/1/79 through 6/30/80.

Graduate School

Stephenson, John B., Professor, (with tenure), Sociology, and Dean of Undergraduate Studies, named Director, Appalachian Center, effective 7/1/79.

MEDICAL CENTER

College of Dentistry

Bean, Laurence R., Professor, (with tenure), Oral Diagnosis and Oral Medicine, named Chairman, effective 5/1/79.

College of Medicine

Griffen, Ward O., Jr., Professor, (with tenure), and Chairman, Surgery, renamed Chairman, effective 7/1/79.

COMMUNITY COLLEGE SYSTEM

Hopkinsville Community College

Gabbard, Jo Anne, Associate Professor in the Community College System, (with tenure), named Division Chairman, Humanities and Related Technologies, effective 7/1/79.

Marshall, Fred, III, Assistant Professor in the Community College System, named Division Chairman, Social Sciences and Related Technologies, effective 7/1/79.

Prestonsburg Community College

Isbell, Charles J., Associate Professor in the Community College System, (with tenure), named Division Chairman, Biological Sciences and Related Technologies, effective 7/1/79.

Non-Academic Appointments

DIVISION OF COLLEGES

College of Agriculture

Elliott, Robert E., Regulatory Specialist, Regulatory Services, retroactive 6/1/79.

Lutgardo, Alberto, Research Specialist, Entomology, retroactive 6/1/79.

Mesner, William L., Extension Specialist, Public Information, retroactive 5/1/79.

Sparrow, David C., County Extension Agent, Area Extension Programs, retroactive 5/28/79.

MEDICAL CENTER

Medical Center Library

Atlee, Janis Kay, Medical Illustrator, retroactive 5/1/79.

College of Medicine

Carlton, Charles O., Allied Health Specialist, Ephraim McDowell Cancer Network, Inc., retroactive 5/1/79.

Elling, Karen, Senior Accountant, Office of the Dean, retroactive 4/23/79.

Miller, Betty R., Senior Research Assistant, Medicine, retroactive 4/1/79.

Williamson, Joan S., Nurse Coordinator, Paramedic Education Program, Division of Emergency Medicine, retroactive 4/1/79.

College of Pharmacy

Allen, Jennifer, Continuing Education Coordinator, retroactive
5/1/79

University Hospital

Broude, Al, Associate Director for Finance, retroactive
3/26/79.

Pecoraro, David, Director, Management Services, retroactive
3/26/79.

Tenure

DIVISION OF COLLEGES

College of Agriculture

Nieman, Thomas J., Associate Professor, Horticulture and
Landscape Architecture, given tenure effective 7/1/79.

College of Fine Arts

Hoffman, Donald H., Associate Professor, Art Ed: Curriculum
Instruction, College of Education, given tenure effective
7/1/79, also Director of Council on Aging.

MEDICAL CENTER

College of Allied Health

Currier, Dean P., Professor, Physical Therapy, given tenure
effective 6/1/79.

Reappointments

DIVISION OF COLLEGES

College of Architecture

Coleman, Caruthers A., Visiting Professor (part-time),
8/16/79 through 5/15/80.

College of Arts and Sciences

Singh, Kewal, Visiting Professor (part-time), 8/16/79
through 12/31/79.

MEDICAL CENTER

College of Medicine

Belin, Robert P., Clinical Professor (part-time), Surgery
(pediatric), 7/1/79 through 6/30/80.

Roeckel, Irene E., Clinical Professor (part-time), Pathology,
7/1/79 through 6/30/80.

College of Nursing

Hudleson, Irene Myrle, Associate Clinical Professor (voluntary)
7/1/79 through 6/30/80.

College of Pharmacy-7/1/79 through 6/30/80

Boylan, James, Associate Adjunct Professor (voluntary)
**Grider, George W., Associate Clinical Professor (voluntary)
Levine, Seymour, Adjunct Professor (voluntary)
Marcus, Arnold D., Adjunct Professor (voluntary)
Mlodozienec, Arthur R., Associate Adjunct Professor (voluntary)
**Sleight, Stephen M., Associate Clinical Professor (voluntary)
Tilbury, Roy, Associate Adjunct Professor (voluntary)
Tingstad, James E., Adjunct Professor (voluntary)

Promotions

DIVISION OF COLLEGES

College of Architecture

Friedman, Jonathan B., from Assistant Professor (without tenure)
to Associate Professor (with tenure), effective 7/1/79.

College of Communications

Palmgreen, Philip C., from Assistant Professor (without tenure)
to Associate Professor (with tenure), effective 7/1/79.

MEDICAL CENTER

College of Allied Health Professions

Stoner, John, from Assistant Professor*, (without tenure),
Community Health, to Associate Professor*, (with tenure),
Community Health, effective 7/1/79.

College of Dentistry

Stigers, Robert W., from Assistant Clinical Professor (voluntary)
(without tenure), Restorative Dentistry-Community Dentistry,
to Associate Clinical Professor (voluntary), (without
tenure), Restorative Dentistry-Community Dentistry, 1/1/79
through 6/30/80.

College of Medicine

Fried, Andrew M., from Assistant Professor, (without tenure),
Diagnostic Radiology, to Associate Professor, (with tenure),
Diagnostic Radiology, effective 7/1/79.

College of Pharmacy

Cobb, Ronald David, from Assistant Professor*, (without tenure),
to Associate Professor*, (with tenure), effective 6/1/79.
Hussain, Anwar, from Associate Professor, (with tenure), to
Professor, (with tenure), effective 7/1/79.

*Special Title Series **effective through June 30, 1982

Love, David W., from Assistant Professor*, (without tenure),
to Associate Professor*, (with tenure), effective 6/1/79.

COMMUNITY COLLEGE SYSTEM

Maysville Community College

McMillan, James A., from Assistant Professor in the Community
College System, (without tenure), to Associate Professor
in the Community College System, (with tenure), effective
7/1/79.

Sammons, Carole, from Assistant Professor in the Community
College System, (without tenure), to Associate Professor
in the Community College System, (with tenure), effective
7/1/79.

Paducah Community College

Penry, Sara, from Associate Professor in the Community College
System, (with tenure), to Professor in the Community
College System, (with tenure), effective 7/1/79.

Prestonsburg Community College

Bogale, Hailu, from Assistant Professor in the Community
College System, (without tenure), to Associate Professor
in the Community College System, (with tenure), effective
7/1/79.

Castle, Brenda, from Assistant Professor in the Community College
System, (without tenure), to Associate Professor in the
Community College System, (with tenure), effective 7/1/79.

Isbell, Charles J., from Associate Professor in the Community
College System (with tenure), to Professor in the Community
College System (with tenure), effective 7/1/79.

Kalos, Gus M., from Associate Professor in the Community College
System, (with tenure), to Professor in the Community
College System, (with tenure), effective 7/1/79.

Magura, Anna B., from Associate Professor in the Community College
System, (with tenure), to Professor in the Community College
System, (with tenure), effective 7/1/79.

Turner, Carolyn C., from Associate Professor in the Community
College System, (with tenure), to Professor in the
Community College System, (with tenure), effective 7/1/79.

Weddle, Leo F., from Associate Professor in the Community
College System, (with tenure), to Professor in the
Community College System, (with tenure), effective 7/1/79.

Whitaker, Thomas J., from Assistant Professor in the Community
College System, (without tenure), to Associate Professor
in the Community College System, (with tenure), effective
7/1/79.

*Special Title Series

Somerset Community College

- Benham, Wilda J., from Assistant Professor in the Community College System, (without tenure), to Associate Professor in the Community College System, (with tenure), effective 7/1/79.
- Clark, Robert L., from Assistant Professor in the Community College System, (without tenure), to Associate Professor in the Community College System, (with tenure), effective 7/1/79.
- Hughes, Charles T., from Associate Professor in the Community College System, (with tenure), to Professor in the Community College System, (with tenure), effective 7/1/79.
- Johnson, Donald F., from Associate Professor in the Community College System, (with tenure), to Professor in the Community College System, (with tenure), effective 7/1/79.

Southeast Community College

- Patterson, Harold L., from Assistant Professor in the Community College System, (without tenure), to Associate Professor in the Community College System, (with tenure), effective 7/1/79.

Joint Appointments

DIVISION OF COLLEGES

Graduate School

- Blake, Jerry W., Associate Professor, (with tenure), Veterinary Science, Agriculture, also named Assistant Professor, Graduate Center for Toxicology, effective 7/1/79.
- Calkins, John, Associate Professor (with tenure), Radiation Medicine, Medical Center, also named Associate Professor, Graduate Center for Toxicology, effective 7/1/79.
- Christensen, Ralph C., Associate Professor*, (with tenure), Health Radiation Sciences, Medical Center, also named Associate Professor‡ Graduate Center for Toxicology, effective 7/1/79.
- Dahlman, Douglas Lee, Associate Professor (with tenure), Entomology, Agriculture, also named Associate Professor, Graduate Center for Toxicology, effective 7/1/79.
- Hurley, Laurence H., Associate Professor, (with tenure), Pharmacy Medical Center, also named Associate Professor, Graduate Center for Toxicology, effective 7/1/79.
- Lubawy, William C., Associate Professor, (with tenure), Pharmacy, Medical Center, also named Associate Professor, Graduate Center for Toxicology, effective 7/1/79.

*Special Title Series

- Oeltgen, Peter R., Assistant Professor, Pathology, Medical Center, also named Assistant Professor, Graduate Center for Toxicology, effective 7/1/79.
- Sabharwal, Pritham, S., Associate Professor, (with tenure), Biological Sciences, Arts and Sciences, also named Associate Professor, Graduate Center for Toxicology, effective 7/1/79.
- Vore, Mary, Assistant Professor, Pharmacology, Medical Center, also named, Assistant Professor, Graduate Center for Toxicology, effective 7/1/79.

MEDICAL CENTER

College of Dentistry

- Belcher, Ervin C., Associate Professor*, (with tenure), Community Dentistry, also named Associate Professor*, (with tenure), Family Practice, College of Medicine, effective 7/1/79.
- Lyon, Edward, Associate Clinical Professor (voluntary), (without tenure), Restorative Dentistry, also named Associate Clinical Professor (voluntary), (without tenure), Community Dentistry, 7/1/79 through 6/30/80.
- Politis, Andrew, Associate Clinical Professor (voluntary), (without tenure), Periodontics, also named Associate Clinical Professor (voluntary), (without tenure), Community Dentistry, 5/25/79 through 6/30/80.
- Reel, Donald, Associate Clinical Professor (voluntary), (without tenure), Periodontics, also named Associate Clinical Professor (voluntary), (without tenure), Community Dentistry, 5/15/79 through 6/30/80.

Change

MEDICAL CENTER

College of Dentistry

- Armstrong, Smith R., III, from Assistant Professor (part-time), Community Dentistry and Dental Hygiene, College of Allied Health Professions, to Assistant Professor*, (full-time), Community Dentistry and Family Practice, College of Medicine, 7/1/79 through 6/30/80.

Correction in Minutes

DIVISION OF COLLEGES

College of Agriculture

- New Appointments, Page 2, PR 2, of the March 5, 1979 Minutes of the Executive Committee of the Board of Trustees, should be corrected to read as follows:

*Special Title Series

Giles, Ralph C., Jr., Assistant Extension Professor*,
Veterinary Science, Livestock Disease Diagnostic Center,
1//79 through 6/30/80

MEDICAL CENTER

College of Allied Health Professions

Promotions, Page 5, PR 2, of the May 8, 1979 Minutes of
the Board of Trustees, should be corrected to read
as follows:

Christensen, Ralph C., from Assistant Professor* (without
tenure), to Associate Professor* (with tenure),
Health Radiation Sciences, effective 7/1/79. (Note:
Professor Christensen remains an Assistant Professor*
(without tenure) in the Department of Radiation Medicine
College of Medicine. His primary appointment is in the
Department of Health Radiation Sciences).

Retirements

MEDICAL CENTER

College of Medicine

Clark, David B., Professor and Chairman, Neurology, after
14 consecutive years of service, effective 6/30/79.

College of Nursing

Denman, Loretta M., Professor, after 13 consecutive years
of service, effective 6/30/79.

COMMUNITY COLLEGE SYSTEM

Elizabethtown Community College

Kieser, Lila E., Professor, after 14 consecutive years of
service, effective 6/30/79.

Early Retirement

DIVISION OF COLLEGES

*Special Title Series

College of Agriculture

Gregory, Wesley W., Jr., Associate Extension Professor*, (with tenure), Entomology, early retirement on disability from Civil Service, effective 4/30/79. (Submitted too late for the May Board action).

Post-Retirement Appointments

MEDICAL CENTER

College of Medicine

Lancaster, Martha, Staff Assistant, 1/25/79 through 4/18/79.
Scripture, Doris, Research Assistant, 1/17/79 through 3/14/79.

SUPPORT UNITS

Vice President for University Relations

Kenton, Edith M., Records Supervisor, Alumni Association,
7/1/79 through 6/30/80.

ADMINISTRATIVE ACTION

Academic Appointments

DIVISION OF COLLEGES

College of Arts and Sciences

Anderson, Earlo, Visiting Instructor (part-time), Mathematics,
8/16/79 through 5/15/80.
Dickens, David R., Assistant Professor, Sociology, 8/16/79
through 5/15/80.

College of Business and Economics

Visconti, Edward, Instructor (part-time), Business
Administration, 8/16/79 through 5/15/80.

College of Education

Dye, Griffith, Adjunct Assistant Professor (part-time),
Educational Psychology and Counseling, 8/16/79 through
5/15/81.
Jackson, Terry Wayne, Instructor (part-time), Vocational
Education, 8/16/79 through 5/15/80.

College of Engineering

Colchin, Stephen E., Visiting Instructor, Civil Engineering,
5/14/79 through 6/12/79.

*Special Title Series

College of Home Economics

Adams, Carolyn, Adjunct Assistant Professor* (part-time),
Nutrition and Food Science, 1/1/79 through 5/31/79.
Bowling, Frances, Adjunct Assistant Professor* (part-time),
Nutrition and Food Science, 1/1/79 through 5/31/79.
Breeding, Carolyn, Adjunct Assistant Professor* (part-time),
Nutrition and Food Science, 1/1/79 through 5/31/79.
Charlson, Martha, Adjunct Assistant Professor* (part-time),
Nutrition and Food Science, 1/1/79 through 5/31/79.
Debord, Brenda, Adjunct Assistant Professor* (part-time),
Nutrition and Food Science, 1/1/79 through 5/31/79.
Gallagher, Georganne, Adjunct Assistant Professor* (part-time),
Nutrition and Food Science, 1/1/79 through 5/31/79.
Griffith, Diane, Adjunct Assistant Professor* (part-time),
Nutrition and Food Science, 1/1/79 through 5/31/79.
Lee, Sandra, Adjunct Assistant Professor* (part-time),
Nutrition and Food Science, 1/1/79 through 5/31/79.
Packett, Linda, Adjunct Assistant Professor* (part-time),
Nutrition and Food Science, 1/1/79 through 5/31/79.
Painter, Kathleen, Adjunct Assistant Professor* (part-time),
Nutrition and Food Science, 1/1/79 through 5/31/79.
Tucker, Virginia, Adjunct Assistant Professor* (part-time),
Nutrition and Food Science, 1/1/79 through 5/31/79.
Turner, Elizabeth, Adjunct Assistant Professor* (part-time),
Nutrition and Food Science, 1/1/79 through 5/31/79.
Welton, Chris, Adjunct Assistant Professor* (part-time),
Nutrition and Food Science, 1/1/79 through 5/31/79.

MEDICAL CENTER

College of Dentistry

Arnold, Charles C., Clinical Instructor (voluntary), Community
Dentistry, 5/25/79 through 6/30/80.
Bates, Larry D., Clinical Instructor (voluntary), Community
Dentistry, 5/1/79 through 6/30/80.
Benson, Deon, Clinical Instructor (voluntary), Community
Dentistry, 5/1/79 through 6/30/80.
Blair, Herman A., Clinical Instructor (voluntary), Community
Dentistry, 5/15/79 through 6/30/80.
Cash, David M., Clinical Instructor (voluntary), Community
Dentistry, 5/1/79 through 6/30/80.
Dallam, Henry R., Clinical Instructor (voluntary), Community
Dentistry, 5/15/79 through 6/30/80.
Dowdy, Donald A., Clinical Instructor (voluntary), Community
Dentistry, 5/15/79 through 6/30/80.
Ellis, James C., Clinical Instructor (voluntary), Community
Dentistry, 5/1/79 through 6/30/80.
Gabbard, Elmer T., Clinical Instructor (voluntary), Community
Dentistry, 5/1/79 through 6/30/80.
Gray, John I., Clinical Instructor (voluntary), Community
Dentistry, 5/15/79 through 6/30/80.

*Special Title Series

Hall, Hubert, Jr., Instructor (part-time), Prosthodontics,
3/1/79 through 6/30/79.
Mayer, Lee S., Clinical Instructor (voluntary), Community
Dentistry, 6/1/79 through 6/30/80.
Pennington, Robin L., Clinical Instructor (voluntary),
Community Dentistry, 5/1/79 through 6/30/80.
Schneider, Barbara A., Clinical Instructor (voluntary),
Community Dentistry, 5/15/79 through 6/30/80.
Smith, J. B., Clinical Instructor (voluntary), Community
Dentistry, 5/25/79 through 6/30/80.
Stewart, Melvin K., Clinical Instructor (voluntary),
Community Dentistry, 5/20/79 through 6/30/80.
Tackett, Carl E., Clinical Instructor (voluntary), Community
Dentistry, 5/15/79 through 6/30/80.
White, Michael G., Clinical Instructor (voluntary), Community
Dentistry, 6/1/79 through 6/30/80.
Wilson, William C., Clinical Instructor (voluntary), Community
Dentistry, 5/15/79 through 6/30/80.
Wulff, Thomas, Clinical Instructor (voluntary), Community
Dentistry, 5/25/79 through 6/30/80.

College of Medicine

Harris, Bill H., Assistant Clinical Professor (voluntary),
Medicine, 7/1/79 through 6/30/82.
Loventhal, John Philip, Assistant Clinical Professor (voluntary),
Medicine, 7/1/79 through 6/30/82.
Stahr, William D., Instructor (temporary), Pathology, 7/1/79
through 6/30/80.

College of Nursing

Dorsey, Marian E., Instructor, 8/1/79 through 6/30/80.
Duvall, Antoinette M., Instructor, 8/15/79 through 6/30/80.
Fore, Carolyn V., Instructor, 8/15/79 through 6/30/80.
Mural, Carol M., Instructor, 8/15/79 through 6/30/80.

College of Pharmacy

Adams, Steven Richard, Clinical Instructor (voluntary),
5/1/79 through 6/30/80.
Easley, Albert Gibbs, Clinical Instructor (voluntary),
7/1/79 through 6/30/80.
Sallengs, David T., Clinical Instructor (voluntary),
5/1/79 through 6/30/80.
Williams, Dennis Michael, Clinical Instructor (voluntary),
6/1/79 through 6/30/80.

*Special Title Series

COMMUNITY COLLEGE SYSTEM

Lexington Technical Institute

Leonard, Cynthia W., Assistant Professor in the Community College System, 7/1/79 through 6/30/80.

Paducah Community College

Jones, Florence, Instructor in the Community College System, 8/1/79 through 6/30/80.

Spry, Ronnie O., Instructor in the Community College System, 5/21/79 through 6/30/79.

Webb, Jill, Instructor in the Community College System, 8/1/79 through 6/30/80.

Change

DIVISION OF COLLEGES

College of Arts and Sciences

Benham, Craig J., from Visiting Assistant Professor, Mathematics, to Assistant Professor, Mathematics, 8/16/79 through 5/15/80

MEDICAL CENTER

College of Allied Health Professions

Lippert, Marilyn, from Instructor (part-time), Medical Technology, to Instructor (temporary), Medical Technology, 5/1/79 through 6/30/80.

College of Dentistry

Kopczyk, Anthony J., from Assistant Professor (part-time), Periodontics, to Assistant Clinical Professor (voluntary), Periodontics, 7/1/79 through 6/30/80.

College of Nursing

Goodykoontz, Lynne, from Instructor (full-time), to Instructor (part-time), 7/1/79 through 6/30/80.

COMMUNITY COLLEGE SYSTEM

Ashland Community College

Kitchen, Janie R., Assistant Professor in the Community College System, also named Coordinator, Nursing Program, effective 7/1/79.

Madisonville Community College

Massey, G. Harold, Associate Professor in the Community College System, also named Coordinator, Specialized Student Services, effective 8/1/79.

Rhoads, Judith L., Assistant Professor in the Community College System, and Coordinator, Community Service Program, to Assistant Professor in the Community College System, effective 7/1/79.

Somerset Community College

Herring, Leola B., Assistant Professor in the Community College System, and Coordinator, Nursing Program, to Assistant Professor in the Community College System, effective 7/1/79.
McNeil, Virginia, Assistant Professor in the Community College System, also named Coordinator, Associate Degree Nursing Program, effective 7/1/79

Joint Appointments

MEDICAL CENTER

College of Dentistry

Cruise, Sidney R., Assistant Clinical Professor (voluntary), Restorative Dentistry, also named Assistant Clinical Professor (voluntary), Community Dentistry, 7/1/79 through 6/60/80.
Kluemper, Joan, Clinical Instructor (voluntary), Restorative Dentistry, also named Clinical Instructor (voluntary), Community Dentistry, 5/25/79 through 6/30/80.
Neuman, Douglas, Assistant Clinical Professor (voluntary, Periodontics, also named Assistant Clinical Professor (voluntary), Community Dentistry, 5/25/79 through 6/30/80.

College of Medicine

Kaak, H. Otto, Assistant Clinical Professor (part-time), Psychiatry, also named Assistant Clinical Professor (part-time), Pediatrics, 7/1/79 through 6/30/80.
Maxwell, Edward N., Assistant Professor, Psychiatry, also named Assistant Professor, Pediatrics, 7/1/79 through 6/30/80.

Administrative Appointments

DIVISION OF COLLEGES

College of Arts and Sciences

Bhapkar, Vasant, Professor, Statistics, named Interim Chairman, Statistics, 7/1/79 through 6/30/80.
Drennon, Herbert N., Associate Professor (with tenure), Political Science, and Associate Dean-Special Programs, also named Acting Dean, College of Communications, effective 7/1/79.

College of Home Economics

Chen, Linda H., Professor (with tenure), Nutrition and Food Science, also named Associate Dean for Research and Graduate Education, 7/1/79 through 6/30/80.

Graduate School

Hackbart, Merlin M., Associate Extension Professor in Economics* (with tenure), College of Business and Economics: Center for Public Affairs, named Acting Director, Graduate Center in Public Administration, effective immediately.

Connaway, Ronda, Professor, (with tenure), named Associate Dean for Academic Programs, Graduate School, 8/1/79 through 7/31/81.

University Extension Programs

Harbison, Jane, named Acting Director, Office of International Programs, 7/1/79 through 12/31/79.

Reappointments

DIVISION OF COLLEGES

College of Agriculture

Clark, Perry Wilson, Assistant Extension Professor*, Animal Sciences, 7/1/79 through 6/30/80.

Reed, Michael Robert, Assistant Professor, Agricultural Economics, 7/1/79 through 6/30/80.

College of Architecture

Carpenter, Dennis W., Assistant Professor, 8/16/79 through 5/15/80

Graf, Douglas E., Assistant Professor, 8/16/79 through 5/15/82.

McManus, Shauna, Assistant Professor, 8/16/79 through 5/15/82.

Rocanova, Anthony, Assistant Professor, 7/1/79 through 6/30/80.

Wilson, Thomas C., Assistant Professor, 7/1/79 through 12/31/79.

College of Arts and Sciences

Abbott, Susan, Assistant Professor, Anthropology, joint with Behavioral Science, College of Medicine, 7/1/79 through 6/30/80.

Bullamore, Henry W., Visiting Assistant Professor, Geography, 7/1/79 through 6/30/80.

Burkhardt, Robert J., Visiting Assistant Professor, Philosophy, 8/16/79 through 5/31/80.

Cromley, Robert G., Assistant Professor, Geography, 7/1/79 through 6/30/80.

Durant, Susan, Visiting Assistant Professor (part-time), History, reappointed Visiting Assistant Professor (full-time History, 8/16/79 through 5/31/80.

Fragnoli, Raymond R., Visiting Assistant Professor, History, 7/1/79 through 6/30/80.

Hirth, Kenneth G., Assistant Professor, Anthropology, 7/1/79 through 6/30/81.

*Special Title Series

Lehman, Craig K., Visiting Assistant Professor, Philosophy,
7/1/79 through 5/31/80.
Precourt, Walter E., Assistant Professor, Anthropology,
7/1/79 through 6/30/80.
Scott, Eugenie C., Assistant Professor, Anthropology,
7/1/79 through 6/30/80.
Uglem, Gary L., Assistant Professor, Biological Sciences,
7/1/79 through 6/30/80.

College of Business and Economics

Carpenter, Michael D., Assistant Professor, Business
Administration, 7/1/79 through 6/30/80.
Levin, Jeffrey E., Assistant Professor, Economics, 7/1/79
through 6/30/80.
Nickless, Pamela J., Assistant Professor, Economics, 7/1/79
6/30/80.
Richardson, Gregory, Assistant Professor, Economics, 7/1/79
through 6/30/80.
Upton, David E., Assistant Professor, Business Administration,
7/1/79 through 6/30/80.
White, Sammy E., Assistant Professor, Business Administration,
7/1/79 through 6/30/80.

College of Communications

Applegate, James, Assistant Professor, Communication,
7/1/79 through 6/30/80.
Waldhart, Enid S., Assistant Professor, Communication,
7/1/79 through 6/30/80.

College of Fine Arts

Davenport, David, Instructor, Applied Music, (part-time), School
of Music, 8/16/79 through 5/15/80.
Frank, Suzanne, Instructor of Applied Music* (part-time),
School of Music, 8/16/79 through 5/15/80.
Morrison, Barbara, Instructor of Applied Music* (part-time)
School of Music, 8/16/79 through 5/15/80.
Vasek, Judith, Instructor of Applied Music* (part-time)
School of Music, 8/16/79 through 5/15/80.

College of Law

Gaetke, Eugene R., Assistant Professor, Law, 7/1/79 through
6/30/81
Graham, Mary Louise, Assistant Professor, Law, 7/1/79 through
6/30/81.
Rogers, John M., Assistant Professor, Law, 7/1/79 through
6/30/81.

*Special Title Series

Library

Campbell, Anne G., Visiting Librarian IV, Special Collections,
7/1/79 through 11/13/79.
Dahl, Ellen H., Visiting Librarian IV, Reference, 7/1/79 through
6/30/80.
Davis, Mary, Librarian III, Art Library, 7/1/79 through
6/30/80.

College of Social Professions

Ford, Harriet Lowrey, Librarian IV (half-time), Social Work,
7/1/79 through 6/30/80.
Stilwell, Doris N., Assistant Professor (half-time), Social
Professions, 7/1/79 through 6/30/80.

MEDICAL CENTER

College of Allied Health Professions

Carter, Charles, Assistant Professor, Physical Therapy, 7/1/79
through 6/30/80.
Connelly, Thomas F., Jr., Assistant Professor*, Community Health,
7/1/79 through 6/30/80.
Leitsch, Patricia K., Assistant Professor*, Allied Health
Education and Research, 7/1/79 through 6/30/80.
Mitchell, Carolyn, Instructor, Allied Health Education and
Research 7/1/79 through 6/30/80.
Seabolt, John P., Assistant Professor*, Medical Technology,
7/1/79 through 6/30/80.
Storat, Robert, Assistant Professor (Adjunct Series), Allied
Health Education and Research, 7/1/79 through 6/30/80.
Walsh, Terry, Instructor, Allied Health Education and
Research 7/1/79 through 6/30/80.

College of Dentistry

Mullins, Richard K., Assistant Professor (part-time), Endodontics
7/1/79 through 6/30/80.
Webster, David B., Assistant Professor, Community Dentistry,
7/1/79 through 6/30/80.

College of Medicine

Birge, Barbara A., Clinical Instructor (part-time), Physiology
and Biophysics, 7/1/79 through 6/30/80.
Hanson, Michael B., Assistant Professor (temporary), Obstetrics
and Gynecology, 7/1/79 through 8/31/79.
Leitcher, Steven B., Assistant Professor, Medicine, 7/1/79
through 6/30/80.
Wilson, Emery A., Assistant Professor, Obstetrics and
Gynecology, 7/1/79 through 6/30/80.

College of Nursing

Antle, Linda C., Clinical Instructor (voluntary), 7/1/79
through 6/30/80.

*Special Title Series

Asriel, Margaret, Clinical Instructor (voluntary), 7/1/79 through 6/30/80.
Black, Agnes, Assistant Clinical Professor (voluntary), 7/1/79 through 6/30/80.
Castle, Annette, Clinical Instructor (voluntary), 7/1/79 through 6/30/80.
Combs, Phyllis, Clinical Instructor (voluntary), 7/1/79 through 6/30/80.
Dalton, Sister Dorothy, Clinical Instructor (voluntary), 7/1/79 through 6/30/80.
Dickson, Jan, Assistant Clinical Professor (voluntary), 7/1/79 through 6/30/80.
Dozier, Suzanne, Assistant Clinical Professor (voluntary), 7/1/79 through 6/30/80.
Gascoigne, George B., Assistant Clinical Professor (voluntary), 7/1/79 through 6/30/80.
Harwell, Wilma Kathleen, Clinical Instructor (voluntary), 7/1/79 through 6/30/80.
Hynson, Nancy, Clinical Instructor (voluntary), 7/1/79 through 6/30/80.
Lauf, Anna, Assistant Clinical Professor (voluntary), 7/1/79 through 6/30/80.
Lavery, Barbara Schroeter, Clinical Instructor (voluntary), 7/1/79 through 6/30/80.
Lillard, Callista, Assistant Clinical Professor (voluntary), 7/1/79 through 6/30/80.
Martin, Sally, Clinical Instructor (voluntary), 7/1/79 through 6/30/80.
McDonald, Marcia L. S., Clinical Instructor (voluntary), 7/1/79 through 6/30/80.
McGregor, Virginia T., Clinical Instructor (voluntary), 7/1/79 through 6/30/80.
McKinley, Jean, Clinical Instructor (voluntary), 7/1/79 through 6/30/80.
Miller, Carolyn, Clinical Instructor (voluntary), 7/1/79 through 6/30/80.
Robinson, Eunice, Clinical Instructor (voluntary), 7/1/79 through 6/30/80.
Slater, Carol, Clinical Instructor (voluntary), 7/1/69 through 6/30/80.
Tarkington, Evelyn, Assistant Clinical Professor (voluntary), 7/1/79 through 6/30/80.
Wasson, Anne A., Assistant Clinical Instructor (voluntary), 7/1/79 through 6/30/80.

College of Pharmacy

Anneken, John B., Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Arnold, James P., Jr., Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Baker, Emil W., Assistant Clinical Professor (voluntary), 7/1/79 through 6/30/82.

Barnett, Patsy C., Assistant Clinical Professor (voluntary)
7/1/79 through 6/30/82.

Bausch, Gregory J., Clinical Instructor (voluntary), 7/1/79
through 6/30/82.

Beck, Carl, Assistant Clinical Professor (voluntary), 7/1/79
through 6/30/82.

Bertram, Alvin R., Assistant Clinical Professor (voluntary)
7/1/79 through 6/30/82.

Boyd, Michael D., Clinical Instructor (voluntary), 7/1/79
through 6/30/82.

Brown, Keith Ray, Clinical Instructor (voluntary), 7/1/79
through 6/30/82.

Bryant, Wayne Alan, Assistant Clinical Professor (voluntary)
7/1/79 through 6/30/82.

Budde, Jerry A., Clinical Instructor (voluntary), 7/1/79
through 6/30/82.

Burleson, Michael A., Clinical Instructor (voluntary),
7/1/79 through 6/30/82.

Burton, Billy P., Clinical Instructor (voluntary), 7/1/79
through 6/30/82.

Calhoun, Ronald E., Clinical Instructor (voluntary), 7/1/79
through 6/30/82.

Cline, Janet W., Clinical Instructor (voluntary), 7/1/79
through 6/30/82.

Cone, Edward J., Assistant Adjunct Professor (voluntary),
7/1/79 through 6/30/80.

Coomes, Bernard L., Clinical Instructor (voluntary),
7/1/79 through 6/30/82.

Cooper, Mitchel O., Clinical Instructor, (voluntary),
7/1/79 through 6/30/82.

Deitemeyer, Ralph G., Assistant Clinical Professor (voluntary),
7/1/79 through 6/30/82.

Earwood, Billie J., Clinical Instructor (voluntary), 7/1/79
through 6/30/82.

Elkins, Jean, Clinical Instructor (voluntary), 7/1/79 through
6/30/82.

Fields, Donald R., Clinical Instructor (voluntary), 7/1/79
through 6/30/82.

Fitch, John H., Jr., Assistant Clinical Professor (voluntary),
7/1/79 through 6/30/82.

Francis, Clarence A., Clinical Instructor (voluntary), 7/1/79
through 6/30/82.

Gaither, Randy M., Clinical Instructor (voluntary), 7/1/79
through 6/30/82.

Greenwell, Joseph I., III, Clinical Instructor (voluntary),
7/1/79 through 6/30/82.

Gross, Charles J., Clinical Instructor (voluntary), 7/1/79
through 6/30/82.

Hager, Vernon B., Assistant Clinical Professor (voluntary),
7/1/79 through 6/30/82.

Henderson, Dean L., Clinical Instructor (voluntary), 7/1/79
6/30/82.

Hill, Gail H., Clinical Instructor (voluntary), 7/1/79 through
6/30/82.

Holdaway, David T., Assistant Clinical Professor (voluntary),
7/1/79 through 6/30/82.

Horn, Norman C., Clinical Instructor (voluntary), 7/1/79
through 6/30/82.

Hyland, Gerard Michael, Clinical Instructor (voluntary),
7/1/79 through 6/30, 82.

Irwin, Woody S., Clinical Instructor (voluntary), 7/1/79
through 6/30/82.

Jacob, Arthur G., Assistant Clinical Professor (voluntary),
7/1/79 through 6/30/82.

James, John M., Assistant Clinical Professor (voluntary),
7/1/79 through 6/30/82.

Jones, Connie, Clinical Instructor (voluntary), 7/1/79 through
6/30/82.

Jones, Gary T., Clinical Instructor (voluntary), 7/1/79
through 6/30/82.

Kelley, Robert L., Jr., Clinical Instructor (voluntary),
7/1/79 through 6/30/82.

Kelly, William N., Assistant Clinical Professor (voluntary),
7/1/79 through 6/30/82.

Kemper, Arnold, Assistant Clinical Professor (voluntary),
7/1/79 through 6/30/82.

Kimbrell, Robert L., Clinical Instructor (voluntary), 7/1/79
through 6/30/82.

Klutey, Ronald J., Clinical Instructor (voluntary), 7/1/79
through 6/30/82.

Koger, Carter Wilson, Clinical Instructor (voluntary), 7/1/79
through 6/30/82.

Kovar, Dan R., Clinical Instructor (voluntary), 7/1/79
through 6/30/82.

Lacefield, Richard S., Jr., Clinical Instructor (voluntary),
7/1/79 through 6/30/82.

Lewis, Joe R., Clinical Instructor (voluntary), 7/1/79
through 6/30/82.

Lippert, Donald E., Assistant Clinical Professor (voluntary),
7/1/79 through 6/30/82.

Lloyd, Linda Kay, Clinical Instructor (voluntary), 7/1/79
through 6/30/82.

Lowe, John D., III, Clinical Instructor (voluntary), 7/1/79
through 6/30/82.

Lykins, Pony W., Clinical Instructor (voluntary), 7/1/79
through 6/30/82.

Marcum, Glenn C., Assistant Clinical Professor (voluntary),
7/1/79 through 6/30/82.

Marion, Wesley K., Clinical Instructor (voluntary), 7/1/79
through 6/30/82.

Marshall, William David, Assistant Clinical Professor (voluntary)
7/1/79 through 6/30/82.

Martin, Guy D., Jr., Assistant Clinical Professor (voluntary),
7/1/79 through 6/30/82.

McCreary, Sheldon M., Clinical Instructor (voluntary), 7/1/79
through 6/30/82.

McLaughlin, Paul W., Clinical Instructor (voluntary), 7/1/79
through 6/30/82.

Meena, Richard, Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Miller, Douglas E., Assistant Clinical Professor (voluntary), 7/1/79 through 6/30/82.
Mills, Michael R., Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Mink, William R., Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Montgomery, Michael, Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Murray, Richard E., Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Newman, John F., Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Nieman, John Arthur, Jr., Assistant Clinical Professor (voluntary), 7/1/79 through 6/30/82.
O'Neal, Joe W., Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Pace, Robert E., Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Parker, Martin Dale, Assistant Clinical Professor (voluntary), 7/1/79 through 6/30/82.
Payne, Thomas L., Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Pendergraft, Joel R., Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Perkins, Robert C., Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Phelps, David A., Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Porter, Alvin B., Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Rochelle, Michael F., Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Rogers, Georgia A., Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Rolfsen, Richard, Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Ross, John D., Clinical Instructor (voluntary) 7/1/79 through 6/30/82.
Russell, Harry J., Jr., Assistant Clinical Professor (voluntary), 7/1/79 through 6/30/82.
Sandlin, Robert E. Lee, Assistant Clinical Professor (voluntary), 7/1/79 through 6/30/82.
Scates, Robert Stanley, Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Schatzman, Edward L., Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Schraffenberger, Donald W., Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Smith, Billy Ray, Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Spears, Larry, Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Spoo, William L., Jr., Assistant Clinical Professor (voluntary), 7/1/79 through 6/30/82.
Steil, Rhonda B., Clinical Instructor (voluntary), 7/1/79 through 6/30/82.

Stewart, Jane C., Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Stone, Laura Marie, Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Stone, William Philip, Jr., Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Stovall, Larry Burton, Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Sutton, Ronald Lynn, Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Swift, Robert G., Jr., Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Taylor, Joe D., Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Wallace, Bruce W., Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Wallace, Victor, Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Wheeler, William K., Assistant Clinical Professor (voluntary), 7/1/79 through 6/30/82.
Whitmore, Ronald E., Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Wientjes, Gary, Assistant Clinical Professor (voluntary), 7/1/79 through 6/30/82.
Withers, Dale A., Clinical Instructor (voluntary), 7/1/79 through 6/30/82.
Wortham, Thomas H., Clinical Instructor (voluntary), 7/1/79 through 6/30/82.

COMMUNITY COLLEGE SYSTEM

Hazard Community College

Cornett, Mildred E., Librarian III in the Community College System, 7/1/79 through 6/30/80.
Crowe, Richard G., Jr., Assistant Professor in the Community College System, 7/1/79 through 6/30/80.
Gilmer, Marshall E., Assistant Professor in the Community College System, 7/1/79 through 6/30/80.
Godsey, Marda Lee, Instructor in the Community College System, 7/1/79 through 6/30/80.
Hughes, Terrence, Instructor in the Community College System, 7/1/79 through 6/30/80.
Johnson, Jeffrey M., Assistant Professor in the Community College System, 7/1/79 through 6/30/80.
Watkins, Fredrick E., II, Assistant Professor in the Community College System, 7/1/79 through 6/30/80.
Willenbrink, Robert H., Jr., Instructor in the Community College System, 7/1/79 through 6/30/80.

Henderson Community College

Burns, Barbara C., Instructor in the Community College System, 7/1/79 through 6/30/80.
Edwards, Jane S., Assistant Professor in the Community College System, 7/1/79 through 6/30/80.

Frazer, Patricia, Assistant Professor in the Community College System, 7/1/79 through 6/30/80.
Garrett, Phyllis, Instructor in the Community College System, 7/1/79 through 6/30/80.
Gibson, Kenneth R., Assistant Professor and Assistant Director of Academic Affairs in the Community College System, 7/1/79 through 6/30/80.
Hudnall, Mernie Sue, Instructor in the Community College System, 7/1/79 through 6/30/80.
Klinck, Dana S., Assistant Professor in the Community College System, 7/1/79 through 6/30/80.
Newman, Susan M., Assistant Professor in the Community College System, 7/1/79 through 6/30/80.
Perlow, Carol F., Instructor in the Community College System, 7/1/79 through 6/30/80.
Siewert, Margaret Dianne, Assistant Professor in the Community College System, 7/1/79 through 6/30/80.
Strawn, Anthony A., Instructor in the Community College System, 7/1/79 through 6/30/80.
Walker, Marianne, Assistant Professor in the Community College System, 7/1/79 through 6/30/80.

Hopkinsville Community College

Adams, Mary Helen, Instructor in the Community College System, 7/1/79 through 6/30/80.
Bush, Deborah, Instructor in the Community College System, 7/1/79 through 6/30/80.
Carter, David, Instructor in the Community College System, 7/1/79 through 6/30/80.
Edwards, George D., Instructor in the Community College System, 7/1/79 through 6/30/80.
Felton, Kevin E., Instructor in the Community College System, 7/1/79 through 6/30/80.
Hale, Janet, Instructor in the Community College System, 7/1/79 through 6/30/80.
Hoover, Donald Lee, Assistant Professor in the Community College System, 7/1/79 through 6/30/80.
Lewis, Robert H., Instructor in the Community College System, 7/1/79 through 6/30/80.
Liles, Betty P., Instructor in the Community College System, 7/1/79 through 6/30/80.
Morris, Edward J., Instructor in the Community College System, 7/1/79 through 6/30/80.
Shehan, Thomas G., Jr., Instructor in the Community College System, 7/1/79 through 6/30/80.
Vick, David O., Instructor in the Community College System, 7/1/79 through 6/30/80.
Walston, Larry L., Instructor in the Community College System, 7/1/79 through 6/30/80.

Jefferson Community College

Hollinden, Richard, Assistant Professor in the Community College System, 7/1/79 through 6/30/80.
Wright, Margie, Instructor in the Community College System, 7/1/79 through 6/30/80.

Lexington Technical Institute

Chiswell, C. Lawrence, Assistant Professor in the Community College System, College of Allied Health and College of Dentistry, 7/1/79 through 6/30/80.

Maysville Community College

Foster, Peggy Bertram, Instructor in the Community College System, 7/1/79 through 6/30/80.
Hammond, Robert E., Assistant Professor in the Community College System, 7/1/79 through 6/30/80.
Owen, Nancy J., Assistant Professor in the Community College System, 7/1/79 through 6/30/80.
Slamer, Robert M., Instructor in the Community College System, 7/1/79 through 6/30/80.
Thornton, James S., Assistant Professor in the Community College System, 7/1/79 through 6/30/80.
Webb, Patricia J, Instructor in the Community College System, 7/1/79 through 6/30/80.
White, Mary Carol, Assistant Professor in the Community College System, 7/1/79 through 6/30/80.

Paducah Community College

Kurtz, Patricia A., Instructor in the Community College System, 7/1/79 through 6/30/80.
Scherrep, David, Assistant Professor in the Community College System, 7/1/79 through 6/30/80.
Spry, Ronnie O., Instructor in the Community College System, 7/1/79 through 6/30/80.

Prestonsburg Community College

Archer, Vicky C., Instructor in the Community College System, 7/1/79 through 6/30/80.
Bottoms, Jenny M., Instructor in the Community College System, 7/1/79 through 6/30/80.
Butts, Michele T., Assistant Professor in the Community College System, 7/1/79 through 6/30/80.
Chaffins, Ronnie, Assistant Professor in the Community College System, 7/1/79 through 6/30/80.
Fuller, Hugh Kenneth, Assistant Professor in the Community College System, 7/1/79 through 6/30/80.
Garrett, Clara N., Instructor in the Community College System, 7/1/79 through 6/30/80.
Hobbs, Thomas C., Librarian III in the Community College System, 7/1/79 through 6/30/80.
Salmon, Mazola L., Assistant Professor in the Community College System, 7/1/79 through 6/30/80.

Somerset Community College

Boggs, Bob R., Instructor in the Community College System, 7/1/79 through 6/30/80.
Lynch, Joe R., Assistant Professor in the Community College System and Restorative Dentistry, College of Dentistry, 7/1/79 through 6/30/80.

Whitehead, Sharon Faye, Instructor in the Community College System, 7/1/79 through 6/30/80.

Southeast Community College

Roth, LaVerne, Instructor in the Community College System, 7/1/79 through 6/30/80.

Tackett, Elaine, Assistant Professor/Counselor in the Community College System, 7/1/79 through 6/30/80.

SUPPORT UNITS

Vice President - Minority Affairs

Gaines, Victor P., Employee Counselor, reappointed as Acting Director, Affirmative Action/Employee Counseling, 7/1/79 through 2/29/80.

Ray, Nancy T., Coordinator of Affirmative Action Programs (Leave of Absence extended from 7/1/79 through 2/29/80.) and reappointed as Staff Assistant (half-time), Equal Opportunity Office, 7/1/79 through 2/29/80.

Promotions (Without Tenure)

DIVISION OF COLLEGES

College of Arts and Sciences

Murthy, V.R.K., from Visiting Instructor (part-time), Physics and Astronomy, to Visiting Assistant Professor (full-time), Physics and Astronomy, 7/1/79 through 6/30/80.

Tickamyer, Ann R., from Instructor, Sociology, to Assistant Professor, Sociology, 7/1/79 through 6/30/80.

College of Fine Arts

Simpson, Peter, from Instructor-Applied*, School of Music, to Assistant Professor-Applied*, School of Music, 7/1/79 through 6/30/80.

MEDICAL CENTER

College of Allied Health Professions

Lyons, Lynne, from Instructor, Medical Technology, to Assistant Professor*, Medical Technology, 7/1/79 through 6/30/80.

COMMUNITY COLLEGE SYSTEM

Hazard Community College

Mahoney, John Thomas, from Instructor in the Community College System, to Assistant Professor in the Community College System, and Division Chairman, Humanities, Social Science, and Related Technologies, effective 7/1/79.

*Special Title Series

Taylor, Sandra Elaine, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/79 through 6/30/80.

Henderson Community College

Cousert, David C., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/79 through 6/30/80.

Hughes, Kathy L., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/79 through 6/30/80.

Tammes, B. Beth, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/79 through 6/30/80.

Thomas, Stephen R., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/79 through 6/30/80.

Hopkinsville Community College

Atkins, Cynthia F., from Librarian IV in the Community College System, to Librarian III in the Community College System, 7/1/79 through 6/30/80.

Bozarth, Peggy, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/79 through 6/30/80.

Larkin-Bussell, Vernell, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/79 through 6/30/80.

Jefferson Community College

White, Mafalda T., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/79 through 6/30/80.

Lexington Technical Institute

Carpenter, Gail, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/79 through 6/30/80.

Maysville Community College

Klee, John R., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/79 through 6/30/80.

Swartz, Gertrude Lill, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/79 through 6/30/80.

Prestonsburg Community College

Nutter, Susan S., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/79 through 6/30/80.

Terminal Reappointments

DIVISION OF COLLEGES

College of Architecture

Ellis, Nona E., Assistant Professor, Architecture, 7/1/79
through 6/30/80.

College of Arts and Sciences

Fleck, Robert C., Jr., Visiting Assistant Professor, Physics
and Astronomy, 8/16/79 through 5/15/80.

Lenhart, Stephen W., Visiting Instructor, Geology, 7/1/79
through 5/31/80.

Saylor, Marshall L., Visiting Assistant Professor, Physics,
8/16/79 through 5/15/80.

College of Business and Economics

Krefting, Linda A., Assistant Professor, Business Administration
7/1/79 through 6/30/80.

College of Communications

Housel, Thomas J., Instructor, Communication, 8/16/79 through
5/15/80.

College of Education

Green, Logan, Assistant Professor, Educational Psychology and
Counseling, 8/16/79 through 5/30/80.

College of Social Professions

Oliver, Kenneth E., Instructor, Social Work, 8/16/79 through
5/15/80.

MEDICAL CENTER

College of Allied Health Professions

Ellenor, Gary L., Assistant Professor*, Allied Health Education
and Research, 7/1/79 through 6/30/80.

Kirkhorn, Judith B., Assistant Professor*, Allied Health
Education and Research, 7/1/79 through 6/30/80.

Schneider, Diane, Instructor, Allied Health Education and
Research, 7/1/79 through 6/30/80.

College of Dentistry

Fisch, A. L., Assistant Professor*, Community Dentistry, and
Community Health, College of Allied Health Professions,
7/1/79 through 6/30/80.

*Special Title Series

College of Nursing

Jacobsen, Marilyn-Lu, Associate Professor*, 7/1/79 through 6/30/80.

COMMUNITY COLLEGE SYSTEM

Henderson Community College

Bray, James M., Librarian III in the Community College System, 7/1/79 through 6/30/80.

Leaves of Absence

DIVISION OF COLLEGES

College of Agriculture

Bailey, Carol Lee, County Extension Agent, Home Economics, Leave without pay, 9/1/79 through 8/31/80.

College of Architecture

Roccanova, Anthony, Assistant Professor, Architecture, Leave without pay, Fall semester, 1979.

College of Arts and Sciences

Blues, Thomas O., Associate Professor, English, Sabbatical leave with full-salary, 1/1/80 through 6/30/80.

Dye, Nancy S., Assistant Professor, History, Cancel request for Leave without pay, 7/1/79 through 12/31/79.

Evans, Lawrence C., Associate Professor, Mathematics, Special Assignment July 1, 1979 - December 31, 1979 to accept Sloan Fellowship in Paris, France.

Freeman, Michael, Professor, Mathematics, Leave without pay, 1/1/80 through 6/30/80.

Gardner, Joseph H., Associate Professor, English, Sabbatical Leave with Full-Salary, 1/1/80 through 6/30/80.

Grobman, Neil, Assistant Professor, Leave without pay, 7/1/79 through 12/31/79.

Kubota, Kenneth K., Professor, Mathematics, Leave without pay, 7/1/79 through 12/31/79

Manns, James W., Associate Professor, Philosophy, Sabbatical leave with full salary, 1/1/80 through 6/30/80.

Wells, James H., Professor, Mathematics, Sabbatical leave with full salary, 1/1/80 through 6/30/80.

College of Business and Economics

Balachandran, K. R., Associate Professor, Business Administration Leave without pay, academic year 1979-80.

Krefting, Linda A., Assistant Professor, Business Administration, Leave without pay, academic year 1979-80.

Marsden, James R., Associate Professor, Economics, Leave without pay, 7/1/79 through 12/31/79.

*Special Title Series

College of Communications

Donohew, Lewis, Professor, Communication, Leave without pay,
7/1/79 through 12/31/79.

College of Education

Bramble, Associate Professor, Educational Psychology and
Counseling, Leave without pay continued through 8/15/80.
Griffin, Willis, Associate Professor, Social and Philosophical
Studies and University Extension Programs and Director,
Office of International Programs. Sabbatical leave with
full salary changed from 1/1/79 through 6/30/79 to 7/1/79
through 12/31/79.

College of Engineering

Brown, Frank M., Associate Professor, Electrical Engineering,
Sabbatical leave with full salary, 7/1/79 through 12/31/79.
Eaton, Thomas E., Assistant Professor, Mechanical Engineering,
Leave without pay extended to 1/1/80.
Hardin, Bobby O., Professor, Civil Engineering, Sabbatical
leave with half salary, academic year 1979-80.

Library

Sackett, Judy, Librarian II, Newspaper/Microtext, Leave without
pay, 7/23/79 through 7/80.

College of Library Science

Terhune, Joy, Associate Professor of Librarianship*, Library
Science, Sabbatical leave with full salary, 1/1/80
through 6/30/80.

MEDICAL CENTER

College of Allied Health Professions

Peter, Carl J., Associate Professor*, Community Health,
Sabbatical leave with full salary, 1/1/80 through 6/30/80.

College of Dentistry

Spohn, Eric E., Associate Professor*, Community Dentistry, and
Allied Health Education and Research, College of Allied
Health Professions, Sabbatical leave with half salary,
9/1/79 through 6/30/80.

College of Medicine

Hochstrasser, Donald L., Professor, Community Medicine,
Sabbatical leave with full salary, 7/1/79 through 12/31/79

*Special Title Series

Hutton, John J., Professor, Medicine, Sabbatical leave with full salary 11/1/79 through 4/30/80.
Lorman, James G., Professor*, Diagnostic Radiology, Sabbatical leave with full salary, 4/1/80 through 9/30/80.

College of Nursing

Sebastian, Juliann G., Instructor, Nursing, Maternity leave, 8/13/79 through 9/21/79.

COMMUNITY COLLEGE SYSTEM

Elizabethtown Community College

Murley, James I., Assistant Professor in the Community College System, Leave without pay, 7/1/79 through 6/30/80.

Jefferson Community College

Jacob, Martha A., Associate Professor in the Community College System, Leave without pay, 7/1/79 through 6/30/80.
Miller, Margaret, Associate Professor in the Community College System, Leave without pay, 7/1/79 through 6/30/80.

Lexington Technical Institute

Rey-Barreau, Joseph A., Assistant Professor in the Community College System, Leave without pay, 7/1/79 through 6/30/80.

Paducah Community College

Harper, Reva, Associate Professor in the Community College System, Leave without pay, academic year 1979-80.
Hellebusch, Elizabeth S., Associate Professor in the Community College System, Leave without pay, academic year, 1979-80.

*Special Title Series

Action taken: Approved XX Disapproved _____ Other _____
Date: June 28, 1979

PR 3A

Members, Executive Committee, Board of Trustees:

SUPPLEMENTAL RECOMMENDATIONS OF THE PRESIDENT

I. Patent Assignment

Recommendation: that authorization be given to the Chairman and Secretary of the University of Kentucky Board of Trustees to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation of all right, title and interest in certain aziridine compounds or compositions with insecticidal properties, invented, developed or discovered by P. K. Kadaba and disclosed to the University of Kentucky Patent Committee as Case No. 232 on April 28, 1977.

Background: P. K. Kadaba has assigned her rights to the aziridine compounds or compositions with insecticidal properties invented, developed or discovered by her to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

II. Appointments and/or Other Staff Changes

Recommendations: (1) that approval be given to the appointments and/or other staff changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The following recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate vice president through the President and have his concurrence.

Under the Governing Regulations the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

BOARD ACTION

Academic Appointments

DIVISION OF COLLEGES

College of Education

Smart, John C., Professor (with tenure), Higher Education, effective 8/16/79.

College of Engineering

Unrug, Konstanty F., Professor (with tenure), Civil Engineering,
effective 8/16/79.

ADMINISTRATIVE ACTION

Administrative Appointments

DIVISION OF COLLEGES

College of Arts and Sciences

Rowell, Charles H., Associate Professor (with tenure), English,
named Acting Dean, Undergraduate Studies, effective 7/1/79.

Action taken: Approved XX Disapproved _____ Other _____
Date: June 28, 1979

Office of the President
June 28, 1979

PR 3_B

Members, Executive Committee, Board of Trustees:

APPOINTMENT OF DEAN OF THE COLLEGE OF ENGINEERING

Recommendation: that Dr. Roger Eichhorn be appointed Dean of the College of Engineering, effective July 1, 1979.

Background: Following the resignation of Dr. James Funk as Dean of the College of Engineering to accept appointment as Associate Vice President for Academic Affairs and Coordinator of Energy Research, an advisory committee recommended the appointment of Dr. Eichhorn as Acting Dean of the College of Engineering. In accordance with our University Regulations another committee was then appointed to identify and recommend candidates for the regular position of Dean. This committee recommended the appointment of Dr. Eichhorn.

Dr. Eichhorn was awarded the degrees of BSE in 1953, MSME in 1955, and Ph.D. in 1959 by the University of Minnesota. He served as Instructor at Minnesota from 1955-1959 and was on the faculty of Princeton University from 1959 until joining the faculty of the University of Kentucky as Professor of Mechanical Engineering in 1965. At the University of Kentucky he has served as chairman of the Department of Mechanical Engineering, Acting Dean of the College of Engineering on two occasions, Associate Dean of the Graduate School, and held a Fulbright lectureship in South America. He has a distinguished record of scholarly research.

The University of Kentucky is fortunate to have in the faculty a professor of such competence and experience who is willing to accept this important assignment as Dean of the College of Engineering.

Action taken: Approved XX Disapproved _____ Other _____

Date: June 28, 1979

Office of the President
June 28, 1979

PR 4A

Members, Executive Committee, Board of Trustees:

ADMINISTRATIVE APPOINTMENT

Recommendation: that Dr. John B. Stephenson be appointed Director of the Appalachian Center, effective July 1, 1979.

Background: Following the establishment of the Appalachian Center, and upon the recommendation of an advisory committee, Dr. David Walls was appointed Acting Director of the Center and is currently serving in that capacity. The search committee has now recommended the appointment of Dr. Stephenson, and he has agreed to accept this position.

Dr. Stephenson is a native of the Appalachian region and has conducted considerable research on matters dealing with Appalachian topics. He holds the A.B. degree from William and Mary and the M.A. and Ph.D. degrees from the University of North Carolina. Dr. Stephenson joined this faculty as Assistant Professor of Sociology in 1966, was promoted to Associate Professor in 1969, and to Professor in 1976. He has served as Dean of Undergraduate Studies since 1970, a position which he will vacate as of July 1, 1979. He was very effective in the creation of the Center and will provide a high quality of leadership to the development of these programs.

Action taken: Approved XX Disapproved _____ Other _____

Date: June 28, 1979

Office of the President
June 28, 1979

PR 4 B

Members, Executive Committee, Board of Trustees:

ADMINISTRATIVE APPOINTMENT

Recommendation: that Dr. Stephen Langston be appointed Dean of University Extension and Director of Summer Programs, effective July 1, 1979.

Background: Dr. Langston has been serving as Acting Dean since July 1, 1977, and was recommended by the faculty advisory committee appointed to identify and advise on the appointment of candidates for this position.

Dr. Langston has now had seven years academic administrative experience at the University of Kentucky. He served for a period as Assistant Dean of the College of Arts and Sciences, where he was actively involved with academic programs, including Summer programming. He has also served as Assistant Vice President for Continuing Education.

A University Senate committee made several recommendations involving our Summer programs, one of which was that a Director should be appointed who will be directly responsible to the Vice President for Academic Affairs. Dr. Langston was recommended for this appointment by the faculty advisory committee appointed to identify and advise on candidates for the position.

We believe that the nature of our Extension programs and our Summer programs is such that there will be significant benefit in placing these operations under a single administration. Dr. Langston is well qualified by experience to administer these programs.

Action taken: Approved XX Disapproved _____ Other _____

Date: June 28, 1979

Office of the President
June 28, 1979

PR 5A

Members, Executive Committee, Board of Trustees:

NEW ACADEMIC DEGREE

Recommendation: that the Trustees approve the award of Master of Rehabilitation Counseling to those students who satisfactorily complete the degree program approved by the University Senate.

Background: For many years the University has offered a program at the Masters level in Rehabilitation Counseling. However, the degree awarded was the Master of Arts in Education. A special committee recommended that it would be more appropriate and more valuable to the students to be awarded the Masters of Rehabilitation Counseling, and this recommendation has been approved by all of the necessary individuals and groups. While this is a new academic degree, it has been determined that this is not a new academic program.

Action taken: Approved XX Disapproved _____ Other _____

Date: June 28, 1979

Office of the President
June 28, 1979

PR 5B

Members, Executive Committee, Board of Trustees:

NEW ACADEMIC DEGREE

Recommendation: that the Trustees authorize the award of the degree Bachelor of Fine Arts to those students who complete the required course of study approved by the University Senate.

Background: The Department of Art has offered an undergraduate program leading to the A.B. degree for many years. They have proposed, and the University Senate has approved, the awarding of the Bachelor of Fine Arts degree for those students who complete additional courses in the Studio Arts sequence. It has been determined that while this is a new academic degree, it is not a new academic program.

Action Taken: Approved xx Disapproved _____ Other _____

Date: June 28, 1979

Office of the President
June 28, 1979

PR 6

Members, Executive Committee, Board of Trustees:

Departmental Name Change

Recommendation: that the name of the Department of Family Studies: Human Development and Resource Management in the College of Home Economics be changed to Department of Family Studies.

Background: The proposed name change has been approved by the college faculty, the University and Graduate Councils and the University Senate and is intended to reflect more accurately the interests of the faculty and role of the department.

Action taken: Approved XX Disapproved _____ Other _____

Date: June 28, 1979

P R 7

Members, Executive Committee, Board of Trustees:

1978-79 BUDGET REVISIONS

Recommendation: that the following revisions in the 1978-79 budget be authorized and approved.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>General Fund</u>			
1. Income Estimates			
State Appropriation	\$112,677,800	\$112,697,100	\$ 19,300
Student Fees			
Registration Fees			
Community College			
System	4,334,200	4,347,200	13,000
Continuing Education			
Fees	1,826,100	1,902,900	76,800
Miscellaneous Fees	96,400	96,900	500
Sales and Services			
Departmental Sales and			
Services	2,971,700	2,983,200	11,500
Fund Balances			
Private Gifts	25,600	55,000	29,400
			<u>150,500</u>
2. Expenditures			
Academic Affairs			
College of Arts and			
Sciences			
English	1,110,700	1,111,400	700
Spanish and Italian	364,200	365,200	1,000
College of Engineering			
Continuing Education			
and Extension	325,700	399,500	73,800
College of Fine Arts			
Theatre Arts	204,300	205,100	800
Administration and			
Support			
General Library	2,533,400	2,534,300	900
Community College System			
Central Administration			
Council on Public Higher			
Education Mini-Grants	-0-	19,300	19,300
Ashland Community			
College	1,358,800	1,361,700	2,900
Lexington Technical			
Institute	1,186,100	1,191,100	5,000
Paducah Community			
College	1,351,500	1,352,700	1,200
Off-Campus Instruction	72,000	85,000	13,000

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
2. Expenditures (Cont'd)			
Business Affairs			
Assistant Vice			
President			
Business Services	\$ 39,900	\$ 40,400	\$ 500
University-Wide			
General Expenses			
Annual Giving			
Program	232,500	261,900	29,400
Student Aid			
Community College			
Scholarships	66,300	68,300	2,000
			<u>150,500</u>

3. Comments - The proposed expenditure increase for the College of Arts and Sciences' English Department will be supported by additional journal sales. Additional continuing education fees will fund program increases for the College of Arts and Sciences' French Department and the College of Engineering's Continuing Education and Extension program. Ticket sales from the Guignol Theatre will support increased expenditures for the College of Fine Arts' Theatre Arts Department. An increase in sales and services income generated by the University libraries, and the dental hygiene clinics at Paducah Community College and Lexington Technical Institute will support increased expenditures in the respective units. The proposed expenditure increase for Ashland Community College will be funded by additional testing services and continuing education fees income. The increase in expenditure authority for the Community College System's Off-Campus Instruction program is supported by additional spring registration fee income. A special state appropriation will support the Community College System's mini-grant awards. Increased vending commissions income will fund additional Community College scholarships. The Business Affairs Business Services' budget increase will be funded by additional student identification card replacement income. Appropriated private gifts fund balances will support the budget increase for the Annual Giving program.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
B. <u>Restricted Funds</u>			
1. Income Estimates	\$15,174,900	\$15,273,600	\$98,700
2. Expenditures			
Academic Affairs			
College of Home			
Economics			
Administration	2,500	6,700	4,200
Nutrition and			
Food	130,800	133,300	2,500
College of Social			
Professions			
Instruction	391,300	467,600	76,300
Medical Center			
College of Medicine			
Pediatrics	24,300	28,600	4,300
Community College System			
Elizabethtown Community			
College	255,800	256,500	700
Madisonville			
Community College	21,300	22,600	1,300
Southeast Community			
College	6,300	8,600	2,300
Student Aid			
Gifts and Grants for			
Scholarships			
Community College			
Scholarships	191,700	193,700	2,000
General Scholar-			
ships	429,400	434,500	5,100
			<u>98,700</u>
3. <u>Comments</u> - Income restricted for the purposes indicated above will fund the proposed additional expenditures.			

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
C. <u>Auxiliary Services</u>			
1. Income Estimates	\$16,614,500	\$16,633,500	\$19,000
2. Expenditures			
Community College System			
Elizabethtown Community College	158,200	173,200	15,000
Madisonville Community College	50,800	54,800	<u>4,000</u>
			<u>19,000</u>
3. <u>Comments</u> - The proposed expenditure increase will be supported by additional income generated by the respective auxiliary services operation.			

Action taken: Approved XX Disapproved Other

Date: June 28 , 1979

Members, Executive Committee, Board of Trustees:

PR 8

1979-80 BUDGET REVISIONS

Recommendation: that the following revisions in the 1979-80 budget be authorized and approved.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>General Fund</u>			
1. <u>Income Estimates</u>			
<u>Federal Appropriations</u>			
Agricultural Experiment Station	\$3,205,800	\$3,297,500	\$ 91,700
Sales and Services			
Public Services and Regulatory	1,170,000	1,186,400	16,400
Departmental Sales and Services	3,070,800	3,840,800	770,000
			<u>878,100</u>
2. <u>Expenditures</u>			
<u>Academic Affairs</u>			
Agricultural Experiment Station	10,026,000	10,117,700	91,700
Agricultural Public Service			
Agronomy			
Hybrid Corn	16,300	32,700	16,400
Medical Center			
College of Medicine	12,586,100	13,286,100	700,000
University Wide			
Staff Benefits	17,431,500	17,501,500	70,000
			<u>878,100</u>
3. <u>Comments</u> - An increase in federal appropriations will fund a budget increase for the Agricultural Experiment Station. The Agricultural Public Service budget increase will be supported by fees from commercial hybrid corn evaluations. The increase in the College of Medicine Budget will be supported by reimbursement from K.M.S.F., Kentucky Medical Services Foundation, for cost of support staff associated with patient care activities for which a professional fee is realized by K.M.S.F.			

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
B. <u>Restricted Funds</u>			
1. Income Estimates	\$14,023,700	\$14,031,200	\$7,500
2. Expenditures			
Academic Affairs			
College of Engineering			
Metallurgical	4,000	11,500	7,500
3. <u>Comments</u> - Income restricted for the purposes above will fund the proposed additional expenditures.			

Action taken: Approved XX Disapproved Other

Date: June 28 , 1979

Office of the President
June 28, 1979

PR 9

Members, Executive Committee, Board of Trustees:

CONFIRMATION OF 1979-80 FEE SCHEDULE

Recommendation: that the Board of Trustees 1) confirm changes in the fees charged non-resident students and students in the professional programs in dentistry, medicine, and pharmacy as established by the Council on Higher Education at its meetings on April 11, 1979 and May 24, 1979, and 2) establish corresponding part-time and Summer School charges under the guidelines of the Council on Higher Education.

Background: Under KRS 164.020, the Council on Higher Education is charged with the duty of determining the amount of entrance and/or registration fees for admission to Kentucky public institutions of Higher Education. Current fees were established by the Council on April 12, 1978 and confirmed by this Board on May 9, 1978. In acting on the current fees structure in April of 1978, CHE indicated that there would be an increase for non-resident students effective Fall, 1979 equal at least to the increase established for Fall, 1978. At its April meeting this year, Council established that minimum increase as the actual increase for Fall, 1979.

In order to compensate for the loss of capitation monies in the fields of medicine, dentistry and pharmacy, the University of Kentucky and the University of Louisville proposed to the Council that fees for professional students in those areas be further increased, and at the Council's May meeting, an additional amount of \$285 per year was approved for each of these programs.

The complete schedule recommended for adoption follows. It should be noted that charges for part-time and Summer School students are derived, as the Council has directed, from the semester rate using this institution's definition of what constitutes a full-time load for each level and program area. Further, all charges reflect the \$3.00 per semester increase in the Activity Fee approved by this Board in May of last year.

Action taken: Approved XX Disapproved _____ Other _____

Date: June 28, 1979

UNIVERSITY OF KENTUCKY
FEES SCHEDULE
(EFFECTIVE FALL, 1979)

	Semester Full-Time ¹ /Charge	Eight Week Summer Session Full-Time ² /Charge	Part-Time and Four-Week Interession Charge per Credit Hour
<u>Lexington Campus</u>			
Undergraduate (Includes Lexington Technical Institute)			
Resident	281	144	24
Non-Resident	906	456	76
Graduate			
Resident	316	180	36
Non-Resident	956	535	107
Law			
Resident	356	180	36
Non-Resident	996	500	100
Pharmacy			
Resident	423.50	216	36
Non-Resident	1048.50	528	88
Medicine and Dentistry ^{3/}			
Resident	1497	---	--
Non-Resident	2997	---	--
<u>Community Colleges</u>			
Resident	195	102	17
Non-Resident	500	252	42

^{1/} For fee payment purposes twelve credit hours or its equivalent constitutes a full load for undergraduate and pharmacy students, nine hours for graduate students, and ten hours for law students. Charges for the Lexington campus include a \$12.00 Student Center Fee and a \$12.25 Activity Fee.

^{2/} For fee payment purposes, six credit hours or its equivalent constitutes a full load for undergraduate and pharmacy students, five hours for graduate and law students. Charges for Lexington campus include a \$6.00 Student Center Fee.

^{3/} Annual charges. Student Center Fee of \$24.00 and Activity Fee of \$24.50 are included.

Office of the President
June 28, 1979

PR 10

Members, Executive Committee, Board of Trustees:

CAPITAL CONSTRUCTION PROJECT

Recommendation: that the Board approve for submission to the Council on Higher Education a capital construction project for a parking lot associated with the new apartment type housing units scheduled for occupancy in the Fall.

Background: The apartment type housing complex currently under construction in the area just south of Road D will provide 200 two bedroom furnished apartments for approximately 600 single students and 50 married students and their families. Some parking will be available along the streets of the complex, but that will not be adequate to meet the needs of the residents. The proposed project will provide space for approximately 275 additional vehicles. Cost of the project is estimated at \$125,000 and includes cost of access drives, lighting and drainage. Funds will come from the University's housing and dining budget.

Approved XX Disapproved _____ Other _____
Date: June 28, 1979

Office of the President
June 28, 1979

PR 11

Members, Executive Committee, Board of Trustees:

INTERIM EXTENSION OF EMPLOYEE BENEFITS

Recommendation:

That the Board extend, on an interim basis, the authorization of normal University TIAA-CREF contributions and/or the accrual of service credit, together with the provision of other normal employee benefits, to the extent available under current contractual arrangements, and in accord with usual University practices, for employees (other than executives and certain academic employees specified in previous action) who choose to continue in employment beyond their normal retirement date, pending the adoption of final regulations pertaining to the employee benefits for such personnel.

Background:

On December 12, 1978, the Board took action to establish a normal retirement date (the end of the fiscal year in which they reach age 65) for University employees; a mandatory retirement age of 70 was established for all employees except those in high policymaking positions and those serving under a contract of unlimited tenure. This action was taken to bring the University into compliance with the 1978 amendments to the Age Discrimination in Employment Act of 1967 which became effective January 1, 1979.

On May 25, 1979, the Department of Labor published final regulations interpreting the law and otherwise dealing with the provision of benefits for employees who elect to continue in employment beyond the normal retirement date.

The University administration is currently in the process of analyzing the final regulations, negotiating with the various providers of employee benefits, and drafting University regulations which will be recommended to the Board for final University action.

The present action simply provides for the extension of all benefits, on an interim or month-to-month basis, pending a formal recommendation as to the provision of benefits for employees between 65 and 70 years of age.

Action taken: Approved XX Disapproved _____ Other _____

Date: June 28, 1979

Office of the President
June 28, 1979

PR 12

Members, Executive Committee, Board of Trustees:

Approval of Agreement Between University of Kentucky and
Kentucky Medical Services Foundation, Inc.

Recommendation: that the Board approve the attached agreement between the Board of Trustees of the University of Kentucky and Kentucky Medical Services Foundation, Inc. which was negotiated pursuant to the "Board of Trustees Resolution Regarding Medical Practice Plan" adopted on June 20, 1978.

Background: The Board action on June 20, 1978 provided that:

"The President is authorized to negotiate and execute a contract subject to approval of the Board of Trustees, with an appropriately qualified organizational entity for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under the plan."

Kentucky Medical Services Foundation, Inc. meets the "criteria" specified under Section III A for the appropriately qualified organization. The attached agreement conforms to the provisions of the June 20 Board resolution and specifically satisfied the "conditions" set forth in Section III B.

The terms of the attached document are essentially the same as those in the agreement approved by the Board on July 7, 1978 for the period July 1, 1978 through June 30, 1979. The document has been updated to reflect dates in the new contract period, eliminate portions related to the transition from the old to new plan, and revise the dollar amounts due the University under Section 5, C and D. The dollar amounts have been revised to reflect the results of a cost study and increased costs for 1979-80. The amount for professional liability insurance has been reduced from \$228,000 to \$180,000 and the amount for expenses attendant to the production of clinical income has been increased from \$700,000 to \$1,140,000.

Action taken: _____ with _____
Approved addendum Disapproved _____ Other _____

Date: June 28, 1979.

THIS AGREEMENT made and entered into this ____ day of _____, 1979, by and between the BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY, a statutory body corporate existing pursuant to Section 164.100 et seq of the Kentucky Revised Statutes (hereinafter referred to as the University) and KENTUCKY MEDICAL SERVICES FOUNDATION, INC., a non-stock, non-profit corporation formed pursuant to Chapter 273 of the Kentucky Revised Statutes (hereinafter referred to as the Foundation).

WITNESSETH:

Section 1. RECITALS

A. Foundation is organized for the purposes stated in its Articles of Incorporation as a non-stock, non-profit corporation and will use and apply the whole or any part of its income and principal exclusively for charitable, scientific, or educational purposes at or for the benefit of, the University of Kentucky Medical Center.

B. A substantial number of University's faculty in its College of Medicine have heretofore executed agreements with Foundation, known as "Practice Agreements and Assignments" whereby said faculty have assigned to Foundation their professionally generated clinical income.

C. The recruitment and retention of the members of the medical faculty of the University will be improved by permitting the said members to supplement, by income from the practice of medicine, the salaries provided by the University.

D. The University recognizes that the medical practice activities of its faculty members can be conducted in a more efficient and economical manner if those faculty members who

produce income are permitted, through the medium of the Foundation, to exercise a larger voice in the expenditure of the funds so produced.

E. The University has heretofore established, in addition to its previously adopted Physicians Service Plan, a "geographic full-time medical service plan", the said geographic full-time plan having been adopted on June 20, 1978; said action is hereby incorporated by reference as if set out in full herein.

F. The aforementioned action of University's Board of Trustees authorized the University's President to negotiate and execute a contract, subject to the approval of said Board, with an appropriately qualified entity for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under the plan.

NOW THEREFORE, the parties hereto agree as follows:

Section 2. DEFINITIONS

A. "The Plan" means the geographic full-time medical service plan for the University of Kentucky College of Medicine established by action of the Board of Trustees of said University dated June 20, 1978.

B. "Clinical income" means (i) all payments for professional services rendered to or with respect to patients whether denominated as diagnosis, treatment, evaluation, or consultation and whether said payments be characterized as fees, retainers, or otherwise, and (ii) all payments whether direct or in kind, (excluding actual travel costs) for oversight or administration of, or consultation with, facilities, programs, or other operations providing care, services or evaluation relating to patients, and (iii) witness fees or other payments relating to depositions or other evaluations in the capacity of an expert witness. Provided, however, that nothing contained herein shall be deemed

to include in the term "clinical income" any item excluded therefrom by paragraph II, B of the plan.

C. "Practice agreements" means those documents, denominated Practice Agreements and Assignments heretofore executed by certain members of University's faculty, the University, The Fund for Advancement of Education in the University of Kentucky Medical Center and the Foundation.

Section 3. NATURE OF THE AGREEMENT

Pursuant to Paragraph III, A. of the aforementioned action of University's Board of Trustees dated June 20, 1978, University hereby recognizes Foundation as an "appropriately qualified organizational entity" as described therein for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under University's "geographic full-time medical services plan".

Section 4. BILLING AND COLLECTION

Foundation agrees that it will accept, pursuant to practice agreements, the assignment of all clinical income by participants in the plan and, in accordance with such assignment, agrees to bill the amount of duly established charges for professional services to parties determined to be responsible for payment of such charges, with the exception of the billing and collection of the professional component of combined charges for institutional (hospital and clinical) and professional services. University hereby agrees to bill and collect said professional component of combined charges in accordance with University policies and procedures and to remit monthly to Foundation the amount collected which is attributable to the professional component of said combined charges. The determination of the amount collected which is attributable to professional components of such charges will be in accordance with the existing

basis and method utilized by University for such determination.

Section 5. REIMBURSEMENT OF UNIVERSITY

A. In accord with paragraph II, D. 2 of the plan, the parties recognize that Foundation must reimburse University for actual expenses incurred by it which are attendant to the production of clinical income.

B. To provide for said reimbursement, Foundation agrees that it will, on or before the first working day of each month in which reimbursable expenses are due as provided hereinafter, deposit the funds necessary to make such payments in a separate account maintained by it and that funds held in the said account will be invested only in accord with the provisions of Section 16 hereof.

C. Foundation agrees to pay to University, from said account, as reimbursement for the provision of professional liability insurance, the sum of One Hundred Eighty Thousand (\$180,000.00) Dollars in twelve (12) equal monthly installments, the first said installment being due and payable on July 31, 1979 and monthly thereafter until June 30, 1980.

D. Foundation agrees to pay to University, from said account, as reimbursement for the remainder of the expenses attendant to the production of clinical income, University's actual annual costs, not to exceed the sum of One Million One Hundred Forty Thousand (\$1,140,000.00) Dollars. Payments hereunder shall be made in twelve (12) monthly installments of ninety-five thousand (\$95,000.00) dollars each on the dates provided in paragraph C. hereinabove.

E. Foundation and University agree to jointly develop a methodology during the term of this contract to determine the actual cost to University attendant to the production of clinical income.

F. In addition to the above, Foundation agrees that it will reimburse University for all "plan income", as that term is defined in paragraph II, C., 2 of the plan, paid to faculty by University.

Section 6. ACADEMIC ENRICHMENT FUND

A. Consonant with Section II, D. 3 of the plan adopted by University's Board of Trustees on June 20, 1978, Foundation agrees that it will pay to The Fund for Advancement of Education and Research in the University of Kentucky Medical Center (hereinafter Fund), an amount equal to seven (7%) percent of the actual clinical income collected by said Foundation. The Fund will deposit said payments in an account maintained for the purpose of providing an academic enrichment fund to be used by the Dean of the College of Medicine in support of the programs of said College and for related purposes. Amounts due hereunder will be computed by Foundation on a monthly basis as income is received and remitted to the Fund within ten (10) days after the close of each monthly accounting period.

B. Foundation further agrees that it will deposit, in an account maintained by Foundation, on the same basis as provided in subsection A. hereinabove, an additional amount equal to three (3%) percent of the actual clinical income collected by said Foundation, said funds to be used by the Dean of the College of Medicine for the enrichment of the programs of the College and for related purposes in his sole discretion.

Section 7. PARTICULAR COVENANTS OF THE FOUNDATION

A. Foundation's Articles of Incorporation, as filed with the Secretary of State of the Commonwealth of Kentucky on

June 19, 1978, have been inspected by the University as a condition precedent to University's entry into this agreement.

Foundation covenants that it will not, absent the written agreement of the University, amend or otherwise alter said Articles or adopt any by-law or other operating practice which would effectively alter the character of said Foundation.

B. Foundation covenants that it will not engage in the practice of medicine, nor will it solicit, administer, receive, perform or accept any gift, grant, devise, bequest, contract or other arrangement with or from any governmental unit, entity, or subdivision or any person, corporation, partnership, association or other entity whatsoever except as specifically permitted herein. Provided, however, that nothing contained herein shall be construed to prohibit the Foundation (subject to the approval of the Dean of the College of Medicine) from negotiating and executing contracts providing exclusively for professional services by faculty who have executed practice agreements.

C. Foundation covenants that it will not merge with any other corporation or convey any substantial portion of its assets to any other corporation, partnership, or other entity except as specifically provided herein and that, in the event of its dissolution its Board of Directors shall cause its assets to be applied and distributed as follows: (a) all liabilities and obligations of the corporation shall be paid, satisfied and discharged, or adequate provisions shall be made therefor; (b) assets held by the corporation upon a condition which occurs by reason of the dissolution, shall be returned, transferred or conveyed in accordance with such requirements; and (c) all of the remaining assets of the corporation shall be transferred or conveyed to the Board of Trustees of the University of Kentucky, or its suc-

cessor, to be used for medical education and research, if said University or its successor, shall qualify as a public corporation and an instrumentality of the State of Kentucky or as an exempt organization under Section 501(c)(3) of the Internal Revenue Code of 1954, as amended; and if said University or its successor shall not so qualify, then to some other organization or organizations organized and operated exclusively for charitable, educational, or scientific purposes in the field of medicine as shall at the time qualify as an exempt organization or organizations under Section 501(c)(3) of the Internal Revenue Code of 1954, as amended.

Section 8. MEMBERSHIP AND BENEFITS

A. University agrees that it will assist the Foundation to enforce the terms of the practice agreements entered into by members of University's clinical departments of its College of Medicine by requiring adherence to said agreements as a condition of continued employment as a member of University's faculty.

B. University agrees that it will require, as a condition of employment as a member of University's faculty in a clinical department in which a majority of the faculty members have executed practice agreements, that new members of its faculty execute said practice agreement in form identical to said agreements heretofore executed or as the form of same may be modified by mutual agreement of the parties hereto from time to time.

C. Foundation agrees that it will not, absent the written consent of University, bill for, collect or administer any item of income for any person or entity not eligible for membership in the "plan", nor will it permit persons not eligible for such

membership to serve on its Board of Directors, nor will it provide any benefit or other thing of value to any person or entity not eligible for such membership except for (i) termination benefits to formerly eligible members as provided by action of Foundation's Board of Directors or (ii) incidental benefits indirectly accruing to employees of the Medical Center by virtue of Foundation's activities.

D. Foundation agrees that it will strictly enforce the terms of its practice agreements, report promptly to University in the event any individual fails or refuses to abide thereby and join with University in any appropriate action, in law or equity, necessary to secure compliance therewith.

Section 9. PERSONNEL

The parties recognize the Foundation must employ various individuals to carry out its functions hereunder; however, the parties also recognize, and hereby declare their intention to adhere to, those provisions of the plan adopted by University's Board of Trustees on June 20, 1973, particularly those portions which provide that University must retain responsibility and authority for the appointment of faculty and house staff, the determination of institutional staffing patterns and the maintenance of balance among programs and their component activities.

Accordingly, with respect to employment of personnel by Foundation, the parties agree as follows:

A. Foundation staff

The Foundation may employ an executive director and such assistants, including secretarial and clerical personnel as may be determined by its Board of Directors to be necessary to permit said Foundation to bill and collect professional fee income and to administer its funds in accord with the terms of the geographic full-time plan. Such personnel will not be provided

office space at the University Medical Center.

B. Other personnel

During the term of this contract Foundation agrees that it will not employ persons to perform services in University's patient care or college programs. Provided, however, that this provision shall not be construed to prohibit specific agreements between the parties whereby University will employ personnel for such service and/or research duties with reimbursement of salary, fringe benefits and other direct costs by Foundation. Where such personnel are employed for service in a specific department or unit, employment will not occur unless (i) the department or unit where service is to be performed has consented in writing to said appointment, (ii) the Dean of the College of Medicine has approved the employment and the job description related thereto and (iii) funds for the salary, fringe benefits, and other costs related to said employee are either on hand or reasonably anticipated in the departmental or unit budget.

C. Equal opportunity-affirmative action

Foundation agrees that it will not discriminate against any employee or applicant for employment because of race, color, religion, sex, national origin, age or handicap. The Foundation agrees that it will take affirmative action to ensure that applicants are employed and employees are treated during employment, without regard to their race, color, religion, sex, national origin, age or handicap. Such action shall include, but not be limited to the following: employment, upgrading, demotion or transfer, recruitment or recruitment advertising; lay-off or termination; rates of pay or other compensation; and selection for training. The Foundation agrees that it will, in all solicitations or advertisements for employees, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, national origin,

age or handicap. The Foundation agrees that it will indemnify and save harmless the University, the Board of Trustees of the University including the individual members thereof, officers, agents and employees of the University by reason of any action at law or equity or by reason of any administrative proceeding initiated by any person arising out of any such employment action or practice of Foundation, including the payment of attorney fees and other costs of such action or proceeding.

Section 10. USE OF UNIVERSITY FACILITIES

The parties recognize that paragraphs III, B. 4, b, d, g and i of the "geographic full-time plan" adopted by University's Board of Trustees on June 20, 1978 require that institutional control be maintained with respect to direction and supervision of institutional activities and programs, assignment and use of space in institutional facilities, determination of goals, objectives and priorities and the maintenance of balance among programs and their component activities.

Accordingly, Foundation and University agree that University will provide Ambulatory Floors 2, 3, 4 and 5 of University Hospital, together with the Ophthalmology Clinic, the Family Practice Clinic and the Emergency Room (exclusive of faculty offices and classroom space contained therein) and other space officially designated for the conduct of the patient care operation.

With respect to any other facilities which University may from time to time provide to Foundation, Foundation shall be deemed a licensee without any property right whatsoever in said premises or facilities and shall, upon demand of University, vacate same.

Section 11. MEDICAL RECORDS

The parties agree that all medical records relating to the care of patients in University facilities or as a part of

University programs, are and shall remain the property of University and that Foundation has no right or property interest therein. Provided, however, that nothing herein shall be construed to limit access to said records by members of University's faculty, staff, and students pursuant to existing University procedures. Provided, further, that University agrees to provide or make available to Foundation such information and data from patient records and program data maintained by University as may be necessary for Foundation to perform its billing and collection functions herein provided, including such information and data as may be necessary to meet the requirements of third-party or other payors as the basis for payment for services.

Section 12. INSPECTION AND AUDIT

Foundation agrees that, in accord with paragraph III, B., 1. of the action of University's Board of Trustees dated June 20, 1978, its books, record and accounts are open at all reasonable times to inspection and audit by appropriately designated University representatives.

The parties agree that the University's Internal Auditors will conduct an audit of Foundation's operations and accounts for the period ending June 30, 1979, and such other audits including audits to determine compliance with this agreement, the terms of the action of University's Board of Trustees on June 20, 1978, and the terms of the departmental agreements referred to in Section 17 hereof, as may be specified by the University of Kentucky Vice President for Business Affairs and Treasurer, and that Foundation's agents and employees will cooperate to the fullest extent possible with University's auditors designated to conduct same. Further, the parties agree that a firm of Certified Public Accountants mutually agreeable to the parties will be employed by the Foundation to perform an independent audit of

the Foundation's books, records and accounts for the year ended June 30, 1980.

Foundation agrees that it will furnish to University the following reports:

Annually

Audited Financial Statements, to include:

- . Opinion of independent Certified Public Accountants
- . Balance Sheet
- . Statement of Changes in Fund Balances
- . Statement of Current Revenues and Expenditures
- . Supplementary Schedules

Monthly

Financial Statements, to include:

- . Balance Sheet
- . Statement of Operations
- . Gross Billings
- . Collections
- . Financial Allowances
- . Bad Debts

Aging Trial Balance of Accounts Receivable, by:

- . Patient Liability
- . Third party payor

Reports of Delinquent Account Activity, to include:

- . Referrals
- . Collections
- . Close and returns

Section 13. DEFAULT

A. In the event of any failure of Foundation to pay any sum due to University hereunder within thirty (30) days after written notice of such default shall have been mailed to Foundation, or any failure to perform any other of the terms, conditions or covenants of this agreement to be observed or performed by Foundation for more than thirty (30) days after written notice of such default shall have been mailed to Foundation, or if Foundation shall become bankrupt or insolvent, or file any debtor proceedings, or take or have taken against Foundation in

any court pursuant to any statute either of the United States or of any State a petition in bankruptcy or insolvency or for reorganization or for the appointment of a receiver or trustee of all or a portion of Foundation's property, or if Foundation makes an assignment for the benefit of creditors, or petitions for or enters into an arrangement therewith, then University may terminate this agreement. Provided however, that should any default occur which cannot reasonably be corrected within such thirty (30) day period then Foundation shall have such additional time to correct said default as may be reasonably necessary if Foundation promptly initiates, and expeditiously carries out, appropriate action to correct such default.

B. University may at its election terminate this agreement with respect to any department without termination of the entire agreement for the same reasons and in the same manner as provided hereinabove.

Section 14. PROFESSIONAL LIABILITY

Nothing contained herein shall be construed to provide that any member of University's clinical faculty, house staff or student is acting as an agent of Foundation when providing care to patients in University facilities or as a part of an approved University program. University agrees that, when so acting, said faculty, house staff and students shall be considered agents of said University and that payment of claims or judgments may be made on their behalf from the fund provided pursuant to KRS 164.941, or other University funds or funds provided through any policy or policies of insurance maintained for said purpose.

Section 15. APPLICATION OF INCOME

University agrees that it will not, during the operation of this contract, cause charges for services rendered after July 1, 1978 by members of the faculty who have executed Practice

Agreements and Assignments to be deposited in the accounts of the Fund for Advancement of Education and Research in the University of Kentucky pursuant to the provisions of University of Kentucky Administrative Regulation AR II-4.0-1; deposits of such charges attributable to faculty who have not executed said Practice Agreements and Assignments shall continue pursuant to said regulation.

Section 16. MANAGEMENT AND INVESTMENT POLICIES

The parties recognize and agree that the reimbursement of University costs incurred attendant to the production of professional income and the provision of a sum equal to ten (10%) percent of Foundation's gross income for the purpose of providing an academic enrichment fund for University's College of Medicine constitute the second and third charges, respectively, upon Foundation's gross income, the first charge being Foundation's ordinary and necessary expenses incurred in billing and collecting said income and providing for the central administration of Foundation.

Accordingly, Foundation agrees that it will deposit the funds necessary to meet its obligations for reimbursement of the University and the provision of the aforementioned academic enrichment fund in a separate account as received and that the funds in said account shall be invested only in the following: (1) direct obligations of the United States Treasury; (2) other appropriate securities issued by federal agencies (including the Federal National Mortgage Association, the Export Import Bank, Federal Intermediate Credit Bank, Federal Home Loan Banks, Federal Land Banks); and (3) certificates of deposit collateralized by direct obligations of the federal government, federal agency obligations which qualify for direct investment under this recommendation, general obligations of the Commonwealth of Kentucky or obligations of the University of Kentucky. Foundation shall be entitled to the investment income derived from

said account.

Section 17. ADMINISTRATION OF DEPARTMENTAL FUNDS

A. Foundation agrees that it will, after making provision for: (i) the costs of its administration and costs relating to billing and collection, (ii) reimbursement of University expenses pursuant to Section 5 hereof and (iii) the College of Medicine Academic Enrichment Fund pursuant to Section 6 hereof, maintain its accounts in such a manner that income attributable to the professional clinical activities of each of the following departments or divisions shall be shown separately on books maintained by the Foundation from any other fund or funds held by said Foundation:

Anesthesiology
Diagnostic Radiology
Emergency Medicine
Family Practice
Medicine
Neurology
OB-GYN
Ophthalmology
Pathology
Pediatrics
Radiation Medicine
Surgery

B. Thereafter, Foundation agrees that it will expend the funds maintained by it in the aforementioned accounts only in accord with the departmental or divisional agreement relating to each department or division. Said departmental agreements are recognized as a material portion of this agreement. Therefore the parties agree that University shall retain custody of all funds accruing to the account of departments for which an approved departmental agreement has not been executed. Said departmental or divisional agreements may be amended from time to time, as provided in said agreements, with the approval of the Dean of the College of Medicine.

Section 18. NOTICES

All notices, demands and requests hereunder shall be in writing and shall be given by United States Registered or Certified Mail or by messenger delivery, in the case of University to:

Dean, College of Medicine
MN 140 - University Hospital
University Medical Center
800 Rose Street
Lexington, Kentucky 40506

In the case of Foundation to:

Kentucky Medical Services Foundation, Inc.
140 Southland Drive
Lexington, Kentucky 40503

Each party may from time to time change its address for purposes of notice by giving to the other party notice of such change of address. Any notice, demand or request given by United States Registered or Certified Mail, as provided herein, shall be deemed served on the date it is deposited in the United States mails properly addressed and with postage fully prepaid.

SECTION 19. TERM

The term of this agreement shall begin on July 1, 1979, and end on June 30, 1980.

IN WITNESS WHEREOF, the parties hereto have executed this agreement, pursuant to proper authorization of their respective governing boards, as of the day and year first above written.

BOARD OF TRUSTEES
THE UNIVERSITY OF KENTUCKY

By: _____
President
University of Kentucky

ATTEST: _____

KENTUCKY MEDICAL SERVICES
FOUNDATION, INC.

By: _____

ATTEST: _____

ADDENDUM

THIS ADDENDUM, made and entered into this ____ day of _____, 1979, by and between the BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY, a statutory body corporate existing pursuant to Section 164.100 et seq. of the Kentucky Revised Statutes (hereinafter referred to as the "University") and KENTUCKY MEDICAL SERVICES FOUNDATION, INC., a non-stock, non-profit corporation formed pursuant to Chapter 273 of the Kentucky Revised Statutes (hereinafter referred to as the "Foundation").

W I T N E S S E T H:

THAT, WHEREAS, the parties hereto entered into an agreement on or about December 4, 1978, have or are in the process of negotiating an agreement for the period from July 1, 1979 to June 30, 1980, and may hereafter enter into future agreements with respect to the Foundation administering certain income for professional services under the University's "geographic full-time medical services plan" (which agreements are hereinafter collectively referred to as "Agreements");

WHEREAS, the "Agreements" make reference to plans adopted by various departments of the College of Medicine of the University (hereinafter referred to as "Departmental Plans");

AND, WHEREAS, the parties hereto desire to clarify and modify the Agreements as herein stated.

NOW, THEREFORE, in consideration of the promises and covenants herein contained and in order to clarify and modify the intent and obligations of the parties contained in the Agreements, the parties hereto agree as follows:

(1) That the departments which have adopted Departmental Plans are part of the University so payments of "plan income" pursuant to all Departmental Plans shall be made by the Foundation directly to the University in the amounts required by the Departmental Plans and the University shall pay such amounts of "plan income" to the recipients in accordance with the Departmental Plans.

(2) The use of the terms "plan income," "clinical income" and "income" in said Agreements, including references to payments thereof, shall include all income billed, whether or not actually received, less a reasonable allowance for bad debts, for the reason that the use of such terms and the term "payments" in connection therewith refer to the creation of the obligation when the bill is sent rather than the actual receipt of cash.

(3) That all funds payable to the University, including, but not limited to, funds which must be paid to reimburse the University, and all funds required to be paid or allocated in accordance with the Departmental Plans shall be due and payable to or on behalf of the University upon the sending of the bills to patients or others whereby such funds are generated. Funds so paid or allocated are so payable notwithstanding the existence of provisions in the Departmental Plans providing for disbursing or handling such funds at a later date for the reason that it is recognized that the departments are part of the University and the Departmental Plans merely state the manner in which such funds are to be distributed, subject to approval by the University.

(4) That notwithstanding anything in any of the Agreements to the contrary, including references to maintaining separate accounts, placing funds in particular account(s), depositing funds into an account maintained for specific or stated purpose(s), transferring

funds, separately maintaining or administrating funds for specific purposes and/or other similar limitations, the Foundation shall not be required to maintain a separate bank account or otherwise segregate any funds held by the Foundation, except for the amounts stated in paragraphs "A", "B", "C" and "D" of Section 5 and Section 6, and all other such limiting references shall be deemed only to require the Foundation to maintain its books and records in such a manner that such funds or amounts are either shown or readily determinable.

(5) That the term "clinical income" includes investment income except as used in Section six (6) of the existing Agreement wherein investment income is specifically excluded from "clinical income."

(6) That in the event of any inconsistency between this Addendum and any other document this Addendum shall govern and in the event of any inconsistency between the Agreements and the Departmental Plans the Agreements shall govern.

(7) This Addendum shall survive the execution of subsequent Agreements and shall control the obligations of the parties hereto from December 14, 1978, until terminated by the parties hereto or such time as the Agreements have been terminated by the University in accordance with numerical paragraph thirteen (13) of the existing Agreement.

IN WITNESS WHEREOF, the parties hereto have executed this agreement, pursuant to proper authorization of their respective governing boards, as of the day and year first above written.

BOARD OF TRUSTEES
THE UNIVERSITY OF KENTUCKY

By: _____
President
University of Kentucky

ATTEST: _____

KENTUCKY MEDICAL SERVICES
FOUNDATION, INC.

By: _____

ATTEST: _____

Office of the President
June 28, 1979

FCR 1

Members, Executive Committee, Board of Trustees:

INTERIM FINANCIAL REPORT

Recommendation: that the financial report for the nine months ending March 31, 1979, be accepted.

Background: The March 31, 1979, report reflects income of \$176,005,055 for the period of the report; the income figure is 78% of the annual income estimate of \$227,017,985.

The expenditures and commitments represents a total of \$158,808,686 for this period of nine months, or 70% of the expenditure authority of \$227,017,985 approved by the Board.

Note: Above recommendation changed to read: that the financial report for the nine months ending March 31, 1979 and the financial report for the eleven months ending May 31, 1979, be accepted.

Action Taken: Approved ^{as} changed Disapproved _____ Other _____

Date: June 28, 1979

University of Kentucky
Balance Sheet
March 31, 1979

With Comparative Figures for 1977-78

ASSETS

	<u>1978-79</u>	<u>1977-78</u>
Current Funds:		
Unrestricted:		
Cash in banks:		
Depository funds	\$ 343,327	\$ 895,537
Imprest funds	16,708	435,166
Federal funds		301,119
Cash on deposit with State Treasurer:		
Trust and Agency funds	4,761,576	4,924,975
General fund appropriation	<u>164,238</u>	
Total cash	<u>5,285,849</u>	<u>6,556,797</u>
Due from other funds and affiliated corporations	4,791,254	4,219,207
Accounts receivable	1,647,761	995,498
Notes receivable	72,094	72,094
Investment-short term	15,851,264	14,324,961
Inventories	3,539,223	3,799,196
Unrealized income (Schedule 3)	<u>33,708,877</u>	<u>26,256,773</u>
Total unrestricted	<u>64,896,322</u>	<u>56,224,526</u>
Restricted:		
Cash in banks	3,541,059	1,703,843
Imprest funds	40,038	40,453
Cash on deposit with State Treasurer	1,855,392	1,479,756
Total cash	<u>5,436,489</u>	<u>3,224,052</u>
Petty cash advances	193,715	276,252
Travel advances	180,152	186,241
Accounts receivable	7,037	4,268
Accrued interest receivable	308,992	95,219
Investments:		
Short-term (Schedule 5)	9,610,000	7,310,000
Other	<u>232,236</u>	<u>248,987</u>
Unrealized income:		
Restricted (Schedule 3)	3,823,304	3,784,638
Tobacco Research Trust Fund (Schedule 3)	<u>1,790,863</u>	<u>1,202,689</u>
Total restricted	<u>21,582,788</u>	<u>16,332,346</u>

University of Kentucky
Balance Sheet
March 31, 1979
With Comparative Figures for 1977-78

LIABILITIES AND FUND BALANCES

	<u>1978-79</u>	<u>1977-78</u>
Current Funds:		
Unrestricted:		
Outstanding encumbrances	\$ 5,200,057	\$ 3,857,707
Accounts payable-county funds	55,586	44,516
Accrued expenses	891,000	751,000
Advance from state for imprest cash fund	1,300,000	1,300,000
Deferred Income	30,523	4,950
Accrued vacation	2,600,000	2,400,000
Unencumbered balance, departmental appropriations (Schedule 4)	46,135,137	40,443,901
Fund balances:		
Allocated for working capital (Schedule 2)	8,684,019	7,442,452
Total unrestricted	<u>64,896,322</u>	<u>56,224,526</u>
Restricted:		
General fund collections	3,867,275	1,333,068
Outstanding encumbrances	457,570	338,901
Due to other funds and affiliated corporations	5,010,910	4,381,521
Advance from state for imprest cash fund	50,000	50,000
Refundable deposits	57,164	
Outstanding check liability	96,177	83,245
Unencumbered balance, departmental appropriations (Schedule 4)	4,244,722	3,407,020
Unencumbered balance, Tobacco Research Trust Fund		
Appropriations (Schedule 4)	2,307,155	2,222,935
Fund balances:		
Restricted fund (Schedule 2)	4,931,374	4,515,656
Tobacco Research Trust Fund-unallocated funds (Schedule 2)	<u>560,441</u>	
Total restricted	<u>21,582,788</u>	<u>16,332,346</u>

University of Kentucky
Balance Sheet
March 31, 1979
With Comparative Figures for 1977-78

ASSETS

	<u>1978-79</u>	<u>1977-78</u>
Hospital and clinics:		
Due from other funds and affiliated corporations	3,346,268	2,005,671
Accounts receivable, net allowance for doubtful account of \$3,763,203 in 1979 and \$3,198,851 in 1978	9,114,883	6,696,454
Inventories	689,278	666,852
Unrealized income (Schedule 3)	<u>8,112,019</u>	<u>6,837,664</u>
Total hospital and clinics	<u>21,262,448</u>	<u>16,206,641</u>
Housing and dining system:		
Cash in bank	73,940	45,244
Cash on deposit with State Treasurer	1,069,849	517,721
Accounts receivable	226,795	190,700
Investments-short term	1,839,878	2,323,442
Inventories	1,389,343	1,373,231
Prepaid expenses	53,981	12,463
Unrealized income (Schedule 3)	<u>2,224,953</u>	<u>1,607,170</u>
Total housing and dining system	<u>6,878,739</u>	<u>6,069,971</u>
Auxiliary enterprises:		
Cash in banks	37,800	67,537
Cash on deposit with State Treasurer	<u>417,753</u>	<u>780,827</u>
Total cash	<u>455,553</u>	<u>848,364</u>
Accounts receivable	20,795	19,709
Notes receivable	87,000	
Inventories	474,524	480,137
Unrealized income (Schedule 3)	<u>1,352,914</u>	<u>1,096,929</u>
Total auxiliary enterprises	<u>2,390,786</u>	<u>2,445,139</u>
TOTAL CURRENT FUNDS	<u>\$ 117,011,083</u>	<u>\$ 97,278,623</u>

University of Kentucky
Balance Sheet
March 31, 1979
With Comparative Figures for 1977-78

LIABILITIES AND FUND BALANCES

	<u>1978-79</u>	<u>1977-78</u>
Hospital and clinics:		
Outstanding encumbrances	952,949	463,110
Accrued vacation	430,000	400,000
Unexpended balance, departmental appropriation (Schedule 4)	11,724,780	9,563,333
Fund Balances-allocated for working capital (Schedule 2)	<u>8,154,719</u>	<u>5,780,198</u>
Total hospital and clinics	<u>21,262,448</u>	<u>16,206,641</u>
Housing and dining system:		
Accrued expenses	631,079	565,873
Accrued wages	59,712	117,088
Accrued vacation	55,000	50,000
Accounts payable	193,515	346,588
Due to other funds and affiliated corporations	964,955	941,749
Refundable deposits	48,705	30,600
Deferred income	1,868,073	1,432,495
Unexpended balance, departmental appropriations (Schedule 4)	2,769,320	2,332,958
Fund balances:		
Allocated for future operating purposes (Schedule 2)	<u>288,380</u>	<u>252,620</u>
Total housing and dining system	<u>6,878,739</u>	<u>6,069,971</u>
Auxiliary enterprises:		
Outstanding encumbrances	219,270	165,305
Due to other funds and affiliated corporations	41,419	157,537
Unencumbered balance, departmental appropriation (Schedule 4)	1,028,185	965,535
Fund Balances-allocated:		
Working capital	484,562	512,614
Future operating purposes	617,350	644,148
Total fund balances (Schedule 2)	<u>1,101,912</u>	<u>1,156,762</u>
Total auxiliary enterprises	<u>2,390,786</u>	<u>2,445,139</u>
TOTAL CURRENT FUNDS	<u>\$ 117,011,083</u>	<u>\$ 97,278,623</u>

University of Kentucky
Balance Sheet
March 31, 1979
With Comparative Figures for 1977-78

ASSETS

	<u>1978-79</u>	<u>1977-78</u>
Loan Funds:		
Cash in bank	\$ 669,838	\$ 632,835
Notes receivable, net of allowance for doubtful accounts of \$725,947 in 1979 and \$580,859 in 1978	10,654,358	10,142,253
Due from other funds and affiliated corporations	26,872	
Due from United Student Aid loan fund	<u>2,000</u>	<u>2,000</u>
TOTAL LOAN FUNDS	\$ 11,353,068	\$ 10,777,088
Endowment Funds:		
Due from other funds and affiliated corporations	\$ 1,061	\$ 10,000
Investments	<u>4,304,044</u>	<u>3,568,581</u>
TOTAL ENDOWMENT FUNDS	\$ 4,305,105	\$ 3,578,581
Plant Funds:		
Unexpended:		
Cash on deposit with State Treasurer:		
Trust and agency	\$ 5,233,300	\$ 4,786,345
Capital and construction funds	<u>18,769,063</u>	<u>18,279,367</u>
Total cash on deposit with State Treasurer	<u>24,002,363</u>	<u>23,065,712</u>
Due from other funds and affiliated corporations	1,413,274	1,156,218
Due from Federal Government	595,679	
Construction in progress	18,359,440	21,377,512
Funded future construction	<u>24,390,672</u>	<u>11,070,030</u>
Total unexpended plant funds	68,761,428	56,669,472

University of Kentucky
Balance Sheet
March 31, 1979
With Comparative Figures for 1977-78

LIABILITIES AND FUND BALANCES

	<u>1978-79</u>	<u>1977-78</u>
Loan Funds:		
Due to other funds and affiliated corporations		\$ 2,923
Federal sponsored loan funds:		
Federal Government	\$ 8,752,835	8,465,715
University matching funds	983,101	927,958
Accumulated interest income	960,564	830,806
Total sponsored loan funds	<u>10,696,500</u>	<u>10,224,479</u>
University loan funds:		
University student aid funds	87,854	88,310
University special student loan funds	566,714	459,376
United Student Aid loan funds	2,000	2,000
Total University loan funds	<u>656,568</u>	<u>549,686</u>
TOTAL LOAN FUNDS	<u>\$ 11,353,068</u>	<u>\$ 10,777,088</u>
Endowment Funds:		
Fund balances	<u>\$ 4,305,105</u>	<u>\$ 3,578,581</u>
TOTAL ENDOWMENT FUNDS	<u>\$ 4,305,105</u>	<u>\$ 3,578,581</u>
Plant Funds:		
Unexpended:		
Outstanding encumbrances	\$ 15,307,164	\$ 12,335,307
Bonds payable	8,367,167	8,376,442
Appropriations-unencumbered balances	10,108,874	10,720,451
Fund balances allocated for construction-renovation	<u>34,978,223</u>	<u>25,237,272</u>
Total unexpended plant funds	<u>68,761,428</u>	<u>56,669,472</u>

University of Kentucky
Balance Sheet
March 31, 1979
With Comparative Figures for 1977-78

ASSETS

	<u>1978-79</u>	<u>1977-78</u>
Renewal and replacements:		
Cash on deposit with Trustees	19,535	49,094
Cash on deposit with State Treasurer	276,232	259,195
Investments held by Trustees	<u>982,266</u>	<u>905,914</u>
Total renewal and replacements	<u>1,278,033</u>	<u>1,214,203</u>
Retirement of indebtedness:		
Cash on deposit with State Treasurer	50,000	50,000
Cash on deposit with Trustees	3,528,229	1,852,909
Investments held by Trustees	<u>43,163,514</u>	<u>44,991,063</u>
Total retirement of indebtedness	<u>46,741,743</u>	<u>46,893,972</u>
Investment in plant:		
Land	17,139,529	16,447,306
Buildings	234,175,877	232,530,227
Equipment	<u>78,556,139</u>	<u>71,033,421</u>
Total investment in plant	<u>329,871,545</u>	<u>320,010,954</u>
TOTAL PLANT FUNDS	<u>\$ 446,652,749</u>	<u>\$ 424,788,601</u>
Agency Funds:		
Cash in bank	\$ 521,997	\$ 388,858
Investments short-term	<u>15,000</u>	<u>18,000</u>
TOTAL AGENCY FUNDS	<u>\$ 536,997</u>	<u>\$ 406,858</u>

University of Kentucky
Balance Sheet
March 31, 1979
With Comparative Figures for 1977-78

LIABILITIES AND FUND BALANCES

	<u>1978-79</u>	<u>1977-78</u>
Renewal and replacements:		
Due to other funds and affiliated corporations	85,383	54,129
Outstanding Encumbrances	180,690	48,316
Fund balances:		
Restricted	822,350	822,326
Unrestricted	189,610	289,432
Total fund balances	<u>1,011,960</u>	<u>1,111,758</u>
Total renewal and replacements	<u>1,278,033</u>	<u>1,214,203</u>
Retirement of indebtedness:		
Bonds payable	32,962,000	33,095,000
Fund balances	13,779,743	13,798,972
Total retirement of indebtedness	<u>46,741,743</u>	<u>46,893,972</u>
Investment in plant:		
Bonds payable	98,259,833	100,432,058
Lease purchase obligation	5,155,000	5,305,000
Net Investment in plant	226,456,712	214,273,896
Total investment in plant	<u>329,871,545</u>	<u>320,010,954</u>
TOTAL PLANT FUNDS	<u>\$ 446,652,749</u>	<u>\$ 424,788,601</u>
Agency Funds:		
Outstanding Encumbrances	\$ 325	\$ 7,814
Due to other funds and affiliated corporations	19,129	399,044
Funds held in custody for others	<u>517,543</u>	<u>399,044</u>
TOTAL AGENCY FUNDS	<u>\$ 536,997</u>	<u>\$ 406,858</u>

University of Kentucky
 Schedule of Changes in Fund Balances-Current Funds
 Nine Months Ended March 31, 1979

	Unrestricted Allocated	Restricted	Tobacco Research Trust Fund	Hospitals and Clinics	Housing Dining System Funds for Future Operating Purposes	Auxiliary Enterprises
Fund Balances July 1, 1978	\$ 17,071,986	\$ 4,931,374	\$ 1,078,333	\$ 8,154,719	\$ 288,380	\$ 1,101,912
Realized income	128,585,678	4,133,594	3,087,029	27,134,176	10,569,992	2,494,586
Unrealized income	33,708,877	3,823,304	1,790,863	8,112,019	2,224,953	1,352,914
Total estimated income	162,294,555	7,956,898	4,877,892	35,246,195	12,794,945	3,847,500
Less fund balance appropriated	8,387,967		517,892			
Net current estimated income	153,906,588	7,956,898	4,360,000	35,246,195	12,794,945	3,847,500
Total available	170,978,574	12,888,272	5,438,333	43,400,914	13,083,325	4,949,412
Current year expenditures/ encumbrances	116,159,418	3,712,176	2,570,737	23,521,415	10,025,625	2,819,315
Unencumbered balances, appropriations	46,135,137	4,244,722	2,307,155	11,724,780	2,769,320	1,028,185
Total authorized appropriations	162,294,555	7,956,898	4,877,892	35,246,195	12,794,945	3,847,500
Fund Balances March 31, 1979	\$ 8,684,019	\$ 4,931,374	\$ 560,441	\$ 8,154,719	\$ 288,380	\$ 1,101,912

University of Kentucky
 Summary of Current Revenues and Appropriated Balances
 Nine Months Ended March 31, 1979
 With Comparative Figures for 1978-79

Fund and Source:	1978-79			1977-78		
	Estimated for the Year	Realized to Date	% Realized to Date	Estimated for the Year	Realized to Date	% Realized to Date
Unrestricted:						
Student fees	\$ 21,390,856	\$ 20,635,468	96	\$ 20,443,329	\$ 20,447,657	100
Federal appropriations	11,177,069	8,089,129	72	11,356,356	7,571,791	67
County appropriations	1,920,474	1,140,486	59	1,787,193	1,136,299	64
Endowment and trust fund income	177,900	151,092	85	162,000	97,738	60
Investment income	1,835,000	1,409,217	77	1,835,691	1,041,471	57
Gifts and grants:						
Affiliated corporations	4,420,538	105,458	2	9,196,475	259,470	3
Other funds	375,000	203,135	54			
Other	1,670,940	824,265	49	501,022	475,103	95
Sales, services, other income	4,853,156	4,094,858	84	4,299,415	3,628,570	84
Subtotal	47,820,933	36,653,108	77	49,581,481	34,658,099	70
State appropriations	106,085,655	83,544,603	79	88,655,600	77,322,209	87
Fund balances appropriated	8,387,967	8,387,967	100	4,276,712	4,276,712	100
Total unrestricted	162,294,555	128,585,678	79	142,513,793	116,257,020	82
Restricted	7,956,898	4,133,594	52	7,278,029	3,493,391	48
Tobacco Research Trust Fund:						
Receipts	4,360,000	2,569,137	59	3,800,000	2,597,311	68
Fund balances appropriated	517,892	517,892	100	1,439,473	1,439,473	100
Total Tobacco Research Trust Fund	4,877,892	3,087,029	63	5,239,473	4,036,784	77
Hospital and Clinics:						
State appropriations	7,017,145	5,025,623	72	5,179,400	3,842,537	74
Hospital earned income- net	28,229,050	22,108,553	78	25,299,100	19,798,299	78
Fund balances appropriated				418,305	418,305	100
Total Hospital and Clinics	35,246,195	27,134,176	77	30,896,805	24,059,141	78
Housing and Dining System	12,794,945	10,569,992	83	11,544,925	9,937,755	86
Auxiliary Enterprises	3,847,500	2,494,586	65	3,490,828	2,393,899	69
TOTAL CURRENT FUNDS AND APPROPRIATED BALANCES	\$ 227,017,985	\$176,005,055	78	\$200,963,853	\$160,177,990	80

University of Kentucky
 Summary of Current Funds Expenditures
 Nine Months Ended March 31, 1979
 With Comparative Figures for 1977-78

Fund or Function:	1978-79			1977-78		
	Appropriation for the Year	Expended Encumbered to Date	% Expended Encumbered to Date	Appropriation for the Year	Expended Encumbered to Date	% Expended Encumbered to Date
Unrestricted:						
Departments of instruction and research	\$ 65,056,845	\$ 47,646,804	73	\$ 57,313,197	\$ 40,469,442	71
Summer session-1978	1,272,333	1,040,774	82	1,151,694	1,013,182	88
Organized activities	1,736,888	1,095,619	63	1,411,336	959,692	68
Organized research	14,443,357	11,095,207	77	11,655,960	8,873,400	76
Libraries	5,225,816	4,034,757	77	4,444,620	3,411,835	77
Extension and public service	18,681,990	13,375,111	72	15,422,098	10,718,722	70
Maintenance and operation of Plant, office furniture, etc.	13,408,820	9,765,322	73	10,834,614	7,964,792	74
Rehovition, office furniture, etc.	5,326,753	3,340,874	63	1,849,515	1,772,515	96
General Administration	7,722,814	4,710,286	61	5,639,057	3,933,926	70
Student services	4,656,012	3,387,945	73	4,105,861	2,805,366	68
Staff benefits	8,711,206	3,355,169	39	14,644,299	10,151,305	69
General institutional services	7,530,537	5,612,929	75	6,182,104	4,496,180	73
Service enterprises	236,002	234,579	99	257,543	235,767	92
Debt service cost	5,925,500	5,423,827	92	5,925,500	3,679,266	62
Student aid	1,813,960	1,615,215	89	1,488,274	1,584,502	106
Reserves	545,722	425,000	78	188,121		
Total unrestricted	162,294,555	116,159,418	72	142,513,793	102,069,892	72

Schedule 4

University of Kentucky
 Summary of Current Funds Expenditures
 Nine Months Ended March 31, 1979
 With Comparative Figures for 1977-78

Fund or Function:	1978-79			1977-78		
	Appropriation for the Year	Expended to Date	% Expended to Date	Appropriation for the Year	Expended to Date	% Expended to Date
Restricted :						
Departments of instruction and research	\$ 3,256,198	\$ 1,543,700	47	\$ 3,472,636	\$ 1,814,391	52
Organized activities	153,449	87,665	57	90,701	16,519	18
Organized research	788,334	258,906	33	315,390	178,467	57
Libraries	57,977	10,440	18	38,011	14,192	37
Extension and public service	216,429	35,973	17	89,252	78,063	87
General institutional services	788,568	462,980	59	543,143	572,155	105
Student aid	1,875,591	1,312,512	70	525,446	1,197,222	228
Reserves	820,352			2,203,450		
Total restricted	7,956,898	3,712,176	47	7,278,029	3,871,009	53
Tobacco Research Trust Fund	4,877,892	2,570,737	53	5,239,473	3,016,538	58
Hospital and Clinics	35,246,195	23,521,415	67	30,896,805	21,333,472	69
Housing and Dining System	12,794,945	10,025,625	78	11,544,925	9,211,967	80
Auxiliary Enterprises	3,847,500	2,819,315	73	3,490,828	2,525,293	72
TOTAL CURRENT FUNDS EXPENDITURES	\$227,017,985	\$158,808,686	70	\$200,963,853	\$142,028,171	71

University of Kentucky
Schedule of Short Term Investments
March 31, 1979

	<u>Maturity Value</u>	<u>Cost</u>
Restricted Current Fund:		
Government securities:		
FHLB, 6.70%, 11/25/80	\$ 125,000	\$ 125,000
U. S. Treasury Notes, 7.875%, 5/15/86	485,000	485,000
Total government securities		<u>610,000</u>
Certificates of deposit:		
First Security National Bank & Trust Company, 7.75%, 4/12/79	1,000,000	1,000,000
First Security National Bank & Trust Company, 8.91%, 6/28/79	500,000	500,000
First Security National Bank & Trust Company, 10.26%, 6/28/79	1,000,000	1,000,000
First Security National Bank & Trust Company, 8.91%, 7/25/79	500,000	500,000
Bank of Commerce & Trust Company, 8.95%, 8/10/79	500,000	500,000
First Security National Bank & Trust Company, 9.15%, 9/28/79	100,000	100,000
First Security National Bank & Trust Company, 9.31%, 10/04/79	400,000	400,000
First Security National Bank & Trust Company, 9.31%, 10/04/79	1,000,000	1,000,000
First Security National Bank & Trust Company, 10.55%, 11/01/79	1,000,000	1,000,000
Bank of Commerce & Trust Company, 11.10%, 1/14/80	1,000,000	1,000,000
First Security National Bank & Trust Company, 10.55%, 3/10/80	2,000,000	2,000,000
Total certificates of deposit		<u>9,000,000</u>
TOTAL RESTRICTED FUNDS		<u>9,610,000</u>
Agency Funds:		
Government securities:		
U. S. Treasury Notes, 7.87%, 5/15/86	15,000	\$ 15,000
TOTAL AGENCY FUNDS		<u>\$ 15,000</u>

University of Kentucky
 Schedule of Retirement of Indebtedness Funds
 For the Nine Months Ended March 31, 1979

Source of Funds:	<u>Estimated</u>	<u>Realized</u>	<u>% Realized</u>
Unrestricted current funds	\$ 5,925,500	\$ 5,423,827	92
Housing and dining system funds	1,474,692	764,021	52
Auxiliary enterprises current funds	<u>170,959</u>	<u>72,351</u>	<u>42</u>
TOTAL RETIREMENT OF INDEBTEDNESS FUNDS	<u>\$ 7,571,151</u>	<u>\$ 6,260,199</u>	<u>83</u>
Debt Service:	<u>Appropriated</u>	<u>Expended</u>	<u>% Expended</u>
Unrestricted funds debt:			
Consolidated Educational Buildings-Series A	\$ 506,775	\$ 67,683	13
Consolidated Educational Buildings-Series B	468,807	71,424	15
Consolidated Educational Buildings-Series C	234,496	48,257	21
Consolidated Educational Buildings-Series D	2,445,140	1,072,646	44
Consolidated Educational Buildings-Series E	271,512	135,456	50
Consolidated Educational Buildings-Series F	565,063	237,712	42
Community Colleges Educational Buildings-Series A	846,525	358,799	42
Community Colleges Educational Buildings-Series B	197,350	58,875	30
Community Colleges Educational Buildings-Series C	<u>389,832</u>	<u>148,997</u>	<u>38</u>
Subtotal	<u>5,925,500</u>	<u>2,199,849</u>	<u>37</u>
Housing and Dining System Revenue Bonds-Series A-I	<u>1,474,692</u>	<u>553,042</u>	<u>38</u>

University of Kentucky
 Schedule of Retirement of Indebtedness Funds
 For the Nine Months Ended March 31, 1979

	<u>Appropriated</u>	<u>Expended</u>	<u>% Expended</u>
Auxiliary Enterprises:			
Chi Omega	2,725	2,613	96
Alpha Delta Pi	12,733	10,384	82
Dorms A-F	39,195	3,098	8
Dorms G-L	50,598	13,799	27
Phi Delta Theta	8,320	2,110	25
Delta Delta Delta	4,850	4,450	92
Alpha Tau Omega	8,500	2,209	26
Housing Bonds of 1960	13,750	3,376	25
Student Housing Bonds of 1964	30,288	30,312	100
Subtotal	<u>170,959</u>	<u>72,351</u>	<u>42</u>
TOTAL RETIREMENT OF INDEBTEDNESS FUNDS	<u>\$ 7,571,151</u>	<u>\$ 2,825,242</u>	<u>37</u>

University of Kentucky
Schedule of Sinking Funds
March 31, 1979

	<u>Total</u>	<u>Bonds</u>	<u>Fund Balances</u>
<u>Bond Issue with Reserves Fully Funded:</u>	<u>Sinking Fund</u>	<u>Payable</u>	
Housing and Dining System Revenue Bond and Interest Sinking Fund	\$ 1,999,468		\$ 1,999,468
Consolidated Educational Buildings Project and Interest Sinking Fund:			
Series A	1,002,292		1,002,292
Series B	968,015		968,015
Series C	390,671		390,671
Series D	3,441,435		3,441,435
Series E	394,697		394,697
Series F	955,249		955,249
Total	<u>101,000</u>		<u>101,000</u>
Student Housing Bond and Interest Sinking Fund of 1964			
Community College Educational Buildings Project Bond and Interest			
Sinking Fund:			
Series A	1,283,013		1,283,013
Series B	309,600		309,600
Series C	578,680		578,680
Total	<u>70,804</u>		<u>70,804</u>
Student Housing Bond and Interest Sinking Fund of 1960			
Dormitory Revenue Bonds of 1956	<u>50,000</u>		<u>50,000</u>
Total	<u>11,544,924</u>		<u>11,544,924</u>
Total Bond Issues with Reserves Fully Funded			
		<u>1,962,000</u>	<u>497,891</u>
<u>Bonds Fully Funded by Escrow Accounts:</u>			
Keeneland, Cooperstown and Student Union Future Bond and			
Interest Fund	2,459,891		
Total		<u>31,000,000</u>	<u>839,150</u>
Consolidated Educational Series D Refunding Issue:			
Bonds Payable			2,068,750
Premium on Sale of Bonds			8,278,882
Amortization of Discount on Investment			(9,449,854)
Investment Income			
Interest Paid			
Total		<u>31,000,000</u>	<u>1,736,928</u>
Total Series D Refunding Issue			
		<u>\$ 32,962,000</u>	<u>\$ 13,779,743</u>
TOTAL SINKING FUNDS			

University of Kentucky
 Schedule of Renewal and Replacement
 For the Nine Months Ended March 31, 1979

	<u>Restricted</u>	<u>Unrestricted</u>	<u>Total Fund Balances</u>
Repair and Maintenance Reserves:			
Housing and Dining System	\$ 750,000	\$ 163,023	\$ 913,023
Student Housing Buildings of 1964	42,350		42,350
Student Housing Buildings of 1960	30,000	9,736	39,736
Other Auxilliary Enterprises		<u>6,400</u>	<u>6,400</u>
Total repair and maintenance reserves	<u>822,350</u>	<u>179,159</u>	<u>1,001,509</u>
Renewal and Replacement Funds:			
Housing and Dining Funds		(104,712)	(104,712)
Other Auxilliary Enterprises		<u>115,163</u>	<u>115,163</u>
Total renewal and replacement funds		<u>10,451</u>	<u>10,451</u>
GRANT TOTAL	<u>\$ 822,350</u>	<u>\$ 189,610</u>	<u>\$ 1,011,960</u>

University of Kentucky
Balance Sheet
May 31, 1979

With Comparative Figures for 1977-78

ASSETS

	<u>1978-79</u>	<u>1977-78</u>
Current Funds:		
Unrestricted:		
Cash in banks:		
Depository funds	\$ 942,812	\$ 923,839
Imprest funds		213,659
Federal funds		91,704
Cash on deposit with State Treasurer:		
Trust and Agency funds	5,822,988	1,476,571
General fund appropriation	207,696	43,889
Total cash	<u>6,973,496</u>	<u>2,749,662</u>
Due from other funds and affiliated corporations	3,525,950	2,571,385
Accounts receivable	1,439,698	1,701,456
Notes receivable	72,094	72,010
Investment-short term	15,851,264	14,324,961
Inventories	3,479,020	3,770,844
Unrealized income (Schedule 3)	<u>6,745,568</u>	<u>11,605,066</u>
Total unrestricted	<u>38,087,090</u>	<u>36,795,384</u>
Restricted:		
Cash in banks	1,339,885	422,515
Imprest funds	46,778	29,813
Cash on deposit with State Treasurer	1,864,465	1,715,620
Total cash	<u>3,251,128</u>	<u>2,167,948</u>
Petty cash advances	258,881	366,284
Travel advances	244,530	225,742
Accounts receivable	7,058	3,990
Accrued interest receivable	341,571	109,878
Investments:		
Short-term (Schedule 5)	11,110,000	8,310,000
Other	232,236	248,987
Unrealized income:		
Restricted (Schedule 3)	3,109,545	3,615,569
Tobacco Research Trust Fund (Schedule 3)	<u>1,225,545</u>	<u>590,707</u>
Total restricted	<u>19,780,494</u>	<u>15,639,105</u>

University of Kentucky
Balance Sheet
May 31, 1979

With Comparative Figures for 1977-78

LIABILITIES AND FUND BALANCES

	<u>1978-79</u>	<u>1977-78</u>
Current Funds:		
Unrestricted:		
Outstanding encumbrances	\$ 4,495,363	\$ 3,725,688
Accounts payable-county funds	55,586	
Accrued expenses	891,000	751,000
Advance from state for imprest cash fund	1,300,000	1,300,000
Deferred Income	753,195	744,772
Accrued vacation	2,600,000	2,400,000
Unencumbered balance, departmental appropriations (Schedule 4)	19,321,685	20,451,472
Fund balances:		
Allocated for working capital (Schedule 2)	<u>8,670,261</u>	<u>7,422,452</u>
Total unrestricted	<u>38,087,090</u>	<u>36,795,384</u>
Restricted:		
General fund collections	3,202,774	2,001,770
Outstanding encumbrances	376,319	379,161
Due to other funds and affiliated corporations	5,070,763	3,494,073
Advance from state for imprest cash fund	50,000	50,000
Refundable deposits	57,716	59,704
Outstanding check liability	95,475	83,211
Unencumbered balance, departmental appropriations (Schedule 4)	3,621,941	3,325,572
Unencumbered balance, Tobacco Research Trust Fund		
Appropriations (Schedule 4)	1,813,691	1,729,958
Fund balances:		
Restricted fund (Schedule 2)	4,931,374	4,515,656
Tobacco Research Trust Fund-unallocated funds (Schedule 2)	<u>560,441</u>	
Total restricted	<u>19,780,494</u>	<u>15,639,105</u>

University of Kentucky
Balance Sheet
May 31, 1979

With Comparative Figures for 1977-78

ASSETS

	<u>1978-79</u>	<u>1977-78</u>
Hospital and clinics:		
Due from other funds and affiliated corporations	4,500,443	2,715,739
Accounts receivable, net of allowance for doubtful account of \$3,999,208 in 1979 and \$3,632,444 in 1978	10,644,731	6,861,418
Inventories	686,811	552,238
Unrealized income (Schedule 3)	<u>1,773,991</u>	<u>1,365,018</u>
Total hospital and clinics	<u>17,605,976</u>	<u>11,494,413</u>
Housing and dining system:		
Cash in bank	84,725	13,702
Accounts receivable	164,535	138,203
Investments-short term	1,049,331	470,094
Inventories	1,239,107	1,264,255
Prepaid expenses	59,678	347,080
Unrealized income (Schedule 3)	<u>388,152</u>	<u>42,103</u>
Total housing and dining system	<u>2,985,528</u>	<u>2,275,437</u>
Auxiliary enterprises:		
Cash in banks	20,044	48,013
Cash on deposit with State Treasurer	<u>470,430</u>	<u>626,126</u>
Total cash	<u>490,474</u>	<u>674,139</u>
Accounts receivable	12,862	9,372
Notes receivable	87,000	
Inventories	474,524	480,137
Unrealized income (Schedule 3)	<u>1,031,463</u>	<u>1,003,955</u>
Total auxiliary enterprises	<u>2,096,323</u>	<u>2,167,603</u>
TOTAL CURRENT FUNDS	<u>\$ 80,555,411</u>	<u>\$ 68,371,942</u>

University of Kentucky
Balance Sheet
May 31, 1979

With Comparative Figures for 1977-78

LIABILITIES AND FUND BALANCES

	<u>1978-79</u>	<u>1977-78</u>
Hospital and clinics:		
Outstanding encumbrances	430,861	410,160
Accrued vacation	430,000	400,000
Accounts payable-KMSF	1,394,692	
Unexpended balance, departmental appropriation (Schedule 4)	7,195,704	4,904,055
Fund Balances-allocated for working capital (Schedule 2)	<u>8,154,719</u>	<u>5,780,198</u>
Total hospital and clinics	<u>17,605,976</u>	<u>11,494,413</u>
Housing and dining system:		
Accrued expenses	6,915	31,557
Accrued wages	76,539	139,946
Accrued vacation	55,000	50,000
Accounts payable	18,358	82,744
Due to other funds and affiliated corporations	907,151	151,901
Refundable deposits	56,095	39,175
Deferred income	808,259	839,527
Unexpended balance, departmental appropriations (Schedule 4)	768,831	687,967
Fund balances:		
Allocated for future operating purposes (Schedule 2)	<u>288,380</u>	<u>252,620</u>
Total housing and dining system	<u>2,985,528</u>	<u>2,275,437</u>
Auxiliary enterprises:		
Outstanding encumbrances	230,160	372,142
Due to other funds and affiliated corporations	126,628	153,376
Unencumbered balance, departmental appropriation (Schedule 4)	637,623	485,323
Fund Balances-allocated:		
Working capital	484,562	512,614
Future operating purposes	617,350	644,148
Total fund balances (Schedule 2)	<u>1,101,912</u>	<u>1,156,762</u>
Total auxiliary enterprises	<u>2,096,323</u>	<u>2,167,603</u>
TOTAL CURRENT FUNDS	<u>\$ 80,555,411</u>	<u>\$ 68,371,942</u>

University of Kentucky
 Balance Sheet
 May 31, 1979
 With Comparative Figures for 1977-78

ASSETS

	<u>1978-79</u>	<u>1977-78</u>
Loan Funds:		
Cash in bank	\$ 884,834	\$ 876,013
Notes receivable, net of allowance for doubtful accounts of \$725,947 in 1979 and \$580,859 in 1978	10,560,155	9,884,599
Due from United Student Aid loan fund	<u>2,000</u>	<u>2,000</u>
TOTAL LOAN FUNDS	<u>\$ 11,446,989</u>	<u>\$ 10,762,612</u>
Endowment Funds:		
Due from other funds and affiliated corporations	\$ 1,233	\$ 3,676,155
Investments	<u>4,445,310</u>	<u>3,676,155</u>
TOTAL ENDOWMENT FUNDS	<u>\$ 4,446,543</u>	<u>\$ 3,676,155</u>
Plant Funds:		
Unexpended:		
Cash on deposit with State Treasurer:		
Trust and agency	\$ 7,552,394	\$ 3,526,819
Capital and construction funds	<u>16,811,284</u>	<u>17,034,444</u>
Total cash on deposit with State Treasurer	<u>24,363,678</u>	<u>20,561,263</u>
Due from other funds and affiliated corporations	1,393,270	1,156,340
Due from Federal Government	595,679	
Construction in progress	18,359,440	24,122,344
Funded future construction	<u>24,390,672</u>	<u>8,577,278</u>
Total unexpended plant funds	<u>69,102,739</u>	<u>54,417,225</u>

University of Kentucky
Balance Sheet
May 31, 1979
With Comparative Figures for 1977-78

LIABILITIES AND FUND BALANCES

	<u>1978-79</u>	<u>1977-78</u>
Loan Funds:		
Due to other funds and affiliated corporations	\$ 2,153	
Federal sponsored loan funds:		
Federal Government	8,815,031	\$ 8,415,847
University matching funds	990,012	922,892
Accumulated interest income	981,575	871,456
Total sponsored loan funds	<u>10,786,618</u>	<u>10,210,195</u>
University loan funds:		
University student aid funds	71,604	86,767
University special student loan funds	584,614	463,650
United Student Aid loan funds	2,000	2,000
Total University loan funds	<u>658,218</u>	<u>552,417</u>
TOTAL LOAN FUNDS	<u>\$ 11,446,989</u>	<u>\$ 10,762,612</u>
Endowment Funds:		
Fund balances	\$ 4,446,543	\$ 3,676,155
TOTAL ENDOWMENT FUNDS	<u>\$ 4,446,543</u>	<u>\$ 3,676,155</u>
Plant Funds:		
Unexpended:		
Outstanding encumbrances	\$ 11,846,729	\$ 11,117,413
Bonds payable	8,367,167	8,376,442
Appropriations-unencumbered balances	13,910,620	9,434,019
Fund balances allocated for construction-renovation	34,978,223	25,489,351
Total unexpended plant funds	<u>69,102,739</u>	<u>54,417,225</u>

University of Kentucky
Balance Sheet
May 31, 1979

With Comparative Figures for 1977-78

ASSETS

	<u>1978-79</u>	<u>1977-78</u>
Renewal and replacements:		
Cash on deposit with Trustees	21,196	49,092
Cash on deposit with State Treasurer	26,980	281,317
Investments held by Trustees	<u>1,021,879</u>	<u>905,914</u>
Total renewal and replacements	<u>1,070,055</u>	<u>1,236,323</u>
Retirement of indebtedness:		
Cash on deposit with State Treasurer	50,000	50,000
Cash on deposit with Trustees	101,333	21,032
Investments held by Trustees	<u>43,549,236</u>	<u>43,574,512</u>
Total retirement of indebtedness	<u>43,700,569</u>	<u>43,645,544</u>
Investment in plant:		
Land	17,139,529	16,447,306
Buildings	234,105,350	232,530,227
Equipment	<u>78,556,139</u>	<u>71,033,421</u>
Total investment in plant	<u>329,801,018</u>	<u>320,010,954</u>
TOTAL PLANT FUNDS	<u>\$ 443,674,381</u>	<u>\$ 419,310,046</u>
Agency Funds:		
Cash in bank	\$ 192,211	
Due from other funds and affiliated corporations	56,602	\$ 193,769
Investments short-term	<u>15,000</u>	<u>18,000</u>
TOTAL AGENCY FUNDS	<u>\$ 263,813</u>	<u>\$ 211,769</u>

University of Kentucky
Balance Sheet
May 31, 1979

With Comparative Figures for 1977-78

LIABILITIES AND FUND BALANCES

	<u>1978-79</u>	<u>1977-78</u>
Renewal and replacements:		
Due to other funds and affiliated corporations	84,747	30,117
Outstanding Encumbrances	181,528	58,776
Fund balances:		
Restricted	823,716	822,326
Unrestricted	(19,936)	325,104
Total fund balances	<u>803,780</u>	<u>1,147,430</u>
Total renewal and replacements	<u>1,070,055</u>	<u>1,236,323</u>
Retirement of indebtedness:		
Bonds payable	32,962,000	33,095,000
Fund balances	10,738,569	10,550,544
Total retirement of indebtedness	<u>43,700,569</u>	<u>43,645,544</u>
Investment in plant:		
Bonds payable	96,738,833	98,988,058
Lease purchase obligation	5,155,000	5,305,000
Net Investment in plant	227,907,185	215,717,896
Total investment in plant	<u>329,801,018</u>	<u>320,010,954</u>
TOTAL PLANT FUNDS	<u>\$ 443,674,381</u>	<u>\$ 419,310,046</u>
Agency Funds:		
Outstanding Encumbrances	325	3,969
Funds held in custody for others	263,488	207,800
TOTAL AGENCY FUNDS	<u>\$ 263,813</u>	<u>\$ 211,769</u>

University of Kentucky
 Schedule of Changes in Fund Balances--Current Funds
 Eleven Months Ended May 31, 1979

	Unrestricted Allocated	Restricted	Tobacco Research Trust Fund	Hospitals and Clinics	Housing Dining System Funds for Future Operating Purposes	Auxiliary Enterprises
Fund Balances July 1, 1978	\$ 17,071,986	\$ 4,931,374	\$ 1,078,333	\$ 8,154,719	\$ 288,380	\$ 1,101,912
Realized income	155,810,752	5,056,025	3,654,847	33,640,204	12,406,793	2,844,613
Unrealized income	6,745,568	3,109,545	1,225,545	1,773,991	388,152	1,031,463
Total estimated income	162,556,320	8,165,570	4,880,392	35,414,195	12,794,945	3,876,076
Less fund balance appropriated	8,401,725		517,892			
Net current estimated income	154,154,595	8,165,570	4,362,500	35,414,195	12,794,945	3,876,076
Total available	171,226,581	13,096,944	5,440,833	43,568,914	13,083,325	4,977,988
Current year expenditures/ encumbrances	143,234,635	4,543,629	3,066,701	28,218,491	12,026,114	3,238,453
Unencumbered balances, appropriations	19,321,685	3,621,941	1,813,691	7,195,704	768,831	637,623
Total authorized appropriations	162,556,320	8,165,570	4,880,392	35,414,195	12,794,945	3,876,076
Fund Balances May 31, 1979	\$ 8,670,261	\$ 4,931,374	\$ 560,441	\$ 8,154,719	\$ 288,380	\$ 1,101,912

UNIVERSITY OF KENTUCKY
 Summary of Current Revenues and Appropriated Balances
 Eleven Months Ended May 31, 1979
 With Comparative Figures for 1977-1978

Fund and Source:	1978-79			1977-78		
	Estimated for the Year	Realized to Date	% Realized to Date	Estimated for the Year	Realized to Date	% Realized to Date
Unrestricted:						
Student fees	\$ 21,544,704	\$ 21,563,120	100	\$ 20,515,487	\$ 21,013,683	102
Federal appropriations	11,195,069	8,788,204	79	11,582,017	9,047,376	78
County appropriations	1,920,474	1,355,099	71	1,787,193	1,473,791	82
Endowment and trust fund income	177,900	151,453	85	162,000	142,838	88
Investment income	1,835,000	1,853,803	101	1,835,691	1,389,969	76
Gifts and grants:						
Affiliated corporations	4,420,738	162,123	4	9,324,882	259,470	3
Other funds	375,000	203,135	54			
Other	1,691,241	1,337,347	79	501,922	620,245	123
Sales, services, other income	4,908,814	5,121,768	104	5,017,246	4,566,265	91
Subtotal	48,068,940	40,536,052	84	50,726,438	38,513,637	76
State appropriations	106,085,655	106,872,975	100	89,224,928	89,832,663	101
Fund balances appropriated	8,401,725	8,401,725	100	4,276,712	4,276,712	100
Total unrestricted	162,556,320	155,810,752	96	144,228,078	132,623,012	92
Restricted:						
Tobacco Research Trust Fund:						
Receipts	8,165,570	5,056,025	62	7,945,833	4,330,264	54
Fund balances appropriated	4,362,500	3,136,955	72	3,800,000	3,209,293	84
Total Tobacco Research Trust Fund	517,892	517,892	100	1,439,473	1,439,473	100
Hospital and Clinics:						
State appropriations	4,880,392	3,654,847	75	5,239,473	4,648,766	89
Hospital earned income-net	7,017,145	6,323,238	90	5,179,400	4,926,329	95
Fund balances appropriated	28,397,050	27,316,966	96	25,299,100	24,187,153	96
Total Hospital and Clinics	35,414,195	33,640,204	95	30,896,805	29,531,787	96
Housing and Dining System	12,794,945	12,406,793	97	11,771,726	11,729,623	100
Auxiliary Enterprises	3,876,076	2,844,613	73	3,640,203	2,636,248	72
TOTAL CURRENT FUNDS AND APPROPRIATED BALANCES	\$ 227,687,498	\$ 213,413,234	94	\$ 203,772,118	\$ 185,499,700	91

University of Kentucky
 Summary of Current Funds Expenditures
 Eleven Months Ended May 31, 1979
 With Comparative Figures for 1977-78

Fund or Function:	1978-79			1977-78		
	Appropriation for the Year	Expended Encumbered to Date	% Expended Encumbered to Date	Appropriation for the Year	Expended Encumbered to Date	% Expended Encumbered to Date
Unrestricted:						
Departments of instruction and research	\$ 65,511,293	\$ 58,633,692	90	\$ 57,793,473	\$ 49,863,141	86
Summer session-1978	1,266,016	1,041,357	82	1,146,494	1,013,959	88
Organized activities	1,741,564	1,455,140	84	1,439,351	1,167,797	81
Organized research	14,676,674	13,310,506	91	11,810,442	10,612,039	90
Libraries	5,305,167	4,878,044	92	4,467,101	4,142,986	93
Extension and public service	18,898,378	16,553,965	88	16,000,848	13,411,937	84
Maintenance and operation of plant	13,494,021	12,028,084	89	10,947,508	9,682,245	88
Renovation, office furniture, etc.	7,388,118	6,583,554	89	1,860,115	1,783,115	96
General administration	7,417,127	5,699,474	77	5,764,350	4,766,409	83
Student services	4,737,185	4,157,254	88	4,136,776	3,650,583	88
Staff benefits	5,947,024	4,105,944	69	14,591,799	12,507,831	86
General institutional services	7,713,869	6,894,726	89	6,221,754	5,487,566	88
Service enterprises	240,691	227,669	95	277,543	230,943	83
Debt service cost	5,925,500	5,426,327	92	5,925,500	3,761,874	63
Student aid	1,876,470	1,813,899	97	1,741,114	1,694,181	97
Reserves	417,223	425,000	102	103,910		
Total unrestricted	\$ 162,556,320	\$ 143,234,635	88	\$ 144,228,078	\$ 123,776,606	86

University of Kentucky
 Summary of Current Funds Expenditures
 Eleven Months Ended May 31, 1979
 With Comparative Figures for 1977-78

Fund or Function:	1978-79			1977-78		
	Appropriation for the Year	Expended to Date	% Expended Encumbered to Date	Appropriation for the Year	Expended Encumbered to Date	% Expended Encumbered to Date
Restricted:						
Departments of instruction and research	\$ 3,446,943	\$ 2,011,196	58	\$ 3,733,180	\$ 2,280,150	61
Organized activities	165,556	111,842	68	92,682	19,636	21
Organized research	801,834	311,902	39	315,390	208,004	66
Libraries	58,652	16,900	29	38,011	16,406	43
Extension and public service	225,748	56,903	25	208,022	100,320	48
General institutional services	798,373	591,552	74	760,289	686,815	90
Student aid	1,882,542	1,443,334	77	953,193	1,308,930	137
Reserves	785,922			1,845,066		
Total restricted	8,165,570	4,543,629	56	7,945,833	4,620,261	58
Tobacco Research Trust Fund	4,880,392	3,066,701	63	5,239,473	3,509,515	67
Hospital and Clinics	35,414,195	28,218,491	80	30,896,805	25,992,750	84
Housing and Dining System	12,794,945	12,026,114	94	11,771,726	11,083,759	94
Auxiliary Enterprises	3,876,076	3,238,453	84	3,640,203	3,154,880	87
TOTAL CURRENT FUNDS EXPENDITURES	\$227,687,498	\$194,328,023	85	\$203,722,118	\$172,137,771	84

University of Kentucky
 Schedule of Short Term Investments
 May 31, 1979

	<u>Maturity Value</u>	<u>Cost</u>
Restricted Current Fund:		
Government securities:		
FHLB, 6.70%, 11/25/80	\$ 125,000	\$ 125,000
U.S. Treasury Notes, 8.75%, 5/15/86	485,000	485,000
Total government securities		<u>610,000</u>
Certificates of deposit:		
First Security National Bank & Trust Company, 8.91%, 6/28/79	500,000	500,000
First Security National Bank & Trust Company, 10.26%, 6/28/79	1,000,000	1,000,000
First Security National Bank & Trust Company, 8.91%, 7/25/79	500,000	500,000
Bank of Commerce & Trust Company, 8.95%, 8/10/79	500,000	500,000
First Security National Bank & Trust Company, 9.15%, 9/28/79	100,000	100,000
First Security National Bank & Trust Company, 9.31%, 10/04/79	400,000	400,000
First Security National Bank & Trust Company, 9.31%, 10/04/79	1,000,000	1,000,000
First Security National Bank & Trust Company, 10.55%, 11/01/79	1,000,000	1,000,000
First Security National Bank & Trust Company, 10.38%, 12/20/79	1,000,000	1,000,000
Bank of Commerce & Trust Company, 11.10%, 1/14/80	1,000,000	1,000,000
First Security National Bank & Trust Company, 10.55%, 3/10/80	2,000,000	2,000,000
Bank of Commerce & Trust Company, 10.25%, 5/09/80	1,500,000	1,500,000
Total certificates of deposit		<u>10,500,000</u>
TOTAL RESTRICTED FUNDS		<u>\$11,110,000</u>
Agency Funds:		
Government securities:		
U.S. Treasury Notes, 7.87%, 5/15/86	15,000	\$ 15,000
TOTAL AGENCY FUNDS		<u>\$ 15,000</u>

University of Kentucky
 Schedule of Retirement of Indebtedness Funds
 For the Eleven Months Ended May 31, 1979

Source of Funds:	<u>Estimated</u>	<u>Realized</u>	<u>% Realized</u>
Unrestricted current funds	\$ 5,925,500	\$ 5,426,327	92
Housing and dining system funds	1,474,692	1,502,905	102
Auxiliary enterprises current funds	<u>170,959</u>	<u>170,583</u>	<u>100</u>
TOTAL RETIREMENT OF INDEBTEDNESS FUNDS	<u>\$ 7,571,151</u>	<u>\$ 7,099,815</u>	<u>94</u>

Debt Service:	<u>Appropriated</u>	<u>Expended</u>	<u>% Expended</u>
Unrestricted funds debt:			
Consolidated Educational Buildings-Series A	\$ 506,775	\$ 510,628	101
Consolidated Educational Buildings-Series B	468,807	473,012	101
Consolidated Educational Buildings-Series C	234,496	236,542	101
Consolidated Educational Buildings-Series D	2,445,140	2,425,377	99
Consolidated Educational Buildings-Series E	271,512	285,916	105
Consolidated Educational Buildings-Series F	565,063	525,439	93
Community Colleges Educational Buildings-Series A	846,525	842,595	100
Community Colleges Educational Buildings-Series B	197,350	197,750	100
Community Colleges Educational Buildings-Series C	<u>389,832</u>	<u>392,995</u>	<u>100</u>
Subtotal	<u>5,925,500</u>	<u>5,890,254</u>	<u>99</u>
Housing and Dining System Revenue Bonds-Series A-I	<u>1,474,692</u>	<u>1,051,881</u>	<u>71</u>

University of Kentucky
 Schedule of Retirement of Indebtedness Funds
 For the Eleven Months Ended May 31, 1979

	<u>Appropriated</u>	<u>Expended</u>	<u>% Expended</u>
Auxiliary Enterprises:			
Chi Omega	2,725	2,688	99
Alpha Delta Pi	12,733	12,591	99
Dorms A-F	39,195	39,195	100
Dorms G-L	50,598	50,598	100
Phi Delta Theta	8,320	8,220	99
Delta Delta Delta	4,850	4,810	99
Alpha Tau Omega	8,500	8,418	99
Housing Bonds of 1960	13,750	13,750	100
Student Housing Bonds of 1964	30,288	30,313	100
Subtotal	<u>170,959</u>	<u>170,583</u>	<u>100</u>
TOTAL RETIREMENT OF INDEBTEDNESS FUNDS	<u>\$ 7,571,151</u>	<u>\$ 7,112,718</u>	<u>94</u>

University of Kentucky
 Schedule of Sinking Funds
 May 31, 1979

<u>Bond Issue with Reserves Fully Funded:</u>	<u>Total</u>	<u>Bonds</u>	<u>Fund Balances</u>
	<u>Sinking Fund</u>	<u>Payable</u>	
Housing and Dining System Revenue Bond and Interest Sinking Fund	\$ 2,307,334		\$ 2,307,334
Consolidated Educational Buildings Project and Interest Sinking Fund:			
Series A	559,929		559,929
Series B	566,990		566,990
Series C	202,446		202,446
Series D	2,085,263		2,085,263
Series E	244,382		244,382
Series F	832,241		832,241
Student Housing Bond and Interest Sinking Fund of 1964	105,822		105,822
Community College Educational Buildings Project Bond and Interest Sinking Fund:			
Series A	826,644		826,644
Series B	176,651		176,651
Series C	347,220		347,220
Student Housing Bond and Interest Sinking Fund of 1960	74,632		74,632
Dormitory Revenue Bonds of 1956	50,000		50,000
Total Bond Issues with Reserves Fully Funded	<u>8,379,554</u>		<u>8,379,554</u>
<u>Bonds Fully Funded by Escrow Accounts:</u>			
Keeneland, Cooperstown and Student Union Future Bond and Interest Fund	2,437,941	1,962,000	475,941
Consolidated Educational Series D Refunding Issue:			
Bonds Payable	31,000,000	31,000,000	839,150
Premium on Sale of Bonds	839,150		2,482,500
Amortization of Discount on Investment	2,482,500		9,840,278
Investment Income	9,840,278		(11,278,854)
Interest Paid	(11,278,854)		
Total Series D Refunding Issue	<u>32,883,074</u>	<u>31,000,000</u>	<u>1,883,074</u>
TOTAL SINKING FUNDS	<u>\$ 43,700,569</u>	<u>\$ 32,962,000</u>	<u>\$ 10,738,569</u>

University of Kentucky
 Schedule of Renewal and Replacement
 For the Eleven Months Ended May 31, 1979

	<u>Restricted</u>	<u>Unrestricted</u>	<u>Total Fund Balances</u>
Repair and Maintenance Reserves:			
Housing and Dining System	\$ 750,000	\$ 201,084	\$ 951,084
Student Housing Buildings of 1964	43,716		43,716
Student Housing Buildings of 1960	30,000	11,603	41,603
Other Auxiliary Enterprises		<u>13,600</u>	<u>13,600</u>
Total repair and maintenance reserves	<u>823,716</u>	<u>226,287</u>	<u>1,050,003</u>
Renewal and Replacement Funds:			
Housing and Dining Funds		(361,045)	(361,045)
Other Auxiliary Enterprises		<u>114,822</u>	<u>114,822</u>
Total renewal and replacement funds		<u>(246,223)</u>	<u>(246,223)</u>
GRAND TOTAL	<u>\$ 823,716</u>	<u>\$ (19,936)</u>	<u>\$ 803,780</u>

Office of the President
June 28, 1979

FCR 2

Members, Executive Committee, Board of Trustees:

FINANCIAL STATEMENT OF SPINDLETOP HALL, INC.

Recommendation: that the Board of Trustees accept the financial statement of Spindletop Hall, Inc. for the year ended December 31, 1978.

Background: In accordance with the agreement between Spindletop Hall, Inc. and the University of Kentucky, it is required of Spindletop Hall, Inc. to submit to the University of Kentucky Board of Trustees audited Financial Reports.

Note: The audited financial statement is not included in the Minutes of the meeting but is a part of the official files.

Action taken: Approved XX Disapproved _____ Other _____

Date: June 28, 1979

Office of the President
June 28, 1979

FCR 3

Members, Executive Committee, Board of Trustees:

CONTRACT WITH LOUISVILLE AND JEFFERSON COUNTY
BOARD OF HEALTH, et al

Recommendation: that the Executive Committee authorize the Chairman and Secretary of the Board of Trustees to execute the Fourth Supplemental Contract dated May 1, 1972, permitting the Regional Cancer Center Corporation to become a party to the agreement to use steam and chilled water from the Medical Center Steam and Chilled Water Plant providing steam and chilled water for a number of institutions in the area.

Background: Jefferson Community College is a member of the Medical Center Steam and Chilled Water Plant that provides steam and chilled water for the college as well as several other hospitals and institutions in the area.

In order for a new institution to use steam and chilled water from the steam plan, it's necessary for the new institution to become a member of the "co-op". This necessitates the execution of a supplemental contract by all members of the "co-op".

The Regional Cancer Center Corporation has requested membership in the co-op. There is a sufficient capacity of steam and chilled water to serve the Regional Cancer Center Corporation without requiring additional capital expenditures.

Action taken: Approved XX Disapproved _____ Other _____

Date: June 28 , 1979

Office of the President
June 28, 1979

ICR 1

Members, Executive Committee, Board of Trustees:

DESIGNATION OF NEIMAN PRINT PROCEEDS AS A QUASI-ENDOWMENT FOR
ALUMNI ASSOCIATION AWARDS AND SCHOLARSHIPS FUND

Recommendation: that a quasi-endowment fund be established from the proceeds of sales of the LeRoy Neiman serigraph with a beginning principal of \$35,000 to be invested in the University's Fixed Income Pool, and that income from this endowment be designated for the Alumni Association Awards and Scholarships Fund within the University.

Background: During the 1977-78 basketball season, the University, with the assistance of Ashland Oil, secured an athletic print of the University's basketball team from an original painting by famous artist LeRoy Neiman. At that time the hope was expressed that the proceeds from the sales of this serigraph would be used for undergraduate scholarships.

Since that time such sales have produced about \$35,000 for the University. With the approval of the University's Office of Development and the Office of the Vice President for University Relations, these proceeds would endow scholarships through the newly-established Alumni Association Awards and Scholarships Fund. This fund would continue those Alumni Loyalty Scholarships which were begun some two decades ago and for which, unfortunately, all funds were exhausted for the fiscal year ending June 30, 1979. For the fiscal year 1979-80 these scholarships will total \$2900 for four (4) undergraduates - one each from the freshman, sophomore, junior, and senior classes. Eventually, these scholarships are scheduled to total \$3200.

The Office of Student Financial Aid selects scholarship recipients in accordance with appropriate guidelines. Upon notice from the Student Financial Aid Office, the Alumni Association shall institute the pertinent transactions so that recipients may receive not only their scholarships, but also recognition of their selection at the Annual Meeting of the Alumni Association and in publications of the Alumni Association

Action Taken: Approved XX Disapproved _____ Other _____

Date: June 28, 1979

Office of the President
June 28, 1979

ICR 2

Members, Executive Committee, Board of Trustees:

INVESTMENT POLICIES - CURRENT FUNDS

Recommendation: that it be the policy of the Board of Trustees of the University of Kentucky:

With respect to investment of available current funds:

- a. That to the maximum practical extent, such funds be invested.
- b. That such funds be invested in fixed income securities.
- c. That the investment objective be to maximize yield consistent with security, liquidity and the period of investment.
- d. That investments be limited to: (1) direct obligations of the United States Treasury; (2) other appropriate securities issued by federal agencies (including the Federal National Mortgage Association, the Export-Import Bank, Federal Intermediate Credit Bank, Federal Home Loan Banks, Federal Land Banks); (3) repurchase agreements of United States Government obligations as described in (1) and (2); (4) The Common Fund for Short Term Investments; *(5) certificates of deposit collateralized by direct obligations of the federal government, federal agency obligations which qualify for direct investment under this recommendation, general obligations of the University of Kentucky.
- e. That the Investment Committee of the Board be authorized to make investments under this policy and make quarterly reports of such investments to the Board.

Background: This policy is identical to the present policy with the exception that repurchase agreements and The Common Fund for Short Term Investments are added as permissible investments. This change will provide greater flexibility to the Investment Committee in meeting the objective of maximum yield consistent with security, liquidity, and the period of investment.

The Common Fund is a non-profit corporation formed in 1971, and represents a cooperative undertaking of educational institutions designed to improve the productivity of their endowments and other invested assets. A portion of the University's endowment portfolio is presently invested in The Common Fund.

*Amended prior to action by adding the following at the end of (4) above:
"and/or the Capital Collective Temporary Investment Fund of the First
National Bank of

Action taken: Approved as Disapproved _____ Other Louisville
amended

Date: June 28, 1979