

Minutes of the Meeting of the Board of Trustees  
University of Kentucky  
Tuesday, June 11, 2013

The Board of Trustees of the University of Kentucky met at 1:00 p.m. on Tuesday, June 11, 2013 in the Board Room on the 18<sup>th</sup> floor of Patterson Office Tower.

A.     Meeting Opened

Dr. E. Britt Brockman, chair of the Board of Trustees, called the meeting to order at 1:00 p.m. Chair Brockman asked Trustee Sheila Brothers, secretary of the Board, to call the roll.

B.     Roll Call

The following members of the Board of Trustees answered the call of the roll: C.B. Akins, Sr., Stephen Bilas, William C. Britton, E. Britt Brockman, Sheila Brothers, Mark P. Bryant, Jo Hern Curris, Williams S. Farish, Jr., Oliver Keith Gannon, Carol Martin (Bill) Gatton, Kelly Sullivan Holland, Pamela T. May, Billy Joe Miles, Terry Mobley, Charles Sachatello, C. Frank Shoop, James W. Stuckert, John Wilson, and Barbara Young. Irina Voro was absent from the meeting. Secretary Brothers announced that a quorum was present.

The University administration was represented by President Eli Capilouto, Interim Provost Tim Tracy, Executive Vice President for Health Affairs Michael Karpf, Executive Vice President for Finance and Administration Eric Monday, and General Counsel William Thro.

The University faculty was represented by Chair of the University Senate Council Lee Blonder, and the University staff was represented by Chair of the Staff Senate Mike Adams.

Guests and members of the news media were also in attendance.

C.     Consent Items

Chair Brockman called attention to the three consent items on the agenda. The items were the minutes for the Board of Trustees meeting on May 14, 2013; PR 2B, Personnel Actions; and FCR 1, the Bill Gatton Foundation Gift and Pledge.

Trustee Stuckert moved approval of the consent items. Trustee Mobley seconded the motion. Chair Brockman took the opportunity to thank Trustee Gatton for his generosity and visionary support not only to the Gatton College of Business and Economics, but also to the University of Kentucky. His lead gift toward the redesign and expansion of the Gatton College of Business and Economics building will allow for enrollment growth of more than 50 percent. With gifts and pledges exceeding \$21 million, he remains UK's premier benefactor and his commitment to UK will "enable students to attain lives of meaning and purpose for generations to come."

Trustee Gatton received a standing ovation.

Chair Brockman called for the vote and it passed with one abstention from Trustee Gatton. (See consent items listed below on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

Minutes, May 14 2013  
PR 2B Personnel Actions  
FCR 1 The Bill Gatton Foundation Gift and Pledge

Chair Brockman reported that there had been one petition to address the Board of Trustees since the last meeting. The petition was regarding food services. The petitioner has agreed to defer his request until an action comes before the Board, most likely in the fall.

Chair Brockman congratulated Trustee Sheila Brothers, who was re-elected by the University staff to a new three-year term as the staff representative to the Board of Trustees. He also acknowledged Student Government President-Elect and student representative to the Board of Trustees Roshan Palli.

Chair Brockman also recognized out-going Staff Senate Chair Mike Adams and thanked him for his service.

D. Proposed Revision to Governing Regulation: Executive Committee (GR II)  
(ECR 1)

Chair Brockman stated that ECR 1 was the second reading of the proposed revision to Governing Regulations II relating to the duties of the Board of Trustees Executive Committee. The proposed addition addresses concerns regarding process, compliance, and accountability. As background, the revision is intended to make explicit the Board's continuing interest in, and attention to, the important issues of good governance, accountability, and ethics. The Executive Committee will serve in an advisory capacity to the President on these issues, and the revised GR will reads as follows:

The Executive Committee shall serve in an advisory capacity to the President on regulations and policies, institutional compliance, and accountability.

Chair Brockman opened the floor for discussion. Hearing none, Chair Brockman called the question and it passed without dissent. (See ECR 1 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

E. President's Report (PR 1)

President Capilouto began by reporting that a new ranking places the Gatton College of Business and Economics among the ten most popular business schools in the country. U.S. News & World Report recently ranked Gatton College sixth among ten elite business schools in the country, among the most popular. The ranking is based on the percentage of students

accepted to the Gatton College who ultimately enroll at the University of Kentucky, the so-called “yield”. Gatton College’s yield rate of 74% places it among some top-tier company, such as Harvard, Stanford, University of Wisconsin-Madison and The Ohio State University.

President Capilouto next reported on UK’s Oral History program. Dean of Libraries, Terry Birdwhistell, assumed the director’s position in 1974 and has built the Louie B. Nunn Center for Oral History into a national model. Deirdre Scaggs, Associate Dean for Special Collections, and Doug Boyd, current Director of the Nunn Center and a national leader in the field of oral history, shared with the Board some details about the initiative.

Associate Dean Scaggs discussed how UK Libraries is working innovatively with technology to reach students in new and exciting ways. An example is the Special Collections Learning Lab, which connects undergraduate students with primary sources through research internships.

Another innovation is OHMS, the Oral History Metadata Synchronizer. Dr. Doug Boyd began by explaining that UK, a leader in the collection of interviews, has over 20,000 hours of interviews. These include not only interviews from famous politicians such as Martin Luther King, Jr., Jacquelyn Kennedy Onassis, and Malcolm X, but also interviews from Kentucky family farm owners, veterans from World War I & II, Vietnam, Iraq and Afghanistan, to coal miners and coal mine owners. Recent projects include Kentucky Civil Rights Hall of Fame inductees and a new partnership with the Bourbon industry and distilleries from around the Commonwealth. The first version of OHMS software relied on transcripts to provide the database for the searches. The OHMS project is an open-source software platform that created a way to link a search hit (usually a word) to a moment in the tape. Because transcripts are costly, a new version introduced this year creates a way to index an oral history interview without transcribing it. What used to take months and years, now only takes a few hours. The project has enlisted the aid of undergraduates, graduates and County Historical Society members to create these indexes.

Dr. Boyd continued that the project was the beneficiary of a new grant to make the open-sourcing free. This development has created public partnerships with the Smithsonian Institute, Baylor University, and the University of Santa Cruz. All of these advancements makes oral history efficient, easy to use, and fulfills the purpose of putting individual stories on historical record. In response to a question from Trustee Curris regarding a patent or trademark, Dr. Boyd said that the process was underway.

President Capilouto was pleased to recognize and introduce Dr. Kimberly Ward Anderson, Gill Imminent Professor in the Department of Chemical and Materials Engineering and Associate Dean for Administration and Academic Affairs in the College of Engineering. The recipient of numerous honors and awards, Dr. Anderson recently received the Southeastern Conference Achievement Award for her accomplishments as a teacher and researcher.

Dr. Anderson thanked President Capilouto for the opportunity to speak with the Board. She shared that early in her career, it was unusual for women to be in engineering and even more rare for a female engineer to be interested in cancer research. Twenty-six years ago, her decision

to come to UK was influenced by the three factors: a medical school on campus; a dynamic climate of collaborative research; and the opportunity to interact with graduate and undergraduate students.

Today, an example of the successful combination of these factors is the National Science Foundation (NSF) Integrative Graduate Education Research Training (IGERT) grants and Research Experience for Undergrad (REU) grants received by UK. Faculty and students from Pharmacy, Chemistry, Engineering, and the Medical School are working together on research.

Dr. Anderson also praised the work of Dr. Mark Evers, Director of the Markey Cancer Center, for promoting multidisciplinary research between the clinicians at Markey Center and in the Colleges of Engineering, Pharmacy, and Arts and Sciences. Because of his efforts, UK was awarded a National Institutes of Health (NIH) award from the National Cancer Institute, a Cancer Nanotechnology Training Center. It is a Ph.D. training program where engineers are working with clinicians at the Markey Center on medical type problems.

Dr. Anderson closed by thanking President Capilouto and the Board of Trustees for the invitation and the opportunity to share her story.

President Capilouto ended his report by summarizing the many excellent efforts of the past year, but also the commitments and challenges still ahead. He shared:

“Many of you know that on my late night walks on campus, I often visit the Kentucky Wall in the Chandler Hospital. The video boards fade in and out and present a digital collage of Kentucky. I mostly stare at the faces. Last night especially, these faces, their voices called out to me. The faces are from all across Kentucky. They're black, white, brown, old, young, and they're hard-worn. In their eyes I find hope and inspiration. Harry Truman said something like this ...that there were a few hundred people in the country that when they call their representatives in Washington, they get a call back. Most likely they would get what they needed. He said the rest, the voiceless, had to depend on the President of the United States to get what they needed. Last night I felt the faces on the Kentucky Wall were calling to us to do more.

“You see, we still remain at the bottom of almost any category that looks at income, education, or health outcomes. My predecessor, Lee Todd, had the courage to call them the “Kentucky Uglies.” But we're making progress in this country, not fast enough in Kentucky, but we're making progress. I want to give you one example. Cancer death rates in this country have been plummeting, plummeting. Fifty percent of the lives saved are attributed to prevention. Fifty percent of the lives saved or lives extended are due to expert treatment. You learned yesterday this can vary, depending on where you live, and you can find similar stories across any health malady that you want to take a look at. The faces of the Kentucky Wall to me are the faces of families that will escape the scourge of cancer. The promise of this University through the ages is that we would work to ensure better and longer lives. Yesterday you learned we are.

“About a decade ago this Board and the administration made a commitment that Kentuckians, no matter their walk of life, should rest assured that no matter the seriousness of their illness or injury, they would be treated with the best of care in their own backyard. At the

Health Care Committee yesterday, we learned that we kept our promise to them. Our mortality rates, adjusted for case severity, ranked at the top. That's a good thing. The people whose lives we save at rates better than the top medical centers in the country can't go to the Mayo Clinic or the Cleveland Clinic or to Vanderbilt. They depend on us. They have pride in what we do. They are coming to us in increasing numbers, twice as many as just seven or eight years ago. This Board and previous administrations self-financed the hospital. Not a dime came from the state or the federal government. We only asked for permission to invest our money in what we believed in, to take a risk to keep a promise.

“So today we are seeking to give Kentuckians something else to be proud of, a top-ranked public research university in their own backyard, where the best and brightest and those who are willing to work to be the best and brightest can fulfill their dream. Two years ago the Board again renewed its promise to Kentucky. We determined after months of dialogue with our campus and those that we give voice to throughout Kentucky that we would focus on undergraduate education and restoring our campus infrastructure. Last year together we did a lot. We admitted the largest, most diverse, and best prepared class. We grew our Honors, Singletary, and National Merit Awards. We launched the most ambitious public-private partnership. We made a commitment to the lowest tuition increase in 15 years in the face of the deepest one-year cut in our modern history, state cut. Again, we agreed to take a risk to self-finance, no money from outside this University, and to take full responsibility for that 275 million dollars for three projects: Athletics, which needs to remain vibrant to help us do all kinds of things in building community and building a stronger academic component to our campus; the Gatton College of Business, through the generosity of Mr. Gatton and many others, we're going to get that project done and get it started soon; and then our Science Building. The research that goes on in these buildings, these are investments in things yet imagined, things up till now only the good Lord understands, the things that extend life, quality of life, create knowledge, and push economic development. Cities and states that lead and do this, thrive. We build not for ourselves but for our state's future. And we kept a promise to our most precious resource, our faculty and staff, by making a commitment and delivering on a commitment for a competitive pay increase.

“So progress from here on out involves more tough choices, but we always have to remember for whom we speak and who we stand. One of the other reasons I like to visit the Kentucky Wall is we have words from our famous poet. It says, ‘Spring was in the wind. I could feel it. I could taste it. I could see it, and it was beautiful to me.’”

F. Appointment of Provost (PR 2A)

President Capilouto stated that PR 2A was the recommendation that the Board of Trustees approve the appointment of Dr. Christine Riordan as Provost. Chair Brockman asked for a motion for approval of PR 2A. Trustee Akins so moved and it was seconded by Trustee Farish. Chair Brockman called the question and it passed without dissent. (See PR 2A on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

G. Proposed Revision to Governing Regulations: Employment of Relatives (Nepotism) (PR 3)

President Capilouto stated that PR 3 was the second reading of the recommendation that the Board of Trustees approve the revisions to the Governing Regulations I and X relating to the employment of relatives and nepotism. The revisions were received for preliminary consideration as PR 3 on May 14, 2013.

Chair Brockman asked for a motion for approval of PR 3. Trustee May so moved and it was seconded by Trustee Britton. With no discussion, Chair Brockman called the question and it passed without dissent. (See PR 3 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

H. Proposed Revision to Governing Regulations: Ethical Principles and Code of Conduct (PR 4)

President Capilouto stated that PR 4 was the second reading of the recommendation that the Board of Trustees approve the revisions to GR I and the new GR XIV, "Ethical Principles and Code of Conduct." These revisions are intended to clarify and strengthen the University's commitment to ethical behavior and were received for preliminary consideration as PR 4 on May 14, 2013.

Chair Brockman asked for a motion for approval of PR 4. Trustee Mobley so moved and it was seconded by Trustee Holland. With no discussion, Chair Brockman called the question and it passed without dissent. (See PR 4 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

I. Proposed Revision to Governing Regulation: Staff Senate (PR 5)

President Capilouto stated PR 5 was the second reading of the recommendation that the Board of Trustees approve the revisions of the Governing Regulation V related to the Staff Senate. This revision would strengthen staff representation and participation and was received for preliminary consideration as PR 5 on May 14, 2013.

Chair Brockman asked for a motion for approval of PR 5. Secretary Brothers so moved and it was seconded by Trustee Bilas. With no discussion, Chair Brockman called the question and the motion passed without dissent. (See PR 5 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

J. Appointment to the Board of Directors: University of Kentucky Research Foundation (PR 6)

President Capilouto stated that PR 6 was a recommendation that the Board of Trustees approve the appointment of Karyn Esser, Department of Physiology, for a three-year term beginning July 1, 2013 and ending June 30th, 2016, to the Board of Directors of the University of Research Foundation (UKRF).

Chair Brockman asked for a motion for approval of PR 6. Trustee Miles so moved and it was seconded by Trustee Curris. With no discussion, Chair Brockman called the question and it passed without dissent. (See PR 6 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

K. Academic Affairs Committee Report

Trustee O. Keith Gannon, chair of the Academic Affairs Committee, reported that the Committee met that morning and had five business items. The Committee had a discussion with Interim Provost Tim Tracy regarding the partnership with Coursera to provide pre-college readiness courses in a Massive Open Online Course (MOOC) format. UK's first offering would be a chemistry course that would target motivated high school students who wish to prepare for college chemistry as well as those preparing for advanced placement (AP) test. The target for releasing the first module is January 2013.

L. Candidate for Degree: May 2013 (AACR 1)

Trustee Gannon stated AACR 1 was related to a degree awarded posthumously to a student who passed away during the spring semester. The individual had satisfactorily completed all requirements for the degree for which the application was made and as approved by the elected faculty of the University Senate. On behalf of the Academic Affairs Committee, Trustee Gannon asked for approval of AACR 1 and it passed without dissent. (See AACR 1 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

M. Candidates for Degrees: August 2013 (AACR 2)

Trustee Gannon stated that AACR 2 was a recommendation that the President be authorized to confer degrees to those individuals who have satisfactorily completed requirements for degrees by the close of the 2013 Summer Session, due to licensure and certification verification timelines, as approved by the elected faculty of the University Senate. Trustee Gannon, on behalf of the Academic Affairs Committee, asked approval of AACR 2 and it passed without dissent. (See AACR 2 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

N. Establishment of the Center for Research on Environmental Disease (AACR 3)

Trustee Gannon stated that AACR 3 was a recommendation that the Board approve the establishment of the Center for Research on Environmental Disease, in the College of Medicine, effective July 1, 2013. The Center will foster collaboration among clinical and basic scientists, catalyze translational research, stimulate educational activities, enhance the competitiveness of member investigators for extramural funding, and increase national recognition for the University in the field of research on environmental disease. Trustee Gannon, on behalf of the Academic Affairs Committee, asked approval of AACR 3 and it passed without dissent. (See AACR 3 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

O. Change in Name and Organizational Structure of the Graduate Center for Biomedical Engineering (AACR 4)

Trustee Gannon stated that AACR 4 was the recommendation that the Board of Trustees approve the change in name and organizational structure from the Graduate Center for Biomedical Engineering to the Department of Biomedical Engineering in the College of Engineering, effective July 1, 2013. The “department” designation will eliminate a source of confusion that the term “center” represents and will allow the unit to offer undergraduate as well as graduate programs and degrees.

On behalf of the Academic Affairs Committee, Trustee Gannon asked approval of AACR 4 and it passed without dissent. (See AACR 4 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

P. Change in Name of an Educational Unit: College of Design (AACR 5)

Trustee Gannon stated that AACR 5 asks that the Board of Trustees approve the change in name of the School of Interior Design to the School of Interiors: Planning / Strategy / Design in the College of Design, effective July 1, 2013. This change will enhance the marketing potential of the program; better communicate the focus and scope of the program; and increase gender diversity within the program. On behalf of the Academic Affairs Committee, Trustee Gannon asked approval of AACR 5 and it passed without dissent. (See AACR 5 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

Q. University Athletics Committee

Trustee C.B. Akins, Sr., chair of the University Athletics Committee, reported that in its meeting, the Committee considered the financial statements of the Department of Intercollegiate Athletics. Angie Martin, Treasurer, reviewed the interim financial statements of the department for the nine months ended March 31, 2013. The statements included the balance sheet, the statement on revenue and expenses, and the change in net assets. The committee accepted the quarterly financial statements as presented. The committee reviewed FCR 3, 2012-13 Budget Revision, which had two items regarding Intercollegiate Athletics. The University Athletics Committee voted to recommend to the Finance Committee approval of these revisions.

Melissa Gleason, Associate Athletics Director, presented a detailed FY 2013-14 operating budget for the Department of Intercollegiate Athletics. This budget is included in the University-wide budget presented in FCR 10. The Committee accepted the FY 2013-14 Operating Budget for Intercollegiate Athletics as presented.

Athletics Director Mitch Barnhart gave a wrap-up of spring sports items. He also announced the hiring of Rachel Newman Baker, who currently serves as the NCAA Managing Director of Enforcement for the Development and Investigations Section, as the new Senior Associate Athletics Director for Compliance. She will officially begin her duties on July 8th, 2013. A native of Berea, Kentucky, Ms. Newman Baker will report to Sandy Bell, Executive

Associate Athletics Director for Student Services, and serve as a liaison between the Athletics Compliance Office and UK Football and Marketing Offices. She will supervise the Assistant Athletics Director for Academics and Assistant Athletics Director for Compliance as direct reports.

R. Audit Subcommittee Report

Trustee Bill Britton, chair of the Audit Subcommittee, stated that the Committee had met that morning and continued the discussion with Executive Vice President of Finance and Administration Eric Monday regarding provisions in the Audit Subcommittee's charter. Additionally, the 2014 work plan was reviewed and approved.

S. Financial Committee Report

Trustee Stuckert reviewed the consent item pledge (FCR 1, The Bill Gatton Foundation Gift and Pledge) and on behalf of the Finance Committee, thanked Trustee Bill Gatton, for his loyalty and steadfastness to the Gatton College and the University of Kentucky.

T. Acceptance of Interim Financial Report for the University of Kentucky for the Nine Months ended March 31, 2013 (FCR 2)

Trustee Stuckert stated that FCR 2 recommends that the Board of Trustees accept the interim financial report for the University of Kentucky for the nine months ended March 31, 2013. On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 2 and it passed without dissent. (See FCR 2 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

U. 2012-13 Budget Revisions (FCR 3)

Trustee Stuckert stated that FCR 3 recommends that the Board of Trustees authorize and approved budget revisions to the FY 2012-13 budget. The budget revision will increase the University of Kentucky's total budget by \$17.7 million or by 0.7%. Increased tuition revenue funds will be used to acquire the Lexington Theological Seminary property, which was approved by the Board at the May 14, 2013 meeting.

Other budget revisions involve Intercollegiate Athletics and the support of contractual agreements and the Soccer Complex renovation. The revisions were discussed and recommended for approval by the University Athletics Committee.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 3 and it passed without dissent. (See FCR 3 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

V. Construct UK/Nicholasville Road Flood Mitigation (FCR 4)

Trustee Stuckert stated that FCR 4 requested approval for a scope increase for the

Construct UK/Nicholasville Road Flood Mitigation project. In December 2011, the Board approved this project to construct improvements for storm mitigation for roadway and pedestrian safety. The appraised value of the land (UK's in-kind value of the land designated for the project) was more than originally estimated, thus the Federal Emergency Management Agency (FEMA), will contribute additional funds to this project.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 4 and it passed without dissent. (See FCR 4 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

W. Easement Authorization for Columbia Gas of Kentucky for a Gas Transmission Line (C.O. Little Research Center, Woodford County, Kentucky) (FCR 5)

Trustee Stuckert stated that FCR 5 requests that the Board of Trustees authorize the Executive Vice President for Finance and Administration or his designee, to convey an easement for a gas transmission line to Columbia Gas of Kentucky at the C. O. Little Research Center, in Woodford County. On behalf of the Finance Committee, Trustee Stuckert moved adoption of FCR 5 and it passed without dissent. (See FCR 5 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

X. Repair/Upgrade/Improve Building Systems University of Kentucky Healthcare (Good Samaritan Hospital Air Handling Units) (FCR 6)

Trustee Stuckert stated that FCR 6 is to repair/upgrade/improve two air handling units at Good Samaritan Hospital. At 42 years of age, the units are in a state of disrepair and do not provide sufficient air flow to meet current code requirements or provide comfort and air quality to patients and employees.

On behalf of the Finance Committee, Trustee Stuckert moved adoption of FCR 6 and it passed without dissent. (See FCR 6 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

Y. Patient Care Facility Project (Fit-Up Eighth Floor and Central Pharmacy) (FCR 7)

Trustee Stuckert stated that FCR 7 recommends the approval of the initiation of fit-up of space in the A.B. Chandler Hospital's new Patient Care Facility, including the eighth floor for inpatient services and space on the third floor for pharmacy services. On behalf of the Finance Committee, Trustee Stuckert moved the adoption of FCR 7. Chair Brockman opened the floor for discussion. Trustee Sachatello expressed concern regarding the current state of finances and the uncertainty of medical insurance, due to the adoption of the Affordable Care Act and its possible impact on revenues affecting the Hospital.

With no further discussion, Chair Brockman called the questions and it passed with 18 affirmative votes and one no vote from Trustee Sachatello. (See FCR 7 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

Z. 2014-2020 Capital Plan and 2014-16 Capital Request (FCR 8)

Trustee Stuckert stated that FCR 8 recommends the acceptance of the 2014-20 Capital Plan, approval of the 2014-16 Capital Request and authorizes the President to adjust the Capital Plan and Capital Request as needed, throughout the legislative process.

By statute, the Capital Plan includes capital construction, renovation, and information technology projects estimated to cost \$600,000 or more; equipment estimated to cost \$200,000 or more; and annual leases valued at \$200,000 or more. The six-year plan includes approximately 300 capital projects, equipment and leases at an estimated cost of \$5.6 billion. For the 2014-16 biennium, the University's Capital Plan includes approximately 150 capital projects, equipment, and leases with an estimated cost of \$2.4 billion dollars, using various recommended fund sources such as state bonds, agency bonds (which are University bonds), agency funds, University cash, and private funds. Pursuant to AR 8:2, the Board of Trustees must approve the initiation of any capital project with a scope of greater than \$600,000 by separate action.

On behalf of the Finance Committee, Trustee Stuckert moved adoption of FCR 8 and it passed without dissent. (See FCR 8 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

AA. Patent Assignment Report (FCR 9)

Trustee Stuckert stated that FCR 9 recommends the acceptance of the patent assignment report, for the period January 1 through March 31, 2013. On behalf of the Finance Committee, Trustee Stuckert moved adoption of FCR 9 and it passed without dissent. (See FCR 9 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

BB. Fiscal Year 2013-2014 Operating and Capital Budget (FCR 10)

Trustee Stuckert stated that FCR 10 recommends the Board of Trustees:

1. Approve the Fiscal Year 2013-2014 Operating and Capital Budget.
2. Approve the appropriation and allocation of all fund balances for future operations, to include amounts necessary to cover accounts receivable and inventories that will represent assets on the audited balance sheet of the University of Kentucky as of June 30, 2013. These audited balances may be different than the estimates incorporated in the proposed budget. Since the books of account for the University of Kentucky are maintained on a full accrual basis, this action will provide the authorization to expend accumulated fund balances as necessary to maintain a sound financial position during Fiscal Year 2013-2014.
3. Order that the operating budget be recorded as an integral part of the University's financial system with the necessary fiscal control being

exercised for the collection and appropriation of income and for the expenditure of departmental appropriations in accordance with authorized fiscal policies and procedures.

4. Accept the operating budget as the basis of maximum expenditures for Fiscal Year 2013-2014, subject to item number two above and other Board approved revisions.

Trustee Stuckert reminded the Board that pursuant to Governing Regulation II.E.2(c), the “Finance Committee shall oversee the budgets of the University by reviewing and recommending to the Board of Trustees on the annual operating budgets.” The University's recommended fiscal year 2013-14 consolidated operating budget totals \$2.7 billion, an increase of \$71 million compared to the current fiscal year. This operating and capital budget is provided in detail as a separately bound document and available at [www.uky.edu/opbpa](http://www.uky.edu/opbpa).

On behalf of the Finance Committee, Trustee Stuckert moved adoption of FCR 10. Chair Brockman opened the floor for discussion.

Trustee Brothers acknowledged that some of the comments she made last year at this time regarding the budget may have been based on some publicly reported worst-case scenarios and not necessarily how the majority of situations were handled. She thanked the staff all across the University for their long hours in preparation of the 2013-14 budget. She was appreciative of the expansion of the Students of Concern Committee to a Community of Concern Committee, which will now include employees. This program proactively addresses issues of concern or safety involving students and/or employees. Lastly, she thanked President Capilouto for his vision and his dedicated efforts to include the 5% increase for staff salaries in the 2013-14 budget.

Trustee Stuckert commended Eric Monday, Angela Martin and their staff for the preparation of the budget and Capital Plan materials. He praised and thanked President Capilouto for his vision and outstanding leadership.

Chair Brockman called the question and it passed without dissent. (See FCR 10 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

CC. University Health Care Committee

Trustee Barbara Young, chair of the University Health Care Committee, reported that the committee met on Monday, June 10 for a full day retreat. Due to the extensive nature of the report, it will be circulated via email to Board members on Wednesday, June 13. She summated that the retreat was quite productive with excellent guest speakers. She thanked Dr. Karpf and his staff for their time and energies involved on the retreat.

Dr. Karpf appreciated the support and the turnout. He noted that times of difficulties and uncertainties are also times of opportunities. UK HealthCare is ambitious and will keep working hard to continue its forward momentum.

Trustee Sachatello praised the inclusion of consultants and strongly suggested they be invited back in six months to address the full Board.

Trustee Shoop thanked Dr. Karpf and his staff for the budget review sessions. He appreciated the time and effort it takes to keep the Board informed.

DD. Investment Committee Report

Trustee Gatton reported that the Investment Committee met the previous day to review performance results and conduct other business. The Endowment had a net market value of \$984.8 million as of April 30, 2013. For the ten months ended April 30, the Endowment pool has returned 13.4% due to strong equity market returns during the period. The Endowment pool outperformed the policy benchmark during the ten-month period by 138 basis points, due to strong performance by the international equity, fixed income, and absolute return managers.

The Investment Committee approved changes to the endowment asset allocation with the objective of achieving an average annual return of 7.5% over the long term, defined as 10 or more years. The changes, which are necessary due to the lower return environment, include reducing long-only equity and fixed income allocations, increasing private equity and real estate and implementing new global tactical multi-asset and long-biased long/short equity strategies.

Trustee Gatton recognized Assistant Treasurer Susan Krauss and Treasurer Angie Martin for doing an outstanding job in assisting the Committee.

EE. Student Affairs Committee

Trustee Pamela T. May, chair of the Student Affairs Committee, commented that one of her goals this past year with the Student Affairs Committee was to revisit and become more familiar with key areas of interest/investment that the Board has heard about for the past few years. At this meeting, the Committee heard about Living Learning Programs and UK Core.

Living Learning programs have been on campus since 1989. UK currently has 14 programs in areas such as honors, health care, wellness, first generation students, engineering, and many other areas. These are groups that live together in one dormitory or in one area of a dorm and provides for faculty interaction that are dedicated to that particular specialty. Students living in these programs have higher retention rates and grade point averages. Because of these successes, UK's goal is to have 80 percent of its students in a Living Learning community within five years. Trustee May pointed out that the new dormitory projects, with their Active Learning Spaces, are being designed to support these initiatives.

UK Core was the reform of the existing general education program. Trustee May shared that the vision of the reform was to support and nurture critical thinking, writing, reasoning, ethics, and global understanding; to create a better citizen. Two years into the new curriculum, University faculty have revamped and resubmitted over 200 courses for approval. As proof of their UK's creativity and ingenuity, UK Core was featured in an article in the Chronicle on

Higher Education.

Trustee Bilas shared that over 20,000 University Creed cards were printed and would be distributed to freshman. This year, Student Government Association took on improving safety, with an emphasis on late-night transportation. This will continue into next year's administration with President-elect Roshan Palli. Mr. Palli and Vice-President-elect Jacob Ingram will also work on establishing a memorial for students, faculty, staff, and alumni and continue with efforts in student safety, student representation and the further development of existing programs.

FF. University Relations Committee

Trustee Curris reported that the University Relations Committee met and heard from College of Agriculture Dean M. Scott Smith, regarding the public relations and marketing strategies of the University of Kentucky Extension Centers.

Trustee Curris stated that the University of Kentucky Extension Service has been in existence since 1914 and will have its 100<sup>th</sup> anniversary this next year. The importance of the UK Extension Service was really appreciated by the Board as the University underwent the search process for UK's 12th President in 2011. The University Tour of the Commonwealth allowed many Kentuckians to attend these informational sessions and there was a resounding theme; since the community colleges were removed from the University of Kentucky leadership and oversight, the extension Centers were their only link with UK.

The Extension Service has 120 storefronts (one in each county) with over 400 agents. These agents are locally connected, well-trained UK professionals. The extension offices are collaboratively funded by a combination of mandated state appropriations, federal (United States Department of Agriculture) appropriations, grants and contracts, and county and local revenues.

With over seven million contacts per year, extension-based education and programming are valuable tools. The impact ranges from increased farmer's profits, to the incorporation of new or additional conservation practices, the adoption of safe water practices, and families reporting eating healthier foods. Better utilization of information technology (iPads in the field) can help farmers find answers quickly by sending a picture to one of UK's specialist. Most often within minutes or hours, a specialist can diagnose a disease and provide information back to the farmer on what type of care or kinds of services might be needed.

Another of the major outreach programs of UK Extension is the 4-H program. Over 230,000 young people per year participate in this program. A highlight is the 4-H Teen Conference held every summer on the UK Campus for hundreds of the students, age 9-14.

Trustee Curris stated that the remainder of her report would be circulated, but these few highlights show how even 100 years later, the Extension Service programs are relevant and greatly valued.

GG: Resolutions

Chair Brockman asked Trustee Holland to read the first resolution honoring and commending:

**STEPHEN A. BILAS**

for his service to the UK Board of Trustees, his fellow students,  
and his University.

**WHEREAS**, Stephen A. Bilas, Student Body President and member of the Board of Trustees, has admirably and tirelessly served the University of Kentucky with caring and determination; and,

**WHEREAS**, he was a member of the Board's Student Affairs and University Relations committees; and,

**WHEREAS**, Mr. Bilas held several leadership positions on campus, including: President of the Gatton Ambassador Program for the Gatton College of Business and Economics, offices within his fraternity – Sigma Chi, and as a member of the Corporate Relations and Technology committees for UK's student-run philanthropy, DanceBlue; and,

**WHEREAS**, he has participated in numerous other charitable and service organizations including Habitat for Humanity, Violence Intervention Training, the Ronald McDonald House, the Catholic Action Center, the Red Cross Food Drive, the Huntsman Cancer Research Foundation, the Golden Arrow Christmas Toy Drive, Adopt-a-Family, and Project Brightside; and,

**WHEREAS**, he has served as a peer instructor for UK 101, helping to introduce his fellow students to life at the University; and,

**WHEREAS**, he has successfully worked to greatly expand internship opportunities in government for his fellow UK students in both Frankfort and Washington, D.C.; and,

**WHEREAS**, he himself has represented the University of Kentucky in exemplary fashion during a pair of internships with General Electric; and,

**WHEREAS**, this Dean's List student recently graduated with a double major in Finance and Management and will soon begin working full time for Whirlpool Corporation; and,

**WHEREAS**, he constantly demonstrates a passion for making UK a better place;

**NOW THEREFORE BE IT RESOLVED**, that the University of Kentucky Board of Trustees does hereby adopt this resolution on June 11, 2013 and

expresses, on behalf of the University community, our individual and collective gratitude to Stephen A. Bilas for his exemplary service and wishes him well in all of his future endeavors, and orders that this resolution be made part of the minutes of the meeting.

Trustee Bilas' service was recognized with a round of applause. Trustee Bilas stated it had been a pleasure and privilege to serve on the Board and he will enjoy visiting the campus in the years ahead to see the changes to the University.

Trustee Curris read the resolution honoring and commending

**PAMELA T. MAY**

for her service to the UK Board of Trustees, her University, and the Commonwealth of Kentucky.

**WHEREAS**, Pamela T. May, a proud alumnus of the UK College of Law and member of the Board of Trustees, has served the University of Kentucky with dedication and zeal; and,

**WHEREAS**, she currently serving her second term as Vice Chair of the Board and previously served as Secretary from 2005 - 2011; and,

**WHEREAS**, she chairs the Student Affairs Committee, and is a member of the Finance and Human Resources committees; and,

**WHEREAS**, she previously served on the Board's Executive Committee, Investment Committee, Nominating Committee, and is a former member and chair of the University Relations Committee; and,

**WHEREAS**, she earned state champion honors in debate as well as extemporaneous speaking while a student at Madisonville North Hopkins High School; and,

**WHEREAS**, she has practiced law since 1978 and for the past 20 years has had her own law firm in Pikeville; and,

**WHEREAS**, she is admitted to practice before the U.S. Supreme Court, the U.S. Court of Appeals Sixth Circuit, and the U.S. District Court, Eastern and Western Districts of Kentucky; and,

**WHEREAS**, she is past president of the Pike County Bar Association and is a member of the Kentucky Bar Association and the American Bar Association; and,

**WHEREAS**, she has been a member of the Physician/Attorney Liaison Committee of the Kentucky Medical Society and the Steering Committee for the Healthcare section of the Kentucky Bar Association; and,

**WHEREAS**, she has served as president of the Big Sandy Area Child Advocacy Center; and,

**WHEREAS**, for the last 35 years she has worked tirelessly in her community and across the Commonwealth to improve the quality of life in Pikeville, Eastern Kentucky, and throughout the state;

**NOW THEREFORE BE IT RESOLVED**, that the University of Kentucky Board of Trustees does hereby adopt this resolution on June 11, 2013 and expresses, on behalf of the University community, our individual and collective gratitude to Pamela T. May for her exemplary service and wishes her well in all of her future endeavors, and orders that this resolution be made part of the minutes of the meeting.

Trustee May's service was recognized with a round of applause. Trustee May thanked current and past members of the Board and the administration for their patience and tutelage of the inner workings of higher education. She was proud to represent Kentucky for the last twelve years and thanked the Board for their friendship, encouragement, and the opportunities to "agree and disagree." She hoped the University had benefitted from her service.

Trustee C. Frank Shoop read the resolution honoring and commending

**BILLY JOE MILES**

for his service to the UK Board of Trustees, his University, his community, and his Commonwealth.

**WHEREAS**, Billy Joe Miles, member of the Board of Trustees, has served the University of Kentucky with a strong commitment and dedicated spirit; and,

**WHEREAS**, he currently is a member of the Board's Audit Subcommittee, Nominating Committee, and Student Affairs Committee; and,

**WHEREAS**, he previously served as Chairman of the Board and Executive Committee from 1999-2002, and later as Chair Pro Tem from July to September 2010; and,

**WHEREAS**, he also has been a member of the Board's Academic Affairs Committee, Finance Committee, Human Resources Committee, Investment Committee, and University Relations Committee; and,

**WHEREAS**, he has long been recognized as a state and national leader in agriculture; and,

**WHEREAS**, he is chair of the National Fertilizer Retailers Council and a member of the National Fertilizer Association Executive Committee; and,

**WHEREAS**, he is a member of the Governor's Task Force on Agriculture, the Governor's Task Force on Efficiency, and the Kentucky Environmental Protective Association in the Classroom; and,

**WHEREAS**, he has served as president of the Kentucky Fertilizer and Agricultural Chemical Association and the Kentucky Soil Conservation District; and,

**WHEREAS**, he is president of Miles Farm Supply Inc. and Marathon Fuels, is the owner of Miles LP Gas Inc., and serves as an officer for Miles Farms Inc.; and,

**WHEREAS**, he is a past president of the Daviess County Farm Bureau and served for 20 years on the Owensboro-Daviess County Planning and Zoning Commission; and,

**WHEREAS**, he is a board member and past president of Central Bank and Trust Company; and,

**WHEREAS**, he is a former owner of the cable television network, TNT Inc.;

**NOW THEREFORE BE IT RESOLVED**, that the University of Kentucky Board of Trustees does hereby adopt this resolution on June 11, 2013 and expresses, on behalf of the University community, our individual and collective gratitude to Billy Joe Miles for his exemplary service and wishes him well in all of his future endeavors, and orders that this resolution be made part of the minutes of the meeting.

Trustee Miles' service was recognized with a round of applause. Trustee Miles shared a brief history regarding his appointment(s) to the Board. He was amazed that eighteen years had "passed in a minute" and he thanked his fellow Board members for making it such an enjoyable journey.

Trustee Gannon read the resolution honoring and commending

**CHARLES R. SACHATELLO, M.D.**

for his service to the UK Board of Trustees, his University, and the medical community.

**WHEREAS**, Charles R. Sachatello, M.D., member of the Board of Trustees, has served the University of Kentucky with enthusiasm and devotion; and,

**WHEREAS**, he currently is a member of the University Health Care Committee and the Student Affairs Committee, and also has served on the Academic Affairs and University Relations committees; and,

**WHEREAS**, he previously was a professor of surgery at the UK Chandler Medical Center as a general and vascular surgeon for 15 years; and,

**WHEREAS**, during his tenure as a UK faculty member he established the Groves Memorial Research and Education Fund, which sponsors an annual medical student lecture series; and,

**WHEREAS**, he also established the Charles R. Sachatello Medical Library Endowment; and,

**WHEREAS**, he is a Phi Beta Kappa graduate of Yale University and Yale Medical School; and,

**WHEREAS**, he took his surgical training in general, vascular, and thoracic surgery at Vanderbilt University, and holds board certification in both general and thoracic surgery; and,

**WHEREAS**, he holds membership in numerous surgical societies and is a Fellow of the American College of Surgeons; and,

**WHEREAS**, he is the founder and former president of the Bluegrass Surgical Group, PSC, which later merged with other groups to form the United Surgical Group PSC, one of the largest private practice surgical groups in America; and,

**WHEREAS**, he developed the Arrow Diagnostic Peritoneal Kit designed to diagnose intra-abdominal bleeding prior to the invention of the CAT scan, and which is still used worldwide today; and,

**WHEREAS**, he received a patent on a SutureCut Needle Holder™ designed to facilitate suturing wounds and incisions;

**NOW THEREFORE BE IT RESOLVED**, that the University of Kentucky Board of Trustees does hereby adopt this resolution on June 11, 2013 and expresses, on behalf of the University community, our individual and collective gratitude to Dr. Charles R. Sachatello for his exemplary service and wishes him well in his future endeavors, and orders that this resolution be made part of the minutes of the meeting.

Trustee Sachatello's service was recognized with a round of applause. Trustee Sachatello stated it had been a distinct pleasure to serve on the Board. He shared a few memories of Governor Chandler, Coach Rupp and Coach Hall. He was proud of the

medical education provided by the College of Medicine and of the medical care rendered by the University.

KK. Meeting Adjourned

Hearing no further business, Chair Brockman declared the meeting adjourned at 3:08 p.m.

Respectfully submitted,



Sheila Brothers  
Secretary, Board of Trustees

(ECR 1, PR 1, 2, 3, 4, 5, and 6, AACR 1, 2, 3, 4 and 5, FCR 1, 2, 3, 4, 5, 6, 7, 8, 9, and 10 are official parts of the Minutes of the meeting)

# ECR 1

Chairman, Board of Trustees  
June 11, 2013

Members, Board of Trustees:

PROPOSED REVISION TO GOVERNING REGULATIONS:  
EXECUTIVE COMMITTEE

Recommendation: that the Board of Trustees approve the attached revision to Governing Regulation II, which was received for preliminary consideration as ECR 1 on May 14, 2013. This revision relates to the duties of the Board of Trustees Executive Committee to address concerns regarding process, compliance, and accountability.

Background: The revision is intended to make explicit the Board's continuing interest in and attention to the important issues of good governance, accountability, and ethics. The Executive Committee will serve in an advisory capacity to the President on these issues.

A revised copy of GR II is attached. The proposed addition is underlined.

The Executive Committee of the Board and the President support this revision. In accordance with Governing Regulation XIII, the President, the University Senate, the Staff Senate, and the Student Government Association, have had an opportunity to recommend on this proposed revision.

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Action taken:    ☒ Approved    ☐ Disapproved    ☐ Other \_\_\_\_\_



UNIVERSITY OF  
**KENTUCKY**  
Regulations

**Governing Regulation, Part II**

Responsible Office: Board of Trustees

Effective: 1/29/2013

Supersedes Version: 6/19/2012

## Governance of the University of Kentucky

### Major Topics

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- A. [Introduction](#)
- B. [Board of Trustees - Membership](#)
  - 1. [Definition](#)
  - 2. [Membership](#)
    - (a) [Appointed Members](#)
    - (b) [Elected Members](#)
    - (c) [Non-voting Members](#)
    - (d) [Removal of Members](#)
  - 3. [Officers](#)
- C. [Meetings of the Board of Trustees](#)
- D. [Conflicts of Interest of Board Membership](#)
- E. [Standing and Special Committees](#)
  - 1. [Standing Committee General Rules](#)
  - 2. [Standing Committees](#)
    - (a) [Executive Committee](#)
    - (b) [Academic Affairs Committee](#)
    - (c) [Finance Committee and Audit Subcommittee](#)
    - (d) [Human Resources Committee](#)
    - (e) [Investment Committee](#)
    - (f) [Nominating Committee](#)
    - (g) [Student Affairs Committee](#)
    - (h) [University Athletics Committee](#)
    - (i) [University Health Care Committee](#)
    - (j) [University Relations Committee](#)
  - 3. [Special Committees](#)
- F. [Powers and Responsibilities of the Board of Trustees](#)
- G. [Petitions to Address the Board of Trustees](#)

No member of the Board of Trustees may be held to be a public officer by reason of membership on the Board except as provided in KRS 45A.335 for the purpose of KRS 45A.340. (KRS 164.150)

## E. Standing and Special Committees

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The purpose of standing and special committees is to assist the Board of Trustees in its oversight role by gathering information, discussing, and providing advice on policy proposals prior to their coming before the entire Board of Trustees. Members of the University community and the general public may attend any committee meeting. An individual desiring to address a particular committee or otherwise participate in a committee meeting should contact the committee chair.

### 1. Standing Committee General Rules

- (a) Unless otherwise provided in this Governing Regulation, membership of standing committees shall include at least five (5) members of the Board of Trustees including the committee chair.
- (b) Unless otherwise provided in this Governing Regulation, committee members shall be appointed by the Chair of the Board of Trustees.
- (c) Unless otherwise provided in this Governing Regulation, the Chair of the Board of Trustees shall select the Chair for each committee from the Trustee members.
- (d) Board of Trustee members may be reappointed to a committee for consecutive terms.
- (e) Any vacancy occurring before the expiration of the term of the appointment shall be filled for the unexpired term.
- (f) A quorum of the Committee members must be present in order to conduct business.

### 2. Standing Committees

#### (a) Executive Committee

The Board of Trustees annually elects an Executive Committee of five (5) members that has the powers that the Board of Trustees delegates to it as prescribed in KRS 164.190. This election shall be held at the first fall meeting of the Board of Trustees. Vacancies may be filled at any meeting of the Board of Trustees. The Chair of the Board of Trustees shall be one (1) of the five (5) members and shall also serve as Chair of the Executive Committee. In general, the Executive Committee exercises oversight of the financial and business interests of the University and possesses the same powers as the Board of Trustees during the periods between meetings of the full Board of Trustees.

Meetings may be held as necessary at the call of the Chair. The Secretary of the Board of Trustees is the *ex officio* Secretary of the Executive Committee. The President may attend all meetings.

The Executive Committee is required to submit to the Board of Trustees at each meeting, for the latter's consideration and approval, a complete record of the proceedings of the Executive Committee. The authority of the Board of Trustees to amend the action of the Executive Committee does not extend to the rejection of any valid or authenticated expenditure of money by the Executive Committee (KRS 164.190). The Executive Committee shall serve as a hearing panel in the event of a faculty member, staff employee, or student appeal coming to the Board of Trustees.

The Executive Committee shall serve as the performance review committee for the President. The Executive Committee shall involve the entire Board of Trustees in this evaluation and shall also

solicit input from the executive committees or executive councils of the University Senate, Staff Senate, and Student Government Association.

The Executive Committee shall serve in an advisory capacity to the President on regulations and policies, institutional compliance, and accountability.

(b) Academic Affairs Committee

This Committee:

- (i) Reviews recommendations on policy matters pertaining to the academic mission of the University in instruction, research, and public service as well as to policy matters concerning academic freedom, tenure, and shared governance;
- (ii) Reviews academic program proposals from the President to ensure that a) the academic programs are consistent with the University's mission; b) resources are available to achieve academic priorities as set forth in the strategic plan; c) academic programs are appropriate for its student needs; and d) the University has a system in place for assessing the effectiveness of its academic programs;
- (iii) Reviews new program and degree-program closure proposals and changes in the academic units or in the academic organization to ensure these proposals or changes will effectively carry out the academic mission;
- (iv) Reviews the list of candidates for academic degrees approved by the faculty of the University through the University Senate for submission to the Board of Trustees; and
- (v) Provides needed information to the Board of Trustees.

(c) Finance Committee and Audit Subcommittee

This committee ensures the financial stability and long-term economic health of the University by monitoring the financial operations of the University and its affiliated corporations and making appropriate recommendations to the Board of Trustees to ensure achievement of the University's mission. Consistent with KRS 164A.550 through 164A.630, the committee maintains oversight responsibility for the financial management of the University in the areas of accounting, auditing, payroll, purchasing, capital construction, real property, and affiliated corporations. The Committee shall oversee the budgets of the University by reviewing and recommending to the Board of Trustees on the annual operating budgets. The Committee shall ensure that accurate and complete financial records are maintained by reviewing and recommending to the Board of Trustees on annual financial reports and related recommendations from the Audit Subcommittee, interim financial reports, long-term debt obligations, gifts to the University of \$400,000 or more, capital projects of \$600,000 or more, leases over \$200,000 per year, disposition of property, sale of assets, and financial transactions not provided for in the annual operating budget.

The Audit Subcommittee recommends to the Finance Committee on the appointment of the external auditors, receives and reviews the annual reports from the external auditors, and makes recommendations to the Finance Committee concerning these reports.

(d) Human Resources Committee

This committee reviews recommendations to the Board of Trustees regarding policies pertaining to employee benefits, rights, and privileges requiring Board of Trustees approval.

(e) Investment Committee

# PR 1

Office of the President  
June 11, 2013

1. Workgroup Studies Student Health and Safety Related to Students' Alcohol Use

A broad-based workgroup to examine and make recommendations on issues regarding student health and safety, including UK's current policy prohibiting alcohol from campus, has been formed. Some neighborhood groups and representatives have asked the university to re-examine the institution's alcohol policy. The workgroup will be co-chaired by Robert Mock, UK's vice president for student affairs, and Eric Monday, the university's executive vice president for finance and administration. Representatives from the city include Lexington Fayette County Councilwoman Diane Lawless and Commissioner of Planning Derek Paulsen, South Hill neighborhood leader Lee Thomas, representatives from Bluegrass Community and Technical College system, as well as UK representatives of the police, SGA, counseling center, faculty and other campus administrators. The workgroup will assess individual and environmental factors contributing to misuse of alcohol by students on and off campus, assess current campus policies designed to promote the responsible use of alcohol and prevent its abuse, review and evaluate new evidence and best practices to prevent alcohol abuse, and provide summary evaluations about alternative strategies designed to prevent alcohol abuse and mechanisms to assess the effectiveness of those strategies.

2. The Gatton College Named Among Top 10 Most Popular Business Schools

The University of Kentucky Gatton College of Business and Economics was recently named among the top 10 most popular business schools by U.S. News and World Report. The ranking is based off a school's 'yield' of students – the percentage of accepted students that enroll. In 2012, the Gatton College enrolled more than 74 percent of accepted students, placing it sixth out of 448 schools surveyed and ahead of Ohio State, Pepperdine and the University of Tennessee.

3. New Structure Defined for Commercialization and Economic Development

An administrative structure for the units previously operating under the Office of Commercialization and Economic Development has been announced. In streamlining the administrative structure last year, the position of vice president for commercialization and economic development was eliminated. Chaired by Vice President for Research Jim Tracy, a workgroup evaluated the areas of intellectual property, commercialization and economic development with the charge to create an administrative structure and reporting lines to focus these efforts and enhance them. The steps taken include: Coldstream Research Campus reporting to executive vice president for finance and administration, centers for intellectual property incubation and commercialization will report to vice president for research and key initiatives and programs that help foster entrepreneurial efforts and small business development will report to the Gatton College of Business and Economics and to the College of Agriculture.

#### 4. College Hosted Summit with Shanghai University and UK Graduate Students

UK American Studies Program in the College of Arts and Sciences hosted a summit for students from Shanghai University on April 22. Funded by a grant from the Public Affairs Section of the U.S. Embassy in Beijing, and pioneered through a joint-venture agreement between SHU and UK, Shanghai University's American Cultural Center began operation in 2011. UK's primary contribution involves providing a perspective of the American South and Appalachia through symposia, lectures and other events, such as April's event. The Shanghai student summit involved 18 graduate students, 10 from SHU and eight from UK.

#### 5. MNRRS Brings Home National Recognition

UK College of Agriculture's chapter of Minorities in Agriculture, Natural Resources and Related Resources (MANRRS) recently received many honors at the organization's national conference in Sacramento, Calif., including regional Chapter of the Year and National Advisor of the Year, Quentin Tyler. The UK MANRRS group also brought home several individual awards in different competition categories, including first place impromptu speaking and third place graduate oral research, Erica Flores; first place impromptu speaking, first place written essay and third place public speaking all in the Jr. MANRRS category, Mackenzie Jones; and second place graduate poster research, Jessi Ghezi. UK MANRRS member Marquel Lett will be the new national undergraduate vice president, and Maurisha Ross will be the new national graduate president.

#### 6. HIP Event Marks Establishment of Student Chapter

The Von Allmen School of Accountancy, part of the Gatton College of Business and Economics, sponsored an Honesty, Integrity, and Professionalism (HIP) event in conjunction with the establishment of a student chapter of the Center for the Public Trust at UK on April 25 and 26.

#### 7. Pulmonary Rehabilitation Program Receives Certification

The UK HealthCare Pulmonary Rehabilitation Program has been certified by the American Association of Cardiovascular and Pulmonary Rehabilitation (AACVPR). The program was recognized for its commitment to improving the quality of life by enhancing standards of care.

#### 8. Blood and Marrow Transplant Program Receives Accreditation

The Markey Cancer Center's Blood and Marrow Transplant Program was recently granted reaccreditation from the Foundation for the Accreditation of Cellular Therapy (FACT). FACT is the only accrediting organization that addresses all quality aspects of cellular therapy treatments: clinical care, donor management, cell collection, cell processing, cell storage and banking, cell transportation, cell administration, cell selection, and cell release. FACT accreditation is also a factor in the ranking of "America's Best Hospitals," published by U.S. News and World Report.

9. First Scholars Program Graduates Its First Student

At May Commencement, Anthony Cadle was the first graduate of the UK First Scholars Program. He graduated in three years with a Bachelor of Arts in Economics with minors in history and business. The Dayton, Ky. native has been active during his time on campus in several activities including the Leadership Development Program and in his role as a football equipment manager for the men's varsity team.

10. New myUK App to Serve as Mobile Portal to University

UK Information Technology accepted first- and second-year student volunteers to help test a new "myUK" app, which will eventually serve as a mobile portal for the university. The app is currently in the beta stage of development. That means that it is ready for testing, but not ready to be officially released. The beta testers will put the app through its paces and give feedback to developers.

11. Dining App Provides Handy Nutrition Information

It took a team effort, but UK students now have access to nutrition information from UK Dining Services, thanks to the work of students from the College of Agriculture and the Department of Computer Science in the College of Engineering. Mallory Foster, a graduate student in the College of Agriculture's Department of Dietetics and Human Nutrition, and computer science students Keith Moyer, Rakesh Patel, Matt Seabold and Randy Luecke have developed UK Food, a free nutrition app for menu items offered at major on-campus eateries: Ovid's Cafe, Commons and Blazer.

12. College of Education Honors Teachers Who Made a Difference

The Teachers Who Made a Difference celebrated its 15th anniversary this year by honoring over 150 teachers; 1,900 educators have been recognized since the program's inception.

13. Kentucky Women Writers Conference Features Kiki Petrosino

Tickets are on sale for the 35th annual Kentucky Women Writers Conference, scheduled for September 20–21. The line-up for the literary event will feature Louisville poet Kiki Petrosino and other acclaimed writers from around the nation. The conference will also offer five new postgraduate scholarships to attend the event.

14. Undergraduate Research, Others Host Showcase for Undergraduate Scholars

The Office of Undergraduate Research, the Society for the Promotion of Undergraduate Research and the UK Student Government Association hosted the eighth annual Showcase for Undergraduate Scholars on April 24. Approximately 175 students representing nine colleges across campus contributed their original work to the event, including 173 poster presentations and five oral presentations.

15. 57 Student-Athletes Placed on the SEC Winter Sports Academic Honor Roll.

UK led the Southeastern Conference with 57 student-athletes on the SEC Winter Sports Academic Honor Roll. UK's honorees included five players from men's basketball, three from women's basketball, eight gymnasts, eight from the rifle team, 19 from men's swimming and 14 women's swimmers.

16. Gill Heart Institute Receives Achievement Award from American Heart Association

UK HealthCare's Gill Heart Institute is the first in Kentucky to receive the Get With The Guidelines–Resuscitation Silver Quality Achievement Award from the American Heart Association.

17. Faculty and Staff Awards and Achievements

The 2013 Provost's Outstanding Teaching Awards went to three tenured faculty members, two lecturers and six teaching assistants. The award recognizes faculty and graduate teaching assistants who demonstrate special dedication and outstanding performance in the classroom or laboratory. Tenured faculty winners are Chana Akins (Psychology), Judy Goldsmith (Computer Science), and Matthew Zook (Geography). Lecturer winners are Jeffrey Ashley Jr. (Electrical and Computer Engineering) and Kim Woodrum (Chemistry). Teaching Assistant winners are Christopher Grosh (Anthropology), Sema Gunturkun (Mathematics), Mary Clai Jones (English), Grace Martin (Hispanic Studies), Radu Paul Mihail (Computer Science) and Carrie Mott (Geography).

John Cody Birdwell (UK Bands) has been elected into the American Bandmasters Association, a select organization recognizing outstanding achievement by concert band conductors and composers.

Dr. Gregory Bix (Sanders-Brown Center on Aging and the UK departments of Anatomy and Neurobiology and Neurology) has received funding of \$224,416 from the National Institutes of Health, to further study of stroke recovery.

Six UK educators were named 2013 Provost's Distinguished Service Professors in April for a consistently high level of achievement in their contributions to their disciplines and the university. The term of appointment is three years, and each professor received \$10,000 per year, which may be used as a research or professional development fund, or as a salary stipend. Winners are: Daniel Breazeale (Philosophy); Edward T. Jennings (Martin School of Public Policy and Administration); Michael F. Potter (Entomology); Jurgen Rohr (Pharmacy); Sharon Walsh (Center on Drug and Alcohol Research); Heidi L. Weiss (Markey Cancer Center).

Heather Erwin (Kinesiology and Health Promotion) received the Helen M. Heitmann Curriculum and Instruction Young Scholar award from the National Association for Sport and Physical Education.

Edward D. Hall (Spinal Cord and Brain Injury Research Center) has received the Service Member Patriot Award sponsored by the Employer Support of The Guard and Reserve. The award recognizes supervisors and bosses nominated by an employee serving in the National Guard or Reserve for support provided directly to the nominator.

Dr. Reny de Leeuw (Orofacial Pain) at the UK College of Dentistry, graduated from the Hedwig van Ameringen Executive Leadership in Academic Medicine Program at Drexel University College of Medicine.

Zhenyu Li (Saha Cardiovascular Research Center) received a bridge grant to support critical blood disease research during current period of funding restrictions from the American Society of Hematology.

Charles Marshall (Physical Therapy) has been named the 2013 recipient of the UK College of Health Sciences Kingston Award for Excellence in Teaching.

The UK 2013 Ken Freedman Outstanding Advisors, David P. Moecher (Earth and Environmental Sciences) and George L. Scott, Jr. (Robinson Scholars Program) were recently recognized at the state level as well, through the National Academic Advising Association. Moecher was named the 2013 NACADA Region III Outstanding Faculty Advisor, and Scott was named the NACADA Region III Outstanding Academic Advisor.

Dean H. Dan O'Hair (College of Communication and Information) spoke at the Consortium of Social Science Associations congressional briefing on April 25.

Dean Scott Smith (College of Agriculture) received the William E. Lyons Award for Outstanding Service from UK's Martin School of Public Policy and Administration and the Department of Political Science.

Sidney W. Whiteheart (Molecular and Cellular Biochemistry) was awarded the 2013 Albert D. and Elizabeth H. Kirwan Memorial Prize, given each year to a faculty member in recognition of outstanding contributions to original research or scholarship.

Linda Kraus Worley (Modern and Classical Languages, Literatures and Cultures) was presented the Sturgill Award, given each year to a graduate faculty member who has provided outstanding contributions to graduate education at UK.

Dr. Juan Yepes (Division of Pediatric Dentistry) has been awarded the Samuel D. Harris Research and Policy Fellowship from the American Academy of Pediatric Dentistry.

#### 18. Student Awards and Achievements

Five University of Kentucky students have been awarded the Benjamin A. Gilman International Scholarship by the Institute of International Education to support their study abroad missions. The University of Kentucky students receiving the scholarships for the summer of 2013 are Alexis Amorese, who will use the scholarship to study in Indonesia; Cassandra Burns, who will use the scholarship to study in Costa Rica; Tammala King, who will use the scholarship to study in Ireland; Solomon King-White, who will use the scholarship to study in China; and Evan Willett, who will use the scholarship to study in Germany.

Several students took home honors, including wins in six categories, at the 2013 Mid-South Region of the National Association of Teachers of Singing competition held April 5-6 at Western Kentucky University. UK vocalists competed against singers from schools in Kentucky, Tennessee, Iowa, Georgia, North Carolina, Indiana, Arkansas and other areas. UK students taking honors at the competition were: vocal performance junior Gabrielle Barker placed third in the Upperclass Music Theater Division; music senior Phillip Bullock placed first in the Advanced Singers Division; vocal performance freshman Alyssa-Marie Detterich placed first in the Freshman Women, Classical Division and the Music Theater Underclass Division; doctoral candidate Thomas Gunther placed first in the Post Advanced Singers Division; vocal performance junior Evan Johnson placed first in the Junior Men, Classical Division and the Music Theater Upperclass Division; and vocal performance and arts administration

freshman Mary-Catherine Wright placed second in the Freshman Women, Classical Division and the Music Theater Underclass Division.

UK art studio senior Andrea Clark has been awarded a Windgate Fellowship by University of North Carolina at Asheville's Center for Craft, Creativity & Design, one of the largest awards offered nationally to art students considered the best and brightest emerging makers in the field of craft.

Five students and one recent graduate have been selected to receive government-funded National Science Foundation (NSF) Graduate Research Fellowships with more than \$100,000 to use toward research-based graduate degrees. UK's newest NSF fellows are: 2011 biology graduate Sean Michael Ehlman; doctoral candidate studying biology Paul Michael Hime; doctoral candidate studying molecular and cellular biochemistry Catherine "Katie" Nicole Kaminski; doctoral candidate studying chemical engineering Anastasia Marie Kruse; doctoral candidate studying mathematics Luis Sordo Vieira; and a graduating senior studying chemical engineering David Stephen Spencer. UK softball's senior infielder Kara Dill has received two honors recognizing her hard work off the field. She was named a member of the 2013 Southeastern Conference Community Service Team and recognized by the College Sports Information Directors of America as a Capitol One Academic All-District honoree.

Anil Erol, Holly Poore and Heidi Vollrath will conduct research that takes them across the globe this summer thanks to an Undergraduate Research Abroad Scholarship, awarded by Education Abroad and the Office of Undergraduate Research. The scholarships are the result of a new collaboration between EA and UGR to support experienced undergraduate researchers as they explore their academic interests abroad, with the support of their UK faculty mentors. Erol is a mechanical engineering student, who will complete his project, "Determination of Permeable & Thermal Dispersion in a Porus Medium," in Turkey with the support of Assistant Professor Haluk Karaca. Holly Poore, a psychology student, will complete her project, "Improving Learning from Text: Cognitive Factors in Knowledge Acquisition Through Reading," in the Netherlands with the support of Professor Richard Milich. Heidi Vollrath is a management and pre-vet student, who will complete her project, "Primates are All Brain and No Brawn: An Investigation into Tissue Trade-offs," in Spain with the support of Assistant Professor Magdalena Muchlinski.

Students from the Gatton College of Business and Economics, the Finance and Quantitative Methods Investment Research Challenge Team, earned first place in the regional competition of the Chartered Financial Analyst Institute Research Challenge. The Gatton team was one of only two squads in the field made up entirely of undergraduates. The students on the UK team are John Evers, a senior mathematics and finance major; Paul Gerwe, a senior finance and economics major; Daniel Nall, a senior math economics and mechanical engineering major; and Thomas Napier, a senior finance and accounting major. Chris Clifford, assistant professor in the Department of Finance and Quantitative Methods, serves as faculty advisor.

Two UK undergraduate students have received prestigious American Physiological Society (APS) Undergraduate Summer Research Fellowships to conduct their work this summer. Only 24 undergraduates nationwide were selected to work in laboratories of established APS investigators. Sophomore biology major Kyeong Ran (Rachel) Jang is working with John C. Gensel, UK assistant professor of physiology in the Spinal Cord and Brain Injury Research Center; and senior biology major Audra Stacy is working with Robin L. Cooper, UK associate professor of biology, and Zana Majeed, a faculty member at the University of Salahaddin in Iraq. Each student received \$4,000 to conduct their research and \$1,300 to travel to the APS annual Experimental Biology meeting next spring.

Six students won the Kentucky Chapter of the American Planning Association's (KAPA) Student Paper Award. Group members included Wesley Jetton, Bradley Baumgartner, Pilar Marite Desha, Eddie McCarthy, Zachary Nicholas, and John-Michael Spears.

The National Football Foundation and the College Hall of Fame announced that UK football seniors Craig McIntosh, Morgan Newton and Matt Smith have been named to the 2013 NFF Hampshire Honor Society, which is comprised of college football players from all divisions of play who maintained a cumulative 3.2 GPA or better throughout their college career.

Four UK students have been selected as recipients of Fulbright U.S. Student Program scholarships. They are among 1,900 U.S. citizens who will travel abroad for the 2013-2014 academic year through the prestigious program. In addition, one of UK's four winners, medical student Julianne Odetunde, received one of only five prestigious Fulbright-Fogarty awards that promote the expansion of research in public health and clinical research in resource-limited settings. Recipients of Fulbright grants are selected based on academic and leadership achievement. The recipients are: Robert Davis, a doctoral candidate in mathematics, who will do research in Finland; Nate Millington, a doctoral candidate in geography, who will do research in Brazil; Juliana Odetunde, a third year medical student, who will do research in Brazil; and Caitlin Wetsch, a graduate student in teaching world languages, who will teach in Germany.

The UK track and field team's Luis Orta and Chelsea Oswald have been named to the Southeastern Conference Community Service Team. Orta and Oswald were selected for men's and women's track and field and cross country.

Two Classics graduate students, Christie Pavey and William Little, who also participate in the Institute for Latin Studies at UK, were recently awarded fellowships to study abroad, in an aim to practice deciphering ancient and medieval scripts.

Stephen Polley, a third-year Pharm.D. student in the College of Pharmacy, has been selected as a 2013 recipient of the American Society of Health-system Pharmacists Student Leadership Award.

Kristina Rabarison, a doctoral candidate at the College of Public Health, has been accepted to the 2013 Class of the Centers for Disease Control and Prevention Steven M. Teutsch Prevention Effectiveness Fellowship.

Christopher Terry, a second-year pharmacy student, was accepted into the prestigious Mayo School of Health Sciences Summer Pharmacy Internship.

Ashley Scoby, a UK journalism sophomore, was selected as the student recipient of the 2013 David Dick "What a Great Story!" Storytelling Awards.

#### 19. Alumni Awards and Achievements

Steve Meilinger, a two-year first-team All-American who excelled at several positions on offense and defense for the Kentucky Wildcats, has been named to the College Football Hall of Fame by the National Football Foundation. Meilinger played at Kentucky from 1951-53 under Coach Paul "Bear" Bryant. Meilinger helped the Wildcats to a record of 20-10-3, including an 8-4 mark in 1951 that was capped by a Cotton Bowl win over Texas Christian.

University Press of Kentucky author James C. Nicholson, an alumnus and part-time history instructor at UK, has been named the recipient of the Kentucky Literary Award for his book "The Kentucky Derby: How the Run for the Roses Became America's Premier Sporting Event."

# PR 2A

Office of the President  
June 11, 2013

Members, Board of Trustees:

## APPOINTMENT OF PROVOST

Recommendation: that the Board of Trustees approve the appointment of Dr. Christine M. Riordan as Provost, effective before the start of the Fall Semester.

Background: In April 2012, Dr. Kumble Subbaswamy resigned as Provost to assume the position of Chancellor at the University of Massachusetts Amherst. President Capilouto announced Dean Tim Tracy of the College of Pharmacy would serve as Interim Provost until the position was filled. A search committee was appointed to conduct a national search and identify qualified persons for the position of Provost. The search committee considered a broad and deep pool of candidates, and three finalists were interviewed on campus by faculty, students, and staff.

Dr. Riordan currently serves as professor and dean of the Daniels College of Business at the University of Denver. She received a bachelor's degree (1987) from Georgia Institute of Technology, and a master's degree in business administration (1989) and doctoral degree in organizational behavior (1995) from Georgia State University.

Dr. Riordan has a national reputation as a leadership development and workplace diversity expert. Her research focuses on labor-force diversity issues, leadership development and effectiveness, and career success. Dr. Riordan consults regularly with corporations on strategic planning, leadership-development activities, diversity management and team performance. She also serves as a leadership coach for senior-level executives. She writes leadership articles for media such as *Huffington Post*, *CNBC*, *Wall Street Journal MarketWatch*, *CareerBuilder*, *Forbes*, *Politico*, and *Harvard Business Review*. She has also published 29 academic articles with over 2,200 citations of her research.

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Action taken:     ☒ Approved     ☐ Disapproved     ☐ Other \_\_\_\_\_

# PR 2B

Office of the President  
June 11, 2013

Members, Board of Trustees:

## PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions, and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII of the Governing Regulations of the university. These recommendations are transmitted to the Board by the appropriate provost/executive vice president through the president and have the president's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the president or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

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Action taken:    ☒ Approved    ☐ Disapproved    ☐ Other \_\_\_\_\_

**PRESIDENT**  
**AND**  
**EXECUTIVE VICE PRESIDENT**  
**FOR**  
**FINANCE AND ADMINISTRATION**

**I. BOARD ACTION**

**A. RETIREMENTS**

Vice President for Facilities Management

Morton, Alberta L., Custodial Worker I, Physical Plant Custodial Services, after 11 years and 8 months of consecutive service under AR 3.1 Section VIII.B, effective 7/26/13.

Executive Vice President for Finance and Administration

Thomas, Elizabeth A., Patient Relations Assistant I, Admitting and Registration Services, Human Resources, after 16 years of consecutive service under AR 3.1 Section VIII.B, effective 6/30/13.

**B. EARLY RETIREMENTS**

Executive Vice President for Finance and Administration

Mackin, Mary A., Laboratory Technician Senior, College of Agriculture, Human Resources, after 33 years and 2 months of consecutive service under AR 3.1 Section VIII.A, effective 6/1/13.

Vice President for Financial Operations and Treasurer

Chelf, Donna S., Administrative Support Associate II, University Budget Office, after 25 years and 9 months of consecutive service under AR 3.1 Section VIII.A, effective 7/5/13.

Vice President for Research

Sorrell, Susan A., Non-University/ASDS, Water Resources Institute, after 23 years and 7 months of consecutive service under AR 3.1 Section VIII.A, effective 6/30/13.

## **II. ADMINISTRATIVE ACTION**

### **A. APPOINTMENTS**

#### Vice President for Facilities Management

Armstrong, Timothy, Physical Plant Manager III, Campus Physical Plant, effective 4/18/13.

#### Athletics

Carry, Ian, Assistant Coach, Women's Soccer Assistant Coach, effective 5/1/13.

House, Jeffrey, Assistant Coach, Women's Basketball Assistant Coach, effective 5/1/13.

Scola, Joseph, Football Relations Director, effective 3/1/13.

## PROVOST

### **I. BOARD ACTION**

#### **A. ACADEMIC APPOINTMENTS**

Abu Jawdeh, Elie G., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Ashford, Eric J., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/13 through 6/30/14.

Barrett, Terrence A., College of Medicine, Professor (with tenure), Internal Medicine, effective 6/1/13.

Bleibel, Wissam, College of Medicine, Associate Professor (voluntary), Internal Medicine, 2/1/13 through 6/30/16.

Bradley, Bruce E., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/6/13 through 6/30/14.

Choucair, Ali K., College of Medicine, Professor (voluntary), Neurology, 4/1/13 through 6/30/16.

Culpepper, Christopher L., College of Medicine, Clinical Instructor, Surgery, 7/1/13 through 6/30/14.

Deroo, Andrew J., College of Medicine, Clinical Instructor, Surgery, 7/1/13 through 6/30/14.

Ferrell, Shelly C., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/13 through 6/30/14.

Forster, Derek W., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/15/13 through 6/30/14.

Frame, Steven R., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, 7/15/13 through 6/30/14.

Hobbs, Stephen B., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/13 through 6/30/14.

Huaman Joo, Moises A., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Kral, Lori C., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/13 through 6/30/14.

Krishna, Ashwin S., College of Medicine, Clinical Assistant Professor, Pediatrics, 8/1/13 through 6/30/14.

Leedy, Nicole E., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Legault, Randy W., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/13 through 6/30/14.

Lucas, Patrick L., College of Design, Associate Professor (with tenure), Interior Design, effective 7/1/13.

Mahan, Angela L., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/13 through 6/30/14.

Makhoul, Majd, College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Mann, Wesley B., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/13 through 6/30/14.

Martin, Craig A., College of Pharmacy, Clinical Associate Professor, Pharmacy Practice and Science, 7/1/12 through 6/30/13.

Maskey, Ashish P., College of Medicine, Clinical Assistant Professor, Internal Medicine, 8/1/13 through 6/30/14.

Maynard, Erin C., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/13 through 6/30/14.

McTigue, Sean M., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Meadows, Amy L., College of Medicine, Clinical Assistant Professor, Psychiatry, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Montgomery-Yates, Ashley A., College of Medicine, Clinical Assistant Professor, Internal Medicine, 10/15/13 through 6/30/14.

Nelson, Milton O., College of Medicine, Associate Professor (voluntary), Internal Medicine, 4/1/13 through 6/30/16.

Newcomb, Melissa R., College of Medicine, Clinical Assistant Professor, Surgery, 8/1/13 through 6/30/14.

Parasramka, Saurabh V., College of Medicine, Clinical Assistant Professor, Internal Medicine, 6/1/13 through 6/30/13.

Procter, Levi D., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/13 through 6/30/14.

Ragsdale, John W., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/15/13 through 6/30/14.

Ragsdale, Lindsay B., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/15/13 through 6/30/14.

Rayapati, Abner O., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/13 through 6/30/14.

Robinson, Megan M., College of Medicine, Clinical Assistant Professor, Internal Medicine, 8/1/13 through 6/30/14.

Salters, Charles R., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Shenoi, Asha N., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Smith, Jonathan H., College of Medicine, Clinical Assistant Professor, Neurology, 7/15/13 through 6/30/14.

Stowe, Christina J., College of Agriculture, Associate Professor (with tenure), Agricultural Economics, and joint appointment as Associate Professor (without tenure), Economics, College of Business and Economics, appointed to the Stanley Smith Dickson Professorship, 7/1/13 through 6/30/16.

Troy, James A., College of Medicine, Clinical Assistant Professor, Internal Medicine, 8/1/13 through 6/30/14.

Turner, Erwin G., College of Dentistry, Associate Professor\* (with tenure), Oral Health Science, effective 7/1/13.

Twist, Katherine E., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Vandsburger, Moriel H., College of Medicine, Assistant Professor, Physiology, 6/1/13 through 6/30/13.

West, Dava S., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, 7/15/13 through 6/30/14.

Wittkamp, Michael L., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/15/13 through 6/30/14.

Young, Thomas L., College of Medicine, Clinical Professor, Pediatrics, appointed to the James L. and Suzanne H. Elliott Professorship in Pediatrics, 7/1/13 through 6/30/15.

## **B. ADMINISTRATIVE APPOINTMENTS**

Anderson, Urton L., College of Business and Economics, appointed Director, Accountancy, 7/1/13 through 6/30/17.

Blandford, George E., College of Engineering, Professor (with tenure), Civil Engineering, reappointed Chair, Civil Engineering, 7/1/13 through 6/30/14.

Calvert, Kenneth L., College of Engineering, Professor (with tenure), Computer Science, reappointed Chair, Computer Science, 7/1/13 through 7/31/13.

Jackson, Vanessa P., College of Agriculture, Professor (with tenure), Merchandising, Apparel and Textiles, appointed Chair, Retailing and Tourism Management, 7/1/13 through 6/30/19.

Kalika, Douglass S., College of Engineering, Professor (with tenure), Chemical and Materials Engineering, reappointed Chair, Chemical and Materials Engineering, 7/1/13 through 6/30/17.

Look, Brandon, College of Arts and Sciences, Professor (with tenure), Philosophy, appointed Chair, Philosophy, 7/1/13 through 6/30/17.

Lucas, Patrick L., College of Design, Associate Professor (with tenure), Interior Design, appointed Director, Interior Design, 7/1/13 through 6/30/17.

Seales, William Brent, College of Engineering, Professor (with tenure), Computer Science, appointed Chair, Computer Science, 8/1/13 through 6/30/17.

Yanarella, Ernest, College of Arts and Sciences, Professor (with tenure), Political Science, reappointed Chair, Political Science, 7/1/13 through 6/30/15.

## **C. JOINT APPOINTMENTS**

Boral, Leonard I., College of Medicine, Clinical Professor, Pathology and Laboratory Medicine, joint appointment as Clinical Professor, Anesthesiology, 7/1/13 through 6/30/14.

Chappell, Joseph, College of Agriculture, Professor (with tenure), Plant and Soil Sciences, joint appointment as Professor (without tenure), Molecular and Cellular Biochemistry, College of Medicine, 7/1/13 through 6/30/14.

#### **D. REAPPOINTMENTS**

- Adams, Lumy S., College of Medicine, Associate Professor (without tenure), Physical Medicine and Rehabilitation, and joint appointment as Associate Professor (without tenure), Neurology, reappointed to the Cardinal Hill Rehabilitation Hospital Chair in Spinal Cord Injury Rehabilitation, effective 7/1/13.
- Ahmed, Sadiq, College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/13 through 6/30/14.
- Anthony, Lowell B., College of Medicine, Clinical Professor, Internal Medicine, 7/1/13 through 6/30/14.
- Attallah, Nizar M., College of Medicine, Associate Adjunct Professor, Internal Medicine, 7/1/13 through 6/30/14.
- Badgett, James T., College of Medicine, Professor (part-time), Pediatrics, 7/1/13 through 6/30/14.
- Bennett, Charles H., College of Medicine, Adjunct Professor, Physiology, 7/1/13 through 6/30/14.
- Bensalem Owen, Meriem K., College of Medicine, Clinical Associate Professor, Neurology, and joint appointment as Clinical Assistant Professor, Anatomy and Neurobiology, 7/1/13 through 6/30/14.
- Bernard, Philip A., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/13 through 6/30/14.
- Bezold, Louis I., College of Medicine, Associate Professor\* (with tenure), Pediatrics, reappointed to the Jennifer Gill Roberts Professorship in Pediatric Cardiology, 7/1/13 through 6/30/15.
- Boarman, Christopher A., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/13 through 6/30/14.
- Boral, Leonard I., College of Medicine, Clinical Professor, Pathology and Laboratory Medicine, 7/1/13 through 6/30/14.
- Bosch, Antonio, College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/13 through 6/30/14.
- Branch, Leslie B., College of Medicine, Professor (part-time), Internal Medicine, 7/1/13 through 6/30/14.
- Bright, Katherine L., College of Medicine, Professor (part-time), Pediatrics, 7/1/13 through 6/30/14.
- Brill, Yolanda M., College of Medicine, Clinical Associate Professor, Pathology and Laboratory Medicine, 7/1/13 through 6/30/14.
- Brooks, Michael A., College of Medicine, Clinical Associate Professor, Radiology, and joint appointment as Clinical Associate Professor, Internal Medicine, 7/1/13 through 6/30/14.
- Buch, Ketan P., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/13 through 6/30/14.
- Burgess, Don E., College of Medicine, Adjunct Professor, Physiology, 7/1/13 through 6/30/14.
- Byron, Raymond J., College of Dentistry, Associate Professor (part-time), Oral Health Practice, 7/1/13 through 6/30/14.

Cain, Jeffrey J., College of Pharmacy, Associate Adjunct Professor, Pharmacy Practice and Science, 7/1/13 through 6/30/14.

Campbell, Charles L., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/13 through 6/30/14.

Chasen, Craig A., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/13 through 6/30/14.

Chishti, Aftab S., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/13 through 6/30/14.

Chung, Misook L., College of Nursing, Associate Professor (without tenure), 7/1/13 through 6/30/14.

Collins, Robert W., College of Medicine, Clinical Associate Professor, Internal Medicine, and joint appointment as Clinical Associate Professor, Preventive Medicine and Environmental Health, College of Public Health, 7/1/13 through 6/30/14.

Cunningham, Melvin D., College of Medicine, Professor (part-time), Pediatrics, 7/1/13 through 6/30/14.

de Beer, Maria C., College of Medicine, Associate Research Professor, Physiology, 7/1/13 through 6/30/14.

Dillard, Robert P., College of Medicine, Professor (part-time), Pediatrics, 7/1/13 through 6/30/14.

DiSantis, David J., College of Medicine, Clinical Professor, Radiology, 7/1/13 through 6/30/14.

Duff, Don R., College of Medicine, Adjunct Professor, Internal Medicine, 7/1/13 through 6/30/14.

Elayi, Samy C., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/13 through 6/30/14.

Ellis, Jeffrey L., College of Medicine, Clinical Associate Professor, Pathology and Laboratory Medicine, 7/1/13 through 6/30/14.

Escott, Edward J., College of Medicine, Clinical Associate Professor, Radiology, 7/1/13 through 6/30/14.

Fee, Dominic B., College of Medicine, Clinical Associate Professor, Neurology, 7/1/13 through 6/30/14.

Feldman, Joel B., College of Fine Arts, Adjunct Professor, Art and Visual Studies, 7/1/13 through 6/30/14.

Flowers, Nancy C., College of Medicine, Professor (part-time), Internal Medicine, 7/1/13 through 6/30/14.

Gerson, Kenneth L., College of Medicine, Professor (part-time), Pediatrics, 7/1/13 through 6/30/14.

Gibson, Jacqueline S., College of Medicine, Clinical Professor, Internal Medicine, 7/1/13 through 6/30/14.

Gleason, John R., College of Medicine, Adjunct Professor, Internal Medicine, 7/1/13 through 6/30/14.

Goldstein, Steven J., College of Medicine, Professor (part-time), Radiology, 7/1/13 through 6/30/14.

Greenwood, Martha F., College of Medicine, Professor\* (with tenure), Pediatrics, reappointed to the Jennifer S. Quinn M.D. and Jeffry N. Quinn Professorship in Pediatric Oncology, 7/1/13 through 6/30/15.

Grigsby, Donna G., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/13 through 6/30/14.

Haskell, Bruce S., College of Dentistry, Professor (part-time), Oral Health Science, 7/1/13 through 6/30/14.

Haubenreich, James E., College of Dentistry, Associate Professor (part-time), Oral Health Practice, 7/1/13 through 6/30/14.

Hayslip, John W., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/13 through 6/30/14.

Henry, Robert G., College of Dentistry, Associate Professor (part-time), Oral Health Science, 7/1/13 through 6/30/14.

Hersh, Joseph H., College of Medicine, Associate Professor (part-time), Pediatrics, 7/1/13 through 6/30/14.

Hewett, Timothy E., College of Health Sciences, Adjunct Professor, Rehabilitation Sciences, 7/1/13 through 6/30/14.

Hillebrand, Evan E., Graduate School, Clinical Professor, Patterson School of Diplomacy and International Commerce, 7/1/13 through 6/30/14.

Horan, Leo G., College of Medicine, Professor (part-time), Internal Medicine, 7/1/13 through 6/30/14.

Hruby, George G., College of Education, Associate Research Professor, Curriculum and Instruction, 7/1/13 through 6/30/14.

Huff, Marlene B., College of Medicine, Clinical Professor, Pediatrics, 7/1/13 through 6/30/14.

Jones, Mikael, College of Pharmacy, Clinical Associate Professor, Pharmacy Practice and Science, and joint appointment as Clinical Assistant Professor, College of Nursing, 7/1/13 through 6/30/14.

Kaplan, Alan L., College of Dentistry, Associate Adjunct Professor, Oral Health Science, 7/1/13 through 6/30/14.

Karpf, Michael, College of Medicine, Clinical Professor, Internal Medicine, 7/1/13 through 6/30/14.

Kelly, Thomas H., College of Medicine, Professor (with tenure), Behavioral Science, and joint appointments as Professor (without tenure), Psychiatry and Professor (without tenure), Psychology, College of Arts and Sciences, reappointed to the Robert Straus Professorship in Behavioral Science, 7/1/13 through 6/30/18.

Kesler, Melissa V., College of Medicine, Clinical Associate Professor, Pathology and Laboratory Medicine, 7/1/13 through 6/30/14.

King, Joseph G., College of Medicine, Clinical Associate Professor, Radiology, 7/1/13 through 6/30/14.

Knight, Judson M., College of Dentistry, Associate Professor (part-time), Oral Health Science, 7/1/13 through 6/30/14.

Landers, Cheri D., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/13 through 6/30/14.

Lee, Jessica D., College of Medicine, Clinical Associate Professor, Neurology, 7/1/13 through 6/30/14.

Leukefeld, Carl G., College of Medicine, Professor (with tenure), Behavioral Science, and joint appointments as Professor (without tenure), Oral Health Science, College of Dentistry and Professor (without tenure), Psychiatry and Professor (without tenure), Health Behavior, College of Public Health and Professor (without tenure), College of Social Work, reappointed to the Bell Alcohol and Addictions Chair, effective 7/1/13.

Lofgren, Richard P., College of Medicine, Adjunct Professor, Internal Medicine, 7/1/13 through 6/30/14.

Maguire, Grace F., College of Medicine, Clinical Professor, Pediatrics, 7/1/13 through 6/30/14.

Massarweh, Suleiman A., College of Medicine, Clinical Associate Professor, Internal Medicine, 6/12/13 through 6/30/14.

Maul, Erich C., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/13 through 6/30/14.

Mawad, Hanna W., College of Medicine, Clinical Professor, Internal Medicine, 7/1/13 through 6/30/14.

McCarthy, John J., College of Medicine, Associate Research Professor, Physiology, 7/1/13 through 6/30/14.

McClure, Rick R., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/13 through 6/30/14.

McConnell, John W., College of Medicine, Adjunct Professor, Internal Medicine, 7/1/13 through 6/30/14.

McCormick, Malkanthie I., College of Medicine, Professor (part-time), Internal Medicine, 7/1/13 through 6/30/14.

McKeown, John W., College of Medicine, Clinical Professor, Internal Medicine, 7/1/13 through 6/30/14.

Merhar, Gary L., College of Medicine, Clinical Associate Professor, Radiology, 7/1/13 through 6/30/14.

Merrick, Joav, College of Medicine, Adjunct Professor, Pediatrics, 7/1/13 through 6/30/14.

Miller, Beth A., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/13 through 6/30/14.

Monohan, Gregory P., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/13 through 6/30/14.

Moscow, Jeffrey A., College of Medicine, Professor (with tenure), Pediatrics, and joint appointment as Professor (without tenure), Graduate Center for Toxicology, reappointed to the Children's Miracle Network Research Chair in Pediatrics, effective 7/1/13.

Nassar, Ramsey N., College of Medicine, Adjunct Professor, Internal Medicine, 7/1/13 through 6/30/14.

Pettigrew, Anjana L., College of Medicine, Clinical Associate Professor, Pathology and Laboratory Medicine, and joint appointments as Clinical Associate Professor, Obstetrics and Gynecology and Clinical Associate Professor, Pediatrics and Clinical Associate Professor, Neurology, 7/1/13 through 6/30/14.

Pittman, Anne M., College of Medicine, Associate Professor (part-time), Internal Medicine, 7/1/13 through 6/30/14.

Rabchevsky, Alexander G., College of Medicine, Associate Professor (with tenure), Physiology, reappointed to the Spinal Cord and Brain Injury Chair, effective 7/1/13.

Rippetoe Freeman, Patricia E., College of Pharmacy, Clinical Associate Professor, Pharmacy Practice and Science, 7/1/13 through 6/30/14.

Robertson, William C., College of Medicine, Clinical Professor, Neurology, and joint appointment as Clinical Professor, Pediatrics, 7/1/13 through 6/30/14.

Rolf, Cristin M., College of Medicine, Clinical Associate Professor, Pathology and Laboratory Medicine, 7/1/13 through 6/30/14.

Ryan, Stephen J., College of Medicine, Clinical Associate Professor, Neurology, 7/1/13 through 6/30/14.

Saatman, Kathryn E., College of Medicine, Professor (with tenure), Physiology, and joint appointment as Professor (without tenure), Neurosurgery, reappointed to the Spinal Cord and Brain Injury Chair, effective 7/1/13.

Samayoa, Luis M., College of Medicine, Clinical Associate Professor, Pathology and Laboratory Medicine, 7/1/13 through 6/30/14.

Saykaly, Ronald J., College of Medicine, Professor (part-time), Internal Medicine, 7/1/13 through 6/30/14.

Schikler, Kenneth N., College of Medicine, Professor (part-time), Pediatrics, 7/1/13 through 6/30/14.

Schneider, Douglas, College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/13 through 6/30/14.

Selby, Lisbeth A., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/13 through 6/30/14.

Shook, Lori A., College of Medicine, Clinical Professor, Pediatrics, 7/1/13 through 6/30/14.

Slevin, Barbara J., College of Medicine, Associate Adjunct Professor, Neurology, 7/1/13 through 6/30/14.

Snow, Diane M., College of Medicine, Professor (with tenure), Anatomy and Neurobiology, reappointed to the Spinal Cord and Brain Injury Chair, effective 7/1/13.

Stamm, Stefan, College of Medicine, Professor (with tenure), Molecular and Cellular Biochemistry, reappointed to the Jacqueline A. Noonan Professorship in Pediatrics, effective 7/1/13.

Steltenkamp, Carol L., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/13 through 6/30/14.

Stephan, Maria, College of Medicine, Associate Professor (part-time), Emergency Medicine, 7/1/13 through 6/30/14.

Stigers, Jenny L., College of Dentistry, Associate Professor (part-time), Oral Health Science, 7/1/13 through 6/30/14.

Stone, Daria N., College of Dentistry, Clinical Associate Professor, Oral Health Science, 7/1/13 through 6/30/14.

Sugarman, Jacqueline M., College of Medicine, Associate Professor (part-time), Pediatrics, 7/1/13 through 6/30/14.

Szabunio, Margaret M., College of Medicine, Clinical Professor, Radiology, and joint appointments as Clinical Professor, Surgery and Clinical Professor, Biomedical Engineering, College of Engineering, 7/1/13 through 6/30/14.

Toney, Dale E., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/13 through 6/30/14.

Treadwell, Patricia A., College of Medicine, Professor (part-time), Pediatrics, 7/1/13 through 6/30/14.

Underwood, William L., College of Medicine, Professor (part-time), Pediatrics, and joint appointment as Assistant Professor (part-time), Family and Community Medicine, 7/1/13 through 6/30/14.

van Horne, Craig G., College of Medicine, Clinical Associate Professor, Neurosurgery, and joint appointment as Clinical Associate Professor, Anatomy and Neurobiology, 7/1/13 through 6/30/14.

Veling, Maria C., College of Medicine, Associate Professor\* (without tenure), Otolaryngology - Head and Neck Surgery, and joint appointment as Associate Professor\* (without tenure), Pediatrics, 7/1/13 through 1/31/14.

Waid, Thomas H., College of Medicine, Clinical Professor, Internal Medicine, 7/1/13 through 6/30/14.

Wallace, Carmel, College of Medicine, Clinical Professor, Pediatrics, 7/1/13 through 6/30/14.

Wallace, Thomas E., College of Medicine, Clinical Professor, Internal Medicine, 7/1/13 through 6/30/14.

Weaver, Anthony D., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/13 through 6/30/14.

Weeks, Pamela L., College of Social Work, Clinical Associate Professor, 7/1/13 through 6/30/14.

Whayne, Thomas F., College of Medicine, Clinical Professor, Internal Medicine, 7/1/13 through 6/30/14.

Wilson, Dianne, College of Medicine, Clinical Associate Professor, Pathology and Laboratory Medicine, and joint appointment as Clinical Associate Professor, Neurosurgery, 7/1/13 through 6/30/14.

Woo, Danny, College of Medicine, Adjunct Professor, Internal Medicine, 7/1/13 through 6/30/14.

Xu, Fadi, College of Medicine, Adjunct Professor, Physiology, 7/1/13 through 6/30/14.

Yepes, Juan F., College of Dentistry, Associate Professor\* (without tenure), Oral Health Science, 7/1/13 through 6/30/14.

Young, Thomas L., College of Medicine, Clinical Professor, Pediatrics, 7/1/13 through 6/30/14.

Yurek, David M., College of Medicine, Research Professor, Neurosurgery, and joint appointment as Associate Research Professor, Anatomy and Neurobiology, 7/1/13 through 6/30/14.

Zaglul, Horacio F., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/13 through 6/30/14.

Zhang, Jie, College of Medicine, Clinical Associate Professor, Radiology, and joint appointment as Clinical Associate Professor, Clinical Sciences, College of Health Sciences, 7/1/13 through 6/30/14.

## **E. TENURE**

- Adams, Lumy S., College of Medicine, from Associate Professor (without tenure), Physical Medicine and Rehabilitation, and joint appointment as Associate Professor (without tenure), Neurology, to Associate Professor (with tenure), Physical Medicine and Rehabilitation, and joint appointment as Associate Professor (without tenure), Neurology, effective 7/1/13.
- Studts, Jamie L., College of Medicine, from Associate Professor (without tenure), Behavioral Science, and joint appointment as Associate Professor (without tenure), Psychology, College of Arts and Sciences, to Associate Professor (with tenure), Behavioral Science, and joint appointment as Associate Professor (without tenure), Psychology, College of Arts and Sciences, effective 4/1/13.
- Yeager, Kevin M., College of Arts and Sciences, from Associate Professor (without tenure), Earth and Environmental Sciences, to Associate Professor (with tenure), Earth and Environmental Sciences, effective 7/1/13.

## **F. PROMOTIONS**

- Allison, Jonathan, College of Arts and Sciences, from Associate Professor (with tenure), English, to Professor (with tenure), English, effective 7/1/13.
- Anstead, Michael I., College of Medicine, from Associate Professor\* (with tenure), Pediatrics, and joint appointment as Associate Professor\* (without tenure), Internal Medicine, to Professor\* (with tenure), Pediatrics, and joint appointment as Professor\* (without tenure), Internal Medicine, effective 7/1/13.
- Ashford, Kristin B., College of Nursing, from Assistant Professor, to Associate Professor (with tenure), effective 7/1/13.
- Baker, Michael S., College of Fine Arts, from Assistant Professor, Music, to Associate Professor (with tenure), Music, effective 7/1/13.
- Banks, Adam J., College of Arts and Sciences, from Associate Professor (with tenure), English, to Professor (with tenure), English, effective 7/1/13.
- Bartlett, Jennifer, Libraries, from Librarian III, to Librarian II (with tenure), effective 7/1/13.
- Barton, Christopher D., College of Agriculture, from Associate Professor (with tenure), Forestry, to Professor (with tenure), Forestry, effective 7/1/13.
- Batty, Clare E., College of Arts and Sciences, from Assistant Professor, Philosophy, to Associate Professor (with tenure), Philosophy, effective 7/1/13.
- Bennett, Jeffrey S., College of Medicine, from Clinical Assistant Professor, Pediatrics, to Clinical Associate Professor, Pediatrics, 7/1/13 through 6/30/14.
- Bing, Guoying, College of Medicine, from Associate Professor (with tenure), Anatomy and Neurobiology, to Professor (with tenure), Anatomy and Neurobiology, effective 7/1/13.
- Bottge, Karen M., College of Fine Arts, from Assistant Professor, Music, to Associate Professor (with tenure), Music, effective 7/1/13.
- Brown, Alan V., College of Arts and Sciences, from Assistant Professor, Hispanic Studies, to Associate Professor (with tenure), Hispanic Studies, effective 7/1/13.

Browne-Ferrigno, Tricia, College of Education, from Associate Professor (with tenure), Educational Leadership Studies, to Professor (with tenure), Educational Leadership Studies, effective 7/1/13.

Burkhart, Patricia V., College of Nursing, from Associate Professor\* (with tenure), to Professor\* (with tenure), effective 1/1/13.

Bush, Heather M., College of Public Health, from Assistant Professor, Biostatistics, to Associate Professor (with tenure), Biostatistics, effective 7/1/13.

Butler, Karen M., College of Nursing, from Assistant Professor\*, to Associate Professor\* (with tenure), effective 7/1/13.

Camargo, Fernanda C., College of Agriculture, from Assistant Extension Professor, Animal and Food Sciences, to Associate Extension Professor (with tenure), Animal and Food Sciences, effective 7/1/13.

Castillo, Moises R., College of Arts and Sciences, from Assistant Professor, Hispanic Studies, to Associate Professor (with tenure), Hispanic Studies, effective 7/1/13.

Castro, Maria G., College of Medicine, from Clinical Assistant Professor, Family and Community Medicine, to Clinical Associate Professor, Family and Community Medicine, 7/1/13 through 6/30/14.

Chamberlin, Paul T., College of Arts and Sciences, from Assistant Professor, History, to Associate Professor (with tenure), History, effective 7/1/13.

Charnigo, Richard, College of Public Health, from Associate Professor (with tenure), Biostatistics, and joint appointment as Associate Professor (without tenure), Statistics, College of Arts and Sciences, to Professor (with tenure), Biostatistics, and joint appointment as Professor (without tenure), Statistics, College of Arts and Sciences, effective 7/1/13.

Chau, Destiny F., College of Medicine, from Clinical Assistant Professor, Anesthesiology, to Clinical Associate Professor, Anesthesiology, 7/1/13 through 6/30/14.

Clay, Angelique, College of Fine Arts, from Assistant Professor\*, Music, to Associate Professor\* (with tenure), Music, effective 7/1/13.

Crawford, Christopher B., College of Arts and Sciences, from Assistant Professor, Physics and Astronomy, to Associate Professor (with tenure), Physics and Astronomy, effective 7/1/13.

D'Orazio, Sarah E., College of Medicine, from Assistant Professor, Microbiology, Immunology and Molecular Genetics, to Associate Professor (with tenure), Microbiology, Immunology and Molecular Genetics, effective 7/1/13.

Desai, Sameer M., College of Medicine, from Clinical Assistant Professor, Emergency Medicine, to Clinical Associate Professor, Emergency Medicine, 7/1/13 through 6/30/14.

DeWall, C. N., College of Arts and Sciences, from Associate Professor (with tenure), Psychology, to Professor (with tenure), Psychology, effective 7/1/13.

Dinkins, Randy D., College of Agriculture, from Assistant Adjunct Professor, Plant and Soil Sciences, to Associate Adjunct Professor, Plant and Soil Sciences, 7/1/13 through 6/30/14.

Fatemi, Renee H., College of Arts and Sciences, from Assistant Professor, Physics and Astronomy, to Associate Professor (with tenure), Physics and Astronomy, effective 7/1/13.

Feola, David J., College of Pharmacy, from Assistant Professor\*, Pharmacy Practice and Science, and joint appointment as Assistant Professor\*, Internal Medicine, College of Medicine, to Associate Professor\* (with tenure), Pharmacy Practice and Science, effective 7/1/13, and joint appointment as Assistant Professor\*, Internal Medicine, College of Medicine, 7/1/13 through 6/30/14.

Fernheimer, Janice W., College of Arts and Sciences, from Assistant Professor, English, to Associate Professor (with tenure), English, effective 7/1/13.

Foster-Harper, Susan, Libraries, from Librarian III, to Librarian II (with tenure), effective 7/1/13.

Gal, Thomas J., College of Medicine, from Associate Professor\* (with tenure), Otolaryngology - Head and Neck Surgery, to Professor\* (with tenure), Otolaryngology - Head and Neck Surgery, effective 7/1/13.

Gong, Ming C., College of Medicine, from Associate Professor (with tenure), Physiology, and joint appointment as Associate Professor (without tenure), Molecular and Biomedical Pharmacology, to Professor (with tenure), Physiology, and joint appointment as Professor (without tenure), Molecular and Biomedical Pharmacology, effective 7/1/13.

Hardin-Pierce, Melanie G., College of Nursing, from Assistant Professor\*, to Associate Professor\* (with tenure), effective 7/1/13.

Hassan, Zaki U., College of Medicine, from Clinical Associate Professor, Anesthesiology, and joint appointment as Clinical Associate Professor, Surgery, to Clinical Professor, Anesthesiology, and joint appointment as Clinical Professor, Surgery, 7/1/13 through 6/30/14.

Henry, Laurie, College of Education, from Assistant Professor, Curriculum and Instruction, to Associate Professor (with tenure), Curriculum and Instruction, effective 7/1/13.

Hu, Wuyang, College of Agriculture, from Associate Professor (with tenure), Agricultural Economics, to Professor (with tenure), Agricultural Economics, effective 7/1/13.

Hutchens, Neal H., College of Education, from Assistant Professor, Educational Policy Studies and Evaluation, to Associate Professor (with tenure), Educational Policy Studies and Evaluation, effective 7/1/13.

James, Pearl, College of Arts and Sciences, from Assistant Professor, English, to Associate Professor (with tenure), English, effective 7/1/13.

Jicha, Gregory A., College of Medicine, from Associate Professor (with tenure), Neurology, to Professor (with tenure), Neurology, effective 7/1/13.

Kachroo, Pradeep, College of Agriculture, from Associate Professor (with tenure), Plant Pathology, to Professor (with tenure), Plant Pathology, effective 7/1/13.

Karaca, Haluk E., College of Engineering, from Assistant Professor, Mechanical Engineering, to Associate Professor (with tenure), Mechanical Engineering, effective 7/1/13.

Klimkina, Oksana, College of Medicine, from Clinical Assistant Professor, Anesthesiology, to Clinical Associate Professor, Anesthesiology, 7/1/13 through 6/30/14.

Knudsen, Hannah K., College of Medicine, from Assistant Professor, Behavioral Science, to Associate Professor (with tenure), Behavioral Science, effective 6/1/13.

Koch, Erin, College of Arts and Sciences, from Assistant Professor, Anthropology, to Associate Professor (with tenure), Anthropology, effective 7/1/13.

Krupa, James, College of Arts and Sciences, from Associate Professor\* (with tenure), Biology, to Professor\* (with tenure), Biology, effective 7/1/13.

Kudrimoti, Mahesh R., College of Medicine, from Clinical Associate Professor, Radiation Medicine, to Clinical Professor, Radiation Medicine, 7/1/13 through 6/30/14.

Lee, Min-Young, College of Agriculture, from Assistant Professor, Merchandising, Apparel and Textiles, to Associate Professor (with tenure), Merchandising, Apparel and Textiles, effective 7/1/13.

Liberato, Ana S., College of Arts and Sciences, from Assistant Professor, Sociology, to Associate Professor (with tenure), Sociology, effective 7/1/13.

Liu, Jinze, College of Engineering, from Assistant Professor, Computer Science, to Associate Professor (with tenure), Computer Science, effective 7/1/13.

Maske, Andrew L., College of Fine Arts, from Assistant Professor, Art and Visual Studies, to Associate Professor (with tenure), Art and Visual Studies, effective 7/1/13.

Mutersbaugh, Tad, College of Arts and Sciences, from Associate Professor (with tenure), Geography, to Professor (with tenure), Geography, effective 7/1/13.

Norman, Gurney, College of Arts and Sciences, from Associate Professor (with tenure), English, to Professor (with tenure), English, effective 7/1/13.

Patterson, Ebony G., College of Fine Arts, from Assistant Professor, Art and Visual Studies, to Associate Professor (with tenure), Art and Visual Studies, effective 7/1/13.

Pauly, James R., College of Pharmacy, from Associate Professor (with tenure), Pharmaceutical Sciences, to Professor (with tenure), Pharmaceutical Sciences, effective 7/1/13.

Perry, Brea L., College of Arts and Sciences, from Assistant Professor, Sociology, to Associate Professor (with tenure), Sociology, effective 7/1/13.

Perry, Kristen, College of Education, from Assistant Professor, Curriculum and Instruction, to Associate Professor (with tenure), Curriculum and Instruction, effective 7/1/13.

Rabchevsky, Alexander G., College of Medicine, from Associate Professor (with tenure), Physiology, to Professor (with tenure), Physiology, effective 7/1/13.

Rebel, Annette, College of Medicine, from Clinical Assistant Professor, Anesthesiology, and joint appointment as Clinical Assistant Professor, Surgery, to Clinical Associate Professor, Anesthesiology, and joint appointment as Clinical Associate Professor, Surgery, 7/1/13 through 6/30/14.

Rice, Jeffrey R., College of Arts and Sciences, from Associate Professor (with tenure), English, to Professor (with tenure), English, effective 7/1/13.

Rice, Jennifer H., College of Arts and Sciences, from Assistant Professor, English, to Associate Professor (with tenure), English, effective 7/1/13.

Richardson, Jayson W., College of Education, from Assistant Professor, Educational Leadership Studies, to Associate Professor (with tenure), Educational Leadership Studies, effective 7/1/13.

Ricketts, Kristina D., College of Agriculture, from Assistant Extension Professor, Community and Leadership Development, to Associate Extension Professor (with tenure), Community and Leadership Development, effective 7/1/13.

Rossano, Mary G., College of Agriculture, from Assistant Professor, Animal and Food Sciences, to Associate Professor (with tenure), Animal and Food Sciences, effective 7/1/13.

Roth, John S., College of Medicine, from Associate Professor\* (with tenure), Surgery, to Professor\* (with tenure), Surgery, effective 7/1/13.

Rous, Beth, College of Education, from Associate Professor (with tenure), Educational Leadership Studies, to Professor (with tenure), Educational Leadership Studies, effective 7/1/13.

Ruble, Lisa, College of Education, from Associate Professor (with tenure), Educational, School, and Counseling Psychology, to Professor (with tenure), Educational, School, and Counseling Psychology, effective 7/1/13.

Sanday, Eric C., College of Arts and Sciences, from Assistant Professor, Philosophy, to Associate Professor (with tenure), Philosophy, effective 7/1/13.

Scott, Leslie K., College of Nursing, from Assistant Professor\*, to Associate Professor\* (with tenure), effective 7/1/13.

Seigler, T. Michael, College of Engineering, from Assistant Professor, Mechanical Engineering, to Associate Professor (with tenure), Mechanical Engineering, effective 7/1/13.

Slaymaker, Douglas, College of Arts and Sciences, from Associate Professor (with tenure), Modern and Classical Languages, Literatures, and Cultures, to Professor (with tenure), Modern and Classical Languages, Literatures, and Cultures, effective 7/1/13.

Stamps, William H., College of Fine Arts, from Assistant Professor, Art and Visual Studies, to Associate Professor (with tenure), Art and Visual Studies, effective 7/1/13.

Stombaugh, Timothy S., College of Agriculture, from Associate Extension Professor (with tenure), Biosystems and Agricultural Engineering, to Extension Professor (with tenure), Biosystems and Agricultural Engineering, effective 7/1/13.

Stoops, William W., College of Medicine, from Assistant Professor, Behavioral Science, and joint appointment as Assistant Professor, Psychology, College of Arts and Sciences, to Associate Professor (with tenure), Behavioral Science, and joint appointment as Associate Professor (without tenure), Psychology, College of Arts and Sciences, effective 7/1/13.

Stuhlfaut, Mark W., College of Communication and Information, from Assistant Professor, Journalism and Telecommunications, to Associate Professor (with tenure), Journalism and Telecommunications, effective 7/1/12.

Sullivan, Patrick G., College of Medicine, from Associate Professor (with tenure), Anatomy and Neurobiology, to Professor (with tenure), Anatomy and Neurobiology, effective 7/1/13.

Swan, Gerry M., College of Education, from Assistant Professor, Curriculum and Instruction, and joint appointment as Assistant Professor, Mechanical Engineering, College of Engineering, to Associate Professor (with tenure), Curriculum and Instruction, effective 7/1/13, and joint appointment as Assistant Professor, Mechanical Engineering, College of Engineering, 7/1/13 through 6/30/14.

Tice, Karen, College of Arts and Sciences, from Associate Professor (with tenure), Gender and Women's Studies, and joint appointment as Associate Professor (without tenure), Educational Policy Studies and Evaluation, College of Education, to Professor (with tenure), Gender and Women's Studies, and joint appointment as Professor (without tenure), Educational Policy Studies and Evaluation, College of Education, effective 7/1/13.

Van Lanen, Steven, College of Pharmacy, from Assistant Professor, Pharmaceutical Sciences, to Associate Professor (with tenure), Pharmaceutical Sciences, effective 7/1/13.

Veil, Shari R., College of Communication and Information, from Assistant Professor, Communication, to Associate Professor (with tenure), Communication, effective 7/1/13.

Venkatesh, Ramakrishna, College of Medicine, from Associate Professor\* (with tenure), Surgery, to Professor\* (with tenure), Surgery, effective 7/1/13.

Wedeking, Justin P., College of Arts and Sciences, from Assistant Professor, Political Science, to Associate Professor (with tenure), Political Science, effective 7/1/13.

Welsh, J. Darlene, College of Nursing, from Assistant Professor\*, to Associate Professor\* (with tenure), effective 7/1/13.

Williams, Jakobi E., College of Arts and Sciences, from Assistant Professor, History, to Associate Professor (with tenure), History, effective 7/1/13.

Zachman, Frederick M., College of Medicine, from Clinical Assistant Professor, Obstetrics and Gynecology, to Clinical Associate Professor, Obstetrics and Gynecology, 7/1/13 through 6/30/14.

Zheng, Yanbing, College of Arts and Sciences, from Assistant Professor, Statistics, to Associate Professor (with tenure), Statistics, effective 7/1/13.

Zimmerman, Julie N., College of Agriculture, from Associate Extension Professor (with tenure), Community and Leadership Development, and joint appointment as Associate Extension Professor (without tenure), Sociology, College of Arts and Sciences, to Extension Professor (with tenure), Community and Leadership Development, and joint appointment as Associate Extension Professor (without tenure), Sociology, College of Arts and Sciences, effective 7/1/13.

## **G. CHANGES**

Hackbart, Merl, College of Business and Economics, from Professor (with tenure), Management, and joint appointment as Professor (without tenure), Martin School of Public Policy and Administration, Graduate School, to Professor (with tenure), Finance and Quantitative Methods, and joint appointment as Professor (without tenure), Martin School of Public Policy and Administration, Graduate School, effective 7/1/12.

Squires, Edward L., College of Agriculture, from Research Professor, Veterinary Science, to Research Professor (part-time), Veterinary Science, 7/1/11 through 6/30/13.

Stephan, Maria, College of Medicine, from Clinical Associate Professor, Emergency Medicine, to Associate Professor (part-time), Emergency Medicine, 4/1/13 through 6/30/13.

## **H. TERMINAL REAPPOINTMENTS**

Bunn, Terry L., College of Public Health, Associate Research Professor, Preventive Medicine and Environmental Health, 7/1/13 through 6/30/14.

Demann, Eric T., College of Dentistry, Clinical Associate Professor, Oral Health Science, 7/1/13 through 6/30/14.

Garman, Ray F., College of Public Health, Clinical Associate Professor, Preventive Medicine and Environmental Health, and joint appointment as Clinical Associate Professor, Family and Community Medicine, College of Medicine, 7/1/13 through 6/30/14.

McConnell, Thomas A., College of Dentistry, Clinical Associate Professor, Oral Health Practice, 7/1/13 through 6/30/14.

Monier-Faugere, Marie-Claude, College of Medicine, Research Professor, Internal Medicine, and joint appointment as Research Professor, Pathology and Laboratory Medicine, 7/1/13 through 6/30/14.

Shu, Dan, College of Pharmacy, Associate Research Professor, Pharmaceutical Sciences, 7/1/13 through 6/30/14.

## **I. RETIREMENTS**

Clayton, Richard R., College of Public Health, Professor (with tenure), Health Behavior, after 42 years and 9 months of consecutive service, under AR 3:1, Section VIII.B, effective 7/3/13.

Colclough, George W., College of Medicine, Clinical Associate Professor, Anesthesiology, after 26 years and 7 months of consecutive service, under AR 3:1, Section VIII.B, effective 6/17/13.

Debertin, David L., College of Agriculture, Professor (with tenure), Agricultural Economics, after 39 years of consecutive service, under AR 3:1, Section VIII.B, effective 6/30/13.

Diebold, Claudia M., College of Nursing, Senior Lecturer, after 15 years and 3 months of consecutive service, under AR 3:1, Section VIII.B, effective 6/30/13.

Gerke, Gearldene, College of Arts and Sciences, Administrative Support Associate I, after 25 years and 3 months of consecutive service, under AR 3:1, Section VIII.B, effective 8/3/13.

Greasley, Philip A., Office of the Provost, Associate Vice President, Institutional Research, Planning, and Effectiveness, after 39 years of consecutive service, under AR 3:1, Section VIII.B, effective 6/30/13.

## **J. EARLY RETIREMENTS**

Bishop, Ellen D. College of Agriculture, Laboratory Technician Senior, Regulatory Services, after 43 years and 10 months of consecutive service, under AR 3:1, Section VIII.A, effective 7/5/13.

Schuerings, Patsy Sue, College of Agriculture, Cook/Baker I, 4-H Central Operations, after 15 years of consecutive service, under AR 3:1, Section VIII.A, effective 7/19/13.

Stephenson, Laura F., College of Agriculture, Agriculture Extension Director Assistant, Family and Consumer Sciences, after 24 years and 11 months of consecutive service, under AR 3:1, Section VIII.A, effective 7/26/13.

Stewart, Connie S., College of Medicine, Patient Relations Assistant III, Family Practice, after 30 years and 11 months of consecutive service, under AR 3:1, Section VIII.A, effective 7/12/13.

Sweigard, Richard J., College of Engineering, Professor (with tenure), Mining Engineering, after 24 years and 11 months of consecutive service, under AR 3:1, Section VIII.A, effective 6/30/13.

VanMeter, Patricia W., College of Agriculture, Research Analyst, Veterinary Science, after 27 years and 15 months of consecutive service, under AR 3:1, Section VIII.A, effective 6/2/13.

## **K. POST-RETIREMENT APPOINTMENTS**

Brock, Gregory W., College of Agriculture, Professor (part-time), Family Sciences, 7/1/13 through 6/30/14.

Cadle, Ronald G., College of Medicine, Assistant Professor (part-time), Microbiology, Immunology and Molecular Genetics, 7/1/13 through 6/30/14.

Cibull, Michael L., College of Medicine, Professor (part-time), Pathology and Laboratory Medicine, 7/1/13 through 6/30/14.

Colclough, George W., College of Medicine, Associate Professor (part-time), Anesthesiology, 6/18/13 through 6/30/13.

Cooper, Thomas M., College of Dentistry, Professor (part-time), Oral Health Science, 7/1/13 through 6/30/14.

Cottrill, Carol M., College of Medicine, Professor (part-time), Pediatrics, 7/1/13 through 6/30/14.

DeSimone, Philip A., College of Medicine, Professor (part-time), Internal Medicine, 7/1/13 through 6/30/14.

Frazier, Donald T., College of Medicine, Professor (part-time), Physiology, 7/1/13 through 6/30/14.

Hall, Bryan D., College of Medicine, Professor (part-time), Pediatrics, 7/1/13 through 6/30/14.

Houff, Sidney A., College of Medicine, Professor (part-time), Neurology, 7/1/13 through 6/30/14.

Hoven, Ardis D., College of Medicine, Professor (part-time), Internal Medicine, 7/1/13 through 6/30/14.

Howard, Theresa, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 8/6/13 through 8/5/14.

Kiesel, Linda, College of Agriculture, Copy Editor Leader (part-time), eXtension, 7/1/13 through 6/30/14.

Lee, Charles, College of Medicine, Associate Professor (part-time), Radiology, 7/1/13 through 6/30/14.

Lieber, Arthur, College of Medicine, Professor (part-time), Radiology, 7/1/13 through 6/30/14.

Lightfoot, Robert W., College of Medicine, Professor (part-time), Internal Medicine, 7/1/13 through 6/30/14.

Maurer, Richard C., College of Agriculture, Professor (part-time), Community and Leadership Development, 7/9/13 through 7/8/14.

Mullins, M. R., College of Dentistry, Associate Professor (part-time), Oral Health Science, 7/1/13 through 6/30/14.

Noonan, Jacqueline A., College of Medicine, Professor (part-time), Pediatrics, 7/1/13 through 6/30/14.

O'Connor, William N., College of Medicine, Professor (part-time), Pathology and Laboratory Medicine, and joint appointment as Professor (part-time), Pediatrics, 7/1/13 through 6/30/14.

Reed, Michael, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 7/8/13 through 7/7/14.

Rinehart, John J., College of Medicine, Professor (part-time), Internal Medicine, 7/1/13 through 6/30/14.

Sammon, Patrick J., College of Dentistry, Associate Professor (part-time), Oral Health Science, 7/1/13 through 6/30/14.

Saxe, Stanley R., College of Dentistry, Professor (part-time), Oral Health Practice, 7/1/13 through 6/30/14.

Thompson, John S., College of Medicine, Professor (part-time), Internal Medicine, 7/1/13 through 6/30/14.

Vanaman, Thomas C., College of Medicine, Professor (part-time), Molecular and Cellular Biochemistry, 7/1/13 through 6/30/14.

Webster, David B., College of Dentistry, Associate Professor (part-time), Oral Health Science, 7/1/13 through 6/30/14.

Weckman, Randy D., College of Agriculture, Associate Professor (part-time), Community and Leadership Development, 7/1/13 through 6/30/14.

Wilson, H. David, College of Medicine, Professor (part-time), Pediatrics, 7/1/13 through 6/30/14.

Witzke, Donald B., College of Medicine, Associate Professor (part-time), Physiology, 7/1/13 through 6/30/14.

Wong, Peter, College of Medicine, Professor (part-time), Pediatrics, 7/1/13 through 6/30/14.

#### **L. PHASED RETIREMENT APPOINTMENTS**

Boyd, Douglas A., College of Communication and Information, Professor (with tenure), Communication, 7/1/13 through 6/30/18.

Bruzina, Ronald, College of Arts and Sciences, Professor (with tenure), Philosophy, 7/1/13 through 6/30/18.

Matheny, Samuel C., College of Medicine, Professor\* (with tenure), Family and Community Medicine, and joint appointment as Professor\* (without tenure), Preventive Medicine and Environmental Health, College of Public Health, 5/1/13 through 4/30/16.

Prout, H. Thompson, College of Education, Professor (with tenure), Educational, School, and Counseling Psychology, 7/1/13 through 6/30/14.

Remer, Pam, College of Education, Associate Professor (with tenure), Educational, School, and Counseling Psychology, 7/1/13 through 6/30/15.

Remer, Rory, College of Education, Professor (with tenure), Educational, School, and Counseling Psychology, 7/1/13 through 6/30/15.

## **II. ADMINISTRATIVE ACTION**

### **A. ACADEMIC APPOINTMENTS**

Allen, Claudette, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/13 through 6/30/13.

Arvin, Rachael, College of Dentistry, Assistant Professor (voluntary), Oral Health Practice, 7/1/13 through 6/30/16.

Asher, Rebecca L., College of Medicine, Assistant Professor (voluntary), Psychiatry, 5/1/13 through 6/30/16.

Brandon, Jason A., College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/13 through 6/30/14.

Brashear, Chad E., College of Medicine, Instructor (voluntary), Family and Community Medicine, 12/1/12 through 6/30/16.

Criswell, Brett, College of Education, Clinical Assistant Professor, STEM Education, 8/16/13 through 6/30/14.

Dykhuisen, Matthew J., College of Medicine, Instructor (part-time), Ophthalmology and Visual Sciences, 7/7/13 through 6/30/14.

Gilles, Leigh B., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 3/1/13 through 6/30/16.

Grau, Joseph G., College of Medicine, Assistant Professor (voluntary), Psychiatry, 4/1/13 through 6/30/16.

Hall, Ryan E., College of Medicine, Instructor (part-time), Internal Medicine, 7/1/13 through 6/30/14.

Henderson, Andrea J., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/1/13 through 6/30/16.

Howard, Kevin, College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 4/1/13 through 6/30/16.

Ison, Jennie L., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/1/13 through 6/30/16.

Jones, Elly, College of Dentistry, Instructor (voluntary), Oral Health Science, 4/1/13 through 6/30/16.

Keck, Daniel B., College of Medicine, Assistant Professor (voluntary), Anesthesiology, 5/1/13 through 6/30/16.

Lynch, Joseph S., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 4/1/13 through 6/30/16.

Mathew, Kelli D., College of Medicine, Instructor (part-time), Internal Medicine, 7/1/13 through 6/30/14.

May, Charles E., College of Engineering, Instructor (part-time), Honors Program, 8/16/12 through 5/15/13.

Morgan, Alexander E., College of Public Health, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 5/1/13 through 6/30/16.

Plato, Brian, College of Medicine, Assistant Professor (voluntary), Neurology, 4/1/13 through 6/30/16.

Reilley, Sean P., College of Medicine, Assistant Professor (voluntary), Psychiatry, 5/1/13 through 6/30/16.

Reyes, Maria, College of Public Health, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 5/1/13 through 6/30/16.

Scanish, John D., College of Medicine, Assistant Professor (voluntary), Psychiatry, 5/1/13 through 6/30/16.

Seifert, Tad D., College of Medicine, Assistant Professor (voluntary), Neurology, 4/1/13 through 6/30/16.

Sevak, Rajkumar J., College of Medicine, Assistant Adjunct Professor, Behavioral Science, 5/1/13 through 6/30/13.

Stewart, Berry L., College of Medicine, Instructor (voluntary), Radiation Medicine, 5/1/13 through 6/30/16.

Thompson, Kyle G., College of Medicine, Instructor (part-time), Ophthalmology and Visual Sciences, 7/7/13 through 6/30/14.

Tidwell, Mykel L., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 2/1/13 through 6/30/16.

True, Jennifer F., College of Medicine, Clinical Instructor, Radiology, 7/1/13 through 6/30/14.

Veeraraghavan, Priva, College of Medicine, Instructor (part-time), Pediatrics, 7/1/13 through 6/30/14.

Voskresensky, Anna, College of Arts and Sciences, Lecturer, Modern and Classical Languages, Literatures, and Cultures, 8/16/13 through 6/30/15.

## **B. ADMINISTRATIVE APPOINTMENTS**

Basu, Srimati, College of Arts and Sciences, Associate Professor (with tenure), Gender and Women's Studies, and joint appointment as Associate Professor (without tenure), Anthropology, appointed Interim Chair, Gender and Women's Studies, 6/1/13 through 8/14/13.

Goldstein, Beth, College of Education, Associate Professor (with tenure), Educational Policy Studies and Evaluation, and joint appointment as Associate Professor (without tenure), Anthropology, College of Arts and Sciences, appointed Interim Chair, Educational Policy Studies and Evaluation, 2/5/13 through 2/4/14, and 2/5/14 through 6/30/14.

Whiteside-Dickson, Ann, College of Design, Associate Professor (with tenure), Interior Design, appointed Interim Dean, College of Design, 7/1/13 through 6/30/14.

## **C. JOINT APPOINTMENTS**

MacIvor, Duncan C., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, joint appointment as Clinical Assistant Professor, Anesthesiology, 7/1/13 through 6/30/14.

Williams, Dennis J., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, joint appointment as Clinical Assistant Professor, Anesthesiology, 7/1/13 through 6/30/14.

#### **D. REAPPOINTMENTS**

- Abdel-Latif, Ahmed, College of Medicine, Assistant Professor\*, Internal Medicine, 7/1/13 through 6/30/14.
- Absher, Kimberly J., College of Medicine, Assistant Professor (part-time), Pathology and Laboratory Medicine, 7/1/13 through 6/30/14.
- Adams, Ingrid K., College of Agriculture, Assistant Extension Professor, Dietetics and Human Nutrition, 7/1/13 through 11/30/13.
- Adkins, David A., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.
- Aguinaga-Meza, Melina T., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.
- Al Rifai, Anas, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.
- Al-Solaiman, Yaser, College of Medicine, Assistant Adjunct Professor, Internal Medicine, 7/1/13 through 6/30/14.
- Alhajeri, Abdulnasser A., College of Medicine, Clinical Assistant Professor, Radiology, and joint appointments as Clinical Assistant Professor, Pediatrics and Clinical Assistant Professor, Neurosurgery, 7/1/13 through 6/30/14.
- Allaire, Gloria, College of Arts and Sciences, Senior Lecturer, Modern and Classical Languages, Literatures, and Cultures, 7/1/13 through 6/30/16.
- Allen-Bryant, Kacy T., College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.
- Anderson, Amelia J., College of Medicine, Clinical Assistant Professor, Neurology, 7/1/13 through 6/30/14.
- Aouad, Rony K., College of Medicine, Assistant Professor\*, Otolaryngology - Head and Neck Surgery, 7/1/13 through 6/30/14.
- Archer, Christopher R., College of Arts and Sciences, Lecturer, Psychology, 7/1/13 through 6/30/15.
- Arthur, Steven A., College of Arts and Sciences, Lecturer, Psychology, 7/1/13 through 6/30/15.
- Auer, Joseph E., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.
- Ayoob, Andres R., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/13 through 6/30/14.
- Badgett, James T., College of Medicine, Assistant Professor\*, Pediatrics, 7/1/13 through 6/30/14.
- Bae, Younsoo, College of Pharmacy, Assistant Professor, Pharmaceutical Sciences, 7/1/13 through 6/30/14.
- Bailey, Paula, College of Medicine, Clinical Assistant Professor, Internal Medicine, and joint appointment as Clinical Instructor, Pediatrics, 7/1/13 through 6/30/14.
- Baldwin, Aaron, College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/13 through 6/30/14.
- Bayliff, Sherry L., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.
- Beaman, Francesca D., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/13 through 6/30/14.

Behar, Miriam J., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Bennett, Kimberly A., College of Nursing, Adjunct Instructor, 7/1/13 through 6/30/14.

Berger, Michael C., College of Pharmacy, Clinical Assistant Professor, Pharmacy Practice and Science, 7/1/13 through 6/30/14.

Berre, Edgar L., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/13 through 6/30/14.

Berron, Bradley J., College of Engineering, Assistant Professor, Chemical and Materials Engineering, 7/1/13 through 6/30/15.

Bertoli, Elizangela, College of Dentistry, Assistant Professor\*, Oral Health Practice, 7/1/13 through 6/30/14.

Bishop, Susan A., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/13 through 6/30/14.

Blomquist, Gustav A., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/13 through 6/30/14.

Boulay, Rose M., College of Education, Lecturer, Educational Leadership Studies, and joint appointment as Lecturer, Curriculum and Instruction, 7/1/13 through 6/30/14.

Bowman, Roxanne K., College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

Bradley, Luke H., College of Medicine, Assistant Professor, Anatomy and Neurobiology, and joint appointment as Assistant Professor, Molecular and Cellular Biochemistry, 7/1/13 through 8/31/13.

Brandewie, Deborah J., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Brouwer, Emily S., College of Pharmacy, Assistant Professor, Pharmacy Practice and Science, and joint appointment as Assistant Professor, Epidemiology, College of Public Health, 7/1/13 through 6/30/14.

Brown, Joanne, College of Nursing, Adjunct Instructor, 7/1/13 through 6/30/14.

Brown, L. J., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/13 through 6/30/14.

Brumfield, Jeffrey T., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Burckardt, Elizabeth R., College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

Bush, Matthew L., College of Medicine, Assistant Professor\*, Otolaryngology - Head and Neck Surgery, 7/1/13 through 6/30/14.

Carlson, Lyle W., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/13 through 6/30/14.

Carper, Ramona A., College of Health Sciences, Instructor (part-time), Rehabilitation Sciences, 7/1/13 through 6/30/14.

Carter, Michael, College of Arts and Sciences, Senior Lecturer, English, 7/1/13 through 6/30/16.

Cary, Larry C., College of Medicine, Clinical Assistant Professor, Internal Medicine, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Caskey, Paula P., College of Dentistry, Clinical Assistant Professor, Oral Health Practice, 7/1/13 through 6/30/14.

Cassone, Lynne M., College of Agriculture, Clinical Assistant Professor, Veterinary Science, 7/1/13 through 6/30/14.

Castellanos, Ana L., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Catlett, Cathy A., College of Nursing, Lecturer, 7/1/13 through 6/30/14.

Ceballos Osorio, Janeth, College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Chadha, Jagriti J., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Chadha, Romil, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Challa, Harigovinda R., College of Medicine, Clinical Assistant Professor, Radiology, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Chambers, Mara D., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Chen, Li, College of Public Health, Assistant Professor, Biostatistics, 7/1/13 through 6/30/14.

Chico-Wyatt, Irene O., College of Arts and Sciences, Senior Lecturer, Hispanic Studies, 7/1/13 through 6/30/16.

Choi, Moon J., College of Social Work, Assistant Professor, 7/1/13 through 6/30/14.

Clore, Danielle T., College of Agriculture, Adjunct Instructor, Community and Leadership Development, 7/1/13 through 6/30/14.

Coffman, Wesley B., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/13 through 6/30/14.

Cole, Rebecca R., College of Nursing, Adjunct Instructor, 7/1/13 through 6/30/14.

Cornea, Virgilius M., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, 7/1/13 through 6/30/14.

Coz-Yataco, Angel O., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Crocker, Lina, College of Arts and Sciences, Senior Lecturer, English, 7/1/13 through 6/30/16.

Cumbermack, Kristopher M., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Cunningham, Billy E., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/13 through 6/30/14.

Cunningham, Regina B., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 7/1/13 through 6/30/16.

Cunningham, Tommy J., College of Health Sciences, Assistant Adjunct Professor, Rehabilitation Sciences, 7/1/13 through 6/30/14.

Danov, Zoran, College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Darbee, Joan C., College of Health Sciences, Senior Lecturer, Rehabilitation Sciences, 7/1/13 through 6/30/16.

Davies, Claire, College of Health Sciences, Instructor (part-time), Rehabilitation Sciences, 7/1/13 through 6/30/14.

Dawkins, Adrian A., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/13 through 6/30/14.

Dawson, Dolph, College of Dentistry, Assistant Professor\*, Oral Health Practice, 7/1/13 through 6/30/14.

Day, Kristin V., College of Health Sciences, Assistant Adjunct Professor, Rehabilitation Sciences, 7/1/13 through 6/30/14.

Day, Scottie B., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

de Moreno, Lauren C., College of Medicine, Clinical Assistant Professor, Otolaryngology - Head and Neck Surgery, 7/1/13 through 6/30/14.

Dean, Melvin W., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/13 through 6/30/14.

Delisle, Brian P., College of Medicine, Assistant Professor, Physiology, 7/1/13 through 6/30/14.

Dell, Carol M., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/13 through 6/30/14.

Dent, Jennifer L., College of Nursing, Lecturer, 7/1/13 through 6/30/14.

Desai, Megha M., College of Medicine, Assistant Professor (part-time), Internal Medicine, 7/1/13 through 6/30/14.

Diamond, Scott E., College of Medicine, Assistant Adjunct Professor, Physiology, 7/1/13 through 6/30/14.

Dickes, Robert, College of Fine Arts, Lecturer, Art and Visual Studies, 7/1/13 through 6/30/14.

Dillon, Johanne E., College of Medicine, Clinical Assistant Professor, Radiology, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Dodd, Luke E., College of Agriculture, Instructor (part-time), Forestry, 7/1/13 through 6/30/14.

Drake, Angela R., College of Medicine, Assistant Professor (part-time), Anesthesiology, 7/1/13 through 6/30/14.

Dvorak, Tanya C., College of Agriculture, Lecturer, Community and Leadership Development, 7/1/13 through 6/30/14.

El-Mallakh, Peggy, College of Nursing, Assistant Professor\*, 7/1/13 through 6/30/14.

Emecen Huja, Pinar, College of Dentistry, Clinical Assistant Professor, Oral Health Practice, 7/1/13 through 6/30/14.

Epps, Rebekah B., College of Agriculture, Assistant Professor, Community and Leadership Development, 7/1/13 through 6/30/14.

Escalante, David A., College of Medicine, Assistant Professor (part-time), Internal Medicine, 7/1/13 through 6/30/14.

Ettensohn, Beth M., College of Fine Arts, Lecturer, Art and Visual Studies, 7/1/13 through 6/30/14.

Fanucchi, Laura C., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Fardo, David W., College of Public Health, Assistant Professor, Biostatistics, 7/1/13 through 6/30/14.

Farley, Robert M., Graduate School, Assistant Professor, Patterson School of Diplomacy and International Commerce, 7/1/13 through 6/30/14.

Farmer, Aletia G., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Feld, Hartley C., College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

Filosofova, Tatiana, College of Arts and Sciences, Lecturer, Modern and Classical Languages, Literatures, and Cultures, 7/1/13 through 6/30/14.

Fister, Jacqueline C., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Fister, Kara A., College of Medicine, Clinical Assistant Professor, Neurology, and joint appointment as Clinical Assistant Professor, Physical Medicine and Rehabilitation, 7/1/13 through 6/30/14.

Flomenhoft, Deborah R., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Florea, Shannon C., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Fondufe-Mittendorf, Yvonne N., College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/13 through 6/30/14.

Fornwalt, Brandon, College of Medicine, Assistant Professor, Pediatrics, and joint appointments as Assistant Professor, Internal Medicine and Assistant Professor, Physiology and Assistant Professor, Biomedical Engineering, College of Engineering and Assistant Professor, Electrical and Computer Engineering, College of Engineering, 7/1/13 through 6/30/14.

Fraser, Justin F., College of Medicine, Assistant Professor\*, Neurosurgery, and joint appointment as Assistant Professor\*, Radiology, 7/1/13 through 6/30/14.

Freeman, Rebecca L., College of Arts and Sciences, Lecturer, Earth and Environmental Sciences, 7/1/13 through 6/30/15.

Fuentealba Hidalgo, Rodrigo, College of Dentistry, Assistant Professor\*, Oral Health Practice, 7/1/13 through 6/30/14.

Fugate, Stephanie J., College of Nursing, Clinical Instructor, 7/1/13 through 6/30/14.

Gaddam, Padmaja, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Galperin, Emilia, College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/13 through 6/30/14.

Ganesh, Halemane S., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/13 through 6/30/14.

Gensel, John C., College of Medicine, Assistant Professor, Physiology, 7/1/13 through 6/30/14.

Gibbs, Richard D., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/13 through 6/30/14.

Gleason, Rachel w., College of Health Sciences, Instructor (part-time), Rehabilitation Sciences, 7/1/13 through 6/30/14.

Goan, Melanie, College of Arts and Sciences, Lecturer, History, 7/1/13 through 6/30/15.

Gohrband, Catherine L., College of Health Sciences, Instructor (part-time), Rehabilitation Sciences, 7/1/13 through 6/30/14.

Goldstein, Lisa A., College of Medicine, Assistant Professor (part-time), Family and Community Medicine, 7/1/13 through 6/30/14.

Goodin, Kara, College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/13 through 6/30/14.

Goodwin, Belinda R., College of Fine Arts, Assistant Professor, Art and Visual Studies, 7/1/13 through 6/30/14.

Goodwin, Michael D., College of Medicine, Assistant Professor (part-time), Anesthesiology, 7/1/13 through 6/30/14.

Gopinath, Anil, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Gordy, Carrie M., College of Nursing, Clinical Assistant Professor, 7/1/13 through 6/30/14.

Gorrell, Grace G., College of Agriculture, Instructor (part-time), Community and Leadership Development, 7/1/13 through 6/30/14.

Greathouse, David G., College of Health Sciences, Instructor (part-time), Rehabilitation Sciences, 7/1/13 through 6/30/14.

Gul, Zartash, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Guo, Zhenheng, College of Medicine, Assistant Professor, Internal Medicine, and joint appointment as Assistant Professor, Physiology, 7/1/13 through 1/31/14.

Gurwell, Julie A., College of Medicine, Clinical Assistant Professor, Neurology, 7/1/13 through 6/30/14.

Hacker, Janell, College of Nursing, Adjunct Instructor, 7/1/13 through 6/30/14.

Hains, Bryan J., College of Agriculture, Assistant Professor, Community and Leadership Development, 7/1/13 through 6/30/14.

Hall, Julia J., College of Nursing, Lecturer, 7/1/13 through 6/30/15.

Hampton, Debra C., College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

Han, Dong Y., College of Medicine, Clinical Assistant Professor, Neurology, 7/1/13 through 6/30/14.

Hanaoka, Beatriz Y., College of Medicine, Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Hankins, Scott, College of Public Health, Assistant Professor, Health Services Management, 7/1/13 through 6/30/14.

Hanna, Mina, College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Hapke, Jessica H., College of Business and Economics, Lecturer, Marketing and Supply Chain, 7/1/13 through 6/30/15.

Hardy, Peter A., College of Medicine, Clinical Assistant Professor, Radiology, and joint appointments as Clinical Assistant Professor, Anatomy and Neurobiology and Clinical Assistant Professor, Biomedical Engineering, College of Engineering, 7/1/13 through 6/30/14.

Harrison, Mary Margaret, College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

Henton, Marty, College of Fine Arts, Senior Lecturer, Art and Visual Studies, 7/1/13 through 6/30/14.

Hessler, Amy E., College of Medicine, Clinical Assistant Professor, Neurology, 7/1/13 through 6/30/14.

Hill, Lisa D., College of Health Sciences, Instructor (part-time), Rehabilitation Sciences, 7/1/13 through 6/30/14.

Hill, Raymond E., College of Health Sciences, Assistant Professor (part-time), Clinical Sciences, 7/1/13 through 5/31/14.

Holle, Bruce, College of Arts and Sciences, Senior Lecturer, History, 7/1/13 through 6/30/16.

Holleran, Brigitte, College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

Holt, Elizabeth G., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Hood, Katrina M., College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/13 through 6/30/14.

Horbinski, Craig M., College of Medicine, Assistant Professor, Pathology and Laboratory Medicine, and joint appointment as Assistant Professor, Molecular and Cellular Biochemistry, 7/1/13 through 6/30/14.

Houchin, Angela D., College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/13 through 6/30/14.

Howard, Jennifer S., College of Health Sciences, Assistant Research Professor, Rehabilitation Sciences, and joint appointment as Assistant Research Professor, Orthopaedic Surgery, College of Medicine, 7/1/13 through 6/30/14.

Howard, Patricia K., College of Nursing, Assistant Adjunct Professor, 7/1/13 through 6/30/14.

Howell, John D., College of Arts and Sciences, Senior Lecturer, English, 7/1/13 through 6/30/16.

Huang, Bin, College of Public Health, Assistant Professor, Biostatistics, 7/1/13 through 6/30/14.

Human, Julie L., College of Arts and Sciences, Lecturer, Modern and Classical Languages, Literatures, and Cultures, 7/1/13 through 6/30/15.

Humphrey Van Meter, Wendy, College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/13 through 6/30/14.

Ickes, Melinda J., College of Education, Assistant Professor, Kinesiology and Health Promotion, 7/1/13 through 6/30/14.

Isaacs, Anthony, College of Health Sciences, Instructor (part-time), Rehabilitation Sciences, 7/1/13 through 6/30/14.

Isaacs, Davida H., College of Arts and Sciences, Lecturer, Political Science, 7/1/13 through 6/30/15.

Ison, Lynda L., College of Health Sciences, Instructor (part-time), Rehabilitation Sciences, 7/1/13 through 6/30/14.

Jackman, William J., College of Agriculture, Assistant Adjunct Professor, Community and Leadership Development, 7/1/13 through 6/30/14.

Jackson, Rodney A., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/13 through 6/30/14.

Jacobs, Cale A., College of Health Sciences, Assistant Adjunct Professor, Rehabilitation Sciences, 7/1/13 through 6/30/14.

Jennings, Connie W., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Jennings, Nancy M., College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

Jennings, Stuart C., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Johnson, Jill R., College of Pharmacy, Clinical Assistant Professor, Pharmacy Practice and Science, 7/1/13 through 6/30/14.

Jones, Charles R., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Jones, Kimberly S., College of Medicine, Clinical Assistant Professor, Neurology, 7/1/13 through 6/30/14.

Jones, Shelley M., College of Pharmacy, Clinical Assistant Professor, Pharmacy Practice and Science, 7/1/13 through 6/30/14.

Jones, Willis, College of Education, Assistant Professor, Educational Policy Studies and Evaluation, 7/1/13 through 6/30/14.

Kamp, Anna N., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Kapoor, Siddharth, College of Medicine, Clinical Assistant Professor, Neurology, 7/1/13 through 6/30/14.

Kavuluru, Venkata N., College of Public Health, Assistant Professor, Biostatistics, 7/1/13 through 6/30/14.

Kedar, Sachin, College of Medicine, Clinical Assistant Professor, Neurology, and joint appointment as Clinical Assistant Professor, Ophthalmology and Visual Sciences, 7/1/13 through 6/30/14.

Kennedy, Laura A., College of Agriculture, Clinical Assistant Professor, Veterinary Science, 7/1/13 through 6/30/14.

Khan, Gulam Q., College of Medicine, Clinical Assistant Professor, Neurology, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Khosravi, Mehdi, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Kim, June, College of Medicine, Clinical Assistant Professor, Radiology, 7/1/13 through 6/30/14.

King, Victoria L., College of Medicine, Assistant Professor, Internal Medicine, 7/1/13 through 1/31/14.

Kirtley, Jaime P., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Kloha, Nancy R., College of Nursing, Clinical Assistant Professor, 7/1/13 through 6/30/14.

Korotkov, Konstantin V., College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/13 through 6/30/14.

Kregor, Janice M., College of Medicine, Assistant Professor (part-time), Behavioral Science, 7/1/13 through 6/30/14.

Krohmer, Steven J., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/13 through 6/30/14.

Kutkut, Ahmad M., College of Dentistry, Assistant Professor\*, Oral Health Practice, 7/1/13 through 6/30/14.

Larco, Ioana Raluca, College of Arts and Sciences, Lecturer, Modern and Classical Languages, Literatures, and Cultures, 7/1/13 through 6/30/15.

Larkin, Thomas J., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/13 through 6/30/14.

Latham, Philip B., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Lee, Hui C., College of Fine Arts, Lecturer, Art and Visual Studies, 7/1/13 through 6/30/14.

Lee, James T., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/13 through 6/30/14.

Lee, Kara L., College of Health Sciences, Instructor (part-time), Rehabilitation Sciences, 7/1/13 through 6/30/14.

Lee, Kiyong, College of Nursing, Assistant Adjunct Professor, 7/1/13 through 6/30/14.

Lee, Woojin, College of Pharmacy, Assistant Professor, Pharmaceutical Sciences, 7/1/13 through 6/30/14.

Lesch, Dennis C., College of Health Sciences, Instructor (part-time), Rehabilitation Sciences, 7/1/13 through 6/30/14.

Lester, Harold D., College of Dentistry, Assistant Adjunct Professor, Oral Health Science, 7/1/13 through 6/30/14.

Leung, Steve W., College of Medicine, Assistant Professor\*, Internal Medicine, and joint appointment as Assistant Professor\*, Radiology, 7/1/13 through 6/30/14.

Lhotka, John M., College of Agriculture, Assistant Professor, Forestry, 7/1/13 through 6/30/14.

Li, Zhenyu, College of Medicine, Assistant Professor, Internal Medicine, and joint appointment as Assistant Professor, Molecular and Cellular Biochemistry, 7/1/13 through 6/30/14.

Lightner, Donita D., College of Medicine, Clinical Assistant Professor, Neurology, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Lile, Anthony G., College of Medicine, Clinical Assistant Professor, Internal Medicine, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Livingston, Scott C., College of Health Sciences, Assistant Professor, Rehabilitation Sciences, 7/1/13 through 6/30/14.

Lockwood, Sean M., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Logdon, Angela C., College of Health Sciences, Instructor (part-time), Rehabilitation Sciences, 7/1/13 through 6/30/14.

Lovitz, Wanda, College of Nursing, Clinical Instructor, 7/1/13 through 6/30/14.

Lowman, Julie J., College of Health Sciences, Assistant Professor, Rehabilitation Sciences, 7/1/13 through 6/30/14.

Loynachan, Alan T., College of Agriculture, Assistant Professor\*, Veterinary Science, 7/1/13 through 6/30/14.

Lukins, Douglas E., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/13 through 6/30/14.

Mabins, Melanie, College of Pharmacy, Clinical Assistant Professor, Pharmacy Practice and Science, 7/1/13 through 6/30/14.

MacIvor, Duncan C., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, 7/1/13 through 6/30/14.

Madaleno, James A., College of Health Sciences, Assistant Adjunct Professor, Rehabilitation Sciences, 7/1/13 through 6/30/14.

Maggard, Stacie K., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/13 through 6/30/14.

Mark, Kristen, College of Education, Assistant Professor, Kinesiology and Health Promotion, and joint appointment as Assistant Professor, Health Behavior, College of Public Health, 7/1/13 through 6/30/14.

Marksbury, Tom, College of Arts and Sciences, Senior Lecturer, English, 7/1/13 through 6/30/16.

Marshall, Charles T., College of Health Sciences, Assistant Professor\*, Rehabilitation Sciences, and joint appointments as Assistant Professor\*, Anatomy and Neurobiology, College of Medicine and Assistant Professor\*, Physiology, College of Medicine, 7/1/13 through 6/30/14.

McDonald, Juliana, College of Arts and Sciences, Senior Lecturer, Anthropology, 7/1/13 through 6/30/16.

McGavran, Mary Beth D., College of Arts and Sciences, Senior Lecturer, Psychology, 7/1/13 through 6/30/16.

McIntosh, Tera, College of Pharmacy, Clinical Assistant Professor, Pharmacy Practice and Science, 7/1/13 through 6/30/14.

McKinney, Katherine M., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

McMullen, Colleen A., College of Medicine, Assistant Adjunct Professor, Physiology, 7/1/13 through 6/30/14.

Medeiros, Milton d., College of Medicine, Clinical Assistant Professor, Neurology, 7/1/13 through 6/30/14.

Meegan, Sara S., College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

Miller, Christopher M., College of Pharmacy, Clinical Assistant Professor, Pharmacy Practice and Science, 7/1/13 through 6/30/14.

Mimms, William R., College of Medicine, Clinical Assistant Professor, Otolaryngology - Head and Neck Surgery, 7/1/13 through 6/30/14.

Mitchell, Trenika R., College of Pharmacy, Clinical Assistant Professor, Pharmacy Practice and Science, 7/1/13 through 6/30/14.

Moga, Daniela C., College of Pharmacy, Assistant Professor, Pharmacy Practice and Science, and joint appointment as Assistant Professor, Epidemiology, College of Public Health, 7/1/13 through 6/30/14.

Mohamed, Amr E., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Montgomery, Justin R., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/13 through 6/30/14.

Morales, Gustavo X., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Mouchli, Mohamad A., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Moylan, Jennifer S., College of Medicine, Assistant Research Professor, Physiology, 7/1/13 through 6/30/14.

Mudd, Gia T., College of Nursing, Assistant Professor, 7/1/13 through 6/30/14.

Mullen, Nancy K., College of Medicine, Assistant Professor (part-time), Internal Medicine, 7/1/13 through 6/30/14.

Murphy, Brian S., College of Medicine, Assistant Professor (part-time), Internal Medicine, and joint appointments as Assistant Professor (part-time), Microbiology, Immunology and Molecular Genetics and Assistant Professor (part-time), Pediatrics, 7/1/13 through 6/30/14.

Murphy, Paul J., College of Medicine, Lecturer, Pathology and Laboratory Medicine, 7/1/13 through 6/30/14.

Myint, Thein, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Nair, Rashmi T., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/13 through 6/30/14.

Namkoong, Kang, College of Agriculture, Assistant Professor, Community and Leadership Development, 7/1/13 through 6/30/14.

Neltner, Janna H., College of Medicine, Assistant Professor\*, Pathology and Laboratory Medicine, 7/1/13 through 6/30/14.

Nickels, David J., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/13 through 6/30/14.

Noehren, Brian W., College of Health Sciences, Assistant Professor, Rehabilitation Sciences, 7/1/13 through 6/30/14.

Northrip, Kimberly R., College of Medicine, Clinical Assistant Professor, Pediatrics, and joint appointment as Clinical Assistant Professor, Health Behavior, College of Public Health, 7/1/13 through 6/30/14.

Nusbacher, Kenneth B., College of Dentistry, Clinical Assistant Professor, Oral Health Practice, 7/1/13 through 6/30/14.

O'Donnell, Helen M., College of Medicine, Assistant Professor (part-time), Physical Medicine and Rehabilitation, 7/1/13 through 6/30/14.

Oaks, Jan, College of Arts and Sciences, Senior Lecturer, Gender and Women's Studies, 7/1/13 through 6/30/16.

Obeng, Kwaku K., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/13 through 6/30/14.

Otero, Liliana, College of Dentistry, Assistant Professor (visiting), Oral Health Science, 7/1/13 through 6/30/14.

Ott, Katharine A., College of Arts and Sciences, Assistant Professor, Mathematics, 7/1/13 through 6/30/15.

Owen, Brenda W., College of Agriculture, Instructor (part-time), Family Sciences, 7/1/13 through 6/30/14.

Owen, Robert D., College of Medicine, Assistant Adjunct Professor, Neurosurgery, and joint appointment as Assistant Adjunct Professor, Pediatrics, 7/1/13 through 6/30/14.

Page, Cecilia K., College of Nursing, Adjunct Instructor, 7/1/13 through 6/30/14.

Page, Matthew C., College of Fine Arts, Lecturer, Art and Visual Studies, 7/1/13 through 6/30/14.

Parasramka, Saurabh V., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Paratley, Robert, College of Agriculture, Adjunct Instructor, Forestry, 7/1/13 through 6/30/14.

Parkinson, Joseph W., College of Dentistry, Assistant Professor\*, Oral Health Practice, 7/1/13 through 6/30/14.

Parlanti, Sherry M., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/13 through 6/30/14.

Patel, Amit B., College of Medicine, Assistant Professor\*, Otolaryngology - Head and Neck Surgery, 7/1/13 through 6/30/14.

Pawley, Barbara K., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/13 through 6/30/14.

Pellegrini, Richard A., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/13 through 6/30/14.

Perez Pacheco, Cristina V., College of Dentistry, Clinical Assistant Professor, Oral Health Science, 7/1/13 through 6/30/14.

Peterson, Marilyn, College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

Peterson, Susan M., College of Nursing, Adjunct Instructor, 7/1/13 through 6/30/14.

Petrovsky, Nicolai, Graduate School, Assistant Professor, Martin School of Public Policy and Administration, 7/1/13 through 6/30/14.

Piepglass, Ward T., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/13 through 6/30/14.

Pinto-Sinai, Gitanjali L., College of Dentistry, Assistant Professor\*, Oral Health Practice, 7/1/13 through 6/30/14.

Pohl, Elisabeth, College of Agriculture, Adjunct Instructor, Community and Leadership Development, 7/1/13 through 6/30/14.

PolICASTRI, Anne, College of Pharmacy, Clinical Assistant Professor, Pharmacy Practice and Science, 7/1/13 through 6/30/14.

Pollack, Susan H., College of Medicine, Assistant Research Professor, Pediatrics, and joint appointment as Assistant Research Professor, Preventive Medicine and Environmental Health, College of Public Health, 7/1/13 through 6/30/14.

Proffitt, Mary, College of Education, Clinical Assistant Professor, Educational Leadership Studies, 7/1/13 through 6/30/14.

Purdom, Matthew S., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, 7/1/13 through 6/30/14.

Pursley, David C., College of Medicine, Clinical Assistant Professor, Neurology, 7/1/13 through 6/30/14.

Radulescu, Aurelia, College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Radulescu, Vlad C., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Rafi Ud Din, Muhammad, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Rajagopalan, Navin, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Rajan, Lawrence, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Raslau, Flavius D., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/13 through 6/30/14.

Ratliff, Stephanie, College of Social Work, Clinical Instructor, 7/1/13 through 6/30/14.

Ray, Deborah S., College of Dentistry, Assistant Professor\*, Oral Health Practice, 7/1/13 through 6/30/14.

Richards, Dana L., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, 7/1/13 through 6/30/14.

Richer, Edward J., College of Medicine, Clinical Assistant Professor, Radiology, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Ringley, Kimberly R., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Rinker, Gwendolyn H., College of Nursing, Assistant Adjunct Professor, 7/1/13 through 6/30/14.

Ritter, Christina M., College of Fine Arts, Lecturer, Theatre, 7/1/13 through 6/30/14.

Robbins, Susan N., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Roberts, Kittye R., College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

Rodriguez, Carla M., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/13 through 6/30/14.

Rogozinska, Anna, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Romond, Kelli K., College of Dentistry, Assistant Professor\*, Oral Health Practice, 7/1/13 through 6/30/14.

Rose, Stephanie A., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Rosenau, Jens, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Ross, Jenna L., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Roy, Zackary V., College of Medicine, Clinical Assistant Professor, Internal Medicine, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Rubino, Thomas G., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/13 through 6/30/14.

Rugg, Sarah S., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Sanford, Rebecca L., College of Social Work, Clinical Instructor, 7/1/13 through 6/30/14.

Sauer, Jeorg H., College of Arts and Sciences, Senior Lecturer, Modern and Classical Languages, Literatures, and Cultures, 7/1/13 through 6/30/16.

Sayers, Anne E., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Scanlon, Mary D., College of Social Work, Adjunct Instructor, 7/1/13 through 6/30/14.

Schaninger, Takako S., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Schrader, Laura C., College of Nursing, Lecturer, 7/1/13 through 6/30/14.

Seabolt, John P., College of Arts and Sciences, Adjunct Instructor, Biology, 5/7/13 through 6/4/13, and 7/1/13 through 6/30/14.

Seay, Thomas M., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/13 through 6/30/14.

Sedlacek, John D., College of Agriculture, Assistant Adjunct Professor, Entomology, 7/1/13 through 6/30/14.

Seelbach, Elizabeth B., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Sevak, Rajkumar J., College of Medicine, Assistant Adjunct Professor, Behavioral Science, 7/1/13 through 6/30/14.

Sewell, Robert S., College of Fine Arts, Lecturer, Art and Visual Studies, 7/1/13 through 6/30/14.

Seyler, Jane E., College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/13 through 6/30/14.

Shah, Jignesh S., College of Medicine, Assistant Professor (part-time), Internal Medicine, 7/1/13 through 6/30/14.

Shake, Margaret, College of Health Sciences, Instructor (part-time), Rehabilitation Sciences, 7/1/13 through 6/30/14.

Shepherd, Walter N., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/13 through 6/30/14.

Singh, Indrapal N., College of Medicine, Assistant Research Professor, Anatomy and Neurobiology, 7/1/13 through 6/30/14.

Smith, Brandon C., College of Fine Arts, Lecturer, Art and Visual Studies, 7/1/13 through 6/30/14.

Soult, Allison S., College of Arts and Sciences, Lecturer, Chemistry, 7/1/13 through 6/30/15.

Spicer, Paul J., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/13 through 6/30/14.

Stakelin, Kristen R., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Stamatiadis, Anne Marie, College of Arts and Sciences, Senior Lecturer, English, 7/1/13 through 6/30/16.

Stanton, Donna D., College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/13 through 6/30/14.

Stanton, Ryan A., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/13 through 6/30/14.

Stark, Roxana E., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/13 through 6/30/14.

Stefaniak, Karen A., College of Nursing, Assistant Adjunct Professor, 7/1/13 through 6/30/14.

Stevens, Scott D., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/13 through 6/30/14.

Stewart, John J., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Stockburger, Stephanie, College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Stribling, Zachary, College of Fine Arts, Lecturer, Theatre, 7/1/13 through 6/30/14.

Strifling, Rhya C., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Stumpf, Elizabeth S., College of Medicine, Assistant Research Professor, Physiology, 7/1/13 through 6/30/14.

Swigert, Nancy B., College of Health Sciences, Assistant Adjunct Professor, Rehabilitation Sciences, 7/1/13 through 6/30/14.

Talari, Goutham, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Talari, Preetham, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Tanous, Edward J., College of Medicine, Assistant Professor (part-time), Pathology and Laboratory Medicine, 7/1/13 through 6/30/14.

Taylor, Robert C., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/13 through 6/30/14.

Taylor, Shawn M., College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/13 through 6/30/14.

Thacker, James N., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/13 through 6/30/14.

Thomas, Brian N., College of Agriculture, Instructor (part-time), Agricultural Economics, 7/1/13 through 6/30/14.

Thompson, Donna, College of Nursing, Adjunct Instructor, 7/1/13 through 6/30/14.

Thornton, David, College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/13 through 6/30/14.

Thuraiajah, Prem H., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Torres Ramirez, Stefanie J., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Tovar, Molly M., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, 7/1/13 through 6/30/14.

Tower, Mark D., College of Medicine, Instructor (part-time), Internal Medicine, 7/1/13 through 6/30/14.

Tri, Mary, College of Arts and Sciences, Senior Lecturer, English, 7/1/13 through 6/30/16.

Van Meter, Emily M., College of Public Health, Assistant Professor, Biostatistics, 7/1/13 through 6/30/14.

Van Meter, Sarah W., College of Fine Arts, Lecturer, Art and Visual Studies, 7/1/13 through 6/30/14.

Vanderpool, Robin C., College of Public Health, Assistant Professor, Health Behavior, 7/1/13 through 6/30/14.

Vandsburger, Moriel H., College of Medicine, Assistant Professor, Physiology, 7/1/13 through 6/30/14.

Vincent, Stacy K., College of Agriculture, Assistant Professor, Community and Leadership Development, 7/1/13 through 6/30/14.

Vranicar, Mark G., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Wade, James, College of Fine Arts, Lecturer, Art and Visual Studies, 7/1/13 through 6/30/14.

Wahle, John P., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/13 through 6/30/14.

Walmsley, Lee A., College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

Wang, Chi, College of Public Health, Assistant Professor, Biostatistics, 7/1/13 through 6/30/14.

Wang, Qingjun, College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/13 through 6/30/14.

Watson, Adria M., College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

Watts, Heather, College of Health Sciences, Instructor (part-time), Rehabilitation Sciences, 7/1/13 through 6/30/14.

Webb, Angela K., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Webb, Jonathan C., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Webster, Keith J., College of Health Sciences, Assistant Adjunct Professor, Rehabilitation Sciences, 7/1/13 through 6/30/14.

Webster, Thomas C., College of Agriculture, Assistant Adjunct Professor, Entomology, 7/1/13 through 6/30/14.

Welker, Nicholas J., College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

Westgate, Philip M., College of Public Health, Assistant Professor, Biostatistics, 7/1/13 through 6/30/14.

Wheeler, Gregory R., College of Medicine, Clinical Assistant Professor, Neurosurgery, and joint appointment as Clinical Assistant Professor, Neurology, 7/1/13 through 6/30/14.

Wheeler, Kathleen S., College of Fine Arts, Lecturer, Art and Visual Studies, 7/1/13 through 6/30/14.

Wheeler, Kathy, College of Nursing, Clinical Assistant Professor, 7/1/13 through 6/30/14.

Wilcock, Donna M., College of Medicine, Assistant Professor, Physiology, 7/1/13 through 6/30/14.

Wilcox, Michael D., College of Agriculture, Assistant Adjunct Professor, Agricultural Economics, 7/1/13 through 6/30/15.

Wilkinson, Alan D., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/13 through 6/30/14.

Williams, Corrine M., College of Medicine, Assistant Professor, Obstetrics and Gynecology, and joint appointment as Assistant Professor, Health Behavior, College of Public Health, 7/1/13 through 6/30/14.

Williams, Dennis J., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, 7/1/13 through 6/30/14.

Winkler, Michael A., College of Medicine, Clinical Assistant Professor, Radiology, and joint appointment as Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Witt, Cheryl D., College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

Woodrum, Kim, College of Arts and Sciences, Senior Lecturer, Chemistry, 7/1/13 through 6/30/16.

Worne, Heather A., College of Arts and Sciences, Assistant Professor, Anthropology, 7/1/13 through 6/30/15.

Yang, Lin, College of Public Health, Assistant Professor, Biostatistics, 7/1/13 through 6/30/14.

Yarrison, Rebecca B., College of Medicine, Clinical Assistant Professor, Internal Medicine, and joint appointments as Clinical Assistant Professor, College of Nursing and Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Younes, Abbas A., College of Medicine, Clinical Assistant Professor, Otolaryngology - Head and Neck Surgery, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Young, Michael H., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Yozwiak, John A., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Zannoun, Ghadir K., College of Arts and Sciences, Lecturer, Modern and Classical Languages, Literatures, and Cultures, 7/1/13 through 6/30/15.

#### **E. PROMOTIONS WITHOUT TENURE**

DiLorenzo, Amy N., College of Medicine, from Lecturer, Anesthesiology, to Senior Lecturer, Anesthesiology, 7/1/13 through 6/30/16.

Jackson, Wendy L., College of Medicine, from Clinical Instructor, Obstetrics and Gynecology, to Clinical Assistant Professor, Obstetrics and Gynecology, 7/1/13 through 6/30/14.

Siebenthaler, Jennifer W., College of Business and Economics, from Lecturer, Accountancy, to Senior Lecturer, Accountancy, 7/1/13 through 6/30/16.

#### **F. CHANGES**

Appler, Douglas R., College of Design, from Professor (visiting), Historic Preservation, to Assistant Professor, Historic Preservation, 7/1/13 through 6/30/14.

Beshear, Deidra D., College of Medicine, from Clinical Assistant Professor, Internal Medicine, to Assistant Professor (part-time), Internal Medicine, 7/1/13 through 6/30/14.

Guinther, Lindsey L., College of Design, from Instructor (temporary), Interior Design, to Assistant Professor, Interior Design, 7/1/13 through 6/30/14.

Ison, Rebekah S., College of Design, from Instructor (temporary), Interior Design, to Assistant Professor, Interior Design, 7/1/13 through 6/30/14.

Kerns, Bradley, College of Fine Arts, from Assistant Professor, Music, to Assistant Professor\*, Music, 8/15/12 through 6/30/13.

Turner, Helen A., College of Design, from Instructor (temporary), Interior Design, to Assistant Professor, Interior Design, 7/1/13 through 6/30/14.

Wilkinson, Harvie B., College of Business and Economics, from Instructor (part-time), Management, to Instructor (part-time), Marketing and Supply Chain, 7/1/13 through 6/30/14.

#### **G. TERMINAL REAPPOINTMENTS**

Aalboe, Joanna A., College of Dentistry, Assistant Research Professor, Oral Health Science, 7/1/13 through 6/30/14.

Allen, Mary E., College of Health Sciences, Clinical Assistant Professor, Rehabilitation Sciences, 7/1/13 through 6/30/14.

Brown, Stephen A., College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/13 through 6/30/14.

Burt, John B., College of Dentistry, Clinical Assistant Professor, Oral Health Science, 7/1/13 through 6/30/14.

Cai, Lei, College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/13 through 9/30/13.

Campbell, Sarah E., College of Health Sciences, Clinical Assistant Professor, Rehabilitation Sciences, 7/1/13 through 6/30/14.

Carman, Angela L., College of Public Health, Clinical Assistant Professor, Health Services Management, 7/1/13 through 6/30/14.

Chesnut, Lorie W., College of Public Health, Assistant Professor, Epidemiology, 7/1/13 through 6/30/14.

Clepper, Marta L., College of Arts and Sciences, Lecturer, Earth and Environmental Sciences, 7/1/13 through 6/30/14.

Danaher, Robert J., College of Dentistry, Assistant Research Professor, Oral Health Practice, and joint appointment as Assistant Research Professor, Microbiology, Immunology and Molecular Genetics, College of Medicine, 7/1/13 through 6/30/14.

Diebold, Claudia M., College of Nursing, Senior Lecturer

Dingrando, Kelly J., College of Dentistry, Clinical Assistant Professor, Oral Health Science, 7/1/13 through 6/30/14.

Durbin, Eric B., College of Public Health, Assistant Research Professor, Biostatistics, 7/1/13 through 6/30/14.

Finlin, Brian S., College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/13 through 3/31/14.

Fraley, Christian S., College of Dentistry, Clinical Assistant Professor, Oral Health Science, 7/1/13 through 6/30/14.

Gal, Tamas S., College of Public Health, Assistant Research Professor, Biostatistics, 7/1/13 through 6/30/14.

Hagerman, Ellen C., College of Health Sciences, Clinical Assistant Professor, Rehabilitation Sciences, 7/1/13 through 6/30/14.

Hancock Jones, Laura B., College of Dentistry, Clinical Assistant Professor, Oral Health Science, 7/1/13 through 6/30/14.

Haque, Farzin, College of Pharmacy, Assistant Research Professor, Pharmaceutical Sciences, 7/1/13 through 6/30/14.

Haynes, Kathryn, College of Dentistry, Clinical Assistant Professor, Oral Health Science, 7/1/13 through 6/30/14.

Korotkova, Natalia A., College of Medicine, Assistant Research Professor, Molecular and Cellular Biochemistry, 7/1/13 through 6/30/14.

Lattin, Anne L., College of Health Sciences, Clinical Assistant Professor, Rehabilitation Sciences, 7/1/13 through 6/30/14.

Liang, Ying, College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/13 through 6/30/14.

Lu, Hong, College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/13 through 6/30/14.

Pepper, Kristy A., College of Dentistry, Clinical Assistant Professor, Oral Health Practice, 7/1/13 through 6/30/14.

Ren, Hongmei, College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/13 through 6/30/14.

Rush, Jeffrey S., College of Medicine, Assistant Research Professor, Molecular and Cellular Biochemistry, 7/1/13 through 6/30/14.

Sadler, William M., College of Dentistry, Assistant Professor\*, Oral Health Practice, 6/13/13 through 6/12/14.

Sandford, Jeremy, College of Business and Economics, Assistant Professor, Economics, 7/1/13 through 6/30/14.

Shaw, Michael, College of Arts and Sciences, Lecturer, Mathematics, 7/1/13 through 6/30/14.

Shin, Hainsworth Y., College of Engineering, Assistant Professor, Biomedical Engineering, 7/1/13 through 6/30/14.

Shridas, Preetha, College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/13 through 6/30/14.

Slavova, Svetla S., College of Public Health, Assistant Research Professor, Biostatistics, 7/1/13 through 6/30/14.

Subramanian, Venkateswaran, College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/13 through 6/30/14.

Symons, Thorburn B., College of Public Health, Assistant Professor, Gerontology, 7/1/13 through 6/30/14.

Theiss, B. L., College of Dentistry, Clinical Assistant Professor, Oral Health Practice, 7/1/13 through 6/30/14.

Walsh, Sabrina, College of Public Health, Assistant Research Professor, Epidemiology, 7/1/13 through 6/30/14.

White, Carol R., College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/13 through 6/30/14.

White, Keith, College of Dentistry, Clinical Assistant Professor, Oral Health Science, 7/1/13 through 6/30/14.

Zhang, Hui, College of Pharmacy, Assistant Research Professor, Pharmaceutical Sciences, 7/1/13 through 6/30/14.

## **H. LEAVES OF ABSENCE**

Chen, Zhi David, College of Engineering, Professor (with tenure), Electrical and Computer Engineering, Sabbatical leave at half salary, 7/1/13 through 12/31/13, and 7/1/14 through 12/31/14.

DeYoung, Alan, College of Education, Professor (with tenure), Educational Policy Studies and Evaluation, and joint appointment as Professor (without tenure), Sociology, College of Arts and Sciences, Scholarly fellowship leave, 2/5/14 through 6/30/14.

Dyk, Patricia H., College of Agriculture, Associate Professor (with tenure), Community and Leadership Development, and joint appointment as Associate Professor (without tenure), Sociology, College of Arts and Sciences, Sabbatical leave at full salary, 1/1/14 through 6/30/14.

Goldsmith, Judith A., College of Engineering, Professor (with tenure), Computer Science, Sabbatical leave at half salary, 7/1/13 through 6/30/14.

Hunter, David G., College of Arts and Sciences, Professor (with tenure), Modern and Classical Languages, Literatures, and Cultures, and joint appointment as Professor (without tenure), History, from Sabbatical leave at full salary, 1/1/14 through 6/30/14, to Sabbatical leave at two-thirds salary, 7/1/13 through 6/30/14.

James, Pearl, College of Arts and Sciences, Associate Professor (with tenure), English, Sabbatical leave at two-thirds salary, 7/1/13 through 6/30/14.

Kern, Kathi, College of Arts and Sciences, Associate Professor (with tenure), History, Sabbatical leave at full salary, 7/1/13 through 12/31/13.

Klapper, Andrew M., College of Engineering, Professor (with tenure), Computer Science, Sabbatical leave at full salary, 7/1/13 through 12/31/13.

Koch, Erin, College of Arts and Sciences, Associate Professor (with tenure), Anthropology, Sabbatical leave at full salary, 1/1/14 through 6/30/14.

Kusunose, Yoko, College of Agriculture, Assistant Professor, Agricultural Economics, and joint appointment as Assistant Professor, Economics, College of Business and Economics, Leave without pay, 6/5/13 through 7/31/13.

Liberato, Ana S., College of Arts and Sciences, Associate Professor (with tenure), Sociology, Sabbatical leave at full salary, 7/1/13 through 12/31/13.

Luhan, Gregory A., College of Design, Associate Professor (with tenure), Architecture, Sabbatical leave at half salary, 7/1/13 through 6/30/14.

O'Hara, Bruce, College of Arts and Sciences, Professor (with tenure), Biology, Entrepreneurial leave, 4/1/13 through 3/31/14.

Prats, Armando, College of Arts and Sciences, Professor (with tenure), English, Sabbatical leave at full salary, 1/1/14 through 6/30/14.

Sanday, Eric C., College of Arts and Sciences, Associate Professor (with tenure), Philosophy, Sabbatical leave at full salary, 7/1/13 through 12/31/13.

Smith, Samuel R., College of Agriculture, Extension Professor (with tenure), Plant and Soil Sciences, Sabbatical leave at full salary, 7/1/13 through 12/31/13.

Sossou, Marie A., College of Social Work, Associate Professor (with tenure), Sabbatical leave at full salary, 7/1/13 through 12/31/13.

Tunberg, Terence, College of Arts and Sciences, Professor (with tenure), Modern and Classical Languages, Literatures, and Cultures, Sabbatical leave at full salary, 1/1/14 through 6/30/14.

Vaillancourt, Lisa J., College of Agriculture, Professor (with tenure), Plant Pathology, Sabbatical leave at full salary, 7/1/13 through 12/31/13.

Zheng, Yanbing, College of Arts and Sciences, Associate Professor (with tenure), Statistics, Sabbatical leave at two-thirds salary, 7/1/13 through 6/30/14.

## **I. RESIGNATIONS**

- Akers, Kathryn S., College of Education, Assistant Research Professor, Educational Policy Studies and Evaluation, effective 6/30/13.
- Anderson, Heidi M., College of Pharmacy, Professor (with tenure), Pharmacy Practice and Science, effective 6/30/13.
- Chau, Destiny F., College of Medicine, Clinical Associate Professor, Anesthesiology, effective 7/31/13.
- Cholewa, Jason M., College of Education, Lecturer, Kinesiology and Health Promotion, effective 5/15/13.
- Elder, Jeffrey W., College of Medicine, Clinical Instructor, Obstetrics and Gynecology, effective 6/30/13.
- Fuchs, Valerie, College of Fine Arts, Lecturer, Art and Visual Studies, effective 6/30/13.
- Hart, Sharon, College of Fine Arts, Lecturer, Art and Visual Studies, effective 6/30/13.
- Haven, Robert W., College of Fine Arts, Associate Professor (with tenure), Theatre, effective 6/30/13.
- Higgs, Calvin T., College of Arts and Sciences, Lecturer, Modern and Classical Languages, Literatures, and Cultures, effective 6/30/13.
- High, Walter M., College of Medicine, Associate Professor (with tenure), Physical Medicine and Rehabilitation, and joint appointments as Associate Professor (without tenure), Neurosurgery and Associate Professor (without tenure), Psychology, College of Arts and Sciences, effective 10/15/13.
- Kimbrough, Andrew M., College of Fine Arts, Associate Professor (with tenure), effective 6/30/13.
- Laham, James L., College of Medicine, Clinical Associate Professor, Pediatrics, from effective 8/31/13, to effective 4/30/13.
- Lommel, Karen, College of Medicine, Assistant Professor\*, Psychiatry, and joint appointments as Assistant Professor\*, Pediatrics and Assistant Professor\*, Surgery, effective 6/30/13.
- Mansour, Heidi, College of Pharmacy, Assistant Professor, Pharmaceutical Sciences, effective 5/31/13.
- Phillips, Lynn R., College of Arts and Sciences, Lecturer, Geography, effective 6/30/13.
- Prevost, Suzanne S., College of Nursing, Professor\* (with tenure), effective 7/15/13.
- Shi, Geng-Xian, College of Medicine, Assistant Research Professor, Molecular and Cellular Biochemistry, effective 6/30/13.
- Villalba, Manuel J., College of Arts and Sciences, Lecturer, Hispanic Studies, effective 5/15/13.
- Zaheer, Fariha, College of Medicine, Clinical Instructor, Neurology, effective 6/30/13.

## **EXECUTIVE VICE PRESIDENT FOR HEALTH AFFAIRS**

### **I. BOARD ACTION**

#### **A. ADMINISTRATIVE APPOINTMENTS**

Vandenberg, Rene, Pharmacist/UKHC, Pharmacy Services, effective 04/21/2013

#### **B. CHANGES**

Byrom, Richard, from Field Service Technology Manager/ UKHC, EVPHA  
Information Technology to Field Service Engineer III/UKHC, EVPHA  
Information Technology

#### **C. RETIREMENTS**

Breeden, Rebecca, Pediatric Sonographer, after 20 years and 5 months of consecutive  
service, under AR 3:1 Section VIII.A., effective 04/17/2013

Myers, Dorothy, Account Clerk Senior, after 15 years and 0 months of consecutive  
service, under AR 3:1 Section VIII.A., effective 07/05/2013

Rafferty, Deborah. J., Social Worker Supervisor, after 29 years and 8 months of  
consecutive service, under AR 3:1 Section VIII.A., effective 06/28/2013

Tyler, Nawanna W., Phlebotomist, after 11 years and 10 months of consecutive service,  
under AR 3:1 Section VIII.A., effective 04/22/2013

Walker, Amy. S., Staff Support Associate II, after 25 years and 10 months of  
consecutive service, under AR 3:1 Section VIII.A., effective 04/19/2013

Whitaker, Mary N., Administrative Services Assistant, after 17 years and 7 months of  
consecutive service, under AR 3:1 Section VIII.A., effective 06/07/2013

### **II. ADMINISTRATIVE ACTION**

#### **A. CHANGES**

Dezaran, Morgan E., from Radiology Support Services Manager/UKHC, Imaging  
Services to Clinical Analyst Principal/ UKHC, Ambulatory Services  
Administration, effective 05/05/2013

Hagan, William, from Audio Visual Engineer II/UKHC, EVPHA Information  
Technology to Audio Visual Engineer III/UKHC, EVPHA Information  
Technology, effective 04/21/2013

Watkins, Jennifer, from Nurse Transplant Coordinator/ UKHC, Transplant Services to  
QAPI Program Manager/UKHC, Transplant Services, effective 05/05/2013

# PR 3

Office of the President  
June 11, 2013

Members, Board of Trustees:

PROPOSED REVISION TO GOVERNING REGULATIONS:  
EMPLOYMENT OF RELATIVES (NEPOTISM)

Recommendation: that the Board of Trustees approve the attached revisions to Governing Regulation (GR) I and X, relating to the employment of relatives (nepotism), which was received for preliminary consideration as PR 3 on May 14, 2013. The revisions are intended to: 1) strengthen and clarify the University's policies relating to the employment of relatives and nepotism; and, 2) provide for the appropriate disclosure and management of situations where nepotism may occur.

Background: The University of Kentucky intends to recruit and retain the most qualified candidates available for positions on the faculty and staff. The University does so in an international market increasingly defined by professionals who have spouses and extended family members also pursuing careers in the academe. As a result, there may be situations where it is in the best interest of the University to approve the employment of relatives within the same area of the University. When disclosed properly, these employment situations can be managed appropriately so that the University and its employees can avoid actual or perceived conflicts of interest and situations that might impair objective judgment.

In order to accomplish the University's goal of recruiting and retaining the most qualified employees, while at the same time appropriately managing situations that create a potential for nepotism to occur, the Governing Regulations require revision. The proposed revisions include:

1. A more concise definition of nepotism and a stronger statement that nepotism is prohibited.
2. A new and narrower definition of "relative." The new definition is identical to the definition of relative included in KRS 164.001 (20), but also includes "step-relatives" in the same relationship. For example, while the statute prohibits employment of a son or daughter, the new definition also includes a stepson and stepdaughter. The new definition eliminates the prohibition on nieces and nephews.
3. Specific criteria for the approval of an employment situation where one relative works under the direction and control of another relative. Such

employment arrangements will be approved only if: (1) the arrangement is in the best interest of the University; (2) the situation is managed so that neither employee is disadvantaged; (3) there is an explicit written agreement between the University and both employees regarding the supervisory and management arrangement for the situation; and (4) approvals are obtained from either the Board (relatives of the President and presidential direct reports) or the presidential direct report for the appropriate University sphere (all relatives).

4. Revisions of the existing Human Resources Policy and Procedure on Nepotism (HRP 19.0) to reflect the new GR revisions.

Revised copies of the relevant sections of GR I and GR X are attached. Proposed additions are underlined; proposed deletions are lined through.

The President and the General Counsel support these revisions. In accordance with Governing Regulation XIII, the University Senate, the Staff Senate, and the Student Government Association, have had an opportunity to recommend on these proposed revisions.

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Action taken:     ☒ Approved     ☐ Disapproved     ☐ Other \_\_\_\_\_



UNIVERSITY OF  
**KENTUCKY**<sup>®</sup>  
Regulations

**Governing Regulation, Part I**

Responsible Office: Board of Trustees

Effective: [DRAFT3/27/2012](#)

Supersedes Version: [3/27/2012](#)  
[4/22/2008](#)

## The University of Kentucky (Definition)

### Major Topics

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- A. [Statutory Definition](#)
- B. [University Programs and Requirements](#)
- C. [Vision, Mission and Values](#)
- D. [Ethical Principles and Code of Conduct](#)
  - 1. [Ethical Principles](#)
  - 2. [Code of Conduct](#)
    - (a) [Nondiscrimination Policy](#)
    - (b) [Confidentiality of Information](#)
    - (c) [Use of the University's Name](#)
    - (d) [Civic Responsibility of the Individual](#)
    - (e) [University Resources](#)
    - (f) [Sexual Harassment](#)
    - (g) [Personal Relationships](#)
    - (h) [Employment of Relatives](#)
    - (i) [Intellectual Property](#)
    - (j) [Conflict of Commitment](#)
    - (k) [Conflict of Interest](#)
    - (l) [Auditing Services](#)
    - (m) [Financial Advantage](#)
    - (n) [Fiscal Responsibility](#)
    - (o) [Acceptance of Gifts or Benefits](#)
    - (p) [Clarifications and Reporting Violations](#)
- E. [Diversity](#)
- F. [Shared Governance](#)
- G. [Order of Communication and Reports](#)

## Governing Regulation I.D.2 - Code of Conduct

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### (h) Employment of Relatives (Nepotism)

This nepotism policy reflects the realities of today's multi-professional, dual-career families in a manner that addresses nepotism concerns but does not inhibit the ability of the University to hire the best qualified individuals. Nepotism is generally inconsistent with the University's longstanding policy of making employment decisions based solely on unit needs and individual qualifications, skills, ability, and performance.

Nepotism is generally prohibited within the University community. Nepotism means actions by a member of the University community that shall directly influence, benefit, or cause detriment to the University employment (e.g., hiring, promotion, supervision, evaluation, and determination of salary) of any other member who is a relative. Relative means a person's father, mother, brother, sister, husband, wife, son, daughter, aunt, uncle, son-in-law, daughter-in-law, and step-relatives in the same relationships.

The employment of relatives within the University is not precluded, as long as the employment is in compliance with all University policies regarding employment qualifications, performance, promotion, and management of situations where nepotism, or the appearance of nepotism, is possible. See Governing Regulation X, Regulations Affecting Employment, and Human Resources Policy and Procedure 19.0, Nepotism, for additional University policies and procedures related to nepotism and the appropriate management of situations involving the employment of relatives within the University.

~~In order to assure independence of judgment that is free from conflicting interest and to avoid relationships that could be perceived as conflicts of interest, no relative of the President shall be employed in a position at the University.~~

~~Similarly, no relative of the Provost, or any executive vice president, vice president, or any associate provost or associate vice president shall be employed in a position in that officer's administrative area. The Board of Trustees on a stated temporary basis may permit waiver of the above regulation, not to exceed two years, when it is otherwise impractical to fill a position with another fully qualified person. The same individual shall not be eligible for reappointment under the terms of this exception unless approval is given by the Board of Trustees.~~

~~No relative of any employee of the University may be appointed to any position in the University over which the related employee exercises supervisory or line authority. Employment of relatives within the same department or division shall be approved specifically by the Provost or executive vice president, as appropriate (GR Part X-1).~~

~~The University shall employ no relative of a member of the Board of Trustees. Members of the Board of Trustees, except those elected to the Board as faculty, staff, or student representatives, and relatives of any member of the Board of Trustees are ineligible for employment at the University.~~



# UNIVERSITY OF KENTUCKY®

## Regulations

### Governing Regulation, Part X

Responsible Office: Board of Trustees

Date Effective: [DRAFT6/14/2011](#)

Supersedes Version: [6/14/2011](#)  
~~10/14/2008~~

## Regulations Affecting Employment

### Major Topics

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#### [Employment of Faculty](#)

[Types of Faculty Appointments](#)

[Probationary Periods](#)

[Delay of Probationary Periods](#)

[Appointment Record](#)

[Notification of Non-Renewal of Appointment](#)

[Termination of Appointment](#)

[Academic Freedom of Non-Tenured Faculty](#)

[Resignation](#)

#### [Conditions of Employment](#)

[Terms of Assignment](#)

[Vacation Leave](#)

[Professional Practices](#)

[Leaves of Absence](#)

#### [Employment of Staff Employees](#)

[Opportunity for Hearing](#)

### A. General

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#### 1. [Merit as the Basis for Appointment](#)

All appointments shall be made strictly on the basis of merit. An applicant for a position shall not be discriminated against because of sex, race, ethnic origin, national origin, sexual orientation, color, creed, religion, age, or political belief. Additionally, the University shall not discriminate against any applicant for employment because of Vietnam-era veteran status, disabled veteran status, or physical or mental disability in regard to any position for which the applicant for employment is qualified.

## 2. Employment of Relatives (Nepotism)

- a. Nepotism is generally inconsistent with the University's longstanding policy of making employment decisions based solely on unit needs and individual qualifications, skills, ability, and performance. Nepotism is generally prohibited within the University community. Nepotism means actions by a member of the University community that directly influence, benefit, or detriment the University employment (e.g., hiring, promotion, supervision, evaluation, and determination of salary) of any other University member who is a relative. Relative means a person's father, mother, brother, sister, husband, wife, son, daughter, aunt, uncle, son-in-law, daughter-in-law, and step-relatives in the same relationships. Employment includes regular and temporary full- and part-time employment, student employment and work-study, and graduate and other assistant programs.
- b. No member of the Board of Trustees, except those elected to the Board of Trustees as faculty or staff representatives, shall be employed by the University. (KRS 164.131(7)) No relative of a member of the Board of Trustees shall be employed by the University. (KRS 164.225)
- c. No relative of the President or any person reporting directly to the President shall be employed in a position in that person's administrative area, unless approval is granted by the Board of Trustees. Approval by the Board shall only be granted upon a determination that:
- (1) The employment is in the best interest of the University;
  - (2) The Board has been informed of the selection process and all University regulations and policies regarding hiring and employment qualifications have been followed; and
  - (3) The Board has reviewed and approved a written management plan, including an agreement concerning supervisory and other management arrangements. The management plan shall ensure that employment decisions are made impartially and that neither employee is disadvantaged. The management plan shall ensure that the possibility of nepotism and the appearance of impropriety are eliminated and shall be consistent with *Human Resources Policy and Procedures 19.0, Nepotism*.
- d. In general, no relative of any employee of the University may shall be employed in any position in the University over which the related employee exercises supervisory or line authority. In some cases, however, when it is in the best interest of the University, potential conflicts can be managed through prompt disclosure of the relationship and approval by the appropriate senior administrator. Senior administrator means the person reporting directly to the President and having supervisory authority for the area where the conflict or potential conflict exists. Approval by the Senior Administrator shall only be granted upon a determination that:
- (1) The employment is in the best interest of the University;
  - (2) The Senior Administrator has been informed of the selection process and all University regulations and policies regarding hiring and employment qualifications have been followed; and
  - (3) Approval shall include a written management plan. The Senior Administrator has reviewed and approved a written management plan, including an agreement concerning supervisory and other management arrangements. The management plan shall ensure that employment decisions are made impartially and that neither employee is disadvantaged. The management plan shall ensure that the possibility of nepotism and the appearance of impropriety are eliminated and shall be consistent with *Human Resources Policy and Procedures 19.0, Nepotism*.
- e. The employment of relatives of University employees, as described in this paragraph, whose relationship was not a violation of this section of these *Governing Regulations* prior to its amendment on April 10, 1984, does not constitute a violation of the amended policy provided the relatives are

regular employees of the University and remain in the University employment positions which they held on April 10, 1984. However, the transfer of any such employees described in this paragraph (whose employment relationship was not a violation of policy prior to its amendment on April 10, 1984) to any other regular University position shall require approval of the President.

~~Members of the Board of Trustees, except those elected to the Board of Trustees as faculty, staff, or student representatives, and relatives of any member of the Board of Trustees are ineligible for employment at the University. "Relatives", as used above, include parents and children, husbands and wives, brothers and sisters, brothers and sisters-in-law, mothers and fathers-in-law, uncles, aunts, nieces and nephews, sons and daughters-in-law, and step-relatives in the same relationships. Employment includes regular and temporary full and part-time employment, including student work-study and graduate assistant programs.~~

~~No relative of the President shall be employed in a position at the University. Similarly, no relative of the Provost, or any associate provost, executive vice president, vice president, or associate vice president shall be employed in a position in that officer's administrative area. Waiver of the above regulation may be permitted by the Board of Trustees. All changes in position and/or rank related to this waiver shall be approved by the Board of Trustees. No relative of any administrative officer of the University, or of any member of the University Faculty, may be appointed to any position in the University over which the related officer or staff employee exercises supervisory or line authority. Employment of relatives within the same department or division shall be approved specifically by the Provost or appropriate executive vice president or vice president. "Relatives," as used above, include parents and children, husbands and wives, brothers and sisters, brothers and sisters-in-law, mothers and fathers-in-law, uncles, aunts, nieces and nephews, sons and daughters-in-law, and step-relatives in the same relationships. The employment of relatives of University employees, as described in this paragraph, whose relationship was not a violation of this section of these *Governing Regulations* prior to its amendment on April 10, 1984, does not constitute a violation of the amended policy provided the relatives are regular employees of the University and remain in the University employment positions which they held on April 10, 1984. However, the transfer of any such employees described in this paragraph (whose employment relationship was not a violation of policy prior to its amendment on April 10, 1984) to any other regular University position shall require approval of the President.~~

# PR 4

Office of the President  
June 11, 2013

Members, Board of Trustees:

PROPOSED REVISION TO GOVERNING REGULATIONS:  
ETHICAL PRINCIPLES AND CODE OF CONDUCT

Recommendation: that the Board of Trustees approve the attached revisions to Governing Regulation I, and approve the new Governing Regulation, XIV, which were received for preliminary consideration as PR 4 on May 14, 2013. The revisions are intended to clarify and strengthen the University's commitment to ethical behavior.

Background: In 2012 the President empaneled a workgroup to review university policies and processes regarding legal and ethical conduct. Part of the workgroup's recommendations focused on strengthening the University's Ethical Principles and Code of Conduct. The workgroup's recommendations for improving the Ethical Principles and Code of Conduct are incorporated into the proposed revisions and include: moving the Ethical Principles and Code of Conduct to become a stand-alone Governing Regulation (GR XIV) to make a more powerful statement; and adding four new sections to Ethical Principles: Financial Responsibilities; Compliance Responsibilities; Truth, Honesty, and Integrity; and Ensuring Compliance (whistle blower) Responsibilities. (Note: Financial Responsibilities was added in 2012.)

In addition to removing the Ethical Principles and Code of Conduct from Governing Regulation I, other revisions to that regulation include: updating the history of the establishment of each University college and moving the history section from a footnote to a regular section.

A revised copy of GR I and the new GR XIV are attached. Proposed additions are underlined; proposed deletions are lined through.

In accordance with Governing Regulation XIII, the President, the University Senate, the Staff Senate, and the Student Government Association, have had an opportunity to recommend on these proposed revisions. These proposed revisions are supported by the President, University Senate, Staff Senate, and Student Government Association.

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Action taken:      ☒ Approved      ☐ Disapproved      ☐ Other \_\_\_\_\_



# UNIVERSITY OF KENTUCKY®

## Regulations

### Governing Regulation, Part I

Responsible Office: Board of Trustees

Effective: 3/27/2012 DRAFT

Supersedes Version:  
3/27/2012 4/22/2008

## The University of Kentucky (Definition)

### Major Topics

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- A. Statutory Definition
- B. University Programs and Requirements
- C. Vision, Mission and Values
- ~~D.~~ (Note: the Ethical Principles and Code of Conduct are now GR XIV.)
- ~~DE.~~ Diversity
- ~~EF.~~ Shared Governance
- ~~EG.~~ Order of Communication and Reports
- ~~GH.~~ Use of University Property and Resources/Facilities
  - ~~1I.~~ Political Activity
  - ~~2.~~ Religious Activity
  - ~~3J.~~ Tobacco Policy
  - ~~4K.~~ Solicitation of Funds
  - ~~5L.~~ Campus Sales

### A. Statutory Definition

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1. The term University of Kentucky refers to the institution described in the Kentucky Revised Statutes (KRS) in Chapter 164. KRS 164.100 states that the University of Kentucky is hereby recognized as established and maintained. The legislation states that the University of Kentucky is the institution that was founded under the land grant of 1862 by the United States Congress under the corporate designation and title of "Agricultural and Mechanical College of Kentucky." Further, KRS 164.100 provides that the University shall be maintained by the state with such endowment, incomes, buildings, and equipment as will enable it to do work such as is done in other institutions of corresponding rank, both undergraduate and postgraduate, while embracing instruction as well as research.
2. Legislation in KRS 164.120 states that that the colleges, schools, divisions, department, bureaus and offices that are now established and maintained or that in the future may be established by the Board of Trustees shall constitute the University of Kentucky.<sup>+</sup> The legislation also notes that the branches of

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<sup>+</sup>The dates of establishment of the colleges listed in the statute are: *Arts and Sciences* (1908); *Agriculture* (1908); *Law* (1908); *Education* (1925); *Graduate* (1912); *Engineering* (1918, as the merger of the College of Civil

learning required by the Land Grant Act of Congress approved July 2, 1862, shall be integral and indispensable courses of instruction at the University.

a. The dates of establishment of the colleges listed in KRS 164.120 are:

- Arts and Sciences, 1908;
- Agriculture, 1908, now Agriculture, Food, and Environment, July 1, 2013 (History: College of Agriculture, established 1908; renamed College of Agriculture, Food, and Environment, July 1, 2013);
- Law, 1908;
- Education, May 22, 1923;
- Graduate School, 1912;
- Engineering, 1918 (History: established through merger of the College of Civil Engineering, the College of Mechanical Engineering, and the College of Mines and Metals, 1918); and
- Commerce, 1925, now Carol Martin Gatton College of Business and Economics, December 12, 1995 (History: College of Commerce, established 1925; renamed College of Business and Economics, July 1, 1966; renamed Carol Martin Gatton College of Business and Economics, December 12, 1995).

b. In accordance with KRS 164.120, the following colleges have been subsequently established by the Board of Trustees:

- Pharmacy, July 1, 1947 (History: established Aug. 16, 1870, as the Louisville College of Pharmacy, an independent institution of higher education; became part of the University of Kentucky in 1947; and part of the newly developed UK Chandler Medical Center in 1966);
- Medicine, June 1, 1954;
- Dentistry, May 28, 1956;
- Nursing, May 28, 1956;
- Architecture, July 1, 1964, now Design, October 29, 2002 (History: School of Architecture separated from the College of Engineering, July 1, 1964; raised to College of Architecture, May 5, 1970; renamed College of Design, October 29, 2002, consisting of the School of Interior Design, a new School of Architecture to replace the College of Architecture, and Historic Preservation);
- Allied Health Personnel, January 1, 1966, now Health Sciences, April 30, 2002 (History: established as School of Allied Health Personnel, January 1, 1966; renamed School of Allied

Engineering, the College of Mechanical Engineering, and the College of Mines and Metals); and Commerce (1925, renamed the College of Business and Economics February 18, 1966, renamed the *Gatton College of Business and Economics*, 1996). In accordance with this statute, the following colleges have been subsequently established by the Board of Trustees: *Pharmacy* (July 1, 1947); *Medicine* (June 1, 1954); *Dentistry* (May 28, 1956); *Nursing* (May 28, 1956); *Architecture* (separated from Engineering as a School July 1, 1964, raised to a college May 5, 1970, renamed *Design*, October 29, 2002); *Allied Health Personnel* (as a school January 1, 1966), renamed *Allied Health Professions* (January 20, 1967), raised to a college, May 5, 1970, renamed *Health Sciences*, April 30, 2002); *Public Health* (May 4, 2004); *Home Economics* (separated from the College of Agriculture, 1916, merged back into College of Agriculture, 1917, separated from Agriculture as a school July 1, 1967, raised to a college May 5, 1970, renamed *Human Environmental Sciences*, January 22, 1991, merged into the College of Agriculture July 1, 2003 as the *School of Human Environmental Sciences*); *Library Science* (separated from Arts and Sciences as a school April 2, 1968, raised to a college May 5, 1970, renamed *Library and Information Science*, April 6, 1982, merged with Communications and renamed *Communications and Information Studies*, June 22, 1993); *Social Professions* (separated from Arts and Sciences as a school June 24, 1968, raised to a college May 5, 1970, renamed *Social Work*, May 6, 1980); *Communications* (May 4, 1976, renamed *Communications and Information Studies*, June 22, 1993); and *Fine Arts* (separated from Arts and Sciences May 4, 1976).

- Health Professions, January 20, 1967; raised to a college, May 5, 1970; renamed College of Health Sciences, April 30, 2002);
- **Public Health** (July 1, 2004);
- **Home Economics**, 1916, now **School of Human Environmental Sciences**, July 1, 2003 (History: separated from the College of Agriculture as College of Home Economics, 1916; merged back into College of Agriculture, 1917; separated from College of Agriculture as the School of Home Economics, July 1, 1967; raised to College of Home Economics, May 5, 1970; renamed College of Human Environmental Sciences, January 22, 1991; merged into the College of Agriculture as the School of Human Environmental Sciences, July 1, 2003);
- **Library Science**, April 2, 1968, now **School of Library and Information Science**, June 22, 1993 (History: separated from College of Arts and Sciences as the School of Library Science, April 2, 1968; raised to College of Library Science, May 5, 1970; renamed College of Library and Information Science, April 6, 1982; merged with College of Communications as the College of Communications and Information Studies, and Library and Information Science became the School of Library and Information Science within the new college, June 22, 1993);
- **Communications**, May 4, 1976, now **Communication and Information**, July 1, 2012 (History: School of Journalism and Department of Human Communications separated from College of Arts and Science to form the College of Communications, May 4, 1976; merged with College of Library and Information Science as College of Communications and Information Studies, and Library and Information Science became the School of Library and Information Science within the new college, June 22, 1993; renamed College of Communication and Information, July 1, 2012); and
- **Social Professions**, June 24, 1968, now **Social Work**, May 6, 1980 (History: separated from Arts and Sciences as the School of Social Professions, June 24, 1968; raised to College of Social Professions, May 5, 1970; renamed College of Social Work, May 6, 1980); and
- **Fine Arts**, May 4, 1976 (History: School of Music, Department of Art, and Department of Theatre Arts separated from the College of Arts and Sciences to form the College of Fine Arts, May 4, 1976).

3. Through KRS 164.125, the legislation provides that the University of Kentucky shall be the principal state institution for the conduct of statewide research and statewide service programs and shall be the primary institution authorized to expend State General Fund appropriations on research and service programs of a statewide nature financed principally by state funds. Further, this legislation states that (a) the University's research and service programs of a statewide nature, as noted in KRS 164.125, shall be programs requiring the establishment and operation of facilities or centers outside of the primary service area of the institution; (b) in carrying out its statewide mission, the University of Kentucky shall conduct statewide research and provide statewide services including, but not limited to, agricultural research and extension services, industrial and scientific research, industrial technology extension services to Kentucky employers, and research related to the doctoral, professional, and post-doctoral programs offered within the University; (c) the University may establish and operate centers and utilize state appropriations and other resources to carry out the necessary research and service activities throughout the state; and (d) the University may enter into joint research and service activities with other universities in order to accomplish its statewide mission. The legislation specifically notes that nothing contained in KRS 164.125(2) shall limit the authority of the Council on Postsecondary Education to establish instructional programs that are consistent with the strategic agenda.

## B. University Programs and Requirements

1. The University of Kentucky shall provide:

- ~~{a.}~~ Upon approval of the Council on Postsecondary Education, baccalaureate programs of instruction;
- ~~{b.}~~ Upon approval of the Council on Postsecondary Education, master degree programs, specialist

degree programs above the master's degree level, and joint doctoral programs in cooperation with other public postsecondary educational institutions in the state;

- (c.) Upon approval of the Council on Postsecondary Education, doctoral and post-doctoral programs and professional instruction including law, medicine, dentistry, education, architecture, engineering and social professions. (KRS 164.125)
2. The Board of Trustees is an independent agency and an instrumentality of the Commonwealth of Kentucky with exclusive jurisdiction, power over and control of appointments and terminations, qualifications, salaries and compensation, as well as promotions, relations and retirement benefits of all employees of the University.

## C. Vision, Mission and Values

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The University of Kentucky Board of Trustees adopted the following amended Vision, Mission and Values Statement on January 23, 2007, and modified it on March 27, 2012.

### 1. Vision

The University of Kentucky will be one of the nation's 20 best public research universities.

### 2. Mission

The University of Kentucky is a public, land grant university dedicated to improving people's lives through excellence in education, research and creative work, service and health care. As Kentucky's flagship institution, the University plays a critical leadership role by promoting diversity, inclusion, economic development and human well-being.

The University of Kentucky:

- Facilitates learning, informed by scholarship and research;
- Expands knowledge through research, scholarship and creative activity; and
- Serves a global community by disseminating, sharing and applying knowledge.

The University, as the flagship institution, plays a critical leadership role for the Commonwealth by contributing to the economic development and quality of life within Kentucky's borders and beyond. The University nurtures a diverse community characterized by fairness and equal opportunity.

### 3. Values

The University of Kentucky is guided by its core values:

- Integrity;
- Excellence;
- Mutual respect and human dignity;
- Diversity and inclusion;
- Academic freedom;
- Personal and institutional responsibility and accountability;
- Shared governance;
- A sense of community;
- Work-life sensitivity;
- Civic engagement; and
- Social responsibility.

## DE. Diversity

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The University is committed to diversity as a vital characteristic of an optimal education and workplace. The University maintains a firm conviction that it must strengthen the diversity of its communities, support free expression, reasoned discourse and diversity of ideas; and take into account a wide range of considerations, including but not limited to, ethnicity, race, disability, and sex, when making personnel and policy decisions. The University is committed to periodically evaluating progress made toward diversity and to communicating the results of such evaluations. Based upon these assessments, the University will give diversity factors consideration to ensure achievement of its mission of instruction, research and service and gain the broadest benefits for the University community.

## EF. Shared Governance

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The diverse expertise collectively available to the University in its faculty, administration, staff employees, and students is a valuable resource. The University as a whole will be able to function at maximum effectiveness where there is an environment in which the sharing of this expertise is valued and promoted. If this expertise is shared, it will enable policy-makers at every level of the organization to make better decisions. To achieve this objective in an environment of shared governance, faculty bodies and administrators will reciprocally solicit and utilize the expertise of the other as each makes decisions in their respective areas of policy-making authority. Through these empowering processes of shared governance, the administration, faculty members, staff employees and students all share the responsibility of attainment of the University's goals.

## EG. Order of Communication and Reports

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All University faculty members and staff employees shall have full rights of communication with administrative officers of the University and the Board of Trustees through established administrative channels. Official recommendations and communications from any faculty member or staff employee shall be sent by the individual to the individual's immediate supervisor. When requested, the supervisor shall transmit such recommendations or communications, with the supervisor's own comments and recommendations to that supervisor's own immediate supervisor.

All faculty members and staff employees shall be entitled to appeal any decision affecting terms of their employment by the University through regularly established channels.

When a supervisory decision or reporting relationship involves a conflict of interest, the next higher-level administrative officer shall designate an alternative officer for the purpose of the decision or reporting.

This regulation shall in no way limit the President in communicating with faculty members or staff employees, nor shall it prevent communication between faculty members, staff employees, or administrative officers, and the Board of Trustees for purposes other than the submission of official recommendations and communications.

## GH. Use of University Property Facilities and Resources

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Kentucky Revised Statutes 164.160 and 164.200, respectively, grant to the Board of Trustees the power to receive, hold, and administer on behalf of the University, all types of property and to establish proper regulations for the government of same.

By virtue of the above, University of Kentucky facilities property and resources, including but not limited to real property, equipment, human resources, and other tangible and intangible assets, shall be used only for educational, cultural or charitable purposes, or other purposes as determined by the President or by the administrative officer to whom the President has delegated this responsibility in accordance with these *Governing Regulations, Administrative Regulations, and University Senate Rules*.

#### 1J. Political Activity

The University cannot endorse, support or promote any political candidate or any partisan political activity. Therefore, University facilities resources shall not be used for conventions, rallies, or any campaign activities that would further the interests of a political party<sup>2</sup> or of a candidate or candidates for public office. The facilities resources of the University may be used for public forums to *Governing Regulations* which all legally qualified candidates for a public office are invited to speak provided that all such candidates are given equal access and opportunity to speak.

University facilities resources may be used by registered student organizations for meetings with a candidate or candidates for public office when meetings are open in attendance to students, faculty members, and staff employees of the University.

#### 2. Religious Activity

In accordance with the Constitution of the Commonwealth of Kentucky, the decisions of the United States Supreme Court, and the opinions of the Office of the Attorney General to the Commonwealth of Kentucky, University facilities resources shall not be used to advance or inhibit religion.

#### 3J. Tobacco Policy

Kentucky Revised Statute 61.165 requires the Board of Trustees to adopt a written policy related to smoking in buildings owned, operated, or under the jurisdiction of the University. The President is delegated authority to promulgate an Administrative Regulation regarding the use of tobacco products. Pursuant to AR 6:5, the University is a tobacco-free campus.

#### 4K. Solicitation of Funds

Various charitable organizations desire, from time to time, to conduct on-campus solicitations of contributions from faculty and staff. These charitable solicitations are generally carried out: (1) through use of University mail service; (2) through use of University email systems; and, (3) through access to the payroll deduction system. The University does not intend to convert its mail service, its email systems, or its payroll process into either a limited or unlimited public forum, but prefers to maintain its systems as a non-public forum.

The following criteria will be applied by the President in determining which, if any, charitable organizations will be permitted to engage in the on-campus solicitation of faculty and staff:

a1. Whether the charity maintains a diversity plan;

b2. In cases where access to the payroll deduction is involved, whether the charity can demonstrate that at least 30 percent of the on-campus, University employees have given to the charity in four out of five years or that 30 percent of the on-campus, University employees intend to give to the charity in the current year;

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<sup>2</sup> "Political party" is defined as a voluntary association of persons formed and organized for the purpose of nominating or electing candidates for public office. This definition includes, but is not limited to, the meaning of "political party" as defined in KRS 118.015(1).

- c3. In cases of federated or "umbrella" charities, whether the charity provides a health or human service in Fayette County or the University and the extent of such service in the local area;
- d4. Whether the charity maintains a local office or service center;
- e5. Whether the charity maintains a reasonable ratio (not more than 15 percent for administration and fund raising) of administrative expenses to program expenses;
- f6. In the case of federated or "umbrella" charities, whether the charity makes it a practice to submit to an annual review by local citizens of financial and program activity;
- g7. Whether the charity maintains, from year to year, an active board of local volunteers;
- h8. In the case of federated or "umbrella" charities, whether the federated charity requires its recipient agencies to maintain operational standards in order to maintain their status as recipients;
- i9. Require an annual audit and that the charity receives an unqualified audit opinion;
- j10. Whether the charity is a non-profit organization; and,
- k11. Whether the charity provides services on an annual basis to employees and students of the University.

#### 5L. Campus Sales

Solicitations for subscriptions, sales of merchandise of any kind whatsoever, publications, or services upon University property other than by the regularly authorized stores, food service places, departments, or divisions of the University are prohibited except upon written permission of the Dean of Students. Any person violating these provisions shall be subject, upon proper notice, to eviction from the University property.

## References and Related Materials

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KRS 45A, Kentucky Model Procurement Code

KRS 61.165, Smoking policy for governmental office buildings or workplaces and postsecondary education institutions

KRS 118.015(1), Definitions

KRS 164.100, University of Kentucky recognized

KRS 164.120, Colleges maintained

KRS 164.125, University Programs

KRS 164.131, Board of Trustees of University of Kentucky - Membership - Terms

KRS 164.160, Trustees - power to receive and administer revenue and property

KRS 164.200, Regulations for university - Physical training and discipline of students

## Revision History

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6/14/2005, 10/10/2006, 1/23/2007, 9/11/2007, 4/22/2008, 3/27/2012

For archive versions contact: [Office of Legal Counsel](#)



UNIVERSITY OF  
**KENTUCKY**  
Regulations

**Governing Regulation, Part  
XIV**

Responsible Office: Board of Trustees

Effective: **DRAFT**

Supersedes Version: **New GR**

**Governing Regulation, Part XIV**

**Ethical Principles and Code of Conduct**

**Major Topics**

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**A1.** Ethical Principles

**B2.** Code of Conduct

- 1.(a)** Nondiscrimination Policy
- 2.(b)** Confidentiality of Information
- 3.(c)** Use of the University's Name
- 4.(d)** Civic Responsibility of the Individual
- (e) University Resources**
- 5.(f) Discrimination and Sexual Harassment**
- 6.(g)** Personal Relationships
- 7.(h)** Employment of Relatives
- 8.(i)** Intellectual Property
- 9.(j)** Conflict of Commitment
- 10.(k)** Conflict of Interest
- 11.(l)** Auditing Services
- 12. University Resources**
- 13. Fiscal Responsibility**
- 14.(m)** Financial Advantage
- (n) Fiscal Responsibility**
- 15. Compliance Responsibilities**
- 16. Compliance (Whistle Blower) Protection**
- 17. Truth, Honesty, and Integrity**
- 18.(o)** Acceptance of Gifts or Benefits
- 19.(p)** Clarifications and Reporting Violations

## Introduction

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~~The Board of Trustees adopted the document, Ethical Principles and Code of Conduct, on January 27, 2004, and modified it on March 27, 2012.~~

### ~~1. Ethical Principles~~

~~a) The University of Kentucky Ethical Principles and Code of Conduct document the University's expectations of responsibility and integrity by its members.~~

## ~~DA. Ethical Principles and Code of Conduct~~

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### ~~1. Ethical Principles~~

~~a) Exemplary ethical conduct is critically important in our relationships with colleagues, trustees, students, volunteers, contractors, and the public. This statement reflects the values of the University approved by the Board of Trustees on January 27, 2004 and modified on March 27, 2012.~~

~~1.(b) The following core values guide our decisions and behavior:~~

- Integrity;
- Excellence;
- Mutual respect and human dignity;
- Diversity and inclusion;
- Academic freedom;
- Personal and institutional responsibility and accountability;
- Shared governance;
- A sense of community;
- Work-life sensitivity;
- Civic engagement; and
- Social responsibility.

~~2.(c) Each member of the University must endeavor to:~~

- Promote the best interests of the University of Kentucky;
- Foster the Vision, Mission, and Values of the University;
- Preserve the public's respect and confidence in the University of Kentucky;
- Exhibit personal integrity, honesty, and responsibility in all actions;
- Provide an environment of mutual respect, impartiality, and collaboration;
- Maintain confidentiality in all matters deemed confidential;
- Assure independence of judgment free from conflicting interests;
- Ensure that relationships that constitute or could be perceived as conflicts of interest are fully and properly disclosed and University guidelines are followed;
- Comply with the policies and procedures of the University of Kentucky and applicable state and federal laws and regulations; and
- Demonstrate stewardship of University property and resources.

## B. Code of Conduct

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### 2. Code of Conduct

Those acting on behalf of the University of Kentucky have a duty to conduct themselves in a manner that will maintain the public's trust in the integrity of the University and to act compatibly with their obligation to the University. The Code of Conduct establishes guidelines for professional conduct for University

members, including trustees, executive officers, faculty, staff, and other individuals employed by the University, those using University resources or facilities, and volunteers and representatives acting as agents of the University (collectively "University members"). The conduct of students is addressed in the Student Rights and Responsibilities.

The Code of Conduct is intended ~~as a general guide~~ to determine what conduct is expected and to help individuals to determine behaviors that should be avoided. Employees are strongly urged to consult with their supervisor to review and evaluate specific situations. Violations of this code will be subject to appropriate ~~sanctions~~ penalties. In addition to the Code, University members are subject to all University ~~codes,~~ regulations, ~~and~~ policies, and state and federal law. As applicable, University members shall comply with:

- Federal laws, regulations, and policies;
- Kentucky Revised Statutes (KRS) and Kentucky Administrative Regulations (KAR);
- University and unit-level policies and procedures including, but not limited to, the *Governing Regulations (GR)*, *Administrative Regulations (AR)*, *Human Resources Policy and Procedure Manual (HRP&P)*, the *Business Procedures Manual (BPM)*, and *University Senate Rules*;
- Contract, grant, and donor stipulations;
- Accreditation requirements; and
- Generally accepted accounting principles.

While this Code of Conduct provides expectations ~~overall guidance and in some instances~~ interpretation, additional guidance is found in other official University policy documents, such as the *Governing Regulations*, *Administrative Regulations*, *Human Resources Policies and Procedures*, *University Senate Rules*, and *Business Procedures Manual*.

#### 1.(a) Nondiscrimination Policy

Equal opportunities shall be provided for all persons throughout the University in recruitment, appointment, promotion, payment, training, and other employment and education practices without regard for economic or social status, ~~and will not discriminate on the basis of~~ race, color, ethnic origin, national origin, creed, religion, political belief, sex, sexual orientation, marital status, or age. The University does not discriminate on the basis of uniform service, veteran status, or physical or mental disability when an individual otherwise meets the minimum qualifications for application or participation. All University members are expected to comply with the institution's nondiscrimination policy. The President is responsible for the development of an affirmative action plan by which full implementation of this policy shall be effected in the University. (See AR 6:1 and Human Resources Policy and Procedures (HRP&P) number 2.0.)

#### 2.(b) Confidentiality of Information

University members are entrusted with personal and institutional information that should be treated with confidentiality and used only for conducting University business. Respect for individual and institutional privacy requires the exercise of care and judgment. Unless required or permitted by law or University regulations, personal and official information provided by and about faculty, staff and students must not be given to third parties without the consent of the individuals concerned. When doubt exists regarding the confidentiality of information, University members should presume information is confidential until determined otherwise.

#### 3.(c) Use of the University's Name

University members have a public association with the University, but are also private citizens, thus care must be taken to appropriately differentiate between the two roles. University members may not use or allow the use of the name of the University or identify themselves as employees of the University of Kentucky in the public promotion or advertising of commercial products without prior written approval.

Individuals writing or speaking publicly in a professional or expert capacity may identify themselves by their relationship with the University, but if so identified then in all instances where the individual might give even the appearance of speaking on behalf of the University, care must be taken to emphasize that any views expressed are their own and are not representative of the University of Kentucky. University members are encouraged to contribute to public debate as citizens. In instances where University members comment publicly as part of their official University duties, they should do so using University stationery and e-mail accounts; when commenting as citizens, University members must use personal stationery and personal e-mail accounts.

#### 4.(d) Civic Responsibility of the Individual

In their roles as citizens, staff employees and faculty members have the same freedoms as other citizens, without institutional censorship or discipline, although they should be mindful that accuracy, forthrightness, and dignity befit their association with the University. ~~(Also see D., above.)~~ When staff employees or faculty members speak or write as citizens, they should indicate that they are not speaking for the University.

#### 5.(f) Sexual Discrimination and Harassment

To foster an environment of respect for the dignity and worth of all members of the University community, the University is committed to maintain a work-learning environment free of prohibited sexual discrimination and harassment, which includes sexual and other forms of harassment. The policy of the University of Kentucky, approved by the Board of Trustees, prohibits sexual discrimination and harassment of or by students, faculty, and staff and assures that complaints of discrimination and sexual harassment ~~shall~~ will be treated and investigated with full regard for the University's due process requirements. In addition, allegations of discrimination and harassment by customers, visitors, contractors and employees of contractors will be investigated, and appropriate action shall be taken. The University policy and procedures on sexual discrimination and harassment can be found in AR 6:1.

#### 6.(g) Personal Relationships

The quality of decisions may be affected when those making decisions have personal relationships with those who are the subjects and possible beneficiaries of these decisions. The critical concern is that personal relationships, whether positive or negative, should not inappropriately or unfairly affect decisions. Conflicts of interest may arise when people are involved in making decisions affecting any members of their families, relatives, or those with whom they have or have had intimate relationships. Decisions affecting present or former business partners should also be avoided. Individuals with personal relationships should excuse themselves from such decision-making. In many cases, potential conflicts can be managed by candid but discreet disclosure of those relationships.

The University strongly urges those individuals in positions of authority not to engage in conduct of an amorous or sexual nature with a person they are, or are likely in the future to be, in a position of evaluating. The existence of a power differential may restrict the less powerful individual's freedom to participate willingly in the relationship. If one of the parties in an apparently welcomed amorous or sexual relationship has the responsibility for evaluating the performance of the other person, the relationship must be reported to the dean, department chair or supervisor so that suitable arrangements can be made for an objective evaluation of the student or employee (AR 6:1).

#### 7.(h) Employment of Relatives

In order to assure independence of judgment that is free from conflicting interest and to avoid relationships that could be perceived as conflicts of interest, the University prohibits certain employment relationships involving relatives. ~~(See GR X.)no relative of the President shall be employed in a position at the University.~~

~~Similarly, no relative of the Provost, or any executive vice president, vice president, or any associate provost or associate vice president shall be employed in a position in that officer's administrative area. The Board of Trustees on a stated temporary basis may permit waiver of the above regulation, not to exceed two years, when it is otherwise impractical to fill a position with another fully qualified person. The same individual shall not be eligible for reappointment under the terms of this exception unless approval is given by the Board of Trustees.~~

~~No relative of any employee of the University may be appointed to any position in the University over which the related employee exercises supervisory or line authority. Employment of relatives within the same department or division shall be approved specifically by the Provost or executive vice president, as appropriate (GR Part X-4).~~

~~In accordance with KRS 164.225, the University shall employ no relative of a member of the Board of Trustees. Members of the Board of Trustees, except those elected to the Board as faculty, staff, or student representatives, and relatives of any member of the Board of Trustees are ineligible for employment at the University.~~

#### 8. (f) Intellectual Property

University members should be responsible stewards of University resources. All intellectual property conceived, first reduced to practice, written, or otherwise produced by faculty, staff, or students of the University of Kentucky using University funds, facilities, or other resources shall be owned and controlled by the University. Any member of the faculty or staff of the University who produces such intellectual property using University funds, facilities, or other resources shall assign personal rights to the property to the University, or its designate. The traditional products of scholarly activity which have customarily been considered the unrestricted property of the originator, such as journal articles, textbooks, reviews and monographs, and which have been created without involving a material use of University resources, shall be the unrestricted property of the author (AR 7:6).

#### 9.(f) Conflict of Commitment

Decisions and the judgment upon which the decisions are based must be independent from conflicting interests and must hold the best interest of the University of Kentucky foremost. Conflicts of commitment relate to an individual's distribution of effort between University appointment and outside activities. The University of Kentucky permits external employment or self-employment in an employee's profession or specialty (with the exception of employees participating in a practice plan) where there is not a conflict of interest or commitment. Faculty and professional administrative employees are expected to devote their primary professional loyalty, time, and energy to University of Kentucky teaching, research and service endeavors; activities outside the University must be conducted without detracting from these primary commitments. A conflict of commitment generally occurs when the pursuit of outside activities interferes with obligations to students, to colleagues and to the missions of the University. These conflicts may become apparent in regular performance reviews, in connection with annual salary decisions and scheduled reviews incident to promotion, reappointment or tenure decisions and ~~shall~~should be addressed by the appropriate department head. The University policy and procedures on outside consulting can be found in AR 3:9.

A staff employee may be employed outside the University when the employment does not constitute a conflict with University interests and when the hours of outside employment do not coincide or conflict with hours of scheduled work or affect the employee's ability to perform satisfactorily. A staff employee may also perform outside employment while on vacation, holiday, or special leave as long as the outside employment does not constitute a conflict of interest. Adherence with this policy is the responsibility of the staff employee who seeks outside employment; however, it is recommended the employee advise his or her department head of the outside employment.

#### 10.(k) Conflict of Interest

The public's respect and confidence in the University of Kentucky must be preserved. Confidence in the University of Kentucky is put at risk when the conduct of University members does, or may reasonably appear to, involve a conflict between private interests and obligations to the University. All University members shall avoid conduct that might in any way lead members of the general public to conclude that he or she is using an official position to further professional or private interests or the interests of any members of his or her family. In conducting or participating in any transaction, full disclosure of any real or perceived conflict with personal interests and removal from further participation in such matters is required.

AR 7:2, Research Conflict of Interest and Financial Disclosure Policy, sets forth specific relationships and activities that pose a potential conflict of interest for faculty, staff, and students involved in research and related activities. The University recognizes that actual or potential conflicts of interest may occur in the normal conduct of research and other activities. A conflict of interest can also arise if an employee's professional judgment is or may appear to be influenced by personal interests. It is essential that potential conflicts be disclosed and reviewed by the University. After disclosure, the University can make an informed judgment about a particular activity and require appropriate oversight, limitations, or prohibitions in accord with this policy. It is important to remember that each relationship is different, and many factors often will need to be considered to determine whether a conflict of interest exists.

#### 11.(4) Auditing Services

To effectively discharge their fiduciary and administrative responsibilities, the University's administration and the Board of Trustees are assisted by internal and external (independent) auditing services. These services provide independent, objective assurances and consulting services with respect to evaluating risk management, control, and governance processes. It is a violation of University policy to mislead or give false information to or intentionally omit material facts from internal or external (independent) auditor(s).

#### 12. University Property and Resources

University members ~~shall~~ should be responsible stewards of University property and resources. University members are entrusted with protecting the property, equipment, and other assets of the University and exercising responsible, ethical behavior when using the University's resources. University assets are intended for University activities. Limited personal use of fixed University resources, such as computers and telephones, which does not result in a charge to the University is permitted as long as the use does not interfere with assigned job duties.

University property and resources shall not be used for personal business or commercial activities. However, in some instances, when the goals of the individual and the University coincide, a University member may use University equipment outside of the realm of his or her professional duties when the goals of the individual and the University coincide. Any such use must have the prior, written approval from the dean or appropriate administrator where the resources are located, and must provide that the University will be reimbursed for the full cost of the use of the ~~equipment~~ property and resources. Such use must not interfere with the University of Kentucky's uses, and must occur outside of the University member's regular employment assignment. The Office of the ~~University Legal Counsel~~ can ~~may~~ provide assist with an appropriate form of agreement.

#### 13. (4) Fiscal Responsibility

The University is committed to responsible stewardship. The Board of Trustees, President, executive officers, administrators, deans, department chairs, directors, principal investigators, and all others functioning as area or unit heads are responsible for setting a tone of accountability and high ethical standards in financial matters. All employees are expected to comply with the requirements outlined in:

- GR Part I, The University of Kentucky (Definition);
- UK Ethical Principles and Code of Conduct;
- A01-005 UK HealthCare Code of Ethics;
- AR 3:9 External Consulting, Other Outside Employment and Internal Overload Activity;
- AR 7:2 Research Conflict of Interest and Financial Disclosure Policy; and
- AR 7:9 Institutional Conflicts of Interest Involving Research

All organizational units (e.g., administrative area, college, department, division, center, or office) are expected to maintain a strong internal control environment for the University as defined in the *Business Procedures Manual*. (~~Ssee Business Procedure M~~ E-1-3, Fiscal Roles and Responsibilities, and E-1-4, Internal Control.)

Each organizational unit head is accountable for the sound financial management of the unit and retains this fiscal responsibility if authority for transactional processing, record keeping, approving and monitoring is delegated to others.

#### 14.(m) Financial Advantage

Members of the University community ~~shall~~**must** exhibit personal integrity, honesty and responsibility in all actions. Official position or office shall not be used to obtain financial gain or benefits for oneself or members of one's family or business associates. Any action that creates the appearance of impropriety should be avoided. Except as specifically approved by the Board, purchases and contracts shall not be made with an employee of the University of Kentucky for any item of supply, equipment, or service, nor may an employee have any interest, directly or indirectly, in any purchase made by the University of Kentucky (~~Business Procedures Manual B.2.C~~). (See BPM B.2.C, KRS 164.131, and KRS 164.367.) An indirect interest may be defined as a real or perceived use of a university position or office with respect to a purchase or contract, leading to financial or other benefits to the individual or a member of his or her family. An indirect interest includes situations where a business owned or controlled by a family member does business with the University area where the employee is assigned.

An employee seeking approval under this section shall first make an application to the University Ethics Committee by submitting in writing a full disclosure of all aspects of his or her relationship with the contracting company or business. The Ethics Committee shall make a recommendation to the President, who shall forward to the Board the Committee's recommendation together with his or her recommendation. In recommending approval of a contractual relationship, the Committee shall determine that:

- ~~(a.i)~~ the contractual relationship is in the best interest of the University;
- ~~(b.ii)~~ the employee has taken whatever actions are necessary to avoid any conflict of interest or any appearance of a conflict of interest;
- ~~(c.ii)~~ if the conflict is subject to the provision of KRS Chapter 45A, the employee's contract shall be the lowest price bid or otherwise provides the best value to the University;
- ~~(d.iv)~~ the employee's interest in the contract does not present a conflict with the employee performing his or her job; and,
- ~~(e.v)~~ the nature of the contract and the nature of the employee's interest in the contract or business shall be fully disclosed to the University community by as broad communications as feasibly possible.

Action taken by the Board shall be in open session, by affirmative vote. The action item shall fully disclose the nature of the conflict, and the reasons for the action.

## 15. Compliance Responsibilities

### a. University

The University is committed to sustaining a culture of ethical behavior, trust, and compliance. This culture is one in which individuals are comfortable raising concerns when a good faith belief exists that the actions of a University member or unit are not consistent with laws, regulations, policies, or standards. The University shall ensure that appropriate actions are taken to resolve non-compliance and persons making good-faith reports of non-compliance shall not be subjected to retaliation.

### b. University Members

University members shall take appropriate measures to prevent, detect, and report compliance violations or suspected violations. University members shall, acting in good faith, report suspected compliance violations through the standard chain of command, through one's supervisor, or, if not appropriate because of that individual's potential involvement, to a higher level of management, to Human Resources, to a compliance officer or to the University Ethics Committee. (See AR 1:7.) It is the responsibility of all University members to know and abide by rules, laws, regulations, contracts and University policies and procedures that are applicable to the work or activity undertaken, including, but not limited to the following:

- Federal laws, regulations, and policies;
- Kentucky Revised Statutes (KRS) and Kentucky Administrative Regulations (KAR);
- University and unit-level policies and procedures including, but not limited to the Governing Regulations (GR), Administrative Regulations (AR), Human Resources Policy and Procedures, Business Procedures Manual, and Rules of the University Senate;
- Contract, grant, and donor stipulations; and
- Accreditation requirements.

## 16. Compliance (Whistle Blower) Protection

It is a violation of University policy to retaliate against an individual because she or he has made, in good faith, a disclosure of noncompliance or has participated in an investigation, proceeding or hearing involving noncompliance of any of the above.

## 17. Truth, Honesty, and Integrity

### a. University members, with no exceptions, shall:

- Act with high ethical and professional standards of conduct;
- Be honest in performing their duties;
- Propose, conduct, and report research and transmit research findings with integrity and honesty;
- Protect people and humanely treat animals involved in research and teaching;
- Protect the intellectual property rights of individuals, the University, and third parties; and
- Respect the intellectual property rights of others.

### b. University members, with no exceptions, shall not:

- Fabricate information;
- Change or knowingly omit information to misrepresent events, circumstances, results or outcomes in official University records or documents; or
- Take credit for another's work or work product without appropriate permission.

## 18.(e) Acceptance of Gifts or Benefits

University members' decisions and actions ~~shall~~should be based on the best interest of the University. No member ~~shall~~should accept any type of reward, monetary or non-monetary, if there is an explicit or implicit assumption that influence has been exchanged for the favor.

When no favor is asked for or gained, gifts of nominal value or moderate acts of hospitality, such as meals, in relation to one's position or activities with the University may be accepted. The following ~~limits~~guidelines ~~shall~~should be observed:

- a. Gifts or acts of hospitality valued up to \$50 annually from any one source need not be reported.
- b. Gifts or acts of hospitality valued between \$50 to \$200 should be reported to the supervisor prior to acceptance.
- c. Acts of ~~professionally related~~ hospitality above \$200 must be specifically justified and reported through the chain of command. Written approval for acceptance must be provided by the Provost or executive vice president, in advance.
- d. Individuals may not accept gifts valued above \$200. ~~However, if~~ these gifts or benefits shall be directed to the Executive Vice President for Finance and Administration, where they can be acknowledged and accepted on behalf of the University.

UK HealthCare employees shall also abide by more stringent rules outlined in the Code of Conduct Addendum. (See HealthCare Policy A01-005, UK HealthCare Code of Ethics.)

#### 19.(p) Clarifications and Reporting Violations

Like all policies, this policy could not possibly cover all possible situations. When any doubt about the propriety of an action exists, the University's policy requires a full and frank disclosure to an appropriate individual with sufficient authority to address the matter. For interpretation, counsel or advice regarding this policy, contact the Office of Legal Counsel.

University members are expected to report violations of this policy to an appropriate individual. The University will not tolerate any retaliation against a University member who makes a good faith report of a violation.

## References and Related Materials

KRS 45A, Kentucky Model Procurement Code  
KRS 118.015(1), Definitions  
KRS 164.160, Trustees - power to receive and administer revenue and property  
KRS 164.200, Regulations for university - Physical training and discipline of students  
KRS 164.367, Governing board may permit its employees to have an interest in a contract between the institution and a business -- Regulations to be forwarded to the Legislative Research Commission  
GR Part X, Regulations Affecting Employment  
AR 3:9, Faculty Consulting and Other Overload Employment  
AR 6:1, Policy on Discrimination and Harrassment  
AR 7:2, Research Conflict of Interest and Financial Disclosure Policy  
AR 7:6, Intellectual Property Disposition  
AR 7:9, Institutional Conflicts of Interest Involving Research  
A01-005, UK HealthCare Code of Ethics  
HRP&P 2.0, Equal Opportunity, Discrimination, and Harassment

BPM B.2.C, General Purchasing and Contracting Authority  
BPM E-1-3, Fiscal Roles and Responsibilities  
BPM E-1-4, Internal Control

#### **Revision History**

The Content of this GR was formerly part of GR, Part I: 6/14/2005, 10/10/2006, 1/23/2007, 9/11/2007, 4/22/2008, 3/27/2012

For archive versions contact: [Office of Legal Counsel](#)

# PR 5

Office of the President  
June 11, 2013

Members, Board of Trustees:

## PROPOSED REVISION TO GOVERNING REGULATION: STAFF SENATE

Recommendation: that the Board of Trustees approve the attached revision to Governing Regulation V relating to the Staff Senate, which was received for preliminary consideration as PR 5 on May 14, 2013. The revision is intended to improve the Staff Senate's ability to fulfill its obligation to staff and to the University by redefining eligibility to vote in Staff Senate elections and serve as Senator, as well as other necessary clarifications, and formatting changes.

Background: As the University is adjusting to the new economic trends, many staff employee positions have been adjusted from FTE 1.00 to a FTE .75. It is in the best interest of the University and the Staff Senate that those employees are able to participate in Staff Senate elections and serve as Staff Senators. The revision redefines "full time" employment at 0.75 for purposes of voting in Staff Senate elections or serving as Staff Senator.

The revision clarifies that the Staff Senate may address the Board of Trustees through the Staff Trustee regarding any matter affecting staff of the University and may address the President through its elected Chair or its Advisory Committee to the President regarding any matter affecting the staff of the University.

The current GR language prohibits the Staff Senate from having any management or administrative functions. The revision allows the President, in accordance with GR III, to delegate appropriate administrative or managerial functions to the Staff Senate.

The revision clarifies that Senators are expected to attend regular monthly meetings of the full Staff Senate, monthly Staff Senate committee meetings, and organized special events and that supervisors shall make reasonable accommodations to facilitate participations in the Staff Senate. The revision further clarifies that a Senator who serves as an officer or serves on University and campus wide committees has additional responsibilities that may require additional release time from normal work duties. In advance of assuming such duties, a Senator must discuss with his or her supervisor the impact on the unit's ability to meet service responsibilities.

A revised copy of the GR V is attached. Proposed additions are underlined; proposed deletions are lined through.

The President and the Staff Senate support this revision.

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Action taken:     ☒ Approved     ☐ Disapproved     ☐ Other \_\_\_\_\_



UNIVERSITY OF  
**KENTUCKY**  
Regulations

**Governing Regulation, Part V**

Responsible Office: Board of Trustees

Date Effective: DRAFT 4/22/2008

Supersedes Version:  
6/14/2005 4/22/2008

## Governing Regulation, Part V

### The Staff Senate

#### Introduction

This Governing Regulation describes the composition, authority, and functions of the University of Kentucky Staff Senate. The University of Kentucky Staff Senate is shall be the official representative body of the staff of the University. It shall strive to open lines of communication among all segments of the staff, as well as between staff, faculty, and students of the University. The Staff Senate shall also act as a mechanism to disseminate information to the staff and passing information from staff to other members of the University community. The Staff Senate shall solicit participation from a diverse representation of staff and shall seek out opportunities to represent staff broadly across the University's various missions.

#### A. Membership

~~—The Staff Senate shall be composed of elected, appointed, and ex officio senators membership.~~

~~1. The Staff Senate and shall consist of no more than one hundred seventy-five (175) senators. Each Senator shall have one vote. The procedures for election of senators to the Staff Senate and its Executive Committee shall be prescribed in the By-Laws of the University of Kentucky Staff Senate. Senators shall be regular, full-time staff employees elected from and by their respective areas in accordance with the By-Laws of the University of Kentucky Staff Senate, unless they are at-large senators or ex officio members appointed in accordance with the By-Laws of the University of Kentucky Staff Senate.~~

~~2. The procedures for election of senators to the Staff Senate and its Executive Committee shall be prescribed in the By-Laws of the University of Kentucky Staff Senate. Staff employees eligible to vote for senators shall be regular, full-time staff employees with a 0.75 or greater full-time equivalency (FTE) of the University as defined in Human Resources Policy and Procedures Administrative Regulation 4.0: Employee Status.~~

~~3. Staff employees eligible to vote shall also be eligible to stand for election as senator upon completion of one year of continuous employment by April 1. In rare and unusual circumstances, the Staff Senate is authorized to create procedures that provide an exception to the one (1) year of continuous employment for senator elections.~~

~~4. At-large and ex officio senators may be appointed in accordance with the By-Laws of the University of Kentucky Staff Senate. Ex officio members shall be non-voting members of the Staff Senate.~~

## ~~B. Voting~~

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~~Each Senator shall have one vote. No absentee ballots or voting by proxy shall be permitted. Ex officio members shall be non-voting members of the Staff Senate.~~

## ~~BC. Councils and Committees Functions and Responsibilities~~

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~~—The Staff Senate is authorized to develop bylaws and rules for the conduct of its functions; <sup>at</sup> copies of these by-laws-being-shall be furnished to the President and the Board of Trustees.~~

~~1.~~

~~—~~

~~—Every Senator shall have reasonable release time from work to perform Senatorial duties.~~

~~—~~

~~—The Staff Senate shall meet regularly as specified in the By-Laws of the University of Kentucky Staff Senate.~~

~~—~~

~~2. The Staff Senate may address the Board of Trustees through the Staff Trustee regarding any matter affecting staff of the University. The Staff Senate may address the President through its elected Chair or its Advisory Committee to the President regarding any matter affecting the staff of the University.~~

~~3. The Staff Senate shall have officers and standing committees as specified in the *By-Laws of the University of Kentucky Staff Senate* to facilitate the functioning of the Senate. Advisory committees will-may also be created as specified in the *By-Laws of the University of Kentucky Staff Senate* to provide advice to the President and the Staff Representative to the Board of Trustees.~~

~~4. The Staff Senate shall have no management or administrative functions other than those delegated to it by the President ~~either in itself or through the instrumentality of its committees.~~~~

~~5. In service to the University, the Staff Senate shall meet regularly as specified in the *By-Laws of the University of Kentucky Staff Senate*. Senators are expected to attend regular monthly meetings of the full Staff Senate, monthly Staff Senate committee meetings, and organized special events (such as UK Appreciation Day, Staff Senator Orientation, etc.). Supervisors shall make reasonable accommodations to facilitate participations in the Staff Senate.~~

~~6. A Senator who serves as an officer or serves on University and campus wide committees has additional responsibilities that may require additional release time from normal work duties. In advance of assuming such duties, Senators must discuss with his or her supervisor the impact on the unit's ability to meet service responsibilities.~~

~~The Staff Senate is authorized to develop rules for the conduct of its functions, copies of these rules being furnished to the President and the Board of Trustees. The Staff Senate may address the President or the Board of Trustees through the Staff Trustee regarding any matter affecting staff of the University.~~

## ~~D. References and Related Materials~~

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HRP&P 4.0 Employee Status

## Revision History

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1/22/2002, 6/14/2005, 4/22/2008

For questions, contact: [Office of Legal Counsel](#)

# PR 6

Office of the President  
June 11, 2013

Members, Board of Trustees:

APPOINTMENT TO THE BOARD OF DIRECTORS  
UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION

Recommendation: that the approval be given to the appointment of Karyn A. Esser, Department of Physiology (faculty member) for a three-year term ending June 30, 2016 to the Board of Directors of the University of Kentucky Research Foundation (UKRF).

Background: In accordance with the Bylaws, members of the Board of Directors of the University of Kentucky Research Foundation are appointed by the Board of Trustees to serve three-year terms. The Nominating Committee of the UKRF Board has recommended and the UKRF Board of Directors has endorsed the appointment of Karyn A. Esser.

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Action Taken:    ☒ Approved    ☐ Disapproved    ☐ Other \_\_\_\_\_

**Karyn A. Esser**

Professor and Director

Department of Physiology, Center for Muscle Biology

**Education:**

Ph.D. University of Michigan, 1990

Postdoctoral Fellowship, Children's Medical Research Foundation, Sydney Australia

**Graduate Training**

Muscle Physiology

**Postdoctoral Training**

Molecular mechanisms during muscle development

**Research: Skeletal muscle health**

Skeletal muscle is the largest organ system in the body and is well known to be important for human health through its contribution to breathing and mobility and through its metabolic role as the primary site of sugar storage and fat metabolism. Recent studies, however, have provided evidence that maintaining healthy muscle can increase longevity and can improve outcomes in chronic diseases such as cancer. We have been studying the molecular mechanisms that contribute to maintenance of muscle with the goal of elucidating therapeutic strategies for long-term muscle health.

Currently, my lab is focused on the role of circadian rhythms and the molecular clock mechanism in regulating skeletal muscle health. Recent studies from our laboratory have shown that mutations of two different molecular clock genes, *Clock* and *Bmal1*, dramatically disrupt skeletal muscle structure and function. We have also shown that time of exercise and time of feeding can significantly alter the clock mechanism in skeletal muscle independent of the central clock in the brain. Our goals are to define the transcriptional networks that link the molecular clock mechanism with proper skeletal muscle function and to delineate the potential for lifestyle interventions to enhance molecular clock function and attenuate conditions of muscle weakness and wasting seen with aging and chronic diseases.

# AACR 1

Office of the President  
June 11, 2013

Members, Board of Trustees:

## CANDIDATE FOR DEGREE: MAY 2013

Recommendation: that the President be authorized to confer upon the individual whose name appears on the attached list the degree to which he is entitled, upon certification by the university registrar that he has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic Affairs Committee of the Board of Trustees.

Background: The individual whose name appears on the attached list passed away at the end of Spring Semester. After reviewing the student's transcript, the appropriate department and college chose to recommend the awarding of the degree posthumously.

For details on degree candidate listings,  
please contact Sean Cooper  
Registrar's Office  
Room 10 Funkhouser Building  
University of Kentucky  
Lexington, KY 40506-0057  
859-257-7157

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Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_

**OFFICE OF UNDERGRADUATE ADMISSION AND UNIVERSITY REGISTRAR  
CANDIDATES FOR DEGREES - May 2013**

**Graduate Degrees:**

Ph.D. ....	191
D.M.A. ....	11
Ed.D. ....	18
Ed.S.` ....	18
M.A. ....	61
M.AR. ....	27
M.A.EDU. ....	54
M.A.ID. ....	2
M.B.A. ....	48
M.E. ....	7
M.F.A. ....	3
M.H.A. ....	23
M.H.P. ....	8
M.M. ....	16
M.P.A. ....	22
M.P.H. ....	46
M.P.P. ....	9
M.R.C. ....	19
M.S. ....	140
M.S.BE. ....	5
M.S.BAE. ....	6
M.S.CD. ....	27
M.S.CE. ....	11
M.S.CHE. ....	2
M.S.CTL. ....	6
M.S.EDU. ....	34
M.S.E.E. ....	20
M.S.FOR. ....	4
M.S.FS. ....	1
M.S.FSC. ....	2
M.S.L.S. ....	56
M.S.MAT. ....	4
M.S.MIE. ....	6
M.S.MSYE. ....	5
M.S.ME. ....	9
M.S.N. ....	1
M.S.N.S. ....	7
M.S.RMP. ....	2
M.S.W. ....	122

**TOTAL ..... 1044**

**Professional Degrees:**

D.M.D. ....	56
D.N.P. ....	17
Dr.P.H. ....	6
D.P.T. ....	2
J.D. ....	127
M.D. ....	123
PharmD. ....	124
<b>TOTAL ..... 455</b>	

**Undergraduate Degrees:**

**Agriculture**

BSAB. ....	13
BSAEC. ....	53
BSAG. ....	14
BSAS. ....	19
BSCCLD. ....	38
BSCLD. ....	4
BSCTE. ....	5
BSDIE. ....	8
BSESM. ....	25
BSFOR. ....	17
BSFOS. ....	7
BSFSC. ....	32
BSHPS. ....	4
BSHM. ....	9
BSHN. ....	40
BSLA. ....	17
BSMAT. ....	22
BSNRC. ....	6
BSNRES. ....	12

**TOTAL.....341**

**Arts and Sciences**

BA. ....	600
BGS. ....	1
BS. ....	282

**TOTAL.....883**

**Gatton Business and Economics**

BBA. ....	303
BSA. ....	113
BSBE. ....	40

**TOTAL.....456**

**Communication & Information**

BA. ....	180
BS. ....	51

**TOTAL.....231**

**Design**

BAARC. ....	45
BAID. ....	14

**TOTAL.....59**

**Education**

BAEDU. ....	165
BSEDU. ....	110

**TOTAL ..... 275**

**Engineering**

BSBE. ....	5
BSCHE. ....	34
BSCE. ....	41
UK/WKU: BSCE. ....	12
BSCS. ....	40
BSCOE. ....	12
BSEE. ....	41
BSMAE. ....	6
BSMEE. ....	81
UK/WKU: BSMEE. ....	24
BSMIE. ....	24

**TOTAL ..... 320**

**Fine Arts**

BA. ....	60
BFA. ....	8
BM. ....	10
BMME. ....	14

**TOTAL .....92**

**Health Sciences**

BHS. ....	39
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**TOTAL.....39**

**Nursing**

BSN. ....	101
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**TOTAL ..... 102**

**Social Work**

BASW. ....	46
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**TOTAL .....46**

**Graduate Degree ..... 1044  
Professional Degrees ..... 455  
Undergraduate Degrees .... 2844**

**TOTAL..... 4335**

# AACR 2

Office of the President  
June 11, 2013

Members, Board of Trustees:

## CANDIDATES FOR DEGREES: AUGUST 2013

Recommendation: that the President be authorized to confer upon each individual whose name appears on the attached list the degree to which he or she is entitled, upon certification by the university registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic Affairs Committee of the Board of Trustees.

Background: Each individual whose name appears on the attached list is scheduled to complete the work leading toward the degree for which application is being made at the close of the 2013 Summer Session. Due to licensure or certification requirements degrees must be verified as soon as possible and the next Board of Trustees meeting is not scheduled until September 10, 2013.

For details on degree candidate listings,  
please contact Sean Cooper  
Registrar's Office  
Room 10 Funkhouser Building  
University of Kentucky  
Lexington, KY 40506-0057  
859-257-7157

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Action taken:    ☒ Approved    ☐ Disapproved    ☐ Other \_\_\_\_\_

**OFFICE OF UNDERGRADUATE ADMISSION AND UNIVERSITY REGISTRAR  
CANDIDATES FOR DEGREES - August 2013**

**Graduate Degrees:**

Ph.D. ....	27
D.M.A. ....	1
M.A. ....	8
M.A.EDU. ....	14
M.B.A. ....	73
M.E. ....	2
M.M. ....	1
M.P.H. ....	1
M.R.C. ....	2
M.S. ....	13
M.S.ACC. ....	23
M.S.EDU. ....	1
M.S.E.E. ....	1
M.S.FS. ....	5
M.S.L.S. ....	1
M.S.PAS ....	56
M.S.RMP. ....	2

**TOTAL .....231**

**Professional Degrees:**

D.N.P. ....	7
D.P.T. ....	60

**TOTAL .....67**

**Undergraduate Degrees:**

**Agriculture**

BSAEC.....	10
BSAG .....	1
BSAS.....	2
BSCLD .....	1
BSCCLD .....	2
BSDIE.....	10
BSESM.....	3
BSFSC.....	8
BSHM .....	13
BSHN.....	5
BSMAT.....	18
BSNRES.....	3

**TOTAL.....76**

**Arts and Sciences**

BA.....	75
BS .....	21

**TOTAL.....96**

**Gatton Business and Economics**

BBA.....	6
BSA.....	15
BSBE .....	1

**TOTAL.....22**

**Communication & Information**

BA.....	35
BS .....	5

**TOTAL.....40**

**Design**

BAARC.....	2
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**TOTAL.....2**

**Education**

BAEDU.....	9
BSEDU .....	22

**TOTAL .....31**

**Engineering**

BSCE .....	3
BSEE.....	1
BSMIE .....	1

**TOTAL .....5**

**Fine Arts**

BA.....	9
BMME .....	1

**TOTAL .....10**

**Health Sciences**

BHS.....	2
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**TOTAL.....2**

**Nursing**

BSN.....	30
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**TOTAL .....30**

**Social Work**

BASW.....	0
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**TOTAL .....0**

**Graduate Degree ..... 231**

**Professional Degrees ..... 67**

**Undergraduate Degrees ..... 314**

**TOTAL..... 612**

# AACR 3

Office of the President  
June 11, 2013

Members, Board of Trustees:

## ESTABLISHMENT OF THE CENTER FOR RESEARCH ON ENVIRONMENTAL DISEASE

Recommendation: that the Board of Trustees approve the establishment of the Center for Research on Environmental Disease, in the College of Medicine, effective July 1, 2013.

Background: The goals of the Center for Research on Environmental Disease are to foster collaboration among clinical and basic scientists, catalyze translational research, stimulate educational activities, enhance the competitiveness of member investigators for extramural funding, and increase national recognition for the University in the field of research on environmental disease. To achieve these goals, the Center will promote specific research- and education- related initiatives by integrating the activities of various investigators with expertise in chemistry, environmental chemistry, toxicology, pharmacology, nutrition, molecular biology, animal sciences, epidemiology, biostatistics, and clinical and translational sciences from different colleges. Creation of the Center is expected to stimulate development of new institutional grants including program project grants and center grants. Clinical and basic scientists will work together to address environmental disease-related public health concerns. The Center will promote national and international recognition of the University by its research and educational excellence.

The proposal has been reviewed and recommended by the Senate Committee on Academic Organization and Structure and the Senate Council. The University Senate approved the proposal based upon its academic merits, and endorsed the resources and placement of the Center. The Interim Provost of the University supports the establishment of the Center.

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Action taken:     ☒ Approved     ☐ Disapproved     ☐ Other \_\_\_\_\_

# AACR 4

Office of the President  
June 11, 2013

Members, Board of Trustees:

## CHANGE IN NAME AND ORGANIZATIONAL STRUCTURE OF THE GRADUATE CENTER FOR BIOMEDICAL ENGINEERING

Recommendation: that the Board of Trustees approve the change in the name and organizational structure of the Graduate Center for Biomedical Engineering to the Department of Biomedical Engineering, in the College of Engineering, effective July 1, 2013.

Background: The Graduate Center for Biomedical Engineering was established by the Board of Trustees on March 4, 1986. Whereas most benchmark universities established departments of biomedical engineering, the University of Kentucky (UK) has retained the “center” designation. Although operation of the program as a graduate center preserved the multidisciplinary character of biomedical engineering, existence as a center limits certain educational opportunities and serves as a source of continuing confusion regarding the center.

Quality graduate students are critical to a successful program. Competition for top-level students is an ongoing challenge. The University of Kentucky’s biomedical engineering program must not only compete with perennially top-ranked programs but with benchmark institutions as well. These universities have departments of bioengineering or biomedical engineering. The center designation at UK is a frequent source of confusion. Many view a center as a diffuse collection of faculty from different departments. Historically, across the country a biomedical engineering center served as an umbrella under which education and research activities took place, yet students receive degrees in their respective “home” departments.

Even though UK’s administrative and governing regulations clearly state that graduate centers are equivalent to a department administratively, they are restricted to graduate education only. With the organizational change to a department, the unit will be able to offer undergraduate as well as graduate degrees. The long-term potential for growth and excellence in the biomedical engineering programs at UK are best served by a change from the Graduate Center for Biomedical Engineering to the Department of Biomedical Engineering.

The proposal has been reviewed and recommended by the Senate Committee on Academic Organization and Structure and the Senate Council. The University Senate endorsed the name and organizational structure change of the Center. The Interim Provost of the University supports this recommendation.

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Action taken:      ☒ Approved      ☐ Disapproved      ☐ Other \_\_\_\_\_

# AACR 5

Office of the President  
June 11, 2013

Members, Board of Trustees:

CHANGE IN NAME OF AN EDUCATIONAL UNIT:  
COLLEGE OF DESIGN

Recommendation: that the Board of Trustees approve the change in the name of the School of Interior Design to School of Interiors: Planning / Strategy / Design, in the College of Design, effective July 1, 2013.

Background: The profession of interior design has evolved from a focus on residential design to one that now draws upon a knowledge base from multiple disciplines to enhance the function, safety, and aesthetics of all types of interior spaces. The creation of environments that support and sustain human beings psychologically, physically, and emotionally is a core value of the practice of interior design. The uniqueness of interior design is that it positions the users of space as the central focus of the design. Concurrent to these changes in the profession, mass media has popularized the name interior design to be associated with decorating, women's studies, and a field characterized by having a "knack" as opposed to being a professional discipline requiring specialized education, experience, and examination.

The reasons for the proposed name change are to enhance the marketing potential of the program; better communicate the focus and scope of the program; and increase gender diversity within the program. Based on investigation, the name interiors alone is not immediately associated with decorating. Likewise, practicing professionals define their realm of practice within the design industries by using "interiors" as opposed to "interior design." It is anticipated that the name School of Interiors with the tag line Strategy / Planning / Design will somewhat negate the immediate bias response occurring with the current name and provide greater opportunity to initiate conversations about the school, types of things in which the program engages the students, and career opportunities available to graduates.

The proposed change has been approved by the faculty of the Interior Design program and the College of Design; reviewed and recommended by the Senate Academic Organization and Structure Committee and the Senate Council; and endorsed by the University Senate. The Interim Provost of the University supports this recommendation.

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Action taken:     ☒ Approved     ☐ Disapproved     ☐ Other \_\_\_\_\_

# FCR 1

Office of the President  
June 11, 2013

Members, Board of Trustees:

## THE BILL GATTON FOUNDATION GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$8,000,000 from The Bill Gatton Foundation of Bristol, Tennessee, toward the construction of a new Gatton College of Business and Economics building, of which \$1,900,000 has been prepaid. This pledge was made October 25, 2003, in the original amount of \$2,500,000. The amount of the pledge was increased to \$3,000,000 in April 2006, and again in April 2007 to \$8,000,000. Two million dollars (2,000,000) is to be paid the year construction commences and \$1,000,000 each year thereafter, except for a payment of \$2,000,000 in the year the new facilities are occupied.

Background: The Bill Gatton Foundation was created in 1985 by C. M. “Bill” Gatton of Bristol, Tennessee, and Gatton College’s major benefactor for whom the college is named. Mr. Gatton earned his bachelor’s degree from the University of Kentucky in 1954, and master’s degree in business administration from Wharton Graduate School at the University of Pennsylvania. He is a current member of the Board of Trustees and a member of the UK Hall of Distinguished Alumni and the Gatton College Hall of Fame. Mr. Gatton is the owner of a family of automobile dealerships and has real estate and bank holdings in Kentucky, Tennessee and Texas.

Although the total gift and pledge of \$8 million was initially intended to go toward the construction of a new Gatton College of Business and Economics building, it will be used for a \$65 million redesign and expansion of the existing facilities. The expansion will allow for enrollment growth of more than 50 percent and faculty/staff growth to handle the additional students.

---

Action taken:     ☒ Approved     ☐ Disapproved     ☐ Other\_\_\_\_\_

# FCR 2

Office of the President  
June 11, 2013

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE  
UNIVERSITY OF KENTUCKY FOR THE NINE MONTHS ENDED  
MARCH 31, 2013

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the nine months ended March 31, 2013.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Mining Engineering Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Central Kentucky Management Services.

As of March 31, 2013, the University has recognized \$1,955,814,127 of current funds revenue representing 74 percent of the 2012-13 approved budget of \$2,638,682,000. Expenses and transfers total \$1,798,453,383 or 68 percent of the approved budget.

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Action taken:      ☒ Approved      ☐ Disapproved      ☐ Other\_\_\_\_\_



*Consolidated Financial  
Statements*

*For the nine months ended March 31, 2013*

**UNIVERSITY OF KENTUCKY**  
**A COMPONENT UNIT OF THE COMMONWEALTH OF KENTUCKY**  
**STATEMENT OF NET ASSETS**  
**MARCH 31, 2013 AND 2012**

	2013	2012
<b>ASSETS</b>		
<b>Current Assets</b>		
Cash and cash equivalents	\$ 429,020,184	\$ 284,493,433
Notes, loans and accounts receivable, net	235,772,183	267,957,817
Inventories and other assets	29,236,191	26,973,662
Total current assets	694,028,558	579,424,912
<b>Noncurrent Assets</b>		
Restricted cash and cash equivalents	60,659,891	58,297,126
Endowment investments	1,057,189,786	966,712,060
Other long-term investments	148,423,143	146,762,319
Notes, loans and accounts receivable, net	41,780,917	43,936,657
Other noncurrent assets	15,988,647	15,966,330
Capital assets, net	1,893,608,580	1,916,567,772
Total noncurrent assets	3,217,650,964	3,148,242,264
Total assets	3,911,679,522	3,727,667,176
<b>LIABILITIES</b>		
<b>Current Liabilities</b>		
Accounts payable and accrued liabilities	153,056,477	142,600,483
Deferred revenue	67,125,832	60,314,818
Long-term liabilities - current portion	30,094,418	38,177,168
Total current liabilities	250,276,727	241,092,469
<b>Noncurrent Liabilities</b>		
Accounts payable and accrued liabilities	-	398,264
Long-term liabilities	775,135,503	797,125,674
Total noncurrent liabilities	775,135,503	797,523,938
Total liabilities	1,025,412,230	1,038,616,407
<b>NET ASSETS</b>		
<b>Invested in capital assets, net of related debt</b>	1,267,703,107	1,278,553,091
<b>Restricted</b>		
Nonexpendable		
Scholarships and fellowships	129,889,860	127,011,378
Research	263,315,037	260,836,021
Instruction	76,608,041	76,466,363
Academic support	83,699,042	83,509,388
Other	8,007,221	7,970,265
Total restricted nonexpendable	561,519,201	555,793,415
Expendable		
Scholarships and fellowships	57,673,448	49,139,926
Research	62,005,674	41,799,270
Instruction	46,318,302	39,204,533
Academic support	38,531,870	30,387,664
Loans	10,579,363	9,882,970
Capital projects	74,755,521	51,395,801
Debt service	8,450,116	15,241,565
Auxiliary	11,991,102	11,230,073
Other	13,363,987	22,103,927
Total restricted expendable	323,669,383	270,385,729
Total restricted	885,188,584	826,179,144
<b>Unrestricted</b>	733,375,601	584,318,534
Total net assets	\$ 2,886,267,292	\$ 2,689,050,769

**UNIVERSITY OF KENTUCKY**  
**A COMPONENT UNIT OF THE COMMONWEALTH OF KENTUCKY**  
**ALL FUNDS**  
**STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET ASSETS**  
**FOR THE NINE MONTHS ENDED MARCH 31, 2013 AND 2012**

	2013	2012
<b>OPERATING REVENUES</b>		
Student tuition and fees	\$ 348,256,797	\$ 316,425,926
Federal grants and contracts	117,236,962	127,873,449
State and local grants and contracts	71,978,918	81,541,516
Nongovernmental grants and contracts	126,559,048	125,241,520
Recoveries of facilities and administrative costs	34,305,427	37,264,352
Sales and services	33,166,971	32,753,721
Federal appropriations	12,142,234	12,331,795
County appropriations	16,323,573	14,943,169
Hospital services	710,536,155	660,855,538
Auxiliary enterprises:		
Housing and dining	49,015,294	46,245,384
Athletics	62,081,242	61,514,065
Other auxiliaries	25,096,367	26,861,214
Other operating revenues	314,435	306,114
Total operating revenues	<u>1,607,013,423</u>	<u>1,544,157,763</u>
<b>OPERATING EXPENSES</b>		
Educational and general:		
Instruction	203,489,588	210,329,278
Research	178,516,500	187,554,032
Public service	164,975,857	178,728,353
Libraries	15,755,439	15,467,195
Academic support	62,952,023	61,391,657
Student services	25,227,785	25,942,788
Institutional support	51,199,486	57,370,337
Operations and maintenance of plant	45,309,680	50,440,724
Student financial aid	132,409,625	119,399,839
Depreciation	42,515,022	37,741,410
Total educational and general	<u>922,351,005</u>	<u>944,365,613</u>
Clinical operations	76,130,515	66,087,774
Hospital and clinics (including depreciation of \$33,409,993 in 2013 and \$31,844,879 in 2012)	654,755,425	655,409,435
Auxiliary enterprises:		
Housing and dining (including depreciation of \$3,070,933 in 2013 and \$2,414,170 in 2012)	33,532,752	34,344,530
Athletics (including depreciation of \$2,969,723 in 2013 and \$338,773 in 2012)	65,547,550	54,596,353
Other auxiliaries	17,180,953	16,554,553
Other operating expenses	312,026	917,282
Total operating expenses	<u>1,769,810,226</u>	<u>1,772,275,540</u>
Net gain (loss) from operations	<u>(162,796,803)</u>	<u>(228,117,777)</u>
<b>NONOPERATING REVENUES (EXPENSES)</b>		
State appropriations	227,095,400	237,865,000
Gifts and non-exchange grants	81,970,460	71,883,132
Investment income (loss)	116,508,125	14,517,749
Interest on capital asset-related debt	(18,339,819)	(19,459,520)
Other nonoperating revenues and expenses, net	7,737,506	6,029,180
Net nonoperating revenues (expenses)	<u>414,971,672</u>	<u>310,835,541</u>
Net income (loss) before other revenues, expenses, gains or losses	<u>252,174,869</u>	<u>82,717,764</u>
Capital grants and gifts	18,420,498	34,455,628
Additions to permanent endowments	3,628,849	9,934,358
Other, net	(1,356,613)	(5,790,198)
Total other revenues (expenses)	<u>20,692,734</u>	<u>38,599,788</u>
<b>INCREASE IN NET ASSETS</b>	<u>272,867,603</u>	<u>121,317,552</u>
<b>NET ASSETS, July 1</b>	<u>2,613,399,689</u>	<u>2,567,733,217</u>
<b>NET ASSETS, March 31</b>	<u><u>\$ 2,886,267,292</u></u>	<u><u>\$ 2,689,050,769</u></u>

**UNIVERSITY OF KENTUCKY**  
**A COMPONENT UNIT OF THE COMMONWEALTH OF KENTUCKY**  
**CURRENT FUNDS**  
**STATEMENTS OF REVENUES, EXPENSES AND CHANGES IN NET ASSETS**  
**FOR THE NINE MONTHS ENDED MARCH 31, 2013 AND 2012**

	2013			2012	
	Budget	Actual	%	Actual	%
<b>OPERATING REVENUES</b>					
Student tuition and fees	\$ 338,584,700	\$ 348,256,797	103%	\$ 316,425,926	101%
Federal grants and contracts	178,823,200	117,236,962	66%	127,831,402	75%
State and local grants and contracts	74,245,800	71,978,918	97%	81,541,516	102%
Nongovernmental grants and contracts	183,547,600	126,559,048	69%	125,241,520	70%
Recoveries of facilities and administrative costs	45,000,000	34,305,427	76%	37,264,352	87%
Sales and services	43,370,400	33,166,971	76%	32,753,721	82%
Federal appropriations	18,482,300	12,142,234	66%	12,331,795	70%
County appropriations	19,170,700	16,323,573	85%	14,943,169	81%
Hospital services	967,499,200	710,536,155	73%	660,855,538	65%
Auxiliary enterprises:					
Housing and dining	50,813,000	49,015,294	96%	46,245,384	93%
Athletics	67,805,800	62,081,242	92%	61,514,065	94%
Other auxiliaries	35,918,600	25,096,367	70%	26,861,214	76%
Total operating revenues	<u>2,023,261,300</u>	<u>1,606,698,988</u>	<u>79%</u>	<u>1,543,809,602</u>	<u>76%</u>
<b>OPERATING EXPENSES</b>					
Educational and general:					
Instruction	298,109,300	203,489,588	68%	210,329,278	69%
Research	268,972,100	178,535,587	66%	187,499,776	63%
Public service	209,807,700	164,975,857	79%	178,728,353	80%
Libraries	13,503,000	15,755,439	117%	15,467,195	110%
Academic support	114,612,100	62,952,023	55%	61,391,657	58%
Student services	41,325,000	25,000,208	60%	25,867,972	65%
Institutional support	154,058,300	51,192,222	33%	57,340,337	47%
Operations and maintenance of plant	68,520,600	40,334,783	59%	42,950,755	61%
Student financial aid	124,686,100	132,409,625	106%	119,399,839	102%
Total educational and general	<u>1,293,594,200</u>	<u>874,645,332</u>	<u>68%</u>	<u>898,975,162</u>	<u>69%</u>
Clinical operations	115,243,900	76,130,515	66%	66,087,774	61%
Hospital and clinics	916,017,700	615,588,052	67%	608,235,472	65%
Auxiliary enterprises:					
Housing and dining	39,487,300	30,335,080	77%	31,594,447	81%
Athletics	78,175,900	62,421,496	80%	54,180,724	76%
Other auxiliaries	31,144,700	17,114,732	55%	16,533,364	54%
Total operating expenses	<u>2,473,663,700</u>	<u>1,676,235,207</u>	<u>68%</u>	<u>1,675,606,943</u>	<u>67%</u>
Net gain (loss) from operations	<u>(450,402,400)</u>	<u>(69,536,219)</u>	<u>15%</u>	<u>(131,797,341)</u>	<u>29%</u>

UNIVERSITY OF KENTUCKY  
A COMPONENT UNIT OF THE COMMONWEALTH OF KENTUCKY  
CURRENT FUNDS  
STATEMENTS OF REVENUES, EXPENSES AND CHANGES IN NET ASSETS  
FOR THE NINE MONTHS ENDED MARCH 31, 2013 AND 2012

	2013			2012	
	Budget	Actual	%	Actual	%
Net gain (loss) from operations	(450,402,400)	(69,536,219)	15%	(131,797,341)	29%
<b>NONOPERATING REVENUES (EXPENSES)</b>					
State appropriations	283,869,300	227,095,400	80%	237,865,000	78%
Gifts and non-exchange grants	55,442,600	80,745,068	146%	71,314,239	128%
Investment income	28,760,600	16,612,368	58%	13,946,725	38%
Other nonoperating revenues and expenses, net	5,858,300	7,771,686	133%	6,052,980	131%
Net nonoperating revenues (expenses)	373,930,800	332,224,522	89%	329,178,944	82%
Net income before other revenues, expenses, gains or losses	(76,471,600)	262,688,303	-344%	197,381,603	-347%
Capital grants and gifts	7,245,000	15,745,516	217%	28,880,819	346%
Other, net	10,000	38,656	387%	113,467	1081%
Total other revenues (expenses)	7,255,000	15,784,172	218%	28,994,286	347%
<b>NON-GASB ACTIVITY</b>					
Appropriated fund balance	230,427,000	-	-	-	-
Capital purchases and transfers	(86,319,200)	(66,836,769)	77%	(156,564,264)	110%
Debt service transfers	(78,649,100)	(55,381,407)	70%	(58,732,033)	77%
Noncapital transfers	3,757,900	1,106,445	29%	(2,196,058)	-79%
Total non-GASB current funds activity	69,216,600	(121,111,731)	-175%	(217,492,355)	-449%
<b>INCREASE IN NET ASSETS</b>	-	157,360,744		8,883,534	
<b>NET ASSETS, July 1</b>		392,255,776		438,481,760	
<b>NET ASSETS, March 31</b>		<u>\$ 549,616,520</u>		<u>\$ 447,365,294</u>	
<b>RECONCILIATION TO ANNUAL BUDGET</b>					
Operating revenues	\$ 2,023,261,300	\$ 1,606,698,988	79%	\$ 1,543,809,602	76%
Nonoperating revenues	615,420,700	349,115,139	57%	358,059,763	53%
Total revenues	2,638,682,000	1,955,814,127	74%	1,901,869,365	70%
Operating expenses	2,473,663,700	1,676,235,207	68%	1,675,606,943	67%
Nonoperating expenses and transfers	165,018,300	122,218,176	74%	217,378,888	100%
Total expenses and transfers	2,638,682,000	1,798,453,383	68%	1,892,985,831	70%
<b>INCREASE IN NET ASSETS</b>	<u>\$ -</u>	<u>\$ 157,360,744</u>		<u>\$ 8,883,534</u>	

# FCR 3

Office of the President  
June 11, 2013

Members, Board of Trustees:

## 2012-13 BUDGET REVISIONS

Recommendation: that the Board of Trustees authorize and approve the following revisions to the FY 2012-13 budget. The budget revisions outlined below will increase the University of Kentucky's total budget by \$17.7 million or .7 percent – from \$2,638,469,000 to \$2,656,169,000.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
<b>A. <u>GENERAL FUND</u></b>			
1. Income Estimates			
Student Tuition and Fees			
Tuition			
Fall, Spring and Winter	\$ 293,959,700	\$ 307,459,700	\$ <u>13,500,000</u>
			\$ 13,500,000
2. Expenditures			
University Wide			
Operating and Capital Projects	\$ 132,207,100	\$ 145,707,100	\$ <u>13,500,000</u>
			\$ 13,500,000
3. <u>Comments</u> – As a result of actual student enrollment and the percentage of non-resident undergraduate students exceeding the original estimates, the University has earned over \$13.5 million of tuition revenue in excess of the budgeted amount. The funds will be used to acquire the Lexington Theological Seminary property. The Board approved the purchase of the Seminary in May 2013. This excess revenue has been included in the recommended FY 2013-14 budget.			
	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
<b>B. <u>AUXILIARY FUND</u></b>			
1. Income Estimates			
Auxiliary Enterprises			
Intercollegiate Athletics			
Departmental Sales and Services	\$ 68,985,800	\$ 71,485,800	\$ 2,500,000
Transfers	17,900,000	18,400,000	<u>500,000</u>
			\$ 3,000,000

B. AUXILLIARY FUND (continued)

	Approved <u>Budget</u>	Revised <u>Budget</u>	<u>Change</u>
2. Expenditures			
President			
Intercollegiate Athletics			
Operations	\$ 81,005,300	\$ 84,005,300	\$ <u>3,000,000</u>
			\$ 3,000,000

3. Comments – Intercollegiate Athletics revenue will increase \$3.0 million from departmental sales and services (\$2,500,000) and transfers from gifts (\$500,000). These funds will be used to support the football program contractual agreements.

	Approved <u>Budget</u>	Revised <u>Budget</u>	<u>Change</u>
C. <u>RESTRICTED FUND</u>			
1. Income Estimates			
Auxiliary Enterprises			
Intercollegiate Athletics			
Gifts and Other Grants and			
Contracts	\$ 21,641,000	\$ 23,341,000	\$ 1,700,000
Transfers	(17,900,000)	(18,400,000)	<u>(500,000)</u>
			\$ 1,200,000

2. Expenditures			
President			
Intercollegiate Athletics			
Operations	\$ 4,231,000	\$ 5,431,000	\$ <u>1,200,000</u>
			\$ 1,200,000

3. Comments – Gifts are expected to increase by at least \$1.7 million. A \$500,000 increase in the K Fund gift revenue will be transferred to Intercollegiate Athletics operations to support the football program contractual agreements. The increase in capital gifts (\$1.2 million) will support the Soccer Complex renovation.

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Action taken:    ☒ Approved    ☐ Disapproved    ☐ Other \_\_\_\_\_

# FCR 4

Office of the President  
June 11, 2013

Members, Board of Trustees:

## CONSTRUCT UK/NICHOLASVILLE ROAD FLOOD MITIGATION

Recommendation: that the Board of Trustees approve a scope increase for the Construct UK/Nicholasville Road Flood mitigation project.

Background: On December 13, 2011, the Board of Trustees approved the Construct UK/Nicholasville Road Flood Mitigation capital project. This project will construct improvements to provide 100-year storm (and lesser storm) mitigation for roadway overtops and pedestrian safety. The project was initially approved for a total scope of \$8,015,463 of which 75 percent or \$6,011,597 was a federal grant from the Federal Emergency Management Agency (FEMA) and 25 percent or \$2,003,866 being the estimated in-kind value of 3.6 acres of University of Kentucky land designated for the project. As part of the interim legislative authorization process, the project was approved by the Council on Postsecondary Education on February 9, 2012 and authorized by the Capital Projects and Bond Oversight Committee on February 21, 2012.

As the actual appraised value of the land is \$966,141 more than originally estimated, FEMA has awarded the University an additional \$2,898,426 in federal funds. Thus, the revised scope of the project is \$11,880,030 of which \$8,910,023 is federal funds and \$2,970,007 is the in-kind value of the University's land designated for the project.

Contingent upon the Board of Trustees' approval, the scope increase will be submitted to the Capital Projects and Bond Oversight Committee.

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Action taken:     ☒ Approved     ☐ Disapproved     ☐ Other \_\_\_\_\_

# FCR 5

Office of the President  
June 11, 2013

Members, Board of Trustees:

EASEMENT AUTHORIZATION FOR COLUMBIA GAS OF KENTUCKY FOR  
A GAS TRANSMISSION LINE  
(C. O. LITTLE RESEARCH CENTER, WOODFORD COUNTY, KENTUCKY)

Recommendation: that the Executive Vice President for Finance and Administration, or his designee, be authorized to convey an easement to Columbia Gas of Kentucky for the construction, operation and maintenance of a transmission line across property owned by the University at the C.O. Little Research Center, Woodford County, Kentucky.

Background: Columbia Gas of Kentucky proposes to replace an existing gas line located on the University's C.O. Little Research Center in Woodford County, Kentucky. The existing gas line runs diagonally across the property and will be replaced using a better route that follows the property lines.

Documents to be executed by the University and Columbia Gas of Kentucky include a Memorandum of Agreement and a Grant of Easement.

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Action taken:     ☒ Approved     ☐ Disapproved     ☐ Other \_\_\_\_\_

# FCR 6

Office of the President  
June 11, 2013

Members, Board of Trustees:

REPAIR/UPGRADE/IMPROVE BUILDING SYSTEMS  
UNIVERSITY OF KENTUCKY HEALTHCARE  
(GOOD SAMARITAN HOSPITAL AIR HANDLING UNITS)

Recommendation: that the Board of Trustees approve the initiation of the Repair/Upgrade/Improve Building Systems – University of Kentucky HealthCare (Good Samaritan Hospital Air Handling Units) capital project.

Background: This project will replace air handling units (AHU) 19 and 21 in Good Samaritan Hospital. AHU 19 heats and cools patient areas on floors four through seven. AHU 21 serves the second floor operating rooms and the pre-operative and post-operative acute care unit areas. These AHUs are 42 years old, in poor mechanical condition and do not provide sufficient airflow to meet current code requirements. Replacement of these AHUs is needed to insure patient and staff comfort and air quality and to meet regulatory requirements.

The scope of this project is \$2.8 million, well within the \$10 million legislative authorization and will be funded with clinical revenues.

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Action taken:     ☒ Approved     ☐ Disapproved     ☐ Other \_\_\_\_\_

# FCR 7

Office of the President  
June 11, 2013

Members, Board of Trustees:

PATIENT CARE FACILITY PROJECT  
(FIT-UP EIGHTH FLOOR AND CENTRAL PHARMACY)

Recommendation: that the Board of Trustees approve the initiation of fit-up of space in the new Patient Care Facility including the eighth floor for inpatient services and space on the third floor for pharmacy services and increase the existing \$575.6 million scope for the facility by \$31.5 million to \$607.1 million.

Background: At the February 22, 2011 meeting, the Board approved the initiation of the Data Center and Related Support Space project in the new Patient Care Facility and approved increasing the scope of the project to \$575.6 million.

Since opening the first two patient care floors in Pavilion A in May 2011, UK HealthCare has continued to experience strong inpatient admissions growth. This volume increase has placed substantial capacity constraints on UK inpatient hospital operations. This \$31.5 million project will help alleviate these constraints and includes the fit-up of the eighth floor for inpatient cardiovascular services and fit-up of space on the third floor for an expansion of inpatient pharmacy services. This project will provide 64 inpatient beds and increase the medication packaging and distribution capacity for the Central Pharmacy.

The estimated increase in scope to \$607.1 million is well within the total legislative authorization of \$700 million and will be funded with clinical revenues.

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Action taken:      ☒ Approved      ☐ Disapproved      ☐ Other \_\_\_\_\_

# FCR 8

Office of the President  
June 11, 2013

Members, Board of Trustees:

## 2014-2020 CAPITAL PLAN AND 2014-2016 CAPITAL REQUEST

Recommendations: that the Board of Trustees accept the 2014-2020 Capital Plan, approve the 2014-2016 Capital Request and authorize the President to adjust the capital plan and capital request, as needed, throughout the legislative process.

Background: The 2014-2020 Capital Plan (attached) is the result of a comprehensive capital planning process guided by the President's efforts to respond to the Board of Trustees' October 2011 direction to revitalize and transform the campus. The Capital Plan has been prepared pursuant to KRS Chapter 7A, which requires each state agency and public postsecondary education institution to prepare and submit a six-year plan to the Capital Planning Advisory Board (CPAB) on or before April 15 of each odd-numbered year outlining institutional capital needs. The CPAB is a state board comprised of representatives of all three branches of Kentucky state government. The individual capital plans are used by the CPAB to develop a comprehensive statewide capital improvements plan, encompassing all state agencies and postsecondary education institutions.

By statute, the Capital Plan includes capital construction, renovation, and information technology projects estimated to cost \$600,000 or more; equipment estimated to cost \$200,000 or more; and annual leases valued at \$200,000 or more. The first biennium of the Capital Plan will evolve into the University's 2014-2016 Capital Request that is submitted to the Council on Postsecondary Education in the fall. The Council will develop the postsecondary education system-wide biennial capital request and submit it to the Governor in November. The Governor will consider the Council's request along with CPAB's statewide improvements plan and all other agency requests as part of the development of his biennial budget recommendation for the 2014 legislative session.

With regard to UK, a work group with broad representation from all areas of the University and technical expertise developed, reviewed and submitted the projects listed in the Plan to the President and senior leadership for consideration. As the Plan is the initial instrument to obtaining legislative authorization for a capital project - including debt authority - this work group (the Capital Planning Advisory Group) included all needed projects in the Plan regardless of current available funding.

The University's six-year plan includes approximately 300 capital projects, equipment, and leases at an estimated cost of \$5.6 billion. For the 2014-16 biennium, the University's plan includes approximately 150 capital projects, equipment, and leases with an estimated cost of \$2.4 billion using various recommended fund sources such as state bonds, agency bonds (university bonds), agency funds (university cash), and private funds. Refinements to the Capital Plan can be made over the next several months.

Pursuant to AR 8:2, the Board of Trustees must approve the initiation of any capital project with a scope greater than \$600,000 by separate action.

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Action taken:     ☒ Approved     ☐ Disapproved     ☐ Other \_\_\_\_\_

**University of Kentucky  
2014-2020 Capital Plan**

		Proposed Fund Source					
Priority	Title	Scope	State Bonds	Agency Bonds	Agency Funds	Road Fund	Other Funds (Private)
2014-2016							
1	Expand/Renovate Student Center	\$115,300,000		\$115,300,000			
2	Construct Satellite Student Center	76,400,000		76,400,000			
3	Expand Patient Care Facility-Hospital Phase 4	250,000,000		250,000,000			
4	Renovate/Upgrade Academic Learning Center	45,000,000	\$45,000,000				
5	Construct Library Depository Facility	15,000,000	15,000,000				
6	Fit-up Academic/Administrative Space (New Housing)	10,000,000			\$10,000,000		
7	Renovate/Upgrade McVey Hall	23,100,000	23,100,000				
8	Fit-up Academic Science Bldg.	30,000,000		30,000,000			
9	Lease-Purchase Construct Parking Structure #9	45,000,000		45,000,000			
10	Construct Baseball Facility	40,000,000		20,000,000	20,000,000		
11	Construct Parking/Academic Facility	50,000,000		40,000,000			\$10,000,000
12	Upgrade/Improve/Expand Recreational Fields	25,000,000			25,000,000		
13	Renovate Space in the Chem/Physics Building	1,000,000			1,000,000		
14	Renovate Alumni Gym and/or North Recreation Center	14,500,000			14,500,000		
15	Expand Boone Tennis Center	8,000,000			8,000,000		
16	Repair/Upgrade/Improve Civil/Site Infrastructure	20,000,000				\$20,000,000	
17	Upgrade/Renovate Space in Multi-Disciplinary Science Building	27,200,000			27,200,000		
18	Renovate King Library South-1962 Section-Phase 2	4,430,000			4,430,000		
19	Research Equipment Replacement	30,000,000			30,000,000		
20	Upgrade/Renovate/Improve or Expand Research Labs	33,500,000			33,500,000		
21	Lease-Purchase Construct Student Housing	202,000,000					202,000,000
22	Construct Student Dining Facility-1	40,000,000		40,000,000			
23	Construct/Expand/Renovate Ambulatory Care - UKHC	20,000,000			20,000,000		
24	Renovate/Upgrade Academic Space (LTS)	25,000,000			25,000,000		
25	Improve Life Safety Project	15,000,000			15,000,000		
26	Renovate/Expand Law School	60,000,000	30,000,000				30,000,000
27	Capital Renewal Maintenance Pool	33,750,000	33,750,000				
28	Lease-Purchase Acquire Off Campus Office Bldg	10,000,000					10,000,000
29	Renovate Dentistry Major Facility Needs	16,800,000		16,800,000			
30	Renovate/Upgrade Campus Core Quadrangle Facilities	30,000,000	30,000,000				
31	Construct Transit Center	5,000,000			5,000,000		
32	Renovate/Upgrade Academic Facility	16,000,000			16,000,000		
33	Upgrade Student Center Infrastructure	18,968,000		18,968,000			
34	Renovate Dickey Hall Library	1,500,000			1,500,000		
35	Construct Student Dining Facility - 2	40,000,000		40,000,000			

**University of Kentucky  
2014-2020 Capital Plan**

		Proposed Fund Source					
Priority	Title	Scope	State Bonds	Agency Bonds	Agency Funds	Road Fund	Other Funds (Private)
2014-2016							
36	Renovate Space for Testing Facility	1,000,000	1,000,000				
37	Expand/Renovate Kastle Hall	22,327,000	22,327,000				
38	Renovate Funkhouser Building	28,300,000	28,300,000				
39	Renovate/Upgrade Pence Hall	18,870,000			18,870,000		
40	Construct Equine Campus, Phase 2	9,500,000			9,500,000		
41	Acquire/Renovate Academic Facilities	25,000,000			25,000,000		
42	Renovate Taylor Education Building	10,000,000	10,000,000				
43	Expand/Renovate W. KY & Robinson Station	9,835,000			9,835,000		
44	Renovate Memorial Hall	5,000,000			5,000,000		
45	Renovate Mineral Industries Building	4,900,000			4,900,000		
46	Install Artificial Turf on Pieratt Rec Fields	2,795,000			2,795,000		
47	Renovate Robotics Building	3,842,000			3,842,000		
48	Renovate the Schmidt Vocal Arts Center	2,000,000			2,000,000		
49	Expand KGS Well Sample and Core Repository	5,280,000			5,280,000		
50	Expand Coldstream Research Campus	20,000,000			20,000,000		
51	Upgrade/Renovate/Modify or Expand Vivarium Facilities	9,000,000			9,000,000		
52	Upgrade/Renovate Clean Room Space-Coldstream	8,000,000			8,000,000		
53	Purchase/Upgrade Pollution Controls	22,000,000			22,000,000		
54	Repair/Upgrade/Expand Central Plants	62,000,000			62,000,000		
55	Repair/Upgrade/Improve Electrical Infrastructure	28,000,000	28,000,000				
56	Repair/Upgrade/Improve Mechanical Infrastructure	26,000,000	26,000,000				
57	Repair Emergency Infrastructure/Bldg. Systems	25,000,000	25,000,000				
58	Repair/Upgrade/Improve Bldg Mechanical	25,000,000	25,000,000				
59	Repair/Upgrade/Improve Bldg Shell Sys	5,000,000	5,000,000				
60	Repair/Upgrade/Improve Bldg Electrical Sys	5,000,000	5,000,000				
61	Repair/Upgrade/Improve Elevator Sys	5,000,000	5,000,000				
62	Acquire Land	50,000,000			50,000,000		
63	Lease-Purchase Police Communications Equipment	700,000			700,000		
64	Expand/Renovate Sturgill Development Building	2,130,000			2,130,000		
65	Construct University Storage Bldg	6,000,000					6,000,000
66	Guaranteed Energy Savings Performance Contracts	1,000			1,000		
67	Guaranteed Energy Savings Performance Contracts - Parking	1,000			1,000		
68	Guaranteed Energy Savings Performance Contracts - Athletics	1,000			1,000		
69	Guaranteed Energy Savings Performance Contracts - Housing	1,000			1,000		
70	Handicapped Access Pool	5,000,000	5,000,000				

**University of Kentucky  
2014-2020 Capital Plan**

		Proposed Fund Source					
Priority	Title	Scope	State Bonds	Agency Bonds	Agency Funds	Road Fund	Other Funds (Private)
2014-2016							
71	Purchase Buses	2,000,000			2,000,000		
72	Construct WUKY Facility	3,910,000			3,910,000		
73	Emerging Technologies Academic Support Systems	2,000,000			2,000,000		
74	Upgrade Enterprise Information Systems	20,000,000			20,000,000		
75	Data Repository System	5,000,000			5,000,000		
76	Renovate Central Computing Facility	5,000,000			5,000,000		
77	Remote Site Fiber	2,000,000			2,000,000		
78	Lease-Purchase Campus Call Center System	750,000			750,000		
79	Lease-Purchase Document Scanning System	10,000,000			10,000,000		
80	Document Imaging (ASG)	775,000			775,000		
81	Lease-Purchase Enterprise Storage System	2,200,000			2,200,000		
82	Lease-Purchase Exchange Replacement	1,000,000			1,000,000		
83	Lease-Purchase High Performance Research Computer	6,500,000			6,500,000		
84	Lease-Purchase Large Scale Computing	5,500,000			5,500,000		
85	Lease-Purchase Data Warehouse/Infrastructure	1,800,000			1,800,000		
86	Network Security Hardware	1,500,000			1,500,000		
87	Purchase Telephone System Replacement	3,000,000			3,000,000		
88	Purchase Upgraded Communication Infrastructure 1	2,500,000			2,500,000		
89	Purchase Upgraded Communication Infrastructure 2	2,500,000			2,500,000		
90	Wireless/Cellular Infrastructure	3,000,000			3,000,000		
91	Construct Good Sam Med Office Bldg	23,700,000			23,700,000		
92	Construct Office Tower - UKHC	95,600,000			95,600,000		
93	Lease-Purchase Construct/Fit-Up Retail Space	4,000,000					4,000,000
94	Renovate Nursing Units - UKHC	6,000,000			6,000,000		
95	Renovate/Expand Clinical Services - UKHC	15,000,000			15,000,000		
96	Renovate/Upgrade Hosp.Facil. - Good Samaritan	10,000,000			10,000,000		
97	Repair/Upgrade/Improve Building System - UKHC	20,000,000			20,000,000		
98	Upgrade/Expand Cancer Treatment Facil. - UKHC	20,000,000			20,000,000		
99	Upgrade/Fit-up Hospital Facilities	35,000,000			35,000,000		
100	Upgrade/Renovate Surgical Services - UKHC	5,000,000			5,000,000		
101	Lease-Purchase Implement Enterprise Security System	10,000,000			10,000,000		
102	Implement Medication Bar Coding System	10,000,000			10,000,000		
103	Implement Patient Communication System	10,000,000			10,000,000		
104	Implement Real Time Locator System	5,000,000			5,000,000		
105	Implement Revenue Management System	35,000,000			35,000,000		

**University of Kentucky  
2014-2020 Capital Plan**

Proposed Fund Source							
Priority	Title	Scope	State Bonds	Agency Bonds	Agency Funds	Road Fund	Other Funds (Private)
2014-2016							
106	Implement Unified Communication System	3,000,000			3,000,000		
107	Lease-Purchase Mainframe Computer - UKHC	3,000,000			3,000,000		
108	Lease-Purchase Personal Electronic Health Records	5,000,000			5,000,000		
109	Lease-Purchase Replace/Upgrade Perioper Inf. Sys	3,000,000			3,000,000		
110	Lease-Purchase Telemedicine/Virtual ICU	10,000,000			10,000,000		
111	Lease-Purchase/Upgrade - UKHC IT Syst.	10,000,000			10,000,000		
112	Purchase Allergy Info. System - UKHC	1,000,000			1,000,000		
113	Purchase Cardiology Info. System - UKHC	4,000,000			4,000,000		
114	Purchase Clinical Information System	10,000,000			10,000,000		
115	Purchase Digital Medical Record Expansion	10,000,000			10,000,000		
116	Purchase Document Scanning System	10,000,000			10,000,000		
117	Purchase Oncology Information System - UKHC	5,000,000			5,000,000		
118	Purchase Telephone System Replacement	3,000,000			3,000,000		
119	Purchase/Expand PACS System	5,000,000			5,000,000		
120	Replace/Upgrade Radiology Info.System	2,000,000			2,000,000		
121	Upgrade Clinical Enterprise Network - UKHC	6,500,000			6,500,000		
122	Upgrade Enterprise Information Systems	20,000,000			20,000,000		
123	Construct/Upgrade/Fit-up Support Services-UKHC	3,500,000			3,500,000		
124	Implement Land Use Plan-UKHC	20,000,000			20,000,000		
125	Renovate/Upgrade Memorial Coliseum	6,000,000			6,000,000		
126	Repair Stadium Structure	2,500,000			2,500,000		
127	Perry Co. - Lease Space Rural Health Expansion-Hazard						
128	Fayette Co. - Lease Space Off Campus #1						
129	Fayette Co. - Lease Space Off Campus #2						
130	Fayette Co - Lease Space Off Campus #3						
131	Fayette Co - Lease Space Off Campus #4						
132	Fayette Co - Lease Space Off Campus #5						
133	Fayette Co - Lease Space Off Campus #6						
134	Fayette Co - Lease Off Campus #7						
135	Fayette Co - Lease Space Grant Projects #1						
136	Fayette Co - Fayette Co - Lease Grants Projects #2						
137	Fayette Co - Lease Administrative Offices						
138	Fayette Co - Lease - Off Campus Housing #3						
139	Fayette Co - Lease - Off Campus Housing #4						
140	Fayette Co - Lease - Off Campus Housing #5						

**University of Kentucky  
2014-2020 Capital Plan**

		Proposed Fund Source					Other Funds (Private)	
Priority	Title	Scope	State Bonds	Agency Bonds	Agency Funds	Road Fund		
2014-2016								
141	Fayette Co - Lease - Off Campus Housing #6							
142	Fayette Co - Lease - Off Campus Athletics							
143	Lease Health Affairs Office							
144	Lease Health Affairs Office #3							
145	Lease Health Affairs Office #5							
146	Lease Health Affairs Office #6							
147	Lease Med Center Grant Projects #1							
148	Lease Med Center Grant Projects #2							
149	Lease Med Center Off-Campus Fac #1							
150	Lease Med Center Off-Campus Fac #2							
151	Lease Med Center Off-Campus Fac #3							
152	Lease Med Center Off-Campus Fac #4							
Total 2014-2016		\$2,377,666,000	\$362,477,000	\$692,468,000	\$1,040,721,000	\$20,000,000	\$262,000,000	
2016-2018								
1	Construct Science Research Building 3	\$217,500,000	\$134,253,375					\$83,246,625
2	Construct Washington Avenue Bldg. #1	55,000,000	55,000,000					
3	Renovate/Expand Fine Arts Bldg and Singletary Ctr.	125,000,000	125,000,000					
4	Renovate/Expand The Johnson Center	16,550,000				\$16,550,000		
5	Construct Student Dining Facility - 3	40,000,000		\$40,000,000				
6	Renovate ML King Library	30,000,000	30,000,000					
7	Expand and Renovate Lafferty	10,205,000				10,205,000		
8	Renovate Erikson Hall	10,875,000				10,875,000		
9	Upgrade/Renovate/Improve or Expand Research Labs	33,500,000				33,500,000		
10	Renovate Education Space in Seaton Center	3,790,000				3,790,000		
11	Construct Multi-Media Center	10,000,000				10,000,000		
12	Renovate Whalen Building	5,520,000				5,520,000		
13	Construct Environmental & Natural Sci Facility	61,600,000	61,600,000					
14	Construct Center for Design Innovation	39,800,000	39,800,000					
15	Renovate Slone Building, Phase I	5,445,000				5,445,000		
16	Construct Band Hall & Practice Field	20,000,000	20,000,000					
17	Renovate Bradley Hall	8,975,000	8,975,000					
18	Construct Office/Lecture Building	28,925,000	28,925,000					
19	Relocate Greenhouses	8,425,000				8,425,000		
20	Purchase Digital Village Building 3	25,310,000				25,310,000		

**University of Kentucky  
2014-2020 Capital Plan**

		Proposed Fund Source					
Priority	Title	Scope	State Bonds	Agency Bonds	Agency Funds	Road Fund	Other Funds (Private)
2016-2018							
21	Upgrade/Renovate (Sound System for the) Singletary Center	1,500,000			1,500,000		
22	Construct Library/Knowledge Center	183,800,000	183,800,000				
23	Design Library/Knowledge Center	20,700,000	20,700,000				
24	Renovate Cooper House	1,810,000			1,810,000		
25	Construct Public Health Building	40,500,000	24,908,000				15,592,000
26	Expand/Upgrade Coldstream Research Campus	20,000,000			20,000,000		
27	Improve Life Safety Project Pool	2,000,000	2,000,000				
28	Repair/Upgrade/Improve Electrical Infrastructure	28,000,000	28,000,000				
29	Repair/Upgrade/Improve Mechanical Infrastructure	26,000,000	26,000,000				
30	Repair/Upgrade/Improve Bldg Mechanical Systems	25,000,000	25,000,000				
31	Repair/Upgrade/Improve Bldg Shell Systems	5,000,000	5,000,000				
32	Repair/Upgrade/Improve Bldg Electrical Systems	5,000,000	5,000,000				
33	Repair/Upgrade/Improve Bldg Elevator Systems	5,000,000	5,000,000				
34	Repair/Upgrade/Improve Civil/Site Infrastructure	14,000,000	14,000,000				
35	Capital Renewal Maintenance Pool	33,750,000	33,750,000				
36	Sanitary Sewer Expansion	14,000,000		14,000,000			
37	Construct Medical Center Physical Plant Building	15,435,000			15,435,000		
38	Lease-Purchase Student Housing	100,000,000					100,000,000
39	Acquire Land	35,000,000			35,000,000		
40	Upgrade Fume Hoods - Life Safety	20,175,000	20,175,000				
41	Upgrade Fume Hood in Combs Bldg.-Life Safety	3,750,000	3,750,000				
42	Upgrade Pharmacy Fume Hoods - Life Safety	9,720,000	9,720,000				
43	Sprinkle Scovell Hall - Life Safety	900,000			900,000		
44	Repair/Upgrade/Expand Central Plants	62,000,000			62,000,000		
45	Lease-Purchase Construct Parking Structure #10	32,000,000					32,000,000
46	Construct New Alumni Center	14,685,000			14,685,000		
47	Construct Police Headquarters Bldg	8,660,000			8,660,000		
48	Construct Central Emergency Response Center	2,370,000			2,370,000		
49	Lease-Purchase ERP Phase V	5,350,000			5,350,000		
50	Lease-Purchase Fiber Optics Infrastructure	1,500,000			1,500,000		
51	Lease-Purchase Campus Call Center System	750,000			750,000		
52	Campus Infrastructure Upgrade	3,500,000			3,500,000		
53	Lease-Purchase Data Center Infrastructure Hardware Upgrade	1,000,000			1,000,000		
54	Lease-Purchase Data Warehouse/Infrastructure	1,800,000			1,800,000		
55	Lease-Purchase High Performance Research Comp	6,500,000			6,500,000		

**University of Kentucky  
2014-2020 Capital Plan**

		Proposed Fund Source					
Priority	Title	Scope	State Bonds	Agency Bonds	Agency Funds	Road Fund	Other Funds (Private)
<b>2016-2018</b>							
56	Lease-Purchase Large Scale Computing	5,500,000			5,500,000		
57	Lease-Purchase Network Security Hardware	1,500,000			1,500,000		
58	Lease-Purchase SAP Processor	1,500,000			1,500,000		
59	Lease-Purchase Storage Addition Replacement	2,000,000			2,000,000		
60	Construct College of Medicine Academic Building	104,000,000	104,000,000				
61	Construct Ambulatory Facility - UKHC	50,000,000			50,000,000		
62	Construct Data Center-UKHC	40,000,000			40,000,000		
63	Construct Hospice Facility - UKHC	4,000,000			4,000,000		
64	Construct/Expand/Renovate Ambulatory Care - UKHC	15,000,000			15,000,000		
65	Construct/Upgrade/Fit-up Support Services - UKHC	3,675,000			3,675,000		
66	Implement Land Use Plan - UKHC	5,000,000			5,000,000		
67	Lease-Purchase Fit-up Hosp. Dining Facilities/Eq	17,000,000					17,000,000
68	Renovate Diagnostic Treatment Services - UKHC	2,500,000			2,500,000		
69	Renovate Nursing Units - UKHC	4,000,000			4,000,000		
70	Renovate/Upgrade Hosp.Facil. - Good Samaritan	10,000,000			10,000,000		
71	Repair/ Upgrade/ Improve Bldg Systems - UKHC	10,000,000			10,000,000		
72	Upgrade/Fit-up Hospital Facilities	10,000,000			10,000,000		
73	Upgrade/Relocate Pediatric Critical Care - UKHC	10,000,000			10,000,000		
74	Upgrade/Renovate Surgical Services - UKHC	5,000,000			5,000,000		
75	Lease-Purchase Data Center Hardware-UKHC	15,000,000			15,000,000		
76	Lease-Purchase Data Repository System	5,000,000			5,000,000		
77	Lease-Purchase/Upgrade - UKHC IT Syst.	10,000,000			10,000,000		
78	Purchase Clinical Information System	10,000,000			10,000,000		
79	Upgrade Clinical Enterprise Network - UKHC	6,500,000			6,500,000		
80	Upgrade Enterprise Information Systems	20,000,000			20,000,000		
81	Athletics Hall of Fame Plaza	2,500,000					2,500,000
<b>Total 2016-2018</b>		<b>\$1,877,250,000</b>	<b>\$1,014,356,375</b>	<b>\$54,000,000</b>	<b>\$558,555,000</b>	<b>\$0</b>	<b>\$250,338,625</b>
<b>2018-2020</b>							
1	Expand College of Comm & Information Studies	\$24,320,000	\$24,320,000				
2	Upgrade/Renovate/Improve or Expand Research Labs	35,000,000			\$35,000,000		
3	Renovate Dental Student Clinics	3,500,000		\$3,500,000			
4	Renovate Slone Building, Phase 2	5,445,000			5,445,000		
5	Construct Human Sciences Building	60,600,000	60,600,000				

**University of Kentucky  
2014-2020 Capital Plan**

		Proposed Fund Source					
Priority	Title	Scope	State Bonds	Agency Bonds	Agency Funds	Road Fund	Other Funds (Private)
2018-2020							
6	Renovate/Upgrade Miller Hall	7,000,000			7,000,000		
7	Purchase Digital Village Building 4	27,700,000	27,700,000				
8	Construct Science Research Building 4	216,000,000	216,000,000				
9	Acquire/Renovate Facility for UK Art Museum	20,000,000	20,000,000				
10	Construct University Conference Center	31,870,000			31,870,000		
11	Repair/Upgrade/Improve Electrical Infrastructure	28,000,000	28,000,000				
12	Repair/Upgrade/Improve Mechanical Infrastructure	26,000,000	26,000,000				
13	Repair/Upgrade/Improve Bldg. Mechanical Systems	22,500,000	22,500,000				
14	Repair/Upgrade/Improve Bldg Shell Systems	5,000,000	5,000,000				
15	Repair/Upgrade/Improve Bldg Electrical Systems	5,000,000	5,000,000				
16	Repair/Upgrade/Improve Bldg Elevator Systems	5,000,000	5,000,000				
17	Repair/Upgrade/Improve Civil/Site Infrastructure	14,000,000	14,000,000				
18	Capital Renewal Maintenance Pool	33,750,000	33,750,000				
19	Lease-Purchase Student Housing	100,000,000					\$100,000,000
20	Dining	15,000,000			15,000,000		
21	Expand Coldstream Research Campus	20,000,000			20,000,000		
22	Improve Life Safety Project Pool	3,500,000	3,500,000				
23	Sprinkle Buildings - Life Safety	10,750,000	10,750,000				
24	Repair/Upgrade/Expand Central Plants	62,000,000			62,000,000		
25	Acquire Land	35,000,000			\$35,000,000		
26	Lease Purchase Construct Parking Structure #11	51,000,000			51,000,000		
27	Expand Arboretum Visitor Center - Phase 1	3,763,000					3,763,000
28	Handicap Access Pool	5,000,000	5,000,000				
29	Expand/Construct Parking Structure	31,600,000			31,600,000		
30	Upgrade/Renovate Campus Recreation Facilities	5,000,000			5,000,000		
31	Lease-Purchase ERP Phase VI	5,350,000			5,350,000		
32	Lease-Purchase Campus Call Center System	750,000			750,000		
33	Lease-Purchase Campus Infrastructure Upgrade	3,500,000			3,500,000		
34	Lease-Purchase Data Center Infrastructure Hardware Upgrade	1,000,000			1,000,000		
35	Lease-Purchase Data Warehouse Infrastructure	1,800,000			1,800,000		
36	Lease-Purchase Fiber Optics Infrastructure	1,500,000			1,500,000		
37	Lease-Purchase High Performance Research Comp	6,500,000			6,500,000		
38	Lease-Purchase Large Scale Computing	5,500,000			5,500,000		
39	Lease-Purchase Network Security Hardware	1,500,000			1,500,000		
40	Lease-Purchase SAP Processor	1,500,000			1,500,000		

**University of Kentucky  
2014-2020 Capital Plan**

		Proposed Fund Source					
Priority	Title	Scope	State Bonds	Agency Bonds	Agency Funds	Road Fund	Other Funds (Private)
2018-2020							
41	Lease-Purchase Storage Addition Replacement	2,000,000			2,000,000		
42	Construct Freestanding Clinic - UKHC	36,000,000			36,000,000		
43	Construct/Expand/Renovate Ambulatory Care - UKHC	15,000,000			15,000,000		
44	Lease-Purchase/Construct/Fit-up Retail Space	4,000,000			4,000,000		
45	Construct/Renovate/Fit-up Physicians Svc Fac	2,000,000			2,000,000		
46	Construct/Upgrade/Fit-up Support Services - UKHC	4,000,000			4,000,000		
47	Expand Patient Care Facility-Hospital Phase 5	100,000,000			100,000,000		
48	Expand/Construct Parking Structure - UKHC	31,600,000			31,600,000		
49	Implement Energy Performance Contracting	600,000			600,000		
50	Implement Land Use Plan - UKHC	20,000,000			20,000,000		
51	Implement Land Use Plan - UKHC	5,000,000			5,000,000		
52	Renovate Nursing Units - UKHC	2,000,000			2,000,000		
53	Renovate Parking Structure #3 - UKHC	3,500,000			3,500,000		
54	Renovate/Expand Clinical Services - UKHC	15,000,000			15,000,000		
55	Renovate/Expand Clinical Services - UKHC	20,000,000			20,000,000		
56	Renovate/Upgrade Good Sam-Cafeteria-UKHC	1,500,000			1,500,000		
57	Renovate/Upgrade Hosp.Facil. - Good Samaritan	10,000,000			10,000,000		
58	Repair/Upgrade/ Improve Bldg Systems - UKHC	10,000,000			10,000,000		
59	Repair/Upgrade/ Improve Bldg Systems-UKHC	20,000,000			20,000,000		
60	Upgrade/Fit-up Hospital Facilities	10,000,000			10,000,000		
61	Upgrade/Relocate Critical Care Facility-UKHC	10,000,000			10,000,000		
62	Upgrade/Renovate Surgical Services - UKHC	5,000,000			5,000,000		
63	Lease-Purchase/Upgrade - UKHC IT Syst.	10,000,000			10,000,000		
64	Purchase Clinical Information System	10,000,000			10,000,000		
65	Purchase Digital Medical Record Expansion	10,000,000			10,000,000		
66	Upgrade Clinical Enterprise Network - UKHC	6,500,000			6,500,000		
67	Upgrade Enterprise Information Systems	20,000,000			20,000,000		
Total 2018-2020		\$1,325,898,000	\$507,120,000	\$3,500,000	\$711,515,000	\$0	\$103,763,000
Grand Total 2014-2020		\$5,580,814,000	\$1,883,953,375	\$749,968,000	\$2,310,791,000	\$20,000,000	\$616,101,625

# FCR 9

Office of the President  
June 11, 2013

Members, Board of Trustees:

## PATENT ASSIGNMENT REPORT

Recommendation: that the Board of Trustees accept the patent assignment report for the period January 1 through March 31, 2013.

Background: The March 4, 1997 meeting of the Board of Trustees authorized the University of Kentucky Research Foundation to conduct all future copyright and patent filings and prosecutions. Quarterly reports on patent and copyright applications are to be submitted to the Finance Committee of the Board.

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Action taken:     ☒ Approved     ☐ Disapproved     ☐ Other \_\_\_\_\_

PATENT ASSIGNMENT  
QUARTERLY FOR THE PERIOD THROUGH March 31, 2013

Patents

The following assignment on behalf of the Board of Trustees of the University of Kentucky Research Foundation has been executed:

1. **U.S. Patent Application Serial Number: 13/740,828**  
**Filed:** January 15, 2013  
**Title:** Protection of Cells from Degeneration and Treatment of Geographic Atrophy  
**Inventor:** Jayakrishna Ambati (Ophthalmology and Visual Science)  
**Technical Description:** This invention relates to therapeutic uses of inflammasome inhibition, P2X<sub>7</sub> inhibition, and inhibition of IRAK1 and/or IRAK4, methods of protecting a cell, and methods for identifying inhibitors.  
**Summary:**  
Age-related macular degeneration (AMD) is characterized by dysfunction and degeneration of the retinal pigmented epithelium (RPE). The advanced form of atrophic AMD, called GA, is characterized by degeneration and death of the RPE, and it is the leading cause of untreatable vision loss. It has been shown that a dramatic and specific reduction of the RNase DICER 1 results in accumulation of *Alu* RNA transcripts in the RPE of human eyes with GA and induces RPE cell death and RPE degeneration in mice. This invention shows that *Alu* transcripts can hijack innate immune system machinery to induce RPE cell death. The findings show a previously known role for DICER 1 as a guardian against the aberrant overexpression of toxic retrotransposon genetic elements. The findings present a novel self-recognition immune response, whereby endogenous non-coding RNA-induced NLRP3 inflammasome activation results from *DICER 1* deficiency in a non-immune cell. The invention also proposes unique targets for protecting RPE cells against death, including P2X<sub>7</sub> activation and IRK-1/4.
2. **U.S. Patent Application Serial Number: 13/741,458**  
**Filed:** January 15, 2013  
**Title:** Low Voltage Electrowetting Device and Method for Making Same  
**Inventors:** Yuguang Cai (Chemistry) and Xiaoning Zhang  
**Technical Description:** The invention relates generally to the field of electrowetting and, more particularly, to a low-voltage electrowetting device and a method of making the same.  
**Summary:** Electrowetting involves modifying the surface tension of liquids on a solid surface using a voltage. By applying a voltage, the wetting properties of a hydrophobic surface can be modified and the surface becomes increasingly hydrophilic (wetttable). Electrowetting is a microfluidic phenomena that is currently enjoying explosive growth as a driving mechanism for a wide range of fluidic and electro-optic applications. There are two parallel approaches to reduce the driving voltage. One way is to increase capacitance. The other way is to minimize the interfacial energies of the solid and the liquid, usually through using an immiscible oil bath. This invention discloses a low-voltage electrowetting device incorporating an ionic liquid dielectric layer of ultra-high

capacitance. Such a device is capable of electrowetting effects using driving voltages of must 70mV and 5V in AC and DC modes of operation. Further, such device is compatible with digital micro-fluidics applications.

3. **U.S. Patent Application Serial Number: 13/741,439**

**Filed:** January 16, 2013

**Title:** Fossil-Fuel-Fired Power Plant

**Inventors:** Kunlei Liu (Center for Applied Energy Research) and James K. Neathery (Center for Applied Energy Research)

**Technical Description:** The invention relates the power plant field and, more particularly, to a new and improved power plant that operates at an improved efficiency with reduced carbon dioxide emissions.

**Summary:** The invention discloses a power plant comprised of (1) a boiler configured to receive fuel, feed-water, and an air stream to combust fuel, vaporizing the feed-water into steam, and to discharge a flue gas that includes carbon dioxide; (2) a steam turbine configured to receive the steam wherein the steam drives a load that the steam turbine discharges spent steam; and (3) a post-combustion processing system configured to process the flue gas and including (a) a carbon dioxide scrubber for receiving flue gas and contacting the flue gas with a reagent that absorbs carbon dioxide to form a spent absorbent reagent, (b) a primary stripper for receiving the spent absorbent reagent and stripping at least a portion of the carbon dioxide from the spent absorbent reagent to form a first regenerated reagent, and (c) a secondary stripper for receiving the first regenerated reagent and stripping at least a portion of the carbon dioxide from the first regenerated reagent to form a second regenerated reagent, with the stripper having a first line to return the second regenerated reagent to the carbon dioxide scrubber to be recycled as the reagent and a second line to return at least a portion of the carbon dioxide stripped from the first regenerated reagent to the air stream being received by the boiler.

4. **U.S. Patent Application Serial Number: 13/763,057**

**Filed:** February 8, 2013

**Title:** Aromatic Compounds with Sulfur Containing Ligands

**Inventor:** Boyd E. Haley (Chemistry)

**Technical Description:** The invention relates to novel aromatic compounds useful as nutritional supplements, antioxidants, heavy metal chelators, and/or as intermediaries for producing other useful compounds of this type.

**Summary:** Free radicals are unstable oxygen-containing molecules that negatively interact with other molecules in the body in a process called oxidation. High levels of free radicals and oxidation can lead to oxidative stress. Moderate oxidative stress can trigger apoptosis, while more intensive oxidative stress may cause widespread necrosis or cell death. The body naturally fights oxidation by producing glutathione (GSH), a tripeptide comprised of glutamic acid, cystine, and glycine. GSH is found in all cells of the body, and without GSH, the body would have little resistance to metabolic acids and the liver would shrivel up from the eventual accumulation of acidic toxins. Once GSH stabilizes a free radical, it becomes oxidized and is usually excreted from the body. Thus, the body must replace GSH as it is used. This invention relates to novel compounds useful as antioxidant dietary supplements that help maintain healthy GSH levels. This invention

discloses the structures of several aromatic compounds incorporating sulfur-containing ligands.

5. **U.S. Patent Application Serial Number: 13/767,418**

**Filed:** February 14, 2013

**Title:** High-Activity Mutants of Butyrylcholinesterase for Cocaine Hydrolysis and Method of Generating the Same

**Inventor:** Chang-Guo Zhan (Pharmaceutical Sciences)

**Technical Description:** The invention relates to butyrylcholinesterase variant polypeptides and, in particular, butyrylcholinesterase mutants with amino acid substitutions.

**Summary:** Cocaine mediates its reinforcing and toxic effects by blocking neurotransmitter reuptake, and the classical pharmacodynamic approach has failed to yield small-molecule receptor/transporter antagonists due to the difficulties inherent in blocking a blocker. An alternative to a pharmacodynamic approach is to interfere with the delivery of cocaine to its receptors/transporters and accelerate its metabolism in the body. The dominant pathway for cocaine metabolism in humans is butyrylcholinesterase (BChE)-catalyzed hydrolysis at the benzoyl ester group. Human experiments have shown that enhancement of BChE activity by the administration of exogenous enzyme substantially decreases cocaine half-life. Administering BChE to enhance cocaine metabolism works three orders-of-magnitude lower against the naturally occurring (-)-cocaine than against the biologically inactive (+)-cocaine, and so there exists a need for mutant BChE with higher catalytic activity against (-)-cocaine. This invention discloses five novel BChE mutants that have unexpected increased catalytic efficiency for (-)-cocaine hydrolysis. These mutants have various unique amino acid residue substitutions, which provide the surprising enhanced catalytic activity. In addition, the invention discloses a novel method for developing additional mutants, which have enhanced catalytic efficiency.

6. **U.S. Patent Application Serial Number: 13/803,939**

**Filed:** March 14, 2013

**Title:** Axial-Centrifugal Flow Catheter Pump for Cavopulmonary Assistance

**Inventors:** Dongfang Wang (Cardiothoracic Surgery) and Joseph B. Zwischenberger (Surgery)

**Technical Description:** The invention relates to the field of medical devices, in particular, to cardiac medical devices and systems for use in remediating or alleviating failing circulation subsequent to a Fontan procedure.

**Summary:** The Fontan procedure is a palliative surgical procedure used to ameliorate complex congenital heart defects such as heart valve defects and abnormalities in pumping ability. The procedure has disadvantages and post-surgical complications, including pleural effusions, atrial scarring, atrial flutter, and atrial fibrillation, all of which require additional surgical interventions. Other long-term risks include protein-losing enteropathy and chronic renal insufficiency. Additionally, immediately or even 2 to 5 years after the procedure, it is known that the surgically created Fontan circulation often fails. Attempts have been made to improve failing Fontan circulation by implanting a right ventricular assist device, but this requires a traumatic surgical intervention and a

takedown of the Fontan connection to install the pump. Usage of dual pumps or smaller single pumps has been evaluated, and there remains a need for improvements to pumps. This invention discloses an improvement featuring a rotor having elements providing both an axial fluid flow and a centrifugal fluid flow and a motor operatively connected to the motor.

7. **U.S. Patent Application Serial Number: 13/850,746**

**Filed:** March 26, 2013

**Title:** Method of Ameliorating Oxidative Stress and Supplementing the Diet

**Inventor:** Boyd E. Haley (Chemistry)

**Technical Description:** The invention relates to the field of dietary supplements for mammals and, more particularly, to methods of supplementing a diet, removing heavy metals and other toxins, and ameliorating oxidative stress.

**Summary:** Heavy metals such as mercury, lead, cadmium and silver have numerous deleterious effects within cells. Heavy metals can bind proteins and inhibit or activate their biological properties. When heavy metals bind specific proteins, it triggers a series of events that can lead to unhealthy cells that may die or be unable to defend themselves from stress factors such as viral infection. Glutathione (GSH) provides protection against heavy metal toxicity, organic toxins, and hydroxyl free radical damage, but exposure to excess heavy metals causes reduced GSH to convert to oxidized glutathione (GSSG) and decreases the cell's ability to remove toxins. An ideal way to recover GSH levels would be to develop a non-toxic compound that mimics GSH function. This invention discloses a molecule with cell membrane-penetrating abilities, heavy metal chelation and oxygen radical scavenging properties, and a non-toxic character. The molecule can be delivered in the diet of mammals.

8. **U.S. Patent Application Serial Number: 13/853,186**

**Filed:** March 29, 2013

**Title:** Solvent and Method for Removal of an Acid Gas from a Fluid Stream

**Inventor:** Joseph E. Remias (Center for Applied Energy Research)

**Technical Description:** The invention relates to the removal of CO<sub>2</sub> and other acid gases from a fluid stream and, more particularly, to a solvent of promoter and tertiary amines used for separating an acid gas from that fluid stream.

**Summary:** The cleanup of acid gases from natural gas and in oil reefing has been practiced since the 1930s, and post-combustion CO<sub>2</sub> emissions continue to be a topic of widespread interest. Aqueous amines are frequently used to remove acid gasses. In broad terms, the described method seeks to add a promoter amine in the form of a substituted primary and/or secondary amine to a tertiary amine to form a solvent. The promoters serve to increase the overall mass transfer of the acid gas into the absorption solvent. The promoters are designed to have particularly low volatility without contributing significant viscosity to the solution. The described promoters achieve this attribute without being an ionic compound, which can negatively impact mass transfer. The low volatility and low viscosity are achieved by using alternate functional groups in addition to the amine functional group that reacts with the CO<sub>2</sub> molecule. Low volatility is important to reduce amine loss in the CO<sub>2</sub> capture process. This property is often achieved by using alcohol

subgroups in addition to the amine group; however, the alcohol groups are hydrogen bond donors, which add more solution viscosity due to intermolecular bonding.

9. **U.S. Patent Application Serial Number: 13/853,234**

**Filed:** March 29, 2013

**Title:** Catalysts and Methods of Increasing Mass Transfer Rate of Acid Gas Scrubbing Solvents

**Inventor:** Joseph E. Remias (Center for Applied Energy Research)

**Technical Description:** The invention relates generally to catalysts and methods of increasing the overall mass transfer rate of acid gas scrubbing solvents utilizing those catalysts.

**Summary:** Several methods are in use for post-combustion CO<sub>2</sub> capture, and regardless of the method used, the mass transfer rate in the absorber column dictates the size of the column (capital cost) used and, consequently, has a substantial impact on the overall process cost. In order to minimize absorber costs, it is important to maximize the overall mass transfer rate for the scrubber system, as there is a direct correlation between the two. This invention relates to compounds/catalysts and related methods for this purpose. The invention discloses the chemical formula for a catalyst compound that is added to a fluid stream including an acid gas and an acid gas scrubbing solvent. The acid gas scrubbing solvent includes an amine ammonia or a carbonate/bicarbonate solution.

Patent Activities

Fiscal year to date as of March 31, 2013

Number of Patent Applications	16
Number of Patents Issued	22
Patent Gross Revenue	\$4,656,540.59

# FCR 10

Office of the President  
June 11, 2013

Members, Board of Trustees:

## FISCAL YEAR 2013-2014 OPERATING AND CAPITAL BUDGET

Recommendation: that the Board of Trustees:

1. Approve the Fiscal Year 2013-2014 Operating and Capital Budget.
2. Approve the appropriation and allocation of all fund balances for future operations, to include amounts necessary to cover accounts receivable and inventories that will represent assets on the audited balance sheet of the University of Kentucky as of June 30, 2013. These audited balances may be different than the estimates incorporated in the proposed budget. Since the books of account for the University of Kentucky are maintained on a full accrual basis, this action will provide the authorization to expend accumulated fund balances as necessary to maintain a sound financial position during Fiscal Year 2013-2014.
3. Order that the operating budget be recorded as an integral part of the University's financial system with the necessary fiscal control being exercised for the collection and appropriation of income and for the expenditure of departmental appropriations in accordance with authorized fiscal policies and procedures.
4. Accept the operating budget as the basis of maximum expenditures for Fiscal Year 2013-2014, subject to item number two above and other Board approved revisions.

Background: Pursuant to the Governing Regulation II.E.2(c), the Finance Committee shall oversee the budgets of the University by reviewing and recommending to the Board of Trustees on the annual operating budgets. The University's recommended Fiscal Year 2013-2014 consolidated operating budget totals \$2.7 billion, an increase of \$71 million compared to the Fiscal Year 2012-2013 revised budget. The Fiscal Year 2013-2014 Operating and Capital Budget will be provided in detail as a separately bound document. The budget will also be available at: <http://www.uky.edu/OPBPA>.

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Action taken:      ☒ Approved      ☐ Disapproved      ☐ Other \_\_\_\_\_