

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, October 25, 2011.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, October 25, 2011 in the Board Room on the 18th Floor of Patterson Office Tower.

A. Meeting Opened

Dr. E. Britt Brockman, chair of the Board of Trustees, called the meeting to order at 1:00 p.m. and asked Ms. Sandy Bugie Patterson, secretary of the Board, to call the roll.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: C. B. Akins, Sr., William C. Britton, E. Britt Brockman (chair), Sheila Brothers, William S. Farish, Jr. Micah Fielden, Oliver Keith Gannon, Carol Martin "Bill" Gatton, Billy Joe Miles, Terry Mobley, Sandy Bugie Patterson, Joe Peek, Erwin Roberts, Charles R. Sachatello, C. Frank Shoop, James W. Stuckert, Irina Voro, and Barbara Young. Jo Hern Curris and Pamela T. May were absent from the meeting. Ms. Patterson announced that a quorum was present.

Ms. Patterson said that Ms. Curris asked that the following statement be read and recorded in the Minutes: "Jo Curris reported that she is attending the 50th Anniversary and Annual Conference of Presidents of the American Association of State Colleges and Universities in Boston and will be unable to attend the University of Kentucky Board meeting on October 25, 2011."

The university administration was represented by President Eli Capilouto, Provost Kumble Subbaswamy, Executive Vice President for Finance and Administration Frank Butler, Executive Vice President for Health Affairs Michael Karpf, and General Counsel Barbara W. Jones.

The university faculty was represented by Chair of the University Senate Council Hollie Swanson, and the university staff was represented by Chair of the Staff Senate Mike Adams.

Members of the various news media were also in attendance.

C. Consent Items

Dr. Brockman called attention to the four consent items on the agenda: Minutes from September 13, 2011 and Minutes from the Board retreat on October 1 and 2, 2011, PR 2 which deals with Personnel Actions, and FCR 1 which is a gift and pledge from Larry H. Spears. Mr. Stuckert moved approval of the consent items, and his motion was seconded by Ms. Brothers.

The motion carried without dissent. (See consent items listed below on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

Minutes	September 13, 2011
Minutes	Board Retreat, October 1 and 2, 2011
PR 2	Personnel Actions
FCR 1	Larry H. Spears Gift and Pledge

D. Chair's Report

Dr. Brockman began his report by leading off with petitions to the Board. He reported that there were two petitions to address the Board, and they were received under the procedures in Governing Regulations Part II.

The first petition was found to be relevant. The petitioner was heard at the Finance Committee meeting. The subject matter of the petition was a proposal to the Board of Trustees to consider a clean energy feasibility study on campus and possible renovations to replace and retire the coal fire power plants on campus.

The other petition was not found to be relevant. The petition contained a series of questions concerning UK priorities, athletics vs. education, and the condition of the classroom buildings. The petitioner was referred to the administration at the University.

Dr. Brockman then commented about The Investiture of President Eli Capilouto. He thanked John Herbst, who was in charge of The Investiture, for the nice ceremony. He recognized Mr. Herbst in the audience and asked him to stand and be recognized. Mr. Herbst received a round of applause from the Board.

E. Special Committee for Athletics Report

Dr. Brockman thanked Mr. Mobley, who chaired the Special Committee for Athletics, and members of the Committee for their efforts and work collecting information. He asked Mr. Mobley to give the Committee's report.

Before beginning the report, Mr. Mobley made it perfectly clear that the charge to the Committee had absolutely nothing to do with past management or current management of the Athletics Department. Mr. Barnhart and his staff have done a wonderful job over the years, and the Committee's charge was a best practice going forward. Following the report, he thinks the Board will believe that the Committee was successful in carrying out its charge. He then gave his report.

Mr. Mobley reported that the Committee consists of five members of the Board of Trustees, two former chairs of the Board, a dean, a faculty member that served on the Athletic Board, and the NCAA faculty representative.

There were two different issues that the Committee wanted to address. Deputy Director of Athletics Mark Coyle was nice enough to do some research for the Committee in regard to other Southeastern Conference (SEC) schools, Atlantic Coast Conference (ACC), and UK's benchmarks involving how they were organized as far as the athletic relationship to the university and to their boards. The result of the research showed that organizations are what they are because of the history of the particular institution. Organizations have always been that way, and they are a little hesitant to change after many years as was the case in UK's situation.

There was a lot of good information showing some institutions went from having outside foundations to different types of groups; therefore, the Committee got a sense that the information was good. The Committee was interested in going forward and deciding what would serve the University, the Board of Trustees, and the Athletics Department best as far as a practice and organizational structure for the future. With that in mind, the Committee was 100 percent in agreement that the current organizational and committee structure of the Board of Trustees and the University has functioned well with the structure of the committees: Academic Affairs, Student Affairs, Investment, Finance, and University Health Care. As visible as athletics is at the University, it was obvious that there was not an Athletics Committee. Athletics is viewed by some Board members and many constituents as the front porch to the University. For a lot of different reasons, the University would be best served by having an Athletics Committee as a part of the Board of Trustees structure going forward. The Committee used the University Health Care Committee as a parallel measure because it also reaches out and has community members as a part of the committee under the Board of Trustees.

There would be five members of the Board of Trustees on the Board committee and three community members. The only difference in the University Health Care Committee and the Athletics Committee will be that the President will appoint the Board of Trustees members to the Athletics Committee. That is because of a NCAA regulation as it relates to institutional control which the University must adhere to and be in compliance. This will be the recommendation in the Governing Regulation that will be presented to the Board later in the meeting.

The recommendation brought up the question of what to do with the current Athletic Association Board of Directors that is an affiliated corporation of the University. When you take the responsibility that it has had and move it to a committee under the Board, there is very little from a responsibility point of view that the Board would be doing. Basically, the Committee is recommending that the Athletic Association Board of Directors be dissolved. This is a legal process that will take some time to do. The Committee recognized that there is power in having faculty, students, and community individuals involved; therefore, the Athletic Association Board of Directors could be an Athletic Advisory Committee just to continue getting input from the different constituencies that make up the fanbase of UK athletics.

Either President Capilouto or someone has talked to members of the Athletic Board, and they understand what is going on with the efforts of the Committee. The Committee tried to not have any secrets and to be as transparent as possible. The Committee feels very comfortable with the recommendation and is supportive of it. It looks right. It feels right. And, it is the right thing for the Board of Trustees to do as the University moves into the future.

On behalf of the Special Committee on Athletics, Mr. Mobley recommended the acceptance of his report to the Board.

Dr. Brockman asked for any discussion relative to the motion. He called for a vote to accept the report, and it passed without dissent.

F. Proposed Revision to Governing Regulation: University Athletics Committee

Dr. Brockman said that CR 1 is a proposed revision to the Governing Regulation (GR), Part II, regarding the creation of the University Athletics Committee. The recommendation is that the Board of Trustees receive the attached revision of Governing Regulation II relating to Board committees for preliminary consideration. This will be the first reading. The second and final reading will occur at the next Board meeting in December.

The proposed revision of GR, Part II, establishes a University Athletics Committee within the committee structure of the Board of Trustees and defines the Committee's responsibilities. The revision also includes housekeeping and formatting changes.

As background, in March 2011, the Chair of the Board of Trustees appointed a Special Committee of the Board to review UK Athletics with benchmark institutions and current best practices. The Committee met on October 20, 2011 and recommended that the Board of Trustees create a new Athletics Committee within the committee structure of the Board and include community advisory members. The Committee further recommended that in order to remain compliant with NCAA rules on institutional control over intercollegiate athletics, the committee membership and its chair should be appointed by the President in consultation with the Chair of the Board of Trustees. All committee members, including community advisory members, will be appointed by the President of the University.

The Committee's responsibilities will include: providing counsel to the President concerning matters or activities of the Athletics Department; reviewing the Athletics Department's annual budget and audit reports, major expenditures and acquisitions, and construction of facilities if the cost is expected to exceed \$400,000; and, providing needed information to the Board of Trustees. The Committee will be composed of five Board of Trustees members and up to three non-voting community members.

Dr. Peek asked for clarification on the ruling regarding terms. It was clarified that the initial terms would be staggered and then all terms would be for three years.

Mr. Shoop moved approval of CR 1 for the first reading. Dr. Akins seconded the motion, and it passed without dissent. (See CR 1 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

G. President's Report

President Capilouto added his thanks to John Herbst and the really good team of people that made The Investiture a delightful event for him and he hoped for the University as well.

Before beginning his PR 1 report, he said he wanted to take a moment to highlight an important item noted in PR 2. Dr. Lois Chan, a professor of Library and Information Science, is retiring after more than 40 years of service. She has dedicated her career to making information more accessible and easier to find. Some may know her in a different role as she has served as the University Marshal since 1989 and as a member of the President's Commission on Diversity since its inception in 2001.

Dr. Chan started working at the University of Kentucky Libraries in 1966 as a serials cataloger. In 1970, she joined the faculty of what was then the College of Library Science. She has been a full professor in the School of Library and Information Science since 1980. She also has a doctorate in comparative literature from UK.

She has authored several books on classification, including authoritative references on the Dewey Decimal and Library of Congress systems, as well as dozens of articles and reports in peer-reviewed academic journals and professional publications. She also has given talks and lectures literally all over the world.

In addition to her own research, she has contributed to collaborative ventures in the field of library science, most notably the Online Computer Library Catalog's FAST project, which she has served as a consultant since 1999.

Dr. Chan has been a recipient of numerous awards throughout her academic career. In 2006, she received the American Library Association's Beta Phi Mu Award for Distinguished Service to Education in Librarianship. In 2001, she received the Excellence in Teaching Award from the UK College of Communications and Information Studies.

President Capilouto said he wanted to acknowledge this tremendous faculty member who has served with distinction in so many important roles at the University. He noted that Dr. Chan could not attend the Board meeting but asked the Board to join him in recognizing her wonderful service to the University. The Board applauded Dr. Chan for her many accomplishments.

President Capilouto reminded the Board that he highlighted some tremendous scholarly and academic achievements made by faculty and students at the last Board meeting. He said that he wanted to continue the process of spotlighting individuals at the University.

In the coming months and years, he plans to spend a great deal of time discussing the bricks and mortar of our campus and the renewal in our infrastructure that must occur if we are going to reach our promise. He does not want to ever lose sight of why we are here, and that is the faculty, students, and staff whose achievements in the classroom, the research labs, and in communities make this promise so real.

President Capilouto announced that a clinician from the medical campus and a student would be spotlighted at this Board meeting. Both are working across the Commonwealth to make Kentucky a better place.

Dr. Michael R. Dobbs is an associate professor and vice chair of neurology. He also directs the University of Kentucky's comprehensive stroke program. He is a native son, born in Somerset, Kentucky. He graduated from UK with a degree in microbiology in 1994. He also graduated from the UK College of Medicine in 1998. He is currently working on a master's degree from the Harvard School of Public Health in his spare time.

Dr. Dobbs' research and scholarly interests include process improvement of health care systems, stroke epidemiology and health care disparities, and clinical neurotoxicology. His textbook *Clinical Neurotoxicology* is becoming the standard for the field.

After completing his residency training in 2002 at UK, he spent a few years in the Air Force and has been in the College of Medicine since 2006.

He considers his most important project at UK to be the formation and direction of a statewide stroke care network. His wife, Betsy, graduated from UK in 2002 with her degree in economics and will soon complete her master's degree in library sciences.

President Capilouto then showed a video highlighting Dr. Dobbs' work. Following the video, he asked Dr. Dobbs to share some remarks about his work with the Board.

Dr. Dobbs said he was deeply honored that President Capilouto would find the simple work that he does worthy of being featured at a Board of Trustees meeting. The stroke network is really an important focus of what is being done in stroke care at UK. He said he was happy to announce that a couple of UK's stellar third-year medical students had approached him wanting research projects. These students will be working with faculty to develop their scholarly skills with network projects that will take them out into the state and increase their awareness of disease around Kentucky, which is what he thinks the College of Medicine should be doing. He told the Board that he would be happy to talk with them about his work. The Board gave Dr. Dobbs a round of applause.

President Capilouto introduced Mary Burchett, who is currently a fourth-year medical student in the UK College of Medicine. A native of Winchester, she graduated Phi Beta Kappa from Centre College with a Bachelor of Science in Biochemistry and Molecular Biology.

As a medical student, she has been selected twice to participate in the Professional Student Mentored Research Fellowship, and she also completed the Academic Health Careers Fellowship. In addition, she was elected to the Alpha Omega Alpha honorary society during her third year of medical school.

Mary has served on the LCME Steering Committee to prepare the College of Medicine for re-accreditation, and she is an active member of the Implementation Committee, working to

restructure the first and second year medical curriculum into an integrated, system-based approach.

The future Dr. Burchett is currently a lecturer in two courses in the College of Medicine, in which she teaches the methods and results from her research opportunities at UK.

Mary's research is more than a passing interest to her. When she was five, she was diagnosed with Marfan's syndrome by doctors at Johns Hopkins Hospital. She was 6 feet tall at age 11.

Because of her competitive nature, she was playing softball at Lexington Catholic High School in seventh grade. However, her doctors warned her that this could seriously impact her health in the future. So instead of sports, Mary put her energies into awareness about her disorder. She spoke to over 120 medical professionals in Kentucky during her junior year of high school for a Girl Scout project.

At the UK College of Medicine, she has worked on a Marfan's syndrome-based research project that was recently accepted for publication with Dr. Steven Estus, identifying alternative splicing in fibrillin, which is the connective protein created by the FBN1 gene that causes her disorder. In fibrillin splicing, she was looking at how isoforms were expressed in different organs and at different ages, which had never been done before.

Through her work, she made a connection to the College of Medicine's Senior Associate Dean for Research and Gill Foundation Chair in Preventive Cardiology Alan Daugherty. Dr. Daugherty invited Mary to attend the International Marfan's syndrome conference in Warrenton, Virginia last year, and this was a dream come true for this enthusiastic student and researcher.

Most recently, as a research fellow in the lab, she received a McKusick Fellowship, the largest research grant available from the National Marfan Foundation.

All of the positive developments in UK funding and faculty support have only served to bolster her commitment to serve her fellow Kentuckians. Mary made the following statement: "I would love to practice medicine in Kentucky. My goal is to provide patient care, to continue my research, and to increase awareness through the experiences of my childhood. And, I wouldn't have been able to unite all of those interests without the University of Kentucky."

President Capilouto invited Ms. Burchett to tell the Board more about her research.

Ms. Burchett thanked President Capilouto, Chair Brockman, and members of the Board for inviting her to be with them. She said most of the accolades were things that she was driven to do because she was looking for answers when she was a young child. She was trying to find a doctor who would take care of her. She felt like she was very fortunate because at the age of five she had a chest deformity that needed operative repair. When her father knew that the repair of the surgery required knowledge of whether she had Marfan's syndrome or not, he continued to press. He asked questions. He took her to multiple specialists. And finally at John Hopkins, she received the diagnosis.

She realized that she was fortunate because her father was a family physician. He was willing to make extra effort that led to open heart surgery for her at the age of 20. She had her aorta replaced so she did not have a life-threatening illness, which is what happens to many people with Marfan's syndrome.

When she came to UK, she did not know exactly what she wanted to do, but she knew that she wanted to do something for the people in Kentucky who had Marfan's syndrome. She said that she had been given an abundance of opportunities at the University of Kentucky. With the two years of professional mentored research, as well as an academic health career scholarship, she has learned all about academic medicine. She even had a mentor, Dr. Steven Estus, who sat down with her and asked what she wanted to do. She informed him that she had studied biochemistry and molecular biology and Marfan's syndrome at NIH and the clinical aspects. She wanted to combine the two, and Dr. Estus suggested that they look at splicing. Dr. Estus found the funding and the avenue of training for her so that she could do this and have an article published.

Not only did Dr. Estus send her to conferences, he invited her cardiologist Dr. Harry "Hal" Dietz from Johns Hopkins to give a lecture to the College of Medicine at UK. Dr. Dietz gave a lecture to her professors, fellow classmates, and members of the Central Kentucky Marfan Network Group, which is a local network group that she founded while she was in undergraduate school.

Dr. Dietz stayed late to talk to the Kentuckians and the Marfan Network Group. One family had driven from Pikeville, Kentucky. Their 11 year old son had been diagnosed with Marfan syndrome. He had lost his father to Marfan's syndrome within the last year. Dr. Dietz informed the family that their son's medicine should probably be doubled to prevent further complications. This made her so grateful to the University of Kentucky because Dr. Daugherty had expanded his research to try to find a cure for her disease and is trying to help Kentuckians who already have the disease.

Ms. Burchett concluded her remarks by saying that she has received a world-class education at UK, and she is very excited to continue this work as a physician in the future. The Board gave Mary a round of applause.

President Capilouto thanked Dr. Dobbs and Ms. Burchett. He reiterated his remarks in his investiture speech: "This place is truly remarkable."

H. Naming of University Building (PR 3)

President Capilouto said that PR 3 is a recommendation that the Board of Trustees approve renaming the North Hall residence building the "David P. Roselle Hall" in honor of the University of Kentucky's ninth president.

The Advisory Committee on Naming University Property has reviewed the request and found it to be in compliance with university policy AR 9:5. There were two letters of recommendation for this action. He recommended approval of the name designation.

Dr. Roselle is the only past president, except for the immediate past president, who does not have a building named in his honor. He served as the university's ninth president from 1987 to December 1989. His announced goal was to achieve national recognition for the University with respect to the quality of its graduates, its scholarship, and research. He left to become president of the University of Delaware. He is now retired.

Mr. Mobley moved that PR 3 be approved. Mr. Stuckert seconded the motion, and it carried without dissent. (See PR 3 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

I. Academic Affairs Committee Report

Dr. Gannon, chair of the Academic Affairs Committee, reported that the Academic Affairs Committee met that morning. The meeting was in two phases: business and related informational update.

Dr. Gannon reported that the Committee plans to have informational updates on four or five topics this year. He has worked with Dr. Hollie Swanson, chair of the Senate Council, to put together a list of appropriate topics for the Committee.

He said that Distance Learning and Technology in the Classroom is the first informational topic. The Committee had a presentation by Dr. Jeannine Blackwell, Dean of the Graduate School, Mr. Vince Kellen, Chief Information Officer, and Dr. Mark Kornbluh, Dean of the College of Arts and Sciences. The presentation was very informative, and the Committee had a very good discussion with a lot of interaction about this topic. The Committee learned that distance learning is strongest in the Graduate School with about 13 percent of all courses being taught by distance learning while about 1 percent of undergraduate courses are utilized through distance learning.

J. Academic Degree Recommendation (AACR 1)

Dr. Gannon said that AACR 1 is the recommendation that the Board of Trustees approve the establishment of a Master of Music with a major in Music Therapy degree in the College of Fine Arts, effective in the spring 2012 semester. On behalf of Academic Affairs Committee, he recommended the adoption of AACR 1. AACR 1 passed without dissent. (See AACR 1 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

K. Finance Committee Report

Mr. Stuckert, chair of the Finance Committee, said that Mr. Patrick Johnson spoke on behalf of the student group regarding consideration of a clean energy feasibility study on

campus. This was a result of his petition to address the Board that Dr. Brockman mentioned at the beginning of the meeting.

He said that FCR 1 was a consent item, which was approved at the beginning of the meeting. In FCR 1, Mr. Larry Spears made a commitment of \$1 million for an Endowed Chair in Pharmacogenetics in the College of Pharmacy. The fact that there are a lot of individuals that wish to donate to the University of Kentucky is beyond admirable. He thanked Vice President for Development Mike Richey for his leadership and also expressed appreciation to Mr. Mobley for his leadership in the past.

L. Request to Merge the Child Psychiatry Professorship with the Dr. Laurie L. Humphries Endowed Chair in Child Psychiatry (FCR 2)

Mr. Stuckert said that FCR 2 is the request to merge the Child Psychiatry Professorship with the Dr. Laurie L. Humphries Endowed Chair in Child Psychiatry. This is the merger of two chairs, and the combined endowment would have a current market value of \$1,614,423.80. On behalf of the Finance Committee, he moved that FCR 2 be approved. Having no opposition, FCR 2 passed without dissent. (See FCR 2 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

M. Renaming of Professorship in Otolaryngology to the Richard C. Haydon III, MD Chair in Otolaryngology FCR 3

Mr. Stuckert said that FCR 3 is a request to rename the Professorship in Otolaryngology to the Richard C. Haydon III, MD Chair in Otolaryngology in the College of Medicine. Dr. Haydon passed away in November 2010. He was an associate professor in the Division of Otolaryngology from 1990 until his death. This recognizes his many years of service to the department. On behalf of the Committee, Mr. Stuckert moved that FCR 3 be approved. FCR 3 passed without dissent. (See FCR 3 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

N. Renaming of the Spinal Cord and Brain Injury Chair No. 4 to the William R. Markesbery, MD Chair in Neurotrauma Research (FCR 4)

Mr. Stuckert said that FCR 4 is a recommendation that the Board approve a request to rename the Spinal Cord and Brain Injury Chair No. 4 to the William R. Markesbery, MD Chair in Neurotrauma Research. The board members of the Kentucky Spinal Cord and Head Injury Research Trust wish to memorialize Dr. William R. Markesbery and celebrate his many contributions to the advancement of research in spinal cord and brain injury through his longtime service as a board member of the Kentucky Spinal Cord and Head Injury Research Trust. The original gift for the chair was accepted by the Board of Trustees on March 2, 1999 and approved for a Research Challenge Trust Fund match. On behalf of the Finance Committee, he recommended that FCR 4 be adopted. FCR 4 was adopted without dissent. (See FCR 4 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

O. Acceptance of Audit Report and the Report on Internal Control for the University of Kentucky for 2010-11 (FCR 5)

Mr. Stuckert said that FCR 5 is the acceptance of the Audit Report and the Report on Internal Control for the University of Kentucky for 2010-11. The Audit Subcommittee met that morning and went through all the basics. On behalf of the Finance Committee, he moved approval of FCR 5.

Ms. Brothers asked how many years had UK received a clean audit report, and Vice President for Financial Operations Angie Martin replied that she was not aware of UK receiving an unqualified opinion at any time. Mr. Stuckert concurred with Ms. Martin's reply.

Hearing no opposition, FCR 5 passed without dissent. (See FCR 5 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

P. Renovate Parking Structure #3 - Hospital

Mr. Stuckert said that FCR 6 involves the renovation of parking structure #3 at the hospital. It will cost an expected \$1.24 million, well within the \$3.5 million authorized for the "Renovate Parking Structure #3 – Hospital" that was authorized by the 2010 Legislature, and will be funded by the UK HealthCare Enterprise. On behalf of the Finance Committee, he recommended the adoption of FCR 6, and it passed without dissent. (See FCR 6 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

Q. 2011-12 Budget Revisions (FCR 7)

Mr. Stuckert said that FCR 7 involves the 2011-12 budget revisions. The dollar amount of that budget revision is \$2.8 million, which is 1/10 of 1 percent of the approximate \$2.7 billion. Fundamentally, it was a shift of funds found in the College of Fine Arts and moved over to another entity and another department. On behalf of the Finance Committee, he moved adoption of FCR 7. FCR 7 passed without dissent. (See FCR 7 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

R. Approval of Leases (FCR 8)

Mr. Stuckert said that FCR 8 involves the approval of leases. The recommendation is that the Executive Vice President for Finance and Administration be authorized to negotiate and execute a lease renewal between the University of Kentucky (College of Arts and Sciences) and FCPII of Fort Worth, Texas for some space located at 1020 Export Street in Lexington. The lease is effective December 1, 2011 until June 30, 2015 for the use of approximately 25,009 square feet at \$4.90 a square foot per annum. The annual cost of this is \$122,544. It will probably be a maximum of a two-year lease, and like all UK leases, it will have a 30-day exit capability. On behalf of the Finance Committee, he moved the adoption of FCR 8. FCR 8 passed without dissent. (See FCR 8 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

S. Investment Committee Report

Mr. Gatton, chair of the Investment Committee, reported that the Committee met that morning to review performance results and conduct other business. The Endowment had an estimated net market value of \$796.2 million as of September 30, 2011. For the three months ended September 30th, the Endowment pool lost an estimated 9.1 percent, underperforming the policy benchmark return of -8.1 percent. The policy benchmark is a weighted average of various market index returns that are representative of the University's target asset allocation. The three-month underperformance against the policy benchmark is due mainly to underperformance by the fixed income managers.

The Investment Committee went into closed session to hear a presentation from the finalist in the absolute return manager search. Subsequently, the Committee authorized the Executive Vice President for Finance and Administration, or his designee, to enter into contract negotiations with the new manager. A new manager is needed in order to replace an existing manager which is being terminated effective December 30th. All new managers are hired as a result of a Request for Proposals process under the supervision of the University's Purchasing Division.

T. Student Affairs Committee Report

Ms. Patterson reported that the Committee had a full house at its meeting that morning. Melanie Matson, Director of the Violence Intervention and Prevention Center, spoke to the Committee. She gave an overview of the services provided, part of which is intervention and safe space for victims (students, staff, and faculty). They also provide help in finding appropriate services for these people. It is a wonderful program.

A 2004 safety study showed that 35.6 percent of female students were victims. A 2007 study found a slight reduction in those numbers. But, there was an increase in victims seeking help, an increase in campus safety, and an increase in the awareness of power based personal violence, so the program is working.

Green Dot is a campus climate for a safe environment. They are creating active bystander training on campus, an increase in health assistance, and things like this. It is a terrific program.

Students reporting incidents have increased at UK. It is above the national average. This is good news. It means the students are more knowledgeable about reporting incidences, and they are working with the program.

Mr. Fielden presented information about the Tally Cats program. They partnered with the Martin Luther King Cultural Center to get more students to attend programs on diversity.

There is a veteran student program that created a position in SGA to represent the returning veterans who are on campus.

A Wildcat Interest Group sponsored a gubernatorial debate on campus on October 22. There were 250 students in attendance for the debate.

Dorneshia Thomas, who is the president of Catalyst, spoke to the Committee about the Martin Luther King, Jr. memorial dedication in Washington, DC. Catalyst is a student organization to assist in the process diversity and social justice issues on campus. There were seven students, one faculty member, and two staff members who attended the dedication. Maya Bentley and Ronald Harrison, who are scholarship winners, also attended the dedication and had a phenomenal experience. Ms. Thomas closed with saying the dedication showed how far the university, state, and country have come.

Ms. Patterson concluded her report by expressing appreciation to Dr. Brockman, President Capilouto, and other Board members who attended the Committee meeting.

U. University Health Care Committee Report

Ms. Young reported that the Committee met Monday afternoon. The Committee had one action item and a presentation by Dr. Dobbs. Dr. Dobbs gave a great presentation, and the University is very fortunate to have him. She then presented the action item.

V. Approval of Creation and Funding of a UK/Norton HealthCare Joint Corporation for Quality and Research Collaboration (UHCCR 1)

Ms. Young said that UHCCR 1 recommends that the Board approve the leadership of UK HealthCare to collaborate with Norton Healthcare in jointly incorporating and funding a new not-for-profit corporation for the purposes of improving the quality of health care delivered across Kentucky, beginning with stroke and cerebrovascular disease. UK and Norton Healthcare will each have a 50 percent membership in the new entity. This Quality Collaborative Board of Directors of the Corporation will approve all operating and capital budgets.

UK HealthCare and Norton Healthcare signed a Memorandum of Agreement on November 8, 2010 to collaborate on improving access to and the quality of care for Kentuckians close to their homes while making sure that patients with complex medical issues receive the care they need without having to leave the state. This Memorandum of Agreement has since been extended and, pursuant thereto, an analysis conducted of health care needs of Kentucky that could be served better through collaboration between UK and Norton.

A white paper providing more information about this project is attached as Exhibit A. Additional projects are in development for joint research and similar initiatives directed toward other diseases, such as cardiovascular disease, cancer, and women's health. In order to commence operations, both UK and Norton each will contribute \$250,000 to the Corporation. The proposed Articles of Incorporation and Bylaws of the Corporation are attached as Exhibits B and C, respectively.

On behalf of the University Health Care Committee, Ms. Young moved to accept UHCCR 1, and it passed without dissent. (See UHCCR 1 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

W. University Health Care Committee Report Continued

Ms. Young reported that Sergio Melgar presented the August financial review. Discharges for the month of August were 93.8 per day, which were 0.3 discharges per day greater than the budget of 93.5. The hospital experienced high volume in August posting an operating income of \$2.4 million for the month. Comparing the balance sheet at August fiscal year 2012 and fiscal year 2011, cash has decreased \$80.9 million and has remained the same as the July 31, 2011 balance. Cash continues to be used to fund the remainder of Phase 1A of the Patient Care Facility project.

September continues the trend of July and August with high volume and discharges and an operating income of \$2.1 million. Year-to-date income from operations for the first quarter is 6.6 million. Management continues to monitor the level of FTEs, watching to see if the high volume continues and where the optimal level of FTEs will settle.

Bad debt is still a concern, and there will be studies to see what is driving it with the hope that it can be managed.

In summation, the first quarter will end up in good shape, setting the system on a path to achieve a \$22 million revenue goal in fiscal year 2012.

Dr. Richard Lofgren and Dr. Michael Dobbs reported on the UK HealthCare and Norton Collaborative Stroke Affiliate Network, looking at its current state and future opportunities. The Committee voted to approve the creation and funding of the UK Norton Healthcare Joint Corporation for Quality and Research Collaboration.

Dr. Lofgren presented the August 2012 signature performance matrix. He also announced that the hospital will invite consultants from the University Health System Consortium (UHC) to conduct an audit of the hospital's current clinical performance processes to assure that UK's HealthCare's methods are state-of-the-art.

Dr. Kevin Nelson presented for approval the current list of privileges and credentials for Chandler and Good Samaritan hospitals. The Committee made a motion, and all privileges and credentials were approved.

Following the meeting, the Committee and interested Board members took a tour of the new operating rooms, PACU, and Central Sterile.

X. Item for Board Discussion

Dr. Brockman reminded the Board of their retreat discussion about changing the way Board meetings are held by putting a special item for discussion on the agenda. For this meeting, he asked President Capilouto to give follow-up remarks on the Board retreat.

Y. President Eli Capilouto's Follow-up Remarks on the Board Retreat

President Capilouto thanked Chair Brockman and members of the Board for the opportunity to provide a follow-up on the retreat. At the conclusion of the Board retreat, which had been approximately three weeks ago, he sensed that there was an urgency to move forward on some bold undertakings.

President Capilouto thanked Dr. Hollie Swanson, chair of the University Review Committee, and her fellow faculty, administrators, and staff for their work on the report which she presented at the retreat. He provided a PowerPoint presentation and gave the following remarks about the undertakings.

After two days of examination that included the University Review Committee report, a facility assessment concluded with a walking tour of some grounds and buildings on campus and a report on external factors. The conclusion was that the trustees want the administration to move forward with a focus on undergraduate experience, housing, academic facilities, and research space.

Since the retreat, we have begun work to enhance the academic experience at UK. We have initiated financial planning. We have developed an RFP for a housing partner. We have created a campus land capacity model, and we have continued our work on more detailed plans for facilities. The most important words on the slide are "we have" at the top. I salute all of those in administration and the Provost Office who worked tirelessly for the past three weeks to move the University forward.

With academic enhancement, Provost Subbaswamy is working to enhance the honors opportunities at UK. This past weekend there were prospective Singletary Award recipients on campus, and they were enthusiastic about more opportunities and honors experiences. We are going to review and increase our scholarship availability. Our academic advising has been advanced over the last few years, and we want to continue that. We want to enhance those interactions with faculty and students and provide through capstone opportunities the ability to work with world-class researchers in the sciences and the arts.

In terms of preliminary financial planning, we looked over the next decade and factored in those particular variables that we think are important in macro planning. In terms of the sources of funds, we make assumptions about the state revenue, the number of students that are associated with tuition and fees, philanthropy, F&A recovery (the overhead expense recovery from grants when you talk about conducting research), and private partners relating to our housing. If we were to borrow, the debt proceeds and internal reallocations achieved through efficiencies would fund the facilities.

On the costs side, one imagines increased scholarships and personnel adjustments. If you were to add students for instance, what would that do to your personnel costs and overtime, factoring in the realities and goals of the fringe benefits associated with personnel and also merit increases.

With the intentional focus on our instructional programming to further improve it, there would be some costs there. There would be costs involving capital, construction, and operating and maintenance of new and existing facilities. We also considered that we would take down some facilities that are past their useful periods for our campus. Other costs include the assignable square footage that would be covered within each year and the other operating expenses.

In our financial planning, we wanted to keep at the forefront other considerations, such as student affordability and the pressures that each one of these variables would put on student affordability, and our debt capacity as a university.

As I have told members of the legislature, we want to make sure that we follow the rule book. So, we took into account the state statutes and regulations that would apply to these considerations.

Our basic conclusion is that an aggressive building program is achievable at UK. And yes, it is dependent on these factors: greater and more intentional philanthropy, innovative partnerships, smart growth of our student body, continuing investments by the Commonwealth, and improving efficiencies. We believe with forethought and commitment, we will be able to achieve our ambitious goals.

In terms of factors for setting our capital priorities for new space on campus, the first one is the impact on quality of undergraduate education. We looked carefully at the utilization of our buildings, the capability they currently have, renovation cost, and so forth. We also looked at their age and condition because we want to think in terms of modern learning for the future. We considered the availability of funds from all the different sources.

We must also look at the impact that construction would have on revenue generation alternatives through research and development funds, our tuition and fees, and so forth.

Another factor is program growth and entrepreneurship. I found as I visited the campus that we have many ideas about how we can meet our commitment to students to provide a quality education working with partners in an imaginative way. So, we want to be able to tap that creative vein that we have here at UK in planning for our future.

In terms of our building program, we want to open in fall 2013 a new undergraduate residence hall that would house 600 students. Its focus would be to contain honors and innovative learning programs, so we can add the living and learning environment that we desire for our students.

As we go out with a RFP from 2013 to 2021, we want to work on expanding our residence halls up to 9,000 modern beds. Currently, we have 5,500 but only about 500 are quality beds. We would be able to do the replacement and expansion in a measured way over time.

The academic, research, and student support facilities we looked at were \$400 to \$500 million in total investment. In terms of this planning phase, we did not consider self-financed projects such as those that would go under UK HealthCare or Athletics. That would be for future consideration.

We want to issue the RFP (Request for Proposal) tomorrow. We want to identify a private partner who has the financial capacity and strength demonstrated over time and the experience to help us, as a strong partner, undertake this building program. The request for Phase 1 will be the occupancy of 600 beds by fall 2013, and again, this is modern living and learning space, something that our students and their families most desire.

President Capilouto recognized Bob Wiseman, Vice President for Facilities, and asked him to review the campus land capacity assessment.

Mr. Wiseman reported that the campus master planner, Ayres Saint Gross, was asked to look at the campus and see if 9,000 beds would fit on campus. He reviewed the chart displayed, explaining the various pieces and locations on the chart. He explained that the five-story buildings would be more on the interior of campus, and the four-story buildings would be on the perimeters of campus. The campus can accommodate up to 9,000 beds, and the administration will be working with a potential developer to come up with the plan illustrated in the chart.

He noted that this plan would require dining facilities and student amenities phases, and the University has the capacity on land. It will not be necessary for the buildings to be built high; therefore, smaller buildings for a community within the residence halls can be built.

President Capilouto then continued his remarks, talking about the next immediate steps.

We want to have conversations with our stakeholders: students who will be partners in this plan for the future, the faculty, the neighborhoods, and our donors. We have already begun those discussions.

The RFP that will be issued is going to evaluate the public/private partnerships compared to university-financed projects, so there is a range of roles that could be played here. Through this process, we will be able to assess what would be optimal for the University of Kentucky.

The other scenarios that we must develop with more specificity over the next 60 days are looking at the combination of funding sources that we could have for projects within this macro plan, especially when it gets to some of our academic and student support buildings. We need to look carefully at the sequencing of projects in the immediate future and the partnerships and financial capacities we have.

As part of this, it is going to be incumbent upon us (as we did several years ago before we undertook the hospital expansion project) to conduct a serious debt capacity study to make sure that we fully understand our undertaking and our appetite for this.

There were other priorities mentioned at the Board retreat that remain on the forefront of our activities, and they are important to us. One is to develop a financial system of accountability. To me, this means an integrated and transparent budgeting system that links revenues to productivity and quality and assigns costs according to the way a unit could consume the resources.

Next, and most importantly on our campus, is our human capital, both at the faculty and staff level, and how we evaluate and award one another and assess one another in our units, and how we develop our talent to even a greater level.

Diversity and inclusion was mentioned frequently at the retreat. We have made great strides in the past few years, and we must always remain committed to even higher goals. We will be working with J. J. Jackson, Vice President for Institutional Diversity, and many others because this cuts across our entire campus.

Through this process, I hope that we become a learning organization, and through the dialogue we have, we learn from each other and develop this sort of culture. The recent fire simulation on campus, which was as close to the real thing as you can get, was a test about the culture of the organization. We found degrees for improvement, and we are already addressing those. I hope that this process and this dialogue will reinforce that culture.

President Capilouto concluded his remarks by sharing the following key dates for deadlines:

October 2011	Issue RFP for housing
December 2011	Board approve first housing project
January 2012	General Assembly convenes

President Capilouto reminded the Board of his comments at his recent Investiture: “We cannot be timid, and we cannot be afraid to take the first steps. We are taking the first steps. There are many more we are going to have to take together, but I’m excited and confident that we can achieve these ambitious goals that I have presented to you today.”

Dr. Brockman applauded President Capilouto for sticking with the game plan that came out of the Board retreat. President Capilouto has a sense of the Board’s urgency, and he is to be commended for what he has done so far. Dr. Brockman asked if anyone had any comments for President Capilouto.

Mr. Stuckert complimented President Capilouto, his staff, and top management. To come this far in three and a half weeks is amazing and impressive. He wished President Capilouto great success in duplicating that every three and half weeks.

President Capilouto reiterated that the key word is “we.” There were many involved in the process. He said he would not name anyone because he might forget someone. He expressed appreciation to those who were involved and assisted with the plan and said it is going to take that kind of involvement to move the plan forward, too.

Dr. Voro said that even though the University is not measured by the project, she remembers the Board had a short discussion during the retreat on that. She wanted everybody to know that she thinks the Board did not spend enough time on this. She thinks it would be really nice if the University only focused on the *U.S. News and World Report* and the *Forbes Magazine*. It would be more productive and would make more sense.

On a little bit of a different note, she remembered somewhat during the retreat that she asked the question: How can we address issues of accountability and transparency at UK? She believes this is the Board’s chance. As faculty trustee, in the view of the events of the past month, in view of the attempts to impose Big Brother control --

Dr. Brockman interrupted Dr. Voro and said he would allow her to read her statement in the new business. He asked if there were any other questions relative to the conversations with President Capilouto and his presentation.

Mr. Shoop said that he is excited about the 9,000 beds for students. He added that if President Capilouto can accomplish what he has presented to the Board in three weeks, he is real excited about what the Board can do in a year. Mr. Shoop said that the Board is excited about working with President Capilouto.

Mr. Gatton agreed with Frank and said he, too, is excited that the University has President Capilouto because President Capilouto does not believe that “no” is a word in the English language. President Capilouto thinks that “yes” is the only word. Mr. Gatton said that he believed that President Capilouto will get everything done because he has a lot of vision to get a lot of things started.

Mr. Fielden commented on behalf of the students: “Dr. Capilouto, I would like to say thank you for the students. I know that future generations of University of Kentucky students will appreciate this very much as you concentrate on our undergraduate experience, and you are improving our facilities. The work you are doing is not going unnoticed. We appreciate the tireless effort of you and your staff.”

Ms. Brothers said that she would jump on the bandwagon. She said, “I think this is phenomenal. I wasn’t quite sure what to expect in terms of a report back to the board, but I certainly wasn’t expecting something this quick, this specific, and this succinct. Bravo! Wonderful!”

Ms. Young made the following comment: “I just want to thank you for being so open with information with all of us on this and keeping us kind of in the pipeline so we know exactly where we are headed. I know we will get information that will be pertinent throughout the process, and that is just very exciting.”

President Capilouto thanked everyone for their comments, and he received a round of applause from the Board.

Z. Other Business

Dr. Brockman reminded the Board of the reception following the meeting. He asked Ms. Brothers if she wanted to say any remarks regarding the reception.

Ms. Brothers said that everyone was welcome to attend the reception. It is a wonderful opportunity for staff, faculty, and students to be able to interact with Board members. It is called the Stakes Reception for a reason. It is all the stake holders here at the university: students, staff, faculty, and Board members. Upon adjournment, she encouraged the Board members to enjoy some conversation.

Dr. Brockman recognized Dr. Voro for her comments.

Dr. Voro continued her remarks that she had begun earlier: “Mr. Chair as faculty trustee, in view of the events of the past month, in view of the attempts to impose Big Brother control, the likes of which I haven’t seen since the time of the Soviet Union, I propose the following:

That the Board retains its own legal counsel, completely independent from President Capilouto and his subordinates, including general counsel Barbara Jones.

That the Board establishes an ethics and good governance committee to devise measures and recommendations that would lead to a more trusting relationship among the Board members and within the ranks of the entire institution.

The sense of alienation of rank-and-file employees from the university managerial and governing structure is more palpable than ever, as the document containing faculty views testifies it and I sent it to all of you on Sunday.

Most importantly, the committee would ensure that the Big-Brother-type interferences into the work of this trustee, or any trustee, would never happen again.

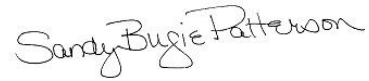
Finally, I propose that, for reasons you personally know, Mr. Chairman, you temporarily recuse yourself from chairing the Board during discussions into this matter, and let Vice Chair Pam May conduct business.

Thank you.”

AA. Meeting Adjourned

Hearing no suggestions for additional matters, Dr. Brockman asked for a motion to adjourn. Mr. Stuckert made the motion, and the meeting ended at 2:18 p.m.

Respectfully submitted,



Sandy Bugie Patterson
Secretary, Board of Trustees

(CR 1; PR 2, and 3; AACR 1; FCR 1, 2, 3, 4, 5, 6, 7, and 8; and UHCCR 1 are official parts of the Minutes of the meeting.)

CR 1

Board of Trustees
October 25, 2011

Members, Board of Trustees:

PROPOSED REVISION TO GOVERNING REGULATION: UNIVERSITY
ATHLETICS COMMITTEE

Recommendation: that the Board of Trustees receive the attached revision of Governing Regulation (GR) II, relating to Board committees for preliminary consideration (first reading.) The second and final reading will occur at the next Board meeting.

The proposed revision of GR II establishes a University Athletics Committee within the committee structure of the Board of Trustees and defines the Committee's responsibilities. The revision also includes housekeeping and formatting changes.

Background: In March 2011 the Chair of the Board of Trustees appointed a Special Committee of the Board to review UK Athletics with benchmark institutions and current best practices. The Committee met on October 20, 2011, and recommended that the Board of Trustees create a new Athletics Committee within the committee structure of the Board and to include community advisor members. The Committee further recommended that in order to remain compliant with NCAA rules on institutional control over intercollegiate athletics, the committee membership and its chair should be appointed by the President in consultation with the Chair of the Board of Trustees.

The Committee's responsibilities will include: providing counsel to the President concerning matters or activities of the Athletics Department; reviewing the Athletics Department's annual budget and audit reports, major expenditures and acquisitions, and construction of facilities if the cost is expected to exceed \$400,000; and, providing needed information to the Board of Trustees. The Committee will be composed of five Board of Trustees members and up to three non-voting community members.

A revised copy of GR II is attached. Proposed additions are underlined; proposed deletions are lined through.

Action taken:



Approved

Disapproved

Other _____



UNIVERSITY OF
KENTUCKY
Regulations

Governing Regulation, Part II

Responsible Office: Board of Trustees

Effective:

Supersedes Version: 3/29/2011

Governing Regulation, Part II Governance of the University of Kentucky **DRAFT**

Major Topics

Hyperlinks to major topics in document:

- [A. Introduction](#)
- [B. Board of Trustees - Membership](#)
 - [1. Definition](#)
 - [2. Membership](#)
 - [\(a\) Appointed Members](#)
 - [\(b\) Elected Members](#)
 - [\(c\) Non-voting members](#)
 - [3. Officers](#)
- [C. Meetings of the Board of Trustees](#)
- [D. Conflicts of Interest of Board Membership](#)
- [E. Standing and Special Committees](#)
 - [1. Standing Committee General Rules](#)
 - [2. Standing Committees](#)
 - [\(a\) Executive Committee](#)
 - [\(b\) Academic Affairs Committee](#)
 - [\(c\) Finance Committee and Audit Subcommittee](#)
 - [\(d\) Human Resources Committee](#)
 - [\(e\) Investment Committee](#)
 - [\(f\) Nominating Committee](#)
 - [\(g\) Student Affairs Committee](#)
 - [\(h\) University Athletics Committee](#)
 - [\(i\) University Health Care Committee](#)
 - [\(j\) University Relations Committee](#)
 - [3. Special Committees](#)
- [F. Powers and Responsibilities of the Board of Trustees](#)
- [G. Petitions to Address the Board of Trustees](#)
- [H. References and Related Materials](#)
- [Appendix I – Petition to Address the Board](#)

A. Introduction

The governance of the University of Kentucky is vested by law in the Board of Trustees (KRS 164.131). Within the limits set by the State Constitution and the federal and state laws,^{*} the Board of Trustees is the

^{*} The Council on Postsecondary Education in Kentucky (KRS 164.020) has powers and duties that relate to the governance of the University of Kentucky.

final authority in all matters affecting the institution and exercises jurisdiction over the institution's financial, educational, and other policies and its relation with the state and federal governments.

These *Governing Regulations* describe the composition, powers, and duties of the Board of Trustees of the University of Kentucky, as defined by Kentucky Revised Statutes, and establish policies and procedures for the performance of its functions. In these *Governing Regulations*, the Board of Trustees delegates certain responsibilities to the President, the University Senate, the Staff Senate, the Student Government Association, the Graduate Faculty, and the faculties of educational units in order to provide for the responsible and efficient administration of the University and the accomplishment of its goals.

As the chief administrative officer of the University, the President is authorized by the Board of Trustees to promulgate the *Administrative Regulations* including the *Human Resources Policy and Procedures Administrative Regulation* and the *Business Procedures Manual*, to provide interpretation and implementation of these *Governing Regulations*, and of the *Minutes of the Board of Trustees*, and to delineate policies within the sphere of delegated responsibility. Chief administrative officers are authorized to establish unit policies and procedures attendant to their delegated administrative responsibilities.

At an institution-wide level, the University Senate, as a primary educational policy-forming agency of the University, establishes the broad academic policies of the University. Within the limits set by the Board of Trustees and the University Senate, the Graduate Faculty is delegated jurisdiction over programs leading to graduate degrees and has the responsibility to safeguard, promote and assist in the development of research in all fields. Within the limits set by the institution-wide policies of the Board of Trustees, the University Senate, and the Graduate Faculty, the respective faculties exercise the governance role of policy-making responsibility for the instructional, research and service programs of their educational units. The University Senate, the Graduate Faculty, and the faculties of educational units are authorized to issue rules concerning the policy and procedure-making responsibilities that are attendant to their delegated educational policy-making role.

Where appropriate, the rules and regulations appearing in the *Administrative Regulations*, *Human Resources Policy and Procedures Administrative Regulations* and *University Senate Rules* should refer to the source or sources in these *Governing Regulations* or *Minutes of the Board of Trustees*.

BA. Board of Trustees - Membership

1. Definition

The Board of Trustees is a body corporate, under the name of Board of Trustees of the University of Kentucky, with the usual corporate powers, and possesses all the immunities, rights, privileges, and franchises usually attaching to the governing bodies of educational institutions. It may receive, hold, and administer, on behalf of the University, subject to the conditions attached, all revenues accruing from endowments, appropriations, allotments, grants or bequests, and all types of property. (KRS 164.160)

2. Membership

The Board of Trustees of the University of Kentucky consists of sixteen members appointed by the Governor, two (2) University faculty members who shall have the right to vote on all matters except that of faculty compensation, one (1) University staff employee, and one (1) University student.

(a) Appointed Members

The Governor shall make the appointments so as to reflect proportional representation on the Board of the two leading political parties of the Commonwealth based on the state's voter registration and to reflect no less than proportional representation of the minority racial composition of the Commonwealth. Appointments to fill vacancies shall be made for any unexpired terms in the same manner as provided for the original appointments. (KRS 164.131)

The terms of the appointed members shall be for six (6) years and until their successors are appointed and qualified. Three (3) of the appointments shall be graduates of the University and may include one (1) graduate of the institution who may reside outside the Commonwealth; three (3) shall be representative of agricultural interests; and ten (10) shall be other distinguished citizens representative of the learned professions. Each graduate member is appointed by the Governor from a list of three (3) names submitted by the alumni of the University according to a method prescribed by the Board of Trustees, upon recommendation of the UK Alumni Association, pursuant to KRS 164.131(2)(a); KRS 164.140. The prescribed methods are published in the *Minutes of the Meetings of the Board of Trustees* (May 6, 2003).

(b) Elected Members

The number of faculty members, staff employees, and student members elected to the Board of Trustees shall not exceed four (4). (KRS 164.131)

- (i) The two (2) faculty members representing the University shall be members of the faculty of the rank of assistant professor or above, elected by secret ballot by faculty members of the rank of assistant professor or above.

In accordance with KRS 164.131(3), faculty members eligible to vote and eligible to serve as elected members of the Board of Trustees shall be those regular, full-time employees conferred with a faculty title and a rank at the level of assistant professor (or its equivalent, librarian III) or above, and who do not have an administrative assignment at or above the level of department chair, and as otherwise defined in *Human Resources Policy and Procedure Administrative Regulation Number 4.0: Employee Status*.

The faculty members shall serve for terms of three (3) years and until their successors are elected and qualified. They are eligible for re-election but are ineligible to continue to serve as members of the Board of Trustees if they cease to be members of the faculty. Elections to fill vacancies for any unexpired terms shall be held in the same manner as for the original elections.

The authority to develop procedures for the election of faculty members to serve as members of the Board of Trustees, in accordance with the provisions of KRS 164.131(3), is hereby delegated to the University Senate.

- (ii) The staff employee representing the University shall be a regular, full-time staff employee as defined in the *Human Resources Policy and Procedure Administrative Regulation Number 4.0: Employee Status*, excluding the President, Provost, executive vice presidents, vice presidents, and academic deans. The staff trustee shall represent all University staff employees.

The staff member shall serve a term of three (3) years and until a successor is elected and qualified. The staff member shall be eligible for reelection, but is ineligible to continue to serve as a member of the Board of Trustees if the staff employee ceases to be an employee of the University. Elections to fill vacancies shall be for any unexpired term and shall be held in the same manner as provided for the original election.

The staff trustee shall be elected by secret ballot by the regular, full-time staff employees, including the President, Provost, executive vice presidents, vice presidents and academic deans.

The authority to develop procedures for the election of a staff employee to serve as a member of the Board of Trustees, in accordance with the provisions of KRS 164.131(4) is hereby delegated to the Staff Senate.

- (iii) The student member shall be the President of the Student Government Association during the appropriate academic year and may be an out-of-state resident. If the student member does not

maintain the position as President or status as a full-time student at any time during that academic year, a special election shall be held to select a full-time student. The authority to develop procedures for the election of a student of the University to serve as a member of the Board of Trustees, in accordance with the provisions of KRS 164.131(5), is hereby delegated to the Student Government Association.

(c) Non-voting members

Pursuant to KRS 164.170 and 164.131(1)(e), the Board of Trustees may from time to time designate persons as nonvoting honorary members of the Board of Trustees, with responsibilities and privileges as it may deem appropriate, except for the power to vote.

3. Officers (MOVED FROM BELOW)

The Chair, Vice Chair, Secretary, and Assistant Secretary of the Board of Trustees shall be elected annually at the first fall meeting of the Board of Trustees. (KRS 164.180) The Chair of the Board of Trustees shall be limited to no more than four (4) consecutive terms as Chair. In the absence of the Chair, the Vice Chair presides at meetings of the Board of Trustees and of the Executive Committee.

The Secretary keeps the minutes of all meetings, issues notices of meetings, and provides for the publication and distribution of the minutes in accordance with instructions from the Board of Trustees. The Secretary is responsible for the maintenance of an official revised copy of these Governing Regulations and for the publication of revisions. The Assistant Secretary is empowered to perform the duties of the Secretary when the Secretary is not present. The Assistant Secretary need not be a member of the Board of Trustees.

C3. Meetings of the Board of Trustees

The Board of Trustees shall meet at least quarterly. Special meetings may be called by the Chair or by any three (3) members upon giving ten (10) days' written notice to each member of the Board of Trustees. The business to be transacted at special meetings shall be specified in the notice of the meeting. All meetings are to be held on the campus of the University unless otherwise specified by a majority vote of the Board of Trustees. A majority of the voting members of the Board of Trustees constitutes a quorum. (KRS 164.170)

The Board of Trustees may adjourn any regular or called meeting to any date that it may set for such adjournment. Any meeting of the Board of Trustees, if a quorum is not present, may be adjourned by the members attending to a time when a quorum shall be present.

The meetings of the Board of Trustees shall be open to the public. The Board of Trustees is required to arrange for a stenographic transcript of each of its meetings to be prepared and filed, to send an agenda for each of the meetings to each member of the Board of Trustees, and to make a copy of the agenda available to the press. (KRS 164.170) For regularly scheduled meetings, the agenda shall be released to the general public at least three (3) full business days prior to the board Meeting. "Business Day" means Monday through Friday, excluding Saturday and Sunday and official University holidays. The Board of Trustees and its committees shall operate in compliance with the provisions of the Open Meetings Law. (KRS 61.800-KRS 61.850)

All necessary expenses incurred by the Trustees in traveling to and from and while attending meetings of the Board of Trustees are designated to be paid out of the funds of the University. (KRS 164.170)

Order of Business (MOVED FROM BELOW)

The order of business at meetings of the Board of Trustees normally is as follows:

Call to Order

Roll Call

Reading and Approval of Minutes with Necessary Modifications
Report of the President
Consideration of President's Recommendations for Action
Consideration of President's Discussion Items
Reports of Committees
Other Business
Adjournment

The most recent version of *Robert's Rules of Order* shall be observed in conducting the business of the Board of Trustees except as these may be modified by regulations adopted by the Board of Trustees.

D4. Conflicts of Interest of Board Membership

No employee of the University shall be directly or indirectly interested in any contract with the University for the sale of property, materials, supplies, equipment, or services, with the exception of compensation to the two (2) faculty members and the one (1) staff employee who are members of the Board of Trustees. (KRS 164.131)

No member of a board of trustees shall have an interest in any contract with a state university unless such contract shall have been subjected to competitive bidding in compliance with KRS Chapter 45A, unless such trustee shall have been the lowest bidder and unless such trustee shall have first notified in writing the remaining members of the board, and to the newspaper having the largest circulation in the county in which the state university is located, of his intention to bid on such contract. (KRS 45A.340(7))

No member of the Board of Trustees may be held to be a public officer by reason of membership on the Board except as provided in KRS 45A.335 for the purpose of KRS 45A.340. (KRS 164.150)

5. ~~Officers~~ (Moved to Membership Section above)

~~The Chair, Vice Chair, Secretary, and Assistant Secretary of the Board of Trustees shall be elected annually at the first fall meeting of the Board of Trustees. (KRS 164.180) The Chair of the Board of Trustees shall be limited to no more than four (4) consecutive terms as Chair. In the absence of the Chair, the Vice Chair presides at meetings of the Board of Trustees and of the Executive Committee.~~

~~The Secretary keeps the minutes of all meetings, issues notices of meetings, and provides for the publication and distribution of the minutes in accordance with instructions from the Board of Trustees. The Secretary is responsible for the maintenance of an official revised copy of these *Governing Regulations* and for the publication of revisions. The Assistant Secretary is empowered to perform the duties of the Secretary when the Secretary is not present. The Assistant Secretary need not be a member of the Board of Trustees.~~

E6. Standing and Special Committees

The purpose of standing and special committees is to assist the Board of Trustees in its oversight role by gathering information, discussing, and providing advice on policy proposals prior to their coming before the entire Board of Trustees. Members of the University community and the general public may attend any committee meeting. An individual desiring to address a particular committee or otherwise participate in a committee meeting should contact the committee chair.

1. ~~Standing Committee General Rules~~

(a) Unless otherwise provided in this Governing Regulation, membership of standing committees shall include at least five (5) members of the Board of Trustees including the committee chair.

(b) Unless otherwise provided in this Governing Regulation, committee members shall be appointed by the Chair of the Board of Trustees.

(c) Unless otherwise provided in this Governing Regulation, the Chair of the Board of Trustees shall select the Chair for each committee from the Trustee members.

(d) Board of Trustee member appointments to standing Committee shall be for staggered three-year terms.

(e) Board of Trustee members may be reappointed to a committee for up to two consecutive three-year terms.

(f) Any vacancy occurring before the expiration of the term of the appointment shall be filled for the unexpired term.

(g) A quorum of the Committee members must be present in order to conduct business.

2. Standing Committees

(a) Executive Committee

The Board of Trustees annually elects an Executive Committee of five (5) members that has the powers that the Board of Trustees delegates to it as prescribed in KRS 164.190. This election shall be held at the first fall meeting of the Board of Trustees. Vacancies may be filled at any meeting of the Board of Trustees. The Chair of the Board of Trustees shall be one (1) of the five (5) members and shall also serve as Chair of the Executive Committee. In general, the Executive Committee exercises oversight of the financial and business interests of the University and possesses the same powers as the Board of Trustees during the periods between meetings of the full Board of Trustees.

Meetings may be held as necessary at the call of the Chair. The Secretary of the Board of Trustees is the *ex officio* Secretary of the Executive Committee. The President shall attend all meetings.

The Executive Committee is required to submit to the Board of Trustees at each meeting, for the latter's consideration and approval, a complete record of the proceedings of the Executive Committee. The authority of the Board of Trustees to amend the action of the Executive Committee does not extend to the rejection of any valid or authenticated expenditure of money by the Executive Committee (KRS 164.190). The Executive Committee shall serve as a hearing panel in the event of a faculty member, staff employee, or student appeal coming to the Board of Trustees.

The Executive Committee shall serve as the performance review committee for the President, setting and reviewing goals each fiscal year. The Executive Committee shall involve the entire Board of Trustees in this evaluation and shall also solicit input from the executive committees or executive councils of the University Senate, Staff Senate, and Student Government Association.

(b) Academic Affairs Committee

This Committee:

- (i) ~~The Academic Affairs Committee~~ reviews recommendations on policy matters pertaining to the academic mission of the University in instruction, research, and public service as well as to policy matters concerning academic freedom, tenure, and shared governance.
- (ii) ~~The Committee~~ reviews academic program proposals from the President to ensure that a) the academic programs are consistent with the University's mission; b) resources are available to achieve academic priorities as set forth in the strategic plan; c) academic programs are appropriate for its student needs; and d) the University has a system in place for assessing the effectiveness of its academic programs.

- (iii) ~~The Committee r~~Reviews new program proposals and changes in the academic units or in the academic organization to ensure these proposals or changes will effectively carry out the academic mission~~;~~
- (iv) ~~The Committee r~~Reviews the list of candidates for academic degrees approved by the faculty of the University through the University Senate for submission to the Board of Trustees~~;~~ and
- (v) ~~The Committee p~~Provides needed information to the Board of Trustees.

(cg) Finance Committee and Audit Subcommittee

This committee ensures the financial stability and long-term economic health of the University by monitoring the financial operations of the University and its affiliated corporations and making appropriate recommendations to the Board of Trustees to ensure achievement of the University's mission. Consistent with KRS 164A.550 through 164A.630, the committee maintains oversight responsibility for the financial management of the University in the areas of accounting, auditing, payroll, purchasing, capital construction, real property, and affiliated corporations. The Committee shall oversee the budgets of the University by reviewing and recommending to the Board of Trustees on the annual operating budgets. The Committee shall ensure that accurate and complete financial records are maintained by reviewing and recommending to the Board of Trustees on annual financial reports and related recommendations from the Audit Subcommittee, interim financial reports, long-term debt obligations, gifts to the University of \$400,000 or more, capital projects of \$600,000 or more, leases over \$200,000 per year, disposition of property, sale of assets, and financial transactions not provided for in the annual operating budget.

The Audit Subcommittee recommends to the Finance Committee on the appointment of the external auditors, receives and reviews the annual reports from the external auditors, and makes recommendations to the Finance Committee concerning these reports.

(d) Human Resources Committee

This committee reviews recommendations to the Board of Trustees regarding policies pertaining to employee benefits, rights and privileges requiring Board of Trustees approval.

(ef) Investment Committee

Under delegation from the Board of Trustees, and consistent with KRS 164A.550 through 164A.630, the Investment Committee is responsible for review and oversight of the endowment investment programs of the University and its affiliated corporations. These responsibilities include: formulating and reviewing investment policies; appointing, monitoring and evaluating investment managers and consultants; and reviewing and approving plans for the general management of the endowment funds of the University.

~~The membership of the Committee shall be composed of five (5) voting Trustee members and up to three (3) non-voting Community Advisory members. The membership of the Committee shall include five members from the Board of Trustees. Each member shall be appointed by the Chair of the Board of Trustees. A quorum of the Committee members must be present in order to conduct business.~~ In addition to the ~~Trustee voting~~ members, the Investment Committee may, from time to time, have the Chair of the Board of Trustees appoint, upon recommendation of the University President, non-voting Community Advisory Members to assist it in its functions by providing specialized advice and support. Said Community Advisory Members shall be selected on the basis of their expertise in such areas as investment management and finance. The appointments of Community Advisory members to the Investment Committee shall be for three years and may be reappointed for an unlimited number of three year terms. ~~The maximum number of Community Advisory members authorized at any one time shall be three (3).~~

The Investment Committee Chair reports to the Board of Trustees after each meeting of the committee on the performance results of endowment investments. Policies of the committee are implemented by the Office of the Treasurer in carrying out the day-to-day operations of the University's endowment funds.

(f) Nominating Committee

This committee:

- (i) ~~N~~ominates officers of the Board of Trustees and members of the Executive Committee; and
- (ii) ~~The Committee r~~reviews and recommends the appointment of trustees to the boards and committees of the University and its affiliated entities.

(g) Student Affairs Committee

This committee reviews:

- (i) ~~reviews r~~Recommendations regarding revisions of the Code of Student Conduct; and
- (ii) ~~The Committee reviews r~~Recommendations concerning the general welfare of students and the adoption of rules, procedures, rights, and responsibilities governing non-academic relationships between the University and its students.

(h) University Athletics Committee

The NCAA Constitution, Article 6.1.1, requires a member institution's chief executive officer to have ultimate responsibility and final authority for the conduct of the intercollegiate athletics program. In addition, the Southern Association of Colleges and Schools (SACS), Standard 3.2.11 requires an institution's chief executive officer to have ultimate responsibility for, and exercise appropriate administrative and fiscal control over, the intercollegiate athletics program.

To assist the President in carrying out this responsibility, the Athletics Committee is responsible for:

- (i) Providing counsel to the President concerning matters or activities of the Athletics Department;
- (ii) Reviewing the Athletics Department's annual budget and audit reports, major expenditures and acquisitions, and construction of facilities if the cost is expected to exceed \$400,000; and.
- (iii) Providing needed information to the Board of Trustees.

The membership of the Committee shall be composed of five (5) voting Trustee members and up to three (3) non-voting Community Advisory members. The membership of the Athletics Committee, including the Chair, shall be appointed by the President in consultation with the Chair of the Board of Trustees. The initial Board member appointments to the Athletics Committee shall be staggered terms in the following manner: two members for three years, two members for four years and one member for five years.

(i) University Health Care Committee

This Committee ~~shall~~ serves as a governing body to operate the University Hospitals in accordance with the standards established by The Joint Commission, formerly 'Joint Commission on Accreditation of Health Care Organizations,' and to oversee the University's clinical enterprise. This responsibility includes management oversight for the development of policies, rules, and regulations for the governance of the University Hospitals and clinical enterprise. Policies of the Committee are implemented by the Executive Vice President for Health Affairs in carrying out the day-to-day operations of the University Hospitals and clinical enterprise.

The membership of the Committee ~~shall be composed of five (5) voting Trustee members, seven (7) non-voting Advisory Members and up to seven (7) non-voting Community Advisory Members, shall include five members from the Board of Trustees.~~ Each member shall be appointed by the Chair of the Board of Trustees acting upon recommendation of the President of the University. Annually, the Trustee members shall elect one of Trustee members as the Chair of the Committee. The initial Board of Trustee member appointments to the University Health Care Committee shall be for staggered terms in the following manner: two members for three years, two members for four years and one member for five years. Any Board member appointed thereafter shall serve for three years and may be reappointed for an additional three years. ~~Any vacancy occurring before the expiration of the term of the appointment shall be filled for the unexpired term. A quorum of the Committee members must be present in order to conduct business.~~

The University Health Care Committee may create sub-committees and shall create committee rules to guide their governance consistent with The Joint Commission.

(j) University Relations Committee

This committee:

- (i) ~~r~~Reviews recommendations relating to the economic, social, and public policy environments within which the University operates that directly affect the University; ~~r~~ and
- (ii) ~~The Committee r~~Reviews policies or policy proposals regarding marketing and development as well as public, governmental, and alumni relations.

3.(j) Special Committees

Special committees may be established and appointed at any time by the Chair of the Board of Trustees and with such charge as the Board of Trustees Chair may determine. Special committees shall carry out their duties as specified and report to the Board of Trustees. Such committees shall function until discharged. Membership shall include trustees and may also include persons who are not Board of Trustees members.

F7. Powers and Responsibilities

The powers and responsibilities of the Board of Trustees include the following:

1. Periodically evaluate the institution's progress in implementing its missions, goals, and objectives to conform to the strategic agenda. (KRS 164.131)
2. Make such bylaws, rules and regulations consistent with KRS Chapter 164 as it deems proper. (KRS 164.180)
- 3.(a) Establish proper regulations for the governance of the University and the physical training, military or otherwise, of the students. The Board may authorize the suspension and dismissal of students for neglect or violation of the regulations or for other conduct prejudicial to the character and welfare of the University. (KRS 164.200)
- 4.(b) Determine the colleges, schools, divisions, departments, bureaus, and offices which shall comprise the University within the scope of the Acts of Congress approved July 2, 1862, and Acts supplementary thereto, and the relation which each division shall sustain to each other division and to the whole. It may devise, allot, and arrange the distribution of divisions with the designation appropriate for each, and devise the means required for their effective instruction, administration, and governance. (KRS 164.210)

~~5.(e)~~ Appoint a President, professors, assistants, tutors and other personnel and determine the compensation, duties, and official relations of each and in making such appointments no preference may be shown to any religious denomination.[†] The Board is required to provide compensation for all positions created and filled by the Board of Trustees. The Board may provide for employee retirement benefits and such other employee benefits as are related to the respective employments and services furnished. Retirement plans may include, but without limitation, the retirement ages, the benefits of employees including group insurance, annuities, establishment of a trust fund or funds, and, the amounts to be paid or contributed by employees and the amounts to be paid or contributed by the University of Kentucky, and other appropriate terms and provisions with respect thereto. (KRS 164.220)

6. Exercise power over and control of appointments, qualifications, salaries, and compensation payable out of the State Treasury or otherwise, promotions and official relations of all employees of the University of Kentucky, as provided in KRS 164.220, and, subject to any restrictions imposed by general law, the retirement ages and benefits of such employees shall be under the exclusive jurisdiction of the board of trustees of the University of Kentucky, which shall be an independent agency and instrumentality of the Commonwealth. (KRS 164.225)

~~7.(d)~~ Suspend or remove any of the officers, teachers, professors, or agents that it is authorized to appoint, except that no President, professor, or teacher may be removed except for incompetence, neglect of or refusal to perform duties, or for immoral conduct, and then only after ten (10) days' notice in writing stating the nature of the charges preferred, and after the individual has been given an opportunity to make defense before the Board of Trustees by counsel or otherwise and to introduce testimony which shall be heard and determined by the Board of Trustees. (KRS 164.230)

~~8.(e)~~ Grant degrees to graduates of the University, prescribe conditions for the award of honorary degrees, and confer such honorary degrees, upon the recommendation of the faculty of the University, as it deems proper. (KRS 164.240)

~~9.(f)~~ Make a full report to the General Assembly, within the first month of each even-numbered year regular session, of the condition and operation of the University since the date of the previous report, with such recommendations concerning the University as are deemed necessary. (KRS 164.250)

~~10.(g)~~ Acquire additional lands or other property or material for the purpose of expanding its plant and extending its usefulness. When unable to contract with the owner of land or other property or material necessary for the purposes of the University, it may acquire the same by condemnation proceedings in the manner provided in KRS 416.010 to 416.080. (KRS 164.260)

~~11.(h)~~ Dispose of real estate held by the Commonwealth for the use or benefit of the University or the Kentucky Agricultural Experiment Station as authorized in KRS 164.270 and KRS 45.360.

~~8. Order of Business (Moved to MEETINGS section, above)~~

~~The order of business at meetings of the Board of Trustees normally is as follows:~~

~~Call to Order~~

~~Roll Call~~

~~Reading and Approval of Minutes with Necessary Modifications~~

~~Report of the President~~

~~Consideration of President's Recommendations for Action~~

~~Consideration of President's Discussion Items~~

~~Reports of Committees~~

* See GR Parts I.D.2.(a)(1) and X.A.

~~Other Business~~

~~Adjournment~~

~~The most recent version of Robert's Rules of Order shall be observed in conducting the business of the Board of Trustees except as these may be modified by regulations adopted by the Board of Trustees.~~

GB. Petitions to Address the Board of Trustees

The purpose of this section is to provide a standard process for members of the University community and the general public to address the Board of Trustees on matters relevant to the University. This process provides for reasonable access to the Board of Trustees, while also preserving the Board's orderly business operations.

The procedures established by this regulation do not supersede *Governing Regulations* or *Administrative Regulations* that specifically permit appeal to, or appearances before, the Board or a Board Committee.

1. Petitions

- (a) An individual desiring to address the Board of Trustees shall submit a written petition to the Chair of the Board of Trustees through the Office of the President at least two (2) full business days prior to a scheduled meeting of the full Board. The petition shall describe the subject matter and the rationale for addressing the Board, and shall be submitted on the form (Petition to Address the Board of Trustees) attached as Appendix I to this regulation.

Petitions are available in the Office of the President, 101 Main Building, Lexington KY 40506-0032, or on-line at <http://www.uky.edu/Trustees/petition.htm>, and may be submitted in hardcopy or electronically. In order to be considered, any petition to address the Board of Trustees shall be submitted in accordance with this regulation and shall contain all required information. The Office of the President is responsible for forwarding to the Board Chair all petitions submitted in accordance with this regulation.

- (b) The Chair, who may consult with the President, shall determine if the subject matter of the petition is relevant to a pending or future agenda item, or deemed essential information necessary for the Board to fulfill its statutory responsibilities. If the Chair determines that the subject is relevant or the information is essential, the Chair shall:
 - (i) approve the petition to address the Board and ask that the petitioner be so informed; or
 - (ii) refer the petition to an appropriate committee of the Board and ask that the petitioner be informed of the Committee's meeting time and location.
- (c) The Committee to which a petition is referred shall review the merits of the petition to address the Board and shall take such action as it deems appropriate.
- (d) The list of petitioners requesting to address the Board of Trustees, along with the disposition of each petition, shall be made available immediately prior to each Board meeting at the meeting location.
- (e) The Chair of the Board of Trustees shall provide a report during the full Board meeting on the number of petitions to address the Board received, as well as the disposition of said petitions.
- (f) Prior to acting on an item or issue, the full Board shall hear approved petitioners who are present and prepared to address the Board when called to appear.

(g) A record shall be kept indicating the petitioner's name, the subject matter, and action taken on each petition to address the Board.

2. Limitations

- (a) The Chair of the Board of Trustees may establish reasonable and appropriate limitations on the number of petitioners who may address the Board at each meeting and the time permitted for each address.
- (b) In the event of a substantial change to an action item, or the addition of an action item to the Board agenda, the Chair may, in his or her discretion, accept a petition(s) received outside the procedures and timelines established by this Governing Regulation.
- (c) The Chair of a Committee may establish reasonable and appropriate limitations on the number of petitioners who may address or participate in a committee meeting and the time permitted for each address.

H. References and Related Materials

[KRS: 45A.335, 45A.340, 45.360, 61.800, 61.850, 164.131, 164.140, 164.160, 164.170, 164.180, 164.190, 164.200, 164.210, 164.220, 164.240, 164.250, 164.270, 164A.550, 164A.630, 416.010, 416.080](#)

Revision History

[9/17/2002, 10/10/2006, 10/16/2007, 6/17/2008, 3/29/2011](#)

[For archive versions contact: Office of Legal Counsel](#)

APPENDIX I

Petition to Address the Board of Trustees University of Kentucky

Petitions to address the Board may be made by completing and submitting this form to the Office of the President no later than two (2) business days before the start of the meeting (e.g., for a Tuesday, 1:00 p.m. meeting, petitions must be received by Friday at 1:00 p.m.). To ensure the proper recognition of speakers, no change in the original presenter may be made, except possibly as determined by the Board Chair or a Board Committee. For a complete list of guidelines, see GR II, *Governance of the University of Kentucky*.

INFORMATION (Please type or print.)

Contact Information (All fields must be completed)*

Name: _____ Telephone Number: _____
E-Mail: _____ Mailing Address: _____

***YOU MUST PROVIDE A TELEPHONE NUMBER AND EMAIL ADDRESS IN ORDER FOR YOUR REQUEST TO BE CONSIDERED.**

University Relationship

Relationship to the University (please check the most appropriate category):
 Student Faculty Staff Alumni Other (Please specify) _____
Name of Group (if applicable): _____

Topic

Topic on which you wish to speak: _____

Please indicate if you will speak pro con or neutrally on this topic.

Briefly summarize your proposed address to the board: (maximum 1000 characters)

Have you contacted any University units about this topic previously?

Yes No

If yes, list the units and the action taken by each office: (maximum 300 characters)

The University of Kentucky is committed to providing equal opportunity for participation in all programs, services, and activities. Accommodations for persons with disabilities may be requested by contacting the Office of the President within 24 hours of the meeting. Requests received after this date will be honored whenever possible.

Office of the President
100 Main Building
Lexington, KY 40506
Phone: (859) 257-1704 Fax: (859) 323-2414 E-mail: pway@email.uky.edu

PR 1

Office of the President
October 25, 2011

1. UK Libraries Acquire Early Henry Clay Letter

Special Collections recently acquired one of the earliest known letters of Henry Clay. Unpublished, it was written in Virginia, over a year before his move to Lexington in November of 1797. Dated July 16, 1796, it is addressed to Peter Tinsley, clerk of the High Court of Chancery of Virginia and was written when Clay would have been only 19 years old. The letter, in which Clay discusses his poor health, is a revealing one. Young Clay states that he has “unwrapt his soul” and wishes to make amends for a misunderstanding in his terms of employment with Tinsley.

2. Kentucky Summit on Diversity and Inclusion Attracts Educators and Business Leaders

More than 100 leaders in education, business and government gathered recently for the first Kentucky Summit on Diversity and Inclusion to discuss a new diversity action plan for Kentucky. The three-day conference was conceived and planned by UK Vice President for Institutional Diversity Judy “JJ” Jackson with the help of dozens of volunteers from on and off campus. Attendees of the statewide conference discussed how, first, individuals, then schools, then businesses, then government can create a plan to succeed. While this first summit focused on professionals from the state’s higher education and business worlds, future diversity summits will focus on the worlds of government, health care and the nonprofit arena. Jackson will meet with the governor soon to make a formal appeal that he form a coalition or commission to develop “a 10- or 20-year action plan around an agenda focused on inclusion as Kentucky achieves greatness in education and business.”

3. Markey Awarded \$1.43 Million to Study Cancer in Eastern Kentucky

Susanne Arnold, associate professor of Internal Medicine, and her colleagues at the Markey Cancer Center were awarded a \$1.43 million grant by the Department of Defense to study potential environmental reasons for the high lung cancer rates in Eastern Kentucky.

4. PEIK Receives \$1.5 Million for Education in Industrial Energy Efficiency

The Power and Energy Institute of Kentucky (PEIK) at the University of Kentucky has received \$1.5 million from the U.S. Department of Energy to train undergraduate and graduate level engineering students in manufacturing efficiency to help them become the nation's next generation of industrial energy efficiency experts. UK is one of 24 universities in 23 states to receive a portion of the \$30 million that was issued. UK will receive the grant over the course of five years.

5. Dentistry Initiatives to Improve Oral Health in Eastern Kentucky

Approximately 25,000 Kentucky children in Appalachia will receive preventive oral health services through a new pilot program called Smiling Schools. UK faculty will help with the pre- and post-clinical examinations and data collection, and will perform analysis of data collected. In a separate program, Morehead State University, the University of Pikeville and the University of Kentucky will partner in the design of the Appalachian Rural Dental Educational Partnership Plan.

6. Gatton Team Gets Grant to Help Appalachian Economic Development

A team of researchers at the University of Kentucky, led by Gatton Endowed Associate Professor of Strategic Management Wally Ferrier, has received a \$485,000 grant from the National Science Foundation to study the best ways economic development organizations in Appalachian Kentucky can achieve their goals. Evelyn Knight, professor in the College of Public Health, is co-principal investigator on the two-year project which also involves researchers from the colleges of Agriculture, Arts and Sciences, Education, and Social Work.

7. Preview Nights Taking Place Regionally

On the heels of recruiting the most academically talented and diverse class in UK history, staff members from the Office of Undergraduate Admission and University Registrar are back on the road working on forming next year's freshman class. They have teamed up with staff members from various departments and colleges across campus to host "see blue." Preview Nights in Paducah, Hopkinsville, Owensboro, Elizabethtown, Covington, Maysville, Lexington, London, Bowling Green, Louisville, Pikeville, and Ashland, along with Dayton, Columbus, Naperville, Indianapolis, Atlanta and Nashville. In addition to hosting evening events for students and their families, staff also met with a record number of guidance counselors in each of these communities.

8. Record 25 Graduates Join "Teach for America"

This summer 25 UK graduates accepted positions with Teach For America. The UK group, the largest in school history, is among 5,200 new corps members selected for the national program in which outstanding college graduates commit to teach for two years in disadvantaged urban and rural public schools.

9. UK Libraries' "Nation of Nations" Exhibit Symbol of UK Core

In honor of UK's new general education requirements, known as UK Core, the William T. Young Library is hosting a major 10-panel art work by Kentucky artist Marjorie Guyon. Guyon's "Nation of Nations" is a series of 10 paintings with each panel depicting a 6-foot-8-inch figure with the phrase "Have Mercy on Us" written in a different language.

10. New LGBT Task Force Already Making an Impact

The UK Office for Institutional Diversity has established the LGBT Task Force, a new initiative of the Commission on Excellence, Diversity and Inclusion, to promote an inclusive environment for the lesbian, gay, bisexual and transgender members of the campus community. The new task force recently brought the Human Rights Campaign's Equality Tour to campus to inspire and engage the campus in conversations about LGBT equality. The group also produced a workshop to encourage all individuals to become allies to LGBT members of the community. The task force's work includes making ongoing assessments of attitudes and conditions throughout the university regarding LGBT persons and issues and making recommendations for changes on issues such as the universitywide environment and educational and supportive services for LGBT students, staff and faculty.

11. Services Have Improved for Bicyclists On and Near Campus

Several student and administrative groups have worked together in recent years to encourage biking as a more environmentally friendly mode of transportation to and from campus. There are now more bike lanes on city streets, better bike racks on campus, mandatory bike registration to thwart theft, partnerships dedicated to fund campus bike improvements, new campus regulations allowing bikes to share inner-campus sidewalks with pedestrians, and a thriving Wildcat Wheels Bike Repair Shop. In order to better serve UK's cyclists, Wildcat Wheels recently launched a mobile bike repair shop that travels to residence halls and other campus locations to repair and maintain bikes on the spot and to hand out free copies of "Bicycling Street Smarts: Riding Confidently, Legally and Safely," a booklet that details life-saving riding skills.

12. IPMI Gets Grant to Host Visiting Asian Officials

The International Public Policy and Management Institute (IPPMI) housed in the Martin School has received a grant of approximately \$400,000 from Bureau of Educational and Cultural Affairs of the U.S. State Department from September 30, 2011 to December 13, 2013 to host visiting government officials from Cambodia, Indonesia, Mongolia, and Taiwan. These visitors will be in the United States on the program for approximately three weeks with their time split between Lexington and Washington, D.C.

13. Choral Conductor Workshop Held

UK's College of Fine Arts, School of Music and Choirs presented their fourth annual Summer Choral Workshop, "The Creative Choral Conductor," June 12-14, at the Singletary Center for the Arts. Founded by UK Director of Choral Activities Jefferson Johnson, the workshop has quickly become one of the most widely attended of its kind in the nation.

14. UK Percussion Makes a Big Bang at Internationals

UK Percussion Ensemble has won its fourth Percussive Arts Society (PAS) International Collegiate Percussion Ensemble Competition. The accomplishment has earned them a rare showcase concert at the PAS International Convention being held Nov. 9-12, in Indianapolis.

15. Library Journal Recognizes UPK Titles

Library Journal has selected University Press of Kentucky's "Cecelia and Fanny: The Remarkable Friendship between an Escaped Slave and her Former Mistress," by Brad Asher, as one of two books to watch for the upcoming season. In addition, several UPK books are on the journal's Fall 2011 University Press Preview.

16. Music Celebrates 25 Years of Orff Schulwerk Training

UK School of Music celebrated 25 years of offering the Orff Schulwerk Teacher Training Program this year with a symposium. "Global Connections in Orff Schulwerk: Reflections from Kentucky Symposium," held June 16-17, explored the impact of Orff Schulwerk on music education internationally, nationally and in Kentucky.

17. UK Librarians Partner with Colleagues in Local High Schools

Artwork from Fayette County's public high schools was presented on campus this summer in "Art in the Hub 2011" In the Hub in the W.T. Young Library. The exhibit was sponsored by UK Libraries and the Kentucky Librarian Partnership, a new collaboration between UK librarians and high school librarians in Fayette County.

18. Bourbon Documentary Airs on KET

The documentary "Quest for the Perfect Bourbon: Voices of Buffalo Trace," created by the Louie B. Nunn Center for Oral History, aired on KET in June. For more than two years, Doug Boyd and the Nunn Center at UK Libraries worked with director and producer Joanna Hay and interviewer Tom Troland to capture the stories of the people of Buffalo Trace Distillery to serve as a virtual time capsule for future generations.

19. Design Hosts Career Discovery Program for High Schoolers

High school students explored careers in design through the Summer Career Discovery Program, an intensive two-week program held at UK College of Design from July 10 - 22. The program, open to rising high school juniors and seniors, introduced students to the depth, breadth and rigor of a design education.

20. B-52s Open Signature Series at Singletary Center

Singletary Center for the Arts opened the 2011-2012 Singletary Signature Series, which showcases renowned musicians, dancers and ensembles from every corner of the globe. Opening this year's series was the popular party band the B-52s on Aug. 12

21. UPK Authors Featured on CSPAN Book TV

Five University Press of Kentucky (UPK) authors, including Doug Boyd, director of the UK's Louie B. Nunn Center for Oral History, were featured on C-SPAN's Book TV the weekend of Aug. 27-28. UPK authors and books to showcased on Book TV were: Lindsey Apple, author of "The Family Legacy of Henry Clay: In the Shadow of a Kentucky Patriarch"; Boyd, author of "Crawfish Bottom: Recovering a Lost Kentucky Community"; Kent Masterson Brown, author of "One of Morgan's Men: Memoirs of Lieutenant John M. Porter of the Ninth Kentucky Cavalry"; Brad Asher, author of "Cecilia and Fanny: The Remarkable Friendship Between an Escaped Slave and Her Former Mistress"; and Mike Veach, who wrote the foreword to the book "The Social History of Bourbon".

22. Design Completes Base for 9/11 Monument

UK College of Design completed the design and construction of a concrete base for a 9/11 monument. The college worked on the project with St. Elizabeth Healthcare in Northern Kentucky, which received part of a steel I-beam recovered from the World Trade Center towers following the 9/11 tragedy. The St. Elizabeth Healthcare World Trade Center Memorial was unveiled at ceremonies Sept. 11, at St. Mary's Cathedral Basilica of the Assumption, in Covington.

23. Women Writers Conference Features Francine Prose

The Kentucky Women Writers Conference was presented for the 33rd year Sept. 15-17. The conference featured keynote speaker, novelist and essayist Francine Prose, and workshops and craft talks with poets Lynnell Edwards, Krista Franklin, Aimee Nezhukumatathil, and Susan Stewart, fiction writers Tananarive Due, Francine Prose, and Danzy Senna, and creative nonfiction writer Kim Dana Kupperman.

24. Bands Honor High School Music Educator at Parent's Day Concert

UK Bands honored late Kentucky high school music educator Chuck Campbell at the sixth annual Parent's Day Concert. The concert featuring UK Symphony Band, conducted by George Boulden, and UK Wind Ensemble, conducted by John Cody Birdwell, was presented Sept. 18, at the Singletary Center for the Arts Concert Hall.

25. Latin American Concert Celebrates Hispanic Heritage

In celebration of Hispanic Heritage Month, UK's School of Music and the Latin American Studies Program presented "Latin America in Music: A concert of Latin American

Music." The free public concert featuring UK faculty and students, as well as international guest artists, was presented Sept. 21, at the Worsham Theater.

26. "Appalachia in the Bluegrass" Series Opens

Niles Center for American Music opened its "Appalachia in the Bluegrass" concert series that celebrates the old time roots of American Folk music by featuring a diverse range of traditional musical expression. To date the series has presented concerts with traditional musicians Phil, Alice and Sarah Jamison; dulcimer virtuoso Don Pedi; and old-time string band Rich and the Po' Folks.

27. Museum Displays Wenneker Gifts and Aspects of the Veil

Art Museum at UK opened an exhibition celebrating local collector, museum donor and Lexington businessman Jim Wenneker. "Lasting Legacy: Gifts of Art from Jim Wenneker" the first in a series of exhibitions highlighting gifts of art to the museum's collection, ran through September 25. "The Veil: Visible and Invisible Spaces," an exhibition that examined the many cultural and political aspects of veils and veiling, was on display at the Art Museum at UK through October 9.

28. Tuska Center Presents "Neighborhood Series"

Tuska Center for Contemporary Art presented the exhibit "The Neighborhood Series" featuring work by local artists and fashion designers created from trash from Lexington businesses. The free public exhibit, which ran Sept. 15-30, was also part of Campus Gallery Hop and Boomslang and partially funded by a \$1,800 grant from the UK Student Sustainability Council for the show's sustainability and "buy local" theme.

29. UK Law Journal Hosts Symposium on Court Funding

UK Law Journal presented a two-day symposium highlighting a nationwide crisis in funding state court systems. The symposium, co-sponsored by the American Bar Association and the National Coalition for State Courts, commemorated the 100th volume of the Kentucky Law Journal, and celebrated the ascendancy of 1971 UK Law alumnus Bill Robinson to the ABA presidency.

30. UK Named Military Friendly School

UK was named a military friendly school by GI Jobs magazine for the third year consecutively.

31. Health Sciences Launches Video on Exercise Research

The UK College of Health Sciences Office of Research launched a video produced by UK Research Communications titled “Exercise Research at the University of Kentucky.” The video highlights what the College of Health Sciences is doing with regard to exercise research on all levels, from the test tube to the treadmill. The video brings attention to partners in the Center for Muscle Biology and the Barnstable Brown Kentucky Diabetes and Obesity Center, and to UK faculty who are exploring the connections between muscle weakness, obesity and diabetes.

32.. Orofacial Pain Program One of First To Be Accredited

The Orofacial Pain Clinic at the University of Kentucky College of Dentistry has become one of only two orofacial pain programs in the nation to become accredited.

33. Dentistry Class Has 100 Percent Pass Rate

The entire UK College of Dentistry Class of 2013 passed the Part I National Board on their first take.

34. Medical Students Begin Rural Medicine Program in Morehead

Seven third-year medical students from the University of Kentucky College of Medicine are beginning their next medical education step in the Rural Physician Leadership Program in Morehead. These students are the second group of students to begin their clinical training in Morehead.

35. New Mobile Patient Simulator Vans Used to Promote Health Care Careers

The UK Area Health Education Center (AHEC) aims to assist in the education and promotion of health-related fields with three new Mobile Patient Simulator vans equipped with state-of-the-art patient simulator technology. As the only university and AHEC to provide this particular type of program, the vans will be dispersed in different areas of the state in collaboration with other AHECs. The vans not only include the simulator with extensive clinical features, but also interactive video conferencing capabilities that allow students and faculty to interact with physicians in other locations.

36. Students Get Head Start On Health Careers At UK Summer Camp

Over the summer, 49 high school students from across Kentucky spent time on the University of Kentucky campus getting a head start on potential careers in health care. This was the seventh year for the Summer Enrichment Program and Health Researchers Youth Academy hosted by UK's Area Health Education Center. The programs are designed to help students enrich their knowledge of health and health care options, giving them hands on experience in a college setting to help prepare them for a future in health care.

37. UK Spinoff CoPlex Therapeutics To Develop Alzheimer's Treatment

CoPlex Therapeutics has signed a global license agreement with commercial-stage specialty drug company Hawthorn Pharmaceuticals to develop and commercialize hawAD14, a preclinical oral small molecule candidate for the treatment of Alzheimer's and other neurodegenerative diseases. UK chemistry professors, Sanders-Brown Center on Aging researchers, and CoPlex founding scientists Mark Lovell and Bert Lynn discovered the compound which has the potential to slow the progression of Alzheimer's.

38. Commercialization Workshop Series Kicks Off with "Protecting your IP"

UK research faculty and staff and community entrepreneurs learned about intellectual property protection at the first of a five-part workshop series on Oct. 13 at Hilary J. Boone Center. The workshop series is designed to help scientists, engineers and other high-tech professionals develop a business from their research. Upcoming workshops, sponsored by UKCED's Von Allmen Center for Entrepreneurship and its downtown office, the Lexington Lexington Innovation & Commercialization Center, will cover business planning, accounting, seeking funding, and using social media.

39. KSBDC Hosts Technology Events Across State

KSBDC has offered workshops in Lexington, Louisville and Covington during October to help small business owners utilize technology to benefit their business. Attendees of Social, Mobile, Local: Technology Trends, Tools & Strategies for Small Business Success have learned how to use social media to build a brand, design a compelling website, and use technology to increase efficiency and save money.

40. New Intellectual Property Committee Announced

Bruce Webb, UK virology & molecular genetics professor and co-founder of ParaTechs, will chair this year's IP committee. Other members include Brad Anderson, pharmaceutical sciences; Czarena Crofcheck, biosystems & agricultural engineering; Todd Hastings, electrical & computer engineering; Brian Rymond, biology; Peter Spielmann, biochemistry; Daret St. Clair, toxicology; Bert Lynn, chemistry; Gary Van Zant, internal medicine; and Bruce Walcott, electrical & computer engineering.

41. Sustainable Agriculture Education Association Holds Conference

SAEA's biennial conference was held on the UK campus in August. Jointly hosted by the UK College of Agriculture and Virginia Tech College of Agriculture and Life Sciences, the conference was co-chaired by UK's Mark Williams and Krista Jacobsen, Horticulture. The gathering brought together faculty and students involved in sustainable agriculture from universities around the country, as well as Norway.

42. Kentucky Dietetic Association Holds 10th "Growing Healthy Kids" Conference

Hosted by the Kentucky Dietetic Association, and co-chaired by Janet Mullins, associate extension professor in the Department of Nutrition and Food Science, the conference was held June 30-July 1. The conference featured a series of plenary sessions focused on local food and community policy issues. First Lady Jane Beshear spoke about the Governor's Garden and her passion to help Kentucky children and families access healthy foods.

43. Southeastern Costume Society of America Holds Symposium

Held Aug. 12-14 at Shaker Village, this year's symposium was chaired by Kim Spillman, associate professor and the director of graduate studies for the Merchandising, Apparel and Textiles Department. The bulk of the symposium was filled with presentations about topics that included Civil War era day dress to tomb effigies. Three presenters hailed from UK. Alumni Sarah Piester spoke about her creation of an online reference system to access the 2,500 items in UK's Betty D. Eastin Costume Collection, and Nicole Huffman, presented her study on the use of costumed guides and their effect on visitors to historic sites. Chelsea Blackwell, a second year graduate student, presented her investigation of wedding dress perceptions held by young and old American married couples.

44. UK Extension Offers Military Adventure Camps

The three free camps for military parents and their teenage children held this summer drew a total of 65 families. The camps continue with three more sessions this fall.

45. Big Blue Goes Green Displays Sustainability-related Initiatives

Now in its fifth year, UK's Big Blue Goes Green (BBGG) featured an interactive showcase of sustainability-related initiatives, programs and research. There were more than 40 exhibits ranging from Auxiliary Services' green initiatives in the residence halls to Wildcat Wheels' interactive display of bike rental technology. Big Blue Goes Green is sponsored by the Office of the Vice President for Facilities Management with support from the Tracy Farmer Institute for Sustainability and the Environment, the Student Sustainability Council, UK Dining Services, and the Division of Student Affairs.

46. Faculty/Staff Awards and Achievements

Stephanie Aken, Libraries, has been approved for membership in the Academy of Health Information Professionals (AHIP) at the Distinguished Level.

Judi Baffi, Ophthalmology and Visual Sciences, received an NIH award to advance her research of the role of the vascular endothelial growth factor receptor 2 gene in the alymphatic retina.

Jennifer Bartlett, Libraries, has been named the new column editor of "New and Noteworthy," a professional reading column for Library Leadership & Management, the official journal of the national Library Leadership and Management Association (LLAMA).

Dibakar Bhattacharyya, Center of Membrane Sciences, is one of the three international collaborators on a grant from Singapore's National Research Foundation to the National University of Singapore, dealing with forward osmosis membranes for water reuse.

Dorothy Brockopp, professor in the College of Nursing, will be presented with the Sigma Theta Tau International 2011 Evidence-Based Practice Award at the STTI 41st Biennial Convention in October 2011.

Harold Burton, Plant and Soil Sciences, received the Lifetime Achievement in Tobacco Science Award from the Tobacco Science Research Conference.

D. Allan Butterfield, director of the Center of Membrane Sciences, was appointed as chair of the Neural Oxidative Metabolism and Death Study Section (NOMD) of the NIH Center for Scientific Review. Butterfield's term runs from July 1, 2011, to June 30, 2012.

Hui Chi, Art, had work exhibited in "From Our Perspective National Women's Exhibition," Oakland Community College, Farmington, Michigan, through October 14. Her work was also selected for the show "Love and Things Like Love" at Lexington Art League, Loudon House Gallery, Lexington.

Al Cross, Journalism, was presented with the Wells Memorial Key, the highest honor granted by the Society of Professional Journalists, at the society's "Excellence in Journalism" conference in September.

Kathy Doyle Grzech, Proposal Development Office, gave an invited presentation on NIH grant-writing strategies in July at the NIH Director's New Innovator Awardee Meeting in Bethesda, Maryland. She was also selected as a panelist for a "Town Hall" session at the same meeting.

Lori Hetzel, Music, was named the 2011 recipient of the Robert K. Baar Award, presented annually by the Kentucky Chapter of the American Choral Directors Association.

Pradeep Kachroo, Plant Pathology, was awarded a two-year \$200,000 National Science Foundation grant for molecular, genetic and biochemical characterization of oleate-regulated defense gene expression in plants.

Mark Kleinman, Ophthalmology and Visual Sciences, received an NIH Mentored Clinical Scientist Research Career Development Award to advance his research of Age-Related Macular Degeneration.

Janet Kurzynske, Nutrition and Food Science, received a \$180,000 grant from the National Institute of Food and Agriculture for a program component of the Children, Youth, and Families Education and Research Network.

Chad Lee, Lloyd Murdock and Jim Herbek, all of Plant and Soil Sciences, were awarded a \$51,000 grant from the Kentucky Soybean Promotion Board for Soy MVP: Kentucky Soybean Management Verification Program.

James MacLeod, Veterinary Science, was awarded \$100,000 by the Kentucky Thoroughbred Racing Commission to study articular cartilage stem cells.

Doreen Maloney, Art, participated in an international collaborative performance/installation called "Desk Project" in Istanbul, September 19-28.

Rusty Manseau, Jackie Walters, Elizabeth Buckner, Sarah Brandl, Diana Drury, Rita May, Leslie McCammish, Amanda Harshman, Amy Doersam, all of Family and Consumer Sciences, and Steve Patton and Matt Barton, Agricultural Communications Services, received the Graphic Design USA Magazine Award for 2011 for the Food and Nutrition Calendar.

Andrew Maske, Art History, published his new book "Potters and Patrons in Edo Period Japan" (Ashgate, 2011). This summer he served as guest curator for the show presented as part of the Third Annual ArtsAsia Festival, October 1-15.

Mary Molinaro, Libraries, was selected by the Library of Congress to provide digital preservation instruction at a workshop on September 20-23, 2011.

Michael Montross, Biosystems and Agricultural Engineering, received \$87,500 from the National Institute of Food and Agriculture to work on integrated high solids transporting/storing/processing system for on-farm biomass processing.

Debra Moser, Nursing, was inducted into the STTI Nurse Researcher Hall of Fame in July 2011.

Ebony Patterson, Art, was invited to present at the 2011 TEDxIrie held in April in New Kingston, Jamaica. Patterson also has been named the recipient of the Rex Nettleford Fellowship in Cultural Studies.

Charlotte Peterson, Health Sciences, will be inducted as a Fellow into the Gerontological Society of America during the 64th Annual Scientific Meeting held in Boston this November.

Suzanne Prevost, Nursing, will be inducted as president of Sigma Theta Tau International, the Honor Society of Nursing, at the 41st Biennial Convention in October 2011.

Deborah Reed, Nursing, was inducted into Delta Omega, the National Honor Society for Public Health.

Hunter Stamps, Ceramics, and Lori Larusso, Painting/Drawing, are two of seven recipients of 2011's Al Smith Individual Artist Fellowship Awards presented by the Kentucky Arts Council.

Janet Stith, Libraries, has been appointed to the Regional Advisory Council of the Greater Midwest Region, National Network of Libraries of Medicine for a five year term.

Colleen Swartz, Nursing, has been named one of just 21 Robert Wood Johnson Foundation (RWJF) Executive Nurse Fellows for 2011.

William Thom, Regulatory Services, was awarded a \$151,000 Food and Drug Administration grant to enhance and build the capability of feed safety in Kentucky.

Ann Vail, School of Human Environmental Sciences, received a \$618,000 grant from the Kentucky Cabinet for Health and Family Services for the Supplemental Nutrition Assistance Program-Education (SNAP-Ed) 2011.

Linda Van Eldik, director of the University of Kentucky Sanders-Brown Center on Aging and the UK Alzheimer's Disease Center, has been elected to the Alzheimer's Disease Center executive committee.

David Van Sanford, Plant and Soil Sciences, was named a Fellow of the American Society of Agronomy and of the Crop Science Society of America.

Woodford VanMeter, Ophthalmology and Visual Sciences, has been named one of America's leading ophthalmologists by Becker's ASC Review, the leading source for business and legal news for ambulatory surgical centers.

Diana Weaver, senior nursing advisor for UK HealthCare, will be presented with the Sigma Theta Tau International 2011 Spirit of Renewal Award at the STTI 41st Biennial Convention in October 2011.

David Williams, Plant and Soil Sciences, received a \$68,000 CERES Inc. grant for the evaluation of miscanthus species as feedstock biofuels.

Benjamin C. Withers, Art History, has been appointed chair of the College Art Association's Charles Rufus Morey Book Prize Committee.

47. Student Awards and Achievements

Yuell "Chuck" Chandler, doctoral candidate in Music, was awarded the Vulcan Materials Teaching Excellence and Campus Leadership Award from Shorter.

Tony Liquori Grace, Social Work, received the Social Work Student of the Year Award from the National Association of Social Work, Kentucky Chapter.

Ashlei Hardin, Nursing, was awarded a Eureka (undergraduate research and creativity) grant by the Office of Undergraduate Research.

Christiana Holsapple, Desiree P. Jones, Joshua Koontz and Joshua D. Smith were awarded the National Security Education Program (NSEP) David L. Boren Undergraduate Scholarship this summer.

Kyounge Suk Lee, Nursing, was awarded a Non-service Dissertation Year Fellowship from the UK Graduate School for the 2011-2012 academic year.

Matthew Matsubara and Robin Baker took second- and third-place honors at this year's "University Open," a juried competition between undergraduate art students at Kentucky colleges and universities.

An original organ composition by Adam Sovkoplas, Music, "Passacagliations in D", will be performed at the Christian Fellowship of Art Music Composers Regional Conference at Nyack College in Nyack, New York, in November.

Chuck Truesdell, Martin School, won the Kline Award for Best Master's paper award from SECOPA. The paper was written as his capstone paper, the final paper required of all Martin School masters students.

48. Alumni Awards and Achievements

Helene Steene, Art, was featured in a four-page article in the July/August issue of Kentucky Homes & Gardens www.kentuckyhomesandgardens.com. Her work was also featured in a show at the Taubman Museum, Roanoke, Virginia.

PR 2

Office of the President
October 25, 2011

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions, and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII of the Governing Regulations of the university. These recommendations are transmitted to the Board by the appropriate provost/executive vice president through the president and have the president's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the president or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: Approved Disapproved Other _____

PRESIDENT
AND
EXECUTIVE VICE PRESIDENT
FOR
FINANCE AND ADMINISTRATION

I. BOARD ACTION

A. EARLY RETIREMENT

Vice President for Facilities Management

Criss, Larry W., Plumber III, Physical Plant Plumbing Services, after 20 years of consecutive service under AR 3.1 Section VIII.A, effective 10/21/11.

II. ADMINISTRATIVE ACTIONS

A. ADMINISTRATIVE APPOINTMENTS

Office of Development

Moore, Steven, Development Assistant IV, Office of Development, effective 9/6/11.

Vice President for Commercialization and Economic Development

Otsuga, Denichiro, Executive Director, Technology Transfer, Office of Economic Development, effective 10/3/11.

B. PROMOTIONS

CIO for Information Technology

Combs, Mark, from Programmer Systems Analyst Sr., University Computing Enterprise Systems to Enterprise Applications Group Team Member I, University Computing Enterprise Systems, effective 8/10/11.

Insko III, George, from Sr. Systems Programmer, Student Computing Services to Enterprise Architect, IT Enterprise Architect Group, effective 5/15/11.

C. CHANGES

Assistant Vice President for Human Resources

Lasley, Catherine, from Human Resources Employment Manager, Human Resources to Human Resources Administrator, Human Resources, effective 9/22/11.

PROVOST

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

- Attallah, Nizar M., College of Medicine, Associate Adjunct Professor, Internal Medicine, 9/9/11 through 6/30/12.
- Bakker, Siebe T., College of Design, Professor (visiting), Architecture, 9/1/11 through 6/30/12.
- Batte, Marvin T., College of Agriculture, Research Professor, Agricultural Economics, 10/1/11 through 6/30/12.
- Beaman, Francesca D., College of Medicine, Clinical Assistant Professor, Radiology, 11/1/11 through 6/30/12.
- de la Torre, Carlos, College of Arts and Sciences, Professor (temporary), Sociology, 8/16/11 through 12/31/11.
- Dickinson, Jeremy T., College of Medicine, Associate Professor (voluntary), Pediatrics, 8/1/11 through 6/30/16.
- Duff, Don R., College of Medicine, Adjunct Professor, Internal Medicine, 9/9/11 through 6/30/12.
- Fister, Kara A., College of Medicine, Clinical Assistant Professor, Neurology, and joint appointment as Clinical Assistant Professor, Physical Medicine and Rehabilitation, 10/1/11 through 6/30/12.
- Fornwalt, Brandon, College of Medicine, Assistant Professor, Pediatrics, 9/1/11 through 6/30/12.
- Gleason, John R., College of Medicine, Adjunct Professor, Internal Medicine, 9/9/11 through 6/30/12.
- Graves, Matthew, College of Medicine, Associate Professor (voluntary), Pediatrics, 9/1/11 through 6/30/16.
- Huja, Sarandeep S., College of Dentistry, Professor (temporary), Oral Health Science, 9/1/11 through 3/1/12.
- Izumi, Tadahide, College of Medicine, Associate Professor (with tenure), Graduate Center for Toxicology, effective 10/1/11.
- Kingsolver, Ann E., College of Arts and Sciences, Professor (temporary), Anthropology, 8/16/11 through 12/31/11.
- Lange, Karl W., College of Dentistry, Professor (voluntary), Oral Health Practice, 8/1/11 through 6/30/16.
- Martinez Novo, Carmen, College of Arts and Sciences, Associate Professor (temporary), Anthropology, 8/16/11 through 12/31/11.
- Maske, Huajing X., College of Education, Clinical Associate Professor, Curriculum and Instruction, 7/1/11 through 4/30/12.
- Moliterno, David J., College of Medicine, Professor (with tenure), Internal Medicine, appointed to the Jack M. Gill Chair in Internal Medicine, 9/1/11 through 6/30/16.

Nassar, Ramsey N., College of Medicine, Adjunct Professor, Internal Medicine, 9/9/11 through 6/30/12.

Pritchett, Charles E., College of Dentistry, Associate Professor (voluntary), Oral Health Science, 7/1/11 through 6/30/16.

Rankin, Wade M., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, 12/1/11 through 6/30/12.

Rivera-Noriega, Jorge, College of Arts and Sciences, Professor (visiting), Mathematics, 8/16/11 through 12/31/11.

Scott, Larry, College of Medicine, Associate Professor (voluntary), Pediatrics, 9/1/11 through 6/30/16.

Smith, George M., College of Medicine, Adjunct Professor, Physiology, 9/1/11 through 6/30/12.

Smyth, Susan S., College of Medicine, Professor (with tenure), Internal Medicine, and joint appointments as Professor (without tenure), Behavioral Science and Professor (without tenure), Molecular and Biomedical Pharmacology and Professor (without tenure), Physiology, appointed to the Jeff Gill Professorship in Cardiology, 9/1/11 through 6/30/16.

Stevens, Don A., College of Medicine, Associate Professor (voluntary), Internal Medicine, 9/1/11 through 6/30/16.

Toborek, Michal, College of Medicine, Adjunct Professor, Neurosurgery, 11/1/11 through 6/30/12.

Vazsonyi, Alexander T., College of Agriculture, Professor (with tenure), Family Sciences, appointed to the John I. And Patricia J. Buster Endowment in the College of Human Environmental Sciences, 8/15/11 through 8/14/16.

Whitaker, Mark P., College of Arts and Sciences, Professor (temporary), Anthropology, 8/16/11 through 12/31/11.

Woo, Danny, College of Medicine, Adjunct Professor, Internal Medicine, 9/9/11 through 6/30/12.

B. JOINT APPOINTMENTS

Saha, Sibiu P., College of Medicine, Clinical Professor, Surgery, joint appointment as Clinical Professor, Biomedical Engineering, College of Engineering, 9/1/11 through 6/30/12.

Walsh, Sharon L., College of Medicine, Professor (with tenure), Behavioral Science, and joint appointment as Professor (without tenure), Psychiatry, new joint appointment as Professor (without tenure), Pharmaceutical Sciences, College of Pharmacy, effective 9/1/11.

C. REAPPOINTMENTS

Ambati, Jayakrishna, College of Medicine, Professor (with tenure), Ophthalmology and Visual Sciences, and joint appointment as Professor (without tenure), Physiology, reappointed to the Dr. E. Vernon Smith and Eloise C. Smith Macular Degeneration Endowed Chair, effective 7/1/11.

Collins, Michael J., College of Medicine, Associate Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Collins, Robert W., College of Medicine, Clinical Associate Professor, Internal Medicine, and joint appointment as Clinical Associate Professor, Preventive Medicine and Environmental Health, College of Public Health, 7/1/11 through 6/30/12.

Cowen, David E., College of Medicine, Associate Professor (voluntary), Ophthalmology and Visual Sciences, 7/1/11 through 6/30/16.

Ferraris, Victor A., College of Medicine, Professor* (with tenure), Surgery, appointed to the Tyler Gill Professorship in Vascular Surgery, 7/1/11 through 6/30/16.

Foxx, W. J., College of Medicine, Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Greenberg, Richard N., College of Medicine, Professor (with tenure), Internal Medicine, reappointed to the Belinda Mason Carden and Paul Mason Professorship and Chair in HIV/AIDS Research, 7/1/11 through 6/30/16.

Hruby, George G., College of Education, Associate Research Professor, Curriculum and Instruction, 7/1/11 through 6/30/12.

Kasarskis, Edward J., College of Medicine, Professor (with tenure), Neurology, and joint appointment as Professor (without tenure), Graduate Center for Toxicology, reappointed to the Cynthia Shaw Crispin Chair, 10/1/11 through 6/30/16.

McGrath, Patrick C., College of Medicine, Professor (with tenure), Surgery, reappointed to the Ward O. Griffen Endowed Chair in Surgery, 7/1/11 through 6/30/16.

Otis, Melanie D., College of Social Work, Associate Professor (with tenure), reappointed to the Richard K. Brautigam Professorship in Juvenile, Criminal and Social Justice, 7/1/11 through 6/30/14.

Peterson, Charlotte A., College of Health Sciences, Professor (with tenure), Rehabilitation Sciences, and joint appointments as Professor (without tenure), Physiology, College of Medicine and Professor (without tenure), Clinical Sciences, reappointed to the Joseph Hamburg Professorship in Rehabilitation Services, 7/1/11 through 6/30/12.

Reddy, Syamala H., College of Medicine, Associate Professor (voluntary), Ophthalmology and Visual Sciences, 7/1/11 through 6/30/16.

Reeb, Arvil C., College of Medicine, Associate Professor (voluntary), Psychiatry, 7/1/11 through 6/30/16.

Shankar, T.R.Uday, College of Medicine, Associate Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Skees, Jerry R., College of Agriculture, Professor (with tenure), Agricultural Economics, reappointed to the H. B. Price Professorship, 8/15/11 through 6/30/14.

Susman, David T., College of Arts and Sciences, Clinical Associate Professor, Psychology, and joint appointment as Clinical Associate Professor, Psychiatry, College of Medicine, 7/1/11 through 6/30/13.

Tao, Daniel, College of Engineering, Professor (with tenure), Mining Engineering, reappointed to the Mining Engineering Foundation Professorship, 7/1/11 through 6/30/14.

Vasconez, Henry C., College of Medicine, Professor (with tenure), Surgery, and joint appointment as Professor (without tenure), Pediatrics, reappointed to the William Stamps Farish Chair in Plastic Surgery, 7/1/11 through 6/30/16.

Weaver, Anthony D., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/11 through 6/30/12.

D. PROMOTIONS

Darnell, John H., College of Medicine, from Assistant Professor (voluntary), Family and Community Medicine, to Associate Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Ellis, Brian E., College of Medicine, from Assistant Professor (voluntary), Family and Community Medicine, to Associate Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Johnson, Wesley W., College of Medicine, from Assistant Professor (voluntary), Family and Community Medicine, to Associate Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Moore, Carolyn, College of Medicine, from Assistant Professor (voluntary), Family and Community Medicine, to Associate Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Roach, James P., College of Medicine, from Assistant Professor (voluntary), Family and Community Medicine, to Associate Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Wermeling, Daniel P., College of Pharmacy, from Associate Professor* (with tenure), Pharmacy Practice and Science, to Professor* (with tenure), Pharmacy Practice and Science, effective 7/1/11.

E. CHANGES

Katkhuda, Ragheed, College of Medicine, Clinical Assistant Professor, Pediatrics, from 9/1/11 through 6/30/12, to 9/13/11 through 6/30/12.

Krall, Rebecca M., College of Education, from Associate Professor (with tenure), Curriculum and Instruction, to Associate Professor (with tenure), STEM Education, effective 7/1/11.

Thatayatikom, Sthorn, College of Medicine, Clinical Assistant Professor, Pediatrics, from 6/1/11 through 6/30/11, to 8/1/11 through 6/30/12.

Vazsonyi, Alexander T., College of Agriculture, from Professor (without tenure), Family Sciences, 8/15/11 through 6/30/12, to Professor (with tenure), effective 8/15/11.

Yeager, Kevin M., College of Arts and Sciences, from Associate Professor (with tenure), Earth and Environmental Sciences, effective 8/16/11, to Associate Professor (without tenure), Earth and Environmental Sciences, 8/16/11 through 6/30/13.

F. TERMINAL REAPPOINTMENTS

Chung, Misook L., College of Nursing, Associate Research Professor, 7/1/11 through 6/30/12.

G. RETIREMENTS

Abadi, Behruz J., College of Dentistry, Associate Professor (with tenure), Oral Health Practice, after 33 years and 2 months of consecutive service, under AR 3:1, Section VIII.B, effective 8/31/11.

Chan, Lois M., College of Communications and Information Studies, Professor (with tenure), Library and Information Science, after 41 years and 4 months of consecutive service, under AR 3:1, Section VIII.B, effective 12/31/11.

Rinehart, John J., College of Medicine, Professor* (with tenure), Internal Medicine, after 6 years and 9 months of consecutive service, under AR 3:1, Section VIII.B, effective 8/31/11.

Shappley, Diana K., College of Medicine, Nurse Clinical Manager, Neurosurgery, after 41 years and 10 months of consecutive service, under AR 3:1, Section VIII.B, effective 11/11/11.

Spencer, Henry S., College of Agriculture, Accountant Principal, Regulatory Services, after 37 years and 2 months of consecutive service, under AR 3:1, Section VIII.B, effective 9/30/11.

Wong, Peter, College of Medicine, Professor* (with tenure), Pediatrics, after 32 years of consecutive service, under AR 3:1, Section VIII.B, effective 6/30/11.

H. EARLY RETIREMENTS

Boissonneault, Gilbert A., College of Health Sciences, Professor (with tenure), Clinical Sciences, and joint appointment as Professor (without tenure), Graduate Center for Nutritional Sciences, College of Medicine, after 26 years of consecutive service, under AR 3:1, Section VIII.A, effective 12/31/11.

Hall, Lynne A., College of Nursing, Professor (with tenure), and joint appointments as Professor (without tenure), Behavioral Science, College of Medicine and Professor (without tenure), Health Behavior, College of Public Health, after 26 years and 4 months of consecutive service, under AR 3:1, Section VIII.A, effective 11/1/11.

Julian-Meniffee, Octavia L., College of Communications and Information Studies, Administrative Support Associate I, Dean's Office, after 30 years and 4 months of consecutive service, under AR 3:1, Section VIII.A, effective 10/5/11.

McKinney, Cecile M., Student Admissions and Registration, Program Coordinator, Admissions and Registrar, after 33 years and 1 month of consecutive service, under AR 3:1, Section VIII.A, effective 8/31/11.

I. POST-RETIREMENTS APPOINTMENTS

- Beal, Rayma, Undergraduate Studies, Associate Professor (part-time), Honors Program, 8/16/11 through 5/15/12.
- Carrigan, Dennis P., College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 8/15/11 through 6/30/12.
- Cox, Raymond, College of Arts and Sciences, Associate Professor (part-time), Mathematics, 8/16/11 through 12/31/11.
- Foley, Darwin, College of Agriculture, Facilitator (part-time), Agricultural Economics, 10/1/11 through 1/31/12.
- Greenway, John L., Undergraduate Studies, Associate Professor (part-time), Honors Program, 8/16/11 through 5/15/12.
- Guskey, Thomas R., College of Education, Professor (part-time), Educational, School, and Counseling Psychology, 7/1/11 through 6/30/12.
- Hahn, Ottfried J., College of Engineering, Professor (part-time), Mechanical Engineering, 7/1/11 through 6/30/12.
- Heisterberg, David, College of Agriculture, Facilitator (part-time), Agricultural Economics, 10/1/11 through 1/31/12.
- Hunt, Nancy, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 2/6/12 through 2/5/13.
- Rinehart, John J., College of Medicine, Professor (part-time), Internal Medicine, 9/2/11 through 6/30/12.
- Sparrow, Roger, College of Agriculture, Extension District Director/District 4 (part-time), Cooperative Extension Service, 11/4/11 through 11/3/12.
- Stilwell, William, College of Education, Professor (part-time), Educational, School, and Counseling Psychology, 7/1/11 through 6/30/12.
- Wiehe, Vernon R., College of Social Work, Professor (part-time), 8/16/11 through 12/31/11.
- Wilson, Joseph W., College of Arts and Sciences, Professor (part-time), Chemistry, 8/16/11 through 5/15/12.
- Wong, Peter, College of Medicine, Professor (part-time), Pediatrics, from 7/1/11 through 6/30/12, to 7/2/11 through 6/30/12.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

- Adams, Amanda A., College of Agriculture, Assistant Research Professor, Veterinary Science, 9/1/11 through 6/30/12.
- Afansyev, Alexander, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 9/1/11 through 6/30/16.
- Ahler, Steven R., College of Arts and Sciences, Assistant Adjunct Professor, Anthropology, 7/1/11 through 6/30/12.
- Ahmad, Akif, College of Medicine, Instructor (voluntary), Family and Community Medicine, 6/1/11 through 6/30/16.

Allday, Richard A., College of Education, Assistant Professor, Special Education and Rehabilitation Counseling, 8/16/11 through 6/30/12.

Ault, Melinda, College of Education, Assistant Professor, Special Education and Rehabilitation Counseling, 8/16/11 through 6/30/12.

Barbour, John T., College of Arts and Sciences, Instructor (temporary), English, 8/16/11 through 12/31/11.

Bartek, James M., College of Arts and Sciences, Instructor (part-time), History, 8/16/11 through 12/31/11.

Batten, Jennifer M., College of Nursing, Instructor (part-time), 8/30/11 through 6/30/12.

Beckmann, Joshua S., College of Arts and Sciences, Instructor (part-time), Psychology, 7/1/11 through 6/30/12.

Bertoli, Elizangela, College of Dentistry, Assistant Professor*, Oral Health Practice, 9/1/11 through 6/30/12.

Bevins, Carla C., College of Communications and Information Studies, Instructor (part-time), Communication, 8/1/11 through 5/31/12.

Botts, Aleta G., College of Agriculture, Instructor (part-time), Agricultural Economics, 1/1/11 through 5/15/11.

Brechtling, Emily H., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 8/1/11 through 6/30/12.

Buffington, Clint, College of Arts and Sciences, Instructor (part-time), English, 8/16/11 through 12/31/11.

Capps, Timothy T., College of Agriculture, Instructor (part-time), Agricultural Economics, 8/16/11 through 6/30/12.

Cassis, Tamella B., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 8/1/11 through 6/30/16.

Choi, Namjoo, College of Communications and Information Studies, Assistant Professor, Library and Information Science, 8/1/11 through 6/30/12.

Choudry, Qasim, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 9/1/11 through 6/30/16.

Clore, Danielle T., College of Agriculture, Adjunct Instructor, Community and Leadership Development, 7/1/11 through 6/30/12.

Coffman, Wesley B., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 8/1/11 through 6/30/12.

Conness, Erinn A., College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 8/1/11 through 6/30/12.

Cornell, Richard S., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 9/1/11 through 6/30/16.

Crile, Jason D., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 9/1/11 through 6/30/16.

Davis, Mary H., College of Medicine, Assistant Professor (voluntary), Psychiatry, 9/1/11 through 6/30/16.

DeLaCruz, Franklin, College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 7/1/11 through 6/30/16.

Dickson, Megan F., College of Arts and Sciences, Instructor (part-time), Sociology, 8/16/11 through 12/31/11.

Ditty, Jack F., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 8/1/11 through 6/30/16.

Duff, W. A., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 9/1/11 through 6/30/16.

Duvall, Victor F., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 7/1/11 through 6/30/16.

Elliott, Rachel A., College of Arts and Sciences, Instructor (part-time), English, 8/16/11 through 5/15/12.

Emecen Huja, Pinar, College of Dentistry, Clinical Assistant Professor, Oral Health Practice, 9/1/11 through 6/30/12.

Floyd, Daniel G., College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 8/16/11 through 12/31/11.

Fuentealba, Rodrigo, College of Dentistry, Assistant Professor*, Oral Health Practice, 9/1/11 through 6/30/12.

Ghanta, Ramesh, College of Medicine, Assistant Professor (voluntary), Psychiatry, 9/1/11 through 6/30/16.

Hall, Kim, College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 8/1/11 through 6/30/16.

Hannemann, Jens, College of Engineering, Instructor (part-time), Electrical and Computer Engineering, 9/11/11 through 12/31/11.

Hayden, Patrick K., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 9/1/11 through 6/30/16.

Hayes, Dayna M., College of Arts and Sciences, Instructor (part-time), Psychology, 8/16/11 through 12/31/11.

Headrick, James E., College of Agriculture, Adjunct Instructor, Community and Leadership Development, 7/1/10 through 6/30/11.

Hendricks, Michael T., College of Arts and Sciences, Instructor (part-time), English, 8/16/11 through 12/31/11.

Holbrook, Paula J., College of Law, Adjunct Instructor, 9/1/10 through 6/30/11.

Hollon, Jennifer A., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 9/1/11 through 6/30/16.

Human, Julie L., College of Arts and Sciences, Lecturer, Modern and Classical Languages, Literatures, and Cultures, 8/16/11 through 6/30/13.

Jasinski, Lindsey J., College of Medicine, Clinical Assistant Professor, Psychiatry, and joint appointment as Clinical Assistant Professor, Psychology, College of Arts and Sciences, 11/1/11 through 6/30/12.

Jones, Markus E., College of Arts and Sciences, Instructor (part-time), English, 8/16/11 through 12/31/11.

Jones, Willis, College of Education, Assistant Professor, Educational Policy Studies and Evaluation, 8/16/11 through 6/30/12.

Keiran, Stephen J., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 9/1/11 through 6/30/16.

Kiangkitiwan, Boonsong, College of Medicine, Instructor (voluntary), Family and Community Medicine, 9/1/11 through 6/30/16.

Kolleck, Mathias A., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 7/1/11 through 6/30/16.

Konijeti, Jayakrishnakama, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 9/1/11 through 6/30/16.

Korotkov, Konstantin V., College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 9/1/11 through 6/30/12.

Korotkova, Natalia A., College of Medicine, Assistant Research Professor, Molecular and Cellular Biochemistry, 9/1/11 through 6/30/12.

Lamb, Alissa M., College of Arts and Sciences, Instructor (part-time), Anthropology, 8/16/11 through 12/31/11.

Laracuate, Nicholas R., College of Arts and Sciences, Instructor (part-time), Anthropology, 8/16/11 through 12/31/11.

Lawson, Emily E., College of Social Work, Instructor (part-time), 8/16/11 through 12/31/11.

Lee, Robert E., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 9/1/11 through 6/30/16.

Liang, Ying, College of Medicine, Assistant Research Professor, Internal Medicine, 10/1/11 through 6/30/12.

Lomax, Laura L., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 7/1/11 through 6/30/16.

Lueker, Dustin C., College of Arts and Sciences, Instructor (temporary), Statistics, 8/16/11 through 5/15/12.

Maharjan, Dharma S., College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/11 through 12/31/11.

McBride, Kim A., College of Arts and Sciences, Instructor (part-time), Anthropology, 1/1/11 through 5/15/11.

Mink, Philip B., College of Arts and Sciences, Instructor (part-time), Anthropology, 8/16/11 through 12/31/11.

Musoni, Francis, College of Arts and Sciences, Assistant Professor, History, 8/16/11 through 6/30/13.

Najarzadeh, Amir E., College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/11 through 12/31/11.

Najarzadeh, Amir H., College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/11 through 12/31/11.

Nkulu, Kiluba L., College of Arts and Sciences, Instructor (part-time), Sociology, 8/16/11 through 12/31/11.

Oliver, Michael B., College of Social Work, Instructor (part-time), 8/16/11 through 12/31/11.

Parsons, Sarah E., College of Medicine, Assistant Professor (voluntary), Psychiatry, 9/1/11 through 6/30/16.

Patterson, Kelly R., College of Nursing, Instructor (part-time), 8/1/11 through 6/30/12.

Patwardhan, Manjiri A., College of Arts and Sciences, Adjunct Instructor, Chemistry, 8/16/11 through 5/15/12.

Pennington, Jessica, College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 8/1/11 through 6/30/16.

Pergram, Nakisha L., College of Communications and Information Studies, Instructor (part-time), Communication, 8/1/11 through 5/31/12.

Pickett, Jeffery D., College of Design, Instructor (part-time), Architecture, 7/1/11 through 6/30/12.

Salgado-Robles, Francisco, College of Arts and Sciences, Lecturer, Hispanic Studies, 8/16/11 through 6/30/13.

Sell, Andrea J., College of Arts and Sciences, Instructor (part-time), Psychology, 8/1/11 through 6/30/12.

Smith, Bonnie B., College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/11 through 6/30/12.

Spriggs, Amy, College of Education, Assistant Professor, Special Education and Rehabilitation Counseling, 8/16/11 through 6/30/12.

Srinivasan, Jayram, College of Public Health, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 8/15/11 through 6/30/16.

Streetman, William C., College of Arts and Sciences, Instructor (part-time), Philosophy, 8/16/11 through 12/31/11.

Talbert, Cheryl L., College of Social Work, Instructor (part-time), 8/16/11 through 12/31/11.

Tarrant-Hoskins, Nicola A., College of Arts and Sciences, Instructor (temporary), English, 8/16/11 through 12/31/11.

Theakston, Stephanie A., College of Social Work, Instructor (part-time), 8/16/11 through 12/31/11.

Tyler, Quentin R., College of Agriculture, Adjunct Instructor, Community and Leadership Development, 7/1/11 through 6/30/12.

Vaghela, Vishal N., College of Medicine, Instructor (voluntary), Internal Medicine, 9/1/11 through 6/30/16.

Wall, Maryjean, College of Arts and Sciences, Instructor (part-time), History, 8/16/11 through 12/31/11.

Wang, Gui-mei, College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 8/16/11 through 12/31/11.

Ward, Nicole A., College of Agriculture, Assistant Extension Professor, Plant Pathology, 8/3/11 through 6/30/12.

Wood, Mark F., College of Arts and Sciences, Instructor (part-time), English, 8/16/11 through 12/31/11.

Worne, Heather A., College of Arts and Sciences, Assistant Professor, Anthropology, 8/16/11 through 6/30/13.

Yount, James E., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 7/1/11 through 6/30/16.

Yu, Ning, College of Communications and Information Studies, Assistant Professor, Library and Information Science, 8/1/11 through 6/30/12.

Zannoun, Ghadir K., College of Arts and Sciences, Lecturer, Modern and Classical Languages, Literatures, and Cultures, 8/16/11 through 6/30/13.

Zhang, Hong, College of Communications and Information Studies, Assistant Professor, Library and Information Science, 8/1/11 through 6/30/12.

Zhu, Junfeng, College of Arts and Sciences, Assistant Adjunct Professor, Earth and Environmental Sciences, 8/16/11 through 6/30/13.

Zhu, Junfeng, College of Agriculture, Assistant Adjunct Professor, Plant and Soil Sciences, 7/1/11 through 6/30/12.

B. ADMINISTRATIVE APPOINTMENTS

- Bastin, Sandra P., College of Agriculture, Extension Professor (with tenure), Nutrition and Food Science, appointed Interim Chair, Nutrition and Food Science, 9/15/11 through 9/14/12.
- Blomquist, Glenn C., College of Business and Economics, Professor (with tenure), Economics, and joint appointment as Professor (without tenure), Martin School of Public Policy and Administration, Graduate School, appointed Interim Chair, Economics, 7/18/11 through 6/30/12.
- Gaffield, Gary, International Affairs, Provost Associate Assistant/International Programs, effective 8/29/11.
- Harris, Daniel, Clinical and Translational Science, Information Technology Manager II, Biomedical Informatics Core, effective 8/28/11.
- Johnson, Polly J., College of Medicine, Nurse Practitioner Clinic/Hazard, Family Medicine-Hazard, effective 9/19/11.
- Kabalen, Katisha, College of Medicine, Advanced Practice Provider III, Internal Medicine, effective 10/1/11.
- Yongbang, Albert N., College of Medicine, Nurse Anesthetist, Anesthesiology, effective 9/12/11.

C. JOINT APPOINTMENTS

- Havens, Jennifer R., College of Medicine, Assistant Professor, Behavioral Science, joint appointment as Assistant Professor, Epidemiology, College of Public Health, 10/1/11 through 6/30/12.

D. REAPPOINTMENTS

- Abdon, Brandon, College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/11 through 12/31/12.
- Adams, Charles D., College of Social Work, Instructor (part-time), 8/16/11 through 12/31/11.
- Almquist, Sharon G., College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 8/1/11 through 6/30/12.
- Alvarado, Ralph A., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.
- Arnold, Elizabeth, College of Fine Arts, Assistant Professor*, Music, 7/1/11 through 6/30/12.
- Arnold, Joan, College of Nursing, Instructor (voluntary), 7/1/11 through 6/30/16.
- Aroon, Javali B., College of Medicine, Assistant Professor (voluntary), Psychiatry, 7/1/11 through 6/30/16.
- Aslam, Mohammad, College of Medicine, Assistant Professor (voluntary), Anesthesiology, 7/1/11 through 6/30/16.
- Austin, Clegg F., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

Austin, Janice, College of Social Work, Adjunct Instructor, 8/16/11 through 12/31/11.

Bailey, Betsy E., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Baker, Michael S., College of Fine Arts, Assistant Professor, Music, 7/1/11 through 6/30/12.

Basham, Derwood E., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Bastawros, Iman M., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Belhasen, Ronald K., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 7/1/11 through 6/30/16.

Bentley, Eleanor J., College of Social Work, Instructor (part-time), 8/16/11 through 12/31/11.

Bentley, Perry, College of Law, Instructor (part-time), 8/1/11 through 12/31/11.

Blackburn, Teena H., College of Arts and Sciences, Instructor (part-time), Philosophy, 8/16/11 through 12/31/11.

Boss, Christopher R., College of Arts and Sciences, Instructor (part-time), English, 8/16/11 through 12/31/11.

Bottge, Karen M., College of Fine Arts, Assistant Professor, Music, 7/1/11 through 6/30/12.

Botts, Aleta G., College of Agriculture, Instructor (part-time), Agricultural Economics, 8/16/11 through 6/30/12.

Branson, Pamela, College of Nursing, Instructor (voluntary), 7/1/11 through 6/30/16.

Brockman, Kimberly, College of Education, Instructor (part-time), Educational Leadership Studies, 8/16/11 through 12/31/11.

Brown, Cinda S., College of Nursing, Instructor (voluntary), 7/1/11 through 6/30/16.

Buenafe, Marites C., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Bullock, David, College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, and joint appointment as Assistant Professor (voluntary), Family and Community Medicine, College of Medicine, 7/1/11 through 6/30/16.

Burgess, Jerald M., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Burke, William F., College of Arts and Sciences, Adjunct Instructor, Biology, 8/16/11 through 5/15/12.

Cain, John P., College of Arts and Sciences, Instructor (temporary), Statistics, 8/16/11 through 5/15/12.

Carlos, Alicia T., College of Medicine, Assistant Professor (voluntary), Psychiatry, 7/1/11 through 6/30/16.

Carpenter, Michelle R., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Castellanos, Adalberto, College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Chaney, Joyce P., College of Arts and Sciences, Instructor (part-time), English, 8/16/11 through 12/31/11.

Chapman, Shawn D., College of Law, Instructor (part-time), 8/1/11 through 6/30/12.

Cheves, Elizabeth P., College of Nursing, Instructor (part-time), 8/1/11 through 6/30/12.

Cinnamond, Karen E., College of Social Work, Adjunct Instructor, 8/16/11 through 12/31/11.

Clark, Amy C., College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 8/16/11 through 12/31/11.

Clark, Hollis J., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 7/1/11 through 6/30/16.

Clark, John R., College of Business and Economics, Instructor (part-time), Accountancy, 8/16/11 through 12/30/11.

Clay, Angelique, College of Fine Arts, Assistant Professor*, Music, 7/1/11 through 6/30/12.

Clayton, Clarence G., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Clough, Ellen R., College of Medicine, Assistant Professor (voluntary), Surgery, 7/1/11 through 6/30/16.

Cole, Rebecca R., College of Nursing, Adjunct Instructor, 7/1/11 through 6/30/12.

Collins, Carl C., College of Fine Arts, Assistant Professor*, Music, 7/1/11 through 6/30/12.

Cornelius, Kimberly, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Courtade, Daniel, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Coutain, Bryan, College of Arts and Sciences, Assistant Professor (visiting), Political Science, 7/1/11 through 5/15/12.

Cox, Thadis C., College of Medicine, Instructor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Crager, Lucinda J., College of Nursing, Instructor (voluntary), 7/1/11 through 6/30/16.

Crouse, Charles E., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Culp, Kenneth, College of Agriculture, Adjunct Instructor, Community and Leadership Development, 7/1/11 through 6/30/12.

Dailey, Raleigh K., College of Fine Arts, Assistant Professor*, Music, 7/1/11 through 6/30/12.

Dasgupta, Siddhartha, College of Agriculture, Assistant Adjunct Professor, Agricultural Economics, 7/1/11 through 6/30/12.

Davin, Diana J., College of Social Work, Instructor (part-time), 8/16/11 through 12/31/11.

Dickes, Robert, College of Fine Arts, Lecturer, Art, 7/1/11 through 6/30/12.

DiLoreto, Rebecca B., College of Law, Instructor (part-time), 8/1/11 through 12/31/11.

DiLoreto, Rebecca B., College of Social Work, Instructor (part-time), 7/1/11 through 6/30/12.

Doss, Janet W., College of Social Work, Instructor (part-time), 8/16/11 through 12/31/11.

Dudley, Susan J., College of Fine Arts, Lecturer, Music, 7/1/11 through 6/30/12.

Edwards, Lena D., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Effinger, Kathy, College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/11 through 12/31/11.

Egi, Takako, College of Arts and Sciences, Assistant Professor, Modern and Classical Languages, Literatures, and Cultures, 7/1/11 through 6/30/13.

El Majzoub, Nada M., College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 8/16/11 through 12/31/11.

Ellis, Steven L., College of Arts and Sciences, Adjunct Instructor, Physics and Astronomy, 8/16/11 through 12/31/11.

Endicott, Mischa R., College of Nursing, Instructor (voluntary), 7/1/11 through 6/30/16.

Enlow, A. C., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Fairfield, James C., College of Arts and Sciences, Instructor (part-time), English, 8/16/11 through 12/31/11.

Farmer, Sara B., College of Nursing, Instructor (part-time), 7/1/11 through 6/30/12.

Faulkner, Cynthia, College of Social Work, Instructor (part-time), 8/16/11 through 12/31/11.

Faulkner, Samuel S., College of Social Work, Instructor (part-time), 8/16/11 through 12/31/11.

Fields, Jim, College of Arts and Sciences, Instructor (part-time), English, 8/16/11 through 12/31/11.

Fite, Chad M., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

Foege, Susan, College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/11 through 12/31/11.

Fulbrook, Denise, College of Arts and Sciences, Instructor (part-time), English, 8/16/11 through 12/31/11.

Gaffney, Jon D., College of Arts and Sciences, Instructor (part-time), Physics and Astronomy, 8/16/11 through 12/31/11.

Gaines, Scott E., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, and joint appointment as Assistant Professor (voluntary), Emergency Medicine, 7/1/11 through 6/30/16.

Galvan, Angelica, College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 8/16/11 through 12/31/11.

Garrett, Kristy, College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Gebert, Mark A., College of Arts and Sciences, Lecturer, Statistics, 7/1/11 through 6/30/13.

German, Aaron J., College of Arts and Sciences, Instructor (part-time), Philosophy, 8/16/11 through 12/31/11.

Gibbs, James W., College of Arts and Sciences, Instructor (part-time), History, 8/16/11 through 12/31/11.

Glasscock, Rebecca C., College of Arts and Sciences, Instructor (part-time), Political Science, 8/16/11 through 12/31/11.

Glazer, Edith C., College of Arts and Sciences, Assistant Professor, Chemistry, 7/1/11 through 6/30/13.

Gooding, Lori, College of Fine Arts, Assistant Professor, Music, 7/1/11 through 6/30/12.

Goodlander, Jennifer, College of Fine Arts, Assistant Professor, Theatre, 7/1/11 through 6/30/12.

Goodwin, Belinda R., College of Fine Arts, Assistant Professor, Art, 7/1/11 through 6/30/12.

Gorrell, Grace G., College of Agriculture, Instructor (part-time), Community and Leadership Development, 7/1/11 through 6/30/12.

Gorton, William T., College of Agriculture, Assistant Adjunct Professor, Agricultural Economics, 7/1/11 through 6/30/12.

Grey, Chrystal Y., College of Arts and Sciences, Instructor (part-time), Sociology, 8/16/11 through 12/31/11.

Griffith, Deborah A., College of Nursing, Instructor (voluntary), 7/1/11 through 6/30/16.

Grise, Jane B., College of Law, Instructor (part-time), 8/1/11 through 6/30/12.

Grogan, Edwin L., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 7/1/11 through 6/30/16.

Gunther, Denotra S., College of Business and Economics, Instructor (part-time), Management, 8/16/11 through 12/30/11.

Hagan, Susan E., College of Medicine, Instructor (voluntary), Obstetrics and Gynecology, 7/1/11 through 6/30/16.

Hale, Bonnie B., College of Social Work, Instructor (part-time), 8/16/11 through 12/31/11.

Hall, Jennifer G., College of Social Work, Adjunct Instructor, 8/16/11 through 12/31/11.

Hamblin, Pierce W., College of Law, Instructor (part-time), 8/1/11 through 6/30/12.

Hamilton, Phyllis, College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/11 through 12/31/12.

Headrick, James E., College of Agriculture, Adjunct Instructor, Community and Leadership Development, 7/1/11 through 6/30/12.

Hedge, Jesse A., College of Arts and Sciences, Instructor, Aerospace Studies, 7/1/11 through 6/30/12.

Hedgecock, Susan, College of Nursing, Instructor (part-time), 7/1/11 through 6/30/12.

Henderson, A. G., College of Arts and Sciences, Assistant Adjunct Professor, Anthropology, 7/1/11 through 6/30/13.

Henley, Dale W., College of Law, Instructor (part-time), 8/1/11 through 6/30/12.

Hesterberg, Latonya J., College of Social Work, Instructor (part-time), 8/16/11 through 12/31/11.

Hines, H. C., College of Medicine, Assistant Professor (voluntary), Radiology, 7/1/11 through 6/30/16.

Huffman, James G., College of Medicine, Assistant Professor (voluntary), Ophthalmology and Visual Sciences, 7/1/11 through 6/30/16.

Hunt, David E., College of Medicine, Instructor (voluntary), Pathology and Laboratory Medicine, 7/1/11 through 6/30/16.

Huss, Debra B., College of Arts and Sciences, Instructor (part-time), Psychology, 7/1/11 through 12/31/11.

Ingwersen, Charlotte, College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

James, Narendra N., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, and joint appointment as Assistant Professor (voluntary), Family and Community Medicine, College of Medicine, 7/1/11 through 6/30/16.

Johnson, Terry L., College of Arts and Sciences, Instructor (part-time), English, 8/16/11 through 12/31/11.

Jones, Blake L., College of Social Work, Adjunct Instructor, 8/16/11 through 12/31/11.

Jones, Sonya L., Undergraduate Studies, Instructor (part-time), Honors Program, 8/16/11 through 5/15/12.

Kanatzer, Terri, College of Social Work, Instructor (part-time), 8/16/11 through 12/31/11.

Kelley, Ronald L., College of Medicine, Assistant Professor (voluntary), Psychiatry, 7/1/11 through 6/30/16.

Kilgallin, John, College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Kinnicutt, Fred P., College of Medicine, Assistant Professor (voluntary), Psychiatry, 7/1/11 through 6/30/16.

Knauer, Timothy G., College of Arts and Sciences, Adjunct Instructor, Physics and Astronomy, 8/16/11 through 12/31/11.

Kopyc, Sharon, College of Social Work, Instructor (part-time), 8/16/11 through 12/31/11.

Koury, Hameed I., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 7/1/11 through 6/30/16.

Kries, Kelly E., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

Kwon, Donna L., College of Fine Arts, Assistant Professor, Music, 7/1/11 through 6/30/12.

Lagos, Raul, College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 8/16/11 through 12/31/11.

Lamb, Stephen, College of Medicine, Assistant Professor (voluntary), Psychiatry, 7/1/11 through 6/30/16.

Lander, Deborah R., College of Fine Arts, Assistant Professor*, Music, 7/1/11 through 6/30/12.

Lanham, Jennifer D., College of Social Work, Instructor (part-time), 8/16/11 through 12/31/11.

Lazzari, Lori W., College of Social Work, Instructor (part-time), 8/16/11 through 12/31/11.

Lee, Hui C., College of Fine Arts, Lecturer, Art, 7/1/11 through 6/30/12.

Leedham, Cynthia, College of Arts and Sciences, Adjunct Instructor, Sociology, 8/16/11 through 12/31/11.

Leukefeld, Sarabeth, College of Social Work, Adjunct Instructor, 8/16/11 through 12/31/11.

Lindsay, Tedrin B., College of Fine Arts, Lecturer, Music, 7/1/11 through 6/30/12.

Lyon, Sarah M., College of Arts and Sciences, Assistant Professor, Anthropology, 7/1/11 through 8/15/12.

Macy, Brian K., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Mahboob, Neeraj, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Mallozzi, Christine, College of Education, Assistant Professor, Curriculum and Instruction, 7/1/11 through 6/30/12.

Maners, Toni Rae D., College of Health Sciences, Instructor (voluntary), Rehabilitation Sciences, 7/1/11 through 6/30/16.

Mansfield, DeeAnn W., College of Arts and Sciences, Instructor (part-time), Sociology, 8/16/11 through 12/31/11.

Maske, Andrew L., College of Fine Arts, Assistant Professor, Art, 7/1/11 through 6/30/12.

Matthews, James W., College of Medicine, Assistant Professor (voluntary), Ophthalmology and Visual Sciences, 7/1/11 through 6/30/16.

McConnell, John W., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

McGarvey, John T., College of Law, Instructor (part-time), 8/1/11 through 12/31/11.

McKinney, Michael L., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

McQueary, Susanna M., College of Social Work, Instructor (part-time), 8/16/11 through 12/31/11.

McSwain, Nan, College of Fine Arts, Lecturer, Music, 7/1/11 through 6/30/12.

McVay, Vicki S., College of Fine Arts, Lecturer, Music, 7/1/11 through 6/30/12.

Meade, Cynthia J., College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/11 through 12/31/12.

Meegan, William J., College of Arts and Sciences, Instructor (part-time), Psychology, 8/16/11 through 12/31/11.

Messerli, Adrian W., College of Medicine, Instructor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Meurer, Kathryn, College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 7/1/11 through 6/30/16.

Meyers, Maureen E., College of Arts and Sciences, Instructor (part-time), Anthropology, 8/16/11 through 12/31/11.

Molenaar, Lori S., College of Nursing, Adjunct Instructor, 7/1/11 through 6/30/12.

Moore, Jennifer H., College of Law, Instructor (part-time), 8/1/11 through 6/30/12.

Moore, Julia M., College of Social Work, Instructor (part-time), 8/16/11 through 12/31/11.

Morris, Ann C., College of Arts and Sciences, Assistant Professor, Biology, 7/1/11 through 6/30/13.

Morris, Nancy V., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Motamedi, Hossein, College of Arts and Sciences, Instructor (part-time), Political Science, 8/16/11 through 12/31/11.

Murphy, Erin H., College of Arts and Sciences, Instructor (part-time), Philosophy, 8/16/11 through 12/31/11.

Murray, Deborah A., College of Agriculture, Adjunct Instructor, Family and Consumer Science, 8/5/11 through 6/30/12.

Nash, Christi, College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/11 through 12/31/12.

Nelson, Rebecca, College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 8/1/11 through 6/30/12.

Norman, Charlene, College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/11 through 12/31/11.

O'Connell, Lenahan L., College of Engineering, Adjunct Instructor, Civil Engineering, 7/1/11 through 6/30/12.

O'Hara, Michael, College of Arts and Sciences, Instructor (part-time), English, 8/16/11 through 12/31/11.

O'Neill, Terence W., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Omer, Katherine W., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

Page, Matthew C., College of Fine Arts, Lecturer, Art, 7/1/11 through 6/30/12.

Palley, Clair, College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Park-Nah, Holly H., College of Dentistry, Assistant Professor*, Oral Health Practice, 7/1/11 through 6/30/12.

Patel, Ila R., College of Medicine, Assistant Professor (voluntary), Psychiatry, 7/1/11 through 6/30/16.

Patel, Ramesh V., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Patterson, Ebony G., College of Fine Arts, Assistant Professor, Art, 7/1/11 through 6/30/12.

Patton, John A., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Pena Rivera, Carlos A., College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 8/16/11 through 12/31/11.

Penn, Stephen T., College of Fine Arts, Lecturer, Music, 7/1/11 through 6/30/12.

Perry, Kristen, College of Education, Assistant Professor, Curriculum and Instruction, 7/1/11 through 6/30/12.

Pica, Suzanne, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Plummer, Patricia A., College of Social Work, Instructor (part-time), 8/16/11 through 12/31/11.

Polio, Joseph L., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 7/1/11 through 6/30/16.

Pollack, David, College of Arts and Sciences, Assistant Adjunct Professor, Anthropology, 7/1/11 through 6/30/13.

Probst, Denise, College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/11 through 6/30/12.

Quarles, Clinton R., College of Agriculture, Instructor (part-time), Agricultural Economics, 8/16/11 through 6/30/12.

Quintero, Margaret, College of Education, Instructor (part-time), Educational Leadership Studies, 8/16/11 through 12/31/11.

Radcliffe, Brian, College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/11 through 12/31/12.

Rasul, Mazhar, College of Medicine, Assistant Professor (voluntary), Anesthesiology, 7/1/11 through 6/30/16.

Ravisankar, Punnaivanam, College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Richardson, Jayson W., College of Education, Assistant Professor, Educational Leadership Studies, 8/16/11 through 6/30/12.

Riddell, Martha C., College of Public Health, Clinical Assistant Professor, Health Services Management, 7/1/11 through 10/31/11.

Riebel, Jennifer S., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

Robinson, Deirdra L., College of Social Work, Instructor (part-time), 8/16/11 through 12/31/11.

Rogers, Shelly M., College of Medicine, Instructor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Rohr, Jeanne M., College of Nursing, Instructor (part-time), 8/1/11 through 6/30/12.

Rolfes, Siobhan B., College of Arts and Sciences, Instructor (part-time), English, 8/16/11 through 12/31/11.

Rowe, Michael K., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 7/1/11 through 6/30/16.

Saffari, Kay, College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 8/16/11 through 12/31/11.

Scanlon, Mary D., College of Social Work, Adjunct Instructor, 8/16/11 through 12/31/11.

Scharrer, Ian S., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/11 through 6/30/12.

Schlackman, Marc S., College of Fine Arts, Lecturer, Music, 7/1/11 through 6/30/12.

Schroot, Lisa M., College of Arts and Sciences, Instructor (part-time), English, 8/16/11 through 12/31/11.

Shahzad, Mohammad F., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Shane, Rachel, College of Fine Arts, Assistant Professor, Theatre, 7/1/11 through 6/30/12.

Shaw, Michael, College of Arts and Sciences, Lecturer, Mathematics, 7/1/11 through 6/30/13.

Shouse, Bryan A., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Siever, Mark A., College of Design, Instructor (part-time), Architecture, 7/1/11 through 6/30/12.

Sigler, Pamela L., College of Agriculture, Adjunct Instructor, Family and Consumer Science, 1/1/12 through 6/30/12.

Simon, Rachel A., College of Arts and Sciences, Instructor (part-time), English, 8/16/11 through 12/31/11.

Sims, Christopher D., College of Medicine, Instructor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Smith, Jennifer, College of Social Work, Instructor (part-time), 8/16/11 through 12/31/11.

Snider, Susan M., College of Nursing, Instructor (voluntary), 7/1/11 through 6/30/16.

Sparks, Andrew L., College of Law, Instructor (part-time), 8/1/11 through 6/30/12.

Stamps, William H., College of Fine Arts, Assistant Professor, Art, 7/1/11 through 6/30/12.

Stanley, Deborah D., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Strakovsky, Dmitry, College of Fine Arts, Assistant Professor, Art, 7/1/11 through 6/30/12.

Strode, Darryl, College of Agriculture, Adjunct Instructor, Community and Leadership Development, 7/1/11 through 6/30/12.

Subramanian, Seethalakshmi R., College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/11 through 12/31/11.

Sum, Joey P., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/11 through 11/30/11.

Sutton-Davis, Lesa, College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

Swan, Gerry M., College of Education, Assistant Professor, Curriculum and Instruction, and joint appointment as Assistant Professor, Mechanical Engineering, College of Engineering, 7/1/11 through 6/30/12.

Swanson, Azhar B., College of Arts and Sciences, Adjunct Instructor, Modern and Classical Languages, Literatures, and Cultures, 8/16/11 through 12/31/11.

Swenson, Phil A., College of Arts and Sciences, Instructor (temporary), English, 8/16/11 through 12/31/11.

Swinford, Bill, College of Arts and Sciences, Adjunct Instructor, Political Science, 8/16/11 through 12/31/11.

Tingue-Poczatek, Patti S., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Tolzmann, Don H., College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 8/1/11 through 6/30/12.

Tracy, Anna Marie, College of Education, Instructor (part-time), Educational Leadership Studies, 8/16/11 through 12/31/11.

Tran, Ai, College of Medicine, Clinical Instructor, Internal Medicine, 7/1/11 through 6/30/12.

Trautwein, Jane F., College of Medicine, Assistant Professor (voluntary), Emergency Medicine, 7/1/11 through 6/30/16.

Travis, Russell L., College of Medicine, Assistant Professor (voluntary), Physical Medicine and Rehabilitation, 7/1/11 through 6/30/16.

Tyler, Ronald D., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

Upreti, Neelakshi, College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Usher, Ellen L., College of Education, Assistant Professor, Educational, School, and Counseling Psychology, 7/1/11 through 6/30/12.

Van Hoose, Carla, College of Social Work, Instructor (part-time), 8/16/11 through 12/31/11.

Van Sickels, Mary, College of Arts and Sciences, Instructor (part-time), English, 8/16/11 through 12/31/11.

Vansant, James A., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Veitschegger, Rodney, College of Medicine, Assistant Professor (voluntary), Radiology, 7/1/11 through 6/30/16.

Villalba, Manuel J., College of Arts and Sciences, Lecturer, Hispanic Studies, 7/1/11 through 6/30/12.

Visona, Paolo, College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 8/16/11 through 12/31/11.

Voskresensky, Anna, College of Arts and Sciences, Adjunct Instructor, Modern and Classical Languages, Literatures, and Cultures, 8/16/11 through 12/31/11.

Wade, James, College of Fine Arts, Lecturer, Art, 7/1/11 through 6/30/12.

Wahler, Elizabeth A., College of Social Work, Adjunct Instructor, 8/16/11 through 12/31/11.

Wallace, Geoffrey P., College of Arts and Sciences, Assistant Professor, Political Science, 7/1/11 through 6/30/13.

Wallace, Sophia J., College of Arts and Sciences, Assistant Professor, Political Science, 7/1/11 through 6/30/13.

Waltman, Jonathan C., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Welch, Richard D., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Wells, Henry G., College of Medicine, Assistant Professor (voluntary), Surgery, 7/1/11 through 6/30/16.

Wheeler, Kathleen S., College of Fine Arts, Lecturer, Art, 7/1/11 through 6/30/12.

Whorley, Larry W., College of Social Work, Instructor (part-time), 8/16/11 through 12/31/11.

Wilke, David G., Undergraduate Studies, Instructor (part-time), Honors Program, Undergraduate Studies, 8/16/11 through 5/15/12.

Williams, Jakobi E., College of Arts and Sciences, Assistant Professor, History, 7/1/11 through 6/30/13.

Williams, John C., College of Public Health, Clinical Assistant Professor, Health Services Management, 2/1/11 through 6/30/11.

Williams, Lisa G., College of Social Work, Instructor (part-time), 8/16/11 through 12/31/11.

Wilson, Melissa J., College of Social Work, Instructor (part-time), 8/16/11 through 12/31/11.

Wood, Janice E., College of Arts and Sciences, Instructor (part-time), History, 8/16/11 through 12/31/11.

Woods, Dorothy K., Undergraduate Studies, Instructor (part-time), Honors Program, 8/16/11 through 5/15/12.

Woods, Robert D., College of Medicine, Assistant Professor (voluntary), Surgery, 7/1/11 through 6/30/16.

Wright, Mark, College of Medicine, Assistant Professor (voluntary), Psychiatry, 7/1/11 through 6/30/16.

Yewell, Patrick, College of Social Work, Instructor (part-time), 8/16/11 through 12/31/11.

Zhang, Tongxiao, College of Business and Economics, Instructor (part-time), Management, 8/16/11 through 12/30/11.

Zook, Melissa, College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

E. PROMOTIONS WITHOUT TENURE

Bowersox, John R., College of Arts and Sciences, from Adjunct Instructor, Earth and Environmental Sciences, to Assistant Adjunct Professor, Earth and Environmental Sciences, 8/16/11 through 6/30/13.

Henke, Kevin R., College of Arts and Sciences, from Adjunct Instructor, Earth and Environmental Sciences, to Assistant Adjunct Professor, Earth and Environmental Sciences, 8/16/11 through 6/30/13.

F. CHANGES

Bertram, Leslee A., College of Medicine, from Clinical Nurse Clinician, Pediatrics, to Advanced Practice Provider I, Pediatrics, effective 9/25/11.

Bonzani, Renee M., College of Arts and Sciences, from Assistant Adjunct Professor, Anthropology, to Lecturer, Anthropology, 8/16/11 through 6/30/13.

Callahan-Caudill, Krista E., College of Arts and Sciences, from Instructor (temporary), English, to Instructor (part-time), English, 8/16/11 through 12/31/11.

Chen, Gang, College of Medicine, from Assistant Research Professor, Internal Medicine, to Assistant Professor, Internal Medicine, 10/1/11 through 6/30/12.

Corman, Elizabeth B., College of Social Work, from Adjunct Instructor, to Instructor (part-time), 8/16/11 through 12/31/11.

Dawson, Dolph, College of Dentistry, from Clinical Assistant Professor, Oral Health Practice, to Assistant Professor*, Oral Health Practice, 8/1/11 through 6/30/12.

Fisher, Molly H., College of Education, from Assistant Professor, Curriculum and Instruction, to Assistant Professor, STEM Education, 7/1/11 through 6/30/12.

Gray, Mary E., from Documentation Manager, Executive Vice President for Health Affairs, to Compliance Manager, College of Dentistry, effective 9/26/11.

Jong, Cindy, College of Education, from Assistant Professor, Curriculum and Instruction, to Assistant Professor, STEM Education, 8/16/11 through 5/15/12.

Marazzi, Leonardo, College of Arts and Sciences, from Assistant Professor (visiting), Mathematics, to Instructor (part-time), Mathematics, 8/16/11 through 5/15/12.

Marinelli, James M., College of Arts and Sciences, from Instructor (temporary), English, to Instructor (part-time), English, 8/16/11 through 12/31/11.

Modenbach, Jeffrey C., College of Arts and Sciences, from Lecturer, Statistics, to Instructor (temporary), Statistics, 8/16/11 through 5/15/12.

Ogden, Anthony, College of Education, from Adjunct Instructor, Educational Policy Studies and Evaluation, Assistant Adjunct Professor, Educational Policy Studies and Evaluation, 1/1/11 through 12/31/13.

Patton, Nobuko T., College of Arts and Sciences, from Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, to Instructor (temporary), Modern and Classical Languages, Literatures, and Cultures, 8/16/11 through 5/15/12.

Shumer, Daniel, College of Arts and Sciences, from Instructor (temporary), English, to Instructor (part-time), English, 8/16/11 through 12/31/11.

Vanderford, Nathan L, College of Medicine, from Staff Associate Senior, Markey Cancer Center-Core Support, to Deputy Director/Research, Markey Cancer Center-Core Support, effective 10/1/11.

Yost, Elizabeth A., College of Medicine, from Center Administrator I, Markey Cancer Center-Core Support, to Deputy Director/Finance, Markey Cancer Center-Core Support, effective 10/1/11.

Zhang, Zhuo, College of Public Health, from Assistant Professor, Preventive Medicine and Environmental Health, to Assistant Professor, Graduate Center for Toxicology, College of Medicine, 9/1/11 through 6/30/12.

Zourarakis, Demetrio P., College of Agriculture, from Instructor (part-time), Plant and Soil Sciences, and joint appointment as Instructor (part-time), Geography, College of Arts and Sciences, to Assistant Adjunct Professor, Plant and Soil Sciences, 7/1/11 through 6/30/12.

G. TERMINAL REAPPOINTMENTS

Dawson, Pangela H., College of Health Sciences, Lecturer, Clinical Sciences, 7/1/11 through 6/30/12.

McEwen, Melanie L., College of Medicine, Assistant Research Professor, Physical Medicine and Rehabilitation, and joint appointment as Assistant Research Professor, Anatomy and Neurobiology, 1/1/12 through 6/30/12.

Williams, John C., College of Public Health, Clinical Assistant Professor, Health Services Management, 7/1/11 through 6/30/12.

H. LEAVES OF ABSENCE

Adams, Robert J., College of Engineering, Associate Professor (with tenure), Electrical and Computer Engineering, Sabbatical leave at full salary, 1/1/12 through 6/30/12.

Barton, Christopher D., College of Agriculture, Associate Professor (with tenure), Forestry, Sabbatical leave at full salary, 3/15/12 through 8/14/12.

Donohue, Kevin D., College of Engineering, Professor (with tenure), Electrical and Computer Engineering, Sabbatical leave at half salary, 1/1/12 through 12/31/12.

Easter, Elizabeth P., College of Agriculture, Professor (with tenure), Merchandising, Apparel and Textiles, Sabbatical leave at full salary, 1/11/12 through 5/15/12.

Jordan, Bradford D., College of Business and Economics, Professor (with tenure), Management, Sabbatical leave at full salary, 1/1/13 through 6/30/13.

Liao, Yuan, College of Engineering, Associate Professor (with tenure), Electrical and Computer Engineering, Sabbatical leave at full salary, 1/1/12 through 6/30/12.

Look, Brandon, College of Arts and Sciences, Professor (with tenure), Philosophy, Scholarly Fellowship leave, 7/1/11 through 6/30/12.

Maloney, William F., College of Engineering, Professor (with tenure), Civil Engineering, Sabbatical leave at full salary, 1/1/12 through 6/30/12.

Melish, Joanne, College of Arts and Sciences, Associate Professor (with tenure), History, Scholarly Fellowship leave, 7/1/11 through 6/30/12.

Meyer, Alphonse L., College of Agriculture, Extension Professor (with tenure), Agricultural Economics, Sabbatical leave at full salary, 2/1/12 through 7/31/12.

Moscow, Jeffrey A., College of Medicine, Professor (with tenure), Pediatrics, and joint appointment as Professor (without tenure), Graduate Center for Toxicology, Sabbatical leave at full salary, 1/1/12 through 6/30/12.

Nadel, Alan M., College of Arts and Sciences, Professor (with tenure), English, Scholarly Fellowship leave, 7/1/11 through 6/30/12.

Toma, Mark, College of Business and Economics, Associate Professor (with tenure), Economics, Leave without pay, 1/1/12 through 6/30/12.

I. RESIGNATIONS

Cho, Wonbin, College of Arts and Sciences, Assistant Professor, Political Science, effective 8/9/11.

Duong, Hai-Lang, College of Medicine, Clinical Instructor, Obstetrics and Gynecology, effective 9/30/11.

Fording, Richard, College of Arts and Sciences, Professor (with tenure), Political Science, effective 6/30/11.

Knecht, Marc R., College of Arts and Sciences, Assistant Professor, Chemistry, effective 6/30/11.

Pushkarskaya, Helen N., College of Agriculture, Assistant Professor, Agricultural Economics, effective 8/31/11.

Ramberg, Lucinda, College of Arts and Sciences, Assistant Professor, Gender and Women's Studies, and joint appointment as Assistant Professor, Anthropology, effective 6/30/11.

Ryou, Chongsuk, College of Medicine, Assistant Professor, Microbiology, Immunology and Molecular Genetics, effective 2/15/12.

Smith, George M., College of Medicine, Professor (with tenure), Physiology, effective 8/31/11.

Steinke, Douglas, College of Pharmacy, Assistant Professor, Pharmacy Practice and Science, and joint appointment as Assistant Professor, Epidemiology, College of Public Health, effective 8/19/11.

Sum, Joey P., College of Medicine, Clinical Assistant Professor, Internal Medicine, effective 11/30/11.

EXECUTIVE VICE PRESIDENT FOR HEALTH AFFAIRS

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Johnson, Gary, UK HealthCare, Enterprise Pharmacy Director/UKHC, Pharmacy Services, effective 09/06/11

B. CHANGES

Heiser, Regina, from Clinical Systems Coordinator Senior/UKHC, EVPHA Information Technology Services to Program Manager IT/UKHC, EVPHA Information Technology, effective 08/14/11

Montgomery, John, from Information Technology Manager III/UKHC, EVPHA Information Technology to Program Manager IT/UKHC, EVPHA Information Technology, effective 08/14/11

Fraser, Ginger, from Technical Project Specialist III/UKHC, EVPHA Information Technology to Program Manager IT/UKHC, EVPHA Information Technology, effective 08/14/11

Shropshire, Fred, from Technical Project Specialist III/UKHC, EVPHA Information Technology to Program Manager IT/UKHC, EVPHA Information Technology, effective 08/14/11

Rudzik, Carrie, from Fiscal Planning Analysis Manager/UKHC, EVPHA Finance to Managed Care Project Manager/UKHC, EVPHA Revenue Management, effective 10/09/11

Harris, Rebecca, from Budget Analyst Senior/UKHC, EVPHA Finance to Managed Care Finance Director Associate/UKHC, EVPHA Revenue Management, effective 10/23/11

Moreland, Mary G., from Patient Care Manager/UKHC, OB Administration to Nursing Operations Administrator/UKHC, Pediatric Administration, effective 08/14/11

Springate, Suzanne, from Director, Enterprise Office of Service Excellence to Nursing Operations Administrator, Pediatric Administration, effective 08/14/11

C. RETIREMENTS

Ross, Dawn E., Pharmacy Technician, after 42 years and 01 month of consecutive service, under AR 3:1 Section VIII.A., effective 11/18/11

Oraftik, Nancy P., Nurse Clinical, after 24 years and 11 months of consecutive service, under AR 3:1 Section VIII.A., effective 09/30/11

Trail, Patricia M., Technical Project Specialist III, after 26 years and 08 months of consecutive service, under AR 3:1 Section VIII.A., effective 09/23/11

Overman, Sue B., Medical Technologist Chief, after 37 years and 08 months of consecutive service, under AR 3:1 Section VIII.B., effective 12/02/11

II. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE APPOINTMENTS

Schadler, Aric, UK HealthCare, Business Intelligence Analyst/UKHC, EVPHA Information Technology, effective 08/15/11

B. CHANGES

Spears, Mark, from Technical Project Specialist III/UKHC, EVPHA Information Technology to Project Manager IT/UKHC, EVPHA Information Technology, effective 08/14/11

Dunnigan, Tamara, from Information Technology Manager III/UKHC, EVPHA Information Technology to Project Manager IT/UKHC, EVPHA Information Technology, effective 08/14/11

Delbello, John, from Technical Project Specialist III/UKHC, EVPHA Information Technology to Project Manager IT/UKHC, EVPHA Information Technology, effective 08/14/11

Morgan, Tiffany, from Technical Project Specialist III/UKHC, EVPHA Information Technology to Project Manager IT/UKHC, EVPHA Information Technology, effective 08/14/11

Willoughby, Vincent, from Information Technology Manager III/UKHC, EVPHA Information Technology to Strategy Development & Deployment Analyst/UKHC, EVPHA Information Technology, effective 08/28/11

Sage, Diana, from Technical Project Specialist II/UKHC, EVPHA Information Technology to Informatics Education Specialist/UKHC, EVPHA Information Technology, effective 08/28/11

Blake, Lara, from Nurse Clinical Coordinator/Hospital, Gill Surgery Center Administration to Service Line Manager, Hospital Operating Room, effective 08/14/11

Mercer, Julia, from Nurse Clinical Coordinator/Hospital, Hospital Operating Room to Operating Room Control Desk Manager, Hospital Operating Room, effective 08/14/11

Vance, Heather, from Nurse Clinical/UKHC, Neuroscience Sciences ICU to Patient Care Manager/UKHC, Neuroscience Sciences Administration, effective 08/14/11

Robbins, Patricia, from Nurse Clinical/Good Samaritan Operating Room, Operating Room Good Samaritan to Service Line Manager, Operating Room Chandler Administration, effective 08/14/11

PR 3

Office of the President
October 25, 2011

Members, Board of Trustees:

NAMING OF UNIVERSITY BUILDING

Recommendation: that the Board of Trustees approve renaming the North Hall residence building the “David P. Roselle Hall” in honor of the University of Kentucky’s ninth president.

Background: The Advisory Committee on Naming University Property has reviewed the request and found it to be in compliance with university policy A.R. 9:5 and recommends approval of this name designation.

There were two letters of recommendation for this action. They came to the Committee from former UK employees; Jack Blanton, Retired Senior Vice President for Finance and Administration, and Bernie Vonderheide, Retired Director of Public Relations.

Dr. Roselle is the only past president, except for the immediate past president, that does not have a building named in his honor. He served as the university’s ninth president from July 1987 to December 1989. His announced goal was to achieve national recognition for the University with respect to the quality of its graduates and its scholarship and research. At Kentucky he quickly became acquainted with the University's supporting constituency of alumni and friends, by traveling and speaking extensively throughout the state. Consistent with his belief that the then new computation and communications technology was of paramount importance to academic institutions, he helped design and implement a strategy for providing wider access to information technology on the UK campus.

Dr. Roselle left UK to become the President of the University of Delaware. He is now retired.

Action taken: Approved Disapproved Other _____

AACR 1

Office of the President
October 25, 2011

Members, Board of Trustees:

ACADEMIC DEGREE RECOMMENDATION

Recommendation: that the Board of Trustees approve the establishment of a Master of Music with a major in Music Therapy degree (CIP Code: 51.2305, PROG: GS MM MUTR) in the College of Fine Arts, effective in the spring 2012 semester.

Background: The degree is designed to instill students with advanced competencies in the field of music therapy. These competencies, focused in the areas of clinical music therapy practice and research, are consistent with the university's mission of improving people's lives through excellence in education, research and creative work, service, and health care. Established as a collaborative effort between UK HealthCare and the School of Music, this program effectively blends all of the components of UK's mission. Upon completion of this program, students will be capable of serving as advanced-practice clinical music therapists or prepared to enter into doctoral programs in music therapy.

Music therapy education at the University of Kentucky is based on the premise that music therapists are called to provide competent and comprehensive services to every individual that they serve. As the field of music therapy has developed, the level of advanced skills required to provide services has expanded, so much so that the field is now considering establishing the master's level as the point for professional practice. Students who wish to train at the advanced level in music therapy currently have no options in the state of Kentucky, nor are there options in Alabama, Mississippi, Arkansas, Tennessee, West Virginia, or Indiana. As a result, the graduate program in music therapy will meet an academic need both locally and regionally. Student requests for this type of program are strong.

This program has the approval of the appropriate councils and the University Senate and has completed the forty-five day review by the Council on Postsecondary Education. The provost of the University supports this recommendation.

Action taken: Approved Disapproved Other _____

FCR 1

Office of the President
October 25, 2011

Members, Board of Trustees:

LARRY H. SPEARS GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a commitment of \$1 million from Larry H. Spears of Crittenden, Kentucky to the Larry H. Spears Endowed Chair in Pharmacogenetics in the College of Pharmacy.

Background: Larry H. Spears, a 1959 College of Pharmacy alumnus, owned and co-owned pharmacies, drug stores, and convenience stores in the Northern Kentucky area for more than forty years. He currently is the owner of Grant County Realty. The Larry H. Spears Endowed Chair in Pharmacogenetics is the first endowed chair in the College of Pharmacy. Pharmacogenetics, commonly referred to as personalized medicine, is an emerging field in pharmacy that uses an individual's genetic code to predict how a patient will metabolize a particular medicine. Mr. Spears' initial payment of \$200,000 was approved for a Research Challenge Trust Fund match at the September 13, 2011 Board of Trustees meeting.

Action taken: Approved Disapproved Other _____

FCR 2

Office of the President
October 25, 2011

Members, Board of Trustees:

REQUEST TO MERGE THE CHILD PSYCHIATRY PROFESSORSHIP WITH THE
DR. LAURIE L. HUMPHRIES ENDOWED CHAIR IN CHILD PSYCHIATRY

Recommendation: that the Board of Trustees approve a request from Kentucky Medical Services Foundation of Lexington, Kentucky to merge the Child Psychiatry Professorship with the Dr. Laurie L. Humphries Endowed Chair in Child Psychiatry in the College of Medicine's Division of Psychiatry.

Background: Kentucky Medical Services Foundation and administrators in the Division of Psychiatry request the merger of these funds to create a larger endowment, providing greater resources for research in the area of child psychiatry. The merged endowments will retain the name of Dr. Laurie L. Humphries Endowed Chair in Child Psychiatry. The Kentucky Medical Services Foundation gift was accepted by the Board of Trustees on March 4, 2004 and approved for a Research Challenge Trust Fund match. The Dr. Laurie L. Humphries Endowed Chair in Child Psychiatry was accepted by the Board of Trustees on September 14, 2010 and approved for a Research Challenge Trust Fund match. The combined endowment would have a current market value of \$1,614,423.80.

Action taken: Approved Disapproved Other _____

FCR 3

Office of the President
October 25, 2011

Members, Board of Trustees:

RENAMING OF PROFESSORSHIP IN OTOLARYNGOLOGY TO THE
RICHARD C. HAYDON III, MD CHAIR IN OTOLARYNGOLOGY

Recommendation: that the Board of Trustees approve a request from the Kentucky Medical Services Foundation to rename the Professorship in Otolaryngology to the Richard C. Haydon III, MD Chair in Otolaryngology in the College of Medicine.

Background: Dr. Haydon, who passed away in November 2010, was an associate professor in the Division of Otolaryngology from 1990 until his death. During his tenure, he taught every resident in otolaryngology. The renaming of the Professorship in Otolaryngology to the Richard C. Haydon III, MD Chair in Otolaryngology recognizes his many years of service to the department. The original gift for the professorship was accepted by the Board of Trustees on June 22, 2004 and approved for a Research Challenge Trust Fund match. Additional gifts were accepted by the Board of Trustees on April 24, 2007 and also approved for a Research Challenge Trust Fund match.

Action taken: Approved Disapproved Other _____

FCR 4

Office of the President
October 25, 2011

Members, Board of Trustees:

RENAMING OF THE SPINAL CORD AND BRAIN INJURY CHAIR NO. 4 TO THE
WILLIAM R. MARKESBERY, MD CHAIR IN NEUROTRAUMA RESEARCH

Recommendation: that the Board of Trustees approve a request from the Spinal Cord & Brain Injury Research Center in the College of Medicine to rename the Spinal Cord and Brain Injury Chair No. 4 to the William R. Markesbery, MD Chair in Neurotrauma Research.

Background: The board members of the Kentucky Spinal Cord and Head Injury Research Trust wish to memorialize Dr. William R. Markesbery and celebrate his many contributions to the advancement of research in spinal cord and brain injury through his long-time service as a board member of the Kentucky Spinal Cord and Head Injury Research Trust. The chair is currently held by Edward D. Hall, PhD, Director of the Spinal Cord and Brain Injury Research Center. The original gift for the chair was accepted by the Board of Trustees on March 2, 1999 and approved for a Research Challenge Trust Fund match.

Action taken: Approved Disapproved Other _____

FCR 5

Office of the President
October 25, 2011

Members, Board of Trustees:

ACCEPTANCE OF AUDIT REPORT
AND THE REPORT ON INTERNAL CONTROL
FOR THE UNIVERSITY OF KENTUCKY FOR 2010-11

Recommendation: that the Board of Trustees accept (1) the University of Kentucky Audited Financial Statements for the fiscal year ended June 30, 2011, consisting of the Statements of Net Assets, Statements of Revenues, Expenses and Changes in Net Assets, Statements of Cash Flows, Notes to Financial Statements, and Management’s Discussion and Analysis; and (2) the Independent Auditor's Report on the Internal Control Based on the Audit of Financial Statements.

Background: The Audit Subcommittee of the Finance Committee has reviewed the University’s Financial Statements for the Fiscal Year Ended June 30, 2011, that have been audited by BKD LLP, Certified Public Accountants. These financial statements are prepared in accordance with Governmental Accounting Standards Board (“GASB”) Statement No. 35, *Basic Financial Statements – and Management’s Discussion and Analysis – for Public Colleges and Universities*, which focuses on the financial condition, results of operations, and cash flows of the University as a whole, with resources classified for accounting and reporting purposes into four net asset categories: invested in capital assets (net of related debt), restricted-nonexpendable, restricted-expendable, and unrestricted.

The financial statements of the University include its operations, the UK HealthCare Hospital System (an organizational unit of the University), the University’s for-profit subsidiary (Kentucky Healthcare Enterprise, Inc.), and its affiliated non-profit corporations [i.e. entities for which the University is financially accountable as defined by Statement No. 14 and amended by Statement No. 39 of the Governmental Accounting Standards Board (GASB), and which meet the definition of an affiliated corporation under Kentucky Revised Statute (KRS) section 164A.550]:

- The University of Kentucky Research Foundation and its for-profit subsidiaries (Kentucky Technology, Inc. and Coldstream Laboratories, Inc.);
- The Fund for Advancement of Education and Research in the University of Kentucky Medical Center;
- University of Kentucky Athletic Association;
- Central Kentucky Management Services, Inc.;
- University of Kentucky Mining Engineering Foundation, Inc.;
- University of Kentucky Gluck Equine Research Foundation, Inc.;

- University of Kentucky Humanities Foundation, Inc.; and
- University of Kentucky Center on Aging Foundation, Inc.

The financial statements also include the operations of Kentucky Medical Services Foundation, Inc. (KMSF), a non-profit entity for which the University is financially accountable as defined by GASB, but which is not an affiliated corporation under KRS.

The financial statements and the independent auditor's report have been provided separately. The documents may be viewed at:

<http://www.uky.edu/evpfa/controller/finst>

Action taken: Approved Disapproved Other _____

FCR 6

Office of the President
October 25, 2011

Members, Board of Trustees:

RENOVATE PARKING STRUCTURE #3 - HOSPITAL

Recommendation: that the Board of Trustees approve initiation of “Renovate Parking Structure #3 – Hospital” capital project.

Background: Authorized by the 2010 Kentucky General Assembly, this project is to address repairs to the concrete and EIFS wall panels of Parking Structure #3 façade.

This project is expected to cost \$1,240,000, well within the \$3,500,000 authorized for the “Renovate Parking Structure #3 – Hospital” authorized by the 2010 Legislature, and will be funded by the UK HealthCare Enterprise.

Action taken: Approved Disapproved Other _____

FCR 7

Office of the President
October 25, 2011

Members, Board of Trustees:

2011-12 BUDGET REVISIONS

Recommendation: that the Board of Trustees authorize and approve the following revisions to the fiscal year 2011-12 budget. The budget revisions outlined below will increase the University of Kentucky's total budget by \$2,805,700 – from \$2,674,768,400 to \$2,677,574,100.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>GENERAL FUND</u>			
1. Income Estimates			
Student Tuition and Fees			
Fees			
Non Credit	\$ 6,093,400	\$ 6,130,900	\$ 37,500
Gifts, Grants and Contracts			
Non-Governmental Grants and Contracts	152,005,300	152,632,500	627,200
State and Local Grants and Contracts	18,527,500	18,752,500	225,000
Sales and Services			
Departmental Sales and Services	16,346,500	16,005,500	(341,000)
UK Affiliated Corporations			
The Fund for Advancement of Education and Research in the University of Kentucky Medical Center	17,947,500	18,214,900	<u>267,400</u>
			\$ 816,100
2. Expenditures			
Finance and Administration			
Human Resource Services	\$ 5,279,300	\$ 5,339,300	\$ 60,000
Provost			
College of Dentistry			
Department of Oral Health Practice	5,306,800	5,036,800	(270,000)

A. GENERAL FUND (continued)

2. Expenditures (continued)
Provost (continued)

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
College of Fine Arts			
Singletary Center for the Arts	\$ 797,300	\$ 396,300	\$ (401,000)
College of Medicine			
Administration	6,965,900	7,593,100	627,200
College of Nursing			
Instruction	7,576,100	7,738,400	162,300
Institutional Diversity			
Office of the Vice President	931,900	969,400	37,500
Research			
Interdisciplinary Human			
Development Institute	394,800	619,800	225,000
UK Affiliated Corporations			
The Fund for Advancement			
of Education and Research			
in the University of Kentucky			
Medical Center	6,204,200	6,579,300	<u>375,100</u>
			\$ 816,100

3. Comments: Income from Student Tuition and Fees will increase \$37,500 due to the Summit on Diversity and Inclusion conference held by the Institutional Diversity program. These revenues will be used to support program expenses in Institutional Diversity.

Gifts, Grants and Contracts will increase \$627,300 from additional revenues from Kentucky Medical Service Foundation contracts which were understated during the fiscal year 2011-12 budget development process.

In addition, \$225,000 is expected from revenues generated from the newly formed Driver Rehabilitation program offered by the Interdisciplinary Human Development Institute. This program provides high quality evaluation and training for drivers with disabilities. These revenues will be used to support program expenses in the Interdisciplinary Human Development Institute.

The general funds budget for Departmental Sales and Services will decrease by a net \$341,000 due to a movement of the \$401,000 budget for the Singletary Center for the Arts to auxiliary funds and, the expectation of \$60,000 from the new on-line records request system in Human Resources Services. This system allows businesses and government agencies to request verification of UK employees' (current and former) employment.

3: Comments (continued):

The increase of \$267,400 in the Fund for Advancement of Education and Research in the University of Kentucky Medical Center (The Fund) is due to a combination of primarily an increase of \$537,400 from changes in contracts with Central Baptist Hospital and Good Samaritan Nursing Center; and a decrease of \$270,000 due to an over estimation of anticipated patient revenue in the Dentistry Practice Plan.

The \$537,400 will be used to support expenses associated with The Fund including hiring of additional temporary technicians in the Good Samaritan Nursing Center.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
B. <u>AUXILIARY FUND</u>			
1. Income Estimates			
Auxiliary Enterprises			
Other	\$ 8,339,600	\$ 9,329,200	\$ 989,600
2. Expenditures			
Provost			
College of Fine Arts			
Singletary Center for the Arts	\$ 0	\$ 989,600	\$ 989,600
3. <u>Comments</u> : Income from Auxiliary Enterprises is expected to increase \$989,600 due to revenues from ticket sales and rental income by the Singletary Center for the Arts. This revision includes movement of \$401,000 inadvertently budgeted in the general fund to the auxiliary fund. These funds will be used to support the Singletary Center for the Arts operations.			

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
C. <u>RESTRICTED FUND</u>			
1. Income Estimates			
Gifts, Grants and Contracts			
Gifts, and Other Grants and Contracts	\$ 34,775,500	\$ 35,775,500	\$ 1,000,000
2. Expenditures			
Provost			
Office of the Provost Administration	\$ 1,084,900	\$ 2,084,900	\$ 1,000,000

3. Comments: Restricted gifts, grants and contracts income is expected to increase by \$1million from a gift provided by Lexmark to support the renovation of the Old Northside Library for the Biology program and STEM education.

Action taken: Approved Disapproved Other _____

FCR 8

Office of the President
October 25, 2011

Members, Board of Trustees:

APPROVAL OF LEASES

Recommendation: that the Executive Vice President for Finance and Administration be authorized to negotiate and execute a lease renewal between the University of Kentucky (College of Arts and Sciences, Department of Anthropology) and FCPII, 307 West 7th Street, Suite 1705, Fort Worth, Texas 76102 for space located at 1020 Export Street, Lexington, Kentucky. The lease is effective December 1, 2011 to June 30, 2015, for the use of 25,009 square feet at a cost of \$4.90 per square foot. The space is used for the department's extensive collection of artifacts as well as for office space.

Background: The Board of Trustees amended the Governing Regulations (SCGR 1) at its June 14, 2005 meeting requiring that all leases in excess of \$100,000 be approved by the Board of Trustees. The President or his designated representative is authorized to enter into leases and easements not exceeding \$100,000 in value.

Action taken: Approved Disapproved Other _____

UHCCR 1

Office of the President
October 25, 2011

Members, Board of Trustees:

APPROVAL OF CREATION AND FUNDING OF A UK / NORTON HEALTHCARE
JOINT CORPORATION FOR QUALITY AND RESEARCH COLLABORATION

Recommendation: that the Board of Trustees approve the leadership of UK HealthCare to collaborate with Norton Healthcare in jointly incorporating and funding a new not-for-profit corporation (Corporation) for the purposes of improving the quality of health care delivered across Kentucky, beginning with stroke and cerebrovascular disease. UK and Norton Healthcare each will have a 50 percent membership in the new entity. This Quality Collaborative Board of Directors of the Corporation will approve all operating and capital budgets.

Background: UK HealthCare and Norton Healthcare signed a Memorandum of Agreement on November 8, 2010 to collaborate on improving access to and the quality of care for Kentuckians close to their homes while making sure that patients with complex medical issues receive the care they need without having to leave the state. This Memorandum of Agreement has since been extended and, pursuant thereto, an analysis conducted of health care needs of Kentucky that could be served better through collaboration between UK and Norton. This proposal is a result of that analysis. The goal of the first project of the Corporation is to support community health care providers in the area of stroke and cerebrovascular disease, traditionally provided in the emergency department, on how to best prepare for stroke patients. This education is done in person, with clinicians. Additionally, the new entity will educate local communities on healthy living, perform community stroke screenings with affiliated hospitals, and drive basic research in the area of stroke by creating the first ever state-wide stroke registry. A white paper providing more information about this project is attached as Exhibit A. Additional projects are in development for joint research and similar initiatives directed toward other diseases, such as cardiovascular disease, cancer and women's health. In order to commence operations, both UK and Norton each will contribute \$250,000 to the Corporation. The proposed Articles of Incorporation and Bylaws of the Corporation are attached as Exhibits B and C, respectively.

Action taken: Approved Disapproved Other _____



UK HealthCare – Norton Healthcare Quality Collaborative

UK HealthCare – Norton Healthcare
Kentucky Healthcare Collaborative

October 2011

EXHIBIT A

UK HealthCare – Norton Healthcare Kentucky Healthcare Collaborative

UK HealthCare and Norton Healthcare announced in November 2010 a collaboration to aggressively target access to high-quality health care for patients throughout the Commonwealth of Kentucky and beyond the borders.

The leadership teams of UK HealthCare and Norton Healthcare have been holding monthly steering committee meetings to identify strategic opportunities, while physicians from both organizations have begun developing systems of care for complex sub-specialty services, educational opportunities, and shared research interests.

In May 2011, the leadership of both organizations reviewed a proposal from a UK HealthCare / Norton Healthcare team of neurologists, neurosurgeons, and staff regarding creating a state-wide partnership around stroke, stroke awareness, and research. Leadership approved the concept and budget with the following charge: build a new organization which will promote improved quality of care in hospitals across the Commonwealth, improve awareness of complex health issues for consumers, and create a research platform for both organizations to engage stakeholders in all corners of the state. The first program of this new entity is the Kentucky Stroke Collaborative.

The following proposal recommends the creation of a new not for profit entity formed by the University of Kentucky and Norton Healthcare to carry out this mission.

The UK HealthCare – Norton Healthcare Quality Collaborative will form a 50-50 jointly owned and administered 501(c)(3) non-profit entity (the “Entity”), which will encompass all quality initiatives, leading with the “stroke collaborative” and research activity described below. Additional participants will be invited to participate contractually.

This quality collaborative will be governed by a board of directors, accountable for collaborative quality initiatives. UK HealthCare and Norton Healthcare will be the two members of the “Quality Collaborative” and will appoint its Board of Directors.

The Board of Directors will be responsible for the launch of the new Kentucky Stroke Collaborative. The Board will also identify and approve other areas of collaboration for advocacy, quality improvement, and research. Areas which may be considered include but are not limited to cancer, cardiovascular disease, disease prevention

strategies and public health advocacy, and women's health. This organization will also provide a platform for additional community-based, independent research such as but not limited to epidemiological studies supported by both organizations for the purpose of furthering the understanding of our state's unique population.

In order to support the scale of this venture, the board of directors will empanel a steering committee for each program developed by this collaboration.

Current State:

UK Stroke Affiliate Network

1. The UK Comprehensive Stroke Center has contractually collaborated with area hospitals to help develop the first community-based stroke program in the region. The collaboration is designed to provide the highest quality clinical care and educational programs to hospital staff as well as community members.
2. The relationship between the UK Comprehensive Stroke Center and its affiliate hospitals allows patients to receive the best possible care during the early moments of a stroke, when diagnosis and administering rapid treatment are extremely important.
3. Each affiliate hospital has made a strong commitment to providing current and clinically effective stroke care for the community. The UK Comprehensive Stroke Center supports these efforts by providing clinical guidance and oversight, making sure that stroke patients receive the right treatment at the right time.
4. The current Stroke Affiliate Network (as of September 2011):
 - UK HealthCare Chandler Hospital
 - UK HealthCare Good Samaritan Hospital
 - Georgetown Community Hospital
 - Harrison Memorial Hospital
 - Hazard ARH
 - Rockcastle Regional Hospital and Respiratory Care Center
 - St. Claire Regional Medical Center
 - Lake Cumberland Regional Hospital

UK is also in discussions with several other community hospitals interested in participating in the affiliate network.

Day one of the new entity envisions immediately adding Norton Healthcare's hospitals:

- Norton Hospital
- Norton Audubon Hospital
- Norton Brownsboro Hospital
- Norton Suburban Hospital

5. The scope and variety of services offered to these affiliates is modeled after the stroke systems of care recommendations by the American Heart Association and Kurt Salmon Associates, a national consulting firm. This model expands beyond the traditional, fragmented approach to stroke care that is currently the standard in our country by offering a multifaceted and integrated system that includes:

- Community outreach: UK works closely with affiliates to implement community health screening events as well as school presentations. These activities highlight the importance of early education and recognition of stroke risk factors, like hypertension. They have been very successful thus far, reaching over 3200 adults and 1300 school children since 2010.
- Training of Emergency Medical Services: Training of EMS personnel to both recognize and properly assess potential stroke patients, ensuring they are rapidly transported to a center where proper evaluation and treatment are available.
- Acute stroke treatment: The Stroke Affiliate Network places a large amount of importance on assessing and improving acute stroke care at its affiliate sites. UK works with affiliates to develop a "Stroke Alert" process, which streamlines stroke workup and evaluation, maximizing the opportunity for treatment. UK also offers formal education on stroke assessment and treatment to affiliate physicians and nursing staff, both in the Emergency Department and elsewhere. In addition, the UKMD telephone line can put any physician in the state in touch with a stroke specialist at UK, facilitating proper evaluation of patients and potential transfers for additional treatment, if needed.
- Subacute stroke treatment and secondary prevention: Even in cases where patients are not eligible for acute treatment, UK works closely with affiliates to ensure that these patients, once recognized, receive appropriate and timely medical therapy to minimize their chance of

having a stroke in the future. Community screening events also help to recognize and advise patients with a history of stroke, making sure these people receive appropriate care as well.

- Rehabilitation: Currently, three affiliates in the Stroke Affiliate Network employ rehabilitation facilities to streamline their patients' care in the post-acute period, maximizing their potential for long-term functional recovery.
- Continuous quality improvement: On-site visits with affiliates are scheduled on a yearly basis to assess their effectiveness in implementing improvements to their stroke care.

Future State:

1. The vision for the expansion of the UK HealthCare – Norton Healthcare Stroke Collaborative includes both geographic expansion to additional facilities across the state, a new research platform, and an increasing scope of services that are offered. Once fully implemented, the Stroke Collaborative could dramatically increase the quality of care offered to stroke patients across the state.
2. The plan for expansion includes recruiting affiliates with an emphasis on diversity not only in geographic location, but size and resources as well. Affiliates will be broken down into three major tiers:
 - Critical Access Centers – will be able to perform the initial workup and stabilization of acute stroke patients, as well as perform rapid and efficient transfer to other facilities for definitive treatment
 - Primary Centers – will be able to safely and effectively treat most acute stroke patients, while transferring particularly complicated patients and intervention candidates
 - Comprehensive Centers – will provide the full spectrum of acute stroke care, including management of medically complex cases as well as performing interventions
3. Developing a tiered approach to affiliate roles ensures that each facility will be able to make valuable contributions to the network, regardless of volume. The current expansion plan allots for the inclusion of ten additional affiliates across the state. Their inclusion could potentially double the network's current volume of acute stroke cases per year (3400 at present to nearly 6000).

4. The current model for the stroke systems of care will be retained and expanded upon, providing additional services and making significant steps towards improving the vascular health of the state at large.
 - Community outreach: The ability to educate and screen individuals across the state will rapidly improve as more affiliates are included in the network. Affiliates will be trained to carry out their own community outreach events, and the scale of currently implemented outreach initiatives will increase given additional resources. Public health implications of risk factor screening go beyond stroke care, educating and identifying individuals who are at risk for heart disease and other vascular problems.
 - Training of Emergency Medical Services: Emergency personnel training will expand across the state. EMS services will be fully integrated into the continuum of stroke care, able to quickly evaluate and identify suspected stroke patients and expedite their transport to a facility that is properly equipped to handle stroke patients.
 - Acute stroke treatment: In addition to the measures implemented in the current network, a fully functioning, tiered network of affiliates will ensure a streamlined, effective process for the evaluation and treatment of stroke patients across the state. Development of additional primary treatment centers will increase the amount of patients who are able to receive treatment in a timely manner, improving the availability of quality stroke care to traditionally underserved areas of the state.
 - Subacute stroke treatment and secondary prevention: Even out of the acute setting, expansion of the stroke network will continue to improve the quality of care provided to individuals who have suffered a stroke. Protocols to identify and treat stroke patients who are not candidates for acute treatment will be implemented at affiliate facilities. This ensures such patients will receive maximal medical therapy, reducing their chances of subsequent stroke. Furthermore, these patients and their risk factors will be tracked in the statewide stroke registry, providing vital data on how to better control and identify risk factors for stroke in the population.
 - Rehabilitation: The recruitment of rehabilitation and other post-acute facilities across the state will ensure every stroke patient has access to rehabilitation resources during the vital post-acute recovery period, which will make significant strides in reducing the significant burden of disability in patients that have suffered a stroke.

- Continuous quality improvement: The implementation of a statewide stroke registry will enable affiliates to comprehensively track and monitor quality and safety outcomes. Using this data, plans for quality improvement can be individualized for each affiliate and their respective needs.

Creation of the Kentucky Stroke Registry:

One of the key outcomes from the Stroke Collaborative will be the formation of a statewide stroke registry. This registry will collect both quality outcomes and measures as well as clinical data on any patient treated within the collaborative. This scope of data gathering would be unique to the region and would represent a model for other disease processes under the Quality Collaborative.

The ability to collect quality outcomes data is integral to quality improvement. This data will also serve to facilitate benchmarking performance on a regional and national level. Tracking and improving quality measures is also essential in the process of accreditation by the Joint Commission as well as competing for national recognition and awards based on the quality of stroke care.

Clinical data collected via the registry will serve as a launching pad for research. The sheer amount of potential data and research opportunities stemming from a statewide stroke registry would serve as a powerful attractant for prominent researchers, both for the College of Medicine and the College of Public Health. Having comprehensive epidemiological data on a traditionally under-studied region and patient population could form the framework for groundbreaking studies. Over time, this research could lead to a better understanding of stroke risk factors and outcome determinants both specific to our patient population. Furthermore, collecting data on underserved areas in Kentucky would provide a unique opportunity to study and narrow the disparity of the quality of care offered across the state.

Given the overlap between stroke risk factors and that for other disease processes (heart disease, cancer), the implications of establishing a registry would go beyond improving care specifically for stroke. The registry and collaborative would serve as a model for improving the care for other disease processes in the state and also distinguish Kentucky on a national level for its commitment and contribution towards improving the quality of health of its citizens.

Governance of the Stroke Program:

- UK HealthCare and Norton Healthcare will be the two lead members of the stroke program and steering committee.
- Other hospital or health care organizations that choose to join would be considered as members of the steering committee. The Board will designate voting rights and governance structure of the stroke program steering committee.
- Other hospitals or health care entities choosing to become members could:
 - Appoint an advisory member to the collaborative, but would not have voting rights
 - Receive distribution of grant funds awarded to the Entity
- Existing UK HealthCare Stroke Network member institutions would be offered the opportunity to become members by assigning their current stroke network agreements to the Entity with no new fees until their current contract is up for renewal.

Operations:

- The Stroke Collaborative will have a Steering Committee (Committee) consisting of 7-9 members – including the Stroke Collaborative Director and the Stroke Collaborative Administrator – and 2-3 other Directors from UK HealthCare and Norton Healthcare.
 - The Committee must approve all operating and capital budgets of the Entity.
 - The Committee will guide decisions around collaborative strategy and operations.
 - Daily operations and management decisions not explicitly under the control of the Committee (e.g. membership fees, program offerings, etc.) would be delegated to the Director.
- The Stroke Collaborative Director shall be a physician and appointed, by a majority vote of the Committee, for a period of three (3) to four (4) years and without limit on reappointment.

- The Stroke Collaborative Administrator shall be hired upon the recommendation of the Stroke Collaborative Director and with approval of the Board and Committee.
- All other personnel decisions shall be made jointly by the Stroke Collaborative Director and the Stroke Collaborative Administrator.

Key Staff:

Stroke Collaborative Director:

Responsibilities:

1. Oversight of the stroke collaborative operations
2. Oversight of the education curriculum
3. Oversight of the quality initiatives

Stroke Collaborative Administrator:

Responsibilities:

1. Maintains contracts and financial responsibility of the collaborative affiliates
2. Prepare budgets of the entity
3. Be a liaison for affiliate executives
4. Coordinate marketing efforts
5. Coordinate IT needs of the entity
6. Be a liaison for entities research activities
7. Maintain registry with the collaborative affiliates

QI Educator:

Responsibilities:

1. Design the education curriculum
2. Monitor official education curriculum delivered by the collaborative affiliates
3. Develop quality initiatives with affiliates

IT Specialist:

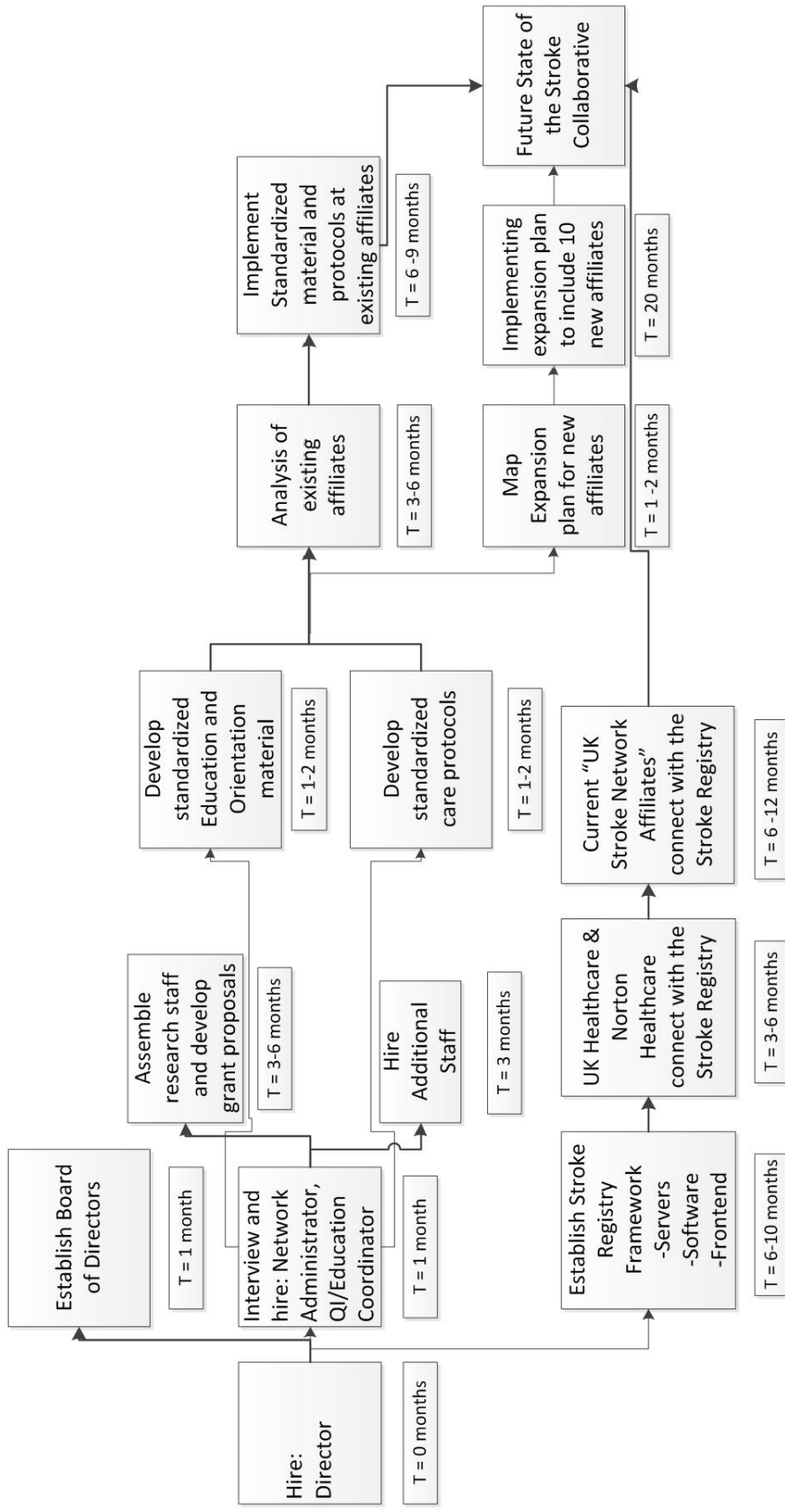
Will be responsible for:

1. Establishing the registry
2. Maintaining the registry
3. Help affiliates connect to the registry and provide ongoing support

Stroke Collaborative Implementation Plan:

See the critical path diagram on next page.

UK HealthCare – Norton Healthcare Stroke Collaborative



Capitalizing the Statewide Stroke Program:

1. Revenues that will flow to the Entity will include:
 - Affiliate member fees*
 - Grant funding for initiatives originating from stroke collaborative activities
 - Transfer payments from the parent entities
 - Any other non-clinical revenues generated via Entity activity

2. Costs to be borne by the Entity will include:
 - Reimbursement for the Stroke Collaborative Director services
 - Stroke Collaborative Administrator
 - Costs associated with IT/systems and personnel to support the registry and other research activities
 - Costs associated with nurses to support education and chart abstraction/research
 - Any other capital or operating costs approved by the Board

3. Revenues, expenses, and assets associated with delivering stroke care at each institution are not part of the Entity.

* Affiliate member fees: The proposed fee structure for the affiliates will be based on the size of the hospital. The Committee will set the fees annually based on the fair market value of the services offered to the members/participants.

Estimate year one fee:

Small Hospitals (0-50 operating beds): \$ 7,500 annually
Medium Sized Hospitals (51 – 150 operating beds): \$ 11,000 annually
Large Hospitals (150+ operating beds): \$ 15,000 annually
Hospital Systems: Negotiated on a case-by-case basis

The current network scales fees as follows:

Hospitals with <100 beds: \$ 7,500 annually
Hospitals with >100 beds: \$ 12,500 annually

See the funding proposal details on the next page.

Note: The following budget reflects the Entity's first program, the statewide stroke network. Costs of supporting the initial Board meetings are included as part of this budget. This budget does not reflect future growth, or new programmatic or research opportunities.

Statewide Stroke Network Funding Proposal	Operating	Capital
Year One - Starting Jan 1, 2012		
Pre-Operations Phase (0-6 months)		
1. Resource Needs		
a. Personnel	\$ 100,000	
i. Hire Network Administrator (1 FTE)		
ii. Hire QI Education/Coordinator (1 FTE)		
b. IT Infrastructure		\$ 250,000
i. Hardware/Software to Support Stroke Registry		
ii. Hosting capabilities		
iii. Get with the Guidelines Software (for Affiliates)		
c. Other Expenses		
i. Lease & Office expense	\$ 18,000	
ii. Travel Expense	\$ 2,400	
d. Communications	\$ 25,000	
i. Branding		
ii. Educational Materials		
<i>Pre-Operations Subtotal</i>	<i>\$ 145,400</i>	<i>\$ 250,000</i>
Phase One Operations (6-12 months)		
1. Resource Needs		
a. Personnel	\$ 200,000	
i. Director (0.3 FTE equivalent)	\$ 37,500	
ii. Network Administrator (1 FTE)	\$ 62,500	
iii. IT Specialist (Contract/Hire - 1 FTE)	\$ 37,500	
iv. Research Assistant (Hire)	\$ 25,000	
v. QI /Education Coordinator (1 FTE)	\$ 37,500	
b. IT Infrastructure/Hosting (on-going)	\$ 12,500	
c. Other Expenses	\$ 20,400	
i. Lease & Office expense	\$ 18,000	
ii. Travel Expense	\$ 2,400	
2. Revenues		
a. Current UK Affiliate Dues	\$30,000	
b. New Affiliate Development (*Per the new structure)	TBD	
c. Grant Opportunities	TBD	
<i>Phase One Subtotal</i>	<i>\$ 202,900</i>	<i>\$ -</i>
Pre-Operations and Phase One Total Funding Proposal	\$348,300	\$250,000

Year 2 Operations

1. Resource Needs

a. Personnel	\$ 400,000
i. Director (0.3 FTE equivalent)	75,000
ii. Network Administrator (on-going) (1 FTE)	125,000
iii. IT Specialist (1 FTE)	75,000
iv. Research Assistant (1 FTE)	50,000
v. QI /Education Coordinator (1 FTE)	75,000
b. IT Infrastructure/Hosting (on-going)	\$ 25,000
c. Other Expenses	\$ 40,800
i. Lease & Office expense	\$ 36,000
ii. Travel Expense	\$ 4,800
2. Revenues	
a. Current UK Affiliate Dues	\$60,000
b. New Affiliate Development (*Per the new structure)	TBD
c. Grant Opportunities	TBD
Year 2 Operations Total Funding Proposal	\$405,800

Year 3 Operations

1. Resource Needs

a. Personnel	\$ 475,000
i. Director (0.3 FTE equivalent)	75,000
ii. Network Administrator (on-going) (1 FTE)	125,000
iii. IT Specialist (1 FTE)	75,000
iv. Research Assistant (on-going)	50,000
v. QI /Education Coordinator (2 FTEs)	150,000
b. IT Infrastructure/Hosting (on-going)	\$ 25,000
c. Other Expenses	\$ 40,800
i. Lease & Office expense	\$ 36,000
ii. Travel Expense	\$ 4,800
2. Revenues	
a. Current UK Affiliate Dues	\$60,000
b. New Affiliate Development (*Per the new structure)	TBD
c. Grant Opportunities	TBD
Year 3 Operations Total Funding Proposal	\$480,800

**ARTICLES OF INCORPORATION
OF
KENTUCKY HEALTH COLLABORATIVE, INC.**

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Allison Lundergan Grimes Kentucky Secretary of State	
Received and Filed: 1/10/2012 3:48 PM	
Fee Receipt: \$8.00	

The undersigned, acting as Incorporators of a corporation under the Kentucky Revised Statute Chapter 273: Nonstock, Nonprofit Corporations, adopt the following Articles of Incorporation for such corporation:

ARTICLE I: NAME

The name of this Corporation shall be: Kentucky Health Collaborative, Inc.

ARTICLE II: PERIOD OF DURATION

The period of duration of this Corporation shall be perpetual.

ARTICLE III: PURPOSES

This Corporation is organized exclusively for charitable and educational purposes within the meaning of §501(c)(3) of the Internal Revenue Code of 1986 or corresponding section of any future federal tax code (the "Code"), including, for such purposes, the following:

1. Reduce unnecessary duplication of services, technology and facilities by coordinating the delivery of healthcare services on a cost-effective basis;
2. Facilitate a community-focused comprehensive delivery system located throughout Kentucky and adjacent states, to respond to the changing healthcare environment and to meet future healthcare needs of the population in the region;
3. Expand access to healthcare to those individuals unable to obtain adequate healthcare due to inability to pay and to those areas that are underserved in Kentucky and surrounding states;
4. Increase the general quality of healthcare in Kentucky and surrounding states;
5. From time to time construct, own, lease, manage, operate, provide and maintain care facilities, clinics, infirmaries, and other establishments and programs providing care, surgery, treatment and services to all areas of the community, the sick, the aged, the disabled and infirm;
6. To provide counseling, patient education, self care and home health services for the sick, aged, disabled and infirm;

Page 1 of 7

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**ARTICLES OF INCORPORATION
OF
KENTUCKY HEALTH COLLABORATIVE, INC.**

The undersigned, acting as Incorporators of a corporation under the Kentucky Revised Statute Chapter 273: Nonstock, Nonprofit Corporations, adopt the following Articles of Incorporation for such corporation:

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2. Facilitate a community-focused comprehensive delivery system located throughout Kentucky and adjacent states, to respond to the changing healthcare environment and to meet future healthcare needs of the population in the region;
3. Expand access to healthcare to those individuals unable to obtain adequate healthcare due to inability to pay and to those areas that are underserved in Kentucky and surrounding states;
4. Increase the general quality of healthcare in Kentucky and surrounding states;
5. From time to time construct, own, lease, manage, operate, provide and maintain care facilities, clinics, infirmaries, and other establishments and programs providing care, surgery, treatment and services to all areas of the community, the sick, the aged, the disabled and infirm;
6. To provide counseling, patient education, self care and home health services for the sick, aged, disabled and infirm;

7. To carry on any educational activities related to rendering care to the sick, injured and aged, or to the promotion of health, that in the opinion of the Board of Directors may be justified by the facilities, personnel, funds and other requirements that are, or can be, made available;

8. To promote and carry on scientific research related to the care of the sick and injured;

9. To participate in any activity designed and carried on to promote the general health of historically underserved areas in the community;

10. To participate in joint or coordinated planning, service, development, management and operations and endeavors, experimental or otherwise, with other health care providers in order to lower costs and increase quality and accessibility of necessary health care services, and to engage in other operations, services or functions in health care and health care planning;

11. To operate programs and offer goods and services (management or otherwise), and related activities, in furtherance of health care or health education, either directly or indirectly;

12. To solicit, receive and manage state, federal, local and private grants, gifts, donations, devises and bequests; and to provide grants, loans, scholarships and donations in furtherance of the aforementioned charitable projects and purposes, and to advance the quality and availability of health care services;

13. To make its programs, services and facilities available to those in need without regard to race, sexual orientation, color, religion, sex or national origin;

14. To organize, as an incorporator, or to cause to be organized under the laws of the Commonwealth of Kentucky or of any other state of the United States of America, or of the District of Columbia, or of any commonwealth, territory, agency, or instrumentality of the United States of America, or of any foreign country, a corporation or corporations for the purpose of conducting or promoting any business or purpose for which corporations may be organized, whether for pecuniary profit or otherwise, and to dissolve, windup, liquidate, merge, or consolidate any such corporation or corporations or to cause the same to be dissolved, or wound up, liquidated, merged or consolidated;

15. To acquire and hold any interest in, and to act as a voting member, shareholder, partner, trustee, or joint venturer of any corporation, partnership, trust, joint venture or other entity now existing or hereafter organized; and

16. To engage in, carry out and perform activities of a charitable, eleemosynary, educational and scientific nature.

ARTICLE IV: POWERS

1. This Corporation is subject to the express limitation that it shall neither have nor exercise any power, nor shall it engage directly or indirectly in any activity that would invalidate its status (a) as a corporation which is exempt from federal income taxation as an organization described in §501(c)(3) of the Code, or (b) as a corporation contributions to which are deductible under §170(c)(2) of the Code; otherwise, it shall have and possess all powers and rights conferred upon corporations by the Kentucky Revised Statute Chapter 273: Nonstock, Nonprofit Corporations, and any enlargement of such powers conferred by subsequent legislative acts; and, in addition thereto, the Corporation shall have and exercise all powers and rights not otherwise denied nonprofit corporations by the laws of the State of Kentucky, as are necessary, suitable, proper, convenient or expedient to the attainment of the purposes set forth in Article III herein.

2. No part of the net earnings of the Corporation shall inure to the benefit of, or be distributable to its members, directors, officers, or other private persons, except that the Corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in Article III hereof.

3. No substantial part of the activities of the Corporation shall be the carrying on of propaganda, or otherwise attempting to influence legislation, and the Corporation shall not participate in, or intervene in (including the publishing or distribution of statements) any political campaign on behalf of or in opposition to any candidate for public office.

ARTICLE V: MEMBERS

There shall be two voting members of this Corporation, and they shall be Norton Healthcare, Inc. and University of Kentucky, a governmental entity organized under Chapter 164 of the Kentucky Revised Statutes. The two voting members shall be designated as the "Corporate Members." The Corporate Members shall have the right to approve all amendments to the Articles of Incorporation and to the Bylaws of the Corporation, to approve any dissolution, consolidation or merger of the Corporation, and such other rights and powers as are specified in these Articles of Incorporation or in the Bylaws of the Corporation.

ARTICLE VI: BOARD OF DIRECTORS

The affairs of the Corporation shall be conducted by a Board of Directors of not fewer than eight (8) nor more than twelve (12) Directors. The names, addresses and terms of the initial Directors are as follows:

**Norton Healthcare, Inc.
234 East Gray Street, Suite 225
Louisville, Kentucky 40202-1903**

		<u>Term</u>
1.	Stephen A. Williams President and Chief Executive Officer	3 years
2.	Russell F. Cox Executive Vice President and Chief Operating Officer	3 years
3.	Michael W. Gough System Senior Vice President and Chief Financial Officer	3 years
4.	Donald H. Robinson Trustee Norton Healthcare, Inc., Board of Trustees	3 years

**University of Kentucky
Third Floor, Wethington Building
Lexington, Kentucky 40536-0200**

		<u>Term</u>
1.	Michael Karpf, M.D. Executive Vice President for Health Affairs	3 years
2.	Richard P. Lofgren, M.D. Vice President for Health Care Operations and Chief Clinical Officer	3 years
3.	Sergio Melgar Sr. Vice President for Health Affairs and Chief Financial Officer	3 years
4.	Oliver Keith Gannon, Ph.D. Trustee University of Kentucky, Board of Trustees	3 years

The initial Directors shall serve for their respective terms indicated above and until their respective successors are elected and qualified. The Board of Directors shall consist of an equal number of members elected by Norton Healthcare, Inc. and the University of Kentucky. The qualifications of members of the Board, their manner of selection and term of office shall be determined by the Bylaws. The Corporation shall be under the complete control of the Board of Directors, which shall be responsible for the administration and disposition of all of its real and personal property in accordance with the purposes for which the Corporation has been organized and in accordance with any terms and conditions of any gift, grant, devise or bequest under which the corporation may have received certain property.

ARTICLE VII: BYLAWS

Initial Bylaws of the Corporation shall be adopted by the Board of Directors and shall become effective upon approval of the Corporate Members.

ARTICLE VIII: REGISTERED AGENT

The address of the initial registered office of the Corporation is 306 West Main Street, Suite 512, Frankfort, Kentucky 40601, and the name of the initial registered agent at such address is CT Corporation System.

ARTICLE IX: PRINCIPAL OFFICE

The mailing address of the Corporation's principal office shall be 306 West Main Street, Suite 512, Frankfort, Kentucky 40601.

ARTICLE X: DISSOLUTION

Upon the dissolution of the Corporation, any assets remaining after the payment of all debts, claims and obligations shall be distributed equally between Norton Healthcare, Inc. and University of Kentucky, or their successors, if tax-exempt under §501(c)(3) or §115 of the Code. Any such assets not so disposed of shall be disposed of by the Court of the county in which the principal office of the Corporation is then located, exclusively for one or more exempt purposes within the meaning of §501(c)(3) or §115 of the Code, or to such organization or organizations, as said court shall determine, which are organized and operated exclusively for such purposes.

ARTICLE XI: INDEMNIFICATION OF DIRECTORS AND OFFICERS

1. Each director, officer, employee or agent of the Corporation (or the heirs or legal representatives of such person(s)), whether or not then a director, officer, employee, or agent, shall be indemnified by the Corporation against any and all costs and expenses and attorney fees reasonably incurred by or imposed upon him or her in connection with or resulting from any threatened, pending, or completed action, suit, or proceeding, whether civil, criminal, administrative, or investigative in which he or she may become involved, as a party or otherwise by reason of his or her being or at any time having been a director, officer, employee, or agent of the Corporation, whether or not he or she continues to be such at the time such liability or expense is incurred. Such rights to indemnification shall include all judgments, fines, and reasonable amounts paid and expenses incurred in defending, settling, compromising, or otherwise adjusting any such action, suit, or proceeding for the purpose of avoiding further costs of litigation; provided, however, such rights to indemnification for the person claiming such right shall not exist if the conduct of the person claiming the right to be indemnified is finally adjudged to have been knowingly fraudulent, deliberately dishonest, or involving willful misconduct. In the event there is a final adjudication that the person claiming the rights to

indemnification was not entitled to be indemnified by the Corporation by reason of the foregoing, the person claiming such rights shall reimburse the Corporation for all costs and expenses and attorney fees reasonably paid by the Corporation in indemnifying the person claiming such rights pursuant to this Section 1 of this Article X.

2. In the event any director, officer, employee, or agent of the Corporation (or the heirs or legal representative of such person(s)) is required to bring an action to enforce any of his or her rights or to collect monies due under this provision and is successful in such action, the Corporation shall be obligated to reimburse the person claiming the rights to indemnification for his or her reasonable attorney fees and costs and expenses in bringing such action.

3. The rights of indemnification provided in this Article X shall be in addition to any other rights to which any person (or the heirs or legal representative(s)) of such person(s)) referred to in Section 1 of this Article X may otherwise be entitled as a matter of law, by agreement, or under the Bylaws of the Corporation, and such rights of indemnification shall continue for and inure to the benefit of and be enforceable by any person who has ceased to be a director, officer, employee, or agent of the Corporation.

4. Nothing contained in this Article X shall be construed to permit or require indemnification of any individual not permitted by Kentucky law.

ARTICLE XII: AMENDMENTS

These Articles of Incorporation may be amended by the Board of Directors by a two-thirds (2/3) vote of the directors then in office subject to the Corporate Members' approval and provided that written notice of the proposed amendment be given to the directors at least seven (7) days prior to the date of such meeting.

ARTICLE XIII: GENDER

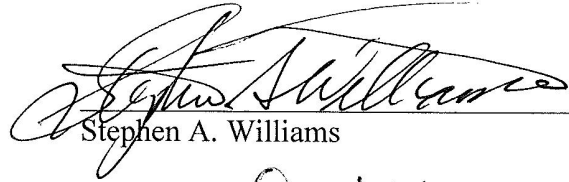
All pronouns shall be deemed to refer to the masculine, feminine, singular, and plural, as the identity of the person or persons may require.

ARTICLE XIV: NAME AND ADDRESS OF INCORPORATORS

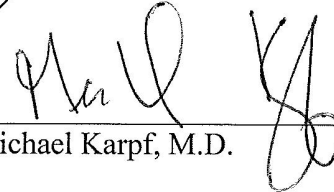
The names and addresses of the Incorporators of this Corporation are:

1. Stephen A. Williams, President and Chief Executive Officer
Norton Healthcare, Inc.
234 East Gray Street, Suite 225
Louisville, Kentucky 40202-1903
2. Michael Karpf, M.D., Executive Vice President for Health Affairs
University of Kentucky
Third Floor, Wethington Building
Lexington, Kentucky 40536-0200

IN WITNESS WHEREOF, we, the Incorporators of this Corporation, have set out hands and executed these Articles of Incorporation on the 30th day of November, 2011.



Stephen A. Williams

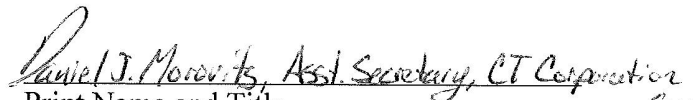


Michael Karpf, M.D.

CT Corporation System, consent to serve as the registered agent on behalf of the Corporation.



Signature of Registered Agent



Daniel J. Moravitz, Asst. Secretary, CT Corporation System
Print Name and Title

12/1/2011

Date

**BYLAWS
OF
KENTUCKY HEALTH COLLABORATIVE, INC.**

ARTICLE I: OFFICE

The Corporation shall have and continuously maintain in the State of Kentucky a registered office, and a registered agent whose office is identical with such registered office, as required by the Kentucky Revised Statute Chapter 273: Nonstock, Nonprofit Corporations. The registered office may be, but need not be identical with the principal office in the State of Kentucky, and the address of the registered office may be changed from time to time by the Board of Directors.

ARTICLE II: MEMBERS

Section 2.1 Classes of Members. The Corporation shall have two (2) voting members namely, Norton Healthcare, Inc. and the University of Kentucky. The two voting members shall be designated as the "Corporate Members."

Section 2.2 Voting. Each Corporate Member shall be entitled to one vote on each matter submitted to a vote of the Corporate Members.

**ARTICLE III:
MEETING OF CORPORATE MEMBERS**

Section 3.1 Annual Meeting. There shall be an annual meeting of the Corporate Members of the Corporation at such time and place as determined by the Board of Directors for the purpose of appointing Directors and for such other matters as determined by the Board of Directors.

Section 3.2 Special Meeting. Special meetings of the Corporate Members may be called by the Chairperson/President, the Board of Directors, or one of the Corporate Members at such time and place as the Chairperson/President or the Board of Directors may prescribe.

Section 3.3 Notice of Meetings. Written or printed notices stating the place, day and hour of the meeting and, in case of a special meeting, the purpose or purposes for which the meeting is called, shall be delivered not less than ten (10) nor more than fifty (50) days before the date of the meeting, either personally or by mail, by or at the direction of the Chairperson/President, or the Secretary, or the officer or persons calling the meeting, to each Corporate Member. If mailed, such notice shall be deemed to be delivered when deposited in the United States mail, addressed to the Corporate Member at its address as it appears on the records of the Corporation, with postage thereon prepaid.

Section 3.4 Informal Action by Corporate Members. Any action required by law to be taken at a meeting of the Corporate Members, or any action which may be taken at a meeting of Corporate Members, may be taken without a meeting if a consent in writing, setting forth the action so taken, shall be signed by all of the Corporate Members entitled to vote with respect to the subject matter thereof.

Section 3.5 Manner of Acting. A unanimous vote by the Corporate Members at a meeting at which both are represented shall be necessary for the adoption of any matter to be voted upon by them.

ARTICLE IV: **BOARD OF DIRECTORS**

Section 4.1 General Powers. The duly elected or appointed Board of Directors shall have the control over, and policy management of, the affairs, business, property and funds of the Corporation. The Corporation through its Board of Directors shall be authorized to exercise the powers permitted nonprofit corporations under KRS Chapter 273: Nonstock, Nonprofit Corporations; provided, however, that the Corporation, in exercising any one or more of such powers shall do so only in furtherance of the exempt purposes for which it has been organized as described in Section 501(c)(3) and 509(a)(1) of the Internal Revenue Code of 1986, as amended, and subject to the provisions of the Corporation's Articles of Incorporation.

Directors shall in no way permit their positions on the Board, in relation to their personal business, to conflict with the corporate activities, and each Director shall sign a statement, in a form to be adopted by the Board of Directors, whereby he or she shall agree to disclose, at any time and from time to time, all such conflicts. Where a conflict of interest exists or can reasonably be construed, such person shall not vote on, nor use his/her personal influence on, nor participate in the discussion or deliberations with respect to, such contract or transaction. Such person may be counted in determining the existence of a quorum at any meeting where the contract or transaction is under discussion or is being voted upon. The minutes of the meeting shall reflect the disclosure made, the abstention from voting and participation. Nothing shall prohibit any Director from serving as employee of one of the Corporate Members so long as such employment is disclosed and the Director shall disclose and avoid conflicts of interest.

Without limiting the generality of the foregoing, the following is a list of matters that are within the province of the Board:

A. It may receive gifts, devises, and bequests to any owned, leased or affiliated hospital, and gifts from donors otherwise indicating that such gifts are for the benefit of the Corporation, or for the benefit of any subsidiary corporations, which, unless otherwise directed by the donor, shall be applied to the furtherance of the general objectives of the Corporation. Endowments made for the benefit of a specific family shall be used for the division or subsidiary corporation operating that facility.

B. It may authorize the Chairperson/President, Vice-Chairperson, Secretary, Treasurer or Executive Director or any other person or persons on behalf of the Corporation to execute deeds, contracts, agreements, leases, mortgages, deeds of trust, bonds, notes, checks, drafts, and other obligations, papers or instruments necessary or expedient in carrying on the business of the Corporation.

C. It may form, control or have ownership or other participatory interests in nonstock, nonprofit companies, stock companies and for-profit companies, collectively referred to as "affiliated companies."

D. It may authorize the establishment of such auxiliary organizations as the Board deems appropriate.

E. It shall determine policy, identify and articulate the Corporation's vision, mission and goals.

F. It shall put policies and systems in place intending to ensure quality of care.

G. It shall set standards of performance for executive management intending to ensure high levels of performance.

H. It shall provide oversight required in order to attempt to ensure the Corporation's financial health.

I. Its approval shall be required in order to amend, alter, repeal or restate the Corporation's Articles of Incorporation or Bylaws; provided however, as provided herein, the Bylaws may not be amended, altered or repealed without the unanimous consent of the Corporate Members.

J. It shall approve Strategic Plans.

K. It shall approve Operational and Capital Budgets.

L. Its approval shall be required in order to adopt a plan of merger or plan of consolidation, authorize the sale, lease, exchange or mortgage of all or substantially all of the property and assets of the Corporation, authorize the voluntary dissolution of the Corporation, adopt a plan for the distribution of the assets of the Corporation or any similar transaction, subject to the unanimous approval of the Corporate Members.

M. It must approve the acquisition of substantially all of the assets of another organization.

N. It must approve the appointment of an Executive Director.

Section 4.2 Number Qualification and Term. The number of Directors shall consist of no fewer than eight (8) nor more than twelve (12) individuals, with one-half (1/2) of the members appointed by the University of Kentucky ("U of K"), and one-half (1/2) of the members appointed by Norton Healthcare, Inc. ("Norton"); provided, however, that if an odd number of directors is selected then the additional member shall be jointly selected by the Corporate Members. Each director shall hold office for a term of three (3) years and until his or her successor shall have been duly appointed.

The criteria for Board membership shall be reviewed annually and approved by the Board of Directors. In selecting individuals for membership to the Board of Directors, the Board will focus on individuals who have high level executive or policy making experience within complex organizations or who can otherwise represent the interests of the community; have a willingness to be innovative in the design and operation of an effective integrated delivery system; are familiar with and have knowledge and experience in academic medicine and the operation of a contemporary school of medicine; are willing to put aside parochial interest and serve as an individual capacity; are willing to pledge their experience and expertise to the success of the Corporation as a whole; and share a personal commitment which is in congruence with the values of the Corporation.

At least twenty-five percent (25%) of the Board of Directors shall be financially disinterested. "Financially disinterested" means the individual has not received nor is entitled to receive compensation, directly or indirectly, from the Corporation for services rendered to it within the previous twelve (12) months, whether as a full or part-time employee, independent contractor, consultant or otherwise, excluding any reasonable payments, if any, made to Directors for serving as Directors.

The initial Board of Directors shall consist of those individuals stated in the Articles of Incorporation and they shall serve until their term expires or they resign or are removed, if sooner.

Section 4.3 Resignation, Removal and Vacancies. Any Director may, by written instrument, resign his or her office. A Director may be removed for any reason, with or without cause, by the Corporate Member who appointed him or her. Successor Directors shall be appointed by the Corporate Member who appointed him or her.

Section 4.4 Compensation. Directors as such shall not receive any stated salaries for their services, but by resolution of the Board of Directors a fixed sum for expenses of attendance, if any, may be allowed for attendance at each regular or special meeting of the Board; but nothing herein contained shall be construed to preclude any director from serving the Corporation in any other capacity and receiving compensation therefore.

ARTICLE V: **MEETINGS OF BOARD OF DIRECTORS**

Section 5.1 Annual Meeting. An annual meeting of the Board of Directors will be held at such time and place as determined by the Chairperson/President.

Section 5.2 Special Meeting. Special meetings of the Board of Directors may be held at any place, at any time, whenever called by the Chairperson/President or any three (3) Directors.

Section 5.3 Notice of Meeting. Notice of the time and place of the annual meeting or any special meetings of the Board of Directors shall be given by the Secretary, or by the person or persons calling the meeting, by mail, telegram, or by personal communication over the telephone or otherwise, at least ten (10) days prior to the date on which the meeting is to be held.. Neither the business to be transacted nor the purpose of any meeting of the Board of Directors need be specified in the notice or any waiver of notice of such meeting. Any director may execute a waiver of notice either before or after any meeting, and in that event no notice need be given such director.

Section 5.4 Quorum. Two-thirds of the Directors then in office shall constitute a quorum for the transaction of any business at any meeting of the Board of Directors unless these Bylaws provide for a greater number.

Section 5.5 Manner of Acting. The act of a majority of the Directors present at a meeting at which a quorum is present shall be the act of the Board of Directors; provided, however, that a vote of a two-thirds (2/3) majority of all the Directors shall be required for the following:

- A. To borrow money and give mortgages, guarantees or pledges not exceeding Five Hundred Thousand Dollars (\$500,000.00);
- B. To enter into any contractual arrangement for the provision of managed health care services offered through the Corporation;
- C. Hiring of the Executive Director of the Corporation; and
- D. Leases.

In addition to the approval of a two-thirds (2/3) majority of all the Directors, the written approval of both Corporate Members as evidenced by resolution of their respective Boards of Directors shall be required for the following:

- A. To amend or repeal the Articles of Incorporation or these Bylaws;
- B. To approve any merger, consolidation or sale of assets not in the usual course of business;
- C. To dissolve and liquidate; and
- D. To borrow money and give mortgages, guarantees or pledges in excess of Five Hundred Thousand Dollars (\$500,000.00).

Section 5.6 Informal Action by Directors. Any corporate action required or permitted by the Articles of Incorporation or Bylaws, or by the laws of the Commonwealth of Kentucky, to be taken at a meeting of the Directors, may be taken without a meeting if a consent, in writing, setting forth the action to be taken, shall be signed by all of the Directors entitled to vote with respect to the subject matter thereof. Such consent shall have the same force and effect as a unanimous vote and may be described as such.

Section 5.7 Action By Telephone Conference. One or more members of the Board of Directors may participate in any meeting by means of conference telephone or similar communications equipment in which all persons participating in the meeting can hear each other, and such participation in a meeting shall constitute presence of persons at such meeting.

Section 5.8 Unanimous Written Consent. Any corporate action required or permitted by the Articles of Incorporation, by these Bylaws, or by the laws of the Commonwealth of Kentucky, to be taken at an annual, regular or special meeting of the Directors, also may be taken without a meeting if a consent, in writing, setting for the action so taken, shall be signed by all the Directors entitled to vote with respect to the subject matter thereof. Such consent shall have the same force and effect as a unanimous vote of the Directors for all purposes.

Section 5.9 Attendance. All members of the Board of Directors and its Committees shall be expected to attend at least fifty percent (50%) of all regular and special meetings. Failure to fulfill this requirement shall be consideration for continued membership.

ARTICLE VI: OFFICERS

Section 6.1 Officers. The officers of the Corporation shall consist of a Chairperson/President, Executive Director, one or more Vice Chairpersons, Secretary, Treasurer and such other officers and assistant officers as may be deemed necessary by the Board of Directors.

A. Executive Director. The Executive Director shall be the Board of Directors' direct executive representative in the management of the Corporation and of any subsidiary corporations. Such position shall be generally referred to as the Executive Director unless otherwise designated by the Board of Directors. At all times the title shall include the term "Executive Director." The Executive Director shall possess an advanced degree in health services administration or its equivalency as determined by the Board of Directors, and have at least ten (10) years' experience in health care management, including at least five (5) years in an executive position in a health care system. As Executive Director, he or she shall be given the necessary authority and responsibility to operate the Corporation in all its activities and departments, subject only to such policies as may be adopted and such orders as may be issued by the Board of Directors or by any of its committees to which it has delegated power for such action. The Executive Director shall act as the duly authorized representative of the Board of Directors in all matters in which the Board has not formally designated some other person to act. The Executive Director also shall be responsible for the recruitment and/or retention of other key management personnel. He or she shall be responsible only to the Board of Directors for the

proper performance of his/her duties. The Board shall designate a mechanism for monitoring the Executive Director's performance on an annual basis.

B. Chairperson/President. The Chairperson/President shall preside at all meetings of the Corporation and of the Board of Directors at which he/she may be present; shall perform such other duties as may be prescribed by these Bylaws or assigned to him/her by the Board of Directors and shall coordinate the work of the officers and committees of the Corporation in order that the purposes may be promoted.

C. Vice Chairperson. The Vice Chairperson shall act as an aid to the Chairperson/President and shall perform the duties of the Chairperson/President in the absence or disability of that officer to act. He/she shall carry out such additional duties as may be assigned to him/her by the Chairperson/President or the Board of Directors.

D. Secretary. The Secretary shall be responsible for: (a) issuing notices of all quarterly and special meetings of the Board of Directors; (b) receiving and attending to all correspondence of the Board of Directors; (c) seeing that detailed minutes are kept of all meetings; (d) maintaining custody of all documents belonging to the Corporation; and (e) performing such other duties as usually pertain to such office.

E. Treasurer. The Treasurer shall have charge of all funds of the Corporation. Acting with the Chairperson/President and Executive Director, he or she shall see that a true and accurate accounting of all financial transactions of the Corporation is made and that reports of such transactions are presented to the Board of Directors. The offices of Secretary and Treasurer may be combined in (1) person.

Section 6.2 Other Officers. The Board of Directors may, from time to time, appoint such other executive officers and agents as it shall deem necessary or appropriate, who shall hold their offices for such terms and shall exercise such powers and perform such duties as shall be determined from time to time by the Board. Such other Executive Officers shall have responsibilities as may be specifically designated by the Board or the Executive Director.

Section 6.3 Election. The officers of the Corporation shall be appointed by the Board of Directors at its annual meeting. Officers shall serve two-year (2) terms and until their successors are appointed and qualified or until their sooner resignation or removal. There shall be no limit on the number of consecutive terms which officers may serve.

Section 6.4 Removal. An officers of the Corporation shall hold office at the pleasure of the Board. Any officer may be removed by the Board of Directors at any time with or without cause. The removal of an officer shall be without prejudice to the officer's contract rights, if any, provided that appointment of an officer shall not in and of itself create contract rights.

Section 6.5 Resignation. Any officer, other than the Chairperson/President, may resign by written notice to the Executive Director of the Corporation. The resignation is effective upon its receipt by the Executive Director or at a subsequent time specified in the notice

of resignation. The Chairperson/President may resign by written notice to the Board of Directors.

Section 6.6 Vacancies. Any vacancy occurring in any office of the Corporation by death, resignation, removal or otherwise, shall be filled by the Board of Directors by the election of an individual at a duly called meeting to service until the next annual meeting of the Board.

Section 6.7 Compensation. The compensation, if any, for each officer of the Corporation for his or her services to the Corporation as an officer shall be approved and fixed by the Board of Directors of the Corporation.

ARTICLE VII: COMMITTEES

Section 7.1 Committees of Directors. The Board of Directors, by resolution adopted by a majority of Directors in office, may designate and appoint an Executive Committee or such other committees, each of which shall consist of two or more Directors, which committees, to the extent provided in said resolution, shall have and exercise the authority of the Board of Directors in the management of the Corporation, except that no such committee shall have the authority of the Board of Directors in reference to amending, altering or repealing the Bylaws; electing, appointment or removing any member of any such committee or any Director or officers of the Corporation; amending the Articles of Incorporation; restating Articles of Incorporation; adopting a plan of consolidation with any corporation; authorizing the sale, lease, exchange or mortgage of all or substantially all of the property and assets of the Corporation; authorizing the voluntary dissolution of the Corporation or revoking proceedings therefor, adopting a plan for the distribution of the assets of the Corporation; or amending, altering or repealing any resolution of the Board of Directors which by its terms provides that it shall not be amended, altered or repealed by such committee. The designation and appointment of any such committee and the delegation thereto of authority shall not operate to relieve the Board of Directors, or any individual Director, of any responsibility imposed upon it or him or her by law.

Section 7.2 Term of Office. Each member of a committee shall continue as such until the next annual meeting of the Board of Directors and until his or her successor is appointed, unless the committee shall be sooner terminated, or unless such member be removed from such committee, or unless such member shall cease to qualify as a member thereof.

Section 7.3 Chairperson. One member of each committee shall be appointed chairperson by the Chairperson/President.

Section 7.4 Vacancies. Vacancies in the membership of any committee may be filled by appointments made in the same manner as provided in the case of the original appointments.

Section 7.5 Quorum. Unless otherwise provided in the resolution of the Board of Directors designating a committee, a majority of the whole committee shall constitute a quorum and the act of a majority of the members present at the meeting at which quorum is present shall be the act of the committee.

Section 7.6 Rules. Each committee may adopt rules for its own government not inconsistent with these Bylaws or with rules adopted by the Board of Directors.

ARTICLE VIII: INDEMNIFICATION

Section 8.1 General. The Corporation shall indemnify, to the fullest extent authorized or permitted by state law, any person (and such person's heirs and legal representatives) who is made, or threatened to be made, a party to any threatened, pending or completed action, suit or proceeding (whether civil, criminal, administrative or investigative), whether formal or informal, by reason of the fact that such person is or was a Director, officer or administrative officer (for purposes of this Article, "administrative officer" shall mean any person designated as a vice president of the Corporation, with responsibility for an administrative department and reporting directly to any corporate officer) of the Corporation, or such person is or was serving on any formally constituted advisory body or voluntary committee of the Corporation or the Board of Directors, or any such person is or was serving at the request of the Corporation as a director, member, partner, officer or Director of any other corporation, partnership, joint venture, trust, association or any other enterprise, against expenses (including attorneys' fees), judgments, fines and amounts paid in settlement, actually and reasonably incurred by the person in connection with such action, suit or proceeding, if such person acted in good faith and in a manner the person reasonably believed to be in, or not opposed to, the best interests of the Corporation, and with respect to any criminal action or proceeding, had no reasonable cause to believe that the conduct was unlawful.

Section 8.2 No Presumption. The termination of any action, suit or proceeding by judgment, order, settlement, conviction, or upon a plea by *nolo contendere*, or its equivalent, shall not, in and of itself, create a presumption that the person did not act in good faith and in a manner which the person reasonably believed to be in, or not opposed to, the best interests of the Corporation, or, with respect to any criminal action or proceeding, had reasonable cause to believe that his or her conduct was unlawful.

Section 8.3 Defense by Corporation. The Corporation shall fulfill its responsibility hereunder by providing, or offering to provide, such indemnified person with a defense and agreeing to pay the costs thereof. The indemnified person shall cooperate with the conduct of the defense by the Corporation and legal counsel retained by it for such purposes. Costs or expenses incurred by the indemnified person in obtaining separate counsel, or other independent arrangements, shall be such person's sole responsibility.

Section 8.4 Success on the Merits. To the extent that a Trustee, officer, administrative officer, advisory board member, voluntary committee member, employee or agent of the Corporation has been successful on the merits or otherwise in defense of any action, suit or proceeding referred to in this Article 8, or in defense of any claim, issue or matter herein, such person shall be indemnified against expenses, including attorneys' fees, actually and reasonably incurred by such person in connection therewith.

Section 8.5 Standard of Conduct Determination. Any indemnification under this Article 8, unless ordered by a court, shall be made by the Corporation only as authorized in the

specific case upon a determination that indemnification of the applicable person is proper in the circumstances because the person has met the applicable standard of conduct set forth in this Article 8. Such determination shall be made by the Board of Directors by a majority vote of a quorum consisting of Directors with voting power who are not parties to such action, suit or proceeding or if such quorum is not obtainable, or even if obtainable and a quorum of disinterested Directors so directs, by independent legal counsel in a written opinion.

Section 8.6 Expenses Paid in Advance. Expenses incurred in defending a civil or criminal action, suit or proceeding may be paid by the Corporation in advance of the final disposition of such action, suit or proceeding as authorized by the Board of Directors in the manner provided for under Section 8.6, upon receipt of an undertaking by or on behalf of the Director, officer, employee or agent to repay such amount unless it shall ultimately be determined that such person is not entitled to be indemnified by the Corporation, as authorized in this Article 8.

Section 8.7 Non-Exclusive; Survival. The indemnification provided by this Article 8 shall not be deemed exclusive of any other rights to which those indemnified may be entitled under the Articles of Incorporation, these Bylaws, or any agreement, or vote of disinterested Directors or otherwise, both as to action in their official capacity and as to action in another capacity while holding such office, and shall continue as to any person who has ceased to hold the position for which such person is entitled to be indemnified hereunder, and shall inure to the benefit of the heirs, executors and administrators of such person.

Section 8.8 Liability Insurance.

A. The Corporation shall have the power to purchase and maintain liability insurance on behalf of any person who is or was a Director, officer, administrative officer, advisory board or voluntary committee member, employee or agent of the Corporation, or who is or was serving at the request of the Corporation as a Director, officer, member, employee or agent of another corporation, partnership, limited liability company, joint venture, trust or other enterprise against any liability asserted against such person and incurred by the person in any such capacity or arising out of such person's status as such, whether or not the Corporation would have the power to indemnify such person against such liability under this Article 8.

B. Notwithstanding the foregoing provisions of this Article 8, the indemnification provided to any person described above shall be only in excess of any valid and collectible insurance, or other source of indemnification available for the benefit of such person, including any benefit available under any insurance or self-insurance plan of the Corporation, and no rights of subrogation are intended to be created hereby.

ARTICLE IX: **GENERAL PROVISIONS**

Section 9.1 Books and Records. The Corporation shall keep correct and complete books and records of accounts and shall also keep minutes of the proceedings of its Board of Directors and committees having authority from the Board of Directors.

Section 9.2 Fiscal Year. The fiscal year of the Corporation shall end on December 31, or such other date as shall be fixed from time to time by resolution of the Board of Directors.

Section 9.3 Political and Lobbying Activity. The Corporation and the Board shall not engage in or use corporate resources to further any individual political campaign or provide similar assistance and any effort to influence local, state or federal government in the resolution of any particular issue.

ARTICLE X: **CONFLICTS OF INTEREST POLICY**

Section 10.1 Purpose. The purpose of this conflicts of interest policy is to protect the Corporation's interest when it is contemplating entering into a transaction or arrangement that might benefit the private interest of an officer or Director of the Corporation. This policy is intended to supplement but not replace any applicable state laws governing conflicts of interest applicable to nonprofit and charitable corporations.

Section 10.2 Definitions.

A. Interested Person. Any Director, principal officer, or member of a committee with Board-delegated powers that has a direct or indirect financial interest, as defined below, is an interested person. If a person is an interested person with respect to any entity in the healthcare system of which the Corporation is a part, he or she is an interested person with respect to all entities in the healthcare system.

B. Financial interest.

(i) A person has a financial interest if the person has, directly or indirectly, through business, investment or family:

(a) An ownership or investment interest in any entity with which the Corporation has a transaction or arrangement, or

(b) A compensation arrangement with the Corporation or with any entity or individual with which the Corporation has a transaction or arrangement, or

(c) A potential ownership or investment interest in, or compensation arrangement with, any entity or individual with which the Corporation is negotiating a transaction or arrangement.

(ii) Compensation includes direct and indirect remuneration as well as gifts or favors that are substantial in nature.

(iii) A financial interest is not necessarily a conflict of interest. Under Section 12.3(B), a person who has a financial interest may have a conflict of interest only if the appropriate Board or committee decides that a conflict of interest exists.

Section 10.3 Procedures.

A. Duty to Disclose. In connection with any actual or possible conflicts of interest, an interested person must disclose the existence of his or her financial interest and must be given the opportunity to disclose all material facts to the Directors and members of committees with Board-delegated powers considering the proposed transaction or arrangement.

B. Determining Whether a Conflict of Interest Exists. After disclosure of the financial interest and all material facts, and after any discussion with the interested person, he/she shall leave the Board or committee meeting while the determination of a conflict of interest is discussed and voted upon. The remaining Board or committee members shall decide if a conflict of interest exists.

C. Procedures for Addressing the Conflict of Interest.

(i) An interested person may make a presentation at the Board of Directors or committee meeting, but after such presentation, he/she shall leave the meeting during the discussion of, and the vote on, the transaction or arrangement that results in the conflict of interest.

(ii) The Chairperson/President of the Board of Directors or committee shall, if appropriate, appoint a disinterested person or committee to investigate alternatives to the proposed transaction or arrangement.

(iii) After exercising due diligence, the Board of Directors or committee shall determine whether the Corporation can obtain a more advantageous transaction or arrangement with reasonable efforts from a person or entity that would not give rise to a conflict of interest.

(iv) If a more advantageous transaction or arrangement is not reasonably attainable under circumstances that would not give rise to a conflict of interest, the Board of Directors or committee shall determine by a majority vote of the disinterested Directors whether the transaction or arrangement is in the Corporation's best interest and for its own benefit and whether the transaction is fair and reasonable to the Corporation and shall make its decision as to whether to enter into the transaction or arrangement in conformity with such determination.

D. Violations of the Conflicts of Interest Policy.

(i) If the Board of Directors or committee has reasonable cause to believe that a member has failed to disclose actual or possible conflicts of interest, it shall inform the member of the basis for such belief and afford the member an opportunity to explain the alleged failure to disclose.

(ii) If, after hearing the response of the member and making such further investigation as may be warranted in the circumstances, the Board or committee determines that the member has, in fact, failed to disclose an actual or possible conflict of interest, it shall take appropriate disciplinary and corrective action.

Section 10.4 Records of Proceedings. The minutes of the Board of Directors and all committees with Board-delegated powers shall contain:

A. The names of the persons who disclosed or otherwise were found to have a financial interest in connection with an actual or possible conflict of interest, the nature of the financial interest, any action taken to determine whether a conflict of interest was present, and the Board's or committee's decision as to whether a conflict of interest in fact existed.

B. The names of the persons who were present for discussions and votes relating to the transaction or arrangement, the content of the discussion, including any alternatives to the proposed transaction or arrangement, and a record of any votes taken in connection therewith.

Section 10.5 Compensation.

A. A voting member of the Board of Directors who receives compensation, directly or indirectly, from the Corporation for services is precluded from voting on matters pertaining to that Director's compensation.

B. A physician who is a voting member of the Board of Directors and receives compensation, directly or indirectly, from the Corporation for services is precluded from discussing and voting on matters pertaining to that Director's and other physicians' compensation. No physician or physician Director, either individually or collectively, is prohibited from providing information to the Board regarding physician compensation.

C. A voting member of any committee whose jurisdiction includes compensation matters and who receives compensation, directly or indirectly, from the Corporation for services is precluded from voting on matters pertaining to that member's compensation.

D. Physicians who receive compensation, directly or indirectly, from the Corporation, whether as employees or independent contractors, are precluded from membership on any committee whose jurisdiction includes compensation matters. No physician, either individually or collectively, is prohibited from providing information to any committee regarding physician compensation.

Section 10.6 Annual Statements. Each Director, principal officer and member of a committee with Board-delegated powers shall annually sign a statement that affirms that such person:

A. Has received a copy of the conflicts of interest policy;

B. Has read and understands the policy;

C. Has agreed to comply with the policy; and

D. Understands that the Corporation is a charitable organization and that, in order to maintain its federal tax exemption, it must engage primarily in activities that accomplish one or more of its tax-exempt purposes.

Section 10.7 Periodic Reviews. To ensure that the Corporation operates in a manner consistent with its charitable purposes and that it does not engage in activities that could jeopardize its status as an organization exempt from federal income tax, periodic reviews shall be conducted. The periodic reviews shall, at a minimum, include the following subjects:

A. Whether compensation arrangements and benefits are reasonable and are the result of arm's-length bargaining.

B. Whether acquisitions of physician practices and other provider services result in inurement or impermissible private benefit.

C. Whether partnership and joint venture arrangements and arrangements with management service organizations and physician hospital organizations conform to written policies, are properly recorded, reflect reasonable payments for goods and services, further the Corporation's charitable purposes and do not result in inurement or impermissible private benefit.

D. Whether agreements to provide healthcare and agreements with other healthcare providers, employees, and third-party payors further the Corporation's charitable purposes and do not result in inurement or impermissible "private benefit."

Section 10.8 Use of Outside Experts. In conducting the periodic reviews provided for in Section 10.7, the Corporation may, but need not, use outside advisors. If outside experts are used, their use shall not relieve the Board of Directors of its responsibility for ensuring that periodic reviews are conducted.

ARTICLE XI: **CONTRACTS, LOANS, CHECKS, DEPOSITS**

Section 11.1 Contracts. The Board of Directors may authorize any officer or officers, agent or agents of the Corporation, in addition to the officers so authorized by these Bylaws, to enter into any contract or execute and deliver any instrument in the name of and on behalf of the Corporation, and such authority may be general or confined to specific instances.

Section 11.2 Checks, Drafts, Etc. All checks, drafts or orders for the payment of money, notes or other evidences of indebtedness issued in the name of the Corporation, shall be signed by such officer or officers, agent or agents of the Corporation and in such manner as shall from time to time be determined by resolution of the Board of Directors. In the absence of such

determination by the Board of Directors, such instruments shall be signed by the Treasurer and countersigned by the Chairperson/President of the Corporation.

Section 11.3 Deposits. All funds of the Corporation shall be deposited from time to time to the credit of the Corporation in such banks, trust companies or other depositories as the Board of Directors may select.

Section 11.4 Gifts. The Board of Directors may accept on behalf of the Corporation any gift, bequest or devise for the general purposes or for any special purposes of the Corporation.

Section 11.5 Loans Prohibited. No loans shall be made by the Corporation to any officer or to any Director.

ARTICLE XII: **PROGRAM DEADLOCK**

The Corporate Members have determined only to conduct programs that are mutually satisfactory. Accordingly, if either Corporate Member, through its appointed Directors, proposes at a properly called or regularly scheduled meeting of said Directors that a program be discontinued and the Directors appointed by the other Corporate Member fail to vote for discontinuance, resulting in a deadlock on the issue at two meetings of said Board of Directors conducted at least ninety (90) days apart, the program shall be discontinued by the Corporation within one hundred eighty (180) days of the second vote of the Directors. Nothing in this Article shall preclude a Corporate Member from continuing the program on its own.

ARTICLE XIII: **BOOKS AND RECORDS**

The Corporation shall keep correct and complete books and records of account and shall also keep minutes of the proceedings of its Board of Directors and committees having any of the authority of the Board of Directors. All books and records of the Corporation may be inspected by any Director, or his or her agent or attorney, for any proper purpose at any reasonable time.

ARTICLE XIV: **FISCAL YEAR**

The fiscal year of the Corporation shall end on December 31.

ARTICLE XV: **AMENDMENT TO BYLAWS**

These Bylaws shall be reviewed at least every two (2) years. These bylaws may be amended by the affirmative vote of not less than a majority of the Directors present at any regular or special meeting of the Board of Directors at which there is a quorum, provided a full presentation of such proposed amendments shall have been published in the notice calling the

meeting, and so long as the Bylaws as amended are not inconsistent with the provisions of the Articles of Incorporation. In addition to the affirmative vote of Directors, as above provided, the unanimous consent of the Corporate Members is required.

ARTICLE XVI:
WAIVER OF NOTICE

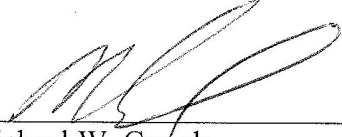
Whenever any notice is required to be given under the provisions of the Kentucky Revised Statute Chapter 273: Nonstock, NonProfit Corporations or under the provisions of the Articles of Incorporation or these Bylaws, a waiver thereof in writing signed by the person or persons entitled to such notice, whether before or after the time stated therein, shall be deemed equivalent to the giving of such notice.

ARTICLE XVII: GENDER

All pronouns shall be deemed to refer to the masculine, feminine, singular, and plural, as the identity of the person or persons may require.

DATED this 15th day of February, 2012.

Name: _____


Michael W. Gough

Title: Secretary



President's Presentation to the Board of Trustees

Retreat Follow-up
Tuesday, Oct. 25, 2011



An Equal Opportunity University

What the Trustees said...

Improve and expand:

- Undergraduate experience
- Housing
- Academic facilities
- Research space

Since the retreat, we have...

- Academic enhancement underway
- Initiated preliminary financial planning
- Developed an RFP for a housing partner
- Created campus land capacity model
- Continued to work on more detailed facility plans

Academic Enhancement

UK Honors Academy

- Opportunity to join a select cohort of UK's best students, with all majors participating
- Generous merit scholarships
- Dedicated academic advising
- Interaction with faculty mentors and student peer mentors
- Opportunity for research with world-class scholars/scientists/artists (capstone project)

Preliminary Financial Planning

Sources of Funds	Costs and other drivers
State	Scholarships
Students	Personnel
Philanthropy	Instructional programming
F&A Recovery (indirect cost reimbursement)	Cost of capital
Private Partners	Construction cost
Debt proceeds	Operating and maintenance of new and existing facilities
Internal reallocations	Assignable Square Footage
	Other operating expenses

•Other Considerations

- Student Affordability
- Debt Capacity
- State Statutes and Regulations

Initial Results

An aggressive building program is:

- **ACHIEVABLE**
- Dependent on multiple funding sources
 - Greater and more intentional philanthropy
 - Innovative partnerships
 - Smart growth of our student body
 - Continuing investments by the Commonwealth
 - Improve efficiencies

Factors for Setting Capital Priorities

- Impact on quality of undergraduate experience
- Age and condition of facilities
- Availability of funds from various sources
- Impact on revenue generation
- Program growth and entrepreneurship

Building Program

Fall 2013

- Open new undergraduate residence hall 600 beds
 - Honors and innovative learning programs

Fall 2013 to Fall 2021

- Open new residence halls for up to a total of 9,000 modern beds
- Academic, research and student support facilities - \$400 to \$500 million in total investment
- Self-financed projects (UK HealthCare, Athletics, etc.)

RFP Housing

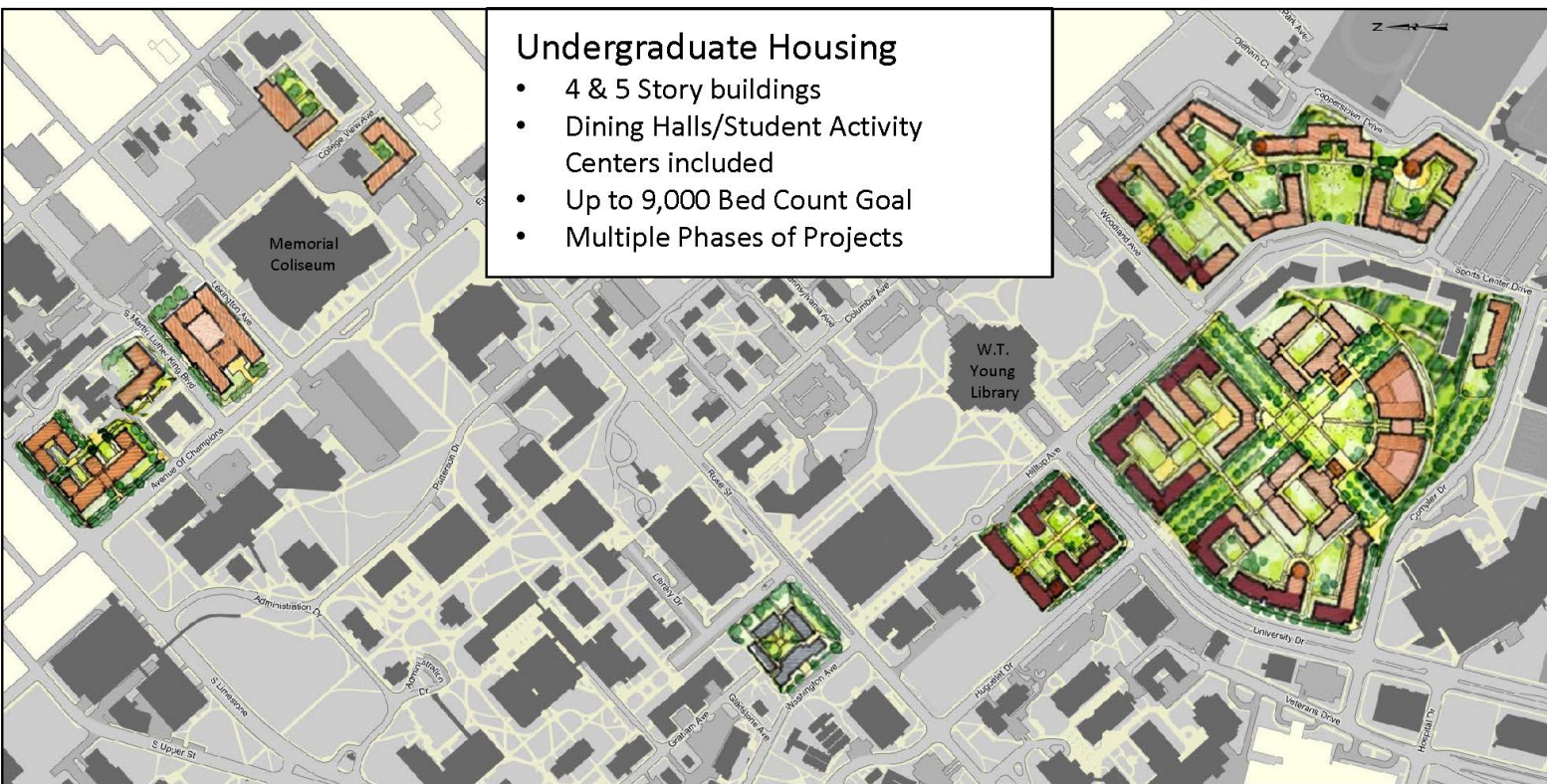
The Housing “Request for Proposal” will...

- Be issued tomorrow (October 26)
- Identify private partner with financial strength and experience
- Request Phase 1 Facility -Fall 2013 occupancy (600 beds)
- Focus on modern student living and learning facilities

Campus Land Capacity

Undergraduate Housing

- 4 & 5 Story buildings
- Dining Halls/Student Activity Centers included
- Up to 9,000 Bed Count Goal
- Multiple Phases of Projects



What's next on facilities

- Immediate steps
 - Conversation with stakeholders
 - Issue an RFP to evaluate public/private partnerships compared to University-financed projects
 - Further develop scenarios
 - Combination of funding sources
 - Sequencing of projects and investments
 - Partnership and financial strategies

Other Priorities from the Board Retreat

- Financial System of Accountability
- Human capital
- Diversity and Inclusion
- Learning organization

Key Dates

- October 2011 Issue RFP for Housing
- December 2011 BOT approve first housing project
- January 2012 General Assembly convenes