

Minutes of the Meeting of the Board of Trustees  
University of Kentucky  
Saturday, October, 26, 2013

The Board of Trustees of the University of Kentucky met on Saturday, October 26, 2013 in the Board Room on the 18<sup>th</sup> floor of Patterson Office Tower, Lexington, Kentucky.

A. Meeting Opened

Dr. E. Britt Brockman, chair of the Board of Trustees, called the meeting to order at 9:51 a.m. Chair Brockman asked Trustee Sheila Brothers, secretary of the Board, to call the roll.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: C.B. Akins, Sr., James H. Booth, William C. Britton, E. Britt Brockman, Sheila Brothers, Mark P. Bryant, Jo Hern Curris, Angela L. Edwards, William S. Farish, Jr., Oliver Keith Gannon, Carol Martin (Bill) Gatton, Kelly Sullivan Holland, David V. Hawpe, Roshan Palli, C. Frank Shoop, James W. Stuckert, Irina Voro and Barbara Young. Terry Mobley and John Wilson were absent from the meeting. Secretary Brothers announced that a quorum was present.

The University administration was represented by President Eli Capilouto, Provost Christine Riordan, Executive Vice President for Health Affairs Michael Karpf, Executive Vice President for Finance and Administration Eric Monday, and General Counsel William Thro.

The University faculty was represented by the Chair of the University Senate Council Lee Blonder, and the University staff was represented by the Chair of the Staff Senate Jeff Spradling.

Guests, other administrators and members of the news media were also in attendance.

C. Approval of the Minutes

Chair Brockman called attention to the minutes from the meeting on September 10, 2013. Trustee Stuckert moved approval of the minutes and Trustee Bryant seconded the motion. Chair Brockman called for the vote and it passed without dissent. (See Minutes on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

D. Proposed Goals for the University's Next Strategic Plan (CR 1)

Chair Brockman stated that CR1 introduced the proposed goals for the University's next Strategic Plan. The recommendation asks that the Board endorse a conversation involving the campus community and other constituencies about the University's next Strategic Plan. CR 1 states the following goals:

- Create a Vibrant Undergraduate Learning Community;

- Advance a High Quality Graduate and Professional Education Portfolio;
- Cultivate a Robust Research Environment;
- Develop a Strong and Sustainable UK Infrastructure;
- Create a Positive Work Environment for Faculty and Staff; and
- Have a Meaningful Impact on the Community.

Trustee Curris moved approval of CR 1 and it was seconded by Trustee Farish. Chair Brockman opened the floor for discussion. Trustee Shoop made a motion that the final sentence include the term “Commonwealth”. Trustee Stuckert seconded the motion to amend. Chair Brockman asked for discussion and the amendment to add “and the Commonwealth” passed without dissent. The final bullet will read:

- Have a Meaningful Impact on the Community and the Commonwealth

Trustee Hawpe offered that he was in favor of the resolution with the understanding that the term “Research” encompassed research and scholarship. Chair Brockman called for the vote and it passed without dissent. (See CR 1 on the Board of Trustees website, [www.uky.edu/Trustee](http://www.uky.edu/Trustee) under agenda.)

E. Proposed Revision to Governing Regulations: Executive Committee (ECR 1)

Chair Brockman stated that ECR 1 was the second reading of the recommendation regarding the change to Governing Regulation II. The revision was received for preliminary consideration on September 10, 2013 and the proposed revision adds the Vice Chair of the Board of Trustees as a member of the Executive Committee.

Trustee Shoop moved approval and it was seconded by Trustee Edwards. Chair Brockman opened the floor for discussion. Hearing none, Chair Brockman called the question and it passed without dissent. (See ECR 1 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

F. Establish Principles for the Implementation of the Campus Master Plan (PR 1)

President Capilouto stated that PR 1 is the recommendation concerning the principles for implementation of the 2013 campus master plan. President Capilouto pointed out that these were principles that undergird the campus plan and any individual project or component of implementation would be brought to the Board for consideration.

Trustee Britton moved approval and it was seconded by Trustee Holland. Chair Brockman opened the floor for discussion. Trustee Voro asked for clarification on principle four (4), “Growth – establish a long-term plan for growth management consistent with the University’s mission”. Vice President for Facilities Management Bob Wiseman explained that this principle recognized the concerns of the surrounding neighborhoods regarding the University’s physical growth and that the University would certainly respect their concerns. The

University has held 20-30 meetings with residents of the neighboring communities and will continue the dialogue throughout the Master Planning process.

Hearing no further discussion, Chair Brockman called the question and it passed without dissent. (See PR 1 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

G. Honorary Degree Recipients (PR 2)

President Capilouto stated that PR 2 was the recommendation that the Board of Trustees award an honorary Doctor of Engineering to Wilbert W. James, Jr., and an honorary Doctor of Letters to Michael T. Nietzel, as approved and recommended by the University faculty.

Chair Brockman asked for a motion for approval of PR 2. Trustee Curris moved approval and it was seconded by Trustee Booth. Hearing no discussion, Chair Brockman called the question and it passed with 17 affirmative votes and one abstention from Trustee Voro. (See PR 2 on the Board of Trustees website, [www.uky.edu/Trustees](http://www.uky.edu/Trustees), under agenda.)

H. Other Business

General Counsel Bill Thro distributed the July 2013 amended “Your Duty Under the Law - Kentucky Open Records and Open Meetings Acts” and “Managing Government Records A Cooperative Understanding” memorandums from the Office of the Attorney General to the Trustees and explained the amendment regarding access to meetings.

I. Meeting Adjourned

Hearing no further business, Chair Brockman declared the meeting adjourned at 10:02 a.m.

Respectfully submitted,



Sheila Brothers  
Secretary, Board of Trustees

(CR 1, ECR 1, PR 1 and 2 are official parts of the Minutes of the meeting)

# CR 1

Chairman, Board of Trustees  
October 26, 2013

Members, Board of Trustees:

## PROPOSED GOALS FOR THE UNIVERSITY'S NEXT STRATEGIC PLAN

Recommendation: that the Board of Trustees endorse a conversation involving the campus community and other constituencies about the University's next Strategic Plan around the following goals:

- Create a Vibrant Undergraduate Learning Community
- Advance a High Quality Graduate and Professional Education Portfolio
- Cultivate a Robust Research Environment
- Develop a Strong and Sustainable UK Infrastructure
- Create a Positive Work Environment for Faculty and Staff
- Have a Meaningful Impact on the Community and the Commonwealth

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# ECR 1

Chairman, Board of Trustees  
October 26, 2013

Members, Board of Trustees:

PROPOSED REVISION TO GOVERNING REGULATIONS:  
EXECUTIVE COMMITTEE

Recommendation: that the Board of Trustees approve the attached revision to Governing Regulation II, which was received for preliminary consideration as ECR 1 on September 10, 2013. The proposed revision adds the Vice Chair of the Board of Trustees as a member of the Executive Committee.

Background: At its August 28 meeting, the Nominating Committee of the Board of Trustees recognized the importance of having the elected Vice Chair of the Board serve on the Executive Committee. The Chair is a member of the Committee, as is the Secretary (non-voting ex-officio). Because this change would take effect only after a second reading at the October 26 meeting of the Board, it is the intent of the Board that this change will not affect the term of any member of the Executive Committee elected at the September 10, 2013 Board meeting.

A revised copy of the relevant section of GR II is attached. The proposed addition is underlined.

The Executive Committee of the Board and the President support this revision. In accordance with Governing Regulation XIII, the President, the University Senate, the Staff Senate, and the Student Government Association, have had an opportunity to recommend on this proposed revision.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_





UNIVERSITY OF  
**KENTUCKY**  
Regulations

**Governing Regulation, Part II**

Responsible Office: Board of Trustees

Effective: 7/1/2013

Supersedes Version: 6/11/2013

## Governing Regulation, Part II Governance of the University of Kentucky

### Major Topics

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#### [Introduction](#)

- A. [Delegation of Authority](#)
- B. [Board of Trustees - Membership](#)
  - 1. [Definition](#)
  - 2. [Membership](#)
  - 3. [Officers](#)
- C. [Meetings of the Board of Trustees](#)
- D. [Conflicts of Interest of Board Membership](#)
- E. [Standing and Special Committees](#)
  - 1. [Standing Committee General Rules](#)
  - 2. [Standing Committees](#)
  - 3. [Special Committees](#)
- F. [Powers and Responsibilities of the Board of Trustees](#)
- G. [Petitions to Address the Board of Trustees](#)

#### [References and Related Materials](#)

#### [Appendix I – Petition to Address the Board](#)

### Introduction

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The governance of the University of Kentucky is vested by law in the Board of Trustees (KRS 164.131). Within the limits set by the State Constitution and the federal and state laws,\* the Board of Trustees is the final authority in all matters affecting the institution and exercises jurisdiction over the institution's financial, educational, and other policies and its relation with the state and federal governments.

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\* The Council on Postsecondary Education in Kentucky (KRS 164.020) has powers and duties that relate to the governance of the University of Kentucky.

in writing the remaining members of the board, and to the newspaper having the largest circulation in the county in which the state university is located, of his intention to bid on such contract. (KRS 45A.340(7))

3. No member of the Board of Trustees may be held to be a public officer by reason of membership on the Board except as provided in KRS 45A.335 for the purpose of KRS 45A.340. (KRS 164.150)

## E. Standing and Special Committees

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The purpose of standing and special committees is to assist the Board of Trustees in its oversight role by gathering information, discussing, and providing advice on policy proposals prior to their coming before the entire Board of Trustees. Members of the University community and the general public may attend any committee meeting. An individual desiring to address a particular committee or otherwise participate in a committee meeting should contact the committee chair.

### 1. Standing Committee General Rules

- a. Unless otherwise provided in this Governing Regulation, membership of standing committees shall include at least five (5) members of the Board of Trustees including the committee chair.
- b. Unless otherwise provided in this Governing Regulation, committee members shall be appointed by the Chair of the Board of Trustees.
- c. Unless otherwise provided in this Governing Regulation, the Chair of the Board of Trustees shall select the Chair for each committee from the Trustee members.
- d. Board of Trustee members may be reappointed to a committee for consecutive terms.
- e. Any vacancy occurring before the expiration of the term of the appointment shall be filled for the unexpired term.
- f. A quorum of the Committee members must be present in order to conduct business.
- g. Committee Reports shall be posted on line within 72 hours after the conclusion of Committee meetings.

### 2. Standing Committees

#### a. Executive Committee

(1) The Board of Trustees annually elects an Executive Committee of five (5) members that has the powers that the Board of Trustees delegates to it as prescribed in KRS 164.190. This election shall be held at the first fall meeting of the Board of Trustees. Vacancies may be filled at any meeting of the Board of Trustees. The Chair and the Vice Chair of the Board of Trustees shall be two (2) of the five (5) members. The Chair of the Board of Trustees and shall also serve as Chair of the Executive Committee.

(2) The Executive Committee:

- (a) In general, exercises oversight of the financial and business interests of the University and possesses the same powers as the Board of Trustees during the periods between meetings of the full Board of Trustees;

# PR 1

Office of the President  
October 26, 2013

Members, Board of Trustees:

ESTABLISH PRINCIPLES FOR THE IMPLEMENTATION  
OF THE  
CAMPUS MASTER PLAN

Recommendation: that the Board of Trustees establish principles for the implementation of the Campus Master Plan - Update 2013.

Background: The Campus Master Plan – Update 2013 was developed with the recognition that the campus, in its entirety, is more than the mere functional arrangement of buildings, roads, walkways, parking lots and landscapes. It defines a collective experience of place and community, which is an essential part of the teaching, research and learning experience for students, faculty, and staff. Perhaps more than any other single aspect of the University, the physical environment has the power to embody and express the purpose and mission of the University of Kentucky. The campus can be a rich expression of tradition and history, a fundamental resource to the current educational experience, and an invaluable reference point in helping to define the future.

The Master Plan Principles, attached hereto, establish the foundation and priorities for the development of the University of Kentucky campus and are intended to be applied in conjunction with the campus framework plan and campus district strategies. These principles were developed through consultation with the University community and direction from the University's master planning committees. The principles link the physical development of the campus with the University's mission, and will assist in decision-making around campus improvements.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_



## Master Plan Principles; Campus Master Plan – Update 2013

### Master Plan Principles

#### 1. Academic Environment

*Create a 21st century learning environment that supports the technological, social, economic and creative needs of today's students. A dynamic academic environment includes flexible teaching and learning spaces, modern laboratories, and opportunities for informal learning outside the classroom and across the campus. The master plan facilitates opportunities to enhance the academic environment by concentrating academic activity within the campus core, connecting teaching and learning spaces, integrating academic and student life, and creating opportunities for learning in outdoor settings.*

#### 2. Campus Life

*Enhance the student life experience and reinforce campus community in strong residential districts, both on and off campus. A strong campus life experience is key to student success. The master plan articulates strategies to enhance student life and create a dynamic living and learning environment.*

#### 3. Community

*Forge partnerships to strengthen the neighborhoods surrounding the campus and downtown. The University has an important impact on the neighborhoods that surround the campus, and plays a key economic and cultural role in the community. The University should continue to work with its neighborhood and Urban County Government partners to strengthen surrounding areas, contribute to the local economy, and incorporate community impact into decision-making. The master plan articulates planning policies and design strategies to further these initiatives.*

#### 4. Growth

*Establish a long-term plan for growth management consistent with the University's mission, and that efficiently utilizes land resources. The University campus must accommodate academic, research, health care, student life, sports and recreation, and administration and support functions within a defined land area. The University has also established a growth boundary to mitigate the impacts of campus development on surrounding neighborhoods. The master plan articulates strategies to accommodate current and future uses efficiently, while preserving the quality and character of the campus environment.*

5. Mobility

*Facilitate safer and more efficient mobility in support of the master plan land use and landscape concepts.* Mobility systems include pedestrian circulation, bicycle circulation, transit service, vehicular circulation, and parking. The master plan defines accessible, safe, efficient and attractive mobility systems for the campus, while preserving pedestrian priority in the campus core.

6. Landscape Structure

*Establish a legible open space and landscape structure that improves the campus environment and reinforces campus identity.* The University campus contains a range of open space elements and high-quality architecture but the existing landscape structure could be better defined. The master plan strengthens the landscape structure to create a more cohesive campus environment.

7. Sustainability

*Integrate sustainability in every aspect of planning.* The University of Kentucky's Statement on Sustainability recognizes the critical need "to engage the University community to create policies and programs that will simultaneously advance economic vitality, ecological integrity and social equity, now and into the future." The master plan creates the opportunity to demonstrate leadership in this "triple bottom line", and will help to advance sustainable initiatives within several key areas – mission, energy, landscape, ecology, mobility, community and economic development.

# PR 2

Office of the President  
October 26, 2013

Members, Board of Trustees:

## HONORARY DEGREE RECIPIENTS

Recommendation: that the Board of Trustees approve awarding an Honorary Doctor of Engineering to Wilbert W. James, Jr., and a Honorary Doctor of Letters to Michael T. Nietzel as approved and recommended by the University Faculty.

Background: Pursuant to the Conditions of Merit for Honorary Degrees, the University Joint Committee on Honorary Degrees has recommended to the elected Faculty Senators that the specified honorary degree be awarded to Wilbert W. James, Jr., and Michael T. Nietzel. The elected Faculty Senators have approved the recommendation.

Biographical sketches of the recipients are attached.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

## **Wilbert W. (Wil) James, Jr.**

Wilbert W. (Wil) James, Jr., is president of Toyota Motor Manufacturing, Kentucky (TMMK), serving in that role from 2010 to the present. He leads the largest automotive manufacturing plant in the United States and by his example, ensures that TMMK continues to be an outstanding corporate and community citizen, in addition to providing well-paying jobs for thousands of Kentuckians.

A native of Norfolk, Virginia, James earned his Bachelor of Science degree in mechanical engineering technology from Old Dominion University.

Prior to coming to TMMK, he held positions of production engineer, maintenance engineer, and project engineer at Olin Corporation.

In 1987, James joined TMMK and spent the next 19 years handling a variety of assignments, with numerous promotions along the way as he continued to take on ever-increasing responsibilities. James served as general manager of assembly, overseeing engineering, maintenance, production and internal parts conveyance for Kentucky's two assembly lines, along with the plastics organization. He also held the position of general manager of production administration, with responsibilities that included coordination of production support, facilities, environmental, planning and project management.

In January 2003, James was named as TMMK's vice president of manufacturing, guiding all vehicle manufacturing operations as well as production control.

Eager to have James spread his talents and expertise to other key facilities in their North American operations, Toyota's corporate leadership selected him to become senior vice president of operations for TABC, a wholly-owned subsidiary of Toyota Motor Engineering and Manufacturing located in Long Beach, California, in January 2006. One year later he was promoted to president. In 2008, James was named as senior vice president for manufacturing and quality at Toyota's Evansville, Indiana facility.

Two years later, James was asked to come back home to his adopted home of Kentucky to assume the presidency of TMMK. He is the first African-American president of the the plant and recently was named as one of the Top 100 Most Influential Blacks in Corporate America.

James' civic and community involvement includes the following:

- Chair of the Needs Committee for Lexington's Arena Task Force
- Board of Directors, Kentucky Chamber of Commerce
- Board of Affiliates for the National Society of Black Engineers



- Kentucky Humanities Council
- The Partnership for Youth Organizations
- Board of Regents, Kentucky State University
- Board of the Urban League of Louisville
- Board of the Bluegrass Economic Advancement Movement
- The Executive Leadership Conference
- Kappa Alpha Psi Fraternity

For all of his accomplishments and record of service, Wilbert W. (Wil) James, Jr. is recommended for an Honorary Doctorate of Engineering from the University of Kentucky.

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## **Michael T. Nietzel**

Michael T. Nietzel devoted 32 years of his life to the University of Kentucky as a teacher, researcher, department chair and campus administrator. He served as the university's first provost, following a major administrative reorganization in 2001. He also served as president of Missouri State University from 2005 to 2010, prior to assuming his current position as senior advisor to the Office of the Missouri Governor.

Nietzel earned his bachelor's degree in Psychology at Wheaton College, located near Chicago, Illinois. He pursued graduate studies at the University of Illinois in Urbana-Champaign, attaining a master's degree in clinical psychology, and then completing his Ph.D. in clinical psychology with a minor in community psychology.

In 1973, Nietzel joined the UK faculty, beginning what would become a long and distinguished career in Lexington. In addition to connecting with his undergraduate students in the classroom, Nietzel was a prolific researcher and mentor to numerous graduate students, as well as serving as chair of the psychology department over the course of his 28 years as a member of the faculty.

With a litany of published research in refereed journals, Nietzel also developed a national and international reputation in forensic psychology, often being called upon as an expert witness in a wide range of court cases.

In 1997, he demonstrated his love for UK and commitment to furthering its excellence by donating financial resources to help endow the M.E. and V.D. Nietzel Visiting Distinguished Faculty Award, given to 68 programs over the past 16 years.

During his four years as UK provost, Nietzel was a major implementer of the Top 20 Research Plan and the Research Challenge Trust Fund. In addition, he fostered the establishment of the UK Center for Research on Violence against Women, the UK Center on Trauma and Children, and played important roles in the President's Commissions on Women and on Diversity.

Nietzel accepted a new challenge in 2005 when he was chosen to lead Southwest Missouri State University through a period of transition and growth as it became known officially as Missouri State University. Under Nietzel's leadership, Missouri State made a number of improvements, strengthening its faculty together with its academic and research profile, increasing its fundraising, building a number of new facilities on campus, improving student access and quality, and positively impacting relations with alumni, the community and state government.

When Nietzel announced he was stepping down, the chair of the Missouri State Board of Governors was quoted as saying, "The University will miss his leadership. Dr. Nietzel has great ideas and knows how to sell them. He has a combination of talent and skills that is hard to find."

As noted earlier, since leaving the presidency, Nietzel has continued to serve the citizens of the state at a high level, as senior advisor to the Office of the Missouri Governor.

For all his accomplishments, dedication and service, Michael T. Nietzel is recommended for an Honorary Doctorate of Letters from the University of Kentucky.

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