

## AGENDA

**Meeting of the Board of Trustees  
University of Kentucky  
3:00 P.M.  
December 12, 2000**

### **Invocation**

### **Roll Call**

### **Approval of Minutes - (Consent)**

### **President's Report and Action Items**

- PR 1 President's Report to the Trustees
  - A. A Decade in Review – 1990-2000
- PR 2 Personnel Actions
- PR 3 Central Administration
  - A. Proposed Amendment to the Governing Regulations
- PR 4 Community College System
  - A. Candidates for Degrees – Community College System - (Consent)
- PR 5 Lexington Campus
  - A. Candidates for Degrees – University System - (Consent)
  - B. Appointment of Dean of the College of Agriculture
- PR 6 Medical Center (No items to report)

### **Academic Affairs Committee**

- 1. Establishment of a Center for Sports Marketing
- 2. Establishment of a Center for Historic Architecture Preservation
- 3. Establishment of a Center for Micro-Magnetic and Electronic Devices
- 4. New Degree Program in Exercise Science
- 5. New Degree Programs in Clinical Laboratory Science

### **Finance Committee**

- 1. Acceptance of Interim Financial Report for the University of Kentucky for the Four Months Ended October 31, 2000
- 2. Disposal of Surplus Property
- 3. Approval of Lease
- 4. Capital Construction Report
- 5. 2000-2001 Budget Revisions
- 6. Funds for the Operation of Kentucky Healthcare Enterprises, Inc. (KHE, INC.)
- 7. Anonymous Gift and Pledge
- 8. Class of 1949 Fellowship Endowment

9. Frank J. Winchester Pledge
10. Establishing Two Professorships From Proceeds From George B. Tuggle Trust
11. Humana Foundation Pledge
12. Jack W. Graham Pledge
13. John R. van Nagell, M.D. Professorship/Chair in Gynecologic Oncology
14. Kentucky River Coal Corporation Pledge
15. Lion Apparel, Inc., Pledge
16. Morton Holbrook Pledge
17. Mrs. W. L. Lyons Brown Gift and Pledge
18. Peter Perlman Endowed Fellowship
19. Paducah Junior College, Inc., Board of Trustees Pledge
20. R.D. and Joan Dale Hubbard Foundation Pledge
21. Ronald McDonald House Charities of the Bluegrass, Inc. Gift
22. Rose Mary C. Brooks Pledge
23. Stonerside Stable Pledge
24. Gifts and Pledges to the Wendell H. Ford Professorship in Public Policy
25. Withington Graduate Student Support Fund Gift and Pledges
26. Resolution of the Board of Trustees of the University of Kentucky ("State Agency") Approving a Financing/Lease Agreement Among the State Agency, the Kentucky Asset/Liability Commission, the Commonwealth of Kentucky State Property and Buildings Commission and the Finance and Administration Cabinet of the Commonwealth of Kentucky for the Purpose of Providing Interim Financing for an Authorized Project in Anticipation of the Issuance of Bonds to Provide Permanent Financing for Said Project
27. Resolution of the Board of Trustees of the University of Kentucky accepting the Successful Bid for the Purchase of \$10,450,000 Principal Amount of University of Kentucky Housing and Dining System Revenue Bonds, Series R, Dated December 1, 2000

### **Investment Committee Report**

### **Presidential Search Committee Report**

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, December 12, 2000.

The Board of Trustees of the University of Kentucky met at 3:00 p.m. (Lexington time) on Tuesday, December 12, 2000 in the Board Room on the 18th floor of Patterson Office Tower.

A. Meeting Opened

Billy Joe Miles, Chairperson, called the meeting to order at 3:00 p.m., and the invocation was pronounced by Mr. Guthrie.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Governor Edward T. Breathitt, Mr. Paul W. Chellgren, Ms. Marianne Smith Edge, Mr. James Glenn III, Mr. Merwin Grayson, Mr. John "Jack" Guthrie, Dr. Loys L. Mather, Dr. Robert P. Meriwether, Mr. Billy Joe Miles (Chairman), Mr. Steven S. Reed, Dr. Daniel R. Reedy, Ms. Marian Sims, Ms. Alice Sparks, Dr. W. Grady Stumbo, Ms. JoEtta Y. Wickliffe, Mr. Billy B. Wilcoxson, Mr. Russell Williams and Ms. Elaine Wilson. Absent from the meeting were Dr. Elissa Plattner and Mr. C. Frank Shoop. The University administration was represented by President Charles T. Wethington, Jr.; Chancellors James W. Holsinger and Elisabeth Zinser; Vice Presidents Fitzgerald Bramwell, Joseph T. Burch, Ben W. Carr, Edward A. Carter, George DeBin and Eugene Williams; Dr. Juanita Fleming, Special Assistant for Academic Affairs; Mr. Larry Ivy, Director of Athletics, and Mr. Richard E. Plymale, General Counsel.

Members of the various news media were also in attendance. A quorum being present, the Chairperson declared the meeting officially open for the conduct of business at 3:05 p.m.

C. Consent Agenda

Mr. Miles stated that there were three items on the consent agenda:

- Approval of Minutes
- Candidates for Degrees – Community College System (PR 4A)
- Candidates for Degrees – University System (PR 5A)

Mr. Chellgren moved approval of the Consent Agenda. The motion, seconded by Mr. Guthrie, carried. (See PR 4A and PR 5A at the end of the Minutes.)

D. President's Report to the Board of Trustees (PR 1)

President Wethington stated that PR 1A, "A Decade in Review," will be presented at the end of his report. President Wethington called attention to the following items in PR 1:

1. The University of Kentucky has agreed to be a University of Promise, a part of the America's Promise program that General Colin Powell has sponsored across the country.

2. The Partnership on Research Progress Committee, chaired by Mr. Billy Joe Miles, had a very successful conference that focused on the new economy and the University of Kentucky and its role in the new economy.
3. The University of Kentucky signed an agreement with Louisville Central High Magnet Career Academy to enhance the school's ability to prepare students for careers in banking and business, computer technology, legal and governmental services and other fields.
4. The University of Kentucky Center for Rural Health received an \$800,000 grant to work with Perry and Harlan counties to prevent and manage common, chronic diseases.
5. The College of Education honored 70 teachers who made a difference; one of those was Board of Trustees member Marian Sims.
6. The University's Ecology, Evolution and Behavior Program was ranked 14<sup>th</sup> among the nation's 86 programs in a recent ranking by a Vanderbilt University professor.
7. The Kentucky Geological Survey, housed at the University of Kentucky, released a digital coal atlas that offers detailed maps and charts of the state's coal resources.
8. The University of Kentucky and Georgetown College have finalized an agreement to permit seniors at Georgetown to pursue graduate degrees in Public Administration at UK.
9. The UK Graduate School is offering tuition free graduate courses as part of their G.I.F.T. (Graduate Incentive Free Tuition) program in an effort to attract students to graduate education.

President Wethington asked the members to read the other items in the report at their leisure.

E. Personnel Actions (PR 2)

President Wethington recommended that approval be given to the appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted. Ms. Edge moved approval. The motion, seconded by Mr. Guthrie, carried. (See PR 2 at the end of the Minutes.)

F. Proposed Amendment to the Governing Regulations (PR 3A)

President Wethington stated that PR 3A is a recommendation that the proposed amendments to the Governing Regulations be received for preliminary consideration and at the next regular meeting of the Board of Trustees, be included on the agenda for final action. He explained that it is designed to bring the University of Kentucky Governing Regulations in-line with state law. He noted that the definition of "relatives" is changed slightly due to having to

include the exact wording from the statute. He pointed out that during this fiscal year, the University will have the election of a staff person to the Board of Trustees, a faculty member to the Board of Trustees, and a student member to the Board of Trustees. President Wethington stated that these regulations need to be in place so that any person interested in running for or being considered for the Board of Trustees know that he or she must not have relatives employed by the University of Kentucky. He expressed his pleasure in recommending PR 3A for the Board's approval.

Ms. Sparks asked if this amendment is retroactive or will only apply to future candidates of the Board of Trustees. President Wethington responded this change is to bring the University's regulations in-line with the statute. He stated that the Attorney General would have to opine whether or not it will apply to current members.

Chairman Miles asked for a motion before further discussion. Mr. Grayson moved the approval of this recommendation. Ms. Sparks asked for further clarification of the opinion they currently have from the Attorney General. President Wethington explained that the most recent opinion that the University has was not concerning an employee of the University of Kentucky. Ms. Sparks questioned whether or not this amendment would apply to all Board members. Mr. Guthrie responded that he understood it to apply to all Board members. President Wethington agreed with Mr. Guthrie. Mr. Miles asked if the faculty and staff representatives on the Board understood this amendment. President Wethington explained that every Board member is included, whether faculty, staff, student or otherwise, by statute. Mr. Williams stated that the Senate Council has discussed this issue. President Wethington noted that he had sent this item to the Chair of the Senate Council back in the spring; however, it will be resent to all of the effected parties prior to its being considered by the Board in January. Mr. Guthrie noted that several years ago at the University of Louisville, there was a member of the Board of Trustees whose daughter-in-law was an employee of the university and when the issue came up, the individual stepped down from the Board. He stated that he was unsure as to whether it is retroactive or not. President Wethington again stated that this action is to bring the University's regulation in compliance with the statute and in compliance with the Attorney General's office.

The motion made by Mr. Grayson, seconded by Ms. Wilson, carried. (See PR 3A at the end of the Minutes.)

G. Appointment of Dean of the College of Agriculture (PR 5B)

President Wethington stated that PR 5B is a recommendation that Dr. M. Scott Smith be named Dean of the College of Agriculture; Director, Agricultural Experiment Station; and Director, Cooperative Extension Service (pending the customary agreement with the Administrator of the Extension Service of the U.S. Department of Agriculture), effective January 1, 2001. President Wethington asked Chancellor Zinser to comment. Dr. Zinser expressed her pleasure in recommending the appointment of M. Scott Smith as the Dean of the College of Agriculture as well as Director of the Agriculture Experiment Station and Director of the Cooperative Extension Service. Chancellor Zinser gave a brief account of Dr. Smith's background as well as the search process for the new dean.

Dr. Mather moved approval of PR 5B. Dr. Mather commented on the commitment of the Search Committee and the support of the faculty of the College of Agriculture on the selection of

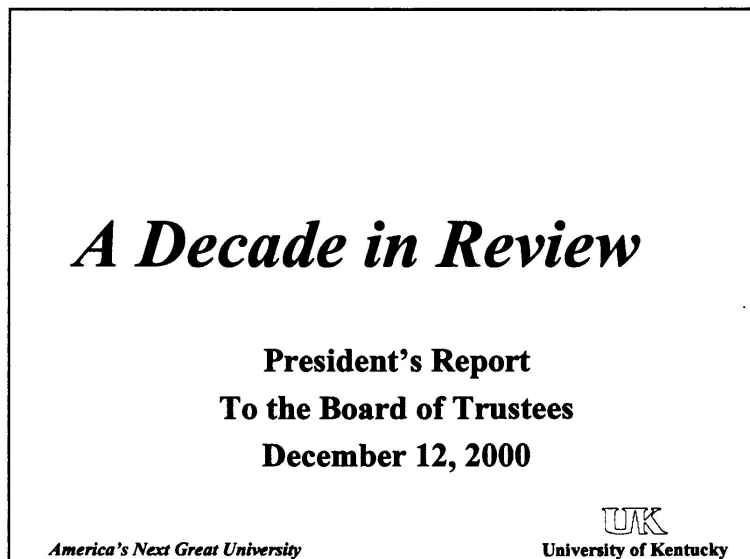
Dr. Smith. Ms. Wilson seconded the motion, and it passed. (See PR 5B at the end of the Minutes.)

President Wethington asked for Dr. Smith to stand and be recognized. Dr. Smith received a round of applause from the Board members. Dr. Smith thanked the Board for their support.

Governor Breathitt commended Dean Oral Little for his years of service and commitment to the University of Kentucky. He asked Dean Little to stand and accept a final tribute from the Board of Trustees. Dean Little received a round of applause from the Board members.

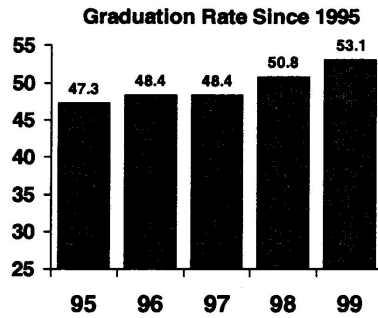
H. A Decade in Review – 1990-2000 (PR 1A)

President Wethington stated that it is his intent to give the Board members a snapshot of some of the key accomplishments of the university, the Board, the administration, the faculty and staff of the University of Kentucky over the past ten years. He noted that he made a similar report to the Board in January of 1996. President Wethington made the following presentation.



## Academic Excellence

- 41 national rankings
- Increased student satisfaction
- Highly successful UK101
- Fall 2000 Retention Rate – 80.4%, a new record



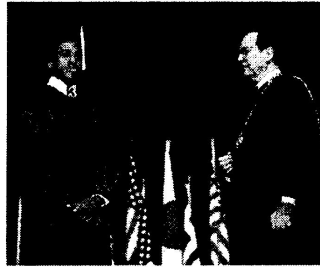
America's Next Great University

  
University of Kentucky

## Academic Quality of Incoming Freshmen

Over the last 5 years:

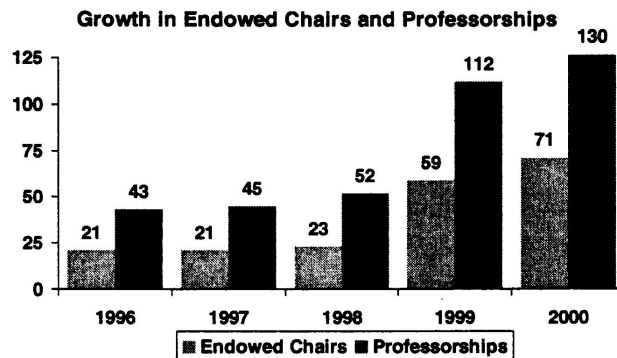
- Average ACT composite 3.7 points above national average
- Average High School GPA at all-time highs
- National Merit Scholars averaged 69 per year
- Fall 2000 – largest freshman class since 1984 maintained high level of quality



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University of Kentucky

## Endowed Chairs & Professorships

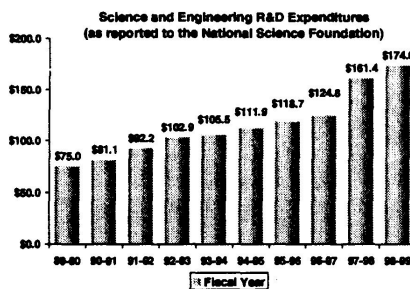


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University of Kentucky

## Nationally Recognized Research & Graduate Programs

- 68 Kentucky Opportunity Fellowships
- 512 Target of Opportunity Research Assistantships
- Record high 294 Postdoctoral Scholars in 1999-00
- Record high \$155.2 million in grant and contract awards in 1999-00
- NSF Ranking – 51<sup>st</sup> in 1989-90 to 32<sup>nd</sup> in 1997-98

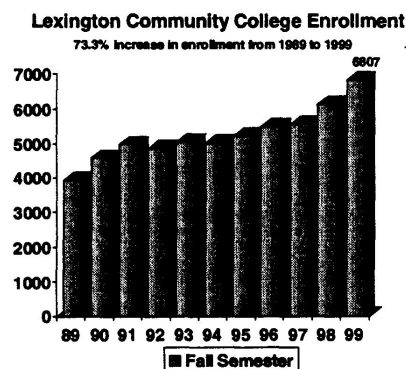


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University of Kentucky

## Access to Higher Education

- Lees College Acquisition
- Distance Learning Growth 20% over last 5 years
- 365 Robinson Scholars Identified; 98.4% currently retained



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University of Kentucky

## Diversity and Inclusive Learning

- 50 years of the UK African-American Legacy
- Inclusive Learning Community Initiative
- Resident African-American Retention Rate – 72.7% in 1995 to 80.1% in 1999
- African-American Faculty – 18 in 1989-90 to 62 in 1999-00

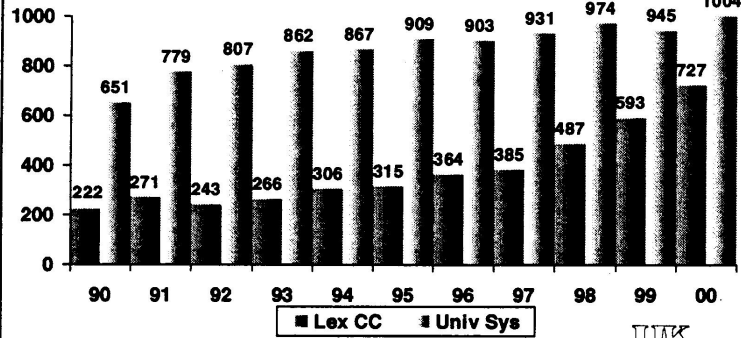
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## Diversity and Inclusive Learning

Total African-American Undergraduate Enrollment

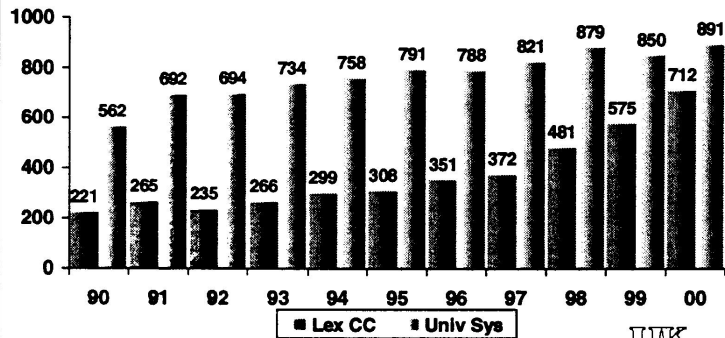


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U.K.  
University of Kentucky

## Diversity and Inclusive Learning

Kentucky Resident African-American Undergraduate Enrollment



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U.K.  
University of Kentucky

## Service to the Commonwealth

- \$133.1 million in public service expenditures, FY 1999
- Extends to all 120 Kentucky counties
- Tremendous Medical Center expansion
- UK Center for Rural Health #1

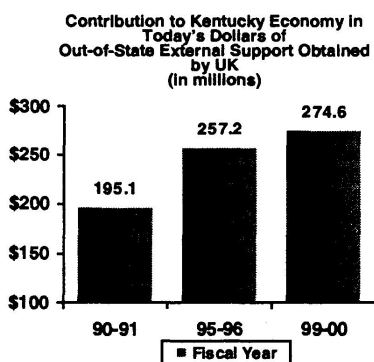


America's Next Great University

U.K.  
University of Kentucky

## *Economic Development*

- 8 ASTECC "graduates"
- 10 Coldstream Research Campus tenants
- Paducah Engineering Program
- Center for Rural Development in Somerset



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University of Kentucky

## *William T. Young Library*



- \$62 million facility
- Information technology hub for Kentucky
- Book endowment of \$55 million and growing
- UK libraries now ranked 30<sup>th</sup> among public institutions

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University of Kentucky

## *Statewide Technology Leadership*

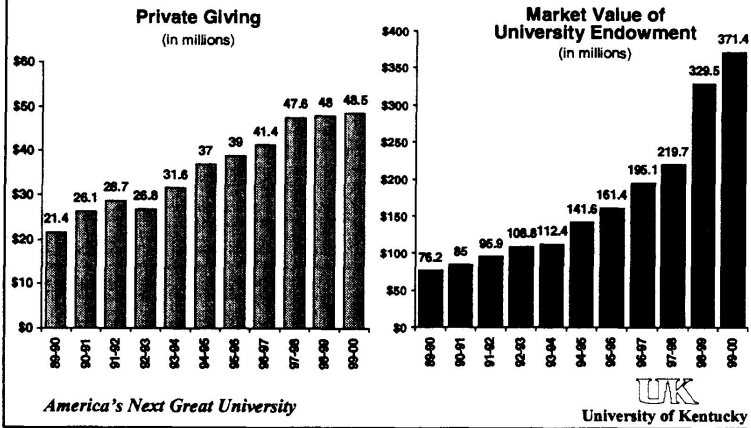
- 10<sup>th</sup> of 17 academic centers for most powerful scientific computer capabilities
- Supercomputer relative performance increased by over 16,000 percent
- Completed 6,000 residence hall connections



*America's Next Great University*

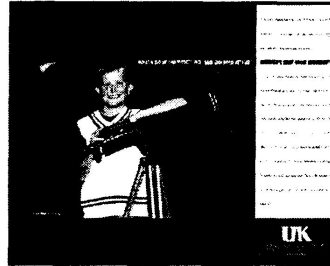
  
University of Kentucky

## Private Giving and Endowment



## A Call to Greatness

- First ever comprehensive fund-raising campaign
- Support for faculty and students
- Academic program excellence
- UK Libraries
- Public Service
- New and renovated facilities
- Campaign Goal \$600 million



America's Next Great University

 University of Kentucky

## Campus Beautification and Preservation



- Implementation of Physical Development Plan
- Preservation of Miller Hall and historic University buildings
- Campus gateways and preservation of green space

America's Next Great University

 University of Kentucky

## *Wildcat Athletics*

- 41 Academic All-America Team student-athletes
- Women's and Men's soccer and women's softball added
- UKAA's commitment to academic and life skills support \$1 million annually
- \$2.6 million Center for Academic and Tutorial Services
- Annual \$3.2 million UKAA contribution to W.T.Young Library



*America's Next Great University*

**UK**  
University of Kentucky

## *Capital Construction*

- Initiated 41 major capital projects totaling \$381.8 million
- Community College System buildings totaling \$103.1 million
- Renovations totaling \$136.4 million
- Acquisitions totaling \$17.6 million
- Over \$630 million in total



*America's Next Great University*

**UK**  
University of Kentucky

## *Remaining Challenges*

- Top 20 public research institution
- Research space and equipment
- Undergraduate and graduate education
- Merit scholarships and fellowships
- Retention and graduation
- Inclusive learning community
- Faculty and staff salaries
- Computing and information technology
- Fair and consistent state support
- Private funding - capital campaign

*America's Next Great University*

**UK**  
University of Kentucky

President Wethington asked the members to take a closer look at the booklet at their leisure. He expressed his pleasure in making the report and challenged the Board to continue making progress in the year 2001 and thereafter. President Wethington entertained questions from the Board members.

Mr. Reed congratulated President Wethington on the report. He commented that he noted a couple of references to diversity in the report, which he agrees with. He noted that he believes the heart and soul of a university is its faculty and its students. He commented that in the first five years of President Wethington's tenure there was an increase of 43 African American faculty and in the next five years there was an increase of only one. He noted that the University was doing something the first five years that worked very well that doesn't seem to be working now. He stated that at some point, he would like to meet with President Wethington and learn more of what the University community can do, including the Trustees, to improve the recruitment and retention of African American faculty. He summarized that if the University of Kentucky is to become America's next great university, then we will have to find ways to overcome more basic problems of recruitment and retention of African American faculty.

President Wethington responded that he believes the University of Kentucky is a little ahead of some institutions in focusing time, attention, and money on the recruitment of African American faculty; however, he stated that the competition for African American faculty is very intense. He continued by stating that we have attracted African American faculty, have provided a good opportunity for them; however, we have seen them be lured away by other institutions. He noted that we have consistently, over this period of time, provided incentive funds for departments to recruit and attract African American faculty to the university because this is a serious goal of the University of Kentucky. He commented that even though we have made those monies available for the first year salaries of African American faculty members attracted to the University of Kentucky, we have continually lost faculty to other institutions at higher pay. President Wethington stated that we need to constantly work on that as one issue, however we also need to ensure that we are giving attention to the environment on campus. He noted that the Fifty Years of African American Legacy and the inclusive learning community have helped us with. He stated that he firmly believes this is a far better place for all of us now than it was ten years ago. He concluded that these efforts must be constant, but it's difficult to make major headway when the market is as difficult as it is.

I. Establishment of a Center for Sports Marketing (AACR 1)

Ms. Sparks reported that the Academic Affairs Committee met for the first time earlier in the day and had a very concise and informative meeting. She noted that the Committee had five resolutions for the Board's consideration and approval. She stated that AACR 1 is the establishment of a Center for Sports Marketing. She continued her report by stating that AACR 2 is the establishment of a Center for Historic Architecture Preservation which will focus on creating new knowledge about Kentucky architecture and landscapes for the purpose of their preservation. Ms. Sparks stated that AACR 3 is the establishment of a Center for Micro-Magnetic and Electronic Devices which will bring together, in a formal structure, a core group of faculty with unique research expertise on micro and nano-scale magnetic and electronic device design, fabrication, characterization and application. Ms. Sparks continued with AACR 4, a new degree program in Exercise Science. She stated that the proposed program is a Ph.D. in Exercise Science within the KHP Department. Ms. Sparks stated that AACR 5 is a new degree program

in Clinical Laboratory Science. She asked Dr. Holsinger to give a few brief remarks concerning the last proposal. Dr. Holsinger commented that over the course of the last five years, the Medical Center has gone through a series of additional degree proposals and this is the last of that group and it will complete our current list of proposed degree programs. He stated that the list includes the creation of the Ph.D. program in Rehabilitation Sciences in the College of Allied Health Professions, the Ph.D. in Gerontology, the Master of Public Health and the Doctor of Public Health degree programs, a doctorate in Nursing Practice, and the Master Degree in Physician Assistant Studies.

Ms. Sparks moved approval of ACCR 1-5. Mr. Grayson seconded the motion, and it passed. (See ACCR 1, 2, 3, 4, and 5 at the end of the Minutes.)

J. Acceptance of Interim Financial Report for the University of Kentucky for the Four Months Ended October 31, 2000 (FCR 1)

Mr. Chellgren reported that the Finance Committee met earlier in the day and has a full agenda for the Board to consider. He stated that FCR 1 is the acceptance of the Interim Financial Report for the University of Kentucky for the Four Months of the Fiscal Year Ended October 31, 2000. He noted that revenues are at 41% of budget with expenses at 33% of budget. He further noted that there were no particular items that need to be brought to the Board's attention in terms of revenues, expenses or the balance sheet. Mr. Chellgren moved the adoption of FCR 1. The motion, seconded by Ms. Wilson, passed. (See FCR 1 at the end of the Minutes.)

K. Disposal of Surplus Property (FCR 2)

Mr. Chellgren stated that FCR 2 and FCR 3 could be dealt with together. He noted that FCR 2 is a very conventional disposal of surplus properties, which included property from the College of Allied Health that is going with a professor who has accepted a position at the University of Arizona. He also noted that FCR 3 is a very conventional lease, some seventeen months in duration, 4400 square feet of space, with an annual rent of some \$73,309. Mr. Chellgren moved the adoption of FCR 2 and 3. The motion, seconded by Dr. Meriwether, passed. (See FCR 2 and FCR 3 at the end of the Minutes.)

L. Capital Construction Report (FCR 4)

Mr. Chellgren stated that FCR 4 details the current construction efforts of the University. He commented that the Finance Committee reviewed five of the projects in detail; the progress made on the Seaton Center, the new 301-space parking structure, the Mechanical Engineering building, the Allied Health building, and the early stages of the Bio-Medical Research building. He reported that all of these projects are moving along well, essentially on time and on budget. Mr. Chellgren moved the adoption of FCR 4. The motion, seconded by Dr. Reedy, passed. (See FCR 4 at the end of the Minutes.)

M. 2000-2001 Budget Revisions (FCR 5)

Mr. Chellgren stated that FCR 5 is the quarterly review of the budget and budget adjustments during the progress of the academic fiscal year. He noted that these revisions are quite small, less than \$700,000 in revenue and expenditures on the general fund and about \$250,000 in income and expenditures on the restricted funds. Mr. Chellgren moved the adoption of FCR 5. His motion, seconded by Dr. Meriwether, passed. (See FCR 5 at the end of the Minutes.)

N. Funds for the Operation of Kentucky Healthcare Enterprises, Inc. (KHE, INC.)  
(FCR 6)

Mr. Chellgren stated that in 1995, the Board of Trustees approved the creation of a for-profit corporation by the name of Healthcare Enterprises, Inc. that currently owns and manages fourteen medical practices that have a significant impact on generating patients for the University of Kentucky Medical Center and Hospital. He noted that although this for-profit corporation runs some modest operating losses, it does, in the overall scheme of the Medical Center and Hospital, run a profit. He explained that this is considered a business development expenditure, or patient development expenditure, for the Hospital and the Medical Center. He noted that the acceptance of this resolution would authorize the Hospital to expend \$4.5 million, \$2.5 million, and \$2.3 million in the next three fiscal years to the Healthcare Enterprises, Inc. He further noted that the Board of Directors of the Hospital also supports this recommendation. Mr. Chellgren moved the adoption of FCR 6. The motion, seconded by Dr. Mather, passed. (See FCR 6 at the end of the Minutes.)

Dr. Meriwether commented that the University moved to provide a for-profit institution to buy and/or manage practices. He explained that managed care has taken a different turn and the expected capitation is not taking place and the University has withdrawn from a lot of those areas. He continued by stating that medicine is a business to a certain degree and there's marketing in every business. He noted that we currently have primary care physicians and referring physicians in the community that we can offer services to; and these aren't necessarily black bottom line services that we offer to them, yet they yield a very substantial black bottom line from the stand point of our Medical Center as compared to some of the others in the country. He stated that this is a very conservative figure and the Hospital Board will come back to the Board of Trustees with other marketing ideas with ways to spend money to make money. He noted that it will seem to be a loss on the front end, but when you start to take into account the number of tests, the number of services and the feedback that one gets from patients being sent into the university, then whatever red we have turns to black pretty quick. He commended Frank Butler and Dr. Holsinger on their superb job of managing the facility.

Mr. Williams asked what the totals expenditures are to date. Mr. Butler replied that they are currently at \$24.2 million.

Dr. Meriwether stated that the University of Kentucky has been a participant in CHA for quite some time; however, CHA had to stand up in front of some of these businesses and take a hit for a while on the losses that CHA had. He noted that we are now in the black with CHA and actually making money there. He explained that CHA is a for-profit, insurance company and it's an example of how you get something back from this. He concluded by stating that sometimes it doesn't come in the first year.

O. Consent Agenda (FCR 7-25)

Mr. Chellgren stated that the following items would be voted upon as a consent agenda:

FCR 7 – an anonymous gift of \$200,000 and pledge of \$800,000 for the William T. Young Library Endowment to support the library collection for the benefit of research and graduate programs of the University,

FCR 8 – a gift of \$25,000 and a pledge of \$25,000 from Judge Henry Meigs to establish the Class of 1949 Fellowship Endowment in the College of Law,

- FCR 9 – a gift of \$5,000 and a pledge of \$43,000 from Frank J. Winchester and gifts of \$2,330 from other individuals to create and endow the James Stephen Brown Graduate Appalachian Studies Fund in the College of Arts and Sciences,
- FCR 10 – to establish and endow two professorships in the Department of English in the College of Arts and Sciences from proceeds totaling \$300,000 from a trust established by the late George B. Tuggle,
- FCR 11 – a pledge of \$1,000,000 from the Humana Foundation for the William T. Young Library Endowment to support the library collection for the benefit of research and graduate programs of the University,
- FCR 12 – a pledge of \$50,000 from Jack W. Graham for the William T. Young Library Endowment to support the library collection for the benefit of research and graduate programs of the University,
- FCR 13 – changing the name of an endowment from the Professorship in Obstetrics and Gynecology to the John R. van Nagell, M.D. Professorship/Chair in Gynecologic Oncology and approve setting aside \$55,000 a year for the next five years from the Telford Foundation's annual gift of approximately \$135,000 to the College of Medicine to support the endowment,
- FCR 14 – a pledge of \$150,000 from Kentucky River Coal Corporation for the William T. Young Library Endowment to support the library collection for the benefit of research and graduate programs of the University,
- FCR 15 – a pledge of \$50,000 from Lion Apparel, Inc. to create and endow a graduate student fellowship in the Department of Interior Design, Merchandising and Textiles in the College of Human Environmental Sciences,
- FCR 16 – a pledge of \$100,000 from Morton Holbrook for the William T. Young Library Endowment to support the library collection for the benefit of research and graduate programs of the University,
- FCR 17 – a gift of \$100,000 and a pledge of \$150,000 from Mrs. W. L. Lyons Brown for the William T. Young Library Endowment to support the library collection for the benefit of research and graduate programs of the University,
- FCR 18 – gifts totaling \$45,500 from Peter Perlman, his friends and colleagues and a pledge of \$4,500 from R. Scott Madden to create and endow the Peter Perlman Fellowship in the College of Law,
- FCR 19 – a pledge of \$50,000 from the Paducah Junior College, Inc., Board of Trustees to create and endow a professorship at the College of Engineering's Extended Campus Program in Paducah,
- FCR 20 – a pledge of \$100,000 from the R. D. and Joan Dale Hubbard Foundation for the William T. Young Library Endowment to support the library collection for the benefit of research and graduate programs of the University,
- FCR 21 – a gift of \$250,000 from Ronald McDonald House Charities of the Bluegrass, Inc. to establish a mobile dental service program in the College of Dentistry,
- FCR 22 – a pledge of \$25,000 from Rose Mary C. Brooks for the William T. Young Library Endowment to support the library collection for the benefit of research and graduate programs of the University,
- FCR 23 – a pledge of \$250,000 from Stonerside Stable for the William T. Young Library Endowment to support the library collection for the benefit of research and graduate programs of the University,
- FCR 24 – gifts totaling \$76,660 and pledges of \$213,750 to the Wendell H. Ford Professorship in Public Policy in the University's James W. Martin School of



Public Policy and Administration and the Wendell H. Ford Research Center and Public Policy Archives in the William T. Young Library, and FCR 25 – a gift of \$50,000 from Dr. William A. and Anne T. Withington and pledges of \$18,510 from friends of the Withington's to establish and endow the Dr. William A. and Anne T. Withington Graduate Student Support Fund in Geography in the Department of Geography in the College of Arts and Sciences.

Mr. Chellgren moved the adoption of FCR items 7-25. The motion, seconded by Mr. Glenn, carried. (See FCR 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24 and 25 at the end of the Minutes.)

P. Resolution of the Board of Trustees of the University of Kentucky ("State Agency") Approving a Financing/Lease Agreement Among the State Agency, the Kentucky Asset/Liability Commission, the Commonwealth of Kentucky State Property and Buildings Commission and the Finance and Administration Cabinet of the Commonwealth of Kentucky for the Purpose of Providing Interim Financing for an Authorized Project in Anticipation of the Issuance of Bonds to Provide Permanent Financing for Said Project (FCR 26)

Mr. Chellgren stated that FCR 26 is the first phase of the enabling resolutions to provide long-term bond financing for four capital projects, the Aging Allied Health Building, the Crisp Building replacement, the Center for Rural Health, and the Biomedical Sciences Research Building. He noted that this resolution permits the university to provide interim financing for these building projects. Mr. Chellgren moved the adoption of FCR 26. The motion, seconded by Mr. Guthrie, passed. (See FCR 26 at the end of the Minutes.)

Q. Resolution of the Board of Trustees of the University of Kentucky Accepting the Successful Bid for the Purchase of \$10,450,000 Principal Amount of University of Kentucky Housing and Dining System Revenue Bonds, Series R, Dated December 1, 2000 (FCR 27)

Mr. Chellgren stated that FCR 27 is the approval of the sale of \$10.45 million of University of Kentucky Housing and Dining System Revenue Bonds, Series R, at a net interest cost of some 5.0974%. He noted that these were subject to bids with ten competitive bidders. Mr. Chellgren moved the adoption of FCR 27 to authorize the university to accept the successful bid of Paine Webber, Inc. with reference to these bonds. The motion, seconded by Ms. Wilson, passed. (See FCR 27 at the end of the Minutes.)

R. Investment Committee Report

Mr. Grayson reported that during the quarter of June 30, 2000 through September 30, 2000, the University had approximately \$400 million in the portfolio. The portfolio had a growth of about \$16 million with \$13 million from actual gifts and \$3 million from investments. He noted that during the change in investment managers, the funds were put in an overnight fund and were not in the market. Due to this and the interest earned on the money, the University gained some \$1 million in its portfolio. He reiterated the committee's confidence in the investment managers despite the current market trends.

S. Presidential Search Committee Report

Ms. Wickliffe asked if there were any Board members that did not have a copy of the report of the town meetings.

Dr. Stumbo moved that the Board of Trustees go into closed sessions to discuss matters which might lead to the appointment of an individual as President of the University of Kentucky. He stated that the reason for closing the meeting is to allow full discussion and to protect the privacy interests of persons being discussed. He further explained that the Kentucky revised statute section 61.810 (1) (f) authorizes the closing of the meeting and that the intent of his motion for a closed session is to provide an opportunity for the Chair of the Presidential Search Committee to brief members of the Board of Trustees on specifics relating to potential presidential candidates and to protect the privacy interests of these persons relative to details that might otherwise make known their candidacy. Mr. Guthrie seconded the motion. The motion carried. The Board thereupon went into closed session.

T. Regular Session Resumed

Mr. Miles called the Board back into session. He reported that during the closed session the Board received a report on the qualities and attributes of individuals whose candidacy and potential candidacy for the position of President is under consideration by the Committee. He added that no formal action was taken, nor were any specific recommendations or motions formulated to place before the Board of Trustees at this time.

U. Other Business

Mr. Glenn made the following statement:

I wanted to take a moment to explain the issues between myself and the Student Senate. First, I am not guilty of the charges brought against me by certain senators. And I do not believe the charges brought against me are serious enough to justify my removal as President. I intend to appeal the decision of the Senate, and I intend to defend my actions at any future hearing or trial before the Senate. I was not prepared to fully defend myself at the recent meeting due to lack of notice and chose not to present an unprepared defense. Since, one of the charges brought against me involved my duties as a member of this Board, I might be forced to appeal any improper attempt to remove me from office to this Board.

Ms. Wickliffe made the following statement regarding the Presidential Search Committee.

On behalf of the Presidential Search Committee, I am pleased to report to the members of the Board of Trustees that the committee has made substantial progress in carrying out its charge. The search process has produced a pool of some 175 to 180 individuals for the position of President. These include individuals who applied, others who were nominated for the position, and individuals identified as potential candidates by the executive search firm of A.T. Kearney. Following the conclusion of statewide town meetings, the committee finalized the profile and desired attributes and qualities of presidential candidates. During the

past four months, small groups from the committee have conducted initial conversations with approximately 23 potential candidates to determine their ongoing interests in the position and the University's interest in having them as declared candidates. Most of the persons currently hold positions as President, Provost, or Senior Vice President at major research universities around the nation. Follow-up conversations have also occurred with a smaller subset of the 23 individuals mentioned above as part of the process of identifying a reduced group of semi-finalists for the position. The Search Committee has been delighted with the quality of potential candidates and in the interest that has been shown in this position. It speaks well of the stature of the University of Kentucky that such a large pool of highly qualified individuals is willing to be considered for the Presidency. The committee is now prepared to enter into the final phase of its activities. This will involve the identification of a group of finalists to be followed by the selection of the most highly qualified person or persons to present to the Board of Trustees for its consideration. At this point, we are unable to present a definite timetable, but it appears likely that the committee will be able to make a recommendation prior to its target date of March 2001. Because of the conditions of confidentiality requested by potential candidates for this position, I am unable to provide you with specific details about their attributes and experience since greater specificity could lead to a breach of confidence with these persons with particulars of their qualifications specific enough for to lead to their being identified at this time.

Dr. Mather reminded the Board members of the University Senate Council's Annual Holiday Party and invited them all to stop by following the meeting.

V. Meeting Adjourned

There being no further business to come before the Board, the Chairperson adjourned the meeting at 5:17 p.m.

Respectfully submitted,

Daniel R. Reedy  
Secretary  
Board of Trustees

(PR 2, 3A, 4A, 5A, and 5B; ACCR 1, 2, 3, 4, and 5; FCR 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, and 27 which follow are official parts of the Minutes of the meeting.)

Office of the President  
December 12, 2000

1. 'UK's Promise' Offers Services for Area's Youth

President Charles T. Wethington Jr. unveiled "UK's Promise" in late November, announcing the university's commitment to promote character development in young people in the Lexington area and other parts of the state. Several UK colleges, departments and units already have launched programs providing mentoring and other services to young people. UK is the second-largest land grant university to partner with the nationwide program "America's Promise: The Alliance for Youth" directed by retired General Colin Powell.

2. College of Agriculture Dedicates Beef Unit at Animal Research Farm

UK officials dedicated a new cutting-edge beef research unit at its Animal Research Center on the former Pin Oak Farm near Versailles in late November. The 700-acre unit features research pastures, a surgical suite, metabolism study rooms, 48 pens that each accommodate four to six animals, a computerized feeding system, a nutrition center and an innovative waste management facility that will facilitate research about nutrient management from animal waste. An education center will be used for field days and instructional activities. Beef cattle production has overtaken tobacco and become second only to thoroughbred horses in farm cash receipts, contributing nearly \$2 billion annually to the state's economy.

3. Panel Convenes Conference on Research Universities in New Economy

A special committee established by UK Board of Trustees Chair Billy Joe Miles brought together leaders of government, higher education and business in late October to discuss the role of the research university in the New Economy. Among the speakers were state Budget Secretary James Ramsey and Michael Crow, vice provost at Columbia University, who urged universities to be more flexible in establishing partnerships and licensing relationships with the private sector for products and inventions created as a result of research.

4. UK Chandler Medical Center Announces Major Women's Health Initiative

The UK Chandler Medical Center is formalizing a major initiative to tackle women's health issues in Kentucky and the nation by establishing a Women's Health Center and performing several major research projects funded by four grants totaling more than \$15 million over the next five years. The initiative addresses women's health issues through research, clinical, service, educational and leadership efforts.

5. UK Partners With Louisville's Central High to Advance Academics

UK officials signed 55 specific partnership agreements with Louisville's Central High Magnet Career Academy early this month to enhance the school's ability to prepare students for careers in banking and business, computer technology, legal and governmental services and other fields. Students at the magnet school regularly record outstanding scores on state achievement tests and are highly motivated to attend college.

6. Study Finds Outstanding Research from Gatton College Finance Graduates

Graduates from the doctoral program in Finance at the University of Kentucky Carol Martin Gatton College of Business and Economics are among the most published scholars of finance in the country, according to a study conducted in 1999 by researchers at Cleveland State University and Concordia University (Montreal). The study measured the research productivity of recent graduates of 74 finance doctoral programs during their early years as faculty members and ranked the Gatton College's Finance program ninth. The UK program's graduates have better publishing records than those from programs at Stanford, Michigan, Ohio State, Harvard, Northwestern, MIT and New York University.

7. UK Center for Rural Health Receives Major Grant

The federal government has awarded \$800,000 to the UK Center for Rural Health and two community health networks in Perry and Harlan counties to improve health care for people with little or no insurance. The pilot project, the Southeast Kentucky Community Access Program (SKYCAP), could eventually qualify for millions more in federal funding over the next five years. The center will work with Hazard/Perry County Community Ministries and Harlan Countians for a Healthier Community to prevent and manage common, chronic diseases such as diabetes, asthma and heart disease.

8. College of Education Honors 70 'Teachers Who Made A Difference'

The UK College of Education paid tribute to 70 teachers in the William T. Young Library auditorium in late November during its third annual celebration of "Teachers Who Made a Difference." Of the teachers nominated, 21 were current or former UK professors representing several of the university's colleges. UK Board of Trustees member Marian Sims was among the nominees.

9. Ecology, Evolution and Behavior Program Ranks Among Nation's Best

The UK Ecology, Evolution and Behavior Program in the Department of Biological Sciences was ranked 14<sup>th</sup> among the nation's 86 programs, according to findings by Vanderbilt University professor Hugh David Graham and Nancy Diamond. Graham and Diamond base their rankings on the number of citations a program's faculty receive in the research work of other universities' researchers.

10. Twenty-One Medical Researchers Receive Wethington Awards

The UK College of Medicine has presented 21 researchers with the first Charles T. Wethington, Jr. Research Excellence Awards. These awards, funded by the College of Medicine, recognize exemplary efforts in College of Medicine faculty research and encourage research productivity that could move the University toward top-20 status among public institutions. Each researcher receives \$20,000 with the award. The recipients are listed in Significant Activities of Faculty and Staff.

11. UK Researcher Discovers New Protein on Leukemia Stem Cells

A research team led by Craig Jordan, Internal Medicine and the UK Markey Cancer Center, has discovered a protein, called CD123, on the surface of leukemia stem cells. Because the CD123 protein is not found on normal stem cells, this research opens up the possibility of designing drugs to attack only the leukemia stem cells and leave normal stem cells untouched. The results of the research were published in the October issue of the journal *Leukemia*.

12. UK Study Finds Creatine Protects Against Traumatic Brain Injury

Creatine, a food supplement frequently used by professional and amateur athletes, may prevent brain damage following traumatic brain injury, according to a new research study led by Stephen Scheff, UK Sanders-Brown Center on Aging and Anatomy and Neurobiology. The study was published in the November issue of *Annals of Neurology*.

13. Geological Survey Releases Atlas of Kentucky's Coal Resources

The Kentucky Geological Survey has released a digital coal atlas that offers detailed maps and charts of the state's original and remaining coal resources in eastern and western Kentucky. The atlas was completed as part of a national program to assess remaining coal resources available for mining.

14. Georgetown College Students Will Take Graduate Courses at UK

UK officials finalized an agreement with Georgetown College to permit seniors at the college to pursue graduate degrees in public administration at UK while they complete their undergraduate work. Credits earned in the UK Martin School of Public Policy and Administration graduate program will be applied toward the students' baccalaureate studies at Georgetown. The program was proposed by former U.S. Senator Wendell Ford, a teaching fellow in the Martin School, and former Governor Martha Layne Collins, Executive Scholar in Residence at Georgetown.

15. UK Offers 'Sample' of Graduate School With G.I.F.T. Program

Any college or university graduate now has the chance to take one three-credit-hour, non-degree graduate course during the spring semester free of charge under the UK Graduate School's new Graduate Incentive Free Tuition (G.I.F.T.) program. The spring pilot program is intended to appeal to persons who have been considering graduate education but have been hesitant about returning to school.

16. LCC Partners With UPS to Provide Classes

Lexington Community College has partnered with United Parcel Service (UPS) and two other local colleges to launch the Delivering College at Work program. LCC is providing onsite accelerated college credit courses to employees of the Lexington UPS headquarters, with a long-term goal of providing UPS employees the opportunity to earn a college degree while continuing to work.

17. LCC Team Selected to Design Lexington Legends Retail Store

The Lexington Community College chapter of Students in Free Enterprise was selected to design and implement the complete retail-merchandising plan for the new Lexington Legends minor-league baseball team's retail store. Under the direction of Faculty Advisor Virginia Fairchild, the national award-winning LCC chapter established the store in Turfland Mall in late October.

18. Transportation Center Will Assist Russians with Bridge Research

The Kentucky Transportation Center and the Federal Highway Administration will establish a working relationship between the state of Kentucky and the Russian state of Perm to improve bridges in that nation. This work is part of an ongoing effort to share technology with Russia.

19. IHDI Joins Fifth-Third Bank on Assistive Technology Loan Program

UK's Interdisciplinary Human Development Institute is providing project management and operational support for a program that makes available low-interest loans to individuals with disabilities who need assistive technology. Assistive technology is defined as items, equipment and devices such as hearing aids, computers, augmentative communication devices, wheelchair ramps and van lifts that improve a person's independence and quality of life.

20. Conference Discusses Future of Kentucky's Families, Children

Educators, community service workers and government officials reviewed child and family research and how it can impact their work and the lives of thousands of people at the first "Children and Families Conference: Bridging Research and Practice," sponsored by the colleges of Human Environmental Sciences and Social Work earlier this month.

21. College of Education, Athletics Launch 'Books For Children'

The UK College of Education Coalition of Student Organizations and the University of Kentucky Athletics Department have partnered to launch "Books for Children." The program collects new books and provides them to various local agencies to distribute to underprivileged children.

22. Constitutional-Change Advocate Wins National Attention

UK journalism Professor Richard Labunski, who recently published *The Second Constitutional Convention: How the American People Can Take Back Their Government*, received national attention before and after the November election for his advocacy of an amendment to eliminate the Electoral College. He has been interviewed by *USA Today*, *Newsday*, the *San Francisco Chronicle* and the *Atlanta Journal Constitution* and has been a guest on numerous radio and television shows throughout the country.



### 23. Student Honors

Suzie Allard, Communications and Information Studies, was awarded a Mensa Education and Research Foundation Scholarship for the 2000-2001 academic year.

Chandra K. Arts, Communications and Information Studies, received the 2000 Mary A. Gardner Graduate Student Research Award at the Association for Education in Journalism and Mass Communication Conference in August.

Vincent Blanch, Medicine, has been named chair of student affairs for the Southern Region of the Organization of Student Representatives to the Association of American Medical Colleges.

Arthon Suttigarn and Min Wang, Civil Engineering graduate students, received Best Student Research Poster Awards at the American Water Works Association regional meeting for results of drinking water research.

Shannon Sexton and Lisa Hayden, Law, won the championship at the Second Annual Kentucky Mock Trial Tournament, narrowly defeating classmates Tim Feld and Kenny Peden.

Ten post-baccalaureate, master's and doctoral candidates representing five disciplines recently received UK's Graduate Certificate in Gerontology: Jean Downey, Vicky Fuqua, Nancy Gordon, Mary Greenwell, Eric Hadley, Eva Holtzer, Laura Justice, Carole Olson, Sallie Prewitt, and Linda Stetson.

The UK chapter of the American Institute of Chemical Engineers (AIChE) has been selected as an Outstanding AIChE Student Chapter for 1999-2000.

### 24. Significant Activities of Faculty and Staff

Robert J. Baumann, Neurology and Pediatrics, has been appointed visiting professor at the Facultad De Ciencias Medicas, Universidad Central Del Ecuador in Quito, Ecuador.

Tony Baxter, Ken Calvert and Jurek Jaromczyk, Computer Science, met with high school students in the Rogers Scholars and Robinson Scholars programs and gave presentations on the UK Computer Science program, computer networking and fast-encoding algorithms.

Joseph Berger, Neurology, received the George E. Locke Neuroscience Award from the Charles R. Drew University of Medicine and Science. He also was a member of the evaluation panel for review of grants for Neurosciences and Endocrine Systems and a speaker at the XIX Brazilian Neurology Congress.

Nausherwan Burki, Pulmonary and Critical Care Medicine, has been appointed to the editorial board of the journal *CHEST* for five years and has been elected secretary to the Chest Section of the Southern Medical Association and as a member of the Continuing Education Committee of the American College of Chest Physicians.

Roy Burris, Les Anderson, Benjamin Mikel and Darrh Bullock, Animal Sciences; Jimmy Henning, Agronomy; John Anderson and Lee Meyer, Agricultural Economics; Curtis Absher, Extension; and Doug Shepherd, county Extension agent, received a U.S. Department of Agriculture grant of \$750,000 for research and Extension programs to enhance beef cattle production in Kentucky.

Ken Calvert, Computer Science, has been awarded \$112,382 by the National Science Foundation Information Technology Research program for his proposal, "Collaborative Research in Internet Topology Models: A Foundation for Large-Scale Simulations." The project, funded for 36 months, is a collaborative effort with Ellen Zegura of Georgia Institute of Technology. The project extends previous work completed on Internet topology models.

Donald Case, Communications, published "Stalking, Monitoring and Profiling: A Typology and Case Study of Harmful Uses of Caller ID" in *New Media and Society*.

Mike Cavagnero, Physics, was elected a Fellow of the American Physical Society.

Carol Cottrill, professor emeritus of Pediatrics, was the UK nominee for the Association of American Medical Colleges Humanism in Medical Education Award.

Carol Elam, College of Medicine, has been elected national vice chair-elect of the Association of American Medical Colleges' Group on Student Affairs for 2001 to 2002.

William G. Elder, Family Practice, has been elected to serve on the executive board of directors of the Association for the Behavioral Sciences and Medical Sciences (ABSAME). ABSAME is one of the organizations that constitute the Council of Academic Societies, one of three governing councils of the Association of American Medical Colleges.

Stephen Gedney, Electrical Engineering, published the paper "Perfectly Matched Layer Media for an Unconditionally Stable Three-Dimensional ADI-FDTD Method" (with G. Liu) in the July 2000 issue of *IEEE Microwave and Guided Wave Letters*. He also published "Full-Wave CAD Based Design of a Finite Ground CPW Directional Filter" (with L. Hamilton, P. Petre, and D. Yap) in the September 2000 issue of *International Journal of RF and Microwave Computer-Aided Engineering*. Gedney also wrote two chapters for the second edition of the book *Computational Electrodynamics: The Finite-Difference Time-Domain Method*.

Juanita Graves, Center for Robotics and Manufacturing Systems, has been named to the board of directors of the Kentucky Chamber of Commerce Certified Partnership Program.

David Harmon, Animal Sciences, received a grant of \$160,000 to study the nutritional regulation of pancreatic amylase in ruminants. Amylase is an enzyme that aids digestion of starches.

Art Jacob, Pharmacy, was named the 2000 National Community Pharmacists Association's Preceptor of the Year.

Richard W. Jefferies, Anthropology, assumed the position of president of the Southeastern Archaeological Conference at the organization's annual meeting in Macon, Georgia.

Laura D. Jolly, Interior Design, Merchandising and Textiles, has been named a Fellow of the International Textile and Apparel Association.

Debbie Kelly, Lexington Community College, was named "Dental Hygienist of the Year" by the Bluegrass District Dental Hygiene Society.

Ratnesh Kumar, Electrical Engineering, has been elevated to the grade of Senior Member of the Institute of Electrical and Electronics Engineers.

Forbes Lewis, Computer Science, has organized a nationwide series of graduate school workshops designed to provide minority students with a realistic picture of graduate study in computer science and engineering.

Peter D. Little, Anthropology, will share in a \$1.1 million extension grant from the U.S. Agency for International Development to study risk management and the problems with food supply and hunger in northern Kenya and southern Ethiopia. He is working with colleagues at Utah State University, Cornell University, Yale University, Egerton University in Kenya and the International Livestock Research Institute based in Kenya. Little also was awarded a grant from the John D. and Catherine T. MacArthur Foundation for a project on "Economy without State: Accumulation and Survival in the Somalia Borderlands."

D. Manivannan, Computer Science, has received a \$220,000 National Science Foundation CAREER award for his proposal "Design and Implementation of Fault-Tolerant Distributed Computing Systems."

Veronica Miller, Lexington Community College, was recently appointed to the board of directors of the Kentucky Association on Higher Education and Disability.

Bobby Pass, Entomology, received a grant of \$117,000 from the U.S. Department of Agriculture to conduct an agricultural pest survey in Kentucky.

Karen Petrone, History, has published *Life Has Become More Joyous, Comrades: Celebrations in the Time of Stalin* (Indiana University Press).

Stephen Rankin, Chemical and Materials Engineering, has received a U.S. Department of Energy Defense Programs Early Career Scientist and Engineer Award. Also, he published the paper "Dynamic Monte Carlo Simulation of Gelation with Extensive Cyclization" (with co-authors L. Kasehagen, A. McCormick, and C. Macosko) in *Macromolecule*.

Kozo Saito, Mechanical Engineering, has been elected a Fellow of the American Society of Mechanical Engineers.

Will Snell, Agricultural Economics, has been appointed to Governor Paul Patton's Tobacco Marketing and Export Advisory Council, which is charged with developing plans to ensure global competitiveness and future growth in Kentucky's burley industry.

Staff of the Interdisciplinary Human Development Institute's Mid-South Regional Resource Center organized a national training event in Washington, D.C. for employees of state departments of education on customizing a CD-ROM about the Individuals with Disabilities Education Act.

Jennifer Thompson, Forestry, received an Environmental Merit Award from the federal Environmental Protection Agency for her work in the Kentucky Natural Resources Leadership Institute.

Tim Woods, Lionel Williamson and graduate student Heath Hoagland, Agricultural Economics, received a second round of funding (\$193,000) from the U.S. Department of Agriculture to provide educational support for emerging and established agricultural cooperatives. Woods also has been appointed to serve as economist for the Commonwealth's Phase I Tobacco Settlement Board.

Eighteen Lexington Community College faculty members have been recognized for their teaching excellence with inclusion in *Who's Who Among America's Teachers 2000*. The honor is reserved for teachers selected by one or more of their former students who themselves have been distinguished by being listed in either *Who's Who Among American High School Students* or *The National Dean's List*. The honorees are Margaret Bennett Allen, Patricia Cardinal Flanary, Donald Gordon Hardwick, Robert F. Hayes, Laura Gardner Heyrman, Kay M. Holley, Debbie L. Holt, Cynthia O. Ingle, Susan Chenault Kavanaugh, Debra Humphrey Kelly, Kristan Lenning, Tammy Jo Liles, Ninfa Elena Lloyd, Pat Parsley Miller, Hossein Motamedi, Holly J. Pelfrey, Thomas Foster Rogers IV and Shirley A. Whitescarver.

Recipients of the 2000 Charles T. Wethington, Jr. Awards are:

Rosemarie Booze, Anatomy and Neurobiology; Wayne Cass, Anatomy and Neurobiology; Robert C. Dickson, Biochemistry; Steven Estus, Physiology and UK Sanders-Brown Center on Aging; Thomas C. Foster, Pharmacology; Don M. Gash, Anatomy and Neurobiology; Greg Gerhardt, Anatomy and Neurobiology and principal investigator of the Morris K. Udall Parkinson's Disease Research Center of Excellence at UK and the UK Center for Sensor Technology; Kurt Hauser, Anatomy and Neurobiology; Louis B. Hersh, Biochemistry; David Kaetzel, Pharmacology; Philip Landfield, Pharmacology; Timothy McClintock, Physiology; Douglas McMahan, Physiology; Ok-Kyong Park-Sarge, Physiology; Kevin Sarge, Biochemistry; Stephen Scheff, Anatomy and Neurobiology, Neurology, and Psychology; Kim Seroogy, Anatomy and Neurobiology; Eric Smart, Physiology; George Smith, Physiology; Joe Springer, Anatomy and Neurobiology; and Salvatore Turco, Biochemistry and Internal Medicine.

# PR 2

Office of the President  
December 12, 2000

Members, Board of Trustees:

## PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

0197

**CENTRAL ADMINISTRATION**

**I. BOARD ACTION**

**A. ADMINISTRATIVE APPOINTMENTS**

**Vice President for Information Systems**

Tibe, John, Information Technology Manager III, named Director, Enterprise Computing & Communications Systems, effective 12/13/00.

**Vice President for Research and Graduate Studies**

Geertsema, Arie, Associate Professor\* (with tenure), Chemical and Materials Engineering, appointed Director, Center for Applied Energy Research, 1/1/01 through 12/31/06.

Reid, Herbert G., Professor (with tenure), Political Science, appointed Director, Appalachian Center, 11/1/00 through 6/30/03.

**B. CHANGES**

**Vice President for Fiscal Affairs**

Clevidence, Kenneth F., from Senior Director, Capital Construction Project Management to Associate Vice President for Fiscal Affairs, effective 1/1/01.

**Vice President for Information Systems**

Cox, Penny, from Planning & Budget Director, Information Systems, to Associate Vice President, Administration & Finance, Information Systems, effective 12/13/00.

**C. RETIREMENTS**

**Vice President for Fiscal Affairs**

Cartmell, Elizabeth, Staff Assistant VIII, Human Resource Services, after 14 years and 4 months of consecutive service, under AR II-1.6-1 Section III.B, effective 1/31/01.

Henninger, Thomas R., Associate Vice President, Fiscal Affairs, after 5 years and 3 months of consecutive service, under AR II-1.6-1 Section III.B, effective 12/31/00.

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\*Special Title Series

Vice President for Information Systems

Thornton, Wilma, Library Technician, University Libraries, after 10 years of consecutive service, under AR II-1.6-1 Section III.B, effective 10/26/00.

**D. EARLY RETIREMENTS**

Vice President for Fiscal Affairs

Cox, Mildred Ruth, Personnel Tech, Human Resource Services, after 23 years and 2 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 1/02/01.

Griffin, Harold M., Custodial Worker Supervisor I, Physical Plant Division, after 31 years and 2 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 1/19/01.

Higgins, William E., Custodial Worker II, Physical Plant Division, after 37 years and 11 months of consecutive service, under AR II-1.6.1 Section III.A.2, effective 1/19/01.

Johnson, Jestine R., Truck Driver II, Physical Plant Division, after 42 years and 4 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 1/19/01.

Vice President for Information Systems

Hays, Cathy, Librarian Technician, University Libraries, after 19 years of consecutive service, under AR II-1.6-1 Section III.A.2, effective 12/29/00.

Vice President for Management and Budget

True, Nancy L., Administrative Support Associate, after 9 years and 4 months of consecutive service and a total of 29 years and 6 months of service, under AR II-1.6-1 Section III.A.2, effective 11/15/00.

Vice President for Research and Graduate Studies

Allen, Anne D., Conflict of Interest Administrator, Office of Sponsored Projects Administration, after 21 years and 10.5 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective February 28, 2001.

Holland, Wilgus, Research Analyst, Tobacco and Health Research Institute, after 34 years and 8 months of service, under AR II-1.6-1 Section III.A.2, effective August 8, 2000.

**II. ADMINISTRATIVE ACTION**

**A. ACADEMIC APPOINTMENTS**

Vice President for Information Systems

Doyle, Ann S., Librarian III, Young Library, University Libraries, effective 11/14/00 through 6/30/01.

Neel, Mary Jo Staggs, Librarian IV, Maps/Storage Facility, University Libraries, effective 11/1/00 through 6/30/01.

**B. ADMINISTRATIVE APPOINTMENTS**

Vice President for Fiscal Affairs

Gatewood, D. Michael, Accounting Manager Senior, Treasury and Endowment Services, Office of Controller and Treasurer, effective 11/20/00.

Vice President for Research and Graduate Studies

Kimbrough, James T., Disability Program Administrator Associate, Interdisciplinary Human Development Institute, effective 11/6/00.

**C. CHANGES**

Vice President for Information Systems

Denomme, Mark, Information Resources Manager to Technical Director, Academic Support Services, effective 12/13/00.

Vice President for Research and Graduate Studies

Harrison, Ronnie N., Disability Program Administrator II, Interdisciplinary Human Development Institute to Disability Program Administrator III, Interdisciplinary Human Development Institute, effective 10/07/00.

White, Alayne L., Institute on Women and Substance Abuse Director, College of Medicine Department of Psychiatry to Institute on Women and Substance Abuse Center on Drug and Alcohol Research, effective 11/1/00.

**D LEAVES OF ABSENCE**

Vice President for Information Systems

Johnson, Maggie, Librarian I, William T. Young Library, sabbatical leave with full pay effective 2/15/01 through 8/15/01.



**LEXINGTON CAMPUS**

**I. BOARD ACTION**

**A. ACADEMIC APPOINTMENTS**

**College of Engineering**

Geertsema, Arie, Associate Professor\* (with tenure), Chemical and Materials Engineering, effective 1/1/01.

Hayes, Jane E., Assistant Professor, Computer Science, 1/1/01 through 12/31/02.

Keane, Mark A., Associate Professor (temporary), Chemical and Materials Engineering, 10/2/00 through 6/30/01.

Saito, Kozo, Professor (with tenure), Mechanical Engineering, appointed to the Tennessee Valley Authority Professorship in Mechanical Engineering, 1/1/01 through 12/31/05.

**B. ADMINISTRATIVE APPOINTMENTS**

**College of Human Environmental Sciences**

Forsythe, Hazel, Associate Professor (with tenure), Nutrition and Food Science, and Acting Chair, Nutrition and Food Science, appointed Chair, Nutrition and Food Science, 11/1/00 through 6/30/06.

**Vice Chancellor for Academic Services**

Sugarman, Roger P., appointed Director, Assessment and Institutional Data, effective 11/1/00.

**C. REAPPOINTMENTS**

**College of Education**

Kleinert, Harold, Associate Adjunct Professor, Special Education and Rehabilitation Counseling, 1/1/01 through 5/15/01.

**Graduate School**

Motzer, Earl J., Associate Adjunct Professor, Martin School of Public Policy and Administration, 7/1/00 through 6/30/01.

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\*Special Title Series

#### **D. RETIREMENTS**

##### College of Agriculture

Frye, Wilbur W., Professor (with tenure), Agronomy, and Director, Regulatory Services, after 25 years and 11 months of consecutive service, under Section III.B of AR II-1.6-1, effective 10/31/00.

Little, Charles Oran, Professor (with tenure), Animal Sciences, Dean, College of Agriculture, Director, Agricultural Experiment Station, and Director, Cooperative Extension Service, after 12 years and 6 months of consecutive service and a total of 37 years and 4 months of service, under Section III.B of AR II-1.6-1, effective 1/2/01.

##### College of Business and Economics

Harvey, Curtis E., Professor (with tenure), Economics, after 31 years and 5 months of consecutive service, under Section III.B of AR II-1.6-1, effective 12/31/00.

#### **E. EARLY RETIREMENTS**

##### College of Agriculture

Carlos, Jose R., IS Tech Support Specialist I, Agricultural Communications Services, after 17 years of consecutive service and a total of 20 years and 11 months of service, under Section III.A.2 of AR II-1.6-1, effective 1/31/01.

##### College of Arts and Sciences

Young, Roy N. Jr., Staff Support Associate II, Physics and Astronomy, after 22 years and 5 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 1/3/01.

##### College of Education

Van Meter, Eddy J., Professor (with tenure), Administration and Supervision, after 18 years and 4 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 12/31/00.

##### College of Engineering

Distler, Raymond J., Associate Professor (with tenure), Electrical Engineering, after 42 years and 11 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 12/31/00.

Hammond, Patricia, Administrative Coordinator I, Center for Robotics and Manufacturing Systems, after 34 years and 7 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 1/5/01.

Vice Chancellor for Academic Services

Williams, Dorothy Gay, Student Affairs Officer II, Central Advising Service, after 14 years and 10 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 12/29/00.

Vice Chancellor for Administration

Mitchell, Leroy, Custodial Supervisor I, Cooperstown/Shawneetown, Auxiliary Services, after 21 years and 11 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 10/4/00.

**F. POST-RETIREMENT APPOINTMENTS**

College of Agriculture

Hall, Betty J., County Secretary (part-time), Cooperative Extension Service, 1/2/01 through 6/30/01.

University Extension

Pfanstiel, Earl, Program Representative/Recruiter (part-time), Independent Study Program, 12/13/00 through 6/30/01.

**II. ADMINISTRATIVE ACTION**

**A. ACADEMIC APPOINTMENTS**

College of Agriculture

Gassett, Jonathan W., Assistant Adjunct Professor, Forestry, 11/24/00 through 6/30/01.

College of Arts and Sciences

Wade, Brian D., Assistant Professor, Military Science, 1/1/01 through 12/31/03.

College of Business and Economics

Harrod, Steven S., Instructor (part-time), Management, 1/1/01 through 5/15/01.

Lee, Sang W., Instructor (temporary), Management, 1/1/01 through 5/15/01.

Owens, Rebecca R., Instructor (part-time), Management, 1/1/01 through 5/15/01.

College of Education

- Baruti, Aminata, Instructor (part-time), Kinesiology and Health Promotion, 1/1/01 through 5/15/01.
- Berryman, Lizabeth, Instructor (part-time), Curriculum and Instruction, 1/1/01 through 5/15/01.
- Burk, Charles, Instructor (part-time), Kinesiology and Health Promotion, 1/1/01 through 5/15/01.
- Evans, Hallie, Instructor (part-time), Curriculum and Instruction, 1/1/01 through 5/15/01.
- Fleming, Bernard, Instructor (part-time), Educational and Counseling Psychology, 1/1/01 through 5/15/01.
- Hayslett, Robert, Instructor (part-time), Kinesiology and Health Promotion, 1/1/01 through 5/15/01.
- Hickey, Ron, Instructor (part-time), Administration and Supervision, 1/1/01 through 5/15/01.
- Hull, Barbara, Instructor (part-time), Curriculum and Instruction, 1/1/01 through 5/15/01.
- Hutchinson, Judy, Instructor (part-time), Curriculum and Instruction, 1/1/01 through 5/15/01.
- O'Connell, Debra, Instructor (part-time), Educational and Counseling Psychology, 1/1/01 through 5/15/01.
- Pruitt, Beth Anne, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/01 through 5/15/01.
- Rogers, Jackie, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/01 through 5/15/01.
- Rogers, Pamela, Instructor (part-time), Administration and Supervision, 1/1/01 through 5/15/01.
- Snodgrass, H.M., Instructor (part-time), Administration and Supervision, 1/1/01 through 5/15/01.

College of Engineering

- Khraisheh, Marwan, Assistant Professor, Mechanical Engineering, 7/1/01 through 6/30/03.

College of Human Environmental Sciences

- Anderson, Joan, Assistant Professor, Interior Design, Merchandising and Textiles, 1/1/01 through 6/30/01.
- Bell, Marcia, Instructor (part-time), Family Studies, 1/1/01 through 5/15/01.
- Payne, Joey, Instructor (part-time), Nutrition and Food Science, 1/1/01 through 5/15/01.

Lexington Community College

Eckert, Eric W., Instructor (voluntary), Lexington Community College, 10/26/00 through 6/30/03.

Nashnoush, Wafa, Instructor (voluntary), Lexington Community College, 10/3/00 through 6/30/03.

Schoenstra, Tom, Instructor (voluntary), Lexington Community College, 10/26/00 through 6/30/03.

**B. ADMINISTRATIVE APPOINTMENTS**

College of Agriculture

Harmon, Robert J., Professor (with tenure), Animal Sciences, appointed Acting Chair, Animal Sciences, 12/1/00 through 6/30/01.

Harrison, Lenn, Professor\* (with tenure), Veterinary Science, and Acting Chair, Veterinary Science, reappointed Acting Chair, Veterinary Science, 7/1/00 through 6/30/01.

**C. REAPPOINTMENTS**

College of Business and Economics

Cooley, Edward L., Instructor (part-time), Management, 8/16/00 through 5/15/01.

Wertzler, Jon, Instructor (part-time), Management, 1/1/01 through 5/15/01.

College of Education

Bausch, Margaret, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/01 through 5/15/01.

Bell, Jennifer, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/01 through 5/15/01.

Boggs, Mary, Instructor (part-time), Curriculum and Instruction, 1/1/01 through 5/15/01.

Cole, Kathleen, Instructor (part-time), Kinesiology and Health Promotion, 1/1/01 through 5/15/01.

Daniel, Lois, Instructor (part-time), Curriculum and Instruction, 1/1/01 through 5/15/01.

Easley, Charlotte, Instructor (part-time), Curriculum and Instruction, 1/1/01 through 5/15/01.

Geldhof, Eric, Instructor (part-time), Kinesiology and Health Promotion, 1/1/01 through 5/15/01.

Hale, Betty, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/01 through 5/15/01.

Jackson, Jim, Instructor (part-time), Administration and Supervision, 1/1/01 through 5/15/01.

Leet, Vicki, Instructor (part-time), Curriculum and Instruction, 1/1/01 through 5/15/01.  
Marlin, Amy, Instructor (part-time), Kinesiology and Health Promotion, 1/1/01 through 5/15/01.  
McFarland, Martha, Instructor (part-time), Kinesiology and Health Promotion, 1/1/01 through 5/15/01.  
Meyer, Stacie, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/01 through 5/15/01.  
Nuckols, Leslie, Instructor (part-time), Curriculum and Instruction, 1/1/01 through 5/15/01.  
Parker, Clara, Instructor (part-time), Curriculum and Instruction, 1/1/01 through 5/15/01.  
Pickett, Joan, Instructor (part-time), Curriculum and Instruction, 1/1/01 through 5/15/01.  
Sprague, Dennis, Instructor (part-time), Kinesiology and Health Promotion, 1/1/01 through 5/15/01.  
Trosper, Ernest, Instructor (part-time), Curriculum and Instruction, 1/1/01 through 5/15/01.  
Walker, Clatis, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/01 through 5/15/01.  
Willis, Thomas, Instructor (part-time), Administration and Supervision, 1/1/01 through 5/15/01.

College of Human Environmental Sciences

Carr, Chad, Instructor (part-time), Interior Design, Merchandising and Textiles, 1/1/01 through 5/15/01.  
Baker, Marjorie, Instructor (part-time), Interior Design, Merchandising and Textiles, 1/1/01 through 5/15/01.  
Devine, Carol, Instructor (part-time), Family Studies, 1/1/01 through 5/15/01.  
Wilson, Jan, Instructor (part-time), Family Studies, 1/1/01 through 5/15/01.

**D. CHANGES**

College of Arts and Sciences

Sushko, Yuri V., from Assistant Professor (temporary), Physics and Astronomy, to Assistant Professor, Physics and Astronomy, 10/1/00 through 6/30/02.

**E. TERMINAL REAPPOINTMENTS**

College of Law

Goring, Darlene C., Assistant Professor, Law, 1/1/01 through 12/31/01.

**F. LEAVES OF ABSENCE**

College of Agriculture

Flashman, Robert, Extension Professor (with tenure), Family and Consumer Sciences, Sabbatical leave with full salary, 3/1/01 through 8/30/01.

College of Arts and Sciences

Li, Ren-Cang, Assistant Professor, Mathematics, Leave without pay, 1/1/01 through 12/31/01.

Yan, Hong Y., Associate Professor (with tenure), Biological Sciences, Sabbatical leave with half salary, 1/1/01 through 12/31/01.

College of Business and Economics

Hulse, David, Associate Professor (with tenure), Accountancy, Sabbatical leave with full salary, 1/1/02 through 6/30/02.

**MEDICAL CENTER**

**I. BOARD ACTION**

**A. ACADEMIC APPOINTMENTS**

**College of Medicine**

- Abraham, William T., Professor\*, Internal Medicine, named to the Gil Foundation Professorship in Interventional Cardiology, 1/1/01 through 12/31/05.
- Agamasu, Jacob K., Clinical Assistant Professor, Internal Medicine, 1/1/01 through 6/30/01.
- Bard, James W., Professor (part time), Internal Medicine, 1/2/01 through 6/30/01.
- Belker, Arnold B., Professor (voluntary), Surgery, 12/13/00 through 6/30/03.
- Carney, John M., Professor (part time), Pharmacology, 9/1/00 through 6/30/01.
- Collins, Terence R., Professor (with tenure), Preventive Medicine and Environmental Health and Chair, Department of Preventive Medicine and Environmental Health, named to the Kurt W. Deuschle, M.D. Professorship in Preventive Medicine/Environmental Health, 7/1/00 through 6/30/01.
- Dignan, Mark B., Professor (with tenure), Internal Medicine, effective 1/1/01.
- Kasdan, Morton L., Professor (voluntary), Preventive Medicine and Environmental Health, 12/13/00 through 6/30/03.
- Mankad, Vipul N., Professor (with tenure), Pediatrics and Chair, Department of Pediatrics, named to the Children's Miracle Network Chair in Pediatric Research (No. 1), 1/1/01 through 12/31/05.
- Nath, Avindra, Professor (with tenure), Neurology, named to the University Professorship in Medicine, 1/1/01 through 12/31/05.
- Phillips, Gordon L., Professor (part time), Internal Medicine, 10/6/00 through 6/30/01.
- Wilder, T. P., Associate Professor (voluntary), Family Practice, 12/13/00 through 6/30/03.
- Williams, John P., Associate Professor (without tenure), Internal Medicine, 11/1/00 through 6/30/01.

**B. ADMINISTRATIVE APPOINTMENTS**

**The Graduate School**

- deBeer, Frederick C., Professor (with tenure), College of Medicine, Department of Internal Medicine, named Director, Graduate Center for Nutritional Sciences, 1/1/01 through 12/31/03.

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\*Special Title Series



### C. ADMINISTRATIVE REAPPOINTMENTS

#### College of Medicine

Berger, Joseph R., Professor (with tenure), Neurology, joint appointment as Professor (without tenure), Internal Medicine, named Chair, Department of Neurology, 2/1/01 through 1/31/07.

### D. JOINT APPOINTMENTS

#### College of Medicine

deBeer, Frederick C., Professor (with tenure), Internal Medicine, joint appointment as Professor (without tenure), The Graduate School, Graduate Center for Nutritional Sciences, effective 1/1/01.

Gallion, Holly H., Professor (with tenure), Obstetrics and Gynecology, joint appointment as Professor (without tenure), Radiation Medicine, effective 12/13/00.

### E. TENURE

#### College of Medicine

Fuhrman, Thomas M., Professor\* (without tenure), Anesthesiology, joint appointment as Professor\* (without tenure), Surgery, to Professor\* (with tenure), Anesthesiology, joint appointment as Professor\* (without tenure), Surgery, effective 1/1/01.

### F. CHANGES

#### College of Allied Health Professions

Deem, Jodelle F., from Associate Professor\* (with tenure), Health Services, joint appointment as Associate Professor\* (without tenure), College of Medicine, Department of Surgery, to Associate Professor\* (with tenure), Rehabilitation Sciences, joint appointment as Associate Professor\* (without tenure), College of Medicine, Department of Surgery, effective 11/1/00.

Effgen, Susan K., from Professor (with tenure), Health Services, to Professor (with tenure), Rehabilitation Sciences, effective 11/1/00.

English, Robert A., from Associate Professor\* (with tenure), Health Services, to Associate Professor\* (with tenure), Rehabilitation Sciences, effective 11/1/00.

Gonzalez, Lori S., from Associate Professor (with tenure), Health Services, to Associate Professor (with tenure), Rehabilitation Sciences, effective 11/1/00.

Harrison, Anne L., from Associate Professor\* (with tenure), Health Services, to Associate Professor\* (with tenure), Rehabilitation Sciences, effective 11/1/00.

- Kelly, Deborah G., from Associate Professor\* (with tenure), Health Services, to Associate Professor\* (with tenure), Rehabilitation Sciences, effective 11/1/00.
- Malone, Terry R., from Professor\* (with tenure), Health Services, joint appointment as Associate Professor\* (without tenure), College of Medicine, Department of Surgery, to Professor\* (with tenure), Rehabilitation Sciences, joint appointment as Associate Professor\* (without tenure), College of Medicine, Department of Surgery, effective 11/1/00.
- Nitz, Arthur J., from Professor (with tenure), Health Services, to Professor (with tenure), Rehabilitation Sciences, effective 11/1/00.
- Page, Judith L., from Associate Professor (with tenure), Health Services, to Associate Professor (with tenure), Rehabilitation Sciences, effective 11/1/00.
- Stewart, Sharon R., from Associate Professor\* (with tenure), Health Services, to Associate Professor\* (with tenure), Rehabilitation Sciences, effective 11/1/00.
- Ware, Stuart K., from Associate Professor\* (with tenure), Health Services, joint appointment as Associate Professor\* (without tenure), College of Medicine, Department of Physiology, to Associate Professor\* (with tenure), Rehabilitation Sciences, joint appointment as Associate Professor\* (without tenure), College of Medicine, Department of Physiology, effective 11/1/00.

#### College of Medicine

- Brown, Raeford E., from Professor (temporary), Anesthesiology, to Professor\* (without tenure), Anesthesiology, 1/1/01 through 6/30/01.
- Clayton, Richard R., from Professor (with tenure), Sociology, to Professor (with tenure), Kentucky School of Public Health, effective 1/1/01.
- Cowen, David E., from Associate Professor\* (with tenure), Ophthalmology, to Associate Professor (voluntary), Ophthalmology, 11/4/00 through 6/30/03.
- Meek, Robin R., from Clinical Associate Professor, Internal Medicine, to Associate Professor (part time), Internal Medicine, 1/1/01 through 6/30/01.
- Robertson, William C., from Professor (voluntary), Neurology, joint appointment as Associate Professor (voluntary), Pediatrics, to Clinical Associate Professor, Neurology, joint appointment as Clinical Associate Professor, Pediatrics, 1/1/01 through 6/30/01.
- VanMeter, Woodford S., from Associate Professor (part time), Ophthalmology, to Associate Professor\* (with tenure), Ophthalmology, effective 11/1/00.

#### College of Pharmacy

- Cassis, Lisa A., from Associate Professor (with tenure), joint appointment as Professor (without tenure), The Graduate School, Graduate Center for Toxicology, 7/1/00 through 6/30/04, to Professor (with tenure), joint appointment as Professor (without tenure), The Graduate School, Graduate Center for Toxicology, 7/1/00 through 6/30/04.

The Graduate School

Chen, Linda H., from Professor (with tenure), Nutrition and Food Science, to Professor (with tenure), Graduate Center for Nutritional Sciences, effective 7/1/00.

Chow, Ching K., from Professor (with tenure), Nutrition and Food Science, joint appointment as Professor (without tenure), Graduate Center for Toxicology, to Professor (with tenure), Graduate Center for Nutritional Sciences, joint appointment as Professor (without tenure), Graduate Center for Toxicology, effective 7/1/00.

Glauert, Howard, from Professor (with tenure), Nutrition and Food Science, joint appointment as Professor (without tenure), Graduate Center for Toxicology, to Professor (with tenure), Graduate Center for Nutritional Sciences, joint appointment as Professor (without tenure), Graduate Center for Toxicology, effective 7/1/00.

University Hospital

Stefaniak, Karen, from Director, Patient Care Continuum, to Acting Associate Hospital Director for Patient Care, Hospital Administration, effective 12/13/00.

Tucker, Beverly, from Manager, Patient Family Services, to Acting Director, Patient Care Continuum, effective 12/13/00.

**G. RETIREMENTS**

University Hospital

Powell, Helen E., Nurse Clinical II, OR Services, after 11 years, 11 months of consecutive service and 15 years of total service, under AR II-1.6-1, Section III.B, effective 12/29/00.

**H. EARLY RETIREMENTS**

College of Dentistry

Jones, Ruby K., Department Administrator I, Oral Health Practice, after 36 years, 7 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 1/12/01.

University Hospital

Parks, Joetta H., OR Tech. Certified, OR Services, after 24 years of consecutive service, under AR II-1.6-1, Section III.A.2, effective 10/6/00.

Sexton, Karen H., Associate Hospital Director/Chief Nursing Officer, after 17 years, 6 months of consecutive service and 25 years, 8 months of total service, under AR II-1.6-1, Section III.A.2, effective 1/4/01.

## **I. POST-RETIREMENT APPOINTMENTS**

### College of Allied Health Professions

Stiene-Martin, Elizabeth A., Professor (part time), Clinical Sciences, 12/13/00 through 6/30/01.

## **II. ADMINISTRATIVE ACTION**

### **A. ACADEMIC APPOINTMENTS**

#### College of Allied Health Professions

Kirkwood, Michael, Assistant Professor (voluntary), Clinical Sciences, 12/13/00 through 6/30/03.

Milholen, Linda S., Assistant Professor (voluntary), Clinical Sciences, 12/13/00 through 6/30/03.

Woolfolk, Andrea R., Assistant Professor (voluntary), Clinical Sciences, 12/13/00 through 6/30/03.

#### College of Dentistry

Daugherty, Larry E., Assistant Professor (voluntary), Oral Health Science, 12/12/00 through 6/30/03.

Hakim, Azam J., Assistant Professor (part time), Oral Health Practice, 11/1/00 through 6/30/01.

Salyer, Richard H., Assistant Professor (voluntary), Oral Health Science, 12/12/00 through 6/30/03.

Skidmore, Hugh P., Assistant Professor (voluntary), Oral Health Science, 12/12/00 through 6/30/03.

#### College of Medicine

Belhasen, Sarah, Assistant Professor (voluntary), Family Practice, 12/13/00 through 6/30/03.

Boliek, William G., Assistant Professor (voluntary), Family Practice, 12/13/00 through 6/30/03.

Brokaw, Barry A., Assistant Professor (voluntary), Pediatrics, 12/13/00 through 6/30/03.

Catron, Charles, Assistant Professor (voluntary), Family Practice, 12/13/00 through 6/30/03.

Cooper, Gregory E., Assistant Professor (part time), Neurology, 1/1/01 through 6/30/01.

Cost, Guinn S., Assistant Professor (voluntary), Family Practice, 12/13/00 through 6/30/03.

DuBose, David, Assistant Professor (voluntary), Family Practice, 12/13/00 through 6/30/03.  
Hollingsworth, Paula, Assistant Professor (voluntary), Family Practice, 12/13/00 through 6/30/03.  
Liu, George, Assistant Professor (voluntary), Family Practice, 12/13/00 through 6/30/03.  
Majd, Mohamuned, Assistant Professor (voluntary), Surgery, 12/13/00 through 6/30/03.  
McKee, Willis P., Assistant Professor (voluntary), Family Practice, 10/09/00 through 6/30/03.  
Oghia, H. M., Assistant Professor (voluntary), Family Practice, 12/13/00 through 6/30/03.  
Pena, Luis R., Assistant Professor (part time), Internal Medicine, 12/13/00 through 6/30/01.  
Shouse, Bryan A., Assistant Professor (voluntary), Family Practice, 12/13/00 through 6/30/03.  
Sims, Christopher D., Instructor (voluntary), Family Practice, 12/13/00 through 6/30/03.  
Tate, Kelly S., Assistant Professor (voluntary), Family Practice, 12/13/00 through 6/30/03.  
Thompson, Angelia F., Assistant Professor (voluntary), Ophthalmology, 12/13/00 through 6/30/03.  
Tisdall, Ouida F., Assistant Professor (part time), Diagnostic Radiology, 11/1/00 through 6/30/01.  
Tobia, Mario, Assistant Professor (voluntary), Pediatrics, 12/13/00 through 6/30/03.  
Zerga, Joseph L., Assistant Professor (voluntary), Family Practice, 12/13/00 through 6/30/03.

#### College of Pharmacy

Brown, Keith R., Assistant Professor (voluntary), 12/13/00 through 6/30/03.  
Ferrell, Leslie, Assistant Professor (part-time), 12/13/00 through 6/30/01.  
Finnell, Deanna, Assistant Professor (part-time), 12/13/00 through 6/30/01.  
Jasperse, Amy, Assistant Professor (voluntary), 12/13/00 through 6/30/03.

#### Medical Center Library

Congleton, Mary, Librarian IV, 12/13/00 through 6/30/01.

## B. ADMINISTRATIVE APPOINTMENTS

### College of Medicine

Wilson, John F., Professor (with tenure), Behavioral Science, joint appointments as Professor (without tenure), College of Nursing and College of Arts and Sciences, Department of Psychology, named Acting Chair, Department of Behavioral Science, 1/1/01 through 6/30/01.

## C. CHANGES

### College of Allied Health Professions

Darbee, Joan C., from Assistant Professor, Health Services, to Assistant Professor, Rehabilitation Sciences, 11/1/00 through 6/30/01.  
English, Mary L., from Assistant Professor\*, Health Services, to Assistant Professor\*, Rehabilitation Sciences, 11/1/00 through 6/30/01.  
Hagerman, Ellen C., from Clinical Assistant Professor, Health Services, to Clinical Assistant Professor, Rehabilitation Sciences, 11/1/00 through 6/30/01.  
Kitzman, Patrick H., from Assistant Professor, Health Services, to Assistant Professor, Rehabilitation Sciences, 11/1/00 through 6/30/01.  
Kuperstein, Janice, from Assistant Professor\*, Health Services, to Assistant Professor\*, Rehabilitation Sciences, 11/1/00 through 6/30/01.  
Milam, Andrea, from Assistant Professor\*, Health Services, to Assistant Professor\*, Rehabilitation Sciences, 11/1/00 through 6/30/01.  
Morris, Donna S., from Assistant Professor\*, Health Services, to Assistant Professor\*, Rehabilitation Sciences, 11/1/00 through 6/30/01.  
Owens, Robert B., from Clinical Assistant Professor, Health Services, to Clinical Assistant Professor, Rehabilitation Sciences, 11/1/00 through 6/30/01.  
Queen, Susan A., from Assistant Professor, Health Services, to Assistant Professor, Rehabilitation Sciences, 11/1/00 through 6/30/01.  
Stanley, Beverly A., from Assistant Professor\* (with tenure), Health Services, to Assistant Professor\* (with tenure), Rehabilitation Sciences, effective 11/1/00.  
Wojtowicz, Geralyn M., from Clinical Assistant Professor, Health Services, to Clinical Assistant Professor, Rehabilitation Sciences, 11/1/00 through 6/30/01.  
Wright, Heather H., from Assistant Professor, Health Services, to Assistant Professor, Rehabilitation Sciences, 11/1/00 through 6/30/01.

### College of Medicine

Brandewie, Deborah J., from Clinical Assistant Professor, Internal Medicine, to Assistant Professor (part time), Internal Medicine, 1/1/01 through 6/30/01.  
Chauhan, Ashok, from Assistant Research Professor, Neurology, 4/5/00 through 6/30/00, to Assistant Research Professor, Neurology, 11/10/00 through 6/30/01.  
Nadim, Yasser, from Clinical Assistant Professor, Surgery, 9/20/00 through 6/30/01, to Clinical Assistant Professor, Surgery, 11/1/00 through 6/30/01

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\*Special Title Series

Pittman, Anne M., from Clinical Assistant Professor, Internal Medicine, to Assistant Professor (part time), Internal Medicine, 12/13/00 through 6/30/01.

Samayoa, Luis M., from Assistant Professor\*, Pathology and Laboratory Medicine, 9/20/00 through 6/30/01, to Assistant Professor\*, Pathology and Laboratory Medicine, 11/1/00 through 6/30/01.

#### **D. TERMINAL REAPPOINTMENTS**

##### College of Medicine

Satin, Jonathan, Assistant Professor, Physiology, 12/1/00 through 11/30/01.

Zhang, Jiayou, Assistant Professor, Microbiology and Immunology, 1/15/01 through 1/14/02.

#### **E. LEAVES OF ABSENCE**

##### The Graduate School

Bruce, Eugene N., Professor (with tenure), Biomedical Engineering, miscellaneous leave without pay, 1/1/01 through 6/30/01.

# PR 3A

Office of the President  
December 12, 2000

Members, Board of Trustees:

## PROPOSED AMENDMENT TO THE GOVERNING REGULATIONS

Recommendation: that the following proposed amendment to Part X.A. of the Governing Regulations be received for preliminary consideration and at the next regular meeting of the Board of Trustees be included on the agenda for action.

Note: Proposed additions are underlined; proposed deletions are lined through.

Members of the Board of Trustees, except those elected to the Board as faculty, ~~representatives~~staff, or as a student ~~representatives~~, and relatives ~~by blood or marriage~~ of any member of the Board of Trustees are ineligible for ~~appointment to any position in employment~~ at the University. "Relatives", as used above, include parents and children, husbands and wives, brothers and sisters, brothers- and sisters-in-law, mothers- and fathers-in-law, uncles, aunts, nieces and nephews, sons- and daughters-in-law, and step-relatives in the same relationships. Employment includes regular and temporary full- and part-time employment, including student work-study and graduate assistant programs.

No relative ~~by blood or marriage~~ of the President shall be employed in a position at the University. Similarly, no relative of a chancellor/vice president or any vice chancellor in a sector shall be employed in a position in that sector or Central Administration, as appropriate. Waiver of the above regulation may be permitted by the Board of Trustees on a stated temporary basis, not to exceed two years, when it is otherwise impossible practicably to fill a position with a fully qualified person. The same individual shall not be eligible for reappointment under the terms of this exception unless approval is given by the Board of Trustees. No relative ~~by blood or marriage~~ of any administrative officer of the University, or of any member of the University faculty, may be appointed to any position in the University over which the related officer or staff member exercises supervisory or line authority. Employment of ~~persons related by blood or marriage~~ relatives within the same department or division shall be approved specifically by the chancellor/vice president in a sector or Central Administration, as appropriate. "Relatives by blood or marriage," as used above, include parents and children, husbands and wives, brothers and sisters, brothers- and sisters-in-law, mothers- and fathers-in-law, uncles, aunts, nieces and nephews, sons- and daughters-in-law, and step-relatives in the same relationships. The employment of relatives ~~by blood or marriage~~ of University employees, as described in this



paragraph, whose relationship was not a violation of this section of the Governing Regulations prior to its amendment on April 10, 1984, does not constitute a violation of the amended policy provided the relatives are regular employees of the University and remain in the University employment positions which they held on April 10, 1984. However, the transfer of any such employees described in this paragraph (whose employment relationship was not a violation of policy prior to its amendment on April 10, 1984) to any other regular University position shall require approval of the President.

Background: KRS 164.225(as amended in 1992) prohibits relatives of any board member from being employed by the University of Kentucky. An Opinion of the Attorney General, dated August 8, 1997, clarifies this anti-nepotism statute (copy enclosed). Part X.A. of the Governing Regulations currently includes an effective date for the implementation of the previous anti-nepotism statute, which in effect exempts those individuals employed prior to April 10, 1984. This proposed change in Part X.A. removes any exemption for relatives of Board members, but leaves the exemption in place for relatives of administrators and faculty and for relatives employed within the same department or division. Faculty, staff, and student members of the Board of Trustees will be elected during the 2000-2001 academic year. This proposed change ensures that the University's Governing Regulations are consistent with state law prior to these elections.

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Action taken:



Approved



Disapproved



Other \_\_\_\_\_

This is an official copy of the following document.

**OAG 97-27**

August 8, 1997

*Subject:* Anti-nepotism statute applicable to regents of state universities

*Requested by:* John G. Irvin Jr., counsel for Morehead State University; Elizabeth M. Brincoe, counsel for Northern Kentucky University

*Written by:* Ross T. Carter

*Syllabus:* Anti-nepotism statute prohibits employment of relatives of university regents; there are no exemptions for appointed regents, part-time employees, or student workers

*Statutes construed:* KRS 164.360(2); KRS 164.001; KRS 164.020(10); KRS 164.225; KRS 164.830(1)(a)

***Opinion of the Attorney General***

We have been asked to construe that part of KRS 164.360(2) which establishes limits on a state university's employment of persons who have a relative on the board of regents. The specific questions presented to us are:

Does the 1992 amendment to the statute apply to persons enrolled before the effective date of the legislation?

Does the statute apply to appointed as well as elected regents?

Does the statute apply to part-time as well as full-time employees?

Does the statute apply to students enrolled in work-study and graduate assistant programs?

It is our opinion that the statute should be construed exactly as written with no implied exemptions. Therefore the answer to all four questions is yes.

**1. Background**

Following a controversial appointment to the University of Kentucky Board of Trustees, the General Assembly in 1992 enacted sweeping changes to the provisions in KRS chapter 164 dealing with the governing bodies of state universities. Ky Acts 1992, c 10. The statute we are examining, KRS 164.360, was amended as part of this reform legislation.

KRS chapter 164 deals with three groups or categories of state universities: the University of Kentucky, which is governed by a board of trustees; the University of Louisville, which is also governed by a board of trustees; and the remaining state universities, each of which is governed by a board of regents. The statute that we are examining, KRS 164.360(2), applies to the third group. Before the 1992 amendment, the statute read as follows:

No person shall be employed for a longer period than four (4) years. No person shall be employed who is related to any member of the board of regents as father, mother, brother, sister, husband, wife, son, daughter, aunt, uncle, sister-in-law, brother-in-law, son-in-law or daughter-in-law; except that upon

written recommendation of the president of the university or college, one (1) such relative of each member of the board of regents may be appointed by the other three (3) appointed members.

The current version of the statute reads as follows:

No person shall be employed for a longer period than four (4) years. No person shall be employed at an institution where his relative serves on the board of regents for that institution.

"Relative" is defined in KRS 164.001 (enacted as part of the 1992 legislation) as "a person's father, mother, brother, sister, husband, wife, son, daughter, aunt, uncle, son-in-law, or daughter-in-law."

## 2. 1992 changes to anti-nepotism statutes

The act containing the 1992 amendment to KRS 164.360(2) made four changes regarding nepotism at state universities. For the University of Kentucky and the University of Louisville, the following language was added: "No relative of a board of trustee member shall be employed by the university." KRS 164.225; KRS 164.830(1)(a). For the Council on Higher Education, similar language was added: "No relative of a Council on Higher Education member shall be employed by the agency." KRS 164.020(10).

The amended language applicable to boards of regents differs from the pattern established in the other three statutes; it says, "No person shall be employed at an institution where his relative serves on the board of regents for that institution." This difference, in conjunction with the definition of relative in KRS 164.001, creates a peculiar lack of uniformity among state universities. "Relative" includes uncles and aunts but not nephews and nieces. It includes sons-in-law and daughters-in-law but not fathers-in-law and mothers-in-law. This means that the relatives of a nephew include his uncles, but the relatives of an uncle do not include his nephews. For the University of Kentucky, the University of Louisville, and the Council on Higher Education, the statutes refer to the *trustee's* (or member's) relatives; for the other universities, the statute refers to the *employee's* relatives. Thus, at the University of Kentucky, a trustee's nephew can be employed by the university but his uncle cannot; at Kentucky State University a regent's uncle can be employed by the university but his nephew cannot.

We do not know whether this distinction was intentional, but we are confident that the various amendments made in 1992 reveal an overall strengthening of anti-nepotism provisions applicable to state universities. To apply a loose construction to the statutes is to ignore the tenor of the 1992 legislation.

## 3. Analysis

There is only one cardinal rule of statutory construction: statutes must be construed to give effect to the intention of the legislature as expressed in the words of the legislation. *Kentucky Association of Chiropractors, Inc. v Jefferson County Medical Society, Ky*, 549 SW 2d 817 (1977). There are many other rules, any of which might be invoked to yield a particular result, but none of them applies when the words of the statute yield a plainly comprehensible meaning. *Gateway Construction Company v Wallbaum, Ky*, 356 SW 2d 247 (1961).

With this principle in mind, we examine the questions presented to us. All four questions propose the existence of a limitation or exemption in the statute, despite the lack of any statutory language supporting such a proposition. The first question is whether the statute applies to persons who were validly employed under the previous version of the statute; the second is whether the statute applies to appointed as well as elected regents; the third is whether the statute applies to part-time employees; and the fourth is whether the statute applies to work-study and graduate assistant programs. Our answer to each question is the same: there is nothing in the statute to suggest that the General Assembly intended such exemptions, and we will not infer them. We see no reason to construe the statute to mean anything other than what it says.

The only legal argument presented to us is in reference to the question whether the statute grandfathers in those who

were employed before the amendment's effective date. It is suggested that the word *shall* denotes futurity, so that the phrase "no person shall be employed" refers only to persons hired in the future, effectively exempting those hired in the past.

It is true that the word *shall* sometimes expresses futurity; however, that is not the meaning usually ascribed to the word in statutes, and in any event the use of *shall* to express futurity is readily apparent from its context. For example, the following sentence appears in the Constitution of the United States:

No Senator or Representative shall, during the Time for which he was elected, be appointed to any civil Office under the Authority of the United States, which shall have been created, or the Emoluments whereof shall have been increased during such time; and no Person holding any Office under the United States, shall be a Member of either House during his Continuance in Office.

US Const, Art 1, § 6, cl 2. Here the word *shall* is used in both senses: to express command and to denote futurity. The context makes it clear that "shall be appointed" and "shall be a Member" express commands while "shall have been created" and "shall have been increased" denote futurity.

Nothing in the context of the statute we are construing suggests that *shall* is used to denote futurity. We reject the suggestion that the word *shall* as used in KRS 164.360(2) creates an implicit grandfather clause.

#### **4. Conclusion**

KRS 164.360(2) must be construed to mean strictly what it says. It contains no exemptions of any kind.

Albert B. Chandler III

Attorney General

Ross T. Carter

Manager of the Opinions Branch

# PR 4A

Office of the President  
December 12, 2000

Members, Board of Trustees:

## CANDIDATES FOR DEGREES -- COMMUNITY COLLEGE SYSTEM

Recommendation: that the degrees specified on the attached list be awarded to the persons named, upon certification that the requirements for those degrees have been satisfactorily completed.

Background: At the November 12, 1965 meeting of the Board of Trustees authorization was given to the awarding of the Degrees of Associate in Arts, Associate in Science and Associate in Applied Science. The candidates on the attached list are recommended to the President and the Board by the Community College Faculty and the Chancellor.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

**COMMUNITY COLLEGES' CANDIDATES FOR UK DEGREES – DECEMBER 2000**

**ASHLAND COMMUNITY COLLEGE**

ASSOCIATE IN ARTS

Anderson, Heather Dawn  
Burke, Angela K  
Caldwell, Bonnie M  
Counts, Sabrina M.  
Frasure, Frankie Y  
Holmes, Timothy A.  
Hughes, Peggy L  
Johnson, Michael Tyrone  
Jung, Jae N  
Manning, Kevin R  
Morrison, Cammie L  
Pope, Jeremy D  
Shields, Jason H

ASSOCIATE IN SCIENCE

Carr, Cherie L.  
Luther, Shannon Kay  
Stanley, Crystal D.

ASSOCIATE IN APPLIED SCIENCE

BUSINESS TECH – ACCOUNTING

Felty, Mary M  
Howell, Tanya D

Polston, Chong S  
Rose, Lorianne

BUSINESS TECH-MANAGEMENT

Bussey, Tammy K  
Church, Elbert J  
Shields, Robin M.  
Smith, Matthew Brian  
Wheeler, Kerry Ann

OFFICE SYSTEMS

Copley, Tina J  
Cordle, Amanda  
Holmes, Pamela S  
Smith, Stacy K

BUSINESS TECH –BANKING

Robinette, Jean-Marie

BUSINESS-MARKETING & RETAIL

Dalton, George A

**ELIZABETHTOWN COMMUNITY COLLEGE**

ASSOCIATE IN ARTS

Anderson, Ronald George  
Baker, Kenneth L.  
Betancourt, Judy Y.  
Brunner, Jeremy L  
Charlot, Burgunde  
Clites, Joanne M.  
Day, Tammy D.  
Doig, Robert J  
Downing, Marcus William  
Emerson, Tammy R.  
Hale, Michelle M.  
Henderson, Jennifer C  
Johnson, Angelyn J.  
Lace 'n, Anthony  
Lang, Kenneth M.  
Lucas, Christopher A  
Marsh, Kimberly C  
Masterson, Joni M.  
Mattingly, Roger Anthony  
Nestico, Christopher M.  
Nichols, Toni R.  
Routh, Alvine  
Sherman, Barbara M  
Shires, Luisa R.  
Skaggs, Hazel Michelle  
Slack, Rebecca Ann  
Stevenson, Delanie C.

**COMMUNITY COLLEGES' CANDIDATES FOR UK DEGREES – DECEMBER 2000**

Stokes-Blount, Angela M.  
Strange, Tina  
Strickland, Sharon M.  
Tabeling, Kimberly Lynn  
Thomas, Galen Mark  
Thornton, Carrie L  
Vasquez, Sonia R.  
Wardrip, Sandra J.  
Waters, Kevin M.  
White, Carlye A.  
Wiley, Malisa  
Willoughby, Penny M.

ASSOCIATE IN SCIENCE

Branscum, Cynthia L.  
Dudley, Carrie Y.  
Edwards, Timothy C.  
Fradly, Roy E.  
Harrison, Christa A.  
Harrod, Anna C  
Hayes, Rhonda K  
Hernandez, Peter A.  
Khan, Sadiya F.  
Lawson, Michele E.  
Penny, John Edward  
Pineiroa, Tania M.  
Walrath, Amy L.  
Webster, Sherri L.

ASSOCIATE IN APPLIED SCIENCE

Nall, Martiza Lynn  
Skees, Jeffrey P.

BUSINESS TECH-BANKING

Bryant, Tracy J.  
Horsley, Breanna K.

HUMAN SERVICES

Loy, Beth A  
Spangler, Tracy

BUSINESS TECH-MANAGEMENT

Bronger, Patricia A.  
Centers, Elizabeth A.  
Leathers, Chad P.  
Long, Christina H  
Thomas, Carla M.  
Thomas, James Dwayne

OFFICE SYSTEMS

Lemmons, Barbara A  
Reynolds, Cristina A

QUALITY TECHNOLOGY

Bartley, Norma H  
Davis, Michael G.  
Dibble, Joseph S.  
Hay, Laura F  
Shepherd, James D.

CIS-MICROCOMPUTOR

Allen, James Anthony  
Davis, Shirley L.  
Wiseman, Shvada

CIS-PROGRAMMING

Ballenger, Paula C  
Benningfield, Mark D.  
Carlin, Suzanne M.  
Greene, Jay Victor  
Hughes, Jennifer M.  
Humphries, David A.

NURSING

Caswell, Sarah L.  
Dennison, Donna K.  
Fackler, Regina Vernell  
Filburn, Carrie E.  
Gilbert, Yvonne M.

## COMMUNITY COLLEGES' CANDIDATES FOR UK DEGREES – DECEMBER 2000

Houchin, Nita C.  
Johnston, Laura D.  
Kaelin, Vanessa  
Koch, Stacey J.  
McElwain, Karla R.  
Meyer, Martha Claire  
Milby, Shaun Michael  
Parson, Mary Louise  
Quiroz, Gena R  
Reynolds, Michelle L  
Richardson, Michael W.  
Sherlock, Christy L.  
Smith, Traci L  
Thompson, Tonya R.  
Vincent, Crissy L.  
Woosley, Kimberly R.

Fugate, Candis Robyn  
Fugate, Frances Nadine  
Gross, Rebecca Kathleen  
Hall, Steven M.  
Holder, Sabrina C  
Holderby, Gera Beth  
Hudson, Alexandra  
Hughes, Sandra K  
Keathley, Jacklyn Faith  
Kennedy, Jennifer Renee  
Medendorp, Karen S  
Miller, Elizabeth Y  
Noble, William Curtis  
Overbee, Brian S.  
Parks, Misty D  
Peck, Melissa Gail  
Poff, David Ray  
Popp, Kelli Renee  
Prince, Shelia G  
Roberts, Mary Bell  
Shouse, Patsy  
Smith, Sara Rebecca  
Srivastava, Shashi  
Taylor, Neil Alan  
Terry, Amy Deonne  
Tipple, Brandi Sky  
Turner, Mitchell Lance  
Vires, Vanessa Kay  
Whitaker, Patricia Ann

### HAZARD COMMUNITY COLLEGE

#### ASSOCIATE IN ARTS

Adkins, Jared Daniel  
Begley, Shannon Nichole  
Boggs, June  
Boggs, Teresa  
Brashear, Gerald W.  
Campbell, Deirdre N  
Caudill, Boyd Richard  
Davis, April Dawn  
Evans, Ashley Brooke

#### ASSOCIATE IN SCIENCE

Cooper, James Darren  
Fugate, Candis Robyn  
Gibbs, Kari Ann  
Gulliver, Veronica Jean  
Hall, Roseana Bell  
Peck, Melissa Gail  
Smith, Ashley Jonell  
Tipple, Brandi Sky  
Turner, Jason

#### ASSOCIATE IN APPLIED SCIENCE

#### AUTOMOTIVE TECHNOLOGY

Madden, David Shane

#### BUSINESS TECHNOLOGY – OS

Neace, Rose M  
Patrick, Shirley J

#### BUSINESS TECH – MANAGEMENT

Lindon, John Stephen  
Lovely, Logan Perry  
Roberts, Bobby



**COMMUNITY COLLEGES' CANDIDATES FOR UK DEGREES – DECEMBER 2000**

FOREST AND WOOD TECH – FOREST

Holbrook, Erik Fred  
Thompson, Hannah R.

Compton, Bill T  
Ison, Stacey Lynn

BUSINESS TECHNOLOGY-MGMT

Baize, Kimberly Michele  
Prough, Maryann

HUMAN SERVICES

Arrowood, Jennifer Louise  
Baker, Jaime S  
Campbell, Jolene Gail  
Combs, Kimberly L.  
Couch, Karen Michelle  
Hall, Deidre C  
Hickman, Kim Renee  
Napier, Melinda Sue  
Raleigh, Teresa Gail  
Rice, Karisha Faye  
Ritchie, Lisa  
Smith, Sara Rebecca  
Stamper, Tracy  
Strunk, Charlene  
Tackett, Melissa  
Valerio, Kimberly Freda

**HENDERSON COMMUNITY COLLEGE**

ASSOCIATE IN ARTS

Hunsinger, Holly Nicole  
Luttrell, Corey L.  
McKinney, Buffy Jo  
Morris, Lindsey Beth  
Parker, Jamie Denise  
Stocking, Emma J  
Thornberry, Clinton

COMPUTER INFORMATION SYSTEMS

Johnson, Jennie Christine

NURSING

Arnett, Jennifer Marie  
Fox, Sharon Diane  
Grasty, Connie Jean  
Hansen, Lori Ann  
Hays, Tyneal L.  
How, Alysen Marietta  
Hybarger, Belinda Gail  
Martin, Cathy D  
Omer, Mary L.  
Poston, Audrey D  
Priest, Christy Ann  
Simms, Cathy

ASSOCIATE IN SCIENCE

McNew, William Keith  
Pfungston, Douglas Lynn  
Poston, Audrey D  
Thomas, Amanda Wesley

PHYSICAL THERAPY ASSISTANT

Akemon, Kimberly R  
Allen, Kevin  
Boggs, Deborah  
Collins, Johnny W.

ASSOCIATE IN APPLIED SCIENCE

BUSINESS TECHNOLOGY-OS

DeKemper, Tammy D.  
Rice, Sherry Ann

HUMAN SERVICES

Marsh, Rhonda W.  
Piper, Gale Lynne

**COMMUNITY COLLEGES' CANDIDATES FOR UK DEGREES – DECEMBER 2000**

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Meyer, Martha Claire  
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Medendorp, Karen S  
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Parks, Misty D  
Peck, Melissa Gail  
Poff, David Ray  
Popp, Kelli Renee  
Prince, Shelia G  
Roberts, Mary Bell  
Shouse, Patsy  
Smith, Sara Rebecca  
Srivastava, Shashi  
Taylor, Neil Alan  
Terry, Amy Deonne  
Tipple, Brandi Sky  
Turner, Mitchell Lance  
Vires, Vanessa Kay  
Whitaker, Patricia Ann

**HAZARD COMMUNITY COLLEGE**

ASSOCIATE IN ARTS

Adkins, Jared Daniel  
Begley, Shannon Nichole  
Boggs, June  
Boggs, Teresa  
Brashear, Gerald W.  
Campbell, Deirdre N  
Caudill, Boyd Richard  
Davis, April Dawn  
Evans, Ashley Brooke

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Cooper, James Darren  
Fugate, Candis Robyn  
Gibbs, Kari Ann  
Gulliver, Veronica Jean  
Hall, Roseana Bell  
Peck, Melissa Gail  
Smith, Ashley Jonell  
Tipple, Brandi Sky  
Turner, Jason

ASSOCIATE IN APPLIED SCIENCE

AUTOMOTIVE TECHNOLOGY

Madden, David Shane

BUSINESS TECHNOLOGY – OS

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Patrick, Shirley J

BUSINESS TECH – MANAGEMENT

Lindon, John Stephen  
Lovely, Logan Perry  
Roberts, Bobby

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FOREST AND WOOD TECH – FOREST

Holbrook, Erik Fred  
Thompson, Hannah R.

Compton, Bill T  
Ison, Stacey Lynn

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Baize, Kimberly Michele  
Prough, Maryann

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Arrowood, Jennifer Louise  
Baker, Jaime S  
Campbell, Jolene Gail  
Combs, Kimberly L.  
Couch, Karen Michelle  
Hall, Deidre C  
Hickman, Kim Renee  
Napier, Melinda Sue  
Raleigh, Teresa Gail  
Rice, Karisha Faye  
Ritchie, Lisa  
Smith, Sara Rebecca  
Stamper, Tracy  
Strunk, Charlene  
Tackett, Melissa  
Valerio, Kimberly Freda

**HENDERSON COMMUNITY COLLEGE**

ASSOCIATE IN ARTS

Hunsinger, Holly Nicole  
Luttrell, Corey L.  
McKinney, Buffy Jo  
Morris, Lindsey Beth  
Parker, Jamie Denise  
Stocking, Emma J  
Thornberry, Clinton

COMPUTER INFORMATION SYSTEMS

Johnson, Jennie Christine

NURSING

Arnett, Jennifer Marie  
Fox, Sharon Diane  
Grasty, Connie Jean  
Hansen, Lori Ann  
Hays, Tynéal L.  
How, Alysen Marietta  
Hybarger, Belinda Gail  
Martin, Cathy D  
Omer, Mary L.  
Poston, Audrey D  
Priest, Christy Ann  
Simms, Cathy

ASSOCIATE IN SCIENCE

McNew, William Keith  
Pfungston, Douglas Lynn  
Poston, Audrey D  
Thomas, Amanda Wesley

PHYSICAL THERAPY ASSISTANT

Akemon, Kimberly R  
Allen, Kevin  
Boggs, Deborah  
Collins, Johnny W.

ASSOCIATE IN APPLIED SCIENCE

BUSINESS TECHNOLOGY-OS

DeKemper, Tammy D.  
Rice, Sherry Ann

HUMAN SERVICES

Marsh, Rhonda W.  
Piper, Gale Lynne

**COMMUNITY COLLEGES' CANDIDATES FOR UK DEGREES – DECEMBER 2000**

**HOPKINSVILLE COMMUNITY COLLEGE**

ASSOCIATE IN ARTS

Acker, Dina Gayle  
Allen, Scotti Kay  
Aquino, Debra J.  
Benbrook, Carla J  
Blondin, Phillip Alfred  
Boitnott, Ashley Jo  
Bridges, Valerie Denise  
Broadbent, Amanda  
Campbell, Kelly Ann  
Cardwell, Tonia Lynn  
Crawford, Julie A  
Creekmur, Shelly Dionne  
Crossett, Robin Renee  
Davis, Yulanda L.  
DeRoche, Deborah Dawn  
Flowers, Holly Denise  
Frye, Tammy A.  
Hale, Nicholas Ryan  
Hall, Karl J  
Hernandez, Jessica Tami  
Johnston II, Larry Joe  
Jones, Hailee Jean  
Keys, Michelle Renae  
Lantigua, Christy  
Level, Janet E.  
McCauley, Candy Lee

Nidiffer, Rachel Assid  
O'Brien, Justine H  
O'Neal, Naomi W  
Olanegan, Akinlolu  
Preston, Sarah Elisabeth  
Reynolds, Tammy Lynn  
Reynolds, Jennifer Sue  
Richardson, Tiffany Yvette  
Rives, Thomas Preston  
Sisco, Crystal Marie  
Stewart, Stacey Michelle  
Stockton, Nicole

ASSOCIATE IN SCIENCE

Bailey, Tamora Rosemary  
Burke, Gloria Jean  
Lee, Brandy M  
Melendrez, Arney Watihwt  
Pearson, Christina Maelee  
Perez, Julio C  
Roberts, Jeffery Todd  
Robinson, Cassandra Delaine  
Rockstead III, Ralph Henry  
Schlittenhard, Stacey Lynn  
Smith, Lisa M.  
Taylor, Marlow M  
Tucker, Heather S.

ASSOCIATE IN APPLIED SCIENCE

BUSINESS TECH-MANAGEMENT

Mitchell, Mark A

OFFICE SYSTEMS

Bracey, Ellen Marie

BUSINESS TECHNOLOGY-MIS

Shelton, Wendy Lou

HUMAN SERVICES

Grimes, Lucy Kay  
Hancock, LaDonna Louise  
Major, Brenda Lynn  
Payne, Patsy Ellen  
White, Roxanne Marie

EARLY CHILDHOOD EDUCATION

Perigo, Ruth Marie  
Wilson, Edward Scott

**COMMUNITY COLLEGES' CANDIDATES FOR UK DEGREES – DECEMBER 2000**

**IND. AND ELEC. TECH – ELECTRICAL**

Blake, Eric Marlin  
Moore, John M.

**LAW ENFORCEMENT TECHNOLOGY**

Englert, John L.  
Severance, Stephen D.  
Wilson, Kenneth William

Dean, James E  
Doherty, Bridgett A  
Embry, Kevin Mack  
Gillenwater, Carolyn  
Groves, John H  
Hadley, Jennifer B  
Hakim, Khondakermd M  
Harden, Lora J.  
Hardin, Astron C  
Hedden, Emily A  
Hodge, Courtney L  
Holland, Bobby  
Jordan, Juliana M  
Kerr, Melissa A  
Latham, Brent E  
Lightsy, Mona  
Logsdon, Matthew H  
Martin, Aasta T.  
Martin, Rob M  
Mattingly, Patricia D  
Metcalf, Jeffrey Allen  
Mullins, Cecil D  
Nottingham, Shannon R  
Perdue, Gregory S  
Reed, Vicki L  
Richter, Paul Andrew  
Riley-Popp, Tiffanie D  
Roberts, Caprice D.  
Roll, Leslie A  
Schmidt, Jeffrey R  
Schmitt, Kimberly D  
Short, Janet Lynn

Smith, Michael S  
Spence, Kenyetta M.  
Spies, John W.  
Taylor, Cathy L.  
Thurman, Loyall A III  
Torres, Kathy D  
Turner, Shellaine V  
Wakaba, Danielle L  
Widener, Karen B  
Wiley, Crystal J  
Wolfe, LaGrielle  
Worman, Laura A

**ASSOCIATE IN SCIENCE**

Alexander, Kathy L.  
Arnold, Patrice L  
Borders, #121877 Mark  
Carter, Rita A  
Cronen, James S II  
Cummins, Nicholas K  
Eichert, Chris A.  
Foushee, Gary R  
Gibbs, Gary  
Hall, Melissa  
Jordan, Stephanie A  
Rittle, Ron S  
Shelton, Latonje E  
Tran, Chinh T  
Tran, Thu Thao  
Wilson, Ann  
Wright, Eric L.

**JEFFERSON COMMUNITY COLLEGE**

**ASSOCIATE IN ARTS**

Albert, Dawn M  
Alexander, Dawn M  
Allen, Keri L.  
Bailey, Matthew Robert  
Baird, Travis W  
Blankenship, Joseph M  
Brewer, Melinda A  
Brown, Christina L.  
Brown, Paul Anthony  
Cannon, Melissa A  
Clifford, Sanya D  
Cloyd, Laura M  
Craycroft, Glyncoya R.  
Darragh, Mark A

**COMMUNITY COLLEGES' CANDIDATES FOR UK DEGREES – DECEMBER 2000**

NETWORK AND INFORMATION SYS  
TECH

Kilkelly, Nora J.

NURSING

Adair, Karen  
Back, Kendra J  
Bodenheimer, Natalie G  
Brian, Sandra M  
Bruce, Kimberly S  
Buttes, Paul G  
Carey, Felecia Q  
Cerdá-Streeter, Josefina  
Crisp, Ama M  
Crume, Bridget S  
Dickerson, Julie L  
Dickson, Kristi M  
Dougherty, Catherine S  
Dykstra, Steven M  
Geary, Heidi D  
Gillis, Peggy J  
Gooch, Catherine  
Gore, Teri C  
Gribbins, Tammy L  
Grimes, Paula  
Gullett, Connie A.  
Hasting, Dana C  
Hendricks, Dawn M  
Hill, Charles D

OCCUPATIONAL THERAPY ASSISTANT

Boyd, Lisa E  
Mattingly, Mary J  
Ragan, Rachel B

RESPIRATORY CARE

Cosby, Tiffany L  
Robinson, Karona R

ENGINEERING TECH – COMPUTER  
REPAIR

Ulmer, Jeff A

ENGINEERING TECH -ELECTRICAL

Robison, Che Cote M.  
Sandler, Carl A Jr

IND. & ENG. -TECH – ELECTRIC

Mingus, Jeremy M

Huff, Christy D  
Johnson, Amy N  
Johnson, Thelma  
Keeling, Vicki L  
Kellems, Jeremy G  
Kreutzer, Lori A  
Lewis, Beth A  
Lindahl, Victoria E  
Lucas, Yvette D  
Luker, Melissa D  
Mackowiak, Stephanie S  
Meadows, Lisa C  
Muniz, Marge A  
Nosbisch, Julia C  
Oleary, Julie B  
Parrish, Carissa R  
Rankin, Tammy L  
Reading, Beverly L  
Reaves, Anna M  
Rick, Kristine M  
Roberts, Florence E  
Rosenbaum, Martin C  
Sarlls, Tina S  
Shellman, Leandra L  
Short, Lisa M  
Simmons, Naklesa T  
Sprowl, Cindy B.  
Stowe, Beth  
Stroud, Dephany L.  
Triplett, Elizabeth H  
Wharton, Kris A

**COMMUNITY COLLEGES' CANDIDATES FOR UK DEGREES – DECEMBER 2000**

INDUSTRIAL & ENGINEERING TECH-  
CAD

Seebold, James

HUMAN SERVICES

Creed, Jeannette R.  
Hogan, Shena D

EARLY CHILDHOOD EDUCATION

Bolton, Carolyn S  
Burks, Wendy L  
Deneke, Selamwit  
Dezarn, Amanda J  
Edwards, Tracy L  
Fox, Shana  
Harris, Lori L  
Pollard, Cheryl D  
Quisenberry, Margaret A K  
Roller, Laura A  
Smith, Tressie R.  
Spears, Delenna L

**MADISONVILLE COMMUNITY  
COLLEGE**

ASSOCIATE IN ARTS

Arnold, Vickie S.  
Barnard, Shawna M  
Dowell, Daniel R  
Fister, Jessica L.  
Foster, Shelia H.  
Hamby, Stephanie  
Hardison, Amy Jo  
Jessup, Erica C.  
Johnson, Brook L.  
Kelley, Jeffrey D.  
Midkiff, Stephanie A.  
Mitchell, Stephanie L.  
Newman, Steven T.  
Roby, Amanda L  
Speight, Johnny A  
Stumpf, Jonathan D.  
Thompson, Preston E  
Travis, Markeata G  
Wedding, Misty S.  
Wimberly, John J

ASSOCIATE IN SCIENCE

Davis, Paula A.  
Harkins, Christi Anne  
Johnson, Brook L.

Kellam, Joy C  
Milam, Misty M.  
Morgan, Leslie F.  
Newsom, Kelley B.  
Purdy, Jessica L  
Steele, Christi L.  
Travis, Amanda M  
Wimberly, John J

ASSOCIATE IN APPLIED SCIENCE

BUSINESS TECHNOLOGY-MIS

Durham, Karla A  
Frasier, Lori A.

BUSINESS TECH-ACCOUNTING

Belt, Elizabeth F  
Brown, Kristi R.  
Davis, Debra K  
Merrell, Jeanne R.  
Parish, Leslee S  
Sherman, Rebecca L.

**COMMUNITY COLLEGES' CANDIDATES FOR UK DEGREES – DECEMBER 2000**

CLINICAL LAB TECH

Laster, Kimberly G

EARLY CHILDHOOD EDUCATION

Hill, Pamela T.

INDUSTRIAL AND ENGINEERING – CAD

Barnett, Vallerie Kay  
Bone, Flint F.

NURSING

Adams, Steven W.  
Agee, Tommy L  
Barker, Lillian I  
Barnett, Stephanie E  
Berryman, Davaney J  
Carter, Rita F  
Conquest, Talitha M.  
Draper, Dustin N.  
Dunn, Leslie L  
Garrett, Sandra K.  
Garris, Richard J.  
Geary, Shana M  
Gilmore, Cameisha C.  
Hall, Lorna C.

**MAYSVILLE COMMUNITY COLLEGE**

ASSOCIATE IN ARTS

Arnold, Patsy J  
Duncan, Brian D  
Fritz, Mary Janet  
Fryman, Lori B  
Grimes, Rebeckah E  
Lang, Tina S  
Marshall, Alisha A  
Maynard, Amanda B  
McGrath, Lenora J  
Miles, Martha L  
Miley, Taisha Persinger  
Miller, Nicole S  
Walker, Shari E

ASSOCIATE IN SCIENCE

Bivens, Colette D  
Day, Kyle C  
Sweet, Crystal D  
Whitaker, Marilyn L

ASSOCIATE IN APPLIED SCIENCE

BUSINESS TECH-ACCOUNTING

Boone, Stephanie R  
Martin, Carolyn R

Hendrix, Alicia R.

Hopper, Kandi K.

Johnson, Autumn R.

Johnson, Chastity N.

Kennedy, Beverly J

Kenner, Judy M

Key, Amanda J.

Melton, Kimala L

Montalta, Stephanie M.

Nash, Emma J.

Parrish, Julie M

Stepp, Kimberly E

Taylor, Amy E

Taylor, Kelly J

Towers, Heather Ann

Ward, Brenda K

Watson, Roni L.

Wright, Tracey Leigh

OCCUPATIONAL THERAPY ASSISTANT

Flynn, Tarin B.  
Mattingly, Jill R.  
Whitledge, Brandye L

LAW ENFORCEMENT TECH

Lutz, Jason A.



**COMMUNITY COLLEGES' CANDIDATES FOR UK DEGREES – DECEMBER 2000**

**BUSINESS TECH – MANAGEMENT**

Deem, Cynthia G  
Hodges, Karen P  
Kimbler, Terra D  
Lewis, Candida C.  
Ramey, Jennifer J  
Sexton, Angela G

Lindow, Courtney Renee  
Loney, Kimberly Dawn  
Madewell, Scott D  
Mayfield, Paul Christopher  
Murphy, Shirley Lou  
Musick, Leanne Kasey  
Petrie, Jalee A  
Quinn, Tiffany Dawn  
Rhinerson, Holly Renee  
Roberts, Christy Jean  
Rose, David Brandon  
Shultz, Angela Pendley  
Stevens, Mindy Dawn  
Thompson, Nancy Marie  
Velotta, Bryan Thomas  
Whitten, Heath Eric  
Withrow, Greg Scott

Whitfill, Michele N

**ASSOCIATE IN APPLIED SCIENCE**

**BUSINESS TECH-MANAGEMENT**

Greathouse, Diane Mechelle  
Lanham, Christopher David  
Lee, Jeremy Guy  
Mattingly, Adam Lee  
Prior, Shelly K  
Voyles, Dustin  
White, Gale H  
Willis, John M

**OWENSBORO COMMUNITY  
COLLEGE**

**ASSOCIATE IN ARTS**

Abney, Jason Lee  
Ash, Angela Loretta  
Blue, Neal Allan  
Buck, William Loyd  
Calvert, Elizabeth Anne  
Douglas, Sarah Christine  
Dunaway, Angela K.  
Duncan, Stephanie Miranda  
Haney, Chris L  
Havener, Krystal Karie  
Hawk, Amber Spring  
Heppler, Ryan Lee  
Hicks, Zachary Douglas  
Humphrey, Richard L  
Ireland, Linda Ann  
LaFoy, Carrie Dawn

**ASSOCIATE IN SCIENCE**

Aud, Karen  
Baughn, Mica J  
Crump, Wendy A  
Dickenson, Krissy L  
Edwards, Kelly Jean  
Hendershot, Erika  
Hicks, Zachary Douglas  
Millay, Melissa Dawn  
Myers, Vickie J  
Payne Jr, Paul C  
Rone, Amelia Liane

Alls, Oma Rebeccah  
Benningfield, Sheila C  
Miller, Joy Nichole

**BUSINESS TECHNOLOGY-MIS**

Bittel, Bonnie Sue  
Marcum, Linda Denise  
Millay, Waylon J  
Wills, Rhonda Kaye

**OFFICE SYSTEMS**

**COMMUNITY COLLEGES' CANDIDATES FOR UK DEGREES – DECEMBER 2000**

ENGINEERING TECHN – ELECTRICAL

Duke, Lois M.  
Gregory, Daniel G  
Hamilton, Jedidiah A  
Haney, Jeffrey W  
Phelps, Christopher Brian

Ford, Robin C  
Hargus, Tasha Marie  
Howard, Shauna L  
McClure, Lynette  
Nichols, Leaellen  
Smith, Bonnie S

Gill, Jaymie Eileen  
Gray II, Eddie E  
Harmon, Tim  
Hayes, Amber Lynn  
Johnson, Chrystal Dawn  
Kirkham, Thomas Howard  
Lovellace, Cynthia Lynnette  
Lynn, Nathan Blake  
Magee, Monica M.  
McGuinness, Sylvia G.  
McKinely, Joyce Ann  
McKinney, Miranda Michelle  
Miller, Mary Jane  
Nagel, Michael Thomas  
Owen, Christy Marie  
Pettigrew, Shunda LaTessa  
Pope, Brandon Murray  
Ramsey, Allan Richmond  
Reid, Heather Leigh  
Roberts, Heather Nicole  
Robinson, Tywana  
Rosa, Michael Chad  
Sales, Angi Marie  
Swatzell, Christopher Todd  
Thomas, Jamaica Latoya  
Wallace, Michael Livingston  
Warren, Kevin Lee  
Watkins, Jessica M.  
Watson, Amanda C.  
Williams, Sarah Victoria  
Wyatt, Julia Leona  
Yetter, Jennifer

HUMAN SERVICES

Cain, Ashley Nicole  
Goodwin, Jennifer Leanne  
Mefford, Leah  
Phelps, Christine O  
Renfrow, Georgia C  
Smith, Carressa  
Whistle, Amy

**PADUCAH COMMUNITY COLLEGE**

ASSOCIATE IN ARTS

Abanatha, Kevin Wayne  
Abell, Kelly G  
Allen, Timothy D.  
Allen, Robert Cordie  
Anderson, Terrell Lane  
Andrus, David Boaz  
Bhutta, Nadia Iqbal  
Blum, Lynn M  
Bradford, Lori LaDonna  
Briney, Stacey Lee  
Bumphus, Elizabeth A  
Castillo, Ernest J  
Christiansen, Steve Daniel  
Coleman, Candice  
Crittendon, Michael R  
Croley, Jared J.  
Duncan, Jeff Mark  
Fagan, Heather Marie  
Flemons, Laroyce William  
Ford, Jennifer Lyn

LAW ENFORCEMENT TECHNOLOGY

Johnston, Adam Scott

NURSING

Barnett, Melissa C.  
Black, Mandy Lynn  
Burden, Angela Rene  
Chambers, Joy R  
Crowe, Julie A.  
Daugherty, Stephanie Dawn

**COMMUNITY COLLEGES' CANDIDATES FOR UK DEGREES – DECEMBER 2000**

BUSINESS TECHNOLOGY – TELECOM.

Travis, Larry N  
Weatherford, Misty Faye  
Wilson, Jeremiah Lee  
Winn, Krista Renee  
Woodruff, Brittany Rene

ASSOCIATE IN SCIENCE

Anderson, TaShaura Y  
Barkley, Rebecca Valera  
Beasley, David H.  
Blackwell, Jake  
Burnett, Kathrin Ruth  
Crowder, Jonathan Thomas  
Davis, Anthony R.  
Domke, Shalin R.  
Doom, Jason Lynn  
Dunlevy, Tammy L.  
Goheen, Russell Thomas  
Gourley, Sara Jeanne  
Grooms, Courtney Carol  
Hamblin, Cary Lee  
Hayden, Seth T.  
Jones, Jeffery Phillip  
Keeling, Andrea Jill  
Langston, Joseph Irvin  
Lawrence, Kari Jo  
Moss, Chris King  
Roberts, Dustin Lee  
Rudolph, Eddie Brian  
Scott, Joshua  
Seay, Bradley Scott  
Shumaker, James L.  
Smith, Karen J.  
Steger, Carla Michelle  
Story, Allyson Leigh

Secrist, Amber Jo

BUSINESS TECH-ACCOUNTING

Anderson, Greta Lynn  
Calhoun, Cary Linn  
Greene, Marcia A.

ASSOCIATE IN APPLIED SCIENCE

Bridges, Michael D.  
Overby, Steve Edward

BUSINESS TECH-MANAGEMENT

NURSING

Adams, Patricia Gale  
Barefield, Alissa C.  
Barnett, Stacy Michelle  
Belt, Patricia Diane  
Clymer, Heather A.  
Frazier, Charity Dawn  
Gray, Pamela Jean  
Hack, Donna Evans  
Harris, Kristy Lynn  
Hernandez, Deanna Moon  
Hunt, Kimberly Lynn  
Jenkins, Holly Beth  
Lyell, Heather Dawn  
Manning, Amanda Lee  
Melton, Karen Marie  
Oakley, Matthew Wayne  
Orr, Mary Susan  
Parker, Pamala Jo

OFFICE SYSTEMS

Conner, Tara D.  
McAlpin, Jessica Ellen  
Riedling, Carla Lucinda  
Sanders, Danielle  
Towery, Pamela M  
Wilson, Denise Ann

BUSINESS TECHNOLOGY-MIS

McIntyre, Kevin Eugene  
Newman, Mendy Lee  
Williams, Marilyn D.

**COMMUNITY COLLEGES' CANDIDATES FOR UK DEGREES – DECEMBER 2000**

Paschall, Tabitha Suzanne  
Rhodes, Sherry Wells  
Rowland, Alina Marie  
Sexton, Kelly Rae  
Strader, Jacqueline DeShae  
Wallace, Charolette L.  
Wilson, Christina Kay  
Zainal Abidin, Norleeda

**PRESTONSBURG COMMUNITY  
COLLEGE**

ASSOCIATE IN ARTS

Baldridge, Bobby Gene  
Fields, Tabatha Nicole  
Frazier, Tamralee Ruth  
Hanner, Shannon Renea  
Henson, Jennifer Lee  
Johnson, Dreama N.  
King, Lara Lynn  
Moore, Katy Belinda  
Phillips, Wendy Lee  
Rogers, Emma Sue  
Sparks, Kathy Mae  
Sullivan, Larry G.  
Sykes, James Edward  
Williams, Judy A.  
Wireman, Crystal Jeanette

ASSOCIATE IN SCIENCE

Church, Tara Diane  
Cooper, Kevin M.  
Damron, Diane  
Frasure, Vanessa  
Minix, Melanie Amber

ASSOCIATE IN APPLIED SCIENCE

BUSINESS TECH – ACCOUNTING

Henson, Benita Celeste  
Turner, Anna  
Witten, Heather Joy

BUSINESS TECH – MANAGEMENT

Austin, Lawrence E  
Lemaster, Chester Wesley  
Moore, Helen  
Samons, Lisa A.  
Sexton, Kevin M.

BUSINESS TECH – MIS

Goble, Daphene K  
Mullins, Bonnie L.  
Robinson, Misty Nicole

BUSINESS TECH – REAL ESTATE

Wellman, Melissa J.

HEALTH PHYSICS

Holliday, Jamie Beth

NURSING

Blevins, Mika C.  
Burchett, Carmen Faye  
Collins, Clara M.  
Fitch, Angela Suzanne  
Gambill, Shannon S.  
Hall, Dusty D  
Harper, Robert Steven  
Isaac, Kimberly A  
Justice, Tracy Leanne  
Lewandoski, Leonard John  
Maynard, Jill  
Penix, Priscilla  
Ramey, Pasty Marie  
Salyer, Lisa Marie

**COMMUNITY COLLEGES' CANDIDATES FOR UK DEGREES – DECEMBER 2000**

**SOMERSET COMMUNITY COLLEGE**

ASSOCIATE IN ARTS

Anger, Joy M  
Baker, Nikki A  
Ball, Gerald D  
Baltimore, Darlene  
Brown, Christine G  
Bryant, Adam C.  
Burdine, Mary S  
Canada, Rhonda K  
Daring, David W.  
Daugherty, Mia Rae  
Depew, Tammy J  
Fitzgerald, Kimberly P  
Flanagan, Jennifer K.  
Foster, Ervin H  
Foster, Melissa S  
Garland, Randy  
Gover, Chad Allen  
Greer, LaWanda K  
Grubb, Lowell  
Hamlin, David Brian  
Hensley, Jennifer Lynn  
Hill, Sonya Renee  
Hollis, Shanna L  
Hudson, Mona L  
Hughes, Jessica L  
Johnson, Eugenia L.  
Jones, Tina Elaine  
Kinser, Amber D

**SOMERSET COMMUNITY COLLEGE**

Kobayashi, Satomi  
Lanigan, Denise M  
Linnins, Jeremy H  
Love, Tina  
Luttrell, Miranda Gail  
Lynch, Kimberly Sue  
McIntosh, Samantha Ann  
Moore, Sheila M  
Morgan, Kimberly Tracy  
Mounce, David L  
Murphy, Melissa A  
New, Kerri L  
Philpot, Tamara L  
Rudder, Rebecca  
Savage, Barbara J  
Smith, Charity Lynn  
Speaks, Cynthia Ann  
Spradlin, Hope  
Starrett, Chad  
Stephens, Tamara D  
Strunk, Troy Lynn  
Thompson, Amanda R  
Whittle, Debbie K

ASSOCIATE IN SCIENCE

Atkinson, Tiala M  
Brown, Christine G  
Davis, Jeannie A  
Gregory, Carson J  
Hubbard, Amy N

Kobayashi, Satomi  
McNiel, Bryon D  
Mullins, Roy F  
Perry, Lisa K  
Pfeiffer, Andrew P  
Rollins, Viola Amy Lou  
Todd, Robin Dean

ASSOCIATE IN APPLIED SCIENCE

BUSINESS TECH – ACCOUNTING

Vetter, Janice E

BUSINESS TECH – BANKING

Bryant, Emma L

BUSINESS TECH-MANAGEMENT

Dye, Bridget  
Foley, Pamela L.  
Ford, Mary E  
Hale, Sheila R  
Hebel, Pamela  
Morrison, Teresa L.  
Short, Jessica R  
Smith, Loretta L  
Swiger, Mona R

**COMMUNITY COLLEGES' CANDIDATES FOR UK DEGREES – DECEMBER 2000**

Tanner, Brandise R  
Taylor, Robert Lewis

BUSINESS TECHNOLOGY-OS

Meeks, Patricia Lynn

BUSINESS TECHNOLOGY-MIS

Bell, Twyla Sue  
Corder, Billy  
Ingram, Lisa C  
Murphy, Dwight V  
Stephenson, Johnny C  
West, Sandra Mae

PHYSICAL THERAPIST ASSISTANT

Burgin, Kathy L  
Farmer, Robin S  
Gregory, Russell S  
Hart, Cristy L.  
Howey, Bethany Simone  
Jones, Kimberly S  
Neal, Rosie J  
Petrey, Vickie L  
Saylor, Megan Dean  
Thomas, Janafer R  
West, Amy K

**SOUTHEAST COMMUNITY COLLEGE**

ASSOCIATE IN ARTS

Allen, Monica T  
Barnes, Angie D  
Barnes, David A.  
Bates, Yavonne D  
Belding, Melody W  
Blakley, Thomas  
Bolling, Tammy L.  
Brock, Christina K  
Brooks, Sillis J  
Carter, Terri M.  
Caudill, Dusty S  
Collins, Crystal R  
Collins, Curtis  
Cowell, Michele  
Curry, David  
Duff, Michael L  
Duncan, Kristie R.  
Dyke, Johnny  
Estep, Regina D  
Evans, Katherine  
Fields, Alice R  
Fleming, James C.  
Gilbert, Erin K  
Gist, Emma J  
Glispie, Tonya  
Goins, Jeanne  
Goodin, Rachel D  
Gregory, Misty

Haley, April D  
Hall, Melissa S.  
Holbrook, Aaron N.  
Holyfield, Jamie R.  
Hopkins, Crystal G  
HoskinsIII, Ellis  
Hughett, Danietta  
Ihrig, Margaret F.  
Jackson, Ladessa A.  
Johnson, Yvonne B  
Marlin, Lesley B  
Mason, Deborah  
McQueen, Jackie A  
Middleton, Brandie  
Moore, Brett  
Morton, Bessie J.  
Mullins, Christopher  
Noe, Susan L  
Osborne, Selia  
Patrick, Chris  
Payne, Valerie  
Ratliff, Jennifer D.  
Schubert, Debra  
Shackelford, Pamela Y.  
Sizemore, Jessica F  
Smith, Joann  
Smith, Christopher P.  
Tackett, Joyce A.  
Tolson, Tracy Michelle  
Trotter, Elizabeth  
White, Leslie S

**COMMUNITY COLLEGES' CANDIDATES FOR UK DEGREES – DECEMBER 2000**

Winter, James S  
Younce, Laura

ASSOCIATE IN SCIENCE

Abraham, Audra L  
Austin, Krista S.  
Baker, Anna J  
Baker, Derek A.  
Bentley, Tiffiney S.  
Brock III, James C  
Dean, Cheryl A  
Fulkerson, Joseph D.  
Gibbons, Jeffrey  
Haley, Robert V  
Harrison, Dedrea E  
Helton, Whitney M  
Hughes, Candi M.  
Lawrence, Hannah D  
Lunsford, Tommy N  
Maynes, Kenny D  
McKnight, Stacy M  
Meade, Tammy S.  
Middleton, Deanna L  
Middleton, Marions  
Murphy, Rachel L  
Noah, Ashlee C.  
Noe, Anthony G  
Pannell, Terry D.  
Parrott, Judith C  
Saylor, Brittney L

PHYSICAL THERAPY ASSISTANT

Barnett, Patsy L.  
Hampton, Shannon R.  
Johnson, Andrea J.  
Matthews, Karen J.  
Murphy, Johnny A.  
Shackleford, Veronica L.

Smith, Jason  
Stallard, Maudie L.  
Stallard, Monica L.  
Sturgill, Katherine  
Wilson, Sheila  
Yonts, Jessica D.

ASSOCIATE IN APPLIED SCIENCE

BUSINESS TECH - MANAGEMENT

Creech, Hayward B.  
Dean, Darrell F  
Fodor, Tabitha  
Jones, Gary M.  
Madon, Jerma M

OFFICE SYSTEMS

Blair, Heather R  
Eldridge, Abby  
Jenkins, Rachel M.

BUSINESS TECHNOLOGY-MIS

Collier, Steven T.

# PR 5A

Office of the President  
December 12, 2000

Members, Board of Trustees:

CANDIDATES FOR DEGREES  
UNIVERSITY SYSTEM

Recommendation: that the President be authorized to confer upon each individual whose name appears on the attached list the degree to which he or she is entitled upon certification by the University Registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the University Senate.

Background: In order that the degrees may be conferred upon the individuals completing requirements in December as soon after the close of the semester as possible, it is recommended that the Board of Trustees give its approval contingent upon certification by the Registrar and the University Senate that all requirements have been satisfactorily completed.

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Action taken:



Approved



Disapproved

Other \_\_\_\_\_



**OFFICE OF THE UNIVERSITY REGISTRAR  
CANDIDATES FOR DEGREES – DECEMBER 2000**

<b><u>Graduate Degrees:</u></b>		<b><u>Undergraduates (cont'd)</u></b>		<b><u>Undergraduates (cont'd)</u></b>	
Ph.D.	153	B.S.L.A.	1	Fine Arts	
D.M.A	2	B.S.NRC.	10	B.A.	31
Ed.D.	20	B.S.P.S.S.	15	B.F.A.	7
Ed.S.	4			B.M.	1
M.A.	76	TOTAL	86	B.M.E.D.U.	10
M.A.EDU	15	Allied Health		TOTAL	49
M.A.IDMA	1	B.H.S.	55		
M.B.A.	53	TOTAL	55	Human Environmental Sciences	
M.H.A.	6	Architecture		B.S.DIE.	7
M.H.P.	2	B.ARCH.	15	B.S.FAM.	36
M.M.	4	TOTAL	15	B.S.F.C.S.E.	2
M.P.A.	5			B.S.F.S.	2
M.R.C.	5	Arts and Sciences		B.S.H.M.	26
M.S.	115	B.A.	211	B.S.MAT.	13
M.S.ACC.	3	B.G.S.	1	TOTAL	86
M.S.B.A.E.	2	B.S.	77	Nursing	
M.S.B.E	11	TOTAL	289	B.S.N.	33
M.S.CHE.E.	1	Business and Economics		TOTAL	33
M.S.CIV.E.	19	B.B.A.	179	Social Work	
M.S.CN	2	B.S.A.	47	B.A.S.W.	38
M.S.C.D.	3	B.S.B.E.	6	TOTAL	38
M.S.EDU.	36	TOTAL	232	<b><u>Lexington Community College:</u></b>	
M.S.E.E.	11	Comm. & Information Studies		A.A.	38
M.S.FAM.S.	7	B.A.	49	A.S.	19
M.S.FOR	4	B.S.	30	A.A.S.	65
M.S.IDMT	1	TOTAL	79	TOTAL	122
M.S.L.S.	31	Education		<b>Graduate Degrees</b>	<b>689</b>
M.S.MAEN.	1	B.A.EDU.	86	<b>Professional Degrees</b>	<b>13</b>
M.S.MA.SE.	5	B.S.EDU.	5	<b>Undergraduate Degrees</b>	<b>1178</b>
M.S.ME.	12	TOTAL	91	<b>Associate Degrees</b>	<b>122</b>
M.S.MI.	1	Engineering		<b>TOTAL</b>	<b>2002</b>
M.S.N.S.	2	B.S.AGR.E.	3		
M.S.NUR.	9	B.S.CHE.E.	5		
M.S.P.H.	11	B.S.CIV.E.	36		
M.S.P.T.	6	B.S.C.S.	28		
M.S.RMP.	6	B.S.E.E.	23		
M.S.V.EDV.	4	B.S.MECH.E.	25		
M.S.W.	40	B.S.MINE.	5		
TOTAL	689	TOTAL	125		
<b><u>Professional Degrees:</u></b>					
DDM.	1				
D.Pharm.	11				
M.D.	1				
TOTAL	13				
<b><u>Undergraduates:</u></b>					
Agriculture					
B.S.A.B.	11				
B.S.A.E.	14				
B.S.AGR.	14				
B.S.A.S.	15				
B.S.F.S.	2				
B.S.FOR.	4				

**December 2000 Degree Candidates  
November 21, 2000**

**The Graduate School  
Dean: Michael T. Nietzel**

**Doctor of Philosophy**

Alsup, Cameron Todd  
Amako, Donatus Emerenini Ndubuisi  
Andrews II, Robert L.  
Arole, Sundeep P.  
Badgett, James Thomas Curry  
Beach, Glenda S.  
Bence, Aimee K.  
Berrocal, Maria Jesus  
Blankenship, Susan Elaine  
Botts, Theresa M.  
Bowles, Daniel Merritt  
Brady, Mary Lee Thornton  
Braithwaite, Elena K.  
Brewer, Margaret Colette  
Burcham, Barbara Gorby  
Butt, Christopher Michael  
Caldwell-Andrews, Alison A.  
Campion, Jeffrey James  
Carney, Virginia Moore  
Cheng, Xiang-Ming  
Ching, Tsui-Ting  
Cho, Moon Jung  
Clark, Michael Edward  
Clements, Emilia Gonzdlez  
Clifford, Maryanne Theresa  
Conway Dato-on, Mary  
Cook-Newell, Margaret E.  
Cooley, Tony Lee  
Corrello, Myra Lynette  
Cotter, John Matthew  
Crofcheck, Czarena Lee  
Crow, Kevin Henry  
DelCasino, Vincent J., Jr.  
Deo, Sapna Kamlakar  
Dong, Qing  
Dorrance, Brigitte R. (Cook)  
Downey, Beulah Maxfield  
Duan, Ran  
East, Deborah Jeanine  
Edison, Thomas Wayne  
Enevold, Tammy Rae Leisemann  
Fast, Jody Lynn  
Fernandez, Stefan  
Fisher, Thomas Francis  
Forsythe, Ann Crittenden-Lewis  
Fournelle, Connie Gerads  
Gerk, Phillip Matthew  
Gill, Amy Michelle  
Gonsalves, Gerald Caesar

Grubbs, Morris Allen  
Guan, Xiaoyi  
Hayes, Lara Bethanne  
Heath, Charles Edward  
Helme, Donald Wade, Jr.  
Hilgarth, Roland Sebastian  
Hoekelman, Margaret Campbell  
Holder, Timothy David  
Horn, Jamie  
Hsu, Ao-Lin Allen  
Hu, Jonathan Joseph  
Hulett, Diane Netherton  
Imwalle, Dennis Bradley  
Jamikom, Uttra  
Jiang, Yaping  
Johansson, Tulin Ozdemir  
Johnson, Rana  
Johnston, Gregory Scott  
Jorgensen, Mark Stuart  
Kays, John Wesley  
Kimweli, David  
Koh, David W.  
Ledford, Katherine Elizabeth  
Lee, Sang Whi  
Leng, Mei  
Lewis, Jason L.  
Liberty, Kenneth Ronald  
Lies, Christopher Mark  
Lieto, Louis Dyrat  
Light, Caroline Elizabeth  
Lin, Elizabeth Gayle  
Lin, Shu-mei  
Liu, Dongfa  
Long, Shawn Duane  
Mahaney, Robert C.  
Maple, Maura Marie  
Marshall, Edward Owsley  
Matthews, Cynthia Ann Kouns  
Mayer, Gregory Dean  
Mays, Herman Lee  
McCabe, A. Catherine  
McDonald, Juliana  
McKissick-Melton, S. Charmaine  
McManus, Christina Jane  
McWhorter, Laurie Burney  
Miewald, Christiana Elke  
Mihalek, Ivana  
Milacic, Milos  
Murray, Phyllis D.  
Myka, Jennifer Leigh  
Novak, Scott P.  
Oberding, Julie Cencula  
Paton, Neil Duncan  
Paton, Sara Jean Lewis

Pedersen, Lisa Leigh  
Peng, Chien-Chih  
Peyton, David  
Pitcock, Ronald Lawrence  
Qiu, Wenping  
Rambo, Katherine Clevenger  
Res, Ivica  
Ritchey, Kristin A.  
Roahrig, Jerry Baline  
Rollins II, Carl Prentice  
Sabirianova, Klara Z.  
Sarma, Anuj Pratim  
Schoeneberger, Marlies L.  
Segar, Tracy Mark  
Shambora III, William Edward  
Shaw, Joseph Russell  
Sheahan, Timothy Cornelius  
Simpson, Mary Rado  
Singh, Meenu  
Slim, Rabih Mohamad  
Smith, Nathaniel Bruce  
Sparks, Michelle Howard  
Spromberg, Julann Aline  
Streater, Kristen Lenore  
Sukop, Michael C.  
Swanson, Kendall Carl  
Swanson, Rick A.  
Takahashi, Maki  
Taul, Glen Edward  
Thompson, Michael Wayne  
Thompson, Robert Ingram, Jr.  
Thompson, Tammy Lynn  
Toros, Monica Malcein  
Traylor, Steven Leon  
Tricarico, Juan Marcelo  
Twaroski, Timothy Patrick  
Vishwanathan, Raman  
Waldrop, Bruce Allan  
Walters, Karen Munsell  
Wei, Xiaochen  
Wilkins, Lincoln Haworth, Jr.  
Williams, Kathie Denise  
Willis, Peter Gordon  
Woods, Neal Derrick  
Worthen, David Robert  
Yu, Keshun  
Yungbluth, Stephen C.  
Zhao, Yan  
Zhou, Weisong  
Ziegler, Dana Roderick

**Doctor of Education**

Adams, John LeScott  
Bellew, James William

Cleveland, Mark Warren  
Drury, Sandra Dee  
Edgington III, Ernest Lee  
Edgington, Susan Bohannon  
Gibson, Melissa Ruth  
Hauser, Brenda Bennett  
Henderson, Lori Leigh Kidwell  
Laney, Lonnie Ray  
McClanahan, James Robert  
McDonald, Susan Foust  
Payne, Tena Burdge  
Prewitt, Sallie Hanna  
Rintamaa, Margaret Fleming  
Rupp, Margarita Sullivan  
Vaez, Hossein  
Webb, Phillip Garold  
Whitaker, William  
Yates, Sandra Kay

#### **Doctor of Musical Arts**

Lawrence, Robert James  
Schmidt, Daniel Joseph

#### **Educational Specialist**

Martin, Mary Lillian  
McElvene, Leatrice E.  
Tobin, Vicki  
White, Maria Thomas Ladopoulou

#### **Master of Arts**

Andonova, Sonja  
Arnold, Owen Glen  
Barber, Christopher Warren  
Barleon, Michael  
Behre, Kelly Alison  
Bentrup, Benjamin Boyd  
Boyd, Elizabeth Leigh  
Brennan, Marianne Bridget  
Bryant, Charles V., Jr.  
Cantara, Linda Miller  
Carter, Douglas Wayne  
Christenson, Joel Charles  
Crinean, James Colin  
Davis, Terry Lee, Jr.  
Deaton, Dollie F.  
De Leon, Teresa  
Dittmer, Marc  
Doggett, Gregory Howard  
Eadie, Yulonda  
Ehlerman, Ruth Roark  
Elliott, Elliott Stephen  
Evans, Douglas Paul  
Fairman, Christina Andrea Eng  
Foster, Lisa Renee  
Framptom, Emily Katharine  
Fuller, Martha Abigail Ruth  
Hartford, Charles Wesley  
Hartford, Charles Wesley

Holliday, Lisa Rene  
Hopkins, Barry Reed  
Hsiao, Chi-Fang  
Huang, Xiaoning  
Inman, Stanley L.  
Jao, Jui-Chang  
Jones, Catherine Amanda  
Justice, Scott Edmond  
Kershaw, Debra S.  
Kilpatrick, Ryan John  
Koehler, Shannon Melanie  
Kudirka, Elizabeth Susan  
Kuzyk, Daniel John  
Lackey, Michael Donald  
Langston, Elizabeth Mechelle  
Lawson, Kelly Jennifer  
Lee, Sunryoung  
Lewis, Denise Clark  
Mason, Michele Hickey  
Massey, Christi Sport  
McBride, John David Edward  
McDermott, James Vincent  
Messer, Neal Anthony  
Miday, Charles Joseph  
Montague, John Curtis  
Moore, Sarah Anne  
Mullins, Cassie  
Nooruddin, Sameena Seemeen  
O'Leary, Sean Eric  
Parker, Christina Diane  
Parks, John Hulbert  
Parsons, Kelly Michelle  
Pearce, Bryan Russell  
Pettazzoni, Jodi Elizabeth  
Pettit, David Howard  
Powell, Jessie Bishop  
Provost, Jeanne LaPrelle  
Ramirez, Alicia Veronica  
Ray, Rachel Kristin  
Slane, Michael Shawn  
Stewart, Janie Marie Cook  
Strother, Eric Scott  
Venter, Marcie Lynn  
Vorobiev, Andrei  
Whitehead, Rebecca Elizabeth  
Wilkinson, Eric Thomas  
Williams, Megan Kathryn McCarty  
Wittenburg, Julia

#### **Master of Arts in Education**

Blandford, Joey Allen  
Conn, Ida M. Kimble  
Cox, Angela Jo  
Farris, Sara Minette  
Hale II, Charles Hugh  
Horn, Kelly Elaine  
Keck, Melissa Ray  
Marshall, Elizabeth Jean  
Parker, Heather Leighann

Rosenberg, Erica Reiner  
Ruschell, Justin Luke  
Sason, Joyce Monica  
Smith, Kevin Lee  
Tilsley, Margaret Wilson  
Wilson, Mollie Elizabeth

#### **Master of Arts in Interior Design, Merchandising & Textiles**

Goss, Clifford Raymond

#### **Master of Business Administration**

Aldridge, Rani Shea  
Banys, James Paul  
Barlow, Charles Brady  
Bazanov, Mikhail V.  
Binder, Christian M.  
Brauch, Douglas Stephen  
Bullock, Curtis Edward  
Canada-Johnson, Carla Yvonne  
Carver, Jeffrey Charles  
Cheatham, Michelle Andreen  
Choong, Li San Evelyn  
Copelin, Terrell Douglas  
Coufal, Jeanette D.  
Dai, Bo  
Devine, John S.  
Dewang, Amiruddin  
DiGiuro, Thad  
Gurley, John Carl  
Habash, Saleem Anton  
Hause, David Ashley  
Hill, John A., Jr.  
Holmes, Diana RaShea  
Istre, Gabrielle Lee  
Istre, Joseph Michael  
Jones, Christopher William  
Joyce, Patrick Joseph  
Kratzert, Adam L.  
Lambert, Sean Eric  
Lee, Robin Kendall  
Martz, Susan Adrienne  
McCrary, Christopher P.  
Meredith, Dustin Eric Jason  
Murray, Lowell David  
Oliver, Darrin Wayne  
Peck, Sarah Ashley  
Polly, Eric Ray  
Pomeroy, Claire  
Raab, Christopher Emanuel  
Sawicki, Glenn B.  
Smith, Daryl Robert  
Stauer, David B.  
Stedelin, Brian T.  
Steltenkamp, Amy Marie  
Steltenkamp, Carol Lee  
Supriyadi, Heri

Sverko, Ivan  
Tackett, Craig  
Touro, Scott Matthew  
Vencill, Margarita G.  
Veurink, Dean Howard  
Wallace, Megan Lynn  
Warren, Kimberly Kay  
Wiyatmojo, Bardiyono

#### **Master of Health Administration**

Buege, Andrea J.  
Callahan, William Hunter  
Edwards, Chad Jackson  
Highlander, Mary Carole  
Kendrick, Melanie Dawn  
Pai, Deepti Ravindra

#### **Master of Historic Preservation**

Jennemann, Jan A.  
Plaisted, Anthony Cecil

#### **Master of Music**

Heersche, Kimberly Kathryn  
Hostetter, Johanna Cook  
Howland, Heather Leigh  
Kirven, David Curtis

#### **Master of Public Administration**

Garrett, Brent  
Ho, Pui Man (Julia)  
Pike, Jerry Daniel  
Woodall, Kelly Suzane  
Yasin, Firas Fayez

#### **Master of Rehabilitation Counseling**

Blanton, Joy Genene  
Bowlin, Kristi Zachary  
Brothers, Teresa Ann  
Davis, Crystal Marie  
Marsee, Sara Elizabeth

#### **Master of Science**

Adu, Clement Kofi Wi  
Alsup, Cameron Todd  
Anandappa, Marienne Angela  
Bapst, Ryan Foster  
Bharucha, Zubin Hosi  
Boerner, Laura Megan  
Burden II, Barry Tracy  
Campbell, Jacqueline S.  
Carson, Jill Suzanne  
Challagulla, Sarita  
Chen, Jianhua  
Chen, Yeong-Shiang  
Coleman, Holly Holbrook

Colvin, Joshua Thomas  
Cornett, Gregory Clay  
Craven, Kelly Doyle  
Davis, Marcie Kay  
Dearth, Chantel Marie Sowards  
Dinlge, R.W. Cameron  
Donatelli, Mark J.  
Donofrio, Jennifer Corey  
Doonan, Ryan Thomas  
Douglass, Phillip M.  
Edgington, Charlie B.  
Feng, Zhonghan  
Fortner, Jeanne Ann  
Frank, Jonathan Edward  
Freamat, Mario Vadim  
Freeman, Diane Dallas  
Freytag, Ann M.  
Funk, Dona L.  
Gallagher, Megan Elizabeth  
Gazula, Vikram  
Griffin, Marisa Lynn  
Guglani, Manoj Kumar  
Han, Jae Hwan  
Harrison, Kim Joanne  
Harvey, Andrew Gerome  
Hein, Wendy Kristine  
Heywood, Elizabeth Bryden  
Hoagland, Warren Heath  
Holtz, Cedric Walter  
Howell, Julie Ann  
Hsiao, Shuhsien  
Huang, Lingkang  
Huang, Zifang  
Hudkins, Jennifer Michelle  
Hupton, Gina Marie  
Issa, Mervat H.  
Iswariyadi, Arief  
Janicki, Kristen Michelle  
Jewell, Helen Elizabeth (Lisa)  
Jiang, Ge  
Jiang, Xinkai  
Johnson, Walter Karl  
Jordan, Robert Blake  
Jose, Nancy Sharmini  
Kanakasabai, Murali  
Karim, Paula  
Kennedy, James Edward  
King, Amy L.  
Klein, Jennifer Nicole  
Kumako, Kuami Mawunyo  
Li, Min  
Lin, Alice Jie  
Makris, Konstantinos Christos  
McCale, Mitchell P.  
McClain, Marie (Sullivan)  
McDowell, Gary Stephen  
Mihalek, Ivana

Mize, Carol Beth  
Mohanty, Satya Ranjan  
Momon, Caroline  
Morrison, Cynthia Barker  
Mullins, Oliver Edward  
Nenkova, Maia Miltcheva-Momtchilova  
Neuman, Whitney Celeste  
Nugent, Amelia Marie  
Oakley, Kay Sue  
O'Connor, Cara Irene  
Partington, Mike  
Peyyala, Rebecca  
Plandowski, Michael Anthony  
Poosiripinyo, Rangsit  
Qiu, Wenping  
Qu, Pingping  
Rallapalli, Jagannath  
Rogers, Bradley Allen  
Rothman, Geoffrey David  
Schlecht, Ulrich  
Schmidt, Laura Stefanie  
Schmidt, Shane R.  
Setser, Sheri Leigh  
Shao, Hua  
Sheehan, Kevin Christopher  
Shoal, Gavin David  
Singh, Sumit Kumar  
Stewart, Christopher David  
Sweat, Christofer Jon  
Tang, Haibo David  
Tosti, Brandon Michael  
Vires, Jennifer Heringer  
Waddell, Elwood Talmadge, Jr.  
Weddington, Theresa Jo  
Wells, Douglas Joseph  
White, Travis Alan  
Wilson, Tina Trimble  
Wood, Steven B.  
Wu, Yan  
Xiao, Tong  
Yamka, Ryan Michael  
Yap, Roseline  
Zhan, Xiaonong  
Zhang, Chi  
Zhang, Chi

#### **Master of Science in Accounting**

Allison, Gaylee Roxanne Walden  
Gilbert, Christopher Bryan  
Ward, William Terry

#### **Master of Science in Biosystems and Agricultural Engineering**

Camenisch, Greg Alan  
Chinn, Mari Sum

**Master of Science in Biomedical Engineering**

Duncan, Todd Harrison  
Gerlach, Darin Scott  
Hartman, Eric Creighton  
Li, Dan  
Ma, Jianying  
McKale, James M.  
Pothini, Venu Madhav  
Seppa, Katherine Rachel  
Shultz, Jason Matthew  
Villarruel, Sandra M.  
Wang, Heng

**Master of Science in Chemical Engineering**

May, Brian W.

**Master of Science in Civil Engineering**

Bannister, Herbbie Wayne  
Beda, Donasian F.C.  
Blandford, Patrick Neal  
Bowling, Sarah Thompson  
Catchings, Rachel Ann  
Chandraratna, Susantha  
Kiribathgodage  
Donnelly, Brian Philip  
Durrett, Daniel Martin  
Goo, Chee Hoang  
Hong, Chee Wah  
Hopkins, Margaret Ruth  
Nodurft, Elizabeth Nancy Gingerich  
Obergfell, Beth Lorraine  
Phelps, Brian Scott  
Schweri, Laura Kathryn  
Sharp, Timothy Wayne  
Teo, Wee-Hua  
Villalba, Juan Carlos  
Zhong, Xiao-Guang

**Master of Science in Clinical Nutrition**

Maynard, Sean Eric  
Swintosky, Marjorie Elizabeth

**Master of Science in Communication Disorders**

Frymyer, Larrietta Leann  
Helm, Karlene Michelle  
Miller, Ann Baehl

**Master of Science in Education**

Blair, Teresa Lynn  
Bolling, Mary Howard

Brown, Amy Noel  
Buckman, Holly U.  
Dallalio-Crotty, Laura J.  
Daugherty, Stefanie Gabriele  
Elkins, Katherine  
Etherredge, Cecil Morgan  
Ethington, Laurie Pecoraro  
Etter, Dana Mendenhall  
Hamm, Amanda Kristin Helm  
Hansel, Joseph Ernest  
Helton, Dale Roger  
Honaker, Helen Elizabeth  
Hsu, Hsiu-Hui  
Hurst, Melissa Jane  
Judd, Deanna Cope  
Kidd, Denisa Stephens  
Lingo, Amy Shearer  
Littlefield, LuAnne  
Lovell, Kelly  
Lunceford, Kristi Wininger  
McCoy, Susan Ellen  
McReynolds, Amy Olson  
Meadows, Chen J.  
Newcomer, Ronald A.  
Pendergrast, Cynthia Ann Lawrence  
Porter, Pamela Brackett  
Read, Janet Lynn  
Reynolds, Heather Lee Mays  
Santos, Charlene Elena Dunn  
Swain, Helen Marie  
Wolford, Kendra DeShea  
Wright, Margaret Laurie Comer  
Yost, Eva Marie  
Zaremba, Trina Vessels

**Master of Science in Electrical Engineering**

Aluru, Sreenivas  
Chapman, Danny Keith  
Chien, Albert K. H.  
Garg, Pradeep  
Gollapudi, Subrahmanyeswar  
Gong, Yu  
Gu, Ye  
Huang, Mei T.  
Satyamurti, Ramgopal  
Schmidt, Stefan  
Tang, Wee-Hua

**Master of Science in Family Studies**

Bosler, Kimberly  
Bradshaw, Mary Kay  
Feinauer, Ian David  
Fritsch, Amy Elizabeth  
Morris, Jeanette Elaine

Sutter, Sandra Foreman  
Taylor, Melissa Kaye

**Master of Science in Forestry**

Blackwell, Kyle Allen  
Housman, Helen Heather  
Thompson, Amy Flaherty  
Yacek, Henry F.

**Master of Science in Interior Design, Merchandising & Textiles**

Newsome, Chastity Danielle

**Master of Science in Library Science**

Anderson, David Brian  
Beck, Cassie  
Bowling, Katrina Marie  
Brannock, Jennifer Suzanne  
Cartledge, Jonathan David  
Davis, Matilda Mary Elspeth  
Easterling, Brian Scott  
Enneking, Thomas Christopher  
Furgason, Terri Ann  
Gehring, Suzanne O'Neal  
Hockensmith, Misty Susanne  
Homan, Barbara Gail  
Jensen, Jill Elizabeth Ann  
Jones, Ronald E., Jr.  
Kinder, Larry Sean  
Leedy, Stephanie Joe Tankersley  
Lorson, Amy Kathleen  
Marshall, Susan Perkins  
May, Kari Leigh  
McGee, Sharon R.  
Nichols, Linda Ann  
O'Connor, Martin James  
Plarr, Margaret  
Robertson, Melinda Colleen  
Ryan, Christine E.  
Sperling, Melanie DeBoard  
Stahl, Sheryl Fern  
Stathas, Aneve Tyleen  
Vogel, Alita Ann  
Warren, Carrie Elizabeth  
Zabriskie, Melinda R.

**Master of Science in Manufacturing Systems Engineering**

Ard, Paul Chester  
Bohon III, Roy Lee  
Christians, Michael Scott  
Sweitzer, Michale Eugene  
Zhu, Kexin

**Master of Science in Materials  
Science and Engineering**

Ma, Yong

**Master of Science in Mechanical  
Engineering**

Ackman, Jacqueline Marie  
Adu-Dheir, Nu'man  
Clem, Andrew Lavon  
Erickson, Neal David  
Ma, Hongmei  
Martinus, Ferdy  
Nandy, Ronita  
Poh, Chum Kwang  
Shi, Jiaohua  
Wang, Xiqun  
Wise, Kelly Andrew  
Xiong, Hui

**Master of Science in Mining  
Engineering**

Leedy, Aleck Wayne

**Master of Science in Nursing**

Conseen-Bradley, Deborah Kellide  
Gatliff, Nova Elizabeth  
Gregory, Nola Bird  
Grider, Tina Louise  
Happy, Rose Marie  
Li, Jue  
Morgan, Wanda Lorain  
Napier, Melissa Evette  
Plymale, Margaret Anne Henderson

**Master of Science in Nutritional  
Science**

Hsu, Cathleen  
Liu, Xuexuan

**Master of Science in Physical  
Therapy**

Hazle, Charles Ray  
Houck, Denise Suzanne  
Lasslo, Patti Denise  
Magee, Maxwell Lucas  
Stevens, Michael Garth  
Whited, Mark Adam

**Master of Science in Public  
Health**

Bryden, Phyllis Ann Marshall  
Cronin, Jennifer Skeeters  
Klyza, James Philip, Jr.  
Mathews III, Robert Edward  
Patel, Paresh Balu

Pilkenton, Stephen Eric  
Powe, Tahelia Carelle  
Shaw, Mary Caroline Buchanan  
Snider, Alison Janet  
Spengler, Susan E.  
Stump, Michelle Leigh

**Master of Science in  
Radiological Medical Physics**

Bharucha, Zubin Hosi  
Drogin, Ariel Bernadette  
Popescu, Carmen Cristiana  
Staryszak, Michael Francis  
Tamimi, Mat M.  
Wise, Jerry Lee

**Master of Social Work**

Amett, Melissa Kay  
Bailey, Amy Carole  
Barnett, Anneke Walker  
Blaydes, Nancy Cleaves  
Bowers, Trisha Lynne  
Butler, Tony  
Carpenter, Taunya Marie  
Copeland, Diane S.  
Edwards, Sandra Darlene  
French, Rebecca Bell  
Hale, Barbara Ratiiff  
Hall, Michael  
Henry, Rachelle D.  
Kiser, Sharon P.  
Lacey, Stacy Lynn  
Lockard, Anthony Scott  
McCoomer, Sandra Ann  
McGlothlin, Lissa Joy  
Merriweather, LaTonya Renee  
Monohan, Kelly Edwards  
Moss, Carol Fay  
Norris, Barbara R. McCreary  
Reeves, Debora Kay  
Rice, Leigh Ann  
Seamnds, Dawn Elaine  
Shepherd, Loma Lee  
Shugars, Margaret Sue  
Sims, Susan Paige  
Sites, Evelyn Marie  
Smith, Nicole Elizabeth  
Stevens, Lora Payne  
Swart, Harriette Gale  
Swift, Casandra Denise  
Teel, Jennifer Michelle  
Thomas, Heather Noelle  
Thompson, Stephen Andrew  
Tucker-Ward, Celeste  
Vandervort, Annemarie Margaret  
Wachtel, Tamara Lea  
Yenn, David W.

**Master of Science in Vocational  
Education**

Jury, Kristy Burkhead  
King, Cindy Michelle  
Silverman, Jeffrey Kamens  
Tucker, Nancy C.

**College of Dentistry  
Dean: Leon A. Assael**

**Doctor of Dental Medicine**

Currie, Allan L.

**College of Medicine  
Dean: Emery A. Wilson M.D.**

**Doctor of Medicine**

Rothman, Kimberly Kyker

**College of Pharmacy  
Dean: Kenneth B. Roberts**

**Doctor of Pharmacy**

Bilz, Lee Henry  
Fisher, Lucille L.  
Hasty, Catina Cain  
Kinsella, Susan Hamill  
Kyle, Katherine Alexis  
Marcell, Charles C.  
Modjeski, Deborah Jane  
Rice, Peter J.  
Scott, Paul Randolph  
Stevens-Shaffer, Kathy Annette  
Wamer, Mary Lynn

**College of Agriculture  
Dean: C. Oran Little**

**Bachelor of Science in  
Agricultural Biotechnology**

Hobbs, Matthew Brian  
Howell, Steven Scott  
Johnson, Kristy Lynn  
Kendall, Jonathan Andrew  
Lewis, David Wayne II  
Mulligan, Ryan Matthew  
Shuffett, Hilary Ann  
Sparks, Cynthia Renea  
Stames, John Howard  
Stephens, Thornyn Burke  
Strunk, Sarah E.

### **Bachelor of Science in Agricultural Economics**

Ailiff, Jeremy A.  
Blackburn, Julie Annette  
Bowles, Phillip Scott  
Bryant, Kevin H.  
Conboy, Ryan Stevens  
Drury, Brian Scott  
Edwards, Joseph Reid  
Lilly, Brian Keith  
Mescher, Braden James  
Reeder, Otis Edward, Jr.  
Satterwhite, Jeremy D.  
Shah, Ty Wesley  
Smith, Daniel Charles  
Wagner, Michael Craig

### **Bachelor of Science in Agriculture**

Fritsch, Peter Andrew  
Goode, Jennifer Mae  
Jones, Donald S.  
Koch, Matthew Ryan  
Pile, Tara Dee  
Prewitt, Matthew Dane  
Purcell, Wesley Edward  
Ray, Scott E.  
Rechtin, Mary Nicole  
Reed, John Davison  
Shackelford, Mary Beth  
Shewmaker, William George  
Steputis, Allison Marie  
Yankey, Claudette Marie

### **Bachelor of Science in Animal Sciences**

Blaine, Brandy Michelle  
Bowker, Melissa D.  
Cote', Karen  
Cummings, Forrest Osaze  
Endfinger, Sean M.  
Harmon, Abby Allen  
Kegley, Courtney Ann  
King, Holly Adrienne  
Mudd, James Anthony  
Newell, Angela Ann  
Raisor, Brian Charles  
Schoenekase, Sara Renee  
Shannon, Shana K.  
Wahl, Stephanie Nicole  
Yowell, James Scott

### **Bachelor of Science in Food Science**

Metz, Matthew Robert  
Parker, Ami Jocelyn

### **Bachelor of Science in Forestry**

Bouland, Jonathan R.  
Daman, Dustin J.  
Morgan, Karen Amy  
Schwartzlose, Beth Ann

### **Bachelor of Science in Landscape Architecture**

Weintraut, Jr., Thomas A.

### **Bachelor of Science in Natural Resource Conservation- Management**

Ball, Kara Rhea  
Crawford, Jennifer Ann  
Cunningham, Darcie A.  
Dattilo, Adam Joseph  
Finnell, Kathie Marie  
Haley, Jarrett Kendall  
Palmgreen, Kristin Anne  
Perez, Lauren Alanna  
Poe, Angela Rose  
Toncray, Melissa Carol

### **Bachelor of Science in Plant and Soil Science**

Abell, Kevin G.  
Bender, Scott Anthony  
Brown, Clayton Chenault  
Coy, Michael Edward  
Crain, Emily  
Hook, Patrick Anthony  
Knockwafel, Christopher P.  
Lilly, Brian Christopher  
Mackie, Robert Hensley  
Polston, Angela D.  
Roberts, Sean Patrick  
Scott, Delia Woolsey  
Stone, Kristopher Alan  
Van Arsdale, Megan Elizabeth  
Welsh, Peter Alan

### **College of Allied Health Dean: Thomas C. Robinson**

#### **Bachelor of Health Sciences**

Baker, Cindy Diane  
Bay, Shannon Erin  
Bogert, Grace Mary Huhman  
Brown, Laura Anne  
Buchanan, Brian Lee  
Chaney, Billie Michelle  
Cleary, Judith Lynn/Collins  
DeLong, Jason Edward  
Embry, Brandon Heath

Goodwin, Karen E.  
Gumm, Edward L.  
Hatfield, Misty Ann  
Hayes, Kimberly Renee  
Hess, Kimberly Denise  
Huffman, Carl Allen III  
Issac, Joseph Alan  
Jennings, Dana Nadine  
Jones, Mari Elizabeth  
King, Michelle Renee  
Kling, Ryan L.  
Lawson, Matthew Edmon  
Maddox, Libbia Anne Rice  
Martin, Elizabeth Theresa  
Matherly, Melynda Noel  
Maynard, Sean Eric  
Mays, Emily Hearn  
Montgomery, Steven H.  
Morton, Steve William  
Newsome, Damon, Jr.  
Noble, Elizabeth Marie  
Oliva, Mary Elizabeth  
Olson, Elizabeth Ann  
Pack, Nan Lewis  
Pierce, Shari Lynn  
Pierson, Johnathan Mark  
Preece, Stephanie Michele  
Pyne, Donna Jean  
Radcliff, Sandra Louise  
Reynolds, Erika Leigh  
Richardson, Mary Ann  
Ritchie, Stacy Evan  
Robertson, Curtis Bradley  
Sadler, Heather Michelle  
Salisbury, Charity Ann  
Schlenthner, Kurt  
Sloan, Stephanie Kay  
Sorrell, Sara Lynn Young  
Stanley, Michael Stewart  
Stanley, William Edgar II  
Stewart, Mitzi Lynne  
Swenson, Kristina Suzanne  
Wainscott, Donald Ray II  
Welch, Krista Leigh Estep  
Westenhofer, Jason Mathew  
Williams, Margery Sue

### **College of Architecture Dean: David Mohney**

#### **Bachelor of Architecture**

Bush, Daniel Raymond  
Dao, Nhut Dong  
Dunn, Jason Dean  
Harris, Matthew Glenn  
Hensley, Shannon Shanelle  
Hong, Choe Ling

Japar, Daimin  
Kenney, Brian Patrick  
King, Kevin Patrick  
Koch, Dawn Leigh  
Lancaster, Angela Bidwell  
Lindroth, Brian Richard  
Merrick, Jason Scot  
Motley, Dana Diane  
Vaught, David Ray

## **College of Arts & Sciences**

**Dean: Howard Grotch**

### **Bachelor of Arts**

Abbott, Thomas Laffoon  
Anderson, Julie D'Ann  
Anderson, Wilson Allen  
Antoine, Dennis Patrick  
Armstrong, Amelia Alice  
Armstrong, Julie Anna  
Arrowood, Serita Jade  
Arrowood, Serita Jade  
Ashby, Kristin Rose  
Barrett, Kellie Elizabeth  
Bennett, Courtney Shea  
Best, Pamela L.  
Black, Molly Sue  
Bland, Caleb Tyler  
Brown, LaGene Thomas  
Bryan, Elizabeth Devereaux  
Bryant, Matthew Scott  
Bunch, Shawna Tennille  
Campbell, William Foster  
Carpenter, Travis Clay  
Chambers, Jacob Randy  
Champer, Allison Louise  
Clue, Robert Ira  
Cohen, Ross Daniel  
Coleman, LeAnn E.  
Collinsworth, Mark  
Combs, Amy Beth  
Cook, Jason L.  
Couch, Harvey Matthew  
Creamer, Erin Elisabeth  
Dailey, Kevin Alan  
Dassance, Susan Elizabeth  
Davis, Kristine Gudmundsson  
Dean, Jason Taylor  
Dille, George Elliott  
Duff, Jack Harrison II  
Dugan, Jenny Jaye  
Edwards, Michelle Kristen  
Eldridge, Rachel Dorthetta  
El-Hayek, Eskandar Louis  
Ellis, Preston L.  
Ellnor, Chad R.  
Ender, Jessica Leigh  
Evans, Melanie Michelle

Falco, Andrew Micheal  
Falk, Andrew James  
Faulkner, Rose-Linda  
Fincher, Linda Ann  
Fitzpatrick, Pashens  
Forbes, Rainbow  
Forray, Dana Beth  
Foster, Benjamin Daniel  
Foster, Benjamin Daniel  
Freels, Robert Scott  
Gaines, Jessica Christine  
Galloway, Amy Christine  
Garrett, JoAnn Michelle  
Gelfo, Brian  
Gilbert, Brian Curtis  
Goodlett, Theresa Lynn  
Gordon, John Fleming Sale II  
Grant, Ronald Edward, Jr.  
Greene, Darin Patrick  
Gregory, Thomas Ray  
Gronewold, Lindsey Marie  
Gross, Melana Sue  
Hall, Angela Jo  
Hamilton, Megan McCoy  
Hammons, Kelly Diane  
Harper, Jennifer Hope  
Hart, Brandon Miles  
Hawes, Julia Bright  
Haynes, Molly Jean  
Helton, Shannon Dell  
Hermes, Stacey Lynn  
Herr, Jennifer Ann  
Hesoun, Johan  
Hiestand, Chauncey Richard  
Holmes, Kevin Edward  
Howard, Jonathan Russell  
Hyndman, Margaret M.  
Ising, Tara Kristen  
Ito, Masako  
Jackson, Stephanie Kay  
Jacques, Brian P.  
Jaggers, Travis Allen  
James, Michael Gary  
Jasinski, Aimee Lynn  
Johnson, David Shawn  
Johnson, Wendy Michelle  
Jones, Joshua David  
Jurgensen, Catherine Louise  
Kaak, Jennifer A.  
Kaczur, Alexander Paul  
Kay, Kristyn A.  
Kays, Michael Shane  
Kelley, Erin Elizabeth  
Kelley, Jason Miles  
Kelley, Jason Miles  
Kidd, James David  
Kinstle, David Bruce  
Kirk, Sandra Kay  
Kirkland, Amanda Carol

Kragel, Erich William  
Lail, Thomas P.  
Lampkin, Laveta Marie  
Lanham, Cynthia Agnes  
Lattin, Mark Julian  
Laumas, Joel C.  
Lindsay, Rachel Anne  
Lodes, Karen M.  
Long, Jennifer Marie  
Lusk, Casey Marie  
Mabry, Jason Kennedy  
Mahan, Patrick Ryan  
Mahomey, Steven Shane  
Mann, John Nicolas  
Martin, Angela Marie  
McClanahan, Paul Allen  
McCormack, Owen James  
McGlincy, Eric James  
Meyer, Allison Graham  
Miller, Elizabeth Ann  
Miller, Jason Wayne  
Miller, John Joseph  
Mills, Cameron Trevor  
Monk, William Everett  
Moore, Patrick O.  
Morgan, Margaret Kaye  
Morrison, Angela Mischelle  
Moss, David Allen  
Mukena, Bettina Musau  
Neu, Raven Lynne Zenobia  
Newland, Jessica Marie  
Omadjambe, Nanny O  
O'Quinn, Daniel Franklin  
O'Quinn, Shannon Mariea  
Owens, Sean Christopher  
Patton, Lance M.  
Pease, Christina Gay  
Perkins, Lauren Ann  
Phelps, Stephanie Christine  
Philpot, Jonathan Edward  
Pippin, Matthew Morgan  
Pippin, Matthew Morgan  
Prentice, Whitney Erin  
Price, Gary Eugene, Jr.  
Price, Kelly Summer  
Price, Kevin Lewis  
Price, Tanya Andleeb  
Principe, James Benedict  
Pruitt, Ian Winchester L.  
Purvis, Bryan Jason  
Raasch, Emily Sutton  
Rainone, Jennifer Lee  
Ramsey, Jennifer Lee  
Raney, James M.  
Raque, Trisha Lynn  
Roy, Brandi Nicole  
Russ, Dominic Patrick  
Salyer, Alison Claire  
Sander, Julia Celeste



Schilling, Geoffrey Carr, II  
 Schilling, Geoffrey Carr, II  
 Schmidt, Holly Burnett  
 Schofield, Julie Marie  
 Schofield, Marcie Lynn  
 Schofield, Marcie Lynn  
 Seiter, Elizabeth Ellen  
 Shelby, Rebecca A.  
 Shelton, Allison Paige  
 Shuja, Jasmine Leigh Vandergriff  
 Siady, Stuart Vincent Nieto  
 Simms, Elizabeth Amy  
 Slone, Amber Renee  
 Smith, Carla Renee'  
 Soo, Aileen  
 Spears, Carolyn R.  
 Stambaugh, Jill M.  
 Stone, Brandon Steven  
 Sturgill, Tony Scott  
 Suchy, Daniel Ernest  
 Suddeath, Nathan Christopher  
 Sullivan, Timmy Warner  
 Sumner, Christian M.  
 Sutton, Christopher Webb  
 Tabeling, Douglas Lee  
 Tan, Sin Hui  
 Taylor, Stephenie Joy  
 Teang, Susan  
 Thompson, Andrea Lee  
 Thompson, Kevin Louis  
 Tober, Janna Marie  
 Tonne, Erin Catherine  
 Traylor, Kimberly Dawn  
 Vires, Clara Lu  
 Waggener, Erin Rebecca  
 Walters, Karla Michelle  
 Walters, Karla Michelle  
 Webb, David Michd  
 Weikel, Tracy LeAnn  
 Weinberg, Zachary Combs  
 Wilkins, Kendra Shea  
 Windsor, Nydia Ann  
 Wolff, Franz Frederick  
 Woodward, Kellye LeAnne  
 Wright, Johnna R. Roseberry  
 Wurth, Mark Allen  
 Yuda, Amy Lynn  
 Zell, Jennifer Eileen  
 Zimmerman, Paul Adam, Jr.

#### **Bachelor of General Studies**

Rowe, Donna C.

#### **Bachelor of Science**

Abeysekera, Tharanga  
 Acord, Ashley S.  
 Addington, Todd Alan  
 Ashcraft, Michael Clark

Avery, Amanda Lee Siever  
 Bailey, Nathanael Glen  
 Barton-Baxter, Marietta  
 Benge, Jennifer Anne  
 Bertram, Lonnie Reed  
 Blandford, Karen Marie  
 Boggs, Olivia Lynn  
 Brab, William Lawrence  
 Bryant, Matthew Scott  
 Burcham, Clint Stephen  
 Burton, Heather Glenn  
 Carter, Brittany Anne  
 Collett, Sean Curtis  
 Conaway, Lydia Elaine  
 Conley, Christie L.  
 Dickerson, Amy Wameka  
 Dossett, Lee McClain  
 Eckler, Elizabeth Ruth  
 Garretson, Cara Beth  
 Gay, Misty Athina/Sheehy  
 Graves, DeShana Ann  
 Halcomb, Leslie Ann Reno  
 Hamilton, Danny H., II  
 Harper, Alexander Scott  
 Hathaway, Alona Ann  
 Jackson, Shanna Lea  
 Jensen, John Spencer  
 Jude, Charles Jason  
 Khan, Imad Naseer  
 Kim, Jungsook  
 Kimathi, Helen Caroline Njeri  
 Kreso, Amela  
 Laja, Joanne Marie  
 Lye, Adam  
 Lyttle, Travis Steven  
 Matsui, Izumi  
 McSpadden, Andrew Paul  
 Means, Jacqueline Julia Fossner  
 Mofield, Sharon Renee  
 Mohan, Jamen Lee  
 Mohan, Jamen Lee  
 Montgomery, Edward James  
 Moubray, Kara Lee  
 Noe, Stephen Brian  
 Norfleet, Jessica Nicole  
 Norris, Michael Edward  
 O'Brien, Kara Michelle  
 Pamell, Damian Paul  
 Patel, Dharmesh Arvindbhai  
 Payne, Joseph Rine  
 Perry, Deborah Anne  
 Price, Tennille Tate  
 Rickard, Kyle Ashley  
 Royalty, Wendy Michelle  
 Savage, Lisa Marie  
 Schlomer, Jerome James  
 Schuler, Jennifer Ann  
 Seckman, Jennifer Nicole

Shappley, Ned Baxter, III  
 St. Clair, Katrina Marie  
 Sumanasekera, Chiranthani  
 Sweet, April Mae  
 Thompson, Shellie Anne  
 Vicher, Erickson Valdez  
 Waddell, Elwood Talmadge, Jr.  
 Wassel, Justin Michael  
 Weston, Jennifer Lynn  
 Williams, Mandy J.  
 Woltermann, Emily Jean  
 Woodrich, Todd Alan  
 Wurth, Mark Allen  
 Zawadzki, Andrea M.  
 Zesiger, Craig Richard

### **College of Business & Economics**

**Dean: Richard W. Furst**

#### **Bachelor of Business Administration**

Adams, Wesley J.  
 Albaugh, Jon David  
 Alizadeh, Mehrad Abdol  
 Allen, David J.  
 Allen, Stuart William  
 Amrine, Melinda Leigh  
 Anzures, Regina Christine  
 Arnold, Jr. Robin Lee  
 Arrowood, Christopher J.  
 Ballard, Paul Martin  
 Barnes, Matthew Lyle  
 Beatson, Howard Roland Jr.  
 Beckman, Bradley William  
 Beckstedt, Robert Christopher  
 Bentley, Karisa Marie  
 Bickett, Kerry Matthew  
 Brown, William Penn, IV  
 Callahan, Corey Franklin  
 Cardiff, Dwayne Howard  
 Carreno, Jaime Mauricio  
 Carter, Ben G.  
 Casey, Jackie William  
 Cheatham, Stephen Lowell  
 Circ, Alexander  
 Clark, Joseph Andrew  
 Clark, Lola Michelle  
 Cobble, Danyel Domonic  
 Colvin, Jessi  
 Cooper, Curtis Lee  
 Cornett, John Wayne  
 Comette, Christy Lynn  
 Crabb, Teresa Lynn  
 Creedmore, Richard William  
 Culp, Kevin Douglas  
 Dearing, Christopher Louis

Deuth, Tracy Kathryn  
 Dewsnap, Daniel Ryan  
 Douglas, Christopher Michael  
 Downs, Ryan Todd  
 Duncan, Elizabeth Ann Preston  
 Dunn, Holly Katherine  
 Edelen, Eric Jon  
 Elam, Joshua James  
 Epperson, Amanda Nicole  
 Evans, Gerald H.  
 Fischesser, Charles E.  
 Foley, Michael Shane  
 Frey, Robert T.  
 Gallogly, Matthew Todd  
 Gaskins, Brian Matthew  
 Gerwe, Jason Richard  
 Gibson, Joshua James  
 Gigliotti, Douglas Andrew  
 Gilbert, Jodi Sue  
 Gilley, Nicole Wiggins  
 Glover, Forrest Lee  
 Gosnell, Kelly Amanda  
 Gossman, Steven Douglas  
 Guidry, Kerry Michael  
 Hager, Nathan Scott  
 Hamid Ariff, Mahmood Shuaib  
 Hanks, Jason A.  
 Hardigree, Clabern T. II  
 Hardin, Gregory L.  
 Harris, Brian Winter  
 Harris, Todd Geoffrey  
 Henderlight, Timothy Michael  
 Hennessey, Jarrod Martin  
 Herzog, Bethany L.  
 Hess, Andrew Scott  
 Hewett, Bradley James  
 Hicks, III, Ronald Dewitt  
 Hoemeke, Christine Marie  
 Hollan, Wesley David  
 How, Han Lun  
 Howard, Christina Ann  
 Huff, Gregory Aaron  
 Huffaker, Christopher Michael  
 Humphrey, Jennifer Leah  
 Hundley, Jamie Lyn  
 Hutchins, Peter M.  
 Jackson, Harold Lontez  
 Johnson, Bruce C.  
 Johnson, Dale Forrest  
 Johnson, James Nathaniel  
 Johnson, Jeremiah Sales  
 Kaplan, Jennifer Elizabeth  
 Kendall II, Richard Gordon  
 Key, Ryan Patrick  
 Kipper, Christopher Michael  
 Kirkpatrick, Sara Arden  
 Kleitz, James Michael  
 Knipp, T. Josh

Kroboth, Carl P. III  
 Lacy II, Bradley David  
 Layow, Matthew Garner  
 Lester, Derek Clayton  
 Little, Bryan Patrick  
 Lowe, Joshua Woodrow  
 MacLaren, Joseph August  
 Maegly, James R.  
 Majumder, Parveen Islam  
 Manning, Amy Jean  
 Marshall, Jason T.  
 Martin, Jason Matthews  
 Martin, Jonathon Joseph  
 May, Ardena Carol  
 McClain, Barry Bruce  
 McCree, Marlon Tarron  
 McGaughey, Stephanie Lynn  
 McGinnis, David Michael  
 McKinney, Lindsay Leigh  
 McWhorter, Tim Carleton  
 Metcalf, Todd Allen  
 Montgomery, Jule Hargis  
 Moore, John Michael  
 Neville, Jacqueline  
 Newton, Erica Lynne  
 Norvell, Kristen Nicole  
 Parsons Jr., Michael Sumner  
 Pearson, Omar Dion  
 Pelfrey, Lisa Susanne  
 Pena, Santiago  
 Pendleton, Joshua Nelson  
 Peterson, William Richard  
 Pham, Asa Grand  
 Ramsey, Katherine Len  
 Ratliff, Nicholas Scott  
 Rawlings, Michelle Leigh  
 Reedy IV, Albert William  
 Rhein, Adam T.  
 Ridley, Paul Michael  
 Riggs, Kathryn Lee  
 Ruschman, Philip Bertram  
 Russell, Anthony Layne  
 Russell, Jeremy Michael  
 Russler, Seth Michael  
 Salehi, Bijan J.  
 Sather, Kevin Daniel  
 Schaaf Jr., Garry Wayne  
 Schmonsky, Patrick James  
 Schultz, Sarah Dawn  
 Scott, Sidney C.  
 Sell, Timothy Patrick  
 Shackelford, W. Nawan  
 Shelton, Devon Blake  
 Shreiner, Justin Michael  
 Simms, Daniel Patrick  
 Slawter, Susan Courtney  
 Sloane, Michael Stuart  
 Smith, Gabriel Anson

Sosa Ceballos, Onetti Ganivet  
 Stamper, Dustin Lyle  
 Stelter, Melissa Erin  
 Stiles, Daniel Robert  
 Tabb, Philip Cordell  
 Taylor, William Scott  
 Thornberry, Tara N.  
 Thorp, Michael Alan  
 Ting, Meng Pheng  
 Traxel, Jennifer Elizabeth  
 Turco, Jason Christopher  
 Underwood, Brian S.  
 Verkamp, Rob Henry  
 Vickery, Derrick Benton  
 Wai, Kok Le  
 Weaver, Laura Jonell  
 Webster, Allison Leigh  
 Wells, Kevin L.  
 White, Cory Douglas  
 Whitlock, Daniel Joseph  
 Williams Jr., Robert Sparks  
 Wilson, Brian A.  
 Wood, Cory Ernest  
 Wray, James Witten  
 Wyatt, Jennifer Nicole  
 Yonce, Jamey Edwin  
 Young, Christopher  
 Zik, Robert Joshua

#### **Bachelor of Science in Accounting**

Allen, Jamie Lynn  
 Barreiro, Christopher  
 Beauman, Won Noelle  
 Bosse, Donna Theresa  
 Brawner, Dennis Dwayne  
 Clements, Stephen T.  
 Conner, Christi Campbell  
 Crabb, Kim Monette  
 Davis, Chad Richard  
 Day, Chanda Camille  
 Dunbar, Tania Jene'  
 Duncan, Elizabeth Ann Preston  
 Duncan, Kelly Renee  
 Eichler, Christy Lee  
 Epperson, Amanda Nicole  
 Evans, Heather Marie  
 Fenley, Monica C.  
 Freeman, J. Dawn  
 Froste, Candace Laurae  
 Fuller, Patrick James lawone  
 Gilbert, Kristen Lee  
 Gonzalez, Humberto Ramon  
 Jackey, Steven  
 Kirkpatrick, Sara Arden  
 Kiskaden, Brandi Muntz  
 Medley, Heather  
 Moyer, Joseph Francis

Nelson, Julia Marie  
Ochsner, Benjamin James  
Ormsby, Jeremy Romero  
Pelfrey, Carol Ann  
Pinkerman, Marissa L.  
Polley, Ronald Patrick  
Prewitt IV, Clifton Day  
Pulliam, Robert Brad  
Rich, Carol A.  
Scott, Alyssa Jane  
Searcy, Annette Françoise  
Simms, Daniel Patrick  
Stephens, Leslie Ann  
Sterrett, Paul Thomas  
Tremain, Todd Edward  
Turco, Jason Christopher  
Weaver, Laura Jonell  
Wood, Shane Michael  
Yates, Lesli D.  
Zhang, Hao Xin

**Bachelor of Science in Business  
& Economics**

Barker, Christopher A.  
Collins, Judy Lynn  
Jordan, Wendy Marie  
Marshall, Kyle Bradley  
Polley, Ronald Patrick  
Strings, Shanika Latrice

**College of  
Communications &  
Information Studies  
Dean: J. David Johnson**

**Bachelor of Arts**

Ang, Miin Chern  
Anzures, Regina Christine  
Battaglia, Joann Kay  
Blackerby, James Kevin  
Blackwell, Tammy Jean  
Boulmay, Lottie Camille  
Bradshaw, Natalie Marie  
Brown, Nathan Malone  
Burns, Shaun Matthew  
Chui, Jia Ee  
Culross, Kelly Ann  
Detjen, Audra Kirsten  
Dixon, Tenille Dawn  
Dugan, Christine Nicole  
Edelen, Benjamin Beau  
Elam, Daniel C  
Ernst, April Michelle  
Essen, Farrah Ann  
Evans, Rebecca Elizabeth  
Fisher, Caroline Lee

Gardner, Rachel Elizabeth  
Hansford, Joshua Orville  
Herron, Matthew William  
H'ng, Tze Ee  
Hollon, Shara Leigh  
Holloway, Ketherine M.  
Howell, Brittany Lynn  
Hoza, Sydina Bridy  
Jones, Alison M.  
Jumper, Nicole Lyn  
Kincheloe, Samantha Sue  
Kirk, Leslie Caroline  
Kitchen, David Neal  
Maglinger, Cory Lynn  
Mays, Karla Rae  
McClellan, Rebecca Skrdla  
McMorrow, Erin E.  
Nallia, Sara Scott  
Payton, Sarah Jane  
Scrivner, Steven Burnam  
Seiter, Michael Justin  
Spencer, Geoffrey Reed  
Stewart, Shulesia La'Nae  
Story, Jessica Megan  
Vinson, Tiffany Dawn  
Wallin, Michael Wayne  
Watts, Shana Denae  
Wookey, Elizabeth Ellen  
Zani, Rebecca Bruce

**Bachelor of Science**

Beam, Heather Marie  
Brown, Jamey Richard  
Brown, Marc Richard  
Buckethal, Dorian Jean  
Dahl, Kristina Ann  
Davis, Jessica Rochelle  
Drummond, Kimberly Joy  
Drummond, Shannon La'Shelle  
Ellegood, Brian C.  
Elliott, Kelli Callahan  
Greer, Terra Dawn  
Homan, Jaclyn Kathleen  
Livingston, Jennifer Dawn  
Logan, Brent Douglas  
Lucas, Harlan Daniel  
Marion, Jill  
Martin, Jason Matthews  
Masters, James Eric  
Mattingly, William David  
McKenney, Jason Patrick  
Patel, Ushma  
Preston, Jacob Andrew  
Prothro, Cathy C.  
Ramos IV, Francisco Juan  
Riddle, Luke Wesley  
Royse, Josiah Daniel  
Say, Jodie Allen

Sheeley, Jamie Carol  
Sommer, Stacey Michele  
Tan, Cerelia Tien Tsin

**College of Education  
Dean: Shirley C. Raines**

**Bachelor of Arts in Education**

Allender, Dewey Brandon  
Arriola, Barbara  
Ayers, Tiffany Anne  
Barnett, Elizabeth Anne  
Bartley, Willie Dawayne  
Bentley, Amy  
Blankenship, Julie Lorin  
Braun, Jill Suzanne  
Brown, Sarah Ann  
Caldwell, LeeAnn  
Campbell, Vicki Elaine  
Chandler, Mary Melissa  
Clifford, Jennifer Lin  
Cook, Carrie Elizabeth  
Cook, Jerri Elizabeth  
Crasper, Dawn M.  
Crouch, Emily Duff  
Curry, Christopher Michael  
Curry, Diana Jo  
Detzel, Crystal Beth Hetrick  
Deweese, Abby Jo  
Deweese, Joseph Paxton  
Eiserman, Kathrin Suzann  
Emmons, Amy Margaret  
Flannery, Sheridan Marie  
Fleischman, Bindy Ellen  
Fooy, David Eric  
Gainer, Erik Alan  
Gaydovchik, Frank Marcus  
Gibson, Jason Andrew  
Goad, Brian A.  
Grout, Laura Ann  
Hammond, Michelle Diane  
Haynes, Michele Hutchins  
Hurliman, Bradley Chris  
Jackson, Mary Melissa  
Jones, Christina Lynn  
Jones, Wendy Rebecca  
Klare, Katherine Melissa  
Korten, Heather Leigh  
Laing, James Ian  
Lamb, Carla Renee  
Lochner, Laura Eliazbeth Monkman  
Maloney, Jaclyn Leah  
McCoy, Christopher Thomas  
McFarland, Erin Leigh  
McNabb, Julia Raquelle  
Meyers, Coby Vincent  
Mitchell, Courtney Sebree  
Montgomery, Michael Steve

Morris, Trisha Dawn  
Mullins, Wesley  
Padgett, Tiffany Monique  
Parnley, Robert Jonathan  
Patton, Mary Alice  
Pennington, Abby L.  
Peppers, Allison Nadine  
Pierce, Karen Schroader  
Polley, Tiffany Beth  
Powers, Paula Marie  
Richeson, Kelly Maureen  
Royse, Claudia Judy  
Schoenhoff, Emily Joan  
Scott, Mary J.  
Shelton, Jamie Ellen  
Sheridan, Ellen Carey  
Smith, Gabe A. E.  
Smith, Jacalyn M.  
Smith, Laura Robins  
Spivey, Marshall Dale  
Staber, Carrie Marie  
Stallmeyer, Katie Kristen  
Stanger, Lori Ann  
Stevens, Nikkita Rachelle  
Stites, Kelly Michelle  
Taylor, Nancye Jane Seeberger  
Teitloff, Emily Beth  
Thompson, Tiffany Dawn  
Trowel, Calvin Anthony  
Vamer, Sara Jo  
Vaughn, Charles Bryan, Jr.  
West, Christopher Ryan  
White, Anthony Davis  
White, Brian Michael  
Wilson, Jennifer Marie  
Zeitz, Daniel L.

**Bachelor of Science in  
Education**

Johnson, Jason Wayne  
Jones, Regina Christen  
Nevitt, Rheana Lea  
Stephens, Kevin Alan  
Wasson, Lisa Ann

**College of Engineering**

**Dean: Thomas W. Lester**

**Bachelor of Science in  
Agricultural Engineering**

Hudson, Jeremy Ray  
Rankin, Shawn Timothy  
Thompson, Jennifer Lynn

**Bachelor of Science in Chemical  
Engineering**

Payne, Joseph Rine

Steltenkamp, Amy Marie  
Tan, Jason David  
Veazey, Andrew McWaters  
Warren, Mark Thomas

**Bachelor of Science in Civil  
Engineering**

Anglin, William Martin II  
Ashby, David Kyle  
Banks, Jason Douglas  
Benge, Jamie Lynne  
Black, Bradley Scott  
Blackburn, Floyd D.  
Burkhardt, Joseph S.  
Callebs, Jason  
Carty, Jeremiah John  
Casey, Jason Dale  
Chai, Ming Haw  
Crawford, Randy E.  
Franxman, Robert Edward  
Gillespie, Ann Tearney  
Green, Eric Randolph  
Hird, David Brandon  
Hunt, Reford Chad  
Johnson, Rebecca Joy  
Kilgore, Eugene Anthony  
Lambert, Sean Eric  
Leonard, Justin Richard  
Lukat, Jeremy J.  
McGowan, Jim G.  
Miller Jr., "Jay" Jerry Dewayne  
Mudd, Jessica Jewell  
Nelson, Miles Kevin  
Perkins, John Douglas VII  
Proffitt, Nicholas Cain  
Rogers, Michael Wayne  
Sewell, Stephen Douglas  
Shive, Chad Steven  
Slone, Christopher Lee  
Stemberg, J. Sam III  
Wong, Yuk Wen  
Yeager, Michael Steven  
Yeo, Suan Wa

**Bachelor of Science in  
Computer Science**

Bogdan, Julius Zoltan  
Bridges, Raymond Samuel  
Callahan, Andrew Causey  
Chang, Ching-Chun  
Chew, Kok Choy  
Clark, Vincent Jabari  
Deters, Patrick Joseph  
Dunkle, Christopher James  
Eli, Robert Tyler  
Emmick, Christopher Nathaniel  
Finns, Jason R.

Goltry, Ryan Marcus  
Guttman, Jeremy Arthur  
House, Jeremy Thomas  
Hughes, Timothy Alan  
Katzman, Nikki Jo  
Khor, Ching Joo  
King, Bryan J.  
Kwong, Cheung Man  
Landwehr, Jr., Jerome E.  
Larsen, Jody Lamar  
Liu, Yolanda T.  
Narayanan, Ashvath  
Oleniczak, Rebecca Marie  
Reed, Jason Lynn  
Roop, Tommy Lee  
Thompson, Clifford Scott  
Watts, Charles John II

**Bachelor of Science in Electrical  
Engineering**

Arulogun, Shamseldeen Abiodun  
Atherton, Quinn Kell  
Bratcher, Rory Hart  
Case, Christopher Wilson  
Cheatham, Jason Andreen  
Fister, Zachary Nathan  
Gerhardstein, Adam Douglas  
Iten, Ashley Joanne  
Lee, Kok-Hoong  
Leong, Ket Onn  
Liaw, Wei Ping  
Lucas, Jeremiah Wayne  
Morton, Jacob Daniel  
Nall, Jeremy Scott  
Ng, Ed Win  
Pickle, Robert J.  
Rawashdeh, Osamah  
Schlegel, Andrew Christian  
Shapiro, Keith Douglas  
Snyder, Jonathan Mark  
Taylor, Andrew Michael  
Thomas, Chadwick Boyd  
Wedding, Paul Gerard

**Bachelor of Science in  
Mechanical Engineering**

Akey, Lauren Suzanne  
Cheong, Yew Lim  
Dang, Louis Minh Chau  
Gill, Jason L.  
Glenn, Kimberly Louise  
Hardin, Neal  
Hunter, Clint Douglas  
Istre, Joseph Michael  
Kanis, Paul Michael  
Keams, Jason Michael  
Loke, Chee Wui

Maultsby, Douglas James  
Mayeur, Jason Rhea  
Murphy, Timothy Wayne  
Phillips, Stacey Elizabeth  
Ramdarass, Laura  
Richards, Christopher James  
Risner, Randall Keith  
Sims, Tasha Monique  
Smith, Joshua Dean  
Soder, Mark Thomas  
Tan, Hou-Jen  
Ward, Jeffrey Allen  
Webb, Travis Fred  
Williams, Jennifer Christina

**Bachelor of Science in Mining  
Engineering**

Dawson, Nicholas  
France, Glenda Mae Lowe  
Maynard, Robert Lee  
McGuire, J. Scott  
Rich, Stephanie Sullivan

**College of Fine Arts  
Dean: Robert Shay**

**Bachelor of Arts**

Adler, Carter Mosby  
Armstrong, Amelia Alice  
Bruce, Mindy J.  
Campbell, Justice Amanda  
Chandler, Joel  
Cole, Jessica Ann  
Cross, David Allen  
Cross, Emily Gail  
Dance, Jessica R.  
Furbish, Lawrence Kieth  
Jerden, Chasity R.  
Keams, Jennifer Lou  
King, Shannon Annette Gordon  
Malik, Azlan  
Martin, Shannon Lynn Moore  
Mayfield, Marissa Ann  
Moffett, Shaun Reed  
Moren, James Chadwick  
Rhodus, Joshua M.  
Richey, Patrick Anders  
Rogers, David Denson  
Schrecker, Danny Robert  
Shapla, Anne-Marie  
St. Clair, Ann T.  
Steenbergen, Jonathan Edward  
Stewart, Laura Ellen Fazey  
Tonar, Natalie Anne  
Weddle, Leslie Marie  
Wodowski, Jill Marie  
Wolford, Lindsay Lauren

Woodall, Scott Christopher  
Zeck, Susan Lynn

**Bachelor of Fine Arts**

Barnes, Anne Elizabeth  
Garey, Adam Wayne  
Kawakami, Tetsushi  
Neureither, Robert Andrew  
Rial, Jeffrey Lynn  
Shoen, Adrienne Christine  
Vigor, Jane A.

**Bachelor of Music**

Watts, Lee Woodson

**Bachelor of Music in Music  
Education**

Abbott, Emily Rene  
Adams, Laura Marie  
Ahnquist, Karl Nathan  
Allen III, James William  
Bond, Travis Wayne  
Emanuel, Allison Christina  
Gehringer, Megan Neal  
Kitchen, Jessica Marie  
Tolar, Jill Christine  
Vitiello, Helen Brook

**College of Human  
Environmental Sciences  
Dean: Retia S. Walker**

**Bachelor of Science in Dietetics**

Chapman, Emily Ann  
Herrell, Marcia Nicole  
Mills, Jessica Brie  
Poppell, Amy Lynn  
Short, Autumn Michelle  
Supplee, Lisa Michelle  
Wray, Karen Anjana

**Bachelor of Science in Family  
Studies**

Adams, Eric Reuben  
Ashlock-Jones, Debbie Ann  
Bail, Shawn Creighton  
Beeker, Mary Jane Acton  
Bright, Amy Lynn  
Case, Amanda Shay  
Cook, Marissa Michelle  
Crabtree, Laci Ann  
Devins, Johnna Elizabeth  
Gilroy, Kelly Marie  
Grass, Emily Michelle  
Greene, Phyllis Jean  
Guion, Amy Elizabeth

Hatfield, Lisa Carol  
Hill, John William Scott  
Johnson, Molly Beth  
Lair, Tina Jo  
Leber, Jillian Ashley  
Lilly, Trisha Lynn  
Matthis, Chad Lee  
McAlmont, Stacey Andries  
Nugent, Karen Leigh  
Oer, Judith Marie  
Ping, Amy Elizabeth  
Prezocki, Laura Marie  
Russell, Kevonia A.  
Schultz, Jennifer Lynn  
Shenefelt, Stephani Elizabeth  
Sims, Jennifer Elisabeth  
Southerland, Jamie Lee  
Speck, Carrie C.  
Sutton, Laura Link  
Wade, Jennifer Rebecca  
Wainright, Kristyn Kay  
West, Ann Marie  
Wilmoth, Angeniene N.

**Bachelor of Science in Family  
and Consumer Sciences  
Education**

Fannin, Diana May Wakefield  
Humbert, Katherine Teresa

**Bachelor of Science in Food  
Science**

Thompson, Melissa Kay  
Woy, Nicole Lynn

**Bachelor of Science in  
Hospitality Management**

Adams, Rebecca Rose  
Bennett, Lisa Cheron  
Bird, Blair Stewart  
Brassine, Robert Donald  
Brown, James Silas  
Daniels, John Bransford  
Handy, Vinson Starr  
Justus, Jonathan Scott  
Kinnius, Sharon Rose  
Leahy, Michael Craig  
Locke, Joseph Richard  
Luby, Corday Elizabeth Shelby  
Meehling, Tracy Lynn  
Moulton, Megan Alicia  
Mullins, Clifford Lee  
Omdorff, Frank Kirkpatrick  
Reavis, Donald Grier  
Schings, Jaime Rebecca  
Scott, Faith Nicole  
Simmons, Traci L.

Song, Mina  
Strong, Jerrell Lamont  
Thomason, Tonya Renee  
Tomlinson, Andrew Leeds  
Williams, Ross Michael  
Young, Elizabeth L.

**Bachelor of Science in  
Merchandising, Apparel &  
Textiles**

Bailey, Tonya Michelle  
Douglas, Dawn Marie  
Kelly, Kimberly Anne  
Key, Amanda  
Kingsland, Casandre Ann  
Lawrence, Michael Steven  
Lundy, Jamie Marissa  
McGolden, Gwen Anne  
McMahon, Jessica Marie  
Overy, Kira Nicole  
Richerson, Shelly Jo  
Sonderath, Carey Kirsten  
Wolf, Sarah Jean

**College of Nursing  
Dean: Carolyn A. Williams**

**Bachelor of Science in Nursing**

Baker, Brian E  
Buckley, Cindy Lynn  
Bulfer, Laura Nell  
Cary, Jamie Bruce  
Cooper, Hyewon  
Elkins, Ricky Lee  
Ferguson, Clarissa Jill Guffey  
Flechler, Tonya Lynn  
Fleming, Jeanne Rae  
Geerdes, Mareeka C  
Hambrick, Judith York  
Heffner, Debra Ann  
Hollis, Courtney Dare  
Jones, Danette Catherine  
Kerns, John Scott  
Kingsland, Kathryn Elizabeth  
Kirk, Melinda Sue  
Kluemper, Josephine Marie  
Kurusu, Naomi, Eleanor  
Lambert, Megan Alexandra  
McCulloch, Bonnie Jean  
Norton, Gina Renee  
Oehler, Jennifer Lynn  
Pennington, Kimberly Gayle  
Richey, Stacy Lee Fenchel  
Rose, Kimberly  
Shields, Monika Crider  
Soper, Jennifer Lynne Winstead

Treskot, Melissa Marie  
Vowels, Angela Marie  
Wheeler, Amy Bridges  
Windsor, Nydia Ann  
Zabroski, Janine Marie

**College of Social Work  
Dean: Kay S. Hoffman**

**Bachelor of Arts in Social Work**

Bard, Stacy M.  
Blackburn, Adriane Evette  
Blakley, Rachel Joy  
Brown, Leathe Ann  
Chavis, Erica Bell  
Christman, Stephanie Alisha  
Clark, Joe Nathan III  
Combs, Tracey Rae  
Cook, Anna Kaye  
Eck, Jennifer Carter  
Edgley, Amberly Marie  
Furlong, Grant Dawn  
Garrand, Brian Joseph  
Gause, Tezra Monique  
Gillespie, Anne Colleen  
Gray, Jillian Leigh  
Horner, Vicki Lee  
Ingram, Alethea Karyn  
Jackson, Erica Denise  
Jackson, Lorie Yo'landa  
Kelly, Eric F.  
Livesay, Laura Lee  
Millard, Andrea Suzanne  
Patterson, Alisa Michelle  
Phelps, Janet Faye Wilson  
Robinson, Jimmy  
Sacrest, Jasmine Germaine  
Schuler, Joseph Paul  
Slaughter, Jackie Marie  
Slusher II, Johnny Ray  
Snider, Jimmy Wolford  
Taylor, Elisha Denise  
Thames, Elizabeth Roberts  
Tincher, Marsheila Joyce Brooks  
Wackowski, Edward Joseph  
Wise, Andrea Lynn  
Woodhead, Ryann Christine  
Wyllys, Carolyn Marie

**Lexington Community  
College**

**President: A. James Kerley**

**Associate of Arts**

Baker, Paul L.  
Beech, Elizabeth

Bell, Lauren Nichole  
Blanton, Tessa Michelle  
Brock, Robert Durnham II  
Burton, Courtney  
El-Amouri, Ayman Sabri  
Ensor, Tara N.  
Ewell, Billy Jean  
Fast, Brian M.  
Ferguson, Damain Kane  
Geilear, Ashely Case  
Halliday, Linda  
Hayden, William Andrew  
Hocker, Denise Yvette  
Hughes, Michael D.  
Ingram, Sheila R.  
Iweimrin, Nader  
Jones, Christina Natalie  
Keleman, Jonathan E.  
Landrum, Laura  
Lawson, Valerie Lee  
Lee, John R.  
Littrell, Michael Wayne  
Mayer, Mark deWael  
Mink, Mary Beth  
Myers, D. Elizabeth  
Noble, Brad  
Price, David Paul  
Roland, Rachel Heather  
Rose, Daniel J.  
Shepherd, Thomas Michael  
Shepherd, Vanessa Shae  
Spry, Jennifer T.  
Terry, Amy I.  
Vahle, Debra Lynn  
Wurts, Sherri Lee  
Yanosos, Jennifer Denise

**Associate of Science**

Adams, Derek R.  
Azevedo, Michael V.  
Bevins, Rachel Ezell  
Bibb, Anna Melissa  
Blanton, Tessa Michelle  
Burge, Shawn Michelle  
Cavill, Gregory A.  
El-Amouri, Ayman Sabri  
Ferguson, Damain Kane  
Fojas, Robin A.  
Hall, Michael R.  
Hughes, Michael D.  
Lenga, Patrick U.  
Matthews, Cara Miller  
Noble, Brad  
Rigney, Ronald Boyd II  
Spry, Jennifer T.  
Terry, Amy I.  
Vajen, Jill Michele

### **Associate of Applied Science**

Adams, Cathy A.  
Allen, Frank Lamont  
Anglin, Jeffrey Todd  
Bennett, Ryan Leigh  
Blanchard, Sue  
Bolton, Leslie Jane  
Burns, Elizabeth Graham  
Cantrell, Charles D.  
Charles, Gregory  
Clements, Laura Ann  
Conley, Kirston Shae  
Conway, Bryan A.  
Conway, Troy  
Covington, Christopher H.  
Crothers, Jason Thomas  
Cummins, Diana Lynn  
Doyle, Sandra J.  
Geisert, Gretchen Elizabeth  
Granville, Stacey R.  
Green, Rachel Ann  
Hardin, Jarrod

Ison, Ryan D.  
Johnson, Chasity  
Johnston, Eugene Rhodes  
Jones, Amy Nicole  
Jones, Michelle Rene  
Klinefelter, Jeremy R.  
Kraft-Nauta, Nicole  
Lee, Kevin Brent  
Lenga, Patrick U.  
Levitt, Cristine A.  
Lunsford, Amanda Hope  
Lynn, Joshua Wade  
Lytle, Tracy Lynn  
McEwen, Andrew Marshall  
Mischel, Todd P.  
Mobley, Mary Catherine  
Morgan, Darren C.  
Peterman, Jeffrey Alan  
Poe, Chad L.  
Potter, David Willis  
Powell, Charlsa Michelle  
Prentice, Amy Lee

Redfeam, Marjorie E.  
Redmon, Gregory T.  
Reed, David Nathaniel  
Robertson, Erin Heather  
Ryan, Samuel Stephen  
Ryles, Jason Tanner  
Sanders, Jodi Marie  
Sapp, William Stewart  
Scandore, Debra G.  
Schetler, Elizabeth Ann Shields  
Schroeder, Mark Edward  
Sievers, Debra  
Simpson, Lori Gail  
Squires, Paula I.  
Stimmel, Angela Tracy  
True, Jessica Marie  
Tucker, Elizabeth Morgan  
Walters, David C.  
Watts, Rebecca Jill  
Whitaker, John Nathan  
Wielgus, Kimberly Reynolds  
Williamson, Shayne A.

# PR 5B

Office of the President  
December 12, 2000

Members, Board of Trustees:

## APPOINTMENT OF DEAN OF THE COLLEGE OF AGRICULTURE

Recommendation: that Dr. M. Scott Smith be named Dean of the College of Agriculture; Director, Agricultural Experiment Station; and Director, Cooperative Extension Service (pending the customary agreement with the Administrator of the Extension Service of the U.S. Department of Agriculture), effective January 1, 2001.

Background: Following Dr. C. Oran Little's announcement of his intention to retire as Dean of the College of Agriculture, a national search was begun in the Summer of 2000. The search led to an outstanding pool of candidates. Four finalists visited the campus during October and November 2000. Dr. Smith was chosen to join our University community in this important role.

Dr. Smith is currently Associate Dean for Research, Associate Director of the Agricultural Experiment Station at the University of Kentucky and Professor of Agronomy. Prior to assuming this position in 1999, Dr. Smith served as Chair of the Department of Agronomy at the University of Kentucky for 10 years. He received his B.A. in Biology from Cornell University, a M.S. in Soil Biochemistry from Cornell University and a Ph.D. in Soil Microbiology from Michigan State University.

Dr. Smith is a recipient of several awards and recognitions, including election as a Fellow of the American Society of Agronomy (1990) and selection as technical editor of the Journal of the Soil Science Society of America. Dr. Smith is Chair-Elect of the Southern Association of Agricultural Experiment Station Directors; has served as Division Chair of the Soil Science Society of America; and has been Panel Manager for a Fund for Rural America program. Dr. Smith has published nearly 60 articles and book chapters; has over 50 published abstracts from scientific meetings and over 20 additional publications.

The University is fortunate to attract a person so well qualified as Dr. Smith to provide this important leadership for the College of Agriculture.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_



# AACR 1

Office of the President  
December 12, 2000

Members, Board of Trustees:

## ESTABLISHMENT OF A CENTER FOR SPORTS MARKETING

Recommendation: that the Board of Trustees approve the establishment of a Center for Sports Marketing.

Background: The Center for Sports Marketing would be housed in the Gatton College of Business and Economics. The goals of this center are to: (1) maintain close relationships with leading sports marketing companies in order to ensure the relevance of the Center's curriculum and research; (2) work to facilitate the adoption of state-of-the-art sports marketing practices; (3) serve as a sports marketing information resource; (4) annually present the UK Sports Marketing Academy; (5) conduct leading research in sports marketing; and (6) provide state-of-the-art marketing training through a variety of programs.

The Center would be partially funded by a \$1 million anonymous gift which was approved at the August 15, 2000 Board of Trustees meeting. The donor agreement stipulates that a portion of this gift (\$375,000) be endowed, and that this portion of the gift be submitted to the Research Challenge Trust Fund for matching purposes.

The Center will report to the Dean of the College of Business and Economics. The establishment of the Center is supported by the Chancellor of the Lexington Campus.

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Action taken:

Approved

Disapproved

Other \_\_\_\_\_

# AACR 2

Office of the President  
December 12, 2000

Members, Board of Trustees:

## ESTABLISHMENT OF A CENTER FOR HISTORIC ARCHITECTURE PRESERVATION

Recommendation: that the Board of Trustees approve the establishment of a Center for Historic Architecture Preservation.

Background: The Center will focus on creating new knowledge about Kentucky architecture and landscapes for the purpose of their preservation. It will disseminate that knowledge to local, regional and national audiences. An archive of colleagues' Kentucky research will be created and professional services provided to Kentucky communities for the documentation of historic buildings and landscape services which are important to Kentucky. Opportunities for student/faculty scholarship will be provided. With participation and support of the Kentucky Heritage Council, the University of Kentucky College of Architecture's Center for Historic Preservation will be a Commonwealth-wide resource and involve a network of research colleagues from public and private institutions throughout the Commonwealth and colleagues who are privately employed professionals.

The Center will report to the Dean of the College of Architecture. The establishment of the Center is supported by the Chancellor of the Lexington Campus and has been approved by the University Senate.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

# AACR 3

Office of the President  
December 12, 2000

Members, Board of Trustees:

ESTABLISHMENT OF A CENTER FOR MICRO-MAGNETIC  
AND ELECTRONIC DEVICES

Recommendation: that the Board of Trustees approve the establishment of a Center for Micro-Magnetic and Electronic Devices.

Background: The Center will bring together, in a formal structure, a core group of faculty with unique research expertise on micro and nano-scale magnetic and electronic device design, fabrication, characterization and application. The core center objective is to build upon and expand the established College of Engineering momentum in micro and nano-scale magnetic and electronic devices to create a premier, nationally recognized Center of Excellence in this important field of research. There are immediate and long-term benefits of the Center. The Center will initially establish strong links between the Departments of Electrical Engineering and Chemical and Materials Engineering to integrate intelligent micro and nano-dimensional devices into bioimplants/bioprobes that will add to the utility of these products.

The Center will report to the Dean of the College of Engineering. The establishment of the Center is supported by the Chancellor of the Lexington Campus and has been approved by the University Senate.

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Action taken:

Approved

Disapproved

Other \_\_\_\_\_

# AACR 4

Office of the President  
December 12, 2000

Members, Board of Trustees:

## NEW DEGREE PROGRAM IN EXERCISE SCIENCE

Recommendation: that the Board of Trustees approve the Ph.D. program in Exercise Science.

Background: The proposed program is a Ph.D. in Exercise Science within the Kinesiology and Health Promotion Department (KHP). The KHP Department currently offers an Ed.D. degree with an Exercise Science option which allows students to specialize in biomechanics or exercise physiology. These degrees are science-based disciplines, while the Ed.D. suggests a degree based more on educational theory. The American Society of Biomechanics has 547 non-student members, of which 454 hold the Ph.D. while only 3 hold the Ed.D. degree. The most recognized organization of Exercise Science, the American College of Sports Medicine, has 2276 members who list the Ph.D. as their highest degree and 230 that list the Ed.D. degree. Since most people in this field hold the Ph.D. degree, UK graduates are at a disadvantage when applying for jobs, in spite of their qualifications, and many new students look for programs offering the Ph.D. degree. This proposal allows for a transition from an Ed.D. to a Ph.D. degree. Since the curricula for biomechanics and exercise physiology have been in place for many years, this proposal brings the degree in line with the curriculum and the profession.

The Ed.D. degree program will be retained without the Exercise Science option. The health promotion, physical education, teaching/coaching; and sports management areas of specialization will be available for students in the Ed.D. program.

The proposed program is supported by the Chancellor of the Lexington Campus and was approved by the University Senate. Approval by the Board of Trustees is required prior to submission to the Council on Postsecondary Education for registry in the Council's Registry of Degree Programs.

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Action taken:



Approved

 Disapproved Other \_\_\_\_\_

# AACR 5

Office of the President  
December 12, 2000

Members, Board of Trustees:

## NEW DEGREE PROGRAMS IN CLINICAL LABORATORY SCIENCE

Recommendation: that the Board of Trustees approve the proposed Masters and Doctoral programs in Clinical Laboratory Science.

Background: The masters and doctoral programs in Clinical Laboratory Sciences are designed to provide a core curriculum and specialized training in hematology/transplantation science and reproductive laboratory science. The proposed graduate programs are distinctive in that they are multidisciplinary. The opportunity for advanced education at the masters and doctoral levels and career enhancement will be offered to clinical scientists (Clinical Laboratory Scientists, Cytotechnologists, Histotechnologists, Histocompatibility Technologists, Cytogeneticists and other health professionals) and individuals who have received a bachelors degree in Biology.

A "distance education" model will maximize resources and enhance availability of graduate study to students throughout the region. Core courses will be available using the interactive video system of the Kentucky Tele-Linking Network and the use of the Kentucky Commonwealth Virtual University (KCVU) will be explored to deliver portions of the programs.

The proposed programs are supported by the Chancellor of the Medical Center and have been approved by the University Senate. Approval by the Board of Trustees is required prior to submission to the Council on Postsecondary Education for registry in the Council's Registry of Degree Programs.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

# FCR 1

Office of the President  
December 12, 2000

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE  
UNIVERSITY OF KENTUCKY FOR THE FOUR MONTHS ENDED  
OCTOBER 31, 2000

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the four months ended October 31, 2000.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Health Care Collection Service.

As of October 31, 2000, the University had realized income of \$491,762,000 representing 41% of the 2000-01 estimate of \$1,197,259,000. Expenditures and commitments total \$395,878,000 or 33% of the approved budget of \$1,197,259,000.

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Action taken:



Approved

Disapproved

Other \_\_\_\_\_

**CONSOLIDATED BALANCE SHEET**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**OCTOBER 31, 2000**  
with comparative totals for October 31, 1999  
(in thousands)

	2000-01					1999-00	
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
<b>ASSETS</b>							
Cash and cash equivalents	\$ 156,562	-	\$ 4,675	\$ 9,161	\$ 131,879	\$ 302,277	\$ 267,968
Notes, loans, and A/R (less bad debt allowances of \$26,635)	140,018	\$ 18,128	21,987	1,326	1,757	183,216	168,658
Investments	29,176	128,243		412,644	41,984	612,047	539,810
Property, plant, and equipment					1,379,182	1,379,182	1,302,911
Inventories and other	14,319	51			100	14,470	15,510
<b>Total Assets</b>	<b>\$ 340,075</b>	<b>\$ 146,422</b>	<b>\$ 26,662</b>	<b>\$ 423,131</b>	<b>\$ 1,554,902</b>	<b>\$ 2,491,192</b>	<b>\$ 2,294,857</b>
<b>LIABILITIES AND FUND BALANCES</b>							
<b>Liabilities</b>							
Accounts payable	\$ 27,772	\$ 1,015	\$ 238		\$ 2,633	\$ 31,658	\$ 28,708
Employee withholdings and deposits		15,932				15,932	20,287
Other liabilities	6,129	3,622		\$ 6,502	2,023	18,276	17,097
Liability for self insurance	53,468					53,468	50,047
Deferred income	26,223	17,060				43,283	24,579
Bonds payable					182,086	182,086	198,276
Capitalized lease obligation					52,636	52,636	28,581
<b>Total Liabilities</b>	<b>113,592</b>	<b>37,629</b>	<b>238</b>	<b>6,502</b>	<b>239,378</b>	<b>397,339</b>	<b>367,575</b>
<b>Interfund Balances</b>	<b>(52,720)</b>	<b>61,457</b>		<b>13,443</b>	<b>(22,180)</b>		
<b>Net deferred revenues and appropriated fund balances</b>	<b>106,183</b>	<b>(2,954)</b>				<b>103,229</b>	<b>81,552</b>
<b>Fund Balances</b>							
<b>Current unrestricted</b>							
Working capital	167,211					167,211	159,337
Future operating purposes	5,271					5,271	4,910
Other	538					538	10,622
<b>Current restricted</b>							
Loan		50,290	26,424			50,290	50,136
True endowments				307,916		307,916	242,551
Term endowments				5,509		5,509	5,205
Quasi endowments				89,761		89,761	73,485
<b>Plant</b>							
Retirement of indebtedness					34,804	34,804	28,594
Renewal and replacement					48,357	48,357	13,895
Allocated for designated projects					164,225	164,225	203,365
Net investment in plant					1,090,318	1,090,318	1,028,068
<b>Total Fund Balances</b>	<b>173,020</b>	<b>50,290</b>	<b>26,424</b>	<b>403,186</b>	<b>1,337,704</b>	<b>1,990,624</b>	<b>1,845,730</b>
<b>Total Liabilities and Fund Balances</b>	<b>\$ 340,075</b>	<b>\$ 146,422</b>	<b>\$ 26,662</b>	<b>\$ 423,131</b>	<b>\$ 1,554,902</b>	<b>\$ 2,491,192</b>	<b>\$ 2,294,857</b>

**CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES  
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS  
FOR THE FOUR MONTHS ENDED OCTOBER 31, 2000**

with comparative totals for October 31, 1999

(in thousands)

	2000-01				1999-00	
	Original Budget	Revised Budget	Realized To Date	%	Realized To Date	%
<b>General Fund</b>						
(1) State appropriations	\$ 307,830	\$ 307,830	\$ 153,765	50	\$ 145,095	50
(2) Student fees	125,910	125,910	63,267	50	60,769	51
(3) Federal appropriations	15,553	15,553	4,374	28	5,676	37
(4) County appropriations	7,674	7,674	2,452	32	1,887	26
(5) Endowment income	875	875	224	26	223	25
(6) Investment income	11,901	11,901	4,675	39	5,101	57
Gifts and grants						
(7) Affiliated corporations	14,348	14,348	3,668	26	3,599	25
(8) Other	55,519	58,121	16,838	29	14,131	24
(9) Sales and services	62,486	61,266	16,278	27	15,265	27
(10) Fund balance	19,478	19,478	19,478	100	20,582	100
(11) Total General Fund	621,574	622,956	285,021	46	272,328	46
(12) Auxiliary Enterprises	33,549	33,549	12,117	36	12,439	38
(13) Restricted Funds	72,561	72,585	24,817	34	19,026	28
(14) Affiliated Corporations	173,359	173,352	55,712	32	50,123	31
<b>Hospital</b>						
(15) Revenues	294,817	294,817	114,096	39	101,645	37
(16) Fund balance						
Total Revenues and						
(17) Appropriated Fund Balances	<u>\$ 1,195,860</u>	<u>\$ 1,197,259</u>	<u>\$ 491,762</u>	<u>41</u>	<u>\$ 455,561</u>	<u>40</u>



**CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS  
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS  
FOR THE FOUR MONTHS ENDED OCTOBER 31, 2000**

with comparative totals for October 31, 1999

(in thousands)

	2000-01				1999-00	
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(1) Instruction	\$ 257,926	\$ 255,787	\$ 80,730	32	\$ 77,868	32
(2) Research	159,906	159,462	49,422	31	43,425	29
(3) Public service	155,726	153,990	50,047	33	49,284	33
(4) Academic support	72,221	73,483	25,120	34	25,657	36
(5) Student services	22,859	23,076	7,412	32	6,413	31
(6) Institutional support	48,670	51,450	17,298	34	17,538	35
(7) Student financial aid	50,234	50,653	24,612	49	20,510	44
(8) Operation and maintenance	42,637	43,677	14,590	33	12,771	31
(9) Mandatory transfers (debt service)	18,879	18,879	16,975	90	16,572	100
(10) Hospital	295,588	295,588	83,997	28	85,397	31
(11) Auxiliary enterprises	71,214	71,214	25,676	36	24,432	35
(12) Total Expenditure by Program	<u>\$ 1,195,860</u>	<u>\$ 1,197,259</u>	<u>\$ 395,878</u>	33	<u>\$ 379,867</u>	34

**EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE  
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS  
FOR THE FOUR MONTHS ENDED OCTOBER 31, 2000**

with comparative totals for October 31, 1999

(in thousands)

	2000-01				1999-00	
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(13) Personnel costs	\$ 673,523	\$ 670,474	\$ 217,419	32	\$ 205,628	32
(14) Operating expenses	456,099	460,247	139,061	30	128,976	30
(15) Mandatory transfers (debt service)	29,936	29,936	18,764	63	18,327	66
(16) Capital outlay	36,302	36,602	20,634	56	26,936	76
(17) Total Expenditure by Category	<u>\$ 1,195,860</u>	<u>\$ 1,197,259</u>	<u>\$ 395,878</u>	33	<u>\$ 379,867</u>	34

**CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES  
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS  
FOR THE FOUR MONTHS ENDED OCTOBER 31, 2000**

with comparative totals for October 31, 1999

(in thousands)

	<u>2000-01</u>	<u>1999-00</u>
(1) <b>Realized Revenues</b>	<b>\$ 472,283</b>	<b>\$ 434,979</b>
<b>Appropriated Fund Balances</b>		
(2) Current Unrestricted Fund	\$ 19,478	20,582
(3) University Hospital		
(4) <b>Total Appropriated Fund Balances</b>	<u><b>19,478</b></u>	<u><b>20,582</b></u>
(5) <b>Total Revenues and Appropriated Fund Balances</b>	<b>491,762</b>	<b>455,561</b>
(6) <b>Expenditures/Encumbrances</b>	<b>395,878</b>	<b>379,867</b>
(7) Less: Reserve for Encumbrances	<u>(9,528)</u>	<u>(10,187)</u>
(8) <b>Total Expenditures</b>	<u><b>386,351</b></u>	<u><b>369,680</b></u>
(9) <b>Excess/(Deficit) Restricted Receipts Over Transfers to Revenues</b>	<u><b>(2,182)</b></u>	<u><b>(4,329)</b></u>
(10) <b>Net Deferred Revenues and Appropriated Fund Balances</b>	<u><b>\$ 103,229</b></u>	<u><b>\$ 81,552</b></u>

# FCR 2

Office of the President  
December 12, 2000

Members, Board of Trustees:

## DISPOSAL OF SURPLUS PROPERTY

Recommendation: that the Board of Trustees approve disposal of surplus property as set forth in Attachments I and II.

Background: KRS 164A.575 authorizes the Board of Trustees to sell or otherwise dispose of property of the University which is no longer needed or has become unsuitable for public use, or would be more suitable consistent with the public interest for some other use, as determined by the Board. Attachment I and II provides a description of the surplus property and states the reasons for disposal.

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Action taken:



Approved

Disapproved

Other \_\_\_\_\_

**UNIVERSITY OF KENTUCKY  
DISPOSAL OF SURPLUS PROPERTY**

**Property Description**

Fraction Collector  
Varian HPLC  
UV/Vis Detector  
Microplate Reader  
Computer w/Hard Disk  
Spectrophotometer  
Manipulator System  
Trans-Blot  
Mini-Protean II  
Dewars (2)  
pH Meter  
Oven  
Recorder/Stripchart

**Method of Disposal**

Negotiated Sale

**Reason for Disposal**

Dr. Elaine Jacobson of the College of Allied Health has accepted a faculty position at the University of Arizona where she will continue her research program in mammary carcinogenesis. Dr. James Holsinger, Jr., Chancellor of the Medical Center, has approved the sale of the scientific equipment to the University of Arizona for continued support of Dr. Jacobson's research program. This would represent the most effective use for this equipment.

**UNIVERSITY OF KENTUCKY  
DISPOSAL OF SURPLUS PROPERTY**

<b>Property Description</b>	1995 Heidelberg Offset Printing Press
<b>Method of Disposal</b>	Sealed Bid
<b>Reason for Disposal</b>	Due to upgrades in technology by the Printing Services Department, the press has become surplus to the needs of the University. Eugene R. Williams, Vice President for Information Systems, and Karen Willmott, Director of Printing Services, recommend approval of the sale to avoid further depreciation and loss of value.

# FCR 3

Office of the President  
December 12, 2000

Members, Board of Trustees:

## APPROVAL OF LEASE

Recommendation: that the Vice President for Fiscal Affairs be authorized to negotiate and execute the following:

A new lease between the University of Kentucky (Markey Cancer Center) and Southcreek Park Partnership, 175 East Main Street, Lexington, Kentucky, for space located at 2365 Harrodsburg Road, Building B, Lexington, Kentucky, effective February 1, 2001 through June 30, 2002, for the use of 4,443 square feet of office space, at an annual rate of \$73,309.50.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases in excess of \$30,000 be approved by the Board of Trustees.

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Action taken:

Approved

Disapproved

Other \_\_\_\_\_

# FCR 4

Office of the President  
December 12, 2000

Members, Board of Trustees:

## CAPITAL CONSTRUCTION REPORT

Recommendation: that the capital construction report for the three months ending September 30, 2000, be accepted. This report refers only to projects that had activity within this quarter.

Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

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Action taken:

Approved

Disapproved

Other \_\_\_\_\_

## CAPITAL CONSTRUCTION QUARTERLY ACTIVITY REPORT

This report contains capital projects which have been active within this quarter. There are two (2) sections included in the Quarterly Report. The first section contains information on the Consultant Contracts while the second section contains information on the Construction Contracts.

**Consultant Contracts:** This section contains the name of the project, the project number, the project scope (which includes all costs associated with the project: design, management services, construction, PPD and communications force accounts, equipment, and furnishings), the name of the consultant, the city in which the consultant resides, the contract number, the contract amount, the total number of amendments, the cumulative amendment amount, the revised contract amount, a brief project description, and a notes section that can be used for additional information regarding the consultant.

**Construction Contracts:** This section contains the name of the project, the project number, the name of the contractor, the city in which the contractor resides, the contract number, the original construction contract amount, the total number of change orders, the cumulative change order amount, the revised contract amount, information on the low, high and number of bids, the percent of the contract completed and a notes section that can be used for additional information regarding the contractor.

Note: Several projects have Construction Managers whose responsibility is to assist with the contract documents, bidding and coordination of the project. When Construction Managers are employed there are multiple construction contracts. In the following report Construction Managers are listed first in the Construction Contracts section followed by each contract which they oversee.



**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
CMW, Inc. Lexington Contract# A981240	Aging/Allied Health Building Phase II Project Number: 1651.0	\$35,644,056
		Consultant Contract Amount: \$310,500
	Total Amendments: (3)	Consultant Cumulative Amendment Amount: \$2,030,841
		Revised Consultant Contract Amount: \$2,341,341

**Project Description:**

Expansion of the Aging/Allied Health facilities to include 184,000 gross square feet of new space for the College of Allied Health; offices for the Center on Aging; Administrative Offices; the Medical Center Bookstore; and a Nursing Teaching Facility.

**Notes:** \$35,644,056 reflects the total scope of Projects 1651.0, 1651.1 and 1651.2. **Amendment #1**, 5/19/99 an increase of \$1,829,926 was approved to provide additional design services rendered as a result of reprogramming, space analysis, rework of schematic design, and cost estimating. This amendment also covers additional Consultant services for Phases 2-5 and provides design and construction administration services for extensive utility infrastructure. **Amendment #2**, 1/5/00, an increase of \$125,000 was approved to provide reimbursable services for a Resident Inspector and vibration monitoring. **Amendment #3**, 2/21/00, an increase of \$75,915 was approved to provide additional design services required for increasing the scope of the infrastructure work and reimbursable services for a constructability review.

Pearson + Bender + Jolly Lexington Contract# A961470	Animal Research Center, Woodford County Farm Project Number: 1626.0	\$12,480,000
		Consultant Contract Amount: \$630,000
	Total Amendments: (2)	Consultant Cumulative Amendment Amount: \$11,822
		Revised Consultant Contract Amount: \$641,822

**Project Description:**

Implement a development plan for the Woodford County Farm.

**Notes:** \$12,480,000 reflects the total project scope of Projects 1626.0 and 1626.1. **Amendment #1**, 3/20/98, an increase of \$10,820 was approved to provide additional Consultant services for Phases 3-5 to separate the site utilities portion of the project for separate bidding and contract administration. **Amendment #2**, 1/7/99, an increase of \$1,002 was approved to provide an additional site visit by the consultant to inspect the delivery of the precast concrete floor drainage slats for the swine unit and to reimburse actual travel costs for the site visit.

Fitzsimons O'Connor Lexington Contract# A971380	Barker Hall - Replace Fire Escape with Enclosed Stair Project Number: 1678.0	\$345,350
		Consultant Contract Amount: \$10,692
	Total Amendments: (1)	Consultant Cumulative Amendment Amount: \$14,395
		Revised Consultant Contract Amount: \$25,087

**Project Description:**

Remove the existing metal fire escape from Barker Hall and construct new enclosed stairs.

**Notes:** **Amendment #1**, 9/30/97, an increase of \$14,395 was approved to provide services for the second phase of the project, which is the implementation of the results of Phase 1, the feasibility study.

Pearson/Bender Associates Lexington Contract# A981260	Career Planning and Placement Center Project Number: 1715.0	\$2,995,750
		Consultant Contract Amount: \$124,800
	Total Amendments: (2)	Consultant Cumulative Amendment Amount: \$58,468
		Revised Consultant Contract Amount: \$183,268

**Project Description:**

Construct a 14,900 gross square foot building to provide students, alumni, faculty, staff, and recruiting organizations with adequate space to participate in the services and programs offered by the Career Center.

**Notes:** **Amendment #1**, 12/16/98, an increase of \$44,668 was approved to provide additional Consultant services for additional scope increase from \$2,000,000 to \$2,605,000. **Amendment #2**, 9/27/99, an increase of \$13,800 was approved to provide additional Consultant services for additional scope increase from \$2,605,000 to \$2,995,750.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Biagi Chance Cummins* Shelbyville Contract# A981350	Center for Applied Energy Research Ventilation System Phase II Project Number: 1487.0 Total Amendments: (1)	\$1,550,000 Consultant Contract Amount: \$72,000 Consultant Cumulative Amendment Amount: \$6,850 Revised Consultant Contract Amount: \$78,850
<b>Project Description:</b> Phase 2 upgrades the supply side of the HVAC system to provide sufficient make-up air and to balance the air pressure of the entire lab and includes humidification and a new energy management system.		
<b>Notes:</b> *The full name of the firm is Biagi, Chance, Cummins, London, Titzer, Inc. <b>Amendment #1</b> , 7/9/99, an increase of \$6,850 was approved to provide Consultant services for engineering services related to the replacement of an existing cooling tower.		
PEH, Inc. Lexington Contract# A93127	Coldstream Farm Development Project Number: 894.0 Total Amendments: (2)	\$6,725,300 Consultant Contract Amount: \$309,200 Consultant Cumulative Amendment Amount: \$1,285,127 Revised Consultant Contract Amount: \$1,594,327
<b>Project Description:</b> Construct infrastructure, including streets and sewers.		
<b>Notes:</b> Project contains multiple contracts. \$6,725,300 reflects the total project scope of Projects 894.0, 894.1, 894.2 and 894.8. <b>Amendment #1</b> , 4/14/96, an increase of \$178,543.66 was approved to increase the consultant fee for construction services. The original contract amount was for design services only. <b>Amendment #2</b> , 12/16/98, an increase of \$1,106,583 was approved to increase both reimbursable services and Phase1 through Phase 4 consultant services in order to revise preliminary development plans, conduct special studies, and to provide other special consulting services.		
Sherman Carter Barnhart Lexington Contract# A981080	Communications and Network Systems Project Number: 1696.0 Total Amendments: (2)	\$4,800,000 Consultant Contract Amount: \$314,000 Consultant Cumulative Amendment Amount: \$3,378 Revised Consultant Contract Amount: \$317,378
<b>Project Description:</b> Construct a 40,000 gross square foot facility which will house Communications and Network Systems and the Information Systems Business Office (CNS).		
<b>Notes:</b> \$4,800,000 reflects the total project scope of Projects 1696.0 and 1696.1. <b>Amendment #1</b> , 11/30/98, an increase of \$3,378 was approved to provide additional Consultant services due to additional parking spaces. <b>Amendment #2</b> , 2/9/00, no change to contract amount, was approved to provide professional services for the design of the electrical power infrastructure to support the new building.		
Sherman Carter Barnhart Lexington Contract# A001390	Crisp Building Replacement, Paducah Community College Project Number: 1751.0 Total Amendments: 0	\$2,200,000 Consultant Contract Amount: \$309,800 Consultant Cumulative Amendment Amount: \$0 Revised Consultant Contract Amount: \$309,800
<b>Project Description:</b> Construct a 20,000 gross square foot replacement building for the Crisp Building.		
<b>Notes:</b> No construction contract(s) awarded to date.		

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
GBBN, Inc. Lexington Contract# A001180	Dentistry Building ADA Implementation Project Number: 1808.0 Total Amendments: (1)	\$145,000  Consultant Contract Amount: \$4,650 Consultant Cumulative Amendment Amount: \$8,850 Revised Consultant Contract Amount: \$13,500
<b>Project Description:</b> Improve the accessibility of this building for the physically challenged.		
<b>Notes: Amendment #1</b> , 3/31/00, an increase of \$8,850 was approved to provide additional design services. Original contract amount was for feasibility study only.		
James W. Potts Architects Lexington Contract# A991230	Diagnostic Services Upgrade VII Project Number: 1757.0 Total Amendments: (0)	\$1,105,750  Consultant Contract Amount: \$92,000 Consultant Cumulative Amendment Amount: \$0 Revised Consultant Contract Amount: \$92,000
<b>Project Description:</b> Renovate approximately 10,000 gross square feet in the basement of the MRISC Building in the Markey Cancer Center.		
Pearson/Bender Associates Lexington Contract# A971360	Fine Arts Information Center - King North Renovation Project Number: 1680.0 Total Amendments: (4)	\$3,316,761  Consultant Contract Amount: \$148,500 Consultant Cumulative Amendment Amount: \$109,425 Revised Consultant Contract Amount: \$257,925
<b>Project Description:</b> Renovation of two floors of the King North building following completion of the William T. Young Library.		
<b>Notes:</b> \$3,166,761 reflects the total project scope of Projects 1680.0 and 1680.1. <b>Amendment #1</b> , 10/31/97, an increase of \$1,800 was approved to provide Consultant services for air flow testing. <b>Amendment #2</b> , 6/30/98, an increase of \$43,625 was approved to provide Consultant services through Phase 5 for the redesign of the existing air handling system. This change is necessary to achieve proper operational control of the air distribution system for the library facility. <b>Amendment #3</b> , 9/28/98, an increase of \$48,000 was approved to provide for additional Consultant services through Phase 5 for the renovation of an area in M.I. King South to provide space for the relocation of the Special Collections area. <b>Amendment #4</b> , 1/5/00, an increase of \$16,000 was approved to provide additional Consultant services for Phases 3 - 5 for the demolition of the bridge between M.I. King North and South. Also included is the facade reconstruction for each building.		
James W. Potts Architects Lexington Contract# A991000P	German House Renovation Project Number: 1766.0 Total Amendments: (0)	\$765,100  Consultant Contract Amount: \$34,500 Consultant Cumulative Amendment Amount: \$0 Revised Consultant Contract Amount: \$34,500
<b>Project Description:</b> Renovate 3,740 square feet of existing space for educational purposes and add 3,804 square feet for resident housing.		
<b>Notes:</b> This is a per diem contract.		

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Pearson/Bender Associates Lexington Contract# A981380	Guignol Theatre Renovation Project Number: 1619.1	\$278,647
	Total Amendments: (0)	Consultant Contract Amount: \$27,100
		Consultant Cumulative Amendment Amount: \$0
		Revised Consultant Contract Amount: \$27,100
<b>Project Description:</b>		
<b>Notes:</b> Renovation of the Guignol Theater and lobby area including general cosmetic updates, lighting improvements and safety features.		
Pearson/Bender Associates Lexington Contract# A991220	M. I. King Library South Renovation Project Number: 1770.0	\$997,000
	Total Amendments: (2)	Consultant Contract Amount: \$61,430
		Consultant Cumulative Amendment Amount: \$11,419
		Revised Consultant Contract Amount: \$72,849
<b>Project Description:</b>		
Renovation of the exterior of M.I. King South using brick veneer facade replicating the original details of the building.		
<b>Notes: Amendment #1</b> , 3/31/00, an increase of \$2,202 was approved to provide additional Consultant services for design improvements to the entrance plaza.		
Anshen & Allen Architects, Inc. San Francisco, CA Contract# A991110	Mechanical Engineering Building Project Number: 1740.1	\$24,775,000
	Total Amendments: (1)	Consultant Contract Amount: \$1,865,000
		Consultant Cumulative Amendment Amount: \$100,000
		Revised Consultant Contract Amount: \$1,965,000
<b>Project Description:</b>		
Construct a 70,000 square foot facility located adjacent to the Civil Engineering Building and Miller Hall, on the site previously occupied by the Engineering Quadrangle (Anderson Hall).		
<b>Notes:</b> \$24,775,000 reflects the total project scope of Projects 1740.0, 1740.1 and 1740.2. <b>Amendment #1</b> , 4/14/00, an increase of \$100,000 was approved to provide Resident Inspector services.		
GBBN, Inc. Lexington Contract# A001170	Medical Science ADA Upgrade Project Number: 1805.0	\$225,000
	Total Amendments: (1)	Consultant Contract Amount: \$12,800
		Consultant Cumulative Amendment Amount: \$14,850
		Revised Consultant Contract Amount: \$27,650
<b>Project Description:</b>		
Improve the accessibility of this building for the physically challenged.		
<b>Notes: Amendment #1</b> , 3/31/00, an increase of \$14,850 was approved to provide additional design services.		
GBBN, Inc. Lexington Contract# A011080	Medical Science Canopy Project Number: 1929.0	\$93,944
	Total Amendments: (0)	Consultant Contract Amount: \$23,000
		Consultant Cumulative Amendment Amount: \$0
		Revised Consultant Contract Amount: \$23,000
<b>Project Description:</b>		
Renovation or replacement of existing canopy and sidewalks in the Medical Center courtyard.		
<b>Notes:</b> No construction contract(s) awarded to date.		

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
James W. Potts Architects Lexington Contract# A001000L	Medical Science Lab Renovation MS231 Project Number: 1789.0 Total Amendments: (0)	\$254,750  Consultant Contract Amount: \$20,250 Consultant Cumulative Amendment Amount: \$0 Revised Consultant Contract Amount: \$20,250
<b>Project Description:</b> Renovation of 1,025 square feet of offices and mini-research labs in MS231, MS231A, MS231B, MS231C, MS231D, MS231E, MS231F, and MS231G.		
<b>Notes:</b> This is a per diem contract.		
James W. Potts Architects Lexington Contract# A001000L	Medical Science Lab Renovation MS578, 578A, 584, & 584A Project Number: 1788.0 Total Amendments: (0)	\$268,918  Consultant Contract Amount: \$19,250 Consultant Cumulative Amendment Amount: \$0 Revised Consultant Contract Amount: \$19,250
<b>Project Description:</b> Renovation of 855 square feet of offices and mini-research labs in MS578, MS578A, MS584, and MS584A.		
<b>Notes:</b> This is a per diem contract.		
Staggs & Fisher Engineers Lexington Contract# A001060	North Campus Chilled Water Main - Phase II Project Number: 1779.0 Total Amendments: (1)	\$793,503  Consultant Contract Amount: \$59,500 Consultant Cumulative Amendment Amount: \$1,633 Revised Consultant Contract Amount: \$61,133
<b>Project Description:</b> Extend the main supply and return piping and install a new feeder supply.		
<b>Notes:</b> Amendment #1, 11/11/99, an increase of \$1,632.75 was approved to provide geotechnical services.		
Staggs & Fisher Engineers Lexington Contract# A001020F	PCB Transformer Replacement Project Number: 1804.0 Total Amendments: (0)	\$373,157  Consultant Contract Amount: \$10,000 Consultant Cumulative Amendment Amount: \$0 Revised Consultant Contract Amount: \$10,000
<b>Project Description:</b> Remove, dispose, and replace four transformers and switches with non-PCB components.		
<b>Notes:</b> This is a per diem contract.		
GBBN, Inc. Lexington Contract# A001090	Pence Hall Renovation Project Number: 1720.0 Total Amendments: (0)	\$319,698  Consultant Contract Amount: \$29,984 Consultant Cumulative Amendment Amount: \$0 Revised Consultant Contract Amount: \$29,984
<b>Project Description:</b> Construct restrooms on the 2nd and 3rd floors, rated stairwell enclosure for the main stairway, and electrical service drops in the design studios.		

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Louis & Henry Group Louisville Contract# A991100	Plant Science Building Project Number: 1745.0 Total Amendments: (1)	\$21,119,750  Consultant Contract Amount: \$1,371,048 Consultant Cumulative Amendment Amount: \$11,163 Revised Consultant Contract Amount: \$1,382,211
<b>Project Description:</b> Construction of a 100,000 gross square foot facility to include faculty and staff offices, conference rooms, research labs, environmentally controlled plant growth chambers and support services for controlled plant growth.		
<b>Notes: Amendment #1, 5/25/00, an increase of \$11,163 was approved to provide Consultant design services required during the Bidding phase including the transmittal of electronic CADD files of Construction Documents to the University.</b>		
James W. Potts Architects Lexington Contract# A011070	Police/Parking Building Project Number: 1922.0 Total Amendments: (0)	\$2,300,000  Consultant Contract Amount: \$175,000 Consultant Cumulative Amendment Amount: \$0 Revised Consultant Contract Amount: \$175,000
<b>Project Description:</b> Construct a building for Parking and UK Police.		
<b>Notes: No construction contract(s) awarded to date.</b>		
James B. Evans & Associates Lexington Contract# A001100	Press Avenue Lot Renovation Project Number: 1791.0 Total Amendments: (0)	\$399,000  Consultant Contract Amount: \$42,079 Consultant Cumulative Amendment Amount: \$0 Revised Consultant Contract Amount: \$42,079
<b>Project Description:</b> Renovate and expand a portion of the Press Avenue parking lot.		
CMW, Inc. Lexington Contract# A991240	Primary Care/Outpatient Diagnostic and Treatment Center Project Number: 1764.0 Total Amendments: (0)	\$22,000,000  Consultant Contract Amount: \$2,147,765 Consultant Cumulative Amendment Amount: \$0 Revised Consultant Contract Amount: \$2,147,765
<b>Project Description:</b> Construct a 90,000 square foot, five-floor facility to house the Center for Advanced Surgery and the Gill Heart Institute.		
HNTB Corporation Kansas City, MO Contract# A001050	Seaton Center Addition/Renovation Project Number: 1781.0 Total Amendments: (1)	\$15,350,000  Consultant Contract Amount: \$997,000 Consultant Cumulative Amendment Amount: \$79,730 Revised Consultant Contract Amount: \$1,076,730
<b>Project Description:</b> Construct an approximately 90,000 square foot addition to the Seaton Center.		
<b>Notes: Amendment #1, 10/12/00, an increase of \$79,730, was approved to provide a full time, on-site resident inspector during Phase 5.</b>		

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Ross-Tarrant Architects, Inc. Lexington Contract# A001260	Shawneetown/Cooperstown Phase III Project Number: 1831.0 Total Amendments: (0)	\$4,500,000  Consultant Contract Amount: \$240,000 Consultant Cumulative Amendment Amount: \$0 Revised Consultant Contract Amount: \$240,000
<b>Project Description:</b> Renovate and update the complexes to make them more energy efficient.		
James W. Potts Architects Lexington Contract# A001070	Student Center Sprinkler System Project Number: 1782.0 Total Amendments: (0)	\$700,000  Consultant Contract Amount: \$55,000 Consultant Cumulative Amendment Amount: \$0 Revised Consultant Contract Amount: \$55,000
<b>Project Description:</b> Installation of a fire suppression system to meet state codes.		
James W. Potts Architects Lexington Contract# A001160	Wildcat Lodge Renovation Project Number: 1800.0 Total Amendments: (0)	\$1,400,000  Consultant Contract Amount: \$90,000 Consultant Cumulative Amendment Amount: \$0 Revised Consultant Contract Amount: \$90,000
<b>Project Description:</b> Extensive exterior, interior, structural and mechanical renovations.		

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSTRUCTION CONTRACTS**

**CONTRACTOR**

**PROJECT INFORMATION**

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D.W. Wilburn, Inc.	Aging/Allied Health Building Phase II	
Lexington	Project Number: 1651.0	
Contract# X012376		Contractor Award Amount: \$29,770,000
Low Bid: \$29,770,000	Total Change Orders: (17)	Contractor Cum. Change Order Amount: \$382,050
High Bid: \$33,000,000		Revised Contractor Contract Amount: \$30,152,050
Number of Bids: 5		Contract Percent Complete: 31%

**Notes: Change Order #4, 5/10/00, an increase of \$56,268 was approved to raise the height of Hospital Drive and adjoining access drives. Change Order #6, 5/25/00, an increase of \$96,735 was approved to provide various modifications to the direct bury steam line. Change Order #8, 8/30/00, an increase of \$83,302 was approved to change external expansion joints to internal expansion joints in the steam line. Change Order #17, 9/30/00, an increase of \$49,395 was approved to change the signaling at Rose Street and to add equipment as mandated by state review.**

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D.W. Wilburn, Inc.	Animal Research Center, Woodford County Farm	
Lexington	Project Number: 1626.0	
Contract# U002506-96		Contractor Award Amount: \$10,146,000
Low Bid: \$10,146,000	Total Change Orders: (68)	Contractor Cum. Change Order Amount: \$564,684
High Bid: \$11,746,000		Revised Contractor Contract Amount: \$10,710,684
Number of Bids: 5		Contract Percent Complete: 100%

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Spectrum Contracting	Barker Hall-Replace Fire Escape with Enclosed Stair	
Nicholasville	Project Number: 1678.0	
Contract# U003645-98		Contractor Award Amount: \$268,042
Low Bid: \$268,042	Total Change Orders: (5)	Contractor Cum. Change Order Amount: \$9,862
High Bid: \$324,900		Revised Contractor Contract Amount: \$277,904
Number of Bids: 6		Contract Percent Complete: 99%

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Marksbury Cornett	Career Planning and Placement Center	
Louisville	Project Number: 1715.0	
Contract# U003934-98		Contractor Award Amount: \$2,413,000
Low Bid: \$2,413,000	Total Change Orders: (31)	Contractor Cum. Change Order Amount: \$271,935
High Bid: \$2,610,000		Revised Contractor Contract Amount: \$2,684,935
Number of Bids: 6		Contract Percent Complete: 94%

**Notes: Change Order #18, 2/4/00, an increase of \$142,098 was approved to provide additional stone work and drainage work for the enhancement of the exterior of the facility.**

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Finney Company, Inc.	Center for Applied Energy Research Ventilation System Phase II	
Lexington	Project Number: 1487.0	
Contract# U004091-98		Contractor Award Amount: \$1,256,000
Low Bid: \$1,256,000	Total Change Orders: (15)	Contractor Cum. Change Order Amount: \$155,100
High Bid: \$1,490,000		Revised Contractor Contract Amount: \$1,411,100
Number of Bids: 3		Contract Percent Complete: 99%

**Change Order #1, 6/2/99, an increase of \$32,900 was approved to provide a complete direct digital control system for the variable air volume terminal units. Change Order #5, 8/27/99, an increase of \$38,589 was approved to replace a defective cooling tower. Change Order #6, 8/27/99, an increase of \$35,944 was approved to furnish material and labor to install a perchloric acid fume hood and accessories.**



**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSTRUCTION CONTRACTS**

CONTRACTOR	PROJECT INFORMATION			
Central Rock Mineral Lexington Contract# X100239	Coldstream Research Campus Unit 3&4 (Infrastructure) Project Number: 1767.1			
Low Bid: \$2,656,725	Total Change Orders: (1)	Contractor Award Amount:	\$2,656,725	
High Bid: \$3,309,610		Contractor Cum. Change Order Amount:	\$26,428	
Number of Bids: 4		Revised Contractor Contract Amount:	\$2,683,153	
		Contract Percent Complete:	99%	

**Notes:** Consultant work was done through Project 894.0. **Change Order #1**, 9/30/00, an increase of \$26,428 was approved to add to the scope of demolition.

The Allen Company, Inc. Winchester Contract# U002023-98	Coldstream Research Campus Units Project Number: 1767.0			
Low Bid: \$1,474,615	Total Change Orders: (7)	Contractor Award Amount:	\$1,474,615	
High Bid: \$2,214,589		Contractor Cum. Change Order Amount:	\$115,616	
Number of Bids: 7		Revised Contractor Contract Amount:	\$1,590,231	
		Contract Percent Complete:	99%	

**Notes:** Consultant work was done through Project 894.0. **Change Order #7**, 10/6/00, an increase of \$26,543 was approved for: 1) Curb and gutter reduction and addition of pavement and stone at McGrathiana Parkway 2) Delete relocation of stone wall at Carnahan House driveway entrance gate 3) Extend and connect Carnahan House sewer to new main sewer line at Aristides Boulevard 4) Re-grade the median at Bull Lea Road and McGrathiana Parkway 5) Time extension for weather and change order work 6) Credit for re-staking and layout work.

D.W. Wilburn, Inc. Lexington Contract# U004774-98	Communications and Network Systems Project Number: 1696.0			
Low Bid: \$3,917,000	Total Change Orders: (15)	Contractor Award Amount:	\$3,917,000	
High Bid: \$4,156,700		Contractor Cum. Change Order Amount:	\$100,716	
Number of Bids: 7		Revised Contractor Contract Amount:	\$4,017,716	
		Contract Percent Complete:	99%	

**Notes:** **Change Order # 9**, 1/6/00, an increase of \$27,757 was approved to revise cornice panels, front recess in brackets on cornice panels and revise edge of cornice panels.

Eubank & Steele Lexington Contract# X015860	Dentistry Building ADA Implementation Project Number: 1808.0			
Low Bid: \$78,267	Total Change Orders: (2)	Contractor Award Amount:	\$78,267	
High Bid:		Contractor Cum. Change Order Amount:	\$6,939	
Number of Bids: 1		Revised Contractor Contract Amount:	\$85,206	
		Contract Percent Complete:	83%	

Spectrum Contracting Nicholasville Contract# X010710	Diagnostic Services Upgrade VII Project Number: 1757.0			
Low Bid: \$731,076	Total Change Orders: (5)	Contractor Award Amount:	\$731,076	
High Bid: \$788,107		Contractor Cum. Change Order Amount:	\$16,585	
Number of Bids: 4		Revised Contractor Contract Amount:	\$747,661	
		Contract Percent Complete:	99%	

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSTRUCTION CONTRACTS**

**CONTRACTOR**

**PROJECT INFORMATION**

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Eubank & Steele	Fine Arts Information Center - King North Renovation	
Lexington	Project Number: 1680.0	
Contract# X011792		Contractor Award Amount: \$2,043,000
Low Bid: \$2,043,000	Total Change Orders: (20)	Contractor Cum. Change Order Amount: -\$62,673
High Bid: \$2,087,500		Revised Contractor Contract Amount: \$1,980,327
Number of Bids: 3		Contract Percent Complete: 95%

**Notes: Change Order #1, 6/7/00, a decrease of \$175,000 was approved to delete Add Alternate No. 1: Computer Lab and Classroom. Change Order #7, 6/27/00, an increase of \$53,925 was approved to install veneer over unfinished poured concrete walls in lobby and public stacks area.**

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Woodford Builders, Inc.	German House Renovation	
Lexington	Project Number: 1766.0	
Contract# U005374-98		Contractor Award Amount: \$664,000
Low Bid: \$664,000	Total Change Orders: (14)	Contractor Cum. Change Order Amount: \$29,384
High Bid: \$862,139		Revised Contractor Contract Amount: \$693,384
Number of Bids: 5		Contract Percent Complete: 99%

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Spectrum Contracting	Guignol Theatre Renovation	
	Project Number: 1619.1	
Contract# X100146		Contractor Award Amount: \$138,082
Low Bid: \$138,082	Total Change Orders: (3)	Contractor Cum. Change Order Amount: \$14,708
High Bid: \$185,955		Revised Contractor Contract Amount: \$152,790
Number of Bids: 5		Contract Percent Complete: 32%

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Ludy Greenhouse	Horticulture Greenhouse	
New Madison, OH	Project Number: 1906.0	
Contract# X100467		Contractor Award Amount: \$140,687
Low Bid: \$140,687	Total Change Orders: (2)	Contractor Cum. Change Order Amount: \$5,722
High Bid: \$187,232		Revised Contractor Contract Amount: \$146,409
Number of Bids: 4		Contract Percent Complete: 0%

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Spectrum Contracting	M. I. King Library South Renovation	
Nicholasville	Project Number: 1770.0	
Contract# U004994-98		Contractor Award Amount: \$519,107
Low Bid: \$519,107	Total Change Orders: (11)	Contractor Cum. Change Order Amount: \$62,566
High Bid: \$669,200		Revised Contractor Contract Amount: \$581,673
Number of Bids: 3		Contract Percent Complete: 99%

**Notes: Change Order # 7, 2/11/00, an increase of \$69,713 was approved to demolish existing plaza and to create new plaza.**

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**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSTRUCTION CONTRACTS**

**CONTRACTOR**

**PROJECT INFORMATION**

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Whittenberg Construction	Mechanical Engineering Building	
Louisville	Project Number: 1740.1	
Contract# X012689		Contractor Award Amount: \$20,238,000
Low Bid: \$20,238,000	Total Change Orders: (5)	Contractor Cum. Change Order Amount: \$97,135
High Bid: \$21,973,000		Revised Contractor Contract Amount: \$20,335,135
Number of Bids: 7		Contract Percent Complete: 46%

**Notes: Change Order #4, 10/6/00, an increase of \$25,882 was approved to remove unsatisfactory bearing material (mud seam) from basement/foundation area and backfill with suitable material. Change Order #5, 10/6/00, an increase of \$48,014 was approved to add blasting services to contract.**

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Eubank & Steele	Medical Science, ADA Upgrade	
Lexington	Project Number: 1805.0	
Contract# X015859		Contractor Award Amount: \$104,742
Low Bid: \$104,742	Total Change Orders: (2)	Contractor Cum. Change Order Amount: \$2,229
High Bid: \$112,850		Revised Contractor Contract Amount: \$106,971
Number of Bids: 2		Contract Percent Complete: 69%

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Eubank & Steele	Medical Science Lab Renovation MS231	
Lexington	Project Number: 1789.0	
Contract# X013437		Contractor Award Amount: \$111,250
Low Bid: \$111,250	Total Change Orders: (5)	Contractor Cum. Change Order Amount: \$1,785
High Bid: \$164,962		Revised Contractor Contract Amount: \$113,035
Number of Bids: 5		Contract Percent Complete: 100%

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Eubank & Steele	Medical Science Lab Renovation MS578, 578A, 584, & 584A	
Lexington	Project Number: 1788.0	
Contract# X013343		Contractor Award Amount: \$107,825
Low Bid: \$107,825	Total Change Orders: (5)	Contractor Cum. Change Order Amount: \$8,046
High Bid: \$137,394		Revised Contractor Contract Amount: \$115,871
Number of Bids: 6		Contract Percent Complete: 100%

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Lagco, Inc.	North Campus Chilled Water Main - Phase II	
Lexington	Project Number: 1779.0	
Contract# X013094		Contractor Award Amount: \$587,970
Low Bid: \$587,970	Total Change Orders: (9)	Contractor Cum. Change Order Amount: \$19,029
High Bid: \$648,100		Revised Contractor Contract Amount: \$606,999
Number of Bids: 2		Contract Percent Complete: 93%

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HACO Corporation	Outdoor Shelters	
Nicholasville	Project Number: 1853.0	
Contract# X013910		Contractor Award Amount: \$117,800
Low Bid: \$117,800	Total Change Orders: (1)	Contractor Cum. Change Order Amount: \$8,108
High Bid: \$134,850		Revised Contractor Contract Amount: \$125,908
Number of Bids: 2		Contract Percent Complete: 100%

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**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>		<b>PROJECT INFORMATION</b>	
Primo Electric Company Glen Burnie, MD Contract# X013724		PCB Transformer Replacement Project Number: 1804.0	
Low Bid: \$319,275	Total Change Orders: (1)	Contractor Award Amount:	\$319,275
High Bid: \$494,965		Contractor Cum. Change Order Amount:	\$1,432
Number of Bids: 2		Revised Contractor Contract Amount:	\$320,707
		Contract Percent Complete:	99%
Spectrum Contracting Nicholasville Contract# X014637		Pence Hall Renovation Project Number: 1720.0	
Low Bid: \$219,679	Total Change Orders: (4)	Contractor Award Amount:	\$219,679
High Bid: \$243,798		Contractor Cum. Change Order Amount:	\$25,064
Number of Bids: 4		Revised Contractor Contract Amount:	\$244,743
		Contract Percent Complete:	99%
D.W. Wilburn, Inc. Lexington Contract# X013436		Plant Science Building Project Number: 1745.0	
Low Bid: \$18,496,000	Total Change Orders: (2)	Contractor Award Amount:	\$18,496,000
High Bid: \$21,656,000		Contractor Cum. Change Order Amount:	\$30,354
Number of Bids: 5		Revised Contractor Contract Amount:	\$18,526,354
		Contract Percent Complete:	12%
<b>Notes: Change Order #2, 10/20/00, an increase of \$28,717 was approved to provide Solar Cool Bronze glass.</b>			
Spectrum Contracting Nicholasville Contract# X100570		Practice Field Fence Relocation Project Number: 1873.0	
Low Bid: \$313,246	Total Change Orders: (1)	Contractor Award Amount:	\$313,246
High Bid: \$480,750		Contractor Cum. Change Order Amount:	\$573
Number of Bids: 2		Revised Contractor Contract Amount:	\$313,819
		Contract Percent Complete:	7%
English Paving Company Versailles Contract# X014972		Press Avenue Lot Renovation Project Number: 1791.0	
Low Bid: \$293,000	Total Change Orders: (5)	Contractor Award Amount:	\$293,000
High Bid: \$333,000		Contractor Cum. Change Order Amount:	\$13,864
Number of Bids: 3		Revised Contractor Contract Amount:	\$306,864
		Contract Percent Complete:	99%
D.W. Wilburn, Inc. Lexington Contract# X014454		Primary Care Center/Outpatient Diagnostic & Treatment Project Number: 1764.0	
Low Bid: \$18,670,000	Total Change Orders: (4)	Contractor Award Amount:	\$18,670,000
High Bid: \$20,799,000		Contractor Cum. Change Order Amount:	\$11,943
Number of Bids: 5		Revised Contractor Contract Amount:	\$18,681,943
		Contract Percent Complete:	30%

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>		<b>PROJECT INFORMATION</b>			
Carlton Roofing		Re-Roof Frazee Hall, Gillis Building & Mathews Building			
Louisville		Project Number: 1904.0			
Contract# X016169				Contractor Award Amount:	\$144,000
Low Bid: \$144,000	Total Change Orders: (1)	Contractor Cum. Change Order Amount:		\$4,075	
High Bid: \$276,226		Revised Contractor Contract Amount:		\$148,075	
Number of Bids: 3		Contract Percent Complete:		99%	
Woodford Builders, Inc.		Rose Street Plaza Development			
Lexington		Project Number: 1823.0			
Contract# X014635				Contractor Award Amount:	\$250,000
Low Bid: \$250,000	Total Change Orders: (4)	Contractor Cum. Change Order Amount:		-\$3,438	
High Bid: \$280,000		Revised Contractor Contract Amount:		\$246,562	
Number of Bids: 3		Contract Percent Complete:		91%	
CB&S Construction		Seaton Center Addition/Renovation			
Somerset		Project Number: 1781.0			
Contract# X100530				Contractor Award Amount:	\$12,128,000
Low Bid: \$12,128,000	Total Change Orders: 0	Contractor Cum. Change Order Amount:		\$0	
High Bid: \$13,730,000		Revised Contractor Contract Amount:		\$12,128,000	
Number of Bids: 4		Contract Percent Complete:		0%	
Eubank & Steele		Shawneetown/Cooperstown Phase III			
Lexington		Project Number: 1831.0			
Contract# X015858				Contractor Award Amount:	\$3,178,105
Low Bid: \$3,178,105	Total Change Orders: (1)	Contractor Cum. Change Order Amount:		\$604	
High Bid: \$3,220,000		Revised Contractor Contract Amount:		\$3,178,709	
Number of Bids: 3		Contract Percent Complete:		15%	
C & R Asphalt, LLC		Stadium Yellow Lot Paving			
Lexington		Project Number: 1945.0			
Contract# X100819				Contractor Award Amount:	\$298,825
Low Bid: \$298,825	Total Change Orders: (2)	Contractor Cum. Change Order Amount:		\$9,410	
High Bid: \$346,318		Revised Contractor Contract Amount:		\$308,235	
Number of Bids: 4		Contract Percent Complete:		0%	
Brown Sprinkler Corporation		Student Center Sprinkler System			
Lexington		Project Number: 1782.0			
Contract# X015397				Contractor Award Amount:	\$558,446
Low Bid: \$726,800	Total Change Orders: (2)	Contractor Cum. Change Order Amount:		\$7,865	
High Bid:		Revised Contractor Contract Amount:		\$566,311	
Number of Bids: 1		Contract Percent Complete:		0%	
<b>Notes:</b> Original bid was over budget. Negotiations with the single bidder resulted in the lower amount.					

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSTRUCTION CONTRACTS**

**CONTRACTOR**

**PROJECT INFORMATION**

H & N Constructors, Inc.

Wildcat Lodge Renovation

Louisville

Project Number: 1800.0

Contract# X013120

Contractor Award Amount: \$1,107,051

Low Bid: \$1,107,051

Total Change Orders: (14)

Contractor Cum. Change Order Amount: \$121,364

High Bid: \$1,229,000

Revised Contractor Contract Amount: \$1,228,415

Number of Bids: 8

Contract Percent Complete: 100%

**Notes:** Change Order #2, 4/12/00, an increase of \$26,898 was approved to replace wall, rebuild columns, repair floor joists, relocate vertical sprinkler pipes, and replace upper level floor framing.

# FCR 5

Office of the President  
December 12, 2000

Members, Board of Trustees:

## 2000-01 Budget Revisions Central Administration

Recommendation: that the following revisions to the 2000-01 budget be authorized and approved.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
<b>A. <u>GENERAL FUND</u></b>			
<b>1. Income Estimates</b>			
Student Fees			
Self-Supporting			
Noncredit Fees	\$ 8,663,800	\$ 8,498,800	\$ (165,000)
County Appropriations	7,674,000	8,269,600	595,600
Gifts and Grants			
Other Gifts and Grants	54,369,500	54,394,500	25,000
Sales and Services			
Departmental Sales and Services	50,326,400	50,567,400	<u>241,000</u>
			\$ 696,600
<b>2. Expenditures</b>			
Central Administration			
Research and Graduate Studies			
Academic Affairs			
Council on Aging	623,000	458,000	(165,000)
Center for Applied Energy Research	4,325,900	4,525,900	200,000
Lexington Campus			
Agriculture Cooperative			
Extension Services			
Field Programs	26,488,500	27,084,100	595,600
Medical Center			
College of Dentistry			
Office of the Dean	566,700	596,700	30,000
College of Medicine			
Internal Medicine	12,811,600	12,836,600	25,000
Pediatrics	7,704,100	7,715,100	<u>11,000</u>
			\$ 696,600

3. Comments – The decrease in noncredit fees resulted from a reduction in the number of workshops for the Elderhostel programs. County Appropriations will support field programs in the Agricultural Cooperative Extension Services. The increase in gifts and grants will support programs in Internal Medicine. Programs to be supported by increased departmental sales and services include services provided by the Center for Applied Energy Research, Pediatric programs in the College of Medicine and dental education programs in the College of Dentistry.





# FCR 6

Office of the President  
December 12, 2000

Members, Board of Trustees:

## FUNDS FOR THE OPERATION OF KENTUCKY HEALTHCARE ENTERPRISES, INC. (KHE, INC.)

Recommendation: that the Board of Trustees authorize the expenditure of funds from the University Hospital in the amounts of \$4.5 million, \$2.5 million, and \$2.3 million this fiscal year, and the next two years respectively, to provide funds for the operation of Kentucky Healthcare Enterprises, Inc. (KHE, Inc.).

Background: At its meeting of December 12, 1995 the Board of Trustees authorized the formation of a corporation that would have the power to acquire physician and other medical practice/provider sites, and to provide management services to medical practice/provider sites to broaden the Medical Center's network of Physicians who will be available for teaching, research, and service. Health Associates of Kentucky, Inc., a primary care development company owned by KHE, Inc., has engaged in acquisition, partnering, management and development of physician practices.

Currently, Health Associates operates and/or manages 14 practices. These practices provide urgent treatment, pediatric care, primary care, occupational medicine, and ancillary services to thousands of patients annually. In FY-2000 the various clinics had 252,910 patient encounters. These clinics, although operated on a stand alone basis, are a critical factor in the Medical Center's ability to serve an ever widening array of patients.

The current funding request will provide funds for the ongoing operational support of the clinics, as well as funds for capital items and operational enhancement initiatives. Losses in the Health Associates clinics have been significantly reduced; however, on a stand alone basis, Health Associates does not generate a profit and may not do so in the future. However, the continued funding of the clinics is financially feasible because the clinic operation, consolidated with the revenue from services rendered in the Medical Center to Health Associate patients, is positive. The total venture generates a positive return on our investment, while significantly expanding the patient base we serve.

The investment in Kentucky Healthcare Enterprises, Inc. continues to expand the opportunities for University physicians and ancillary support staff to provide care to the population of the Commonwealth where educational opportunities will be afforded. Both the provision of care to the population of the Commonwealth and the educational opportunities afforded will continue to fulfill the mission of the University of Kentucky Medical Center. In accordance with the December 12, 1995 Board of Trustees action, Health Associates of Kentucky, Inc. activities are reported annually to the Board.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

# FCR 7

Office of the President  
December 12, 2000

Members, Board of Trustees:

## ANONYMOUS GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$200,000 and a pledge of \$800,000 from an anonymous donor for the William T. Young Library Endowment to support the library collection for the benefit of research and graduate programs of the University.

Background: The donor anticipates his \$1,000,000 commitment being matched by the state's Research Challenge Trust Fund. The pledge will be paid in four annual installments of \$200,000 from 2001 through 2004.

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Action taken:

Approved

Disapproved

Other \_\_\_\_\_

# FCR 8

Office of the President  
December 12, 2000

Members, Board of Trustees:

## CLASS OF 1949 FELLOWSHIP ENDOWMENT

Recommendation: that the Board of Trustees accept a gift of \$25,000 and a pledge of \$25,000 from Judge Henry Meigs of Louisville, Kentucky, to establish the Class of 1949 Fellowship Endowment in the College of Law.

Background: Judge Meigs is a 1949 graduate of the College of Law and a long-time supporter of the college. Prior to joining the law firm of Middleton & Reutlinger in Louisville, from which he just retired, Judge Meigs served as the Franklin County Circuit Court judge. The donor anticipates his gift being matched by the state's Research Challenge Trust Fund. His pledge will be paid in \$12,500 installments in 2001 and 2002.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

# FCR 9

Office of the President  
December 12, 2000

Members, Board of Trustees:

## FRANK J. WINCHESTER PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$5,000 and a pledge of \$43,000 from Frank J. Winchester of Lexington, Kentucky, and gifts of \$2,330 from other individuals to create and endow the James Stephen Brown Graduate Appalachian Studies Fund in the College of Arts and Sciences.

Background: Dr. James Stephen Brown, who died in October 1999, at the age of 83, was a UK sociology professor who was a pioneer in the field of Appalachian studies. The James Stephen Brown Graduate Appalachian Studies Fund memorializes his achievements through an award to graduate students who are conducting research in Appalachia. Mr. Winchester, a friend of Dr. Brown's, will pay his \$43,000 pledge within five years. The donors of the \$2,330 were also friends of Dr. Brown. Mr. Winchester and the other donors anticipate their gifts and pledges being matched by the state's Research Challenge Trust Fund.

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Action taken:



Approved



Disapproved



Other \_\_\_\_\_

# FCR 10

Office of the President  
December 12, 2000

Members, Board of Trustees:

ESTABLISHING TWO PROFESSORSHIPS  
FROM PROCEEDS FROM GEORGE B. TUGGLE TRUST

Recommendation: that the Board of Trustees establish and endow two professorships in the Department of English in the College of Arts and Sciences from proceeds totaling \$300,000 from a trust established by the late George B. Tuggle.

Background: George B. Tuggle, who died at age 75 in March 2000, was a 1949 graduate of the College of Arts and Sciences. He was a native of Somerset and was employed by Star Bank in Cincinnati prior to his retirement. He established the George B. Tuggle Charitable Remainder Annuity Trust with the University of Kentucky as Trustee. The trust agreement directed that upon his death the proceeds from the trust be used to establish a professorship in English in honor of his parents, William J. and Nina B. Tuggle. The trust proceeds of \$300,000 are eligible for matching funds from the state's Research Challenge Trust Fund. The College of Arts and Sciences will establish two professorships known as the William J. Tuggle and Nina B. Tuggle Professorship in English with each funded at \$300,000.

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Action taken:



Approved

Disapproved

Other \_\_\_\_\_

# FCR 11

Office of the President  
December 12, 2000

Members, Board of Trustees:

## HUMANA FOUNDATION PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$1,000,000 from the Humana Foundation of Louisville, Kentucky, for the William T. Young Library Endowment to support the library collection for the benefit of research and graduate programs of the University.

Background: The Humana Foundation was established by Humana, Inc., one of the nation's largest managed health care companies. The Foundation, which supports education, health and human services, community development and the arts, has been a generous supporter of the University. The Foundation will fulfill the pledge with annual payments of \$200,000 over five years. The first payment will be made in January 2001. The pledge is eligible for matching funds from the state's Research Challenge Trust Fund.

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Action taken:

Approved

Disapproved

Other \_\_\_\_\_

# FCR 12

Office of the President  
December 12, 2000

Members, Board of Trustees:

## JACK W. GRAHAM PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$50,000 from Jack W. Graham of Jessamine County, Kentucky, for the William T. Young Library Endowment to support the library collection for the benefit of research and graduate programs of the University.

Background: Mr. Graham is a businessman and long-time supporter and generous contributor to the University. He will fulfill his pledge with five annual payments of \$10,000 beginning in 2000. His pledge is eligible for matching funds from the state's Research Challenge Trust Fund.

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Action taken:

Approved

Disapproved

Other \_\_\_\_\_



# FCR 13

Office of the President  
December 12, 2000

Members, Board of Trustees:

JOHN R. VAN NAGELL, M.D. PROFESSORSHIP/CHAIR  
IN GYNECOLOGIC ONCOLOGY

Recommendation: that the Board of Trustees change the name of an endowment from the Professorship in Obstetrics and Gynecology to the John R. van Nagell, M.D. Professorship/Chair in Gynecologic Oncology and approve setting aside \$55,000 a year for the next five years from the Telford Foundation's annual gift of approximately \$135,000 to the College of Medicine to support the endowment.

Background: The Board of Trustees accepted in June 1994, a gift from Kentucky Medical Services Foundation to establish and endow a professorship in obstetrics and gynecology. By this action, the Board is changing the name of this professorship at the request of the Department of Obstetrics and Gynecology in the College of Medicine to the John R. van Nagell, M.D. Professorship/Chair in Gynecologic Oncology. This new position is named a "professorship/chair" to reflect the fact that the department will continue to raise funds to reach \$1,000,000, the level required for a chair. Dr. van Nagell has been a professor since 1971 and is known for initiating the nation's first ovarian cancer screening program at UK.

By this action, the Board is also accepting a commitment from the department to set aside \$275,000 over the next five fiscal years ending in FY 2004-05 from the Telford Foundation's annual gift of about \$135,000 to add to the professorship fund. This \$275,000 is eligible for matching funds from the state's Research Challenge Trust Fund. The Telford Foundation was established by the late Robert K. Telford, who was president and chairman of Mason and Hanger - Silas Mason Company, an international firm that had its headquarters in Lexington.

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Action taken:  Approved       Disapproved       Other \_\_\_\_\_

# FCR 14

Office of the President  
December 12, 2000

Members, Board of Trustees:

## KENTUCKY RIVER COAL CORPORATION PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$150,000 from Kentucky River Coal Corporation of Lexington, Kentucky, for the William T. Young Library Endowment to support the library collection for the benefit of research and graduate programs of the University.

Background: Kentucky River Coal Corporation has been a long-time and generous supporter of the University of Kentucky. The company's pledge will be paid over five years in annual installments of \$30,000 starting in 2000. The pledge is eligible for matching funds from the state's Research Challenge Trust Fund.

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Action taken:

Approved

Disapproved

Other \_\_\_\_\_

# FCR 15

Office of the President  
December 12, 2000

Members, Board of Trustees:

## LION APPAREL, INC., PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$50,000 from Lion Apparel, Inc., of Dayton, Ohio, to create and endow a graduate student fellowship in the Department of Interior Design, Merchandising and Textiles in the College of Human Environmental Sciences.

Background: Lion Apparel is a manufacturer of fire-fighting equipment with headquarters in Dayton and manufacturing facilities in Kentucky. The company has been pleased with the results of research project contracts with textiles professor Dr. Elizabeth Easter in the Department of Interior Design, Merchandising and Textiles. The company is establishing the fellowship in recognition of the quality of Dr. Easter's research and the quality of the department's graduate students. The pledge will be paid in five annual installments of \$10,000 beginning in 2001. The pledge is eligible for matching funds from the state's Research Challenge Trust Fund.

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Action taken:

Approved

Disapproved

Other \_\_\_\_\_

# FCR 16

Office of the President  
December 12, 2000

Members, Board of Trustees:

## MORTON HOLBROOK PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$100,000 from Morton Holbrook of Owensboro, Kentucky, for the William T. Young Library Endowment to support the library collection for the benefit of research and graduate programs of the University.

Background: Mr. Holbrook is an attorney and a 1935 graduate of the University. He has been a generous supporter of the University and is known statewide for his support of educational excellence. He anticipates his pledge being matched by the state's Research Challenge Trust Fund. His pledge will be paid in five annual installments of \$20,000 beginning in January 2001. The pledge will provide funds for the purchase of books and other library research materials in support of the College of Law Library.

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Action taken:

Approved

Disapproved

Other \_\_\_\_\_

# FCR 17

Office of the President  
December 12, 2000

Members, Board of Trustees:

## MRS. W. L. LYONS BROWN GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$100,000 and a pledge of \$150,000 from Mrs. W. L. Lyons Brown of Harrods Creek, Kentucky, for the William T. Young Library Endowment to support the library collection for the benefit of research and graduate education of the University.

Background: Mrs. Brown is a civic leader, conservationist and philanthropist in the Louisville area. She anticipates her gift being matched by the state's Research Challenge Trust Fund. Her pledge will be paid in two annual installments of \$75,000 beginning in 2001.

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Action taken:

Approved

Disapproved

Other \_\_\_\_\_

# FCR 18

Office of the President  
December 12, 2000

Members, Board of Trustees:

## PETER PERLMAN ENDOWED FELLOWSHIP

Recommendation: that the Board of Trustees accept gifts totaling \$45,500 from Peter Perlman of Lexington, Kentucky, his friends and colleagues and a pledge of \$4,500 from R. Scott Madden of Manchester, Kentucky, to create and endow the Peter Perlman Fellowship in the College of Law.

Background: Mr. Perlman is a 1962 graduate of the UK College of Law and practices law in Lexington. He is a member of the College of Law Visiting Committee and an adjunct professor of litigation skills. He was inducted into the College of Law Hall of Fame in 1998. Mr. Madden is a 1974 College of Law graduate. He will fulfill his pledge with payments of \$900 annually from 2001 through 2005. This fellowship will assist the college in attracting and retaining the very best students. The gifts and pledge are contingent on matching funds being received from the state's Research Challenge Trust Fund.

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Action taken:



Approved



Disapproved



Other \_\_\_\_\_

# FCR 19

Office of the President  
December 12, 2000

Members, Board of Trustees:

## PADUCAH JUNIOR COLLEGE, INC., BOARD OF TRUSTEES PLEDGE

**Recommendation:** that the Board of Trustees accept a pledge of \$50,000 from the Paducah Junior College, Inc., Board of Trustees of Paducah, Kentucky, to create and endow a professorship at the College of Engineering's Extended Campus Program in Paducah.

**Background:** The Board of Trustees of Paducah Junior College, Inc., is funding the establishment of the professorship to demonstrate its support of the College of Engineering's program in Paducah. Dr. Len O'Hara, president of Paducah Community College and executive director of Paducah Junior College, Inc., has been a long-standing proponent of the UK-Paducah Community College engineering partnership. The pledge, which will be paid over five years, is eligible for matching funds from the state's Research Challenge Trust Fund. Paducah Junior College, Inc., is an independent foundation that was originally founded to support Paducah Junior College, which eventually became Paducah Community College.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

# FCR 20

Office of the President  
December 12, 2000

Members, Board of Trustees:

## R. D. AND JOAN DALE HUBBARD FOUNDATION PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$100,000 from the R. D. and Joan Dale Hubbard Foundation of Ruidoso, New Mexico, for the William T. Young Library Endowment to support the library collection for the benefit of research and graduate programs at the University.

Background: R. D. Hubbard of Palm Desert, California, was the former chief executive officer of Santa Anita Park in Arcadia, California. He and his wife established the R. D. and Joan Dale Hubbard Foundation to support the arts, cancer research and higher education. The Foundation will fulfill the pledge with \$25,000 annual payments over four years. The pledge is eligible for matching funds from the state's Research Challenge Trust Fund.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_



# FCR 21

Office of the President  
December 12, 2000

Members, Board of Trustees:

## RONALD MCDONALD HOUSE CHARITIES OF THE BLUEGRASS, INC. GIFT

Recommendation: that the Board of Trustees accept a gift of \$250,000 from Ronald McDonald House Charities of the Bluegrass, Inc., of Lexington, Kentucky, to establish a mobile dental service program in the College of Dentistry.

Background: Ronald McDonald House Charities of the Bluegrass made this gift to the College of Dentistry for the purchase of a mobile dental van that will be used to provide dental services to children in Fayette and other Central Kentucky counties who have limited access and resources to obtain dental care. University of Kentucky College of Dentistry faculty and staff will provide dental care in the mobile clinic for these underserved patients.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

# FCR 22

Office of the President  
December 12, 2000

Members, Board of Trustees:

## ROSE MARY C. BROOKS PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$25,000 from Rose Mary C. Brooks of Lexington, Kentucky, for the William T. Young Library Endowment to support the library collection for the benefit of research and graduate programs of the University.

Background: Mrs. Brooks, a native of Winchester, Kentucky, is a 1938 graduate of the University of Kentucky and has been a generous supporter of many University programs. She will fulfill her pledge with annual \$5,000 payments over five years. Her gift is eligible for matching funds from the state's Research Challenge Trust Fund.

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Action taken:



Approved



Disapproved



Other \_\_\_\_\_

# FCR 23

Office of the President  
December 12, 2000

Members, Board of Trustees:

## STONERSIDE STABLE PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$250,000 from Stonerside Stable of Paris, Kentucky, for the William T. Young Library Endowment to support the library collection for the benefit of research and graduate programs of the University.

Background: Stonerside Stable is owned by Robert C. McNair, a Houston, Texas, businessman and philanthropist. He is chairman of the board of Cogen Technologies, Inc. and chairman and chief executive officer of the Houston Texans, the newest National Football League franchise. The pledge will be paid over five years at \$50,000 annually. The pledge is eligible for matching funds from the state's Research Challenge Trust Fund.

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Action taken:



Approved

Disapproved

Other \_\_\_\_\_

# FCR 24

Office of the President  
December 12, 2000

Members, Board of Trustees:

## GIFTS AND PLEDGES TO THE WENDELL H. FORD PROFESSORSHIP IN PUBLIC POLICY

Recommendation: that the Board of Trustees accept gifts of \$76,660 and pledges of \$213,750 to the Wendell H. Ford Professorship in Public Policy in the University's James W. Martin School of Public Policy and Administration and the Wendell H. Ford Research Center and Public Policy Archives in the William T. Young Library.

Background: The Board of Trustees established and endowed both the professorship and research center on June 8, 1999, when it accepted \$300,000 in pledges from several corporations and many friends of former Senator Ford, whose public service career included service as governor, lieutenant governor and state senator. The \$290,410 being accepted by the Board today is over and above the \$300,000 accepted in June of 1999.

The Martin School of Public Policy and Administration is a program of national distinction and offers graduate degree programs in public administration and health administration. The Wendell H. Ford Research Center and Public Policy Archives will build upon the University's extensive collection of modern political and public policy papers.

The \$76,660 in gifts include contributions of \$50,000 from Lorillard Tobacco Company and \$25,000 from Senator Ford. Among the pledges are \$100,000 each from Philip Morris Companies, Inc., and Brown & Williamson Tobacco Company. All pledges will be paid within five years. The donors anticipate their gifts and pledges, which total \$290,410, being matched by the state's Research Challenge Trust Fund.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

# FCR 25

Office of the President  
December 12, 2000

Members, Board of Trustees:

## WITHINGTON GRADUATE STUDENT SUPPORT FUND GIFT AND PLEDGES

Recommendation: that the Board of Trustees accept a gift of \$50,000 from Dr. William A. and Anne T. Withington of Lexington, Kentucky, and pledges of \$18,510 from friends of the Withingtons to establish and endow the Dr. William A. and Anne T. Withington Graduate Student Support Fund in Geography in the Department of Geography in the College of Arts and Sciences.

Background: Dr. Withington is a professor emeritus in the Department of Geography. The Withington Graduate Student Support Fund will provide grants to master's and doctoral students to present research or presentations at professional or academic meetings and will provide grants to graduate students to complete work on thesis or dissertation projects. The \$18,510 has been pledged by 12 geography department faculty members and two alumni. The donors anticipate their gifts and pledges, which total \$68,510, being matched by the state's Research Challenge Trust Fund. All pledges will be paid within five years.

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Action taken:



Approved

Disapproved

Other \_\_\_\_\_

# FCR 26

Office of the President  
December 12, 2000

Members, Board of Trustees:

RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY ("STATE AGENCY") APPROVING A FINANCING/LEASE AGREEMENT AMONG THE STATE AGENCY, THE KENTUCKY ASSET/LIABILITY COMMISSION, THE COMMONWEALTH OF KENTUCKY STATE PROPERTY AND BUILDINGS COMMISSION AND THE FINANCE AND ADMINISTRATION CABINET OF THE COMMONWEALTH OF KENTUCKY FOR THE PURPOSE OF PROVIDING INTERIM FINANCING FOR AN AUTHORIZED PROJECT IN ANTICIPATION OF THE ISSUANCE OF BONDS TO PROVIDE PERMANENT FINANCING FOR SAID PROJECT

Recommendation: that the Board of Trustees adopt the Resolution attached hereto approving a financing/lease agreement among the University of Kentucky, the Kentucky Asset/Liability Commission, the Finance and Administration Cabinet, and the State Property and Buildings Commission, for the purpose of providing interim and permanent financing for the following educational building projects: (1) Aging/Allied Health Building, Phase II (note refunding); (2) Crisp Building replacement (note refunding); (3) Center for Rural Health; and (4) Biomedical Sciences Research Building.

Background: The 1998 and 2000 General Assemblies authorized the issuance of revenue bonds to finance the construction projects listed above. The Board previously approved interim financing for items (1) and (2) through the Kentucky Asset Liability Commission, which financing will be replaced by the proposed financing. The attached resolution (a) requests that the Kentucky Asset/Liability Commission and the State Property and Building Commission issue notes and bonds, respectively, for the purpose of providing interim (short-term) financing, including refinancing, and permanent financing for the projects, (b) authorizes the execution and delivery of a Financing/Lease Agreement necessary to implement the financing for the projects, and (c) authorizes the Chairman to execute, acknowledge, and deliver, on behalf of the Board, the Financing/Lease Agreement.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

## RESOLUTION

RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY ("STATE AGENCY") APPROVING A FINANCING/LEASE AGREEMENT AMONG THE STATE AGENCY, THE KENTUCKY ASSET/LIABILITY COMMISSION, THE COMMONWEALTH OF KENTUCKY STATE PROPERTY AND BUILDINGS COMMISSION AND THE FINANCE AND ADMINISTRATION CABINET OF THE COMMONWEALTH OF KENTUCKY FOR THE PURPOSE OF PROVIDING INTERIM FINANCING FOR AN AUTHORIZED PROJECT IN ANTICIPATION OF THE ISSUANCE OF BONDS TO PROVIDE PERMANENT FINANCING FOR SAID PROJECT.

WHEREAS, pursuant to Section 56.860 et seq. of the Kentucky Revised Statutes (the "Act"), the Kentucky Asset/Liability Commission (the "Commission") was created and exists as an independent agency and constituted authority of the Commonwealth of Kentucky (the "Commonwealth") and is authorized to issue Project Notes, as defined in the Act, at the request of the Cabinet, as defined in the Act, to provide interim financing for Authorized Projects, as defined in the Act, in anticipation of the issuance of bonds; and

WHEREAS, the General Assembly pursuant to H.B. 321 of the General Assembly of the Commonwealth of Kentucky, 1998 Regular Session, H.B. 502 of the General Assembly of the Commonwealth of Kentucky, 2000 Regular Session (collectively the "Budget Act") authorized certain expenditures in certain amounts for the hereinafter described Project to be financed with bonds, which Project constitutes an Authorized Project, as defined in the Act; and

WHEREAS, the Finance and Administration Cabinet (the "Cabinet") has applied to the State Property and Buildings Commission ("SPBC") for the issuance of bonds (the "Bonds") on behalf of the Cabinet and various other State Agencies to provide permanent financing for the Projects and has requested, in accordance with the Act, that the Commission issue and sell Project Notes to provide funds for the Projects in anticipation of the issuance of the Bonds; and

WHEREAS, the SPBC has determined, based on such request and the authorizations set forth in the Budget Act, to issue its revenue bonds for the Projects, and in anticipation thereof and based upon the request of the Cabinet and the SPBC, the Commission has determined to provide interim financing for the Projects through the issuance of its Project Notes, 2000 Agency Fund Series (the "Notes");

WHEREAS, the State Agency wishes to authorize the Financing/Lease Agreement (the "Financing Agreement") among the State Agency, the Commission, the SPBC and the Cabinet to provide interim financing for the Project as evidence of its commitment to issue the Bonds, which Bonds constitute the Bonds described in the Financing Agreement.

NOW THEREFORE, it is hereby resolved and ordered by the Board of Trustees of the University of Kentucky as follows:

Section 1. Determinations. The State Agency hereby finds and determines that (a) it hereby ratifies and affirms its request that the Commission issue the Notes in anticipation of the issuance of the Bonds, for the purpose of paying the costs of the Project; (b) it is necessary to execute and deliver the Financing Agreement in order to provide for a source of payment for the Notes and Bonds; and (c) approval of the Project described in the Financing Agreement is hereby ratified and confirmed.

Section 2. The Financing Agreement and Other Documents. As evidence of its obligations in this Resolution, the Chairman is hereby authorized to execute, acknowledge and deliver, on behalf of the State Agency, the Financing Agreement, in substantially the form submitted to the State Agency, which is hereby

approved, with such changes therein not inconsistent with this Resolution and not substantially adverse to the State Agency as may be permitted by the Act and approved by such officer. The approval of such changes by such officer, and that such changes are not substantially adverse to the State Agency, shall be conclusively evidenced by the execution of the Financing Agreement by such officer. The Chairman and Secretary are hereby separately authorized to take any and all actions and to execute such other instruments that may be necessary or appropriate in the opinion of Peck, Shaffer & Williams LLP, as bond counsel for the Notes, in order to effect the issuance of the Notes and the intent of this Resolution.

Section 3. Effective Date. This resolution shall take effect immediately upon its adoption.

ADOPTED AND APPROVED this 12th day of December, 2000.

UNIVERSITY OF KENTUCKY, State Agency

By: 


Title: \_\_\_\_\_



**CERTIFICATE**

It is certified that the foregoing is a true and correct copy of a resolution duly adopted by the Board of Trustees of University of Kentucky ("State Agency") on the 12th day of December, 2000, and such resolution has not been altered, amended or repealed.

IN WITNESS WHEREOF, the undersigned has executed this Certificate this 12th day of December, 2000.

  
Secretary

::ODMA\PCDOCS\COVLIB\18226\1

**FINANCING/LEASE AGREEMENT**  
(State Property and Buildings Commission Bonds)

by and between

KENTUCKY ASSET/LIABILITY COMMISSION

and

FINANCE AND ADMINISTRATION CABINET  
OF THE COMMONWEALTH OF KENTUCKY

and

THE COMMONWEALTH OF KENTUCKY  
STATE PROPERTY AND BUILDINGS COMMISSION

and

UNIVERSITY OF KENTUCKY

Dated as of \_\_\_\_\_

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THIS FINANCING/LEASE AGREEMENT, made and entered into as of the date shown on the cover page hereto, by and among the KENTUCKY ASSET/LIABILITY COMMISSION (hereinafter sometimes referred to as the "Commission"), the FINANCE AND ADMINISTRATION CABINET OF THE COMMONWEALTH OF KENTUCKY, a department and agency of the Commonwealth of Kentucky (hereinafter sometimes referred to as the "Cabinet"), the COMMONWEALTH OF KENTUCKY STATE PROPERTY AND BUILDINGS COMMISSION ("SPBC") and the STATE AGENCY identified on the cover page hereto (the "State Agency").

WITNESSETH

THAT WHEREAS, the State Agency identified on the cover to this Financing Agreement constitutes a "State Agency" within the meaning and application of Sections 56.440, et seq., of the Kentucky Revised Statutes and the Finance and Administration Cabinet of the Commonwealth of Kentucky constitutes the "Cabinet" within the meaning of Section 56.8605 of the Kentucky Revised Statutes; and

WHEREAS, the Cabinet is authorized pursuant to the Act, as hereinafter defined, to take, acquire and hold property, the SPBC is authorized, pursuant to the Act to issue and sell revenue bonds on behalf of any State Agency, and the State Agency, to the extent described herein, is authorized to issue and sell revenue bonds, and the Commission is authorized to issue Project Notes, as defined in the Act, in anticipation of the issuance of such bonds in order to provide interim financing for projects and to carry out proper public purposes; and

WHEREAS, (i) the Cabinet and the State Agency, as applicable, have made appropriate application to the SPBC requesting the SPBC to provide for payment of the costs of the Project, hereinafter defined, pursuant to KRS 56.450 by the issuance of revenue bonds pursuant to the Act or (ii) the State Agency has determined to issue revenue bonds, as further described herein, and the SPBC or the State Agency, as applicable, has requested and authorized the Commission to issue Project Notes for the Project in anticipation of the issuance of such bonds; and

WHEREAS, to the extent applicable and as required by law, the Project has been approved by the SPBC and the SPBC has determined to issue revenue bonds for the Project, it being understood that the State Agency will enter into this Financing/Lease Agreement to provide (i) revenues for amortization of such Project Notes, (ii) for the issuance of the Bonds for the payment of the Project Notes which have not been amortized, (iii) for the transfer of the Project to the SPBC upon the issuance of Bonds by the SPBC; (iv) for the leasing of the Project by the State Agency from the SPBC, if applicable, to provide revenues for amortization of the Bonds, and (v) interim financing for the Project by issuance of the Project Notes by the Commission; and

WHEREAS, in order to provide revenues to reimburse the SPBC, if applicable, and the Commission for its commitment to amortize such bonds and notes, respectively, which are to be issued for purposes of providing funds for acquisition of the Project, it is necessary and appropriate that a Financing/Lease Agreement in respect of the Project be entered into by and between the Commission, the SPBC, the State Agency and the Cabinet;

NOW, THEREFORE, PURSUANT TO THE STATUTORY AUTHORITY CITED IN THE PREAMBLES TO THIS FINANCING/LEASE AGREEMENT, AND FOR OTHER GOOD AND VALUABLE CONSIDERATIONS, THE RECEIPT OF WHICH IS HEREBY ACKNOWLEDGED BY BOTH PARTIES, AND IN FURTHER CONSIDERATION OF THE PREMISES, THE COMMISSION, THE SPBC, THE STATE AGENCY AND THE CABINET DO HEREBY COVENANT AND AGREE, EACH WITH THE OTHER, AS FOLLOWS:

**ARTICLE I**  
**DEFINITIONS**

Section 1.01. As used in this Financing/Lease Agreement, unless the context requires otherwise:

"Act" means collectively, (i) Section 56.860, et. seq. of the Kentucky Revised Statutes, (ii) Section 56.440, et. seq. of the Kentucky Revised Statutes and (iii) Sections 58.010 to 58.140, inclusive, of the Kentucky Revised Statutes.

"Additional Payments" means additional payments as set forth in Section 5.06 hereof.

"Additional Rent" means additional rent as set forth in Section 5.06 hereof.

"Application" means that certain written instrument tendered to the SPBC by the State Agency pursuant to KRS 56.450(4), wherein and whereby the State Agency has requested the SPBC to provide for financing of the costs of the Project (hereinafter defined and identified), through application of the proceeds of the Bonds issued by the SPBC in its own name in the manner authorized by the Act. The Application, if applicable, is contained in this Financing/Lease Agreement.

"Bond Payment Fund" means the fund to be created by the Bond Resolution for the payment of the principal of, interest on, and premium, if any, on the Bonds.

"Bond Resolution" means the Bond Resolution or similar authorizing instrument to be adopted by the SPBC or State Agency, as applicable, authorizing the issuance of the Bonds.

"Bonds" means Bonds as defined in the Indenture.

"Budget Act" means H.B. 321 of the General Assembly of the Commonwealth of Kentucky, 1998 Regular Session, H.B. 502 of the General Assembly of the Commonwealth of Kentucky, 2000 Regular Session and any future Budget of the Commonwealth or amendment to any Budget of the Commonwealth that may become effective prior to the latest permissible maturity date for any Notes.

"Cabinet" means the Finance and Administration Cabinet of the Commonwealth.

"Commission" means the Kentucky Asset/Liability Commission.

"Commonwealth" means the Commonwealth of Kentucky.

"Credit Facility" means Credit Facility as defined in the Indenture.

"Credit Facility Agreement" means Credit Facility Agreement as defined in the Indenture.

"Credit Facility Obligations" means obligations of the Commission under the Credit Facility Agreement, including without limitation, obligations to reimburse the Credit Facility Provider for drawings under the Credit Facility.

"Credit Facility Provider" means Credit Facility Provider as defined in the Indenture.

"Financing Expenses" means all costs associated with the financing of the Projects, as may be determined by the Cabinet, other than amounts payable as interest, Credit Facility Provider fees, Liquidity

Facility Provider fees and Remarketing Agent fees hereunder, including, but not limited to, fees of the Trustee, Registrar and Paying Agents and unamortized Costs of Issuance.

"Financing/Lease Agreement" means this Financing/Lease Agreement, dated as of the date on the cover page hereto, by which interim and, if applicable, permanent financing for the Project is provided to the State Agency by the Commission and the SPBC, respectively.

"Financing Payments" means the payments required to be made by the Cabinet to the Commission pursuant to Sections 5.01, 5.02 and 5.04 of this Financing/Lease Agreement.

"Fiscal Year" means any period of twelve (12) months commencing July 1 of any year and ending June 30 of the ensuing year.

"Indenture" means the Trust Indenture dated as of November 1, 2000, between the Commission and the Trustee.

"KRS" means the Kentucky Revised Statutes of the Commonwealth of Kentucky as the same are in full force and effect at the date of the adoption of the Indenture, and any future amendments thereof to the extent that the same will not unconstitutionally impair the obligations of contracts created under the provisions of the Indenture.

"Liquidity Facility" means Liquidity Facility as defined in the Indenture.

"Liquidity Facility Obligations" means obligations of the Commission under the Liquidity Facility.

"Liquidity Facility Provider" means Liquidity Facility Provider as defined in the Indenture.

"Note Payment Fund" means the fund created by Sections 5.03 and 5.06 of the Indenture.

"Notes" means Notes as defined in the Indenture.

"Project" means the Projects identified in Annex A hereto.

"Project Fund" means the fund created by Sections 5.03 and 5.05 of the Indenture and, upon issuance of the Bonds, means the fund, if any, created by the Bond Resolution for the payment of costs of the Project.

"Proportionate Share" means a factor determined by dividing the principal portion of the State Agency's Debt Servicing Obligation by the principal amount of all Notes (other than any renewal Notes) issued under the Indenture.

"Registrar" means Registrar as defined in the Indenture.

"Related Notes" means Notes issued or to be issued for the purpose of financing the Project.

"Renewal Term" means a period of two (2) years coinciding with the fiscal biennium of the Commonwealth, which commences on July 1 in each even-numbered year and ends on June 30 of the next ensuing even-numbered year unless hereafter modified by statute.

"Rent" means the payments requested to be made by the State Agency to the SPBC pursuant to Sections 5.01, 5.02 and 5.08 of this Financing/Lease Agreement.

"SPBC" means the State Property and Buildings Commission of the Commonwealth of Kentucky.

"State Agency" means the State Agency identified on the cover page hereto.

"Trustee" means Bank One Trust Company, NA, a national banking association organized under and existing by virtue of the laws of the Commonwealth of Kentucky, having proper trust powers, and having its principal office and place of business in the City of Lexington, Kentucky and including any successor trustee designated pursuant to the provisions of the Indenture.

Any terms not defined in this Financing/Lease Agreement but defined in the Indenture, if used herein, shall have the same meanings ascribed to them in the Indenture.

## ARTICLE II

### AGREED RECITATION OF ESTIMATED TOTAL COSTS OF THE PROJECT

Section 2.01. The Commission, the SPBC, the State Agency and the Cabinet agree that the aggregate of all costs of the Project to be funded from the proceeds of the Related Notes and Bonds will not exceed the amounts set forth in the Budget Act, which amounts are more specifically identified in Exhibit A hereto, plus Financing Expenses and Bond issuance costs, provisions for any debt service reserve fund, any capitalized interest, provisions of contingencies and discount in the sale of the Bonds and Related Notes.

## ARTICLE III

### APPLICATION AND REQUEST OF THE STATE AGENCY THAT SPBC AUTHORIZE IN SPBC'S OWN NAME BONDS IN AMOUNT OF THE COSTS OF THE PROJECT AND REQUEST OF SPBC THAT THE COMMISSION ISSUE NOTES

Section 3.01. The State Agency hereby makes application to the SPBC pursuant to KRS 56.450, and requests that the SPBC authorize in SPBC's own name and offer, from time to time, SPBC's Bonds in the principal amount not to exceed the costs of the Project established in Section 2.01 hereof for the purpose of providing the costs of the Project, all as is more specifically provided in the Act and the Bond Resolution, it being understood that the Commission may issue Notes in anticipation of the issuance of the Bonds, in accordance with the Act.

Section 3.02. The SPBC hereby makes application, on behalf and at the direction of the State Agency, to the Commission, and requests that the Commission authorize in the Commission's own name and offer, from time to time, the Commission's Notes in the principal amount not to exceed the costs of the Project established in Section 2.01 hereof for the purpose of providing interim financing for the Project, all as is more specifically provided in the Act and the Indenture.

## ARTICLE IV

### AGREEMENT OF SPBC TO ISSUE ITS BONDS AND COMMISSION TO ISSUE ITS NOTES AND APPLY THE PROCEEDS THEREOF TO THE ACQUISITION OF THE PROJECT

Section 4.01. SPBC hereby agrees that in accordance with its lawful authority and powers and according to the Act and the application and request of the State Agency pursuant to KRS 56.450, it will, responsive to such request, proceed with all reasonable dispatch to authorize in its own name and issue Bonds to finance the Project, at the time and in the amounts necessary, as determined by SPBC, to provide adequate financing for the Project and to retire, at or prior to maturity, Notes, subject to the limitations of the Act and the Budget Act. The SPBC in its sole discretion shall, based on sound financial practices, establish the interest rates, maturities, sale price and other terms and conditions of Bonds from time to time issued pursuant to this Financing/Lease Agreement and shall issue and deliver Bonds and apply the proceeds thereof to financing the acquisition of the Project or retirement of the Related Notes.

Section 4.02. The Commission hereby agrees that in accordance with its lawful authority and powers and according to the Act and the request and authorization of the SPBC, as applicable, the State Agency and the Cabinet pursuant to KRS 56.867, it will, responsive to such request, proceed with all reasonable dispatch to authorize in its own name and issue Notes to provide interim financing for the Project, at the time and in the amounts necessary, as determined by the Commission, to provide adequate interim financing for the Project, subject to the limitations of the Act and the Budget Act. The Commission in its sole discretion shall, based on sound financial practices, establish the interest rates, maturities, sale price and other terms and conditions of Notes from time to time issued pursuant to this Financing/Lease Agreement and shall issue and deliver Notes and apply the proceeds to financing the acquisition of the Project.

## ARTICLE V

### INITIAL TERMS; OPTIONAL RENEWALS; FINANCING PAYMENTS; RENT

Section 5.01. The Commission hereby agrees to provide interim financing for the Project to the State Agency, for an initial term ending June 30, 2002, with the right and privilege by the State Agency to continue this Financing/Lease Agreement and have the Project for succeeding biennium periods in the event this Financing/Lease Agreement is renewed as provided herein. The State Agency shall pay or cause to be paid as Financing Payments during such initial period ending on June 30, 2002, the Debt Servicing Obligation, as hereinafter defined, applicable to the Related Notes, due on each Debt Servicing Date, as hereinafter defined, during the term of the Financing Lease Agreement so long as any Related Notes are outstanding or any amounts are due under a Credit Facility Agreement or Liquidity Facility.

The SPBC hereby agrees to provide permanent financing for the Project to the State Agency, for an initial term commencing on the date of issuance of the Bonds and ending June 30 of the first even numbered year after the issuance of the Bonds, with the right and privilege by the State Agency to continue this Financing/Lease Agreement and have the Project for succeeding biennium periods in the event this Financing/Lease Agreement is renewed as provided herein. The State Agency shall pay or cause to be paid as Rent during such period, the Debt Servicing Obligation, as hereinafter defined, applicable to the Bonds, due on each Debt Servicing Date, as hereinafter defined, applicable to the Bonds, during the term of the Financing/Lease Agreement so long as any Bonds are outstanding.



Section 5.02. For purposes hereof:

"Debt Servicing Date" shall mean, as to Related Notes that are outstanding, (i) the date on which the Commission has estimated that capitalized interest in the Note Payment Fund that is attributable to the State Agency will no longer be sufficient to pay interest on the Related Notes (which date is set forth in Annex A hereto) and August 1 each year thereafter, (ii) any Interest Payment Date on which the amount in the Note Payment Fund that is attributable to the State Agency (as determined by the Commission) is not adequate to pay the amounts set forth in clauses (i) through (vi) of the definition of Debt Servicing Obligation, and (iii) if no capitalized interest is attributable to the Related Notes on the date of their delivery, the date of delivery of the Related Notes; and as to any Bonds of the SPBC outstanding, the interest payment dates for the Bonds established by the Bond Resolution or otherwise agreed to by the SPBC and the State Agency, which dates shall be established to provide for timely payments of principal and interest on the Bonds.

"Debt Servicing Obligation" shall mean, as to Related Notes that are outstanding, the entire amount included in its budget (the "Budgeted Amount") for the payment of debt service with respect to the Project, less any amount in the Note Payment Fund on the date of the payment thereof which is attributable to the State Agency (as determined by the Commission). The State Agency shall approve a Budgeted Amount that is no less than the amount reasonably estimated to be required to be paid in respect of the Related Notes during the period to which the Budgeted Amount relates (the "Budget Period"), including (i) the scheduled maturity of principal of any Related Notes for which Bonds or renewal Notes are not being issued and the principal amount of Related Notes for which Bonds are not being issued, if any, called or to be called for redemption during the applicable Budget Period, and the premium, if any, with respect to such Related Notes, (ii) the interest required or estimated (by the Commission) to be paid on the Related Notes which will be outstanding during the applicable Budget Period, (iii) Credit Facility Obligations applicable to the Related Notes (to the extent not paid under clauses (i) and (ii)), (iv) Liquidity Facility Obligations applicable to the Related Notes, (v) its Proportionate Share of any Financing Expenses and (vi) the reasonable and agreed fees of the Credit Facility Provider, the Liquidity Facility Provider, the Remarketing Agent, the Trustee, the Paying Agent and the Registrar, but only to the extent not otherwise paid directly by the State Agency. In addition to the foregoing, if on any Interest Payment Date, the amount in the Note Payment Fund that is attributable to the State Agency (as determined by the Commission) is not adequate to pay the amounts set forth in clauses (i) through (vi) above, the State Agency shall pay, regardless of the Budget Amount, the amount required to satisfy such deficiency from whatever sources are available for such purpose.

Debt Servicing Obligation shall mean, as to Bonds that are outstanding, the aggregate amounts required to be paid in respect of the Bonds on any Debt Servicing Date, including (a) the scheduled maturity of principal of the Bonds maturing on such Debt Servicing Date and the principal amount of the Bonds, if any, called for redemption on such Debt Servicing Date, and the premium, if any, with respect to such Bonds, (b) the interest required to be paid on the Bonds which were outstanding immediately prior to such Debt Servicing Date, and (c) the reasonable and agreed fees of any credit facility provider, liquidity facility provider, remarketing agent, trustee, paying agent or registrar for the Bonds, but only to the extent not otherwise paid directly by the State Agency.

The State Agency shall be entitled to a credit against the Debt Servicing Obligation otherwise required to be paid on any Debt Servicing Date to the extent there are funds attributable to it, as determined by the Commission, in the Interest Account of the Note Payment Fund or in the Operating Account of the Expense Fund or, if applicable, the Bond Payment Fund (as determined by the SPBC), prior to the payment of the Debt Servicing Obligation hereunder which, under the terms of the Indenture or the Bond Resolution, as applicable, and applicable law, can be used to meet the

Debt Servicing Obligation. It is understood that, (1) pursuant to the Indenture, all income derived from investment of the Project Fund may, at the discretion of the Cabinet and the State Agency, be transferred to the Note Payment Fund and, if so transferred, shall be a credit against Financing Payments due and payable by the State Agency and (2) if provision is made therefore in the Bond Resolution, all income derived from investment of the Project Fund may, at the discretion of the Cabinet and the State Agency, be transferred to the Bond Payment Fund and, if so transferred, shall be a credit against Rent due and payable by the State Agency. Amounts transferred from the Expense Fund, established by the Indenture, to the Note Payment Fund, shall be a further credit against Financing Payments due and payable by the State Agency. Amounts transferred from any cost of issuance fund, established by the Bond Resolution, to the Bond Payment Fund, shall be a further credit against Rent due and payable by the State Agency.

Upon issuance of the Bonds, the final Debt Servicing Obligation for the Related Notes shall be the amount determined by the Commission in accordance with Section 5.04.

Section 5.03. Beginning June 30, 2002, the Commission and the SPBC hereby grant unto the State Agency exclusive option to renew the Financing/Lease Agreement for successive ensuing Renewal Terms, commencing July 1 in each even-numbered year, and ending June 30 of the next ensuing even-numbered year, and the last Renewal Term shall end June 30 of the even numbered year next following the final maturity date of the Bonds, or such other date as may be set forth in a Supplemental Financing Agreement entered into pursuant to the provisions of Section 5.10 hereof or otherwise agreed to by the SPBC and the State Agency; and for each such Renewal Term, if renewed therefor, the State Agency shall become obligated to pay, and hereby agrees that in the event of such renewal it will pay or cause to be paid, to the Commission, as Financing Payments and, if applicable, to the SPBC, as Rent for such biennium, each Debt Servicing Obligation which comes due on each Debt Servicing Date during the Renewal Term as a general obligation of the State Agency for such period, secured by amounts budgeted by the State Agency in its agency fund for such purpose.

Section 5.04. Financing Payments and Rent becoming due during the first term ending June 30, 2002, and for each Renewal Term, if the Financing/Lease Agreement shall be renewed for any such Renewal Term, shall be made or caused to be made by the State Agency to the Commission on each Debt Servicing Date during each such term, in such minimum amounts as will enable the Commission, solely from such source, to pay its Debt Servicing Obligation as of such date. Upon the issuance of the Bonds by the SPBC, the SPBC and the Commission shall advise the State Agency of (i) the trustee appointed for such Bonds and (ii) the Rent payable to such trustee as a result of such issuance of the Bonds. Upon the issuance of the Bonds, the Commission shall also advise the State Agency of the remaining Financing Payments and the State Agency's remaining Proportionate Share of Financing Costs payable to the Trustee for payment of any Related Notes which remain Outstanding.

Section 5.05. Each of the successive options to renew shall in each instance be deemed automatically exercised, and the Financing/Lease Agreement automatically renewed for the succeeding Renewal Term, effective on the first day thereof, unless a written notice of the State Agency's election not to renew, signed by an authorized officer of the State Agency, shall have been delivered to the Commission and the SPBC, as applicable, before the close of business on the last business day in May, immediately preceding the beginning of such succeeding Renewal Term.

Section 5.06. The State Agency covenants and agrees to pay "Additional Payments" for the term of the Financing/Lease Agreement and for any Renewal Term during which Related Notes are outstanding, to the extent such payments are not a part of the Debt Servicing Obligation, as follows:

(i) To the Trustee, when due, a Proportionate Share of all fees of the Trustee for services rendered, all fees and charges of any Paying Agent, Registrar, counsel, accountants, and others incurred in the performance on request of the Trustee of services for which the Trustee and such other persons are entitled to payment or reimbursement which are not paid as Financing Payments;

(ii) To the Credit Facility Provider and Liquidity Facility Provider, when due, a Proportionate Share of all fees, expenses and other charges payable to the Credit Facility Provider under the Credit Facility Agreement and to the Liquidity Facility Provider under the Liquidity Facility which are not paid as Financing Payments;

(iii) To the Remarketing Agent when due, a Proportionate Share of all fees, expenses and other charges payable to the Remarketing Agent under the Remarketing Agreement; and

(iv) To the Commission, upon demand, all reasonable expenses incurred by it in relation to the Project which are not otherwise specifically identified and required to be paid by the Commission under the terms of this Financing/Lease Agreement.

The State Agency covenants and agrees to pay "Additional Rent" for the term of the Financing/Lease Agreement and for any Renewal Term during which Bonds are outstanding, to the extent such payments are not a part of the Debt Servicing Obligation, as follows:

(i) To the trustee for the Bonds, when due, a Proportionate Share of all fees of such trustee for services rendered, all fees and charges of any paying agent, registrar, counsel, accountants, and others incurred in the performance on request of such trustee of services for which such trustee and such other persons are entitled to payment or reimbursement which are not paid as Rent;

(ii) To any credit facility provider and liquidity facility provider for the Bonds, when due, a Proportionate Share of all fees, expenses and other charges payable to such credit facility provider under any credit facility agreement and to such liquidity facility provider under any liquidity facility which are not paid as Rent;

(iii) To any remarketing agent when due, a Proportionate Share of all fees, expenses and other charges payable to such remarketing agent under any remarketing agreement; and

(iv) To the SPBC, upon demand, all reasonable expenses incurred by it in relation to the Project which are not otherwise specifically identified and required to be paid by the SPBC under the terms of this Financing/Lease Agreement.

Section 5.07. It is the intention of the parties that this Financing/Lease Agreement be an absolutely net agreement, and that all of the Financing Payments and Rent available for debt service on the Related Notes and Bonds and this Financing/Lease Agreement shall be construed to effectuate such intent. Except as provided in Section 5.05, so long as any of the Related Notes or Bonds, or any Credit Facility Obligations or Liquidity Facility Obligations are outstanding or remain unpaid, the obligation of the State Agency to pay the amounts provided for in this Article V shall be absolute and unconditional, irrespective of any rights of set-off, recoupment or counterclaim it might otherwise have.

Section 5.08. This Financing/Lease Agreement may be supplemented from time to time whenever Bonds or additional Notes are issued by the SPBC or the Commission, respectively, at the request of the State Agency under the provisions of Section 6.11 of the Indenture or as may be provided in the Bond Resolution.

Amounts payable under the terms of such supplemental agreement shall constitute Financing Payments or Rent, as applicable, under this Financing/Lease Agreement and such Financing Payments shall become Pledged Receipts under the Indenture if such supplemental Financing/Lease Agreement is with respect to additional Notes, or Rent which shall constitute revenues available solely and only for the payment of Bonds and pledged therefor under the Bond Resolution if such supplemental Financing/Lease Agreement is with respect to Bonds.

Section 5.09. In addition to the duties and obligations of the State Agency set forth in this Financing Agreement, the State Agency hereby covenants and agrees to perform such duties and obligations of it as may be set forth in the Indenture or the Bond Resolution.

## **ARTICLE VI**

### **EFFECT OF THE STATE AGENCY'S EXERCISE OF ITS OPTION TO RENEW**

Section 6.01 In the event the successive renewal options are exercised, according to the automatic renewal provisions hereinabove set forth, then upon the first day of the biennial Renewal Term for which such option is exercised, the State Agency shall be firmly bound for the entire amount of the Financing Payments, Additional Payments, Rent and Additional Rent becoming due and payable for such Renewal Term as a general obligation of the State Agency, limited to amounts appropriated for such purpose, payable from any and all funds of the State Agency, including, but not limited to, appropriations, contributions, gifts, matching funds, devises and bequests from any source, whether Federal or State, and whether public or private, so long as the same are not conditioned upon any use of the Project in a manner inconsistent with law; provided, however, nothing herein contained shall be construed as binding the State Agency to pay Financing Payments, Additional Payments, Rent or Additional Rent for more than one biennial Renewal Term at a time.

## **ARTICLE VII**

### **EFFECT OF THE STATE AGENCY'S ELECTION NOT TO RENEW**

Section 7.01. In the event the State Agency shall give written notice to the Commission or the SPBC, as applicable, of the State Agency's election not to renew this Financing/Lease Agreement for any ensuing optional biennial Renewal Term, prior to the automatic renewal hereinabove provided for, the State Agency shall not become obligated to pay Financing Payments, Additional Payments, Rent or Additional Rent beyond the last day of the then current term, and the State Agency shall thereby forfeit all of its future options to renew and shall peacefully surrender to the Commission or the SPBC, as applicable, possession of the Project on or prior to the last day of the then current term; provided, however, an election on the part of the State Agency not to renew for a future term shall not in any manner alter or diminish any obligation of the State Agency hereunder for the then current term; and shall not preclude subsequent reinstatement of the Financing/Lease Agreement for any future renewal term, if agreed to by the Commission or the SPBC, as applicable, upon the same terms and conditions as would have been applicable if the Financing/Lease Agreement had been renewed according to the provisions hereof, except that if such reinstatement is sought when one or more installments of Financing Payments or Rent or any Additional Payments or Additional Rent for such Renewal Term are overdue and unpaid, it shall be a condition of such reinstatement that such overdue Financing Payments or Rent or Additional Payments or Additional Rent be tendered.

## **ARTICLE VIII**

### **STATE AGENCY TO HAVE PEACEFUL POSSESSION SO LONG AS THE FINANCING/LEASE AGREEMENT IS IN FULL FORCE AND EFFECT**

Section 8.01. The Commission and the SPBC covenant that during the initial term of this Financing/Lease Agreement and during each successive optional Renewal Term for which this Financing/Lease Agreement may be renewed, if the State Agency shall continue in good standing according to the provisions hereof, the Commission and the SPBC shall maintain the State Agency in peaceful possession and enjoyment of the Project.

## **ARTICLE IX**

### **STATE AGENCY TO REQUIRE SUFFICIENT AMOUNTS IN ITS BUDGET IN ORDER FOR FINANCING PAYMENTS AND RENT TO BE MADE**

Section 9.01. The State Agency covenants and agrees that on each and every occasion when appropriations bills are prepared for introduction in the various successive Sessions of the General Assembly of the Commonwealth, the State Agency will cause to be included in its budget and seek an appropriation for, sufficient amounts in the aggregate (over and above all other requirements of the State Agency) to enable the State Agency to pay Financing Payments, Additional Payments, Rent and Additional Rent and thereby provide to the Commission moneys sufficient for the payment of the principal and interest on the Related Notes and Bonds as such amounts are due and payable, subject to the SPBC's obligation to issue Bonds or the Commission's obligation to issue renewal Notes upon the maturity of any Related Notes, and to pay any and all Credit Facility Obligations and Liquidity Facility Obligations applicable to the Related Notes or Bonds.

## **ARTICLE X**

### **EVENTS OF DEFAULT AND REMEDIES**

Section 10.01. Each of the following events is hereby defined as and shall constitute an "event of default":

(a) default in the due and punctual payment of any Financing Payments, Rent, Additional Payments or Additional Rent; or

(b) default in the performance of any of the covenants, terms, and conditions of this Financing/Lease Agreement, and failure to remedy such default within thirty (30) days after written receipt thereof if the default relates to matters other than the payment of rent (but the State Agency shall not be deemed to be in default if the State Agency commences to remedy said defaults other than related to payment of Financing Payments, Rent, Additional Payments or Additional Rent within said thirty (30) day period, and proceed to and do remedy said default with due diligence).

If an event of default occurs, the Commission or the SPBC, as applicable, in addition to all other remedies given to the Commission and the SPBC at law or in equity, may by written notice to the State Agency terminate this Financing/Lease Agreement or, without terminating this Financing/Lease Agreement, take possession (actually or constructively) of the Project. In such event, the Commission or the SPBC, as applicable, may lease the Project or portions thereof, to any party it deems appropriate and in the event of

a leasing may apply the rent therefrom first to the payment of the Commission's and the SPBC's expenses incurred by reason of the State Agency's default, and the expense of leasing, including but not limited to any repairs, renovation or alteration of the Project, and then to the payment of Financing Payments, Rent, Additional Payments, Additional Rent and all other sums due from the State Agency hereunder; provided, that prior to any such reletting, the Commission or SPBC, as applicable, shall deliver to the Trustee an opinion of nationally recognized bond counsel to the effect that such subletting or reletting will not cause the interest on the Series A Notes, the Series B Notes, or the Bonds to be includible in gross income of the owners thereof for federal income tax purposes. All remedies available to the Commission and the SPBC are declared to be cumulative and concurrent. No termination of this Financing/Lease Agreement nor any taking or recovering of possession of the Project shall deprive the Commission or the SPBC of any of its remedies or actions against the State Agency.

## **ARTICLE XI**

### **PROVISIONS OF FINANCING/LEASE AGREEMENT ARE FOR BENEFIT OF THE HOLDERS OF THE NOTES AND BONDS AS THIRD PARTY BENEFICIARIES**

Section 11.01. All of the provisions herein contained are made for the benefit of each of the Holders and any Credit Facility Provider and any Liquidity Provider. Each and all of the Holders, such Credit Facility Provider, such Liquidity Provider and the Trustee on behalf of the Holders have the rights of third party beneficiaries to enforce all of the provisions of this Financing Agreement herein contained; subject, however, to the provisions of the Indenture with respect to enforcement of rights.

## **ARTICLE XII**

### **TAX COVENANT**

Section 12.01. To the full extent that it has the legal right to do so, the State Agency agrees to all of the provisions of the Indenture authorizing the Notes and, unless it objects in writing prior to the issuance of the Bonds, to all of the provisions of the Bond Resolution authorizing the Bonds; and that it will neither take any action nor omit to take any action which taking or omission would result, in the exclusion of the receipt of interest on any of the Related Notes or Bonds from the treatment afforded by Section 103(a) of the Code, to the extent the interest on such Related Notes or Bonds is intended to be excludible from gross income for federal income tax purposes, under the terms of the Indenture or Bond Resolution.

Section 12.02. It is agreed that nothing has been done or will be done by either the Commission or the State Agency which will cause the Related Notes or Bonds to be private activity bonds within the meaning of Section 141 of the Code, including performance of any of the covenants contained herein, to the extent the interest on such Related Notes or Bonds is intended to be excludible from gross income for federal income tax purposes, under the terms of the Indenture or Bond Resolution.

## **ARTICLE XIII**

### **TERMINATION OF FINANCING/LEASE AGREEMENT**

Section 13.01. Upon the payment in full of the total principal and interest due or to become due on the Related Notes, including premium, if applicable, at the times and in the manner stipulated therein, in the Indenture, or upon defeasance of the Related Notes in accordance with the terms of the Indenture, and the payment of all Credit Facility Obligations and Liquidity Facility Obligations, this Financing/Lease Agreement and all covenants, agreements and other obligations herein applicable to the Related Notes and

the Commission shall cease, terminate and become void and be discharged and satisfied; provided that, so long as any Bonds of the SPBC are outstanding the covenants, agreements and obligations relating to the SPBC shall continue to be in full force and effect. In such event, the Commission, upon the request of the State Agency, shall execute and deliver all such instruments as may be desirable to evidence such discharge and satisfaction of the State Agency's obligations applicable to the Related Notes.

Upon the payment in full of the total principal and interest due or to become due on the Bonds, including premium, if applicable, at the times and in the manner stipulated therein, in the Bond Resolution, or upon defeasance of the Bonds in accordance with the terms of the Bond Resolution, this Financing/Lease Agreement and all covenants, agreements and other obligations herein applicable to the Bonds and the SPBC shall cease, terminate and become void and be discharged and satisfied. In such event, the SPBC, upon the request of the State Agency, shall execute and deliver all such instruments as may be desirable to evidence such discharge and satisfaction.

#### **ARTICLE XIV**

##### **SECURITY AND ASSIGNMENT**

Section 14.01. This Financing/Lease Agreement secures (i) the payment of Financing Payments, Rent, Additional Payments and Additional Rent hereunder, (ii) the payment of the Notes in the aggregate principal amount which may from time to time be outstanding under the Indenture set forth, with interest thereon at the rates per annum borne by the Related Notes, (iii) the payment of the Bonds in the aggregate principal amount which may from time to time be outstanding under the Bond Resolution, with interest thereon at the rates per annum borne by the Bonds, (iv) the payment of the Credit Facility Obligations, and (v) the payment of the Liquidity Facility Obligations.

This Financing/Lease Agreement is given subject to all of the terms, conditions and provisions of the Indenture and the Bond Resolution. In the event that any conflict should exist or appear to exist between the provisions of this Financing/Lease Agreement and the Indenture, the provisions of the Indenture shall prevail. In the event that any conflict should exist or appear to exist between the provisions of this Financing/Lease Agreement and the Bond Resolution, the provisions of the Bond Resolution shall prevail.

#### **ARTICLE XV**

##### **PROVISIONS OF FINANCING/LEASE AGREEMENT ARE SEVERABLE**

Section 15.01. If any section, paragraph, clause or provision of this Financing/Lease Agreement shall be held invalid, the invalidity of such section, paragraph, clause or provision shall not affect any of the remaining provisions of this Financing/Lease Agreement.

#### **ARTICLE XVI**

##### **INDEX AND HEADINGS PRECEDING SECTIONS ARE NOT PART OF THE FINANCING/LEASE AGREEMENT**

Section 16.01. The Index and the headings preceding the text of the several sections hereof shall be solely for convenience of reference and shall not constitute a part of this Financing/Lease Agreement, nor shall they affect its meaning, construction or effect.

IN WITNESS WHEREOF, the parties hereto have executed this Financing/Lease Agreement by their officers thereunto duly authorized, as of the date and year first above written.

**KENTUCKY ASSET/LIABILITY  
COMMISSION**

**ATTEST:**

By \_\_\_\_\_  
Chairman

\_\_\_\_\_  
Secretary

**FINANCE AND ADMINISTRATION  
CABINET OF THE COMMONWEALTH OF  
KENTUCKY**

By \_\_\_\_\_  
Secretary

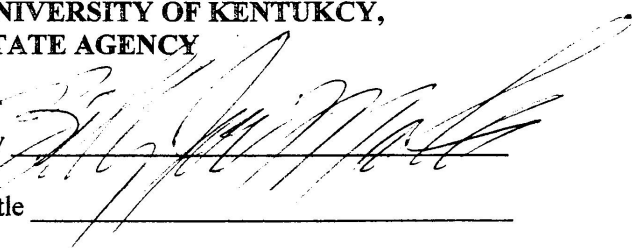
**STATE PROPERTY AND BUILDINGS  
COMMISSION OF THE COMMONWEALTH OF  
KENTUCKY**

By \_\_\_\_\_  
Chairman

**ATTEST:**

\_\_\_\_\_  
Secretary

**UNIVERSITY OF KENTUCKY,  
STATE AGENCY**

By  \_\_\_\_\_  
Title \_\_\_\_\_

Approved as to form and legality:

By: \_\_\_\_\_  
Karen Powell, Office of Legal and Legislative Services,  
Legal Counsel to the Kentucky Asset/Liability Commission



**APPROVAL AND CONSENT OF FINANCE AND ADMINISTRATION CABINET**

The Secretary of the Finance and Administration Cabinet (the "Cabinet") of the Commonwealth of Kentucky hereby acknowledges his approval and consent and the approval and consent of the Cabinet to the terms and provisions of this Financing/Lease Agreement and to evidence acceptance of the obligations and covenants of the Cabinet contained in such Financing/Lease Agreement and on behalf of the Cabinet confirms that the provisions of this Financing/Lease Agreement are consistent with the public interest. This approval and consent is applicable only insofar as the same are within the jurisdiction, functions and powers of the Cabinet or within the jurisdiction, functions and powers of the Secretary of the Cabinet.

DATED: \_\_\_\_\_

**FINANCE AND ADMINISTRATION  
CABINET OF THE COMMONWEALTH  
OF KENTUCKY**

By \_\_\_\_\_  
Secretary

**ANNEX A**  
**THE PROJECT**

<u>Project</u>	<u>Amount</u>	<u>First Debt Servicing Date</u>
Center for Rural Health	\$6,100,000	
Crisp Building Replacement <sup>(1)</sup>	\$2,200,000	Date of Delivery
Aging/Allied Health Building, Phase II <sup>(2)</sup>	\$8,222,000	Date of Delivery
Biomedical Sciences Research Building	\$26,000,000	

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<sup>(1)</sup>Includes refunding of \$1,400,000 of amounts due under a Financing Agreement dated as of March 9, 2000

<sup>(2)</sup>Includes refunding of \$5,440,000 of amounts due under a Financing Agreement dated as of March 9, 2000

## ANNEX B

### FORM OF RESOLUTION

RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY ("STATE AGENCY") APPROVING A FINANCING/LEASE AGREEMENT AMONG THE STATE AGENCY, THE KENTUCKY ASSET/LIABILITY COMMISSION, THE COMMONWEALTH OF KENTUCKY STATE PROPERTY AND BUILDINGS COMMISSION AND THE FINANCE AND ADMINISTRATION CABINET OF THE COMMONWEALTH OF KENTUCKY FOR THE PURPOSE OF PROVIDING INTERIM FINANCING FOR AN AUTHORIZED PROJECT IN ANTICIPATION OF THE ISSUANCE OF BONDS TO PROVIDE PERMANENT FINANCING FOR SAID PROJECT.

WHEREAS, pursuant to Section 56.860 et seq. of the Kentucky Revised Statutes (the "Act"), the Kentucky Asset/Liability Commission (the "Commission") was created and exists as an independent agency and constituted authority of the Commonwealth of Kentucky (the "Commonwealth") and is authorized to issue Project Notes, as defined in the Act, at the request of the Cabinet, as defined in the Act, to provide interim financing for Authorized Projects, as defined in the Act, in anticipation of the issuance of bonds; and

WHEREAS, the General Assembly pursuant to H.B. 321 of the General Assembly of the Commonwealth of Kentucky, 1998 Regular Session, H.B. 502 of the General Assembly of the Commonwealth of Kentucky, 2000 Regular Session (collectively the "Budget Act") authorized certain expenditures in certain amounts for the hereinafter described Project to be financed with bonds, which Project constitutes an Authorized Project, as defined in the Act; and

WHEREAS, the Finance and Administration Cabinet (the "Cabinet") has applied to the State Property and Buildings Commission ("SPBC") for the issuance of bonds (the "Bonds") on behalf of the Cabinet and various other State Agencies to provide permanent financing for the Projects and has requested, in accordance with the Act, that the Commission issue and sell Project Notes to provide funds for the Projects in anticipation of the issuance of the Bonds; and

WHEREAS, the SPBC has determined, based on such request and the authorizations set forth in the Budget Act, to issue its revenue bonds for the Projects, and in anticipation thereof and based upon the request of the Cabinet and the SPBC, the Commission has determined to provide interim financing for the Projects through the issuance of its Project Notes, 2000 Agency Fund Series (the "Notes");

WHEREAS, the State Agency wishes to authorize the Financing/Lease Agreement (the "Financing Agreement") among the State Agency, the Commission, the SPBC and the Cabinet to provide interim financing for the Project as evidence of its commitment to issue the Bonds, which Bonds constitute the Bonds described in the Financing Agreement.

NOW THEREFORE, it is hereby resolved and ordered by the Board of Trustees of the University of Kentucky as follows:

Section 1. Determinations. The State Agency hereby finds and determines that (a) it hereby ratifies and affirms its request that the Commission issue the Notes in anticipation of the issuance of the Bonds, for the purpose of paying the costs of the Project; (b) it is necessary to execute and deliver the Financing

Agreement in order to provide for a source of payment for the Notes and Bonds; and (c) approval of the Project described in the Financing Agreement is hereby ratified and confirmed.

Section 2. The Financing Agreement and Other Documents. As evidence of its obligations in this Resolution, the Chairman is hereby authorized to execute, acknowledge and deliver, on behalf of the State Agency, the Financing Agreement, in substantially the form submitted to the State Agency, which is hereby approved, with such changes therein not inconsistent with this Resolution and not substantially adverse to the State Agency as may be permitted by the Act and approved by such officer. The approval of such changes by such officer, and that such changes are not substantially adverse to the State Agency, shall be conclusively evidenced by the execution of the Financing Agreement by such officer. The Chairman and Secretary are hereby separately authorized to take any and all actions and to execute such other instruments that may be necessary or appropriate in the opinion of Peck, Shaffer & Williams LLP, as bond counsel for the Notes, in order to effect the issuance of the Notes and the intent of this Resolution.

Section 3. Effective Date. This resolution shall take effect immediately upon its adoption.

ADOPTED AND APPROVED this 12th day of December, 2000.

UNIVERSITY OF KENTUCKY, State Agency

By: \_\_\_\_\_

Title: \_\_\_\_\_

**CERTIFICATE**

It is certified that the foregoing is a true and correct copy of a resolution duly adopted by the Board of Trustees of University of Kentucky ("State Agency") on the 25th day of January, 2000, and such resolution has not been altered, amended or repealed.

IN WITNESS WHEREOF, the undersigned has executed this Certificate this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

  
Secretary

## ANNEX C

### GENERAL CLOSING CERTIFICATE OF STATE AGENCY

Re: Kentucky Asset/Liability Commission Project Notes, 2000 Agency Fund Series,  
Financing/Lease Agreement

The undersigned, Chairman of the Board of Trustees of the University of Kentucky (the "State Agency"), hereby certifies, as of the date hereof, that (the capitalized terms used herein having the meanings given them in the Financing/Lease Agreement (the "Financing Agreement") among the State Agency, the Kentucky Asset/Liability Commission (the "Commission"), the Finance and Administration Cabinet of the Commonwealth of Kentucky (the "Cabinet") and the Commonwealth of Kentucky State Property and Buildings Commission ("SPBC")):

(a) The State Agency is duly organized and existing as an independent agency and constituted authority of the Commonwealth of Kentucky with full legal right, power and authority to enter into the Financing Agreement and consummate the transactions contemplated by the Financing Agreement;

(b) The execution and delivery of the Financing Agreement and compliance with the provisions thereof, under the circumstances contemplated thereby, will not in any material respect conflict with or constitute on the part of the State Agency a breach of or default under any other agreement or instrument to which the State Agency is a party or any existing law, administrative regulation, court order or consent decree to which the State Agency is subject;

(c) The State Agency has in all respects complied with the requirements of the Financing Agreement and the Act and will comply with all other laws and the Constitution of the Commonwealth;

(d) All approvals, consents and orders of any governmental authority, board, commission or agency having jurisdiction which would constitute a condition precedent to the performance by the State Agency of its obligations under the Financing Agreement have been obtained;

(e) The Financing Agreement, assuming due authorization, execution and delivery by the other parties thereto, constitutes a valid and binding obligation of the State Agency enforceable in accordance with its terms, subject to any applicable bankruptcy, insolvency or other laws affecting creditors' rights or remedies heretofore or hereafter enacted;

(f) The State Agency has duly (i) adopted the resolution approving the Financing Agreement (the "Resolution"), and such Resolution is in full force and effect, (ii) authorized and approved the execution and delivery of, and the performance by the State Agency of the obligations contained in the Financing Agreement;

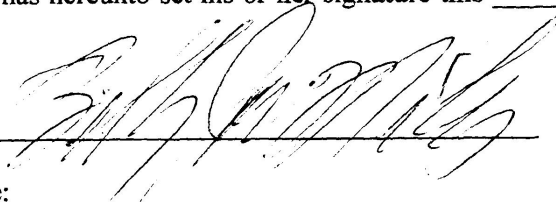
(g) The State Agency is not in breach of or default under any applicable law or administrative regulation of the Commonwealth, any department, division, agency or instrumentality thereof, or the United States, or any applicable judgment or decreed or any loan agreement, note, resolution, certificate, agreement or other instrument to which the State Agency is a party or is otherwise subject;

(h) There is no action, suit, proceeding, inquiry or investigation, at law or in equity, or before or by any court, public board or body, pending or, to the knowledge of the State Agency threatened against or in any way affecting the existence of the State Agency or the title of any of the officers or members of the State Agency to their office, or seeking to restrain or to enjoin the entering into of the Financing Agreement, or the application of the proceeds of the Financing Agreement as provided therein, or the collection of the revenues received by the State Agency, or in any way contesting or affecting the validity or enforceability of the Financing Agreement, or contesting the existence or powers of the State Agency or its authority with respect to the Financing Agreement or the Resolution nor to the best knowledge of the State Agency is there any basis therefor.

(i) The representations, warranties, covenants and agreements of the State Agency set forth in the Financing Agreement are true and correct in all material respects as of the date hereof.

(j) No event has occurred and is continuing which constitutes an event of default or would constitute an event of default but for the requirement that notice be given or time elapse or both under the Financing Agreement.

IN WITNESS WHEREOF, the undersigned has hereunto set his or her signature this \_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

By:   
Title: \_\_\_\_\_

ANNEX D

OPINION OF COUNSEL TO STATE AGENCY

[Date of Delivery of Financing Agreement]

Commonwealth of Kentucky  
State Property and Buildings Commission  
Frankfort, Kentucky

Commonwealth of Kentucky  
Finance and Administration Cabinet  
Frankfort, Kentucky

Kentucky Asset/Liability Commission  
Frankfort, Kentucky

Peck, Shaffer & Williams LLP  
Covington, Kentucky

Gentlemen:

I am general counsel for the University of Kentucky (the "State Agency"), and in such capacity, am familiar with its organization, statutory authority, regulations (if any), agreements and resolutions, all as in effect on the date hereof.

I have examined an executed copy of the Financing/Lease Agreement (the "Financing Agreement") among the State Agency, the Kentucky Asset/Liability Commission (the "Commission"), the Finance and Administration Cabinet of the Commonwealth of Kentucky (the "Cabinet") and the Commonwealth of Kentucky State Property and Buildings Commission ("SPBC") relating to the issuance of Kentucky Asset/Liability Commission Project Notes, 2000 Agency Fund Series (the "Notes") under the terms of the Indenture. I have also examined the original photostatic or certified copies of such records of the State Agency and other documents and materials, and have made such inquiries, as I have deemed relevant and necessary.

Based upon the foregoing, I am of the opinion that:

(1) The State Agency is an agency of the Commonwealth, duly organized and validly existing under the laws of the Commonwealth and constitutes a "State Agency" within the meaning of Sections 56.440, et seq. and 56.860, et seq. of the Kentucky Revised Statutes.

(2) The Financing Agreement has been duly authorized, executed and delivered by the State Agency and creates a valid, binding and enforceable agreement of the State Agency and the Financing Agreement creates a valid, binding and enforceable obligation of the State Agency to pay to the Commission the payments as are provided for therein, subject only to biennial appropriations by the General Assembly of the Commonwealth.



(3) The execution, delivery and performance of the Financing Agreement by the State Agency is not subject to any authorization, consent, approval or review of any governmental body or regulatory authority not heretofore obtained or effected as required.

(4) To the best of my knowledge, after due inquiry, there is no litigation pending or threatened against the State Agency, which would affect the creation, organization or existence of the State Agency or the title of its present members or officers to their respective offices, or contesting or affecting the validity or enforceability of the Financing Agreement.

(5) The execution and delivery of the Financing Agreement and the performance by the State Agency of its obligations thereunder, does not and will not conflict with, violate or constitute a default under any court or administrative order, decree or ruling, or any law, statute, ordinance or regulation, or any agreement, indenture, mortgage, lease, note or other obligation or instrument binding upon the State Agency, or any resolutions heretofore adopted by the State Agency.

This opinion may be relied upon by the Commission and by Bond Counsel in connection with the authorization and the issuance of the Notes by the Commission.

Respectfully submitted,

::ODMA\PCDOCS\COVLIB\18194\1

# FCR 27

Office of the President  
December 12, 2000

Members, Board of Trustees:

RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY ACCEPTING THE SUCCESSFUL BID FOR THE PURCHASE OF \$10,450,000 PRINCIPAL AMOUNT OF UNIVERSITY OF KENTUCKY HOUSING AND DINING SYSTEM REVENUE BONDS, SERIES R, DATED DECEMBER 1, 2000.

Recommendation: that the Board of Trustees approve a Resolution accepting the successful bid of PaineWebber, Inc. with reference to the sale of the \$10,450,000 of University of Kentucky Housing and Dining System Revenue Bonds, Series R, dated December 1, 2000, at a net interest cost of 5.0974%.

Background: On October 24, 2000, the Board of Trustees approved a Resolution authorizing the issuance of \$10,450,000 of University of Kentucky Housing and Dining System Revenue Bonds, Series R, dated December 1, 2000. Said Resolution also authorized the public advertising for sealed competitive bids to be received for said Series R Bonds. Bids were received by the Controller and Treasurer and tabulated by J.J.B. Hilliard, W.L. Lyons, Inc., the Financial Advisors for the Series R Bonds. The recommended bid is the lowest net interest cost to the University.

Bids were received and tabulated as follows:

<u>BIDDERS</u>	<u>NET INTEREST COST</u>
PaineWebber, Inc.	5.0974%
Banc of America Securities	5.1015%
ABN AMRO Financial Serv., Inc.	5.1208%
Howe Barnes Investments	5.1569%
Edward D. Jones	5.1625%
Salomon Smith Barney	5.1659%
J.J.B. Hilliard, W.L. Lyons, Inc.	5.1662%
William R. Hough	5.1746%
Morgan Keegan & Co., Inc.	5.1950%
Robert W. Baird & Co.	5.2000%

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Action Taken:  Approved     Disapproved     Other \_\_\_\_\_

## RESOLUTION

RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY ACCEPTING THE SUCCESSFUL BID FOR THE PURCHASE OF \$10,450,000 PRINCIPAL AMOUNT OF UNIVERSITY OF KENTUCKY HOUSING AND DINING SYSTEM REVENUE BONDS, SERIES R DATED DECEMBER 1, 2000.

WHEREAS, pursuant to a resolution (the "Bond Resolution") of the Board of Trustees (the "Board") adopted on October 24, 2000, the Board authorized the advertisement for sale of the University of Kentucky Housing and Dining System Revenue Bonds, Series R, dated December 1, 2000 (the "Series R Bonds"), and the receipt of bids for the Series R Bonds at such time and date designated by the Treasurer of the University, which time and date have been designated as 10:00 A.M., E.S.T., on December 12, 2000, and the acceptance of the lowest and best bid received upon the advice of J.J.B. Hilliard, W.L. Lyons, Inc., the Financial Advisor to the Board; and

WHEREAS, notice of the sale of \$10,450,000 principal amount of University of Kentucky Housing and Dining System Revenue Bonds, Series R, has heretofore been advertised in strict compliance with all legal requirements; and

WHEREAS, all bids received for the purchase of said Series R Bonds from the Board have been duly considered and are as follows:

BIDDERS	INTEREST RATES	AMOUNTS BID FOR SAID \$10,450,000 PRINCIPAL AMOUNT OF BONDS, PLUS ACCRUED INTEREST FROM DECEMBER 1, 2000	NET INTEREST COST
PaineWebber, Inc	4.50-5.00%	\$10,241,050.85	5.0974%
Banc of America Securities	4.50-5.125%	\$10,251,757.85	5.1015%
ABN AMRO Financial Serv., Inc.	4.50-5.00%	\$10,241,993.95	5.1208%
Howe Barnes Investments	4.40-5.10%	\$10,241,000.00	5.1569%
Edward D. Jones	4.15-5.20%	\$10,259,250.00	5.1625%
Salomon Smith Barney	4.50-5.125%	\$10,241,000.00	5.1659%
J.J.B. Hilliard, W.L. Lyons, Inc.	4.40-5.10%	\$10,241,363.55	5.1662%
William R. Hough	4.40-5.10%	\$10,241,000.00	5.1746%
Morgan Keegan & Co., Inc.	4.40-5.125%	\$10,271,210.50	5.1950%
Robert W. Baird & Co.	4.35-5.10%	\$10,241,000.00	5.2000%

WHEREAS, the matter of which bid is the most advantageous and with the most favorable net interest cost to the Board has been sufficiently considered;

WHEREAS, upon advice of the Financial Advisor, the Board has determined to issue the Series R Bonds in book-entry only form and has further determined to amend the Bond Resolution for such purpose.

NOW, THEREFORE, THE FINANCE COMMITTEE OF THE BOARD OF TRUSTEES OF UNIVERSITY OF KENTUCKY DOES HEREBY RESOLVE, AS FOLLOWS:

1. Determination of Best Bid; Acceptance. That the bid of PaineWebber, Inc., for the purchase of said \$10,450,000 principal amount of University of Kentucky Housing and Dining System Revenue Bonds, Series R, dated December 1, 2000, be accepted and confirmed, said bid being at a price

of \$10,241,050.85 for said \$10,450,000 principal amount of Series R Bonds, plus accrued interest from December 1, 2000, to the date of delivery of the Series R Bonds, such Series R Bonds being payable as follows:

<u>Maturity</u> <u>June 1</u>	<u>Principal</u> <u>Amount</u>	<u>Rate</u>	<u>Maturity</u> <u>June 1</u>	<u>Principal</u> <u>Amount</u>	<u>Rate</u>
2001	\$5,000	4.50%	2011	\$405,000	4.75%
2002	5,000	4.50%	2012	420,000	4.75%
2003	5,000	4.50%	2013	440,000	5.00%
2004	5,000	4.50%	2014	460,000	5.00%
2005	5,000	4.50%	2015	490,000	5.00%
2006	325,000	4.75%	2016	510,000	5.00%
2007	335,000	4.75%	2017	535,000	5.00%
2008	350,000	4.75%	2018	1,515,000	5.00%
2009	370,000	4.75%	2019	1,785,000	5.00%
2010	385,000	4.75%	2020	2,100,000	5.00%

and being a bid at an average net interest cost to the University of 5.0974% per annum; and said bid is hereby determined to be the best bid with the most favorable net interest cost to the University for said Series R Bonds; and the principal maturities and interest rates on said Series R Bonds are hereby fixed at the principal maturities and interest rates set out above.

2. All Other Bids Rejected. That all other bids are hereby rejected, and the good faith deposit of the successful purchaser will be deposited in immediately available funds prior to the close of business on December 13, 2000, and the amount thereof will be credited (without interest) against the purchase price of the Bonds on the date of delivery to such purchaser.

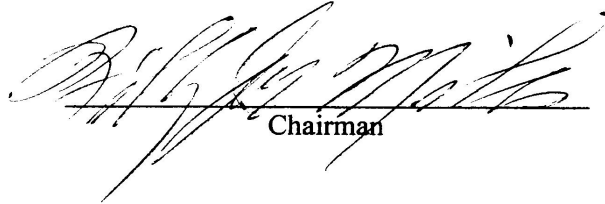
3. Delivery of Bonds; Application of Proceeds. That said Series R Bonds shall be delivered by the Chairman of the Board of Trustees and/or other proper officers of the Board to said purchaser as soon as the Series R Bonds are printed, authenticated and ready for delivery, and all of the proceeds of the Series R Bonds shall be used only as provided in the Resolution pursuant to which the Series R Bonds will be issued.

4. Acceptance of Bid is Subject to Approving Legal Opinion of Bond Counsel. That said bid is accepted subject to the condition that such acceptance subjects the Board to no obligation to deliver the Series R Bonds if for any reason the Board is unable to obtain the final approving legal opinion of the firm of Peck, Shaffer & Williams LLP, Covington, Kentucky, as to said Series R Bonds, or if said Series R Bonds shall be subject to ad valorem taxation by the Commonwealth of Kentucky, or if the receipt of interest on said Series R Bonds shall be subject to income taxation by the federal government or by the Commonwealth of Kentucky, prior to or on the delivery date of said Series R

Bonds, and the purchaser shall not be required to take delivery of said Series R Bonds without the final approving opinion of said attorneys accompanying said Series R Bonds, or if said Series R Bonds or the interest thereon shall become subject to such ad valorem or income taxation prior to such delivery date.


Adopted on December 12, 2000.

  
Secretary

  
Chairman

CERTIFICATE

The undersigned, Secretary of the Board of Trustees of the University of Kentucky, Lexington, Kentucky, hereby certifies that the foregoing is a full, true and correct copy of a Resolution adopted by the Board of Trustees of said University of Kentucky at a meeting duly held on December 12, 2000, and that said Resolution has been duly recorded in the Minute Book of said Board.

  
Secretary, Board of Trustees  
University of Kentucky