

Minutes of the Board of Trustees of the University of Kentucky, Tuesday, May 3, 2011.

The Board of Trustees of the University of Kentucky met at 8:00 a.m. (Lexington time) on Tuesday, May 3, 2011 in the Board Room on the 18th Floor of Patterson Office Tower.

A. Meeting Opened

Dr. E. Britt Brockman, chair of the Board of Trustees, called the meeting to order at 8:04 a.m. and asked Ms. Pam May, secretary of the Board, to call the roll.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: E. Britt Brockman (chair), Sheila Brothers, Penelope Brown, William S. Farish, Jr., Carol Martin “Bill” Gattton, Oliver Keith Gannon, Pamela T. May, Everett McCorvey, Billy Joe Miles, Terry Mobley, Sandy Bugie Patterson, Joe Peek, Erwin Roberts, Charles R. Sachatello, Frank Shoop, Ryan Smith, James W. Stuckert, and Barbara Young. Absent from the meeting were Jo Hern Curris and Dermontti Dawson. Ms. May announced that a quorum was present.

The university administration was represented by Executive Vice President for Finance and Administration Frank Butler, Executive Vice President for Health Affairs Michael Karpf, and Associate Senior General Counsel T. Lynn Williamson.

The university faculty was represented by Chair of the University Senate Council Hollie Swanson, and the university staff was represented by Chair of the Staff Senate Jann Burks.

Members of the various news media were also in attendance.

C. Minutes

Dr. Brockman said the minutes of the special called meetings on April 30 and May 1, 2011 were consent items and had been distributed to the Board. He asked if there were any corrections or comments. Mr. Stuckert moved that the minutes be approved. Dr. Sachatello seconded the motion, and it carried without dissent. (See consent items listed below at the end of these Minutes.)

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D. Closed Session

Dr. Brockman stated that the Board of Trustees would go into closed session in order to review the comments and preliminary recommendations about the preferred presidential candidate. These comments and recommendations were received from the faculty, staff, and students as a result of the campuswide forums which occurred May 2, 2011. The Board must go

into closed session because open discussions about these comments would violate the preferred presidential candidate's personal privacy rights.

The following guidelines will be used for the closed session:

- Only those persons necessary to provide information to the Board may be present with the Board and no others.
- Nothing else may be discussed in the closed session but the information relating to the candidates.
- When the Board discussions have been completed, no vote may be taken.

Dr. Brockman moved that the Board go into closed session pursuant to KRS 61.810 (1) (f). This statutory citation is the exception in the open meetings law that permits the Board to go into closed session to discuss potential candidates which may lead to the selection of a preferred candidate for the appointment as president.

Dr. Sachatello seconded the motion, and it passed without dissent. The Board went into closed session at 8:07 a.m.

E. Open Session

At 8:55 a.m. Dr. Brockman stated that the closed session of the Board had concluded. No matters other than the announced matters were discussed, and no final action was taken. The Board returned to its open session and proceeded with its regular business. Dr. Brockman then announced that the Board would recess until 1:00 p.m.

F. Meeting Reconvened

Dr. Brockman called the meeting to order and asked Ms. May to call the roll for the 1:00 p.m. continuation of the meeting. The following members of the Board of Trustees answered the call of the roll: E. Britt Brockman (chair), Sheila Brothers, Penelope A. Brown, Dermontti F. Dawson, William S. Farish, Jr., Carol Martin "Bill" Gatton, Oliver Keith Gannon, Pamela T. May, Everett McCorvey, Billy Joe Miles, Terry Mobley, Sandy Bugie Patterson, Joe Peek, Erwin Roberts, Charles R. Sachatello, C. Frank Shoop, Ryan M. Smith, James W. Stuckert, and Barbara Young. Jo Hern Curris was absent. Ms. May announced that a quorum was present.

The university administration was represented by President Lee T. Todd, Jr., Provost Kumble Subbaswamy, Executive Vice President for Finance and Administration Frank Butler, Executive Vice President for Health Affairs Michael Karpf, and Associate Senior General Counsel T. Lynn Williamson.

The university faculty was represented by Chair of the University Senate Council Hollie Swanson, and the university staff was represented by Chair of the Staff Senate Jann Burks.

Members of the various news media were also in attendance.

G. Consent Items

Dr. Brockman called attention to the five consent items. They included the minutes for the regular meeting of the Board on March 29, 2011; PR 2, which deals with personnel actions; FCR 1, a pledge from Warren W. Rosenthal; FCR 2, a Kentucky Medical Services Foundation gift of property; and FCR 3, an amendment to the Liz Claiborne Foundation Endowment. Mr. Mobley moved approval, and the motion was seconded by Mr. Stuckert. The motion carried without dissent. (See consent items listed below at the end of these Minutes.)

Minutes — March 29, 2011
PR 2 Personnel Actions
FCR 1 Warren W. Rosenthal Pledge
FCR 2 Kentucky Medical Services Foundation Gift of Property
FCR 3 Amendment to the Liz Claiborne Foundation Endowment

H. Petitions to Address the Board

Dr. Brockman stated that three petitions to address the board were received under the new procedures in Governing Regulations Part II that were approved at the March 29 meeting. One was determined not to be relevant: the subject matter of the request was the proposed loan from the university to the Department of Athletics for the Commonwealth Stadium scoreboard described in FCR 8 which was amended prior to the meeting.

Two were determined to be relevant. Those two petitioners were heard at the Finance Committee meeting. The subject matter of the requests was the proposed tuition increase for the College of Law for 2011-2012.

Dr. Brockman then asked for the President's Report.

I. President's Report (PR 1)

Lexmark Gift Allows Renovation of Former Northside Library

President Todd began with the first item in PR 1 and recognized Provost Subbaswamy for working with Lexmark in receiving a \$1 million donation to renovate the Northside Library on Russell Cave Road that UK purchased earlier. The gift will be matched by UK. The renovated facility, set for completion by August 2012, will provide a new lab for fieldwork-based ecology education, a professional development facility for Kentucky science and math teachers, and storage and work space for UK's P-12 science and math outreach unit. This gift is given as part of Lexmark's 20-year anniversary, and the president thanked Lexmark CEO Paul Rooke for this significant contribution on behalf of Lexmark to the community.

Arts & Sciences South Africa Initiative Hosts Anti-apartheid Activists

President Todd recognized the College of Arts and Sciences South Africa Initiative which culminated with a visit from well-known South African anti-apartheid leaders and former

political prisoners Ahmed Kathrada and Barbara Hogan during April. They visited classes, spoke at a convocation where they received honorary degrees, opened an exhibit that honors Kathrada's commitment to non-racialism and democracy, and signed his new book published by University Press of Kentucky, *No Bread for Mandela: Memoirs of Ahmed Kathrada, Prisoner No. 468/64*. The book covers his anti-apartheid activity and resulting imprisonment with Nelson Mandela and other activists.

UK Celebrates Impact of Research Challenge Trust Fund

The UK Council of Endowed Professors and Chairs held a luncheon and presentation March 30 at the Hilton Lexington/Downtown to recognize the significance of the Research Challenge Trust Fund, also known as "Bucks for Brains." The first round of matching funds from the state for the program was made available in 1998. Since that time, more than 12,000 donors have given gifts, qualifying for approximately \$230 million in matching funds. RCTF funds at UK resulted in 78 endowed chairs, 190 professorships, 280 fellowships, scholarships, lectures, enrichment funds, research support, and an endowment for the William T. Young Library. UK's matching funds include 33,012 gifts from 12,731 donors, including 6,095 alumni, 5,518 non-alumni, 826 corporations, and 120 foundations.

President Todd asked the Board to look at the following three items in PR 1 which relate to the STEM area, and announced that Kentucky is doing very well in the math sciences.

CASTLE to Relocate to UK College of Education

The Center for the Advanced Study of Technology Leadership in Education (CASTLE) will relocate to the University of Kentucky College of Education. The center, which was originally housed at Iowa State University, will work with the Kentucky P20 Innovation Lab to partner with regional educational organizations and schools to facilitate the implementation of technologies that help improve teaching and student learning.

Six Colleges Host Annual STEM Symposium

The annual UK STEM Symposium took place in late April. The event focused on "Connecting STEM Education across the Bluegrass State" and was co-sponsored by the colleges of Education, Engineering, Arts and Sciences, Agriculture, Communications, and Medicine.

CAER Holds MATHCOUNTS Night

The organizers of MATHCOUNTS (a national club and competition program that promotes mathematics achievement) approached the Center for Applied Energy Research (CAER) to organize a fun event for middle school students the night before their state final competition in Lexington on March 26. The MATHCOUNTS regional winners built ping-pong launchers, raced edible cars, and created miniature dams at CAER.

Tax Increment Financing to Accelerate Development at Coldstream Research Campus

President Todd thanked Dr. Len Heller, Vice President for Commercialization and Economic Development, and Steve Byars, Assistant Vice President for University Relations, and others for their part in working with the Kentucky Legislature to approve a new law which permits the establishment of a tax increment financing (TIF) district on the Coldstream Research Campus.

As a result, UK will be able partially to finance much-needed laboratory and incubator space. UK will solicit a master developer to build a mixed-use “town center” and use the taxes generated to fund new research buildings, as well as to be a catalyst for retaining and recruiting biotech companies to Coldstream. A request for proposal (RFP) will be released at the end of May to select a qualified master developer to design and build the town center and laboratory buildings, and to restore the historic Carnahan House in a 35-acre TIF district.

Atlanta-Based Allconnect Sales and Service Center Comes to Coldstream

The Atlanta-based company Allconnect Inc. will create 220 new jobs and invest nearly \$7 million to establish a 32,000 square foot sales and customer care center at the Coldstream Research Campus. Allconnect is expected to open in May and will occupy the entire fourth floor of the Lexhold building at 1648 McGrathiana Parkway. Allconnect allows consumers to order or transfer home services, such as satellite and cable TV, Internet, phone, and home security. Allconnect is a client of the Bluegrass Business Development Partnership between the UK Office for Commercialization and Economic Development, Commerce Lexington, and city government.

In addition, President Todd praised UK ‘s Len Heller, Provost Subbaswamy, and faculty members for working to retain another growing business – TempurPedic, which will build a 100,000 square foot building at Coldstream.

UK Economic Development Efforts Help State Tie for Fifth in Entrepreneurship Increase

President Todd mentioned that there is proof that UK is an important player in working to keep sustainable jobs in the area. Kentucky tied with California and Texas as one of the top 10 states with the largest increase in entrepreneurial activity over the past decade, according to the Kaufman Index of Entrepreneurial Activity, a leading indicator of new business creation in the United States. The creation of the university’s Office for Commercialization and Economic Development in late 2006 is credited with having played a vital role in such activity.

President Todd suggested that despite the brevity of his remarks about PR 1, the other items in PR 1 merit examination.

J. Reappointment to Board of Directors of the Fund for Advancement of Education and Research in the University of Kentucky Medical Center (PR 3)

President Todd recommended that the Board approve the reappointment of Anita Britton to a directorship in The Fund for two years ending June 30, 2013.

Dr. Brockman asked for a motion of approval of PR 3. Mr. Stuckert moved approval. Ms. Patterson seconded. The motion passed without dissent. (See PR 3 at the end of the Minutes.)

Dr. Todd concluded his remarks by expressing his appreciation to Chairman Brockman, Search Committee Chairman Stuckert and the other members of the search committee, the Board of Trustees, and the many others who worked with the Board for their parts in the search process.

He is pleased with the results, the process, and the manner in which they kept the campus informed of what was taking place. He also stated that he looks forward to becoming a full fledged alumnus and working with the new president, if he is approved by the board.

K. Candidates for Degrees - University System (AACR 1)

Dr. Brockman passed the floor to Ms. Brown, chair of the Academic Affairs Committee, for her report. The first action item of the committee was AACR 1, which lists candidates for May degrees at the university. Ms. Brown moved acceptance of AACR 1. Mr. Farish seconded her motion, and it passed without dissent. (See AACR 1 at the end of the Minutes.)

L. Change in Name of an Educational Unit (AACR 2)

Ms. Brown described the recommendation and background of the suggested name change of the College of Agriculture's Department of Family Studies to Department of Family Sciences in AACR 2 and moved approval. Her motion was seconded by Dr. Sachatello and passed with no dissent. (See AACR 2 at the end of the Minutes.)

M. Academic Degree Recommendation (AACR 3)

AACR 3 recommends the establishment of a Ph.D. degree in Integrated Plant and Soil Sciences as an umbrella degree program to provide an integrative and creative approach to graduate education in the plant and soil sciences. Ms. Brown, on behalf of her committee, recommended approval. Mr. Dawson seconded her motion. There was no discussion, and there was no dissent in the passage of AACR 3. (See AACR 3 at the end of the Minutes.)

N. Change in Degree – College of Agriculture (AACR 4)

Moving to AACR 4, Ms. Brown related the recommendation and the background for another change in degree in the College of Agriculture. This resolution suggests a change in the name of the Master of Science with a major in Plant and Soil Sciences to Master of Science with a major in Integrated Plant and Soil Sciences. She recommended approval, which was seconded by Mr. Shoop. The motion passed without dissent. (See AACR 4 at the end of the Minutes.)

O. Change in Degrees – College of Agriculture (AACR 5)

Faculty members in the Department of Family Sciences voted to change the names of the degrees in their area to create a consistent identity and better reflect the teaching, research, and engagement activities in the department. They have received the necessary approvals to bring their resolution to the Board of Trustees. Ms. Brown recommended approval. Mr. Dawson seconded, and the motion was approved without dissent. (See AACR 5 at the end of the Minutes.)

P. Proposed Amendment to Governing Regulations: Faculty Entrepreneurial Leave (AACR 6)

The proposal in AACR 6 creates entrepreneurial leave for faculty employees who wish to take time off from their university position to pursue entrepreneurial activities. Because the university encourages faculty employees to engage in entrepreneurial activities and supports the efforts of faculty who wish to commercialize their discoveries, the pursuit of entrepreneurial endeavors is a valid reason for establishing entrepreneurial leave. Establishing this type of leave requires alteration of Governing Regulation Part X, which is presented for first reading. Ms. Brown invited questions and then recommended approval. Her motion was seconded by Mr. Stuckert. The first reading passed without dissent. Dr. Brockman explained that upon passage of the second reading of this proposal at the next board meeting, it would become final. (See AACR 6 at the end of the Minutes.)

Q. Finance Committee Report

Mr. Stuckert, chair of the Finance Committee, reported on the morning meeting of the committee. He made a point to thank Mr. Warren Rosenthal, a long-time supporter of the University of Kentucky, for his million-dollar pledge in FCR 1, a consent item.

R. Proposed 2011-12 Tuition and Mandatory Fees Schedule (FCR 4)

Mr. Stuckert thanked Ms. Angie Martin, Vice President of Financial Operations and Treasurer, and Mr. Frank Butler, Executive Vice President for Finance and Administration, for making a trip to northern Kentucky the previous Friday where they explained to eight trustees the background and rationale of the changes. There was additional discussion in the morning's Finance Committee meeting. And, using the newly instituted procedure to Petition to Address the Board, Mr. Derek Hall from Flemingsburg, Kentucky and Ms. Amy Oliver from Princeton, Kentucky, two law school students, addressed the Finance Committee about the tuition increases for the College of Law; Law Dean David Brennen also spoke to that point.

Mr. Stuckert summarized the proposal for the 2011-12 tuition and mandatory fees schedule. At its April 28 meeting, the Council on Postsecondary Education authorized these criteria for research institutions (University of Kentucky and University of Louisville):

- maximum six percent increase for undergraduate, resident tuition and mandatory fees
- maintains the current floor for nonresident, undergraduate tuition and mandatory fees of two times the resident undergraduate rate
- market competitive tuition and mandatory fee rates for graduate and first-professional students.

The rates in FCR 4 comply with the CPE criteria.

Mr. Stuckert moved approval of FCR 4 and indicated his willingness to answer any questions that the Trustees might have. Mr. Mobley seconded the motion.

Discussion followed.

Mr. Smith stated that he felt students and Kentuckians were substantially burdened by having borne a 130 percent increase in tuition over the previous 10 years and that a line must be drawn at some point in order to maintain the mission of the state's flagship institution and to serve the entire state. He said that he would vote not to approve FCR 4.

Ms. May agreed that this is a difficult vote, and although she understands why the changes have been proposed, she is troubled by tuition increases from \$3,700 to almost \$8,700 over a 10-year time frame. Having larger increases in the professional schools than in the undergraduate schools sets a dangerous precedent. Students entering professional schools with four to five years of undergraduate debt should not be faced with tuitions that can rise rapidly. She said she would vote No.

Mr. Roberts stated that he would not be able to support the tuition increase this year. Although he understands the need, there are also the needs of the students and their families to be considered. He and all of the board struggle every year with this issue, and he feels it is important to find a way to find a solution to the problem.

Dr. McCorvey stated that the challenge for the board and the university is that we have to find ways to enhance what we are able to do here. The faculty has not had raises in three years, and that's a major concern. The students are being taxed because something is not happening at the legislative level. He suggested that we have to ask our legislators to look at our system. Does the state need to be taxed more so that the Legislature can do their job and help the university receive extra funds so that the students won't be taxed? It may be time to ask legislators to do their job in helping to move this state forward by granting to the university the appropriate funds that can help the university educate the citizens of Kentucky.

Ms. Brown spoke next and said that she, too, would not support FCR 4 due to her deep concern about the students, who may be at a tipping point at which they are not going to be able to afford a college education.

Mr. Gatton stated his sympathy for the students and wished tuition increases were not necessary. Even so, in 10 or 20 years, most likely the currently proposed tuitions will be viewed as modest. To keep the best faculty, deans must be able to give salary increases in order to fight competitive offers from other schools.

Ms. Brothers said that she would vote in favor of FCR 4. She also expressed that she shares concerns for the students and agrees with Dr. McCorvey that there are issues with raises for faculty and staff. She fears that we are pricing ourselves out of the ability to educate the native Kentuckians that we are here to serve.

Mr. Stuckert suggested that it is unfortunate that Ms. Martin could not present her full program to all board members. Her program puts in perspective Kentucky's standing among its benchmarks and other Kentucky schools and shows that in terms of undergraduate tuition and fees for 2011, of 21 schools mentioned in an included chart, Kentucky's proposed tuition is fifth

from the lowest. Tuition has increased in the past 10 years, but other schools, for example, have increased their fees by almost double while Kentucky fees have risen 70 percent. He agreed that to keep good faculty, you must pay them competitively. He mentioned that the “Bucks for Brains” legislation did not match scholarship money raised by either the University of Kentucky or the University of Louisville, although this match was made for all other upper-level Kentucky institutions. He affirmed that President Todd’s push for scholarship funds was an important strategy that must be continued.

Dr. Peek wanted to be sure that a differentiation was made between the tuition increase and salary increases for faculty and staff. UK has had steady tuition increases in the past years, but no increases in salaries. He guessed that the tuition increase would have been proposed at the same level whether or not any increases in salary were proposed. He also agreed with Mr. Gatton and Mr. Stuckert that we are losing top faculty. And he felt Mr. Roberts’ comment that the system may be broken was excellent; there is a continuing problem that points towards changing the system.

Dr. Sachatello suggested it was time to vote on the question. Dr. Brockman allowed President Todd to comment. President Todd encouraged the board to approve FCR 4 by clarifying some fine points and explaining some motivation for specifics of the proposal. One of President Todd’s major concerns is that although the 3 percent raise proposed for this year is not large, he felt it is very important to offer a raise. “One of my concerns is that private universities’ endowments are going to come back a lot faster than state budgets are going to come back. And the private university is going to be picking the state universities clean [of their best faculty].” He emphasized that he was sympathetic with the issues raised by the board, but he stressed the importance of passing FCR 4. “We’ve got to pay our expenses. We’ve got to keep the place moving on the train that we’ve got it on.”

Dr. Brockman asked for the board to vote and requested a show of hands. Twelve board members supported the passage of FCR 4. Ms. Brown, Mr. Dawson, Ms. May, Mr. Roberts, Dr. Sachatello, and Mr. Smith voted against it. Dr. Brockman announced that FCR 4 passed. (See FCR 4 at the end of the Minutes.)

Mr. Gatton commented on the economics of inflation and the increase in costs of a college education.

Mr. Shoop had a comment and a question about some accounting issues in the allocation of revenues and expenses. He asked for clarification that the university has a General Fund account that pays all of the university’s expenses, and tuition dollars do not necessarily pay for faculty and staff salaries. Ms. Martin concurred that the university is on a fund accounting system, and the General Fund receives revenue for various sources which pays all of the university’s expenses. Tuition is not designated to pay salaries.

S. Proposed 2011-12 Room and Board Rates (FCR 5)

Mr. Stuckert continued the Finance Committee report, summarizing the reasons for an increase of 9 percent in the room and board rates in UK housing – the Board’s previous approval

of \$30 million to construct new dormitory housing space as well as expected inflation in food prices. He referred the Board to details on pages 1 to 3 of the handout. He moved for adoption of FCR 5 and was seconded by Mr. Gatton. FCR 5 passed without dissent. (See FCR 5 at the end of the Minutes.)

T. Revision of University of Kentucky Debt Policy (FCR 6)

Mr. Stuckert explained that FCR 6 changes the university's debt policy by changing the criteria for approval of internal loans of university funds and by allowing internal loans and cash advances to be made, subject to guidelines, to university entities for up to 90 days. He moved the approval of FCR 6. He was seconded by Mr. Gannon. Ms. May asked for assurance that these internal loans would be reported to the board and was told that they would. Dr. Brockman asked the board to vote. The vote was unanimous for the adoption of FCR 6. (See FCR 6 at the end of the Minutes.)

U. 2010-2011 Budget Revisions (FCR 7)

Ms. Stuckert said that the revisions outlined in FCR 7 will increase the University of Kentucky's total budget by \$49,811,000 – from \$2,500,327,000 to \$2,550,138,000. Predominantly, this increase is \$50,000,000 to fund the Patient Care Facility in accordance with the plan that the Board of Trustees approved at its March board meeting. Mr. Stuckert moved approval of FCR 7, and Mr. Shoop seconded his motion. There was no opposition to its adoption. (See FCR 7 at the end of the Minutes.)

V. Replace Video Boards at Commonwealth Stadium (FCR 8)

Mr. Stuckert reviewed FCR 8, which has been through several iterations and involves replacing video boards at Commonwealth Stadium using private funds including a withdrawal of up to \$4.6 million from the Athletic Fund's quasi-endowment. The scope of the project is \$6.25 million and will be funded entirely by private funds. He recommended approval and was seconded by Mr. Mobley.

Dr. Peek felt that more information should have been provided to the board in order for them to make this decision. His objection was that there may be a pattern of giving board members too little information, which he felt did not allow them to perform their fiduciary duties properly. Mr. Stuckert felt that the issue had been fully vetted in committee, that Athletics should be assumed to be competent in asking for such expenditures, and that to ask more would be micro managing. Dr. McCorvey stated that he, too, had wanted more information and had met with Mr. Mitch Barnhart, Athletic Director, and was informed that the video board would pay for itself over its useful life from both increased revenue and from sponsorships. Mr. Gatton agreed that more information should be provided to the board for such decisions.

Mr. Shoop asked about the fact that using the suggested finance plan of FCR 8, the university would lose \$150,000 of interest, which he pointed out was a significant amount of money. He suggested that it is not a good habit to pick to pieces all proposals and cause delays

which result in lost revenues. The board should be united and make a commitment that they are going to push together and not nitpick small items.

Ms. Young commented that she agreed with what everybody has said and that she felt that their difficulties point to a public relations problem. She felt that it is important to have proposals presented well, with all the facts available and a focus on how items would be best presented to the public.

Mr. Miles agreed but stated that this would have been a good day for Mr. Barnhart to have attended the board meeting and presented his case. He suggested that the board would ask for that in the future.

Dr. Brockman stated that he thinks it is the will of the board that he instruct the executive vice president for finance to provide more detail on cost projections and revenue streams on future Finance Committee resolutions. Mr. Stuckert moved for the question and was seconded by Mr. Gatton. Dr. Brockman asked the board to vote on the call for the vote, which passed without dissent. Then he conducted the vote on FCR 8, which had already been moved by Mr. Stuckert and seconded by Mr. Mobley. FCR 8 received one No vote, cast by Dr. Peek. Dr. Brockman announced that FCR 8 had passed. (See FCR 8 at the end of the Minutes.)

President Todd informed Mr. Miles that Mr. Barnhart was in Birmingham, Alabama at a mandatory Southeastern Conference athletic directors meeting. Mr. Mark Coyle represented Athletics during the Finance Committee meeting and the full board meeting.

W. Renovate Shively Sports Center (FCR 9)

Mr. Stuckert moved to FCR 9 – renovate the Shively Sports Center. The scope of this capital project is \$950,000, and it will be funded with private funds from the University of Kentucky Athletic Association. Mr. Stuckert moved approval and was seconded by Ms. Patterson. The motion passed without dissent. (See FCR 9 at the end of the Minutes.)

X. Capital Construction Report (FCR 10)

Ms. Stuckert moved to the last FCR of this meeting, the review of the Capital Construction Report. Mr. Bob Wiseman addressed the Finance Committee at the morning meeting and explained the items. He moved approval of FCR 10 and was seconded by Dr. McCorvey. The motion passed without dissent. (See FCR 10 at the end of the Minutes.)

Y. Student Affairs Committee Report

Dr. Brockman passed the floor to Ms. Patterson, chair of the Student Affairs Committee, who reported that in their morning meeting, Mr. John Herbst, Director of the Student Center, talked about the approaching Student Center renovation. Selections have been made for the lead architectural consultant (Omni Architects) and for mechanical construction (CMTA Engineering Consultants), both local firms. A construction manager will be chosen soon, construction will begin in December, with completion in December 2012.

Student Government Association updates were supplied by SGA President Ryan Smith. Mr. Micah Fielden was elected president of SGA for the 2011-12 academic year. SGA sponsored a Cram Jam event in April featuring food, drinks, basketball, a corn hole tournament, and miscellaneous other entertainment, including free breakfast and tutoring at the site. SGA is working with Wrap Up America, an organization that gives blankets to people in need and hopes to furnish blankets to tornado victims in Alabama. The Cats Cruiser will run until the end of the year.

Mr. Jim Wims, Assistant Vice President for Residence Life, talked about the Panda Express, which has recently become a vendor at the Student Center. Sales figures indicate that it is very popular, and additional employees are being recruited through the Stuckert Career Center.

Z. University Health Care Committee Report

Ms. Young, chair of the Health Care Committee, gave its report. She stated that Mr. Sergio Melgar, Vice President for Health Affairs, presented the financial report comparing the balance sheet at March fiscal year 2011 and fiscal year 2010. Cash has decreased \$126.7 million due to use of cash reserves for the remainder of Phase IA of the Patient Care Facility project. Accounts receivable decreased by \$1.7 million compared to last year, and net patient service revenue increased \$42.2 million. Discharges for March were 2,908 or 93.8 per day, which are 2.6 discharges per day less than the budget of 96.4. The combined census year to date was 5.5 percent higher than year to date March fiscal year 2010. Operating revenue for the month totaled \$80.2 million, which is \$7 million more than the budget for the month. Compared to the prior year, operating revenue increased by \$42 million, or 6.7 percent. Net outpatient service revenue continues to be a bright spot, posting a 12.8 percent increase over fiscal year 2010. The provision for uncollectible accounts was above budget at \$19.3 million year to date and \$23.9 million above last year to date. Current month investment income is \$1 million and year to date investment income is \$32.6 million. For the month of March, the UK Hospital system posted an operating profit of \$5.4 million. Accounts payable have decreased by \$4.7 million. Net assets have increased to \$621 million for March 2010 and total assets are at \$1.2 billion. Ms. Young stated that all in all, it is a good financial picture for the hospital.

Mr. Murray Clark, Associate Vice President, UK HealthCare, presented an update on the Patient Care Facility building project. He reported that the building is on schedule and under budget. The open houses will begin Friday May 13, and the ribbon cutting will be held Sunday May 15 at 1:30 p.m.

Dr. Kevin Nelson, Special Faculty, Neurology, presented for approval the privileges and credentials of Chandler and Good Samaritan hospitals. The committee made a motion and all privileges and credentials were approved.

AA. Presidential Search Committee Report

Dr. Brockman thanked Ms. Young for her report. He then moved to the Presidential Search Committee report and thanked Mr. Stuckert for his excellent work on chairing the group.

He stated that, "He has kept us on task, and he has kept us remarkably on time in what was considered, at best, a very, very ambitious schedule." Dr. Brockman requested and received for Mr. Stuckert and his co-chair Terry Mobley a round of applause.

Mr. Stuckert, chair of the Presidential Search Committee, reported that, "with deep regret, the search committee is no longer functioning," which was received with enthusiastic laughter. Afterward, he thanked all of the members of the search committee, which included Jo Hern Curris, Pamela May, Everett McCorvey, Billy Joe Miles, and Terry Mobley from the Board of Trustees; Ms Myra Tobin, a representative of alumni; Sheldon Steiner, Hollie Swanson, and Lee Meyer, faculty representatives; Bart Miller representing UK staff; and Ben Taylor Cox and Ryan Smith, representing undergraduate and graduate students, respectively.

Mr. Stuckert also thanked enthusiastically the committee's support officer, Peggy Way; UK Executive Protective Team members Alan Saylor, and Robert McPherson; and consultants from Greenwood Asher and Associates Jan Greenwood, Betty Asher, and Marian Frenche.

He also praised Dr. Brockman for his composure and acumen as he took the reins in the final weeks and completed the task of bringing a list of candidates to the Board of Trustees. Mr. Stuckert suggested that everyone is exceptionally pleased with the results of the search. He then stated that he will not serve again in 10 years!

BB. Chair's Report

Dr. Brockman accepted Mr. Stuckert's remarks with gratitude and described the happenings of the past few days. After the Board and the search committee met over the weekend in northern Kentucky and announced Dr. Eli Capilouto as the preferred finalist on Sunday evening, the candidate and his family were vetted across campus to the various constituency groups on Monday, May 2. The full board and the search committee reviewed the results in closed session that morning, May 3. At this point, he announced that there was a motion to be made. He gave the honor of making that motion to Mr. Stuckert.

Mr. Stuckert's motion was: "Mr. Chairman, with great pleasure, I move that Eli Capilouto be offered a contract by the Board of Trustees to serve as the 12th president of the University of Kentucky."

Dr. Brockman said: "It's been moved that Eli Capilouto be nominated as the 12th president of the University of Kentucky. Do I hear a second? It's been seconded by Joe Peek. All those in favor of approving for contract Eli Capilouto as our 12th president of the University of Kentucky signify by saying aye. Opposed, no. It is unanimous!" Dr. Brockman brought out Eli, Mary Lynne, and Emily Capilouto to the extended applause of the audience.

He then addressed the Capiloutos as well as the board:

"We are so excited that you are here -- Eli, Mary Lynne, and Emily. Last night as I was thinking about today and all it means for our institution, I reflected back

to several months ago when our search committee put together the criteria we wanted in the 12th president of the University of Kentucky.

“Above all else, we knew the next president would have a very tough act to follow. It has been ten years of significant dramatic progress even in the face of daunting economic impediments and challenges, a more than doubling of our research output, record retention and graduation rates, a renewed and expanded commitment to serving the entire Commonwealth, and of course a vision and the concrete plans to reach the mandate given to make Kentucky a better place by becoming a Top 20 public research institution. Dr. Todd helped change the culture of this place. In doing so, he has helped make UK and Kentucky better places. Thank you, Lee and Patsy, for what you have meant and will continue to mean to UK and the Commonwealth [Dr. Brockman was interrupted by applause from the Board].

“As we began to look at how best to move forward, we simply wanted four primary attributes: superior leadership and management skills, a record of scholarly achievement, innovative leadership skills, and an ability to communicate with internal and external constituencies of the university. It’s a description that almost appears to have been written with Eli Capilouto in mind. You have his bio and have heard and read about it over the last few days, so there is really no need to dwell on it in great detail here. But suffice it to say, he is an accomplished scholar in his field. He has helped lead an institution with perhaps the finest academic medical center in the South and one of the best in the country. He has helped lead an emerging and growing institution into new areas of excellence with a new commitment to undergraduate education and excellence. And he has been an unquestioned civic and community leader in his native state, drawing praise from people from all walks of life throughout Birmingham and Alabama.

“Those attributes are the stuff of numbers, CVs, and bios that fill web sites and news releases. But how do you take the measure of the man? Something Eli said the other day struck me to that end. When he and Mary Lynn visited our campus last week incognito, Eli said “You learn a lot about people when they don’t know you are watching.” Listen to what people in that context say about Eli Capilouto. ‘A brilliant scholar, a highly seasoned administrator, an innovative consensus builder,’ said one; ‘a rare individual who has both humility and exceptional competency,’ said another. ‘Strong, thoughtful leadership along with commitment and compassion.’ ‘One of the most honest people I know; someone I trust completely.’

“We are lucky and fortunate today. In Eli Capilouto, Mary Lynne, and Emily we have found a family that meets our expectations and the criteria we had set forth to lead Kentucky’s most vital institution at a critical moment in its history. But as importantly, we have found people we will be proud to make part of our family. Today we choose a leader who, like Dr. Todd before him, is challenging us not to

dream too little dreams. Let us rise to the occasion and join him in the challenges ahead and in the dreams that together we will make reality. It is my pleasure and honor to introduce the 12th president of the University of Kentucky, Dr. Eli Capilouto.”

President-elect Capilouto’s response:

“With the deepest humility and pride, I accept the honor that you have bestowed upon me today and commit myself to use every ounce of my energy and, hopefully, goodness, to advance this wonderful university.

“My first contact with this university in the last 10 years was really sitting in the audience listening to Dr. Todd at national meetings talk about some of the wonderful things that were going on here. My next really personal introduction – and I heard those several times, and they represented you so well – my next introduction was when I got enticed to come for an interview and I met members of the search committee, many of whom are members of this Board of Trustees. And then I got to meet all of the trustees subsequently.

“And from my initial meetings, I had this immediate connection that, you know, you don’t feel that many times in your life, this was touching for me. And then, seems like everybody knows now about my visit to campus. That was terrific, and that’s when I discovered you are the real thing. Yesterday when I returned to the book store that I visited last week – the other thing I like about you – you’re all very honest. I walked in and the sales person looked at me and she figured out who I was and she said ‘You turkey!’ (Laughter.) ‘You should have told me what you were doing!’

“A genuine spirit and warmth that brings us here today and our desire to be a part of your family, and we appreciate – greatly appreciate – your gracious hospitality that has been extended to us. It was a pleasure to be with the governor and to learn of the support of his office and the General Assembly and their beliefs in higher education and what you are doing.

“I know despite all the challenges we face, I have found the deepest commitment among everyone, from the elected officials, our students, our faculty, our staff – they are confident working together. Those big dreams that have always motivated me in my life – and they will motivate you – will come to reality and we can celebrate together. Thank you very much.”

Extended applause filled the room again. Dr. Brockman thanked the Capiloutos and explained to the board that they were on their way to a press conference in the Main Building. He then moved to other new business.

CC. Other Business

Ms. Brothers distributed to the Board copies of the Work Life Report for 2009. Former board member and Director of Work-Life Robynn Pease had asked her to pass the information to the board. Ms. Brothers detailed the content of the report – the Work-Life Supervisor of the Year Award, Flexible work arrangements, UK Remembers, a new crisis program, child care and elder care offerings, family education programs, career staff development, the GED award program, and the Staff Shared Leave Pool.

Ms. May asked for a point of personal privilege and expressed her thanks to Chairman Britt Brockman for his leadership qualities during the presidential search as well as during regular board operations. Everyone understands the importance of having the right leaders at the right time in the history of an organization to be able to move it forward. Leaders often make personal sacrifices for the good of the organization, and that makes them great. Dr. Brockman has proved himself to be an amazing leader. He has taken the right steps when so many times a misstep was there to be taken. He has done this at personal sacrifice. She stated that “While we have said thank you to the many people that served on that committee, ... without the leadership of our chair we could not have accomplished what we did. And I think we all owe him a tremendous vote of support.” The board thanked Dr. Brockman with a round of applause.

After thanking Ms. May, Dr. Brockman asked if there was any other business for the Board.

DD. Adjournment

Hearing no suggestions for additional business, Dr. Brockman asked for a motion to adjourn. Mr. Stuckert made a motion, and Dr. Peek seconded the motion. The meeting ended at 2:30 p.m.

Respectfully submitted,

Pamela T. May
Secretary, Board of Trustees

(PR 2 and 3; AACR 1, 2, 3, 4, 5, and 6; and FCR 1, 2, 3, 4, 5, 6, 7, 8, 9 and 10 which follow are official parts of the Minutes of the meeting.)

PR 1

Office of the President
May 3, 2011

1. Lexmark Gift Allows Renovation of Former Northside Library

UK will transform Lexington's old Northside Library building on Russell Cave Road into a facility in support of science, technology, engineering and mathematics. UK acquired the property in the last few years and now will renovate the building thanks to a \$1 million gift from Lexmark International, Inc. as part of the company's 20-year anniversary. The gift will be matched by UK. The renovated facility, set for completion by August 2012, will provide a new lab for fieldwork-based ecology education, a professional development facility for Kentucky science and math teachers, and storage and work space for UK's P-12 science and math outreach unit.

2. Arts & Sciences South Africa Initiative Hosts Anti-apartheid Activists

The College of Arts and Sciences South Africa Initiative culminated with a visit from well-known South African anti-apartheid leaders and former political prisoners Ahmed Kathrada and Barbara Hogan during April. They visited classes, spoke at a convocation where they received honorary degrees, opened an exhibit that honors Kathrada's commitment to non-racialism and democracy, and signed his new book published by University Press of Kentucky, "No Bread for Mandela: Memoirs of Ahmed Kathrada, Prisoner No. 468/64." The book covers his anti-apartheid activity and resulting imprisonment with Nelson Mandela and other activists.

3. UK Celebrates Impact of Research Challenge Trust Fund

The UK Council of Endowed Professors and Chairs held a luncheon and presentation March 30, at the Hilton Lexington/Downtown to recognize the significance of the Research Challenge Trust Fund, also known as "Bucks for Brains." The first round of matching funds from the state for the program was made available in 1998. Since that time, more than 12,000 donors have given gifts, qualifying for approximately \$230 million in matching funds. RCTF funds at UK resulted in 78 endowed chairs, 190 professorships, 280 fellowships, scholarships, lectures, enrichment funds, research support and an endowment for the William T. Young Library. UK's matching funds include 33,012 gifts from 12,731 donors, including 6,095 alumni, 5,518 non-alumni, 826 corporations and 120 foundations.

4. Kentucky Geological Survey Studying 300-Million-Year-Old Shark Fossil

The Kentucky Geological Survey (KGS) is examining a 300-million-year-old shark fossil found by a miner in Western Kentucky. The ancient shark fossil, known to paleontologists as

Edestus, was discovered by Webster County Coal miner Jay Wright in the Dotiki Mine. KGS associate director Steve Greb, KGS geologist Jerry Weisenfluh and UK Department of Earth and Environmental Sciences professor Frank Etnensohn are investigating the rare find, which at 18 inches long is much larger than most *Edestus* specimens. The fossil includes features not seen in earlier finds, and may contribute to the understanding of this prehistoric shark.

5. Work of English Romantics Exhibited at UK Libraries

The Special Collections Library now holds one of the world's most distinguished collections of original letters from the early English Romantics, recently displayed for the public. The exhibit, "The English Romantics in First Editions and Manuscripts: An Exhibition," included original works from the foremost British writers of the 19th century. Prepared by James D. Birchfield, curator of rare books, the exhibit showcased original manuscripts and first editions of English Romantic writers, including Robert Burns, William Wordsworth, Sir Walter Scott, Samuel Taylor Coleridge, Robert Southey, Lord Byron, Percy Shelley, John Keats, Mary Wollstonecraft, Mary Shelly, Maria Edgeworth and others. The pieces were a gift from W. Hugh Peal, a 1922 graduate of UK's School of Business.

6. Bohlman Highlights Longyear Lecture Series

UK Division of Musicology and Ethnomusicology presented several events with noted scholar of Jewish music and University of Chicago professor, Philip Bohlman, as part of the Rey M. Longyear Lecture Series. The free public events were presented March 30-April 1 at the Niles Gallery. Bohlman's first talk, "Postmodern Diaspora: Jewish Music beyond Israel," looked at three sites of "modern" Jewish music that reconfigure Diaspora as globalization: sacred music in the Jewish community of Pune, India; reconstruction of synagogues in Poland; and Israeli entries in the Eurovision Song Contest. Bohlman's second lecture and a performance with Christine Wilkie Bohlman on piano was of Viktor Ullmann's "Die Weise von Liebe und Tod des Cornets Christoph Rilke," a melodrama for speaker and piano composed and performed in 1944 at the Theresienstadt concentration camp. Bohlman's Longyear Lecture, "Jewish noir — Utopia and Dystopia in Jewish Film Music, before and after the Holocaust," rounded out the scholar's visit.

7. College of Education Honors Teachers

The UK College of Education held the 13th annual Teachers Who Made a Difference Program on April 30. UK Men's Basketball Coach John Calipari served as the 2011 spokesperson. More than 140 teachers and other educators were honored this year. The Teachers Who Made a Difference program gives individuals an opportunity to thank the teachers, principals, college professors or other educators who have inspired and motivated them to succeed.

8. CASTLE to Relocate to UK College of Education

The Center for the Advanced Study of Technology Leadership in Education (CASTLE) will relocate to the University of Kentucky College of Education. The center, which was

originally housed at Iowa State University, will work with the Kentucky P20 Innovation Lab to partner with regional educational organizations and schools to facilitate the implementation of technologies that help improve teaching and student learning.

9. Six Colleges Host Annual STEM Symposium

The annual UK STEM Symposium took place in late April. The event focused on “Connecting STEM Education across the Bluegrass State” and was co-sponsored by the colleges of Education, Engineering, Arts & Sciences, Agriculture, Communications and Medicine.

10. CAER Holds MATHCOUNTS Night

The organizers of MATHCOUNTS (a national club and competition program that promotes mathematics achievement) approached the Center for Applied Energy Research (CAER) to organize a fun event for middle school students the night before their state final competition in Lexington on March 26. The MATHCOUNTS regional winners built ping-pong launchers, raced edible cars and created miniature dams at CAER.

11. Tax Increment Financing to Accelerate Development at Coldstream Research Campus

UK will use a new law that permits the establishment of a tax increment financing (TIF) district on the Coldstream Research Campus to help finance much-needed laboratory and incubator space. UK will solicit a master developer to build a mixed-use “town center” and use the taxes generated to fund new research buildings, and be a catalyst for retaining and recruiting biotech companies to Coldstream. A request for proposal will be released at the end of May to select a qualified master developer to design and build the town center, laboratory buildings, and restore the historic Carnahan House in a 35-acre TIF district.

12. UK Opera Theatre Presents Holocaust Children's Opera

UK Opera Theatre presented "Brundibár," a children's opera originally staged in Theresienstadt concentration camp during the Holocaust, March 11 and 13 at the Singletary Center for the Arts. The opera performed by children between the ages of 7 and 16 from 26 schools in the Lexington community also featured an appearance by one of the production's original performers, Holocaust survivor Ela Weissberger. The children and youth worked with UK doctoral students Daniel Chetel and Mary Joy Nelson, assistant director and vocal coach, in preparation for the opera. During Weissberger's visit, she also participated in history and music classes at UK, as well as a free public symposium related to Terezín, where Theresienstadt Camp was located.

13. Atlanta-Based Allconnect Sales and Service Center Comes to Coldstream

The Atlanta-based company Allconnect Inc. will create 220 new jobs and invest nearly \$7 million to establish a 32,000 sq. ft. sales and customer care center at the Coldstream Research Campus. Allconnect is expected to open in May and will occupy the entire fourth floor of the Lexhold building, 1648 McGrathiana. Allconnect allows consumers to order or transfer home

services, such as satellite and cable TV, Internet, phone, and home security. Allconnect is a client of the Bluegrass Business Development Partnership between the UK Office for Commercialization & Economic Development, Commerce Lexington and city government.

14. UK Law Journal Ranked in Top 100 Among 1,665 Journals

The University of Kentucky College of Law journal Kentucky Journal of Equine, Agricultural, and Natural Resources Law (KJEANRL) was recently ranked one of the top 100 law journals of 2010 by the Washington and Lee University School of Law. The list consisted of 1,665 law journals from across the U.S. The journal ranked 94th in the list, as well as 34th among the list of specialized journals. The KJEANRL is a multi-disciplinary journal of law, science and policy that is published twice annually by the college.

15. National Endowment for Humanities Chairman Brings 'Civility Tour' to Kentucky

Jim Leach, chairman of the National Endowment for the Humanities (NEH), visited UK April 7 as part of his national civil discourse tour. During his visit, Leach made two presentations at UK and gave a larger public talk in Lexington. Leach's community lecture "Words Do Matter" was presented by the Kentucky Humanities Council, UK's Gaines Center for the Humanities and the NEH. The "Words Do Matter" discussion is part of the NEH chairman's 50-state "civility tour," which began in 2009. The event is meant to serve as a reminder that polarizing attitudes can jeopardize social cohesion. It also highlights how the humanities can help us examine and understand opposing perspectives and express differing viewpoints reasonably.

16. 2010 Small Business Jobs Act Provides Funding for Job Creation, Retention Programs

The Kentucky Small Business Development Center received a one-time \$659,863 grant as part of the Small Business Jobs Act of 2010. The KSBDC plans to increase key counseling services and add three full-time consultants for Central Kentucky, the region with the greatest demand; develop a suite of services for independent professionals to increase revenue; and hold regional events on the Jobs Act and SBA services.

17. UK Economic Development Efforts Help State Tie for Fifth in Entrepreneurship Increase

Kentucky tied with California and Texas as one of the top 10 states with the largest increase in entrepreneurial activity over the past decade, according to the Kaufman Index of Entrepreneurial Activity, a leading indicator of new business creation in the United States. UK has played an important role in this since President Lee T. Todd Jr. created the Office for Commercialization & Economic Development in late 2006.

18. Pulitzer Prize Winner Delivers Creason Lecture

Leonard Pitts, Jr., the 2004 winner of the Pulitzer Prize for Commentary, delivered the 34th annual University of Kentucky School of Journalism and Telecommunications' Joe Creason Lecture April 12. The lecture, titled "Owning What You Know," focused on fact-based journalism. In addition to the Pulitzer, Pitts is the five-time recipient of the National Headliners

Award, won the American Society of Newspaper Editors Award for Commentary Writing in 2001, and was named Feature of the Year columnist by Editor & Publisher magazine. The Creason Lecture Series brings an outstanding journalist to the university to meet and talk with students, and to speak before an assembly of students, faculty and the general public.

19. Assistant to President Obama Delivers Chellgren Lecture

Nationally renowned scholar Elizabeth Warren delivered the annual Chellgren Lecture at the University of Kentucky April 18 in Memorial Hall. The speech was titled "Debt, Credit, and the Middle Class." The lecture was presented by the Gatton College of Business and Economics, the UK Council of Endowed Professors and Chairs, and the UK College of Law Randall-Park Speakers Series. Warren, the Leo Gottlieb Professor of Law at Harvard University, currently is on leave from her academic duties as she serves as assistant to President Obama and special advisor to the secretary of the Treasury on the Consumer Financial Protection Bureau.

20. Rural Journalism Institute Director Instrumental in Postal Commission Opinion

The Postal Regulatory Commission (PRC) recently told the U.S. Postal Service (USPS) that it should not reduce mail delivery from six days to five without more study of the effect the change would have on rural communities. Al Cross, director of the Institute for Rural Journalism and Community Issues, and an extension professor in the University of Kentucky's School of Journalism and Telecommunications, was instrumental in securing the opinion, having testified to the PRC on behalf of rural areas. Cross, who was invited to testify by the National Newspaper Association, objected to the five day mail delivery plan because of the harm he said it would cause rural newspapers and civic infrastructure in rural communities.

21. Trumpet Quintet Takes Fourth at Nationals

The UK Trumpet Quintet took the fourth place ensemble award at the National Trumpet Competition. This is the ensemble's fourth appearance in the final four of the competition. UK also scored a second place finish by doctoral candidate Phillip Chase Hawkins in the Graduate Solo Division. The ensemble and UK soloist study under Mark Clodfelter, associate professor of trumpet, in the UK Trumpet Studio. The competition, held in Washington, D.C., is the largest and most prestigious forum of its type in the world. Members of the award-winning UK Trumpet Quintet are Hawkins, senior Michael Holland, senior Korey Hunt, sophomore Eric Millard, and junior Nick Ramsey.

22. President Todd is Keynote Speaker at Regional Life Sciences Conference

UK President Lee T. Todd Jr. gave the keynote address on the contributions of universities to the innovation ecosystem at the ninth annual conference of the Ohio Valley Affiliates for Life Sciences. UK spinoff CoPlex Therapeutics, which is conducting research and development to provide neuroprotection from dementia caused by a unique protein complex, presented during the startup company spotlight. In addition, UK faculty and spinoff companies competed in the poster competition.

23. KSBDC Receives SBA Grant to Create Disaster Recovery Network

The Kentucky Small Business Development Center received a \$100,000 grant from the Small Business Administration to create a statewide program of information, resources and assistance for small businesses following a natural, man-made or economic disaster. KyBizRecovery will include an online searchable database of Kentucky nonprofit service providers who can help in areas such as new product development, financing and contracting. KyBizRecovery will also serve as an information clearinghouse for disaster-related news and announcements, and will include interactive social media such as Facebook and Twitter to respond to small business owners during and after a crisis.

24. Thirteen Graduate From KSBDC Minority and Women Construction Business Training

Thirteen business owners graduated from the 10-week Lexington area minority and women construction training program sponsored by the Kentucky Small Business Development Center. Participants are part of construction businesses that have at least 51 percent minority or women ownership.

25. Kentucky Transportation Center Opens CATS Lab

The Kentucky Transportation Center (KTC) at UK recently opened its new Center for Advanced Traffic Solutions, or CATS, laboratory. Developed by KTC's Adam Kirk and Pat Johnson, the new signal training and research lab will provide traffic operations research, education and training. The primary use of the CATS lab will be to support the development and delivery of a training course for Kentucky Transportation Cabinet signal technicians to develop, test and refine innovative signal operation strategies without impacting traffic operations. Future uses for the lab will include undergraduate and graduate education and research, as well as state and national research opportunities.

26. KGS Answers Questions, Holds Seminar on Japanese Earthquake and Tsunami

Shortly after the 9.0 magnitude earthquake and tsunami struck Japan, Geologic Hazards Section Head Zhenming Wang of the Kentucky Geological Survey (KGS) held a seminar at KGS about the event and the issue of seismic safety for buildings and installations such as nuclear power plants.

27. World War I Poster Art Featured in UK Art Museum

The Art Museum at UK presented a free public exhibition of poster art from World War I. The show examined the use of the art form as a propaganda tool in wartime, while also providing a glimpse into life on the home front during that time. "World War I and the Art of Persuasion," on view through May 8, features rarely exhibited American, French and German war posters drawn from UK Special Collections Library and three private collections. The exhibition is a cooperative effort of the Art Museum at UK, UK Special Collections Library and

UK College of Arts and Sciences. It was organized by Pearl James, an assistant professor in the UK Department of English, in conjunction with her course "Modern War in American Society."

28. Undergraduates Selected to Present Research

Twenty-two undergraduates were selected by the UK Office of Undergraduate Research to present their research at the National Conference on Undergraduate Research (NCUR) March 31-April 2 at Ithaca College in New York. The annual conference provides models of exemplary research and scholarship and strives to improve the state of undergraduate research by celebrating and promoting undergraduate achievement.

29. College of Education Researcher Honored for Developing Math Skills Measurement Tool

The National Council on Measurement in Education (NCME) recently honored a group of researchers, including UK College of Education's Brian Bottge, for their work in the development of a statistical method for measuring the growth of students' problem-solving skills in mathematics. The group received the 2010-2011 Award for an Outstanding Example of an Application of Educational Measurement Technology to a Specific Problem. Bottge and his colleagues specially designed Enhanced Anchored Instruction (EAI) as a way for developing math skills of low-achieving adolescents, including students with learning disabilities in math.

30. UK E-Club Helps Bring Color™ to Lexington

The University of Kentucky E (Entrepreneurship) Club and its student president Wes Brooks helped bring a national company to Lexington to capitalize on the popularity of March Madness. Color™, based in Palo Alto, California, developed a free application for iPhones and Android smartphones that allows people in close proximity to capture and have real-time access to photos, videos, and text simultaneously from multiple smartphones. Brooks researched and tested the application with other members of the E Club, then contacted Color™ via Twitter with an idea to promote the product. Inspired by Brooks' enthusiasm, the leadership at Color™ traveled to Lexington to help execute a major demonstration of the application where fans congregated during and after the Wildcats' semifinal game. More than 300 photos were captured by 40 different fans and the experiment was judged a success. E Club is a partner with the Von Allmen Center for Entrepreneurship, part of the UK Office of Commercialization and Economic Development.

31. Festival Provides Outlet for Medical Community's Creativity

The University of Kentucky's medical community celebrated the annual Sue Fosson Spring Humanities Festival on April 5 at the UK Singletary Center for the Arts. This annual showcase of students, faculty and staff, which is free and open to the public, provides performers with a welcome outlet for their creativity and the audience with an original entertainment experience.

32. Kentucky Hosts Second Health Literacy Summit

Humana, the University of Kentucky and Western Kentucky University collaborated to sponsor the second health literacy summit in Kentucky March 24-25 in Bowling Green. The summit, planned by the statewide coalition Health Literacy Kentucky, aimed to build awareness of health literacy issues, assess efforts of the coalition during 2010 and develop the next steps for addressing Kentucky's health literacy problems.

33. Department of Theatre Brings World Theatre to Lexington

The UK Department of Theatre produced the World Theatre Festival at the Lexington Downtown Arts Center April 16 and 17. This global performance festival featured children's and family programming as well as original plays from a variety of cultures. Plays included Balinese puppetry, a play based on South Africa-Kentucky connections, a French play with an original translation by UK French and Theatre students, and a demonstration of Noh Drama by students of Japanese Guest Artist in Residence Atsuyoshi Asano.

34. UK Highlights Historic Preservation

The UK College of Design and the UK Historic Preservation Graduate Organization presented the free public historic preservation symposium, "Adaptive Reuse: Preservation Through Innovation," March 31-April 1 at the Carnegie Center for Literacy and Learning. The event brought together professionals with historic preservation expertise within real estate development, economics, urban planning and the nonprofit sector to share their wisdom about the importance of adaptive reuse. Lectures presented as part of "Adaptive Reuse" were registered with the American Institute of Architects for continuing education credit.

35. Nunn Center Documents Buffalo Trace Distillery History

The Louie B. Nunn Center for Oral History recently debuted its documentary based on Buffalo Trace Distillery. "Quest for the Perfect Bourbon, Voices of Buffalo Trace Distillery" was presented March 31 at the Grand Theatre in Frankfort. Nunn Center Director Doug Boyd and the Nunn Center at UK Libraries worked with director and producer Joanna Hay and interviewer Tom Troland to capture the stories of the people of Buffalo Trace Distillery to serve as a virtual time capsule for future generations.

36. First Public Art Smartphone Application Taken to Android Market

Kentucky's first public art smartphone application, "Take It Artside!," launched on the Android market March 31. The brainchild of students and faculty at the UK Department of Art and the Gaines Center for the Humanities, the application first launched on iTunes in November 2010. Created by the Central Kentucky Museum Without Walls Project, the free application includes images and information about local public art and its GPS location within Bourbon, Clark, Fayette, Jessamine, Madison, Scott and Woodford counties.

37. UK Excavations in Italy Featured in Exhibit

A free public exhibition at Lexington Public Library, titled "A Greek Mountain Fort in Southern Italy. University of Kentucky Archaeological Investigations at Monte Palazzi (Passo Croceferrata, Grotteria, Calabria)," featured the results of excavations by UK faculty and students of an ancient Greek fort in Italy. The exhibit was on display through May 1. UK's team, led by Paolo Visonà, adjunct associate professor of art history, conducted three seasons of excavation at Monte Palazzi in 2007, 2008 and 2010.

38. Travel Kentucky Application Features Singletary Center

Singletary Center for the Arts is a featured venue on a new free smartphone application developed by *Kentucky Monthly* magazine. "Travel Kentucky," a statewide tourism app for smartphones that serves as an interactive visitor resource, is available on both iPhone and Google Android technology. The Singletary Center feature will direct people to a history of the performing arts center, contact info, a link to the center's website, and a list of all upcoming events.

39. Gaines Fellows Named

The Gaines Center for the Humanities has selected 11 outstanding undergraduates as new scholars for the university's Gaines Fellowship Program for the 2011-12 and 2012-13 academic years. Gaines Fellowships are given in recognition of students' outstanding academic performance, demonstrated ability to conduct independent research, interest in public issues, and desire to enhance understanding of the human condition through the humanities. The 11 students selected as Gaines Scholars are: Catherine Brereton, Jonathan D. Finnie, Jared Flanery, Mary Jennings, Kelly King, Damarias Moore, Doug Niemann, Elizabeth Schaller, Will Spence and Dorneshia L. Thomas. All Gaines Fellows take a specially designed, four-credit-hour per semester seminar in the humanities both semesters of their junior year. Each of these students will complete a major independent study project in their senior year.

40. Student Awards and Achievements

Kristy Avery, Chris Henderson, Kirby Smith and Nicole Tarrence, Law, who make up the UK Black Law Students Association trial team, came in third place in the National Black Law Students Association Midwest regional round of the Thurgood Marshall Mock Trial Competition.

Adam Bowling and Josh Hicks, Law, who make up the UK National Trial Competition team, were named co-champions of the Seventh Circuit regionals of the American College of Trial Lawyers National Trial Competition.

Esta Day, UK Libraries, has received a NASIG student travel grant to attend the national conference. NASIG is "an independent, international organization committed to promoting communication and the sharing of ideas among all people working with or concerned about serial publications." Only four grants are awarded annually.

Ricardo Saeb, Music, was selected to perform a concert in the prestigious International Guitar Encounter in Queretaro, Mexico.

41. Faculty and Staff Awards and Achievements

Kerri Lynn Ashurst, Agriculture, is the principal investigator on a \$50,000 grant from Kansas State University to support the Operation Military Kids Camp Initiative/OSD Supplement 2011.

Cody Birdwell, Music, has been named vice president of the CBDNA-Southern Division and will become president-elect in 2013 and president in 2015.

Sharon Brennan, Education, received the 2011 Award for Distinguished Service in Teacher Education from the Kentucky Association for Career and Technical Education.

Les Burns, Education, took part in the Kentucky Educational Television program "Education Matters" to discuss his work related to the new Kentucky Standards, Senate Bill 1 and the Kentucky Model Curriculum Framework.

Allan Butterfield, Center of Membrane Sciences, was appointed chair of the Neural Oxidative Metabolism and Death (NOMD) Study Section of the Center for Scientific Review (CSR). CSR is the portal for review of all National Institutes of Health grant applications.

Jim Dinger, Kentucky Geological Survey, received the Bill Barfield Award for Water Research, named for a former director of the Kentucky Water Resources Research Institute.

Don Ely, agriculture, received the 2011 Gamma Sigma Delta George E. Mitchell, Jr. Award for outstanding contributions to graduate students.

Karen Esser, Physiology, was named a 2011-12 University Research Professor.

Beth Glixon, Music, received a research grant from the Gladys Kriebel Delmas Foundation to support her research in Venice on the opera business in the early 18th century.

Rae Goodwin, Art, has work appearing in several exhibitions. Her pieces "I refuse to hike this way!" and "You remind me of your Grandmother" were juried into Mountain Visions 2011 at the Reece Museum at Eastern Tennessee State University. Her work has also been selected for "Love and Things Like Love" at the Loudon House in Lexington. Finally, Goodwin's installation dealing with family origins, adoption and grandmother was juried into the FATE/MACAA Member's Exhibition, at Mad Art Gallery in St. Louis.

Bernard Hennig, Agriculture, received the 2011 Gamma Sigma Delta Thomas Poe Cooper Award for outstanding research in the College of Agriculture.

Brandon Look, Philosophy, was named a 2011-2012 University Research Professor.

Mary Molinaro, Libraries, was invited to a National Digital Library session at Harvard University. She and other digital library leaders from across the U.S. explored best practices for capturing published and archival collections into a National Digital Library.

Gregory Smith, Psychology, was named a 2011-2012 University Research Professor.

Dean Michael Speaks, Design, presented a talk titled "Prototyping the Future of Design Research" for the Stockholm School of Entrepreneurship (SESS) this February in Sweden. SESS is a collaboration between Stockholm's top five schools. Speaks presented a second talk later that month at Pop-Up evening lectures presented by the Association of Oslo Architects in Norway.

Hunter Stamps, Art, had his work featured in a solo exhibition titled "The Space Between at the Rosewood Gallery" in Kettering, Ohio. Three other sculptures by Stamps have been selected for "Atmosphere: Ceramics Invitational Exhibition" at the Lighthouse Art Center in Tequesta, Florida.

Laura Stephenson, Agriculture, is principal investigator on specialty crop recipe development with a nutritional research component, funded for \$53,000 from the Kentucky Department of Agriculture.

Dima Strakovsky, Art, was invited to perform March 11 and 12 at Receiver Festival, in Charleston, South Carolina.

Jason Unrine and Paul Bertsch, Agriculture, are principal investigator and co-P.I., respectively, on a study examining environmental behavior and bioavailability of silver and CeO₂ nanoparticles. Their research is funded through a \$600,000 four-year grant from the Environmental Protection Agency.

Angene Wilson, Education emeritus faculty member, and her husband, Jack, had their book “Voices from the Peace Corps: Fifty Years of Kentucky Volunteers” published by the University Press of Kentucky.

Youling Xiong, Agriculture, was named a 2011-2012 University Research Professor.

42. Alumni Awards and Achievements

Eric Moyen, Education, recently had his book “Frank L. McVey and the University of Kentucky: A Progressive President and the Modernization of a Southern State University” published by the University Press of Kentucky.

PR 2

Office of the President
May 3, 2011

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions, and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the university. These recommendations are transmitted to the Board by the appropriate provost/executive vice president through the president and have the president's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the president or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: Approved Disapproved Other _____

PRESIDENT
AND
EXECUTIVE VICE PRESIDENT
FOR
FINANCE AND ADMINISTRATION

I. BOARD ACTION

A. RETIREMENT

Executive Vice President for Finance and Administration

Garrison, Frances L., Administrative Assistant II, Human Resources, after 37 years and 6 months of consecutive service under AR 3.1 Section VI.B, effective 6/30/11.

Vice President for Financial Services and Treasurer

O’Cull, Phyllis J., Account Clerk III, Treasury Services, after 15 years and 5 months of consecutive service under AR 3.1 Section VI.B, effective 4/8/11.

PROVOST

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

Chappa, Suryanarayana, College of Medicine, Associate Professor (voluntary), Family and Community Medicine, 4/1/11 through 6/30/16.

Dunn, Edward J., College of Public Health, Professor (voluntary), Health Services Management, 4/1/11 through 6/30/16.

Hruby, George G., College of Education, Associate Research Professor, Curriculum and Instruction, 7/1/10 through 6/30/11.

Kakaji, Hazem, College of Medicine, Clinical Assistant Professor, Internal Medicine, 5/1/11 through 6/30/11.

Leach, Rice C., College of Public Health, Professor (voluntary), Preventive Medicine and Environmental Health, and joint appointment as Professor (voluntary), Family and Community Medicine, College of Medicine, 3/1/11 through 6/30/16.

Reed, Stephen M., College of Agriculture, Adjunct Professor, Veterinary Science, 7/1/11 through 6/30/12.

Shahtahmasebi, Said, College of Medicine, Associate Professor (voluntary), Pediatrics, 4/1/11 through 6/30/16.

Warner, Jeff L., College of Pharmacy, Associate Professor (voluntary), Pharmacy Practice and Science, 11/1/10 through 6/30/16.

Zhao, Li, College of Public Health, Associate Professor (voluntary), Health Services Management, 7/1/11 through 6/30/16.

B. ADMINISTRATIVE APPOINTMENTS

Collins, Belva, College of Education, Professor (with tenure), Special Education and Rehabilitation Counseling, appointed Chair, Special Education and Rehabilitation Counseling, 7/1/11 through 6/30/15.

Costich, Julia F., College of Public Health, Associate Professor* (with tenure), Health Services Management, and joint appointment as Associate Professor* (without tenure), Family and Community Medicine, College of Medicine, appointed Chair, Health Services Management, 7/1/11 through 6/30/12.

Maynard, Leigh J., College of Agriculture, Professor (with tenure), Agricultural Economics, appointed Chair, Agricultural Economics, 7/1/11 through 6/30/17.

Stromberg, Arnold, College of Arts and Sciences, Professor (with tenure), Statistics, reappointed Chair, Statistics, 7/1/11 through 6/30/15.

C. JOINT APPOINTMENTS

Milbrandt, Todd A., College of Medicine, Associate Professor* (with tenure), Orthopaedic Surgery, joint appointments as Associate Professor* (without tenure), Pediatrics,

effective 7/1/11 and Associate Professor* (without tenure), Biomedical Engineering, College of Engineering, effective 7/1/10.
Thomas, Mark V., College of Dentistry, Associate Professor* (with tenure), Oral Health Practice, joint appointment as Associate Professor* (without tenure), Biomedical Engineering, College of Engineering, effective 7/1/10.

D. REAPPOINTMENTS

Abada, Hicham T., College of Medicine, Clinical Associate Professor, Radiology, 7/1/11 through 6/30/12.
Adams, Lumy S., College of Medicine, Associate Professor (without tenure), Physical Medicine and Rehabilitation, and joint appointment as Associate Professor (without tenure), Neurology, 7/1/11 through 6/30/12.
Aiken, Glen E., College of Agriculture, Adjunct Professor, Plant and Soil Sciences, and joint appointment as Associate Adjunct Professor, Animal and Food Sciences, 7/1/11 through 6/30/12.
Allen, Timothy S., College of Medicine, Associate Professor (part-time), Psychiatry, 7/1/11 through 6/30/12.
Andersen, Anders H., College of Medicine, Associate Research Professor, Anatomy and Neurobiology, 7/1/11 through 6/30/12.
Arya, Kanta, College of Medicine, Associate Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.
Badgett, James T., College of Medicine, Professor (part-time), Pediatrics, 7/1/11 through 6/30/12.
Bennett, Charles H., College of Medicine, Adjunct Professor, Physiology, 7/1/11 through 6/30/12.
Binns, Matthew M., College of Agriculture, Adjunct Professor, Veterinary Science, 7/1/11 through 6/30/12.
Blair, Robert A., College of Medicine, Associate Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.
Block, Stan L., College of Medicine, Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.
Boarman, Christopher A., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/11 through 6/30/12.
Bright, Katherine L., College of Medicine, Professor (part-time), Pediatrics, 7/1/11 through 6/30/12.
Brubaker, Robert R., College of Medicine, Professor (part-time, visiting), Microbiology, Immunology and Molecular Genetics, 7/1/11 through 6/30/12.
Bueler, Hans R., College of Medicine, Associate Professor (without tenure), Anatomy and Neurobiology, 7/1/11 through 6/30/12.
Caldrony, Ralph D., College of Medicine, Associate Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.
Casey, Baretta R., College of Public Health, Clinical Professor, Health Behavior, and joint appointments as Clinical Professor, Family and Community Medicine, College of Medicine and Clinical Professor, Preventive Medicine and Environmental Health and Clinical Professor, Health Services Management, 7/1/11 through 6/30/12.

Conklin, John D., College of Medicine, Clinical Associate Professor, Ophthalmology and Visual Sciences, 7/1/11 through 6/30/12.

Cunningham, Melvin D., College of Medicine, Professor (voluntary), Internal Medicine, and joint appointment as Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

Dawson, Karl A., College of Agriculture, Adjunct Professor, Animal and Food Sciences, 7/1/11 through 6/30/13.

deBeer, Maria C., College of Medicine, Associate Research Professor, Physiology, 7/1/11 through 6/30/12.

DiSantis, David J., College of Medicine, Clinical Professor, Radiology, 7/1/11 through 6/30/12.

Escott, Edward J., College of Medicine, Clinical Associate Professor, Radiology, 7/1/11 through 6/30/12.

Fetter, James M., College of Medicine, Associate Professor (voluntary), Psychiatry, 7/1/11 through 6/30/16.

Garden, John W., College of Medicine, Professor (part-time), Ophthalmology and Visual Sciences, 7/1/11 through 6/30/12.

Garman, Ray F., College of Public Health, Clinical Associate Professor, Preventive Medicine and Environmental Health, and joint appointment as Clinical Associate Professor, Family and Community Medicine, College of Medicine, 7/1/11 through 6/30/12.

Gerson, Kenneth L., College of Medicine, Professor (part-time), Pediatrics, 7/1/11 through 6/30/12.

Gevedon, Teresa G., College of Medicine, Clinical Associate Professor, Psychiatry, 7/1/11 through 6/30/12.

Granacher, Robert P., College of Medicine, Professor (voluntary), Psychiatry, 7/1/11 through 6/30/16.

Granger, Don T., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/11 through 6/30/12.

Hering, Thomas M., College of Medicine, Associate Research Professor, Anatomy and Neurobiology, 7/1/11 through 6/30/12.

High, Walter M., College of Medicine, Associate Professor (without tenure), Physical Medicine and Rehabilitation, and joint appointments as Associate Professor (without tenure), Neurosurgery and Associate Professor (without tenure), Psychology, College of Arts and Sciences, 7/1/11 through 6/30/12.

Hoffman, Cary J., College of Medicine, Professor (part-time, visiting), Radiology, 7/1/11 through 6/30/12.

Hoopes, Charles W., College of Medicine, Associate Professor* (without tenure), Surgery, 7/1/11 through 6/30/12.

Huff, Marlene B., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/11 through 6/30/12.

Humphries, Roger L., College of Medicine, Clinical Associate Professor, Emergency Medicine, 7/1/11 through 6/30/12.

Ireland, Mary L., College of Medicine, Clinical Associate Professor, Orthopaedic Surgery, 7/1/11 through 6/30/12.

Jenkins, Van R., College of Medicine, Professor (voluntary), Obstetrics and Gynecology, 7/1/11 through 6/30/16.

Johnson, Ellis L., College of Medicine, Clinical Associate Professor, Radiation Medicine, and joint appointment as Clinical Associate Professor, Clinical Sciences, College of Health Sciences, 7/1/11 through 6/30/12.

Johnsrude, Christopher L., College of Medicine, Associate Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

Kahwash, Samir, College of Medicine, Associate Professor (voluntary), Pathology and Laboratory Medicine, 7/1/11 through 6/30/16.

Katz, Douglas G., College of Medicine, Clinical Associate Professor, Ophthalmology and Visual Sciences, 7/1/11 through 6/30/12.

King, Joseph G., College of Medicine, Clinical Associate Professor, Radiology, 7/1/11 through 6/30/12.

Kudrimoti, Mahesh R., College of Medicine, Clinical Associate Professor, Radiation Medicine, 7/1/11 through 6/30/12.

Laham, James L., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/11 through 6/30/12.

Landers, Cheri D., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/11 through 6/30/12.

Lear, Teri L., College of Agriculture, Associate Research Professor, Veterinary Science, 7/1/11 through 6/30/12.

Liu, Jiankang, College of Medicine, Professor (part-time), Graduate Center for Toxicology, 7/1/11 through 6/30/12.

Marshall, Ernest W., College of Medicine, Associate Professor (voluntary), Obstetrics and Gynecology, 7/1/11 through 6/30/16.

Martin, Julia E., College of Medicine, Clinical Associate Professor, Emergency Medicine, 7/1/11 through 6/30/12.

Mazloomdoost, Manoochehr, College of Medicine, Associate Professor (voluntary), Anesthesiology, 7/1/11 through 6/30/16.

McGarry, Ronald C., College of Medicine, Clinical Associate Professor, Radiation Medicine, 7/1/11 through 6/30/12.

McNeal, Jerry R., College of Medicine, Associate Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

Molloy, Janelle A., College of Medicine, Associate Professor* (without tenure), Radiation Medicine, and joint appointment as Associate Professor* (without tenure), Clinical Sciences, College of Health Sciences, 7/1/11 through 6/30/12.

Mullett, Timothy W., College of Medicine, Clinical Associate Professor, Surgery, 7/1/11 through 6/30/12.

Myers, Melvin L., College of Public Health, Associate Professor (part-time), Preventive Medicine and Environmental Health, 7/1/11 through 6/30/12.

Oestreich, Alan E., College of Medicine, Professor (part-time), Radiology, and joint appointment as Professor (part-time), Pediatrics, 7/1/11 through 6/30/12.

Plunkett, Mark D., College of Medicine, Associate Professor* (without tenure), Surgery, and joint appointment as Associate Professor* (without tenure), Pediatrics, 7/1/11 through 6/30/12.

Primm, Daniel D., College of Medicine, Associate Professor (part-time), Orthopaedic Surgery, 7/1/11 through 6/30/12.

Prybil, Lawrence D., College of Public Health, Professor (part-time), Health Services Management, 7/1/10 through 6/30/11.

Prybil, Lawrence D., College of Public Health, Professor (part-time), Health Services Management, 7/1/11 through 6/30/12.

Purcell, Marguerite, College of Medicine, Associate Adjunct Professor, Radiology, 7/1/11 through 6/30/12.

Ralph, Donald E., College of Medicine, Clinical Professor, Psychiatry, and joint appointment as Clinical Professor, Psychology, College of Arts and Sciences, 7/1/11 through 6/30/12.

Ramaiah, Chand, College of Medicine, Clinical Associate Professor, Surgery, 7/1/11 through 6/30/12.

Rettie, Robert M., College of Medicine, Associate Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

Riley, Kathryn P., College of Public Health, Associate Professor (part-time), Preventive Medicine and Environmental Health, and joint appointment as Associate Professor (part-time), Family and Community Medicine, College of Medicine, 7/1/11 through 6/30/12.

Roberts, Lon E., College of Medicine, Associate Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Saha, Sibup P., College of Medicine, Clinical Professor, Surgery, 7/1/11 through 6/30/12.

Salles, Sara S., College of Medicine, Clinical Associate Professor, Physical Medicine and Rehabilitation, and joint appointment as Clinical Associate Professor, Anesthesiology, 7/1/11 through 6/30/12.

Sanders, Sheila P., College of Medicine, Clinical Associate Professor, Ophthalmology and Visual Sciences, 7/1/11 through 6/30/12.

Sanders, Timothy G., College of Medicine, Professor (part-time, visiting), Radiology, 7/1/11 through 6/30/12.

Saxena, Suresh C., College of Medicine, Associate Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

Scutchfield, Scott B., College of Medicine, Associate Professor (part-time), Orthopaedic Surgery, 7/1/11 through 6/30/12.

Segal, Arlene E., College of Medicine, Associate Professor (part-time, visiting), Radiology, 7/1/11 through 6/30/12.

Selby, Jeffrey B., College of Medicine, Clinical Associate Professor, Orthopaedic Surgery, 7/1/11 through 6/30/12.

Selwitz, Ada S., College of Medicine, Associate Adjunct Professor, Behavioral Science, 7/1/11 through 6/30/12.

Shook, Lori A., College of Medicine, Clinical Professor, Pediatrics, 7/1/11 through 6/30/12.

Shraberg, David, College of Medicine, Associate Professor (voluntary), Psychiatry, 7/1/11 through 6/30/16.

Siegel, Anthony J., College of Medicine, Clinical Associate Professor, Psychiatry, 7/1/11 through 6/30/12.

Sikora, Frank J., College of Agriculture, Associate Adjunct Professor, Plant and Soil Sciences, 7/1/11 through 6/30/12.

Sowell, Debra R., College of Medicine, Associate Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

Spengler, Susan E., College of Public Health, Associate Professor (part-time), Preventive Medicine and Environmental Health, and joint appointment as Assistant Professor (part-time), Family and Community Medicine, College of Medicine, 7/1/11 through 6/30/12.

Squires, Edward L., College of Agriculture, Research Professor, Veterinary Science, 7/1/11 through 6/30/12.

Stewart, Daniel H., College of Medicine, Clinical Associate Professor, Surgery, 7/1/11 through 6/30/12.

Strickland, James R., College of Agriculture, Associate Adjunct Professor, Animal and Food Sciences, 7/1/11 through 6/30/13.

Studts, Jamie L., College of Medicine, Associate Professor (without tenure), Behavioral Science, and joint appointment as Associate Professor (without tenure), Psychology, College of Arts and Sciences, 7/1/11 through 6/30/12.

Swope, Marian, College of Medicine, Clinical Associate Professor, Psychiatry, 7/1/11 through 6/30/12.

Talley, Joel D., College of Medicine, Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Talwalkar, Vishwas R., College of Medicine, Clinical Associate Professor, Orthopaedic Surgery, and joint appointment as Clinical Associate Professor, Pediatrics, 7/1/11 through 6/30/12.

Thatayatikom, Akaluck, College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/11 through 6/30/12.

Tobin, Stuart, College of Medicine, Clinical Associate Professor, Surgery, 7/1/11 through 6/30/12.

Victor, David W., College of Medicine, Associate Professor (voluntary), Surgery, 7/1/11 through 6/30/16.

Villafane, Juan, College of Medicine, Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

Voakes, John E., College of Medicine, Associate Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

Wallace, Carmel, College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/11 through 6/30/12.

Yurek, David M., College of Medicine, Research Professor, Neurosurgery, and joint appointment as Associate Research Professor, Anatomy and Neurobiology, 7/1/11 through 6/30/12.

E. PROMOTIONS

Seebold, Kenneth W., College of Agriculture, from Assistant Extension Professor, Plant Pathology, to Associate Extension Professor (with tenure), Plant Pathology, effective 3/21/11.

F. RETIREMENTS

- Baskin, Jerry, College of Arts and Sciences, Professor (with tenure), Biology, after 42 years and 10 months of consecutive service, under AR 3:1, Section VI.B, effective 6/30/11.
- Carrigan, Dennis P., College of Communications and Information Studies, Assistant Professor*, Library and Information Science, after 24 years and 10 months of consecutive service, under AR 3:1, Section VI.B, effective 6/30/11.
- Enerlich, Joan S., College of Agriculture, Staff Support Associate I, Agricultural Economics, after 25 years and 9 months of consecutive service, under AR 3:1, Section VI.B, effective 5/6/11.
- Frasure, Kay Diane, College of Medicine, Administrative Support Associate I, UK Markey Community Cancer Program, after 15 years and 11 months of consecutive service, under AR 3:1, Section VI.B, effective 6/1/11.
- Kelly, Lewis A., College of Medicine, Deputy Director/Administration, Markey Cancer Center-Core Support, after 27 years and 9 months of consecutive service, under AR 3:1, Section VI.B, effective 5/31/11.
- Palmquist, David R., College of Agriculture, Administrative Support Associate I, Farm Service Center, after 20 years and 11 months of consecutive service, under AR 3:1, Section VI.B, effective 4/12/11.
- Roeder, Phillip, College of Medicine, Research Professor, Family and Community Medicine, and joint appointment as Research Professor, Political Science, College of Arts and Sciences, after 37 years and 9 months of consecutive service, under AR 3:1, Section VI.B, effective 6/30/11.
- Roso, Genevieve P., College of Agriculture, Extension County Clerk, Extension Field Programs, after 21 years and 4 months of consecutive service, under AR 3:1, Section VI.B, effective 4/1/11.
- Wala, Elzbieta, College of Medicine, Associate Research Professor, Anesthesiology, after 25 years and 1 month of consecutive service, under AR 3:1, Section VI.B, effective 3/31/11.
- Xu, Zhiyu, Student Affairs, Food Services Worker II, Student Center Food Court, after 11 years and 3 months of consecutive service, under AR 3:1, Section VI.B, effective 5/6/11.

G. EARLY RETIREMENTS

- Iten, Cynthia S., College of Medicine, Academic Coordinator Senior, after 34 years of consecutive service, under AR 3:1, Section VI.A, effective 5/31/11.
- Maggard, Emily, College of Medicine, Staff Support Associate II, Family Practice, after 29 years and 8 months of consecutive service, under AR 3:1, Section VI.A, effective 6/30/11.
- O'Hara, Kieran, College of Arts and Sciences, Associate Professor (with tenure), Earth and Environmental Sciences, after 26 years and 10 months of consecutive service, under AR 3:1, Section VI.A, effective 6/30/11.

Taylor, Ronald, College of Arts and Sciences, Associate Professor* (with tenure), Psychology, after 28 years of consecutive service, under AR 3:1, Section VI.A, effective 6/30/11.

Warren, Dale E., College of Fine Arts, Associate Professor* (with tenure), Music, after 27 years and 10 months of consecutive service, under AR 3:1, Section VI.A, effective 6/30/11.

H. POST-RETIREMENT APPOINTMENTS

Aug, Robert G., College of Medicine, Professor (part-time), Psychiatry, 7/1/11 through 6/30/12.

Bale, Sharon, College of Agriculture, Extension Specialist (part-time), Horticulture, 7/6/11 through 7/5/12.

Buckner, Elizabeth, College of Agriculture, Agriculture Extension Specialist Administrator, Cooperative Extension Service, 7/5/11 through 12/31/11.

Cadle, Ronald G., College of Medicine, Assistant Professor (part-time), Microbiology, Immunology and Molecular Genetics, 7/1/11 through 6/30/12.

Clawson, D. K., College of Medicine, Professor (part-time), Orthopaedic Surgery, 7/1/11 through 6/30/12.

Cole, Henry, College of Public Health, Professor (part-time), Preventive Medicine and Environmental Health, 7/1/11 through 6/30/12.

Frazier, Donald T., College of Medicine, Professor (part-time), Physiology, 7/1/11 through 6/30/12.

Friedell, Gilbert H., College of Medicine, Professor (part-time), Behavioral Science, 7/1/11 through 6/30/12.

Gairola, Chandra G., College of Medicine, Professor (part-time), Graduate Center for Toxicology, 7/1/11 through 6/30/12.

Getchell, Marilyn L., College of Medicine, Professor (part-time), Anatomy and Neurobiology, 7/1/11 through 6/30/12.

Greenwell, Richard, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 5/6/11 through 5/5/12.

Infanger, Craig L., College of Agriculture, Professor (part-time), Agricultural Economics, 7/7/11 through 7/6/12.

Kaak, Hans O., College of Medicine, Professor (part-time), Psychiatry, 7/1/11 through 6/30/12.

Keller, Dorothy J., College of Medicine, Assistant Professor (part-time), Psychiatry, 7/1/11 through 6/30/12.

Kielar, Richard A., College of Medicine, Professor (part-time), Ophthalmology and Visual Sciences, 7/1/11 through 6/30/12.

Knapp, Fred W., College of Agriculture, Professor (part-time), Entomology, 7/1/11 through 6/30/12.

Kraus, Robert F., College of Medicine, Professor (part-time), Psychiatry, 7/1/11 through 6/30/12.

Lawson, James D., College of Agriculture, Senior Assistant Dean and Chief Business Officer (part-time), Agriculture Administration, 4/1/11 through 3/31/12.

Lee, Charles, College of Medicine, Associate Professor (part-time), Radiology, 7/1/11 through 6/30/12.

Lester, Robert L., College of Medicine, Professor (part-time), Molecular and Cellular Biochemistry, 7/1/11 through 6/30/12.

Lieber, Arthur, College of Medicine, Professor (part-time), Radiology, 7/1/11 through 6/30/12.

Long, Joy, College of Agriculture, Administrative Staff Officer (part-time), Agriculture Administration, 7/1/11 through 6/30/12.

Lucas, Bruce A., College of Medicine, Professor (part-time), Surgery, 7/1/11 through 6/30/12.

Miller, Ralph E., College of Medicine, Professor (part-time), Internal Medicine, 4/1/11 through 6/30/11.

Neill, John R., College of Medicine, Associate Professor (part-time), Psychiatry, 7/1/11 through 6/30/12.

Noonan, Jacqueline A., College of Medicine, Professor (part-time), Pediatrics, 7/1/11 through 6/30/12.

Stevens, David B., College of Medicine, Professor (part-time), Orthopaedic Surgery, 7/1/11 through 6/30/12.

Vanaman, Thomas C., College of Medicine, Professor (part-time), Molecular and Cellular Biochemistry, 7/1/11 through 6/30/12.

Weckman, Randy D., College of Agriculture, Associate Professor (part-time), Community and Leadership Development, 3/4/11 through 3/3/12.

Witzke, Donald B., College of Medicine, Associate Professor (part-time), Physiology, 7/1/11 through 6/30/12.

Wong, Peter, College of Medicine, Professor (part-time), Pediatrics, 7/1/11 through 6/30/12.

I. PHASED RETIREMENT APPOINTMENTS

Schach, Horst, College of Agriculture, Professor (with tenure), Landscape Architecture, 6/1/11 through 5/31/16.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Achterberg, Robert, College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 1/1/11 through 6/30/16.

Adams, Christopher A., College of Medicine, Assistant Professor (voluntary), Psychiatry, 4/1/11 through 6/30/16.

Akers, Valerie D., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 1/1/11 through 6/30/16.

Allen, Clarissa J., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 5/1/11 through 6/30/16.

Applegate, Charla A., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 11/1/10 through 6/30/16.

Beasley, Traci A., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 11/20/09 through 6/30/11.

Bell, Kimberly L., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 3/1/11 through 6/30/16.

Bentley, Deborah K., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 12/1/10 through 6/30/16.

Bernard, Bruce P., College of Public Health, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 4/1/11 through 6/30/16.

Bulgrin, Sarah P., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 2/1/11 through 6/30/16.

Davis, Orrin J., College of Medicine, Assistant Professor (voluntary), Pediatrics, 4/1/11 through 6/30/16.

Davis, Tristan C., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 1/13/10 through 6/30/11.

Day, Kristin V., College of Health Sciences, Assistant Adjunct Professor, Rehabilitation Sciences, 3/1/11 through 6/30/11.

Dunn, Leslie A., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 12/1/10 through 6/30/16.

Ervin, Tracey L., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 2/1/11 through 6/30/16.

Gazula, Vikram, College of Arts and Sciences, Adjunct Instructor, Physics and Astronomy, 1/1/10 through 5/15/10.

Gillespie, John, College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 7/1/10 through 6/30/16.

Girten, Heather L., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 12/1/10 through 6/30/16.

Guarino, Gina A., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 1/1/11 through 6/30/16.

Helm, Sherie L., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 11/1/10 through 6/30/16.

Hishmeh, Fahed B., College of Dentistry, Instructor (voluntary), Oral Health Science, 4/15/11 through 6/30/16.

Kelly, Don, College of Dentistry, Assistant Professor (voluntary), Oral Health Practice, 3/1/11 through 6/30/16.

Krein, Karin M., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 11/1/10 through 6/30/16.

Lockwood, Anna, College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 11/1/10 through 6/30/16.

Love, Claire W., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 2/1/11 through 6/30/16.

Mattingly, Todd J., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 12/1/10 through 6/30/16.

Mayes, Erik, College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 12/1/10 through 6/30/16.

Napier, Rebecca J., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 1/1/11 through 6/30/16.

Naseman, Gregory A., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 1/1/11 through 6/30/16.

Nettling, Billy W., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 1/1/11 through 6/30/16.

Niehoff, Tim, College of Pharmacy, Instructor (voluntary), Pharmacy Practice and Science, 2/1/11 through 6/30/16.

Nusbacher, Kenneth B., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 3/11/11 through 6/30/11.

Odom, Susan A., College of Arts and Sciences, Assistant Professor, Chemistry, 8/16/11 through 6/30/13.

Okoli, Chizimuzo, College of Nursing, Assistant Professor, 7/1/11 through 6/30/12.

Ray, Charles G., College of Medicine, Assistant Professor (voluntary), Surgery, 3/1/11 through 6/30/16.

Schrepferman, Christopher G., College of Medicine, Assistant Professor (voluntary), Surgery, 3/1/11 through 6/30/16.

Senft, Sandra L., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 1/1/11 through 6/30/16.

Short, Rebecca W., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 1/1/11 through 6/30/16.

Stamatel, Janet P., College of Arts and Sciences, Assistant Professor, Sociology, 8/16/11 through 6/30/13.

Statton, William C., College of Medicine, Assistant Professor (voluntary), Surgery, 2/1/11 through 6/30/16.

Tagavi, Anthony B., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 9/1/10 through 6/30/16.

Takaes, Patricia E., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/1/11 through 6/30/16.

Tapp, Loren C., College of Public Health, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 4/1/11 through 6/30/16.

Tsyusko, Olga V., College of Agriculture, Assistant Research Professor, Plant and Soil Sciences, 7/1/11 through 6/30/12.

Underwood, Jason E., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 10/1/10 through 6/30/16.

Wilson, Matthew W., College of Arts and Sciences, Assistant Professor, Geography, 8/16/11 through 6/30/13.

B. ADMINISTRATIVE APPOINTMENTS

Milich, Richard, College of Arts and Sciences, Professor (with tenure), Psychology, and joint appointment as Professor (without tenure), Behavioral Science, College of Medicine, appointed Interim Chair, Psychology, 7/1/11 through 6/30/12.

C. REAPPOINTMENTS

Abe, Oluwole J., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Adams, Claire A., College of Medicine, Assistant Research Professor, Microbiology, Immunology and Molecular Genetics, 7/1/11 through 6/30/12.

Adkins, Brian W., College of Medicine, Clinical Assistant Professor, Emergency Medicine, 7/1/11 through 6/30/12.

Ahn, Sungkee S., College of Medicine, Assistant Professor (part-time, visiting), Radiology, 7/1/11 through 6/30/12.

Alhajeri, Abdunnasser A., College of Medicine, Clinical Assistant Professor, Radiology, and joint appointment as Clinical Assistant Professor, Neurosurgery, 7/1/11 through 6/30/12.

Allahham, John H., College of Medicine, Assistant Professor (part-time), Psychiatry, 7/1/11 through 6/30/12.

Allen, Barry E., College of Medicine, Assistant Professor (part-time, visiting), Radiology, 7/1/11 through 6/30/12.

Anders, Michelle P., College of Medicine, Clinical Assistant Professor, Ophthalmology and Visual Sciences, 7/1/11 through 6/30/12.

Andries, Kenneth M., College of Agriculture, Assistant Adjunct Professor, Animal and Food Sciences, 7/1/11 through 6/30/13.

Aquino, Rowena S., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

Arndorfer, Laura A., College of Medicine, Assistant Professor (voluntary), Psychiatry, 7/1/11 through 6/30/16.

Arsenescu, Violeta, College of Medicine, Assistant Professor*, Graduate Center for Nutritional Sciences, 7/1/11 through 6/30/12.

Artiushin, Sergey C., College of Agriculture, Assistant Research Professor, Veterinary Science, 7/1/11 through 6/30/12.

Aryal, Prakash, College of Medicine, Clinical Instructor, Radiation Medicine, 7/1/11 through 6/30/12.

Ayoob, Andres R., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/11 through 6/30/12.

Badger, Brian C., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

Bagh, Adib, College of Business and Economics, Assistant Professor, Economics, and joint appointment as Assistant Professor, Mathematics, College of Arts and Sciences, 7/1/11 through 6/30/12.

Ballard-Croft, Cherry, College of Medicine, Assistant Research Professor, Surgery, 7/1/11 through 6/30/12.

Ballert, Katie N., College of Medicine, Assistant Professor*, Surgery, 7/1/11 through 6/30/12.

Baron, Andre T., College of Public Health, Assistant Professor (part-time), Epidemiology, and joint appointment as Assistant Professor (part-time), Obstetrics and Gynecology, College of Medicine, 7/1/11 through 6/30/12.

Bartlett, Jennifer, Libraries, Librarian III, 7/1/11 through 6/30/12.

Bathon, Justin M., College of Education, Assistant Professor, Educational Leadership Studies, 7/1/11 through 6/30/12.

Bayliff, Sherry L., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/11 through 6/30/12.

Behar, Miriam J., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/11 through 6/30/12.

Bernard, Philip A., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/11 through 6/30/12.

Bewley, Jeffrey M., College of Agriculture, Assistant Extension Professor, Animal and Food Sciences, 7/1/11 through 6/30/12.

Blackburn, Peter J., College of Medicine, Clinical Assistant Professor, Ophthalmology and Visual Sciences, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/11 through 6/30/12.

Blomquist, Gustav A., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/11 through 6/30/12.

Boulay, Rose M., College of Education, Lecturer, Educational Leadership Studies, and joint appointment as Lecturer, Curriculum and Instruction, 7/1/11 through 6/30/12.

Boyd, Douglas A., Libraries, Librarian III, 7/1/11 through 6/30/12.

Bradley, Luke H., College of Medicine, Assistant Professor, Anatomy and Neurobiology, and joint appointment as Assistant Professor, Molecular and Cellular Biochemistry, 7/1/11 through 6/30/12.

Breheny, Patrick J., College of Public Health, Assistant Professor, Biostatistics, and joint appointment as Assistant Professor, Statistics, College of Arts and Sciences, 7/1/11 through 6/30/12.

Bridges, Phillip J., College of Health Sciences, Assistant Professor, Clinical Sciences, 7/1/11 through 6/30/12.

Briere, Marc, College of Medicine, Assistant Professor (voluntary), Obstetrics and Gynecology, 7/1/11 through 6/30/16.

Brimhall, Conrad L., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Brown, Roger M., College of Agriculture, Senior Lecturer, Agricultural Economics, 7/1/11 through 6/30/12.

Bruce, Brandon T., College of Medicine, Clinical Assistant Professor, Orthopaedic Surgery, 7/1/11 through 6/30/12.

Bruno, Maria E., College of Medicine, Assistant Research Professor, Microbiology, Immunology and Molecular Genetics, 7/1/11 through 6/30/12.

Bryant, Uneeda K., College of Agriculture, Assistant Professor*, Veterinary Science, 7/1/11 through 6/30/12.

Bunge, Robert J., College of Medicine, Assistant Professor (voluntary), Psychiatry, 7/1/11 through 6/30/16.

Burgess, Raymond E., College of Business and Economics, Lecturer, Accountancy, 7/1/11 through 6/30/12.

Burk, David L., College of Medicine, Assistant Professor (part-time, visiting), Radiology, 7/1/11 through 6/30/12.

Butina, Michelle L., College of Health Sciences, Assistant Professor*, Clinical Sciences, 7/1/11 through 6/30/12.

Butterfield, Timothy A., College of Health Sciences, Assistant Professor, Rehabilitation Sciences, 7/1/11 through 6/30/12.

Bylund, Jason R., College of Medicine, Clinical Instructor, Surgery, 7/1/11 through 6/30/12.

Camargo, Fernanda C., College of Agriculture, Assistant Extension Professor, Animal and Food Sciences, 7/1/11 through 6/30/12.

Capoor, Seema, College of Medicine, Clinical Assistant Professor, Ophthalmology and Visual Sciences, 7/1/11 through 6/30/12.

Carter, Janis, College of Education, Assistant Research Professor, Curriculum and Instruction, 7/1/11 through 6/30/12.

Carvalho, Cletus S., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/11 through 6/30/12.

Caudill, Cliff M., College of Medicine, Clinical Assistant Professor, Ophthalmology and Visual Sciences, 7/1/11 through 6/30/12.

Causholli, Monika, College of Business and Economics, Assistant Professor, Accountancy, 7/1/11 through 6/30/12.

Cecil, Michael T., College of Medicine, Assistant Professor (voluntary), Surgery, 7/1/11 through 6/30/16.

Challa, Harigovinda R., College of Medicine, Clinical Assistant Professor, Radiology, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/11 through 6/30/12.

Chandran, Sheila, College of Medicine, Clinical Assistant Professor, Orthopaedic Surgery, 7/1/11 through 6/30/12.

Chase, Bradley C., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

Chen, Li, College of Public Health, Assistant Professor, Biostatistics, 7/1/11 through 6/30/12.

Chesnut, Lorie W., College of Public Health, Instructor (part-time), Epidemiology, 7/1/11 through 6/30/12.

Chishti, Aftab S., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/11 through 6/30/12.

Christensen, Christian, College of Medicine, Assistant Adjunct Professor, Orthopaedic Surgery, 7/1/11 through 6/30/12.

Clark, Todd V., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Clifford, Chris P., College of Business and Economics, Assistant Professor, Management, 7/1/11 through 6/30/12.

Combs, Pamela A., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Cook, Richard F., College of Agriculture, Assistant Research Professor, Veterinary Science, 7/1/11 through 6/30/12.

Corales, Enedino R., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/11 through 6/30/12.

Crispen, Paul L., College of Medicine, Assistant Professor*, Surgery, 7/1/11 through 6/30/12.

Cruser, Marc C., College of Medicine, Assistant Professor (voluntary), Psychiatry, 7/1/11 through 6/30/16.

Crutchfield, Barbara K., College of Medicine, Clinical Assistant Professor, Ophthalmology and Visual Sciences, 7/1/11 through 6/30/12.

Cumbermack, Kristopher M., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/11 through 6/30/12.

Cunningham, Billy E., College of Medicine, Assistant Professor (part-time, visiting), Radiology, 7/1/11 through 6/30/12.

Danov, Zoran, College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/11 through 6/30/12.

Davenport, Daniel L., College of Medicine, Assistant Professor*, Surgery, and joint appointment as Assistant Professor*, Management, College of Business and Economics, 7/1/11 through 6/30/12.

Davis, Bolivia T., College of Medicine, Assistant Professor (part-time, visiting), Radiology, 7/1/11 through 6/30/12.

Davis, Rebecca J., College of Business and Economics, Lecturer, Management, 7/1/11 through 6/30/12.

Dawkins, Adrian A., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/11 through 6/30/12.

Deane, Andrew S., College of Medicine, Assistant Professor*, Anatomy and Neurobiology, 7/1/11 through 6/30/12.

Delisle, Brian P., College of Medicine, Assistant Professor, Physiology, 7/1/11 through 6/30/12.

Dennis, Maren C., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/11 through 6/30/12.

Deokule, Sunil P., College of Medicine, Clinical Assistant Professor, Ophthalmology and Visual Sciences, 7/1/11 through 6/30/12.

Desai, Dharmin D., College of Medicine, Clinical Assistant Professor, Radiation Medicine, 7/1/11 through 6/30/12.

Deshmukh, Yusuf K., College of Medicine, Instructor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Diamond, Scott E., College of Medicine, Assistant Adjunct Professor, Physiology, 7/1/11 through 6/30/12.

Dinkins, Randy D., College of Agriculture, Assistant Adjunct Professor, Plant and Soil Sciences, 7/1/11 through 6/30/12.

Doolen, Suzanne T., College of Medicine, Assistant Research Professor, Physiology, 7/1/11 through 6/30/12.

Dove, Ed, College of Education, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/11 through 5/15/11.

Dowden, William L., College of Medicine, Assistant Professor (voluntary), Surgery, 7/1/11 through 6/30/16.

Draus, John M., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/11 through 6/30/12.

Dunavant, Scott L., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/11 through 6/30/12.

Duncan, Thomas J., College of Medicine, Instructor (voluntary), Obstetrics and Gynecology, 7/1/11 through 6/30/16.

Durbin, Eileen D., College of Agriculture, Instructor (part-time), Family Studies, 7/1/11 through 6/30/12.

Durell, Todd M., College of Medicine, Assistant Professor (voluntary), Psychiatry, 7/1/11 through 6/30/16.

Edds, Gerald G., College of Medicine, Assistant Professor (voluntary), Surgery, 7/1/11 through 6/30/16.

Eike, Ann, College of Business and Economics, Lecturer, Economics, 7/1/11 through 6/30/12.

Elisburg, Michelle E., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

Ellis, Scott C., College of Business and Economics, Assistant Professor, Management, 7/1/11 through 6/30/12.

Epps, Rebekah B., College of Agriculture, Assistant Professor, Community and Leadership Development, 7/1/11 through 6/30/12.

Eum, Sung Y., College of Medicine, Assistant Research Professor, Neurosurgery, 7/1/11 through 6/30/12.

Fedewa, Alicia L., College of Education, Assistant Professor, Educational, School, and Counseling Psychology, 7/1/11 through 6/30/12.

Felsberg, Gary J., College of Medicine, Assistant Professor (part-time, visiting), Radiology, 7/1/11 through 6/30/12.

Fields, Laurie, College of Agriculture, Assistant Professor, Landscape Architecture, 7/1/11 through 7/31/11.

Flythe, Michael D., College of Agriculture, Assistant Adjunct Professor, Animal and Food Sciences, 7/1/11 through 6/30/13.

Fondufe-Mittendorf, Yvonne N., College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/11 through 6/30/12.

Foster-Harper, Susan, Libraries, Librarian III, 7/1/11 through 6/30/12.

Franklin, Samuel R., College of Medicine, Assistant Professor*, Anatomy and Neurobiology, 7/1/11 through 6/30/12.

Galperin, Emilia, College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/11 through 6/30/12.

Ganesh, Halemane S., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/11 through 6/30/12.

Gao, Tianyan, College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/11 through 6/30/12.

Gedaly, Roberto, College of Medicine, Assistant Professor*, Surgery, 7/1/11 through 6/30/12.

Gentry, Matthew S., College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/11 through 6/30/12.

Gibbs, Richard D., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/11 through 6/30/12.

Glasser, Scott A., College of Medicine, Assistant Professor (part-time, visiting), Radiology, 7/1/11 through 6/30/12.

Godfrey, Christopher J., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Goebel, David K., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Gohmann, John, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Graber, Matthew N., College of Medicine, Clinical Assistant Professor, Emergency Medicine, and joint appointment as Clinical Assistant Professor, Molecular and Cellular Biochemistry, 7/1/11 through 6/30/12.

Graves, Kathryn T., College of Agriculture, Clinical Assistant Professor, Veterinary Science, 7/1/11 through 6/30/12.

Grondin, Richard C., College of Medicine, Assistant Professor, Anatomy and Neurobiology, 7/1/11 through 6/30/12.

Groth, Jeannette, College of Education, Lecturer, Curriculum and Instruction, 7/1/11 through 6/30/12.

Guiglia, Mary C., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Gulla, Darrin V., College of Business and Economics, Lecturer, Economics, 7/1/11 through 6/30/12.

Gyorffy, Michael J., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/11 through 6/30/12.

Hains, Bryan J., College of Agriculture, Assistant Professor, Community and Leadership Development, 7/1/11 through 6/30/12.

Haleman, Diana L., College of Agriculture, Lecturer, Family Studies, 7/1/11 through 6/30/12.

Hammonds, Donald N., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Hankins, Scott, College of Public Health, Assistant Professor, Health Services Management, 7/1/11 through 6/30/12.

Hapke, Jessica H., College of Business and Economics, Lecturer, Management, 7/1/11 through 6/30/12.

Harcastle, Sam, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Hardy, Peter A., College of Medicine, Assistant Research Professor, Anatomy and Neurobiology, and joint appointments as Assistant Research Professor, Radiology and Assistant Research Professor, Biomedical Engineering, College of Engineering, 7/1/11 through 6/30/12.

Hargrove, Ryan A., College of Agriculture, Assistant Professor, Landscape Architecture, 7/1/11 through 6/30/12.

Harris, Bill H., College of Medicine, Assistant Professor (voluntary), Internal Medicine, and joint appointment as Assistant Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Harris, Torrie T., College of Public Health, Clinical Assistant Professor, Health Services Management, 7/1/11 through 6/30/12.

Hartker, Frederick W., College of Medicine, Assistant Professor (part-time, visiting), Radiology, 7/1/11 through 6/30/12.

Hashem, Abul, College of Medicine, Assistant Adjunct Professor, Radiology, 7/1/11 through 6/30/12.

Havens, Jennifer R., College of Medicine, Assistant Professor, Behavioral Science, 7/1/11 through 6/30/12.

Hayes, Don, College of Medicine, Assistant Professor*, Pediatrics, and joint appointments as Assistant Professor*, Internal Medicine and Assistant Professor*, Surgery, 7/1/11 through 6/30/12.

Henningsen, Katie, Libraries, Librarian IV, 7/1/11 through 6/30/12.

Hesseldenz, Jon P., Libraries, Librarian IV, 7/1/11 through 6/30/12.

Higgins, Bethany, College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/11 through 6/30/12.

Hightower, Edward, College of Medicine, Assistant Professor (part-time), Radiology, 7/1/11 through 6/30/12.

Hill, John S., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Holbein, Gordon, College of Business and Economics, Senior Lecturer, Management, 7/1/11 through 6/30/14.

Holmes, Yancey R., College of Medicine, Instructor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Hong-McAtee, Irene, College of Medicine, Assistant Professor*, Pediatrics, 7/1/11 through 6/30/12.

Hoppenjans, William B., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Hosier, Amy F., College of Agriculture, Assistant Extension Professor, Family Studies, 7/1/11 through 6/30/12.

Houchin, Angela D., College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/11 through 6/30/12.

Houchin, Timothy M., College of Medicine, Assistant Professor (part-time), Psychiatry, 7/1/11 through 6/30/12.

Huang, Bin, College of Public Health, Assistant Professor, Biostatistics, 7/1/11 through 6/30/12.

Hundley, Jennifer L., College of Medicine, Assistant Professor (part-time), Surgery, 7/1/11 through 6/30/12.

Hundley, Jonathan C., College of Medicine, Assistant Professor*, Surgery, 7/1/11 through 6/30/12.

Hunter, Jennifer L., College of Agriculture, Assistant Extension Professor, Family Studies, 7/1/11 through 6/30/12.

Hutchinson, Larry D., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

Irwin, Leland J., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/11 through 6/30/12.

Jackman, William J., College of Agriculture, Assistant Adjunct Professor, Community and Leadership Development, 7/1/11 through 6/30/12.

Jackson, Jennifer A., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/11 through 6/30/12.

Jackson, Jim, College of Education, Lecturer, Educational Leadership Studies, 8/16/11 through 6/30/12.

Jagger, James A., College of Medicine, Clinical Assistant Professor, Orthopaedic Surgery, 7/1/11 through 6/30/12.

Johnson, Andrew O., College of Public Health, Assistant Professor, Health Services Management, 7/1/11 through 6/30/12.

Johnson, Nancy E., College of Public Health, Assistant Professor (part-time), Preventive Medicine and Environmental Health, 10/1/10 through 6/30/11.

Johnson, Steven D., College of Medicine, Clinical Assistant Professor, Psychiatry, and joint appointment as Clinical Assistant Professor, College of Social Work, 7/1/11 through 6/30/12.

Johnston, Jason W., College of Medicine, Assistant Professor, Microbiology, Immunology and Molecular Genetics, 7/1/11 through 6/30/12.

Jones, Charles R., College of Medicine, Instructor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Jones, Jeffery A., College of Public Health, Assistant Professor, Health Behavior, and joint appointment as Assistant Professor, Geography, College of Arts and Sciences, 7/1/11 through 6/30/12.

Jungsuwadee, Paiboon, College of Medicine, Assistant Professor (part-time), Graduate Center for Toxicology, 7/1/11 through 8/31/11.

Kabengi, Nadine, College of Agriculture, Assistant Research Professor, Plant and Soil Sciences, 7/1/11 through 6/30/12.

Kagan, Isabelle A., College of Agriculture, Assistant Adjunct Professor, Plant and Soil Sciences, 7/1/11 through 6/30/12.

Kakavand, Bahram, College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/11 through 6/30/12.

Kaminski, Chichuan Y., College of Medicine, Assistant Professor (part-time, visiting), Radiology, 7/1/11 through 6/30/12.

Karandikar, Ninad S., College of Medicine, Clinical Assistant Professor, Physical Medicine and Rehabilitation, 7/1/11 through 6/30/12.

Katchova, Ani L., College of Agriculture, Assistant Professor, Agricultural Economics, 7/1/11 through 6/30/12.

Keinsley, Jason, Libraries, Librarian IV, 7/1/11 through 6/30/12.

Kennedy, Laura A., College of Agriculture, Assistant Professor*, Veterinary Science, 7/1/11 through 6/30/12.

Kim, June, College of Medicine, Clinical Assistant Professor, Radiology, 7/1/11 through 6/30/12.

Kirn, David S., College of Medicine, Assistant Professor (voluntary), Surgery, 7/1/11 through 6/30/16.

Klotz, James L., College of Agriculture, Assistant Adjunct Professor, Animal and Food Sciences, 7/1/11 through 6/30/13.

Knudsen, Hannah K., College of Medicine, Assistant Professor, Behavioral Science, 7/1/11 through 6/30/12.

Kozik, Deborah J., College of Medicine, Clinical Assistant Professor, Surgery, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/11 through 6/30/12.

Kregor, Janice M., College of Medicine, Assistant Professor (part-time), Behavioral Science, 7/1/11 through 6/30/12.

Kumar, Subhash, College of Medicine, Instructor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Lamberth, Cynthia, College of Public Health, Clinical Instructor, Preventive Medicine and Environmental Health, 7/1/11 through 6/30/12.

Latham, Philip B., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/11 through 6/30/12.

Leaver, Patricia C., College of Agriculture, Instructor (part-time), Family Studies, 7/1/11 through 6/30/12.

Lehmkuhler, Jeffrey W., College of Agriculture, Assistant Extension Professor, Animal and Food Sciences, 7/1/11 through 6/30/12.

Lentzsch Parcels, Carolyn M., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/11 through 6/30/12.

Lewis, Christie C., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

Lewis, Thomas, College of Business and Economics, Lecturer, Management, 7/1/11 through 6/30/12.

Li, Jing, College of Medicine, Assistant Research Professor, Surgery, 7/1/11 through 6/30/12.

Liau, James Y., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/11 through 6/30/12.

Lifshitz, Jonathan, College of Medicine, Assistant Professor, Anatomy and Neurobiology, and joint appointment as Assistant Professor, Physical Medicine and Rehabilitation, 7/1/11 through 6/30/12.

Lin, Steve S., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Liu, Jun, College of Medicine, Assistant Professor, Pediatrics, 7/1/11 through 6/11/12.

Livingston, Scott C., College of Health Sciences, Assistant Professor, Rehabilitation Sciences, 7/1/11 through 6/30/12.

Lofwall, Michelle R., College of Medicine, Assistant Professor, Psychiatry, and joint appointment as Assistant Professor, Behavioral Science, 7/1/11 through 1/31/12.

Lommel, Karen, College of Medicine, Assistant Professor*, Psychiatry, and joint appointment as Assistant Professor*, Pediatrics, 7/1/11 through 6/30/12.

Long, James H., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Loynachan, Alan T., College of Agriculture, Assistant Professor*, Veterinary Science, 7/1/11 through 6/30/12.

Luftman, Martin J., College of Medicine, Assistant Professor (voluntary), Surgery, 7/1/11 through 6/30/16.

Luftman, Virginia H., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/11 through 6/30/12.

Luo, Wei, College of Medicine, Clinical Assistant Professor, Radiation Medicine, and joint appointment as Clinical Assistant Professor, Clinical Sciences, College of Health Sciences, 7/1/11 through 6/30/12.

Lybarger, Kathryn J., Libraries, Librarian IV, 7/1/11 through 6/30/12.

Machwe, Amrita, College of Medicine, Assistant Research Professor, Graduate Center for Toxicology, 7/1/11 through 6/30/12.

Manis, Isaac G., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/11 through 6/30/12.

Mardini, Houssam E., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Marshall, Charles T., College of Health Sciences, Assistant Professor*, Rehabilitation Sciences, and joint appointment as Assistant Professor*, Physiology, College of Medicine, 7/1/11 through 6/30/12.

Marti, Francesc, College of Medicine, Assistant Professor, Microbiology, Immunology and Molecular Genetics, 7/1/11 through 6/30/12.

Martin, Heath C., Libraries, Librarian IV, 7/1/11 through 6/30/12.

Mashburn, Amy B., College of Medicine, Assistant Professor (voluntary), Internal Medicine, and joint appointment as Assistant Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

Mattingly, John A., College of Medicine, Assistant Professor (part-time, visiting), Radiology, 7/1/11 through 6/30/12.

McCarthy, John J., College of Medicine, Assistant Research Professor, Physiology, 7/1/11 through 6/30/12.

McCulley, Rebecca L., College of Agriculture, Assistant Professor, Plant and Soil Sciences, 7/1/11 through 4/2/12.

McKeon, Jennifer M., College of Health Sciences, Assistant Professor, Rehabilitation Sciences, 7/1/11 through 6/30/12.

McKeon, Patrick O., College of Health Sciences, Assistant Professor, Rehabilitation Sciences, 7/1/11 through 6/30/12.

McMullen, Colleen A., College of Medicine, Assistant Adjunct Professor, Physiology, 7/1/11 through 6/30/12.

McNear, David H., College of Agriculture, Assistant Professor, Plant and Soil Sciences, 7/1/11 through 6/30/12.

Meister, Todd A., College of Medicine, Assistant Professor (part-time, visiting), Radiology, 7/1/11 through 6/30/12.

Mende, Martin, College of Business and Economics, Assistant Professor, Management, 7/1/11 through 6/30/12.

Menke, Kristy K., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

Meyer-Rossi, Alissa L., College of Agriculture, Lecturer, Community and Leadership Development, 7/1/11 through 6/30/12.

Milan, Primo, College of Medicine, Assistant Adjunct Professor, Radiology, 7/1/11 through 6/30/12.

Miller, Cynthia J., College of Business and Economics, Lecturer, Accountancy, 7/1/11 through 6/30/12.

Miller, James E., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Moe, Luke A., College of Agriculture, Assistant Professor, Plant and Soil Sciences, 7/1/11 through 6/30/12.

Moghadamian, Eric S., College of Medicine, Clinical Assistant Professor, Orthopaedic Surgery, 7/1/11 through 6/30/12.

Moore, Andrew M., College of Medicine, Assistant Professor (voluntary), Surgery, 7/1/11 through 6/30/16.

Moylan, Jennifer S., College of Medicine, Assistant Research Professor, Physiology, 7/1/11 through 6/30/12.

Muchlinski, Magdalena N., College of Medicine, Assistant Professor*, Anatomy and Neurobiology, 7/1/11 through 6/30/12.

Munir, Jawad, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Nah, Seungahn, College of Agriculture, Assistant Professor, Community and Leadership Development, 7/1/11 through 6/30/12.

Naik, Mahesh G., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

Napier, Sharon M., College of Medicine, Assistant Professor (part-time), Ophthalmology and Visual Sciences, 7/1/11 through 6/30/12.

Neltner, Matthew J., College of Medicine, Assistant Adjunct Professor, Psychiatry, 7/1/11 through 6/30/12.

Nickels, David J., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/11 through 6/30/12.

Noehren, Brian W., College of Health Sciences, Assistant Professor, Rehabilitation Sciences, 7/1/11 through 6/30/12.

Northrip, Kimberly R., College of Medicine, Clinical Assistant Professor, Pediatrics, and joint appointment as Clinical Assistant Professor, Health Behavior, College of Public Health, 7/1/11 through 6/30/12.

O'Neill, Amy M., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/11 through 6/30/12.

Onifer, Stephen M., College of Medicine, Assistant Research Professor, Anatomy and Neurobiology, 7/1/11 through 6/30/12.

Orthober, Raymond J., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/11 through 6/30/12.

Ostertag, Eric M., College of Medicine, Assistant Adjunct Professor, Microbiology, Immunology and Molecular Genetics, 7/1/11 through 6/30/12.

Owen, Randal W., College of Medicine, Assistant Professor (voluntary), Obstetrics and Gynecology, 7/1/11 through 6/30/16.

Park, Woong K., College of Medicine, Assistant Professor (voluntary), Psychiatry, 7/1/11 through 6/30/16.

Parker, Trent S., College of Agriculture, Assistant Professor, Family Studies, 7/1/11 through 6/30/12.

Parker-Brown, Kimberly, College of Education, Lecturer, Special Education and Rehabilitation Counseling, 7/1/11 through 6/30/12.

Parrott, Mark L., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/11 through 6/30/12.

Pate, James C., College of Medicine, Instructor (part-time), Ophthalmology and Visual Sciences, 7/1/11 through 7/6/11.

Paumi, Christian M., College of Medicine, Assistant Professor, Graduate Center for Toxicology, 7/1/11 through 6/30/12.

Payne, Joey, College of Agriculture, Adjunct Instructor, Nutrition and Food Science, 7/1/11 through 6/30/12.

Pearson, Kevin J., College of Medicine, Assistant Professor, Graduate Center for Nutritional Sciences, 7/1/11 through 6/30/12.

Pellegrini, Richard A., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/11 through 6/30/12.

Pennington, Rose, College of Agriculture, Instructor (part-time), Family Studies, 7/1/11 through 6/30/12.

Pezzi, James S., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Phillips, Mary, College of Medicine, Assistant Professor (voluntary), Surgery, 7/1/11 through 6/30/16.

Pierce, Andrew J., College of Medicine, Assistant Professor (part-time), Microbiology, Immunology and Molecular Genetics, 7/1/11 through 6/30/12.

Pinto-Sinai, Gitanjali L., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/10 through 6/30/11.

Polisetty, Rayudu B., College of Medicine, Assistant Professor (part-time), Radiology, 7/1/11 through 6/30/12.

Pollack, Susan H., College of Medicine, Assistant Research Professor, Pediatrics, and joint appointment as Assistant Research Professor, Preventive Medicine and Environmental Health, College of Public Health, 7/1/11 through 6/30/12.

Powdrill, Samuel G., College of Health Sciences, Assistant Professor*, Clinical Sciences, 7/1/11 through 9/30/11.

Prabhu, Pramod V., College of Medicine, Clinical Assistant Professor, Radiation Medicine, 7/1/11 through 6/30/12.

Prescott, Daniel C., College of Medicine, Instructor (part-time), Ophthalmology and Visual Sciences, 7/1/11 through 6/30/12.

Preston, David M., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/11 through 6/30/12.

Proudfoot, Richard W., College of Medicine, Assistant Professor (voluntary), Surgery, 7/1/11 through 6/30/16.

Prout, Susan M., College of Education, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/11 through 5/15/11.

Pulmano, Calixto M., College of Medicine, Assistant Professor (part-time), Radiology, and joint appointment as Assistant Professor (part-time), Neurology, 7/1/11 through 6/30/12.

Quandt, M. Denise, College of Medicine, Clinical Instructor, Behavioral Science, 7/1/11 through 6/30/12.

Radulescu, Aurelia, College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/11 through 6/30/12.

Radulescu, Vlad C., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/11 through 6/30/12.

Raisler, Brian J., College of Medicine, Assistant Professor, Ophthalmology and Visual Sciences, and joint appointment as Assistant Professor, Physiology, 7/1/11 through 6/30/12.

Ramsey, April W., College of Medicine, Instructor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Ravvin, Leon J., College of Medicine, Assistant Professor (voluntary), Neurosurgery, 7/1/11 through 6/30/16.

Reams, Robin A., College of Medicine, Clinical Assistant Professor, Radiation Medicine, 7/1/11 through 6/30/12.

Rentfrow, Gregg K., College of Agriculture, Assistant Extension Professor, Animal and Food Sciences, 7/1/11 through 1/29/12.

Rhodes, Charles M., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Richards, Alan K., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

Richardson, April, College of Medicine, Assistant Professor*, Anatomy and Neurobiology, 7/1/11 through 6/30/12.

Ricketts, Kristina D., College of Agriculture, Assistant Extension Professor, Community and Leadership Development, 7/1/11 through 6/30/12.

Riddell, Martha C., College of Public Health, Clinical Assistant Professor, Health Services Management, 7/1/10 through 6/30/11.

Ringley, Kimberly R., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/11 through 6/30/12.

Ritchey, Edwin L., College of Agriculture, Assistant Extension Professor, Plant and Soil Sciences, 7/1/11 through 6/30/12.

Robbins, Susan N., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/11 through 6/30/12.

Ross, Jenna L., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/11 through 6/30/12.

Rossano, Mary G., College of Agriculture, Assistant Professor, Animal and Food Sciences, 7/1/11 through 6/30/12.

Rychahou, Piotr G., College of Medicine, Assistant Research Professor, Surgery, 7/1/11 through 6/30/12.

Ryou, Chongsuk, College of Medicine, Assistant Professor, Microbiology, Immunology and Molecular Genetics, 7/1/11 through 10/31/11.

Sailor, Janet L., College of Medicine, Assistant Professor (part-time, visiting), Radiology, 7/1/11 through 6/30/12.

Salins, Praveena M., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

Saltiel, Armando A., College of Medicine, Assistant Professor (part-time, visiting), Radiology, 7/1/11 through 6/30/12.

Satterfield, Elizabeth, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/11 through 5/15/11.

Schieffer, John K., College of Agriculture, Assistant Professor, Agricultural Economics, 7/1/11 through 6/30/12.

Schloemer, George L., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Schuer, Kevin M., College of Health Sciences, Assistant Professor*, Clinical Sciences, 7/1/11 through 6/30/12.

Schwartz, Murray, College of Medicine, Assistant Professor (part-time, visiting), Radiology, 7/1/11 through 6/30/12.

Scott, Maura L., College of Business and Economics, Assistant Professor, Management, 7/1/11 through 6/30/12.

Seay, Thomas M., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/11 through 6/30/12.

Sedlacek, John D., College of Agriculture, Assistant Adjunct Professor, Entomology, 7/1/11 through 6/30/12.

Sellitti, Marguerite A., College of Medicine, Assistant Professor (part-time), Radiation Medicine, 7/1/11 through 6/30/12.

Shalash, Amael, College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

Shapiro, Robert M., Libraries, Librarian IV, 7/1/11 through 6/30/12.

Shea, Paul, College of Business and Economics, Assistant Professor, Economics, 7/1/11 through 6/30/12.

Shelton, Charles, College of Medicine, Assistant Professor (voluntary), Psychiatry, 7/1/11 through 6/30/16.

Sherman, Paul M., College of Medicine, Assistant Professor (part-time, visiting), Radiology, 7/1/11 through 6/30/12.

Shirakbari, Alicia A., College of Medicine, Clinical Assistant Professor, Emergency Medicine, 7/1/11 through 6/30/12.

Siebenthaler, Jennifer W., College of Business and Economics, Lecturer, Accountancy, 7/1/11 through 6/30/12.

Simmons, John N., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/11 through 6/30/12.

Simon, Robert E., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/11 through 6/30/12.

Simpson, Mark T., College of Medicine, Assistant Professor (voluntary), Psychiatry, 7/1/11 through 6/30/16.

Singh, Indrapal N., College of Medicine, Assistant Research Professor, Anatomy and Neurobiology, 7/1/11 through 6/30/12.

Sithisarn, Thitinart, College of Medicine, Assistant Professor*, Pediatrics, 7/1/11 through 6/30/12.

Skinner, Sean C., College of Medicine, Clinical Assistant Professor, Surgery, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/11 through 6/30/12.

Slade, Susan R., College of Medicine, Assistant Professor (voluntary), Psychiatry, 7/1/11 through 6/30/16.

Smigla, John E., College of Business and Economics, Senior Lecturer, Accountancy, 7/1/11 through 6/30/14.

Smith, Clifton, College of Medicine, Assistant Professor (voluntary), Internal Medicine, and joint appointment as Assistant Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.

Smith, Irvin E., College of Medicine, Assistant Professor (voluntary), Anesthesiology, 7/1/11 through 6/30/16.

Smith, Jason M., College of Business and Economics, Assistant Professor, Management, 7/1/11 through 6/30/12.

Smith, Michael D., College of Public Health, Assistant Adjunct Professor, Health Services Management, 7/1/10 through 6/30/11.

Smith, Michael D., College of Public Health, Assistant Adjunct Professor, Health Services Management, 7/1/11 through 6/30/12.

Smith, R. A., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

Snider, Bruce A., College of Medicine, Assistant Professor (voluntary), Psychiatry, 7/1/11 through 6/30/16.

Sokoloff, Ronald M., College of Medicine, Assistant Professor (part-time, visiting), Radiology, 7/1/11 through 6/30/12.

Spicer, Paul J., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/11 through 6/30/12.

Stanton, Ryan A., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/11 through 6/30/12.

Stearley, Seth T., College of Medicine, Clinical Assistant Professor, Emergency Medicine, 7/1/11 through 6/30/12.

Stevens, Scott D., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/11 through 6/30/12.

Stewart, Charles T., College of Medicine, Assistant Professor (part-time), Physical Medicine and Rehabilitation, 7/1/11 through 6/30/12.

Stoops, William W., College of Medicine, Assistant Professor, Behavioral Science, and joint appointment as Assistant Professor, Psychology, College of Arts and Sciences, 7/1/11 through 6/30/12.

Stowe, Christina J., College of Agriculture, Assistant Professor, Agricultural Economics, and joint appointment as Assistant Professor, Economics, College of Business and Economics, 7/1/11 through 6/30/12.

Stumpf, Elizabeth S., College of Medicine, Assistant Research Professor, Physiology, 7/1/11 through 6/30/12.

Suman, Surendranath P., College of Agriculture, Assistant Professor, Animal and Food Sciences, 7/1/11 through 6/30/12.

Swayze, Sandra, College of Medicine, Assistant Professor (part-time), Radiation Medicine, 7/1/11 through 6/30/12.

Symons, Thorburn B., College of Public Health, Assistant Professor, Gerontology, 7/1/11 through 6/30/12.

Teferra, Ethiopia, College of Medicine, Assistant Professor (part-time, visiting), Radiology, 7/1/11 through 6/30/12.

Tekwani, Shivan H., College of Medicine, Instructor (part-time), Ophthalmology and Visual Sciences, 7/1/11 through 7/31/11.

Thomas, David T., College of Health Sciences, Assistant Professor, Clinical Sciences, 7/1/11 through 6/30/12.

Tovar, Getulio V., College of Medicine, Assistant Professor (voluntary), Psychiatry, 7/1/11 through 6/30/16.

Trent, Robert C., College of Medicine, Instructor (voluntary), Obstetrics and Gynecology, 7/1/11 through 6/30/16.

Tricarico, Juan M., College of Agriculture, Assistant Adjunct Professor, Animal and Food Sciences, 7/1/11 through 6/30/13.

Tuttle, Jeffrey P., College of Medicine, Assistant Professor (part-time), Psychiatry, 7/1/11 through 6/30/12.

Tyler, Terry W., College of Medicine, Assistant Professor (voluntary), Radiology, 7/1/11 through 6/30/16.

Unrine, Jason M., College of Agriculture, Assistant Research Professor, Plant and Soil Sciences, 7/1/11 through 6/30/12.

Uppal, Arvinder, College of Medicine, Assistant Professor (part-time, visiting), Radiology, 7/1/11 through 6/30/12.

Urschel, Kristine L., College of Agriculture, Assistant Professor, Animal and Food Sciences, 7/1/11 through 6/30/12.

Uzuegbunam, Ikenna S., College of Business and Economics, Assistant Professor, Management, 7/1/11 through 6/30/12.

Van Meter, Emily M., College of Public Health, Assistant Professor, Biostatistics, 7/1/11 through 6/30/12.

Vander Kooi, Craig W., College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/11 through 6/30/12.

Vanderpool, Robin C., College of Public Health, Assistant Professor, Health Behavior, 7/1/11 through 6/30/12.

Vanmeter, Francis M., College of Medicine, Assistant Professor (voluntary), Surgery, 7/1/11 through 6/30/16.

Vincent, Leslie H., College of Business and Economics, Assistant Professor, Management, 7/1/11 through 6/30/12.

Vincent, Stacy K., College of Agriculture, Lecturer, Community and Leadership Development, 7/1/11 through 6/30/12.

Vranicar, Mark G., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/11 through 6/30/12.

Walters, Cory G., College of Agriculture, Assistant Extension Professor, Agricultural Economics, 7/1/11 through 6/30/12.

Wang, Chi, College of Medicine, Assistant Professor, Biostatistics, 7/1/11 through 6/30/12.

Wang, Qingding, College of Medicine, Assistant Research Professor, Surgery, 7/1/11 through 6/30/12.

Wang, Qingjun, College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/11 through 6/30/12.

Warner, Jeremy L., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

Webster, Carl D., College of Agriculture, Assistant Adjunct Professor, Animal and Food Sciences, 7/1/11 through 6/30/13.

Webster, Thomas C., College of Agriculture, Assistant Adjunct Professor, Entomology, 7/1/11 through 6/30/12.

Weigel, Joseph G., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Werner-Wilson, Tracey, College of Agriculture, Lecturer, Family Studies, 7/1/11 through 6/30/12.

Whitt, Kelli G., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

Wilcock, Donna M., College of Medicine, Assistant Professor, Physiology, 7/1/11 through 6/30/12.

Wilkes, James C., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

Wilkes, Karry R., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/11 through 6/30/16.

Willen, Christi M., College of Medicine, Clinical Assistant Professor, Ophthalmology and Visual Sciences, 7/1/11 through 6/30/12.

Williams, Stephen A., College of Medicine, Assistant Professor (voluntary), Radiology, 7/1/11 through 6/30/16.

Wilson, Ronald L., College of Medicine, Instructor (voluntary), Internal Medicine, 7/1/11 through 6/30/16.

Winkler, Michael A., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/11 through 6/30/12.

Wise, James N., College of Medicine, Clinical Assistant Professor, Radiology, and joint appointment as Clinical Assistant Professor, Orthopaedic Surgery, 7/1/11 through 6/30/12.

Wood, Nathan D., College of Agriculture, Assistant Professor, Family Studies, 7/1/11 through 6/30/12.

Wright, Raymond D., College of Medicine, Clinical Assistant Professor, Orthopaedic Surgery, 7/1/11 through 6/30/12.

Yu, Chen-Guang, College of Medicine, Assistant Research Professor, Anatomy and Neurobiology, 7/1/11 through 6/30/12.

Zanjani, Faika, College of Public Health, Assistant Professor, Gerontology, and joint appointments as Assistant Professor, Psychiatry, College of Medicine and Assistant Professor, Health Behavior, 7/1/11 through 6/30/12.

Zayas-Colon, Vanessa M., College of Medicine, Assistant Professor (part-time, visiting), Radiology, 7/1/11 through 6/30/12.

Zhang, Zhuo, College of Public Health, Assistant Professor, Preventive Medicine and Environmental Health, 7/1/11 through 6/30/12.

Zhou, Changcheng, College of Medicine, Assistant Professor, Graduate Center for Nutritional Sciences, 7/1/11 through 6/30/12.

Ziada, Ali M., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/11 through 6/30/12.

D. CHANGES

Byrd, Andrew M., College of Arts and Sciences, from Instructor (part-time), English, to Lecturer, English, 8/16/11 through 6/30/13.

Chambers, Orlando, Research, from Biotechnology Relations Director, Kentucky Tobacco Research and Development Center, to Tobacco Health Research Institute Director, Kentucky Tobacco Research and Development Center, effective 4/1/11.

Marcinek, Regina M., from Nurse Clinical Research I, College of Nursing, to Clinical Nurse Specialist, Internal Medicine, College of Medicine, effective 3/27/11.

Pistilli, Judith, from Auditor Principal, Internal Audit, to Dean Assistant/Administration, College of Pharmacy, effective 4/1/11.

Ratcliffe, Ellen E., College of Medicine, from Clinical Nurse Specialist, Internal Medicine, to Advanced Practice Provider III, Internal Medicine, effective 3/13/11.

Riddell, Martha C., College of Public Health, from Assistant Professor (part-time), Health Services Management, to Clinical Assistant Professor, Health Services Management, 4/1/10 through 6/30/10.

Wang, Chi, College of Medicine, from Assistant Professor, Surgery, and joint appointment as Assistant Professor, Biostatistics, College of Public Health, to Assistant Professor, Biostatistics, College of Public Health, 10/1/10 through 6/30/11.

E. TERMINAL REAPPOINTMENTS

Brown, Stephen A., College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/11 through 6/30/12.

Cai, Lei, College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/11 through 6/30/12.

Campbell, Kim K., College of Health Sciences, Lecturer, Clinical Sciences, 7/1/11 through 6/30/12.

Chen, Gang, College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/11 through 6/30/12.

Collett, DeShana G., College of Health Sciences, Lecturer, Clinical Sciences, 7/1/11 through 6/30/12.

Finlin, Brian S., College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/11 through 6/30/12.

Kim, Myung-Hee, College of Medicine, Assistant Research Professor, Internal Medicine, 1/1/11 through 6/30/11.

Sands, L. F., College of Public Health, Assistant Research Professor, Epidemiology, 7/1/11 through 6/30/12.

Schwarze, Steven R., College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, and joint appointment as Assistant Professor, Surgery, 5/16/11 through 5/15/12.

Shi, Geng-Xian, College of Medicine, Assistant Research Professor, Molecular and Cellular Biochemistry, 7/1/11 through 6/30/12.

Subramanian, Venkateswaran, College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/11 through 6/30/12.

Walker, James R., College of Medicine, Assistant Research Professor, Behavioral Science, and joint appointments as Assistant Research Professor, Psychiatry and Assistant Research Professor, College of Social Work, 7/1/11 through 6/30/12.

Walsh, Sabrina, College of Public Health, Assistant Research Professor, Epidemiology, 7/1/11 through 6/30/12.

Yi, Kwan, College of Communications and Information Studies, Assistant Professor, Library and Information Science, 10/1/10 through 9/30/11.

F. LEAVES OF ABSENCE

Bagby, Ihsan, College of Arts and Sciences, Associate Professor (with tenure), Modern and Classical Languages, Literatures, and Cultures, from Sabbatical leave at two-thirds salary, 7/1/11 through 6/30/12, to Sabbatical leave at full salary, 1/1/12 through 6/30/12.

Baunach, Kerri A., Libraries, Librarian II (with tenure), Sabbatical leave at full salary, 1/1/12 through 6/30/12.

Boyd, Douglas A., College of Communications and Information Studies, Professor (with tenure), Communication, Sabbatical leave at full salary, 1/1/12 through 5/15/12.

Bradley, Kelly, College of Education, Associate Professor (with tenure), Educational Policy Studies and Evaluation, from Sabbatical leave at half salary, 8/16/11 through 5/15/12, to Sabbatical leave at full salary, 1/1/12 through 5/15/12.

Dahlstrom, Robert, College of Business and Economics, Professor (with tenure), Management, Sabbatical leave without pay, 7/1/11 through 12/31/11.

Denison, Dwight, Graduate School, Professor (with tenure), Martin School of Public Policy and Administration, Sabbatical leave at full salary, 7/1/11 through 12/31/11.

Frost, Christopher W., College of Law, Professor (with tenure), Sabbatical leave at full salary, 8/16/11 through 12/31/11.

Hackbart, Merl, College of Business and Economics, Professor (with tenure), Management, and joint appointment as Professor (without tenure), Martin School of Public Policy and Administration, Graduate School, Sabbatical leave at full salary, 1/1/12 through 6/30/12.

Hioki, Kazuko, Libraries, Librarian II (with tenure), Sabbatical leave at full salary, 7/1/11 through 12/31/11.

Huberfeld, Nicole L., College of Law, Associate Professor (with tenure), Sabbatical leave at full salary, 8/16/11 through 12/31/11.

Sathaye, Avinash, College of Arts and Sciences, Professor (with tenure), Mathematics, Leave without pay, 7/1/11 through 12/31/11.

Schwemm, R., College of Law, Professor (with tenure), Sabbatical leave at full salary, 1/1/12 through 5/15/12.

Toma, Eugenia, Graduate School, Professor (with tenure), Martin School of Public Policy and Administration, Sabbatical leave at full salary, 1/1/12 through 6/30/12.

Welling, Sarah N., College of Law, Professor (with tenure), Sabbatical leave at full salary, 8/16/11 through 12/31/11.

G. RESIGNATIONS

Case, Elizabeth A., College of Medicine, Clinical Assistant Professor, Obstetrics and Gynecology, effective 6/17/11.

Di Sessa, Thomas G., College of Medicine, Professor* (with tenure), Pediatrics, effective 6/30/11.

Garabedian, Wendy R., College of Medicine, Clinical Assistant Professor, Internal Medicine, effective 6/30/11.

Giordani, Mauro, College of Medicine, Clinical Assistant Professor, Orthopaedic Surgery, effective 6/17/11.

Harned, Kevin C., College of Medicine, Clinical Assistant Professor, Internal Medicine, effective 4/29/11.

Patton, Dana J., College of Public Health, Assistant Professor, Health Services Management, effective 6/30/11.

Wilson, John K., College of Medicine, Clinical Assistant Professor, Internal Medicine, effective 6/17/11.

Wurth, Amanda E., College of Dentistry, Clinical Assistant Professor, Oral Health Science, effective 6/30/11.
Yunker, Jacob J., College of Medicine, Clinical Assistant Professor, Ophthalmology and Visual Sciences, effective 6/30/11.

EXECUTIVE VICE PRESIDENT FOR HEALTH AFFAIRS

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Farmer, Donna, UK HealthCare, Physician, University Health Services, effective 04/04/11

B. CHANGES

No changes to report at this time.

C. RETIREMENTS

Wright, Darlene, Patient Relations Assistant III, after 18 years and 07 months of consecutive service, under AR 3:1 Section VI.A., effective 03/11/11
Mercer, Deloris P., Staff Support Associate II, after 12 years and 01 month of consecutive service, under AR 3:1 Section VI.B., effective 06/24/11
Hartzler, Rebecca I., Nurse Clinical, after 26 years and 10 months of consecutive service, under AR 3:1 Section VI.B., effective 04/19/11

II. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE APPOINTMENTS

No appointments to report at this time.

B. CHANGES

Alverson, Joe, UK HealthCare, from Hospital Chaplain/UKHC, Office of Service Excellence to Pastoral Care Director/UKHC, Office of Service Excellence, effective 03/27/11
Canales, Denise, UK HealthCare, from Biomedical Intelligence Reporting Officer, Biomedical Informatics to Compliance/Privacy Officer Chief Assistant/UKHC, EVPHA Administration, effective 03/21/11

PR 3

Office of the President
May 3, 2011

Members, Board of Trustees:

REAPPOINTMENT TO BOARD OF DIRECTORS
OF THE FUND FOR ADVANCEMENT OF EDUCATION AND RESEARCH IN THE
UNIVERSITY OF KENTUCKY MEDICAL CENTER

Recommendation: that the Board of Trustees approve the reappointment of Anita M. Britton to the Board of Directors of The Fund for Advancement of Education and Research in the University of Kentucky Medical Center for a two-year term ending June 30, 2013.

Background: The Articles of Incorporation of The Fund for Advancement of Education and Research in the University of Kentucky Medical Center require two-year-term Board of Director appointments by the Board of Trustees of the University of Kentucky.

Action taken: Approved Disapproved Other _____

Bio for Board of Directors
The Fund for Advancement of Education and Research in the
University of Kentucky Medical Center

Anita M. Britton: Ms. Britton received a Bachelor of Science degree in Psychology from Centre College of Kentucky. After a year at the college as a Lilly Fellow, Anita came to Lexington where she worked in local government before entering the College of Law at the University of Kentucky. Anita received her Juris Doctorate with Distinction from the University of Kentucky in 1982. She is currently with Britton Osborne Johnson, PLLC.

AACR 1

Office of the President
May 3, 2011

Members, Board of Trustees:

CANDIDATES FOR DEGREES
UNIVERSITY SYSTEM

Recommendation: that the president be authorized to confer upon each individual whose name appears on the attached list the degree to which he or she is entitled upon certification by the university registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic Affairs Committee of the Board of Trustees.

Background: Since commencement exercises are held immediately following completion of examinations, it is not possible for the university registrar to certify satisfactory completion of requirements prior to commencement exercises for those candidates who complete their requirements in May.

For details on degree candidate listings,
please contact

Jacque Hager
Registrar's Office
Room 10 Funkhouser Building
University of Kentucky
Lexington, KY 40506-0057
859-257-7157

Action taken: Approved Disapproved Other _____

**OFFICE OF UNDERGRADUATE ADMISSION AND UNIVERSITY REGISTRAR
CANDIDATES FOR DEGREES - May 2011**

Graduate Degrees:

Ph.D.	199
D.M.A.	14
Ed.D.	12
Ed.S.	25
M.A.	75
M.AR.	21
M.A.EDU.	46
M.A.ID.	4
M.B.A.	73
M.E.	2
M.F.A.	5
M.H.A.	16
M.H.P.	11
M.M.	23
M.P.A.	22
M.P.H.	48
M.P.P.	8
M.R.C.	12
M.S.	143
M.S.BE.	4
M.S.BAE.	6
M.S.CD.	54
M.S.CE.	21
M.S.CTL.	8
M.S.EDU.	32
M.S.E.E.	23
M.S.F.A.	13
M.S.FOR.	6
M.S.L.S.	40
M.S.MAT.	3
MSMSYE.	1
M.S.ME.	12
M.S.N.	26
M.S.N.S.	7
M.S. RMP.	5
M.S.W.	97
TOTAL	1,117

Professional Degrees:

D.M.D.	53
D.N.P.	9
Dr.P.H.	10
D.P.T.	14
J.D.	128
M.D.	93
PharmD.	123
TOTAL	430

Undergraduate Degrees:

Agriculture

BSAB.	12
BSAEC.	58
BSAECL.	2
BSAG.	1
BSAS.	18
BSCCLD.	39
BSCTE.	14
BSDIE.	17
BSESM.	16
BSFCS.	1
BSFOR.	11
BSFOS.	3
BSFSC.	40
BSHPS.	5
BSHM.	9
BSHN.	28
BSLA.	15
BSMAT.	24
BSNRC.	11
BSPSS.	1
TOTAL	325

Arts and Sciences

BA.	589
BGS.	1
BS.	265
BSMT.	1
TOTAL	856

Gatton Business and Economics

BBA.	299
BSA.	126
BSBE.	17
TOTAL	442

Comm. & Information Studies

BA.	163
BS.	54
TOTAL	217

Design

BAARC.	2
BARCH.	47
TOTAL	49

Education

BAEDU.	121
BSEDU.	66
TOTAL	187

Engineering

BSBE.	10
BSCHE.	28
BSC.	45
UK/WKU: BSCE.	8
BSCS.	21
BSCOE.	10
BSEE.	30
BSMAE.	4
BSMEE.	80
UK/WKU: BSMEE.	10
BSMIE.	10
TOTAL	256

Fine Arts

BA.	84
BFA.	5
BM.	18
BMME.	17
TOTAL	124

Health Sciences

BHS.	57
TOTAL	57

Nursing

BSN.	93
TOTAL	93

Social Work

BASW.	35
TOTAL	35

Graduate Degree.....1,117

Professional Degrees..... 430

Undergraduate Degrees.....2,641

TOTAL4,188

AACR 2

Office of the President
May 3, 2011

Members, Board of Trustees:

CHANGE IN NAME OF AN EDUCATIONAL UNIT

Recommendation: that the Board of Trustees approve a change in the name of the College of Agriculture's Department of Family Studies to Department of Family Sciences, effective July 1, 2011.

Background: Departmental faculty members voted to change the name of the department to create a consistent identity and better reflect the teaching, research, and engagement activities in the department.

The proposed changes have been approved by the faculty in the department and the College of Agriculture Faculty Council; reviewed and recommended by the Senate Academic Organization and Structure Committee and the Senate Council; and recommended by the University Senate. The provost of the University supports this recommendation.

Action taken: Approved Disapproved Other _____

AACR 3

Office of the President
May 3, 2011

Members, Board of Trustees:

ACADEMIC DEGREE RECOMMENDATION

Recommendation: that the Board of Trustees approve the establishment of a Doctor of Philosophy degree with a major in Integrated Plant and Soil Sciences (CIP Code: 01.1199, PROG: GS PHD IPSS), effective in the Fall 2011 Semester.

Background: Graduate faculty in the departments of Forestry, Horticulture, and Plant and Soil Sciences propose an umbrella degree program to provide an integrative and creative approach to graduate education in the plant and soil sciences. The objectives are to build strength in the current M.S. and Ph.D. programs in Crop Science, Plant Biology, Molecular Biology and Biochemistry, Horticulture, and Soil Science by combining them into an overall Integrated Graduate Program in Plant and Soil Sciences. The integrated program offers advantages in student recruitment, training, assessment, program student numbers, and administrative efficiency. The program would provide a unique opportunity to cultivate and exploit the benefits that can be derived from an interdisciplinary approach to graduate education and research.

The IPSS program would provide (a) a dynamic environment that can evolve interdisciplinary credentials and programs tailored to suit the needs of current and future graduate students in the plant and soil science disciplines and develop options responsive to developing scientific trends in agricultural science; (b) interdisciplinary coursework required of all students in the program without creating intrusive changes to the existing graduate curricula; (c) the opportunity for increased visibility and identity for research programs currently without a graduate identity; (d) a more inclusive approach to graduate education for existing graduate programs and faculty.

With the establishment of the new IPSS degree, admission to existing doctoral programs in Crop Science, Plant Biology, and Soil Science will cease. Students already enrolled in the programs will have the option to continue their existing program or be grandfathered into the new IPSS program. The existing programs will be suspended once the last enrolled student has graduated.

This program has the approval of the appropriate Councils and University Senate, and it has completed the forty-five day review by the Council on Postsecondary Education. The provost of the University supports this recommendation.

Action taken: Approved Disapproved Other _____

AACR 4

Office of the President
May 3, 2011

Members, Board of Trustees:

CHANGE IN DEGREE – COLLEGE OF AGRICULTURE

Recommendation: that the Board of Trustees approve a change in the name of the Master of Science with a major in Plant and Soil Sciences to Master of Science with a major in Integrated Plant and Soil Sciences (CIP Code: 01.1199, PROG: GS MS IPSS), effective in the Fall 2011 Semester.

Background: Graduate students in plant and soil sciences and horticulture come from diverse backgrounds with diverse interest. The existing rigid core structure of the program is an impediment to students who arrive with significant prior experience or who have specific career objectives. The revised program maximizes the flexibility of coursework to which students may be exposed, yet provides key common skills in required courses. The exposure of all students in the Integrated Plant and Soil Sciences program to the value of interdisciplinary approaches to problem solving as well as the merits of cross-disciplinary education will serve as a cohesive tool for generating mutual respect and admiration among IPSS students, and help them develop more creative approaches to problem solving in their own research programs.

This proposal has the approval of the Graduate Council, Senate Council and University Senate. The provost of the University supports this recommendation.

Action taken: Approved Disapproved Other _____

AACR 5

Office of the President
May 3, 2011

Members, Board of Trustees:

CHANGE IN DEGREES – COLLEGE OF AGRICULTURE

Recommendation: that the Board of Trustees approve the following actions.

1. Change the name of the Bachelor of Science in Family Science with a major in Family Science to Bachelor of Science in Family Sciences with a major in Family Sciences (CIP Code: 19.0101, PROG: AG BSFSC FASC), effective in the Fall 2011 Semester.
2. Change the name of the Master of Science in Family Studies with a major in Family Studies degree to Master of Science in Family Sciences with a major in Family Sciences (CIP Code: 19.0701, PROG: GS MSFA FASC), effective in the Fall 2011 Semester.
3. Change the name of the Doctor of Philosophy with a major in Family Studies degree to Doctor of Philosophy with a major in Family Sciences (CIP Code: 19.0704, PROG: GS PHD FASC), effective in the Fall 2011 Semester.

Background: Departmental faculty members voted to change the name of the degrees to create a consistent identity and better reflect the teaching, research, and engagement activities in the department.

The proposed changes have the approval of the appropriate councils, Senate Council and University Senate. The provost of the University supports these recommendations.

Action taken: Approved Disapproved Other _____

AACR 6

Office of the President
May 3, 2011

Members, Board of Trustees:

PROPOSED AMENDMENT TO GOVERNING REGULATIONS:
FACULTY ENTREPRENEURIAL LEAVE

Recommendation: that the Board of Trustees receive the attached revision of *Governing Regulation, Part X*, relating to faculty leaves of absence for preliminary consideration. The proposed revision creates an entrepreneurial leave for faculty employees who wish to take time off from their University position to pursue entrepreneurial activities.

Background: The University encourages faculty employees to engage in entrepreneurial activities and supports the efforts of faculty who wish to commercialize their discoveries. Therefore, the pursuit of entrepreneurial endeavors is a valid reason for establishing an entrepreneurial leave. Faculty employees desiring to take entrepreneurial leave must submit a proposal through the regular leave approval process. The leave must be recommended by the faculty employee's unit administrator and approved by the dean of the college and the Provost. Entrepreneurial leaves will be reported to the Board of Trustees.

The revision to GR X has been reviewed and endorsed by the University Senate. The provost of the University supports this revision. A revised copy of the relevant section of GR X, Leaves of Absence, is attached. Proposed additions are underlined; proposed deletions are lined through.

Action taken: Approved Disapproved Other _____

Governing Regulation X

(d) Leaves of Absence

(i) Sabbatical Leave

The purpose of sabbatical leave is to provide opportunities for study, research, creative effort, improvement of instructional or public service capabilities and methods, and related travel in order that the quality of each recipient's service to the University may be enhanced.

Faculty members who have full-time tenured appointments in the regular, special title, extension, or librarian series with the rank of assistant professor (or librarian III) or higher, are eligible for sabbatical leaves after six (6) years of continuous service in the rank of instructor (or librarian III) or higher at the University, or for sabbatical leaves under a different option after three (3) years of continuous service. All such sabbatical leaves shall be approved by the President or the President's designee.

After six (6) years of continuous eligible service, a faculty member may apply for one (1) year's sabbatical leave (academic year for appointees on academic year, ten-month, or eleven-month assignments) at one-half salary or six (6) months' sabbatical leave (academic semester for appointees on academic year, ten-month, or eleven-month assignments) at full salary. After three (3) years of continuous eligible service, an appointee may apply for six (6) months' sabbatical leave (academic semester for appointees on academic year, ten-month, or eleven-month assignments) at one-half salary. Normally "continuous service" is interrupted by a sabbatical leave; that is, no service prior to a sabbatical leave may be credited toward eligibility for future sabbatical leave. However, in the event that it becomes necessary for an individual to postpone a sabbatical leave at the request of and/or for the benefit of the University or one of its educational units, the period of postponement shall be counted as part of the six (6) years of service necessary for the individual to again become eligible for sabbatical leave. The request for and/or agreement that the sabbatical leave be postponed shall be made in writing by the dean, and be approved by the Provost. The request or agreement shall specify the period of postponement and the reason for it. In no case shall cumulative sabbatical leave be granted for a single period longer than one (1) full year at full salary.

Sabbatical leave shall not be used as a means of augmenting personal income. A recipient may not accept gainful employment during a sabbatical leave (for an individual on an academic year assignment basis, this does not include the period of May 16 through August 15; for an individual on a ten-month or eleven-month assignment basis, this does not include the period of vacation and the one (1) or two (2) months outside the assignment period each fiscal year; for an individual on a twelve-month assignment basis, this does not include the one-month vacation period) except as follows:

A fellowship, grant-in-aid, or government-sponsored exchange lectureship may be accepted for the period of the leave if acceptance shall promote the purpose of the leave and is approved by the President or the President's designee.

For those on one-year sabbatical leave, additional salary not to exceed one-half of the regular academic salary may be accepted for (1) work performed during the sabbatical leave on research

projects administered by the University with funds from government or private grants or contracts when the terms of the grant or contract authorize the usage of such funds, and when the work to be performed is significantly related to the studies planned for the leave; (2) work on a research grant at another university; (3) research work in a government laboratory; or (4) work as a research professor or associate in another university. Any such arrangements pursuant to this paragraph must be approved by the President or the President's designee.

In neither of the above instances shall a recipient's total income attributable to a sabbatical leave project exceed the individual's regular academic salary. Sabbatical leave shall be granted with the understanding that the recipient, following the sabbatical leave, will continue service at the University for at least one (1) academic year.

(ii) Temporary Disability Leave

Temporary Disability Leave (TDL) may be granted to faculty members by the Provost. Eligible faculty members who are totally disabled are entitled to six (6) months' TDL with pay. TDL with pay for more than six (6) months requires approval by the Board of Trustees.

(iii) Leaves With Pay

Upon the recommendation of the appropriate administrative officers and approval of the Provost, a faculty member may be granted leave of absence with pay for a period not to exceed thirty (30) days for the purpose of permitting an appointee to attend a professional meeting, serve temporarily with an outside agency, serve in the military forces of the United States, or for other good cause. Such leaves of absence with pay for more than thirty (30) days require approval of the Board of Trustees.

(iv) Leaves Without Pay

Upon the recommendation of the appropriate administrative officers and approval of the Provost, a member of the faculty may be given a leave of absence without pay. Ordinarily such leave shall not be granted for a period in excess of one year (12 months). However, such leave may be extended on application and approval by the appropriate administrative officers. The best interests of the University shall be a major consideration in granting an extension of any such leave. Leaves of absence without pay are not normally credited toward eligibility for sabbatical leave. However, exception may be made when the leave enhances the value of the individual to the University, e.g., a leave to accept a fellowship or a grant, service for professional organizations, etc. In no case shall the leave of absence without pay be considered as an interruption of continuous service.

(v) Educational Leave

To pursue an advanced degree at the University of Kentucky in accordance with these *Governing Regulations*, Part X.C.6, faculty members may request half-time or more leave, with proportional reduction in salary. If such educational leave for faculty development is recommended by the dean and approved by the Provost, the faculty members shall be entitled to normal faculty benefits and privileges during the period of temporary change in status. Although a period of educational leave shall not be credited toward eligibility for sabbatical leave, it shall not be

considered as an interruption of continuous service. Also, for non-tenured faculty members, such a period of educational leave shall not be counted as probationary period service.

(vi) Scholarly Fellowship Leave

To accept a scholarly fellowship which allows a faculty member to pursue a program of research, and which the funding agency will not process through the University, faculty members may request leave with partial pay. If such leave is recommended by the dean and approved by the Provost, the faculty member shall be entitled to normal faculty benefits and privileges during the period of temporary change in status. Time spent on scholarly fellowship leave shall apply toward eligibility for sabbatical leave and count as probationary period service unless the University in granting the leave and the individual accepting it agree to the contrary.

(vii) Entrepreneurial Leave

The University encourages faculty employees to engage in entrepreneurial activities and strives to support the efforts of faculty who wish to commercialize their discoveries. Therefore, the pursuit of entrepreneurial endeavors is a valid reason for application for a faculty leave of absence.

The request for entrepreneurial leave, submitted by the faculty person to his or her unit administrator, shall be accompanied by a completed and signed "Entrepreneurial Leave" form and all attachments. The Entrepreneurial Leave form enumerates the terms and conditions of such leaves. The unit administrator shall review the proposal and forward the materials to the dean of the college along with their recommendation. The dean shall forward his or her recommendation to the Provost for approval. Entrepreneurial Leaves shall be reported to the Board of Trustees.

FCR 1

Office of the President
May 3, 2011

Members, Board of Trustees:

WARREN W. ROSENTHAL PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$1 million from Warren W. Rosenthal of Lexington, Kentucky to provide support for construction projects and related priorities of the new University of Kentucky Albert B. Chandler Hospital to be used at the discretion of the Executive Vice President for Health Affairs.

Background: Warren W. Rosenthal is an alumnus of the University of Kentucky and also has been a lead supporter of the UK Opera program.

Action taken: Approved Disapproved Other _____

FCR 2

Office of the President
May 3, 2011

Members, Board of Trustees:

KENTUCKY MEDICAL SERVICES FOUNDATION
GIFT OF PROPERTY

Recommendation: that the Board of Trustees accept a gift of Real Property located at 246 East Third Street, Lexington, Kentucky from the Kentucky Medical Services Foundation, Inc.

Background: The property has a fair market value of \$23,500.00 and is described as a 72' x 35' lot. The property will be used as part of the Kentucky Clinic North parking lot. The University will maintain and operate this property as a parking lot.

Action taken: Approved Disapproved Other _____

FCR 3

Office of the President
May 3, 2011

Members, Board of Trustees:

AMENDMENT TO THE LIZ CLAIBORNE FOUNDATION ENDOWMENT

Recommendation: that the Board of Trustees approve an amendment to the Liz Claiborne Foundation Endowment in the Center for Research on Violence Against Women to broaden the stated purpose of the gift. A gift of \$125,000 from the Liz Claiborne Foundation of New York, New York was accepted by the Board of Trustees on May 10, 2005 and state matching funds of \$125,000 were received in December 2005.

Background: The original endowment for the Liz Claiborne Foundation Endowment stated that the endowment income should be used to support research-related educational initiatives in the Center for Research on Violence Against Women. Liz Claiborne Foundation representatives have requested that the agreement be amended to provide salary and research support for the Liz Claiborne Professorship in the Center for Research on Violence Against Women. The amendment will be reported to the Council on Postsecondary Education per the Endowment Match Program Guidelines.

Action taken: Approved Disapproved Other _____

FCR 4

Office of the President
May 3, 2011

Members, Board of Trustees:

PROPOSED 2011-12 TUITION AND MANDATORY FEES SCHEDULE

Recommendation: that the Board of Trustees approve the attached 2011-12 tuition and mandatory fees schedule and authorize the President to submit the schedule to the Council on Postsecondary Education for approval at its June 10 meeting.

Background: Pursuant to KRS 164.020, the Council on Postsecondary Education has the statutory authority to set tuition rates for Kentucky's public colleges and universities. At its April 28 meeting, the Council established the following criteria for the research institutions:

- maximum six percent increase for undergraduate, resident tuition and mandatory fees
- maintains the current floor for nonresident, undergraduate tuition and mandatory fees of two times the resident undergraduate rate
- market competitive tuition and mandatory fee rates for graduate and first-professional students.

The recommended rates comply with the Council's criteria. The President will report on the Council's June 10 action at the June 14 Board of Trustees meeting, as part of the presentation of the recommended 2011-12 Operating and Capital Budget.

Action taken: Approved Disapproved Other _____

**2011-12 TUITION AND MANDATORY FEES SCHEDULE
(Effective Fall 2011)**

	Per Semester Full-Time Fee¹		Part-Time and Intersessions Per-Credit-Hour Fee²	
	<u>2010-11</u>	<u>2011-12</u>	<u>2010-11</u>	<u>2011-12</u>
Undergraduate				
<i>Lower Division</i>				
Resident	\$4,305.00	\$4,564.00	\$343.00	\$365.00
Non-Resident	\$8,839.00	\$9,370.00	\$721.00	\$766.00
<i>Upper Division</i>				
Resident	\$4,429.50	\$4,696.00	\$353.00	\$376.00
Non-Resident	\$8,957.00	\$9,495.00	\$731.00	\$776.00
Graduate				
Resident	\$4,653.00	\$4,933.00	\$488.00	\$519.00
Non-Resident	\$9,587.00	\$10,163.00	\$1,037.00	\$1,100.00
Master in Business Administration (MBA)				
<i>Evening and part-time students</i>				
Resident	\$5,379.00	\$5,702.00	\$569.00	\$605.00
Non-Resident	\$11,442.00	\$12,129.00	\$1,242.00	\$1,319.00
Master of Arts in Diplomacy and International Commerce				
Resident	\$4,879.00	\$5,172.00	\$513.00	\$546.00
Non-Resident	\$9,809.00	\$10,398.00	\$1,061.00	\$1,127.00
Master of Science in Physician Assistant Studies				
Resident	\$5,013.00	\$5,314.00	\$528.00	\$562.00
Non-Resident	\$9,943.00	\$10,540.00	\$1,076.00	\$1,143.00
Master of Science in Radiological Medical Physics				
Master of Science in Health Physics				
(College of Health Sciences, Division of Radiation Sciences)				
Resident	\$5,416.00	\$5,742.00	\$573.00	\$609.00
Non-Resident	\$10,318.00	\$10,937.00	\$1,117.00	\$1,187.00

	Per Semester Full-Time Fee¹		Part-Time and Interessions Per-Credit-Hour Fee²	
	<u>2010-11</u>	<u>2011-12</u>	<u>2010-11</u>	<u>2011-12</u>

Law

Resident	\$8,491.00	\$9,153.00	\$825.00	\$891.00
Non-Resident	\$14,712.00	\$15,858.00	\$1,448.00	\$1,562.00

Pharm. D.

Resident	\$10,783.00	\$11,118.00	\$883.00	\$911.00
Non-Resident	\$19,628.00	\$20,228.00	\$1,620.00	\$1,670.00

Professional Doctoral (Nursing, Public Health and Transitional part-time Physical Therapy)

Resident	\$6,066.00	\$6,431.00	\$645.00	\$686.00
Non-Resident	\$13,241.00	\$14,036.00	\$1,442.00	\$1,531.00

**Annual
Full-Time Fee
Effective July 2011
2010-11 2011-12**

Medicine³

Students - entering class of fall 2007

Resident	\$23,752.00	\$23,752.00
Non-Resident	\$45,155.00	\$45,155.00

Students - entering class of fall 2008

Resident	\$26,344.00	\$26,344.00
Non-Resident	\$49,219.00	\$49,219.00

Students - entering class of fall 2009

Resident	\$29,233.00	\$29,233.00
Non-Resident	\$53,639.00	\$53,639.00

Students - entering class of fall 2010

Resident	\$30,110.00	\$30,110.00
Non-Resident	\$55,248.00	\$55,248.00

Students - entering class of fall 2011

Resident	-	\$31,907.00
Non-Resident	-	\$58,553.00

**Annual
Full-Time Fee
Effective July 2011**
2010-11 2011-12

Dentistry⁴

Resident	\$25,345.00	\$26,857.00
Non-Resident	\$51,715.00	\$54,807.00

Master in Business Administration (MBA)

Full-time students in the "Day" Program

Resident	\$9,950.00	\$10,543.00
Non-Resident	\$19,810.00	\$20,989.00

Doctorate of Physical Therapy

Resident	\$15,116.00	\$16,016.00
Non-Resident	\$33,050.00	\$35,022.00

NOTES:

The above rates include the following mandatory fees. Students taking all of their courses at off-campus locations (including distance learning classes) outside of Fayette County and its contiguous counties may have the mandatory fees waived. However, if a student who is eligible for this waiver wants to participate in any activity supported by these fees, the student must pay the total mandatory fee. Pursuant to the Memorandum of Agreement with the Kentucky Community and Technical College System, students enrolled at the Bluegrass Community and Technical College have the opportunity to selectively choose services from the list of mandatory fees.

Non-resident students, who are enrolled in distance learning courses only, with a delivery mode of internet, will be assessed tuition at the resident rate.

**2011-12 MANDATORY FEES SCHEDULE
(Effective Fall 2011)**

	Per Semester Full-Time Fee		Part-Time and Intersessions Per-Credit-Hour Fee	
	2010-11	2011-12	2010-11	2011-12
	<u>2010-11</u>	<u>2011-12</u>	<u>2010-11</u>	<u>2011-12</u>
Student Activities	\$14.25	\$15.50	-	-
Athletics	\$19.00	\$19.00	-	-
Student Government Association	\$9.00	\$11.50	-	-
Student Health	\$175.00	\$175.00	-	-
WRFL Student Radio	\$5.00	\$5.00	-	-
International Study Abroad	\$5.00	\$6.00	-	-
Environmental Stewardship	\$1.50	\$3.00	-	-
Johnson Center	\$68.00	\$69.00	\$6.80	\$6.90
Technology	\$77.00	\$82.00	\$7.70	\$8.00
Student Center	\$76.75	\$88.75	\$7.60	\$8.80
Student Involvement	\$14.50	\$16.25	\$1.40	\$1.60
Student Services	\$12.00	\$12.00	\$1.00	\$1.20
Total	\$477.00	\$503.00	\$24.50	\$26.50

The 2011-12 annual rates for Medicine, Dentistry, MBA (“Day Program”), and the Doctorate of Physical Therapy include mandatory fees totaling \$1,181.00.

¹The full-time rate is charged to undergraduate and pharmacy students enrolled for 12 credit hours or more, graduate and professional doctoral students enrolled for 9 credit hours or more, and law students enrolled for 10 credit hours or more.

²Students enrolled part-time and in intersessions (e.g. summer and winter terms) are charged on a per-credit hour basis. Students considered full-time for financial aid and reporting purposes, but with less than full-time credit hours, (i.e., graduate students in residency status enrolled for zero or two credit hours) will be assessed on a per-credit hour basis.

³Beginning with Fall 2007, the College of Medicine tuition and mandatory fee rates are ‘locked in’ for each entering class cohort. The rates will not change while students are enrolled in the program. A half-time 2011-12 tuition and fee rate of \$16,544.00 for resident students and \$29,867.00 for non-residents is established for those medical students who have been approved by the College of Medicine Student Progress and Promotion Committee to take a reduced curriculum load.

⁴ A half-time 2011-12 tuition and fee rate of \$14,019 for resident students and \$27,994 for non-resident students is established for those dental students who have been approved by the Dean of the College of Dentistry to take a reduced curriculum load.

FCR 5

Office of the President
May 3, 2011

Members, Board of Trustees:

PROPOSED 2011-12 ROOM AND BOARD RATES

Recommendation: that the Board of Trustees adopt the attached 2011-12 room and board rates.

Background: The proposed housing rates reflect an average increase of nine percent for 2011-12. The proposed dining rates reflect a three percent increase for all plans, and are necessary to generate sufficient revenue to cover fixed cost increases.

The proposed housing rates will fund increases in operational expenses for 2011-12. In addition to increased operational costs, the proposed rates are required to fund the increase in debt service related to construction of new housing located in the North campus area. The new housing is currently in the design phase and set for construction beginning summer 2011. Housing will continue to fund deferred maintenance projects in existing facilities.

Action taken: Approved Disapproved Other _____

PROPOSED 2011-12 ROOM AND BOARD RATES

Dining (per semester effective Fall 2011)

Dining Services will offer five dining plans during 2011-12. All students living in residence halls are required to purchase the Minimum Plan. Four plans provide five to 21 meals per week. A block plan offers 130 meals which can be used any time during the semester. Weekly and block plan meals are provided at Commons Market and Blazer Café (“unlimited choices” buffets) and at K-Lair, Ovid’s Café, and Bluegrass Cafe (combo meals).

Each dining plan also includes ‘Flex Dollars’. Flex dollars can be used at any of Dining Services’ 22 locations to purchase a’ la carte items. Flex dollars may be carried over from the fall to spring semester.

	2010-11 Per Semester			2011-12 Per Semester		
	Avg. Meals Per Week	Flex Dollars	Cost	Avg. Meals Per Week	Flex Dollars	Cost
Minimum Plan:	5	\$300	\$1,089	5	\$300	\$1,121
Optional Plans:	BLOCK	\$300	\$1,421	BLOCK	\$300	\$1,464
	10	\$300	\$1,611	10	\$300	\$1,659
	14	\$300	\$2,095	14	\$300	\$2,158
	21	\$300	\$2,652	21	\$300	\$2,732

Fall and Spring Housing (per semester effective Fall 2011)

	<u>2010-11</u>	<u>2011-12</u>
<i>Residence Halls</i>		
<u>Traditional Residence Halls</u>		
➤ Housing with air-conditioning	\$2,067.50	\$2,255.00
➤ Greek Housing	\$2,130.00	\$2,322.50

	<u>2010-11</u>	<u>2011-12</u>
<u>Premium Residence Halls</u>		
➤ Suites – Double	\$2,765.00	\$3,015.00
➤ Suites – Single	\$4,092.50	\$4,460.00

Additional Housing Fees

➤ Smith, New North, Kirwan II, and Holmes (per semester)	\$67.00	\$67.00
NOTE: Smith, New North, Kirwan II, and Holmes are Living-Learning Communities. Smith Hall and New North Hall will remain open during all stated academic recesses of the University between August 24, 2011 and May 4, 2012 to accommodate students who require housing during recesses.		
➤ Other Halls Open during Academic Recess (per day)	\$7.50	\$7.50
NOTE: The per-diem rate is established for occupancy of halls that are not normally open during stated academic recesses of the university (Thanksgiving, Holiday, and spring break). Students must secure special permission to remain in housing during these periods.		

Apartment Housing (per month effective July 1, 2011)

➤ Greg Page Stadium View Family Apartments		
2 Bedroom	\$625.00	\$630.00
➤ Cooperstown – Shawneetown		
Efficiency	\$485.00	\$490.00
1 Bedroom	\$580.00	\$585.00
2 Bedroom	\$625.00	\$630.00
➤ Commonwealth Village		
Efficiency	\$485.00	\$490.00
1 Bedroom	\$580.00	\$585.00
➤ Linden Walk/Rose Lane		
Efficiency	\$485.00	\$490.00
➤ German House		
Single Room	\$570.00	\$575.00
1 Bedroom	\$655.00	\$660.00

	<u>2010-11</u>	<u>2011-12</u>
<u>Summer School Housing (effective Summer 2012)</u>		
➤ 8-Week Session		
Single Occupancy	\$1,370.00	\$1,495.00
Double Occupancy	\$1,110.00	\$1,210.00
➤ 4-Week Session		
Single Occupancy	\$685.00	\$745.00
Double Occupancy	\$550.00	\$600.00
➤ 6-Week Session		
Single Occupancy	\$1,025.00	\$1,115.00
Double Occupancy	\$830.00	\$905.00

FCR 6

Office of the President
May 3, 2011

Members, Board of Trustees:

REVISION OF UNIVERSITY OF KENTUCKY DEBT POLICY

Recommendation: that the Board of Trustees approve the attached revisions to the University of Kentucky's Debt Policy.

Background: The Debt Policy provides a framework to evaluate the appropriate use of debt. The recommended revisions include adjusting the calculation for determining the institution's internal loan capacity and establishing a process for providing cash advances to self-supporting units under extenuating circumstances.

Currently, internal loans are limited to \$100 million or 15 percent of the operating investment pool as of the end of the prior fiscal year, whichever is less. The revisions include using a trailing twelve-month average of the operating investment pool to smooth variances in the maximum internal loan amount. The revisions also provide for cash advances of limited duration (maximum 90 days) to self-supporting units under extenuating circumstances. The Debt Management Committee supports the recommendation. The proposed additions are in italics; proposed deletions are lined through.

Action taken: Approved Disapproved Other _____

University of Kentucky

Debt Policy

Purpose

To fulfill its mission, the University of Kentucky must make ongoing strategic capital investments in academic, student life, healthcare, and other plant facilities using an appropriate mix of funding sources including state bonds and appropriations, University bonds, capital leases, internal reserves, operating funds investment pool, and private gifts.

The purpose of the Debt Policy is to ensure the appropriate mix of funding sources is utilized and to provide guidance on the strategic use of debt (external and internal). Debt is a valuable source of capital project financing but should be limited to projects that relate to the mission and strategic objectives of the University. The amount of debt incurred has an impact on the financial health of the University and its credit rating.

This Policy provides a discipline and framework to be used by senior administration to evaluate the appropriate use of debt in capital financing plans.

Objectives of the Debt Policy

The objectives stated below provide the framework for decisions regarding the use and management of traditional and non-traditional external debt and internal borrowing from the University's operating funds investment pool. The Debt Policy and objectives are subject to re-evaluation and change over time.

The Debt Policy:

1. Outlines a process for identifying and prioritizing capital projects considered eligible for debt financing and assuring that debt-financed projects have a clear and feasible plan of repayment. Projects that relate to the core mission and have associated revenues will generally be given higher priority for debt financing.
2. Defines the quantitative tests that will be used to evaluate the University's overall financial health, liquidity, and present and future debt capacity.
3. Defines project-specific quantitative tests, as appropriate, which will be used to determine the financial feasibility of an individual project.
4. Manages the University's external and internal debt to maintain an acceptable credit rating and sufficient liquidity. The University, consistent with its capital objectives, will limit its external debt to a level that will maintain an acceptable credit rating with bond

rating agencies. Maintaining an acceptable credit rating will permit the University to continue to issue debt and finance capital investments at favorable interest rates, although the attainment or maintenance of a specific rating is not an objective of this policy.

Prudent management of cash and cash equivalents is required to preserve the University's financial viability. Internal loans will be managed so they do not detract from the University's investment performance objectives for its cash portfolio and cannot compromise the operational liquidity of the University

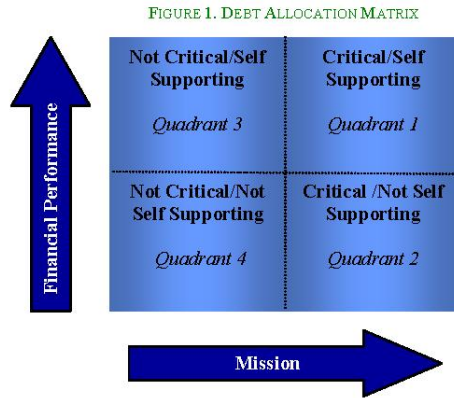
5. Establishes guidelines to limit the risk of the total external debt portfolio. The University will manage debt on a portfolio basis, rather than on a transactional or project specific basis, and will use an appropriate mix of fixed and variable rate debt (as permitted by State Law) to achieve the lowest cost of capital while limiting exposure to market interest rate shifts.
6. Establishes guidelines to manage variable rate interest exposure for external debt.
7. Establishes guidelines for internal loans including (a) maximum outstanding internal loan balances; (b) percentage of liquidity that may be utilized; and (c) duration.
8. Assigns responsibilities for the implementation and management of the University's Debt Policy.

Process for Identifying and Prioritizing Capital Projects Requiring Debt

The University has substantial but limited external debt capacity. Additionally, the University must compete with all other state agencies for capital projects bonding authority as the Commonwealth of Kentucky has imposed limits on the aggregate amount of outstanding debt attributable to the State. Therefore it is essential that the University appropriately prioritize capital projects requiring debt.

The President must determine the amount of debt resources available and submit recommendations on the use of such resources to the Board of Trustees.

The debt allocation matrix below depicts an approach to prioritizing capital projects requiring debt.



Explanation of debt allocation matrix

Quadrant 1:

Project is **critical** to the core missions of research, service or instruction **and** has its own funding source (i.e., non-general fund supported).

Quadrant 2

Project is **critical** to the core missions of research, service or instruction **but does not** have its own funding source (i.e., will require-general fund support).

Quadrant 3

Project is **not critical** to the core missions of research, service or instruction **but** has its own funding source (i.e., non-general fund supported).

Quadrant 4

Project is **not critical** to the core missions of research, service or instruction **and does not have** its own funding source (i.e., will require general fund support).

Note that approval of projects in Quadrant 3 and 4 will reduce the ability to issue debt for the mission critical projects identified in Quadrants 1 and 2.

Guidelines for Prioritizing Capital Projects Requiring Debt

The following guidelines will be used to prioritize capital projects and make decisions about financing options and use of debt:

1. Only projects related to the mission of the University, directly or indirectly, will be eligible for debt financing.
2. In assessing the possible use of debt, all financing and revenue sources will be considered such as state bonds, University bonds, philanthropy, project-generating revenues, research facilities and administration cost reimbursement, expendable reserves, capital leases, and internal loans from the University's operating funds investment pool. Internal and external debt is to be used conservatively and strategically.
3. The University will consider other funding opportunities (e.g., joint ventures, real estate development, etc.) when appropriate and advantageous to the University. Opportunities and financing sources will be evaluated within the context of the Debt Policy.
4. Federal research projects will receive priority consideration for debt financing due to partial reimbursement of operating expenses (including the interest component of applicable debt service) of research facilities.

Every project considered for financing must have a defined, supportable plan of costs (construction and incremental operating) approved by the Provost or appropriate executive vice president. A project that has a related revenue stream or can create budgetary savings will receive priority consideration. However, projects may not receive a higher priority simply because they are self supporting.

Internal Loans and Cash Advances

Internal Loans

Internal loans of available funds in the University's operating funds investment pool can provide an alternate source of funding when external debt is not available or when there is a gap between the receipt of funds such as a gift or grant and the date of acquisition or construction of a project. The life of an internal loan is generally more than one year.

The following guidelines apply:

1. Internal loans cannot compromise the operational liquidity of the University. The University must maintain at least 60 days of operating cash at all times.
2. All requests for internal loans shall be submitted to the Debt Management Committee by the Provost or appropriate executive vice president for review and recommendation to the President. Any internal loan greater than \$600,000 must be approved by the Board of Trustees.
3. The aggregate of outstanding internal loans will be continuously monitored by the Vice President for Financial Operations and Treasurer and should not exceed \$100 million or 15 percent of the University's operating funds investment pool based on the average of the trailing twelve months balances, whichever is less. A report on the outstanding internal loans of cash and cash equivalents will be provided annually to the Investment Committee of the Board of Trustees.

Deleted: as of the end of the prior fiscal year

4. Endowment funds may not be loaned or considered in determining the number of days of operating cash available.
5. Prior to the transfer of internal loan funds, a memorandum of agreement shall be executed by the Provost and/or appropriate executive vice president(s). The agreement shall identify the debt service fund source, formal repayment schedule, interest rate, and specified term.
6. The maximum loan term is 75 percent of the estimated useful life of the asset or 20 years, whichever is less.
7. Interest shall be charged based on a two-year treasury note plus 100 basis points (1.0%). The annual interest rate will be determined March 1 and be effective for the following fiscal year.

Cash Advances

Under extenuating circumstances, the University may advance cash for a limited duration (not to exceed 90 days) to self-supporting units. Such advances for working capital or to cash-flow capital projects must not compromise the University’s operational liquidity and must be approved by the Executive Vice President for Finance and Administration (EVPFA). Prior to a cash advance, a memorandum of agreement shall be executed by the Provost and/or appropriate executive vice president(s). The agreement shall identify the source of repayment, repayment schedule, interest rate, and specified term. Cash advances will not be considered in determining the maximum internal loan capacity.

Any cash advance which exceeds 90 days will be re-classified as an internal loan and subject to the aforementioned guidelines.

The President, upon recommendation by the Debt Management Committee, may approve exceptions to the Internal Loans and Cash Advances guidelines.

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Financial Ratios/Institutional Quantitative Tests

The University will establish guidelines for overall debt management using a select number of financial ratios calculated and reported annually and when new debt is issued; and revised periodically to reflect any changes in accounting standards. Financial ratios will serve as indicators of the University’s financial health and capacity to incur debt. Calculation of these ratios will be based on the audited consolidated financial statement on a University-wide basis.

Ratios fall into two specific categories: (a) financial ratios that provide information about the overall financial health of the University and (b) ratings indicator ratios that are specific to the ability to issue debt and are key determinants used by the rating agencies in rating the University’s bonds.

1. ***RATIOS THAT PROVIDE INFORMATION ABOUT THE UNIVERSITY’S OVERALL FINANCIAL HEALTH.***

The following four strategic financial ratios, when considered together and over time, will help to provide a clear, high level, assessment of the overall financial health of the University.

- a. **Primary Reserve Ratio**. Measures financial strength by comparing expendable net assets to total expenses. This ratio provides a snapshot of financial strength and flexibility by indicating how long the University could function using its expendable resources without relying on additional net assets generated by operations. A negative ratio or decreasing trend over time indicates a weakening financial condition.
- b. **Return on Net Assets Ratio**. Determines whether the University is financially better, or worse, than in previous years by measuring total economic return.
- c. **Net Operating Revenue Ratio**. Indicates whether total operating activities resulted in a surplus or deficit and measures the ability of the University to operate in the short term.
- d. **Viability Ratio**. Measures the availability of expendable net assets to cover debt. As this ratio falls below 1:1, the University's ability to respond to adverse conditions, to attract capital from external sources, and its flexibility to fund new objectives is diminished. This ratio is regarded as an important indicator of the ability to assume new debt.

RATINGS INDICATOR RATIOS

In addition to the four strategic financial ratios, there are many other ratios used by bond rating agencies in rating debt. These ratios, referred to as ratings indicator ratios, are specific to the ability to issue debt and are key determinants in the debt ratings assigned by the rating agencies. The following are considered among the important ratings indicator ratios:

- a. **Unrestricted Resources (Net Assets) to Operating Expenses** (times coverage) *and* **Expendable Resources (Net Assets) to Operating Expenses** (times coverage). These two balance sheet ratios provide indicators of near-to-medium term financial health by measuring the availability of unrestricted and expendable funds to cover debt should unexpected interruptions in cash flow occur. They provide an indicator of short term liquidity and/or financial flexibility in periods of volatility.
- b. **Unrestricted Resource (Net Assets) to Debt** (times coverage) *and* **Expendable Resources (Net Assets) to Debt** (times coverage). These two capital ratios measure the University's liquid assets to its debt.
- c. **Peak Debt Service to Operating Expenses** (percentage). Measures the University's longer term debt and related operating flexibility.
- d. **Operating Margin and Average Operating Margin** (percentage). Measures the University's excess margin (or deficit) by which revenues cover expenses (including depreciation of capital assets and interest expense) annually, and averaged over a three year period.

- e. **Average Peak Debt Service Coverage** (times coverage). Measures the ability to repay debt from current operating funds. This ratio measures, over a three year period, whether sufficient operating cash flow is generated to issue and repay additional debt.
- f. **Direct Debt to Cash Flow** (times coverage). Measures the total debt burden compared to its annual cash flow generating ability.
- g. **Cash Flow Operating Surplus** (percentage). Measures total operating surplus (operation surplus, depreciation, and interest on capital related debt) as a percent of total revenue.

Project Specific Quantitative Tests

Consideration of the ratios calculated above will determine the ability and/or advisability of issuing additional debt from a University-wide perspective. Determination of the prioritization of individual projects to be allocated a portion of available debt capacity is a separate, internal decision that must be made before a project is initiated.

Many factors will influence this internal decision process. First, how the project will be prioritized with regard to importance to the University's mission as described by the debt allocation matrix (four quadrant model) above. Additionally, every project considered for debt financing must have a management-approved plan of project costs, including incremental operating expenses and revenues. Incremental revenues include revenue increases directly associated with the project (e.g., usage fees) that can only be realized if the project is undertaken. Similarly, incremental expenses include any increase in expected operating costs associated with the project. Revenues and costs savings should be estimated conservatively, especially for high risk projects. The net present value of each project will be calculated by summing the discounted value of the annual net project cash flows over the life of the project.

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Mix of Fixed and Variable Debt

Due to the financing flexibility and lower interest cost typically associated with variable rate debt, it may be desirable, depending on market conditions, to maintain a portion of the University's aggregate debt on a floating (variable) rate basis. However, variable rate debt also introduces volatility to the debt service obligations. Therefore, the University will balance the mix of variable and fixed rate debt according to a maximum of 50% variable, with a target guideline of zero to 30% variable, although the actual percentage for debt outstanding will fluctuate from time-to-time due in part to financing needs and prevailing market interest rates.

Use of Interest Rate Swap Products

The use of interest rate swaps will be employed primarily to manage the University's variable rate exposure. The University will utilize a framework to evaluate potential instruments through evaluation of its variable rate allocation, market and interest rate conditions, and the compensation for undertaking counterparty exposure.

Credit Rating

The University will maintain ongoing communications and interaction with bond rating agencies to educate the agencies about the general credit structure and financial performance of the University in order to attain the highest credit rating possible.

Assignment of Responsibilities

The Executive Vice President for Finance and Administration is directly responsible for all debt management.

Facilities Planning and Facilities Management

The Vice President for Facilities is responsible for estimating and defining capital project costs and in maintaining a list of projects that are being considered. The Vice President for Financial Operations and Treasurer is responsible for developing capital planning documents for the current year, current biennium and the six-year capital plan.

Treasury Management

The Vice President for Financial Operations and Treasurer will maintain a schedule of current and forecasted debt (external and internal) and associated payment of principal, interest, and fees. He or she is responsible for the administration of all aspects of debt financing, including accounting, reporting, and coordination with financial advisors, underwriters, and bond counsel to issue new debt or refinance existing debt.

Management

A debt management committee consisting of the following members and any other individual designated by the Executive Vice President for Finance and Administration will meet on a regular basis to review the University's internal and external debt capacity, liquidity measures, and projects requested to be funded in whole or in part with debt.

- Provost
- Executive Vice President for Finance and Administration
- Vice President for Facilities
- Vice President for Financial Operations and Treasurer
- Vice President for Health Affairs and Chief Financial Officer

The projects to be considered must be submitted by the Provost or an executive vice president. The Executive Vice President for Finance and Administration will present the committee's recommendations to the President.

Board of Trustees

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The Board of Trustees will review and approve the annual capital project plan as well as each individual debt financing transaction exceeding \$600,000. Additionally, the Board will review and approve the implementation of this Debt Policy and will be apprised of any significant future changes proposed.

Review of Debt Policy

This Debt Policy should be reviewed at least annually by the Debt Management Committee designated above and changed as needed to reflect current conditions and practices. However, it is noted that consistent application of the University's Debt Policy provides evidence of debt management discipline over the long term. Accordingly, the Policy should be changed only when it no longer reflects the debt philosophy of the University.

Debt Management Practices

STRUCTURE AND MATURITY

The University will issue bonds to finance capital projects under the provisions of trust indentures approved by the Board of Trustees. The following trust indentures have been approved by the Board of Trustees:

- General Receipts Obligation Revenue Bonds
- Consolidated Educational Buildings Revenue Bonds
- Consolidated Housing and Dining System Revenue Bonds

Debt in the form of capitalized lease obligations will be approved by the Board of Trustees and issued on behalf of the University by the Commonwealth of Kentucky's Asset Liability Commission, the State Property and Buildings Commission, and other financing entities.

The University will employ maturity structures that correspond with the life of the facilities financed, generally not to exceed 20 years. As market dynamics change, maturity structures should be reevaluated. Call features should be structured to provide the highest degree of flexibility relative to cost.

METHODS OF SALE

The University will use the method of sale which will achieve the lowest cost of capital considering the complexity of the transaction. Generally, this can be achieved utilizing a competitive sales method for the placement of bond offerings. However, for transactions using new or non-traditional pledges of University revenues, or those involving greater complexity, a negotiated method of sale will be considered, and legislative approval requested, on an

individual transaction basis. The negotiated sales method allows the utilization of a greater scope of services from the underwriting community.

REFUNDING TARGETS

The University will continuously monitor its outstanding tax-exempt debt portfolio for refunding and/or restructuring opportunities.

For a stand-alone refunding, the University will enter into an advance refunding transaction that, in general, produces at least 3-5% present value savings (based on refunded bonds), with this threshold higher for those transactions with a long escrow period. Advance refunding transactions must weigh the current opportunity against possible future refunding opportunities. For current refundings, the University will consider transactions that, in general, produce a least a 1.5 – 3% present value savings (based on refunded bonds). In addition to the net present value percentage savings, the annual dollar savings provided by any refunding will be reviewed, as for large refunding transactions, significant savings may accrue even though the percentage of net present value savings falls below the thresholds defined above.

A refunding also will be considered if it relieves the University of certain limitations, covenants, payment obligations, or reserve requirements that reduce flexibility. The University also will consider refinancing certain obligations within a new money offering, even if savings levels are minimal, in order to consolidate debt into a general revenue pledge and/or reduce the administrative burden and cost of managing many small outstanding obligations.

DISCLOSURE

The University will continue to meet its ongoing disclosure requirements in accordance to Securities and Exchange Commission Rules 15c2-12. The University will submit financial reports, statistical data, and any other material events as required under outstanding bond indentures.

ARBITRAGE

The University will comply with federal arbitrage requirements on invested tax-exempt bond proceeds, causing arbitrage rebate calculations to be performed annually and rebate payments to be remitted to the Internal Revenue Service periodically, as required.

BOND PROCEEDS INVESTMENT

The University, in concert with the Commonwealth of Kentucky's Office of Financial Management, will invest bond-funded construction funds, capitalized interest funds, and costs of issuance funds appropriately to achieve the highest return available under arbitrage limitations. When sizing bond transactions, the University will consider funding on either a net or gross basis.

OFFICE OF FINANCIAL MANAGEMENT

The Commonwealth of Kentucky's Office of Financial Management, in accordance with Kentucky Revised Statute 42.400, shall review and approve, prior to the issuance, all proposed bond issues, bond anticipation notes, or interim external debt financings.

SELECTION OF FINANCIAL ADVISORS, UNDERWRITERS AND BOND COUNSEL

The University will, in accordance with Kentucky Revised Statute 45A.840, utilize a Request For Proposal process to select financial advisors, underwriters and bond counsel. Multiple firms may be selected to provide financial advisory, underwriting, and bond counsel services for a specific contract period of time. The firms utilized on an individual transaction will be selected based upon expertise related to the specific transaction. Additionally, the University may utilize the financial advisors, underwriters and bond counsel selected by the Commonwealth of Kentucky's Office of Financial Management through their own similar competitive process.

Appendix A
Formulas and Benchmarks for Key Ratios

**RATIOS THAT PROVIDE INFORMATION ABOUT THE UNIVERSITY'S OVERALL
FINANCIAL HEALTH**

1. PRIMARY RESERVE RATIO

$$\frac{\text{EXPENDABLE NET ASSETS}^1}{\text{TOTAL EXPENSES}^2}$$

2. RETURN ON NET ASSETS RATIO

$$\frac{\text{CHANGE IN TOTAL NET ASSETS}}{\text{TOTAL NET ASSETS, BEGINNING OF THE YEAR}}$$

3. NET OPERATING REVENUES

$$\frac{\text{OPERATING INCOME (LOSS) + NET NON-OPERATING INCOME (EXPENSES)}}{\text{TOTAL OPERATING REVENUES + TOTAL NON-OPERATING REVENUES (EXCLUDING CAPITAL APPROPRIATIONS & GIFTS, AND ADDITIONS TO PERMANENT ENDOWMENTS)}}$$

4. VIABILITY RATIO

$$\frac{\text{EXPENDABLE NET ASSETS}}{\text{LONG TERM DEBT (BONDS AND CAPITAL LEASES)}}$$

¹ Expendable net assets = unrestricted net assets plus expendable restricted net assets less expendable assets to be invested in plant.

² Total expenses = operating expenses plus non-operating expenses

RATINGS INDICATOR RATIOS

- 1A. UNRESTRICTED RESOURCES (NET ASSETS) TO OPERATING EXPENSES (TIMES COVERAGE)

$$\frac{\text{UNRESTRICTED NET ASSETS}}{\text{TOTAL OPERATING EXPENSES}^3}$$

- 1B. EXPENDABLE RESOURCES (NET ASSETS) TO OPERATING EXPENSES (TIMES COVERAGE)

$$\frac{\text{EXPENDABLE RESOURCES}^4}{\text{OPERATING EXPENSES}}$$

- 2A. UNRESTRICTED RESOURCES (NET ASSETS) TO DEBT (TIMES COVERAGE)

$$\frac{\text{UNRESTRICTED NET ASSETS}}{\text{DEBT}^5}$$

- 2B. EXPENDABLE RESOURCES (NET ASSETS) TO DEBT (TIMES COVERAGE)

$$\frac{\text{EXPENDABLE RESOURCES}}{\text{DEBT}}$$

3. PEAK DEBT SERVICE TO OPERATING EXPENSES (%)

$$\frac{\text{MAXIMUM ANNUAL PRINCIPAL AND INTEREST ON ALL DEBT}}{\text{TOTAL OPERATING EXPENSES}}$$

³ Total Operating expenses= operating expenses per SRECNA, less student financial aid expense plus interest on capital asset related debt.

⁴ Unrestricted net assets plus expendable restricted net assets

⁵ Par amount of debt = Consolidated Educational Building Revenue Bonds, Housing & Dining System Revenue Bonds, Hospital Revenue Bonds, Library Bonds, capital leases and notes payable.

4A. OPERATING MARGIN (PERCENTAGE)

$$\frac{\text{ANNUAL OPERATING MARGIN}^6}{\text{TOTAL OPERATING REVENUES}^7}$$

4B. 3-YEAR AVERAGE OPERATING MARGIN (PERCENTAGE)

$$\text{THREE YEAR AVERAGE OF } \frac{\text{ANNUAL OPERATING MARGIN}}{\text{TOTAL OPERATING REVENUES}}^8$$

5. 3-YEAR AVERAGE PEAK DEBT SERVICE COVERAGE (TIMES COVERAGE)

$$\frac{\text{3 YEAR AVERAGE (ANNUAL OPERATING MARGIN + DEPRECIATION AND INTEREST EXPENSE)}}{\text{CURRENT YEAR PEAK DEBT SERVICE}}^9$$

6. DIRECT DEBT TO CASH FLOW (TIMES COVERAGE)

$$\frac{\text{DEBT}}{\text{OPERATING MARGIN + DEPRECIATION AND INTEREST EXPENSE}}$$

7. CURRENT YEAR OPERATING SURPLUS (PERCENT)

$$\frac{\text{OPERATING SURPLUS + DEPRECIATION + INTEREST ON CAPITAL RELATED DEBT}}{\text{TOTAL OPERATING REVENUE}}$$

⁶ Annual operating margin = net (loss) from operations per SRECNA, + state appropriations, + gifts/grants (non-capital and non-permanent endowment) + 4.5% of the prior year-end cash and investments, minus interest on capital related debt.

⁷ Total operating revenues = operating revenues per SRECNA +state appropriations, + gifts/grants (non-capital and non-permanent endowment) + 4.5% of the prior year-end cash and investments, minus student financial aid expense.

⁸ Total operating revenues = operating revenues per SRECNA +state appropriations, + gifts/grants (non-capital and non-permanent endowment) + 4.5% of the prior year-end cash and investments, minus student financial aid expense.

⁹ Maximum debt service on all debt as of the end of the most recent fiscal year.

FCR 7

Office of the President
May 3, 2011

Members, Board of Trustees:

2010-11 BUDGET REVISIONS

Recommendation: that the Board of Trustees authorize and approve the following revisions to the 2010-11 budget. The budget revisions outlined below will increase the University of Kentucky's total budget by \$49,811,000 – from \$2,500,327,000 to \$2,550,138,000.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>GENERAL FUND</u>			
1. Income Estimates			
Hospital Services			
Appropriated			
Fund Balance	\$ 0	\$ 50,000,000	\$50,000,000
UK Affiliated Corporations			
The Fund for Advancement			
of Education and			
Research in the			
University of Kentucky			
Medical Center	17,027,100	17,116,400	<u>89,300</u>
			\$50,089,300
2. Expenditures			
UK HealthCare			
Hospital System			
Chandler Hospital	\$491,522,600	\$541,522,600	\$50,000,000
Provost			
College of Nursing			
Instruction	7,353,300	7,424,900	71,600
UK Affiliated Corporations			
The Fund for Advancement			
of Education and			
Research in the			
University of Kentucky			
Medical Center	6,325,500	6,343,200	<u>17,700</u>
			\$50,089,300

3. Comments – Authority is requested to budget \$50,000,000 of accumulated fund balances for the new Patient Care Facility. This budget revision in accordance with the approved financing plan and does not alter the current project scope.

The increase of \$89,300 for The Fund for Advancement of Education and Research in the University of Kentucky Medical Center (The Fund) is primarily due to realizing more 2009-10 fund balance than projected. These funds will be used to support the practice plan programs in the College of Nursing and miscellaneous programs in The Fund.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
B <u>AUXILIARY FUND</u>			
1. Income Estimates			
Auxiliary Enterprises	\$140,943,000	\$140,945,900	\$ 2,900
2. Expenditures			
Provost			
College of Medicine			
Office of Academic Affairs	\$ 0	\$ 2,900	\$ 2,900
3. <u>Comments</u> – Additional income will be received from the University of Kansas for services provided by the Standardized Patient Care Service Center. These funds will support operating expenses.			

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
C. <u>RESTRICTED FUND</u>			
1. Income Estimates			
UK Affiliated Corporations			
The Fund for Advancement of Education and Research in the University of Kentucky Medical Center	\$ 4,404,100	\$ 4,122,900	\$ (281,200)
2. Expenditures			
UK Affiliated Corporations			
The Fund for Advancement of Education and Research in the University of Kentucky Medical Center	\$ 4,404,100	\$ 4,122,900	\$ (281,200)

3. Comments – A \$281,200 reduction in the Dean’s Academic Enrichment Fund is recommended due to revised estimates based on actual income earned to date. Expenditure authority will be adjusted accordingly.

Action taken: Approved Disapproved Other _____

FCR 8

Office of the President
May 3, 2011

Members, Board of Trustees:

REPLACE VIDEO BOARDS AT COMMONWEALTH STADIUM

Recommendation: that the Board of Trustees approve the initiation of the “Replace Video Boards at Commonwealth Stadium” project using private funds including a withdrawal of up to \$4.6 million from Athletic’s quasi endowments.

Background: This Project will replace the video boards at Commonwealth Stadium with new LED technology video panels and sound system. The scope of this Project is \$6.25 million and will be funded entirely with private funds.

Upon approval by the Board of Trustees, the Project will be reported to the Capital Projects and Bond Oversight Committee.

Action taken: Approved Disapproved Other _____

FCR 9

Office of the President
May 3, 2011

Members, Board of Trustees:

RENOVATE SHIVELY SPORTS CENTER

Recommendation: that the Board of Trustees approve the initiation of “Renovate Shively Sports Center” Capital Project.

Background: This Project will renovate the Shively Sports Center’s locker, shower, restroom, and lounge facilities for men’s and women’s track and baseball teams. This Project also will modernize the existing hallways and lighting systems and enhance the overall functionality of the facility. The scope of this project is \$950,000 and will be funded with private funds from the University of Kentucky Athletic Association.

Contingent upon approval by the Board of Trustees, the University will submit this Project for interim authorization to the Council on Postsecondary Education at its June 10, 2011 meeting and to the Capital Projects and Bond Oversight Committee at its June 21, 2011 meeting.

Action taken: Approved Disapproved Other _____

FCR 10

Office of the President
May 3, 2011

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

Recommendation: that the Capital Construction Report for the three months ending March 31, 2011 be accepted. This Report refers only to projects that had activity within this quarter.

Background: Under House Bill 622 enacted by the 1982 Kentucky General Assembly, the University of Kentucky is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University.

For the period January 1, 2011 thru March 31, 2011:

There were four new contracts this quarter:

Project 2315.0	Renovate Track and Field Facility Ross Tarrant Architects, \$868,500 (<i>Design</i>) Abel Construction Co., \$591,651 (<i>Construction</i>)
Project 2316.0	Renovate Nursing Building – Phase II GRW, Inc., \$73,000 (<i>Design</i>)
Project 2318.0	Repair Pedestrian Bridge at Cooper Drive Buell, Fryer, McReynolds, Jahed, \$76,800 (<i>Design</i>)

Two amendments were as follows:

Project 2239.0	Construct Patient Care Facility - Provide additional design and construction administration services associated with the PCF Data Center (+) \$409,072
Project 2239.7	Construct Patient Care Facility (Pavilion A, OR Suites and Support) - Provide redesign of the surgical areas and central sterile supply to include the production of Construction Documents and to add PACU (+) \$349,722

Twenty Four change orders greater than \$25,000 were as follows:

Project 2239.0	Construct Patient Care Facility - Provide for fire wrapping of the exhaust ducts on the 14 th level corridor (+) \$25,893 - Provide for installation of a status annunciator panel for the transfer switches on the fourth floor (+) \$51,570
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- Provide for installation of an additional set of CT's on the load side of the stand alone fire pump circuit breakers on the fourth floor (+) \$32,087
- Provide and install temporary floor protection during construction for the thin set tile on the first level lobby (+) \$83,092
- Provide for recircuiting of the outlets serving the physiological monitors in 128 of the patient rooms from normal to critical power (+) \$63,378
- Delete various signs from the signage schedule due to final review of site conditions and traffic patterns (-) \$32,258
- Provide for revision of the final signage package for the Emergency Department (+) \$41,411
- Provide labor and materials to construct support offices to the ground and first level information desks of the main floor lobby (+) \$50,318
- Provide and install materials necessary to protect lobby stone during the construction process (+) \$88,797
- Provide for revision of elevator controls to add Cat 6 UTP in elevator machine rooms to support TUG electronics (+) \$25,469
- Provide and install 1" shaft liner overlapping the vertical tube steel columns in elevator shafts per the state building inspector's request (+) \$25,508
- Delete miscellaneous metals and associated labor no longer required to mount the University purchased patient lift rails (-) \$107,640
- Provide for raising of three exhaust fans and exterior grade inlet duct extension, outside air bypass duct and a balance damper for fans (+) \$25,192
- Provide necessary wall protection in the west connector corridor due to high use (+) \$30,888
- Provide temporary heat for Lobby 1 and 2 finishes (+) \$44,499
- Provide for creation of allowance to be used to fund overtime from construction manager's contingency for specific work on a case by case basis (+) \$230,433
- Provide for labor and material to install twenty five flow and tamper switches and to connect them to the fire alarm system (+) \$32,704
- Provide for demolition of the second floor level surgery break room and adjacent areas in the CCC (+) 98,771
- Provide and install the wood seats in masonry walls (+) \$29,956
- Provide the labor and material to upgrade the door hardware and electronic security hardware for 119 openings throughout the PCF (+) \$462,671
- Provide control wiring and start-up to electrified door hardware for 48 doors (+) 82,324

Project 2310.0

Renovate 4-H Camps

Camp Cumberland

- Provide for structural reinforcement of cabin numbers 9 and 11, bringing them up to code compliance (+) \$49,281

Camp Carlisle

- Provide for construction of two new cabins (+) \$172,290

Project 2312.1

Construct CAER Lab Bldg. # 2

- Provide for shifting of under slab plumbing work from bid pack # 3 to bid pack # 1 facilitating earlier completion of the first floor slab and ultimately resulting in cost savings (+) 146,400

Action taken: Approved Disapproved Other _____

CAPITAL CONSTRUCTION CONTRACTS QUARTERLY ACTIVITY REPORT

This report contains capital projects that have a dollar scope value of \$600,000 and above. The projects are listed in alphabetical order and contain the project number, the dollar scope, a brief description of the project work and information pertaining to the primary consultant's contract and the primary contractor's contract. This information includes:

Consultant: This section contains the name of the consultant, the contract number, the original contract amount, the total number of amendments, the cumulative amendment amount, the revised contract amount, and a notes section. The notes section will describe, in bold face type, all amendments to the consultant's contract for the current quarter.

Contractor: This section contains the name of the contractor, the contract number, the original construction contract amount, the total number of change orders, the cumulative change order amount, the revised contract amount, information on the low, high and number of bids, the percent of the contract completed, and a section for notes. The notes section will describe, in bold face type, all change orders greater than \$25,000 for the current quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY REPORT

Glossary

Amendment: refers to modifications or changes to the Consultant's contract with the University, usually the result of changes in the program or scope of a project caused by unforeseen conditions or at the request of the University.

Change Order: refers to modifications or changes to the Contractor's contract with the University, usually the result of conditions not anticipated by the contract documents, plans and/or specifications for the work.

Consultant: the entity, usually an architectural or engineering firm, with whom the University contracts and who has primary responsibility for providing services to design and to administer the contract for construction of a construction project. The prime consultant frequently employs the services of one or more sub-consultants in fulfilling its contractual obligation.

Contract administration: refers to the activities of the consultant during the construction phase of the project in which they verify that construction is in compliance with the requirements of the contract between the University and the contractor.

General Contractor: the entity, usually a general contractor but occasionally a trade contractor, with whom the University contracts and who has primary responsibility for completion the construction of a project. This "prime" contractor usually employs the services of various subcontractors (trade contractors) and vendors in fulfilling its contractual obligations.

Feasibility Study: refers to investigation, usually commissioned through an outside consultant, to advise the University of the merits of an anticipated construction project, and to evaluate and comment on matters such as need, probably cost, preliminary program issues, and so forth.

Gross square feet: the total area of the project, generally measured from the outside of exterior walls for all floors of the project, including mechanical spaces.

H.B.C.: refers to the Commonwealth of Kentucky Department of Housing, Buildings and Construction, the state agency having responsibility for review and approval of University construction projects to assure compliance with applicable building codes.

Penthouse: refers generally to the enclosure around roof mounted mechanical spaces in a project. Not considered occupied (or net assignable) space.

Percent complete: as used in the report refers to the expenditure to date divided by the total amount of the construction contract for the work.

Net assignable square feet: the usable area of the project excluding corridors, rest rooms, storage areas, mechanical spaces, etc.

Phase: The various stages of design and construction of a capital project, including:

- **Programming phase:** refers to the initial planning phase of a project, during which the University (with or without participation by a consultant) defines in detail the needs and requirements of a project. This program becomes the basis for consultant selection, fee negotiation, and design of the project.
- **Schematic design (S/D or Phase 1):** refers to the first formal phase of design by the consultant, in which a general design solution to project programmatic requirements is developed.
- **Design development (D/D or Phase 2):** refers to the second formal phase of design by the consultant, during which the schematic design is expanded to identify solutions to design issues in broad terms, sufficient to insure the stated programmatic needs can be met.
- **Construction document phase (C/D or Phase 3):** refers to the final phase of the design process in which the details and specific project requirements are identified and incorporated in a final set of biddable construction documents.
- **Bidding phase:** refers to the stage of a project during which proposals for construction are solicited, culminating in the award of one or more contracts for construction.
- **Construction phase (or Phase 5):** refers to the actual construction of the project by contractors.

Scope: The total estimated value of a capital project on completion, including all design, construction and administrative costs as well as the cost of capitalized furnishings and equipment.

Substantial Completion: refers to the time during construction, certified by the consultant, when the work is sufficiently complete for the University to occupy the facility for its intended use without significant interruption. Upon certification of substantial completion, the University assumes operational and maintenance responsibility and insurance liability for the project and the warranty period begins for most elements of the construction. Some work generally remains, including correction of minor punchlist items, before the work is certified finally complete.

Trade Contractor: Sub-contractors, or individual bid package contractors, who practice a specific, trade (electrical, painting, structural steel, for example)

Major Projects
Capital Project Management Division
March 31, 2011

Construct CAER Laboratory Building #2

Project Description:

The University is the recipient of a grant award from the National Institute of Standards and Technology (NIST) as part of the 'America Recovery and Renewal Act' federal stimulus package to construct a new stand-alone laboratory building for the University of Kentucky Center for Applied Energy Research located at Spindletop Research Park. Portions of the laboratory facility will be specially equipped to accommodate battery-manufacturing R&D. In addition, other portions of the building will be specially equipped to accommodate research related to biomass energy and biofuels, electrochemical energy storage, and solar energy.

Project Status:

Work completed in March included completion of drilling of the geothermal wells with the installation of the branch feeders ongoing from the wells to the vault. Structural steel is complete, with detailing continuing in all areas. Underground sanitary continues with the acid waste pit being installed in early April. Column base plates are being grouted and box outs are ongoing. Storm line rerouting is complete. Exterior concrete masonry walls are being installed in Area "A", along the elevator pit. Bids were received on Bid Package # 3, including the remaining Trade Contracts, on March 10th. This package included seventeen (17) trade contracts, including all interior finishes, including laboratory casework and controlled environment rooms, exterior finishes, sitework and MEP. Seven (7) of the trade contracts will be awarded, with seven (7) trade contracts to be re-bid incorporating the approved "value engineered" options and one to be awarded after a post bid addendum is issued. The remaining (2) trade contracts were rejected and will be deferred or eliminated from the scope of the project. The consultant and construction manager teams will prepare revisions for the re-bid packages to advertise after mid-April.

Construct Children's Garden at the Arboretum

Project Description:

The Children's Garden will be a safe 1.85 acres outdoor environment designed to help children 2 to 10 years old learn about plants and the environment. There will be an integrated combination of various "child-scaled" theme gardens, garden-like elements for experiential play, and gardens planted and maintained by children. This initial phase will construct the geographical features including rock escapements; the stream and pond; and some of the walks and plantings.

Project Status:

Installation on the quilt fountain is ongoing, anticipating completion after mid-April. Installation on the butterfly garden is ongoing. All work is anticipated to complete in early May for the opening on May 14th.

Construct Patient Care Facility

Project Description:

The Hospital's present patient bed tower is over 45 years old. Although the space served patients well, the infrastructure is aging, and the facility can not accommodate rapidly developing technology. This project is providing the site location evaluation, building programming, planning, and design services for a new bed tower. The project design team is lead by GBBN with major sub consultants including AM Kinney, Ellerbe-Becket, Staggs and Fisher, Affiliated Engineers, THP Limited as well as a number of specialty consultants. This project will construct a new hospital addition to include patient beds, patient diagnostic and treatment areas, support areas, support facilities, medical equipment and infrastructure. The project also includes the replacement of the hospital parking garage and a connecting bridge to clear the site for the new hospital addition as well as land acquisition and utility relocation costs. The progress of all the components are listed below:

PCF – Building (BP #'s 3B, 4, 5 & 6):

Project Description:

This project includes:

- (BP #3B) Early Site Work (Now Complete)
- (BP #4) The demolition of the existing Parking Structure # 4. The work will involve the start of all deep foundations up to the grade of the excavation. (Now Complete)

- (BP #5) The construction of the new 1,022,000 gross square feet building's structural frame, fireproofing, exterior wall enclosure for all new building spaces, windows, exterior doors and roofs. Also included will be all exterior site improvements, civil design for roadways, drainage systems, landscaping, hardscape surfaces and walks.
- (BP #6) The interior fit-out partitions, interior finishes, doors and frames, ceilings, mechanical systems, electrical systems, technology systems, medical equipment and fire protection for approximately 490,000 occupiable square feet; and 532,000 square feet of shell space. The work also will include drawings and specifications for signage, interior furnishings and lighting.

Project Status:

Core and Shell (BP #5)

The exterior of the building is nearing completion. Exterior inspections are scheduled daily during April. The swing stage work is 95% completed with caulking and trim finalization; the louvers at level 14 were installed during March. Installation of the interior metal panels above the lobby and 1st floor curtain wall also completed. The revolving doors at the main lobby were installed and will become operational during April. The elevator contractor continued to work on completion items for elevators 05, 03, 04, and 01. Final machine room work continued for all car groups on level 14. The site contractors resumed work on the exterior site work with concrete planter curbing, site grading and topsoil installations and site concrete work during March. The landscape contractor resumed with the installation of the sod on the west and garage areas during March. The majority of the site fencing was removed and replaced with orange fence for topsoil work. Planting will continue thru April and May as allowed by the specification. A multitude of contractors continued work on the west connector new work areas working in and around the existing hospital spaces. The finish trades continued to install and finish gypsum walls; first and some finish coats were applied and ceramic tile flooring was installed at the 1st and 2nd floor locations. The mechanical work is complete for all systems serving the emergency department and infrastructure.

Fit up (BP #6)

Punchlist development and completions continued on the 6th and 7th floors, elevator and stairs; and some basement, ground, Podium and Shell floor areas during March. The acoustical contractor continued working in several areas this month including the Lobby/Auditorium; 7th floors; level 1 areas and shell floor areas. Shell finishing work continued at only level 12 – all other areas completed. Ceiling and wall finishing work continued at a high pace at the lobby and 1st floor, and lobby areas. Wood ceiling installations continued at the level 1 and high bay areas. The stretched fabric was also installed at the level 1 lobby arches. The general trade's contractor continued final installations of wood doors and hardware continued throughout the project with emphasis on the areas nearing completion. The stained floor in the auditorium is also completed. Seating will be installed during April. The painting contractor continued work on the 7th floor patient rooms, lobby level ground and one, stairs, and basement areas finish painting. Flooring was installed on the 1st floor and ground floor areas – including carpet tiles. Cleveland Marble continued with the installation of the thin-set tile on the 1st floor lobby areas and the larger stone flooring in the Lobby 2 areas along with all the stone vertical trim items. Installation of the audio and video equipment began in the ground floor auditorium areas. Final terminations and equipment mounting were installed into the stage clouds along with wire terminations. Work is nearing completion on the bed tower floors with installation of the shell space lighting, fire alarm, damper connection and work in the electrical closets. Substantial completion is scheduled April 20, 2011.

Construct Patient Care Facility- OR Suites & Support

Project Description:

This project provides a new surgical suite to be constructed in the shell space of the new Patient Care Facility. The project includes construction of 8 operating rooms, 1 hybrid OR, with supporting spaces for surgical pathology, surgical pharmacy, anesthesiology, staff lounge and lockers, and pre-operative / post operative functions. Also included will be the construction of a new central sterile supply and associated elevators to serve the new surgery areas. In addition the project will supply a 63,000 CFM air handling unit to provide for the HVAC requirements of this area. The fit-up encompasses an area of approximately 66,000 SF.

Project Status:

The construction continues to proceed on schedule. MEP rough in is well underway in all areas. Layout is complete and metal stud framing continues. Elevator construction is progressing. A mock up of a PACU recovery room and an operating room is nearing completion. The air handling unit has shipped and is being stored off site. The expected project completion date is November 2011.

Expand & Upgrade Livestock Disease Diagnostic Lab

Project Description:

New space is needed for more than one veterinary pathologist to perform animal postmortem examinations in addition to renovation of current necropsy space. New space is also needed to add molecular biology/special procedures capabilities to the laboratory. New technology in the form of an alkaline hydrolysis digester is needed to replace an aging incinerator. The LDDC is an office and laboratory building with net assignable space of 3,860 square feet for offices and 28,100 square feet of laboratories and support space. It is proposed to add 6,300 and 1,200 square feet (net) of new laboratory and office space, respectively. An existing necropsy laboratory and support space will be renovated (2,000 square feet net). An aging incinerator is the present means of carcass disposal. An alkaline hydrolysis digester will be installed for carcass disposal after renovating 2,000 square feet (net) of space to contain the digester.

Project Status:

Digester installation is complete. Performance re-test of both digesters was successful this month. Digester training is scheduled for mid-April. All remaining lab renovations will complete by May 1, 2011. The storage tank facility at Woodford County farm is complete.

Guaranteed Energy Savings Performance Contract

Project Description:

An energy service company (ESCO), such as AMERESCO, provides comprehensive energy and water management analysis plans as well as energy and water-related capital improvement services. Enabled by Kentucky Revised Statute 56.774, energy savings performance contracting is a cost-effective process for energy upgrades. Energy service companies guarantee that utility savings generated by facility upgrades are sufficient to pay back the capital investment during the next twelve years. If the project does not provide these returns on the investment, the ESCO is responsible for the difference. This project will help meet the overall University goal, which is to decrease the university's overall energy demands by 10-15 percent. Energy conservation measures in the project that will reduce the university's overall energy consumption include: Upgrades to lighting systems to the latest electric saving technology; fume hood controls in Chemistry/Physics; HVAC systems; steam and chiller plant controls; Installation of motor speed drives (VFD's) to save energy during mild weather conditions; Energy management software to monitor usage in real time; and automatic utility metering devices; Replacement of old plumbing fixtures to the latest water saving technology; Repair of pipe insulation; Behavioral modification programs to encourage energy conservation, tailored for campus and developed, implemented and measured to maximize effectiveness and initiate a culture of energy efficiency.

Project Status:

Bidding of all Energy Conservation Measures (ECMs) continues this month. Construction activities for lighting retrofits continue this month with only 3 of the 61 Lexington campus buildings remaining. Measurement and verification tasks are scheduled to begin April 2011. Additional ECMs are scheduled to begin April 2011. Construction for all the ECMs will continue through November 2011.

Renovate 4-H Camps

Project Description:

The College of Agriculture Cooperative Extension Service and 4-H Youth Development operate 4-H Camping Centers in Nicholas, Pulaski, Laurel and Hopkins counties. This project will renovate existing cabins by upgrading utility infrastructure, improving finishes, and installing energy efficient heating and air conditioning systems. The project also will include replacement of existing bunks and mattresses in all the camps. Additionally, a new cabin will be built that will house 28 campers and 4-H staff.

Project Status:

Work continues at each of the four camps. All work is expected to be complete by spring 2011.

Renovate 4th Floor Sanders-Brown Center on Aging

Project Description:

This project will consist of renovating approximately 9,000 square feet of research support space in the Sanders-Brown Center. This project will include retrofitting outdated, non-compliant research support space and the associated mechanical equipment to meet current regulatory requirements.

Project Status:

The University transmitted the final requested items for the bid documents to the NIH on March 21, 2011. We are awaiting the final approval to proceed to bid.

Renovate Nursing Building – Phase II

Project Description:

This project will renovate approximately 8,200 square feet on the sixth floor of the College of Nursing Building to accommodate the construction of a new 118 seat Computer Classroom and associated support space. The project will be funded by federal grant of \$1,287,000 from the U.S. Department of Health and Human Services and University funds.

Project Status:

The Provost's office provided the revised program on March 16, 2011 which was then forwarded to the design consultants. Design Development documents are scheduled to be delivered on April 21 with a design review meeting scheduled for April 28. Once the design development documents have been reviewed and approved the team shall proceed with completing the construction documents. The project is scheduled to start construction in mid-August 2011 and will complete in January 2012.

Renovate Old Pharmacy Building for Biology (Multi-Disciplinary Sciences Building)

Project Description:

The old Pharmacy building (now Multi-Disciplinary Sciences Building) will be used to provide teaching lab space for Biology, future research lab space for Biology and Chemistry, and office space to co-locate the University's significant core of statistical expertise from the colleges of Arts and Sciences, Public Health and Medicine. This phase of the project will renovate approximately 18,120 square feet on the first, second and third floors of the Multi-Disciplinary Sciences Building and will include the modification of the existing offices and the creation of additional offices, computer class labs, a Biology class lab and support spaces. The estimated scope of \$3.4 million will be funded from institutional fund balances.

Project Status:

The construction documents were delivered to the University on March 21 and a review meeting has been scheduled on April 4. Bid documents will be delivered on April 11.

Renovate Track and Field Facility

Project Description:

The University of Kentucky's Shively Track, used by the men's and women's track and cross country teams, is over 30 years old and needs to be renovated. This project will renovate the existing track and field facility by providing new track and field surfaces, field event training areas, spectator seating, spectator amenities (concessions, restrooms, etc.), and equipment storage. The track is anticipated to be a nine-lane synthetic surface track. An athlete only practice throw area has been integrated into the site plane, creating safety for adjacent spectators as well as providing a proper environment for the training and warm up of student athletes. A practice runway is being incorporated with the javelin throw. This area would also accommodate visiting track teams during meet events. The architectural design and materials will be integrated to enhance the proximity to the design elements of the University's adjacent baseball stadium. The concession building will overlook the track so spectators standing in line will continue to have visual access to the event completion. A new storage facility will be located at the Cooperstown Road entry, and will be of sufficient size to store all track and field equipment.

Project Status:

Bids were received on Bid Package A on March 31st, which included eleven (11) trade contracts. Five (5) of the eleven will proceed to award. One will be re-bid, and the remaining are being reviewed with the bidding contractors to determine if they are re-bid or if the bid overages can be addressed via post-bid addendum.

Renovate/Expand the Center for Applied Energy Research – Phase 1 & Phase 2

Project Description:

The primary purpose of this project will be multi-phased project incorporating the following components:
Mineral Process Lab Building - The initial phase of the project will require the construction of a 6,400 square foot support building. This new facility will permit the relocation of the existing mineral processing group, currently housed in the Center's main facility. The existing equipment will be relocated along with support space, offices and labs.
Relocation of the Crushing Room - The existing Crushing Room is to be relocated into an existing facility originally built for dry storage of coal.
Bio-fuels Highbay Lab Fit-up and Improvements - Renovated space, vacated by the Mineral Processes Lab in above, will allow for the development of a bio-fuels laboratory for production, characterization, and testing of biomass derived fuels, with a focus on biodiesel, bio-oils, and biomass derived Fischer-Tropsch liquids. The principle objective of this project is the establishment of a laboratory dedicated to the

development of improved processes for biomass utilization, with the goal of supporting the development of the biofuels industry in Kentucky. Combustion High Bay Hoods - The existing Combustion/High Bay Lab will have a new bench top fume hood and a new walk-in hood installed with required ductwork and exhaust fans.

Project Status:

Phase 1 – Complete.

Phase 2 – Bid documents have been transmitted to purchasing for advertising with bidding in early April.

Repair Pedestrian Bridge at Cooper Drive

Project Description:

This project provides for emergency repairs to the pedestrian bridge which crosses Cooper Drive. The bridge has numerous corrosion issues, electrical problems, and settling issues. BFMJ Structural Engineers has been contracted to evaluate the current condition of the bridge and design repairs and upgrades which will extend the service life for the foreseeable future. The bridge supports student pedestrian traffic which crosses Cooper Drive midway between S. Limestone and University Drive. It is integral to connecting the campuses of the college of Agriculture.

Project Status:

Project plans have been completed and submitted for distribution. A project pre-bid meeting was held on March 9, 2011. Project bids were received on March 29, 2011 and the project remains in budget. The expected completion date is October 2011.

Replace Wildcat Lodge Student Housing (Wildcat Coal Lodge)

Project Description:

This project consists of the design and construction of a new housing facility of approximately 20,900 GSF to replace the existing Wildcat Lodge. The new facility is to house the UK men's basketball players in addition to other non-athlete students. The building will include a controlled main entryway, with combination living/bedroom spaces, a common lounge space, with a dining room and a kitchen. In addition, it will have an apartment for the hall direction and a public restroom in the entry area. The site will be located just east of the Joe Craft Center. The project scope was increased to \$8,050,000, as approved by President Todd, pursuant to UK Administrative Regulation 8:2:III-C-2(a) and by the Capital Projects and Bond Oversight Committee pursuant to KRS 45.760.

Project Status:

The project has been successfully rebid and trade contracts have been awarded. The first trade contract was awarded February 14. The contractor began installation of the construction fence on February 16. A temporary entrance has been installed to allow access to the remaining parking spaces in the lot adjacent to the project site. Caissons have been drilled and poured. Site excavation is in progress. Substantial completion is scheduled for February 4, 2012. Final completion is scheduled for March 5, 2012.

Upgrade/Renovate/Improve or Expand Research Labs (Fit-up 4th and 5th Floor CoP)

Project Description:

This project will consist of the final fit-up of the fourth and fifth floors of the Biological Sciences/Pharmaceutical Complex. These floors total approximately 84,000 gross square feet of previously shelled research lab space in the newly completed building. The new space will include wet-bench research labs, lab support spaces and lab offices. The steamline extension project includes installing new steam pipe, concrete vaults and concrete tunnel starting at the east end of BBSRB mechanical tunnel and continuing around KY Clinic and ending at the North side of Huguelet Avenue.

Project Status:

Lab casework and mechanical and electrical rough-in continues on the 4th and 5th floor. Work continues in assembling the newly installed air handler in the penthouse. All work is scheduled to be complete October 1, 2011. The steam line work continues outside the Kentucky Clinic with tie-in scheduled for mid-April.

ARS - Agriculture Project

Project Description:

The Forage Animal Production Research Laboratory will serve the Forage Animal Production Research Unit for the USDA - ARS on the campus of the University of Kentucky. The mission of the FAPRU is to improve productivity, profitability, competitiveness and environmental sustainability of forage based enterprises within the transition zone of the Eastern and Midwestern United States. Multidisciplinary teams to work to identify, evaluate, and manipulate genetic and physiological factors, using for the enhancement of food animal and equine health and performance in forage based enterprises as well as to improve forage plant persistence, quality, and production. Three to four story

building with approximately 65,000 gross square feet of research labs, equipment and support rooms, offices and conferences rooms. The program also includes approximately 12,000 gross square feet for greenhouses and head house.

Project Status:

Construction has been delayed pending availability of federal funding.

Construct Equine Campus – Stallion Research Facility

Project Description:

This project will serve to renovate the former carriage house at Spindletop Farm for use as the Stallion Barn component of the Equine Reproductive Research Center. The renovations will include creation of laboratory space, semen processing and storage areas, holding stalls and a mating area. There will also be a conference room and viewing room. Restroom facilities that are ADA compliant are included. Infrastructure improvements include new electrical service, upgrades to water and sanitary systems and HVAC system installation.

Project Status:

Project is advertised and the bid opening is scheduled for April 7, 2011. Construction is anticipated to complete in August, 2011.

Construct Second New Housing – North Campus

Project Description:

This project consists of programming, design and construction of a new facility of approximately 122,200 GSF that will replace Jewell and Boyd Halls. It is to be a multi-story (up to 5 floors) facility that will provide 376 beds at 325 gsf/bed. In addition, it will include partial basement and penthouse spaces to house mechanical/electrical systems. The site will be located on North Campus in the area where Jewell and Boyd Halls are currently located and will include an extension of the central utility systems as necessary to connect the new building to the central systems. The building should achieve LEED certification, as a minimum. This project will include the abatement and demolition of Jewell and Boyd Halls, and the restoration of those sites.

Project Status:

A contract for design services is being finalized. It is anticipated an initial design kick-off meeting will be held in late April. Final design documents are tentatively scheduled to be completed in November 2011. Construction is tentatively scheduled to begin in December 2011 and be completed in April 2013.

Renovate Schmidt Vocal Arts Center

Project Description:

This project will complete the renovations to include rehearsal rooms, teaching studios, practice rooms, music library, office space for staff and graduate assistants and enhancements to the building's main entrance.

Project Status:

Eight proposals from interested design consultants were delivered to the University on Friday, March 28. A short-list selection meeting was held Wednesday, March 30, and interviews will be held on Wednesday, April 13.

Reynolds Bldg #1 Elevator Replacement

Project Description:

This project will include replacing the elevator, replacing door locks, lead abatement of pipe paint, demo sprinkler heads, repair heat piping, replace exhaust fans in the ceramics and wood shops, and pest control.

Project Status:

The University is negotiating a design fee with GRW, Inc. for design and contract administration services. It is anticipated that the design documents will be complete so that the work may occur over the summer break.

Reynolds Bldg #1 Renovations and Enhancements

Project Description:

This project will include fire separations on the 1st and 2nd floors, ensuring proper separation at the three fire stairs, renovating 4 restrooms, repairing wood floors on the 2nd floor, installing a new circulation core at the elevator, and interior painting. (A new sprinkler system was also recently completed in this building, but outside of this project.

Project Status:

Pearson & Peters Architects will provide design documents for all work except the fire separations at the fire exits, which PPD will complete. Bid documents will arrive mid-April, and work will begin after Spring Semester.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct CAER Lab Bldg. #2

Project Number: 2312.01

Scope: \$19,776,913

Project Description:

The University is the recipient of a grant award from the National Institute of Standards and Technology (NIST) as part of the 'America Recovery and Renewal Act' federal stimulus package to construct a new stand alone laboratory building for the University of Kentucky Center for Applied Energy Research located at Spindletop Research Park. Portions of the laboratory facility will be specially equipped to accommodate battery manufacturing R&D. And other portions of the building will be specially equipped to accommodate research related to biomass energy and biofuels, electrochemical energy storage, and solar energy.

Consultant: Murphy+Graves Architects

Contract # A101110

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:	\$1,645,000
Cumulative Amendment Amount:	
Revised Contract Amount:	\$1,645,000

Contractor: Turner Construction

Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added. *Turner's contract was awarded by RFP process.

Contract # 4500039389

Total Change Orders to Date: 11

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Change Order # 3, 1/21/11, was approved for an increase of \$146,400 to shift the underslab plumbing work from Bid Pack # 3 to Bid Pack # 1 facilitating the first floor slab being poured and completed earlier in the construction schedule resulting in cost savings.

Original Contract Amount:	\$6,905,583
Cumulative Change Order Amount:	\$177,554
Revised Contract Amount:	\$7,083,137
Contract Percent Complete:	40%

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Children's Garden at the Arboretum

Project Number: 2303.00

Project Description:

Scope: \$768,449

The Children's Garden will be a safe 1.85 acres outdoor environment designed to help children 2 to 10 years old learn about plants and the environment. There will be an integrated combination of various "child-scaled" theme gardens, garden-like elements for experiential play, and gardens planted and maintained by children. This initial phase will construct the geographical features including rock escarpments; the stream and pond; and some of the walks and plantings.

Consultant: M2D Design Group

Contract # A091040E #1

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:	\$35,068
Cumulative Amendment Amount:	
Revised Contract Amount:	\$35,068

Contractor: Meyer Midwest

Contract # 4500036412

Total Change Orders to Date: 8

Low Bid: \$407,000

High Bid: \$479,620

Number of Bids: 2

Original Contract Amount:	\$407,000
Cumulative Change Order Amount:	\$169,339
Revised Contract Amount:	\$576,339
Contract Percent Complete:	95%

Describe all change orders greater than \$25,000.00:

Change Order # 5, 6/18/10, was approved for an increase of \$50,823 to replace the concrete pavers from behind the retaining wall at overlook with permeable pavers to better match future additions to the garden. Drainage pipes and associated structures were added as well to alleviate existing drainage issues outside the garden and to manage future needs inside the garden. **Change Order # 6**, 7/9/10, was approved for an increase of \$95,640 to add The Quilt Fountain and the paving, drainage, pump/filter system, plumbing, and electrical work associated with the feature. **No change orders greater than \$25,000 this quarter.**

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Patient Care Facility

Project Number: 2239.0

Scope: \$532,300,000

Project Description:

This project will construct a new hospital addition to include patient beds, patient diagnostic and treatment areas, support areas, support facilities, medical equipment and infrastructure. The project also includes the replacement of the hospital parking garage and a connecting bridge as well as land acquisition and utility costs. (Note: The project design scope at the present time is \$532 Million as has been discussed with the BOT.)

Consultant: GBBN, Inc.

Contract #	A061080	Original Contract Amount:	\$30,400,226
Total Amendments to Date:	9	Cumulative Amendment Amount:	\$7,391,235
Describe all Amendments:		Revised Contract Amount:	\$37,791,461

Amendment # 1, 9/12/06, was approved for \$299,044 for additional design services required to design two additional floors for the parking garage. This amendment also provides for additional survey services associated with the rerouting of the ductbank; the addition of 12 receptor sources to be studied as part of the existing wind study; and the need for additional storm water modeling to review the impact on the new Patient Care Facility during heavy rain storm events. **Amendment # 2**, 2/13/07, was approved for additional design services associated with increased scope including but not limited to increased renovation of areas in the existing hospital (all phases); development of early bid packages for infrastructure and site utility packages and temporary emergency department access; development of an additional bed wing (all phases); development of partial cafeteria (all phases); design of fitup for two additional floors (shell only - all phases); design of a redundant communications feed (all phases); additional design services associated with security systems; development of design of Phase 1B air handlers (thru DD's) and additional design of costs associated with relocation and installation of above ground and underground fuel tanks (all phases). Also included is additional reimbursable services for added geotechnical rock investigation; additional site survey and added construction testing. **Amendment # 3**, 5/13/08, an increase of \$868,849 was approved for additional design services to include completion of the PCF Auditorium and construct a mock up in the College of Nursing. It also provides for 3D images and graphic design services for fund raising efforts as well as additional costs associated with preparing early and separate supplemental bid packages. **Amendment # 4**, 4/14/09 an increase of \$332,700 was approved for expanded design services to include completion of design of the surgery waiting expansion, the expansion of Children's Hospital entry, changes in the auditorium design, refining several infrastructure elements and further development of the gift shop case work. **Amendment # 5**, 6/9/09, an increase of \$72,151 was approved for implementation of a GIS facility management system to import and manage data associated with buildings and spaces, with the ability to expand for future inclusion of detail equipment, finishes and furniture data information. **Amendment 6**, 10/13/09, an increase of \$281,162 was approved for additional design services, additional renderings, revision of art work lighting and additional expenses for the donor recognition design as well as additional construction administration services for the added auditorium AV systems. **Amendment 7**, 4/20/10, an increase of \$278,370 was approved to provide for additional design services for the Celebrate Kentucky Wall, Rose Street Plaza, the green roof, enabling work and a lobby fountain. The amendment also provides for additional reimbursable services. **Amendment 8**, 6/8/10, an increase of \$937,498 was approved to provide for the completion of design and the addition of construction administration services for the Patient Care Facility OR Fit-out project. It also provides for design thru phase 2 of the kitchen and cafeteria fit out of the PCF. **Amendment 9**, 1/25/11, an increase of \$409,072 was approved to provide design and CA services for the PCF Data Center fit-up.

Contractor: Turner Construction Co.

*Note: This is a 'CM-At-Risk' contract. The amount will be increased as sub-contracts are bid and added. *Turner's contract was awarded by RFP process.*

Total Change Orders to Date:	1167	Original Contract Amount:	\$319,756,574
Low Bid:		Cumulative Change Order Amount:	\$23,859,377
High Bid:		Revised Contract Amount:	\$343,615,951
Number of Bids:		Contract % complete:	98%

Describe all change orders greater than \$25,000.00:

Change Order # 1, 6/4/07, was approved for an increase of \$91,344 to facilitate the procurement strategy and meet the project timeline. A portion of select infrastructure was transferred from Bid Pack 4A to the earlier Bid Pack 3B. **Change Order #2**, 5/31/07, was approved for an increase of \$65,296 to relocate the existing direct buried steam lines and pressurized drain found to be in conflict with the new tunnel. Since the lines must be moved they will be relocated into the new tunnel to make the lines accessible for maintenance. **Change Order # 3**, 7/11/07, was approved for an increase of \$58,928 to relocate an existing sanitary sewer manhole and associated lines to sanitary sewer manhole and associated lines to resolve underground utility conflicts. **Change Order # 5**, 9/6/07, was approved for an increase of \$49,439 to replace and relocate deteriorated and inaccessible 2 1/2" and 1 1/2" underground direct buried steam lines that were revealed upon excavation. **Change Order # 9**, 10/3/07, was approved for \$2,840,457 to convert the TC-01 Sitework (RAM Engineering) Rock Allowance to an unspecified status. Ram will be responsible to remove all the rock associated with their scope of work for BP 3B and as defined by the Geotechnical Report. This is due to misinterpretation of site conditions. Actual rock removal quantities are greater than estimated quantities in the contract documents. **Change Order # 10**, 10/1/07, was approved for an increase of \$55,314 to convert the portion of the six existing sanitary and storm sewer lines that pass through the main tunnel to ductile iron to ensure that these lines don't rupture. The increase also provided for re-routing the existing water lines to avoid conflict with the new tunnel. **Change Order # 11**, 10/4/07, was approved for an increase of \$47,780 to replace and re-route the existing domestic water line as well as reroute the existing water main.

Construct Patient Care Facility Change Orders greater than \$25,000 Cont....

Change Order # 13, 10/9/07, was approved for \$40,755 to relocate approximately 150' storm line and associated manhole due to conflict with the new Cooling Plant # 3 utility tunnel. **Change Order # 21**, 11/21/07, was approved for an increase of \$53,383 to convert the 7000KVA transformer from aluminum to copper windings, add an air switch to the transformer and add a medium voltage outdoor breaker with remote monitoring and control capability to all five electric substations. These changes were made to increase the efficiency of the system and to meet specifications of upcoming substation upgrades. **Change Order # 23**, 12/10/07, was approved for a decrease of \$48,383 to alter the installation of the cold water and the cold water return piping system to avoid conflict with sensitive boiler equipment. **Change Order # 27**, 12/5/07, was approved for an increase of \$144,844 to provide saw cutting of the pedestrian walkway and walkway roofing. The saw cutting method will reduce noise and vibration impacts to the adjacent Critical Care Facility. **Change Order # 32**, 1/18/08, was approved for an increase of \$33,072 to enlarge the existing emergency generator pads to support installation of new diesel generators due to changes in EPA regulations. **Change Order # 34**, 2/1/08, was approved for \$388,426 to provide additional construction manager's staff and general conditions due to an additional two floors being added to the Patient Care Facility. **Change Order # 42**, 3/20/08, was approved for an increase of \$128,502 to extend the limits of the blasting and excavation of rock in Bid Pack # 4 to accommodate the installation of sanitary line. The rock removal was originally intended for Bid Pack # 5, but will provide cost savings if moved to Bid Pack # 4. **Change Order # 43**, 3/31/08, was approved for an increase of \$229,016 to increase the rock allowance due to greater rock quantities than anticipated. **Change Order # 58**, 4/23/08, a decrease of \$60,522 was approved to utilize the existing ductbank for the new conductors. The existing ductbank was lower than expected and did not interfere with the new storm tunnel. **Change Order # 60**, 4/23/08, was approved for \$34,827 to increase the ductbank from six to twelve conduits to match the size of the existing ductbank. **Change Order # 66**, 5/6/08, was approved for \$42,700 to offset new 36" chilled water lines. A 2" foam glass board insulation was put in place between the chilled water and high pressure steam line due to unexpected elevation. **Change Order # 68**, 6/4/08, was approved for \$39,794 to perform rock removal while classes are in session using a rock trenching machine so as not to disturb teachers, students and staff in the Ag. Science Bldg. **Change Order # 71**, 5/7/08, was approved for \$47,571 to install four new handicap ramps and thermoplastic street marking to comply with ADA standards. **Change Order # 73**, 6/6/08, was approved for \$37,090 to remove and replace water meter vault that is in the CP # 3 tunnel excavation footprint due to location of hidden chilled water lines. **Change Order # 85**, 6/4/08, was approved for \$28,092 to revise alignment and depth of electrical ductbank that runs from Scott Street toward Electric Substation # 2. This will insure proper cover for manhole and ductbank when future changes are made in this area. **Change Order # 90**, 6/9/08, was approved for \$42,604 to install new ductbank at a depth greater than planned due to concealed high voltage ductbank. **Change Order # 98**, 7/3/08, was approved for an increase of \$62,263 to modify the tower crane foundation. This was required due to unsatisfactory rock with mud seams and fractures found in the west tower crane location. **Change Order # 102**, 7/14/08, was approved for an increase of \$50,183 to increase the size of both main electrical duct banks. **Change Order # 110**, 7/30/08, was approved for an increase of \$25,219 to remove an additional 240 linear feet of bad soil on Scott Street that is unsuitable for the new duct bank. Excavated material will be replaced with flowable fill concrete. **Change Order # 121**, 8/25/08, was approved for an increase of \$32,912 to provide additional excavation for fuel tanks to meet required depth. **Change Order # 127**, 8/22/08, was approved for an increase of \$43,493 to perform additional excavation at area "A" due to unsuitable rock.

Excavated material will be replaced with 4000 psi concrete. **Change Order # 128**, 8/22/08, was approved for an increase of \$30,655 to perform additional excavation at area "B" shear wall/grade beam due to unsuitable bearing. Excavated material will be replaced with 4000 psi concrete. **Change Order # 130**, 9/9/08, was approved for an increase of \$27,914 to provide control of the control valves with a selector switch and indicator lights. The switch will allow the operator to select which tank to pull oil from. **Change Order # 138**, 9/22/08, was approved for \$40,322 to provide a larger drilling rig needed to sleeve and bore larger holes due to the composition of the subgrade. **Change Order # 139**, 9/22/08, was approved for \$27,303 to sawcut the CCC tunnel footers and slab as required for the 16" sanitary pipe to pass thru the tunnel. The pipe will be sleeved to prevent shearing and concrete and resteel placed around the sleeve. This is due to the existing sanitary pipe being lower than expected. **Change Order # 143**, 9/29/08, was approved for \$33,766 to excavate 6' to 7' below design elevation on the 12.3 line from Column Line D.1 to the southeast corner. Excavated material will be replaced with 4000 psi concrete and added resteel. This is due to found rock. **Change Order # 159**, 11/3/08, was approved for an increase of \$38,301 to offset the chilled water piping to avoid conflict with the communications tie-in to the cable tray.

Change Order # 164, 11/26/08, was approved for an increase of \$34,881 to install a high pressure steam valve and a pump discharge valve in the main on the south side of the Gill Heart tap. This will reduce the outage to the Gill Heart building and the Critical Care to less than one day and will eliminate future outages. **Change Order # 165**, 11/26/08, was approved for an increase of \$73,079 to provide for additional removal of rock and soil and to provide additional concrete for the drilled piers. Found subsurface conditions required deeper piers than were required by contract. **Change Order # 173**, 1/20/09 was approved for an increase of \$39,957 to provide for additional cost required to bore under existing gas line for the new 24" sanitary main. The original gas line was not relocated by Columbia Gas as originally planned due to access issues. **Change Order # 177**, 3/2/09, was approved for an increase of \$51,550 to remove existing unsuitable soil where fuel tanks were removed. Backfill and compact will be added to grade. **No change orders over \$25,000 this quarter.**

BP # 5 Change Orders

Change Order # 2 BP # 5, 6/30/08, was approved for \$36,359 to provide and install 48" diameter sump pit and piping, for the existing subsurface groundwater. This addressed the field condition of ground water that has been observed in open excavations. **Change Order # 4 BP # 5**, 7/23/08, was approved for \$26,723 to provide two mock-ups of the architectural precast panels. **Change Order # 7 BP # 5**, 9/15/08, was approved for an increase of \$39,922 to provide concrete shear walls at Column Line 12/E-F and Column Line 12/L-M, ground level to underside of third level. **Change Order # 8 BP # 5**, 9/30/08, was approved for an increase of \$26,540 to add one (1) gate valve and three (3) triple duty offset butterfly valves to high pressure steam lines. The original design did not allow for a partial cut-off of steam supply to the building. **Change Order # 20, BP # 5**, 12/2/08, was approved for an increase of \$38,438 to provide concrete shear walls in accordance with the structural documents. The trade contractors scope descriptions failed to assign this task. **Change Order # 24, BP # 5** 2/6/09 was approved for an increase of \$27,389 to revise the medium voltage cable spec to meet published UK standard. **Change Order # 26, BP # 5**, 1/20/09 was approved for an increase of \$25,547 to

Construct Patient Care Facility Change Orders greater than \$25,000 Cont...

provide additional doors to the freight elevators allowing access to these elevators from the exterior. **Change Order # 27, BP # 5 1/20/09** was approved for an increase of \$29,111 to install 60 linear feet of 18" reinforced concrete pipe from the existing storm manhole to the new 12' diameter manhole. **Change Order # 32, BP # 5, 2/10/09** was approved for an increase of \$71,714 for the upsizing and rerouting of the steam generators. **Change Order # 41, BP # 5, 3/26/09** was approved for an increase of \$75,511 to provide miscellaneous electrical revisions to Bid Pack 5 electrical systems to include new distribution panel and critical bus duct plugs. **Change Order # 45 BP # 5, 4/30/09**, was approved for an increase of \$26,288 to add a medium voltage outdoor breaker with remote monitoring and control capability to all five substation breakers. This will increase the efficiency of the system. **Change Order # 46 BP # 5, 4/30/09**, was approved for an increase of \$25,931 to provide and install twelve (12) humidifier condensate pumps to provide for disposal of the condensate from the air handlers. **Change Order # 47 BP # 5, 5/12/09**, was approved for an increase of \$41,529 to add a low pressure steam pumping system to the basement level of the facility. **Change Order # 51 BP # 5, 5/29/09**, was approved for an increase of \$228,937 to provide a compatible mating of the curtain wall and precast systems. This silicone tape allows for a water tight skin on the structure. **Change Order # 52 BP # 5, 5/15/09**, was approved for an increase of \$30,633 to re-route existing lines allowing for placing of structural column to support east connector. **Change Order # 57 BP # 5, 6/1/09**, was approved for an increase of \$29,521 to fund overtime payment for Baker Construction. This additional cost will be used to make up time lost due to weather delays in December 2008 and January 2009. These days will need to be made up to open the Emergency Department on the currently scheduled date. **Change Order # 62 BP # 5**, was approved for an increase of \$36,687 to fund overtime payment for Baker Concrete over four weeks in May to maintain schedule. Overtime work was required to maintain the concrete pour schedule due to inclement weather. **Change Order # 65 BP # 5**, was approved for an increase of \$47,723 to install backfill against the southeast and southwest corners of the Patient Care Facility. **Change Order # 66 BP # 5, 6/26/09**, was approved for an increase of \$26,436 to re-route existing sanitary sewer to allow for installation of bridge pier. **Change Order # 63 BP # 5, 8/12/09**, was approved for a increase of \$35,236 to allow for payment of shift premium to accelerate pan removal under the 4th and 5th decks. This will accelerate the work schedule. **Change Order # 69 BP # 5, 7/2/09**, was approved for an increase of \$27,497 to provide sidewalk stabilization during excavation for a pilaster. This change order also allows for removal and reinstallation of the distilled water line that conflicts with the new foundation. **Change Order # 84 BP # 5, 9/2/09**, was approved for an increase of \$43,756 to allow for a needed additional 2 feet of height to the Penthouse elevator. **Change Order # 85 BP # 5, 9/7/09**, was approved for an increase of \$67,697 to replace existing 18" ADS plastic storm pipe under the lobby area with 18" ductile iron pipe to meet code. **Change Order # 86 BP # 5, 9/15/09**, was approved for an increase of \$50,436 to fund the purchase and installation of fifty six control dampers required for proper functioning of the Air Handling Units that were purchased directly by UK. **Change Order # 88, BP # 5, 10/2/09**, was approved for an increase of \$69,965 to provide for payment of onsite personnel at the new Kentucky Labor Cabinet prevailing wage rates. **Change Order # 99, BP # 5, 10/27/09**, was approved for an increase of \$231,535 to furnish and install additional accessories, fitting, valves and controls for the heat exchangers. **Change Order # 117, BP # 5, 12/9/09**, was approved for an increase of \$27,631 to fund overtime work for the steel contractor in order to complete the necessary steel erection for the topping out ceremony. **Change Order # 126, BP # 5, 1/11/10**, was approved for an increase of \$109,869 to extend sleeves in all IDF/EIDF rooms in order to allow access to the sleeves from the floor below without infringing on the cable tray. **Change Order # 132, BP # 5, 2/1/10**, was approved for an increase of \$31,104 to add one 112 x 34 fire damper and modify two 112 x 34 fire smoke dampers in the outside air dampers on the fourth floor. The actuators for these dampers need to be internal due to space restrictions and access requirement. **Change Order # 133, BP # 5, 2/10/10**, was approved for an increase of \$26,580 to relocate the 3" chilled water supply take-off to the supply main on the discharge side of the chilled water pumps, so that it is located upstream of flow meter and will properly operate. **Change Order # 139, BP # 5, 2/24/10**, was approved for an increase of \$71,292 to provide safety drain traps to prevent condensate from backing up in the humidification steam generator tubes during a low pressure condition. **Change Order # 142, BP # 5, \$39,069** to replace pipe and steam traps. This cost will be deducted from the contract of the steam generator vendor. **Change Order # 145, BP # 5, 3/1/10**, was approved for an increase of \$46,192 to provide top of shaft/elevator control room sleeves and machine beams to accommodate future elevator equipment. The work will be done at this time to allow for significant modifications to the building structure which would be difficult and disruptive when the hospital is operational. **Change Order # 147, 3/2/10**, was approved for an increase of \$49,407 to revise various duct sizes and pipe routing at several locations on the 3rd and 4th floor mechanical rooms. **Change Order # 148, BP # 5, 3/4/10**, was approved for an increase of \$138,921 to provide and install 40 foot mast to accommodate 7 antennas. This includes all structural support, warning lights, and fire stopping required for proper installation of the 40 foot mast. **Change Order # 149, BP # 5, 3/4/10**, was approved for an increase of \$66,388 to provide lift-net ethernet based central monitoring system, software, and supporting infrastructure for monitoring of all new and future elevators in the PCF. **Change Order # 150, BP # 5, 3/8/10**, was approved for an increase of \$67,125 to relocate high pressure steam header in basement above chilled water pipes to eliminate trapped piping in order to prevent an unwanted trap. **Change Order # 155, BP # 5, 3/17/10**, was approved for an increase of \$56,402 to revise south supply and return 24" chilled water feeds into basement as required. This will reverse the supply and return to match the connections to the mains in the tunnel. **Change Order # 156, BP # 5, 3/19/10**, was approved for an increase of \$37,489 to furnish and install access hatches on the 15th and 16th floors for future service and installation of equipment in elevator machine rooms at the top of each tower. **Change Order # 168, BP # 5, 4/23/10**, was approved for an increase of \$54,344 to relocate 16 fire/smoke damper actuators and add access doors as required to provide access for maintenance and yearly testing. **Change Order # 171, BP # 5, 4/27/10**, was approved for an increase of \$81,371 to furnish and install conduit and added control wiring from unit substations to remote Entellisys control stacks. **Change Order # 185, BP # 5, 6/24/10**, was approved for an increase of \$49,935 to route high pressure condensate from heating hot water and domestic hot water converters to high pressure return back to condensate pump. This enhances the reliability and safety of the building and campus steam system. **Change Order # 187, BP # 5, 6/25/10**, was approved for an increase of \$26,761 to provide additional hall position indicators for five elevators. **Change Order # 191, BP # 5, 7/27/10**, was approved for an increase of \$52,938 to install a check valve in the bypass line between the heating hot water supply and return at each of the units. **Change Order # 198, BP # 5, 8/18/2010**, was approved for an increase of \$129,334 to furnish and install snubbers on the transformers that serve the two fire pumps as a precautionary action to protect against future damage to the transformers. **Change Order # 203, BP # 5, 10/12/10**, was approved for an increase of \$161,355 to provide

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stairwells in order to achieve the original milestone completion dates. The CM's original baseline schedule had drywall work in the stairwells progressing prior to achieving full water tightness of the building. **Change Order # 214, BP # 5, 1/10/11, was approved for an increase of \$25,893 to fire wrap the exhaust ducts on the 14th level corridor.** **Change Order # 221, BP # 5, 2/2/11, was approved for an increase of \$51,570 to install a status annunciator panel for the transfer switches on the fourth floor.** **Change Order # 227, BP # 5, 3/24/11, was approved for an increase of \$32,087 to install an additional set of CT's on the load side of the stand alone fire pump circuit breakers on the fourth floor.**

BP # 6 Change Orders

Change Order # 1, BP # 6, 1/23/09 was approved for an increase of \$74,499 to increase booster pump capacity. **Change Order # 2, BP # 6, 3/3/09** was approved for an increase of \$38,126 to allow for the installation of piping for dialysis systems on the 5th floor. **Change Order # 3, BP # 6, 3/24/09** was approved for an increase of \$101,006 to utilize Densarmor exterior sheeting for the electric and data closets. This will allow for the installation of the materials prior to the roofing of the structure. This will enhance the schedule and allow the project to remain on track for the substantial completion of the emergency department. **Change Order # 11 BP # 6, 5/21/09,** was approved for an increase of \$320,823 to re-design to meet the State's interpretation of the KY plumbing code. Venting was added as well as the resizing of piping. **Change Order # 12 BP# 6, 5/29/09,** was approved for a decrease of \$50,620 to delete all Galley Equipment from the 8th Floor.

Change Order # 13 BP # 6, 6/1/09, was approved for an increase of \$33,352 to relocate the required power for the elevator machine room. **Change Order # 19 BP # 6, 7/2/09,** was approved for an increase of \$69,287 to fund the installation of ground bars and associated ground wiring in 110 locations data and electrical closets. **Change Order # 37 BP # 6, 8/18/09,** was approved for a decrease of \$73,319 to delete demolition of existing 36" storm drain and the new 36" storm line. **Change Order # 45 BP # 6, 8/28/09,** was approved for an increase of \$367,335 to install cast-in-place concrete anchors in the 6th floor to support the 5th floor MEP items. This work will drastically reduce the noise associated with the fit-out of the 5th floor which is scheduled to occur after the 6th floor is occupied. **Change Order # 46 BP # 6, 8/28/09,** was approved for an increase of \$28,293 to upgrade power, add a smart panel, and tie to the existing Tridium system for controls of exterior fountain system and site lighting. This allows for reduced maintenance costs and remote management of the exterior system. **Change Order # 47 BP # 6, 8/28/09,** was approved for an increase of \$127,027 to provide one Edgestar door controller per door. **Change Order # 53 BP # 6, 9/21/09,** was approved for an increase of \$29,323 to replace Luminaire type F55 with type F56. **Change Order # 55 BP # 6, 9/21/09,** was approved for an increase of \$191,975 to provide fit out of additional office spaces in the basement of the Patient Care Facility. **Change Order # 56 BP # 6, 9/22/09,** was approved for a decrease of \$80,087 to delete fiber and coax to work stations throughout the podium. Upon review of the function of these work stations fiber and coax was deemed unnecessary. **Change Order # 57 BP # 6, 9/29/09,** was approved for an increase of \$626,061 to amend Turner's contract to allow for payment of prevailing wage rate determined by the Kentucky Labor Cabinet. The rates included in the original bid documents were increased by the State prior to contract signing. **Change Order # 69, BP # 6, 10/16/09,** was approved for an increase of \$101,492 to provide coax cable to service televisions in all patient rooms. **Change Order # 72, BP # 6, 10/16/09,** was approved for an increase of \$351,828 to furnish and install 3,000 gallon concrete grease trap. This is required for the future fit-out of the kitchen and cafeteria. Installation at this time results in cost savings and lessens future impact on hospital operations. **Change Order # 82, BP # 6, 10/26/09,** was approved for an increase of \$38,299 to revise electric and data outlets due to staff changes made to increase the efficiency of the space. Additional cabling for the Hill-Rom system was also required. **Change Order # 100, BP # 6, 12/14/09,** was approved for an increase of \$66,196 to upgrade grounding of medium voltage electrical systems for both normal and emergency power from 7 switches to manholes E412 and E214E to provide a safe and reliable high voltage system. **Change Order # 103, BP # 6, 11/24/09,** was approved for an increase of \$36,720 to revise the route of one set of oxygen lines and to add offset to oxygen lines at all steam line expansion joints in the tunnel. This will create a more reliable and robust oxygen system. **Change Order # 104, BP # 6, 11/24/09,** was approved for an increase of \$58,594 to add additional unit heater and cabinet unit header DDC controls. **Change Order # 105, BP # 6, 11/24/09,** was approved for an increase of \$25,760 to provide the additional 120 Volt circuits needed for the operation of the smoke dampers. **Change Order # 109, BP # 6, 11/23/09,** was approved for an increase of \$52,082 to provide patient lift system with a rail in select Acute and Acute Isolation restrooms on the 6th and 7th floors. This will greatly improve patient care and reduce staff injuries. **Change Order # 110, BP # 6, 11/24/09,** was approved for an increase of \$44,339 to purchase dialysis boxes required for the 6th and 7th floor ICU patient rooms. **Change Order # 111, BP # 6, 11/25/09,** was approved for an increase of \$95,389 to provide raceways, electrical wiring and teledata wiring for wireless telemetry system. **Change Order # 112, BP # 6, 11/25/09,** was approved for a decrease of \$139,555 to reduce the number of drops and raceways for the wireless telemetry grid. **Change Order # 113, BP # 6, 11/24/09,** was approved for an increase of \$38,833 to revise the main duct mains in basement areas A and C

to allow for proper installation of mechanical systems. **Change Order # 114, BP # 6, 12/9/09,** was approved for an increase of \$27,464 to reroute existing electrical feeders that are located overhead in the hospital loading dock to allow for installation of new utilities. **Change Order # 115, BP # 6, 12/9/09,** was approved for an increase of \$53,632 to change power from 80/20 emergency to a normal 50/50 configuration in all patient rooms on the 6th and 7th floors. **Change Order # 117, BP # 6, 12/9/09,** was approved for a decrease of \$64,113 to relocate data and power outlets, and make changes to light switching on the 6th and 7th floors. **Change Order # 122, BP # 6, 12/15/09,** was approved for an increase of \$207,802 to provide one additional data outlet and power receptacle in the wall in each of the 128 patient rooms. **Change Order # 125, BP # 6, 12/15/09,** was approved for an increase of \$41,512 to provide conduit for the door access intercom system for the intercom device to the cable tray and to the Intercom Master Panels throughout the project. **Change Order # 134, BP # 6, 12/15/09,** was approved for an increase of \$48,070 to upgrade and revise the HVAC, electrical services, and IT infrastructure to support additional equipment in the security/dispatch room. **Change Order # 143, BP # 6, 12/15/09,** was approved for an increase of \$36,860 to provide additional ventilation in the 4th floor CCC Mechanical tower to accommodate Swisslog equipment. **Change Order # 144, BP # 6, 1/4/10,** was approved for an increase of \$49,623 to provide temporary weather protection to contain winter heat in the podium. **Change Order # 146, BP # 6, 1/4/10,** was approved for an increase of \$40,504 to make adjustments to the ductwork to allow for installation of systems while maintaining ceiling height as required for proper utilization of the spaces. **Change Order # 157, BP # 6, 1/14/10,** was approved for an increase of \$123,223 to furnish and install one medical gas master alarm panel in Room H64 to allow for a UL rated system.

Construct Patient Care Facility Change Orders greater than \$25,000 Cont...

Change Order # 161, BP # 6, 2/1/10, was approved for an increase of \$34,574 to revise the light fixture selection and lighting fixture layout for the up lights highlighting the eyebrow on the 5th and 12th floors of the structure. **Change Order # 162, BP # 6, 2/1/10**, was approved for an increase of \$82,634 to modify VESDA smoke detection system. **Change Order # 167, BP # 6, 2/4/10**, was approved for an increase of \$229,299 to add data and power outlets for the upper cabinet space in patient room wardrobes for IT equipment mounting space. **Change Order # 168, BP # 6, 2/4/10**, was approved for an increase of \$108,706 to provide additional power necessary for the final pneumatic tube system design. **Change Order # 174, BP # 6, 2/16/10**, was approved for an increase of \$136,321 to revise 6th floor ductwork and cable tray to include additional conduit runs in vicinity of the mechanical chases to allow for proper access to mechanical and electrical equipment. **Change Order # 175, BP # 6, 2/16/10**, was approved for an increase of \$115,641 to revise 7th floor ductwork and cable tray to include additional conduit runs in vicinity of the mechanical chases to allow for proper access to mechanical and electrical equipment. **Change Order # 176, BP # 6, 2/23/10**, was approved for an increase of \$154,586 to add power infrastructure to support additional UPS devices in all 32 EIDF rooms. **Change Order # 177, BP # 6, 2/23/10**, was approved for an increase of \$37,436 to remove and replace floor tile in the ED. **Change Order # 181, BP # 6, 2/23/10**, was approved for an increase of \$40,687 to re-route glycol and hot water piping on level 14 due to unforeseen space constraints. **Change Order # 183, BP # 6, 2/24/10**, was approved for an increase of \$50,973 to revise the location of 8 patient tracking monitors and add 7 locations for overhead tracking monitors in the ED. **Change Order # 188, BP # 6, 3/4/10**, was approved for an increase of \$102,822 to add piping and electrical connections for dialysis equipment in 16 step down rooms to increase the flexibility of the patient rooms. **Change Order # 192, BP # 6, 3/4/10**, was approved for an increase of \$88,311 to revise domestic water piping to the steam pressure reducing stations for the four domestic water heat exchangers. **Change Order # 194, BP # 6, 3/8/10**, was approved for an increase of \$31,061 to provide 5" copper pipe in lieu of steel for heating hot water piping. **Change Order # 201, BP # 6, 3/12/10**, was approved for an increase of \$108,500 to upgrade dialysis boxes and add electrical connections for dialysis equipment. **Change Order # 205, BP # 6, 3/17/10**, was approved for a decrease of \$26,766 to remove sprinklers from north and west canopies and add sprinklers to south drive-through canopy. This assures greater coverage where vehicular traffic is located and reduces the chance of freezing in areas that are not required by code. **Change Order # 207, BP # 6, 3/17/10**, was approved for an increase of \$136,040 to modify the temporary basement offices to address code compliance. **Change Order # 218, BP # 6, 3/25/10**, was approved for an increase of \$52,662 to revise 3rd and 4th floor ductwork and piping to accommodate installation of all trades. **Change Order # 221, BP # 6, 3/25/10**, was approved for an increase of \$40,734 to provide plumbing and electrical services to five dialysis boxes in the ED. **Change Order # 226, BP # 6, 3/29/10**, was approved for an increase of \$56,337 to revise power and specialty lighting throughout the lobby ground level and first level to accommodate selections of the art program. **Change Order # 229, BP # 6, 4/7/10**, was approved for an increase of \$31,569 to provide temporary doors and hardware for electrical rooms as required by the electrical inspector for final testing of the high voltage gear. **Change Order # 234, BP # 6, 4/13/10**, was approved for an increase of \$50,535 to add section of built-in curved seating, revise wall cap material, and add gaming area countertop to the pediatric waiting in the ED. **Change Order # 238, BP # 6, 4/14/10**, was approved for an increase of \$93,626 provide the infrastructure and connections necessary to support University supplied uninterrupted power supplies in the IDF and EIDF rooms through the project. **Change Order # 243, BP # 6, 4/22/10**, was approved for an increase of \$76,602 to furnish and install condensate drains for two air handler units in electrical rooms on the fourth floor and insulate condensate drains throughout the building. **Change Order # 244, BP # 6, 4/21/10**, was approved for an increase of \$52,639 to revise ductwork in the 1st floor lobby to accommodate the radiused bulkhead to include extending the height of the plenums along the lobby exterior wall and installing revised linear grills. **Change Order # 251, BP # 6, 4/21/10**, was approved for an increase of \$74,208 to revise coring layout, poke thru devices, and electrical and technology raceways for Steelcase furniture in ED area. **Change Order # 252, BP # 6, 4/21/10**, was approved for an increase of \$74,097 to add temperature mixing valves to eyewashes and emergency showers on the ground, 6th and 7th floors. **Change Order # 253, BP # 6, 4/21/10**, was approved for an increase of \$30,041 to provide additional electrical connections as required for installation of 11 Swisslog blower units and one Swisslog transfer unit on the 4th floor. **Change Order # 258 BP # 6, 4/23/10**, was approved for an increase of \$425,593 to furnish and install waste plumbing and venting required for 64 patient rooms, staff rest rooms, staff break areas, and utility spaces on the 8th floor of the PCF. Completing this work at this time eliminates the need for access to the 7th floor, which will be occupied when the 8th floor fit-out construction starts. **Change Order # 261, BP # 6, 4/27/10**, was approved for an increase of \$68,333 to modify the fire alarm systems in the PCF, Gill Building, Critical Care Center and Chandier Hospital such that all buildings report to the new fire control center in the PCF. **Change Order # 267, BP # 6, 4/29/10**, was approved for an increase of \$35,307 to add additional plantings and ornamental fencing between the Agriculture Building and Emergency parking area to establish the boundary between the two. **Change Order # 5/18/10** was approved for an increase of \$28,790 to revise casework and countertop to add point of care testing station for Soiled Utility A00504. **Change Order # 287, BP # 6, 5/13/10**, was approved for an increase of \$38,906 to install dust shield covers on 164 drop in lights in the ED for infection control purposes. **Change Order # 288, BP # 6, 5/13/10**, was approved for an increase of \$47,608 to re-route storm piping, irrigation piping, and vent piping above the ceiling in the auditorium to allow for proper installation of mechanical equipment. **Change Order # 301, BP # 6, 5/18/10**, was approved for an increase of \$54,139 to convert two secure treatment rooms into isolation rooms by adding a new isolation exhaust fan on the 1st floor roof, within the chiller screen wall. Modify air balance and add room monitors for proper pressurization. **Change Order # 327, BP # 6, 6/3/10**, was approved for an increase of \$107,562 to provide temporary upright sprinkler heads where ceilings are not yet installed on the ground floor, and upgrade and extend several of the existing partitions to 2 hour walls for the phased turnover of the ED. **Change Order # 328, BP # 6, 6/7/10**, was approved for an increase of \$101,969 to add missing life safety power to 87 fire smoke dampers. **Change Order # 332 BP # 6, 6/10/10**, was approved for an increase of \$88,130 to revise metal stud layout, electrical work, duct work, floor and wall finishes to accommodate the "Celebrate Kentucky" wall design. The final design of the wall was not available when the construction documents were published. **Change Order # 334, BP # 6, 6/11/10**, was approved for an increase of \$42,212 to add various security hardware items to the ground floor ED which were not included in the original contract documents. **Change Order #336, BP # 6, 6/11/10**, was approved for an increase of \$34,217 to provide horizontal connections for 34 locations for the overhead paging system in the ED. The final design of the system was not selected by the end users prior to the submission of construction documents. **Change Order # 340, BP # 6, 6/24/10**, was approved for an increase of \$80,919 to add card readers, locking door hardware, exit button, door contact, key switch, shear locks, retractable latches and delayed egress controller to various doors in the ED to realize the required security and access control for imaging areas and med. storage rooms.

Construct Patient Care Facility Change Orders greater than \$25,000 Cont....

Change Order # 343, BP # 6, 6/25/10, was approved for an increase of \$45,551 to include a new pathway and the abutting planting mounds for the green roof on the 5th floor roof. It includes the relocation of the excess Green Roof planting trays that are displayed by the new pathway and planting mounds. **Change Order # 350, BP # 6, 6/25/10,** was approved for an increase of \$89,093 to add one duplex outlet and change one duplex to quad outlet in 128 Acute Care and ICU Patient Rooms on the floors. **Change Order # 354, BP # 6, 6/29/10,** was approved for an increase of \$69,437 to modify street light bases and illuminated signs along Limestone St. to allow installation of exterior signs and street lighting. **Change Order # 363, BP # 6, 7/6/10,** was approved for an increase of \$26,160 to add panel, transformer and fused disconnect in the parking garage to support lighting and receptacles in the bridge lobby. **Change Order # 380, BP # 6, 7/27/10,** was approved for an increase of \$34,092 to drain heating hot water system, and relocate 15 valves of varying sizes. This will facilitate requirement of fewer outages and disruptions to patient care in future phases of construction. **Change Order # 404, BP # 6, 8/6/10,** was approved for an increase of \$47,988 to relocate various sanitary, waste, and vent lines that are above high voltage gear on the fourth floor, and place pans under any lines that cannot be moved in order to eliminate the threat to electrical gear below. **Change Order # 405, BP # 6, 8/6/10,** was approved for an increase of \$59,470 to revise lobby design to accommodate design of the Main Donor Wall. The design of the Donor Wall was not available when the project was bid. **Change Order # 413, BP # 6, 8/11/10,** was approved for an increase of \$60,950 to revise the Rose Street Plaza landscaping to include additional subsoil, planting medium, and plants as required to expand the landscaping plan. **Change Order # 416, BP # 6, 8/11/10,** was approved for an increase of \$29,068 to furnish and install automatic dampers and their controls for the atrium smoke exhaust fans. **Change Order # 426, BP # 6, 8/18/10,** was approved for an increase of \$58,718 to provide Icynene spray foam for fire protection in all pockets more than 12-inches in depth. **Change Order # 433, BP # 6, 8/25/10,** was approved for an increase of \$36,213 to reroute storm pipe in the ground floor lobby area including portion the underground piping. **Change Order # 441, BP # 6, 8/30/10,** was approved for an increase of \$48,310 to furnish the labor and material to revise four steel reinforced concrete fan bases, and provide four additional steel reinforced concrete fan bases on the fifteenth floor of the facility. **Change Order # 442, BP # 6, 8/30/10,** was approved for an increase of \$182,106 to revise the lobby rotunda lighting to include 25 additional Illumivision Light Wave fixtures and remote lighting control system. These lights will be installed in recesses in the rotunda. **Change Order # 451, BP # 6, 9/7/10,** was approved for an increase of \$27,185 to change fabric on the 6th and 7th floor Mechoshades to enhance function and durability of the shades in the inpatient areas. **Change Order # 456, BP # 6, 9/7/10** was approved for an increase of \$80,283 to furnish and install all required elements to create a new lobby water feature to include the water feature, supporting electrical and pumping systems, control systems. **Change Order # 462, BP # 6, 9/13/10,** was approved for an increase of \$284,907 to install conduit and cabling to accommodate nurse call device quantity and location changes per approved Hill Rom drawings. **Change Order # 465, BP # 6, 9/14/10,** was approved for an increase of \$313,636 to furnish and install 128 Marlite artwork panels and Korogard wall protection with related trim on inpatient room walls at the head of the bed to accommodate the final selection of the artwork for patient rooms. **Change Order # 467, BP # 6, 9/15/10,** was approved for an increase of \$26,145 to furnish and install additional trapeze hangers for life safety and emergency. **Change Order # 471, BP # 6, 9/15/10,** was approved for an increase of \$68,306 to furnish and provide materials necessary to protect lobby stone floor during the **Order # 475, BP # 6, 9/15/10,** was approved for an increase of \$88,510 to furnish and install additional 120V power connections required for twenty-for PTZ cameras. **Change Order # 477, BP # 6, 9/17/10,** was approved for an increase of \$57,683 to provide additional work in the laboratory required to complete the connections between the east connector and to maintain and enhance operations of the Critical Care 6th floor laboratory. **Change Order # 481, BP # 6, 9/22/10,** was approved for an increase of \$76,752 to provide 2 additional sets of filters for AHU's that were not purchased with the equipment or from the HVAC contractor. **Change Order # 485, BP # 6, 9/28/10,** was approved for \$313,198 to furnish and install electrical conduit and wiring associated with additional electrical and data outlets throughout the 6th and 7th floors. **Change Order #461, BP # 6, 10/18/10,** was approved for an increase of \$30,566 to replace the existing analog security cameras for the into the n Helipad with digital cameras so that they will tie into the new security monitoring system. **Change Order # 500, BP # 6, 10/1/10,** was approved for an increase of \$54,866 to furnish and install the required infrastructure, finishes and equipment to activate a food kiosk on the first floor. **Change Order # 505 BP # 6, 10/11/10,** was approved for an increase of \$87,055 to provide additional labor and material to furnish and install ductwork, heating water piping and associated controls to heat and cool the dining area adjacent to first floor lobby. **Change Order # 509, BP # 6, 10/12/10,** was approved for an increase of \$87,829 to furnish the labor and materials to reinforce the underside of the existing Children's Hospital bridge. **Change Order # 512 BP # 6, 10/12/10,** was approved for an increase of \$85,357 to fund overtime costs for drywall installation on the 6th and 7th floors to maintain project schedule. **Change Order # 516, BP # 6, 10/18/10,** was approved for an increase of \$57,559 to revise the conduit path to the ICU workstation from via columns to via under-floor poke-thrus in eight locations. **Change Order # 517, BP # 6, 10/18/10,** was approved for an increase of \$57,819 to furnish and install 52 smoke detectors including one on each side of the rated doors with door hold opens in the Emergency Department as required by the Fire Marshall. **Change Order #518, BP # 6, 10/19/10,** was approved for an increase of \$37,616 to install 20 gravity dampers to serve as backdraft dampers for roof top fans. **Change Order # 522 BP # 6, 10/26/10,** was approved for an increase of \$28,015 to provide properly terminated patch cables between room boards and precautionary signs in 128 rooms on the 6th and 7th floors due to selection of signage. **Change Order # 526, BP # 6, 10/26/10,** was approved for an increase of \$51,847 to provide full depth replacement of the pavement scheduled for milling (Rose Street up to Limestone Street intersection) due to existing problems in pavement. **Change Order # 538, BP # 6, 11/1/10,** was approved for an increase of \$87,125 to revise nurse call device locations and modify power and data locations in furniture systems on the sixth and seventh floors to accommodate the nurse call and furniture systems selected. **Change Order # 542, BP # 6, 10/28/10** was approved for an increase of \$32,304 to provide temporary installation of 23 AV strobe devices in the construction areas adjacent to the areas of the ED that are occupied as requested by the State Inspector. **Change Order #544, BP # 6, 11/1/10,** was approved for an increase of \$25,607 to build a frame below fan coil units in the 14th level elevator machine rooms to eliminate the ability for someone to walk under the units as requested by the Elevator Inspector. **Change Order # 546, BP # 6, 10/28/10,** was approved for an increase of \$26,149 to furnish labor and material to shim casework to align curtain wall and precast panels in 128 patient rooms. **Change Order # 551, BP # 6, 11/2/10,** was approved for an increase of \$48,092 to furnish the labor and materials to install seventeen data outlets for lighting, control interface panels. **Change Order # 561, BP # 6, 11/5/10,** was approved for an increase of \$135,267 to provide power, data and detailed rough-in requirements for security devices on the ground through six levels. **Change Order # 566, BP # 6, 11/12/10,** was approved for an increase of \$45,037 to furnish and install materials necessary to protect lobby stone floor during the construction process. **Change Order # 567, BP # 6, 11/10/10,** was approved for an increase of \$31,970 to furnish and install six 4 inch conduits on the 6th floor in lieu of the cable tray shown on design due to space constraints. **Change Order # 569, BP # 6, 11/12/10,** was approved for an increase of \$29,094 to furnish and install additional power and technology cabling/outlets in the ED core area.

Construct Patient Care Facility Change Orders greater than \$25,000 Cont....

Change Order # 572 , BP # 6, 11/12/10 was approved for an increase of \$31,746 to modify the type-C data outlet requirements on 6th and 7th floors for 802.11 wireless access points. **Change Order # 573, BP # 6, 11/11/10** was approved for an increase of \$25,590 to add eighteen additional fireman's phone jacks and associated conduit and wiring for elevator control rooms. **Change Order # 574 , BP # 6, 11/10/10,** was approved for a decrease of \$29,881 to delete unnecessary work previously purchased as a result of revisions made in the Rose Street Plaza. **Change Order # 585 ,BP # 6, 11/24/10,** was approved for an increase of \$77,023 to provide for color revision of the marble that serves as a base for the ten columns in the main lobby. **Change Order # 601 , BP # 6, 12/14/10,** was approved for an increase of \$47,480 to provide premium time for the electrician to keep the electrical rough-in ahead of drywall installation due to change order work. **Change Order # 604, BP # 6, 12/14/10** was approved for a decrease of \$27,229 to delete hot water piping insulation for temporary heat on shelled floors 5, 8, 9, 10, 11 & 12. **Change Order # 617 , BP # 6, 12/17/10,** was approved for an increase of \$54,781 to provide for modifications to 6th and 7th floor door hardware and electronic security hardware as required by building code inspector. **Change Order # 619, BP # 6, 1/24/11, was approved for an increase of \$83,092 to furnish and install temporary floor protection over all the thin set tile on the first level of the lobby to prevent scratches and damage from equipment and tools.** **Change Order # 621, BP # 6, 1/3/11,** was approved for an increase of \$63,378 to recircuit the outlets serving the physiological monitors in 128 of the patient rooms on 6th and 7th floors from normal to critical power. **Change Order # 643, BP # 6, 1/10/11,** was approved for a decrease of \$32,258 to delete various signs from the signage schedule due to review of final site conditions and traffic patterns. **Change Order # 644, BP # 6, 1/10/11,** was approved for an increase of \$41,411 to revise the final signage package per drawings for ground floor areas A and B (Emergency Department). **Change Order # 650, BP # 6, 1/13/11,** was approved for an increase of \$50,318 to provide labor and material to construct support offices to the Ground and First Level Information Desks of the main floor lobby. **Change Order # 658, BP # 6, 1/26/11,** was approved for an increase of \$88,797 to furnish and install materials necessary to protect lobby stone during the construction process. **Change Order # 664, BP # 6, 1/27/11,** was approved for an increase of \$25,469 to revise elevator controls to add Cat 6 UTP cable and jack in specific elevator machine rooms to support TUG electronics. **Change Order # 671, BP # 6, 1/31/11,** was approved for an increase of \$25,508 to furnish the labor and install 1" shaft liner overlapping the vertical tube steel columns in elevator shafts for groups 1-5 per the state building inspector's request. **Change Order # 673, BP # 6, 2/2/11,** was approved for a decrease of \$107,640 to provide a credit for the quantity of miscellaneous metals and associated labor no longer required to mount the University purchased patient lift rails. **Change Order # 675, BP # 6, 2/2/11,** was approved for an increase of \$25,192 to raise three exhaust fans and provide exterior grade inlet duct extension, outside air bypass duct and balance damper for fans. **Change Order # 682, BP # 6, 2/17/11,** was approved for an increase of \$30,888 to provide the necessary wall protection in the west connector corridor due to high use. **Change Order # 689, BP # 6, 2/21/11,** was approved for an increase of \$44,499 to provide temporary heat for Lobby 1 and 2 finishes. **Change Order # 692, BP # 6, 2/24/11,** was approved for an increase of \$230,433 to create an allowance funded from the Construction Manager's contingency to fund overtime for specific work on a case by case basis. **Change Order # 703, BP # 6, 3/1/11,** was approved for an increase of \$32,704 to provide the labor and material to install twenty five flow and tamper switches and connect them to the fire alarm system. **Change Order # 705, BP # 6, 3/2/11,** was approved for an increase of \$98,771 to perform demolition for the Second Level surgery break room and adjacent areas in the CCC. **Change Order # 709, BP # 6, 3/7/11,** was approved for an increase of \$29,956 to provide and install the wood seats in masonry walls as shown on the landscape drawings. **Change Order # 711, BP # 6, 3/9/11,** was approved for an increase of \$462,671 to provide the labor and material to upgrade the door hardware and electronic security hardware for 119 openings throughout the PCF that have not been previously addressed. Work includes addition of smoke detectors, additional fire alarm devices, electronic door hardware, access control wiring, card readers, door position switches, door control panels, intercom locations and supporting infrastructure and equipment required to support the additional elements. **Change Order # 722, BP # 6, 3/18/11,** was approved for an increase of \$82,324 to provide control wiring and start-up to electrified door hardware for 48 doors. Includes power supplies, magnetic hold opens, latch bolt assemblies and associated wiring and programming.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Patient Care Facility (Pavilion A, OR Suites and Support)

Project Number: 2239.70

Project Description:

Scope: \$35,000,000

This project provides a new surgical suite to be constructed in the shell space of the new Patient Care Facility. The project includes construction of 8 operating rooms, 1 hybrid OR, with supporting spaces for surgical pathology, surgical pharmacy, anesthesiology, staff lounge and lockers, and pre-operative / post operative functions. Also included will be the construction of a new central sterile supply and associated elevators to serve the new surgery areas. In addition the project will supply a 63,000 CFM air handling unit to provide for the HVAC requirements of this area. The fit-up encompasses an area of approximately 66,000 SF.

Consultant: GBBN

Contract # A061080

Original Contract Amount: \$937,498

Total Amendments to Date: 1

Cumulative Amendment Amount: \$349,722

Describe all amendments :

Revised Contract Amount: \$1,287,220

Amendment 9, 1/25/11, an increase of \$349,722 was approved to provide redesign of the surgical areas and central sterile supply to include the production of Construction Documents and add 6,990 square feet for the Post Anesthetic Care Unit (PACU).

Contractor: Turner Construction Co. (Construction Manager At-Risk) Note: This is a "CM-At-Risk" contract.

The amount will be increased as subcontracts are bid and added. *Turner's contract was awarded by RFP process.

Contract # 4500041362

Original Contract Amount: \$15,210,502

Total Change Orders to Date: 5

Cumulative Change Order Amount: -\$29,997

Low Bid:

Revised Contract Amount: \$15,180,505

High Bid:

Contract Percent Complete: 32%

Number of Bids:

Describe all change orders greater than \$25,000.00:

Change Order # 1, 8/30/10, was approved for an increase of \$29,377 to construct a full-scale mock-up of select O.R. spaces for Owner review and testing of equipment locations. **No change orders greater than \$25,000 this quarter.**

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Digital Village Building 2

Project Number: 2297.10

Project Description:

Scope: \$18,669,725

This project consists of the design and construction of approximately 43,000 gross square feet of research space for the Center for Visualization and Virtual Environments as well as selected research activities in the Department of Computer Science and the Department of Electrical and Computer Engineering. The facility must be sufficiently flexible in design so that it can be easily adapted to support research into emerging areas of interest. The structure should provide flexible office space and support facilities. This project will pursue LEED Certification.

Consultant: JRA Architects

Contract # A091110

Total Amendments to Date: 1

Describe all amendments :

Amendment 1, 8/11/09, an increase of \$37,800 was approved to provide for the research and design of a photovoltaic system. **No amendments this quarter.**

Original Contract Amount: \$1,409,934

Cumulative Amendment Amount: \$37,800

Revised Contract Amount: \$1,447,734

Contractor: Congleton-Hacker * Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added. *Congleton-Hacker's contract was awarded by RFP process. *25 Trade subcontracts have been added to date

Contract # 4500031914

Total Change Orders to Date: 090

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount: \$13,761,651

Cumulative Change Order Amount: \$596,264

Revised Contract Amount: \$14,357,915

Contract Percent Complete: 99%

Describe all change orders greater than \$25,000.00:

Change Order # 14, 2/4/10, was approved for an increase of \$37,930 to install new cabling between the switch in the new manhole to the existing one to avoid splices in the existing cabling and to minimize the shutdown and outage time required for the electrical service to the Hardyman Building. **Change Order # 15**, 2/4/10, was approved for a decrease of \$32,042 to adjust the allowance found in the photovoltaic panel installation bid package due to bid savings. **Change Order # 19**, 3/12/10, was approved for an increase of \$46,491 to revise the communications duct bank to the south and to modify the slope of storm line D. This will facilitate future duct bank tie-in without shutting down access to the parking lots north of College View. **Change Order # 30**, 6/10/10, was approved for an increase of \$26,044 to provide for utility work on the west side of Memorial Coliseum due to found conditions. The existing Communications ductbank stub-out extends further than expected and is obstructing the installation of the piping. **Change Order # 38**, 8/23/10, was approved for an increase of \$31,228 to provide wireless access points within the building to provide adequate networking capability within the building. **Change Order # 45**, 8/24/10, was approved for an increase of \$75,530 to provide the access control system required to properly manage the electronic locks. In addition stand-alone battery operated locks were added to all offices and public spaces. **Change Order # 46**, 8/30/10, was approved for an increase of \$44,806 to include installing new manholes for the building pumped discharge and vault pumped discharge. This change also includes adding pump discharge to piping and master trap detail to sanitary manhole and also connecting the forced main and gravity sewer main to the new gravity sewer manhole. **Change Order # 58**, 9/28/10, was approved for an increase of \$43,679 to provide for resurfacing and repairing the existing parking lot around the new utility vault west of the Digital Village. **No change orders greater than \$25,000 this quarter.**

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Expand & Upgrade Livestock Disease Diagnostic Lab

Project Number: 2234.00

Project Description:

Scope: \$27,155,200

New space is needed for more than one veterinary pathologist to perform animal postmortem examinations in addition to renovation of current necropsy space. New space is also needed to add molecular biology/special procedures capabilities to the laboratory. New technology in the form of an alkaline hydrolysis digester is needed to replace an aging incinerator. The Livestock Disease Diagnostic Lab is an office and laboratory building with net assignable space of 3,860 square feet for offices and 28,100 square feet of laboratories and support space. It is proposed to add 6,300 and 1,200 square feet (net) of new laboratory and office space, respectively. An existing necropsy laboratory and support space will be renovated (2,000 square feet net).

Consultant: CMW, Inc.

Contract # A061100

Total Amendments to Date: 3

Original Contract Amount: \$648,000

Cumulative Amendment Amount: \$1,846,800

Describe all amendments :

Revised Contract Amount: \$2,494,800

Amendment # 1, 12/12/06, was approved for \$90,000 to provide additional design services to accommodate a phased implementation consistent with the legislative budget action. Includes the additional design to study the new tissue digester effluent impact of the LFUCG Town Branch Wastewater Treatment Plant. **Amendment # 2**, 12/10/07, an increase of \$131,000 was approved for additional schematic design services to include multiple methods of animal carcass disposal including rendering, incineration and digestion. **Amendment # 3**, 7/16/08, an increase of \$1,625,800 to provide all of the architectural and engineering services required to complete Schematic Design, Design Development, Construction Documents and Construction Administration phases for an estimated \$20,000,000 worth of construction at the existing UK Livestock Disease Diagnostic Center Facility. **No amendments this quarter.**

Contractor: Congleton-Hacker Company (Construction Manager-At-Risk)

Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added.

*Congleton-Hacker's contract was awarded by RFP process.

Contract # 4500026910

Total Change Orders to Date: 176

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount: \$18,146,148

Cumulative Change Order Amount: \$1,408,845

Revised Contract Amount: \$19,554,993

Contract Percent Complete: 98%

Describe all change orders greater than \$25,000.00:

Change Order # 6, 8/21/09, was approved for an increase of \$45,000 to provide dense graded aggregate (DGA) fill under the building's concrete slabs. The DGA was incorrectly detailed and is required for correct construction of the building concrete slabs. **Change Order # 19**, 12/14/09, was approved for an increase of \$44,983 to select a better match to existing bricks than the proposed brick. The General Shale brick that was bid was deemed unacceptable in the project mock-up wall. **Change Order # 35**, 12/21/09, was approved for an increase of \$64,388 to provide temporary paving around Necropsy. This portion of the paving was purposefully deferred during bidding due to market fluctuation. **Change Order # 49**, 3/1/10, was approved for an increase of \$28,360 to add matching freestanding wall on top of retaining wall to create a min. 42" high wall. This prevents any chance of falling and improves site grading requirements adjoining the wall. **Change Order # 56**, 3/17/10, was approved for an increase of \$35,910 to provide for rental of a trailer necessary for the temporary relocation of UK College of Ag. pathologists. The pathologists must be temporarily relocated to allow for existing facility renovation work to proceed. **Change Order # 77**, 4/21/10, was approved for an increase of \$43,516 to modify wall to provide additional stud cavity to conceal pipes and conduit. This also eliminates sloped cap and makes it flush for cleanability. **Change Order # 91**, 6/7/10, was approved for an increase of \$50,835 to provide mold resistant gypsum board in dry areas and moisture resistant gypsum board in wet areas. **Change Order # 98**, 7/20/10, was approved for an increase of \$40,187 to implement changes to address the issue of excessive moisture in the soil around necropsy. **Change Order # 103**, 7/27/10, was approved for an increase of \$32,794 to install base course of asphalt in remaining areas on site that were not included in the contract due to construction phasing and timing. **Change Order # 105**, 7/28/10, was approved for an increase of \$226,148 to provide coatings consistent with UK compliance standards for animal disinfection. **No change orders greater than \$25,000 this quarter.**

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Guaranteed Energy Savings Performance Contracts

Project Number: 2288.00

Project Description:

Scope: \$25,000,000

An energy service company (ESCO), such as AMERESCO, provides comprehensive energy and water management analysis plans as well as energy and water-related capital improvement services. Enabled by Kentucky Revised Statute 56.774, energy savings performance contracting is a cost-effective process for energy upgrades. Energy service companies guarantee that utility savings generated by facility upgrades are sufficient to pay back the capital investment during the next twelve years. If the project does not provide these returns on the investment, the ESCo is responsible for the difference. This project will help meet the overall University goal, which is to decrease the university's overall energy demands by 10-15 percent.

Energy conservation measures in the project that will reduce the university's overall energy consumption include: Upgrades to lighting systems to the latest electric saving technology; fume hood controls in Chemistry/Physics; HVAC systems; steam and chiller plant controls; Installation of motor speed drives (VFD's) to save energy during mild weather conditions; Energy management software to monitor usage in real time; and automatic utility metering devices; Replacement of old plumbing fixtures to the latest water saving technology; Repair of pipe insulation; Behavioral modification programs to encourage energy conservation, tailored for campus and developed, implemented and measured to maximize effectiveness and initiate a culture of energy efficiency.

Consultant: N/A

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

Contractor: Ameresco Inc

Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added.

*Ameresco's contract was awarded by RFP process.

Contract # 4500042240

Original Contract Amount:

\$24,675,589

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

\$24,675,589

High Bid:

Contract Percent Complete:

30%

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

PCF - Hospital - CUP Expansion

Project Number: 2239.32

Project Description:

Scope: \$13,150,000

This is a portion of the larger Patient Care Facility Project (2239.0). The project includes an 18,000 square foot addition to the existing Central Utilities Plant on Press Avenue. The expansion will include provisions for two new 125,000 lb./hr boilers and additional chillers. The structure will include an enlarged cold well and basement in the cooling section. The boilers and supporting equipment will be installed under this project. Chiller purchases are not contemplated at this time.

Consultant: GBBN Inc.

See project 2239.0

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

Contractor: Messer Construction Co.

Contract # 4500008954

Original Contract Amount:

\$9,474,000

Total Change Orders to Date: 46

Cumulative Change Order Amount:

\$783,937

Low Bid: \$9,474,000

Revised Contract Amount:

\$10,257,937

High Bid: \$10,400,000

Contract Percent Complete:

99%

Number of Bids: 2

Describe all change orders greater than \$25,000.00:

Change Order # 4, 12/10/07, was approved for \$37,518 to provide required additional rock and soil drilling. **Change Order # 7**, 2/21/08, was approved for an increase of \$53,981 to provide temporary building heat until the new deaerator and surge tank are installed. **Change Order # 14**, 7/23/08, was approved for an increase of \$28,219 to change the boiler water piping from ductile iron to schedule 80 black steel pipe. **Change Order # 17**, 7/10/08, was approved for an increase of \$26,270 to install new platform in the northwest corner of the CUP addition to provide greater accessibility and safer access to valves and equipment. **Change Order # 21**, 7/23/08, was approved for an increase of \$45,585 to provide for additional costs resulting from the late delivery of the boilers provided by the University and resulting complications which impacted items on the critical path. Liquidated damages collected from the boiler manufacturer will be applied to the compensation associated with this change order. **Change Order # 30**, 8/11/08, was approved for an increase of \$28,249 to relocate the new condensate pump from the BBSRB tunnel to the College of Pharmacy tunnel. Provide valving to allow the pump to pump in either direction. This change allows for more versatile operation of the campus steam system. **Change Order # 34**, 10/30/08, was approved for an increase of \$52,257 to provide and install pipe insulation for the new boiler feed water piping and the boiler blow-down piping. **Change Order # 35**, 11/3/08, was approved for an increase of \$34,693 to provide and install two wye strainers and to install and connect the stack gas analyzers and Horiba panels. Because the boilers were a direct purchase by the University this scope of work was not originally included in the contract documents. **Change Order # 37**, 2/2/09 was approved for \$26,661 to revise the Civil Site plan to provide greater security and improve the utilization of the grounds north of the new construction. **Change Order # 44**, 2/24/10, was approved for an increase of \$25,745 to perform various items of work related to the boiler installation not included in the contractor's original contract documents. **Change Order # 45**, 2/24/10, was approved for an increase of \$54,881 to install a new VFD for each of eight ventilation fans. The high efficiency boiler operation required increased building temperature control to manage the combustion process of the burners of the two boilers. **No change orders greater than \$25,000 this quarter.**

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

**Renovate 4-H Camps
Project Number: 2310.0
Scope: \$2,000,000**

Project Description:

The College of Agriculture Cooperative Extension Service and 4-H Youth Development operate 4-H Camping Centers in Nicholas, Pulaski, Laurel and Hopkins counties. This project will renovate existing cabins by upgrading utility infrastructure, improving finishes, and installing energy efficient heating and air conditioning systems. The project also will include replacement of existing bunks and mattresses in all the camps. Additionally, a new cabin will be built that will house 28 campers and 4-H staff.

Consultant: Murphy & Graves

Contract #	A101000BB	Original Contract Amount:	\$50,000
Total Amendments to Date:		Cumulative Amendment Amount:	
Describe all Amendments:		Revised Contract Amount:	\$50,000

No amendments this quarter.

Contractor: Churchill McGee, LLC - Camp Cumberland

Contract #	4500042781	Original Contract Amount:	\$299,000
Total Change Orders to Date:	2	Cumulative Change Order Amount:	\$49,281
Low Bid:	\$299,000	Revised Contract Amount:	\$348,281
High Bid:	\$395,000	Contract % complete:	35%
Number of Bids:	4		

Describe all change orders greater than \$25,000.00:

Change Order # 1, 1/10/11 was approved for an increase of \$49,281 to reinforce the structure and bring cabin numbers 9 and 11 up to code compliance.

Contractor: Lewis Brothers, Inc. - Camp Feltner

Contract #	4500042795	Original Contract Amount:	\$174,600
Total Change Orders to Date:	2	Cumulative Change Order Amount:	\$360
Low Bid:	\$174,600	Revised Contract Amount:	\$174,960
High Bid:	\$262,000	Contract % complete:	97%
Number of Bids:	7		

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Contractor: DH Lawson Construction - Camp Redwood

Contract #	4500042805	Original Contract Amount:	\$249,937
Total Change Orders to Date:	5	Cumulative Change Order Amount:	\$178,210
Low Bid:	\$249,937	Revised Contract Amount:	\$428,147
High Bid:	\$294,000	Contract % complete:	47%
Number of Bids:	3		

Describe all change orders greater than \$25,000.00:

Change Order # 5, 2/28/11 was approved for an increase of \$172,290 to construct cabin numbers 14 and 18.

Contractor: Lanham Brothers General Contractors, Inc. - Camp Dawson Springs

Contract #	4500042794	Original Contract Amount:	\$364,285
Total Change Orders to Date:	2	Cumulative Change Order Amount:	\$503
Low Bid:	\$364,285	Revised Contract Amount:	\$364,788
High Bid:	\$445,000	Contract % complete:	79%
Number of Bids:	3		

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate 4th Floor Sanders-Brown Center on Aging

Project Number: 2313.01

Project Description:

Scope: \$6,428,471

This project will consist of renovating approximately 9,000 square feet of research support space in the Sanders-Brown Center. This project will include retrofitting outdated, noncompliant research support space and the associated mechanical equipment to meet current regulatory requirements. The project is fully funded from an NIH Grant as part of the ARRA.

Consultant: Omni Architects

Contract # A101140

Total Amendments to Date: 1

Describe all amendments :

Amendment 1, 12/14/10, an increase of \$28,000 was approved for additional design and construction administration services associated with LEED certification. **No amendments this quarter.**

Original Contract Amount: \$562,119

Cumulative Amendment Amount: \$28,000

Revised Contract Amount: \$590,119

Contractor: Messer Construction Co Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added. *Messer's contract was awarded by RFP process.

Contract # 4500040166

Total Change Orders to Date: 1

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Original Contract Amount: \$382,695

Cumulative Change Order Amount: \$3,104

Revised Contract Amount: \$385,799

Contract Percent Complete:

Renovate Nursing Building Phase II

Project Number: 2316.00

Project Description:

Scope: \$1,342,500

This project will renovate approximately 8,200 square feet on the sixth floor of the College of Nursing Building to accommodate the construction of a new 118 seat Computer Classroom and associated support space. The project will be funded by federal grant of \$1,287,000 from the U.S. Department of Health and Human Services and University funds.

Consultant: GRW, Inc.

Contract # A11090

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount: \$73,000

Cumulative Amendment Amount:

Revised Contract Amount: \$73,000

Contractor: No construction contracts awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate Old Pharmacy Building for Biology (Multi- Disciplinary Sciences Building)

Project Number: 2317.10

Project Description:

Scope: \$3,677,500

The old Pharmacy building (now Multi-Disciplinary Sciences Building) will be used to provide teaching lab space for Biology, future research lab space for Biology and Chemistry, and office space to co-locate the University's significant core of statistical expertise from the colleges of Arts and Sciences, Public Health and Medicine. This phase of the project will renovate approximately 18,120 square feet on the first, second and third floors of the Multi-Disciplinary Sciences Building and will include the modification of the existing offices and the creation of additional offices, computer class labs, a Biology class lab and support spaces. The estimated scope of \$3.4 million will be funded from institutional fund balances.

Consultant: Stengel-Hill Architecture

Contract # A111080

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:	\$250,000
Cumulative Amendment Amount:	
Revised Contract Amount:	\$250,000

Contractor: No construction contracts awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:	
Cumulative Change Order Amount:	
Revised Contract Amount:	
Contract Percent Complete:	

Renovate Third Floor Little Library

Project Number: 2306.00

Project Description:

Scope: \$1,000,000

This project will renovate and fit-up approximately 5,300 square feet of shelled space on the third floor of the Little Library. Prior to the library consolidations following completion of the W.T. Young Library, this space was used to house library materials. The renovated space will house the Center for Applied Information Technology, a suite of offices for the School of Library and information and two new classrooms, seating for 48, with smart technology.

Consultant: Pearson & Peters

Contract # A101000DD

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:	\$44,200
Cumulative Amendment Amount:	
Revised Contract Amount:	\$44,200

Contractor: Denham-Blythe Company

Contract # 4500039790

Total Change Orders to Date: 2

Low Bid: \$551,694

High Bid: \$660,600

Number of Bids: 11

Describe all change orders greater than \$25,000.00:

Original Contract Amount:	\$551,694
Cumulative Change Order Amount:	\$122,200
Revised Contract Amount:	\$673,894
Contract Percent Complete:	99%

Change Order # 1, 10/26/10, was approved for an increase of \$121,335 to provide a new office suite for the Dean of the College of Communications and Information Studies. The Dean's office is currently in the Grehan Building and this relocation will allow for expansion space for the CIS program. **No change orders greater than \$25,000 this quarter.**

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate Track and Field Facility

Project Number: 2315.00

Project Description:

Scope: \$12,000,000

The University of Kentucky's Shively Track, used by the men's and women's track and cross country teams, is over 30 years old and needs to be renovated. This project will renovate the existing track and field facility by providing new track and field surfaces, field event training areas, spectator seating, spectator amenities (concessions, restrooms, etc.), and equipment storage. The track is anticipated to be a nine-lane synthetic surface track. An athlete only practice throw area has been integrated into the site plane, creating safety for adjacent spectators as well as providing a proper environment for the training and warm up of student athletes. A practice runway is being incorporated with the javelin throw. This area would also accommodate visiting track teams during meet events. The architectural design and materials will be integrated to enhance the proximity to the design elements of the University's adjacent baseball stadium. The concession building will overlook the track so spectators standing in line will continue to have visual access to the event completion. A new storage facility will be located at the Cooperstown Road entry, and will be of sufficient size to store all track and field equipment.

Consultant: Ross Tarrant Architects

Contract # A111060

Original Contract Amount: \$868,500

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount: \$868,500

No amendments this quarter.

Contractor: Abel Construction Co. Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added. *Abel's contract was awarded by RFP process.

Contract # 4500043874

Original Contract Amount: \$591,651

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount: \$591,651

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Renovate/Expand the Center for Applied Energy Research (Ph II)

Project Number: 2286.20

Project Description:

Scope: \$882,221

This project will construct a shell to house the Center for Applied Energy Research mini refinery.

Consultant: Denham Blythe

Contract # A101070

Original Contract Amount: \$40,000

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount: \$40,000

No amendments this quarter.

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Repair, Upgrade and Improve Civil/Site Infr. (Repair Pedestrian Bridge at Cooper

Project Number: 2318.00

Project Description:

Scope: \$1,055,700

Repair structural elements of the Cooper Pedestrian Bridge. Replace the precast exterior with glass to allow inspection of the structural steel.

Consultant: BFMJ

Contract # A111070

Original Contract Amount:

\$76,800

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$76,800

No amendments this quarter.

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Replace Wildcat Lodge Student Housing (Wildcat Coal Lodge)

Project Number: 2309.00

Project Description:

Scope: \$8,050,000

This project consists of the design and construction of a new housing facility of approximately 18,000 GSF to replace the existing Wildcat Lodge. The new facility is to house the UK men's basketball players in addition to other non-athlete students. The building will include a controlled main entryway, with combination living/bedroom spaces, a common space, with dining and gaming rooms. In addition, it will have a conference room and public restrooms in all common areas. The site will be located just east of the Joe Craft Center.

Consultant: JRA Architects

Contract # A101090

Original Contract Amount:

\$554,253

Total Amendments to Date: 1

Cumulative Amendment Amount:

\$6,239

Describe all amendments :

Revised Contract Amount:

\$560,492

Amendment 1, 5/11/10, an increase of \$6,239.33 was approved to provide phase 2 geotechnical exploration services as a reimbursable expenditure. No amendments this quarter.

Contractor: Codell Construction Company

Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added.

*Codell's contract was awarded by RFP process.

Contract # 4500038207

Original Contract Amount:

\$6,497,970

Total Change Orders to Date: 2

Cumulative Change Order Amount:

\$8,588

Low Bid:

Revised Contract Amount:

\$6,506,558

High Bid:

Contract Percent Complete:

1%

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Student Housing - Supporting Utility Plant Upgrades

Project Number: 2153.30

Project Description:

Scope: \$1,275,000

This project includes expanding the Virginia Avenue Central Utility Plant to accommodate future expansion in steam and chilled water capacity. The project was established in support of the Student Housing Project.

Consultant: AM Kinney

Contract # A041000GG

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:	\$26,315
Cumulative Amendment Amount:	
Revised Contract Amount:	\$26,315

Contractor: English Boiler & Tube Inc.

*English Boiler's contract was awarded by RFP process.

Contract # 4500008298

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000.00 this quarter.

Original Contract Amount:	\$1,147,748
Cumulative Change Order Amount:	
Revised Contract Amount:	\$1,147,748
Contract Percent Complete:	99%

Upgrade, Renovate, Improve or Expand Research Labs (Fit-up 4th & 5th Floor CoP)

Project Number: 2314.00

Project Description:

Scope: \$28,000,000

The Biological / Pharmaceutical Complex is a new 286,000 s.f. academic / research building for the University of Kentucky College of Pharmacy. The Fourth and Fifth Floor Fit-Out items for the building include miscellaneous metals and stairs, rough and finish carpentry, wall systems, flooring systems, ceiling systems, doors, frames, hardware, coatings, specialized equipment, plumbing systems, fire protection systems, mechanical systems, electrical systems and communications and controls systems. Food Service Fit-out items include rough and finish carpentry, wall systems, flooring systems, ceiling systems, frames, hardware, coatings, plumbing systems, fire protection systems, mechanical systems, electrical systems and communications and control systems. Audio-visual fit-up includes wiring, sound systems, video systems and control systems.

Consultant: EOP Architects

Contract # A101120

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:	\$898,640
Cumulative Amendment Amount:	
Revised Contract Amount:	\$898,640

Contractor: Messer Construction Co.

Contract # 4500039714

Total Change Orders to Date: 3

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Change Order # 1, 9/17/10, was approved for an increase of \$25,705.13 to assign MultiVista under Messer's contract to provide construction documentation for the entire project to include project documentation (photo sets) with Progression and Detailed Sets, preconstruction site survey and slideshows. No change orders greater than \$25,000 this quarter.

Original Contract Amount:	\$19,192,726
Cumulative Change Order Amount:	\$34,516
Revised Contract Amount:	\$19,227,242
Contract Percent Complete:	49%