

## **AGENDA**

### **Meeting of the Board of Trustees University of Kentucky 1:00 P.M. April 6, 1999**

#### **Invocation**

#### **Roll Call**

#### **Approval of Minutes**

#### **President's Report and Action Items**

- PR 1 President's Report to the Trustees
  - A. College of Medicine Report
- PR 2 Personnel Actions
- PR 3 Central Administration
  - A. University Research Professorships
- PR 4 Community College System (No items to report)
- PR 5 Lexington Campus
  - A. Appointments/Reappointments to University of Kentucky Business Partnership Foundation
  - B. Reappointment to Advisory Board – Lexington Community College
- PR 6 Medical Center (No items to report)

#### **Finance Committee**

- 1. Acceptance of Interim Financial Report for the University of Kentucky for the Eight Months Ended February 28, 1999
- 2. Patent Assignment Report
- 3. Abercrombie Foundation Pledge
- 4. Albert G. and Lorraine N. Clay Endowment for Visiting Scholars
- 5. Ann Scott and Ralph E. Mason Gift
- 6. Anonymous Gift of \$1,000,000
- 7. Anonymous Gift to Establish an Endowed Scholarship Fund
- 8. Brown & Williamson Tobacco Company Pledge
- 9. Dorothy Leathers Fellowship in History
- 10. Dr. Claire Louise Caudill Professorship in Family Medicine
- 11. Gerald L. and Jacqueline W. Nichols Gift and Pledge
- 12. Gordon L. Hyde, M.D. Professorship in General Surgery Research

13. Historic Preservation Advisory Committee Gift and Pledge
14. James F. Hardymon Pledge
15. James R. and Elise W. Boyd Gift and Pledge
16. Kenneth E. Hobgood Gift and Pledge
17. Myra Leigh Tobin Charitable Remainder Unitrust
18. Patricia J. Buster Annuity and Foundation Gift and Pledge
19. Sue C. Badgett Gift
20. T. Marshall Hahn, Jr. Pledge of \$250,000
21. Thomas C. and Evelyn W. Finnie Gift
22. United States Surgical Corporation Gift and Pledge
23. Warren W. Rosenthal Pledge

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, April 6, 1999.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, April 6, 1999 in the Board Room on the 18th floor of Patterson Office Tower.

A. Meeting Opened

Governor Edward T. Breathitt, Chairperson, called the meeting to order at 1:09 p.m., and the invocation was pronounced by Nathan Brown.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mr. Ted Bates, Governor Edward T. Breathitt (Chairperson), Mr. Nathan Brown, Mr. Paul W. Chellgren, Mr. John "Jack" Guthrie, Mr. James F. Hardymon, Professor Loys L. Mather, Dr. Robert P. Meriwether, Mr. Billy Joe Miles, Dr. Elissa Plattner, Mr. Steven S. Reed, Professor Daniel R. Reedy, Dr. W. Grady Stumbo, Mr. Martin Welenken, Ms. JoEtta Y. Wickliffe, and Mr. Russell Williams. Absent from the meeting were Mr. Merwin Grayson, Mr. C. Frank Shoop, Mrs. Marian Sims, and Mr. Billy B. Wilcoxson. The University administration was represented by President Charles T. Wethington, Jr.; Chancellor Elisabeth Zinser; Vice Presidents Fitzgerald Bramwell, Joseph T. Burch, Ben W. Carr, Edward A. Carter, George DeBin and Eugene Williams; Dr. Juanita Fleming, Special Assistant for Academic Affairs; and Mr. Richard E. Plymale, General Counsel.

Members of the various news media were also in attendance. A quorum being present, the Chairperson declared the meeting officially open for the conduct of business at 1:12 p.m.

C. Approval of Minutes

Governor Breathitt said that the Minutes of the Board meeting on March 2, 1999 had been distributed and asked for any additions or corrections. Mr. Guthrie moved that the Minutes be approved as distributed. Mr. Chellgren seconded the motion, and it carried.

D. President's Report to the Board of Trustees (PR 1)

President Wethington called attention to the following items in PR 1:

1. Governor Paul Patton, Lexington Mayor Pam Miller, several state and local officials, and University administrators participated in a groundbreaking ceremony March 25<sup>th</sup> for a new \$1.2 million laboratory at Coldstream Research Campus. The Governor also presented a \$5.5 million check to the University to develop the infrastructure for 27 lots at Coldstream. The funds were part of the Governor's Surplus Expenditure Plan adopted by the 1998 Kentucky General Assembly.

2. The Lucille Parker Markey Cancer Center launched a new multi-disciplinary lung cancer program combining clinical care, research and education to fight the leading cancer killer in Kentucky. Researchers will be developing new lung cancer treatments, focusing on immunotherapy to enhance patients' immune systems.
3. The College of Law has reached the top tier in the *U.S. News & World Report* ranking of the nation's law schools. The UK College of Law is ranked 50<sup>th</sup> among public and private law schools.
4. The third edition of "*America's 100 Best College Buys – 2000*" lists UK as one of 63 public colleges and universities so honored. The book's entries were selected based on cost and academic quality. Criteria included grade point average of entering freshman and SAT and ACT scores.
5. The Lexington Community College nursing graduates excel at Licensure Examination. Last year's class of graduates had a success rate of 95 percent on the exam. The national average is 88 percent. The percentage represents the proportion of graduates who passed the test on their first attempt.
6. Phillip A. Sharp, Nobel Prize Laureate in 1993, received the UK Libraries Medallion for Intellectual Achievement. Dr. Sharp changed the field of molecular genetics when he discovered DNA molecules occurring in segments or "splicing," raising the possibility that cancer might be treated by correcting problems in genetic splicing.
7. The first graduate of the College of Social Work, Jean Ritchie, has become the first member of the College of Social Work Alumni Hall of Fame. She received her degree in 1946.

President Wethington asked the members to read the other items in the report at their leisure.

E. Personnel Actions (PR 2)

President Wethington recommended that approval be given to the appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted. Mr. Reed moved approval. The motion, seconded by Mr. Welenken, carried. (See PR 2 at the end of the Minutes.)

F. University Research Professorships (PR 3A)

President Wethington said that PR 3A recommends that the Board approve the naming of Professors Peter Perry, Department of Mathematics, Daniel A. Potter, Department of Entomology, and Steven Weisenburger, Department of English, as University Research Professors for 1999-2000. In April 1976 the University Senate recommended to the President

the establishment of one-year Research Professorships and that priority be given to the goal of establishing permanent Research Professorships as funds became available.

He stated that the purpose of the University Research Professorships is to enhance and encourage scholarly research productivity at the University of Kentucky. It allows a faculty member to concentrate almost solely on research during this period of time. President Wethington said he was pleased to recommend the approval of PR 3A which will designate the Research Professorships for 1999-2000. On motion made by Professor Reedy, seconded by Professor Mather and carried, PR 3A was approved. (See PR 3A at the end of the Minutes.)

President Wethington asked Professors Perry, Potter and Weisenburger to stand and be recognized, following which they received a round of applause.

G. Appointments/Reappointments to University of Kentucky Business Partnership Foundation (PR 5A)

President Wethington stated that PR 5A recommends that approval be given to the appointments of James J. O'Brien and Terry Woodward and the reappointments of Jack C. Smith, Jr. and Martin Welenken as members to the Board of Directors of the University of Kentucky Business Partnership Foundation for four-year terms beginning immediately and extending through the Fall 2002 Board meeting. He reported that these individuals were unanimously elected to the Board of Directors of the University of Kentucky Business Partnership Foundation at their November 18, 1998 meeting. Mrs. Wickliffe moved approval. Her motion, seconded by Mr. Guthrie, passed. (See PR 5A at the end of the Minutes.)

H. Reappointment to Advisory Board - Lexington Community College (PR 5B)

President Wethington stated that PR 5B recommends that William H. Wilson be reappointed to the Advisory Board of Lexington Community College for a three-year term beginning July 1, 1999 and ending June 30, 2002. Mr. Wilson is a staff member with Kentucky Educational Television and the head of the Prichard Committee on Education. Mr. Brown moved approval. Mr. Chellgren seconded the motion, and it carried. (See PR 5B at the end of the Minutes.)

I. College of Medicine Report

President Wethington said he was pleased to have Dr. Emery Wilson, Dean of the College of Medicine and Vice Chancellor for Clinical Services, make a report on the College. He briefly reviewed Dr. Wilson's credentials, noting he had been Dean of the College of Medicine for eleven years. He reported that the College of Medicine has become nationally recognized by being named one of the top 20 comprehensive medical schools in the United States for seven consecutive years by *US News and World Report*.

Dean Wilson said that Kentucky has a wonderful medical heritage, and the University of Kentucky College of Medicine is continuing that tradition. The College has 680 full-time and part-time faculty focusing their efforts in 21 departments and 8 multi-disciplinary centers. In

addition, there are 825 voluntary faculty throughout the state supporting the college's education mission. There are 2,670 undergraduate students, medical students and residents and 1,980 non-faculty staff members.

He stated that the College continues to improve in research and has doubled its National Institutes of Health (NIH) funding as well as its total research funding over the last five years. With \$52M annually in research grants and contracts, the College is ranked 30<sup>th</sup> in NIH funding among public medical schools. For every 3,100 net square feet of research space, the College generates \$1M.

He reported that each year the physicians treat approximately 32,000 inpatients, 450,000 outpatients and provide more than 900 outreach clinics in central and eastern Kentucky. The College receives 15.7% of its revenues from state funds and in FY 99 leveraged that into a \$213M budget.

Dean Wilson distributed material about the College and entertained questions from members of the Board, following which he received a round of applause for his presentation.

President Wethington thanked Dean Wilson for his presentation and for his good work in the College of Medicine.

Governor Breathitt thanked Dean Wilson, Frank Butler and Chancellor Holsinger for the things they have done through the years to keep the Chandler Medical Center financially sound.

J. Acceptance of Interim Financial Report for the University of Kentucky for the Eight Months Ended February 28, 1999 (FCR 1)

Mr. Hardymon, Chairperson of the Finance Committee, reported that the Finance Committee met and considered 23 items on the agenda. He said that FCR 1 is the acceptance of the Interim Financial Report for the Eight Months Ending February 28, 1999. He noted that the revenues are running ahead of the expenditures at this time of year but are in line with previous years' percentages. He commented on the positive cash flow and said that it leads to a very positive and strong balance sheet. He said that there are some fluctuations, and those were discussed in the Finance Committee meeting. He moved approval of FCR 1. Mr. Chellgren seconded his motion, and it passed. (See FCR 1 at the end of the Minutes.)

K. Patent Assignment Report (FCR 2)

Mr. Hardymon said that FCR 2 recommends that the patent assignment report for the period January 1, 1999 through March 31, 1999 be accepted. He reported that there were six patents in the quarterly report and reviewed each assignment. He moved approval of FCR 2. His motion, seconded by Dr. Meriwether, carried. (See FCR 2 at the end of the Minutes.)

L. Abercrombie Foundation Pledge (FCR 3)

Mr. Hardymon said that FCR 3 recommends that the Board of Trustees accept a \$500,000 pledge from the Abercrombie Foundation of Versailles, Kentucky, to establish the Pin Oak Stud Endowed Research Fund in the College of Agriculture's Gluck Equine Research Center in the Department of Veterinary Science.

He said that the pledge is contingent on the University's receiving matching funds from the state's Research Challenge Trust Fund (RCTF), which would create a \$1,000,000 fund to enhance innovative research at the Gluck Center. The foundation's pledge will be paid in five equal annual installments beginning this year. He moved approval of FCR 3. His motion, seconded by Mr. Welenken, passed. (See FCR 3 at the end of the Minutes.)

M. Albert G. and Lorraine N. Clay Endowment for Visiting Scholars (FCR 4)

Mr. Hardymon said that FCR 4 recommends that the Board of Trustees establish and endow the Albert G. and Lorraine N. Clay Endowment for Visiting Scholars in the College of Agriculture's Department of Veterinary Science with \$250,000 in earnings from the Albert G. and Lorraine N. Clay Charitable Annuity Lead Trust.

He reported that Albert G. and Lorraine N. Clay established a Charitable Annuity Lead Trust in 1996 to provide support for the Department of Veterinary Science, and this is a Trust whereby the University receives the income from the Trust. The Clays have been long-time supporters of the University. Mr. Clay was a member of the UK Board of Trustees for more than 20 years and recently served as chair of the UK Equine Research Foundation Board for 10 years.

He said that the Clays have specified that five years of income from the trust be endowed to allow the original directives of the trust to be carried out in perpetuity. The designation of \$250,000 for an endowment is contingent on the University's receiving matching funds from the state's Research Challenge Trust Fund, which would create a \$500,000 endowment. On motion made by Mr. Hardymon, seconded by Mr. Guthrie and passed, FCR 4 was approved. (See FCR 4 at the end of the Minutes.)

N. Ann Scott and Ralph E. Mason Gift (FCR 5)

Mr. Hardymon said that FCR 5 recommends that the Board of Trustees accept a gift of \$50,000 from Ann Scott and Ralph E. Mason of Maysville, Kentucky, to establish and endow the Ann Scott and Ralph E. Mason Visiting Scholars Program in Voice Performance in the College of Fine Arts' School of Music.

He said that Mrs. Mason is a 1944 graduate of the UK School of Music. After a successful singing and teaching career, she and her husband returned to their native Maysville and have been generous supporters of the School of Music. The Visiting Scholars Program will fund the visit of vocal artists and master teachers for recitals, master classes and other events related to the School of Music's graduate and research missions. The gift is contingent on matching funds being received from the state's Research Challenge Trust Fund. He moved

approval of FCR 5. Mr. Brown seconded the motion, and it carried. (See FCR 5 at the end of the Minutes.)

O. Anonymous Gift of \$1,000,000 (FCR 6)

Mr. Hardymon said that FCR 6 recommends that the Board of Trustees accept an anonymous gift of \$1,000,000 for the Sigma Alpha Epsilon House Construction Fund.

He reported that the University of Kentucky alumni members of the Sigma Alpha Epsilon social fraternity have been raising funds for a new fraternity house since 1995. Nearly 500 SAE's have contributed to the project. The house is located on Rose Lane and is scheduled for completion this fall. He said that he had visited the house recently and encouraged members of the Board to see it. He moved approval of FCR 6. Mr. Welenken seconded the motion, and it passed. (See FCR 6 at the end of the Minutes.)

P. Anonymous Gift to Establish an Endowed Scholarship Fund (FCR 7)

Mr. Hardymon said that FCR 7 is an anonymous gift. The recommendation is that the Board of Trustees accept a gift of \$125,000 to establish and endow a scholarship fund to benefit undergraduate and/or graduate students at either the University of Kentucky or a community college.

He stated that the donor established the fund to provide financial assistance for qualified students to benefit from a college education. The purpose was to enable students to receive an education that might otherwise be denied them because of financial resources. Additional contributions to the fund will be made by the donor annually and by the donor's estate. On motion made by Mr. Hardymon, seconded by Mr. Reed and carried, FCR 7 was approved. (See FCR 7 at the end of the Minutes.)

Q. Brown & Williamson Tobacco Company Pledge (FCR 8)

Mr. Hardymon said that FCR 8 recommends that the Board of Trustees accept a pledge of \$250,000 from the Brown & Williamson Tobacco Company of Louisville, Kentucky, to establish and endow the Brown & Williamson Research Support Fund in the College of Agriculture's Department of Agronomy.

He said that the Research Support Fund will provide funds for the purchase of supplies, equipment and other materials required in agronomy research. The pledge will be paid with \$50,000 annual payments beginning in 1999. A condition of the pledge is that it be matched by the state's Research Challenge Trust Fund. He moved approval of FCR 8. His motion, seconded by Mr. Brown, passed. (See FCR 8 at the end of the Minutes.)



R. Dorothy Leathers Fellowship in History (FCR 9)

Mr. Hardymon said that Ms. Dorothy Leathers is retiring after 37 years as a staff member in the Department of History. FCR 9 recommends that the Board of Trustees accept a gift of \$25,000 from the Department of History faculty and friends of Dorothy Leathers of Lexington, Kentucky, to establish and endow the Dorothy Leathers Graduate Fellowship Fund in the Department of History in the College of Arts and Sciences.

He said that faculty members, history graduates and friends of Ms. Leathers have contributed \$25,000 to honor her for her years of service. The fellowship will be awarded to graduate students in history who have passed the doctoral qualifying examination. The Department of History faculty and friends have requested that the \$25,000 endowment be matched by the state's Research Challenge Trust Fund. He moved approval of FCR 9. Professor Mather seconded the motion, and it carried. (See FCR 9 at the end of the Minutes.)

S. Dr. Claire Louise Caudill Professorship in Family Medicine (FCR 10)

Mr. Hardymon said that FCR 10 recommends that the Board of Trustees accept a pledge of \$75,000 from the W. Paul and Lucille Caudill Little Foundation of Lexington, Kentucky, to establish and endow the Dr. Claire Louise Caudill Professorship in Family Medicine in the College of Medicine. He noted that Dr. Caudill died recently.

He reported that the pledge will be paid in five annual payments of \$15,000 beginning in 1999. The Foundation has requested that the gift for the professorship be matched by the state's Research Challenge Trust Fund. He moved approval of FCR 10. His motion, seconded by Mr. Chellgren, passed. (See FCR 10 at the end of the Minutes.)

T. Gerald L. and Jacqueline W. Nichols Gift and Pledge (FCR 11)

Mr. Hardymon said that Mr. Gerald L. Nichols is a 1958 graduate in civil engineering. His career has been centered in the railroad industry, having recently retired as vice-chairman of CSX Transportation. Mr. Nichols was inducted into the College's Hall of Distinction and received Civil Engineering's Career Achievement Award in 1996.

He said that FCR 11 recommends that the Board of Trustees accept a gift of \$41,719 and pledge of \$83,281 totaling \$125,000 from Gerald L. and Jacqueline W. Nichols of Jacksonville, Florida, to establish and endow the Gerald L. Nichols Graduate Fellowships in Civil Engineering in the College of Engineering.

He stated that the pledge will be paid before December 2003, and Mr. Nichols anticipates his gift being matched by the state's Research Challenge Trust Fund. On motion made by Mr. Hardymon, seconded by Mr. Reed and passed, FCR 11 was approved. (See FCR 11 at the end of the Minutes.)

U. Gordon L. Hyde, M.D. Professorship in General Surgery Research (FCR 12)

Mr. Hardymon said that FCR 12 is asking the Board of Trustees to accept a pledge of \$40,000 from Gordon L. Hyde, M.D. of Lexington, Kentucky, and a gift of \$11,000 from Dr. Hyde's colleagues and friends to create and endow the Gordon L. Hyde, M.D. Professorship in General Surgery in the College of Medicine. The Board is also requested to approve the transfer of \$31,830 in an existing lectureship named in Dr. Hyde's honor to the endowed professorship.

He said that a group of University of Kentucky alumni, friends and former residents who trained under Dr. Hyde made this \$11,000 contribution for the professorship. A condition of the gifts and of Dr. Hyde's pledge is that the funds be matched by the state's Research Challenge Trust Fund. The \$31,830, however, will not be matched. He noted that the pledge will be paid over a five-year period beginning in 1999 and moved approval of FCR 12. Mr. Williams seconded the motion, and it carried. (See FCR 12 at the end of the Minutes.)

V. Historic Preservation Advisory Committee Gift and Pledge (FCR 13)

Mr. Hardymon reminded the Board of the relatively new graduate program called the Master of Historic Preservation. The College of Architecture Historic Preservation Advisory Committee is a 25-member body created in 1998 to assist the college in building this program. FCR 13 recommends that the Board of Trustees accept gifts of \$24,100 and pledges of \$226,400 from 18 members of the College of Architecture Historic Preservation Advisory Committee to establish and endow professorships and to provide graduate education support for the College of Architecture. The advisory committee and the dean will later recommend the number of professorships to be endowed and the amounts to go into an endowment to support graduate education and research.

Mr. Hardymon pointed out that there were three major donors: Mrs. Edith Bingham of Louisville, Kentucky, has committed \$100,000; James P. Gray, Jr. of Lexington, Kentucky, has committed \$50,000; Linda and Jerry Bruckheimer of Bloomfield, Kentucky, and Los Angeles, California, have committed \$50,000. Fifteen other donors have pledged between \$500 and \$5,000. The total of all gifts and pledges is \$250,500. Pledges will be paid within five years. A condition of the gifts is that the \$250,500 be matched by the state's Research Challenge Trust Fund. He moved approval of FCR 13. His motion, seconded by Professor Reedy passed. (See FCR 13 at the end of the Minutes.)

Governor Breathitt said that this is another example of outreach from a college. The College of Architecture and its Dean have been working with Louisville officials in establishing a downtown center like the one in downtown Lexington. He congratulated President Wethington, Chancellor Zinser, and Dean Mohny for a new example of what the University is doing to serve its statewide mission.

W. James F. Hardymon Pledge (FCR 14)

On motion made by Mr. Hardymon, seconded by Dr. Meriwether and carried, the Board approved the recommendation that a pledge and a deferred gift of \$2,000,000 (a \$1,500,000 pledge and a \$500,000 deferred gift) from James F. Hardymon of Lexington, Kentucky, to establish two endowed chairs to be known as the James F. Hardymon Chair in Manufacturing Systems in the Department of Mechanical Engineering and the James F. Hardymon Chair in Networking in the Department of Electrical Engineering or Computer Science and to establish an endowed graduate fellowship program to be known as the James F. Hardymon Graduate Fellowship Program in the University's Bachelor of Science in Engineering and Master of Business Administration five-year dual degree program be accepted. (See FCR 14 at the end of the Minutes.)

Governor Breathitt thanked Mr. Hardymon for his major gift and his commitment to the University and the Board. He expressed appreciation to Mr. and Mrs. Hardymon for their many anonymous gifts to the University.

President Wethington also expressed his appreciation to Mr. and Mrs. Hardymon for their contribution but particularly for their leadership. This gift signifies what the capital campaign is about and is a challenge to other Board members and to the alumni to help insure the future of the University of Kentucky through the capital campaign. He reminded the Board that the University is involved in a five-year campaign to raise major amounts of money for the people resources of the university: for endowed chairs, professorships, and scholarships. He reiterated his appreciation to Mr. Hardymon for the amount of the gift and for what the gift signifies. This truly is a strong commitment to the University from two alumni of the institution who believe that the University did some wonderful things for them and are willing to give back to the University to help insure the future for other men and women who will be coming to the University in the years ahead. He thanked Mr. Hardymon for his fantastic gift to the University of Kentucky at a very important time. Mr. Hardymon received a round of applause.

Mr. Hardymon thanked everyone for their fine comments.

X. James R. and Elise W. Boyd Gift and Pledge (FCR 15)

Mr. Hardymon said that FCR 15 is asking the Board of Trustees to accept a gift of \$75,000 and a pledge of \$25,000 from James R. and Elise W. Boyd of Lexington, Kentucky, to establish and endow the James R. Boyd Professorship in Electrical Engineering in the College of Engineering's Department of Electrical Engineering.

He said that Mr. and Mrs. Boyd are long-time supporters of the College of Engineering. Mr. Boyd is a 1969 graduate in electrical engineering. He is presently Senior Vice President and Group Operating Officer at Ashland Inc. and Chairman of Arch Coal, an Ashland subsidiary. Mr. Boyd serves on the University of Kentucky Development Council, the College of Engineering Dean's Advisory Council and the college's campaign steering committee. The endowed professorship will help advance the graduate program and research in electrical engineering, and it will expand opportunities for undergraduate research and for industry access

to contemporary developments in the field. The gift is contingent on the University's receiving matching funds from the state's Research Challenge Trust Fund. The pledge of \$25,000 will be paid within three years. He moved approval of FCR 15. Mr. Chellgren seconded the motion, and it passed. (See FCR 15 at the end of the Minutes.)

Y. Kenneth E. Hobgood Gift and Pledge (FCR 16)

Mr. Hardymon said that FCR 16 recommends that the Board of Trustees accept a gift of \$10,000 and pledge of \$75,000 from Kenneth E. Hobgood of Raleigh, North Carolina, to establish and endow the Sue Fan Gooding Hobgood Visiting Design Critic Fund in the College of Architecture.

He said that Kenneth E. Hobgood is a 1977 alumnus of the UK College of Architecture. In 1996, he established and endowed a visiting professorship fund in the College of Architecture in memory of his mother, Sue Fan Gooding Hobgood, a UK alumna. This gift will create and endow the Sue Fan Gooding Hobgood Visiting Design Critic Fund to bring famous architects and designers to UK to advance design education and research in the College. A condition of the gift is that it be matched by the state's Research Challenge Trust Fund. The pledge will be fulfilled with payments over the next four years. He moved approval of FCR 16. His motion, seconded by Mr. Reed, carried. (See FCR 16 at the end of the Minutes.)

Z. Myra Leigh Tobin Charitable Remainder Unitrust (FCR 17)

Mr. Hardymon said that he was very pleased to recommend that the Board of Trustees accept the Myra Leigh Tobin Charitable Remainder Unitrust, an irrevocable Trust between Myra Leigh Tobin of New York, New York, and the University of Kentucky.

He stated that Ms. Tobin did well in her career with Marsh and McLennan Companies, Inc., an insurance brokerage. She was Managing Director of Marsh and McLennan Companies, Inc when she retired last summer. She is a 1962 UK graduate and past president of the University of Kentucky National Alumni Association. He said that she is giving \$1 million to establish a charitable unitrust and moved approval of FCR 17. Ms. Wickliffe seconded the motion, and it passed. (See FCR 17 at the end of the Minutes.)

AA. Patricia J. Buster Annuity and Foundation Gift and Pledge (FCR 18)

Mr. Hardymon said that FCR 18 recommends that the Board of Trustees accept the residue of a gift annuity valued at \$152,392.54 and anticipated income of \$250,000 over five years from a charitable foundation, both established by the late Patricia J. Buster to create and endow a professorship and graduate research grants in the College of Human Environmental Sciences (CHES).

He said that Mrs. Buster, a native Kentuckian who died in Venice, Florida, in 1998, was a 1934 UK graduate in home economics and a generous supporter of the CHES. Her career included positions with Kentucky Utilities Company and General Electric Company. Distributions of income from the Patricia J. Buster Charitable Foundation are expected to total

\$50,000 annually. The College and the foundation trustee anticipate \$250,000 of the income from the foundation from 1999 through 2003 being matched by the RCTF. The foundation income and the matching RCTF monies will create a \$500,000 Patricia J. Buster Endowment. He moved approval of FCR 18. The motion, seconded by Mr. Williams, carried. (See FCR 18 at the end of the Minutes.)

**BB. Sue C. Badgett Gift (FCR 19)**

Mr. Hardymon said that FCR 19 is asking the Board of Trustees to accept a gift of \$100,394 from Sue C. Badgett of Madisonville, Kentucky, to establish and endow the Sue Badgett Endowment to benefit the Center for Academic and Tutorial Services (CATS) in the University of Kentucky Athletic Association.

Mrs. Badgett is a businesswoman and long-time supporter of UK athletics. Her gift will enhance academic programming and services available to student-athletes. UK's academic and tutoring center for student athletes is considered a model program. On motion made by Mr. Harydmon, seconded by Ms. Plattner and carried. FCR 19 was approved. (See FCR 19 at the end of the Minutes.)

**CC. T. Marshall Hahn, Jr. Pledge of \$250,000 (FCR 20)**

Mr. Hardymon said that FCR 20 is asking the Board of Trustees to accept a pledge of \$250,000 from T. Marshall Hahn, Jr. of Atlanta, Georgia, to establish and endow the T. Marshall Hahn, Jr. Professorship, the T. Marshall Hahn, Sr. Professorship and the T. Marshall Hahn, Jr. Fellowships in the College of Arts and Sciences.

Mr. Hahn, a Lexington native who graduated from UK in 1945 with a bachelor's degree in physics, has distinguished himself in academia and the business world. Mr. Hahn was president of Virginia Polytechnic Institute and State University for 12 years when he moved into the corporate world in 1975. By 1983, he was chief executive officer of Georgia-Pacific Corporation, from which he retired in 1993. A condition of the gift is that the funds be matched by the state's Research Challenge Trust Fund. Mr. Hahn's pledge will be paid in annual \$50,000 payments beginning in 1999.

The gift will provide \$100,000 for each professorship, which will be funded by \$200,000 when matched by the Research Challenge Trust Fund. The professorships can be designated by the dean to any discipline within the College of Arts and Sciences. One of the professorships is named for Mr. Hahn's father, who was a UK physics professor. The gift will provide \$50,000 for the fellowship program, which will be funded by \$100,000 when matched by the Research Challenge Trust Fund. The T. Marshall Hahn, Jr. Fellowships will be awarded at the dean's discretion to graduate students. He moved approval of FCR 20. Mr. Welenken seconded the motion, and it passed. (See FCR 20 at the end of the Minutes.)

Governor Breathitt noted that Mr. Hahn spoke at the University's Commencement several years ago and received an Honorary Degree. He is an outstanding Kentuckian, and it is encouraging that he remembers the University of Kentucky.

DD. Thomas C. and Evelyn W. Finnie Gift (FCR 21)

Mr. Hardymon said that FCR 21 recommends that the Board of Trustees accept a gift of \$60,000 from Thomas C. and Evelyn W. Finnie of Gilbertsville, Kentucky, to establish and endow a graduate fellowship fund in the College of Engineering.

Mr. and Mrs. Finnie are long-time supporters of the College of Engineering. Mr. Finnie has served on the Dean's Advisory Council since 1991. Mr. Finnie, a 1940 graduate in civil engineering, is a retired consulting engineer, having enjoyed a long and successful U.S. Air Force career in geographic information systems and photogrammetry engineering. The gift will support engineering students who are simultaneously pursuing a Bachelor of Science degree in Engineering/Master of Business Administration degree. The gift is contingent on the University's receiving matching funds from the state's Research Challenge Trust Fund. He moved approval of FCR 21. Mr. Guthrie seconded the motion, and it carried. (See FCR 21 at the end of the Minutes.)

EE. United States Surgical Corporation Gift and Pledge (FCR 22)

Mr. Hardymon said that FCR 22 is asking the Board of Trustees to accept a pledge of \$800,000 from United States Surgical Corporation of Norwalk, Connecticut, for program support of the Medical Management Certificate Program in the College of Medicine and create and endow the United States Surgical Professorship in Medical Management in the College of Medicine.

United States Surgical Corporation has pledged \$700,000 for the Medical Management Certificate Program which provides physicians and health care professionals with the basic skills in management and financial strategies necessary to become productive leaders in the health care industry. A condition of the \$100,000 pledge for the endowed professorship in physician leadership training research is that it be matched by the state's Research Challenge Trust Fund. The \$800,000 pledge will be paid before September 2000. He moved approval of FCR 22. The motion, seconded by Mr. Chellgren, passed. (See FCR 22 at the end of the Minutes.)

FF. Warren W. Rosenthal Pledge (FCR 23)

Mr. Hardymon said that Warren W. Rosenthal is one of the university's most generous benefactors. FCR 23 recommends that the Board of Trustees accept a pledge of \$1,000,000 from Warren W. Rosenthal of Lexington, Kentucky, for the William T. Young Library Endowment. He reminded the Board of Mr. Young's commitment to chair a campaign to raise \$5 million for the endowment.

Mr. Rosenthal is a 1947 alumnus of the Gatton College of Business and Economics. He retired in 1989 as chairman of Jerrico, Inc. and owns Patchen Wilkes Farm in Fayette County. Mr. Rosenthal is a member and former chairman of the UK Development Council Board of Directors.

Mr. Rosenthal's gift, which is contingent on matching funds from the state's Research Challenge Trust Fund, will provide funds for the purchase of books and other library research materials in support of the University's goal of becoming a top 20 public research institution. The pledge will be paid in two annual installments of \$500,000. He moved approval of FCR 23. Mr. Chellgren seconded the motion, and it carried. (See FCR 23 at the end of the Minutes.)

Mr. Welenken said that he would like to add his personal thanks to Warren Rosenthal and his wife, Betty for the contribution. Warren and Betty are personal friends and strong supporters of the University of Kentucky. He said that Warren is always there to support the University in times of need, and he is proud to be among his friends. Governor Breathitt agreed that Mr. Rosenthal is an outstanding alumnus and generous supporter of the University.

GG. Richard Wilson Recognized

Governor Breathitt thanked Mr. Guthrie, President of the Journalism Alumni Association, for conducting a very fine evening in which five outstanding journalists were inducted into the Journalism Hall of Fame. He reported that Richard "Dick" Wilson was inducted into the College of Journalism Hall of Fame and received a standing ovation at the dinner which was a testament to his integrity, knowledge, and fairness. He congratulated Mr. Wilson and asked him to stand and be recognized, following which he received a round of applause.

HH. Comments about Student Luncheon

President Wethington expressed appreciation to Nathan Brown for his assistance in arranging a Board luncheon with a wonderful group of students. He said the Board members enjoyed and appreciated the lunch with the great student leadership.

II. Comments about Truman Scholars

President Wethington said that he had been notified that the University has two Harry Truman Scholars this year. He announced that Monica Grant of Anthropology and Anthony Jones of Political Science have been chosen to be Truman Scholars. This is a very prestigious award. It is rare for any university in the nation to have two Truman Scholars in one year. The other institutions this year with two Truman Scholars are: Yale, Harvard, Radcliffe, Columbia, Willamette, West Point, Air Force Academy and the University of Arkansas. These students will receive \$30,000 each for their graduate work. President Wethington said he is very proud of the students at the University of Kentucky.

Mr. Brown noted that Anthony Jones is the first African-American from the University of Kentucky to be chosen a Truman Scholar. The University of Kentucky is making great strides in attracting minority students, faculty and staff. He mentioned the recent kick-off ceremony of the 50<sup>th</sup> Anniversary of African-Americans at the University and commended Mr. Reed for his speech at the ceremony.

Mr. Brown also noted that the first African-American Student Government President, James Glenn, was recently elected.

JJ. Comments about Betsy Brinson

Governor Breathitt called attention to Betsy Brinson, the wife of Dr. Gordon Davies, President of the Council on Postsecondary Education. She is an adjunct professor in the University of Kentucky College of Medicine. She has also accepted a position with the Kentucky Historical Society to do a study of Kentucky women who have been active in the Civil Rights movement throughout history in the State of Kentucky. She is making a contribution to the University of Kentucky and to a very important and overlooked study for the Kentucky Historical Society.

KK. University's National Rankings

Mr. Bates requested that the information distributed to the Board about the University's National Rankings be sent to the Alumni. President Wethington thanked Mr. Bates for his good suggestion and said that the University would publicize the university's successes by distributing the information to the alumni and others.

LL. Cledith Shoop Arrangements

President Wethington called attention to the information distributed to the Board about the death of Cledith Shoop, Mr. Frank Shoop's mother, and expressed his sadness at Mr. Shoop's loss.

MM. Meeting Adjourned

There being no further business to come before the Board, the Chairperson adjourned the meeting at 2:20 p.m.

Respectfully submitted,

Merwin Grayson  
Secretary  
Board of Trustees

(PR 2, 3A, 5A, and 5B; FCR 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, and 23 which follow are official parts of the Minutes of the meeting.)



Office of the President  
April 6, 1999

1. Ground Breaking Ceremonies Held for New Coldstream Laboratory

Governor Paul Patton, Lexington Mayor Pam Miller and several other state and local officials participated with University of Kentucky officials in a groundbreaking ceremony March 25 for a new \$1.2 million, 10,000-square-foot laboratory at Coldstream Research Campus. The governor also presented a \$5.5 million check to the University to develop the infrastructure for some 27 lots at Coldstream. The funds were part of the governor's Surplus Expenditure Plan adopted by the 1998 Kentucky General Assembly.

2. Markey Center Launches Lung Cancer Program

A new multi-disciplinary lung cancer program is combining clinical care, research and education to fight the leading cancer killer in Kentucky. A key part of the Markey Cancer Center program is a clinic that serves as a "one-stop shop" for lung cancer patients, who will be able to see all of their specialists in one place. Researchers also will be developing new lung cancer treatments, focusing on immunotherapy to enhance patients' immune systems.

3. Gatton College Introduces Institute for Family-Owned Business

The Gatton College of Business and Economics is establishing a new institute to help family-owned businesses in Kentucky meet the distinct challenges they face. The Kentucky Family-Owned Business Institute will open in August and is currently recruiting both members and sponsors. Membership will be open to established family-owned businesses, and sponsorship will be open to firms in accounting, banking, business management, employee compensation and benefits, financial planning, insurance and law. The institute is a collaborative effort between the Gatton College, International Business and Management Center, Kentucky Small Business Development Center and the Center for Entrepreneurship.

4. College of Law Breaks Into U.S. News Top Tier Ranking

The College of Law has reached the top tier in the *U.S. News & World Report* ranking of the nation's law schools. The UK college is ranked 50<sup>th</sup> among public and private law schools. The College of Law joins two other UK graduate programs, pharmacy and nursing, in the news magazine's rankings.

5. Findings of Study on Japanese Business Impact Presented

The Japan Studies Program presented the findings of a year-long study on the cultural, economic and environmental impact of Japanese business on Kentucky April 2 and 3 at the Japan in the Bluegrass Conference. The study is the first in-depth look at the impact of Japanese business on a particular state or region. The study brought together the work of researchers from several Kentucky institutions as well as institutions from across the country and Japan. The conference included opening comments by former Japanese Prime Minister Hosokawa Morihiro. His participation was sponsored by Research and Graduate Studies and the Japan/America Society.

6. UK Listed as a 'Best College Buy'

The third edition of "America's 100 Best College Buys -- 2000" lists UK as one of 63 public colleges and universities so honored. The book's entries were selected based on cost and academic quality. Criteria included grade point average of entering freshman and SAT and ACT scores.

7. Sanders-Brown Center on Aging Offers New Health Program for Seniors

The Sanders-Brown Center on Aging and UK Health Care have jointly initiated Senior Health Partners, a new service designed to provide comprehensive, coordinated services to individuals 55 years old and older. Benefits include educational programs, a care coordinator to assist in coordinating referrals and appointments, and access to a clinical pharmacist, social worker and nurse care manager.

8. Tobacco Institute Establishes New Agronomic Program

The Tobacco and Health Research Institute has established the tobacco molecular farming agronomic program to focus on identifying and developing a "new production vehicle plant" -- a variety of tobacco that will be more economical for growing new products. A geneticist will be hired to lead the program, and will work closely with University professors and Agricultural Extension Service personnel.

9. College of Medicine Signs Agreement With Medical School in Ecuador

The College of Medicine has entered an agreement for academic exchange with the Pontifical Catholic University of Ecuador School of Medicine. The exchange agreement will include professors, students and staff members as well as the exchange of publications and research papers. Two UK medical students will visit the four-year-old Ecuadorian school in April.

10. LCC Nursing Graduates Excel at Licensure Exam

Last year's class of nursing graduates from Lexington Community College had a success rate of 95 percent on the National Council Licensure Examination. The national average is 88 percent. Graduates of the LCC nursing program have exceeded the national average for the past ten years. The percentage represents the proportion of graduates who passed the test on their first attempt.

11. Nobel Prize Laureate and Kentucky Native Receives Library Medallion

Phillip A. Sharp, the Falmouth, Kentucky, native whose research in molecular genetics led to the 1993 Nobel Prize in Medicine, received the UK Libraries Medallion for Intellectual Achievement. Sharp changed the field of molecular genetics when he discovered DNA molecules occurring in segments or "splicing," raising the possibility that cancer might be treated by correcting problems in genetic splicing. He is an alumnus of Union College in Barbourville and director of the Center for Cancer Research at the Massachusetts Institute of Technology.

12. Folk Singer Becomes First Inductee to Social Work Hall of Fame

The first graduate of the College of Social Work has become the first member of its Alumni Hall of Fame. Folk singer Jean Ritchie received her degree in 1946. The Viper, Kentucky, native's social work career took her to the Henry Street Settlement in New York City before traveling to England and Scotland as a Fulbright Scholar. While abroad, she cultivated the folk singing she learned from her father and has since performed all around the world. The hall of fame induction kicked off a fund-raising drive to support the proposed Jean Ritchie Chair in Rural Social Work.

### 13. Student Awards and Honors

Chikezie Amadi, received the \$2,000 American Heart Association Student Scholarship in Cerebrovascular Disease.

George H. Argo III, undeclared, Amanda D. Chiles, psychology, Jonathan D. Daugherty, classics, John Eifler, physics, Rebecca D. Greene, French and linguistics, Laura Marschner, classics, Glenn Rudolph, Spanish and international economics, Amy E. Shelton, English, linguistics and Spanish, Kelley Ann Shields, communication and economics, Brittany A. Strobel, anthropology and English, Kristina Talbert, agricultural biotechnology and Russian and Eastern studies, and James J. Varellas III, history, received the \$5,000 Gaines Fellowship.

Adam Breier, Shane Foster and Lori Watson, chemistry, received \$25,000 National Science Foundation graduate fellowships.

Melissa Cunningham and Luke Dalton, Lexington Community College, were named to the Phi Theta Kappa All-Kentucky second team for academics.

Marissa Fugate, marketing and Spanish and international economics, presented this year's Edward T. Breathitt Undergraduate Lectureship.

Monica J. Grant, anthropology, received the \$30,000 Truman Scholarship.

Josh Knipp, business and economics, was elected president of the Southeastern Interfraternity Conference.

Patty Ryan, nursing, received a \$3,000 Oncology Nursing Foundation/Ann Olson Doctoral Scholarship.

Mary Rado Simpson, nursing, won a \$5,000 Oncology Nursing Foundation/Bristol Myers Squibb Oncology Community Health Research Grant for her dissertation, "The Nature of Religion for Women with Breast Cancer in Central Appalachia."

Leslie Williams, medicine, received the \$10,000 Student Research Fellowship from the Endocrinology Society.

Stephan Zech, communication, won the Direct Marketing Educational Foundation Award.

### 14. Significant Faculty and Staff Activities

Eric Anderman, educational and counseling psychology, received the Early Career Award from the American Psychological Association.

Georgia Collins, art, received the National Higher Education Art Educator of the Year Award from the National Art Education Association.

Cortland Eble, Kentucky Geological Survey, joined the editorial board of the *International Journal of Coal Geology*.

Sharon Goins, registrar's office, received the Francis J. Ockerman Award.

Chipper Griffith, internal medicine, won the Southern Society of General Internal Medicine Educator of the Year Award.

Ellen Hahn, Lynne Hall and Mary Kay Rayens, nursing, won the D. Jean Wood Award for Nursing Scholarship from the Southern Nursing Research Society for the research paper, "Kindergarten Children's Knowledge of Alcohol, Tobacco and Other Drugs."

Diana Howard, hematology/oncology, received the American Society of Clinical Oncology Young Investigator Award.

Deborah Kwolek, internal medicine, won the Student Research Award from the Southern Societies of Clinical Investigation/American Federation of Clinical Research for sponsoring the work of medical student Sandhya Venugopal.

Elizabeth Lahm, special education and rehabilitation counseling, received a \$537,517 grant from the U.S. Office of Education to study barriers that hinder students with disabilities.

Quinn Li, Tobacco and Health Research Institute, received a \$50,000 Interlink grant to continue his work on the expression of novel peptide genes in tobacco.

Lois Margaret Nora, medicine, was named an American Council on Education Fellow.

Juanita Betz Peterson, medical center minority affairs, and her late husband Roy were named Community Achievers of the Year by the YMCA Black Achievers.

Robert William Pringle Jr., Patterson School of Diplomacy and International Commerce, received the Central Intelligence Agency Career Intelligence Medal.

Lynda Ransdell, kinesiology and health promotion, was elected the board of directors of the National Association for Girls and Women in Sports.

David Rudy, internal medicine, won the Southern Societies of Clinical Investigation/American Federation of Clinical Research Young Faculty Award.

Michael Sharkey, entomology, received a \$260,000 National Science Foundation grant for his research, "Insect survey of a megadiverse country: Colombia."

Paul Taylor, Lexington Community College, was appointed vice president for enrollment management, admissions and financial aid by the American Association of Collegiate Registrars and Admission Officers.

John Thelin, educational policy studies and evaluation, received the \$95,950 Spencer Foundation Research Award from the U.S. Department of Educational Policy Studies and Evaluation.

David Wise, entomology, received a \$275,000 National Science Foundation grant for his research, "Trophic cascades and interacting control processes in a detritus-based terrestrial food web."

# PR 2

Office of the President  
April 6, 1999

Members, Board of Trustees:

## PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

**CENTRAL ADMINISTRATION**

**I. BOARD ACTION**

**A. CHANGES**

**Vice President for Information Systems**

Hamperian, Kathy B., from Technical Services Director, Computing Services, to Information Management Director, effective 4/1/99.

Marefat, Daryoush, from Communications Systems Director, Communications and Network Systems, to Director of Network Engineering, effective 4/1/99.

Willmott, Karen J., from Desktop Support and Publishing Services Director, Publishing Services, to Information Systems Support Services Director, effective 4/1/99.

**B. LEAVE OF ABSENCE**

**Vice President for Information Systems**

Sackett, Judy, Librarian I, University Libraries, sabbatical, effective 7/1/99 through 12/31/99.

**C. EARLY RETIREMENTS**

**Vice President for University Relations**

Parsons, James R, Development Assistant II, Office of Development, after 29 years, 9 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 4/30/99.

**II. ADMINISTRATIVE ACTION**

**A. ADMINISTRATIVE APPOINTMENTS**

**Vice President for Fiscal Affairs**

Miles, Jennifer, Accounting Manager II, Sponsored Projects Accounting, effective 4/1/99.

Russell, Deborah, Accounting Manager II, General Accounting, effective 4/1/99.

Vice President for Management and Budget

Stewart, Kathy, Executive Director, Coldstream Research Campus,  
effective 4/19/99.

Vice President for Research and Graduate Studies

Williams, Robert M., Geologist IV, Kentucky Geological Survey, effective  
3/1/99.

**B. REAPPOINTMENTS**

Vice President for Information Systems

Carter, Lisa R., Librarian III, University Libraries, Special Collections,  
effective 7/1/99 through 6/30/00.

Hale, Gracie, Librarian III, University Libraries, Equine Center Library,  
effective 7/1/99 through 6/30/00.

Metzmeier, Kurt X., Librarian III, University Libraries, Law Library,  
effective 7/1/99 through 6/30/00.

Osborne, Amy B., Librarian IV, University Libraries, Law Library,  
effective 7/1/99 through 6/30/00.

Parris, Carol J., Librarian III, University Libraries, Law Library, effective  
7/1/99 through 6/30/00.

Perry, Valerie E., Librarian IV, University Libraries, Agriculture Library,  
effective 7/1/99 through 6/30/00.

Spears, Charles Andrew, Librarian III, University Libraries, Integrated  
Learning Technologies, effective 7/1/99 through 6/30/00.

Stone, Steven A., Librarian III, University Libraries, Electronic Support,  
effective 7/1/99 through 6/30/00.

Thompson, Weston T., Librarian III, University Libraries, Special  
Collections, effective 7/1/99 through 6/30/00.

Trainor, Cynthia A., Librarian III, University Libraries, Electronic  
Support, effective 7/1/99 through 6/30/00.

Walker, Veronica, Librarian III, University Libraries, Technical Services,  
effective 7/1/99 through 6/30/00.



Weig, Eric C., Librarian IV, University Libraries, Electronic Support,  
effective 7/1/99 through 6/30/00.

Wood, Olga Dee, Librarian III, University Libraries, Law Library,  
effective 7/1/99 through 6/30/00.

**C. CHANGES**

Vice President for Information Systems

Cheesman, Jayna N., from Technical Project Specialist III, Computing  
Services, to Information Resources Manager, effective 4/1/99.

**LEXINGTON CAMPUS**

**I. BOARD ACTION**

**A. ACADEMIC APPOINTMENT**

**College of Agriculture**

Miller, Robert D., Professor (with tenure), Agronomy, effective 4/12/99.

**B. ADMINISTRATIVE APPOINTMENTS**

**College of Agriculture**

Barrett, Michael, Professor (with tenure), Agronomy, appointed Chair, Agronomy, 3/15/99 through 12/31/04.

**College of Education**

Angelo, Richard, Associate Professor (with tenure), Educational Policy Studies and Evaluation, appointed Chair, Educational Policy Studies and Evaluation, 3/15/99 through 3/14/03.

Berdine, William, Professor (with tenure), Special Education and Rehabilitation Counseling, and Chair, Special Education and Rehabilitation Counseling, reappointed Chair, Special Education and Rehabilitation Counseling, 7/1/99 through 6/30/03.

Rinehart, James, Associate Professor (with tenure), Administration and Supervision, appointed Chair, Administration and Supervision, 5/15/99 through 5/14/03.

**College of Engineering**

Truszczynski, Mirek, Professor (with tenure), Computer Science, and Chair, Computer Science, reappointed Chair, Computer Science, 7/1/99 through 6/30/03.

**C. RETIREMENTS**

**College of Agriculture**

Crisp, Betty Ann, EFNEP Assistant, Cooperative Extension Service, after 26 years and 9 months of consecutive service, under Section III.B of AR II-1.6-1, effective 3/1/99.

Hiatt, Andrew J., Professor (with tenure), Agronomy, and Associate Dean for Administration, after 39 years and 2 months of consecutive service, under Section III.B of AR II-1.6-1, effective 4/15/99.

College of Arts and Sciences

Coleman, Donald B., Professor (with tenure), Mathematics, after 33 years of consecutive service, under Section III.B of AR II-1.6-1, effective 7/31/99.

Impey, Michael H., Professor (with tenure), Spanish and Italian, after 30 years and 10 months of consecutive service, under Section III.B of AR II-1.6-1, effective 6/30/99.

Sands, Donald E., Professor (with tenure), Chemistry, after 36 years and 11 months of consecutive service, under Section III.B of AR II-1.6-1, effective 6/30/99.

Wilson, Joseph W., Associate Professor (with tenure), Chemistry, after 35 years and 10 months of consecutive service, under Section III.B of AR II-1.6-1, effective 6/30/99.

College of Business and Economics

Krislov, Joseph, Professor (with tenure), Economics, after 34 years and 10 months of consecutive service, under Section III.B of AR II-1.6-1, effective 5/15/99.

College of Fine Arts

Collins, Georgia C., Professor (with tenure), Art, after 21 years and 7 months of consecutive service, under Section III.B of AR II-1.6-1, effective 1/31/99.

**D. EARLY RETIREMENTS**

College of Agriculture

Plaster, Buren E., Engineer Aide Senior, Biosystems and Agricultural Engineering, after 32 years and 6 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 5/31/99.

Sexton, Bettie S., County Extension Secretary, Field Programs, after 22 years and 11 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 3/1/99.

Thompson, Norma B., Program Assistant, Home Economics Extension, after 28 years and 8 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 9/14/98.

College of Arts and Sciences

Abbott-Jamieson, Susan, Associate Professor (with tenure), Anthropology, after 24 years and 10 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 6/30/99.

**E. EARLY RETIREMENT CHANGE**

College of Arts and Sciences

Leathers, Dorothy A., Staff Support Associate II, History, to change from after 36 years and 8 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 1/4/99, to after 36 years and 11 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 3/26/99.

**F. POST RETIREMENT**

College of Education

Omvig, Clayton, Professor (part-time), Administration and Supervision, 6/10/99 through 8/13/99.

**II. ADMINISTRATIVE ACTION**

**A. ACADEMIC APPOINTMENTS**

College of Agriculture

D'Angelo, Elisa M., Assistant Professor, Agronomy, 5/3/99 through 6/30/00.

College of Social Work

Smith, Don, Assistant Professor (part-time), Social Work, 1/1/99 through 5/15/99.

Lexington Community College

Abner, Heidi, Instructor (voluntary), Natural Sciences and Health Technologies, 3/1/99 through 8/31/99.

Cody, James, Instructor (voluntary), Natural Sciences and Health Technologies, 3/1/99 through 5/31/99.

Eversole, Gerald, Instructor (voluntary), Natural Sciences and Health Technologies, 3/1/99 through 5/31/99.

Kinder, Jackie, Instructor (temporary), Non-Division (Library), 3/3/99 through 6/23/99.

Martin, Carole, Instructor (voluntary), Natural Sciences and Health Technologies, 3/1/99 through 5/31/99.

Martin, Kathy, Instructor (voluntary), Natural Sciences and Health Technologies, 3/1/99 through 5/31/99.

Miller, Amy A., Instructor (voluntary), Natural Sciences and Health Technologies, 3/1/99 through 5/31/99.

Wachter, Kenneth, Instructor (voluntary), Natural Sciences and Health Technologies, 3/1/99 through 5/31/99.

Waters, Michael G., Instructor (voluntary), Natural Sciences and Health Technologies, 3/1/99 through 5/31/99.

Williams, Leanne, Instructor (voluntary), Natural Sciences and Health Technologies, 3/1/99 through 5/31/99.

## **B. JOINT APPOINTMENT**

### College of Engineering

Hickman, Scott R., Associate Professor (without tenure), Murray State University, joint appointment as Assistant Professor, Mechanical Engineering, 8/16/99 through 6/30/00.

## **C. REAPPOINTMENTS**

### College of Agriculture

Anderson, John D., Assistant Extension Professor, Agricultural Economics, 7/1/99 through 6/30/00.

Bastin, Sandra P., Assistant Extension Professor, Home Economics Extension, 7/1/99 through 6/30/00.

Edgens, Jefferson G., Assistant Extension Professor, Forestry, 7/1/99 through 6/30/00.

Falcone, Deane L., Assistant Professor, Agronomy, 7/1/99 through 6/30/00.

Fleming, Ronald A., Assistant Professor, Agricultural Economics, 7/1/99 through 6/30/00.

Gan, Susheng, Assistant Professor, Agronomy, 7/1/99 through 6/30/00.

Ibendahl, Gregory A., Assistant Extension Professor, Agricultural Economics, 7/1/99 through 6/30/00.

Kolka, Randall K., Assistant Professor, Forestry, 7/1/99 through 6/30/00.

Maehr, David S., Assistant Professor, Forestry, 7/1/99 through 6/30/00.

Maynard, Leigh J., Assistant Professor, Agricultural Economics, 7/1/99 through 6/30/00.

Mueller, Thomas G., Assistant Professor, Agronomy, 7/1/99 through 6/30/00.

Perry, Sharyn E., Assistant Professor, Agronomy, 7/1/99 through 6/30/00.

Ragland, Kim, Assistant Extension Professor, Agricultural Communications Services, 7/1/99 through 6/30/00.  
Rhoades, Charles C., Assistant Professor, Forestry, 7/1/99 through 6/30/00.  
Smidt, Mathew F., Assistant Extension Professor, Forestry, 7/1/99 through 6/30/00.  
Stringer, Jeffrey W., Assistant Extension Professor, Forestry, 7/1/99 through 6/30/00.  
Szymanski, Marcella B., Assistant Extension Professor, Forestry, 7/1/99 through 6/30/00.  
Thompson, James A., Assistant Professor, Agronomy, 7/1/99 through 6/30/00.  
Tietyen, Janet Lee, Assistant Extension Professor, Home Economics Extension, 7/1/99 through 6/30/00.  
Vickner, Steven S., Assistant Professor, Agricultural Economics, 7/1/99 through 6/30/00.  
Williams, David W., Assistant Professor, Agronomy, 7/1/99 through 6/30/00.  
Woods, Timothy A., Assistant Extension Professor, Agricultural Economics, 7/1/99 through 6/30/00.  
Zeuli, Kimberly A., Assistant Professor, Agricultural Economics, 7/1/99 through 6/30/00.

#### College of Education

Boggs, Mary, Instructor (part-time), Curriculum and Instruction, 1/1/99 through 5/15/99.  
Leet, Vicki, Instructor (part-time), Curriculum and Instruction, 1/1/99 through 5/15/99.  
Martin, Carol, Instructor (part-time), Curriculum and Instruction, 1/1/99 through 5/15/99.  
Trospen, Ernie, Instructor (part-time), Curriculum and Instruction, 1/1/99 through 5/15/99.  
Walther, Jeffrey, Instructor (part-time), Administration and Supervision, 1/1/99 through 5/15/99.

### **E. LEAVES OF ABSENCE**

#### College of Agriculture

Dawson, Karl A., Professor (with tenure), Animal Sciences, Leave without pay, 5/3/99 through 5/1/00.  
Warner, Richard C., Associate Extension Professor (with tenure), Biosystems and Agricultural Engineering, Sabbatical leave with half salary, 8/1/99 through 7/31/00.

College of Communications and Information Studies

Labunski, Richard, Associate Professor (with tenure), Journalism and Telecommunications, Sabbatical leave with half salary, 7/1/99 through 12/31/99.

**MEDICAL CENTER**

**I. BOARD ACTION**

**A. ACADEMIC APPOINTMENTS**

College of Medicine

Chase, Bradley C., Clinical Assistant Professor, Pediatrics, 4/7/99 through 6/30/99.

**B. ADMINISTRATIVE APPOINTMENTS**

College of Dentistry

Kovarik, Robert E., Associate Professor (with tenure), Oral Health Practice, named Chair, Department of Oral Health Practice, 4/7/99 through 6/30/05.

College of Medicine

Ashburn, John, Senior Medical Physicist, Radiation Medicine, effective 3/14/99.

Campbell, Berry A., Associate Professor\* (with tenure), Obstetrics and Gynecology, named Chair, Department of Obstetrics and Gynecology, 5/1/99 through 4/30/05.

Komanduri, Krishna, Senior Medical Physicist, Radiation Medicine, effective 5/1/99.

University Hospital

Armitstead, John A., Director of Pharmacy, effective 3/29/99.

**C. ADMINISTRATIVE REAPPOINTMENTS**

College of Medicine

Gash, Don M., Professor (with tenure), Anatomy & Neurobiology, named Chair, Department of Anatomy and Neurobiology, 7/1/99 through 6/30/05.

Reed, James C., Professor (with tenure), Diagnostic Radiology, named Chair, Department of Diagnostic Radiology, 7/1/99 through 6/30/05.

Wise, Phyllis M., Professor (with tenure), Physiology, named Chair, Department of Physiology, 7/1/99 through 6/30/05.

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\*Special Title Series



## D. PROMOTIONS

### College of Allied Health Professions

Hicks, Ramona, Assistant Professor, Clinical Sciences, to Associate Professor (with tenure), Clinical Sciences, effective 1/1/99.

Olesinski, Raymond L., Assistant Professor\*, Clinical Sciences, to Associate Professor\* (with tenure), Clinical Sciences, effective 1/1/99.

### College of Medicine

Davis, Robert T., Clinical Assistant Professor, Internal Medicine, to Clinical Associate Professor, Internal Medicine, 7/1/99 through 6/30/00.

MacPherson, Brian R., Associate Professor\* (with tenure), Anatomy & Neurobiology, to Professor\* (with tenure), Anatomy & Neurobiology, effective 7/1/99.

Meek, Robin R., Clinical Assistant Professor, Internal Medicine, to Clinical Associate Professor, Internal Medicine, 7/1/99 through 6/30/00.

Nickerson, Robert B., Assistant Professor\*, Physical Medicine and Rehabilitation, to Associate Professor\* (with tenure), Physical Medicine and Rehabilitation, effective 7/1/99.

Nickl, Nicholas J., Associate Professor\* (with tenure), Internal Medicine, to Professor\* (with tenure), Internal Medicine, effective 7/1/99.

Nora, Lois M., Associate Professor\* (with tenure), Neurology, to Professor\* (with tenure), Neurology, effective 7/1/99.

Park-Sarge, Ok-Kyong, Assistant Professor, Physiology, joint appointment as Assistant Professor, Graduate School, Graduate Center for Toxicology, to Associate Professor (with tenure), Physiology, joint appointment as Assistant Professor, Graduate School, Graduate Center for Toxicology, effective 7/1/99.

Piascik, Michael T., Associate Professor (with tenure), Pharmacology, to Professor (with tenure), Pharmacology, effective 7/1/99.

Sun, Luzhe, Assistant Professor, Pharmacology, to Associate Professor (with tenure), Pharmacology, effective 7/1/99.

## **E. CHANGES**

### College of Allied Health Professions

Beaulieu, Joyce E. from Associate Professor (part time), Health Services, to Associate Research Professor, Health Services, 1/21/99 through 6/30/99.

### College of Medicine

Campbell, Berry A. from Associate Professor (part time), Obstetrics and Gynecology, to Associate Professor\* (with tenure), Obstetrics and Gynecology, effective 5/1/99.

## **F. RETIREMENTS**

### Chancellor's Office

Boewe, Karl-Heinz, Librarian I, Medical Center Library, after 20 years, two months of consecutive service, under AR II-1.6-1 Section III.B, effective 4/30/99.

## **G. EARLY RETIREMENTS**

### College of Dentistry

Reel, Donald C., Associate Professor\* (with tenure), Oral Health Practice, after 16 years, five months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 2/26/99.

Skeeters, T. M., Associate Professor\* (with tenure), Oral Health Practice, after 18 years, seven months of consecutive service and a total of 20 years, five months of service, under AR II-1.6-1 Section III.A.2, effective 2/12/99.

### College of Medicine

Hodges, Virginia, Account Clerk III, Biochemistry, after 24 years, six months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 5/1/99.

Wiggins, Carolyn, Account Clerk II, Psychiatry, after 14 years, 10 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 4/30/99.

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\*Special Title Series

## II. ADMINISTRATIVE ACTION

### A. ACADEMIC APPOINTMENTS

#### College of Allied Health Professions

Angle, Marlane, Assistant Professor (voluntary), Clinical Sciences,  
4/7/99 through 6/30/00.

Freeman, Melanie, Assistant Professor (voluntary), Clinical Sciences,  
4/7/99 through 6/30/00.

Jones, Alan, Assistant Professor (voluntary), Health Services, 4/7/99  
through 6/30/00.

McDowell, Karen, Assistant Professor (voluntary), Clinical Sciences,  
4/7/99 through 6/30/00.

Spencer, Gary G., Assistant Professor (voluntary), Health Services,  
4/7/99 through 6/30/00.

Welte, Deborah, Instructor (voluntary), Clinical Sciences, 4/7/99  
through 6/30/00.

#### College of Dentistry

Danaher, Robert J., Assistant Research Professor, Oral Health Practice,  
joint appointment as Assistant Research Professor, College of  
Medicine, Department of Microbiology and Immunology, 4/7/99  
through 6/30/99.

#### College of Medicine

Arredondo, Franciso, Instructor (voluntary), Obstetrics and  
Gynecology, 4/7/99 through 6/30/00.

Bales, Peter, Assistant Professor (voluntary), Family Practice, 4/7/99  
through 6/30/00.

Banta, Craig A., Assistant Professor (voluntary), Neurology, 4/7/99  
through 6/30/00.

Baquero, Hector Ramiro A., Instructor (voluntary), Family Practice,  
4/7/99 through 6/30/00.

Boliek, William G., Assistant Professor (voluntary), Family Practice,  
4/7/99 through 6/30/00.

Cannon, Gary T., Instructor (voluntary), Family Practice, 4/7/99  
through 6/30/00.

Escobar Koziel, Luis F., Assistant Professor (voluntary), Family  
Practice, 4/7/99 through 6/30/00.

Hylton, Philip D., Assistant Professor (voluntary), Surgery, 4/7/99  
through 6/30/00.

Kendall, Anthony, Assistant Professor (voluntary), Diagnostic Radiology, 4/7/99 through 6/30/00.  
Mahmood, Ijaz, Assistant Professor (voluntary), Internal Medicine, 4/7/99 through 6/30/00.  
Mehta, Sheryl, Instructor (voluntary), Family Practice, 4/7/99 through 6/30/00.  
Montgomery, Richard C., Assistant Professor (voluntary), Surgery, 4/7/99 through 6/30/00.  
Ponce Garcia, Clemente B., Instructor (voluntary), Family Practice, 4/7/99 through 6/30/00.  
Sundaram, Raghu R., Assistant Professor (voluntary), Internal Medicine, 4/7/99 through 6/30/00.  
Sy, Maria E., Assistant Professor (voluntary), Family Practice, 4/7/99 through 6/30/00.  
Trent, Stephen, Assistant Professor (voluntary), Family Practice, 4/7/99 through 6/30/00.  
Tuttle, Fred T., Instructor (voluntary), Family Practice, 4/7/99 through 6/30/00.

College of Nursing

Little, Glennis S., Instructor (voluntary), 4/7/99 through 6/30/00.

College of Pharmacy

Cruse, Robert, Instructor (voluntary), 4/7/99 through 6/30/00.

**B. ADMINISTRATIVE APPOINTMENTS**

College of Medicine

Bowe, Edwin A., Professor\* (with tenure), Anesthesiology, named Acting Chair, Department of Anesthesiology, 6/1/99 through 5/31/00.

**C. ADMINISTRATIVE REAPPOINTMENTS**

College of Medicine

Baker, Robert S., Professor (with tenure), Ophthalmology, joint appointments as Professor (without tenure), Pediatrics, Professor (without tenure), Surgery, and Professor (without tenure), Neurology, named Acting Chair, Department of Ophthalmology, 1/1/99 through 12/31/99.

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\*Special Title Series

#### **D. JOINT APPOINTMENTS**

##### College of Medicine

Morehead, Richard S., Assistant Professor\*, Internal Medicine, joint appointment as Assistant Professor\*, Physical Medicine and Rehabilitation, 4/7/99 through 6/30/99.

#### **E. CHANGES**

##### Chancellor's Office

Stroth, H. I., from Development Assistant II, College of Medicine, Dean's Office, to Associate Development Director for the Medical Center, effective 3/8/99.

##### College of Medicine

Layton, Rebecca, from Instructor, Anesthesiology, 3/3/99 through 6/30/99, to Instructor (temporary), Anesthesiology, 3/3/99 through 6/30/99.

Underwood, William L. from Assistant Professor (voluntary), Pediatrics, joint appointment as Assistant Professor (voluntary), Family Practice, to Assistant Professor (part time), Pediatrics, joint appointment as Assistant Professor (part-time), Family Practice, 4/7/99 through 6/30/99.

# PR 3A

Office of the President  
April 6, 1999

Members, Board of Trustees:

## UNIVERSITY RESEARCH PROFESSORSHIPS

Recommendation: that the Board of Trustees approve the naming of Professors Peter Perry, Department of Mathematics, Daniel A. Potter, Department of Entomology, and Steven Weisenburger, Department of English, as University Research Professors for 1999-2000.

Background: In April 1976, the University Senate recommended to the President the establishment of one-year Research Professorships and that priority be given to the goal of establishing permanent Research Professorships as funds became available. At its meeting in May 1976, the University of Kentucky Research Foundation voted to support one-year Research Professorships to be activated in the Fall of 1977. The first Research Professors were named by the Board of Trustees at its meeting on March 8, 1977.

The purpose of the University Research Professorships is to enhance and encourage scholarly research productivity, provide an opportunity for concentrated research effort for selected faculty members, recognize outstanding research achievement by members of its faculty, emphasize its research function, and to publicize its research accomplishments in order that the research function of the University be appropriately recognized and understood.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

Office of the President  
April 6, 1999

Members, Board of Trustees:

APPOINTMENTS/REAPPOINTMENTS TO UNIVERSITY OF KENTUCKY  
BUSINESS PARTNERSHIP FOUNDATION

Recommendation: that approval be given to the appointment/reappointment of the following as members to the Board of Directors of the University of Kentucky Business Partnership Foundation for four-year terms beginning immediately and extending through the Fall 2002 Board meeting.

Appointments

James J. O'Brien  
Terry Woodward

Reappointments

Jack C. Smith, Jr.  
Martin Welenken

Background: These appointees/reappointees were unanimously elected to the Board of Directors of the University of Kentucky Business Partnership Foundation at their November 18, 1998 meeting. The Articles of Incorporation of the University of Kentucky Business Partnership Foundation, as approved by the Board of Trustees at its meeting on August 25, 1981, require that these appointments/reappointments be approved by the Board of Trustees.

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Action taken:  Approved       Disapproved       Other \_\_\_\_\_

# PR 5B

Office of the President  
April 6, 1999

Members, Board of Trustees:

REAPPOINTMENT TO ADVISORY BOARD  
LEXINGTON COMMUNITY COLLEGE

Recommendation: that approval be given to the reappointment of the following person to the Advisory Board of Lexington Community College effective immediately and to continue for a three-year term effective July 1, 1999 through June 30, 2002.

Reappointment

Mr. William H. Wilson

Background: The establishment of an Advisory Board for Lexington Community College was approved by the University of Kentucky Board of Trustees at the December 13, 1983 meeting. That action called for the President of the University to recommend to the Board of Trustees seven (7) lay members of the Board to serve in an advisory capacity to the President of the College and act as liaison between the community and the College to assist the College in meeting the needs of the area. One elected faculty representative and one elected student representative also serve on the board.

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Action taken:  Approved       Disapproved       Other \_\_\_\_\_



# FCR 1

Office of the President  
April 6, 1999

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE  
UNIVERSITY OF KENTUCKY FOR THE EIGHT MONTHS ENDED  
FEBRUARY 28, 1999

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the eight months ended February 28, 1999.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Health Care Collection Service.

As of February 28, 1999, the University had realized income of \$782,367,000 representing 72% of the 1998-99 estimate of \$1,082,246,000. Expenditures and commitments total \$688,857,000 or 64% of the approved budget of \$1,082,246,000.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

**CONSOLIDATED BALANCE SHEET**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FEBRUARY 28, 1999**  
with comparative totals for February 28, 1998  
(in thousands)

	1998-99					1997-98	
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
<b>ASSETS</b>							
Cash and cash equivalents	\$ 114,102	\$ 73,120	\$ 2,706	\$ 576	\$ 109,648	\$ 300,152	\$ 292,685
Notes, loans, and A/R (less bad debt allowances of \$10,472)	128,424	17,903	22,277	840	12,465	181,909	155,703
Investments	48,607	73,187		292,509	46,191	460,494	366,901
Property, plant, and equipment					1,247,334	1,247,334	1,185,455
Inventories and other	14,768	60				14,828	15,675
<b>Total Assets</b>	<b>\$ 305,901</b>	<b>\$ 164,270</b>	<b>\$ 24,983</b>	<b>\$ 293,925</b>	<b>\$ 1,415,638</b>	<b>\$ 2,204,717</b>	<b>\$ 2,016,419</b>
<b>LIABILITIES AND FUND BALANCES</b>							
<b>Liabilities</b>							
Accounts payable	\$ 25,541	\$ 2,740	\$ 67		\$ 36	\$ 28,384	\$ 30,834
Employee withholdings and deposits		31,873				31,873	29,688
Other liabilities	6,272	2,364		\$ 4,480	3,273	16,389	13,380
Liability for self insurance	48,195					48,195	48,034
Deferred income	7,173	13,808				20,981	20,506
Bonds payable					214,831	214,831	214,793
Capitalized lease obligation					28,797	28,797	35,046
<b>Total Liabilities</b>	<b>87,181</b>	<b>50,785</b>	<b>67</b>	<b>4,480</b>	<b>246,937</b>	<b>389,450</b>	<b>392,281</b>
<b>Interfund Balances</b>	<b>(24,018)</b>	<b>66,024</b>			<b>(42,006)</b>		
<b>Net deferred revenues and appropriated fund balances</b>	<b>99,430</b>	<b>2,486</b>				<b>101,916</b>	<b>75,754</b>
<b>Fund Balances</b>							
<b>Current unrestricted</b>							
Working capital	134,101					134,101	127,474
Future operating purposes	6,929					6,929	7,624
Other	2,278					2,278	2,268
<b>Current restricted</b>		<b>44,975</b>				<b>44,975</b>	<b>46,314</b>
Loan			24,916			24,916	24,721
<b>True endowments</b>				<b>203,600</b>		<b>203,600</b>	<b>127,429</b>
Term endowments				7,100		7,100	9,361
<b>Quasi endowments</b>				<b>78,745</b>		<b>78,745</b>	<b>67,423</b>
<b>Plant</b>							
Retirement of indebtedness					29,421	29,421	30,002
Renewal and replacement					14,492	14,492	31,115
Allocated for designated projects					190,012	190,012	163,158
Net investment in plant					976,782	976,782	911,495
<b>Total Fund Balances</b>	<b>143,308</b>	<b>44,975</b>	<b>24,916</b>	<b>289,445</b>	<b>1,210,707</b>	<b>1,713,351</b>	<b>1,548,384</b>
<b>Total Liabilities and Fund Balances</b>	<b>\$ 305,901</b>	<b>\$ 164,270</b>	<b>\$ 24,983</b>	<b>\$ 293,925</b>	<b>\$ 1,415,638</b>	<b>\$ 2,204,717</b>	<b>\$ 2,016,419</b>

**CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE EIGHT MONTHS ENDED FEBRUARY 28, 1999**  
with comparative totals for February 28, 1998  
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1998-99				1997-98	
	Original Budget	Revised Budget	Realized To Date	%	Realized To Date	%
<b>General Fund</b>						
(1) State appropriations	\$ 286,707	\$ 286,707	\$ 214,738	75	\$ 210,807	75
(2) Student fees	109,429	110,010	104,851	95	96,683	96
(3) Federal appropriations	15,144	15,144	10,066	66	10,492	69
(4) County appropriations	6,735	7,151	3,463	48	3,557	53
(5) Endowment income	902	902	442	49	418	50
(6) Investment income	8,559	8,559	7,467	87	7,943	95
Gifts and grants						
(7) Affiliated corporations	13,746	13,956	6,715	48	5,890	47
(8) Other	59,949	63,718	37,624	59	28,346	50
(9) Sales and services	52,996	53,454	33,052	62	29,351	55
(10) Fund balance	14,985	19,428	19,428	100	17,527	100
(11) Total General Fund	569,152	579,029	437,846	76	411,014	74
(12) Auxiliary Enterprises	32,123	32,123	22,775	71	21,922	65
(13) Restricted Funds	56,917	57,247	31,442	55	32,733	55
(14) Affiliated Corporations	148,402	148,439	98,245	66	100,933	73
<b>Hospital</b>						
(15) Revenues	265,408	265,408	192,059	72	180,108	71
(16) Fund balance						
(17) Total Revenues and Appropriated Fund Balances	<u>\$ 1,072,002</u>	<u>\$ 1,082,246</u>	<u>\$ 782,367</u>	72	<u>\$ 746,710</u>	72

**CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE EIGHT MONTHS ENDED FEBRUARY 28, 1999**  
with comparative totals for February 28, 1998  
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1998-99				1997-98	
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(1) Instruction	\$ 235,175	\$ 229,954	\$ 141,942	62	\$ 137,173	61
(2) Research	147,641	147,631	82,486	56	80,102	61
(3) Public service	141,917	142,892	89,092	62	85,079	61
(4) Academic support	64,696	65,527	41,136	63	38,837	57
(5) Student services	18,993	21,725	14,409	66	15,978	78
(6) Institutional support	37,991	46,641	32,005	69	28,415	67
(7) Student financial aid	39,840	41,904	35,599	85	30,851	84
(8) Operation and maintenance	40,018	40,231	22,080	55	22,938	60
(9) Mandatory transfers (debt service)	18,486	18,486	18,486	100	21,575	100
(10) Hospital	265,232	265,232	167,730	63	169,524	67
(11) Auxiliary enterprises	62,013	62,023	43,892	71	46,340	77
(12) Total Expenditure by Program	<u>\$ 1,072,002</u>	<u>\$ 1,082,246</u>	<u>\$ 688,857</u>	<u>64</u>	<u>\$ 676,812</u>	<u>65</u>

**EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE EIGHT MONTHS ENDED FEBRUARY 28, 1999**  
with comparative totals for February 28, 1998  
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1998-99				1997-98	
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(13) Personnel costs	\$ 608,535	\$ 611,821	\$ 398,779	65	\$ 383,775	65
(14) Operating expenses	401,801	404,662	242,760	60	237,495	65
(15) Mandatory transfers (debt service)	27,516	27,516	23,984	87	26,985	89
(16) Capital outlay	34,150	38,247	23,334	61	28,557	58
(17) Total Expenditure by Category	<u>\$ 1,072,002</u>	<u>\$ 1,082,246</u>	<u>\$ 688,857</u>	<u>64</u>	<u>\$ 676,812</u>	<u>65</u>

**CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE EIGHT MONTHS ENDED FEBRUARY 28, 1999**  
with comparative totals for February 28, 1998  
(in thousands)

	(A)	(B)	(C)
	<u>1998-99</u>		<u>1997-98</u>
<b>(1) Realized Revenues</b>		\$ 762,939	\$ 729,183
<b>Appropriated Fund Balances</b>			
<b>(2) Current Unrestricted Fund</b>	\$ 19,428		17,527
<b>(3) University Hospital</b>			
<b>(4) Total Appropriated Fund Balances</b>		19,428	17,527
<b>(5) Total Revenues and Appropriated Fund Balances</b>		782,367	746,710
<b>(6) Expenditures/Encumbrances</b>	688,857		676,812
<b>(7) Less: Reserve for Encumbrances</b>	(6,022)		(5,221)
<b>(8) Total Expenditures</b>		682,835	671,591
<b>(9) Excess/(Deficit) Restricted Receipts Over Transfers to Revenues</b>		2,384	635
<b>(10) Net Deferred Revenues and Appropriated Fund Balances</b>		\$ 101,916	\$ 75,754

# FCR 2

Office of the President  
April 6, 1999

Members, Board of Trustees:

## PATENT ASSIGNMENT REPORT

Recommendation: that the patent assignment report for the period January 1, 1999 through March 31, 1999 be accepted.

Background: FCR 5, dated March 4, 1997, authorized that all future copyright and patent filings and prosecutions be conducted by the University of Kentucky Research Foundation (UKRF), and that the Vice President for Research and Graduate Studies or his designee be authorized to execute any needed documents to obtain appropriate patent or copyright protection. Quarterly reports on patent and copyright applications are to be submitted to the Finance Committee of the Board.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

PATENT ASSIGNMENT  
QUARTERLY REPORT FOR THE PERIOD JANUARY 1, 1999 THROUGH  
MARCH 31, 1999

The following assignments on behalf of the Board of Trustees to the University of Kentucky Research Foundation have been executed:

1. U.S. Patent Serial Number: (to be assigned), filed (to be filed), titled "PUMP FOR ULTRA-PURE OR HAZARDOUS FLUIDS BASED ON NON-CONTACT SUPERCONDUCTING BEARING". Inventor: Alexandre Terentiev. This invention provides a cryostat that enables superconducting levitation of a magnetized rotor in a room temperature environment. The superconducting stator, consisting of a melt-textured superconductor, is placed in the vacuum jacket of the cryostat. The levitated rotor is thermally separated from the cold stator. The invention has applications in pumps and levitating platforms for clean room application.
2. U.S. Patent Serial Number: (to be assigned), filed (to be filed), titled "EPM TREATMENTS AND FORMULATIONS". Inventors: Thomas Tobin and David Granstrom. This invention provides formulations useful to treat EPM. It also provides methods to treat EPM in a horse in need of such treatment, comprising administering a pharmaceutically effective amount of a triazine-based anti-coccidial. Preferred are methods to treat EPM using clazuril, diclazuril, toltrazuril or letrazuril. The present invention also provides methods to prevent *S. neurona* infection in horses comprising administering a prophylactic amount of a triazine-based anticoccidial. Preferred is a method to prevent *S. neurona* infection by using clazuril, diclazuril, toltrazuril or letrazuril, alone or in combination with other known therapeutics.
3. U.S. Patent Serial Number: (to be assigned), filed (to be filed), titled "FARNESYL PYROPHOSPHATE ANALOGS". Inventors: Hans P. Spielmann, Douglas A. Andres and Kareem A. H. Chehade. This invention provides farnesyl pyrophosphate analogs and their use in substitution for farnesyl pyrophosphate (FPP), a isoprenoid that is involved in a number of cellular processes including cholesterol biosynthesis, glycoprotein biosynthesis, vitamin and cofactor synthesis and protein penylation.
4. U.S. Patent Serial Number: (to be assigned), filed (to be filed), titled "REMOTE MAGNETO-ELASTIC ANALYTE, VISCOSITY AND TEMPERATURE SENSING APPARATUS AND ASSOCIATED METHODS OF SENSING". Inventors: Craig A. Grimes and Plamen G. Stoyanov. An analyte, viscosity, or temperature sensing apparatus for operative arrangement within a time-varying magnetic field, comprising a sensor that may have an outer surface that is chemically, frictionally, or thermally responsive (as the case may be), adhered to a base magnetostrictive element, and a receiver to measure a first and second value for magneto-elastic emission intensity of the sensor taken at,

respectively, a first and second interrogation frequency. A change in mass, if any, or a change in material stiffness of the sensor due to the responsiveness, the viscosity and mass density of a fluid therearound, or the temperature of an environment in which the sensor is placed, can be identified using a ratio of the first and second values. The receiver, alternatively, measures a plurality of successive values for magneto-elastic emission intensity of the sensor taken over an operating range of successive interrogation frequencies to identify a magneto-elastic resonant frequency (or harmonic thereof) value for the sensor. The temperature sensor can have a thermally responsive thin outer layer with a value for coefficient of thermal expansion greater than a coefficient of thermal expansion value for its base. A magnetizable magnetically hard element can be used in proximity to the sensor. act as an ON-OFF switch, or provide a superimposed DC bias magnetic field. Several sensor in an ordered array will provide a "package" of different types of sensing information about one environment. Also included: associated novel methods of sensing an analyte, fluid viscosity or other fluid property, and the temperature of an environment.

5. U.S. Patent Serial Number: (to be assigned), filed (to be filed), titled "WATER-SOLUBLE DERIVATIVES OF CAMPTOTHECIN/HOMOCAMPTOTHECIN". Inventors: Thomas Burke, Ayhan S. Demir, Cihangir Tanyeli, Ashok Chavan, Tie-Lin Wang and Yves Pommier. This invention provides water-soluble derivatives of Camptothecin/homocamptothecin. Camptothecin, a plant alkaloid isolated from trees indigenous to China, is presently under study worldwide in research laboratories and cancer clinics. In lab tests and in clinical trials, camptothecin drugs have aroused considerable interest as a result of their ability to halt the growth of a wide range of human tumors. Camptothecin drugs are thought to inhibit the proliferation of cancer cells by interfering with the breakage/reunion of the enzyme topoisomerase I, a nuclear enzyme implicated in DNA replication and RNA transcription. A camptothecin drug stabilizes and forms a reversible enzyme-camptothecin-DNA ternary complex, designated the cleavage complex. The formation of the cleavage complex specifically prevents the reunion step of the breakage/union cycle of the topoisomerase reaction. Unfortunately, many camptothecin/homocamptothecin analogs/derivatives are water-insoluble. This water insolubility makes administration of the camptothecin drugs difficult. Thus, the water-soluble camptothecin/homocamptothecin drugs of this invention may find use in the treatment of cancer.
6. U.S. Patent Serial Number: (to be assigned), filed (to be filed), titled "THERMOELECTRIC MATERIALS BASED ON INTERCALATED LAYERED METALLIC SYSTEMS". Inventors: Peter C. Eklund, Leonid Grigorian, Keith A. Williams and Gamini U. Sumanasekera. This invention provides a novel thermoelectric material in the form of a unit cell including a first reagent intercalated as a semiconducting layer into a metallic layered host as well as a method for producing the thermoelectric material.



# FCR 3

Office of the President  
April 6, 1999

Members, Board of Trustees:

## ABERCROMBIE FOUNDATION PLEDGE

Recommendation: that the Board of Trustees accept a \$500,000 pledge from the Abercrombie Foundation of Versailles, Kentucky, to establish the Pin Oak Stud Endowed Research Fund in the College of Agriculture's Gluck Equine Research Center in the Department of Veterinary Science.

Background: The pledge is contingent on the University receiving matching funds from the state's Research Challenge Trust Fund (RCTF), which would create a \$1,000,000 fund to enhance innovative research at the Gluck Center. Josephine Abercrombie, a Foundation trustee, requested the research fund carry the name of her horse farm, Pin Oak Stud, in the hope that it will encourage other farms to establish endowments to support equine research. When matched by the RCTF, the foundation's pledge will be paid in five equal annual installments beginning this year.

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Action taken:  Approved       Disapproved       Other \_\_\_\_\_

# FCR 4

Office of the President  
April 6, 1999

Members, Board of Trustees:

ALBERT G. AND LORRAINE N. CLAY ENDOWMENT  
FOR VISITING SCHOLARS

Recommendation: that the Board of Trustees establish and endow the Albert G. and Lorraine N. Clay Endowment for Visiting Scholars in the College of Agriculture's Department of Veterinary Science with \$250,000 in earnings from the Albert G. and Lorraine N. Clay Charitable Lead Annuity Trust.

Background: Albert G. and Lorraine N. Clay of Mount Sterling, Kentucky, established a Charitable Annuity Lead Trust in 1996 to provide support for the Department of Veterinary Science research mission and to facilitate the exchange of scientific personnel between the department and other institutions. The Clays have requested that five years of income from the trust be endowed to allow the original directives of the trust to be carried out in perpetuity. The designation of \$250,000 for an endowment is contingent on the University receiving matching funds from the state's Research Challenge Trust Fund, which would create a \$500,000 endowment.

The Clays have been long-time supporters of the University. Mr. Clay was a member of the UK Board of Trustees for more than 20 years and recently served as chair of the UK Equine Research Foundation Board for 10 years.

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Action taken:

Approved

Disapproved

Other \_\_\_\_\_

# FCR 5

Office of the President

April 6, 1999

Members, Board of Trustees:

## ANN SCOTT AND RALPH E. MASON GIFT

Recommendation: that the Board of Trustees accept a gift of \$50,000 from Ann Scott and Ralph E. Mason of Maysville, Kentucky, to establish and endow the Ann Scott and Ralph E. Mason Visiting Scholars Program in Voice Performance in the College of Fine Arts' School of Music.

Background: Mrs. Mason is a 1944 graduate of the University of Kentucky School of Music. After a successful singing and teaching career, she and her husband returned to their native Maysville and have been generous supporters of the School of Music. This is their third major gift in recent years. The Visiting Scholars Program will fund the visit of vocal artists and master teachers for recitals, master classes and other events related to the School of Music's research and graduate mission. The gift is contingent on matching funds being received from the state's Research Challenge Trust Fund.

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Action taken:

Approved

Disapproved

Other \_\_\_\_\_

# FCR 6

Office of the President  
April 6, 1999

Members, Board of Trustees:

ANONYMOUS GIFT OF \$1,000,000

Recommendation: that the Board of Trustees accept an anonymous gift of \$1,000,000 for the Sigma Alpha Epsilon House Construction Fund.

Background: University of Kentucky alumni members of the Sigma Alpha Epsilon social fraternity have been raising funds for a new fraternity house since 1995. Nearly 500 SAE's have contributed to the project. The house is located on Rose Lane and is scheduled for completion this fall.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

# FCR 7

Office of the President  
April 6, 1999

Members, Board of Trustees:

## ANONYMOUS GIFT TO ESTABLISH AN ENDOWED SCHOLARSHIP FUND

Recommendation: that the Board of Trustees accept a gift of \$125,000 to establish and endow a scholarship fund to benefit undergraduate and/or graduate students at either the University of Kentucky or a community college.

Background: The donor established the fund to provide financial assistance for qualified students to benefit from a college education to include graduate and professional training. The purpose was to enable students to receive an education that otherwise would be denied them due to a lack of financial resources. The criteria for awarding the scholarships will be based on merit, financial need, likelihood of remaining in Kentucky following graduation, and the extent to which the recipients will break the family history of not having attended college. Additional contributions to the fund will be made by the donor annually and by the donor's estate.

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Action taken:

Approved

Disapproved

Other \_\_\_\_\_

# FCR 8

Office of the President  
April 6, 1999

Members, Board of Trustees:

## BROWN & WILLIAMSON TOBACCO COMPANY PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$250,000 from the Brown & Williamson Tobacco Company of Louisville, Kentucky, to establish and endow the Brown & Williamson Research Support Fund in the College of Agriculture's Department of Agronomy.

Background: Brown & Williamson has been a generous supporter of the College of Agriculture for many years. The Research Support Fund will provide funds for the purchase of supplies, equipment and other materials required in agronomy research. The pledge will be paid with \$50,000 annual payments beginning in 1999. A condition of the pledge is that it be matched by the state's Research Challenge Trust Fund.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# FCR 9

Office of the President  
April 6, 1999

Members, Board of Trustees:

## DOROTHY LEATHERS FELLOWSHIP IN HISTORY

Recommendation: that the Board of Trustees accept a gift of \$25,000 from the Department of History faculty and friends of Dorothy Leathers of Lexington, Kentucky, to establish and endow the Dorothy Leathers Graduate Fellowship Fund in the Department of History in the College of Arts and Sciences.

Background: Ms. Leathers is retiring after 37 years as a staff member in the Department of History. Faculty members, history graduates and friends of Ms. Leathers have contributed \$25,000 to honor her for her years of service. The fellowship will be awarded to graduate students in history who have passed the doctoral qualifying examination. The Department of History faculty and friends have requested that the \$25,000 endowment be matched by the state's Research Challenge Trust Fund.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

# FCR 10

Office of the President  
April 6, 1999

Members, Board of Trustees:

## DR. CLAIRE LOUISE CAUDILL PROFESSORSHIP IN FAMILY MEDICINE

Recommendation: that the Board of Trustees accept a pledge of \$75,000 from the W. Paul and Lucille Caudill Little Foundation of Lexington, Kentucky, to establish and endow the Dr. Claire Louise Caudill Professorship in Family Medicine in the College of Medicine.

Background: This will be the second Dr. Claire Louise Caudill Professorship in Family Medicine. The W. Paul and Lucille Caudill Little Foundation created the first professorship, which was approved by the Board of Trustees in 1996. Mrs. Lucille Little is the sister of the late Dr. Caudill. The Foundation has requested that the gift for the professorship be matched by the state's Research Challenge Trust Fund. The pledge will be paid in five annual payments of \$15,000 beginning in 1999.

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Action taken:

Approved

Disapproved

Other \_\_\_\_\_



# FCR 11

Office of the President  
April 6, 1999

Members, Board of Trustees:

## GERALD L. AND JACQUELINE W. NICHOLS GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$41,719 and pledge of \$83,281 totaling \$125,000 from Gerald L. and Jacqueline W. Nichols of Jacksonville, Florida, to establish and endow the Gerald L. Nichols Graduate Fellowships in Civil Engineering in the College of Engineering.

Background: Mr. Nichols is a 1958 graduate in civil engineering. His career has been centered in the railroad industry, having recently retired as vice-chairman of CSX Transportation. Mr. Nichols was inducted into the College's Hall of Distinction and received Civil Engineering's Career Achievement Award in 1996. Mr. Nichols anticipates his gift being matched by the state's Research Challenge Trust Fund. The pledge will be paid before December 2003.

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Action taken:  Approved       Disapproved       Other \_\_\_\_\_

# FCR 12

Office of the President  
April 6, 1999

Members, Board of Trustees:

GORDON L. HYDE, M.D. PROFESSORSHIP IN GENERAL SURGERY RESEARCH

Recommendation: that the Board of Trustees accept a pledge of \$40,000 from Gordon L. Hyde, M.D. of Lexington, Kentucky, and a gift of \$11,000 from Dr. Hyde's colleagues and friends to create and endow the Gordon L. Hyde, M.D. Professorship in General Surgery in the College of Medicine. The Board is also requested to approve the transfer of \$31,830 in an existing lectureship named in Dr. Hyde's honor to the endowed professorship.

Background: A group of University of Kentucky alumni, friends and former residents who trained under Dr. Hyde contributed \$11,000 for the professorship. A condition of the gifts and of Dr. Hyde's pledge is that the funds be matched by the state's Research Challenge Trust Fund (RCTF). The pledge will be paid over a five-year period beginning in 1999. (The \$31,830 to be transferred to the professorship is not eligible for the matching RCTF.)

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Action taken:  Approved       Disapproved       Other \_\_\_\_\_

# FCR 13

Office of the President  
April 6, 1999

Members, Board of Trustees:

## HISTORIC PRESERVATION ADVISORY COMMITTEE GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept gifts of \$24,100 and pledges of \$226,400 from 18 members of the College of Architecture Historic Preservation Advisory Committee to establish and endow professorships and to provide graduate education support for the College of Architecture.

Background: The College of Architecture Historic Preservation Advisory Committee is a 25-member body created in 1998 to assist the college in building its first graduate program, the Master of Historic Preservation. The members include architects, civic and business leaders and preservation professionals from across the state. These gifts and pledges will advance the graduate program and expand opportunities for private and public access to important research and methods in preserving and developing Kentucky's urban and rural landscape.

There are three major donors: Mrs. Edith Bingham of Louisville, Kentucky, has committed \$100,000; James P. Gray, Jr. of Lexington, Kentucky, has committed \$50,000; Linda and Jerry Bruckheimer of Bloomfield, Kentucky, and Los Angeles, California, have committed \$50,000. Fifteen other donors have pledged between \$500 and \$5,000. The total of all gifts and pledges is \$250,500. Pledges will be paid within five years. A condition of the gifts is that the \$250,500 be matched by the state's Research Challenge Trust Fund. The advisory committee and the dean will later recommend the number of professorships to be endowed and the amounts to go into an endowment to support graduate education and research.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# FCR 14

Office of the President  
April 6, 1999

Members, Board of Trustees:

## JAMES F. HARDYMON PLEDGE

Recommendation: that the Board of Trustees accept a pledge and a deferred gift of \$2,000,000 (a \$1,500,000 pledge and a \$500,000 deferred gift) from James F. Hardymon of Lexington, Kentucky, to establish two endowed chairs to be known as the James F. Hardymon Chair in Manufacturing Systems in the Department of Mechanical Engineering and the James F. Hardymon Chair in Networking in the Department of Electrical Engineering or Computer Science and to establish an endowed graduate fellowship program to be known as the James F. Hardymon Graduate Fellowship Program in the University's Bachelor of Science in Engineering and Master of Business Administration five-year dual degree program.

Background: Mr. Hardymon retired in January as chairman and chief executive officer of Textron Corporation of Providence, Rhode Island. He is a member of the University of Kentucky Board of Trustees and holds both a bachelor's and master's degree in civil engineering from the University. He is a native of Maysville, Kentucky. Mr. Hardymon's gift is contingent on receiving \$1,500,000 in matching funds from the state's Research Challenge Trust Fund (RCTF). Each chair and the fellowship program will be funded at \$1,000,000 when matched by the RCTF. His pledge will be paid in three annual installments of \$500,000 beginning in 1999.

The chairs in manufacturing systems and networking will attract nationally and internationally renowned scholar-educators who will enhance the education and research experience of graduate and undergraduate students. The fellowship program will provide five annual graduate fellowships in the amount of \$10,000 each to outstanding students enrolled in the dual BS/MBA program in the College of Engineering and the Carol Martin Gatton College of Business and Economics.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# FCR 15

Office of the President  
April 6, 1999

Members, Board of Trustees:

## JAMES R. AND ELISE W. BOYD GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$75,000 and a pledge of \$25,000 from James R. and Elise W. Boyd of Lexington, Kentucky, to establish and endow the James R. Boyd Professorship in Electrical Engineering in the College of Engineering's Department of Electrical Engineering.

Background: Mr. and Mrs. Boyd are long-time supporters of the College of Engineering. Mr. Boyd is a 1969 graduate in electrical engineering. He is presently Senior Vice President and Group Operating Officer at Ashland Inc. and Chairman of Arch Coal, an Ashland subsidiary. Mr. Boyd serves on the University of Kentucky Development Council, the College of Engineering Dean's Advisory Council and the college's campaign steering committee. The endowed professorship will help advance the graduate program and research in electrical engineering, and it will expand opportunities for undergraduate learning from research and for industry access to contemporary developments in the field. The gift is contingent on the University receiving matching funds from the state's Research Challenge Trust Fund. The pledge of \$25,000 will be paid within three years.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

# FCR 16

Office of the President  
April 6, 1999

Members, Board of Trustees:

## KENNETH E. HOBGOOD GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$10,000 and pledge of \$75,000 from Kenneth E. Hobgood of Raleigh, North Carolina, to establish and endow the Sue Fan Gooding Hobgood Visiting Design Critic Fund in the College of Architecture.

Background: Kenneth E. Hobgood is a 1977 alumnus of the UK College of Architecture. In 1996, he established and endowed a visiting professorship fund in the College of Architecture in memory of his mother, Sue Fan Gooding Hobgood, also a UK alumna. This gift will create and endow the Sue Fan Gooding Hobgood Visiting Design Critic Fund to bring renowned architects and designers to UK to advance design education and research in the College. A condition of the gift is that it be matched by the state's Research Challenge Trust Fund. Mr. Hobgood has requested that the \$10,000 gift he made in December, 1998, be redirected into this new endowment. The pledge will be fulfilled with payments of \$5,000 in 1999, \$15,000 in 2000, \$15,000 in 2001, \$20,000 in 2002, and \$20,000 in 2003.

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Action taken:

Approved

Disapproved

Other \_\_\_\_\_

# FCR 17

Office of the President  
April 6, 1999

Members, Board of Trustees:

## MYRA LEIGH TOBIN CHARITABLE REMAINDER UNITRUST

Recommendation: that the Board of Trustees accept the Myra Leigh Tobin Charitable Remainder Unitrust, an irrevocable Trust between Myra Leigh Tobin of New York, New York, and the University of Kentucky.

Background: The University of Kentucky, acting as "Trustee," received securities from Myra Tobin valued at \$1 million to establish a charitable unitrust. Her gift is undesignated. At the time of her retirement last summer, she was Managing Director of Marsh and McLennan Companies, Inc., an insurance brokerage. She is a 1962 UK graduate and past president of the University of Kentucky National Alumni Association. She is a member of the University of Kentucky Development Council Board of Directors.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

# FCR 18

Office of the President  
April 6, 1999

Members, Board of Trustees:

## PATRICIA J. BUSTER ANNUITY AND FOUNDATION GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept the residue of a gift annuity valued at \$152,392.54 and anticipated income of \$250,000 over five years from a charitable foundation, both established by the late Patricia J. Buster to create and endow a professorship and graduate research grants in the College of Human Environmental Sciences (CHES).

Background: Mrs. Buster, a native Kentuckian who died in Venice, Florida, in 1998, was a 1934 UK graduate in home economics and a generous supporter of the CHES. Her career included positions with Kentucky Utilities Company and General Electric Company. The gift annuity residue of \$152,392.54 will fund the John I. and Patricia J. Buster Professorship, memorializing Mrs. Buster and her husband. The professorship can be in any discipline within the college. A committee appointed by the dean will recommend the professorship holder. This will be the College's first professorship. The College anticipates the \$152,392.54 being matched by the state's Research Challenge Trust Fund (RCTF).

Distributions of income from the Patricia J. Buster Charitable Foundation are expected – at minimum – to total \$50,000 annually. The College and the foundation trustee anticipate \$250,000 of the income from the foundation from 1999 through 2003 being matched by the RCTF. The foundation income and the matching RCTF will create a \$500,000 Patricia J. Buster Endowment that will provide research grants to the CHES graduate students.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_



# FCR 19

Office of the President  
April 6, 1999

Members, Board of Trustees:

## SUE C. BADGETT GIFT

Recommendation: that the Board of Trustees accept a gift of \$100,394 from Sue C. Badgett of Madisonville, Kentucky, to establish and endow the Sue Badgett Endowment to benefit the Center for Academic and Tutorial Services (CATS) in the University of Kentucky Athletic Association.

Background: Mrs. Badgett is a businesswoman and long-time supporter of UK athletics. Her gift will enhance academic programming and services available to student-athletes. UK's academic and tutoring center for student athletes is considered a model program.

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Action taken:

Approved

Disapproved

Other \_\_\_\_\_

# FCR 20

Office of the President  
April 6, 1999

Members, Board of Trustees:

## T. MARSHALL HAHN, JR. PLEDGE OF \$250,000

Recommendation: that the Board of Trustees accept a pledge of \$250,000 from T. Marshall Hahn, Jr. of Atlanta, Georgia, to establish and endow the T. Marshall Hahn, Jr. Professorship, the T. Marshall Hahn, Sr. Professorship and the T. Marshall Hahn, Jr. Fellowships in the College of Arts and Sciences.

Background: Mr. Hahn, a Lexington native who graduated from UK in 1945 with a bachelor's degree in physics, has distinguished himself in academia and the business world. Mr. Hahn was president of Virginia Polytechnic Institute and State University for 12 years when he moved into the corporate world in 1975. By 1983, he was chief executive officer of Georgia-Pacific Corporation, from which he retired in 1993. A condition of the gift is that the funds be matched by the state's Research Challenge Trust Fund. Mr. Hahn's pledge will be paid in annual \$50,000 payments beginning in 1999.

The gift will provide \$100,000 for each professorship, which will be funded by \$200,000 when matched by the Research Challenge Trust Fund. The professorships can be designated by the dean to any discipline within the College of Arts and Sciences. One of the professorships is named for Mr. Hahn's father, who was a UK physics professor. The gift will provide \$50,000 for the fellowship program, which will be funded by \$100,000 when matched by the Research Challenge Trust Fund. The T. Marshall Hahn, Jr. Fellowships will be awarded at the dean's discretion to graduate students in any discipline within the College.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# FCR 21

Office of the President  
April 6, 1999

Members, Board of Trustees:

## THOMAS C. AND EVELYN W. FINNIE GIFT

Recommendation: that the Board of Trustees accept a gift of \$60,000 from Thomas C. and Evelyn W. Finnie of Gilbertsville, Kentucky, to establish and endow a graduate fellowship fund in the College of Engineering.

Background: Mr. and Mrs. Finnie are long-time supporters of the College of Engineering. Mr. Finnie has served on the Dean's Advisory Council since 1991. Mr. Finnie, a 1940 graduate in civil engineering, is a retired consulting engineer, having enjoyed a long and successful U.S. Air Force career in geographic information systems and photogrammetry engineering. The gift will support engineering students who are simultaneously pursuing a Bachelor of Science degree in Engineering/Master of Business Administration degree. The gift is contingent on the University receiving matching funds from the state's Research Challenge Trust Fund.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# FCR 22

Office of the President  
April 6, 1999

Members, Board of Trustees:

## UNITED STATES SURGICAL CORPORATION GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$800,000 from United States Surgical Corporation of Norwalk, Connecticut, for program support of the Medical Management Certificate Program in the College of Medicine and create and endow the United States Surgical Professorship in Medical Management in the College of Medicine.

Background: United States Surgical Corporation has pledged \$700,000 for the Medical Management Certificate Program which provides physicians and health care professionals with the basic skills in management and financial strategies necessary to become productive leaders in the health care industry. A condition of the \$100,000 pledge for the endowed professorship in physician leadership training research is that it be matched by the state's Research Challenge Trust Fund. The \$800,000 pledge will be paid before September 2000.

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Action taken:

Approved

Disapproved

Other \_\_\_\_\_

# FCR 23

Office of the President  
April 6, 1999

Members, Board of Trustees:

## WARREN.W. ROSENTHAL PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$1,000,000 from Warren W. Rosenthal of Lexington, Kentucky, for the William T. Young Library Endowment.

Background: Mr. Rosenthal, a 1947 alumnus of the Gatton College of Business and Economics, is one of the University's most generous benefactors. He retired in 1989 as chairman of Jerrico, Inc. and owns Patchen Wilkes Farm in Fayette County. Mr. Rosenthal is a member and former chairman of the UK Development Council Board of Directors.

Mr. Rosenthal's gift, which is contingent on matching funds from the state's Research Challenge Trust Fund, will provide funds for the purchase of books and other library research materials in support of the University's goal of becoming a top 20 public research institution. The pledge will be paid in two annual installments of \$500,000.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_