

Minutes of the Special Called Meeting of the Executive Committee of the Board of Trustees, University of Kentucky, Friday, July 7, 1978.

The Executive Committee of the Board of Trustees of the University of Kentucky met in the Board Room on the 18th floor of the Patterson Office Tower on the Lexington campus at 3:00 p.m. (Eastern Daylight Time) on Friday, July 7, 1978, pursuant to notice sent to all members on June 27, 1978. Certain items were added to the agenda subsequent to that notice. A waiver of notice regarding these additional items was executed by all of the members of the Executive Committee, a copy of which is appended at the end of the Minutes. The following members answered the call of the roll: Mr. William B. Sturgill, Chairman, Mr. William R. Black, Mr. Albert G. Clay, Mr. George W. Griffin, and Professor Paul G. Sears, Ex-Officio Secretary. Mr. Richard E. Cooper was not present. The University administration was represented by President Otis A. Singletary, Vice Presidents Lewis W. Cochran, Raymond R. Hornback, and Donald B. Clapp; and Mr. John Darsie, Legal Counsel. Representatives of the various news media were also in attendance.

A. Meeting Opened

Mr. Sturgill, Chairman, called the meeting to order at 3:00 p.m. Following the invocation pronounced by Mr. Black, the secretary called the roll and reported a quorum present. The meeting was declared officially open for the conduct of business at 3:03 p.m.

B. Minutes Approved

On motion, duly made, seconded and carried, the reading of the Minutes of the June 6, 1978, meeting of the Executive Committee and the June 20, 1978, Special Called Meeting of the Board of Trustees was dispensed with and the Minutes of the two meetings were approved as published.

At the request of Mr. Sturgill, the secretary read the Minutes of the Telephone Conference Call Meeting of the Executive Committee held on June 30, 1978. On motion by Mr. Griffin, seconded by Mr. Clay, and passed, the Minutes of the June 30, 1978, Telephone Conference Call Meeting were approved as read.

C. Capital Construction Projects (PR 1)

President Singletary recommended that two capital construction projects be approved for transmission to the Council on Higher Education, noting that the estimated costs of the two projects were less than \$100,000 each and, therefore, had not been submitted to the Council earlier. The final cost figure on each project exceeds \$100,000 and now requires Council approval.

On motion by Mr. Clay, seconded by Mr. Black, and passed without discussion, the capital construction projects for the paving of the Blue Lot at Commonwealth Stadium and the Shively Sports Center Addition were approved for transmission to the Council on Higher Education. (See PR 1 at the end of the Minutes.)

D. College of Medicine Budget for 1978-79 (PR 2)

Since the College of Medicine Budget had been discussed and approved in the telephone conference call on June 30, 1978, Mr. Clay moved ratification of the action taken on June 30, 1978, approving the College of Medicine Budget for the fiscal year 1978-79. His motion was seconded by Mr. Griffin and passed without dissent. (See PR 2 at the end of the Minutes.)

E. Agreement Between University of Kentucky Board of Trustees and the Kentucky Medical Services Foundation, Inc. (PR 3)

President Singletary noted that the Executive Committee had received a draft copy of the agreement between the University of Kentucky Board of Trustees and the Kentucky Medical Services Foundation, Inc. which had been approved "in principle" during the telephone conference call on June 30. The final agreement, incorporating the changes discussed in the June 30 call, had been mailed to the Board prior to the July 7 meeting. He then asked for approval of the agreement and authorization for him to sign it for the Board of Trustees.

Since the agreement had been discussed fully in the telephone conference call, on motion by Mr. Black, seconded by Mr. Griffin, and passed, the agreement between the Board of Trustees of the University of Kentucky and the Kentucky Medical Services Foundation, Inc., was approved and President Singletary was authorized to execute the agreement. (See PR 3 at the end of the Minutes.)

F. Supplemental Recommendations of the President (PR 4a)

President Singletary recommended that PR 4a, which contained routine appointments and personnel changes, be approved as a whole. Without discussion on motion by Mr. Black, seconded by Professor Sears, and passed, PR 4a, Supplemental Recommendations of the President, was approved as a whole. (See PR 4a at the end of the Minutes.)

G. Appointment of Medical Staff, University Hospital (PR 4b)

President Singletary reminded the Executive Committee members that under the Bylaws of the Medical Staff, University Hospital, which were approved by the Board at the April 4, 1978, meeting, the Board of Trustees or its Executive Committee must approve appointments to the Medical Staff of the Hospital. He recommended such approval be given to the physicians and dentists listed as a part of PR 4b.

On motion by Mr. Clay, seconded by Mr. Black, and passed, the appointments to the Medical Staff, University Hospital, as recommended in PR 4b, were approved. (See PR 4b at the end of the Minutes.)

H. Budget Revisions for 1978-79 (PR 4c)

Without discussion, on motion by Mr. Black, seconded by Mr. Griffin, and passed, the Budget Revisions for 1978-79 as recommended in PR 4c were authorized and approved. (See PR 4c at the end of the Minutes.)

I. Meeting Adjourned

There being no further business to come before the meeting, on motion duly made, seconded and carried, the meeting was declared adjourned at 3:15 o'clock.

Respectfully submitted,

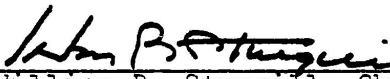
Paul G. Sears, Secretary
Board of Trustees

(Waiver of Notice, PR's 1, 2, 3, 4a, 4b, and 4c which follow are official parts of the Minutes of the Meeting.)

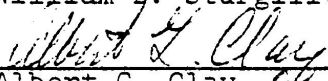
WAIVER OF NOTICE

We, the undersigned, being all the members of the Executive Committee of the Board of Trustees of the University of Kentucky hereby waive any and all requirements relating to notice of items to be considered at the meeting of the Executive Committee at 3:00 p.m. (EDT) on Friday, July 7, 1978, including the requirements of KRS 164.170, and consent to the transaction of business relating to the following items:

Supplemental Recommendations of the President
Capital Construction Projects
Appointment of Medical Staff, University Hospital
1978-79 Budget Revisions



William B. Sturgill, Chairman



Albert G. Clay



William R. Black



George W. Griffin



Richard E. Cooper

Office of the President
July 7, 1978

PR 1

Members, Executive Committee, Board of Trustees:

CAPITAL CONSTRUCTION PROJECTS

Recommendation: that the Board approve capital construction projects for the paving of the Blue Lot at Commonwealth Stadium and the Shively Sports Center Addition for submission to the Council on Higher Education.

Background: The estimated costs for these two projects were less than \$100,000 each and based on their estimates the projects were not submitted for approval by the Council on Higher Education. The final cost figures on both projects exceed \$100,000 and they now require Council approval. Funds were previously budgeted for both projects.

Action taken: Approved X Disapproved Other

Date: July 7 , 1978

Office of the President
July 7, 1978

PR 2

Members, Executive Committee, Board of Trustees:

COLLEGE OF MEDICINE BUDGET FOR 1978-79

Recommendation: that the Board approve the attached 1978-79 budget for the College of Medicine.

Background: The 1978-79 Operating Budget for the University of Kentucky adopted by the Board of Trustees on May 9, 1978 omitted the College of Medicine because of the pending changes in the practice plan. The attached budget reflects the changes in the practice plan which resulted from the adoption on June 20, 1978 of the "Board of Trustees Resolution Regarding Medical Practice Plan." The fundamental change from previous budgets is the omission of practice income which will be handled effective July 1, 1978 by the external corporation rather than the University. This is the same budget approved by the Executive Committee of the Board of Trustees during the conference call of June 30, 1978.

Action taken: Approved Disapproved _____ Other _____

Date: July 7, 1978

College of Medicine

- a. Instruction - The College of Medicine offers a professional degree program which will serve 436 FTE students during 1978-79. In this program instructional emphasis is placed on producing a well rounded high quality physician. Training in the areas of primary care, both locally and at sites remote from the Medical Center, is a significant part of this comprehensive educational program.
- The masters programs offered by the College of Medicine are designed to train research and teaching personnel in various basic science fields. The masters programs in Anatomy, Pharmacology, and Physiology and Biophysics are expected to serve 65 FTE students during 1978-79.
- The doctoral programs in Anatomy, Biochemistry, Pharmacology, and Physiology and Biophysics, are expected to serve 30 FTE students in 1978-79.
- Post-doctoral activities in the College of Medicine will serve 18 FTE students during 1978-79 in the various basic science areas of research specialization.
- College of Medicine courses will also be taken by approximately 80 FTE undergraduate students in other colleges.
- The House Staff program provides training for residents in various medical specialty areas in order to increase their competence for practice in their selected fields. During 1978-79 the program will provide training for 310 medical residents in the following areas of post-professional specialization: Anesthesiology, Diagnostic Radiology, Emergency Medicine, Family Medicine, Internal Medicine and its subspecialties, Neurology, Obstetrics and Gynecology, Ophthalmology, Pathology, Pediatrics, Psychiatry, Child Psychiatry, Radiation Medicine, General Surgery, Cardiothoracic Surgery, Neurosurgery, Orthopedic Surgery, Plastic Surgery, and Urology. The areas of Family Practice, Emergency Medicine, Internal Medicine, Obstetrics-Gynecology, and Pediatrics also provide training of residents for primary care.

College of Medicine

a. Instruction

The College of Medicine provides community education activities through which the most recent information on medical care advances and techniques is disseminated to medical practitioners. The College is expected to serve 6,000 individuals through the community education activities during 1978-79.

b. Research - The College of Medicine conducts research in the clinical, biological, and health sciences. Increased emphasis is being placed on research in aging, cardiovascular-pulmonary disease, cancer, drug abuse, and alcoholism. Additionally, research aimed at achieving more effective means of training qualified teaching and health personnel is being conducted, utilizing such concepts as programmed education and teaching aids. Interdisciplinary research is also being conducted, seeking alternative methods and systems of health care delivery.

General Fund

	<u>1978-79</u>
Personal Services	\$12,490,200
Current Expenses	995,000
Equipment	20,000
Recharges	(2,459,800)
Total Expenditures	<u>\$11,045,400</u>

Restricted Funds

	<u>1977-78</u>		<u>1978-79</u>
Other Personal Services	\$591,000		\$ 821,900
Current Expenses	192,100		307,100
Equipment	209,300		156,000
Total Expenditures	<u>992,400</u>		<u>1,285,000</u>

REVENUE SOURCES
COLLEGE OF MEDICINE
GENERAL FUND OPERATING BUDGET

	<u>1977-78</u>	<u>1978-79</u>	<u>Difference</u>
State Appropriation	\$7,221,000	\$9,083,900	\$1,862,900
Student Fees			
Registration Fees	500,000	500,000	-0-
Rational Behavioral Therapy	165,000	180,000	15,000
Continuing Education	350,000	450,000	100,000
Gifts and Grants			
The Fund for the Advancement of Education and Research in the UK Medical Center	208,200**	208,200	-0-
Kentucky Medical Services, Inc.	-0-	103,100	103,100
Sales and Services			
Departmental Sales and Services	460,300	520,200	59,900
Total Revenue	\$8,904,500***	\$11,045,400	\$2,140,900

**This figure represents the grant for departments and individuals remaining under the existing physicians service plan. An additional \$4,328,600 which was budgeted in the general fund in 1977-78 will be managed, during 1978-79, under the provision of the new geographic full term plan approved by the Board on June 20, 1978.

***Total general fund budget of \$13,284,200 for 1977-78 included an additional \$4,328,600 from professional service fees.

BUDGETED EXPENDITURE DETAIL - MEDICAL CENTER

1978-79

COLLEGE OF MEDICINE	
Dean's Office	\$ 390,190
Anatomy	462,944
Behavioral Science	325,705
Behavioral Therapy	172,487
Biochemistry	426,925
Microbiology and Immunology	241,896
Pharmacology	369,631
Physiology and Biophysics	543,423
Anesthesiology	313,687
Emergency Medicine	125,695
Community Medicine	410,125
Diagnostic Radiology	260,073
Medicine	837,782
Family Practice	408,326
Obstetrics and Gynecology	282,663
Orthopedic Surgery	100,311
Pathology	578,538
Pediatrics	638,790
Primary Care	900,000
Psychiatry	632,046
Radiation Medicine	236,488
Surgery	459,865
Neurology	221,575
Neurosurgery	136,535
Ophthalmology	150,000
Urology	98,215
Center on Aging	109,000
Continuing Education	441,400
House Staff	669,550
Salary Reimbursement	(150,000)
Student Services	251,529
Total College of Medicine	11,045,394*

*The counterpart for the total College of Medicine budget for 1977-78 is \$0,904,500.

Office of the President
July 7, 1978

PR 3

Members, Executive Committee, Board of Trustees:

Approval of Agreement Between University of Kentucky and
Kentucky Medical Services Foundation, Inc.

Recommendation: that the Board approve the attached agreement between the Board of Trustees of the University of Kentucky and Kentucky Medical Services Foundation, Inc. which was negotiated pursuant to the "Board of Trustees Resolution Regarding Medical Practice Plan" adopted on June 20, 1978.

Background: The Board action on June 20, 1978 provided that:

"The President is authorized to negotiate and execute a contract, subject to approval of the Board of Trustees, with an appropriately qualified organizational entity for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under the plan."

Kentucky Medical Services Foundation, Inc. meets the "criteria" specified under Section III A for the appropriately qualified organization. The attached agreement conforms to the provisions of the June 20 Board resolution and specifically satisfied the "conditions" set forth in Section III B. The substance of the attached agreement is the same as the document approved "in principle" by the Executive Committee of the Board of Trustees during the conference call of June 30, 1978 with the exception of the language added in the last sentence of Section 13-A. The contract was approved on June 30, 1978 by the Board of Directors of Kentucky Medical Services Foundation, Inc.

Action taken: Approved X Disapproved _____ Other _____

Date: July 7 , 1978

THIS AGREEMENT made and entered into this _____ day of _____, 1978, by and between the BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY, a statutory body corporate existing pursuant to Section 164.100 et seq of the Kentucky Revised Statutes (hereinafter referred to as the University) and KENTUCKY MEDICAL SERVICES FOUNDATION, INC., a non-stock, non-profit corporation formed pursuant to Chapter 273 of the Kentucky Revised Statutes (hereinafter referred to as the Foundation).

WITNESSETH:

Section 1. RECITALS

A. Foundation is organized for the purposes stated in its Articles of Incorporation as a non-stock, non-profit corporation and will use and apply the whole or any part of its income and principal exclusively for charitable, scientific, or educational purposes at or for the benefit of, the University of Kentucky Medical Center.

B. A substantial number of University's faculty in its College of Medicine have heretofore executed agreements with Foundation, known as "Practice Agreements and Assignments" whereby said faculty have assigned to Foundation their professionally generated clinical income.

C. The recruitment and retention of the members of the medical faculty of the University will be improved by permitting the said members to supplement, by income from the practice of medicine, the salaries provided by the University.

D. The University recognizes that the medical practice activities of its faculty members can be conducted in a more efficient and economical manner if those faculty members who

produce income are permitted, through the medium of the Foundation, to exercise a larger voice in the expenditure of the funds so produced.

E. The University has heretofore established, in addition to its previously adopted Physicians Service Plan, a "geographic full-time medical service plan", the said geographic full-time plan having been adopted on June 20, 1978; said action is hereby incorporated by reference as if set out in full herein.

F. The aforementioned action of University's Board of Trustees authorized the University's President to negotiate and execute a contract, subject to the approval of said Board, with an appropriately qualified entity for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under the plan.

NOW THEREFORE, the parties hereto agree as follows:

Section 2. DEFINITIONS

A. "The Plan" means the geographic full-time medical service plan for the University of Kentucky College of Medicine established by action of the Board of Trustees of said University dated June 20, 1978.

B. "Clinical income" means (i) all payments for professional services rendered to or with respect to patients whether denominated as diagnosis, treatment, evaluation, or consultation and whether said payments be characterized as fees, retainers, or otherwise, and (ii) all payments whether direct or in kind, (excluding actual travel costs) for oversight or administration of, or consultation with, facilities, programs, or other operations providing care, services or evaluation relating to patients, and (iii) witness fees or other payments relating to depositions or other evaluations in the capacity of an expert witness. Provided, however, that nothing contained herein shall be deemed to include in the term "clinical income" any item excluded therefrom by paragraph II, B of the plan.

C. "Practice agreements" means those documents, denominated Practice Agreements and Assignments heretofore executed by certain members of University's faculty, the University, The Fund for Advancement of Education in the University of Kentucky Medical Center and the Foundation.

Section 3. NATURE OF THE AGREEMENT

A. Pursuant to paragraph III, A. of the aforementioned action of University's Board of Trustees dated June 20, 1978, University hereby recognizes Foundation as an "appropriately qualified organizational entity" as described therein for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under University's "geographic full-time medical services plan".

B. The parties recognize that amendments to this agreement may be necessitated in the first year of its operation due to the complexities of certain of the matters contained herein. Such amendments may be made from time to time by mutual consent.

Section 4. BILLING AND COLLECTION

A. Foundation agrees that it will accept, pursuant to practice agreements the assignment of all clinical income by participants in the plan and, in accordance with such assignment, agrees to bill the amount of duly established charges for professional services to parties determined to be responsible for payment of such charges, with the exception of the billing and collection of the professional component of combined charges for institutional (hospital and clinical) and professional services. University hereby agrees to bill and collect said professional component of combined charges in accordance with University policies and procedures and to remit monthly to Foundation the net amount collected which is attributable to the professional component of said combined charges. The determination of the net amount collected which is attributable to professional

components of such charges will be in accordance with the existing basis and method utilized by University for such determination.

B. University, in order to assure proper transition, safeguard University assets, and provide an appropriate period for the relocation of certain of its current personnel hereby agrees to perform the aforementioned billing and collection function for the period beginning July 1, 1978 and ending November 30, 1978 and to remit collections for professional services rendered on or after July 1, 1978 by faculty who have executed practice agreements to Foundation.

C. Provision for the performance of the billing and collection function, including billing and collection of charges for the professional services of faculty who have not executed practice agreements, including those covered by the current PSP and DSP will be the subject of an addendum hereto which will be executed by the parties prior to December 1, 1978.

D. Foundation agrees that it will, through appropriate contractual arrangements, provide for the collection of its delinquent accounts by Health Care Collection Service, Inc., a Kentucky non-profit corporation, under the same terms and conditions as that corporation now collects accounts for the University. Provided, however, that Foundation may require, as a condition precedent to said contractual arrangement, that said corporation amend its Articles of Incorporation and By-Laws to provide that a person designated by Foundation serve as a member of the Board of Directors of said corporation.

Section 5. REIMBURSEMENT OF UNIVERSITY

A. In accord with paragraph II, D. 2 of the plan, the parties recognize that Foundation must reimburse University for actual expenses incurred by it which are attendant to the production of clinical income.

B. Foundation, in order to provide for reimbursement of

University expenses hereunder, agrees that it will cause to be deposited, on a current basis, in a segregated account, an amount equal to sixteen and one-half (16.5%) percent of all monies collected by it which are attributable to clinical income, until said segregated account shall contain the sum of Nine Hundred Twenty-Eight Thousand (\$928,000.00) Dollars.

C. Foundation agrees to pay to University, from said account, as reimbursement for the provision of professional liability insurance, the sum of Two Hundred Twenty-Eight Thousand (\$228,000.00) Dollars in seven (7) equal monthly installments, the first said installment being due and payable on December 31, 1978 and monthly thereafter until June 30, 1979.

D. Foundation agrees to pay to University, from said account, as reimbursement for the remainder of the expenses attendant to the production of clinical income, University's actual annual costs, not to exceed the sum of Seven Hundred Thousand (\$700,000.00) Dollars. Payments hereunder shall be made in seven (7) monthly payments, the first six (6) of said payments, due and payable on December 31, 1978 and monthly thereafter until May 31, 1979 to be in the amount of One Hundred Thousand (\$100,000.00) Dollars and the last of said payments, due on June 30, 1979 to be in an amount, not to exceed One Hundred Thousand (\$100,000.00) Dollars, determined with reference to actual University expenses hereunder for the period beginning on July 1, 1978 and ending June 30, 1979.

E. Foundation and University agree to jointly conduct a cost study during the term of this contract to determine the actual cost to University attendant to the production of clinical income.

F. In addition to the above, Foundation agrees that it will reimburse University for all "plan income", as that term is defined in paragraph II, C., 2 of the plan, paid to faculty by University.

Section 6. ACADEMIC ENRICHMENT FUND

A. Consonant with Section II, D. 3 of the plan adopted by University's Board of Trustees on June 20, 1978, Foundation agrees that it will pay to University, in recognition of any unreimbursed costs of University not specifically provided for in Section 5 hereof, and for the purpose of providing an academic enrichment fund for the College of Medicine to be used by the Dean of said College in support of the programs thereof and for related purposes, an amount equal to nine (9%) percent of the gross clinical income collected by said Foundation. Amounts due hereunder will be computed by Foundation on a monthly basis as income is received and remitted to University within ten (10) days after the close of each monthly accounting period.

B. Foundation further agrees that it will deposit, in a restricted account maintained by Foundation, on the same basis as provided in subsection A. hereinabove, an additional amount equal to one (1%) percent of the gross clinical income collected by said Foundation, said restricted account to be used by the Dean of the College of Medicine for the enrichment of the programs of the College and for related purposes in his sole discretion.

Section 7. PARTICULAR COVENANTS OF THE FOUNDATION

A. Foundation's Articles of Incorporation, as filed with the Secretary of State of the Commonwealth of Kentucky on June 19, 1978, have been inspected by the University as a condition precedent to University's entry into this agreement. Foundation covenants that it will not, absent the written agreement of the University, amend or otherwise alter said Articles or adopt any by-law or other operating practice which would effectively alter the character of said Foundation.

B. Foundation covenants that it will not engage in the practice of medicine, nor will it solicit, administer, receive,

perform or accept any gift, grant, devise, bequest, contract or other arrangement with or from any governmental unit, entity, or subdivision or any person, corporation, partnership, association or other entity whatsoever except as specifically permitted herein. Provided, however, that nothing contained herein shall be construed to prohibit the Foundation (subject to the approval of the Dean of the College of Medicine) from negotiating and executing contracts providing exclusively for professional services by faculty who have executed practice agreements.

C. Foundation covenants that it will not merge with any other corporation or convey any substantial portion of its assets to any other corporation, partnership, or other entity except as specifically provided herein and that, in the event of its dissolution its Board of Directors shall cause its assets to be applied and distributed as follows: (a) all liabilities and obligations of the corporation shall be paid, satisfied and discharged, or adequate provisions shall be made therefor; (b) assets held by the corporation upon a condition which occurs by reason of the dissolution, shall be returned, transferred or conveyed in accordance with such requirements; and (c) all of the remaining assets of the corporation shall be transferred or conveyed to the Board of Trustees of the University of Kentucky, or its successor, to be used for medical education and research, if said University or its successor, shall qualify as a public corporation and an instrumentality of the State of Kentucky or as an exempt organization under Section 501(c)(3) of the Internal Revenue Code of 1954, as amended; and if said University or its successor shall not so qualify, then to some other organization or organizations organized and operated exclusively for charitable, educational, or scientific purposes in the field of medicine as shall at the time qualify as an exempt organization or organizations under Section 501(c)(3) of the Internal Revenue Code of 1954,

as amended.

Section 8. MEMBERSHIP AND BENEFITS

A. University agrees that it will assist the Foundation to enforce the terms of the practice agreements heretofore entered into by members of University's clinical departments of its College of Medicine by requiring adherence to said agreements as a condition of continued employment as a member of University's faculty.

B. University agrees that it will require, as a condition of employment as a member of University's faculty in a clinical department in which a majority of the faculty members have executed practice agreements, that new members of its faculty execute said practice agreement in form identical to said agreements heretofore executed or as the form of same may be modified by mutual agreement of the parties hereto from time to time.

C. Foundation agrees that it will not absent the written consent of University, bill for, collect or administer any item of income for any person or entity not eligible for membership in the "plan", nor will it permit persons not eligible for such membership to serve on its Board of Directors, nor will it provide any benefit or other thing of value to any person or entity not eligible for such membership except for (i) termination benefits to formerly eligible members as provided by action of Foundation's Board of Directors or (ii) incidental benefits indirectly accruing to employees of the Medical Center by virtue of Foundation's activities.

D. Foundation agrees that it will strictly enforce the terms of its practice agreements, report promptly to University in the event any individual fails or refuses to abide thereby and join with University in any appropriate action, in law or equity, necessary to secure compliance therewith.

Section 9. PERSONNEL

The parties recognize that Foundation must employ various individuals to carry out its functions hereunder; however, the parties also recognize, and hereby declare their intention to adhere to, those provisions of the plan adopted by University's Board of Trustees on June 20, 1978, particularly those portions which provide that University must retain responsibility and authority for the appointment of faculty and house staff, the determination of institutional staffing patterns and the maintenance of balance among programs and their component activities. In recognition of University's interest in maintaining the stability of personnel in the various programs in the College of Medicine, the University may retain certain non-faculty personnel on its payroll until a permanent decision is reached concerning personnel to be engaged by Foundation. The costs associated with said personnel shall be borne solely by University.

Accordingly, with respect to employment of personnel by Foundation, the parties agree as follows:

A. Foundation staff

The Foundation may employ an executive director and such assistants, including secretarial and clerical personnel as may be determined by its Board of Directors to be necessary to permit said Foundation to bill and collect professional fee income and to administer its funds in accord with the terms of the geographic full-time plan. Such personnel will not be provided office space at the University Medical Center.

B. Other personnel

During the initial term of this contract Foundation agrees that it will not employ persons to perform services in University's patient care or college programs. Provided, however, that this provision shall not be construed to prohibit specific agreements between the parties whereby University will employ

personnel for such service and/or research duties with reimbursement of salary, fringe benefits and other direct costs by Foundation. Where such personnel are employed for service in a specific department or unit, employment will not occur unless (i) the department or unit where service is to be performed has consented in writing to said appointment, (ii) the Dean of the College of Medicine has approved the employment and the job description related thereto and (iii) funds for the salary, fringe benefits, and other costs related to said employee are either on hand or reasonably anticipated in the departmental or unit budget.

C. Equal opportunity-affirmative action

Foundation agrees that it will not discriminate against any employee or applicant for employment because of race, color, religion, sex, national origin, age or handicap. The Foundation agrees that it will take affirmative action to ensure that applicants are employed and employees are treated during employment, without regard to their race, color, religion, sex, national origin, age or handicap. Such action shall include, but not be limited to the following: employment, upgrading, demotion or transfer, recruitment or recruitment advertising; lay-off or termination; rates of pay or other compensation; and selection for training. The Foundation agrees that it will, in all solicitations or advertisements for employees, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, national origin, age or handicap. The Foundation agrees that it will indemnify and save harmless the University, the Board of Trustees of the University, including the individual members thereof, officers, agents and employees of the University by reason of any action at law or equity or by reason of any administrative proceeding initiated by any person arising out of any such employment action

or practice of Foundation, including the payment of attorney fees and other costs of such action or proceeding.

Section 10. USE OF UNIVERSITY FACILITIES

The parties recognize that paragraphs III, B. 4, b, d, g and i of the "geographic full-time plan" adopted by University's Board of Trustees on June 20, 1978 requires that institutional control be maintained with respect to direction and supervision of institutional activities and programs, assignment and use of space in institutional facilities, determination of goals, objectives and priorities and the maintenance of balance among programs and their component activities.

Accordingly, Foundation and University agree that University will provide Ambulatory Floors 2, 3, 4 and 5 of University Hospital, together with the Ophthalmology Clinic and the Family Practice Clinic (exclusive of faculty offices and classroom space contained therein) and other space officially designated for the conduct of the patient care operation.

With respect to any other facilities which University may from time to time provide to Foundation, Foundation shall be deemed a licensee without any property right whatsoever in said premises or facilities and shall, upon demand of University, vacate same.

Section 11. MEDICAL RECORDS

The parties agree that all medical records relating to the care of patients in University facilities or as a part of University programs, are and shall remain the property of University and that Foundation has no right or property interest therein. Provided, however, that nothing herein shall be construed to limit access to said records by members of University's faculty, staff, and students pursuant to existing University procedures. Provided, further, that University agrees to provide or make available to Foundation such information and data from patient

records and program data maintained by University as may be necessary for Foundation to perform its billing and collection functions herein provided, including such information and data as may be necessary to meet the requirements of third-party or other payors as the basis for payment for services.

Section 12. INSPECTION AND AUDIT

Foundation agrees that, in accord with paragraph III, B., 1. of the action of University's Board of Trustees dated June 20, 1978, its books, record and accounts are open at all reasonable times to inspection and audit by appropriately designated University representatives.

The parties agree that the University's Internal Auditors will conduct an audit of Foundation's operations and accounts for the six months ending December 31, 1978, and such other audits including audits to determine compliance with this agreement, the terms of the action of University's Board of Trustees on June 20, 1978, and the terms of the departmental agreements referred to in Section 17 hereof, as may be specified by University of Kentucky Vice President for Business Affairs and Treasurer, and that Foundation agents and employees will cooperate to the fullest extent possible with University's auditors designated to conduct same. Further, the parties agree that a firm of Certified Public Accountants mutually agreeable to the parties will be employed by the Foundation to perform an independent audit of the Foundation's books, records and accounts for the year ended June 30, 1979.

Foundation agrees that it will furnish to University the following reports:

Annually

Audited Financial Statements, to include:

- . Opinion of independent Certified Public Accountants
- . Balance Sheet
- . Statement of Changes in Fund Balances

- . Statement of Current Revenues and Expenditures
- . Supplementary Schedules

Monthly

Financial Statements, to include:

- . Balance Sheet
- . Statement of Operations
- . Gross Billings
- . Collections
- . Financial Allowances
- . Bad Debts

Aging Trial Balance of Accounts Receivable, by:

- . Patient liability
- . Third party payor

Reports of Delinquent Account Activity, to include:

- . Referrals
- . Collections
- . Close and returns

Section 13. DEFAULT

A. In the event of any failure of Foundation to pay any sum due to University hereunder within thirty (30) days after written notice of such default shall have been mailed to Foundation, or any failure to perform any other of the terms, conditions or covenants of this agreement to be observed or performed by Foundation for more than thirty (30) days after written notice of such default shall have been mailed to Foundation, or if Foundation shall become bankrupt or insolvent, or file any debtor proceedings, or take or have taken against Foundation in any court pursuant to any statute either of the United States or of any State a petition in bankruptcy or insolvency or for reorganization or for the appointment of a receiver or trustee of all or a portion of Foundation's property, or if Foundation makes an assignment for the benefit of creditors, or petitions for or enters into an arrangement therewith, then University may terminate this agreement. Provided however, that should any default occur which cannot reasonably be corrected within such thirty (30) day period then Foundation shall have such additional

time to correct said default as may be reasonably necessary if Foundation promptly initiates, and expeditiously carries out, appropriate action to correct such default.

B. University may at its election terminate this agreement with respect to any department without termination of the entire agreement for the same reasons and in the same manner as provided hereinabove.

Section 14. PROFESSIONAL LIABILITY

Nothing contained herein shall be construed to provide that any member of University's clinical faculty, house staff or student is acting as an agent of Foundation when providing care to patients in University facilities or as a part of an approved University program. University agrees that, when so acting, said faculty, house staff and students shall be considered agents of said University and that payment of claims or judgments may be made on their behalf from the fund provided pursuant to KRS 164.941, or other University funds or funds provided through any policy or policies of insurance maintained for said purpose.

Section 15. APPLICATION OF INCOME

University agrees that it will not, during the operation of this contract, cause charges for services rendered after July 1, 1978 by members of the faculty who have executed Practice Agreements and Assignments to be deposited in the accounts of the Fund for Advancement of Education and Research in the University of Kentucky pursuant to the provisions of University of Kentucky Administrative Regulation AR II-4.0-1; deposits of such charges attributable to faculty who have not executed said Practice Agreements and Assignments shall continue pursuant to said regulation.

Section 16. MANAGEMENT AND INVESTMENT POLICIES

The parties recognize and agree that the reimbursement of University costs incurred attendant to the production of professional income and the provision of a sum equal to ten (10%)

percent of Foundation's gross income for the purpose of providing an academic enrichment fund for University's College of Medicine constitute the second and third charges, respectively, upon Foundation's gross income, the first charge being Foundation's ordinary and necessary expenses incurred in billing and collecting said income and providing for the central administration of Foundation.

Accordingly, Foundation agrees that it will deposit the funds necessary to meet its obligations for reimbursement of the University and the provision of the aforementioned academic enrichment fund in a separate account as received and that the funds in said account shall be invested only in the following: (1) direct obligations of the United States Treasury; (2) other appropriate securities issued by federal agencies (including the Federal National Mortgage Association, the Export Import Bank, Federal Intermediate Credit Bank, Federal Home Loan Banks, Federal Land Banks); and (3) certificates of deposit collateralized by direct obligations of the federal government, federal agency obligations which qualify for direct investment under this recommendation, general obligations of the Commonwealth of Kentucky or obligations of the University of Kentucky.

Section 17. ADMINISTRATION OF DEPARTMENTAL FUNDS

A. Foundation agrees that it will, after making provision for: (i) the costs of its administration and costs relating to billing and collection, (ii) reimbursement of University expenses pursuant to Section 5 hereof and (iii) the College of Medicine Academic Enrichment Fund pursuant to Section 6 hereof, maintain its accounts and the funds held by it in such a manner that income attributable to the professional clinical activities of each of the following departments or divisions shall not be commingled with any other fund or funds held by said Foundation:

Anesthesiology
Diagnostic Radiology
Emergency Medicine

Family Practice
Medicine
Neurology
OB-GYN
Ophthalmology
Pathology
Pediatrics
Radiation Medicine
Surgery

B. Thereafter, Foundation agrees that it will expend the funds maintained by it in the aforementioned separate accounts only in accord with the departmental or divisional agreement relating to each department or division. Said departmental agreements are recognized as a material portion of this agreement. Therefore the parties agree that University shall retain custody of all funds accruing to the account of departments for which an approved departmental agreement has not been executed. Said departmental or divisional agreements may be amended from time to time, as provided in said agreements, with the approval of the Dean of the College of Medicine.

Section 18. NOTICES

All notices, demands and requests hereunder shall be in writing and shall be given by United States Registered or Certified Mail or by messenger delivery, in the case of University to:

Dean, College of Medicine
MN 140 - University Hospital
University Medical Center
800 Rose Street
Lexington, Kentucky 40506

In the case of Foundation to:

Harbison, Kessinger, Lisle & Bush
400 Bank of Lexington Building
101 East Vine Street
Lexington, Kentucky 40507

Each party may from time to time change its address for purposes of notice by giving to the other party notice of such change of address. Any notice, demand or request given by United States Registered or Certified Mail, as provided herein, shall be deemed

served on the date it is deposited in the United States mails properly addressed and with postage fully prepaid.

Section 19. TERM

The term of this agreement shall begin on July 1, 1978 and end on June 30, 1979.

IN WITNESS WHEREOF, the parties hereto have executed this agreement, pursuant to proper authorization of their respective governing boards, as of the day and year first above written.

BOARD OF TRUSTEES
THE UNIVERSITY OF KENTUCKY

By: _____
President
University of Kentucky

Attest: _____

KENTUCKY MEDICAL SERVICES
FOUNDATION, INC.

By: _____

Attest: _____

PR 4A

Members, Executive Committee, Board of Trustees:

SUPPLEMENTAL RECOMMENDATIONS OF THE PRESIDENT

I. FUND Board Appointment

Recommendation: that approval be given to the reappointment of Mr. Leroy M. Miles as a member of the FUND Board of Directors for an additional two-year term beginning July 1, 1978 and ending June 30, 1980.

Background: The Board of Trustees must approve all appointments to the Board of Directors of The Fund for the Advancement of Education and Research in the University of Kentucky Medical Center. Mr. Miles has been an effective and valuable member of this Board and, in order to continue to receive the benefit of his advice and counsel, his reappointment is requested.

II. Appointments and/or Other Staff Changes

Recommendation: (1) that approval be given to the appointments and/or other changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The following recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate vice president through the President and have his concurrence.

Under the Governing Regulations the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

BOARD ACTION

New Appointments

Angelo, Richard, M., Assistant Professor, Department of Social and Philosophical Studies, College of Education, 8/16/78 through 5/15/80.

- Bader, Peter M., Assistant Professor, Department of Mathematics, College of Arts and Sciences, 8/16/78 through 5/15/79.
- Broudy, Bruce, Clinical Instructor (part-time), Department of Medicine, College of Medicine, 7/1/78 through 6/30/79.
- Brown, Gerald R., Associate Extension Professor*, Department of Horticulture and Landscape Architecture, College of Agriculture, 6/15/78 through 6/30/79.
- Crist, William L., Associate Extension Professor*, (with tenure), Department of Animal Sciences, College of Agriculture, effective 6/7/78.
- Dworkin, James P., Assistant Professor, Department of Special Education, College of Education, 8/16/78 through 5/15/80.
- Erickson, David L., Assistant Professor, Department of Forestry, College of Agriculture, 6/1/78 through 6/30/79.
- Fordyce, Nancy J., Assistant Professor, Department of Mathematics, College of Arts and Sciences, 8/16/78 through 5/15/80.
- Freeman, Gary R., Instructor, Department of Accounting, College of Business and Economics, 8/16/78 through 5/15/79.
- Fu, Yen Tzu, Visiting Assistant Professor, Department of Mathematics, College of Arts and Sciences, 8/16/78 through 5/15/79.
- Guskey, Thomas R., Assistant Professor, Department of Educational Psychology and Counseling, College of Education, and Director of Office of Research and Development, Center for Professional Development, 8/16/78 through 6/30/80.
- Irvin, Ronnie G., Instructor in the Community College System, Southeast Community College, 7/1/78 through 6/30/79.
- Iwamoto, Edgar T., Assistant Professor, Department of Pharmacology, College of Medicine, 7/1/78 through 6/30/79.
- Johnston, Gerald P., Associate Professor, College of Law, 8/16/78 through 5/15/81.
- ** Jones, Michael, Instructor (temporary), Department of Medicine, College of Medicine, 7/1/78 through 6/30/79.
- ** Koch, Michael H., Instructor (temporary), Department of Family Practice, College of Medicine, 7/1/78 through 12/31/78.
- Legan, Sandra J., Assistant Professor, Department of Physiology and Biophysics, College of Medicine, 7/1/78 through 6/30/79.
- Lord, Charles H., Assistant Professor of Theory, School of Music, College of Fine Arts, 8/16/78 through 5/15/79.
- Mahoney, David J., Visiting Assistant Professor, Department of Business Administration, College of Business and Economics, 8/16/78 through 5/15/79.
- Mitchell, Carolyn, Instructor, Department of Allied Health Education and Research, College of Allied Health Professions, and Coordinator, Extramural Learning Placements in Center for Disc Interdisciplinary Education, CAHP, 7/15/78 through 6/30/79.
- Mittleman, Don, Visiting Professor, Department of Computer Science, College of Arts and Sciences, 1/1/79 through 5/15/79.
- Niefeld, Susan B., Assistant Professor, Department of Mathematics, College of Arts and Sciences, 8/16/78 through 5/15/80.
- Polansky, Paul J., Assistant Professor, Department of Mathematics, College of Arts and Sciences, 8/16/78 through 5/15/81.

*Special Title Series

* *Geographic Full-Time Plan

- Robinson, Thomas C., Associate Dean for Academic Affairs, College of Allied Health Professions, effective 7/1/78.
- Sheahan, Sharon L., Assistant Professor, College of Nursing, 7/1/78 through 6/30/79.
- Shepherd, C. Wayne, Visiting Associate Professor, Department of Business Administration, College of Business and Economics, 8/16/78 through 5/15/79.
- **Slack, John D., Instructor, Department of Medicine, College of Medicine, 7/1/78 through 6/30/79.
- Smith, Brent, Assistant Professor, Department of Mathematics, College of Arts and Sciences, 8/16/78 through 5/15/80.
- **Smith, William, Instructor (temporary), Department of Family Practice, College of Medicine, 7/1/78 through 9/30/78.
- Smith, Quinton, Assistant Professor, Department of Philosophy, College of Arts and Sciences, 8/16/78 through 5/15/80.
- Snyder, John C., Assistant Professor, Department of Horticulture and Landscape Architecture, College of Agriculture, 5/1/78 through 6/30/79.
- Speicher, Kirk A., Assistant Professor, Department of Pediatric Dentistry, College of Dentistry, 7/1/78 through 6/30/79.
- Stepp, James W., Visiting Associate Professor, Department of Mathematics, College of Arts and Sciences, 8/15/78 through 5/15/79.
- **Tynan, Daniel R., Assistant Professor, Department of Neurology, College of Medicine, 7/1/78 through 6/30/79.
- Vore, Mary, Assistant Professor, Department of Pharmacology, College of Medicine, 7/1/78 through 6/30/79.

Non-Academic Appointments

- Bray, James T., Extension Program Specialist for 4-H, 4-H Programs, College of Agriculture, effective 5/8/78.
- Daniel, Robert R., Director, Patient Accounts, University Hospital, effective 5/30/78.
- Garvin, Robert T., Senior Scientist, Department of Biochemistry, College of Medicine, 6/15/78 through 6/30/79.
- Hester, Norman C., Assistant State Geologist for Energy, Kentucky Geological Survey, Graduate School, effective 7/1/78.
- Presler, Betty, Project Facilitator for Maternal Child Health Continuing Education Grant, College of Nursing, 6/1/78 through 6/30/79.
- Ramsey, Allan G., Extension Specialist, Department of Agricultural Economics, College of Agriculture, 5/15/78 through 6/30/80.
- Smith, Barbara Genelle, Planning Associate, Office of Academic Planning, effective 7/16/78.
- Turner, Larry W., Extension Specialist for Energy, Department of Agricultural Engineering, College of Agriculture, effective 6/16/78.

Administrative Appointments

- Bean, Kenneth C., Associate Professor in the Community College System, (with tenure), named Division Chairman, Somerset Community College, 7/1/78 through 6/30/81.

**Geographic Full-Time Plan

Boyd, Carl B. Jr., Associate Professor in the Community College System, (with tenure), named Division Chairman, Henderson Community College, 7/1/78 through 6/30/81.

Cornett, Wendell K., Associate Professor in the Community College System, (with tenure), named Division Chairman, Somerset Community College, 7/1/78 through 6/30/81.

Duguid, Hugh Allan, Associate Professor in the Community College System, (with tenure), named Division Chairman, Hopkinsville Community College, 7/1/78 through 6/30/81.

Hanley, Alvin C., Director/Recruiter, Department of Minority/Disadvantaged Recruitment and Retention, Vice President for Minority Affairs, effective 7/1/78.

Hughes, Charles T., Associate Professor in the Community College System, (with tenure), named Division Chairman, Somerset Community College, 7/1/78 through 6/30/81.

Miller, Raymond A., Associate Professor in the Community College System, (with tenure), named Division Chairman, Somerset Community College, 7/1/78 through 6/30/81.

Promotions

Baumann, Robert J., from Assistant Professor, (without tenure), to Associate Professor, (with tenure), Department of Neurology, College of Medicine, effective 7/1/78.

Blake, Jerry W., from Assistant Professor, (without tenure), to Associate Professor, (with tenure), Department of Veterinary Science, College of Agriculture, effective 7/1/78.

Campbell, Rutheford B. Jr., from Associate Professor, (with tenure), to Professor, (with tenure), College of Law, effective 7/1/78.

Chow, Ching K., from Assistant Professor, (without tenure), to Associate Professor, (with tenure), Department of Nutrition and Food Science, College of Home Economics, effective 7/1/78.

Hamrin, Charles E. Jr., from Associate Professor, (with tenure), to Professor, (with tenure), Department of Chemical Engineering, College of Engineering, effective 7/1/78.

Kim, Paul K-H, from Assistant Professor*, (without tenure), to Associate Professor*, (with tenure), Department of Social Work, College of Social Professions, effective 7/1/78.

Kraemer, David L., from Assistant Professor in the Community College System, (without tenure), to Associate Professor in the Community College System, (with tenure), Maysville Community College, effective 7/1/78.

Lacefield, Garry Dale, from Assistant Extension Professor*, (without tenure), to Associate Extension Professor*, (with tenure), Department of Agronomy, College of Agriculture, effective 7/1/78.

MacKellar, Alan D., from Associate Professor, (with tenure), to Professor, (with tenure), Department of Physics and Astronomy, College of Arts and Sciences, effective 7/1/78.

Sathaye, Avinash, from Assistant Professor, (without tenure), to Associate Professor, (with tenure), Department of Mathematics, College of Arts and Sciences, effective 7/1/78.

Schwemm, Robert G., from Assistant Professor, (without tenure), to Associate Professor, (with tenure), College of Law, effective 7/1/78.

*Special Title Series

Swerczek, Thomas W., from Associate Professor, (with tenure), to Professor, (with tenure), Department of Veterinary Science, College of Agriculture, effective 7/1/78.

Tobin, Thomas, from Associate Professor, (with tenure), to Professor, (with tenure), Department of Veterinary Science, College of Agriculture, effective 7/1/78.

Wilson, Constance P., from Associate Professor*, (with tenure), to Professor*, (with tenure), Department of Social Work, College of Social Professions, effective 7/1/78.

Yang, Sung Chul, from Assistant Professor of Political Science, (without tenure), to Associate Professor of Political Science, (with tenure), U.K. Center at Fort Knox, University Extension, effective 7/1/78.

Tenure Granted

Kingston, Richard, Associate Professor*, (with tenure), Department of Allied Health Education and Research, College of Allied Health Professions, effective 7/1/78.

Luce, Edward O., Associate Professor, (with tenure), Department of Surgery, College of Medicine, effective 7/1/78.

Ram, Madhira D., Associate Professor, (with tenure), Department of Surgery, College of Medicine, effective 7/1/78.

Springer, Donald M., Associate Extension Professor of Public Information*, (with tenure), Department of Public Information, College of Agriculture, effective 7/1/78.

Reappointments

Boylan, James, Associate Adjunct Professor (voluntary), College of Pharmacy, 7/1/78 through 6/30/79.

Goldberg, Arthur H., Associate Adjunct Professor (voluntary), College of Pharmacy, 7/1/78 through 6/30/79.

Levine, Seymour D., Adjunct Professor (voluntary), College of Pharmacy, 7/1/78 through 6/30/79.

Mlodzeniec, Arthur R., Associate Adjunct Professor (voluntary), College of Pharmacy, 7/1/78 through 6/30/79.

Starnbach, Howard K., Associate Professor*, (part-time), Department of Orthodontics, College of Dentistry, 7/1/78 through 6/30/79.

Changes

Abadi, Behruz J., from Visiting Instructor, to Assistant Professor, Department of Prosthodontics, College of Dentistry, 7/1/78 through 6/30/79.

Cooper, Thomas M., from Professor, (with tenure), Department of Restorative Dentistry, to Professor, (with tenure), Department of Community Dentistry, College of Dentistry, effective 7/1/78.

Massey, G. Harold, from Associate Professor in the Community College System, (with tenure), and Director to Associate Professor in the Community College System, (with tenure), Madisonville Community College, effective 7/1/78.

*Special Title Series

Shepard, Jon M., from Professor, (with tenure), Department of Sociology, College of Arts and Sciences, to Professor, (with tenure), Department of Business Administration, College of Business and Economics, effective 7/1/78.

Retirements

Lewis, Robert, Custodial Worker, Physical Plant Division, after 27 consecutive years of service, effective 8/1/78.
Ritter, Gretchen, Account Clerk, Controller's Division, after 15 consecutive years of service, effective 9/15/78.
Ross, Roberta C., Stores Supervisor, College of Dentistry, after 15 consecutive years of service, effective 9/8/78.
Wallace, Robert J., Librarian I, Community College System, after 14.5 consecutive years of service, effective 6/30/78.

Early Retirement

Lane, Edna M., Head Resident, Student Affairs, after 13.5 consecutive years of service, effective 5/15/78.
Minton, Jimmie, Agricultural Technician, College of Agriculture, after 23 consecutive years of service, effective 9/30/78.
Wyatt, Charles E., Extension Specialist, College of Agriculture, after 41.5 consecutive years of service, effective 1/31/77.

Post Retirement Appointments

Kenton, Edith M., Records Supervisor, Alumni Association, University Relations, 7/1/78 through 6/30/79.
Sydnor, Katherine, Visiting Scientist (full-time), Tobacco and Health Institute, Graduate School, on a year-to-year basis but not beyond full retirement at age 70 with a waiver of the requirements of Paragraph I.A., II.A. and B., and III.B. of the University's Retirement Plan, 7/1/78 through 6/30/79.
Shackelford, Nevyle, Information Specialist (part-time), Department of Public Information, College of Agriculture, fiscal year 1978-79.
Shah, S. M., Professor (part-time), Department of Mathematics, College of Arts and Sciences, 6/14/78 through 8/10/78.
Talbert, Charles G., Associate Professor, Department of History, College of Arts and Sciences, eight week summer session only.

Correction in Minutes

Kalyanaraman, Venkatarkrishman, Assistant Professor, Department of Civil Engineering, College of Engineering, dates of appointment changed from 7/1/78 through 6/30/79 as approved in June 6 Board Meeting, to 7/1/78 through 6/30/80.

ADMINISTRATIVE ACTION

New Appointments

College of Allied Health Professions

Birke, James A., Clinical Instructor (voluntary), Department of Physical Therapy, 7/1/78 through 6/30/79.

Cox, Bonnie, Clinical Instructor (voluntary), Department of Physical Therapy, 7/1/78 through 6/30/79.
Eggart, John S., Clinical Instructor (voluntary), Department of Physical Therapy, 7/1/78 through 6/30/79.
Graves, Sandra, Visiting Instructor, Department of Allied Health Education and Research, 6/12/78 through 8/11/78.
Miller, J. Mike, Clinical Instructor (voluntary), Department of Physical Therapy, 7/1/78 through 6/30/79.
Murphy, Marta, Visiting Instructor, Department of Allied Health Education and Research, 6/12/78 through 8/11/78.
Nitz, Arthur, Clinical Instructor (voluntary), Department of Physical Therapy, 7/1/78 through 6/30/79.
Paulin, Iona Kaye, Clinical Instructor (voluntary), Department of Physical Therapy, 7/1/78 through 6/30/79.
Pearson, Cheryl, Visiting Instructor, Department of Allied Health Education and Research, 6/12/78 through 8/11/78.
Prentice, Martha Lynn, Clinical Instructor (voluntary), Department of Physical Therapy, 7/1/78 through 6/30/79.
Wagner, Douglas, Visiting Instructor, Department of Allied Health Education and Research, 6/12/78 through 8/11/78.
Westerman, Gloria, Assistant Professor (Adjunct Series), Department of Allied Health Education and Research, 7/1/78 through 6/30/79.

College of Arts and Sciences

Abugattas, Juan A. A., Visiting Assistant Professor, Department of Philosophy, 8/16/78 through 5/15/79.
Bullamore, Henry W., Visiting Assistant Professor, Department of Geography, 8/16/78 through 5/15/79.
Davis, Richard G., Adjunct Assistant Professor* (part-time), Department of Psychology, 8/16/78 through 5/15/80.
Duddy, John A. III, Assistant Professor, Department of Mathematics, 8/16/78 through 5/15/80.
Eng, Erling, Visiting Instructor (part-time), Department of Psychology, 8/16/78 through 12/31/78.
Friberg, Justin C., Visiting Assistant Professor, Department of Geography, 8/16/78 through 5/15/79.
Howard, Don A., Assistant Professor, Department of Philosophy, 8/16/78 through 5/15/79.
Howell, Benita, Visiting Assistant Professor, Department of Anthropology, 8/16/78 through 5/15/79.
Kincaid, James R., Assistant Professor, Department of Chemistry, 8/16/78 through 5/15/80.
Lowery, Carol R., Assistant Professor, Department of Psychology, 8/16/78 through 5/15/79.
Shaffer, Stephen D., Visiting Assistant Professor, Department of Political Science, 8/16/78 through 5/15/79.
Tickamyer, Ann R., Assistant Professor, Department of Sociology, 8/16/78 through 5/15/79.

College of Business and Economics

Lambacher, David, Instructor (part-time), Department of Business Administration, 9/1/78 through 12/31/78.

*Special Title Series

College of Dentistry

Caudill, Jim S., Clinical Instructor (voluntary), Department of Community Dentistry, 5/27/78 through 6/30/80.
Farley, Stephen W., Clinical Instructor (voluntary), Department of Community Dentistry, 5/27/78 through 6/30/80.
Hannahs, Ivan K., Clinical Instructor (voluntary), Department of Community Dentistry, 5/27/78 through 6/30/80.
Johnson, William D., Clinical Instructor (voluntary), Department of Community Dentistry, 5/27/78 through 6/30/80.
Justice, William H., Clinical Instructor (voluntary), Department of Community Dentistry, 5/27/78 through 6/30/79.
Kimmell, Richard G., Instructional Specialist (temporary), Department of Community Dentistry, 7/1/78 through 7/31/78.
Rush, Neil C., Clinical Instructor (voluntary), Department of Community Dentistry, 5/27/78 through 6/30/80.
Sutherland, James B., Clinical Instructor (voluntary), Department of Community Dentistry, 5/27/78 through 6/30/80.
Walmer, Susan L., Clinical Instructor (voluntary), Department of Community Dentistry, 5/27/78 through 6/30/80.
Williams, Loren N., Clinical Instructor (voluntary), Department of Community Dentistry, 5/27/78 through 6/30/80.
Witt, David R., Clinical Instructor (voluntary), Department of Community Dentistry, 5/27/78 through 6/30/80.
Woolum, Kerry L., Clinical Instructor (voluntary), Department of Community Dentistry, 5/27/78 through 6/30/80.

College of Education

Lunney, Gerald H., Adjunct Assistant Professor* (part-time), Department of Higher Education, 9/1/78 through 5/15/80.
Kurz, Joanne, Instructor, Department of Curriculum and Instruction joint with Department of Art, College of Fine Arts, 8/16/78 through 5/15/79.
Ricks, Dottie, Instructor, Department of Health, Physical Education, and Recreation, 8/16/78 through 5/15/79.

College of Fine Arts

Busch, Gudrun, Visiting Professor, School of Music, 1/1/79 through 5/15/79.
Kelley, Zoe A., Instructor of Music Education*, School of Music, 8/16/78 through 5/15/79.

College of Medicine

Hemler, Patricia A., Allied Health Specialist II, Department of Pediatrics, 5/30/78 through 6/30/79.
Lucas, Barbara A., Librarian IV, Medical Center Library, 7/1/78 through 6/30/79.

College of Nursing

Antle, Linda C., Clinical Instructor (voluntary), 7/1/78 through 6/30/79.

*Special Title Series

Wagger, Joan M., Instructor, 8/15/78 through 6/30/79.

College of Pharmacy

Bausch, Gregory J., Clinical Instructor (voluntary), 7/1/78 through 6/30/79.

Jones, Connie H., Clinical Instructor (voluntary), 7/1/78 through 6/30/79.

Lowe, John D. III, Clinical Instructor (voluntary), 7/1/78 through 6/30/79.

Morton, Joseph D., Clinical Instructor (voluntary), 7/1/78 through 6/30/79.

Rogers, Georgia A., Clinical Instructor (voluntary), 6/1/78 through 6/30/79.

Shely, William W., Instructor (part-time), 6/15/78 through 6/30/79.

Stephens, Michael L., Clinical Instructor (voluntary), 7/1/78 through 6/30/79.

College of Social Professions

Ford, Harriet Lowrey, Librarian IV (part-time), Department of Social Work, 7/1/78 through 6/30/79.

Community College System

Ashland Community College

Robinson, Donna M., Instructor in the Community College System, 8/1/78 through 6/30/79.

Jefferson Community College

Kelly, Alan L., Instructor in the Community College System, 8/1/78 through 6/30/79.

Lexington Technical Institute

Beatty, Eunice A., Instructor in the Community College System, 7/1/78 through 6/30/79.

Benson, Judy B., Instructor in the Community College System, 8/1/78 through 6/30/79.

Boyatt, Iva Dean, Instructor in the Community College System, 8/1/78 through 6/30/79.

Conger, Carol S., Instructor in the Community College System, 8/1/78 through 6/30/79.

Crutcher, Michael E., Instructor in the Community College System, 8/1/78 through 6/30/79.

Klarberg, David P., Assistant Professor in the Community College System, 8/1/78 through 6/30/79.

McClure, Mary Jo, Instructor in the Community College System, 8/1/78 through 6/30/79.

Phillips, James W., Instructor in the Community College System, 8/1/78 through 6/30/79.

Williamson, Marvel, Instructor in the Community College System, 8/1/78 through 6/30/79.

Wilson, Floarine A., Instructor in the Community College System, 8/1/78 through 6/30/79.

Madisonville Community College

Hansrote, Joan E., Instructor in the Community College System, 8/1/78 through 6/30/79.

Prestonsburg Community College

Archer, Vicky C., Instructor in the Community College System, 8/1/78 through 6/30/79.

Bottoms, Jenny M., Instructor in the Community College System, 8/1/78 through 6/30/79.

Chaffins, Ronnie, Assistant Professor in the Community College System, 8/1/78 through 6/30/79.

VanHoose, Carolyn S., Instructor in the Community College System, 8/1/78 through 6/30/79.

Somerset Community College

O'Toole, Colleen K., Assistant Professor in the Community College System, 7/1/78 through 6/30/79.

Non-Academic Appointment

Ballard, Sue K., Research Specialist, Department of Entomology, College of Agriculture, effective 5/15/78.

Joint Appointments

Durchholz, Patricia, Director-Special Project for Women, Experiential Education, Undergraduate Studies, named Adjunct Assistant Professor* (part-time), Department of Social and Philosophical Studies, College of Education, 8/16/78 through 5/15/79.

Higgins, Howard, Assistant Professor (part-time), Department of Restorative Dentistry, College of Dentistry, also named Assistant Professor (part-time), Department of Community Dentistry, College of Dentistry, 5/27/78 through 6/30/79.

Waddell, William J., Professor, (with tenure), Department of Pharmacology, University of Louisville, named Adjunct Professor, Graduate Center for Toxicology, Graduate School and Department of Pharmacology, College of Medicine, 7/1/78 through 6/30/79.

Wong, Paul H., Assistant Professor (part-time), Department of Prosthodontics, College of Dentistry, also named Assistant Professor (part-time), Department of Community Dentistry, College of Dentistry, 5/27/78 through 6/30/79.

Administrative Appointments

Pickens, Rupert T., Professor, named Acting Chairman, Department of French Language and Literature, College of Arts and Sciences, 7/1/78 through 12/31/78.

*Special Title Series

Sagan, Edgar, Associate Professor, and Associate Dean, Graduate Studies in Education, Office of the Dean, and Department of Higher Education, College of Education, also named Associate Dean, Graduate School, effective 7/1/78.
Suite, Amy A., Assistant Director, Department of Experiential Education, Undergraduate Studies, effective 6/19/78.
Van Booven, Paul C., Assistant Dean, College of Law, 7/1/78 through 6/30/80.

Reappointments

College of Agriculture

Douglas, Robert H., Assistant Professor, Department of Veterinary Science, 7/1/78 through 6/30/79.

College of Allied Health Professions

Blondell, Julia, Assistant Professor*, Department of Community Health, 7/1/78 through 12/31/78.
Crawford, Anna Mae, Clinical Instructor (voluntary), Department of Allied Health Education and Research, 7/1/78 through 6/30/79.
Gorham, Beth Morgan, Clinical Instructor (voluntary), Department of Medical Technology, 7/1/78 through 6/30/79.
Hayhurst, J. Robert, Assistant Clinical Professor (voluntary), Department of Physical Therapy, 7/1/78 through 6/30/79.
Judge, Sister Eileen, Clinical Instructor (voluntary), Department of Allied Health Education and Research, 7/1/78 through 6/30/79.
Lange, Don, Clinical Instructor (voluntary), Department of Physical Therapy, 7/1/78 through 6/30/79.
Marsh, Harry A., Clinical Instructor (voluntary), Department of Community Health, 7/1/78 through 6/30/79.
Neal, Sam, Clinical Instructor (voluntary), Department of Allied Health Education and Research, 7/1/78 through 6/30/79.
Ragland, Kenneth E., Clinical Instructor (voluntary), Department of Allied Health Education and Research, 7/1/78 through 6/30/79.
Sady, Helen, Assistant Professor*, Department of Allied Health Education and Research, 7/1/78 through 12/31/78.
Schaefer, Carmen C., Clinical Instructor (voluntary), Department of Physical Therapy, 7/1/78 through 6/30/79.
Taulbee, Arnold L., Assistant Clinical Professor (voluntary), Department of Allied Health Education and Research, 7/1/78 through 6/30/79.

College of Architecture

Etlin, Richard A., Assistant Professor, 7/1/78 through 6/30/81.

College of Arts and Sciences

Chapman, James P., Adjunct Assistant Professor*, Department of Classical Languages and Literatures, 8/16/78 through 5/15/79.

*Special Title Series

Crosby, David, Visiting Instructor, Department of Physics and Astronomy, 8/16/78 through 5/15/79.
Saylor, Marshall Lee, Visiting Assistant Professor, Department of Physics and Astronomy, 8/16/78 through 5/15/79.
Wang, Da-Lun, Assistant Professor, Department of Mathematics, 7/1/78 through 6/30/79.

College of Business and Economics

Stambaugh, Clyde T., Instructor, Department of Accounting, 7/1/78 through 6/30/79.

College of Dentistry

Daugherty, William B., Assistant Professor, Department of Orthodontics 7/1/78 through 6/30/79.
Sergent, Robert S., Assistant Professor (part-time), Department of Endodontics, 9/1/78 through 6/30/79.
Stigers, Robert W., Assistant Clinical Professor (voluntary), Department of Restorative Dentistry, joint with Department of Community Dentistry, 7/1/78 through 6/30/79.
Tulloch, Joan F., Assistant Professor, Department of Orthodontics, 7/1/78 through 6/30/79.
Webster, Robert W., Assistant Professor (part-time), Department of Orthodontics, 7/1/78 through 6/30/79.

College of Engineering

Carter, Joseph C., Visiting Associate Professor (part-time), Department of Mechanical Engineering, 7/1/78 through 6/30/79.
Peck, Robert E., Assistant Professor, Department of Mechanical Engineering, 7/1/78 through 6/30/80.
Perry, Kenneth L., Lecturer (part-time), Department of Mechanical Engineering, 7/1/78 through 6/30/79.
Randle, Charlene D., Lecturer (part-time), Department of Mechanical Engineering, 8/16/78 through 5/15/79.
Reddy, Chandrupatla T., Visiting Assistant Professor (temporary), Department of Engineering Mechanics, 7/1/78 through 6/30/79.
Walker, Robert A., Lecturer (part-time), Department of Mechanical Engineering, 8/16/78 through 5/15/79.

College of Fine Arts

Roloff, John S., Assistant Professor, Department of Art, 7/1/78 through 6/30/79.

Libraries

Campbell, Anne G., Visiting Librarian IV (part-time), Special Collections, 7/1/78 through 6/30/79.

College of Medicine

Birenbaum, Georges, Clinical Instructor (part-time), Department of Ophthalmology, 7/1/78 through 6/30/79.

Halpin, John A., Clinical Instructor (part-time), Department of Ophthalmology, 7/1/78 through 6/30/79.
Lee, Tai-Shian, Visiting Assistant Professor, Department of Anesthesiology, 7/1/78 through 12/31/78.
Offutt, William N., Assistant Clinical Professor (part-time), Department of Ophthalmology, 7/1/78 through 6/30/79.

College of Pharmacy

Burleson, Michael A., Clinical Instructor (voluntary), 7/1/78 through 6/30/79.
Cone, Edward J., Assistant Adjunct Professor (voluntary), 7/1/78 through 6/30/79.
Hammond, Emmett K. Jr., Clinical Instructor (voluntary), 7/1/78 through 6/30/79.
Ross, John D., Clinical Instructor (voluntary), 7/1/78 through 6/30/79.

Community College System

Jefferson Community College

Cassaro, Catherine M., Instructor in the Community College System, 7/1/78 through 6/30/79.

Lexington Technical Institute

Carpenter, Gail, Instructor in the Community College System, 7/1/78 through 6/30/79.
Dobbins, Kenneth E., Instructor in the Community College System, 7/1/78 through 6/30/79.
Wells, Donald W., Instructor in the Community College System, 7/1/78 through 6/30/79.

Somerset Community College

Herring, Leola B., Assistant Professor in the Community College System, 7/1/78 through 6/30/79.

Promotions (without tenure)

Johnson, Jeffrey, M., from Instructor in the Community College System, to Assistant Professor in the Community College System, Hazard Community College, 7/1/78 through 6/30/79.
Smith, Steven Baxter, from Instructor in the Community College System, to Assistant Professor in the Community College System, Madisonville Community College, 7/1/78 through 6/30/79.
Storat, Robert, from Instructor (adjunct series), to Assistant Professor (adjunct series), Department of Allied Health Education and Research, College of Allied Health Professions, 7/1/78 through 6/30/79.
Sullivan, Gary L., from Instructor, to Assistant Professor, Department of Business Administration, College of Business and Economics, 5/1/78 through 6/30/79.
Watkins, Frederick E. II, from Instructor in the Community College System, to Assistant Professor in the Community College System, Hazard Community College, 7/1/78 through 6/30/79.

Changes

- Abell, Robert J., from Clinical Instructor (voluntary), to Instructor (part-time), College of Pharmacy, 6/15/78 through 6/30/79.
- Bickel, Frank, from Adjunct Assistant Professor, to Assistant Professor, Department of Curriculum and Instruction, College of Education, 7/1/78 through 6/30/80.
- Dickson, James, from Visiting Assistant Professor, Department of Community Dentistry, to Visiting Assistant Professor, Department of Restorative Dentistry, College of Dentistry, 7/1/78 through 12/31/78.
- Lamkin, Mildred Ann, from Instructor in the Community College System, Ashland Community College, to Instructor in the Community College System, Elizabethtown Community College, 7/1/78 through 6/30/79.
- Rice, Patricia A., from Clinical Instructor (voluntary), to Instructional Specialist, College of Nursing, 6/15/78 through 6/30/79.
- Schroeter, Barbara A., from Clinical Instructor (voluntary), Department of Obstetrics and Gynecology, College of Medicine, to Clinical Instructor (voluntary), College of Nursing, 7/1/78 through 6/30/79.
- Sherer, Dale, from Instructor (part-time), to Instructor (full-time), Department of Clinical Nutrition, College of Allied Health Professions, 7/1/78 through 6/30/79.
- Suruda, Anthony J., from Assistant Professor*, to Assistant Clinical Professor* (part-time), Department of Medicine, College of Medicine, 7/1/78 through 6/30/79.
- Wright, Derek J., from Visiting Assistant Professor, to Assistant Professor (temporary), Department of Computer Science, College of Arts and Sciences, 7/1/78 through 6/30/80.

Terminal Reappointments

- Berge, Phillip, Visiting Assistant Professor, Department of Physics and Astronomy, College of Arts and Sciences, 8/16/78 through 5/15/79.
- Caley, Kathryn, Assistant Professor in the Community College System, Jefferson Community College, 7/1/78 through 6/30/79.
- Fair, Kathryn, Assistant Professor*, Department of Dental Hygiene, College of Allied Health Professions, 7/1/78 through 6/30/79.
- Hershberger, Robert L., Visiting Assistant Professor, Department of Physics and Astronomy, College of Arts and Sciences, 7/1/78 through 6/30/79.
- Love, David W., Assistant Professor*, College of Pharmacy, 7/1/78 through 6/30/79.
- Mayfield, John R., Assistant Professor, Department of History, College of Arts and Sciences, 7/1/78 through 6/30/79.
- Shah, Dhirenda B., Assistant Professor, Department of Pathology, College of Medicine, 4/1/78 through 5/31/79.

*Special Title Series

Leaves of Absence

College of Agriculture

- Ghabrial, S. A., Associate Professor, Department of Plant Pathology, Sabbatical leave with full salary, 8/1/78 through 1/31/79 and Leave without pay, 2/1/79 through 5/30/79.
- Overhults, D. G., Extension Specialist, Department of Agricultural Engineering, Leave without pay, 8/1/78 through 7/31/79 and Sabbatical leave with half salary, 8/1/79 through 7/31/80.
- Sparks, Henry L., County Extension Specialist, Extension Programs, Sabbatical leave with half salary, 1/1/79 through 6/30/79 and Leave without pay, 7/1/79 through 6/30/80.

College of Architecture

- Alcorn, Michael, Assistant Professor, Leave without pay, 7/1/78 through 6/30/79.
- Carpenter, Dennis W., Assistant Professor, Leave without pay, 1/1/79 through 6/30/79.

College of Arts and Sciences

- Bhapkar, V. P., Professor, Department of Statistics, to change Sabbatical leave with half salary, 1/1/78 through 12/31/78, to Sabbatical leave with full salary, 1/1/78 through 6/30/78.
- Coleman, Donald B., Professor, Department of Mathematics, Sabbatical leave with full salary, 1/1/79 through 6/30/79.
- Collins, Michael B., Associate Professor, Department of Anthropology, Sabbatical leave with full salary, 1/1/79 through 6/30/79.
- Crabb, Alfred L., Associate Professor of English Education*, Department of English, Sabbatical leave with full salary, 1/1/79 through 6/30/79.
- Diaz, Julio, Assistant Professor, Department of Mathematics, Leave without pay, 7/1/78 through 6/30/79.
- Gallaher, Art Jr., Professor and Dean of College, Department of Anthropology, Special Assignment, 7/1/78 through 12/31/78.
- McCoy, Donald F. Jr., Associate Professor, Department of Psychology, Sabbatical leave with full salary, 1/1/79 through 6/30/79.

College of Business and Economics

- Cabe, Carl, Professor, Department of Economics, Leave without pay, 7/1/78 through 12/31/78.

College of Education

- Bramble, William, Associate Professor, Department of Educational Psychology and Counseling, and Department of Statistics, College of Arts and Sciences, Leave without pay, 8/15/78 through 8/15/79.
- Mason, Emanuel J., Associate Professor, Department of Educational Psychology and Counseling, Sabbatical leave with half salary, academic year 1978-79.

*Special Title Series

College of Engineering

Land, George Jr., Associate Professor of Engineering Practice*,
Department of Civil Engineering, Sick Leave, 4/25/78 through
9/24/78.

College of Fine Arts

Roloff, John Scott, Assistant Professor, Department of Art,
Leave without pay, academic year 1978-79.

Medical Center Library

O'Neill, Suzanne, Librarian II, Leave without pay, 8/1/78 through
9/30/78.

College of Medicine

Baumann, Robert J., Associate Professor, Department of Neurology,
Special Assignment, 8/1/78 through 7/31/79.

Russell, Robert, Social Work Coordinator, Division of Human
Development, Department of Pediatrics, Special Assignment,
7/1/78 through 6/30/79.

Wrede, Don E., Associate Professor*, Department of Radiation Medicine.
Waiver of one-year service obligation on return from
Sabbatical leave granted, 5/14/78.

College of Nursing

Musacchio, Marilyn, Assistant Professor, Military leave with pay,
6/17/78 through 6/30/78.

Thomas, Frances J., Associate Professor*, Sabbatical leave with
full salary, 1/1/79 through 6/30/79.

Withrow, Cora N., Assistant Professor*, Leave without pay, 7/1/78
through 5/31/79.

College of Social Professions

Kirlin, Betty A., Associate Professor*, Department of Social Work,
Sabbatical leave with full salary, spring semester 1979.

Community College System

Henderson Community College

Watson, Claudia B., Associate Professor in the Community College
System, Leave without pay, 7/1/78 through 6/30/79.

Jefferson Community College

Bonney, Stephen, Associate Professor in the Community College System,
Sabbatical leave with half salary, 7/1/78 through 6/30/79.

Burton, Leslie D., Associate Professor in the Community College
System, Sabbatical leave with full salary, 8/28/78 through
12/31/78.

*Special Title Series

Coleman, Gertrude, Associate Professor in the Community College System, Sabbatical leave with half salary, 7/1/78 through 6/30/79.

Van Oss, Forrest, Associate Professor in the Community College System, Sabbatical leave with half salary, 7/1/78 through 6/30/79.

Prestonsburg Community College

Salmons, Mazola L., Assistant Professor in the Community College System, Leave without pay, 7/1/78 through 6/30/79.

Action: Approved X Disapproved Other

Date: July 7 , 1978.

Office of the President
July 7, 1978

PR4B

Members, Executive Committee, Board of Trustees

APPOINTMENT OF MEDICAL STAFF, UNIVERSITY HOSPITAL

Recommendation: That appointments to the Medical Staff, University Hospital, of the physicians and dentists listed on the attached pages be approved, including the delineation of clinical privileges, effective July 1, 1978 through June 30, 1979.

Background: According to the Bylaws of the Medical Staff, University Hospital, which were approved by the Board of Trustees on April 4, 1978, the Board of Trustees or its Executive Committee, must approve appointments to the Medical Staff, including delineation of clinical privileges. Clinical privileges are delineated according to Class I, Class II, and Class III, as described on the attached pages.

Action: Approved Disapproved _____ Other _____

Date: July 7, 1978.

RECOMMENDATIONS FOR APPOINTMENT TO THE MEDICAL STAFF

1978-79

ANESTHESIOLOGY

<u>Full-time</u>	<u>Class</u>
Bosomworth, Peter P.	III
Debrand, Maria	III
Donham, Robert	III
Plumlee, John E.	III
Tsueda, Kentaro	III
Warren, James E.	III
Winter, Clara L.	III
Wright, Ballard	III
 <u>Part-time</u>	
Bowden, Charles	III
Cramer, John C.	I; Medicine I; Ob. I; Gyn. I; Surgery I; Dentistry III
Zeok, Suzanne Springer	III

MEDICINE

<u>Full-time</u>	<u>Class</u>
Anzures, Antonio S.	I
Anderson, James W.	I
Baehler, Richard W.	III
Banwell, John G.	III
Boldt, David H.	III
Broudy, Bruce C.	III
Bullock, Ward E.	III
Burki, Nausherwan K.	III
Cascio, Frank S.	I
Cole, James S.	III
Coonrod, J. Donald	III
Cooper, James K.	II
Cowen, David L.	II
Curtis, John J.	III
DeSimone, Philip A.	III
Dominic, Jerome A.	II
Flueck, James A.	III
French, Robert E.	I

Recommendations for Appointment to Medical Staff
Page #2

<u>Medicine -- Full-time (continued)</u>	<u>Class</u>
Galla, John H.	III
Giannella, Ralph A.	III
Gockerman, Jon P.	III
Guiglia, Theodore N.	III
Guthrie, Gordon P. Jr.	III
Hanley, Henry G.	III
Huffman, Stanley R.	III
Hutton, John	III
Jones, Michael R.	III
Kirchner, Kent A.	III
Koch, Michael H.	I; Anesth. I; Surgery I; Ob. & Gyn. I
Kotchen, Theodore A.	III
Kuo, Chien-Suu	III
Leichter, Steven B.	III
Lemon, Frank R.	I
Luke, Robert G.	III
McAllister, Russell G.	III
Mandelstam, Paul	III
Mazzoleni, Albert	III
Noble, Robert C.	III
O'Neill, Richard	III
Reddy, Chanduptla P.	III
Rees, Earl D.	III
Riegler, Sandra L.	II
Scott, Samuel	II
Siegel, Robert R.	III
Smith, William E.	I; Anesth. II; Surgery I; Ob. I; Gyn. I
Spremulli, Ellen	III
Surawicz, Borys	III
Vandiviere, H. Mac	I
Vine, Donald L.	III
Walzer, Peter D.	III
Weber, Fredrick L. Jr.	III
Weiler, Philip G.	0
Williams, Robert H.	III
<u>Part-time</u>	
Barron, Michael P.	II
Leavell, Ullin W. Jr.	III
Mersack, Ira Paul	III
Saykaly, Ronald J.	III
Scherl, Eileen	II
Weiss, Jay H.	III

Recommendations for Appointment to Medical Staff
Page #3

Medicine (continued)	<u>Class</u>
<u>Voluntary</u>	
Coyer, Jack R.	III

NEUROLOGY

<u>Full-time</u>	<u>Class</u>
Baumann, Robert J.	III
Clark, David B.	III
Jameson, Harry Douglas	III
Markesbery, William M.	III; Pathology III

OBSTETRICS & GYNECOLOGY

<u>Full-time</u>	<u>Class</u>
Austin, James A.	III
Donaldson, E. S.	III
Duhring, John L.	III
Greene, John W.	III
O'Neill, James E.	III
Van Nagell, John	III
Wilson, Emery A.	III
<u>Part-time</u>	
Jenkins, Van Ransler II	III
<u>Voluntary</u>	
Hicks, Lewis B.	III

OPHTHALMOLOGY

<u>Full-time</u>	<u>Class</u>
Bergsma, Donald R.	III
Kielar, Richard A.	III

Recommendations for Appointment to Medical Staff
Page #4

Ophthalmology (continued)

<u>Part-time</u>	<u>Class</u>
Birenbaum, Georges	III
Garden, John W.	III
Halpin, John A.	III
Sparrow, John C.	III
Stambaugh, James L.	III
Wallace, Gary R.	III
Wood, William J.	III

PATHOLOGY

<u>Full-time</u>	<u>Class</u>
Brusman, Harold P.	II
Golden, Abner	II
Kincaid, Harvey L.	III
Musser, A. Wendell	III
Kokichi, Yoneda	II
O'Connor, William N.	II
Powell, Deborah E.	II
Powell, Ralph D. Jr.	II
Rutherford, Isobel	III
Sniecinski, Irena J.	III

PEDIATRICS

<u>Full-time</u>	<u>Class</u>
Beargie, Robert A.	II
Burke, Joseph A.	II
Campbell, Jacqueline M.	II
Cottrill, Carol M.	III
Cunningham, Douglas M.	III
Desai, Nirmala S.	III
Fischer, Doane	III
Greenwood, Martha	III
Holland, Philip	III
Holland, Nancy	III
Johnson, Gregory L.	III
Kurtz, Michael B.	II
Mabry, Charlton B.	III
Melnik, Evelyn H.	III

Recommendations for Appointment to Medical Staff

Page #5

Pediatrics (continued)

<u>Full-time (continued)</u>	<u>Class</u>
McEwen, Claudia	II
Noonan, Jacqueline A.	III
Walters, Linda R.	III
Wilson, H. David	II

<u>Part-time</u>	
Falace, Peggy B.	III
Gerson, Kenneth L.	III
Wolf, Betty I.	II

PSYCHIATRY

<u>Full-time</u>	<u>Class</u>
Aug, Robert G.	II
Bowers, Frank R.	II
Brightwell, Dennis R.	II
Combs, Gene N. Jr.	II
Husband, Lowell S.	II
Jones, Janet H.	II
Ludwig, Arnold M.	II
Mansky, Peter A.	II
Neill, John R.	II
Maultsby, Maxie C. Jr.	II
Sandifer, Myron G.	II; Medicine I
Storrow, Hugh A.	II
Surawicz, Frida G.	II
Weitzel, William D.	II
Wikler, Abraham	II

<u>Part-time</u>	
Kaak, H. Otto	II

DIAGNOSTIC RADIOLOGY

<u>Full-time</u>	<u>Class</u>
Chuang, Vincent P.	III
Ellis, Guy T. III	III
Fried, Andrew M.	III
Krumpelman, Jerome	III
Larson, Thomas O.	III
Lieber, Arthur	III
Lorman, James G.	II
Mostowycz, Leonidas	III
Pulmano, Calixto M.	III
Rosenbaum, Harold D.	II
Selke, Albert C. Jr.	III

RADIATION MEDICINE

<u>Full-time</u>	<u>Class</u>
Deland, Frank H.	III
Kim, Euishin	III
Maruyama, Yosh	III

<u>Part-time</u>	
Yoneda, Justine	III

SURGERY

<u>Full-time</u>	<u>Class</u>
Belin, Robert P.	III
Bivins, Brack A.	III
Blacker, H. Martin	III
Blevins, Phillip K.	III
Brower, Thomas D.	III
Clawson, D. Kay	III
Dillon, Marcus L. Jr.	III
Ernst, Calvin B.	III
Griffen, Ward O. Jr.	III
Hagihara, Patrick	III
Kavanaugh, John H.	III

Recommendations for Appointment to Medical Staff
Page #7

Surgery (continued)

Full-time (continued)

Class

Litvak, Austin S.	III
Lucas, Bruce A.	III
Luce, Edward A.	III
Ram, Madhira D.	III
Mattingly, Sally S.	II
McRoberts, J. William	III
Meeke, William R. Jr.	III
Sachatello, Charles R.	III
Stahmann, Fred D.	III
Todd, Edward P.	III
Winter, William G.	III
Young, Byron	III
Zeok, John V.	III

FAMILY MEDICINE

Full-time

Class

Crocker, Max A.	I Anesth; Medicine II; Ob. & Gyn. I
Dale, Thomas A. II	II Medicine
David, Alan K.	I Anesth; Medicine I; Surgery I; Ob.& Gyn. I
Foley, William S.	II Medicine; Ob. II
Hamburg, Joseph	I Medicine
Seeley, E. C.	I Anesth; Medicine II; Surgery I; Ob. I; Gyn. II
Sklar, Allen	I Medicine
Wiegert, H. Thomas	I Anesth; Medicine II; Surgery I; Ob. II; Gyn.

RECOMMENDATIONS FOR APPOINTMENT TO THE MEDICAL STAFF

1978-79

DENTISTRY

<u>Full-time</u>	<u>Class</u>
Bean, Laurence R.	I
Bender, Paul A.	II
Biggerstaff, Robert	I
Costich, Emmett	III
Cunningham, Charles J.	II
Drummond, James F.	II
Duell, Roland C.	II
Ellinger, Charles W.	I
Falace, Donald A.	II
Gellin, Milton E.	II
Gonty, Arthur A.	III
Kohn, Markell W.	III
Kopczyk, Raymond A.	II
Laswell, Harold R.	I
Little, James W.	II
Lucente, Jose M.	II
McEvoy, Susan A.	II
Mink, John R.	II
Packer, Merrill W.	II
Page, Lawrence R.	I
Saxe, Stanley R.	II
Spedding, Robert H.	II
Unger, John W.	I
Vandersall, David V.	II
Wesley, Robert C.	I
 <u>Part-time</u>	
Daugherty, Joe W.	III
Marciani, Robert D.	III
Turner, Erwin G.	II

UNIVERSITY HOSPITAL PRIVILEGES

MEDICAL

CLASS O:

Privileges for individuals involved with the management of patients who are involved in supervised education programs, privileges for related health professionals whose privileges will be under the supervision of an active staff member strictly limited to the performance of specialized services within the field of the member's competence. These privileges will be specifically designated by the Hospital Clinical Board and the University of Kentucky Board of Trustees. A related health professional's privileges will be noted in the individual's credentials file. The patient care involvement and levels of responsibility of education programs will be delineated and approved by the Hospital Clinical Board and the University of Kentucky Board of Trustees.

CLASS I:

This category will include licensed physicians with training and demonstrated experience equivalent to two years or more of specialty residency training. One or more of the following specialties will be designated:

internal medicine	pediatrics	family practice
pathology	psychiatry	diagnostic radiology

Skills of the complexity associated with generalized diagnostic and therapeutic procedures appropriate to the specialty listed are required. Examples of this level of complexity would include:

sigmoidoscopy	EKG interpretation
lumbar puncture	liver biopsy
arterial puncture	rectal biopsy
superficial nerve block	

CLASS II:

This category will include physicians with training and/or experience equivalent to certification by an appropriate specialty board. One or more of the following specialties will be designated:

internal medicine	pediatrics	diagnostic radiology
pathology	psychiatry	family practice

Skills or demonstrated ability to supervise specialty diagnostic and therapeutic procedures appropriate to the specialty listed are required. Examples of the level of complexity required are:

- elective cardioversion
- temporary transvenous pacemakers
- sub clavian catheterization
- treadmill testing and interpretation
- bone marrow biopsy

CLASS III:

This category will include licensed physicians with training and/or experience and demonstrated ability equivalent to one or more specific specialty or sub-specialty certifications.

Specify from the following list:

allergy	endocrinology	neurology	pulmonary diseases
cardiology	gastroenterology	nephrology	rheumatology
dermatology	hematology	physical medicine	radiation oncology
diagnostic radiology		neonatology	nuclear medicine

Skills or demonstrated ability to supervise specialty diagnostic and therapeutic procedures appropriate to the specialty listed are required. Examples of the level of complexity required are:

gastroscopy
bronchoscopy
cardiac catheterization
lung biopsy
neonatal intensive care

EEG
EMG
exchange transfusion
radiotherapy
nuclear medicine

UNIVERSITY HOSPITAL PRIVILEGES
SURGICAL

CLASS O:

Privileges for individuals involved with the management of patients who are involved in supervised education programs, privilege for related health professionals whose care will be under the supervision of an active staff member and will be strictly limited within the field of the member's competence. These privileges will be specifically designated by the Hospital Clinical Board and the University of Kentucky Board of Trustees. A related health professional's privileges will be noted in the individual's credentials file. The patient care involvement and levels of responsibility of education programs will be delineated and approved by the Hospital Clinical Board and the University of Kentucky Board of Trustees.

CLASS I:

This category will include licensed physicians with training and demonstrated experience equivalent to two years or more of surgical residency training.

Skills of the complexity associated with generalized diagnostic and therapeutic procedures are required. Examples of this level would include:

sigmoidoscopy	simple fracture reduction
lumbar puncture	arthocentesis
superficial nerve block	chest tube placement
arterial puncture	liver biopsy
closing of extremity wounds	

CLASS II:

This category will include physicians with training and demonstrated experience equivalent to certification of five years of general surgery residency. Demonstrated skills and/or demonstrated ability to supervise surgical diagnostic and therapeutic procedures of general surgery are required. Examples of the complexity required are:

- all surgical procedures on the gastrointestinal tract
- radical mastectomy
- radical neck dissection
- management of major whole body trauma

CLASS III:

This category will include licensed physicians with training, experience and demonstrated ability equivalent to one or more specific specialty or sub-specialty certifications.

Specify from the following list:

general surgery	plastic surgery	otolaryngology	pediatric surgery
urology	thoracic surgery	ophthalmology	cardiovascular surgery
neuro surgery	orthopedic surgery		

Skills or demonstrated ability to accomplish and/or supervise specialty diagnostic and therapeutic procedures appropriate to the specialty listed are required. Examples of the level of complexity required are:

nephrectomy	surgery of eye
plastic operation on ureter or urethra	plastic operation on major bones and joints
micro surgery of the ear	surgery of the hand
plastic repair of the face	cardiac surgery including valve replacement and repair

UNIVERSITY HOSPITAL PRIVILEGES
GYNECOLOGIC

CLASS O:

Privileges for individuals involved with the management of patients who are involved in supervised education programs, for related health professionals whose privileges are under the supervision of an active staff member, privileges which will be strictly limited to the performance of specialized services within the field of the member's competence. These privileges will be specifically designated by the Hospital Clinical Board and the University of Kentucky Board of Trustees. A related health professional's privileges will be noted in the individual's credentials file. The patient care involvement and levels of responsibility of education on programs will be delineated and approved by the Hospital Clinical Board and the University of Kentucky Board of Trustees.

CLASS I:

This category will include licensed physicians with training and demonstrated experience equivalent to one year or more of gynecologic residency or family practice residency with gynecological surgery rotation. Skills of the complexity associated with generalized diagnostic and therapeutic procedures appropriate to the specialty are required. Examples of this level would include:

- D & C
- marsupialization of bartholin gland
- abdominal tubal ligations, post partum
- conizations
- first trimester therapeutic abortions

CLASS II:

This category will include physicians with training and/or experience equivalent to a full obstetrics and gynecology residency or the equivalent. Skills or demonstrated ability to supervise specialty diagnostic and therapeutic procedures appropriate to the specialty listed are required. Examples of the level of complexity required are:

- abdominal or vaginal hysterectomy and adnexal surgery for gynecologic disease
- surgery for gynecologic disease
- laparoscopy
- anterior and posterior colporrhaphy
- all therapeutic abortions
- Marshall Marchette procedure

General surgeons who specifically request gynecologic privileges must be reviewed and approved by the Chief of the Department of Obstetrics and Gynecology. Their request must be accompanied by a recommendation from their Chief of Surgery or of the Department of Obstetrics and Gynecology attesting to their gynecological experience.

CLASS III:

Diplomate of the American Board of Obstetrics and Gynecology.

These physicians act as preceptors in the operating room for the surgeons whose gynecologic privileges are limited. Each new member of the staff with limited gynecologic privileges will be observed and assisted by a preceptor who will observe the surgical indications and pathology of the case as well as the surgical abilities of the surgeon. The preceptor will then report his findings and recommendations to the OB/GYN Committee for the final approval of privileges.

UNIVERSITY HOSPITAL PRIVILEGES

OBSTETRICAL

CLASS O:

Privileges for individuals involved with the management of patients who are involved in supervised education programs, for related health professionals whose privileges are under the supervision of an active staff member will be strictly limited to the performance of specialized services within the field of the member's competence. These privileges will be specifically designated by the Hospital Clinical Board and the University of Kentucky Board of Trustees. A related health professional's privileges will be noted in the individual's credentials file. The patient care involvement and levels of responsibility of education on programs will be delineated and approved by the Hospital Clinical Board and the University of Kentucky Board of Trustees.

CLASS I:

This category will include licensed physicians with training and demonstrated experience equivalent to one year or more of obstetrical residency or family practice residency with obstetrical rotation. Skills of the complexity associated with generalized diagnostic and therapeutic procedures appropriate to the specialty are required. Examples of this level would include:

- normal spontaneous delivery with or without episiotomy
- outlet forceps
- paracervical, pudendal block
- newborn circumcision
- repair of 3° laceration

Consultation required on:

- patients with toxemia of pregnancy
- prior to induction of labor
- major medical disease complicating pregnancy
- antepartum hemorrhage
- 4° perineal laceration
- patients in active labor without progress within any 6 hour period in first stage
- patients in active labor without progress within a 2 hour period in second stage
- prolapsed cord
- all mal presentations and multiple pregnancies
- all cases with ruptured membranes who do not spontaneously go into labor within 12 hours after rupture of membranes
- all other obstetrical operative procedures
- post partum hemorrhage (over 400cc)
- manual removal of placenta
- puerperal sepsis

CLASS II:

This category will include physicians with training and/or experience equivalent to full obstetrics and gynecology residency or equivalent. Skills or demonstrated ability to supervise specialty diagnostic and therapeutic procedures appropriate to the specialty listed are required. Examples of the level of complexity required are:

- patients with toxemia of pregnancy
- prior to induction of labor
- mid forceps deliveries including all forceps rotations
- conductive anesthesia
- cesarean section
- major medical disease complicating pregnancy
- prolapsed cord

CLASS III:

Unlimited obstetrical privileges.

Diplomate of the American Board of Obstetrics and Gynecology.

These physicians act as preceptors in the delivery room and for the physicians whose obstetrical privileges are limited. Each new member of the staff with limited obstetrical privileges will be observed and assisted by a preceptor who will observe the obstetrical abilities of the physicians. The preceptor will then report his findings and recommendations to the OB/GYN Committee for the final approval of privileges.

UNIVERSITY HOSPITAL PRIVILEGES
ANESTHESIOLOGY

CLASS O:

Privileges for individuals involved with the management of patients who are involved in supervised education programs, privilege of related health professionals whose privileges under the supervision of an active staff member will be strictly limited to the performance of specialized services within the field of the member's competence. These privileges will be specifically designated by the Hospital Clinical Board and the University of Kentucky Board of Trustees. A related health professional's privileges will be noted in the individual's credentials file. The patient care involvement and levels of responsibility of education on programs will be delineated and approved by the Hospital Clinical Board and the University of Kentucky Board of Trustees.

CLASS I:

This category will include physicians involved in supervised residency training of with one year of residency training and demonstrated ability to carry out anesthetic procedures for care programs of the following complexity:

- removal of skin lesions
- biopsy of cutaneous or subcutaneous lesions
- suture of cutaneous traumatic lesions
- Class I dental procedures

CLASS II:

This category will include physicians involved in a supervised residency or physicians with Board certification or equivalent and demonstrated ability in a specialty utilizing the techniques* of anesthesia of the following complexity:

- deep nerve block
- IV anesthetics

CLASS III:

This category will include physicians with Boards in anesthesiology or eligibility to boards and demonstrated experience and ability to carry out:

- general anesthesia in all settings
- intensive medical and respiratory care
- pain therapy

* If certification equivalency is in a specialty other than anesthesia, approval of the Department of Anesthesia is required.

UNIVERSITY HOSPITAL
DENTAL SERVICE

CLASS O:

Privileges for individuals involved with the management of patients who are involved in supervised education programs, privilege of related health professionals whose privileges under the supervision of an active staff member will be strictly limited to the performance of specialized services within the field of the member's competence. These privileges will be specifically designated by the Hospital Clinical Board and the University of Kentucky Board of Trustees. A related health professional's privileges will be noted in the individual's credentials file. The patient care involvement and levels of responsibility of education on programs will be delineated and approved by the Hospital Clinical Board and the University of Kentucky Board of Trustees.

CLASS I:

- A. Requirements: Qualified dentists who have not had advanced training beyond dental school.
- B. Privileges: Procedures and treatment associated with:
- restorative dentistry
 - prosthodontics
 - periodontics
 - endodontics
 - simple extractions

CLASS II:

- A. Requirements: Qualified dentists who have had at least one year of internship or residency in an approved program or equivalent dentistry.
- B. Privileges:
- procedures listed under Class I Privileges plus
 - extraction of teeth, excluding impacted teeth
 - alveolar surgery necessary to prepare the mouth for prosthesis
 - treatment of small intraoral lesions
 - treatment of acute dental abscesses that may be drained intraorally by simple incision

CLASS III:

- A. Requirements: Dentists who qualify for certification by, or who have been certified by the American Board of Oral Surgery.
- B. Privileges:
- surgical procedures as listed in Class I and Class II Privileges, plus:
 - treatment of infections of dental origin or arising from the oral cavity or associated structures
 - treatment of fractures of the jaws and associated bone structure by closed and/or open reduction, including associated lacerations
 - surgical recovery of foreign bodies of odontogenic origin from the antra and the closure of oro-antral fistula and oro cutaneous fistula
 - treatment of congenital and acquired deformities of the jaws
 - excision of cysts and tumors of the human jaws and contiguous structures

This list of procedures is not intended to be inclusive, but rather to designate the level of complexity of procedures associated with each classification of privileges.

Signature of Dentist D.D.S

D.M.D.

Date

Chief of Service D.M.D.

PR 4c

Members, Executive Committee, Board of Trustees:

1978-79 BUDGET REVISIONS

Recommendation: that the following revisions in the 1978-79 budget be authorized and approved.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>General Fund</u>			
1. <u>Income Estimates</u>			
Federal Appropriations			
Agricultural Experiment Station	\$2,876,700	\$2,960,200	\$ 83,500
Agricultural Cooperative Extension	6,574,500	6,634,200	59,700
Sales and Services			
Departmental Sales and Services	2,792,800	2,835,800	<u>43,000</u>
			<u>186,200</u>
2. <u>Expenditures</u>			
Academic Affairs			
Agricultural Experiment Station	9,349,500	9,433,000	83,500
Agricultural Cooperative Extension	14,717,100	14,776,800	59,700
University Wide			
Central Administration			
Computing Center	1,418,500	1,461,500	<u>43,000</u>
			<u>186,200</u>
3. <u>Comments</u> - Additional federal appropriations will support the budget increase for the Agricultural Experiment Station and the Agricultural Cooperative Extension. An increase in computing sales will fund the budget increase for the Computing Center.			

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
B. <u>Restricted Funds</u>			
1. Income Estimates	\$13,016,500	\$13,020,200	\$3,700
2. Expenditures			
Community College System			
Ashland Community College	19,700	21,900	2,200
Student Affairs Counseling and Testing Center	-0-	1,500	<u>1,500</u>
			<u>3,700</u>
3. <u>Comments</u> - Income restricted for the purposes indicated above will fund the proposed additional expenditures.			

Action taken: Approved X Disapproved _____ Other _____

Date: July 7, 1978