

Minutes of the Meeting of the Board of Trustees of the University of Kentucky. Tuesday, December 12, 1972

The Board of Trustees of the University of Kentucky met in regular statutory session on Tuesday, December 12, 1972 at 2:00 o'clock (Eastern Standard Time) in Room E on the 18th floor of the Patterson Office Tower with the following members answering the call of the roll: Mr. Thomas P. Bell, Mrs. Rexford S. Blazer, Mr. Stanley Burlew, Mrs. Robert O. Clark, Mr. Albert G. Clay, Mr. Richard E. Cooper, Mr. Jacob H. Graves, Mr. George W. Griffin, Mr. Garvice D. Kincaid, Professor Paul Oberst, Mr. Zirl A. Palmer, Mr. James H. Pence, Professor Paul G. Sears, Mr. William B. Sturgill, Mr. Scott Wendelsdorf, and Dr. John R. Woodyard. Absent from the meeting were Mr. Jesse M. Alverson, Mr. Eugene Goss, and Mr. Floyd H. Wright. The University administration was represented by President Otis A. Singletary; Vice Presidents Alvin L. Morris, Lewis W. Cochran, Glenwood L. Creech, Robert G. Zumwinkle, Peter P. Bosomworth, and Lawrence E. Forgy; Dr. Charles Wethington, Assistant Vice President of the Community College System; Dr. Donald B. Clapp, Director of the Budget; and Mr. John C. Darsie, Legal Counsel. The various news media also had representatives present.

A. Meeting Opened

Mr. Clay called the meeting to order at 2:10 p. m. Following the invocation pronounced by Mr. Graves, the Secretary reported 16 members present with three absent. Mr. Clay declared the meeting officially open for the conduct of business at 2:13 p. m.

B. Minutes Approved as Amended

Mr. Bell moved that certain technical corrections be made on pages 3, 4 and 7 of the November 21, 1972 Minutes of the Board of Trustees meeting and moved that the reading of the Minutes of the meeting be dispensed with and that the Minutes be approved as amended. His motion was seconded by Mrs. Blazer, and passed without dissent.

The corrected portions of the November Minutes are given at the end of the Minutes. The Secretary was instructed to prepare and distribute to the members corrected copies of each page.

C. President's Report to the Trustees

President Singletary called attention to certain items in PR 1, President's Report to the Trustees, and recommended more careful reading of the report by

members at their leisure. Mr. Clay accepted the report with thanks and it was ordered filed.

D. Recommendations of the President (PR 2)

There being no questions relative to the recommendations in PR 2, Recommendations of the President, on motion by Mr. Cooper, seconded and passed, PR 2 was approved as a whole and ordered made an official part of the Minutes of the meeting. (See PR 2 at the end of the Minutes.)

E. Supplemental Recommendations of the President (PR 3)

After a brief explanation by President Singletary relative to the recommendation that the Board authorize early payment of supplemental compensation under the Physicians' Service Plan, on motion by Mr. Palmer, seconded by Mr. Griffin, and passed without dissent, PR 3, Supplemental Recommendations of the President, was approved as a whole and ordered made an official part of the Minutes of the meeting. (See PR 3 at the end of the Minutes.)

F. Report on Results of Alumni Member Election (PR 4)

President Singletary called attention to the copy of the letter he had received from the Secretary of the Board certifying the results of the election held for an alumni member of the Board. As the letter showed, Mr. William R. Black, Dr. Raymond D. Wells, and Dr. Layton L. Rouse were the three candidates receiving the highest number of votes.

On motion by Mrs. Clark, seconded by Mrs. Blazer, and passed, the Secretary of the Board was authorized to certify the names of these three persons to the Governor from which list a member will be appointed. (See PR 4 at the end of the Minutes.)

G. 1972-73 Budget Revisions (PR 5)

Without discussion, on motion by Mr. Bell, seconded by Dr. Woodyard, and passed, the budget revisions recommended for 1972-73 as set forth in PR 5 were authorized and approved. (See PR 5 at the end of the Minutes.)

H. Charter of Organization for University of Kentucky Development Council Approved (PR 6)

Explaining that the proposed Charter of Organization for the University



of Kentucky Development Council had been approved by the Development Council for transmission to the Board of Trustees, President Singletary recommended its adoption. On motion by Mrs. Blazer, seconded by Mr. Cooper, and passed, the Charter of Organization for the University of Kentucky Development Council was approved. (See PR 6 at the end of the Minutes.)

I. President's Statement on Proposed Housing Policy (See statement at the end of the Minutes.)

President Singletary reminded the members of the Board of Trustees that he had appointed a "blue ribbon" committee composed of members of the student body, the Board of Trustees, and the University administration to study the University's housing policies and to make recommendations for the future. The report was presented to him several weeks ago and has been the subject of much speculation in the news media for the past few weeks. He said that he had not responded to the report until he had had an opportunity to study it thoroughly but that now he was prepared to implement certain of the recommendations in the report. He then read a prepared statement, a copy of which is included at the end of the Minutes.

Mr. Clay expressed appreciation to the Housing Commission for the exhaustive study they had made and for the hours of work which had gone into the preparation of its recommendations. He also thanked Dr. Singletary for his thorough explanation of the report and his plans for the implementation of the major portions thereof.

J. Hearing Committee Report Approved

Mr. Bell, chairman of the Board committee appointed to hear requests from persons wishing to appear before the Board of Trustees, said that the committee had made a report at the November 21, 1972 meeting that Dr. Charles Eastin's request to appear before the Board of Trustees in order to appeal the administration's decision to terminate his employment with the University be denied, and that the administration's decision be upheld. He recalled that the Board had accepted the committee's report but that a vote was not taken regarding the recommendations contained therein. Mr. Bell then moved that the Board approve the committee's report and recommendations. His motion was seconded by Mr. Pence, and on roll call vote all members present at the meeting voted "aye" with the exception of Mr. Scott Wendelsdorf who voted "nay".

K. Interim Financial Report (FCR 1)

Without discussion, on motion by Mr. Griffin, seconded by Mr. Kincaid, and passed, the financial report for the four months ending October 31, 1972 was

accepted as presented in FCR 1. (See FCR 1 at the end of the Minutes.)

L. Meeting Adjourned

It having been determined that there was no further business to come before the meeting, on motion duly made, seconded, and carried, the meeting adjourned at 2:57 p. m.

Respectfully submitted,

Lucile T. Blazer, Secretary  
Board of Trustees

(Corrections to the Minutes of November 21, 1972; PRs 2, 3, 4, 5 and 6; the President's Statement on the Report by the Commission on University Housing Policies; and FCR 1 which follow are official parts of the Minutes of the meeting.)

CORRECTIONS IN THE MINUTES  
FOR NOVEMBER 21, 1972

That Section J, Resolution Relative to Recognition of Public Employees Council No. 51 (TR 1), page 3 of the Minutes of the Board of Trustees Meeting on Tuesday, November 21 be corrected as follows:

Page 3, last paragraph, correct so that it reads: Mr. Wendelsdorf then moved that the original resolution which he had presented [be amended to read as follows:] prior to the meeting be withdrawn and moved adoption of the following resolution in its place: "that the Board of Trustees of the University of Kentucky recognize the right of University Employees to organize within Public Employees Council No. 51, American Federation of State, County and Municipal Employees, AFL-CIO, or any other labor organization." Since the ten-day prior notice rule also applied to this [amended] substitute resolution, Mr. Bell moved for suspension of the rule. His motion was seconded by Mr. Griffin. A roll call was taken and Mr. Bell's motion for suspension of rules passed by a vote of 12 to 4.

Page 4, second paragraph, first sentence, strike "amended" in first line so that it reads: "Professor Oberst then moved that Mr. Wendelsdorf's [amended] resolution be amended by striking...."

Page 4, second paragraph, last sentence should be changed to read: "On [roll call] voice vote it was defeated [by a 9 to 7 vote]."

Page 4, third paragraph, last sentence, strike "amended" so that it reads: "The vote was called for on Mr. Wendelsdorf's [amended] resolution and the no votes carried."

(Note: Brackets indicate deletions; underscoring additions)

NOTE: Attached are corrected pages 3 and 4 of the November 1972 Minutes as amended at the December 12, 1972 meeting.

J. Resolution Relative to Recognition of Public Employees Council  
No. 51 (TR 1)

Mr. Wendelsdorf, who had submitted for inclusion in the agenda materials mailed to members of the Board of Trustees prior to the meeting a resolution recommending recognition of Public Employees Council No. 51 (see TR 1 at the end of the Minutes), explained that he was bringing the matter to the attention of the Board in the context that the University should deal with the whole question of unionization of University employees on the merit of the question and not dispense with it on procedural grounds. He said he felt that employees have the right to organize into any type of organization they wish without fear of retribution and that the University's action in seeking a declaratory judgment had had a chilling effect on the employees' efforts to organize. He said that in view of informal discussions he had had with members of the Board he would like to change his resolution from the one he had submitted prior to the meeting. He then moved adoption of the following resolution: "that the Board of Trustees affirm the right of University of Kentucky employees to organize into any kind of group to voice and propound their views to the administration."

It being pointed out that this was not the original resolution and thereby violated the ten-day notice rule, Mr. Wendelsdorf moved for suspension of the rules in order that the Board might consider his substitute resolution. His motion died for lack of a second.

Mr. Thomas Bell said he considered it improper and unethical to take any sort of action while the declaratory judgment suit is pending and pointed out that it was the fault of the Labor Union representatives that the suit had not come to trial. They had made a motion to remove the action to the Federal Courts and had requested delays because their counsel was spending the summer in Europe.

Mr. Kincaid said that he had read the Minutes of the Board concerned with the unionization of the University employees and had been unable to find any record that the Board of Trustees had ever opposed it. Mr. Wendelsdorf agreed that this was true but that the filing for the declaratory judgment had resulted in the employees' feeling that the University did oppose unionization and their interest in organizing had declined because of their fear of retribution.

Mr. Wendelsdorf then moved that the original resolution which he had presented prior to the meeting be withdrawn and moved adoption of the following resolution in its place: "that the Board of Trustees of the University of Kentucky recognize the right of University Employees to organize within Public Employees Council No. 51, American Federation of State, County and Municipal Employees, AFL-CIO, or any other labor organization." Since the ten-day prior notice rule also applied to this substitute resolution, Mr. Bell moved for suspension of the rule. His motion was seconded by Mr. Griffin. A roll call vote was taken and Mr. Bell's motion for suspension of rules passed by a vote of 12 to 4.

Mr. Clay called for a second to Mr. Wendelsdorf's motion. Mr. Goss seconded it indicating that he was opposed to the resolution but was seconding it in order that it might come to a vote.

Professor Oberst then moved that Mr. Wendelsdorf's resolution be amended by striking everything after "the right of University employees to organize" so that, as amended, the motion would read, "that the Board of Trustees of the University of Kentucky recognize the right of University employees to organize." Mrs. Blazer seconded his motion. On voice vote it was defeated.

At this point Mrs. Clark asked if the law did not recognize the right of employees to organize and, if so, why was it necessary to adopt a resolution to this effect. It was agreed that this was true and that the University had never questioned such right; however, there was a motion and second on the floor which must be voted upon. The vote was called for on Mr. Wendelsdorf's resolution and the no votes carried. (See TR 1 at the end of the Minutes.)

K. Reconsideration of Regulations Governing the Use of Memorial Coliseum

Mr. Wendelsdorf who had submitted a recommendation for reconsideration of the regulations governing the use of Memorial Coliseum requested that said recommendation be withdrawn at this time since he now felt that the problem could be worked out at the administrative level.

L. Interim Financial Report (FCR 1)

Without discussion on motion by Mr. Griffin, seconded, and passed, the interim financial report covering the three months' period ending September 30, 1972 was accepted and ordered made an official part of the Minutes of the meeting. (See FCR 1 at the end of the Minutes.)

M. Acceptance of Audits for the University of Kentucky for 1971-72 (FCR 2)

Having received copies of the audit reports and related statements as submitted by the firm, Lybrand, Ross Bros. & Montgomery, in advance of the meeting and there being no questions, on motion by Mr. Griffin, seconded, and passed, the recommendation for acceptance as made in FCR 2 was approved. (See FCR 2 at the end of the Minutes.)

CORRECTIONS IN THE MINUTES  
FOR NOVEMBER 21, 1972

That Section V, Resolution on Death of Two Students at Southern University be corrected as follows:

Page 7, first paragraph, last two sentences, correct so that it reads: "Mr. Wendelsdorf objected to the omission of the "Whereas" sentence. The question was called for and on roll call vote, Mr. Bell's motion to amend Mr. Wendelsdorf's motion, carried by a 9 to 7 vote. Mr. Goss then withdrew his second to Mr. Wendelsdorf's motion and Mr. Wendelsdorf indicated that he wished to withdraw his motion.

Page 7, last paragraph: His motion was seconded and on roll call vote passed with all members present voting aye.

NOTE: Attached is a corrected page 7 of the November 1972 Minutes of the Board of Trustees as amended at the December 12, 1972 meeting

T. Investment Committee Report (ICR 1)

Without discussion on motion duly made, seconded, and carried, the quarterly report of investments was accepted and ordered made an official part of the Minutes of the meeting. (See ICR 1 at the end of the Minutes.)

U. Assistant Secretary of the Board of Trustees Elected

Mr. Sturgill, chairman of the committee appointed to nominate an Assistant Secretary for the Board of Trustees, reported that the committee wished to place the name of Professor Paul Sears in nomination. On motion made, seconded, and carried, nominations were closed and Professor Sears was elected by acclamation.

V. Resolution on Death of Two Students at Southern University

Mr. Wendelsdorf distributed copies of a resolution (see resolution at the end of the Minutes) which he had prepared for adoption by the Board of Trustees regarding the death of two students on the campus of Southern University on November 16, 1972. He requested that the original resolution be changed by striking the first sentence, the entire second paragraph, the entire fourth paragraph, and changing the word "pleading" in the seventh paragraph to "pledging" and moved that the ten day prior notice be waived in order that the resolution might be adopted. His motion was seconded by Mr. Goss and the aye votes carried. The motion was then made to adopt the resolution as amended by Mr. Wendelsdorf. It was seconded by Mr. Goss. Mr. Bell then moved that Mr. Wendelsdorf's amended resolution be amended to include only the last two paragraphs. His motion was seconded by Mr. Griffin. Mr. Wendelsdorf objected to the omission of the "Whereas" sentence. The question was called for and on roll call vote, Mr. Bell's motion to amend Mr. Wendelsdorf's motion, carried by a 9 to 7 vote. Mr. Goss then withdrew his second to Mr. Wendelsdorf's motion and Mr. Wendelsdorf indicated that he wished to withdraw his motion.

Mr. Bell then moved the adoption of the following resolution:

RESOLVED, that the Board of Trustees of the University of Kentucky expresses its regret and sorrow over the deaths of the two students at Southern University on November 16, 1972, and joins with the University community in pleading that similar tragedies shall never occur again; and be it further

RESOLVED, that the Board condemns violence both as a method of seeking change and of opposing it.

His motion was seconded and on roll call vote passed, with all members voting aye.

PRI

## PRESIDENT'S REPORT TO THE TRUSTEES

December 12, 1972

### 1. STUDENTS DONATE TIME, FUNDS TO COMMUNITY

Students continue to involve themselves in the Lexington community. The Fireman's Fund, administered by local firemen, has solicited the aid of the Panhellenic Council and the girls in the several sororities currently are gathering toys which will be repaired and distributed at Christmastime to needy children in the community. Panhellenic also took part in the recent arthritis fund drive and in the spring will help in the heart fund drive. The group gave \$100 to United Way, and are involved in the blood donor program, with the Interfraternity Council.

Each sorority and fraternity will be on call for a month to the Blood Center, when needs arise for certain blood types as well as quantity donations.

The Karate Club was the first student organization to contribute to United Way, donating nearly \$500, proceeds from a local exhibition. Individual fraternities and sororities followed, giving funds that otherwise would have been used in building floats in the recent Homecoming Parade. Sigma Alpha Epsilon is pushing the sale of POW bracelets, to solicit public awareness of American armed services people now held by the North Vietnamese. The Tri-Delts, a sorority, is helping the SAE's in the campaign.

The students work in local institutions such as Shriner's Hospital, Manchester Center, and maintain a tutoring program in different areas of the city. Many work with retarded and handicapped children.

### 2. 80 PER CENT IN H.S. JUNIORS PROGRAM ENTER UNIVERSITY

The high school junior summer program which allows superior high school students to earn college credit by enrolling in the eight-week summer session, successfully recruits nearly 80 per cent of the participants in the regular academic program.

The Office of Admissions and Registrar has recorded 230 Kentucky high school students enrolled in the program since its inception in 1963, and 77.9 per cent entered as full-time students sometime after participating in the summer program.

George Dexter, former director of the program and director of advising conferences, said some students remain here, and do not return to high school. Before he is accepted as a full-time student, however, the student is tested and counseled by qualified University personnel.



3. 31 SELECTED BY PHI BETA KAPPA

Phi Beta Kappa has initiated 31 students, including six from Lexington: Patricia Lynn Eades, Lynne J. Flynn, J. David Kennamer, Constance W. Pruitt, Evelyn C. Odom, and Robert W. Bishop.

Other initiates are Claudia G. Button, John R. Collings, George T. Drechman Jr., Murray W. Rowe, James Ellis Taylor, and Annell S. Vaughn, all of Louisville; Margaret S. Mory, Jefferson-town; Marcia B. Redmond, Glendale; Charles G. Read, Jeffersonville, Ind., and Patricia Anne Whinery, Anchorage.

Wanda Lee Cole and Andrea Sue Riddle, both of Ashland; Alma Eubank Richards, Winchester; Roma White, Sandy Hook; Michael L. Schulkens, Cold Springs; Thomas W. Neumann, Ft. Thomas; Jacqueline S. Dockery, Campbellsville; Carolyn S. McGraw, Cox's Creek; Etaine I. Peterson, Elizabethtown; Rose J. Spalding, Lebanon; Carol Lee Worthington, La Grange; Carla Joyce Whitaker, Cave City; Susan G. Wells, Frankfort; Satyra Ann Summerour, Birmingham; Cynthia Gouzie, Upper St. Clair, Pa.; Rebecca S. Driesler, Owensboro; John Arthur Miller, Frankfort, and Stephen P. Royse, Eminence.

4. KAPPA DELTA PI NAMES 23

Twenty-two students and one faculty member in the College of Education have been initiated into Alpha Gamma chapter of Kappa Delta Pi.

Initiates are selected for membership in the honorary on the basis of high academic records and an exhibited professional attitude which would enable one to grow in the field of education, according to the chapter's advisor, Mrs. Catherine Lytle.

Dr. Frank V. Colton, assistant professor in the Department of Curriculum and Instruction, was the only faculty member initiated.

Lexington students named as new members were Marjorie Drake, Nancy K. Evans, Barbara Judy, Nancy Lee, Bonnie MacShara, and David L. Noble. Louisville students were Carl Anthony Fahringer, Roslyn Lobred, and Karen Taylor. Out-of-state students were Rose Marie St. Clair, Radford, Va., and Lisa Mary Waslo, Cincinnati.

Other Kentucky students, by county, were Mary Pat Wills, Paris, Barbara McClanahan Zemo, Ashland, Barbara Lynn Slaton, Madisonville, Susan Day, London, Vickie Crisp Ramey, Eddyville, Cynthia Babbitt, Paducah, Kathryn Bray and Rebecca Lynne Shaver, both of Greenville, Freddi Jane Vescio, Hazard, Netha Howard, Campbellsville, and Tommy G. Sutton, Dixon.

5. PSYCHOLOGISTS STUDY DAY CARE CENTERS

A team from the Department of Psychology, headed by Dr. Richard Winett, is determining how day care centers for pre-school children compare with traditional means of child care.

The study will compare three groups of children: those in day care centers, children who stay with babysitters, and children kept at home by either the mother or the father.

In addition to Dr. Winett, team members are Sharon M. Edwards, Lexington, a senior majoring in psychology, William Fuchs, Louisville, and Sara Moffalt, Boise, Idaho, both graduate students in psychology, and Charles Battersby, a junior psychology major from Boston, Mass.

The team will instruct interviewers who will conduct the study in late January and February. Results are expected to be tabulated by June 1.

6. EXTENSION CLASSES SERVED 2197 STUDENTS

Last year, from summer 1971 to spring 1972, James Brown, director of the Extension Class Program, set up more than 150 classes in 51 different locations throughout the state, serving 2197 students. The classes are offered on undergraduate, graduate and non-credit levels.

In his report, Brown said the majority of the students in the classes are completing post-graduate work while the others are attempting undergraduate work mostly on an upper division (junior and senior) level. Last year, 1179 students were enrolled in graduate courses and 1018 in undergraduate work.

Classes are offered primarily in areas near the community colleges, in order to utilize the talents of personnel from those colleges. Brown said classes are offered at any approved school center or facility, however, when the enrollment justifies, and when instructors can be secured. Quite often, the instructors are central campus professors.

Last year, 60 per cent of all classes were taught by Lexington based teachers. Over 110,000 miles were logged by instructors traveling to and from their classes.

7. MANAGEMENT-PLANNING FUNCTIONS TO BE STUDIED

The University has been selected by the National Center for Higher Education Management Systems (NCHEMS) as a pilot institution to explore and apply new techniques in the management and planning functions in higher education.

Dr. A. D. Albright, vice president for institutional planning, said the University was one of three institutions in the southern region named to design, develop and encourage the implementation of management information systems and data bases, including common data elements in institutions and agencies of higher education. NCHEMS is supported by the U.S. Office of Education.

8. NEW CENTER AIDS GRADE SCHOOLERS IN CAREER PREPARATION

Dr. Herbert Bruce of the College of Education, in cooperation with the Bureau of Vocational Education, Frankfort, is conducting a career education program with a \$180,000 grant from the U.S. Office of Education.

He has started a Curriculum Development Center for Career Education, which is developing curriculum materials to support both new and existing programs in career education and providing in-service education to career education and vocational education teachers throughout Kentucky.

The center emphasizes career orientation and exploration in the seventh, eighth, and ninth grades, to enable youngsters to learn about careers and aid them in selecting and preparing for a career.

9. STUDY OF TRACE ELEMENTS SEEKS NEW DETECTION SYSTEM

An assistant professor of chemistry is conducting a feasibility study to see if new techniques can be developed to detect trace elements found in air, water, or the human body, that can be harmful or helpful, depending on concentrations.

Dr. Kenneth J. Hofstetter, aided by graduate students Kathy Schroader, Ed Kennair, and James Stickler, is conducting the study.

Air samples will be taken near the Interstate highways. Water samples will be gathered in streams and lakes in the Lexington area. Biological samples, such as urine and blood, will be acquired from the Medical Center. Since tolerance levels of trace elements in the human body are known, the difficulty arises when there is a serious imbalance, whether too much or too little, Dr. Hofstetter said.

If the new technique becomes an acceptable, standard measurement, it could be beneficial in various ways. It could be one of the most accurate tests of pollutants in air and in water. It could detect exactly the amount of lead poisoning and other harmful elements in the human body as revealed by urine or blood samples.

The study, entitled "Charged Particle Induced X-Ray Fluorescence," is funded by a grant from the National Science Foundation.

10. CONSULTANTS WILL STUDY GLASGOW, CARROLLTON AS COLLEGE SITES

A study of the feasibility of establishing colleges at Glasgow and Carrollton will be conducted by consultants hired through a \$40,000 allocation by Governor Wendell Ford. The recommendations of the study group will be turned over to the Council on Public Higher Education for evaluation.

11. DR. HAMILTON PRESENTS DISTINGUISHED PROFESSOR LECTURE

Dr. Holman Hamilton, professor of history, last Wednesday discussed "Claude Bowers and Popular History in the 1920s," in his lecture as College of Arts and Sciences' Distinguished Professor of the Year.

By custom, the Distinguished Professor is relieved of his teaching duties for a semester to pursue research on which he reports through his lecture. College faculty members have elected by secret ballot one of their colleagues for the honor annually since 1944.

Dr. Hamilton's research also will result in a book, "The Career and Influence of Claude G. Bowers," currently in preparation.

12. UNIVERSITY TOPS CHARITY DRIVE GOAL BY \$5,000

Although the University's goal in the recently conducted United Way fund campaign was set at \$85,000, nearly \$30,000 more than was donated by the University community last year, the amount contributed here this year is more than \$90,000, and contributions are continuing to come in.

Dean Ernest Witte was campus chairman this year: Dr. Roy Yarbrough was vice chairman, and Dr. Alvin Morris was chairman for the entire Fayette county effort.

13. LTI, GROWING RAPIDLY, FILLS A NEED

Established in 1965 to meet the needs of students seeking an associate degree, the Lexington Technical Institute has grown from an initial enrollment of 20 students to its present 863. LTI operates entirely within the physical facilities of the University, but retains the same autonomy enjoyed by the community colleges.

Some LTI students are older persons seeking only the technical skills to prepare them for a new career or to advance in their current job. Only such general courses such as freshman composition, sociology, and psychology are taught LTI students by the University faculty. A separate faculty of 20 full-time and 30 part-time teachers staff LTI's technical and adult continuing education programs.

LTI offers the associate degree in 14 technical and professional fields, including nursing, civil engineering, data processing, dentistry, electrical engineering, electro-encephalography, forestry, management, manufacturing, radiology, respiratory therapy and transportation. Professional secretaryship and vocational teacher associate degrees also are available.

Business careers attract the largest number of students, according to M. L. Archer, director, with nursing and allied health next. Last year, the entire nursing class passed the state board examination.

14. MRS. HANAU'S STUDY TECHNIQUES BEING ACCLAIMED

Mrs. Laia Hanau, assistant professor of study techniques, Section for Educational Resources, College of Medicine, will be on leave from the University, February 1 through May 30, to train Statement-Pie Study Technique teachers at the University of Maryland.

Mrs. Hanau's method is aimed at two broad problem areas: how to get and retain information rapidly, and how to convey information rapidly in a discussion, a paper or an examination.

The Alfred P. Sloan Foundation program to train teachers in the techniques at a number of mid-West universities was completed in August. Mrs. Hanau directed the program, which was held at the University of Michigan. To date, reports on the results of the method have been favorable and she is receiving considerable acclaim. Mrs. Hanau is preparing a book on study techniques.

15. EDUCATION SOPHOMORES ARE WORKING IN SCHOOLS

Education students no longer wait until they are student teachers during their senior year to help out in the schools. They now are doing it as sophomores.

Dr. Richard LaBrecque, coordinator of the new program, said students previously were given three and a half years of theories and principles, but now, beginning in their sophomore year must spend a minimum of four hours a week in the classroom, either at Johnson or Russell schools or at Morton Junior High School.

They begin by observing the classes and getting to know the pupils. Then they accept teaching responsibilities, such as tutoring one or two children. As the year progresses, they will handle small groups, prepare and implement a teaching unit, or go on a field trip with the pupils. Toward the latter part of the year, they actually will teach a whole class. Throughout the entire year, they will do some of the required clerical work. The program is worked out in cooperation with Fayette County Schools.

16. PLANNED RETIREMENT WORKSHOPS AT ASHLAND COLLEGE

The Armco Steel Corporation has initiated a series of special workshops for retiring personnel in cooperation with Ashland Community College. A two-month program with weekly sessions on preparing for the retirement years is taking a different approach by featuring spouses who meet with prospective retirees in the workshops. To date, 43 are enrolled, including 12 wives. Such subjects as social security, medicare, medicaid, avocations, budget planning and tax benefits are being discussed.

17. RESEARCH FUNDS THROUGH UKRF NEARS \$11 MILLION FOR FISCAL YEAR

A \$145,000 grant to the Department of Diagnostic Radiology to improve the utilization of radiologic manpower and \$62,000 to the Health Professions Special Project in the College of Pharmacy, helped boost the amount of research funds administered through the University of Kentucky Research Foundation during October to \$438,481. The total since July 1, the beginning of the fiscal year, is now \$10,901,114.93.

COLLEGE OF AGRICULTURE

Cooperative Extension Service - Development Programs--A. Worms, Concentrated Services in Training and Education Project in Trigg, Lyon and Livingston Counties, Tennessee Valley Authority, \$6,500. Forestry--T. Hansbrough, Short Coppice Rotation Management of Sycamore for Cellulose Production in Western Kentucky, WesCor Corporation, \$750 additional.

COLLEGE OF ARTS AND SCIENCES

Archaeology--W. Y. Adams, Archaeological Investigations at Qsar Ibrim, Egyptian Nubia, Smithsonian Institute, \$4,406. Physics and Astronomy--B. D. Kern and M. T. McEllistrem, Nuclear Structure and Nuclear Reaction Mechanisms, National Science Foundation, \$30,000 additional.

COLLEGE OF BUSINESS AND ECONOMICS

Economics--D. V. Christian, Negro Employment in the South, University of Texas, Austin, \$2,800 additional.

COMMUNITY COLLEGE SYSTEM

Southeast Community College--Alcor Program, Alcor, Inc., \$2,273 additional.

COLLEGE OF EDUCATION

Bureau of School Services--P. Street, Roles Bureau of School Services Will Take in Kentucky Kindergarden Project, Commonwealth of Kentucky, Bureau of Education, \$11,520. Educational Research and Development--D. Arnold, Personnel Services to Louisville Urban Education Center, \$17,504. Special Education--E. Blackhurst, Special Educational Instructional Material Center, U.S. Department of Education, \$465 additional.

COLLEGE OF ENGINEERING

Office of Research Engineering Services--R. Puckett, Engineering Research, Central Associated Engineers, \$600 additional. R. Puckett, Use of Digital Computer, Fuller, Mossbarger, Scott, \$250 additional.

UNIVERSITY PRESS

W. J. Crough, Grant in aid of Publication of "Travel in the Old South," by Jacqueline Bull (Special Collections, University Libraries) and Eugene L. Schwaab, Mr. E. L. Schwaab, \$350 additional.

MEDICAL CENTER LIBRARY

O. Hamlin, Kentucky, Michigan, Ohio, Regional Medical Library Program, Wayne State University, \$2,022.50 additional.

COLLEGE OF ALLIED HEALTH PROFESSIONS

Admissions and Student Service--B. Bryan, New York Life Insurance Scholarship, \$2,730 additional. Clinical Pastoral Counseling--R. S. Carpenter, Clinical Pastoral Education, Misc., \$410 additional. Social Services--N. Smith, Social Services Misc., Individuals, \$67.50 additional.

COLLEGE OF DENTISTRY

Orthodontics--W. R. Proffit, American Association of Orthodontics, \$200, additional. Prosthodontics--C. Ellinger, Patient Response to Variations in Denture Technique, National Institutes of Health, \$65,492.

COLLEGE OF MEDICINE

Office of Dean--W. S. Jordan, Medicine Alumni Association Fund Misc., \$245 additional. W. S. Jordan, Dean's Emergency Fund, Misc., \$700 additional. R. Lemon, Continuing Education Development Fund, Misc., \$1000 additional. Anatomy--L. A. Gillilan, Nervous System Vascular Patterns, NIH, \$9,391. Center for the Handicapped--V. L. James and M. Murphy, Clay County Board of Education, \$3,000. Community Medicine--H. M. Vandiviere and C. Smith, Herman Huempfer Research, Misc., \$568. Diagnostic Radiology--H. D. Rosenbaum, Improve Utilization of Radiologic Manpower, NIH, \$145,369. Medicine--I. Kanner, Physical Diagnostic Course for Middle Level Practitioners, The Hunter Foundation for Health Care, Inc., \$900. T. A. Kotchen, Measurement of Plasma Renin Activity, Georgetown University Hospital, \$2,000. Pediatrics--R. A. Beargie, Infant Care Training and Service Program, Kentucky Department of Health Service, \$34,282. J. Calton, Children's Fund, Misc., \$48 additional. P. Holland, UK Children's Leukemia Fund, Misc., \$50 additional. W. Wheeler, Resident's Fund, Fayette County Childrens Bureau, \$150 additional. Pharmacology--T. Z. Csaky, Combined M.D./M.S. Pharmacology Program, Geigy Corporation, \$1,600 additional. Surgery--W. Meeker, Cancer Registry Secretary, American Cancer Society, \$3,600 additional. Surgery-Division of Opthamology--J. Wirtschafter, Opthamology Development Fund, Misc., \$175 additional.

COLLEGE OF PHARMACY

Administration--H. B. Kostenbauder, College Research, Development Grant, McNeil Labs, Warner-Lambert Co., Appalachian Regional Hospital, \$23,016 additional. Instruction--R. M. Doughty, Health Professions Special Project, Public Health Service, \$62,544. Pharmacy--H. B. Kostenbauder, College Research, Development Grant, McNeil Labs, \$1,000 additional. J. V. Swintosky, Residency Program, Mental Retardation Board, Inc. \$504 additional.



18. SIGNIFICANT ACTIVITIES OF FACULTY AND STAFF

Dr. S. Sidney Ulmer, professor of political science, delivered the presidential address to the 44th annual meeting of the Southern Political Science Association, in Atlanta, Ga. Title of the address was "Bricolage and Assorted Thoughts on Working in the Papers of Supreme Court Justices." It will be published in the February, 1973, issue of the "Journal of Politics."

Dr. James R. Ogletree, professor of educational administration and supervision, has been elected president of the Southern Regional Conference in Educational Administration, meeting in Atlanta. Dr. A. D. Albright, vice president for institutional planning, was presented a certificate for outstanding and enduring leadership to educational administration, during the conference. It was recalled during the program that 22 years ago Dr. Albright and Dr. Truman Pierce of Auburn University started the Southern States Cooperative Program in Educational Administration, which was the forerunner of the Conference.

Dr. C. R. Hager, assistant dean of University Extension, has been named president-elect of the Kentucky Association for Continuing Education, at a meeting of the group at Elizabethtown Community College. Dr. George Luster, associate director of the Elizabethtown college, was elected treasurer.

Dr. Edward T. Ordman, assistant professor of mathematics, has been awarded a Fulbright-Hays fellowship for six months' study at the University of New South Wales, Sydney, Australia. Dr. Ordman plans to conduct research in the areas of infinite and large topological mathematical groups.

Tom Connelly, director of the Kentucky January Project in the College of Allied Health Professions, has been named recipient of the "Better Life Award," by the Kentucky Nursing Home Association.

Dr. Ira Fowler, associate professor of anatomy, has received the Bronze Hope Chest Award for his work toward a diagnostic test for multiple sclerosis, from the Eastern Kentucky Chapter, National Multiple Sclerosis Society.

Dr. Arthur W. Rudnick, associate professor of animal sciences, has been honored for his contributions to the Kentucky dairy industry. He was presented a bronze plaque by the dairy faculty of the department.

A senior fellowship awarded to Dr. Richard Lowitt, professor of history, will enable him to undertake a new study of American history. The award for the 1973-74 academic year was by the National Endowment for Humanities.

Dr. Michael Impey, assistant professor in the Department of Spanish and Italian, lectured at the Romanian Library in New York last month. His paper, "A Polemical Interpretation of Tudor Arghezi's Testament," appears in a recent special issue of Rumanian Studies. He is an associate editor of the publication.

Dr. Ward O. Griffen Jr., chairman of the Department of Surgery, has been elected to the board of governors of the American College of Surgeons.



Dr. John E. Keller, chairman of the Department of Spanish and Italian, lectured last month at Emory University, Atlanta.

Dr. Thomas R. Rodenbaugh, assistant professor in social professions, attended the faculty development conference sponsored by the Southern Education Board in New Orleans last month.

Neil Ward, Hopkinsville Community College business officer, has been named a goodwill ambassador by the Rotary Exchange Program and will tour India for two months, living with Rotary Club families.

Dr. Wilson Hourigan, extension specialist in agricultural policy, presented a paper on Kentucky's extension program in agricultural policy at the National Public Policy Education Conference, held in Durham, N. H.

Dr. Donald A. Ringe, professor and director of graduate studies in the Department of English, is the author of an essay, "Charles Brockden Brown," which appears in "Major Writers of Early American Literature," the first collection of this type since the early 18th century.

Professor Bernard D. Kern of the Department of Physics and Astronomy participated in the summer study session concerning applications and experimental uses of the Indiana University Cyclotron Facility, at the University of Colorado.

Dr. M. Angelice Seibert represented the Division of Allied Health at Jefferson Community College at an International Conference on Education in the Health Sciences at the Hague, the Netherlands, October 8-12. Dr. Seibert presented a report at the conference on "Educational Programming in the Health Sciences for a New Urban Community College." Dr. Seibert also participated in a tour and seminar at the Karolinska Institutet in Stockholm with lectures and visits to health-care educational centers in Oslo and Copenhagen.

Dr. Jesse G. Harris Jr., professor of psychology, has been elected to a three-year term as the first chairman of the Kentucky Mental Health Manpower Commission, Inc.

Dr. Maurice East, associate professor of political science, has had a paper, "Size and Foreign Policy Behavior: A Test of Two Alternative Models," accepted for the July 1973 issue of World Politics.

A book by Dr. Sheldon Simon, associate professor of political science, has been accepted for publication by the Duke University Press. It is entitled "War and Politics in Southeast Asia: Actor Perceptions in the Cambodian Conflict."

Office of the President  
December 12, 1972

PR 2

Members, Board of Trustees:

RECOMMENDATIONS OF THE PRESIDENT

I. Patent Assignment

Recommendation: that authorization be given to the proper officials of the University of Kentucky Board of Trustees to execute an assignment on behalf of the Board of Trustees to The University of Kentucky Research Foundation of the following application for Letters Patent of the United States and the invention represented thereby: Benjamin R. Gossick and Karl A. Schneider, Serial #286,666, filed September 6, 1972.

Background: Benjamin R. Gossick and Karl A. Schneider have invented a Non-Transposing Mute for Brass Instruments. They have assigned their rights to the invention to the University. In accord with usual practice, it is recommended that the University assign the patent to The University of Kentucky Research Foundation.

II. Appointments and/or Other Staff Changes

Recommendation: (1) that approval be given to the appointments and/or other changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The following recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate vice president through the President and have his concurrence.

Under the Governing Regulations the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

BOARD ACTION

New Appointments

Elizabeth Bear, Associate Professor, College of Nursing, effective  
January 1, 1973

Ann P. Kasdan, Assistant Professor, Department of Diagnostic Radiology,  
College of Medicine, effective January 15, 1973

D. M. Joshi, Visiting Professor, Department of Statistics, College of Arts and Sciences, effective January 15, 1973

Non-Academic Appointment

William J. Whitaker, Chief Radiologic Technologist, Department of Diagnostic Radiology, University Hospital, retroactive to December 1, 1972

Promotion

Doris Carey, College of Nursing, from Assistant Professor\* to Associate Professor\* (with tenure), effective January 1, 1973

Tenure Granted

Marcus L. Dillon, Associate Professor, Department of Surgery, College of Medicine, retroactive to July 1, 1972

Retirement

Mary Hester Cooper, Librarian III, after over 36 years of service, retroactive to December 1, 1972

ADMINISTRATION ACTION

New Appointments

Ernest A. Brooks, Clinical Instructor, Department of Community Dentistry, College of Dentistry (Voluntary Faculty)

Darryl Dochterman, Assistant Clinical Professor, Department of Diagnostic Radiology, College of Medicine (Voluntary Faculty)

Jack E. Dubin, Clinical Instructor, Department of Community Dentistry, College of Dentistry (Voluntary Faculty)

Karl A. T. Gothberg, Assistant Professor, Department of Oral Diagnosis and Oral Medicine, College of Dentistry

Gregory W. Kalmar, Clinical Instructor, Department of Community Dentistry, College of Dentistry (Voluntary Faculty)

George J. Pogan III, Clinical Instructor, Department of Community Medicine, College of Medicine (Voluntary Faculty)

\* Special Title Series

Administrative Appointment

John A. Rea, Assistant Professor (with tenure), Department of French Language and Literature, College of Arts and Sciences, named Acting Chairman of the Department of French Language and Literature

Joint Appointments

Jon Hendricks, Assistant Professor, Department of Sociology, College of Arts and Sciences, also named Assistant Professor, Department of Behavioral Science, College of Medicine

Terrill Mast, Assistant Professor, Department of Restorative Dentistry, College of Dentistry, also named Assistant Professor, Department of Educational Psychology and Counseling, College of Education

Leaves of Absence

Jonathan Wirtschafter, Professor (with tenure), Department of Surgery, College of Medicine, sabbatical leave at half-salary for the period July 1, 1973 through June 30, 1974

Richard Hanau, Professor (with tenure), Department of Physics and Astronomy, College of Arts and Sciences, sabbatical leave at half-salary for the academic year 1973-74

Mary Hargreaves, Associate Professor (with tenure), Department of History, College of Arts and Sciences, sabbatical leave at half-salary for the academic year 1973-74

Henry Howard, Professor (with tenure), Department of Mathematics, College of Arts and Sciences, sabbatical leave at half-salary for the academic year 1973-74

F. R. Keogh, Professor (with tenure), Department of Mathematics, College of Arts and Sciences, sabbatical leave for the Spring semester 1974

Richard Lowitt, Professor (with tenure), Department of History, College of Arts and Sciences, sabbatical leave at half-salary for the period January 1, 1974 through December 31, 1974

Humbert S. Nelli, Associate Professor (with tenure), Department of History, College of Arts and Sciences, sabbatical leave at half-salary for the academic year 1973-74

A. C. R. Newbery, Professor (with tenure), Department of Computer Science, College of Arts and Sciences, sabbatical leave at half-salary for the academic year 1973-74

James Spivey, Associate Professor (with tenure), Department of Psychology, College of Arts and Sciences, sabbatical leave at half-salary for one calendar year, effective January 1, 1973

Paul Stapf, Professor (with tenure), Department of Germanic Languages and Literatures, sabbatical leave for the Spring semester 1973

James H. Wells, Professor (with tenure), Department of Mathematics,  
College of Arts and Sciences, sabbatical leave for the Fall semester 1973  
Leslie Martin, Professor (with tenure), Department of Higher and Adult  
Education, College of Education, sabbatical leave for the period  
January 15, 1973 to May 15, 1973, changed to sabbatical leave for the  
Fall semester 1973 (See Minutes of November 21, 1972)

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Action: Approved   X   Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date:   December 12  , 1972

Office of the President  
December 12, 1972

PR 3

Members, Board of Trustees:

SUPPLEMENTAL RECOMMENDATIONS OF THE PRESIDENT

I. Supplemental Compensation Under Physicians' Service Plan

Recommendation: that the Board authorize the early payment of \$164,000 in supplemental compensation under "Provisions For Supplemental Compensation Under The Physicians' Service Plan."

Background: The Board of Trustees at the August 4, 1970 meeting approved "Provisions For Supplemental Compensation Under the Physicians' Service Plan." The plan as originally approved by the Board of Trustees provides for supplemental compensation on a semi-annual basis following determinations to be made on January 1 and June 30 by the Board of Directors of The Fund. The action requested today constitutes an exception to the approved plan in that payment will be made prior to the January 1 determination date.

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Action: Approved   X   Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: December 12, 1972

Office of the President  
December 12, 1972

PR 4

Members, Board of Trustees:

REPORT OF SECRETARY ON RESULTS  
OF ALUMNI MEMBER ELECTION

Recommendation: that the report of the Secretary of the Board of Trustees on the results of the election authorized by the Alumni Association be received and put to record, and that she be authorized to certify to the Governor the names of the three persons receiving the largest number of votes, from which list a successor to Mr. Pence, whose term expires December 31, 1972, will be appointed.

Background: The term of Mr. James H. Pence as alumnus member of the Board of Trustees expires December 31, 1972. An election has been held among the alumni of the University as prescribed by statute and in accordance with the rules and regulations of the Board of Trustees. The attached letter from the Secretary of the Board of Trustees to the President of the University reports the results of the election.

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Action: Approved  Disapproved  Other

Date: December 12, 1972

UNIVERSITY OF KENTUCKY

LEXINGTON, KENTUCKY 40506

BOARD OF TRUSTEES

November 27, 1972

President Otis A. Singletary  
University of Kentucky  
Lexington, Kentucky 40506

Dear President Singletary:

The term of James H. Pence, alumnus member of the Board of Trustees, expires December 31, 1972. An election has been held among the alumni of the University as prescribed by Statute and by the rules and regulations of the Board of Trustees. The following represents the tabulation of the votes cast:

Hampton C. Adams	1,887 votes
William R. Black	2,481 votes
Samuel M. Cassidy	1,518 votes
Sam H. Ridgway	1,480 votes
Layton L. Rouse	1,911 votes
Raymond D. Wells	1,938 votes

Will you please submit this information to the Board of Trustees that same may be approved and the names of the three receiving the highest number of votes be certified to the Governor of the Commonwealth of Kentucky, from which list of three he may appoint one to serve a term of four years beginning January 1, 1973.

Respectfully submitted



Lucile T. Blazer, Secretary  
Board of Trustees



Office of the President  
December 12, 1972

PR 5

Members, Board of Trustees:

1972-73 Budget Revisions

Recommendation: that the following revisions in the 1972-73 budget be authorized and approved:

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
<b>A. <u>General Funds</u></b>			
1. Income Estimates			
Student Fees	\$ 8,555,200	\$ 8,598,500	\$ 43,300
Federal Appropriations			
Agricultural Experiment Station	1,876,800	1,977,300	100,500
Endowment Income	158,200	168,200	10,000
Gifts and Grants			
Other Gifts and Grants	118,600	125,600	<u>7,000</u>
			160,800
2. Expenditures			
Academic Affairs			
Arts and Sciences			
Art Gallery Administration	7,100	9,100	2,000
Fort Knox Center	93,100	136,400	43,300
Agriculture Experiment Station	5,836,100	5,936,600	100,500
Vice President - Administration			
Equine Research	-0-	10,000	10,000
University Relations			
Office of Development			
President's Discretionary Fund	-0-	5,000	<u>5,000</u>
			160,800

3. Comments - The proposed additional expenditures will be funded respectively by an increase in student fees at Fort Knox Center, an increase in Federal appropriations for Agricultural Research, income from the University's interest in Nashua, a grant to the University Art Gallery and miscellaneous donations through the Development Office for the President's Discretionary use.

B. Restricted Funds

1. Income Estimates	\$ 5,407,400	\$ 5,411,700	\$ 4,300
2. Expenditures			
Arts and Sciences			
Art Gallery	1,200	2,200	1,000
Dentistry			
Dean's Office	-0-	2,000	2,000
Medicine			
Cancer Research	-0-	1,000	1,000
Pharmacology	-0-	300	<u>300</u>
			4,300

3. Comments - The proposed additional expenditures will be funded from income restricted to the purposes indicated above.

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Action: Approved   X   Disapproved            Other           

Date:   December 12  , 1972

Office of the President  
December 12, 1972

PR 6

Members, Board of Trustees:

APPROVAL OF CHARTER OF ORGANIZATION  
FOR UNIVERSITY OF KENTUCKY DEVELOPMENT COUNCIL

Recommendation: that the Board of Trustees approve a proposed Charter of Organization for the University of Kentucky Development Council.

Background: The University of Kentucky Development Council was established by the Board of Trustees on April 5, 1966, and assigned the function of serving in an advisory capacity to the President and the Trustees in all matters related to development of private giving to the University. As established by this action, the Council is limited to twenty members appointed by the Board of Trustees and five ex-officio members who are officers of the Board or of the University. The Council has operated within this framework for the ensuing six and one-half years.

In light of this experience, which has included observation of the development program's growth from virtual non-existence to one of respectable proportion, members of the Council believe its potential for even greater service to the University can be enhanced by greatly expanding the Council membership, by creating a board of directors of the Council, an executive committee of the board, and other committees to advise and assist the Office of Development staff in specialized fund-raising activities.

These goals are encompassed by a proposed Charter of Organization, which reaffirms that the Council's primary function remains that of advising and otherwise assisting in activities which encourage private gifts to the University from alumni, students, friends, corporations and foundations. The proposed Charter further reaffirms that all activities to be conducted by the Council will be within the authority granted it by the University Board of Trustees and/or the President.

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Action: Approved  Disapproved  Other

Date: December 12, 1972

THE UNIVERSITY OF KENTUCKY  
DEVELOPMENT COUNCIL  
CHARTER OF ORGANIZATION

Article I

Purpose

The Development Council of the University of Kentucky has as its main objective assisting in developing and increasing the facilities of the University of Kentucky for broader educational opportunities for and services to its students and alumni and to the citizens of the State of Kentucky, by encouraging gifts of money, property, works of art, historical papers and documents, museum specimens and other material having educational, artistic or historical value, within the authority given by the University Board of Trustees and, or the President of the University. To pursue this objective efficiently the Office of Development in the University Relations Division of the University has been vested with the responsibility to coordinate the University's various fund seeking efforts. Additionally, guidance for the conduct of the development program is provided in the University of Kentucky Administrative Regulations, of March 31, 1972: AR II-9.0-1 "Policies and Procedures for Soliciting, Receiving, Recording, and Acknowledging Gifts at the University of Kentucky."

## Article II

### Members

Section 1. Types of Memberships There shall be two types of membership, Ex Officio Membership and Regular Membership.

Ex Officio Membership shall consist of persons holding the position of President of the University, Chairman of the Board of Trustees of the University, Vice President of University Relations, President of the Alumni Association, and the Executive Director of Development.

Regular Membership not to exceed three hundred (300) in number shall consist of individuals elected by the membership upon the recommendation of the Nominating Committee and shall be primarily alumni of the University, and other persons approved by a majority of the Board of Directors of the Development Council who have evidenced a continuing interest in the University.

Section 2. Election of Members Ex Officio members shall become and remain members ex officio when and so long as they are incumbents of the offices mentioned in Section 1 of this Article. Regular members, not to exceed three hundred (300) in number, shall be elected as hereinafter provided to serve for a term beginning at the annual meeting to take place at the time of the Fall meeting of the Development Council subsequent to their election and until the second annual meeting subsequent thereto.

Commencing with the first election subsequent to the adoption of this section, and at each election thereafter, such number of regular

members shall be elected as the nominating committee shall determine, not exceeding, however, a number that would cause the total regular membership to exceed three hundred (300) in number. At each Annual Meeting of the members of the Development Council or within thirty (30) days thereafter, the Chairman of the Board of Directors shall appoint a committee of five (5) members to serve as a Nominating Committee of new members to succeed those whose term of membership will expire during the ensuing year.

The Nominating Committee, not later than six months after its appointment, shall report to the Chairman of the Board of Directors the names of its nominees for regular membership.

The names of all nominees shall be mailed to the membership within thirty (30) days after the receipt by the Chairman of the Board of Directors of the report of the Nominating Committee. Within thirty (30) days after the mailing of the names of the nominees to the membership, any ten (10) members may propose the names of other nominees and the nominating petition forwarded to the Secretary of the Development Council. If such additional nominations are made before the expiration of the thirty (30) day period, the Secretary shall send to the membership a ballot containing the names of all nominees, and all ballots, to be effective, must be received by the Secretary within thirty (30) days from the date of such mailing. The Secretary shall certify the result of such balloting to the

members at the next annual meeting in a report to be made and accepted by voice vote of the members attending

If, at the expiration of the thirty (30) day period, no additional nominations have been received, the Secretary of the Development Council shall cast a unanimous ballot for those selected by the Nominating Committee.

Section 3. Voting Rights Each member shall be entitled to one vote on each matter submitted to a vote of the members.

Section 4. Termination of Membership The Board of Directors by affirmative vote of two-thirds of all of the members of the Board may suspend or expel a member for cause after an appropriate hearing.

Section 5. Resignation Any member may resign by filing a written resignation with the Secretary.

Section 6. Transfer of Membership Membership on the Development Council is not transferable or assignable.

### Article III

#### Meetings of Members

Section 1. Annual Meetings The regular annual meeting of the members of the Development Council shall be held at a time and place to be designated by the Chairman of the Council, such meeting to be held for the purpose of electing directors and for the transaction of such other business as may come before the meeting.

Section 2. Special Meetings Special meetings of the members may be called at any time by the Chairman of the Development Council, by resolution adopted by the Board of Directors, or by not less than one-tenth of the members, and should be held at the place within or without the State of Kentucky designated in any such call.

Section 3. Notice of Meetings Written or printed notice stating the place, day and hour of any meeting of members shall be delivered, either personally or by mail, to each member entitled to vote at such meeting, not less than ten (10) days nor more than thirty (30) days before the date of any special meeting and not less than thirty (30) days before the date of the annual meeting, by or at the direction of the Chairman, or the Secretary, or the directors or members calling the meeting. In case of a special meeting or when required by these bylaws, the purpose for which the meeting is called shall be stated in the notice. If mailed, the notice of a meeting shall be deemed delivered when deposited in the United States mail addressed to the member at his address as it appears on the records of the Development Council, with postage thereon prepaid.

Section 4. Quorum Ten (10) members of the Development Council represented in person or by proxy shall constitute a quorum at any meeting of the members; provided that if less than ten (10) members are present in person or by proxy, a majority of the members present may adjourn the meeting from time to time without further notice.



Section 5. Proxies At all meetings of members a member entitled to vote may vote either in person or by proxy executed in writing by the member or by his duly authorized attorney in fact. Any proxy shall be filed with the Secretary before or at the time of the meeting. No proxy shall be valid after eleven (11) months from the date of its execution unless otherwise provided in the proxy.

#### Article IV

##### Board of Directors

Section 1. Definition Responsibility for achieving the greatest potential in fund raising shall be vested in a Board of Directors. The Board shall determine policy for particular fund raising activities or projects, within the authority given by the University Board of Trustees and/or the President of the University. The Board shall manage the affairs of the Development Council and exercise its powers.

##### Section 2. Functions and Powers of the Board of Directors

- a. To encourage voluntary contributions to the University;
- b. To help organize a structure that will involve alumni, friends, and students and maintain their interest in the objectives of the development program, and the educational goals of the University of Kentucky;
- c. To advise on and recommend methods of fund solicitation and promotion that will realize the greatest potential results;

- d. To elect and appoint officers and committees for the proper accomplishment of the development programs;
- e. To react and respond when requested and to make recommendations to the University on the various aspects of proposed fund-raising programs;
- f. To recommend short-term and long-term goals for the development program to the President or his delegated representative;
- g. To have the privilege of making recommendations to the appropriate person, persons, or official bodies on such subjects as personnel, budget, campaigns, use of money raised, etc. that affect the development program.

Section 3. Membership There shall not be less than 20 or more than 25 elected members on the Board of Directors. In addition to this number there shall be five ex-officio members as follows: President of the University; Chairman of the Board of Trustees of the University; Vice President for University Relations; President of the Alumni Association; and the Executive Director of Development. All ex officio members of the Board, with the exception of the Executive Director of Development, shall have one vote, the same number as each regular Board member. Of the 25 (elected) members, at least 14 must be alumni of the University of Kentucky and at least 2 shall be members of the Board of Trustees.

Section 4. Tenure Except for ex officio members whose tenure continues while they continue in the office that warrants Board member-

ship, each member shall serve for a period of three years and may succeed himself. Each term of office shall expire with the annual fall meeting, and each new term begins immediately following election and appointment by the President.

Section 5. Election Those members of the Board not serving by virtue of their office (ex officio) shall stand for election at the first meeting of the Board of Directors to be held at the time and place of the Annual Meeting of the Development Council in the Fall and after the election of regular members to the Development Council. The Nominating Committee will prepare a single slate of nominees equalling the number of expiring terms and vacancies due to other causes. The Nominating Committee report listing nominees shall accompany the meeting call, and the election will be held during the regular business of the meeting. Nominations from the floor shall be called for. Should there be more than one nominee for a vacancy, a vote of incumbent and out-going Board members shall be taken and the nominee receiving the greatest number of votes shall be declared elected, subject to approval and appointment by the President of the University of Kentucky.

Section 6. Officers Officers of the Board of Directors shall be a Chairman, Vice-Chairman, and Secretary who shall be the Executive Director of Development. These officers shall be elected at the meeting of the Board of Directors to occur at the time and place of the regular Annual Meeting of the Development Council held in the fall. They shall

hold office for a term of one year and are eligible for re-election. The Nominating Committee shall prepare a single slate of officer nominees to be presented to the Board of Directors. Nominations may be made from the floor. In the case of competing candidates the same procedure for selection shall be followed as outlined in Section 5, Election, above.

Section 7. Meetings The Board of Directors shall meet at times set by the Executive Committee. A meeting of the Board shall be held in the fall of the year at the time and place of the regular Annual Meeting of the Development Council and shall include in its business the election of Board Members and Officers. Special meetings may be called by the Chairman. Sixteen members of the Board shall constitute a quorum.

## Article V

### Committees

Section 1. Operating Committees The following and other such operating committees as may become necessary are authorized by the Charter of organization:

Executive Committee  
Annual Giving Committee  
UK Fellows Executive Committee  
Deferred Giving Committee  
Corporate and Foundation Committee  
Nominating Committee

### Section 2. Executive Committee

Purpose: The Executive Committee shall execute matters of policy and operations relative to the development program within the

scope granted by the University of Kentucky Board of Trustees and/or Directives established by the President of the University or his delegated representative

Powers and Duties:

- a. To represent and act for the Board of Directors between meetings, on any matter of policy or procedure, with all such actions taken by the committee made a matter of record, and reported at the next meeting of the Board of Directors.
- b. To receive from the Executive Director of Development progress reports on the development program.
- c. To act as an advisory group on matters of policy and procedures and, when indicated, to make recommendations on decisions to the Board.
- d. Upon request from the President of the University or his delegated representative, the Executive Committee shall have the responsibility to advise on matters relative to the development program.
- e. The Executive Committee may make recommendations for consideration by the President or his delegated representative on matters pertaining to the development program. Such items as budget, personnel, campaigns, are included in this category.

Membership: The Executive Committee shall consist of the Chairman of the Board of Directors, the Vice Chairman of the Board of Directors,

the Vice President for University Relations, and four voting members of the Board appointed by the Board Chairman. The Executive Director of Development shall act as the Executive Committee's secretary. The officers of the Board of Directors shall also serve as officers of the Executive Committee.

Meetings: The Executive Committee shall meet at the discretion of the chairman, or by call of at least three (3) members of the committee. A quorum shall consist of four (4) members.

Section 3. Operating Committee Procedures For the Annual-Giving, Corporate and Foundation, University of Kentucky Fellows, Deferred Giving and such other operating committees as may become necessary, the purpose, duties, membership and meeting schedule will be as follows:

Purpose: To help the University of Kentucky institute and/or carry on programs that will ensure the maximum amount of private support from alumni, students, and friends through such means as annual giving, the University of Kentucky Fellows, deferred gifts, and from corporations and foundations.

Duties: To counsel with and advise the professional staff of the Office of Development.

To make such recommendations to the Development Council Board of Directors as are related to the effective achievement of the objective of each committee;

To assist, cooperate and coordinate with staff on-going appeals, such as those conducted for the constituent funds each year;

To submit to the Vice President of University Relations such recommendations as requested by him with regard to particular programs;

In the case of the Corporate and Foundations Committee, to recognize that other University units, besides the Development Council, make appeals that result in contractual arrangements that are quite satisfactory, and outside the responsibility of the Development Council;

In the case of the Deferred Giving Committee, to review plans, brochures and other promotional materials for their appeal and use in obtaining the cooperation of attorneys, banking and trust officers, and insurance brokers to ensure an effective program for obtaining gifts for the University through bequests, trust arrangements, and other deferred instruments.

Membership: The Chairman of each operating committee, with the exception of the Executive Committee, shall be appointed by the Chairman of the Development Council Board of Directors. He shall hold office for a one year term and is eligible for re-appointment. Other members of each committee shall be appointed by the Chairman of the Board from among the Board's membership in consultation with the respective committee chairmen. Staff support will be assigned each Committee. Staff members are without vote.

Meetings: Each of the above named committees shall meet upon call of its chairman, following consultation with the appropriate staff person.

#### Section 4. Nominating Committee

Purpose: The Nominating Committee shall have the responsibility for presenting nominees to fill vacancies on the Board as they may occur due to expirations of terms, resignations, or inability to serve. In all cases they shall submit one name only for each vacancy.

Membership: At the Annual Meeting of The Board the Chairman shall present the names of five Board members to serve as members of the Nominating Committee, and indicate who is to be chairman. Additional persons may be nominated from the floor. Should there be nominations from the floor an election shall be conducted and the five persons receiving the greatest number of votes shall be elected.

Tenure: Members of the Nominating Committee shall serve for a one-year term and are eligible for re-election.

#### Article VI

#### Amendments

Section 1. Amendments This Charter of Organization may be amended by the Board of Directors at any stated meeting providing the proposed amendment is stated in the notice of the meeting. A two-thirds vote of the Board of Directors in attendance shall be necessary to pass an amendment. Amendments so passed shall be subject to approval by the President of the University before becoming effective.



Section 2. Copy of Charter of Organization The Secretary of the Development Council shall at all times keep in the Office of Development a true and correct copy of the Charter of Organization.

Article VII  
Implementation

Upon the approval, and adoption, of this Charter of Organization it shall be implemented in the following manner:

The membership of the Development Council, as it exists prior to the adoption of this charter, shall constitute the initial Regular Membership until the election of the Regular Membership, and it shall constitute the initial Board of Directors until the election of the Board of Directors. Further, the Chairman and Vice Chairman of the Development Council, as it exists prior to the adoption of this charter, shall serve as the initial chairman and Vice Chairman until the election of officers, and the Executive Director of Development shall serve as Secretary.

PRESIDENT'S STATEMENT ON REPORT BY  
COMMISSION ON UNIVERSITY HOUSING POLICIES

December 12, 1972

In the past decade, many changes in institutional policy have been effected by the University, as in most other institutions of higher education, for the purpose of improving the depth and breadth of educational opportunity and recognizing the rights and responsibilities of students.

Both academic and non-academic relationships between the University and its students have been more precisely defined in terms that are consistent both with Constitutional guarantees of individual rights and with Kentucky law.

One of the most controversial issues to confront institutions of higher education during this period has been the student challenge to traditional policies relating to residence-hall living patterns. As young persons have been granted citizenship, voting rights, and adult recognition by many states, they have increasingly pressed for liberalization of university housing policies.

It should be remembered that only one-fourth of the students on the Lexington campus live in University-owned housing. Nevertheless, they have been persistent in seeking the adoption of policies that would provide them with an opportunity to choose life styles that are commonly accepted in contemporary

society, widely practiced on campuses throughout the country, and more nearly approximate the life patterns they will encounter upon leaving the campus. Further, they question policies restricting their lives but which do not similarly restrict the far larger portion of the student body which happens to live off campus.

In response to these concerns, I appointed last May a Commission on University Housing Policies to advise me on needed changes in our policies, particularly with respect to visitation, coeducational housing, and women's curfew hours.

Composed of three trustees, six students, and four staff members of the University, the Commission began its work by reviewing existing policies, proposals that had been submitted, surveys of other institutions which had been conducted by our own staff, research reports, and articles on the subject which have appeared in various journals.

After thorough study of the question, the Commission submitted its report to me last month. Before I had adequate time to examine the report and determine the feasibility and cost of implementing its recommendations either in whole or in part, certain portions of it became the topic of public discussion. Unfortunately, these public discussions have been held in the absence of any real information as to the nature of the recommendations.

During this past week, my staff and I completed our evaluation of the report, and I mailed a copy of it to each of the Trustees with the explanation that I proposed to discuss it publicly at

today's meeting. I would like to emphasize that there has been no intention on the part of anyone in the University to enshroud the report in secrecy. To the contrary, I expected to discuss the report with the Trustees in public session after I had an opportunity to fully study its recommendations and their possible implications.

At this time, I would like to review each of the Commission's ten recommendations with you.

#### Recommendation 1

"That, commencing fall 1973, one lounge facility in each of three residence hall areas be open 24 hours per day, seven days per week. Specifically, it is recommended that such lounges be developed in Complex Commons, Haggin Hall, and Keeneland Hall."

During the past semester, a study-lounge area has been kept open 24 hours a day, seven days a week, in one of our halls. This area was established on a trial basis, and to my knowledge it has created no special problems for the University or our students. To the contrary, it has met the legitimate needs of students to study together and engage in discussions in a safe place at any hour convenient to them.

The expense entailed in providing these three areas relates to the supervision that will be needed, and this expense is minimal in relation to the benefits which can be derived.

The only amendment I would make to this recommendation is that one of the three study-lounges will probably be established in Holmes Hall rather than in Keeneland.

Recommendation 2

"That following review and evaluation by the standing committee (see Recommendation 10) and upon that committee's recommendation, other residence hall lounges should be renovated as rapidly as fiscally practicable, toward the ultimate objective of establishing one such lounge in each residence hall."

(Recommendation 10 is "That a standing committee be appointed by the President to evaluate residence hall policies and advise the University administration with respect to needed changes in such policies.")

The benefits to be attained from having periodic evaluation of our policies by and the advice of such a committee are obvious. Further, I plan to ask the committee to periodically assess the operations of the study-lounges and to make appropriate recommendations concerning them.

Recommendation 3

"That, effective fall 1973, the visitation policy be altered to comply with the following:

a. Upperclass halls (sophomores, juniors, and seniors)

Fridays: 6:00 p.m. to 1:00 a.m.

Saturdays: 12:00 noon to 1:00 a.m.

Sundays: 12:00 noon to 10:00 p.m.

Such hours should be routinely scheduled unless cancelled or reduced (1) by action of the residence hall government, (2) by petition to the Dean of Students, signed by 30 per cent of the hall residents, or (3) by action of the Dean of Students Office for specified cause.

b. Freshman halls

Fridays: 6:00 p.m. to 1:00 a.m.

Saturdays: 12:00 noon to 1:00 a.m.

Sundays: 12:00 noon to 10:00 p.m.

Within the framework of such hours, a freshman hall should be able to elect to utilize up to fifteen hours per week for visitation."

Implementation of Recommendation 3 does not provide for a significant departure from existing policy regarding visitation in our halls, a policy that has been in effect here since 1969. It does represent an extension of that established policy.

Currently, each residence hall may plan three open houses per weekend during certain prescribed hours. Existing policy also requires prior approval, appropriate supervision, and adequate safety and security measures.

Recommendation 3 calls for the following changes in existing policy: Expansion in the number of hours per week, routine scheduling, and precise conditions for cancellation or reduction of visitation.

It does not provide for unlimited visitation. The policy is not in effect seven days a week; it is restricted to weekends only. It is not suggested that there be visitation on a 24-hour-per-day basis; it is restricted to a total of 30 hours per week within certain specified times. Supervision is provided and visitation can be reduced or cancelled either by the residence hall government, the Dean of Students Office, or by a petition signed by 30 per cent of the hall residents.

This recommendation was unanimously approved by the Commission. While many students will believe it to be restrictive, it is my opinion that it represents a reasonable approach to our visitation program. It provides opportunity for students to meet informally on the campus in supervised facilities in contrast to the total permissiveness of off-campus socialization. The recommendation is consistent with the legal trends to grant adult rights to persons who are 18 years of age, an age that all but a very few of our students have attained or passed, and yet it establishes maximum hours for visitation and limits visitation to weekends. Further, it provides for reduction of the hours or cancellation of visitation privileges by student and/or staff action.

You may be interested in knowing that a 1970 survey of visitation policies at 296 U. S. institutions having membership in the Association of College and University Housing Officers revealed the following facts:

86 per cent of the institutions permitted room visitation,  
with 91 per cent of these permitting freshman visitation.  
32 per cent permitted 24-hour visitation on one or more  
days per week.

Last year, the Office of our Dean of Students completed a survey of visitation policies at 60 publicly supported colleges and universities in Kentucky and nearby states. This survey indicated that 55 of the 60 permitted some form of visitation by the opposite sex in private rooms of residence halls. Of these, 39 had regularly-scheduled visitation and the remaining 16 had university-arranged open houses.

It is evident that the University of Kentucky has for some time had a conservative and successful visitation program, and that the experience at UK does not provide credence to the commonly expressed opinion that the program inevitably leads to all sorts of immoral activity.

My amendment to this recommendation is to add a requirement that the University provide space in its housing facilities for both male and female students who choose not to live in areas where visitation rules exist. The right to elect not to participate in visitation should also be protected.

#### Recommendation 4

"That the University administration make appropriate arrangements for administration of the visitation policy and adequate security and supervision, the cost of which will have to be borne from residents' room and board payments. In addition the University should specifically clarify the respective responsibilities of students and staff during periods of visitation."



Certainly, it is my plan to provide adequate security and supervision for the expanded visitation program and to carry out the other stipulations of this recommendation.

While a Student Government Survey of Student Opinion in UK Residence Halls Concerning Visitation Privileges indicated that only 0.6 per cent of the respondents felt there should be no visitation privileges, I want to emphasize again that the University will provide housing facilities where no visitation is permitted for those men and women students who do not choose to have visitation. Further, visitation privileges will be cancelled whenever and wherever there is sufficient cause for such action.

#### Recommendation 5

"That, effective the 1973-74 year, for those Cooperstown buildings currently utilized for single-student housing, separation of the sexes be by apartment rather than by building."

The Cooperstown buildings have self-contained apartments. These facilities are reserved for use by single graduate and professional students. As this recommendation indicates, these students are currently segregated by sex in separate buildings-- women in one building and men in two others.

Last year, almost 100 per cent of the residents of these apartments petitioned us to discontinue the segregation by building; though continuing, of course, separation of the sexes by apartments.

This recommendation would simply effect an arrangement that is common in commercially operated apartment buildings here in Lexington and elsewhere.

Recommendation 6

"That Blanding II continue to be used as a coeducational hall for graduate and professional students. The current practice of permitting the residents of Blanding II to regulate their own visitation hours should be continued. A head resident should be employed as head of hall in Blanding II."

Since the opening of the Blanding-Kirwan Complex in 1967, Blanding II has been used as a coeducational hall for graduate and professional students, with women on one floor and men on two floors.

The University's experience with this hall has been successful and has posed no serious problems.

This recommendation is essentially an endorsement of an existing University policy, rather than the creation of a new one.

Recommendation 7

"That, commencing in the 1973-74 year, the University offer coeducational housing for undergraduate students on a limited, well-defined basis. Three alternatives have been identified as having potential for consideration: Keeneland Hall, one of the low-rise buildings in the Kirwan-Blanding Complex, and Cooperstown,

listed in order of preference; however, any of the three is acceptable. It is recommended that one of those three alternatives be designated as an undergraduate coeducational unit. Residence should be limited to juniors and seniors, with preference for seniors. Residents of this unit should be under the same external visitation regulations as in other upperclass units. The University administration should make appropriate arrangements for administration of the coeducational unit and adequate security and supervision, the cost of which must be borne from residents' room and board payments. In addition, the University should specifically clarify the respective responsibilities of students and staff in coeducational units."

This recommendation has become the topic of considerable public discussion. Because of the controversy that has arisen, I would like to explain the Commission's rationale which undergirded the recommendation.

Certainly, the Commission took note of the positive four-year experience the University has had with its coed hall for graduate and professional students.

Further, the Commission reviewed many studies and reports which supported the concept of coed housing. These have indicated the following:

1. Residents of coed halls tend to demonstrate more responsible behavior and better manners than those in traditional male and female halls.

2. Coed halls encourage good study habits, cultural programming, and intellectual discussions and achievement to a greater degree than their non-coed counterparts.

3. Adjustment to the academic environment tends to improve in coed halls.

4. Physical damage to the furniture and furnishings is significantly less in coed housing than in men's residence halls.

5. Fears of promiscuity have not been confirmed. To the contrary, many studies indicate that coed halls tend to produce platonic relationships between the male and female residents.

These are only some of the reasons that coed housing is a reality on many campuses. It is estimated that over 300 major colleges now offer some coed living arrangements. Clearly, this concept is now beyond the experimental stage.

It is important to note that no university has reported that a coed hall has led to anarchy or hedonism among its residents.

A survey of the 296 institutions having membership in the Association of College and University Housing Officers indicated that 70 per cent provided some type of coed housing facilities, and in 70 per cent of these, various kinds of coed housing arrangements were available to undergraduate students.

The survey of 60 publicly-supported colleges and universities in Kentucky and nearby states, which was conducted last year by the Office of our Dean of Students, indicated that 28 of the institutions had coed residence halls. Among these were the University of Tennessee, University of Alabama, University of North Carolina at Chapel Hill, University of Florida, VPI, West Virginia University, Ohio State University, the University of

Illinois, Purdue University, North Carolina State University, the University of Virginia, Vanderbilt University and the University of Louisville. All of the halls at the University of Louisville are coeducational.

Another Kentucky institution which has coed housing is Centre College, which has two residence halls that house both male and female students.

The Commission unanimously approved Recommendation 7. Our experience with coed housing in Blanding II has been positive, and this recommendation calls for the University to establish only one undergraduate coed hall with residence limited to upper-division students.

Let me emphasize, again, that only one of our 18 residence halls is to be converted to a coed hall, and it will be operated on a trial basis under careful supervision. We will select a low-rise building which will accommodate approximately 160 students.

Residence in this hall will be strictly voluntary--no one will be required to live in it.

Students will be encouraged to consult with their parents prior to applying for residence in the hall.

Male and female students will be assigned to separate floors and will not share common bathroom facilities.

A full-time head resident will be assigned to the hall. In addition, two staff members will be assigned to each floor for security and supervision purposes.

There will be no internal visitation permitted in the hall after the building is closed and locked for the evening until it is reopened the next morning. (All residence halls are locked at 12 p.m. on Sunday through Thursday and at 2 a.m. on other nights).

Visitation privileges for non-residents of the hall will be the same as in all other halls.

As is the case with the other halls, during the time when the building is open for visitation by non-residents, only one entrance will be open. All other entrances will be "fire locked." Further, as in the other halls, each guest will be required to sign a log upon entry into and exit from the building, and all guests must be met by their hosts. Any violation of these procedures will result in the forfeiture of the host's privilege to have guests.

#### Recommendation 8

"That the current curfew hours for freshman women living in residence halls be continued."

At the present time, all male students, and all female sophomores, juniors, and seniors have self-regulated hours. Freshman women, however, must be in their halls at midnight from Sunday through Thursday and at 2 a.m. on Friday and Saturday nights until after the Thanksgiving holiday at which time they have self-regulated hours.

Although there are objections to this policy on the basis of alleged discrimination against women, I am not aware of substantial concern about the matter among our female freshman students. Moreover, there is considerable justification for having curfew hours during the first several weeks of the freshman year.

It is possible that this policy will have to be reexamined following the final resolution of a case now in the courts.

#### Recommendation 9

"That, fiscal and legal conditions permitting, the University continue to allow all students the option of living in non-University housing--thus providing each student the opportunity to select a living arrangement which may not be provided in University housing."

This is basically an endorsement of the University's present policy and contains no new recommendation or action.

#### Recommendation 10

"That a standing committee be appointed by the President to evaluate residence hall policies and advise the University administration with respect to needed changes in such policies."

The Commission has served as an ad hoc group and has completed its work with the submission of this Report. However, the success which the Commission had in resolving difficult issues among disparate parties, the institution's need for continuing

review and evaluation of its housing policies, the administration's need for advice on such matters, and the trial nature of the coed hall for juniors and seniors, point to the benefits that can be obtained from carrying out this recommendation. I plan to appoint such a committee at an early date.

This completes my summarization of the Commission's ten recommendations. In my opinion, the members of the Commission have performed their assigned task commendably. Their recommendations are fairly conservative in relation to policies adopted by many of our sister institutions and are based on moderate, controlled, change accompanied by systematic evaluation, periodic review, and subsequent revision of policy if indicated.

The various recommendations can be implemented at an estimated additional cost of approximately \$8.50 per semester per student occupying university housing. (\$17 for the year).

I believe that implementation of these recommendations will represent a step forward by the University in recognizing the legitimate desire of our students for greater responsibility in the direction of their personal lives than they now have. I am confident that the vast majority of them have the maturity and good judgment to assume this responsibility. I am, therefore, within the administrative discretion delegated to me, proposing to implement the recommendations of the Commission unless this Board of Trustees instructs me not to do so.



Finance Committee Report  
December 12, 1972

FCR 1

Members, Board of Trustees:

INTERIM FINANCIAL REPORT

Recommendation: that the financial report for the four months ending October 31, 1972, be accepted.

Background: The October 31, 1972 report reflects income of \$57,396,632 for the period of the report; the income figure is 45.9% of the annual income estimate of \$125,157,009.

The expenditure and commitments represent a total of \$41,486,795 for this period of four months, or 33.1% of the expenditure authority of \$125,157,009 approved by the Board.

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Action: Approved   X   Disapproved            Other           

Date: December 12, 1972

UNIVERSITY OF KENTUCKY

Lexington, Kentucky

November 20, 1972

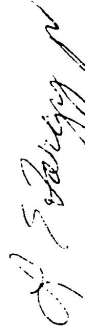
Office of Vice President  
Business Affairs and Treasurer

Dr. Otis A. Singletary, President  
University of Kentucky  
Lexington, Kentucky

Dear Dr. Singletary:

The interim financial report of the University of Kentucky for the four months ended October 31, 1972 is submitted herewith. This is the third such report of the current fiscal year and is intended to set forth a complete and permanent record of the financial affairs of the University for the period covered.

Respectfully submitted,



L. E. Forgy, Jr.  
Vice President-Business Affairs  
and Treasurer

Schedule 1

University of Kentucky  
Balance Sheet  
October 31, 1972  
With Comparative Figures for 1971

ASSETS

	<u>1972</u>	<u>1971</u>
<b>Current Funds:</b>		
<b>Unrestricted:</b>		
Cash in bank:		
Depository funds	\$ 1,162,028	\$ 2,559,730
Federal funds		77,951
Imprest funds	<u>707,182</u>	<u>28,063</u>
Total cash	<u>1,869,210</u>	<u>2,665,744</u>
State funds--unexpended balances:		
Trust and agency funds	2,674,843	4,793,093
General fund appropriation	12,165,664	11,596,543
Due from other funds and affiliated corporations	4,183,882	4,598,803
Accounts receivable	1,032,247	774,327
Notes receivable	72,144	72,194
Inventories	2,212,822	2,175,157
Unrealized income	<u>46,144,196</u>	<u>40,570,107</u>
Total unrestricted	<u>70,355,008</u>	<u>67,245,968</u>
<b>Restricted:</b>		
Cash in bank	816,951	300,899
Tobacco Research Trust Fund:		
Imprest funds	23,891	22,853
Trust and agency	3,722,093	2,681,357
Petty cash advances	255,209	150,970
Travel advances	38,732	83,744
Accounts receivable	83,866	30,233
Investments:		
Short-term	5,600,619	5,097,050
Other	875,267	854,882
Unrealized income:		
Restricted	2,451,260	2,061,327
Tobacco Research Trust Fund	<u>2,417,446</u>	<u>1,539,977</u>
Total restricted	<u>16,285,334</u>	<u>12,823,292</u>

University of Kentucky  
Balance Sheet  
October 31, 1972

Schedule 1

With Comparative Figures for 1971

LIABILITIES AND FUND BALANCES

	<u>1972</u>	<u>1971</u>
<b>Current Funds:</b>		
<b>Unrestricted:</b>		
Outstanding encumbrances	\$ 2,424,997	\$ 2,977,444
Accounts payable-county funds	25,380	30,174
Due to Federal government	111,205	472,784
Advance from state for imprest cash fund	800,000	650,000
Deferred income	389,653	231,417
Unencumbered balance, departmental appropriations	60,043,815	57,051,255
<b>Fund balances:</b>		
Allocated:		
Reserve for inventories	1,991,743	2,019,094
Reserve for notes receivable	71,190	71,190
Reserve for accounts receivable	73,316	
Reserve for undistributed accrued payroll expense	527,000	336,000
Reserve for student registration fees cancellation	487,500	121,312
Workmen's compensation payments	65,000	49,208
Future operating purposes	872,823	518,583
Future construction		631,730
Unallocated	<u>2,471,386</u>	<u>2,085,777</u>
<b>Total unrestricted</b>	<u>70,355,008</u>	<u>67,245,968</u>
<b>Restricted:</b>		
Outstanding encumbrances	320,549	244,580
Due to other funds and affiliated corporations	3,444,206	3,811,778
Advance from state for imprest cash fund	50,000	12,000
Outstanding check liability	23,569	24,620
Undistributed collections and clearing	3,513,646	2,062,711
Unencumbered balance, departmental appropriations	2,220,816	1,683,020
Unencumbered balance, Tobacco Research Trust Fund appropriations	2,272,788	1,681,048
<b>Fund balances:</b>		
<b>Restricted fund</b>	<u>1,130,907</u>	<u>1,038,949</u>
<b>Tobacco Research Trust Fund-unallocated funds</b>	<u>3,308,853</u>	<u>2,264,586</u>
<b>Total restricted</b>	<u>16,285,334</u>	<u>12,823,292</u>

Schedule 1

University of Kentucky  
 Balance Sheet  
 October 31, 1972  
 With Comparative Figures for 1971

ASSETS

	<u>1972</u>	<u>1971</u>
<b>Hospital and clinics:</b>		
Cash in bank-depository funds	\$ 1,739,399	\$ 1,21,672
Trust and agency funds	3,265,488	1,421,479
Due from other funds	295,762	2,729,310
Accounts receivable, net of allowance for doubtful accounts	<u>9,867,108</u>	<u>338,272</u>
Inventories		8,396,095
Unrealized income		<u>13,006,828</u>
<b>Total hospital and clinics</b>	<u>15,167,757</u>	<u>26,507</u>
<b>Housing and dining system:</b>		
Cash	1,228,652	1,816,561
Due from other funds and affiliated corporations	712,019	166,545
Accounts receivable	177,673	726,886
Inventories	762,305	906,223
Prepaid expenses	909,395	<u>12,988</u>
Accrued interest income		
<b>Total housing and dining system</b>	<u>3,790,044</u>	<u>3,655,710</u>
<b>Auxiliary enterprises:</b>		
Cash in bank	392,103	51,620
Due from other funds and affiliated corporations	54,771	498,338
Accounts receivable	24,466	23,016
Inventories	389,971	338,517
Unrealized income	<u>1,410,228</u>	<u>1,147,948</u>
<b>Total auxiliary enterprises</b>	<u>2,271,539</u>	<u>2,059,439</u>
<b>TOTAL CURRENT FUNDS</b>	<u>\$107,869,682</u>	<u>\$ 98,791,237</u>

University of Kentucky  
Balance Sheet  
October 31, 1972

Schedule 1

With Comparative Figures for 1971

LIABILITIES AND FUND BALANCES

	<u>1972</u>	<u>1971</u>
Hospital and clinics:		
Outstanding encumbrances	\$ 163,776	\$ 146,623
Due to other funds		24,247
Unexpended balance, departmental appropriations	12,010,062	10,350,100
Fund balances-allocated:		
Reserve for inventories	308,997	326,816
Reserve for accounts receivable	<u>2,684,922</u>	<u>2,159,042</u>
Total hospital and clinics	<u>15,167,757</u>	<u>13,006,828</u>
Housing and dining system:		
Accrued wages	123,113	102,681
Accounts payable	328,555	178,895
Accrued expenses	4,584	
Deferred income	1,509,701	1,446,673
Fund balances:		
Funds for renewals and replacements	1,265,319	1,327,166
Allocated for future operating purposes	<u>558,772</u>	<u>600,295</u>
Total housing and dining system	<u>3,790,044</u>	<u>3,655,710</u>
Auxiliary enterprises:		
Outstanding encumbrances	106,524	229,344
Unencumbered balance, departmental appropriations	1,256,432	1,130,579
Fund balances:		
Reserve for inventories	389,971	338,517
Funds for renewals and replacements	21,108	7,348
Allocated for future operating purposes	<u>497,504</u>	<u>353,651</u>
Total auxiliary enterprises	<u>2,271,539</u>	<u>2,059,439</u>
TOTAL CURRENT FUNDS	<u>\$107,869,682</u>	<u>\$98,791,237</u>

Schedule 1

University of Kentucky  
Balance Sheet  
October 31, 1972

With Comparative Figures for 1971

ASSETS

	<u>1972</u>	<u>1971</u>
<b>Loan Funds:</b>		
Cash in bank	\$ 91,238	
Notes receivable	6,614,242	\$ 5,663,523
Due from other funds	216,000	
Due from Federal Government	7,144	12,545
Due from United Student Aid loan fund	<u>2,000</u>	<u>2,000</u>

**TOTAL LOAN FUNDS**

\$ 6,930,624    \$ 5,678,068

**Endowment Funds:**

Cash	\$ 94,541	\$ 132,280
Investments	<u>1,120,755</u>	<u>880,113</u>

**TOTAL ENDOWMENT FUNDS**

\$ 1,215,296    \$ 1,012,393

**Plant Funds:**

Unexpended plant funds:

Cash on deposit with State Treasurer	\$ 1,154,915	\$ 1,526,955
Capital construction funds	18,112,574	18,598,282
Due from Federal Government	918,994	1,907,457
Due from other funds		
Construction funded	<u>135,777,873</u>	<u>114,451,841</u>

**Total unexpended plant funds**

155,964,356    136,484,535

University of Kentucky  
Balance Sheet  
October 31, 1972  
With Comparative Figures for 1971

	<u>1972</u>	<u>1971</u>
<b>Loan Funds:</b>		
Due to other funds		\$ 36,539
Federal sponsored loan funds:		
Federal government	\$ 5,974,130	4,845,454
University matching funds	557,397	454,898
Accumulated interest income	263,156	214,717
Total Federal sponsored loan funds	<u>6,794,683</u>	<u>5,515,069</u>
University loan funds:		
University student loan funds	56,938	53,653
University special student loan funds	77,003	70,807
United Student Aid loan fund	2,000	2,000
Total University loan funds	<u>135,941</u>	<u>126,460</u>
<b>TOTAL LOAN FUNDS</b>	<u>\$ 6,930,624</u>	<u>\$ 5,678,068</u>
<b>Endowment Funds:</b>		
Fund balances	<u>\$ 1,215,296</u>	<u>\$ 1,012,393</u>
<b>TOTAL ENDOWMENT FUNDS</b>	<u>\$ 1,215,296</u>	<u>\$ 1,012,393</u>
<b>Plant Funds:</b>		
Unexpended plant funds:		
Outstanding encumbrances	\$ 13,149,327	\$ 5,719,452
Due to Commonwealth of Kentucky	51,500	7,622
Due to Other Funds	89	
Bonds payable	18,588,716	23,470,886
Appropriations-unencumbered balances	15,279,843	14,405,664
University equity in projects	<u>108,894,881</u>	<u>92,880,911</u>
Total unexpended plant funds	<u>155,964,356</u>	<u>136,484,535</u>



Schedule 1

University of Kentucky  
 Balance Sheet  
 October 31, 1972  
 With Comparative Figures for 1971

	<u>ASSETS</u>	<u>1972</u>	<u>1971</u>
<b>Retirement of indebtedness funds:</b>			
Cash on deposit with State Treasurer		\$ 88,320	\$ 865,512
Unrealized income		<u>2,932,825</u>	<u>7,310,958</u>
<b>Total retirement of indebtedness funds</b>		<u>3,021,145</u>	<u>8,176,470</u>
<b>Sinking funds:</b>			
Cash on deposit with Trustee		3,120,334	29,404,963
Investments held by Trustee		<u>12,585,516</u>	<u>11,130,395</u>
<b>Total sinking funds</b>		<u>15,705,850</u>	<u>40,535,358</u>
<b>Invested in plant:</b>			
Land		11,799,073	10,916,659
Buildings		165,258,628	159,154,576
Equipment		<u>41,407,129</u>	<u>38,706,371</u>
<b>Total invested in plant</b>		<u>218,464,830</u>	<u>208,777,606</u>
<b>TOTAL PLANT FUNDS</b>		<u>\$ 393,156,181</u>	<u>\$ 393,973,969</u>
<b>Agency Funds:</b>			
Cash in bank		\$ 364,884	\$ 3,377
Due from other funds			236,064
Investments -short term		<u>3,000</u>	<u>3,000</u>
<b>TOTAL AGENCY FUNDS</b>		<u>\$ 367,884</u>	<u>\$ 242,441</u>

University of Kentucky  
 Balance Sheet  
 October 31, 1972  
 With Comparative Figures for 1971

LIABILITIES AND FUND BALANCES

	<u>1972</u>	<u>1971</u>
Retirement of indebtedness funds:		
Unexpended balances, debt service	\$ 2,932,825	\$ 7,310,958
Funds balances	<u>88,320</u>	<u>865,512</u>
Total retirement of indebtedness funds	<u>3,021,145</u>	<u>8,176,470</u>
Sinking funds:		
Notes payable		28,100,000
Bonds payable	4,523,000	4,689,000
Funds balances	<u>11,182,850</u>	<u>7,746,358</u>
Total sinking funds	<u>15,705,850</u>	<u>40,535,358</u>
Invested in plant:		
Bonds payable	81,721,284	78,436,614
Net investment in plant	<u>136,743,546</u>	<u>130,340,992</u>
Total invested in plant	<u>218,464,830</u>	<u>208,777,606</u>
TOTAL PLANT FUNDS	<u>\$ 393,156,181</u>	<u>\$ 393,973,969</u>
Agency Funds:		
Due to other funds	\$ 3,384	\$
Agency funds balances	<u>364,500</u>	<u>242,441</u>
TOTAL AGENCY FUNDS	<u>\$ 367,884</u>	<u>\$ 242,441</u>

Schedule 2

University of Kentucky  
 Schedule of Changes in Fund Balances-Current Funds  
 Four Months Ended October 31, 1972

	Unallocated	Allocated for Future Operating Purposes	Restricted	Tobacco Research Trust Fund	Hospital and Clinics	Housing and Dining System Funds for Renewals and Auxiliary Replacements Enterprises
Balance July 1, 1972	\$ 2,458,947	\$ 4,141,723	\$ 1,130,907	\$ 3,308,853	\$ 2,993,919	\$ 495,110
<b>Allocations:</b>						
Current operations:						
Original budget						
				( 3,268,900)		
Current year provisions						63,662
Adjustments of prior year expenditures	12,439					
Balance October 31, 1972	\$ 2,471,386	\$ 872,823	\$ 1,130,907	\$ 3,308,853	\$ 2,993,919	\$ 558,772
						\$ 497,504

Schedule of Changes in Unrestricted Fund and  
Hospital Estimated Income  
For the Four Months Ended October 31, 1972

Schedule 3

	<u>Original Budget</u>	<u>July and August</u>	<u>September</u>	<u>October</u>	<u>Adjusted Budget</u>
<b>Unrestricted Fund:</b>					
Student fees	\$ 13,371,500			\$ 63,000	\$ 13,434,500
State appropriations	61,114,400		\$ ( 350,000)		60,764,400
Federal appropriations	7,400,100	\$ 49,993		120,000	7,570,093
County appropriations	964,900				964,900
Endowment and trust fund income	158,200				158,200
Gifts and grants	2,838,400	191,500			3,029,900
Sales, services and other income	3,150,947	7,007		8,900	3,166,854
Unallocated fund balances appropriated	<u>3,268,900</u>				<u>3,268,900</u>
<b>Total unrestricted</b>	<u>\$ 92,267,347</u>	<u>\$ 248,500</u>	<u>\$ ( 350,000)</u>	<u>\$ 191,900</u>	<u>\$ 92,357,747</u>
<b>Hospital and clinics:</b>					
State appropriations	\$ 4,684,200		\$ 350,000		\$ 5,034,200
Hospital income	<u>11,100,000</u>				<u>11,100,000</u>
<b>Total hospital and clinics</b>	<u>\$ 15,784,200</u>		<u>\$ 350,000</u>		<u>\$ 16,134,200</u>

Schedule of Changes in Unrestricted Fund  
and Hospital Expenditure Appropriations  
For the Four Months Ended October 31, 1972

Schedule 4

	Unrestricted	Hospital and Clinics	Total
Original budget	\$ 92,267,347	\$ 15,784,200	\$ 108,051,547
Increases ( decreases ) as approved by Board of Trustees:			
August	248,500		248,500
September	( 350,000)	350,000	
October	191,900		191,900
Total per Report	\$ 92,357,747	\$ 16,134,200	\$ 108,491,947

Schedule 5

University of Kentucky  
 Summary of Current Funds Revenues and Appropriated Balances  
 Four Months Ended October 31, 1972  
 With Comparative Figures for 1971

Fund and Source	October 31, 1972			October 31, 1971		
	Estimated For the Year	Realized to Date	% Realized	Estimated For the Year	Realized to Date	% Realized
Unrestricted:						
Student fees	\$ 13,434,500	\$ 6,185,004	46.0	\$ 10,191,300	\$ 5,929,648	58.2
State appropriations	60,764,400	34,092,986	56.1	57,227,421	31,494,585	55.0
Federal appropriations	7,570,093	1,333,734	17.6	6,842,716	2,048,967	29.9
County appropriations	964,900	233,900	24.2	845,943	185,298	21.9
Endowment and trust fund income	158,200	35,953	22.7	157,200	44,465	28.3
Gifts and grants ( includes grants from affiliated corporations )	3,029,900	120,234	4.0	3,041,500	19,752	.7
Sales, services and other income	3,166,854	942,840	29.8	2,755,800	769,058	27.9
Unallocated fund balances appropriated	3,268,900	3,268,900	100.0	2,765,000	2,765,000	100.0
Total unrestricted	92,357,747	46,213,551	50.0	83,826,880	43,256,773	51.6
Restricted	3,298,214	846,954	25.7	2,648,798	587,471	22.2
Tobacco Research Trust Fund	3,124,700	707,254	22.6	2,276,521	736,544	32.4
Hospital and clinics:						
State appropriations	5,034,200	2,529,873	50.3	4,353,879	2,371,215	54.5
Hospital earned income- net	11,100,000	3,737,219	33.7	9,875,328	3,461,897	35.1
Total hospital and clinics	16,134,200	6,267,092	38.8	14,229,207	5,833,112	40.9
Housing and dining system	8,079,621	2,609,482	32.3	7,908,159	2,585,600	32.7
Auxiliary enterprises	2,162,527	752,299	34.8	2,298,576	1,150,628	50.0
TOTAL CURRENT FUNDS AND APPROPRIATED BALANCES	\$ 125,157,009	\$ 57,396,632	45.9	\$ 113,188,141	\$ 54,150,128	47.8

Note: Certain reclassifications have been made to the figures for the four months ended October 31, 1971 for the purpose of comparability.

University of Kentucky  
 Summary of Current Funds Expenditures  
 Four Months Ended October 31, 1972  
 With Comparative Figures for 1971

Fund or Function	October 31, 1972			October 31, 1971		
	Appropriation for the Year	Expended or Encumbered to Date	% Expended or Encumbered to Date	Appropriation for the Year	Expended or Encumbered to Date	% Expended or Encumbered to Date
<b>Unrestricted:</b>						
Departments of instruction and research	\$ 37,186,063	\$ 11,827,722	31.8	\$ 33,495,409	\$ 10,824,284	32.3
Summer session-1972	786,347	721,235	91.7	608,535	638,490	104.9
Organized activities	603,291	152,941	25.4	551,403	205,620	37.3
Organized research	7,144,870	2,436,853	34.1	6,608,073	2,270,633	34.4
Libraries	2,997,904	1,234,922	41.2	2,652,595	1,288,382	48.6
Extension and public services	10,708,858	3,452,435	32.2	9,857,439	3,404,478	34.5
Maintenance and operation of plant	6,933,839	2,250,040	32.5	6,328,914	2,225,406	35.2
Renovation, office furniture, etc.		9,100		750,000	750,000	100.0
General administration	6,131,134	1,569,680	25.6	5,277,300	1,520,068	28.8
Student services	2,663,249	916,521	34.4	2,411,183	797,833	33.0
Staff benefits	6,852,497	1,997,127	29.1	5,854,763	1,680,979	28.7
General institutional services	2,314,834	562,000	24.3	2,129,852	504,129	23.7
Service enterprises	201,748	80,941	40.1	131,223	129,101	98.4
Debt service cost	5,531,100	4,773,759	86.3	5,531,100		
Student aid	1,218,754	328,657	27.0	809,833	536,222	66.2
Reserves	1,083,259			829,258		
<b>Total unrestricted</b>	<b>92,357,747</b>	<b>32,313,933</b>	<b>35.0</b>	<b>83,826,880</b>	<b>26,775,625</b>	<b>31.9</b>

Schedule 6

University of Kentucky  
 Summary of Current Funds Expenditures  
 Four Months Ended October 31, 1972  
 With Comparative Figures for 1971

Fund or Function	October 31, 1972			October 31, 1971		
	Appropriation for the Year	Expended or Encumbered to Date	% Expended or Encumbered to Date	Appropriation for the Year	Expended or Encumbered to Date	% Expended or Encumbered to Date
<b>Restricted:</b>						
Departments of instruction and research	\$ 1,502,306	\$ 392,419	26.1	\$ 1,133,052	\$ 189,780	16.7
Organized activities	25,500	176	.7			
Organized research	144,114	23,376	16.2	165,724	45,497	27.5
Libraries	38,650	2,401	6.2	17,620	2,079	11.8
Extension and public services	305,852	46,686	15.3	431,282	206,425	47.9
General institutional services	112,082	29,744	26.5	108,670	27,300	25.1
Student aid	1,169,710	593,961	50.8	792,450	494,697	62.4
Total restricted	3,298,214	1,088,763	33.0	2,648,798	965,778	36.5
Tobacco Research Trust Fund	3,124,700	851,912	27.3	2,276,521	595,473	26.2
Hospital and Clinics	16,134,200	4,124,317	25.6	14,229,207	3,879,107	27.3
Housing and Dining System	8,079,621	2,197,060	27.2	7,908,159	2,429,669	30.6
Auxiliary Enterprises	2,162,527	910,810	42.1	2,298,576	1,167,997	50.8
<b>TOTAL CURRENT FUNDS EXPENDITURES</b>	<b>\$ 125,157,009</b>	<b>\$ 41,486,795</b>	<b>33.1</b>	<b>\$ 113,188,141</b>	<b>\$ 35,813,649</b>	<b>31.6</b>

Note: Certain reclassifications have been made to the figures for the four months ended October 31, 1971 for the purpose of comparability.



University of Kentucky  
 Schedule of Short Term Investments  
 For the Four Months Ended October 31, 1972

	<u>Maturity Value</u>	<u>Cost</u>
<b>Restricted Current Funds:</b>		
<b>Government securities:</b>		
Federal Land Bank Bonds, 5.8%, 10-23-73	\$ 300,000	\$ 300,000
Federal Home Loan Bonds, 5.75%, 11-27-72	210,000	210,000
FNMA Notes, 7.15%, 12-10-73	200,000	200,000
U. S. Treasury Bills, 4.47%, 11-2-72	500,000	497,775
U. S. Treasury Bills, 4.65%, 12-14-72	700,000	692,844
Total government securities		<u>1,900,619</u>
<b>Certificates of deposit:</b>		
Citizens Union National Bank & Trust Company, 5.05%, 1-18-73	600,000	600,000
Second National Bank & Trust Company, 5%, 1-18-73	600,000	600,000
Bank of Commerce and Trust Company, 5%, 1-18-73	500,000	500,000
Bank of Lexington, 5.5%, 1-25-73	300,000	300,000
First Security National Bank & Trust Company, 5.5%, 3-8-73	100,000	100,000
Bank of Lexington, 5.5%, 3-8-73	100,000	100,000
Bank of Commerce and Trust Company, 5.5%, 3-8-73	100,000	100,000
First Security National Bank & Trust Company, 5.75%, 4-3-73	100,000	100,000
First Security National Bank & Trust Company, 5.5%, 5-12-73	100,000	100,000
Louisville Trust Company, 5.5%, 5-12-73	100,000	100,000
Bank of Lexington, 5.75%, 7-25-73	200,000	200,000
First Security National Bank & Trust Company, 5.75%, 7-27-73	500,000	500,000
Second National Bank & Trust Company, 5.75%, 7-27-73	400,000	400,000
Total certificates of deposit		<u>3,700,000</u>
		<u>\$ 5,600,619</u>
<b>Agency Funds-Certificates of Deposit:</b>		
First Security National Bank & Trust Company, 5%, 12-2-72	\$ 3,000	<u>\$ 3,000</u>

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Four Months Ended October 31, 1972

<u>Main Campus-Subschedule A</u>	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
<b>Consolidated Educational Bonds C &amp; D ( June 8, 1971 Minutes of the Board)</b>					
Agricultural Sciences-Lab Building # 2-749	\$ 5,390,399	\$ 5,549,679	\$ 5,549,679	\$ 5,441,499	\$ 108,180
<b>Appurtenant Facilities-Utilities</b>					
Medical Center-Relocation of Cooling Tower-302	3,051,380	2,994,409	1,794,413	1,755,349	39,064
Cooling Plant # 2-Central Campus-920			1,184,592	1,183,507	1,085
Subtotal	3,051,380	2,994,409	2,979,005	2,938,856	40,149
<b>Utilities Construction FCR-3, December 8, 1970</b>					
Interconnection of Steam Lines-Medical Center and Upper Street Heating Plants-324	774,979	708,428	708,428	681,308	27,120
Subtotal	774,979	708,428	708,428	681,308	27,120
<b>Conversion of Electrical Distribution System FCR-4, March 16, 1971</b>					
Replacement of Boilers- Upper Street Heating Plant-975	1,450,000	1,555,813	1,058,781	1,009,331	49,450
Conversion from 4KV to 12 KV-976			348,809	322,425	26,384
Subtotal	1,450,000	1,555,813	1,407,590	1,331,756	75,834
<b>Patient Care Services ( June 8, 1971 Board Minutes &amp; Planning PR11, January 29, 1969)-307</b>					
	4,500,000	4,807,000	157,685	137,463	20,222
<b>Addition to Margaret I. King Library ( June 8, 1971 Board Minutes &amp; Planning PR11, January 29, 1969-938</b>					
	3,400,000*	2,433,849	2,433,849	2,234,552	199,297

\* Of the \$ 3,700,000 originally approved for additional library facilities, \$ 300,000 is included in Subschedule B for a Life Sciences Library in the Biological Sciences Building.

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Four Months Ended October 31, 1972  
 Schedule 8

<u>Main Campus-Subschedule A-continued</u>	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
Library Renovation-989		\$ 315,000	\$ 15,680	\$ 2,500	\$ 13,180
Appurtenant Facilities-Site Preparation ( FCR-7, October 20, 1970 )	\$ 66,078	64,028			
Veterans Administration Hospital- Connecting Bridge-943		64,028	64,028	57,505	6,523
Subtotal	66,078	64,028	64,028	57,505	6,523
Laboratory Office Facilities-967-FCR-2 May 5, 1970	2,296,522	2,296,522	2,131,142	2,016,268	114,874
Health, Physical Education and Recreation Building-968 ( FCR-2, May 5, 1970 )	2,500,000	2,598,000	2,598,000	2,561,578	36,422
Veterans Administration Hospital, Service Road-996		32,340	32,340		32,340
Completed Projects	22,471,284	22,327,890	22,327,890	22,327,890	Completed
Total	45,900,642	45,682,958	40,405,316	39,731,175	674,141
Reserve for Additional Projects	524,187	1,000,000			
Legal, Administrative and Interest Expenses	5,842,218	4,930,411	4,930,411	4,930,411	
Contingency		204,608	5,309,501		5,309,501
Total Subschedule A	\$52,267,047	\$51,817,977	\$50,645,228	\$44,661,586	\$ 5,983,642

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Four Months Ended October 31, 1972

Schedule 8

<u>Main Campus-Subschedule A-continued</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
<u>Source of Funds:</u>			
Series D Bonds	\$34,700,000	\$34,700,000	
Series C Bonds	4,290,000	4,290,000	
Interest Income	4,085,316	4,085,316	
Note Premium	1,239	1,239	
Fund Balances:			
December 12, 1969 Annual Debt Service Appropriation	110,000	110,000	
December 14, 1970 Annual Debt Service Appropriation	<u>700,000</u>	<u>700,000</u>	
Subtotal	43,886,555	43,886,555	
General Obligation Bonds:			
Utilities-FCR-3, December 8, 1970	1,350,000	1,350,000	
Planning PR-11, January 29, 1969	139,864	139,864	
Administrative Meeting, November 9, 1971	458,139	458,139	
Proceeds from Fire Loss	179,684	179,684	
Transferred from Subschedule B	800,000		\$ 800,000
Hill Burton Funds	1,500,000		1,500,000
Veterans Administration	659,254	659,254	
Special State Capital Construction Funds	578,000	578,000	
State Capital Construction Funds	500,000	500,000	
Auxiliary Enterprises	149,882	149,882	
Trust and Agency Funds	50,000	50,000	
Transferred from Subschedule H	83,000	83,000	
Housing and Dining Funds	225,979	225,979	
Title I Grants	1,445,356	1,352,619	92,737
Title II Grants	1,129,070	1,129,070	
Trust and Agency ( Furniture and Equipment-Service Building)	17,000	17,000	
University Parking Fees	4,182	4,182	
Transferred to Biological Sciences Library-Subschedule B	( 300,000)	( 118,000)	( 182,000)
Transferred to 1972-73 Building and Renovation Program, Subschedule I	<u>( 1,037,988)</u>	<u>( 1,037,988)</u>	<u>( 1,037,988)</u>
<b>Total Source of Funds</b>	<u>\$51,817,977</u>	<u>\$50,645,228</u>	<u>\$ 1,172,749</u>

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Four Months Ended October 31, 1972

Main Campus-Subschedule A-continued

SUMMARY OF UNAPPROPRIATED FUNDS--SERIES C & D BONDS

Balance in Clearing Account	\$ 5,309,501
Unrealized Income	2,392,737
Due to 1972-73 Building and Renovation Program	( 1,037,988)
Due to Biological Sciences Library	<u>( 182,000)</u>
Subtotal	6,482,250
Additional Allotments:	
Patient Care Services	\$ 4,649,315
Library Renovation	299,320
Appurtenant Facilities--Utilities	15,404
Conversion of Electrical Distribution System	148,223
Lab Office Facility	<u>165,380</u>
Total Additional Allotments	<u>( 5,277,642)</u>
Balance Available	\$ 1,204,608

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Four Months Ended October 31, 1972  
 Schedule 8

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
<u>Main Campus Planning-Subschedule B</u>					
Consolidated Educational Bonds ( not assigned )					
( PR 10 & 11, January 29, 1969 )					
Lab Office Building-Biological Sciences-Phase I-940	\$ 4,300,000	\$ 4,441,625	\$ 259,625	\$ 240,236	\$ 19,389
*					
Medical Center-New Reconstruction and Renovation	8,500,000	20,000			
Addition of 2 Floors-301			10,000	10,000	
Handicapped Children's Center-939			10,000	10,000	
Subtotal	8,500,000	20,000	20,000	20,000	
Completed Projects	2,800,000	6,208	6,208	6,208	Completed
Total Subschedule B	<u>\$15,600,000</u>	<u>\$ 4,467,833</u>	<u>\$ 285,833</u>	<u>\$ 266,444</u>	<u>\$ 19,389</u>

<u>Source of Funds:</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
General Obligation Bonds	\$ 167,833	\$ 167,833	
Transferred from Subschedule A	300,000	118,000	\$ 182,000
Series E Bonds ( not issued )	4,800,000		4,800,000
Transferred to Subschedule A	(800,000)		( 800,000 )
Total Source of Funds	<u>\$ 4,467,833</u>	<u>\$ 285,833</u>	<u>\$ 4,182,000</u>

\* \$ 300,000 of this Board Approval is for a Life Sciences Library in the Biological Sciences Building and has been transferred from Subschedule A, Addition to Margaret I. King Library.

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Four Months Ended October 31, 1972

Schedule 3

<u>Main Campus-Subschedule C</u>	<u>Board</u> <u>Approved</u>	<u>Estimated</u> <u>Project Cost</u>	<u>Allotment</u> <u>Encumbrances</u>	<u>Expenditures</u> <u>and</u> <u>Encumbrances</u>	<u>Balance</u> <u>of</u> <u>Allotment</u>
<b>Non-Recurring Maintenance Items ( FCR-6, April 2, 1968 &amp; PR 5 November 10, 1968)</b>					
Consulting Services-40-226	\$ 23,000	\$ 23,000	\$ 3,832	\$ 19,168	
Campus Utilities-Electrical-972	113,321	29,012	28,724	288	
<b>Campus Electrical Projects</b>					
McVey Hall-40-222		12,000	12,000		
Barker Hall, 40-223		10,000	10,000		
Alumni Gym, 40-224		7,000	7,000		
Reynolds # 1, 40-225		11,000	11,000		
Funkhouser 40-239		25,000	25,000		
Subtotal		65,000	65,000		
Cancelled Projects	349,000				
Completed Projects	801,000	770,611	770,611	770,611	Completed
Total	1,150,000	971,932	887,623	868,167	19,456
Unallotted Non-Recurring Maintenance 39-400			84,309		84,309
Total Subschedule C	\$ 1,150,000	\$ 971,932	\$ 971,932	\$ 868,167	\$ 103,765

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Four Months Ended October 31, 1972

<u>Subschedule C-continued</u>	<u>Amount</u>	<u>Realized</u>
<u>Source of Funds:</u>		
University Fund Balances	\$ 25,000	\$ 25,000
General Obligation Bonds	41,390	41,390
Restricted	1,928	1,928
Renovation Funds 1969-70	1,540	1,540
Fund Balances-		
PR-5, November 1968	900,000	900,000
FCR-6, April 2, 1968	112,400	112,400
FCR-6, April 2, 1968	137,600	137,600
Transferred to Minor Renovation Funds	( 194,000)	( 194,000)
Transferred to State Capital Construction Projects	( 55,000)	( 55,000)
Transferred to Subschedule F. a.	( 2,568)	( 2,568)
Transferred to General Fund Consulting Services Account	( 2,000)	( 2,000)
Athletics Association	6,681	6,681
Transferred to Subschedule I	(1,039)	( 1,039)
	<hr/>	<hr/>
Total Source of Funds	\$ 971,932	\$ 971,932

SUMMARY OF UNAPPROPRIATED FUNDS-NON RECURRING MAINTENANCE

Balance in Clearing	\$ 84,309
To be Allotted	<u>( 84,309)</u>
Balance Available	\$ -0-



University of Kentucky  
 Schedule of Unexpended Plant Funds  
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<u>Main Campus-Subschedule D</u>	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
State Capital Construction Funds-FCR-3, ( February 18, 1969)					
Community Medicine Facility-Mycology and Animal Tuberculosis-322	\$ 100,000	\$ 153,450	\$ 153,450	\$ 141,367	\$ 12,083
Development of Intramural Fields-118	250,000	277,485	277,485	258,405	19,080
ETV for Community Colleges-518	100,000	118,109	110,538	87,814	22,724
Jefferson Community College- ETV-555			7,571	7,223	348
Subtotal	100,000	118,109	118,109	95,037	23,072
Completed Projects	620,000	779,099	779,099	779,099	Completed
Contingency	3,500		77,582		77,582
Undistributed ( not allotted specific projects)-400					
Total Subschedule D	\$ 1,073,500	\$ 1,328,143	\$ 1,405,725	\$ 1,273,908	\$ 131,817

<u>Source of Funds:</u>	<u>Estimated</u>	<u>Realized</u>	<u>Unrealized</u>
Board Action-FCR-3	\$ 1,853,500	\$ 1,853,500	
Transferred to Ag. Science Building II	(500,000)	(500,000)	
Transferred from Non-Recurring Maintenance	55,000	55,000	
Restricted Funds ( Engr. Equipment Account )	41,000	41,000	
Transferred to Miscellaneous Construction	(100,000)		\$ ( 100,000)
Transferred to Subschedule I	(21,357)	(21,357)	
Temporary Advance to Subschedule F. a.		(22,418)	22,418
Total Source of Funds	\$ 1,328,143	\$ 1,405,725	( 77,582 )

**SUMMARY OF UNAPPROPRIATED FUNDS-STATE CAPITAL CONSTRUCTION FUNDS**

Balance in Clearing Account	\$ 77,582
Unrealized Transfers	(100,000)
Temporary Advance to be Repaid	<u>22,418</u>
Balance Available	\$ -0-

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<u>Main Campus-Subschedule E-</u>	<u>Board</u>	<u>Estimated</u>	<u>Allotment</u>	<u>Expenditures</u>	<u>Balance</u>
	<u>Approved</u>	<u>Project Cost</u>	<u>Encumbrances</u>	<u>and</u>	<u>of</u>
			<u>Allotment</u>	<u>Encumbrances</u>	<u>Allotment</u>
Major Improvements-( PR 6, November 10, 1967 ) & ( FCR-2, December 9, 1969 )					
Minor Interior Changes & Major Mech & Electrical Highway Materials Research Lab ( Graham Avenue ) -932	\$ 150,000	\$ 225,405	\$ 225,405	\$ 215,073	\$ 10,332
Completed Projects	1,359,850	1,472,750	1,472,750	1,472,750	Completed
Cancelled Projects	95,500				
Contingency and Clearing Account 39-700	194,650		118		118
Subtotal PR-6	1,800,000	1,698,155	1,698,273	1,687,823	10,450
Demolition of Houses-107		58,262	58,144	56,499	1,645
Total Subschedule E	\$ 1,800,000	\$ 1,756,417	\$ 1,756,417	\$ 1,744,322	\$ 12,095

University of Kentucky  
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<u>Main Campus-Subschedule E--continued</u>	<u>Amount</u>	<u>Realized</u>
<u>Source of Funds:</u>		
Fund Balances-PR 6, November 10, 1967	\$ 1,013,376	\$ 1,013,376
General Obligation Bonds-		
FCR-2, December 9, 1969	\$ 786,624	
Available Balance Returned	<u>( 10,097)</u>	
Total G. O. B.		776,527
Grants		136,588
Trust and Agency		10,000
University Funds		4,982
Restricted Funds		<u>28,066</u>
Subtotal		<u>1,969,539</u>
Less:		
Sewer Connection Fee- FCR-3, March 12, 1968	( 35,000)	( 35,000)
Transferred to Miscellaneous Construction Schedule	( 150,000)	( 150,000)
Transferred to Research Feed Processing Center	( 11,773)	( 11,773)
Transferred to Subschedule I	<u>( 16,349)</u>	<u>( 16,349)</u>
Total Source of Funds	<u>\$ 1,756,417</u>	<u>\$ 1,756,417</u>

SUMMARY OF UNAPPROPRIATED FUNDS- MAJOR IMPROVEMENTS

Balance in Clearing	\$ 118
Additional All otments	<u>( 118)</u>
Balance Available	\$ -0-

University of Kentucky  
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 For the Four Months Ended October 31, 1972

	Board Approved	Estimated Project Cost	Expenditures and Balance of	
			Allotment	Encumbrances Allotment
<u>Main Campus-Subschedule F</u>				
a. Others				
Closed Circuit TV	\$ 48,465	\$ 52,092	\$ 13,697	\$ (822)
Completion Classroom Office 39-076			38,395	(158)
TV Receivers and Tape 39-079			52,092	(980)
Subtotal	48,465	52,092	52,092	(980)
Miscellaneous Parking Lot Construction				
1970-71 ( FCR-4, June 17, 1969 )-964, 970	200,000	246,400	246,400	16,606
1971-72 ( FCR-2, June 9, 1970 )-165, 166, 167, 327	200,000	200,000	101,665	20,519
Unallocated Funds Reserved for Parking			94,153	94,153
Subtotal	400,000	446,400	442,218	131,278
Coldstream Research Feed Processing Center-				
746 ( FCR-3, June 9, 1970 )	293,475	293,475	293,475	6,172
College of Agriculture-Seed Building-556, 41-001		250,000	251,000	242,600
New Facilities for WBKY-979, 40-004		65,337	65,337	5,620
Completed Projects	21,535	169,146	169,146	Completed
Total Subschedule F. a.	763,475	1,276,450	1,273,268	384,690

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Main Campus-Subschedule F-continued

Source of Funds-Subschedule F. a.

	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
Auxiliary Enterprises ( Parking Fees )	\$ 446,400	\$ 446,400	
Transferred to Subschedule A		( 4,182 )	\$ 4,182
Special State Appropriation	250,000	250,000	
State Fire and Tornado Fund	134,256	134,256	
USDA Grants	164,503	164,503	
HEW Broadcasting Facilities Grant	44,837	22,419	22,418
70-71 Renovation Funds	86,773	86,773	
69-70 Renovation Funds	325	325	
Fund Balances	98,715	98,715	
Transferred from PR 6	11,773	11,773	
Program Improvement Reserve	36,300	36,300	
Transferred from Subschedule C	2,568	2,568	
Temporary Advance to Subschedule M		( 320,000 )	
Subtotal	1,276,450	929,850	26,600
Advance from State Capital Construction Funds		22,418	( 22,418 )
Advance from Subschedule H		1,000	( 1,000 )
<b>Total</b>	<u>1,276,450</u>	<u>953,268</u>	<u>3,182</u>

	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment Encumbrances</u>	<u>Balance of</u>
	<u>2,007,344</u>	<u>2,007,344</u>	<u>2,007,344</u>	<u>Completed</u>
b. Purchase of computer-103 ( FCR-2, December 9, 1969)				
<b>Total</b>	<u>2,007,344</u>	<u>2,007,344</u>	<u>2,007,344</u>	<u>Completed</u>

Source of Funds - Subschedule F. b.

	<u>Amount</u>	<u>Realized</u>
FCR-2		
Trust and Agency	\$ 1,819,612	\$ 1,819,612
Restricted Funds	<u>187,732</u>	<u>187,732</u>
<b>Total</b>	<u>2,007,344</u>	<u>2,007,344</u>

University of Kentucky  
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<u>Main Campus-Subschedule F - continued</u>	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
c. Property Acquisitions-135	\$ 3,800,000	\$ 3,826,607	\$ 3,826,607	\$ 3,705,557	\$ 121,050
<u>Source of Funds-Subschedule F. c.</u>	<u>Amount</u>	<u>Realized</u>			
General Obligation Bonds					
FCR-4, May 6, 1969		\$ 2,300,000			
FCR-2, December 9, 1969		<u>187,732</u>			
Subtotal	2,487,732	2,487,732			
Restricted Fund Balances					
PR-5, November 19, 1968		900,000			
FCR-2, December 9, 1969		( 187,732)			
Phasing Out Operations of South End Frozen Food Locker		( 9,517)			
Transfer of Land Sale Proceeds		<u>( 18,106)</u>			
Subtotal	684,645	684,645			
Proceeds from Real Estate Sales Trust and Agency Funds	98,606	98,606			
Program Improvement Reserve		32,450			
Agriculture Department		23,174			
PR-7, May 5, 1970		100,000			
PR-4, January 19, 1971		<u>400,000</u>			
Subtotal	555,624	555,624			
Total	<u>3,826,607</u>	<u>3,826,607</u>			
d. Completed Projects ( Medical Center-Hill Burton )	25,398,144	25,286,631	25,286,631	25,286,631	25,286,631
<u>Source of Funds-Subschedule F. d.</u>	<u>Amount</u>	<u>Realized</u>			
Federal Grants	10,192,147	10,192,147			
Special State Appropriation	<u>15,094,484</u>	<u>15,094,484</u>			
Total	25,286,631	25,286,631			

University of Kentucky  
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<u>Main Campus-Subschedule F -continued</u>	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
e. Housing and Dining Complex	\$ 1,947,242	\$ 1,894,241	\$ 1,894,241	\$ 1,894,241	
Exterior Masonry, Waterproofing, Blanding & Kirwan Towers-993		12,640	12,640		\$ 12,640
Subtotal	1,947,242	1,906,881	1,906,881	1,894,241	12,640

Source of Funds-Subschedule F. e.

Series H & I Bonds	\$ 1,922,821	\$ 1,922,821
Trust and Agency	24,420	24,420
Transferred to Subschedule F. f.	( 40,360)	( 40,360)
Total	1,906,881	1,906,881

<u>f. Undistributed Portion of Fund Balances-100</u>	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
Restricted Funds		\$ 8,031	\$ 8,031	\$	8,031
University Funds Reserved for Additional Projects		80,000*	80,000*		80,000
Unallocated H & I Bonds		40,360	40,360		40,360
Unallocated		303,005	303,005		303,005
Total Subschedule F. f.		431,396	431,396		431,396
Total Subschedule F	\$ 33,916,205	\$ 34,303,913	\$ 34,732,127	\$ 33,782,351	\$ 949,776

\* University funds reserved for additional projects has been reduced by the \$ 320,000 temporary advance for Subschedule M-Football Stadium.

University of Kentucky  
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<u>Main Campus-Subschedule G</u> General Obligation Bonds	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
Completed Projects FCR-2, June 9, 1970	\$ 196,507 \$	190,005 \$	190,005 \$	190,005	Completed
Unallocated General Obligation Bonds			167,202		167,202
Total Subschedule G	<u>\$ 196,507 \$</u>	<u>190,005 \$</u>	<u>357,207 \$</u>	<u>190,005 \$</u>	<u>167,202</u>

<u>Source of Funds:</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
General Obligation Bond Proceeds	\$ 5,783,000	\$ 5,783,000	
Transferred to Other Schedules:			
Subschedule A ( C & D Bonds )	( 1,948,003 )	( 1,948,003 )	
Subschedule B ( Main Campus Planning )	( 167,833 )	( 167,833 )	
Subschedule C ( Non-Recurring Maintenance )	( 41,390 )	( 41,390 )	
Subschedule E ( Major Improvements )	( 776,527 )	( 776,527 )	
Subschedule F. c. ( Property Acquisitions )	( 2,487,732 )	( 2,487,732 )	
Subschedule H ( Miscellaneous Construction )	( 167,202 )		\$ ( 167,202 )
Subschedule I ( 1972-73 Building & Renovation Program )	( 4,308 )	( 4,308 )	
Total Source of Funds	<u>\$ 190,005 \$</u>	<u>357,207 \$</u>	<u>( 167,202 )</u>

SUMMARY OF UNAPPROPRIATED FUNDS-GENERAL OBLIGATION BONDS

G. O. Bonds Current Balance	\$ 167,202
Unrealized Transfers	<u>( 167,202 )</u>
Balance Available	-0-



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	Administrative Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
<u>Main Campus-Subschedule H</u>					
<u>1971-72 Projects in Process ( November 9, 1971</u>					
Administrative Meeting )					
Renovation-Lafferty Hall, 971	\$ 305,000	\$ 305,000	\$ 279,186	\$ 256,638	\$ 22,548
Taylor Education Building-North Wing					
Renovation 117-981	167,000	171,938***	171,938	130,500	41,438
Hospital Elevators 984, 41-025	189,200	189,200	187,933	163,660	24,273
Roof Repairs-985	73,945	73,945	73,945	70,164	3,781
Sandblasting and Tuckpointing-983	282,260	282,260	282,260	266,326	15,934
Carnahan House-Expansion of Restrooms-982	27,885	35,861	35,861	35,861	35,861
Subtotal	1,045,290	1,058,204	1,031,123	887,288	143,835
Academic Renovation					
Neutron Generator Blockhouse	859,734	35,886			
Community College Renovation, 40-243		150,000	135,263	129,337	5,926
Scott Street, 40-257, 40-274		35,000	35,000	35,000	
Porter Church Sanctuary Renovation, 40-204, 40-258 and 40-268		75,000	75,000	67,902	7,098
Funkhouser Renovation 40-264, 40-265, 40-266 and 40-267		78,340	78,340	86,372	(8,032)
Bowman Hall, Wet Labs, 40-275		16,000	16,000	14,926	1,074
Law Building, Air Conditioning, 995		1,200	1,200	750	450
Campus, Misc. Bldgs. Air Conditioning, 41-061 730 South Limestone Street, 2nd Floor Renovation, 41-064		14,500	14,500	14,500	
Special Education 5 A and 5 E, Renovation, 41-063		23,088	23,088	23,088	
Porter Building Renovation, 41-062		19,469	19,469	19,469	
Fine Arts Building Renovation 37-999		19,055	19,055	19,055	
Reynolds Building Renovation		96,000	3,100		3,100
Subtotal	859,734	678,538	420,015	410,399	9,616

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<u>Main Campus-Subschedule H-continued</u>	<u>Administrative Estimated</u>	<u>Expenditures</u>	<u>Balance</u>
	<u>Approved</u>	<u>and</u>	<u>of</u>
	<u>Project Cost</u>	<u>Encumbrances</u>	<u>Allotment</u>
	<u>          </u>	<u>          </u>	<u>          </u>
Reserve for other projects	\$ 474,965		
Mycology	467		
Campus Lighting, 40-221	8,200		
Greenhouse Relocation-751	20,000	\$	20,000
Physical Plant Development Greenhouse	200,000	2,500	20,250
and Planting Area, 40-263	18,000		
Farm Residence and Greenhouse Utilities,		18,000	
40-280			
Coliseum Ceiling Repairs, 607	7,500	2,619	4,881
Parking Structure Repairs, 41-003	10,000	8,438	1,562
Haggin Playing Field Fence, 41-007	10,000	8,800	1,200
Recreational Equipment Building	5,200	5,229	(29)
Renovation Alumni Gym, 994, 41-045, 41-054, 41-055	35,000		
Carnahan House Picnic Shelter	58,098	57,048	1,050
Replacement of Trash Cans, 40-276	15,000		
Practice Tennis Courts, 41-012	2,000	2,600	(600)
Johnston Memorial Monument, 41-026	7,000		7,000
VA Emergency Drive, 41-027	2,500	4,334	(1,834)
Subtotal	1,000	1,000	
	474,965	399,965	164,048
		110,568	53,480
Unallocated 1971-72 Projects in Process, 40-800		251,519	251,519
<b>Total Subschedule H</b>	<b>\$ 2,379,989</b>	<b>\$ 2,136,707</b>	<b>\$ 1,866,705</b>
		<b>\$ 1,408,255</b>	<b>\$ 458,450</b>

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<u>Main Campus-Subschedule H-continued</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
<u>Source of Funds:</u>			
Funds balances ( Administrative Meeting 11/1/71 )	\$ 1,464,100	\$ 1,464,100	
Housing and Dining Funds	87,989	87,989	
Transferred from Non-Recurring Maintenance	185,000	185,000	
Transferred from Major Improvements-PR-6	150,000	150,000	
Transferred from 1969-70 Renovation Funds	126,198	126,198	
Transferred from 1970-71 Renovation Funds	3,500	3,500	
Transferred from 1971-72 Renovation Funds	69,000	69,000	
Transferred from State Capital Construction Funds	100,000	\$ 100,000	
Transferred from General Obligation Bonds	167,202	167,202	
Transferred to Subschedule A	( 83,000)	( 83,000)	
Transferred to Subschedule K	( 48,300)	( 48,300)	
Transferred to General Fund	( 5,000)	( 5,000)	
Temporary Advance to Subschedule F. a.	( 1,000)	( 1,000)	1,000
Temporary Advance to Subschedule M	( 1,800)	( 1,800)	1,800
Transferred to Subschedule I	( 79,982)	( 79,982)	
<b>Total Source of Funds</b>	<b>\$ 2,136,707</b>	<b>\$ 1,866,705</b>	<b>\$ 270,002</b>

SUMMARY OF UNAPPROPRIATED FUNDS-1971-72 PROJECTS IN PROCESS

Balance in Clearing	\$ 251,519
Unrealized Income	270,002
To be Allotted	<u>( 485,168)</u>
<b>Balance Available</b>	<b>\$ 36,353</b>

\* Funds available for Academic Renovation have been reduced by \$ 28,300 transferred for acquisition of classroom furniture and \$ 28,000 transferred to movable equipment for HPER Building.

\*\* Reserve for other projects has been reduced by \$ 20,000 transferred to plantings and landscapings and \$ 55,000 transferred for furniture in Agriculture Science Lab.

\*\*\* Estimated project cost on Taylor Education Building has been reduced by \$ 5,000 transferred to General Fund Consulting Services Account.

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<u>1972-73 Building &amp; Renovation Program-Subschedule I</u>	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
Fine Arts Building	\$5,000,000	\$5,000,000			
Greenhouses	200,000	200,000			
Bridge over Rose	150,000	150,000			
Barn	25,000	25,000			
Family Practice Program, 326	750,000	750,000	\$ 753,858	\$ 27,450	\$ 726,408
Nursing Program	800,000	800,000			
Utilities in Triangle Area	400,000	400,000			
Academic Affairs Renovation	525,000				
Erickson Hall		300,000			
Cooper I		225,000			
Medical Center Renovation	262,000		2,500	2,500	
Autopsy, 328		200,000			
Nursing ( Multi-purpose )		40,000			
Athletic Association Building		22,000			
Community College Renovation	262,000				
Henderson, Air Conditioning		200,000			
Miscellaneous Renovation		62,000			
Land Acquisition	500,000	500,000			
Campus Improvements and Maintenance	458,000				
Roof Repairs		10,000			
Landscaping 41-092		83,000			
Landscaping-Ag. Science # II 41-093		20,000			
Chilled Water-Law Building		25,000			
Graphics 41-094		50,000			
Screening 41-095		10,000			
Bulletin Boards 41--097		10,000			
Handicapped Facilities 41-098		10,000			
Safety Defects		50,000			
Furniture, 41-096		80,000	50,000	27,574	22,426

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<u>1972-73 Building &amp; Renovation Program-</u> <u>Subschedule I-continued</u>	<u>Board</u> <u>Approved</u>	<u>Estimated</u> <u>Project Cost</u>	<u>Allotment</u>	<u>Expenditures</u> <u>and</u> <u>Encumbrances</u>	<u>Balance</u> <u>of</u> <u>Allotment</u>
<b>Campus Improvements and Maintenance-</b>					
continued					
Minor Renovation	\$	100,000			
Projects in Process			\$ 11,305	\$ 10,000	\$ 1,305
Unallocated Regular Clearing, 41-500			( 75,000)		( 75,000)
Unallocated Miscellaneous Clearing, 41-600			13,695		13,695
Subtotal	\$	458,000		37,574	( 37,574)
Medical Center Equipment		320,000			
Subtotal		9,652,000	756,358	67,524	688,834
Uncommitted 72-73 Building and Renovation Program		48,317			
	\$	9,700,317	\$ 756,358	\$ 67,524	\$ 688,834
<b>Total Subschedule I</b>					
<u>Source of Funds:</u>					
University Fund Balances		\$ 2,485,000		\$ 2,485,000	
1972-73 Allocation		750,000		750,000	
Additional Series " E " Bonds		5,200,000		5,200,000	
Transferred from Consolidated Educational Bonds C & D-Subschedule A		1,073,988	\$	36,000	1,037,988
Transferred from Non-Recurring Maintenance, Subschedule C		1,039		1,039	
Transferred from State Capital Construction Funds, Subschedule D		21,357		21,357	
Transferred from Major Improvements, Subschedule E		16,349		16,349	
Transferred from General Obligation Bonds, Subschedule G		4,308		4,308	
Transferred from 1971-72 Projects in Process, Subschedule H		79,982		79,982	
Transferred from Minor Renovation Funds, Subschedule L:					
Medical Center Renovation		60,773		60,773	
Business Affairs Renovation		7,521		7,521	
Temporary Advance from T & A				526,529	
Temporary Advance from T & A				2,500	
			\$ 756,358		\$ 9,472,988
<b>Total Source of Funds</b>		<b>\$ 9,700,317</b>		<b>\$ 756,358</b>	<b>\$ 9,472,988</b>

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 Schedule of Unexpended Plant Funds  
 For the Four Months Ended October 31, 1972

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
<u>Community Colleges-Subschedule J</u>					
Consolidated Education Bonds-Series A & B ( FCR-2 November 17, 1970 )					
Ashland Phase II-561	\$ 17,000	\$ 17,000	17,000	\$	17,000
Ashland Parking Lot-519		90,000	90,000		90,000
Carrollton-558		20,000	20,000		20,000
Glasgow-557		20,000	20,000		20,000
Henderson Academic Facilities -529	\$ 1,235,645	1,235,645	1,235,645	\$ 1,229,515	6,130
Jefferson Phase II-534	3,789,171	3,861,327	3,861,327	3,821,094	40,233
Jefferson Library-562		7,000	7,000	5,000	2,000
Louisville Vocational Technical Institute-560	5,000,000	5,000,000	5,000,000		5,000,000
Madisonville Preliminary Development-550	14,200	14,200	14,200	13,593	607
Madisonville Academic Facilities-554	2,474,650	2,911,777	2,911,777	2,741,818	169,959
Maysville Academic Facilities-537	1,857,061	1,815,391	1,815,391	1,815,391	
Prestonsburg Preliminary Development-513	12,897	12,897	12,897	12,597	300
Prestonsburg Academic Facilities-541	1,632,199	1,632,199	1,632,199	1,625,458	6,741
Somerset Academic Facilities-543	805,276	832,348	829,454	828,251	1,203
Somerset Library Equipment, 40-038		36,610	14,000	18,170	( 4,170)
Southeast Academic Facilities-545	1,004,787	1,024,376	1,005,787	987,517	18,270
Educational Television-Community Colleges					
Henderson-552	23,027	24,500	23,732	23,192	540
Subtotal	23,027	24,500	23,732	23,192	540
Completed Projects	10,478,365	10,326,179	10,326,179	10,326,179	Completed
Total	23,327,278	28,881,449	28,836,588	23,447,775	5,388,813
Contingency		951,653			
Legal, Administrative, and Interest Expenses		1,166,628	1,166,628	1,166,628	
Clearing Account-500			302,014		302,014
Total Subschedule J	\$ 23,327,278	\$ 30,999,730	\$ 30,305,230	\$ 24,614,403	\$ 5,690,827

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Four Months Ended October 31, 1972

<u>Community Colleges-Subschedule J-continued</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
<u>Source of Funds:</u>			
Title I Grants	\$ 7,235,808	\$ 6,545,613	\$ 690,195
Title VI Grants	18,305	14,000	4,305
Norton Memorial Hospital	95,063	95,063	
Ashland Board of Education	45,000	45,000	
Madisonville Reserve	23,150	23,150	
Trust and Agency	2,500	2,500	
Special State Appropriation	5,040,000	5,040,000	
Old Series A & B Bonds	1,960	1,960	
Series A & B Bonds:			
Original Issue-\$ 16,300,000			
Series A	12,125,000	12,125,000	
Fund Balances	75,000	75,000	
Series B	4,489,000	4,489,000	
Bond Premium	360	360	
Interest Earned	1,848,584	1,848,584	
Total Source of Funds	<u>\$ 30,999,730</u>	<u>\$ 30,305,230</u>	<u>\$ 694,500</u>

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Four Months Ended October 31, 1972

Community Colleges-Subschedule J-continued

SUMMARY OF UNAPPROPRIATED FUNDS-SERIES A & B BONDS

Balance in Clearing Account	\$ 302,014
Unrealized Income	<u>694,500</u>
Subtotal	\$ 996,514
Additional Allotments	<u>(44,861)</u>
Balance Available	\$ 951,653



University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Four Months Ended October 31, 1972

<u>Community Colleges-Subschedule K</u>	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
Others-					
Ashland Phase II-524	\$ 7,500	\$ 7,500	\$ 7,500	\$ 5,000	2,500
Completed Projects	5,469	5,469	5,469	5,469	Completed
Total Subschedule K	\$ 12,969	\$ 12,969	\$ 12,969	\$ 10,469	2,500
<u>Source of Funds:</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>		
Trust and Agency Advance FCR-3, March 10, 1970	\$ 7,500	\$ 7,500			
Fund Balances	1,000	1,000			
Due from Other Funds	7,500	\$ 7,500			
Advance to be Repaid	( 7,500)		( 7,500)		
1970-71 Renovation Funds	4,469	4,469			
Total Source of Funds	\$ 12,969	\$ 12,969			

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Four Months Ended October 31, 1972  
 Schedule 8

<u>Minor Renovation Funds-Subschedule L</u>	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
<b>1971-72 Renovation Funds-</b>					
Projects in Process	\$ 250,000	\$ 222,308	\$ 222,308	\$ 216,149	\$ 6,159
Completed Projects		147,346	147,346	147,346	Completed
Unallocated Regular Clearing Account 40-500			( 140)		( 140)
Unallocated Miscellaneous Clearing Account 40-600			142		142
Office Furniture, 40-096	75,000	38,658	38,423	38,658	( 235)
Classroom Furniture	25,000	90,831	86,617	90,831	( 4,214)
Medical Center Renovation	242,000	165,091	156,597	165,091	( 8,494)
Medical Center Completed Projects		24,630	24,630	24,630	Completed
Handicapped Facilities, 40-085	15,000	15,000	15,000	13,783	1,217
Planting and Landscaping, 40-086	35,000	55,000	55,000	45,822	9,178
Outside Painting ( Buildings, Sandblasting ) 40-087	20,000	20,000	20,000		20,000
Outside Painting ( Rose-Limestone Triangle ) 40-088	14,000	8,000	8,000		8,000
Sign Program, 40-056, 40-057	20,000	26,366	20,000	26,366	( 6,366)
Contingency		( 19,307)			
Subtotal	696,000	793,923	793,923	768,676	25,247
<b>1970-71 Renovation Funds-</b>					
Projects in Process	600,000	139,622	139,622	107,432	32,190
Completed Projects		479,450	479,450	479,450	Completed
Subtotal	600,000	619,072	619,072	586,882	32,190
<b>Total Subschedule L</b>	<b>\$ 1,296,000</b>	<b>\$ 1,412,995</b>	<b>\$ 1,412,995</b>	<b>\$ 1,355,558</b>	<b>\$ 57,437</b>

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Four Months Ended October 31, 1972

<u>Subschedule 1--continued</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
Source of Funds:			
1971-72 Allocation	\$ 750,000	\$ 750,000	
Transferred to Miscellaneous Construction	( 69,000)	( 69,000)	
Hospital Funds	43,600	43,600	
Transferred from 1970-71 Stock Furniture	2,453	2,453	
Transferred from Subschedule H	48,300	48,300	
Tobacco Health Research	4,521	4,521	
UKRF Funds	23,000	23,000	
Non-Recurring Maintenance	9,000	9,000	
Transferred from 1970-71 Funds	50,343	50,343	
Transferred to Subschedule I	( 60,773)	( 60,773)	
Transferred to Subschedule I	( 7,521)	( 7,521)	
Subtotal 1971-72 Funds	<u>793,923</u>	<u>793,923</u>	
1970-71 Allocation			
PR-7, May 5, 1970	\$ 300,000		
PR-4, January 19, 1971	<u>300,000</u>		
Total 1970-71 Allocation	600,000	600,000	
Transferred to Subschedule F. a.	( 86,773)	( 86,773)	
Transferred to Subschedule H	( 3,500)	( 3,500)	
Transferred to Subschedule K	( 4,469)	( 4,469)	
Transferred from 1969-70 Funds	34,764	34,764	
Transferred to 1971-72 Funds	( 50,343)	( 50,343)	
Transferred from 1969-70 Stock Furniture	596	596	
Transferred to 1971-72 Stock Furniture	( 2,453)	( 2,453)	
Auxiliary Enterprises	17,000	17,000	
Transferred from Other Funds	114,250	114,250	
Subtotal	<u>619,072</u>	<u>619,072</u>	
Total Source of Funds	<u>\$ 1,412,995</u>	<u>\$ 1,412,995</u>	

SUMMARY OF UNAPPROPRIATED FUNDS--MINOR RENOVATION

Balance of 1971-72 Clearing Accounts	\$ 2
Additional Allotments--	
Sign Program	( 6,366)
Office Furniture	( 235)
Classroom Furniture	( 4,214)
Medical Center Renovation	<u>( 8,494)</u>
Balance Available	<u>\$ ( 19,307)</u>

University of Kentucky  
Schedule of Unexpended Plant Funds  
For the Four Months Ended October 31, 1972

Schedule 8

<u>Football Stadium-Subschedule M</u>	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
Construction of Football Stadium-980	\$ 11,000,000	\$ 9,929,380	\$ 10,249,380	\$ 9,620,144	\$ 629,236
Stadium Planning Study 40-034		10,000	10,000	9,297	703
Construction of Stadium Model 41-011		1,800	1,800	303	1,497
<b>Replacement Facilities</b>		372,548			
Machinery Sheds-602			6,684	1,838	4,846
Gravel for Service Center Area-604			10,125	240	9,885
Service Center Area-605			10,286	445	9,841
Lath House-606			834	1,593	(759)
Lot for Garage-603			11,732	4,901	6,831
Ag. Farm Service Buildings-988			327,154	272,025	55,129
Relocation of Farm Residence-990			5,733	5,373	360
Subtotal		372,548	372,548	286,415	86,133
<b>Legal, Administrative and Interest Expenses Clearing Account</b>		1,186,272	338,880	338,880	
Subtotal			296,539		296,539
<b>Total Subschedule M</b>		<b>\$ 11,500,000</b>	<b>\$ 11,269,147</b>	<b>\$ 10,255,039</b>	<b>\$ 1,014,108</b>
<b>Source of Funds:</b>			<u>Realized</u>	<u>Unrealized</u>	
State Bond Anticipation Notes	\$ 9,000,000	\$ 9,000,000			
Estimated Interest Income	500,000	15,617	\$ 484,383		
Athletics Association ( Estimated )	1,368,270	1,300,000	68,270		
Special State Appropriation	631,730	631,730			
Temporary Advance from Subschedule H		1,800	( 1,800)		
Temporary Advance from Subschedule F		320,000			
Subtotal		\$ 11,500,000	\$ 11,269,147	\$ 550,853	

\* Estimated Project Cost less than Allotment due to Temporary Advance of \$ 320,000.

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Four Months Ended October 31, 1972

	Board		Estimated Project Cost	Allotment	Expenditures and Encumbrances		Balance of Allotment
	Approved	Estimated			Encumbrances	Allotment	
<b>SUMMARY OF SUBSCHEDULES</b>							
A. Consolidated Educational Bonds C & D	\$ 52,267,047	\$ 51,817,977	\$ 50,645,228	\$ 44,661,586	\$ 5,983,642		
B. Main Campus-Planning	15,600,000	4,467,833	285,833	266,444	19,389		
C. Non-Recurring Maintenance	1,150,000	971,932	971,932	868,167	103,765		
D. State Capital Construction Funds	1,073,500	1,328,143	1,405,725	1,273,908	131,817		
E. Major Improvements	1,800,000	1,756,417	1,756,417	1,744,322	12,095		
F. Main Campus-Others	33,916,205	34,303,913	34,732,127	33,782,351	949,776		
G. General Obligation Bonds	196,507	190,005	357,207	190,005	167,202		
H. 1971-72 Projects in Process	2,379,989	2,136,707	1,866,705	1,408,255	458,450		
I. 1972-73 Building and Renovation Program	9,700,317	9,700,317	756,358	67,524	688,834		
J. Consolidated Educational Bonds A & B	23,327,278	30,999,730	30,305,230	24,614,403	5,690,827		
K. Community Colleges-Other		12,969	12,969	10,469	2,500		
L. Renovation Funds	1,296,000	1,412,995	1,412,995	1,355,558	57,437		
M. Football Stadium	11,000,000	11,500,000	11,269,147	10,255,039	1,014,108		
<b>GRAND TOTAL OF SCHEDULES</b>	<b>\$ 153,706,843</b>	<b>\$ 150,598,938</b>	<b>\$ 135,777,873</b>	<b>\$ 120,498,031</b>	<b>\$ 15,279,842</b>		

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Four Months Ended October 31, 1972

GRAND SUMMARY OF AVAILABLE PLANT FUNDS

Capital Construction Funds	
Main Campus-	
Series C & D Bonds ( Subschedule A )	\$ 1,204,608
Main Campus Others ( Subschedule F )	
Unallocated H & I Bonds	40,360
Other Unallocated Funds	711,036
1971-72 Projects in Process ( Subschedule H )	<u>36,353</u>
Subtotal Main Campus	\$ 1,992,357
Community Colleges	
Series A & B Bonds ( Subschedule J )	<u>951,653</u>
Total Available Capital Construction Funds	\$ 2,944,010
Renovation Funds	
Minor Renovation ( Subschedule L )	<u>( 19,307 )</u>
Total Available Renovation Funds	<u>( 19,307 )</u>
Total Available Plant Funds	<u>\$ 2,924,703</u>

Schedule 9

University of Kentucky  
 Schedule of Retirement of Indebtedness Funds  
 For the Four Months Ended October 31, 1972

	<u>Estimated</u>	<u>Realized</u>	<u>% Realized</u>
<b>Income:</b>			
Unrestricted funds debt:			
Charged to unrestricted current funds	\$ 5,531,100	\$ 4,773,760	86.3
Transfers from sinking funds	375,746		
Subtotal	<u>5,906,846</u>	<u>4,773,760</u>	<u>80.8</u>
Housing and dining system debt:			
Charged to housing and dining system current funds	<u>1,652,093</u>		
Auxiliary enterprises debt:			
Charged to auxiliary enterprises current funds	183,481	35,835	19.5
TOTAL RETIREMENT OF INDEBTEDNESS FUNDS	<u>\$ 7,742,420</u>	<u>\$ 4,809,595</u>	<u>82.1</u>
<b>Debt Service:</b>			
Unrestricted funds debt:			
Consolidated Educational Buildings-Series A	\$ 503,750	\$ 503,013	99.9
Consolidated Educational Buildings-Series B	465,299	464,544	99.8
Consolidated Educational Buildings-Series C	293,276	233,950	79.8
Consolidated Educational Buildings-Series D	3,334,743	2,667,007	80.0
Community Colleges Educational Buildings-Series A	1,062,032	709,326	66.8
Community Colleges Educational Buildings-Series B	247,746	195,920	79.1
Subtotal	<u>5,906,846</u>	<u>4,773,760</u>	<u>80.8</u>
Housing and Dining System Revenue Bonds-Series A-I	<u>1,652,093</u>		

University of Kentucky  
 Schedule of Retirement of Indebtedness Funds  
 For the Four Months Ended October 31, 1972

Schedule 9

	<u>Appropriated</u>	<u>Expended</u>	<u>% Expended</u>
Auxiliary Enterprises:			
Chi Omega	\$ 3,142	\$ 2,838	90.3
Alpha Delta Pi	14,765		
Dorms A-F	40,680	6,195	15.2
Dorms G-L	51,109		
Sigma Phi Epsilon	8,086		
Delta Delta Delta	5,894	4,985	84.6
Alpha Tau Omega	8,198		
Alpha Gamma Rho	15,931	6,979	43.8
Student Housing Bonds of 1964	35,676	14,838	41.6
Subtotal	183,481	35,835	19.5
<b>TOTAL RETIREMENT OF INDEBTEDNESS FUNDS</b>	<b>\$ 7,742,420</b>	<b>\$ 4,809,595</b>	<b>82.1</b>



University of Kentucky  
 Schedule of Sinking Funds  
 October 31, 1972

Bond Issues with Reserves Fully Funded:

University of Kentucky Housing and Dining System Revenue Bond and Interest Sinking Fund	\$ 1,706,951
University of Kentucky Housing and Dining System Repair and Maintenance Reserve	846,760
Bowman, Keeneland, Cooperstown, Blazer and Student Union Future Interest Fund	1,000,308
Consolidated Educational Buildings Project Bond and Interest Sinking Fund-Series A	1,002,948
Consolidated Educational Buildings Project Bond and Interest Sinking Fund-Series B	982,073
University of Kentucky Student Housing Bond and Interest Sinking Fund Account of 1964	80,260
Community Colleges Educational Buildings-Series A - Bond and Interest Fund-Escrow Account	56,540
Community Colleges Educational Buildings-Series B- Bond and Interest Fund-Escrow Account	<u>77,950</u>
Total Bond Issues with Reserves Fully Funded	<u>5,753,790</u>

Bond Issues with Reserves not Fully Funded:

Consolidated Educational Buildings Project Bond and Interest Sinking Fund-Series C	357,992
Consolidated Educational Buildings Project Bond and Interest Sinking Fund-Series D	3,528,318
Community Colleges Educational Buildings Project Bond and Interest Sinking Fund-Series A	1,194,395
Community Colleges Educational Buildings Project Bond and Interest Sinking Fund-Series B	242,797
University of Kentucky Student Housing Bond and Interest Sinking Fund Account of 1960	46,791
University of Kentucky Student Housing Building Maintenance and Equipment Reserve Account of 1960	28,386
University of Kentucky Student Housing Building Maintenance and Equipment Reserve Account of 1964	<u>30,381</u>
Total Bond Issues with Reserves not Fully Funded	<u>5,429,060</u>
TOTAL SINKING FUNDS	<u>\$11,182,850</u>

University of Kentucky  
Schedule 11

Summary of Other Funds Transactions  
For the Four Months Ended October 31, 1972

<u>Loan Funds:</u>	<u>Balances</u> <u>July 1, 1972</u>	<u>Receipts</u>	<u>Expenditures</u>	<u>Balances</u> <u>October 31, 1972</u>
Federal sponsored loan funds:				
Federal government	\$ 5,600,032	\$ 374,098		\$ 5,974,130
University of Kentucky	539,891	17,506		557,397
Accumulated interest income	258,617	4,539		263,156
University loan funds:				
University student loan funds	56,935	3		56,938
University special student loan fund	74,518	2,485		77,003
United Student Aid loan fund	2,000			2,000
	<u>\$ 6,531,993</u>	<u>\$ 398,631</u>		<u>\$ 6,930,624</u>
<u>Endowment Funds:</u>				
TOTAL ENDOWMENT FUNDS	<u>\$ 1,112,174</u>	<u>\$ 103,122</u>		<u>\$ 1,215,296</u>
<u>Agency Funds:</u>				
TOTAL AGENCY FUNDS	<u>\$ 225,178</u>	<u>\$ 293,994</u>	<u>\$ 154,672</u>	<u>\$ 364,500</u>