

Minutes of the Special Called Meeting of the Board of Trustees of the University of Kentucky, Monday, July 28, 1969

On call by Governor Louie B. Nunn, Chairman of the Board of Trustees of the University of Kentucky, and in accordance with KRS 164.170, the Board met in special session at 2:00 p. m. , Eastern Daylight Time, on Monday, July 28, 1969, in the Board Room of the Administration Building on the campus of the University of Kentucky. The following members answered the call of the roll: Mr. William R. Black, Mr. Wendell P. Butler, former Governor A. B. Chandler, Mr. Albert Clay, Mr. Richard E. Cooper, Dr. Harry Denham, Mr. George Griffin, Mr. Robert Hillenmeyer, Mr. J. Robert Miller, Mr. B. Hudson Milner, Dr. N. N. Nicholas, Mr. James H. Pence, Dr. Robert W. Rudd and Dr. Paul Sears, non-voting faculty members, and Mr. Tim Futrell, non-voting student member. Absent were Governor Louie B. Nunn, Mrs. Rexford Blazer and Mr. Floyd Wright. Present as representatives of the administration were: President A. D. Kirwan and Vice Presidents A. D. Albright, William R. Willard, Glenwood L. Creech, Lewis W. Cochran, Stuart Forth and G. J. Ruschell. Members of the news media were also present.

A. Meeting Opened

Mr. Clay, Vice Chairman, presided in the absence of Governor Nunn, who had attended the luncheon but had to return to Frankfort. The meeting was called to order at 2:05 p. m. and the invocation was pronounced by Mr. William R. Black.

B. Oath of Office Administered to Dr. Paul Sears

Mr. Clay administered the oath of office to Dr. Paul Sears, the duly elected and qualified representative of the faculty, whose term began July 1, 1969 and will continue until June 30, 1972.

C. Acting Secretary Named

Mr. Clay asked Mr. Richard Cooper to serve as Acting Secretary for the meeting. Following call of the roll, Mr. Cooper reported a quorum present and the meeting was declared officially open for the conduct of business at 2:10 p. m.

D. Minutes Approved

On motion by Governor Chandler, seconded, and passed, the reading of the Minutes for the May 6, 1969 meeting of the Board of Trustees and the May 22, 1969

and June 17, 1969 meetings of the Executive Committee of the Board of Trustees was dispensed with and the Minutes were approved as published.

✓E. Resolutions Presented on Mr. Robert F. Kerley, Mrs. Herman L. Donovan and the Presidential Search Committee

At the request of Mr. Clay, Dr. Kirwan read a resolution on Robert F. Kerley prepared by a committee composed of Mr. Albert Clay, Governor A. B. Chandler, and Professor Paul Oberst. On motion made, seconded, and carried, the resolution was adopted, ordered spread upon the Minutes of the meeting and a copy sent to Mr. Kerley. (The resolution appears at the end of the Minutes.)

Dr. Kirwan then read a resolution noting the death of Nell Stuart Donovan, widow of former President Herman L. Donovan. On motion by Mr. Hillenmeyer, seconded by Dr. Denham, the resolution was adopted, ordered spread upon the Minutes of the meeting and copies sent to Mrs. Donovan's family. (The resolution appears at the end of the Minutes.)

✓ The third resolution read by Dr. Kirwan expressed the appreciation of the Board of Trustees to the Presidential Search Committee. On motion duly made, seconded, and carried, the resolution was adopted, ordered spread upon the Minutes of the meeting, and copies sent to each member of the committee, including two former members, Dr. Ralph J. Angelucci and Dr. A. D. Kirwan. (The resolution appears at the end of the Minutes.)

✓F. President's Report to the Trustees

Dr. Kirwan called attention to PR 1 in the Board folders and indicated that because of a heavy agenda he would point out only the first item which reported that two University of Kentucky professors had been named by NASA to assist in analyzing some of the lunar samples collected on the Apollo 11 moon-flight.

Mr. Clay thanked Dr. Kirwan, accepted the report on behalf of the Board, and ordered it filed.

G. Recommendations of the President (PR 2)

Dr. Kirwan briefly went over the important but routine items contained in PR 2, Recommendations of the President, and recommended its approval as a whole. On motion by Governor Chandler, seconded by Dr. Nicholas, and passed, the recommendations in PR 2 were approved as a whole and ordered made an official part of the Minutes of the meeting. (See PR 2 at the end of the Minutes.)

H. Approval of Ph. D. Program in Geography (PR 4)

Explaining that there were no supplemental recommendations to be presented, Dr. Kirwan read the background material in PR 4 and recommended that approval be given to the activation of a graduate program in Geography leading to the Degree of Doctor of Philosophy. He noted that a program leading to the Master's Degree has been in operation for many years.

On motion by Mr. Hillenmeyer, seconded by Mr. Griffin, and passed without dissent, approval was given to the activation of a Ph. D. program in Geography. (See PR 4 at the end of the Minutes.)

I. Budget Revisions for 1969-70 Approved (PR 5)

Dr. Kirwan recommended that the budget revisions set forth in PR 5 be approved, noting that the revisions were the result of additional income received over the amount anticipated when the budget was prepared.

On motion duly made, seconded, and carried, the budget revisions for 1969-70 as detailed in PR 5 were authorized and approved. (See PR 5 at the end of the Minutes.)

✓ J. Appropriation for Computer Purchase (PR 6)

Reminding the Board of Trustees that they had approved the purchase of a computer on January 29, 1969, Dr. Kirwan recommended that \$800,000 be appropriated from general fund balances for the purchase of such a computer

On motion duly made, seconded, and carried, approval was given to the appropriation of \$800,000 from general fund balances for the purchase of a computer. (See PR 6 at the end of the Minutes.)

K. Chemical Bank of New York Proposal Accepted

Dr. Kirwan explained that he would present a resolution accepting a proposal from Chemical Bank of New York relative to a guarantee to submit a bid for up to \$30,000,000 of the Board's "Revenue Bond Anticipation (Renewal) Notes" if the same were offered for sale on or prior to December 1, 1969, in lieu of the Finance Committee inasmuch as this committee had not had an opportunity to meet prior to the meeting of the Board. He added that the proposal had been discussed informally with Dr. Denham, Mr. Hillenmeyer, Mr. Cooper, Mr. Milner and Mr. Black and had their endorsement. He then caused to be read the following resolution:

A RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY ACCEPTING A PROPOSAL OF CHEMICAL BANK, NEW YORK, N. Y., THAT SAID BANK WILL GUARANTEE TO SUBMIT A BID FOR UP TO \$30,000,000 OF THE BOARD'S "REVENUE BOND ANTICIPATION (RENEWAL) NOTES" IF THE SAME ARE AUTHORIZED BY THE BOARD AND OFFERED FOR SALE ON OR PRIOR TO DECEMBER 1, 1969.

WHEREAS, by a certain resolution which was adopted by the Board of Trustees of the University of Kentucky at a meeting held on November 27, 1967 (the "Series C and Series D Resolution"), authorization was given for the issuance of \$4,393,000 "Consolidated Educational Buildings Revenue Bonds, Series C," and \$29,250,000 "Consolidated Educational Buildings Revenue Bonds, Series D"; and in and by the same resolution authorization was given, with the approval and concurrence of the State Property and Buildings Commission of Kentucky (the "Commission"), for the issuance by this Board, jointly with the Commission, of "Revenue Bond Anticipation Notes (the "Notes") according to the authority of KRS 56.513, the same being dated December 11, 1967, and maturing December 13, 1968, with statutory authority for renewal thereof, if necessary; and

WHEREAS, by a resolution adopted by this Board on October 22, 1968, authorization was given for the issuance and sale of the Board's "Revenue Bond Anticipation (Renewal) Notes" in the principal amount of \$33,200,000, dated December 12, 1968, and maturing on December 12, 1969 (the "First Renewal Notes"), for the purpose of renewing the Notes; and the said First Renewal Notes were duly sold and delivered and will mature according to their terms on December 12, 1969; and

WHEREAS, the Board's \$4,393,000 Consolidated Educational Buildings Revenue Bonds, Series C, were issued pursuant to a Loan Agreement with the United States Department of Health, Education and Welfare (HEW), and the building or buildings referred to in said Loan Agreement have now reached the state of completion which will permit the offering of the Series C Bonds at advertised, public, competitive sale with assurance that HEW will submit a bid for the entire Series C Bonds at par and accrued interest, at an interest rate of 3% per annum; so that the Board may now authorize a public sale of said Series C Bonds, thus reducing the amount which must be produced by December 12, 1969, in order to provide for the payment of the First Renewal Notes; and

WHEREAS, at the time of the adoption of this resolution, financial conditions are so chaotic, and prevailing interest rates are so high on a nationwide basis that the Board is advised of the existence of a considerable doubt of its ability to successfully market in the foreseeable future either its \$29,250,000 Series D Bonds or, alternatively, its "Revenue Bond Anticipation (Renewal) Notes" in an amount sufficient for that purpose; and

WHEREAS, in view of this situation, the Board's Fiscal Agent, F. L. Dupree & Co., Inc., has solicited and obtained from Chemical Bank, New York, N. Y., a written proposal under the terms and conditions of which Chemical Bank will guarantee that if the Board offers on or prior to December 1, 1969, in accordance with KRS 56.513, its "Revenue Bond Anticipation (Renewal) Notes" to be dated December 11, 1969, and to

mature December 14, 1970, said Chemical Bank will guarantee to submit a proposal for the purchase of up to \$30,000,000 principal amount of such "Revenue Bond Anticipation (Renewal) Notes" at the face amount thereof, and proposing an interest rate not exceeding 6-1/2% per annum (the maximum interest rate presently permitted by law), upon condition that Chemical Bank be paid, immediately upon acceptance of its proposal by this Board and by the State Property and Buildings Commission, a "commitment fee" at the rate of 1/2 of 1% per annum, computed upon the number of days between final acceptance of its proposal and December 11, 1969, conditioned upon such Notes being in substantially the same form as the First Renewal Notes, and that at the time of delivery thereof (if Chemical Bank shall become the purchaser thereof) it shall receive an approving legal opinion of Grafton, Ferguson, Fleischer & Harper, Louisville, Kentucky, in substantially the same form as in the case of the legal opinion of that firm which was issued when the First Renewal Notes were delivered to the purchasers thereof; and

WHEREAS, the Board has given due consideration to the text of the aforesaid written proposal of Chemical Bank, and the same is here reproduced in full as a part of this resolution, as follows:

CHEMICAL BANK

20 Pine Street
New York, N.Y. 10015

July 24, 1969

To the Chairman and Members
of the Board of Trustees
University of Kentucky
Lexington, Kentucky

and

To the Chairmen and Members of the
State Property and Buildings Commission
c/o Commissioner of Finance
New Capitol Annex Building
Frankfort, Kentucky

Re: (Loan) Agreement Concerning the Purchase of
Prospective Revenue Bond Anticipation (Renewal)
Notes of the Board and the Commission

Gentlemen:

We are familiar with the fact that on December 12, 1968, the Board and the Commission issued \$33,200,000 "Revenue Bond Anticipation (Renewal) Notes," dated December 12, 1968, and maturing December 12, 1969; under authority of Section 56.513 of the Kentucky Revised Statutes, and in anticipation of the Board's future issuance of its "Consolidated Educational Buildings Revenue Bonds," Series C (\$4,393,000), and Series D (\$29,250,000). As a matter of fact, Chemical Bank purchased \$15,000,000 of these Notes.

Your Fiscal Agent, F. L. Dupree & Co., Inc., of Lexington, Kentucky, has conferred with an officer of this Bank in recent days concerning the possibility of negotiating a Loan Agreement whereby Chemical Bank would agree to submit a bid for the purchase of up to \$30,000,000 of new Revenue Bond Anticipation (Renewal) Notes, the same to be dated December 11, 1969, and to mature December 14, 1970, and the proceeds thereof to be applied, to the extent necessary, in payment of the outstanding Notes which mature December 12, 1969. It has been represented to us by your Fiscal Agent and by your Bond Counsel, Grafton, Ferguson, Fleischer & Harper of Louisville, Kentucky, that authority for making such a Loan Agreement is found in KRS 56.513 (4) upon terms such that a responsible lender may agree to make continuously available a stated maximum amount of money for a stated period of time; that upon drawing against such guarantee, the lender would be issued Revenue Bond Anticipation Notes; and that in consideration of the making of such a Loan Agreement the Board and the Commission may agree to pay a commitment fee determined by the Commission to be reasonable according to financial conditions existing at the time the Loan Agreement is made.

Chemical Bank hereby offers to promise the Board and the Commission that if new Revenue Bond Anticipation (Renewal) Notes are offered in the customary manner on or prior to December 1, 1969, Chemical Bank will submit a bid for the purchase of up to \$30,000,000 thereof at the face amount of the Notes offered to be purchased and upon condition that any Notes awarded to Chemical Bank be caused to bear interest at 6-1/2% per annum, which we are advised is the maximum rate now permitted by Kentucky law. Our commitment fee for undertaking the risk involved in making this agreement is to be computed at the rate of 1/2 of 1% per annum on the entire amount of \$30,000,000 subject to the guarantee, computed from the date of your acceptance of this proposal, to and including December 11, 1969, which is to be the date of the new Notes and the date upon which the same are delivered to Chemical Bank at its office at 20 Pine Street, New York City.

The Notes are to be in form substantially the same as in the case of the Revenue Bond Anticipation (Renewal) Notes which are dated December 12, 1968, and it is to be agreed that at the time of delivery we will receive the customary closing documents, including the customary no-litigation certificate, together with an approving legal opinion of Grafton, Ferguson, Fleischer & Harper to the same effect as in the case of the legal opinion of that firm which was delivered to us upon our purchase of the Notes due December 12, 1969.

If the Board and the Commission see fit to approve and accept this proposal, Chemical Bank is to be paid the commitment fee at once, and it is to be agreed that the fee is fully earned, notwithstanding the fact that the Board and the Commission may see fit to issue Notes in an amount less than \$30,000,000, or to take some other course of action whereby Notes are not issued in any amount.

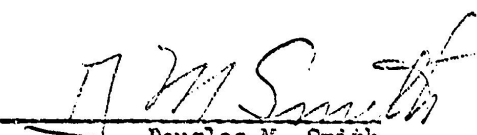
It is intended that this proposal, upon your acceptance, shall constitute such a "Loan Agreement" as is authorized by Section 56.513 (4) of the Kentucky Revised Statutes; and it is to be understood that your acceptance is your consent that the form hereof is acceptable as qualifying under the terms of such statutory authority.

Your acceptance should be in the form of certified copies of proper proceedings of both the Board of the University and the State Property and Buildings Commission.

Yours very truly,

CHEMICAL BANK

By


Douglas M. Smith
Senior Vice President

DMS/ge

AND WHEREAS, after due consideration and full discussion, and with the counsel and advice of the Office of the Commissioner of Finance and of the Fiscal Agent, it appears to the Board that the proposal of Chemical Bank, New York, N. Y., as above reproduced in full, is advantageous to the Board, the University, and the Commonwealth; that it is submitted by a "responsible lender" within the meaning of KRS 56.513 (2); that the "commitment fee" is reasonable according to financial conditions existing at this time; that such proposal, if accepted by this Board and the State Property and Buildings Commission of Kentucky, will constitute a "loan agreement" such as is authorized by KRS 56.513(4); that the same will permit the Board to offer and sell prior to December 1, 1969, either its Consolidated Educational Buildings Revenue Bonds, Series C and Series D, or its Revenue Bond Anticipation (Renewal) Notes, which may be offered to be purchased by persons and parties other than Chemical Bank; and that upon consideration of all of these factors, such proposal of Chemical Bank is advantageous to the Board, the University and the Commonwealth, and should be accepted and paid for in accordance with its terms,

NOW, THEREFORE, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY DOES HEREBY RESOLVE, AS FOLLOWS:

Section 1. The proposal of Chemical Bank, New York, N. Y., which is quoted in full in the preamble of this resolution, is hereby accepted in the name and on behalf of the University of Kentucky; and the University hereby recommends and requests early approval of the

same on the part of the State Property and Buildings Commission of Kentucky. The proper officers are hereby authorized to endorse upon one or more copies of said proposal of Chemical Bank any appropriate evidence of this Board's acceptance thereof, and the Secretary of this Board is authorized to provide to Chemical Bank one or more authenticated copies of this resolution.

Section 2. This resolution shall be in full force and effect from and after its adoption.

* * * * *

CERTIFICATION

The undersigned, Secretary of the Board of Trustees of the University of Kentucky, Lexington, Kentucky, hereby certifies that the foregoing (including therein a reproduced copy of the written proposal of Chemical Bank, New York, N. Y., referred to therein) is a true copy of a resolution duly adopted by said Board of Trustees at a properly convened meeting at which a quorum was present for the transaction of business as provided by law.

WITNESS the signature of the undersigned, and the seal of said University, this ____ day of _____, 1969.

(SEAL)

Secretary
Board of Trustees
University of Kentucky

On motion by Governor Chandler, seconded by Dr. Denham, and passed, the above resolution was adopted contingent upon subsequent approval by the State Property and Buildings Commission.

Those voting "Aye" were: Mr. Black, Mr. Butler, Governor Chandler, Mr. Clay, Mr. Cooper, Dr. Denham, Mr. Griffin, Mr. Hillenmeyer, Mr. Miller, Mr. Milner, Dr. Nicholas, and Mr. Pence.

Voting "No": None.

L. Financial Report (FCR 1)

Since the Board of Trustees had had an opportunity to examine the financial statement covering the eleven-months' period ending May 31, 1969, Dr. Kirwan recommended its acceptance.

On motion by Dr. Denham, seconded by Mr. Hillenmeyer, and passed without dissent, the financial report for the eleven-months' period ending May 31, 1969 was accepted and ordered filed. (See FCR 1 at the end of the Minutes.)

M. Revised Lease Agreement with Alpha Delta Pi Approved (FCR 2)

Dr. Kirwan, indicating that a copy of the revised lease agreement with the Alpha Delta Pi Sorority had been mailed to the members of the Board prior to the meeting, recommended its approval unless the Board members had any questions.

There being no questions, on motion by Dr. Denham, seconded by Mr. Hillenmeyer, and passed, the Acting Vice President of Business Affairs was authorized to execute the revised agreement with Beta Psi House Corporation of Alpha Delta Pi Sorority. (See FCR 2 at the end of the Minutes.)

N. Resolution on Affiliation of the University of Louisville and the University of Kentucky Approved

Dr. Kirwan reported that since the joint meeting of the two Boards of the University of Louisville and the University of Kentucky on March 25, 1969, a subcommittee composed of members of the two Boards had worked together and prepared a report, copies of which had been sent to the full membership of the two Boards and a copy of which is attached to these Minutes. The University of Louisville Board has already approved the Joint Committee report. The members of the University Board Committee on Affiliation with the University of Louisville, together with members of the administrative staff of the University, prepared a resolution for presentation to the Board which he read on behalf of the committee. A copy of the resolution is included at the end of the Minutes.

On motion duly made, seconded, and carried, the resolution on affiliation of the University of Louisville and the University of Kentucky as presented by Dr. Kirwan was adopted without dissent. (See Report of the Joint Committee and the Resolution on Affiliation at the end of the Minutes.)

O. Code of Student Conduct: Rules, Procedures and Responsibilities
Adopted

As chairman of the committee appointed by Governor Nunn, Mr. Clay presented the following report:

At the May meeting of the Board of Trustees, Governor Nunn appointed me chairman of a committee to consider the Faculty Senate's recommended revisions to the student code and, further, to examine the code in its entirety and recommend other changes we thought in the best interests of the University. Members of the committee, in addition to myself, were Dr. Nicholas Nicholas, Mr. Richard Cooper, Dr. Robert Rudd, and Mr. Tim Futrell.

It is not necessary to review the actions of the Senate nor the circumstances which produced that body's recommendations. Every member of the committee was aware of the debates, both general and specific, and had access to the minutes of the meetings. Two of the committee members were present at the Senate's meetings.

On one occasion the committee met with the Acting Vice President for Student Affairs and the Dean of Students and received their opinions in writing. At the same meeting, the committee benefited from the advice and opinions of Attorney General John Breckinridge and one of his staff. Subsequently, Mr. Futrell requested formal opinions of the Attorney General on a number of points relative to the authority and responsibility of the university, opinions the committee found of value.

At the May Board meeting, Governor Nunn invited those students and faculty who wanted to appear before the committee to make their desires known. All who made their desires known were invited to meet with us and did so. They included one student, several faculty members, and two administrators.

The members of the committee, as members of the University community, have been concerned from the beginning with the need to clarify ambiguities in the code, to continue to assure students the full benefits of due process, and to make it clear what penalties may be imposed for violations of the code's provisions, both academic and non-academic. Having observed that legislation in other states has been recommended or adopted which seriously impinges upon the rights of

state universities to govern themselves, the committee was determined to recommend such changes as would preclude the need for our own legislature's acting. We hope we have accomplished this.

The committee has secured a codification of the code -- a codification accomplished by two members of the student body, Mr. Sheryl Snyder, a law student, and Miss Taft McKinstry, a 1969 graduate who will enter law school this autumn. Both these students have had extensive experience with the code and are to be commended for the quality of the work they did. In its codified form, any student will be able quickly to understand what the rules and procedures are and what conduct he is responsible for.

The committee adopted four major changes, two of which were recommended by the Senate. These major changes are:

1. The Appeals Board will be required to meet within 48 hours if the student charged with an offense so desires. Alternate members of the Appeals Board will be appointed to insure a quorum within 48 hours.
2. The Vice President for Student Affairs will no longer serve as a member of the Appeals Board.
3. After having heard an appeal from a student, the Board will make its recommendations directly to the President of the University.
4. Penalties for disruptive and coercive actions have been spelled out by the code revision sub-committee.

In addition, for the first time, the code states specifically that the President of the University is responsible for student discipline under the provisions of KRS 164.200. This has long been true in fact, but previously it was not specifically stated in the code. In addition, a student's financial responsibility to the university and members of its community have been included, and a few other minor changes designed to clarify various points of the code have also been included.

The committee unanimously agreed on the provisions of the revised code and submits it to you now. I move that this Code of Student Conduct: Rules, Procedures and Responsibilities be adopted as an official policy of the University of Kentucky, that it be administered by the President of the University, and that any subsequent changes to it be adopted by this Board.

The motion having been made by Mr. Clay that the Code of Student Conduct: Rules, Procedures and Responsibilities be adopted as an official policy of the University of Kentucky, that it be administered by the President of the University, and that any subsequent changes to it be adopted by this Board, it was seconded by

Dr. Nicholas. Mr. Clay asked if there were any comments before the vote was taken and Mr. Futrell, non-voting student member of the Board, indicated his wish to state his position. He said that he considered the proposed Code better than the old Code but he wished to make it clear that, in his opinion, it fell short of what is needed on this campus. He continued that "we must look to other alternatives, such as a Student Bill of Rights, and other additions to the Code to make it better than what it is today." Repeating that he considered the Code which was before the Board at this time an improvement on the old Code, although not yet adequate to the needs of the student body, he endorsed it.

There being no further discussion, all members present voted aye and the Code of Student Conduct: Rules, Procedures and Responsibilities was adopted. It was further agreed that the Code should be printed immediately and copies mailed to all incoming students and made available to all University students at as early a date as possible. (See copy of Code of Student Conduct: Rules, Procedures and Responsibilities at the end of the Minutes.)

P. Dr. Kirwan Thanks Board for Cooperation and Support

Since this was his last meeting with the Board of Trustees as President of the University, Dr. Kirwan asked that he be permitted to say a few words. He said his tenure as President had been a most pleasant, stimulating and gratifying experience. Every minute had been a pleasant one and he had had the complete support of the Board, the administration, the staff and, in general, of the faculty and students. Although the University had made no great "leap forward" during the past year, he felt that many things were accomplished, including several important appointments and several major decisions on the part of the Board of Trustees. In no instance was there a divided vote on any recommendation brought to the Board, only complete unanimity and complete acceptance. He said he did not mean to imply that the Board was a rubber stamp but rather that it is a Board made up of dedicated members who accept fully their responsibilities as Trustees.

Mr. Clay responded by thanking President Kirwan for his remarks and appointing a committee to draft a resolution which would adequately express the Board's thanks and appreciation to Dr. Kirwan for his outstanding service as seventh President of the University. The members of the committee so named are: Governor A. B. Chandler, Chairman, Mr. Robert Hillenmeyer and Dr. Paul Sears.

Q. Meeting Adjourned

Having first determined there was no further business to come before the

Board, on motion duly made, seconded, and carried, the meeting adjourned at 2:45 p. m.

Respectfully submitted,

Richard E. Cooper
Acting Secretary, Board of Trustees

(The Resolution on Mr. Robert F. Kerley, Mrs. Nell Stuart Donovan, and the Presidential Search Committee, PR's 2, 4, 5, and 6, FCR's 1 and 2, Report of Joint Committee on Affiliation of the University of Kentucky and the University of Louisville, the Resolution on Affiliation of the University of Louisville and the University of Kentucky, and the Student Code of Conduct: Rules. Procedures and Responsibilities which follow are official parts of the Minutes of the July 28, 1969 special called meeting of the Board of Trustees.)

RESOLUTION
ON
ROBERT F. KERLEY

The Board of Trustees of the University of Kentucky notes with deep regret that

ROBERT F. KERLEY

has resigned as Vice President for Business Affairs and Treasurer.

The Trustees feel a sense of loss and yet a satisfaction that the patterns and practices which this vice president adopted during the period of the University's greatest expansion will remain as guidelines for handling its future financial affairs.

When Mr. Kerley became the University's chief financial officer five years ago, this institution was convulsed by rapid transition. Its student body, its faculty and its staff were growing at unprecedented rates. Its annual budget was destined to be more than doubled over the next five years. A statewide building program was being initiated.

Clearly, old ways of dealing with business matters no longer would suffice. Clearly, the University needed a man with fresh but solid methods of handling the financial details that support the academic, research and service roles of any university.

In Mr. Kerley, the University found such a man, a man with national reputation. It also found much more. . . a man with an understanding of the educational process, a man with a knowledge of the complexities of government, a man with new ideas about capital financing in a time of monetary turbulence, a man with energy and foresight and courage to go ahead.

Thus, from behind the financial reports and the ledger sheets and the blueprints has emerged a strong personality--Bob Kerley--who has left the University and its Trustees a pattern not only of sound management but also of imaginative venturing in the intricacies of educational finance.

The Trustees bid him farewell with reluctance but with gratitude for what he has done here and direct that copies of this Resolution be spread upon the Minutes of the July 1969 meeting of the Board and sent to Mr. Kerley.

RESOLUTION ON THE DEATH
OF
NELL STUART DONOVAN

The Board of Trustees of the University of Kentucky notes with sorrow the death of

NELL STUART DONOVAN

on Wednesday, July 16, 1969. First lady of the University of Kentucky from 1941 until 1956, Mrs. Donovan graced the position with all the warmth, charm and dignity which is inherent in the word "lady."

Born in Pembroke, Kentucky, Mrs. Donovan married Herman Lee Donovan in 1909. They came to the University of Kentucky in 1941 from Eastern State Teachers College, now Eastern State University, where Dr. Donovan had served as President. To succeed the deeply loved and revered Frances Jewell McVey as first lady of the University was a role that any woman would have found difficult, but Nell Donovan was equal to the challenge and soon endeared herself to the thousands of citizens, faculty, and students who enjoyed her friendship and hospitality.

Though childless, Dr. and Mrs. Donovan considered all the University students their children and through their kindness, understanding, and help, many who otherwise might not have been able to do so were able to complete their education.

In 1965 the University recognized Mrs. Donovan by naming her as the citizen recipient of the Sullivan Medallion. In presenting the award, Dr. Oswald said, "Always quiet, always a lady, Nell Donovan was always at hand when needed. Shunning publicity and acclaim, her purpose in life has indeed been 'to express love for and helpfulness to other men and women'."

A great lady has left us but the memory of her many acts of kindness and graciousness will live long in the hearts of her fellow Kentuckians. Her influence on the young people who attended the University of Kentucky during her time will be felt for many years to come and all those who had the privilege of knowing her are richer for that experience.

The Board of Trustees of the University of Kentucky, respectfully recalling her service to this institution, her faith in young people and her belief in the goodness of mankind, directs that copies of this Resolution be spread upon the Minutes of the July 1969 meeting of the Board and sent to her family.

RESOLUTION
ON THE
PRESIDENTIAL SEARCH COMMITTEE

On several occasions in recent months this Board of Trustees has had occasion to pass resolutions of acclaim, honor, and appreciation. In the months to come undoubtedly we will again be moved to recognize officially specific merit and superior service. However, at a particular transition point in the course of the history of this University it is fitting that we recognize a group of persons, some within the Board, whose devotion, effort, talent, and undaunted perseverance have brought us a new future. The spotlight of public acclaim is properly on our new president, but within the strong bonds of our sometimes difficult responsibilities here in this Board let us consider a resolution of heartfelt appreciation to our Presidential Search Committee.

WHEREAS, the members of this committee undertook their assignment with high resolve and ennobled purpose, and

WHEREAS, the members of this committee gave not only generously but also unstintingly and continuously of their time and abilities, and

WHEREAS, on occasions of dismay and unforeseen mischance, they persisted without faltering or changing course, and

WHEREAS, their worthy efforts culminated in the highest excellence of achievement from which the Board, the University community, the Commonwealth, and untold thousands of students, staff and citizens in years to come will benefit.

NOW, THEREFORE, LET IT BE RESOLVED, that those Trustees not on the Presidential Search Committee officially recognize those fellow Board members and designated faculty members who served with merit in the search for a new president:

Dr. Ralph Angelucci, the committee chairman until January, 1969
Mr. George W. Griffin, the committee chairman
Mrs. Rexford S. Blazer, the committee vice chairman
Mr. William R. Black
Dr. N. N. Nicholas
and the faculty representatives
Dr. Albert D. Kirwan, representative until January, 1969
Mr. Charles E. Deusner
Dr. Robert W. Rudd
Dr. George W. Schwert
Dr. Paul G. Sears

PR1

PRESIDENT'S REPORT TO THE TRUSTEES

July 28, 1969

1. PROFS, GRADS, HAVE MOONSHOT ROLES

In addition to the two University professors, among 140 scientists set to analyze about 50 pounds of lunar samples collected on the Apollo 11 moonflight, several University graduates took part in the moon project. Dr. Richard Birkebak, associate professor of mechanical engineering, and Dr. W. D. Ehmann, professor of chemistry, will be "principal investigators" for NASA. Dr. Birkebak will research the moon's ability to conduct and reflect light and heat and Dr. Ehmann will check samples to see how much silicon, oxygen, iron and magnesium they contain, with an eye to how the moon rock was formed.

James B. Hensely (1958) is an electrical system engineer in the Launch Vehicle Operations Branch at NASA's Kennedy Space Center. Another graduate also at Kennedy as chief of the Propulsion and Vehicle Mechanical Systems Branch within Launch Vehicle Operations is John T. Humphrey (1957). Ronald N. Abrahams (1957) is an instrumentation subsystem manager at NASA's Marshall Space Flight Center, Huntsville, Ala., where the Saturn V rocket that lifted Apollo 11 from earth was developed.

Dr. Birkebak said of his work: "The reflective property study will help scientists in developing future spacecraft and space situations--information needed for the engineers." Dr. Ehmann remarked that the moon is similar to basaltic rock, as indicated by the Surveyor probe; these elements, silicon, oxygen, iron and magnesium make up about 70 per cent of its weight." He added that he was "excited" about his scheduled work "because the moon is a part of the solar system that probably has not been greatly altered from its original composition."

2. COUNCIL LIMITS NON-KENTUCKY STUDENTS

The Council on Public Higher Education has voted to limit out-of state enrollment of freshmen at public-supported colleges and universities to 20 per cent by next year, and the total undergraduate enrollment to 15 per cent by fall of 1973. Chairman William Abell suggested that the limitation on non-resident students and an increase in their tuition should be invoked for the 1969-1970 school year beginning this fall. Schools affected by the ruling are the University, Eastern, Western, Morehead and Murray, Kentucky State College, and the new Northern Kentucky State College. If the University of Louisville enters the state higher education system next July, U of L also will be affected.

3. MICROFILM PROJECT ADDING TO RESEARCH RESOURCES

The Genealogical Society of the Church of Jesus Christ of the Latter Day Saints, Salt Lake City, has microfilmed official records of 86 Kentucky counties, in a unique microfilming project coordinated by the University Libraries Special Collections Department, according to Charles Atcher, library field representative. The project began in 1958 when the Society sent a microfilm operator into Kentucky to film deeds, wills, marriages and court orders books of each county to 1911. To date, 6,421 reels of film have been used in the program. Each reel has 100 feet of film with 1,000 images, which means 6,421,000 documents have been filmed. Atcher points out that after records of an individual county are filmed and processed in Salt Lake City, two positive copies are sent to Kentucky. One copy is kept in the University library and the other goes to the county clerk. The clerk often gives the county copy to the Kentucky Historical Society, a local historical society, or places it in a bank vault.

A number of genealogists use the reels here to check records for membership applications to the Daughters of the American Revolution, Sons of the American Revolution, United Daughters of the Confederacy, the Huguenot Society, or for other such purposes. Atcher travels to each county well in advance of the microfilm operator and explains the project to county officials. Often he must get permission to film the records from Fiscal Court. He says while it is difficult to estimate just how long it will take to finish microfilming records in the remaining counties, he believes it will be in the "not too distant future." Atcher says the Society also had microfilmed records of the first federal courts in Kentucky at Frankfort for the library. The first court, organized in 1792 when Kentucky became a state, was located at Frankfort. The records there went to 1857, and "the Society microfilmed them at no expense to the University," Atcher says.

4. COMMUNITY COOPERATION CITED IN STAGING TRACK MEET

The Athletics Department spent approximately \$50,000 on facilities improvements, business people responded handsomely to program advertising and many interested citizens and organizations worked together to make the U. S. Track and Field Federation National Championships a success here in June.

Harry Lancaster, athletics director, said a major project was track resurfacing, at \$35,000. Another \$10,000 was spent on additional seating and \$1,000 on such new equipment as standards for high jumping and vaulting. Lancaster said he and the department were especially appreciative of the time and effort put into the event by meet manager Jack Hall and a committee of local persons representing such organizations as The Lexington Wildcat Club, Committee of 101, Chamber of Commerce and others.

5. BLACK STUDENT STUDY PLANNED BY COMMISSION

The Kentucky Commission on Human Rights has voted to start a low-key inquiry into "the problems of black students" at Kentucky colleges and universities. The inquiry will be directed at "seeking information to see what constructive role the commission might take in connection with the education of black students" in the state. Commission members and staff will contact college administrators, faculty and staff as part of the study. The commission made it clear it considered the inquiry a fact-finding mission and that it has no current action planned for campuses. "The commission is simply concerned about the problems black students have getting a higher education in Kentucky," commented Commission Chairman Paul Oberst.

6. ALUMNI SEEKS SCHOLARSHIPS FOR VALEDICTORIANS

The Alumni Association board of directors at its recent workshop voted to seek a full scholarship for any high school valedictorian in Kentucky wishing to attend a state-supported college or university. The measure was adopted by the 30 directors in attendance. An additional hundred persons came for a special meeting on Thursday night of alumni from west Kentucky. The scholarship resolution, accepted after a special study committee's report, stipulates that the association ask the 1970 session of the General Assembly to fund the valedictorian scholarships. "Too many of our top high school graduates are being lost to the commonwealth because of grants made to them by other institutions across the nation," Alumni Association President Joe Creason said.

In other business, the directors set \$150,000 as the goal of the 1970 Alumni Fund drive, which will be headed by J. Paul Nickell, Lexington. It is hoped that 8,000 alumni will contribute. Creason, chairman of the 1969 fund drive, reported that 6,335 alumni had given \$143,000 in the fiscal year ending in June. The alumni board also voted to expand its membership from 36 to 48 elected members and to change the association's operating procedure from a fiscal to a calendar year basis. President A. D. Kirwan, addressing the meeting on Thursday night of west Kentucky alumni, said the University in the last few years has become "an institution of national and worldwide significance." Noting the emergence of a "stronger" faculty and student body and the growth of physical facilities, he predicted an "excellent future" for the University under Dr. Otis A. Singletary, who will assume the presidency in August. The next meeting of the alumni directors will be Sept. 27 at a location in northern Kentucky.

7. DR. KARAN COMPLETES MAP OF SIKKIM

The first modern physical-economic map of the remote Himalayan Kingdom of Sikkim has been produced by Dr. P. P. Karan, chairman of the Department of Geography. Published this summer by the Association of American Geographers, the map will be used in the planning of Sikkim's development program, according to Dr. Karan, who undertook research for the map in 1964 at the request of the Sikkim government. Dr. Karan published a map of Bhutan in 1966. Based on four years' research and several field trips by Prof. Karan and a group of student assistants, the map already has been adopted as the official national map of Sikkim. Preparation of the Sikkim map raised distinct cartographic problems, since so little was known about the geography of the country. Vast areas had never been chartered, Dr. Karan said, so he and his party had to collect data in the field. Dr. Karan and the king were assisted by local chiefs of the various valleys and regions who were summoned by the king to the palace by radio--the only way they could rapidly be reached.

8. MCCONKEY SLATED FOR WRITING WORKSHOP FOR OVER-57'S

Dr. James McConkey, professor of creative writing at Cornell University and author of numerous books and short stories, will be one of four instructors participating in the third annual Writing Workshop for People Over 57 to be held by the University at Carnahan House, August 10-16. Sponsored by University Extension, the Summer Program, the Council on Aging and the Department of English, the workshop in its previous sessions has attracted aspiring writers from many states. Dr. McConkey will teach adult fiction. Kirk Sale, a widely-published author of non-fiction articles and books, will lead the non-fiction section. The poetry section will be headed by William Matthews, whose poems have been published in many periodicals and often are selected for inclusion in poetry anthologies.

The juvenile fiction workshop will be headed by Miss Louisa Rossiter Shotwell, who has been both an auditor and a contributor at the Bread Loaf Writers Conference. Her book, "Roosevelt Grady," has sold more than 200,000 copies. Dr. Earl Kauffman, director of the Council on Aging and director of the workshop, said, "The growing popularity of writing as a means of mature expression and communication is proved by the popularity of the writing workshop for people over 57." A new feature this year will be sponsorship by the Lexington Herald-Leader Co. Fred B. Wachs, president and general manager of the newspaper company, will serve as host for a luncheon for workshop students at Shakertown.

9. POSSIBLE POLICE SCHOOL HERE STUDIED BY CRIME GROUP

The feasibility of offering degree courses in police administration at the University and eventually establishing a law enforcement school is being studied by the Lexington-Fayette Regional Crime Commission. At a recent meeting, commission members voted to talk first with the local police departments to learn which courses are immediately needed, then present specific proposals to the University. Although courses were offered in police administration about 15 years ago, they have since been discontinued because they weren't well attended, the commission was told.

10. DR. FINKNER DEVELOPS NEW BARLEY VARIETY

A new barley variety, with earliness, lodging resistance, and beardlessness was to be released by the College of Agriculture this month, according to the agronomy department. The new variety, called Knob, is primarily a live-stock feed-grain and was developed over the past 11 years by Dr. Verne C. Finkner, small grains scientist. "Knob," Dr. Finkner said, "has the advantages of: 1) early maturing variety, thus allowing it to fit into a double cropping system with corn or soybeans; 2) short, stiff straw, important in preventing lodging. Grain that lodges or droops is difficult to combine and is usually of a poorer quality because lodged grain tends to mold, and 3) beardlessness, which means a cleaner grain." Many of the barley varieties have "beards" or hair-like appendages in the heads of the grain. These beards are hard to thrash out, have no feed value, and often get stuck in the mouths, he explained. "In test plots here and at West Kentucky Substation, Princeton, we found Knob to be among the higher yielding barley varieties," he said. "Knob did run a lower test weight for pounds per bushel," Finkner noted, "but this is to be expected since the variety is beardless."

11. SEAY SCHOLARSHIP DRIVE UNDERWAY

Agricultural and business leaders from around the Commonwealth met in Lexington June 23 to start the William A. Seay Memorial Scholarship Fund drive, in memory of the late dean of the College of Agriculture who was killed last February in the crash of his private plane in West Virginia. Proceeds from the campaign will be used to establish an endowment fund at the University which in turn will be used to assist deserving students majoring in agriculture. "Bill Seay was vitally concerned with the future of Kentucky agriculture and its need for future leaders," Hayden Timmons, Kentucky RECC and chairman of the fund's advisory committee, said. "It's fitting that a memorial to Dean Seay should be in the form of scholarships to help young Kentuckians study agriculture."

12. MED CENTER PHOTOS TOUR BOLIVIA SCHOOLS

An exhibit of work by the Department of Medical Photography has been taken to Bolivia by Medical Center photographer Nils Valle for showings at medical schools in LaPaz and Cochabamba. Mr. Valle is accompanying Dr. Arturo Bautista of the Department of Pediatrics on the tour of the two schools. Bolivia, where they will spend 30 days with the photography display, is the native country of both men. The exhibit features all phases of medical photography, including patient and specimen photographs, pictures of instruments, photographic examples of medical illustrations and a surgical movie.

13. DEVELOPMENT SERVICES PROVIDES AID TO BARREN DISTRICT

The Office of Development Services is extending its activities to west Kentucky. Financed largely by the Economic Development Administration, the services will be offered in areas served by development districts such as the Barren River Development District. In explaining the program to members of the Barren River Executive Council last week, Dave Victor, of the University extension staff, said the problems raised could bring in the skills or expert knowledge of the various schools or departments of the University. He said information is available through development district offices or county agents. Jack Margolin, a program officer, one of eight on a staff headed by Dr. H. K. Charlesworth, College of Business and Economics, has been assigned to work in the area.

14. AGRONOMY FIELD DAY SET FOR THURSDAY

The College of Agriculture's Agronomy Field Day is set for July 31, starting at 9 a.m. at the Agronomy Research Farm on Iron Works Road. The public is invited. Lunch will be available for those who wish to buy food. Agronomy researchers and Extension personnel will demonstrate new production methods and report on latest research findings. Transportation will be furnished to the demonstration sites.

"No tillage" methods, equipment and effectiveness will be among the topics of interest to many farmers. Agronomists will discuss sod-planting of corn as compared to conventional tillage and will show an experiment in sod-planting tobacco. Equipment used in comparing tillage intensities--from plowing to "no till"--also will be demonstrated. Another feature will be a "crops garden," which includes examples of Kentucky crops as well as many crops not usually grown in the state. Research methods used to study how various corn varieties respond to different planting rates also will be shown. Another topic will be the results of research to determine what causes early blooming of burley tobacco.

15. WENNER-GREN OFFICIALS RETURN FROM EUROPE

Dr. K. O. Lange, director of the Wenner-Gren Aeronautical Research Laboratory, and Dr. J. F. Lafferty, associate director, visited Salzburg, Austria, in early July for the 18th Space Flight Congress of the Herman Oberth Gesellschaft (society). Professor Oberth, known as the "father of space flight technology," was honored on his seventy-fifth birthday. He predicted flights to the planets as early as 1920's and was the first to state the theories that since have become basic practices in space travel. Dr. Lange's paper was entitled "Rocket Flight Experiments to Investigate the Gravity Requirements of Earth Organisms." "Gravity and Space Flight" was the subject of the presentation made by Dr. Lafferty.

16. BARNHART SAYS AREA AGENT SYSTEM MAY BE REORGANIZED

Dean Charles E. Barnhart of the College of Agriculture says that the school may soon return to assigning agricultural and home demonstration agents on a county basis. The return to the county basis for the school's extension work has been called for by many farm groups including the Kentucky Farm Bureau Federation and the National Farmers Organization, he said. He said he intends to meet with the service's agents during the next few months and announce full reorganization by October 1.

17. GRANT WILL CONTINUE MOUNTAIN HEALTH PROJECTS

A grant of \$1,123,520 has been made to the Kentucky State Department of Health and the University Medical Center for continuation of health demonstration projects in 11 Appalachian counties. The program, announced by the Appalachian Regional Commission and the National Institute for Mental Health, will provide field experience for medical students and is a demonstration of comprehensive health services. The counties which benefit are Clay, Laurel, Whitley, Knox, Bell, Harlan, Leslie, Breathitt, Knott, Letcher and Perry.

18. MRS. DONOVAN, WIDOW OF UK PRESIDENT, DIES

Mrs. Nell Stuart Donovan, widow of former University president Dr. Herman Lee Donovan, died July 16 at a Lexington hospital after a long illness. She was 79. A native of Pembroke, Mrs. Donovan married Dr. Donovan in 1909 when he was teaching at Paducah. Her husband later became president of the old Eastern Kentucky State Teachers College at Richmond and from 1941 to 1956 was president of the University of Kentucky. Mrs. Donovan was the recipient of the Sullivan Medallion in recognition of her helpfulness to others.

19. KRF REPORTS \$14 MILLION IN GRANTS IN LAST FISCAL YEAR

During the period June 1-June 30, 1969, a total of 98 agreement awards were received by the University of Kentucky Research Foundation amounting to \$2,250,122.53. Total to date, for the fiscal year ending June 30, was \$14,476,149.88.

COLLEGE OF AGRICULTURE

Department of Animal Sciences--C. O. Little, Study of Factors Influencing the Nutrition of Ruminants, Smith Kline and French Laboratories, \$15,950.

Department of Entomology--B. C. Pass and R. Thurston, Research on the Control of Insects Attacking Alfalfa and Tobacco, Chemagro Corporation, \$500 (additional funds). B. C. Pass, Chemical Control of Insects on Alfalfa and Tobacco, FMC Corporation, \$800. J. G. Rodriguez and Richard Thurston, Shell Chemical Company - Research on Tomatoes, Cabbage and Tobacco, Shell Chemical Company, \$1,500.

Department of Forestry--C. E. Barnhart, Timber Survey of McCracken, Calloway, Graves and Marshall Counties, West Virginia Pulp and Paper, \$1,248.

COLLEGE OF ARTS AND SCIENCES

Department of Botany--T. Leonard, A Study of the Genetics and Physiology of Fruiting Body Initiation in Schizophyllum Commune, National Science Foundation, \$25,000.

Department of Microbiology--R. H. Weaver, Undergraduate Research Participation, National Science Foundation, \$8,520 (additional funds).

Department of Chemistry--W. Wagner and D. Sands, Properties and Structures of Solvates of Metal Chelates Encountered in Solvent Extraction Systems, Atomic Energy Commission, \$27,500. K. J. Hofstetter, Decay Scheme Studies of Pt 185, Pt186, and Pt187, Research Corporation, \$5,000.

Department of Physics--B. R. Gossick, Radiation Effects on Germanium, Atomic Energy Commission, \$32,570. G. S. Hurst, Charged Particle Interactions with Noble Gases, Atomic Energy Commission, \$77,000.

Department of Anthropology--P. Drucker, Archaeological Excavations in Cave Run Reservoir, Kentucky, National Park Service, \$4,000. P. Drucker, Archaeological Reconnaissance Studies, National Park Service, \$1,242. P. Drucker, Archaeological Salvage in Right-of-way of Section II, Interstate 24, Marshall, Lyon and Livingston Counties, State of Kentucky, \$78,300.

COLLEGE OF BUSINESS AND ECONOMICS

H. K. Charlesworth, Educational Assistance to Local Government - Title 1, University of Kentucky as State Agency for Title 1 HEA, \$20,000. H. K. Charlesworth, Technical Assistance to Business Program, U. S. Department of Commerce, \$145,000.

COMMUNITY COLLEGE SYSTEM

Ashland Community College--R. L. Goodpaster, Library Materials Grant Title II-A HEA 65, U. S. Office of Education, \$5,642.

Elizabethtown Community College--J. S. Owen, Library Materials Grant, Title II-A HEA 65, U. S. Office of Education, \$5,579.

Hazard Community College--J. M. Jolly, Library Materials Grant Title II-A HEA 65, U. S. Office of Education, \$5,288.

Henderson Community College--M. Arnold, Library Materials Grant Title II-A HEA 65, U. S. Office of Education, \$5,736.

Hopkinsville Community College--T. L. Riley, Library Materials Grant Title II-A HEA 65, U. S. Office of Education, \$5,000.

Jefferson Community College--J. Smith, Library Materials Grant, Title II-A HEA 65, U. S. Office of Education, \$8,133.

Madisonville Community College--G. H. Massey, Library Materials Grant Title II-A HEA 65, U. S. Office of Education, \$5,000.

Maysville Community College--C. Wethington, Library Materials Grant Title II-A HEA 65, U. S. Office of Education, \$5,349.

Northern Community College--A. J. Hauselman, Library Materials Grant Title II-A HEA 65, U. S. Office of Education, \$5,961.

Paducah Community College--D. Clemens, Library Materials Grant Title II-A HEA 65, U. S. Office of Education, \$6,585.

Prestonsburg Community College--H. A. Campbell, Library Materials Grant Title II-A HEA 65, U. S. Office of Education, \$5,518.

Somerset Community College--Library Materials Grant Title II-A HEA 65, U. S. Office of Education, \$5,839.

Southeast Community College--G. Luster, Library Materials Grant Title II-A HEA 65, U. S. Office of Education, \$5,390.

COMPUTING CENTER

M. Solomon, Question, Effects, Difference Study, National Institute of Mental Health Addiction Research Center, \$1,709.53 (additional funds). M. Solomon, Automatic Data Processing Services and IBM Machine Usage, National Institute of Mental Health Clinical Research Center, \$600 (additional funds).

COLLEGE OF EDUCATION

Department of Curriculum and Instruction--H. Barnard and S. Lail, Teacher Corps - Pre-Service Fourth Cycle, U. S. Office of Education, \$62,560.

Department of Educational Psychology and Counseling--C. D. Auvenshine and J. C. Finney, Programmed Interpretation of Psychological Tests by Computer, Social and Rehabilitation Service, \$90,130.

Department of Health, Physical Education and Recreation--P. Verhoven, Special Project Planning Grant in Recreation, U. S. Office of Education, \$2,700 (additional funds).

Department of Special Education--W. Tisdall, Preparation of Professional Personnel in the Education of Handicapped Children - Mental Retardation - Graduate, U. S. Office of Education, \$32,873. C. M. Nelson, Preparation of Professional Personnel in the Education of Handicapped Children - Emotionally Disturbed, U. S. Office of Education, \$29,050. C. Diehl, Preparation of Professional Personnel in the Education of Handicapped Children - Speech and Hearing, U. S. Office of Education, \$14,100. D. Cross, Preparation of Professional Personnel in the Education of Handicapped Children - Crippled, U. S. Office of Education, \$30,200. A. Levv, Preparation of Professional Personnel in the Education of Handicapped Children - Mental Retardation - Undergraduate, U. S. Office of Education, \$26,477.

Department of Vocational Education--G. Luster, Training Workshop to Improve the Competencies of Persons to Prepare Instructional Materials for Vocational Education, U. S. Office of Education, \$13,271 (additional funds). H. R. Binkley, Training Project to Prepare Administrators of Vocational Technical Educational Programs, U. S. Office of Education, \$131,250.

COLLEGE OF ENGINEERING

Department of Civil Engineering--R. A. Lauderdale, Training in Water Supply and Pollution Control, Department of the Interior, \$13,924.

Department of Electrical Engineering--R. L. Cosgriff, Publication of Carnahan Conference on Electronics in Crime Control Countermeasures, U. S. Department of Justice, \$5,397.

Department of Mechanical Engineering--R. Eichhorn, Instructional Scientific Equipment, National Science Foundation, \$12,800. C. Knapp, Response to Environmental Stress - Flow Distribution With Hypovolemia and Vibration, Air Force Office of Scientific Research, \$23,600.* C. Knapp, Response to Environmental Stress - Analogs of the Hypovolemic Circulation, Air Force Office of Scientific Research, \$20,138.* J. Lafferty, W. K. Massie Orthopedic Engineering Research Fund, W. K. Massie, \$2,000 (additional funds).

Department of Metallurgical Engineering--R. Swift, Effects of Polygonization on High Purity Iron, American Iron and Steel Institute, \$1,700 (additional funds). G. Sargent, Research Study of Structural Damage Produced in Silicon Semi-conductors by Neutron Irradiation, California Institute of Technology Jet Propulsion Laboratory, \$12,761.

*Subproject of Project Themis, Physiological Responses to Environmental Stress, Air Force Office of Scientific Research, Total Themis Award, \$208,260.

Wenner-Gren Aero Research Laboratory--J. Lafferty, Response to Environmental Stress - Flow Distribution Analysis, Air Force Office of Scientific Research, \$16,967.* J. Lafferty, Response to Environmental Stress - Ventricular Ejection Mechanics, Air Force Office of Scientific Research, \$27,009.*

LIBRARY SCIENCE

G. S. Bobinski, Workshop for Editors of Regional and State-Wide Library Periodicals, U. S. Office of Education, \$4,546 (additional funds).

MARGARET I. KING LIBRARY

H. Gordon, Library Materials Grant Title II-A HEA 65, U. S. Office of Education, \$45,209.

OFFICE OF INTERNATIONAL EDUCATION PROGRAMS

L. Zimmerman and C. Kennedy, International Summer School Program for Monterrey Students, Sears Roebuck Foundation, Instituto Tecnologico, Monterrey, \$1,000 (additional funds). T. Pitt, Kentucky Partners of the Alliance, Kentucky Partners of the Alliance, \$7,500.

OHIO VALLEY REGIONAL MEDICAL PROGRAM

W. H. McBeath, Ohio Valley Regional Medical Program, Health Services and Mental Health Administration, \$516,536 (additional funds).

UNIVERSITY EXTENSION

C. R. Hager, Head Start - Columbia, Volt Information Sciences, Inc., \$2,414. C. R. Hager, Head Start - Somerset, Volt Information Sciences, Inc., \$3,094. C. R. Hager, Head Start - Lexington, Volt Information Sciences, Inc., \$2,656. C. R. Hager, Head Start - Barbourville, Volt Information Sciences, Inc., \$3,004.

Extension Class Program--J. Brown, Consultative Services, Floyd County Board of Education, \$2,000.

MEDICAL CENTER

Office of the Vice President--N. J. Pisacano, American Board of Family Practice, Inc., Lexington Office, American Board of Family Practice, Inc., \$7,500.

COLLEGE OF DENTISTRY

Department of Orthodontics--W. R. Proffit, American Dental Association Student Research Project, American Dental Association, \$300.

Department of Restorative Dentistry--H. Lundeen and C. Wirth, Experimental Mandibular Recordings, Various Dentists, \$1,500.

*Subproject of Project Themis, Physiological Responses to Environmental Stress, Air Force Office of Scientific Research, Total Themis Award \$208,260.

COLLEGE OF MEDICINE

Office of the Dean--W. S. Jordan Jr., Energy Metabolism in *Bacteroides Ruminicola*, Council for Tobacco Research - USA, \$800.

Department of Anatomy--S. D. Smith, Studies of Adult Frog Limb Regeneration, National Institutes of Health, \$9,407.

Department of Anesthesiology--D. H. Morrow, Anesthesia and Digitalis Tolerance, National Institutes of Health, \$15,413.

Department of Behavioral Science--J. V. Haley, Response to Environmental Stress - Information Analysis of Regional Response Data, Air Force Office of Scientific Research, \$6,908.* R. Straus, Research Training - Social Sciences, National Institutes of Health, \$48,186.

Department of Cell Biology--J. E. Siskin, Metabolic Sequences in the Mitotic Cycle, National Institutes of Health, \$32,315.

Department of Community Medicine--A. S. Benenson, Administrative Activities of the Director, Commission on Immunization, Armed Forces Epidemiological Board, Department of the Army, \$7,893.

Department of Medicine--A. Balows, Ampicillin Study, Ayerst Laboratories, \$3,500 (additional funds). J. T. Reeves, Hypoxic Pulmonary Hypertension, National Institutes of Health, \$2,043 (additional funds). R. Shabetai, Response to Environmental Stress - Development of Improved Equipment and Techniques for Stress Monitoring, Air Force Office of Scientific Research, \$20,781.* R. Shabetai, Student Scholarship Fund, Kentucky Heart Association, \$2,400. W. H. Waugh, Local Control of Peripheral Vascular Tone, National Institutes of Health, \$22,118.

Medical Center Library--O. Hamlin, Kentucky, Michigan, Ohio Regional Medical Library Program, Wayne State University, (to be billed).

Department of Obstetrics-Gynecology--P. V. Dilts Jr., Caval Contribution to Foramen Ovale Flow, National Institutes of Health, \$19,462. J. W. Greene Jr., Follow-up Study of the Progeny of Normal and Complicated Pregnancies, Human Development Studies Program, \$20,122. J. W. Roddick Jr., Cancer Control Senior Clinical Traineeship, Public Health Service, \$14,000.

Department of Pediatrics--K. L. Gerson, Allergy Laboratory Development, Dome Laboratories, \$10,000.

Department of Pharmacology--H. Gordon, Medical Student Traineeships in Clinical Pharmacology, Pharmaceutical Manufacturers Association Foundation, Inc., \$2,000.

*Subproject of Project Themis, Physiological Responses to Environmental Stress, Air Force Office of Scientific Research, Total Themis Award, \$208,260.

Department of Physiology-Biophysics--E. P. McCutcheon, Doppler Blood Flow Velocity Detection, Department of the Air Force, \$20,430 (additional funds). E. P. McCutcheon, Response to Environmental Stress, Air Force Office of Scientific Research, \$19,619.* E. P. McCutcheon, Response to Environmental Stress - Left Ventricular Function, Air Force Office of Scientific Research, \$17,675.* E. P. McCutcheon, D. R. Wekstein, H. C. Bickley, Post-natal Changes in Rat Ventricular Function, Human Development Studies Program, \$4,754.

Department of Radiology--H. D. Rosenbaum, To Prepare a Set of Color Slides on Radiologic Physics, American Cancer Society, Kentucky Division, \$3,769.

Department of Surgery--J. A. Dooling, Summer Fellowship In Surgical Research, KDI Corporation, KDI Research Fellowship Fund, \$800. W. O. Griffen, Response to Environmental Stress - Regional Responses to Hypovolemia, Air Force Office of Scientific Research, \$55,327.* W. R. Jewell, Identification of Autoantibodies and Tumor Specific Antigens in Human Malignant Disease, American Cancer Society, Kentucky Division, \$24,521. B. F. Rush, Pathophysiology of Post-Traumatic and Shock States, National Institutes of Health, \$32,893. D. E. Slagel, Microchemistry and Ultrastructure of Neuroglia, National Institutes of Health, \$30,325. D. E. Slagel, Biochemical Analysis of Experimental Brain Tumors, American Cancer Society, Kentucky Division, \$6,465. P. M. Weeks, Regulation of Cell Synthesis of Collagen and Mucopolysaccharide, The John A. Hartford Foundation, Inc., \$38,753.

COLLEGE OF NURSING

L. M. Denman, Undergraduate Psychiatric Nursing, National Institute of Mental Health, \$26,954.

COLLEGE OF PHARMACY

Department of Clinical Pharmacy--J. L. Butler, Pharmacy Services for Small Hospitals and Nursing Homes in Kentucky, Public Health Service, \$4,960.

Department of Pharmacy and Materia Medica--J. V. Swintosky, Study of Factors Influencing the Nutrition of Ruminants, Smith, Kline and French Laboratories, \$16,830.

SCHOOL OF ALLIED HEALTH

Department of Clinical Nutrition--J. Hamburg, Allied Health Professions Advanced Traineeship Grant, Public Health Service, \$253.

*Subproject of Project Themis, Physiological Responses to Environmental Stress, Air Force Office of Scientific Research, Total Themis Award \$208,260.

20. SIGNIFICANT ACTIVITIES OF FACULTY AND STAFF

Two articles by College of Pharmacy staff members Dr. Lewis W. Dittert and Dr. Joseph V. Swintosky, appeared in the May issue of the Journal of Pharmaceutical Sciences. Dr. Mark M. Luckens and technician Kirk I. Phelps are the authors of another article in the Journal. The Journal of Heterocyclic Chemistry had an article by Dr. Milton J. Kornet and Sip Ie Tan, graduate student in the college.

A University Hospital medical technologist has received a merit award naming him the year's "outstanding medical technologist in Kentucky." Denver Robertson, chief medical technologist in the hospital's clinical pathology laboratories, was presented the Corning Glass Works Award at the 37th annual convention of the American Society of Medical Technologists in Philadelphia.

A University doctoral candidate is one of 75 black faculty members from around the nation recently awarded an advanced-study grant by the Ford Foundation. Henry L. Scurry, currently is carrying out his research for the Ph.D under the direction of Dr. Eugene C. Crawford in the Department of Zoology. This is the second year Scurry has received the Ford Foundation grant.

William R. Frieben and David L. Sewell of the Department of Microbiology, have received research fellowship awards from the National Institute of General Medical Sciences, one of the ten National Institutes of Health.

Mrs. Celia K. Zyzniewski, chairman of the Center for Continuing Education of Women, was keynote speaker for a series of programs sponsored by Catherine Spalding College, Louisville.

Dr. Lawrence S. Thompson, professor of classics, is the author of two articles in the '69 AB Bookman's Yearbook, a specialist book world annual for dealers, publishers, librarians and collectors. Dr. Thompson's contributions are "The Antiquarian Book Trade in the Twentieth Century," and one on such trade in Spain.

Dr. Robert M. Kiser, chairman of the Department of Chemistry, will go to Kyoto, Japan, for an international conference on mass spectroscopy, September 8-12. He received a travel grant from the National Science Foundation, and will present a paper.

Dr. Morris B. Cierley, dean of graduate studies in the College of Education, attended a National Foreign Policy Conference for Leaders in Secondary Education at the U. S. Department of State, Washington, D. C., in June.

Dr. Earl Kauffman, director, Council on Aging, has been invited to two meetings of the Gerontological Society this year. The first was the Tenth International Seminar on Adult Education Research, at Toronto, Canada, February 9-11, at which meeting he presented a paper: "The Older Adult as a University Student," and he is to be a program participant at the 8th International Congress of Gerontology, August 24-29, Washington, D. C.

Frederick A. Woodress, Director of Public Relations, has been named membership chairman for the Southeastern Region, American College Public Relations Association.

George A. Duncan and Harvey E. Hamilton, specialists in agricultural engineering with the Cooperative Extension Service, received blue ribbon awards on their educational aids exhibits at the summer meeting of the American Society of Agricultural Engineers, held recently at Lafayette, Ind. Duncan's award was for the plans for a new two-tiered, forced ventilation burley tobacco barn, which he designed, with drafting assistance by Buren Elaster, head draftsman in the Agricultural Engineering Department. Hamilton designed and built a model of a grain storage and processing center.

Dr. Fred W. Zechman Jr., chairman of the Department of Physiology and Biophysics, was one of four representatives from the U. S. attending an international symposium of the Ciba Foundation in London, England, in early July. Before returning to Lexington he visited the University of Milan where he was invited to speak on the physiological effects of weightlessness to the Accademia Medica Lombarda.

Dr. J. M. Carpenter, professor of zoology, has been awarded a 1969 Shell Merit Fellowship and will attend a month-long seminar this summer at Stanford University. He has received nine other study grants, including two from the Atomic Energy Commission and one from the National Science Foundation.

The West Germany medical profession has awarded Dr. Ernst Jokl, Department of Physical Education, its highest honor in the field of sports medicine. Dr. Jokl has been asked to receive the Ruhemann Medal in ceremonies in October at Freiburg, Germany. The citation says, in part, that the award is presented "...in recognition of exceptional contributions made over many years in the field of medical research pertaining to the understanding of the physical performance capacity of man."

Dr. Mark M. Luckens of the Materia Medica Department of the College of Pharmacy has been invited to participate this week in the Gordon Research Conference on Toxicology at Kimball Union Academy in Meriden, N. H. The Gordon Conferences were inaugurated in 1931 to establish dialogue between research leaders in specific disciplines and "explore the frontiers of science."

Office of the President
July 28, 1969

PR 2

Members, Board of Trustees:

RECOMMENDATIONS OF THE PRESIDENT

I. Graduate Faculty Appointments

Recommendation: that approval be given to the following persons for membership in the Graduate Faculty.

Background: The persons whose names appear below have been approved by the Board of Trustees and are now eligible for membership in the Graduate Faculty.

James R. Barclay - Professor, College of Education
Garnett Bradford - Assistant Professor, Department of Agricultural
Economics
Ronald Clifford Dillehay - Associate Professor, Department of
Psychology
Joseph Finney - Associate Professor, College of Education
S. A. Majid - Visiting Associate Professor, Department of Geography
William Meijer - Associate Professor, Department of Botany
Edward D. Moorhead - Associate Professor, Department of Chemical
Engineering
James S. Pierce - Professor, Department of Art
T. Richard Robe - Associate Professor, Department of Engineering
Mechanics
A. H. Peter Skelland - Professor, Department of Chemical
Engineering

II. Quarterly Report on Gifts and/or Grants (See attachment)

Recommendation: that the report on gifts and/or grants covering the period from April 1, 1969 through June 30, 1969 be accepted and made a matter of record.

Background: The attached report represents the gifts and/or grants accepted by the President on behalf of the Board of Trustees for the period April 1 through June 30, in accordance with the policy adopted by the Board of Trustees on November 19, 1965. Acknowledgment of gifts and/or grants has been made to all donors by the President on behalf of the Board.

III. Appointments and/or Other Staff Changes

Recommendation: that approval be given to the appointments and/or other

changes which require Board action and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: Approval of the Board of Trustees is required for all appointments to the positions with the rank of Associate Professor and above, for promotions, for the granting of tenure, and for salary changes above \$12,000 for non-academic personnel and \$15,000 for academic personnel. All other appointments and/or changes may be made by the President and reported to the Board.

BOARD ACTION

New Appointments

- John R. Dettre, Associate Professor (Special Title Series), Department of Curriculum and Instruction, College of Education, effective August 1, 1969 and Coordinator of Laboratory Experiences for a two year period, effective August 15, 1969
- Glenn E. Hair, Associate Professor, Department of Surgery, Division of Otolaryngology, College of Medicine, retroactive to June 1, 1969
- Michael Robert Schweisthal, Associate Professor (with tenure), Department of Oral Biology, College of Dentistry and Department of Anatomy, College of Medicine, retroactive to June 1, 1969
- William John Stober II, Associate Professor (with tenure), Department of Economics, College of Business and Economics, retroactive to July 1, 1969
- Robert H. Biggerstaff, Assistant Professor, Department of Orthodontics, College of Dentistry, retroactive to July 1, 1969 (salary over \$15,000)
- Thomas W. Brehm, Assistant Professor, Department of Restorative Dentistry, College of Dentistry, retroactive to July 1, 1969 (salary over \$15,000)
- Robert S. Driska, Assistant Professor, Department of Vocational Education, College of Education, effective August 15, 1969 (salary over \$15,000)
- Robert E. French, Instructor of Clinical Medicine, Department of Medicine, and Physician, University Health Service, retroactive to July 1, 1969 (salary over \$15,000)
- Albert L. Heise, Assistant Professor, Department of Community Dentistry, College of Dentistry, retroactive to July 1, 1969 (salary over \$15,000)
- Markell W. Kohn, Assistant Professor, Department of Oral Surgery, College of Dentistry, retroactive to June 1, 1969 (salary over \$15,000)
- Raymond A. Kopczyk, Assistant Professor, Department of Periodontics, College of Dentistry, retroactive to July 1, 1969 (salary over \$15,000)
- Ewa Marciniak, Assistant Professor, Department of Medicine, College of Medicine, retroactive to June 1, 1969 (salary over \$15,000)
- Eleanor C. Repp, Assistant Dean and Assistant Professor of Clinical Nursing, College of Nursing, retroactive to July 1, 1969 (salary over \$15,000)
- Gerald I. Roth, Assistant Professor, Department of Oral Biology, College of Dentistry, retroactive to July 1, 1969 (salary over \$15,000)

Timothy A. Smith, Associate Professor, Department of Community Dentistry, College of Dentistry, and Department of Educational Psychology and Counseling, College of Education, retroactive to June 1, 1969
Thomas W. Swerczek, Assistant Professor, Department of Veterinary Science, College of Agriculture, retroactive to July 1, 1969 (salary over \$15,000)
Kenneth L. Wells, Assistant Extension Professor (Special Title Series), Department of Agronomy, College of Agriculture, retroactive to June 16, 1969 (salary over \$15,000)
Don E. Wrede, Assistant Professor, Department of Therapeutic Radiology, College of Medicine, retroactive to June 15, 1969 (salary over \$15,000)

Department Chairmen Named

Abram S. Benenson, Professor, Department of Community Medicine, appointed Chairman of the Department of Community Medicine, retroactive to July 1, 1969
Richard I. Miller, Associate Professor, Department of Social and Philosophical Studies, College of Education, appointed Chairman of the Department of Social and Philosophical Studies, retroactive to July 1, 1969
Joseph A. Sayeg, Associate Professor, Department of Diagnostic Radiology and Therapeutic Radiology, and Department of Radiation Medicine, appointed Chairman of the Department of Radiation Medicine, School of Allied Health Professions, retroactive to July 1, 1969
Raymond Cox, Associate Professor, Department of Mathematics, continued as Assistant Chairman of the Department of Mathematics

Tenure Granted (effective July 1, 1969)

Donald B. Coleman, Associate Professor, Department of Mathematics, College of Arts and Sciences
William A. Gordon, Associate Professor, Department of English, College of Arts and Sciences
Louis Karmel, Associate Professor, Department of Educational Psychology and Counseling, College of Education
Bernd Kratz, Associate Professor, Department of Germanic and Classical Languages and Literatures, College of Arts and Sciences
A. C. R. Newbery, Associate Professor, Department of Computer Science and Mathematics, College of Arts and Sciences
Devert Owens, Assistant Professor (Special Title Series), Department of Vocational Education, College of Education
Doyle E. Peaslee, Associate Professor, Department of Agronomy, College of Agriculture
James E. Simpson, Associate Professor, Department of Mathematics, College of Arts and Sciences
Dixana Smith, Assistant Professor of Nursing, and Chairman, Associate Degree Nursing Program, Northern Community College

Promotions (effective July 1, 1969)

- M. I. H. Aleem, Department of Microbiology, from Associate Professor to Professor (with tenure)
- Fred Altman, Department of Psychology, from Instructor to Assistant Professor
- Kurt R. Ansel, Department of Agricultural Economics, from Assistant Professor to Associate Professor (with tenure)
- W. F. Beasley, Jr., Paducah Community College, from Instructor to Senior Instructor (with tenure)
- Wendell Berry, Department of English, from Associate Professor to Professor (with tenure)
- A. Edward Blackhurst, Department of Special Education, from Assistant Professor to Associate Professor (with tenure)
- Russell H. Brannon, Department of Agricultural Economics, from Assistant Professor to Associate Professor (with tenure)
- Darwin Gilbert Braund, Department of Animal Sciences, from Assistant Extension Professor STS* to Associate Extension Professor STS* (with tenure)
- Herbert Bruce, Department of Vocational Education, from Assistant Professor - Student Teaching STS* to Associate Professor - Student Teaching STS* (with tenure)
- James D. Buckholtz, Department of Mathematics, from Associate Professor to Professor (with tenure)
- John L. Butler, Department of Clinical Pharmacy, from Instructor to Assistant Professor
- John C. Calkins, Department of Therapeutic Radiology, from Assistant Professor to Associate Professor (with tenure)
- M. J. Clark, Paducah Community College, from Instructor to Senior Instructor (with tenure)
- Raymond H. Cox, Department of Mathematics, from Assistant Professor to Associate Professor (with tenure)
- Spencer Di Scala, Department of History, from Instructor to Assistant Professor
- James T. Doluisio, Department of Pharmacy, from Associate Professor to Professor (with tenure)
- E. Susan Drysdale, School of Home Economics and Department of Sociology, from Instructor to Assistant Professor
- Thomas W. Dunphy, Department of Clinical Pharmacy, from Instructor to Assistant Professor
- Fred Edmonds, Department of Administration and Supervision, from Associate Extension Professor to Extension Professor (with tenure)
- Paul G. Forand, Department of Slavic and Oriental Languages, from Assistant Professor to Associate Professor (with tenure)
- Elsie Forte, Department of Instruction, College of Nursing, from Instructor of Clinical Nursing to Assistant Professor of Clinical Nursing
- Rosalie Kay Gleim, Northern Community College, from Instructor to Senior Instructor (with tenure)

*Special Title Series

Arthur A. Hellebusch, Department of Surgery, Division of Urology, from Instructor to Assistant Professor

H. V. Hill, Paducah Community College, from Instructor to Senior Instructor (with tenure)

Clifford E. Hynniman, Department of Clinical Pharmacy, from Instructor to Assistant Professor

Fred Edward Justus, Jr., Department of Agricultural Economics, from Associate Professor to Professor (with tenure)

Dean E. Knavel, Department of Horticulture, from Assistant Professor to Associate Professor

Robert G. Lawson, College of Law, from Assistant Professor to Associate Professor (with tenure)

Paul D. Legg, Department of Agronomy, from Assistant Professor (Adjunct) to Associate Professor (Adjunct)

David H. Looff, Department of Psychiatry, from Assistant Professor (part-time) to Associate Professor (part-time)

Robert G. Loy, Department of Veterinary Science, from Assistant Professor to Associate Professor (with tenure)

George Luster, Department of Vocational Education, from Associate Professor - Student Teaching STS* to Professor - Student Teaching STS* (with tenure)

William C. McCrary, Department of Spanish and Italian, from Associate Professor to Professor (with tenure)

Richard I. Miller, Department of Social and Philosophical Studies in Education, from Associate Professor to Professor (with tenure)

Glen A. Murrell, Paducah Community College, from Instructor to Senior Instructor (with tenure)

John A. Oliver, Department of Clinical Pharmacy, from Instructor to Assistant Professor

Ronald E. Phillips, Department of Agronomy, from Associate Professor to Professor (with tenure)

John J. Piccoro, Jr., Department of Clinical Pharmacy, from Instructor to Assistant Professor

Richard Price, Paducah Community College, from Instructor to Senior Instructor (with tenure)

Susan Price, Paducah Community College, from Instructor to Senior Instructor (with tenure)

John E. L. Robertson, Paducah Community College, from Instructor to Senior Instructor (with tenure)

Carolyn L. Rudolf, Northern Community College, from Instructor to Senior Instructor (with tenure)

Rudolph A. Scheibner, Department of Entomology, from Assistant Extension Professor STS* to Associate Extension Professor STS* (with tenure)

Pauline Schmidt, Dental Hygiene, School of Allied Health Professions, from Assistant Professor STS* to Associate Professor STS* (with tenure)

John Sensenig, Department of Psychology, from Instructor to Assistant Professor

Leonard P. Stoltz, Department of Horticulture, from Assistant Professor to Associate Professor (with tenure)
John Guy Stovall, Department of Agricultural Economics, from Assistant Professor (Adjunct) to Associate Professor (Adjunct) (with tenure)
Harold Herbert VanHorn, Jr., Department of Animal Sciences, from Assistant Professor to Associate Professor (with tenure)
James H. Wells, Department of Mathematics, from Associate Professor to Professor (with tenure)
J. A. Womble, Paducah Community College, from Instructor to Senior Instructor (with tenure)

Reappointments

Mischa H. Fayer, Professor and Chairman, Department of Slavic and Oriental Languages, College of Arts and Sciences, from July 1, 1969 to July 1, 1970
Shivanandan N. Singh, Associate Professor, Department of Mechanical Engineering, from July 1, 1969 to July 1, 1971

Leaves of Absence

Ernest Barnes, Instructor, Somerset Community College, leave without pay for the period July 1, 1969 through June 30, 1970
Barbara Laverne Brown, Instructor, Paducah Community College, leave without pay for the period July 1, 1969 through June 30, 1970
R. A. Chapman, Professor, Department of Plant Pathology, College of Agriculture, placed on special assignment for the period August 1, 1969 through July 31, 1970
Ben Davis, Youth Area Agent, College of Agriculture, sabbatical leave for the period September 1, 1969 through December 19, 1969
Dale H. Farabee, Physician, Health Service, leave without pay extended for the period July 1, 1969 through June 30, 1970
George Gadbois, Assistant Professor, Department of Political Science, College of Arts and Sciences, leave without pay for the 1969-70 academic year
Eugene B. Gallagher, Professor, Department of Behavioral Science, College of Medicine, sabbatical leave for the period October 1, 1969 through March 31, 1970
Carolyn R. Hammer, Librarian I and Curator of Rare Books, University Libraries, leave without pay for the period September 16, 1969 through September 14, 1970
C. T. Hughes, Jr., Senior Instructor, Somerset Community College, leave without pay for the period July 1, 1969 through June 30, 1970
Lela H. Hulette, Instructor, Department of Vocational Education, College of Education, extension of leave without pay for the period September 1, 1969 through June 30, 1970

- Don Jacobson, Professor, Department of Animal Sciences, College of Agriculture, sabbatical leave for the period January 1, 1970 through June 30, 1970 and leave without pay for the period July 1, 1970 through September 30, 1970
- Susan Kelley, Associate Professor, School of Home Economics, leave without pay for the 1969-70 academic year
- Bernard Kern, Professor, Department of Physics, College of Arts and Sciences, sabbatical leave for the 1970-71 academic year
- R. A. Mabry, Area Director, College of Agriculture, sabbatical leave for the period October 1, 1969 through February 28, 1970
- Glen Murrell, Senior Instructor, Paducah Community College, leave without pay for the period July 1, 1969 through June 30, 1970
- Anne Noffsinger, Instructor, Associate Degree Nursing Program, Lexington Technical Institute, leave without pay for the Fall Semester, 1969
- Edith Joy Pennington, Instructor, Jefferson Community College, sick leave without pay for the period July 1, 1969 through December 30, 1969
- Frank Santopolo, Extension Professor of Rural Sociology, College of Agriculture, sabbatical leave for the period September 1, 1969 through February 28, 1970
- Charles E. Scherer, Area Extension Specialist, College of Agriculture, sabbatical leave for the period October 1, 1969 through May 31, 1970
- Daniel L. Wiess, Professor, Department of Pathology, College of Medicine, sabbatical leave for the period September 15, 1969 through March 14, 1970 and leave without pay for the period March 15, 1970 through June 30, 1970
- C. Daniel Woodfin, Assistant Professor, School of Architecture, leave without pay for the 1969-70 academic year
- Ralph Wiseman, Professor, Department of Microbiology, leave without pay revised from September 1, 1969 through June 30, 1970 to September 1, 1969 through December 31, 1969

Waiver of Nepotism Rule

Waiver of Nepotism Rule for Jessica A. Gorman, Instructor, Department of Microbiology, effective July 1, 1969. Her husband is Dr. John Gorman, Department of Microbiology

ADMINISTRATIVE ACTION

New Appointments - Lexington Campus

- M. Mukhtar Ali, Assistant Professor, Department of Economics, College of Business and Economics
- Peter Broad, Instructor, Department of Spanish and Italian, College of Arts and Sciences

Wilmer Browning, Assistant Extension Professor STS* (with tenure),
Department of Agricultural Economics, College of Agriculture
Eugene B. Daniels, Jr., Assistant Professor, Department of Psychiatry,
College of Medicine
Dennis B. Egli, Assistant Professor, Department of Agronomy, College of
Agriculture
Michael J. Etzel, Assistant Professor, Department of Business Administration,
College of Business and Economics
William H. Fortune, Assistant Professor, College of Law
J. D. Gammel, Jr., Instructor, Department of Curriculum and Instruction,
College of Education
Uri Gat, Assistant Professor, Department of Mechanical Engineering, College
of Engineering
John G. Gattozzi, Assistant Professor, Department of Prosthodontics, College
of Dentistry
Robert Goodwill, Assistant Professor, Department of Animal Sciences,
College of Agriculture
Steven J. Gyuro, Assistant Professor, Department of Vocational Education,
College of Education
Robert Harman, Assistant Professor, Department of Educational Psychology
and Counseling, College of Education
Anne C. Hayes, Instructor, Department of Vocational Education, College of
Education
Herbert Heger, Assistant Professor, Department of Curriculum and Instruction,
College of Education
Daniel Henry, Assistant Professor, Department of Mathematics, College of
Arts and Sciences
Woodrow Wilson Hourigan, Assistant Extension Professor STS* (with tenure),
Department of Agricultural Economics, College of Agriculture
Nelson Nai-hsing Hsu, Assistant Professor, Department of Engineering
Mechanics, College of Engineering
Kenneth A. Jacobus, Assistant Professor, Department of Psychology, College
of Arts and Sciences
Keith H. Johnson, Assistant Professor, Department of Business Administration,
College of Business and Economics
Dorothy Jones, Instructor, Department of Curriculum and Instruction, College
of Education
Philip J. Karst, Assistant Professor, Department of Economics, College of
Business and Economics
Elsie B. Kennedy, Instructor, Department of Curriculum and Instruction,
College of Education
Don R. King, Instructor, Department of Oral Diagnosis and Oral Medicine,
College of Dentistry
Harold Lathram, Instructor, Department of Accounting, College of Business
and Economics
Harold Gibson Love, Assistant Extension Professor STS*,
Department of Agricultural Economics, College of Agriculture

*Special Title Series

- Donald F. McCoy, Jr., Assistant Professor, Department of Psychology,
College of Arts and Sciences
- Levis D. McCullers, Assistant Professor, Department of Accounting, College
of Business and Economics
- Floyd Lee McKinney, Assistant Professor, Department of Vocational Education,
College of Education
- Alfred J. Mannebach, Assistant Professor, Department of Vocational
Education, College of Education
- Mary Elizabeth Maxson, Librarian III, Medical Center Library
- Ronald Fritz Mengert, Assistant Professor, Department of Social and
Philosophical Studies, College of Education
- James Nance, Instructor, Department of Health, Physical Education and
Recreation, College of Education
- Edward Newberger, Assistant Professor, Department of Mathematics, College
of Arts and Sciences
- Ralph D. O'Brien, Instructor, Department of Vocational Education, College of
Education
- Judith C. Parsley, Instructor, Department of Vocational Education, College of
Education
- Bertram Peretz, Assistant Professor, Department of Physiology and Biophysics,
College of Medicine
- Martha Lee Peters, Assistant Professor, Department of Health, Physical
Education and Recreation, College of Education
- Edgar Sagan, Assistant Professor, Department of Higher and Adult Education,
College of Education, and Assistant to the Dean for Academic Affairs
- Phyllis Sandidge, Assistant Professor, Department of Health, Physical
Education and Recreation, College of Education
- David A. Santoro, Assistant Professor, Department of Educational Psychology
and Counseling, College of Education
- William Lee Sartoris, Assistant Professor, Department of Business
Administration, College of Business and Economics
- Kathleen Joel Serenska, Instructor, Department of Art, College of Arts and
Sciences
- Donald S. Shannon, Assistant Professor, Department of Business
Administration, College of Business and Economics
- Doyle Keaton Stewart, Instructor, Department of Vocational Education,
College of Education
- William E. Stilwell, Assistant Professor, Department of Educational
Psychology and Counseling, College of Education
- James Tawney, Assistant Professor, Department of Special Education,
College of Education
- Stephen Vasek, Assistant Professor, College of Law
- James D. Woodward, Instructor, Department of Prosthodontics, College of
Dentistry
- Helene Zimmerman, Assistant Professor, Department of Vocational
Education, College of Education

- *Denis G. Mahoney, Assistant Professor, Department of English, College of Arts and Sciences
- *John Langford Greenway, Assistant Professor, Department of English, College of Arts and Sciences
- *Richard Paul Stratton, Assistant Professor, Department of Psychology, College of Arts and Sciences
- James E. Brennan, Visiting Assistant Professor, Department of Mathematics, College of Arts and Sciences
- Barbara Jones Brown, Visiting Instructor, School of Library Science
- Joel Luther Cunningham, Visiting Assistant Professor, Department of Mathematics, College of Arts and Sciences
- Valentino S. Simpao, Assistant Clinical Professor, Department of Medicine, College of Medicine (voluntary faculty)
- R. Herman Playforth, Assistant Clinical Professor, Department of Surgery, College of Medicine (voluntary faculty)
- Stanley Hammons, Assistant Clinical Professor, Department of Psychiatry, College of Medicine (voluntary faculty)

New Appointments - Community College System

Ashland Community College

Uma Garg, Instructor
Henry J. Hughes, Instructor
Beecher L. Scutchfield, Instructor
Ernest P. Chattin, Visiting Instructor
J. T. Richardson, Visiting Instructor

Elizabethtown Community College

Fredrick Lee Gamble, Instructor
Henry Shelton Kirby, Instructor
Doris McCugh Waska, Instructor

Hazard Community College

Joyce W. Hardyman, Instructor
Carol A. Schwartz, Librarian IV

Henderson Community College

John Sistarenik, Jr., Instructor

*If all requirements for the Ph. D. degree are not completed by September 1, 1969, appointment will be at the rank of Instructor.

Hopkinsville Community College

Hugh Alan Duguid, Instructor
Carol Sue Spence, Instructor
Michael Dean Thiel, Instructor
Ellen Kay Woods, Acting Librarian

Jefferson Community College

John L. Canfield, Instructor
David R. Driscoll, Jr., Instructor
Ronald M. Holmes, Instructor
Scott W. Cole, Instructor
Rose A. Stone, Instructor

Madisonville Community College

Rella G. Jenkins, Instructor

Maysville Community College

Herbert Parker Richey, Instructor
Harold Lowell Webb, Instructor and Business Officer
Robert L. Bowers, Visiting Instructor

Paducah Community College

Cordelia C. Beard, Instructor
Vincent Cain, Instructor
John P. Gustafson, Instructor
Leonard Hart, Instructor
David W. Krueger, Instructor
Margaret B. Wallace, Instructor
Duncan Wells, Instructor

Prestonsburg Community College

David Andrew Browning, Instructor
Jack Lee Roy Cudaback, Instructor
Ira Fink, Instructor
James A. Funkhouser, Instructor
John D. Sammons, Instructor

Somerset Community College

James O. Burdine, Instructor
Gerald P. Campbell, Instructor
Glyncon Garrett, Instructor

Southeast Community College

Ralph K. Anderson, Jr., Instructor
Jack Dalton Bailey, Instructor
Howard Blaine Beekman, Instructor
Myrtle Anne Caar, Instructor
John H. Kozak, Instructor

Acting Chairmen and Directors

R. L. Anderson, Professor, Department of Statistics, named Acting
Chairman, Department of Computer Science for the year 1969-70
Paul Lehman, Professor, Department of Music (Special Title Series), named
Acting Chairman, Department of Music
Ray Smith, Associate Professor, Department of Theatre Arts, named
Acting Chairman, Department of Theatre Arts, effective July 1, 1969
Cornelia Wilbur, Professor, Department of Psychiatry, named Acting
Chairman, Department of Psychiatry, effective July 1, 1969
Thomas M. Cooper, Assistant Professor, Department of Restorative Dentistry,
named Director of Dental Auxiliary Personnel, effective July 1, 1969
Meryle Hutchison, Assistant Professor, College of Nursing, named Director
of Nursing Service, effective July 1, 1969
James A. Hawkins, Admissions Officer and Recorder, Jefferson Community
College, named Assistant Director of the Jefferson Community College,
effective July 1, 1969

Change in Title

Lillian Ellen Page, title changed from Instructor to Assistant-in-Teaching,
Department of Medical Technology, School of Allied Health Professions

Reappointments - Lexington Campus

July 1, 1969 to July 1, 1970 (unless otherwise specified)

College of Agriculture

Barry J. Bloomfield, Assistant Professor, Department of Agronomy

College of Arts and Sciences

Walter Forrest Abbott, Instructor, Department of Sociology
Melvin Albaum, Instructor, Department of Geography
Michael C. Andrews, Assistant Professor, Department of English, from
September 1, 1969 to July 1, 1970
Kenneth Ray Ball, Assistant Professor, Department of English, from
September 1, 1969 to July 1, 1970
Juris I. Berzins, Assistant Professor, Department of Psychology, from
July 1, 1969 to July 1, 1971
Thomas O. Blues, Assistant Professor, Department of English, from
September 1, 1969 to July 1, 1970
Lincoln E. Bragg, Assistant Professor, Department of Mathematics
David F. Burg, Instructor, Department of English
William Royce Campbell, Assistant Professor, Department of English,
from September 1, 1969 to July 1, 1970
James G. Caughran, Assistant Professor, Department of Mathematics
Frederick H. Croom, Assistant Professor, Department of Mathematics
Carl D. Dockery, Instructor, Department of English
Carl Eberhart, Assistant Professor, Department of Mathematics
Connie C. Eble, Instructor, Department of English, from September 1,
1969 to July 1, 1970
J. B. Fugate, Assistant Professor, Department of Mathematics
Richard H. Henze, Assistant Professor, Department of English, from
September 1, 1969 to July 1, 1970
Eric Carl Hicks, Assistant Professor, Department of French Language and
Literature
Kenneth J. Hofstetter, Assistant Professor, Department of Chemistry
Michael Impey, Instructor, Department of Spanish and Italian
William H. Ireland, Assistant Professor, Department of English, from
September 1, 1969 to July 1, 1970
Margaret E. W. Jones, Assistant Professor, Department of Spanish and
Italian
Robert Lee Joyce, Instructor, Department of English
Charles N. Kellogg, Assistant Professor, Department of Mathematics
Michael F. Kelly, Assistant Professor, Department of English, from
September 1, 1969 to July 1, 1970
Boo-Sang Lee, Assistant Professor, Department of Mathematics
Maurice L. McCullen, Assistant Professor, Department of English, from
September 1, 1969 to July 1, 1970
Alfred M. Mirande, Assistant Professor, Department of Sociology, from
July 1, 1969 to July 1, 1971
Byron Petrakis, Assistant Professor, Department of English, from
September 1, 1969 to July 1, 1970
Harvey Pousson, Assistant Professor, Department of Mathematics
Clayton C. Reeve, Instructor, Department of English
T. K. Seo, Assistant Professor, Department of Mathematics

Jon M. Shepard, Assistant Professor, Department of Sociology, from
July 1, 1969 to July 1, 1971
Armond E. Spencer, Assistant Professor, Department of Mathematics
James E. Spivey, Assistant Professor, Department of Psychology
Ted J. Suffridge, Assistant Professor, Department of Mathematics
Thomas A. Van, Assistant Professor, Department of English, from
September 1, 1969 to July 1, 1970
John Albert Via, Assistant Professor, Department of English, from
September 1, 1969 to July 1, 1970
Clyde Patrick White, Instructor, Department of English
Jan S. Willoughby, Instructor, Department of English, from September 1,
1969 to July 1, 1970
Mitchell Winthrop, Instructor, Department of Slavic and Oriental Languages
Arthur Wrobel, Assistant Professor, Department of English, from
September 1, 1969 to July 1, 1970

College of Business and Economics

Richard E. Gift, Assistant Professor, Department of Economics

College of Education

Loris Points, Instructor, Department of Curriculum and Instruction, from
July 1, 1969 to July 1, 1971

College of Dentistry

Richard C. Bartlett, Assistant Professor, Department of Oral Diagnosis
and Oral Medicine
Thomas M. Cooper, Assistant Professor, Department of Restorative
Dentistry
Sherwin Fishman, Assistant Professor, Department of Community Dentistry
Quentin Z. Frazier, Assistant Professor, Department of Prosthodontics
Robert S. Fulghum, Assistant Professor, Department of Oral Biology
Francis E. Khouw, Assistant Professor, Department of Orthodontics
Thomas P. Mullaney, Assistant Professor and Chairman, Department of
Endodontics
Merrill W. Packer, Assistant Professor, Department of Community Dentistry
William L. Pogue, Assistant Professor, Department of Restorative Dentistry
Jon Q. Taylor, Instructor, Department of Restorative Dentistry
Donald A. Welk, Assistant Professor, Department of Restorative Dentistry
Robert C. Wesley, Assistant Professor, Department of Prosthodontics
Carl G. Wirth, Assistant Professor, Department of Restorative Dentistry
John W. Wittrock, Assistant Professor, Department of Restorative Dentistry

College of Medicine and University Health Service

Antonio S. Anzures, Instructor, Department of Medicine and Student Health Service
David J. Brecount, Instructor, Department of Medicine, and Physician, Health Service

College of Nursing

Beverly A. Backstrom, Assistant Professor, Department of Instruction
Doris E. Cary, Assistant Professor, Department of Instruction
Juanita Fleming, Assistant Professor, Department of Instruction
Martha J. Garst, Instructor, Department of Instruction
Evelyn D. Geller, Assistant Professor, Department of Instruction
Meryle V. Hutchison, Assistant Professor, Department of Instruction

College of Pharmacy

William A. Miller, Instructor, Department of Clinical Pharmacy
Fredrick J. Shainfeld, Instructor, Department of Clinical Pharmacy

School of Allied Health Professions

Willena S. Beagle, Instructor, Department of Clinical Nutrition
Edward M. Higgins, Instructor, Department of Radiologic Technology
Edmund Hoy, Instructor, Department of Respiratory Therapy
Sheila M. Keefe, Instructor, Department of Dental Hygiene
Sandra Krebs, Instructor, Department of Dental Hygiene
Robert E. Manor, Assistant Professor, Department of Radiologic Technology
Richard V. McDougall, Assistant Professor, Department of Physical Therapy
Thomas E. Merket, Instructor, Department of Inhalation Therapy
Thomas M. Pogue, Instructor, Department of Physical Therapy
Leonard C. Wilson, Instructor, Department of Radiation Medicine

Reappointments - Community College System

July 1, 1969 to July 1, 1970 (unless otherwise specified)

Henderson Community College

Mildred Bennett, Senior Instructor, and Chairman of Associate Degree Nursing
Albert C. Braden, Instructor
Grace A. Buckmaster, Instructor
Donald Earl Cook, Instructor

Marjorie Rebecca Durbin, Instructor
Bettye J. Klauss, Instructor
James E. Long, Instructor
Jeordano S. Martinez, Instructor
Bobby D. Park, Instructor and Admissions Officer
Jane L. Pfingston, Instructor
Henrietta Pollock, Instructor

Jefferson Community College

Sarah S. Stuart, Instructor
James G. Mahanes, Instructor
Mary J. Fletcher, Instructor
Barbara M. Conkin, Instructor

Transfer and Reappointment

Jacquelyn W. Clark, Instructor, transferring from Paducah Community College
to Jefferson Community College

Northern Community College

Stellar Bernice Beach, Instructor
Geraldene Burkhart, Instructor
Ann Ellen Cook Instructor
Tripta Desai, Instructor
Larry Lee Dickson, Instructor
Sandra Wilhoite Easton, Instructor and Counselor
Thomas D. Fleming, Instructor
Norris Dean Hellwig, Instructor
Martin D. Holtzman, Instructor
Rosemary Imbus, Instructor
Thad Lindsey, Instructor
James Everett McKenney, Instructor
Ralph Peterson, Instructor
Barbara Jean Quattrone, Instructor
Clara L. Richards, Instructor
Sue Ellen Riddell, Librarian I
Margery T. Rouse, Instructor
Anna Belle Russel, Instructor
Lois Sutherland, Instructor
Louis R. Thomas, Instructor
Dorothy Whitman, Instructor
Ronald G. Wolfe, Instructor

Paducah Community College

J. F. Alexander, Instructor
Gertrude Allen, Instructor
Priscilla A. Alley, Instructor
Regena Baggett, Instructor
M. A. Breeden, Instructor
Betty Burkhalter, Instructor
Carolyn Y. Conyer, Instructor
J. M. Counce, Instructor
John C. Cromwell, Senior Instructor and Coordinator of Continuing Education
Jean Culp, Instructor
L. H. Dowdy, Instructor
J. L. Farmer, Librarian II
Ronald L. Foster, Instructor
Mary Ann Freels, Instructor
W. G. Gourley, Instructor
B. A. Halford, Instructor
Paul Harp, Instructor
C. F. Haws, Jr., Instructor (with tenure)
A. M. Henson, Instructor
D. B. James, Librarian
Effie Kemp, Instructor
Carolyn Kressenberg, Instructor
Lillian J. Lowry, Instructor
J. C. Moss, Instructor
Charlotte Niksch, Instructor
J. D. Peck, Instructor
Sara A. Penry, Instructor
B. L. Phillips, Instructor
C. W. Ro, Instructor
Robert Rudder, Instructor
Burnett Sasseen, Instructor
Judith Stovall, Instructor
S. V. Suryanarayana, Instructor
Margaret Watson, Instructor and Student Personnel Officer
M. B. Yeiser, Instructor

Prestonsburg Community College

Robert Ronald Allen, Instructor and Coordinator of Academic Affairs

Terminal Reappointments

Fred E. Brouwer, Assistant Professor, Department of Philosophy,
College of Arts and Sciences

Charles Grimsley, Assistant Professor, Department of Theatre Arts,
College of Arts and Sciences
Edwin Grzesnikowski, Artist-in-Residence, Department of Music, College
of Arts and Sciences
Nancy Carol Joyner, Assistant Professor, Department of English, College
of Arts and Sciences
Edgar B. Warren, Jr., Instructor, Department of Germanic and Classical
Languages and Literatures, College of Arts and Sciences

Resignations

Apostolos Apostolopoulos, Assistant Professor, Department of Oral Biology,
effective July 31, 1969
James R. Barclay, Professor, Department of Educational Psychology and
Counseling, retroactive to June 15, 1969
George John Buelow, Professor and Chairman, Department of Music,
retroactive to June 30, 1969
Robert Leroy Burns, Assistant Professor, Department of Peridontics,
retroactive to June 30, 1969
William L. Claiborn, Assistant Professor, Department of Psychology,
retroactive to June 30, 1969
Ernest O. Denny, Assistant Professor of Clinical Nursing, Department of
Instruction, retroactive to June 30, 1969
Robert Ray Dies, Assistant Professor, Department of Psychology, retroactive
to June 30, 1969
Walter E. Dilts, Assistant Professor, Department of Restorative Dentistry,
retroactive to June 30, 1969
Ronald M. Doctor, Assistant Professor, Department of Psychology,
retroactive to June 30, 1969
Walter L. Gabler, Assistant Professor, Department of Pedodontics, effective
July 31, 1969
Jane Heaton, Assistant Professor of Clinical Nursing, Department of
Instruction, retroactive to June 30, 1969
E. Wayne Herron, Assistant Professor, Department of Psychology,
retroactive to June 30, 1969
Jack Herman Hyatt, Assistant Professor, Department of Music, retroactive
to June 30, 1969
R. H. Johnson, Assistant Professor, Department of Oral Diagnosis and
Oral Medicine, effective August 31, 1969
Ernest R. Lalonde, Assistant Professor, Department of Oral Diagnosis and
Oral Medicine, effective July 31, 1969
Lois J. Merrill, Associate Professor of Clinical Nursing, Department of
Instruction, retroactive to June 30, 1969
Paul C. Nagel, Professor, Department of History, effective September 1, 1969
Ruby Ruth Day Niebauer, Associate Professor, School of Home Economics,
retroactive to June 30, 1969

Donald L. Pederson, Assistant Professor, Department of Radiology,
retroactive to June 30, 1969
Arlon G. Podshadley, Assistant Professor, Department of Community
Dentistry, retroactive to June 30, 1969
J. Henry Rayson, Assistant Professor, Department of Prosthodontics,
effective July 31, 1969
Rufus H. Ritchie, Professor, Department of Physics and Astronomy,
retroactive to June 30, 1969
John Ross, Instructor, Department of Behavioral Science, and Assistant
Director of Student Services, effective July 30, 1969
Jane C. Sherratt, Assistant Professor of Clinical Nursing, Department of
Instruction, retroactive to June 30, 1969
Harlan Gary Sturm, Assistant Professor, Department of Spanish and Italian,
retroactive to June 30, 1969
Sara Higgins Sturm, Assistant Professor, Department of Spanish and Italian,
retroactive to June 30, 1969
Donald H. Williams, Assistant Professor, Department of Chemistry,
retroactive to June 30, 1969
James R. Woodyard, Assistant Professor, Department of Physics and
Astronomy, retroactive to June 30, 1969

Action: Approved X Disapproved Other

Date: July 28 , 1969

GIFTS AND/OR GRANTS

QUARTERLY REPORT

APRIL 1, 1969 THROUGH JUNE 30, 1969

Agriculture Library Staff	\$	10.00	Memory of William A. Seay
Alcoa Foundation	\$	750.00	Mechanical Engineering or Metallurgical
Anderson, Mr. & Mrs. Roger	\$	7.50	Memory of William A. Seay
Anderson, William H. W.	\$	25.00	" " "
Department of the Army	\$	36.00	" " "
Bailey, Mr. & Mrs. Harry	\$	15.00	" " "
Barnett, Hubert	\$	15.00	" " "
Barnhart, Dr. & Mrs. Charles E.	\$	25.00	" " "
Bastin, Garland M.	\$	5.00	" " "
Baughman, Margaret Miss	\$	10.00	" " "
Brannon, Russell H.	\$	120.00	" " "
Brodhead High School	\$	100.00	" " "
Brodhead Lions Club	\$	100.00	" " "
Brown, Mr. & Mrs. Robert W.	\$	10.00	" " "
Browning, John N. & Carlisle C. Gift-32 Shares, Litton Ind. Inc.	\$	1,850.35	Unrestricted
Bruce, Dr. Herbert	\$	20.00	W. R. Bingham Scholarship
Burlington Industries Foundation	\$	490.00	Unrestricted
Burr, Miss A. Elizabeth	\$	5.00	W. A. Seay Memorial
Byers, Charles Wayne	\$	20.00	W. R. Bingham Scholarship
Carter, David W., Esq.	\$	250.00	Salmon Memorial
Cincinnati Economy Drug Co.	\$	100.00	College of Pharmacy
Citizens National Bank of Paintsville	\$	300.00	Prestonsburg Community College Scholarship

Gifts and/or Grants
Quarterly Report

Page 2

Cochran, Dr. & Mrs. Lewis W.	\$	10.00	Seay Memorial
Coleman, Mr. & Mrs. A. Lee	\$	10.00	" " "
Coleman, Caruthers A., Jr.	\$	50.00	Archetitecture Scholarship
Consolidated Coal Company	\$	750.00	Consol Scholarship
Coughenour, C. Milton	\$	15.00	Seay Memorial
Cox, Floyd	\$	50.00	W. R. Bingham Scholarship
Coy Flying Service	\$	10.00	Seay Memorial
Crabtree, C. W.	\$	10.00	W. R. Bingham Scholarship
Cravens, Raymond C.	\$	10.00	Dorothy Salmon Scholarship
Cumberland Telephone Co.	\$	280.00	Southeast Community College Scholarship
Cundiff, William B. Gift-3 Shares of American Development	\$	602.25 Stock	Somerset Community College Scholarship
Dake, Marcia A.	\$	50.00	Dake Memorial College of Nursing
Dake, Marcia A.	\$	10.00	Seay Memorial
Dake, Marcia A.	\$	10.00	Memory of Ella Harmon College of Nursing
Denman, Loretta	\$	15.00	Dake Memorial
Denman, Loretta	\$	15.00	Memory of Ella Harmon College of Nursing
Dickerson, Mrs. H. C.	\$	16.00	Memory of John Pryse
Dixon, Mr. & Mrs. Charlie	\$	5.00	Seay Memorial
Drake, Mr. & Mrs. Norman	\$	10.00	" " "
Duncan, W. G.	\$	25.00	" " "
Elsee, Walter	\$	50.00	Unrestricted
Eubank, Mr. & Mrs. Ben P.	\$	15.00	Seay Memorial
Ewan, Julia R. PTA	\$	300.00	Julia R. Ewan PTA Scholarship Fund

Gifts and/or Grants
Quarterly Report

Page 3

Extension Area Directors	\$	100.00	Seay Memorial
Fayette County Homemakers Association	\$	25.00	" " "
Fee, Elizabeth M.	\$	10.00	" " "
Feltner, Conrad	\$	5.00	" " "
Ferguson, Curte	\$	10.00	W. R. Bingham Scholarship
Fleming County FFA	\$	10.00	" " "
Ford, Mr. & Mrs. Thomas R.	\$	10.00	Seay Memorial
Forte, Elsie	\$	5.00	Memory of Ella Harmon College of Nursing
Frazier, Mae	\$	10.00	" " "
Geller, Evelyn	\$	10.00	" " "
Georgetown Rotary Club	\$	100.00	International Farm Youth Exchange
Ginger, Mr. & Mrs. Lyman V.	\$	10.00	Seay Memorial
Grant County High School	\$	50.00	W. R. Bingham Scholarship
Harmon, Mr. & Mrs. James K.	\$	16.00	Memory of Ella Harmon College of Nursing
Harrison, Mr. & Mrs. John S.	\$	25.00	Seay Memorial
Hays, Mr. & Mrs. Virgil W.	\$	15.00	" " "
Hedland, Mrs. Ada	\$	10.00	Cardiovascular Research
Henry, Sarah Tabb	\$	5.00	W. R. Bingham Scholarship
Higgins, Mr. & Mrs. Francis	\$	10.00	Seay Memorial
Hill, Mrs. Elza C., Jr.	\$	10.00	W. R. Bingham Scholarship
Hinman, Agnes	\$	10.00	Memory of Ella Harmon College of Nursing
Holbrook Construction Company	\$	350.00	Prestonsburg Community Scholarship Fund
Horton, Helen F.	\$	3.00	Seay Memorial

Gifts and/or Grants
Quarterly Report

Harvey C. Hubbell, Estate Deceased	\$	1,000.00	Medical Scholarship
Holland, Nancy H., M.D.	\$	15.00	Memory of William A. Buckner, Foreign Student Fund
Hopkins, Mr. & Mrs. F. C.	\$	10.00	Seay Memorial
Huffman, Mr. & Mrs. Edward V.	\$	7.50	" " "
Hutchinson, Meryle V.	\$	5.00	Dake Memorial College of Nursing
Ison, Mr. & Mrs. Louis Francis	\$	10.00	Seay Memorial
James, Richard M.	\$	5.00	" " "
Jewell, Mr. & Mrs. R. B., Jr.	\$	5.00	" " "
Jimm, Louise	\$	10.00	Dake Memorial College of Nursing
Johnson, Mrs. Arthur	\$	25.00	Southeast Community College Scholarship im memory of J. C. Falkenstine
Johnson, Mr. & Mrs. Ernst V.	\$	500.00	Ernst V. Johnson Scholarship Fund
Johnson, Mr. & Mrs. Ernst V.	\$	500.00	Unrestricted
Kentucky Association FFA	\$	100.00	W. R. Bingham Scholarship
Ky. Extension Homemakers Assoc.	\$	25.00	Seay Memorial
Ky. Extension Homemakers Assoc.	\$	165.67	International Farm Youth Exchange
Ky. Farm Bureau Federation	\$	50.00	Seay Memorial
Ky. State Florist Association	\$	300.00	Horticulture Scholarship
Kappa Delta Pi Alpha Gamma Chapter	\$	10.00	W. R. Bingham Scholarship
Kentucky Cooperative Council	\$	100.00	Seay Memorial
Kentucky Vocational Agriculture Teacher's Association	\$	100.00	W. R. Bingham Scholarship
Kern, Miss Mary Sue	\$	25.00	Dake Memorial College of Nursing
Kern, Miss Mary Sue	\$	25.00	Memory of Ella K. Harmon College of Nursing

Gifts and/or Grants
Quarterly Report

Page 5

Kidwell, J. W.	\$	5.00	Seay Memorial
Kittredge, Dr. & Mrs. Earl R.	\$	10.00	" " "
Koon, John W.	\$	10.00	" " "
Krieger, Mrs. N.L.	\$	5.00	Dake Memorial College of Nursing
Lake Cumberland County Agents Association	\$	10.00	Seay Memorial
Lawson, Mrs. Gayle F.	\$	10.00	Southeast Community College Scholarship
Lexington Herald Leader Company	\$	100.00	Engineering
Louisa High School FFA	\$	20.00	W. R. Bingham Scholarship
McGaughey, Claude R. & Mildred	\$	5.00	Seay Memorial
McPhetridge, L. Mae	\$	10.00	Dake Memorial College of Nursing
McPhetridge, Miss L. Mae	\$	15.00	Memory of Ella Harmon College of Nursing
Mammoth Cave Area County Agents Association	\$	31.00	Seay Memorial
Mann, Mr. & Mrs. Arnold C.	\$	5.00	" " "
MacKay, Ruth	\$	5.00	Memory of Ella K. Harmon College of Nursing
Massie, Dr. & Mrs. Joseph	\$	20.00	Memory of Dr. Donald Richard to Faculty Memorial Scholarship
Mitchell, Mr. & Mrs. George E.	\$	20.00	Seay Memorial
Montgomery Co. Vocational Dept.	\$	25.00	W. R. Bingham Scholarship
Moore, Mrs. Roy W.	\$	10.00	Seay Memorial
Moreland, Roy J.	\$	50.00	Dorothy Salmon Memorial
Morgan, William D.	\$	200.00	College of Pharmacy
Mosher, Mr. & Mrs. George E.	\$	10.00	Ella Harmon Memorial Fund

Gifts and/or Grants
Quarterly Report

Page 6

National Merit Scholarship Foundation	\$	50.00	Unrestricted
National Merit Scholarship Foundation	\$	100.00	Unrestricted
National Merit Scholarship Foundation	\$	100.00	Unrestricted
Nestor, Mr. & Mrs. A. Paul	\$	5.00	Seay Memorial
Nursing Service	\$	10.00	Dake Memorial College of Nursing
Parker, Blaine F.	\$	10.00	Seay Memorial
Pendleton County High School	\$	50.00	W. R. Bingham Scholarship
Phi Theta Kappa, Sigma Chapter	\$	25.00	Southeast Community College Scholarship
Piekarski, Marie	\$	10.00	Earl B. Dake Memorial
Poulin, Muriel A.	\$	10.00	" " "
Prestonsburg Women's Club	\$	150.00	Prestonsburg Community College Scholarship
Rapier, Miss Jacqueline L.	\$	700.00	Stephen A. Rapier Memorial Scholarship
Rasor, Dr. Robert W.	\$	813.00	Scholarship
Rees, Robert L.	\$	5.00	Seay Memorial
Richardsville High School FFA	\$	25.00	W. R. Bingham Scholarship
Robertson, Dr. John	\$	10.00	" " "
Robinson, Mary Kathryn	\$	15.00	Seay Memorial
Rowe, Mr. John W.	\$	10.00	Law Student Emergency Fund
Rudd, Dr. & Mrs. Robert W.	\$	25.00	Seay Memorial
Rudnick, Nancy K.	\$	5.00	" " "
Samothrace Club	\$	100.00	Somerset Community College Scholarship
Sang, Philip D. Gift-36 Shares of Avon Stock	\$	4,861.57	Sang Award

Gifts and/or Grants
Quarterly Report

Page 7

Schweet, Mrs. Shirley	\$	5.00	Seay Memorial
Sears-Roebuck Foundation	\$	5,500.00	4-H
Shipman, Martha V.	\$	5.00	W. R. Bingham Scholarship
Sloan, Denver	\$	5.00	" " "
Somerset Lions Club	\$	140.00	Somerset Community College Scholarship
Spillman, Robert	\$	10.00	W. R. Bingham Scholarship
Sprague, Dr. John S.	\$	10.00	Earl B. Dake Memorial
Steele, Mr. & Mrs. Adren O.	\$	10.00	Seay Memorial
Stewart, Mr. & Mrs. James C.	\$	5.00	" " "
Stokes, Dr. & Mrs. G. W.	\$	50.00	" " "
Stone, Prudence S.	\$	5.00	" " "
Street, Paul	\$	5.00	W. R. Bingham Scholarship
Sturgill, Don S.	\$	25.00	Dorothy Salmon Fund
Sumpster, Richard	\$	136.69	Somerset Community College Scholarship
Survant, Mr. & Mrs. William G.	\$	15.00	Seay Memorial
Talbott, Robert M.	\$	5.00	W. R. Bingham Scholarship
Taylor, Jackson A.	\$	10.00	Seay Memorial
Thurston, Mr. & Mrs. Richard	\$	5.00	" " "
Turner, Gardner L.	\$	25.00	Dorothy Salmon Memorial
UK Women's Club	\$	10.00	Seay Memorial
U.S. Public Health Service Women's Club	\$	35.00	Scholarship
VanMeter, Mr. & Mrs. Carroll L.	\$	10.00	Seay Memorial
Dept. of Veterinary Science Staff	\$	15.00	" " "
Wade, Charles	\$	10.00	W. R. Bingham Scholarship

Gifts and/or Grants
Quarterly Report

Page 8

Walker, Mr. & Mrs. John N.	\$	10.00	Seay Memorial
Wallace, F. Grace	\$	10.00	Ella K. Harmon Memorial
Walton, Dr. & Mrs. Charles A.	\$	50.00	College of Pharmacy
Warren, Mr. & Mrs. Earl J.	\$	10.00	Seay Memorial
Webster, Mr. & Mrs. Gilbert T.	\$	10.00	" " "
West, Mr. & Mrs. Logan	\$	10.00	" " "
Whayne, Dr. & Mrs. Tom F.	\$	10.00	Earl B. Dake Memorial
Whayne, Dr. & Mrs. Tom F.	\$	10.00	Seay Memorial
Wheeler, Ida Lee	\$	5.00	" " "
Wightman, Mrs. Ernest T.	\$	5.00	" " "
Wilderness Trail Area Agents	\$	100.00	" " "
Williamson, Mrs. Arthur	\$	100.00	Cancer Research Fund
The H.W. Wilson Foundation	\$	2,000.00	H. W. Wilson Foundation Scholarship in Library Science
Wise, Dr. & Mrs. Louis N.	\$	5.00	Seay Memorial
Woodford Co. High School FFA	\$	25.00	W. R. Bingham Scholarship
Wylie, Charles	\$	50.00	Dorothy Salmon Scholarship
Young, Earl R.	\$	5.00	Seay Memorial
Zaring, Ben Guthrie	\$	5.00	W. R. Bingham Scholarship
Associated County Women of the World	\$	16.39	International Farm Youth Exchange
Weaver, Lois	\$	25.00	Gladys Robertson Memorial

Office of the President
July 28, 1969

PR 4

Members, Board of Trustees:

APPROVAL OF Ph. D. PROGRAM IN GEOGRAPHY

Recommendation: that approval be given to the activation of a graduate program in Geography leading to the Degree of Doctor of Philosophy; a program leading to the M. A. Degree has been in operation for many years.

Background: This new graduate program has been reviewed and recommended by the College of Arts and Sciences, a Special Committee of the Graduate Faculty appointed by the Graduate Dean, the Graduate Council, the Graduate Faculty and the University Senate acting through the Senate Council. It has been determined that the program is financially feasible and no additional resources are required for activation. A stated contingency for approval by the Graduate Faculty for the addition of two senior faculty members has been met by filling two existing vacancies in the department.

Geography as a discipline has changed markedly in the past two decades, and there are exceptional career opportunities in this field at the present time. This new graduate program has been designed in accordance with the present state of the discipline, and its activation will provide significant new educational opportunities for students in the University.

Action: Approved X Disapproved _____ Other _____

Date: July 28 , 1969

PR 5

Members, Board of Trustees:

1969-70 Budget Revisions

Recommendation: that the following revisions in the 1969-70 budget be authorized and approved.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>General Funds</u>			
1. Income estimate			
Federal Appropriations - Agricultural Cooperative Extension	\$ 2,777,490	\$ 3,071,890	\$ 294,400
2. Expenditures			
Agricultural Cooperative Extension			
Nutrition Program	-0-	278,400	278,400
Developmental Programs	177,601	193,601	<u>16,000</u>
			\$ 294,400
3. <u>Comments</u> - Additional federal funds have been received to support these programs in Agricultural Cooperative Extension.			
B. <u>Restricted Funds</u>			
1. Income estimate	\$ 3,119,601	\$ 3,299,977	\$ 180,376
2. Expenditures			
Arts and Sciences Geology	4,400	4,500	100

Higher Education Act of 1965			
Title I Administration	-0-	18,154	18,154
Title I Projects	-0-	150,664	150,664
Law			
Journal of Legal Education	-0-	7,458	7,458
Student Aid - Scholarships			
Gifts and Grants for			
Scholarships	150,000	154,000	<u>4,000</u>
			\$ 180,376

3. Comments - Additional income has been received to support the proposed expenditures.

Action: Approved X Disapproved _____ Other _____

Date: July 28 , 19 69

Office of the President
July 28, 1969

PR 6

Members, Board of Trustees:

Appropriation for Computer Purchase

Recommendation: that the Board of Trustees appropriate up to \$800,000 from general fund balances for the purchase of a computer.

Background: The \$800,000 in general fund balances plus \$1,500,000 to come from General Obligation Bond proceeds would be used to purchase a computer. The Board acted on January 29, 1969 to approve the purchase of a computer and to authorize the use of General Obligation Bond proceeds for that purpose. The proposal would be to return the \$1,500,000 in General Obligation Bond funds in payments from the annual operating budgets over the next five years.

Action: Approved X Disapproved Other

Date: July 28 , 1969

Finance Committee Report
July 28, 1969

FCR 1

Members, Board of Trustees:

INTERIM FINANCIAL REPORT

Recommendation: that the financial report for the eleven months ended May 31, 1969 be accepted.

Background: This report reflects income of \$82,956,832.16 for the period of the report; the income figure is 95.2% of the annual income estimate of \$87,106,320.02.

The expenditures and commitments represent a total of \$75,589,719.03 for this eleven months' period, or 86.8% of the expenditure authority of \$87,106,320.02 approved by the Board.

Action: Approved X Disapproved Other

Date: July 28 , 1969

UNIVERSITY OF KENTUCKY

LEXINGTON, KENTUCKY 40506

OFFICE OF VICE PRESIDENT
BUSINESS AFFAIRS AND TREASURER

June 23, 1969

Dr. A. D. Kirwan, President
University of Kentucky
Lexington
Kentucky

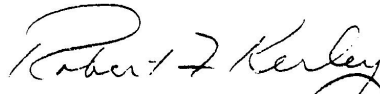
Dear Dr. Kirwan:

Submitted herewith is the interim financial report of the University of Kentucky for the period July 1, 1968 through May 31, 1969. This report consists of a balance sheet for the twelve fund groups, and statements of income and appropriations showing the financial transactions for the eleven months ended May 31, 1969.

<u>Exhibit</u>	<u>Name</u>	<u>Page</u>
A	Balance Sheet	1
B	Summary of Current Funds Income and Appropriated Balances	7
C	Summary of Current Funds Expenditures	8
D	Statement of Unexpended Plant Funds	10
E	Statement of Retirement of Indebtedness Funds	13
F	Statement of Sinking Funds	14
G	Summary of Other Funds Transactions	15
	1. Loan Funds	
	2. Endowment Funds	
	3. Agency Funds	

This report is intended to form a comprehensive and permanent record of the finances of the University for the period covered.

Respectfully submitted,



Robert F. Kerley
Vice President-Business Affairs
and Treasurer

/rts

EXHIBIT A

University of Kentucky
Balance Sheet
May 31, 1969

ASSETS

I. Current Funds:

A. Unrestricted:

Cash:

Federal funds	\$ 729,449.17
Depository funds	1,007,754.17
On deposit with State Treasurer	1,952,311.86
Available balance, state appropriations	4,308,805.01
Imprest funds	<u>240,818.74</u>
Total cash	8,239,138.95
Reimbursements due from State Treasurer	409,181.26
Due from other funds	2,305,421.03
Accounts receivable	35,953.56
Notes receivable	71,190.00
Inventories and work in progress	1,142,650.01
Unrealized income (Exhibit B)	<u>2,379,443.64</u>

Total unrestricted

\$ 14,582,978.45

B. Restricted:

Cash:

Depository funds	30,335.66
Revolving funds	<u>428,892.34</u>
Total cash	459,228.00
Due from other funds	284,107.66
Petty cash advances	242,019.68
Investments	4,751,822.40
Accounts receivable	32,304.93
Unrealized income (Exhibit B)	<u>819,754.52</u>

Total restricted

6,589,237.19

C. Hospital and clinics:

Cash:

Depository funds	684,296.38
On deposit with State Treasurer	<u>445,996.51</u>
Total cash	1,130,292.89
Accounts receivable (net of allowance for doubtful accounts of \$ 4,285,006)	1,584,865.85
Inventories	448,043.75
Unrealized income (Exhibit B)	<u>691,618.38</u>

Total hospital and clinics

3,854,820.87

University of Kentucky
Balance Sheet
May 31, 1969

EXHIBIT A

LIABILITIES AND FUND BALANCES

I. Current Funds:

A. Unrestricted:

Outstanding encumbrances	\$ 2,604,509.47
Accounts payable--county funds	636.00
Due to other funds	662,308.75
Advance from state for imprest cash fund	650,000.00
Unencumbered balance, departmental appropriations (Exhibit C)	8,241,132.93
Fund balances--allocated:	
Reserve for inventories	1,050,552.83
Reserve for notes receivable	71,190.00
Special reserve--University Hospital	249,000.00
Future operating purposes	631,733.83
Fund balances--unallocated	421,914.64

Total unrestricted

\$ 14,582,978.45

B. Restricted:

Outstanding encumbrances	32,993.83
Due to other funds	3,944,475.25
Outstanding check liability	13,170.53
Undistributed collections and clearing	885,120.58
Unencumbered balance, departmental appropriations (Exhibit C)	874,888.93
Fund balances	838,588.07

Total restricted

6,589,237.19

C. Hospital and clinics:

Outstanding encumbrances	91,487.87
Due to other funds	57,921.81
Unexpended balance, departmental appropriations (Exhibit C)	1,885,822.49
Fund balances-- allocated:	
Reserve for inventories	396,176.97
Reserve for accounts receivable	1,423,411.73

Total hospital and clinics

3,854,820.87

EXHIBIT A

University of Kentucky
Balance Sheet
May 31, 1969

ASSETS

D. Housing and dining system:		
Due from other funds	\$ 1,166,124.13	
Accounts receivable	1,752.00	
Inventories	582,089.74	
Unrealized income (Exhibit B)	47,756.53	
Funded future bond interest expense	1,290,100.00	
<hr/>		
Total housing and dining system		\$ 3,087,822.40
E. Auxiliary enterprises:		
Cash in bank	618,865.51	
Due from other funds	378,983.25	
Accounts receivable	12,399.43	
Inventories	183,902.00	
Unrealized income (Exhibit B)	210,914.79	
<hr/>		
Total auxiliary enterprises		<u>1,405,064.98</u>
TOTAL CURRENT FUNDS		<u>\$ 29,519,923.89</u>
II. Loan Funds:		
Cash in bank	\$ 87,378.91	
Notes receivable	4,262,646.67	
Due from Federal Government	7,287.52	
Due from United Student Aid loan fund	2,000.00	
Due from other funds	13,226.58	
<hr/>		
TOTAL LOAN FUNDS		<u>\$ 4,372,539.68</u>
III. Endowment Funds:		
Cash on deposit with Trustee	\$ 32.97	
Accrued interest receivable	3,248.78	
Investments	742,783.99	
<hr/>		
TOTAL ENDOWMENT FUNDS		<u>\$ 746,065.74</u>

University of Kentucky
Balance Sheet
May 31, 1969

EXHIBIT A

LIABILITIES AND FUND BALANCES

D. Housing and dining system:	
Bonds payable	\$ 1,290,100.00
Deferred income	492,553.50
Due to other funds	32,818.62
Unexpended balance, departmental appropriations (Exhibit C)	8,079.77
Fund balances:	
Funds for renewals and replacement	941,087.93
Unallocated	<u>323,182.58</u>
Total housing and dining system	\$ 3,087,822.40
E. Auxiliary enterprises:	
Outstanding encumbrances	48,598.88
Due to other funds	9,134.97
Unencumbered balance, departmental appropriations (Exhibit C)	506,676.87
Fund balances:	
Reserve for inventories	183,902.00
Unallocated	<u>656,752.26</u>
Total auxiliary enterprises	<u>1,405,064.98</u>
TOTAL CURRENT FUNDS	<u>\$ 29,519,923.89</u>
II. Loan Funds:	
Federal sponsored loan funds:	
Federal government	3,833,231.53
University matching funds	360,855.01
Accumulated interest income	<u>84,039.82</u>
Total Federal sponsored loan funds	<u>4,278,126.36</u>
University loan funds:	
University student loan funds	30,686.16
University special student loan funds	61,727.16
United Student Aid loan fund	<u>2,000.00</u>
Total University loan funds	<u>94,413.32</u>
TOTAL LOAN FUNDS (Exhibit G)	<u>\$ 4,372,539.68</u>
III. Endowment Funds:	
Due to other funds	\$ 13,226.58
Fund balances (Exhibit G)	<u>732,839.16</u>
TOTAL ENDOWMENT FUNDS	<u>\$ 746,065.74</u>

EXHIBIT A

University of Kentucky
Balance Sheet
May 31, 1969

ASSETS

IV. Plant Funds:

A. Unexpended plant funds:

Cash on deposit with State Treasurer	\$ 1,253,036.62
Capital construction funds	34,069,310.53
Due from Federal Government	4,642,639.00
Due from other funds	952,962.86
Construction authorized (Exhibit D)	66,107,501.03

Total unexpended plant funds	\$ 107,025,450.04
------------------------------	-------------------

B. Retirement of indebtedness funds:

Cash on deposit with State Treasurer	90,777.89
--------------------------------------	-----------

Total retirement of indebtedness funds	90,777.89
--	-----------

C. Sinking funds:

Cash on deposit with Trustee	13,632.47
Investments held by Trustee	<u>8,331,078.65</u>

Total sinking funds	8,344,711.12
---------------------	--------------

D. Invested in plant:

Land	5,454,378.41
Buildings	120,607,507.00
Equipment	<u>29,034,544.00</u>

Total invested in plant	<u>155,096,429.41</u>
-------------------------	-----------------------

TOTAL PLANT FUNDS	<u><u>\$ 270,557,368.46</u></u>
-------------------	---------------------------------

V. Agency Funds:

Cash in bank	\$ 3,515.81
Due from other funds	<u>874,353.91</u>

TOTAL AGENCY FUNDS	<u><u>\$ 877,869.72</u></u>
--------------------	-----------------------------

University of Kentucky
Balance Sheet
May 31, 1969

EXHIBIT A

LIABILITIES AND FUND BALANCES

IV. Plant Funds:

A. Unexpended plant funds:

Outstanding encumbrances	\$ 14,973,806.70
Due to other funds	211,065.00
Due to Commonwealth of Kentucky	14,565,128.00
Notes payable	33,200,000.00
Appropriations@unencumbered balances (Exhibit D)	20,809,123.98
University equity in projects	<u>23,266,326.36</u>

Total unexpended plant funds \$ 107,025,450.04

B. Retirement of indebtedness funds:

Unexpended balances, debt service (Exhibit E)	14.44
Fund balances	<u>90,763.45</u>

Total retirement of indebtedness funds 90,777.89

C. Sinking funds:

Bonds payable	3,296,000.00
Fund balances (Exhibit F)	<u>5,048,711.12</u>

Total sinking funds 8,344,711.12

D. Invested in plant:

Bonds payable	49,245,900.00
Due to other funds	400,000.00
Net investment in plant	<u>105,450,529.41</u>

Total invested in plant 155,096,429.41

TOTAL PLANT FUNDS \$ 270,557,368.46

V. Agency Funds:

Fund balances (Exhibit G)	\$ 877,869.72
-----------------------------	---------------

TOTAL AGENCY FUNDS \$ 877,869.72

University of Kentucky
 Summary of Current Funds Income and Appropriated Balances
 For the Eleven Months Ended May 31, 1969

EXHIBIT B

<u>Fund and Source:</u>	<u>Estimated</u>	<u>Realized</u>	<u>Unrealized</u>
A. Unrestricted:			
Student fees	\$ 7,856,600.00	\$ 8,035,063.18	\$ (178,463.18)
State appropriations	43,084,027.00	43,084,027.00	
Federal appropriations	5,302,874.40	4,723,960.27	578,914.13
County appropriations	639,500.00	644,306.67	(4,806.67)
Endowment income	48,200.00	51,098.99	(2,898.99)
Gifts and grants	2,366,082.63	134,167.35	2,231,915.28
Sales, services, and other income	1,814,751.13	2,059,968.06	(245,216.93)
Fund balances appropriated for current operations (see note)	2,236,873.15	2,236,873.15	
Prior year encumbrances appropriated	1,049,418.92	1,049,418.92	
Total unrestricted	64,398,327.23	62,018,883.59	2,379,443.64
B. Restricted	2,700,222.99	1,880,468.47	819,754.52
C. Hospital and clinics:			
State appropriations	4,074,253.00	4,074,253.00	
Hospital earned income- net	5,870,176.63	5,178,558.25	691,618.38
Fund balances appropriated for current operations	193,000.00	193,000.00	
Prior year encumbrances appropriated	84,840.14	84,840.14	
Total hospital and clinics	10,222,269.77	9,530,651.39	691,618.38
D. Housing and dining system	7,437,681.00	7,389,924.47	47,756.53
E. Auxiliary enterprises	2,347,819.03	2,136,904.24	210,914.79
TOTAL CURRENT FUNDS INCOME AND APPROPRIATED BALANCES	\$ 87,106,320.02	\$ 82,956,832.16	\$ 4,149,487.86

Note:

From future operating purposes fund balance	\$ 91,240.00
From unallocated fund balance	2,145,633.15
Total as above	\$ 2,236,873.15

University of Kentucky
 Summary of Current Funds Expenditures
 For the Eleven Months Ended May 31, 1969

EXHIBIT C

<u>Fund and Function:</u>	<u>Appropriations</u>	<u>Expenditures</u>	<u>Encumbrances</u>	<u>Unencumbered Balance</u>
A. Unrestricted:				
Departments of instruction and research	\$ 24,688,331.06	\$ 20,495,420.23	\$ 659,139.03	\$ 3,533,771.80
Community colleges	5,747,859.51	4,964,283.58	84,867.34	698,708.59
Summer session	566,538.75	570,488.98	9.00	(3,959.23)
Organized activities	1,145,301.78	572,075.78	369,738.21	203,487.79
Organized research	6,264,466.25	5,202,483.40	302,527.09	759,455.76
Libraries	1,953,899.74	1,550,335.70	217,001.70	186,562.34
Extension and public services	7,610,536.91	6,641,557.33	67,670.99	901,308.59
Maintenance and operation of plant	3,812,733.32	3,376,432.35	180,144.48	256,156.49
Major improvements	286,500.00	219,699.53		66,800.47
Acquisition of equipment	150,321.43	118,186.85	15,347.24	16,787.34
General administration	2,473,604.09	1,986,176.45	46,625.65	440,801.99
Student services	2,322,990.89	1,990,870.95	48,239.05	283,880.89
Staff benefits	3,713,766.00	3,475,290.85		238,475.15
General institutional services	1,799,599.64	1,456,539.38	86,535.75	256,524.51
Service enterprises	117,121.76	45,085.53	39,107.88	32,928.35
Debt service cost	1,400,000.00	1,192,434.00		207,566.00
Student aid	228,400.49	177,880.00		50,520.49
Reserves	116,355.61		5,000.00	111,355.61
Total unrestricted	<u>64,398,327.23</u>	<u>54,035,240.89</u>	<u>2,121,953.41</u>	<u>8,241,132.93</u>

University of Kentucky
 Summary of Current Funds Expenditures
 For the Eleven Months Ended May 31, 1969

EXHIBIT C

<u>Fund and Function:</u>	<u>Appropriations</u>	<u>Expenditures</u>	<u>Encumbrances</u>	<u>Unencumbered Balance</u>
B. Restricted:				
Departments of instruction and research	\$ 501,189.59	\$ 163,749.51	\$ 5,331.91	\$ 332,108.17
Community colleges	6,000.00	3,312.24		2,687.76
Organized research	227,359.00	168,990.92	7,067.92	51,300.16
Libraries	9,214.00	1,006.31		8,207.69
Extension and public services	634,222.17	369,071.03	1,582.85	263,568.29
General administration	9,614.73	7,328.24		2,286.49
Student services	55,527.00	47,650.00		7,877.00
General institutional services	97,270.00	93,073.30	881.99	3,314.71
Student aid	1,135,528.32	938,897.34	36.00	196,594.98
Maintenance and operation of plant	24,298.18	10,811.81	6,542.69	6,943.68
Total restricted	<u>2,700,222.99</u>	<u>1,803,890.70</u>	<u>21,443.36</u>	<u>874,888.93</u>
C. Hospital and clinics	<u>10,222,269.77</u>	<u>8,254,585.79</u>	<u>81,861.49</u>	<u>1,885,822.49</u>
D. Housing and dining system	<u>7,437,681.00</u>	<u>7,429,601.23</u>		<u>8,079.77</u>
E. Auxiliary Enterprises	<u>2,347,819.03</u>	<u>1,792,543.28</u>	<u>48,598.88</u>	<u>506,676.87</u>
TOTAL CURRENT FUNDS EXPENDITURES	<u>\$87,106,320.02</u>	<u>\$73,315,861.89</u>	<u>\$ 2,273,857.14</u>	<u>\$11,516,600.99</u>

University of Kentucky
Statement of Unexpended Plant Funds
For the Eleven Months Ended May 31, 1969

EXHIBIT D

Construction:	<u>Project Allotments</u>	<u>Expenditures</u>	<u>Encumbrances</u>	<u>Unencumbered Balance</u>
Main campus-				
Agricultural Sciences Laboratory				
Building # 2	\$ 158,500.00	\$ 88,916.20	\$ 43,001.00	\$ 26,582.80
Biological Sciences Building	30,000.00	3,272.00	15,000.00	11,728.00
Bridge Connection	2,500.00		1,500.00	1,000.00
Center for handicapped children	12,000.00	4,999.00	5,001.00	2,000.00
Classroom-Office Building	12,089,195.00	9,073,871.29	2,421,105.55	594,218.16
Margaret I. King Library addition	10,000.00			10,000.00
Parking structures	3,906,009.00	2,525,094.64	1,199,820.83	181,093.53
Pre-Fabricated Laboratory Buildings	1,595,972.00	1,265,405.05	3,102.88	327,464.07
Veterans Administration Hospital	427,490.00	20,833.69	15,463.80	391,192.51
Undergraduate Housing Complex	348,687.81		31,825.24	316,862.57
Computer Sciences Building	7,500.00			7,500.00
Completed projects clearing	131,434.00		15,667.59	115,766.41
Total construction- main campus	18,719,287.81	12,982,391.87	3,751,487.89	1,985,408.05
Community colleges-				
Ashland	3,476,120.00	984,883.17	2,048,179.74	443,057.09
Elizabethtown	2,485,942.93	2,173,187.24	244,506.65	68,249.04
Hazard	133,250.00	104,404.10	11,014.94	17,830.96
Henderson	1,129,861.00	49,209.60	33,097.85	1,047,553.55
Hopkinsville	882,652.00	181,013.23	1.00	701,637.77
Jefferson	5,389,684.00	1,479,008.55	3,301,393.09	609,282.36
Maysville	1,910,054.00	1,508,975.42	347,116.33	53,962.25
Northern	267,122.00	208,702.81	44,448.19	13,971.00
Prestonsburg	1,870,706.24	299,632.33	1,342,223.47	228,850.44
Somerset	981,645.00	186,978.69	4,251.00	790,415.31
Southeast	968,354.00	49,920.07	860,612.31	57,821.62
Movable Classroom-Office Building	437,271.00	420,002.94		17,268.06
E. T. V. for community colleges	3,500.00			3,500.00
Total community colleges	19,936,162.17	7,645,918.15	8,236,844.57	4,053,399.45
Headquarters Building-Council on State Governments	1,184,954.00	995,673.48	95,460.77	93,819.75
Total construction	39,840,403.98	21,623,983.50	12,083,793.23	6,132,627.25

University of Kentucky
Statement of Unexpended Plant Funds
For the Eleven Months Ended May 31, 1969

EXHIBIT D

Major Improvements:	<u>Project Allotments</u>	<u>Expenditures</u>	<u>Encumbrances</u>	<u>Unencumbered Balance</u>
Demolition of residential buildings				
for surface parking	\$ 31,673.00	\$ 17,262.00	\$ 12,200.00	\$ 2,211.00
Heien G. King Alumni House	45,124.00	45,000.87		123.13
Trailer Housing for Students-				
Phase I	8,800.00			8,800.00
Trailer Housing for Students-				
Phase II	12,000.00			12,000.00
Temporary ETV production				
center	394,147.00	252,108.83	133,315.00	8,723.17
Administration Building-remodeling				
Phase II	171,119.00	168,936.51		2,182.49
Air conditioning-Quadrangle	18,000.00	133.25	2,500.00	15,366.75
Tobacco and health research equipment-				
installation	255,311.00	211,499.91	8,458.35	35,352.74
Wood Utilization Center-Student				
housing	14,310.98	14,310.98		
Art Building	109,828.00	4,136.80	1.00	105,690.20
Horticulture field research				
laboratory	30,000.00			30,000.00
Agricultural Engineering Building-				
renovation	99,634.00	95,225.99	97.72	4,310.29
New serving lines-Donovan Hall				
Cafeteria	142,163.00	120,978.82	15,038.61	6,145.57
Electrical substation # 2 central				
campus	745,142.00	168,990.33	530,076.69	46,074.98
Cooling plant # 2 central campus	1,242,138.00	543,182.25	688,421.70	10,534.05
Installation of auxiliary system-				
central heating plants	443,774.00	422,556.19	3,050.63	18,167.18
Anderson Hall- miscellaneous				
renovation	138,009.00	137,769.46		239.54
Modernization of lighting and				
lighting control systems-				
Guignol Theatre	82,223.00	16,054.12	62,034.94	4,133.94
Office area-Service Building	455,708.00	114,725.47	319,033.22	21,949.31
Office laboratory-student quarters				
swine research program-				
Coldstream Farm	58,541.00	55,104.48		3,436.52
Renovation of Reynolds # 3	135,437.00	127,271.48	1,483.13	6,682.39
Electrical renovation- Bowman and				
Breckinridge Halls	62,699.00	52,680.60	7,288.58	2,729.82
Agronomy seed laboratory-air				
conditioning	2,500.00		1,000.00	1,500.00
Renovation of Pharmacy Building	2,500.00			2,500.00
Memorial Hall renovation	458,103.00	206,796.70	210,482.29	40,824.01
Fire escapes-Jewell and Reynolds	3,876.00		1,000.00	2,876.00

University of Kentucky
Statement of Unexpended Plant Funds
For the Eleven Months Ended May 31, 1969

EXHIBIT D

Major Improvements (continued)	Project <u>Allotment</u>	<u>Expenditures</u>	<u>Encumbrances</u>	<u>Unencumbered Balance</u>
Civil Engineering Research				
Laboratory renovation	\$ 223,241.00	\$ 10,546.52	\$ 193,818.98	\$ 18,875.50
Center Motel renovation	103,260.00	88,249.58	10,963.86	4,046.56
Central campus utility distribution	382,688.00	257,952.13	106,905.26	17,830.61
Surface parking area, lighting and landscaping	15,800.00	1,924.00	11,364.50	2,511.50
Pedestrian walks, lighting and landscaping	3,000.00		1,500.00	1,500.00
Cooling plant # 1	2,500.00		2,000.00	500.00
Neuro-Sciences area-Medical Center	47,200.00	4,768.75	11,537.50	30,893.75
Conduit system	46,390.00		1,500.00	44,890.00
Total major improvements	<u>5,986,838.98</u>	<u>3,138,166.02</u>	<u>2,335,071.96</u>	<u>513,601.00</u>
Minor Construction	<u>1,311,605.12</u>	<u>58,568.50</u>	<u>552,544.44</u>	<u>700,492.18</u>
Property Acquisitions	<u>3,246,250.00</u>	<u>2,391,125.76</u>	<u>2,397.07</u>	<u>852,727.17</u>
Community College Bond Issue	<u>21,420.75</u>	<u>21,420.75</u>		
New Facilities	<u>1,767,690.00</u>			<u>1,767,690.00</u>
Undistributed portion-Consolidated Educational Building Bonds- Anticipation Notes	<u>13,662,872.64</u>	<u>3,091,305.82</u>		<u>10,571,566.82</u>
Clearing Accounts	<u>270,419.56</u>			<u>270,419.56</u>
TOTAL UNEXPENDED PLANT FUNDS	<u><u>\$66,107,501.03</u></u>	<u><u>\$30,324,570.35</u></u>	<u><u>\$14,973,806.70</u></u>	<u><u>\$20,809,123.98</u></u>

University of Kentucky
Statement of Retirement of Indebtedness Funds
For the Eleven Months Ended May 31, 1969

EXHIBIT E

<u>Income:</u>	<u>Estimated</u>	<u>Realized</u>
Debt service charged to:		
Unrestricted funds	\$1,192,434.00	\$1,192,434.00
Housing and dining system	1,662,798.92	1,662,798.92
Auxiliary enterprises	176,316.87	176,316.87
Sinking funds	100,955.03	100,955.03
University of Kentucky Athletic Association	49,916.10	49,916.10
Fund balances appropriated	20,320.40	20,320.40
Interest income	4,042.89	4,042.89
TOTAL RETIREMENT OF INDEBTEDNESS FUNDS (Exhibit A)	\$3,206,784.21	\$3,206,784.21

<u>Debt service:</u>	<u>Appropriated</u>	<u>Expended</u>	<u>Unexpended Balance</u>
Auditorium Field House	\$ 45,672.75	\$ 45,672.75	
Library Service Building	4,130.00	4,130.00	
Stadium Addition	15,522.37	15,522.37	
Chi Omega	3,428.15	3,428.15	
Journalism Building	12,509.00	12,495.00	\$ 14.00
Alpha Delta Pi	5,458.89	5,458.89	
Dorms A, B, C, D, E, and F.	38,820.00	38,820.00	
Dorms G, H, I, J, K, and L.	50,812.50	50,812.50	
Phi Delta Theta	8,550.00	8,550.00	
Delta Delta Delta	6,565.00	6,565.00	
Alpha Tau Omega	8,670.00	8,670.00	
Consolidated Educational Buildings-Series A	503,038.00	503,038.00	
Community Colleges Educational Buildings-Series A	87,392.75	87,392.75	
Consolidated Educational Buildings-Series B	524,623.00	524,622.81	.19
Alpha Gamma Rho and Sigma Chi	16,558.00	16,558.00	
Community Colleges Educational Buildings-Series B	77,921.55	77,921.55	
Student Housing Bonds of 1964	40,000.00	39,999.75	.25
Housing and Dining System Revenue Bonds- Series A-I	1,757,112.25	1,757,112.25	
TOTAL RETIREMENT OF INDEBTEDNESS FUNDS (Exhibit A)	\$3,206,784.21	\$3,206,769.77	\$ 14.44

University of Kentucky
Statement of Sinking Funds
For the Eleven Months Ended May 31, 1969

EXHIBIT F

Housing and Dining System Bond and Interest Fund	\$1,687,649.75
Housing and Dining System Repair and Maintenance Reserve	654,775.74
Bowman, Keeneland, Cooperstown, Blazer and Student Union Future Interest Fund	1,327,698.75
Consolidated Educational Buildings-Series A- Bond and Interest Fund	574,884.26
Consolidated Educational Buildings-Series B-Bond and Interest Fund	554,842.90
Community Colleges Educational Buildings-Series A-Bond and Interest Fund	77,094.29
Community Colleges Educational Buildings-Series B-Bond and Interest Fund	52,003.88
Housing Bonds of 1960-Debt Service Reserve	39,453.45
Housing Bonds of 1960-Maintenance Reserve	13,284.76
Student Housing Bonds of 1964- Debt Service Reserve	<u>67,023.34</u>
TOTAL SINKING FUNDS (Exhibit A)	<u><u>\$5,048,711.12</u></u>

University of Kentucky
 Summary of Other Funds Transactions
 For the Eleven Months Ended May 31, 1969

EXHIBIT G

<u>Loan Funds:</u>	<u>Balances</u> <u>July 1, 1968</u>	<u>Receipts</u>	<u>Expenditures</u>	<u>Balances</u> <u>May 31, 1969</u>
Federal sponsored loan funds:				
Federal government	\$ 3,238,054.59	\$ 595,176.94		\$ 3,833,231.53
University of Kentucky	322,968.01	37,887.00		360,855.01
Accumulated interest income	65,073.40	18,966.42		84,039.82
University loan funds:				
University student loan funds	23,984.51	6,701.65		30,686.16
University special student loan fund	58,114.89	3,612.27		61,727.16
United Student Aid loan fund	2,000.00			2,000.00
TOTAL LOAN FUNDS	\$ 3,710,195.40	\$ 662,344.28		\$ 4,372,539.68
 <u>Endowment Funds:</u>				
TOTAL ENDOWMENT FUNDS	\$ 639,096.61	\$ 83,742.55		\$ 732,839.16
 <u>Agency Funds:</u>				
TOTAL AGENCY FUNDS	\$ 785,560.18	\$ 6,216,101.99	\$ 6,123,792.45	\$ 877,869.72

FCR 2

Members, Board of Trustees:

AUTHORIZATION OF REVISED LEASE AGREEMENT
WITH ALPHA DELTA PI

Recommendation: that the Board of Trustees of the University of Kentucky authorize the Acting Vice President - Business Affairs and Treasurer to execute a revised lease agreement with the Beta Psi House Corporation of Alpha Delta Pi Sorority.

Background: The revised agreement, a copy of which is attached hereto and made a part hereof, adjusts the original agreement approved and executed by the Board on December 1, 1950, so as to incorporate the sorority's increased equity, resulting from recent addition and renovation of the sorority house at 476 Rose Street, in accordance with the revised amortization table for annual debt service costs.

Action: Approved X Disapproved Other

Date: July 28 , 1969

LEASE

THIS INDENTURE, made this _____ day of _____, 1969;
by and between the BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY,
a Kentucky corporation, First Party, Lessor, and BETA PSI HOUSE CORPORA-
TION OF ALPHA DELTA PI SORORITY, a Kentucky corporation, Second Party,
Lessee.

WITNESSETH:

WHEREAS, First Party purchased and now owns a tract of land with
the building thereon designated as 476 Rose Street and situated on the eastern
side of Rose Street in the City of Lexington, Fayette County, Kentucky, front-
ing 100 feet on said street as described in the deed to the First Party, dated
December 1, 1950, and of record in Deed Book 492, Page 176, in the Office
of the Fayette County Clerk, to which deed reference is made for a more com-
plete description, and,

WHEREAS, said property was paid for by the First Party by the issuance
of bonds designated as UNIVERSITY OF KENTUCKY DORMITORY REVENUE
BONDS (476 Rose Street) in the principal amount of \$76,000.00, and,

WHEREAS, First Party, on December 1, 1950, leased said property
to said Second Party to accommodate students attending the University of Ken-
tucky under proper supervision and without profit or loss to the First Party
and on such terms that said First Party would, in a period of thirty years, be
fully reimbursed for all its costs and expenditures in regard to said property,
and,

WHEREAS, UNIVERSITY OF KENTUCKY DORMITORY REVENUE BONDS, SECOND SERIES, in the amount of \$130,000.00, were issued on December 1, 1968, to finance the construction of an addition on said property, and,

WHEREAS, inasmuch as the amount of rent to be paid monthly is determined in part by that amount necessary to pay all the interest on and to retire all outstanding bonds as they fall due, it is now necessary and desirable that a new lease agreement be executed;

NOW, THEREFORE, in consideration of the premises and the promises hereinafter made, the First Party agrees to lease the above described property, together with all improvements thereon, to Second Party for a term of four years, beginning on the first day of December, 1968, and terminating on the 1st day of December, 1972, together with the right of the Lessee to renew or extend said lease for additional periods of four years each as long as the Lessee complies with the terms of this lease. Said renewal or extension of this lease from period to period shall be automatic and shall continue until there is a breach of the conditions in this lease (subject to Paragraph 2 below) or until the Lessee gives a sixty-day written notice of its intention to vacate the property and surrender the lease.

The parties hereto bind themselves to the following terms and conditions and agree to perform the same:

1. Rent shall be payable in advance on the first day of each and every month during the term or extended terms of this lease, beginning with the payment on the first day of December, 1968.

2. The amount of rent to be paid monthly shall be determined by the amount necessary to pay all of the interest on and to retire the above described bonds as they fall due and in accordance with the schedule of payments printed therein and to pay premiums on the insurance required therein and to pay at dormitory rates for any electricity, water or similar services rendered to the Lessee.

3. The Lessee shall have the right, upon making additional payments of rent at the time and in the amounts provided in the bonds, to cause the calling of the bonds for payment before maturity.

4. In the event the rent is not paid as provided in this lease, the Lessor shall have the immediate right to place other students in the buildings so that the revenue therefrom will be sufficient to pay interest on and to retire said bonds as they mature and if the rent is in default for a period of three consecutive months, the lease shall be terminated and the property surrendered to the Lessor.

5. This lease shall not be assigned nor the property sublet nor occupied by other than University students without the written consent of the Lessor.

6. All repairs, alterations or additions to the building or grounds shall be made by and at the expense of the Lessee, but no major alterations shall be made without the written consent of the Lessor.

7. The property shall at all times be under the control of the Lessor with the right to require the Lessee to make repairs and alterations or additions which the Lessor deems necessary to safeguard the health and well-being of the students residing on the property. The Lessor reserves the further right to have a member of the staff of the University of Kentucky reside in the building with general supervision over the activities of the students as provided by the present or future regulations of the University.

8. In the event of damage to or destruction of the property by fire, wind or other casualty, the Lessor will apply, to the extent the contract with bondholders allows, an amount equal to the net receipts from insurance to the repair or restoration of the property according to plans and specifications prepared by the Lessee and approved by the Lessor.

In the event the building is rendered uninhabitable, the obligation of the Lessee to pay rent shall be suspended until the building is repaired or restored, but the Lessee shall not be credited with any payments on the bonded indebtedness which are made by the Lessor during any time when such payments are not made out of the receipts from rent, and the period in which the rentals are determined by the amount necessary to retire the bonds shall be proportionally extended.

9. In the event that sororities are discontinued at the University of Kentucky or that the charter of the Lessee is revoked or suspended for a period of fifteen consecutive months, then, in either event, this lease shall terminate and the Lessor shall pay to the Lessee, or its assignee, the sum of \$24,000.00 if said termination occurs during the first five years from this date; \$16,000.00 if within the second five years; \$8,000.00 if said termination from this cause occurs within the third five years; and nothing if the termination occurs thereafter.

10. It is contemplated that no taxes will be payable on the leased property, but in the event of a change in the law or otherwise, if the property is subject to taxation of any kind, sewer rentals or charges, or special or improvement assessments or levies, then the monthly rental to be paid by the Lessee shall be increased to reimburse the Lessor for such taxes or charges.

11. At all times during the term or extended term or terms of this lease, the building and surrounding grounds are to be kept in a presentable condition. The Lessee is not to commit or allow waste or the maintenance of a nuisance and cannot terminate this lease by its own act unless the Lessor elects to treat such act as a termination of this lease, as provided herein. Upon the expiration or termination of this lease, the property is to be surrendered to the Lessor in as good condition as when the Lessee entered into possession, reasonable wear, tear and normal decadence excepted.

12. The execution of this instrument has been approved by proper resolutions adopted by the governing bodies of the parties hereto and signed by the persons authorized in said resolution to execute the same.

IN TESTIMONY WHEREOF, the parties hereto have affixed their signatures and seals at Lexington, Kentucky, on the day and year first above written.

Examined For Legality and Form
J. C. Darsie, Jr.
John C. Darsie, Jr.
University Counsel

BOARD OF TRUSTEES OF THE
UNIVERSITY OF KENTUCKY

By: _____
Acting Vice Pres. - Bus. Aff. & Treas.

Attest: _____
Secretary of the Board of Trustees

BETA PSI HOUSE CORPORATION OF
ALPHA DELTA PI SORORITY

By: _____
President

Attest: _____
Secretary

A RESOLUTION

AFFILIATION OF THE UNIVERSITY OF LOUISVILLE AND THE UNIVERSITY OF KENTUCKY

This resolution grows out of a series of events beginning in 1966 when, in response to a resolution of the House of Representatives, a committee (hereinafter referred to as the Baker Committee) was appointed to investigate and recommend on the Relationship of the University of Louisville to Kentucky's System of Public Higher Education. The Baker Committee issued a report and recommendation in November 1967 which "would make the University of Kentucky and the University of Louisville coordinate institutions and the two parts of a single university which would be given a new name as agreed upon by the two boards of trustees. There would be a single board of trustees and a single president who would constitute, respectively, the policy-making body and the chief executive of the totality; but there would be a chancellor named to be the administrative head of each institution."

This Board acted in January 1968 to approve in principle the recommendation of the Baker Committee.

In 1968 the Kentucky General Assembly adopted a joint resolution making it possible for the University of Louisville to become a part

of the State's system of public higher education and specifically directing the two institutions to work toward closer affiliation. In response to that resolution a joint committee of the boards of trustees of the University of Louisville and University of Kentucky met and adopted a recommendation essentially consonant with that of the Baker Committee. The report of that committee has been received and reviewed by the Board of Trustees of the University of Kentucky.

Throughout the months of deliberation on the question of affiliation with the University of Louisville the Board of Trustees of the University of Kentucky has assumed a need for:

- - expanded educational opportunities in Jefferson County and in Kentucky
- - maximum utilization of existing resources especially in the graduate and professional program areas
- - maximum coordination and planning in the development of new graduate and professional programs.

The Board of Trustees also recognizes the long and valuable contribution of the University of Louisville to higher education in Kentucky. The University of Louisville is a quasi-public institution receiving some tax support from the City of Louisville, Jefferson County and the State of Kentucky. However, its financial needs for the coming year will exceed its income and will prevent it from further expanding educational opportunities in the State's most populous area,

and its tuition is quite high relative to that in State institutions.

RESOLUTION

It is with reference to all of the above mentioned events that the Board of Trustees of the University of Kentucky now addresses itself in this resolution to the mandate of the General Assembly. Accordingly, this Board concludes that such an affiliation can be achieved provided:

1. the necessary funds can be made available without impairing the State's obligation to maintain its existing system of higher education, and
2. enabling legislation is passed permitting such affiliation between the University of Louisville and the University of Kentucky under conditions mutually agreeable to the two Boards.

(This Board takes note of the interest of the Council on Public Higher Education in such legislation and of the expressed intent of the Council to draft alternative enabling acts to be considered by the General Assembly and pledges its cooperation therein.)

**REPORT OF THE JOINT COMMITTEE
ON AFFILIATION
OF THE
UNIVERSITY OF KENTUCKY
AND THE
UNIVERSITY OF LOUISVILLE**

June, 1969

REPORT OF THE JOINT COMMITTEE ON
U. OF K. - U. OF L. AFFILIATION
JUNE, 1969

I. General

The Joint Committee on U. of K. - U. of L. affiliation unanimously recommends to the Trustees of the University of Kentucky and the Trustees of the University of Louisville affiliation of the two institutions, under Alternate V as recommended in the Baker Report, dated November 24, 1967. This is consistent with the recommendations presented in the Heald, Hobson and Associates Report, dated March, 1967.

The recommendation has as its basis the reasons stated in those reports which may be summarized as follows:

1. Serving the best interests of the citizens of Kentucky on a statewide basis by offering them a wider choice in institutions of higher education, and particularly meeting the increasing needs of the Jefferson County area (the most populous in the State) for high quality education at more reasonable tuition costs.
2. Assuring the orderly growth of the educational operation, particularly at the graduate and professional levels, in the State of Kentucky, thus in the long run minimizing wasteful duplication of programs and facilities, even though affiliation under Alternate V is in the early years not the cheapest route.
3. Preserving the identities of each of the participating institutions with attendant intangible values and maintaining the University of Louisville's ties with the local community, at the same time reserving to the Commonwealth appropriate financial control.

II. Implementation

In implementation the Committee makes the following additional recommendations:

1. The name of the consolidated institution shall be the University of the Commonwealth of Kentucky.
2. The Board of Trustees of the consolidated institution shall ultimately consist of 18 voting and 6 non-voting members as per Exhibit "A" attached.
3. The consolidated institution shall have a chancellor at its head, with a president in charge of each campus at Lexington and Louisville.
4. There shall be a transition period to ease the additional financial burden on the Commonwealth.

III. Financing

Exhibits "B" and "C" attached set forth the anticipated income and expenditures of the University of Kentucky and the University of Louisville, respectively, for the 1969-70 fiscal year and 1970-72 biennium, irrespective of affiliation of the two institutions.


Exhibit "D," Paragraph I, attached, sets forth the estimated state appropriation request for the 1970-72 biennium of the University of Louisville without consolidation with the University of Kentucky. Exhibit "D," Paragraph II, sets forth the estimated direct costs of consolidation and the additional state appropriation thereby required.

IV. Miscellaneous

Legal counsel for both institutions have done preliminary work on the affiliation and advise that such affiliation is feasible. Preparation of specific implementing legislation has been postponed until the full Boards of both institutions have reviewed, adopted or revised the within report.

The undersigned wish to take cognizance of and thank the individual committee members and the individual staff members of both institutions for their untiring efforts and spirit of cooperation, all in furtherance of higher education in the Commonwealth of Kentucky.

Respectfully submitted,


Dr. Harry Denham, Co-Chairman U-K
for Mr. William R. Black,
Mr. B. Hudson Milner,
Professor Paul Oberst



Edwin G. Middleton, Co-Chairman U-L
for Mr. Eli H. Brown, III,
Mr. Samuel H. Klein,
Mr. Baylor Landrum,
Mr. Archibald P. Cochran, ex officio

Exhibit "A"

F I N A L R E P O R T

of

B. Hudson Milner and Eli H. Brown, III, as the committee on composition of the new Board of Trustees of the University of the Commonwealth of Kentucky.

I. Your committee feels that our report should be in the form of factual recommendation only rather than an attempted draft of a statute which obviously will have to be composed of many more things than merely the composition of the new board.

II. We recommend as follows:

(1) The board of the University of Kentucky and the board of the University of Louisville on the effective date of the new legislation shall be initially the board of the University of the Commonwealth of Kentucky. This board shall serve from the effective date of the legislation to and including December 31, 1970.

(2) Effective January 1, 1971, or as soon thereafter as the new enabling act shall provide, the government of the University shall be vested in a Board of Trustees consisting of:

(a) The Governor, who shall be Chairman of the Board, the Superintendent of Public Instruction, and the Commissioner of Agriculture, Labor and Statistics.

(b) Fifteen public members who shall be competent citizens of Kentucky appointed by the Governor.

(c) Six non-voting members who shall consist of two members of the teaching faculty from the University of Kentucky, two members of the teaching faculty from the University of Louisville, one member of the student body from the University of Kentucky, being the president of said student body, and one member of the student body from the University of Louisville, being the president of the student body. (The two non-voting members of the student body must be voting citizens of the State of Kentucky and if not, a representative of the student body shall be designated in the same manner that the president of the student body is chosen.)

(3) The initial terms of the 15 public members shall be as follows:

3 shall expire December 31, 1971,
2 shall expire December 31, 1972,
2 shall expire December 31, 1973,
2 shall expire December 31, 1974,
2 shall expire December 31, 1975,
2 shall expire December 31, 1976,
2 shall expire December 31, 1977.

(4) One member of each term group expiring December 31, 1971, December 31, 1972, December 31, 1973, December 31, 1974, and December 31, 1975, shall be appointed from among the ten persons who are on the initial board by reason of their previous membership on the Board of Trustees of the University of Louisville

and the remaining members shall be appointed from among the twelve persons who are on the initial board by reason of their previous membership on the Board of the University of Kentucky provided, however, that in each case the limitation shall not apply as to persons whose term on their board would have expired on or before December 31, 1971.

(5) The successor of each such appointed member shall be appointed for a term of seven years, effective on the January 1 next following the December 31 on which the term of his predecessor shall have ended except that any person appointed to fill a vacancy shall be appointed to serve only for the unexpired term arising by reason of such vacancy having occurred other than by reason of the expiration of the term of an incumbent.

III. A majority of the board of elected and public members shall constitute a quorum, to-wit 10.

IV. The Governor shall make the appointments so as to divide the representation on the board, including the elected members, as equally as possible between the two leading political parties of the state.

V. Of the 15 public members, three shall be alumni of the University of Kentucky and no later than 1980 three shall be alumni of the University of Louisville. They shall be elected and then appointed by the Governor in the same manner that is presently used to choose the

alumni members of the Board of Trustees of the University of Kentucky.

VI. On and after January 1, 1972, no person shall be appointed to serve a full seven-year term or appointed to serve for an unexpired term if said person will have attained his 70th birthday at the beginning of the whole or unexpired term for which he is appointed.

VII. Trustees shall receive no compensation for their service as such, but shall be reimbursed their reasonable and necessary expenses incurred while engaged in, or reasonably incident to, the discharge of their official duties.

VIII. Your committee is entirely cognizant of the present sections of the Kentucky Revised Statutes, particularly KRS 164.150, which provides that "No members of the Board of Trustees shall be held to be a public officer by reason of his membership on the board." We have also examined and the respective counsel of the University of Kentucky and the University of Louisville have called to our attention, Section 23, 107 and especially 93, of our Constitution, but we still are firmly of the opinion that the term should be for seven years and we suggest that the enabling act setting up the University of the Commonwealth of Kentucky provide that the University is "A body corporate" and that said act contain a severability clause because the committee feels strongly that the seven-year-term is a very important facet for the new University's Board of Trustees.

Respectfully submitted,

B. Hudson Milner

Eli H. Brown, III

Exhibit "B"

UNIVERSITY OF KENTUCKY

ANTICIPATED INCOME AND EXPENDITURES

FISCAL YEARS 1969-70, 1970-71 AND 1971-72

(The University of Kentucky has declined to supply figures showing its Anticipated Income and Expenditures for fiscal 1969-70 and subsequent years.

The Joint Committee on Affiliation unanimously voted at its meeting on May 16, 1969 that figures for the 1970-72 biennium be supplied by both the University of Kentucky and the University of Louisville, and made a part of this report.

The undersigned regrets this inaction for the reason that the increased financial requirements, if any, of the University of Kentucky in the 1970-72 biennium, along with comparable figures for the University of Louisville (Exhibit "C" attached) should be a part of the within report in order to present both a comparative and a composite financial picture of both institutions for the benefit of reviewing authorities.)



Edwin G. Middleton

UNIVERSITY OF LOUISVILLE
EXHIBIT C - ANTICIPATED INCOME AND EXPENDITURES
FISCAL YEARS 1969-70, 1970-71 AND 1971-72
(Prepared by University of Louisville Business Office)

	<u>Biennium</u>		
	<u>1969-70</u>	<u>1970-71</u>	<u>1971-72</u>
<u>Anticipated Income:</u>			
1. State Appropriation (See Line 10 - Exhibit D)	3,100,000	8,175,000	10,081,000
2. Student Fees (See Line 6 - Exhibit D)	7,600,000	7,300,000	7,000,000
3. Federal Appropriation	-0-	-0-	-0-
4. County Appropriation (See Line 7 - Exhibit D)	801,000	725,000	650,000
5. City Appropriation (See Line 7 - Exhibit D)	1,718,000	1,525,000	1,350,000
6. Endowment	51,000	51,000	51,000
7. Gifts and Grants	1,650,000	1,650,000	1,650,000
8. Sales and Services	140,000	140,000	140,000
9. Auxiliary Services	2,500,000	2,750,000	2,850,000
10. Restricted Fund Income	<u>7,500,000</u>	<u>7,750,000</u>	<u>8,000,000</u>
	25,060,000	30,066,000	31,772,000
<u>Anticipated Expenditures:</u>			
11. Instruction (See Lines 5, 8 and 9 - Exhibit D)	9,201,000	10,014,000	10,962,000
12. Organized Research	443,000	465,000	490,000
13. Expansion of Public Services	140,000	147,000	155,000
14. Libraries	1,085,000	1,140,000	1,200,000
15. Student Services	598,000	660,000	695,000
16. Student Aid	758,000	758,000	760,000
17. General Administration	1,266,000	1,330,000	1,400,000
18. Maintenance and Operation of Physical Plant (See Line 2 - Exhibit D)	1,040,000	2,140,000	2,250,000
19. Service Enterprises	460,000	485,000	510,000
20. Staff Benefits	1,169,000	1,227,000	1,300,000
21. University Debt Costs (See Line 3 - Exhibit D) D	300,000	1,100,000	1,100,000
22. Auxiliary Enterprises	2,600,000	2,850,000	2,950,000
23. Restricted Fund Expenditures	<u>7,500,000</u>	<u>7,750,000</u>	<u>8,000,000</u>
	26,560,000	30,066,000	31,772,000
24. Excess of Expenditures (See Line 4 - Exhibit D)	<u>(1,500,000)</u>	<u>-0-</u>	<u>-0-</u>

June 9, 1969

UNIVERSITY OF LOUISVILLE

EXHIBIT D - ESTIMATED STATE APPROPRIATION REQUEST FOR 1970-72 BIENNIAL

(Prepared by University of Louisville Business Office)

I. <u>BASIC STATE APPROPRIATION REQUEST - IRRESPECTIVE OF CONSOLIDATION</u>	<u>Biennium</u>		<u>Total for Biennium</u>
	<u>1970-71</u>	<u>1971-72</u>	
1. State Appropriation			
a. Present State Appropriation Line 1 - Exhibit C	3,100,000	3,100,000	
b. Increase Carried Forward from 1970-71 (Difference between present \$3,100,000 and Estimated \$8,175,000-Lines 10 below)	-0-	5,075,000	
2. Maintenance and Operation Charges for New Academic Bldg. (To put into service approx. 942,000 sq. ft. of new facilities at rate of 1.16 per sq. ft. as follows: Medical-Dental Complex - 532,000 sq. ft. (\$617,000) Life Sciences Bldg. - 112,000 sq. ft. (\$130,000) Kentucky Southern Bldgs. - 100,000 sq. ft. (\$116,000) ¹ Other - 198,000 sq. ft. (\$237,000) (Line 18-Exhibit C)	1,100,000	-0-	
3. Increase in Debt Service Charges for New Academic Bond Issue (Total Debt Service \$1,100,000 - Line 21 - Exhibit C includes \$338,000 for Ky. Southern Acquisition; ¹ the increase is \$800,000 over 1969-70)	800,000	-0-	
4. Budget Expenditure Excess Carried Forward from Fiscal Year 1969-70 (Represents normal, built-in budget increase which cannot be met by increased income because of tuition rate freeze and lack of increase of, or heretofore fixed, appropriations from city, county and state governments for fiscal 1969-70 - Line 24 - Exhibit C)	1,500,000	-0-	
5. Funds for Salary Increases and Operating Expense Increase (Based on increase of 7% for salaries and 3% for operating expenses)	750,000	855,000	
6. Tuition Reduction (\$50.00 per year - Residents of Kentucky only - Line 2 - Exhibit C)	300,000	300,000	
7. Reduction of Local Support (Over 6 years from 2,500,000 to 1,000,000 - Lines 4 and 5 - Exhibit C)	250,000	250,000	
8. Medical Center (Expanded Operation in New Facility)	250,000	326,000	
9. Enrollment Increases (166 Students per Year)	125,000	175,000	
10. Total Basic State Appropriation Request - Line 1 - Exhibit C	<u>8,175,000</u>	<u>10,081,000</u>	18,256,000

II. ADDITIONAL STATE APPROPRIATION CALLED FOR UNDER CONSOLIDATION² NW

11. State Appropriation Increase Carried Forward from 1970-71	-0-	617,000	
12. Adjustment over Three Year Period			
a. Faculty Salaries	125,000	144,000	
b. Maintenance and Operation	90,000	98,000	
c. Faculty Retirement Program	73,000	84,000	
13. Adjustment over Six Year Period			
a. New Faculty	64,000	67,000	
b. Faculty Support	96,000	101,000	
c. Organized Research	30,000	29,000	
d. Strengthening Programs and New Programs	<u>139,000</u>	<u>139,000</u>	
14. Total Additional State Appropriation Required under Consolidation	<u>617,000</u> ³	<u>1,279,000</u> ³	<u>1,896,000</u> ³
15. TOTALS	<u>8,792,000</u>	<u>11,360,000</u>	<u>20,152,000</u>

¹The University of Louisville considers it essential to proceed immediately, prior to its entry into the State system, to honor its commitments to acquire certain land and buildings which are now being offered to it for purchase. Included in these acquisitions are land adjacent to Bullcamp Campus and in the Medical Center committed to be purchased from the Urban Renewal Agency and the acquisition of Kentucky Southern College Campus. It is particularly important that this be done at this time because bond counsel has advised that after entry into the State system, revenue bond statutes will not permit the financing of acquisition of land by bond issues.

²The figures on lines 11, 12, and 13 are designed to place U-L on an equal basis with U-K. Except for line 12(a) and (c), Faculty Remuneration, a deferral of these items is possible.

³Consolidation would also require an additional State appropriation to provide a budget for the Chancellor and staff of the University of the Commonwealth of Kentucky in a relatively insignificant amount.

June 16, 1969

CODE OF STUDENT CONDUCT:
RULES, PROCEDURES AND RESPONSIBILITIES

INTRODUCTION

The University is empowered by Kentucky Law K. R. S. 164.200 to establish a disciplinary system to govern the campus. The statute provides:

"The Board of Trustees may establish proper regulations for the governance of the University and the physical training, military or otherwise, of the students. It may authorize the suspension and dismissal of students for neglect or violation of the regulations, or for other conduct prejudicial to the character and welfare of the University."

By this publication of the rules governing student conduct, the University recognizes that students have both legal and moral rights to know the circumstances and manner in which this disciplinary power will be exercised and advises students that disciplinary rules will be enforced.

In order to devise such rules, the University must first assess the general relation of a contemporary University and its students. Today's student is at the University as a member of a community of scholars seeking to acquire and communicate knowledge and so long as his conduct in and out of the classroom does not impinge on the rights of other such scholars the University should not exercise its powers to either condemn or condone. The concern of the University is to provide protection of, and facilities for, those who seek knowledge.

There are five separate areas of student-University contact:

1. the student as a citizen of the campus community;
2. the student as a tenant;
3. the student as a member of a student organization;
4. the student as an employee;
5. the student as a customer for goods and services.

Only in the first of these areas can the University appropriately apply its distinctive disciplinary punishments, such as suspension and expulsion, although it can employ analogous measures against student organizations and thereby indirectly affect the student himself.

As an employer or retailer, however, the University has the same rights and privileges as any other person or organization in the same capacity. In these two areas, the University must apply only those powers which any employer or retailer enjoys in his relationship to his employees or customers, though this may include such regulations as limiting employment to those students in good standing with the University as to both academic and disciplinary matters.

While it is true that as a landlord the University is also acting in a normal business enterprise, the relationship thus established is one designed solely for students to assist them in their scholarly endeavors. As such it directly affects

the environment in which the student operates. Therefore, attention has been devoted to establishing procedures in this area as well as in the two primary areas involving the student-University relationship.

Accordingly, the material which follows encompasses the first three categories, where the University has a role in regulating student conduct, and each is sub-divided to include the three basic divisions of any disciplinary system:

- a. the offenses which call for disciplinary proceedings;
- b. the proceedings themselves;
- c. the punishment which may be imposed for the offense.

Statements of student rights and financial responsibility are also included.

This Code does not cover decisions of the faculty of a professional school as to character, moral or ethical, required of a student for purposes of awarding a degree or certificate, or for continuation as a candidate for such degree or certificate. Therefore, such decisions are not subject to review within the procedures established herein.

ARTICLE I - THE UNIVERSITY JUDICIAL SYSTEM

Section 1.1 Authority of the President of the University

Under the provisions of K.R.S. 164.200 the Board of Trustees is responsible for student discipline. The Board hereby delegates this responsibility to the President of the University.

Section 1.2 The Academic Offenses

There are two specific offenses against the academic community, plagiarism and cheating.

Section 1.21 Plagiarism

All academic work, written or otherwise, submitted by a student to his instructor or other academic supervisor, is expected to be the result of his own thought, research, or self-expression. In any case in which a student feels unsure about a question of plagiarism involving his work, he is obliged to consult his instructor on the matter before submitting it.

- 1.211 When a student submits work purporting to be his own, but which in any way borrows ideas, organization, wording or anything else from another source without appropriate acknowledgment of that fact, the student is guilty of plagiarism.
- 1.212 Plagiarism includes reproducing someone else's work, whether it be a published article, chapter of a book, a paper from a friend or some file, or whatever. Plagiarism also includes the practice of employing or allowing another person to alter or revise the work which a student submits as his own, whoever that other person may be. Students may discuss assignments among themselves or with an instructor or tutor, but when the actual work is done it must be done by the student, and the student alone.
- 1.213 When a student's assignment involves research in outside sources of information, he must carefully acknowledge exactly what, where and how he has employed them. If he uses the words of someone else, he must put quotation marks around the passage in question and add an appropriate indication of its origin. Making simple changes while leaving the organization, content, and phraseology intact is plagiaristic. However, nothing in these rules shall apply to those ideas which are so generally and freely circulated as to be part of the public domain.

Section 1.22 Cheating

Cheating is defined by its general usage and is sufficiently well understood as such. To avoid creating loopholes for the ingenious, a more explicit definition is not attempted and the final determination of what might constitute cheating is left to the judicial agencies.

Section 1.3 Other Offenses

Offenses as defined below are punishable disciplinary offenses. As used in the specified offenses, University property is defined as all real property owned, or leased to, and operated exclusively by the University and all such property leased to or operated by non-student organizations which are under the control and regulation of the Board of Trustees of the University of Kentucky.

- a. Interference with, or coercive action against, any registered organization or any individual on property owned or operated by the University, including but not limited to disruption of classes or meetings, or prevention of ingress to and egress from buildings.

An action interferes, disrupts, or is coercive if it impedes University operations or interferes with the rights of others, causes damage to property, threatens violence against any person or takes place on premises where students are not authorized to be.

In the event a student engages in a disruptive or coercive act, including participation in a disruptive or coercive demonstration, the penalty will be expulsion or suspension or, upon finding that substantial mitigating circumstances exist, undated suspension.

- b. Lifting, taking, or acquiring possession of, without permission, any academic material (tests, information, research papers, notes, books, periodicals, etc.) from a member of the University faculty or staff or any comparable violation of academic security;
- c. Abusive, obscene, drunken, violent or excessively noisy misbehavior in the classroom or in or on University property at any time;
- d. Stealing any item of tangible or intangible personal property from the University or from a member of the University faculty, staff or student body;
- e. Malicious or otherwise unwarranted defacing, disfiguring, damaging or destruction of property belonging to the University or to a member of the University community;
- f. The threat of, or commission of, physical violence against any member of the University community or any person present on University property except while engaged in authorized sports activities;
- g. Knowingly passing a worthless check or money order in payment of any financial obligation to the University or to a member of the University community acting in an official capacity;
- h. Any violation of University rules regarding the operation and parking of motorized vehicles;
- i. Any violation of University rules regarding the use of University property;
- j. Hazing of any kind;

- k. Any misuse by a student of his position as a student, or his right to use University property, to commit, or to induce another student to commit a violation of local, state or federal laws;
- l. Falsifying, altering, or forging any official University records or documents, or employing official University documents or records for purposes of misrepresentation.

Section 1.4 University Policy on the Student and Local Authorities

The University does not desire to be a policing authority for the activities of the student outside the University community nor a sentencing authority for violations of state or local law. However, the preservation of an academic atmosphere conducive to the student's learning and maturing process may require that on occasion the University impose sanctions upon an individual for the protection and well-being of the total academic community.

- 1.41 The University cannot undertake to act as a collection agency for landlords, retail stores, etc., nor to impose disciplinary punishment for a student's misconduct outside the campus community unless that misconduct falls within the specified punishable offenses or is so serious as to be covered by the provisions of Section 1.55.
- 1.42 The University may report violations by students of state or local law to the appropriate officials.
- 1.43 The University cannot accept remand of students charged or convicted of violations of state or local law for the purpose of imposing disciplinary punishment. However, a University representative may accept court appointment of probation of a student to his care and impose such requirements as he sees fit. If such student violates

these requirements, he must be returned to the court for such further proceedings as the court shall determine, but not for University disciplinary punishment.

- 1.44 A student charged with a violation of federal, state or local law may request assistance from the Dean of Students Office. After receipt of the student's request and a review of the charge(s) and circumstances surrounding the incident a member of the Dean of Students staff may be made available to counsel and assist the student.

Section 1.5 The Procedures

1.51 The Role of the Office of the Dean of Students

- 1.511 When the Dean, after investigation into an alleged violation of the disciplinary rules believes a student has committed a disciplinary offense, he shall counsel with such student and may outline proposed disciplinary punishment and/or counseling. In cases where personal or public property has been stolen, defaced, disfigured, damaged or destroyed the disciplinary action and/or counseling shall include an appropriate monetary reimbursement for compensatory damages.

- 1.512 If the student is not willing to accept the course of conduct outlined by the Dean, or if the student so desires at any time after notification of alleged involvement in a disciplinary offense, the Dean shall forward the reports and evidence concerning the case to the University Judicial Board for action. The Dean is thereafter concerned with keeping the records of the University Judicial Board and Appeals Board and in aiding the student to comply with any punishment decreed by either Board.

- 1.513 Within the rights of the student at the University of Kentucky, the Vice President for Student Affairs may contact the parents, or other persons as he deems appropriate, in matters of discipline.

1.52 The University Judicial Board

There shall be a University Judicial Board (hereinafter referred to as the U. J-Board) with appellate jurisdiction over the decisions of any Residence Judicial Board and original jurisdiction over cases involving alleged violations by students of the stated University disciplinary offenses.

1.521 Authority

- a. The U. J-Board shall receive appeals by accused students from decisions of any Residence J-Board pursuant to Section 2.4 of this document, and shall have the authority to reverse the decision of the Residence J-Board regarding the student's guilt or to mitigate, but not to increase, the punishment imposed by the Residence J-Board.
- b. The U. J-Board shall have the sole authority to determine the issue of guilt in those cases referred to it pursuant to 1.512. It shall have the sole authority to impose punishment short of actual suspension or expulsion upon any student found guilty of a violation of University disciplinary rules. If the U. J-Board believes that actual suspension or expulsion is the appropriate remedy, it shall recommend such action to the President of the University.

1.522 Composition

The U. J-Board shall consist of seventeen persons: seven graduate or professional students, five male undergraduate students, and five female undergraduate students.

- a. When the accused is a graduate or professional student, the Board shall be composed only of its graduate or professional members.
- b. When the accused is an undergraduate student, the Board shall be composed of all the undergraduate members with at least two members of each sex present, except that the accused student may request, prior to his or her hearing, a board composed only of the members of his or her sex.

- c. In any proceeding of the graduate board or the full undergraduate board, at least five members of the appropriate board must be present to hear the case. Any decision of the U. J-Board must be by majority of the members of the board sitting on the case.

1.523 Eligibility Requirements

- a. A graduate or professional member of the U. J-Board shall be a full-time student enrolled in the Colleges of Dentistry, Law, Medicine or in the Graduate School, who has been in residence at least one semester and is in good standing within his or her appropriate school or college.
- b. An undergraduate member of the U. J-Board shall be a full-time, undergraduate student, other than a freshman, who has had at least one year of residence on the Lexington campus and has at least a 2.5 cumulative average.

1.524 The Appointment Process

The Senate Advisory Committee on Student Affairs shall screen all applications for membership and forward those approved to the President of the Student Body who, with the advice and approval of the Vice President for Student Affairs, shall make the final appointment of the members of the U. J-Board.

1.525 Terms of Office

- a. All graduate or professional members and three of the male and three of the female undergraduate members shall be appointed for one-year terms and shall be subject to reappointment. The remaining undergraduate members shall be appointed for two-year terms on a staggered basis.
- b. Three unexcused absences in any one semester shall constitute automatic dismissal from the U. J-Board. The U. J-Board acting as a unit, may make such additional regulations regarding absences as it may deem appropriate. All requests for excused absences shall be made to the Vice President for Student Affairs, whose decision shall be final.

1.53 The University Appeals Board

There shall be a University Appeals Board with appellate jurisdiction over all decisions of the University Judicial Board and over decisions of any faculty members on matters of University academic offenses.

1.531 Jurisdiction in Cases of Disciplinary Offenses

- a. Within 30 days after receipt of the decision of the U. J-Board, any accused student may appeal in writing to the Vice President for Student Affairs for review by the Appeals Board of the U. J-Board's finding of guilt or for review of the nature of severity of the punishment imposed or recommended by the U. J-Board. The Vice President shall forward the appeal to the Chairman of the Appeals Board for appropriate action.
- b. If the President of the University in his official capacity believes that the punishment imposed by the U. J-Board was inadequate for the offense committed, he may request a review thereof by the Appeals Board. Such a request shall be directed to the Chairman of the Appeals Board within 30 days of the decision by the U. J-Board.

1.532 Disposition of Cases of Disciplinary Offenses

- a. If the student appeals on the issue of fact regarding commission of the offense, the Appeals Board shall review the evidence and its decision shall be final.
- b. If the student appeals on the issue of the nature or severity of the punishment, or if the President requests a review of the punishment, the Appeals Board shall recommend what it believes to be the appropriate punishment to the President, who shall set the punishment. When

the student is appealing on the issue of the punishment, the Appeals Board may not recommend a more severe punishment than that imposed by the U. J-Board, but when the President requests a review the Appeals Board shall recommend any punishment it believes appropriate to the offense.

- c. Any decision and/or recommendation by the Appeals Board must be by a majority of those members sitting on the case.

1.533 Appeal on the Issue of Guilt in an Academic Offense

The Appeals Board shall hear and resolve all appeals from any student of a decision of a faculty member that the student has cheated or plagiarized in any examination or paper given by or submitted to the faculty member.

- a. The Appeals Board may agree or disagree with the instructor as to the fact of the alleged violation and the Board shall be the final determinant on this issue of fact.
- b. The student's appeal must be filed in writing with the Chairman of the Appeals Board within 30 days after the student has been notified of the action taken by the instructor.

1.534 Appeal on the Issue of Punishment in an Academic Offense

- a. Once the fact has been determined that cheating or plagiarism has occurred, the faculty member may impose any punishment up to and including the awarding of an E grade on the test or paper on which the cheating or plagiarism occurred and such punishment is not subject to appeal.

- b. If the instructor imposes punishment greater than an E grade on the test or paper involved, the student shall have the right to appeal in writing to the Chairman of the department in which the offense occurred within 30 days after the student has been notified of the action taken by the instructor. The Department Chairman may in his discretion recommend a review by the Appeals Board of the punishment imposed. If the Board agrees with the faculty member, its decision shall be final. If the Board believes the punishment is too severe, the Board shall set the punishment except that when that involves the changing of a grade the Board shall recommend to the Department Chairman the grade it deems appropriate. The Department Chairman shall then consult with the faculty member concerning the appropriate disposition of the case.
- c. In any case where the punishment proposed by the instructor is suspension or expulsion of the student, the case shall be automatically referred to the Appeals Board for review. If the Board concurs with the faculty member, it shall recommend to the President of the University for his approval and implementation that the punishment of suspension or expulsion be imposed. If the Board does not agree that such punishment should be imposed, it shall return its decision and its recommendation of appropriate punishment to the Department Chairman who shall consult with the faculty member as to the appropriate punishment.

1.535 Composition of the University Appeals Board

The University Appeals Board shall consist of nine members, three full-time students and six faculty members. In addition, there shall be three student alternates and three faculty alternates, who shall be selected on the same basis and with the same requirements as the regular members. All members of the Appeals Board and/or their alternates shall be expected to meet within 48 hours notice.

a. The Student Members

The students will be a graduate or professional student, a male undergraduate and a female undergraduate. The undergraduates must be seniors with at least a 2.5 overall average and the graduate or professional student must have been in residence at least one year and in good standing in his or her school or college. They will be selected by the President of the University by such methods and procedures as he shall deem appropriate. Their appointments shall be for one-year terms.

b. The Faculty Members

The faculty members shall be appointed to staggered three-year terms by the President of the University upon the recommendation of the University Senate Council. The Chairman shall be chosen from among the faculty members by like procedure.

c. Other Procedural Rules

A quorum for the conduct of business will be seven members, not less than five of whom are faculty members.

1.54 Summer Sessions

If sufficient members of any board will be present during the summer session, it will continue to function. If not, the Board has the authority to delegate its duties by the creation of a special board. Any member of the Board who is present will automatically be a member of such a special board.

1.55 Emergency circumstances

In the event that the Vice President for Student Affairs has reasonable cause to believe that a student's presence may result in injury to himself, others, or University property (or in the event that he has

been charged with a crime so serious as to threaten the welfare of the University community), the Vice President may impose such temporary sanctions as he considers necessary to protect members of the University community or its property, including exclusion from University property. Upon taking such action, the Vice President shall notify the University Appeals Board. The student may appeal the Vice President's decision to the University Appeals Board, in writing, within 30 days. The Board shall consider the student's academic needs to attend class, use the library, and fulfill his other academic responsibilities in making its recommendation. This Board may recommend to the President of the University changes or extension of the Vice President's action. The President then shall determine the sanctions to be imposed.

Such temporary sanctions shall be enforced only for such time as the conditions requiring them exist. Accordingly, the circumstances shall be reviewed by the Board whenever there are indications that they have changed and upon an appeal in writing from the student involved.

1.56 Honor Codes

1.561 Where any school or college has established, or shall establish, an Honor Code or comparable system which is governed by the students with approval by and/or appeal to the faculty of such school or college, the procedures outlined above shall not govern the following offenses to the extent that they are included as offenses subject to the Honor Code and committed by a student subject to such system:

- a. The academic offenses
- b. Disciplinary offense number 1.3 (a), to the extent that it is committed within the school or college subject to such system
- c. Disciplinary offense number 1.3 (b) as it pertains to the classroom

- 1.562 Such Codes may include as offenses such other actions as are deemed appropriate but cannot cover the offenses described in this report except as stated above.
- 1.563 The punishment meted out to a student governed by such a system shall be as designated thereby except that actual suspension or expulsion shall be imposed only with the recommendation of the Dean of the school or college and upon the approval of the President of the University.
- 1.564 The procedures of all such Honor Code systems must conform to the statement of student rights herein contained as Article 3.
- 1.565 The establishment of such an Honor Code shall include approval by majority vote of the students to be covered and by the faculty involved. Such codes are subject to review for fairness and clarity by the Senate Advisory Committee on Student Affairs upon request of the Vice President for Student Affairs.

Section 1.6 The Punishments

1.61 Punishments for Disciplinary Offenses

Only the following punishments may be given to a student found guilty of a commission of a University disciplinary offense. In cases where personal or public property has been stolen, defaced, disfigured, damaged or destroyed the disciplinary action shall also include an appropriate monetary reimbursement for compensatory damages.

1.611 Expulsion:

permanent forced withdrawal from the University [see 1.521 (b); 1.531 (b); 1.532 (b)].

1.612 Actual Suspension:

forced withdrawal from the University for a specified period of time [see 1.521 (b); 1.531 (b); 1.532 (b)].

1.613 Undated Suspension:

same as actual suspension except that the student is permitted to remain on campus provided he follows stated conditions imposed with the punishment.

1.614 Disciplinary Probation:

placing of the student under such social and behavioral restrictions as the J-Board shall establish and publish after consultation with the Vice President for Student Affairs.

1.615 Reprimand:

an admonition which can include a reasonable requirement for additional academic or nonacademic labor in keeping with the offense committed.

1.62 Punishments for Academic Offenses

Only the following punishments may be given to a student found guilty of a commission of a University academic offense.

1.621 Expulsion [see 1.534 (c); 1.563].

1.622 Actual Suspension [see 1.534 (c); 1.563].

1.623 Undated Suspension

1.624 Forced withdrawal from the course and/or department in which the offense occurred, either with or without credit for the course

1.625 Any grade for the course in which the offending paper or exam was submitted

1.626 Any grade on the paper or exam in which the offense occurred

ARTICLE II - THE RESIDENCE HALLS JUDICIAL SYSTEM

Section 2.1 The Offenses

The University is empowered to promulgate the rules of conduct for those students who use or dwell within

University housing, which includes all buildings owned, or leased to, and operated exclusively by the University as student residences.

2.11 The Residence Halls Government shall be given legislative authority to establish, upon approval of the Vice President for Student Affairs, additional rules regarding conduct within their respective jurisdictions.

2.12 All such rules promulgated by either the University or the Residence Halls Government shall be prominently posted in the University buildings to which they apply.

Section 2.2 The Procedures

2.21 Residence Halls Governments

There shall be a Residence Hall Government for each comprehensive geographical housing unit as designated by the Vice President for Student Affairs. Representatives to the Residence Hall Governments shall be elected in accordance with present regulations affecting elections of existing Residence Hall Governments.

2.22 Resident Advisors

Each housing unit shall have a resident advisor appointed by the Vice President for Student Affairs. This advisor shall have the duty to counsel and advise students having disciplinary problems affecting that student's relationship with the University as a landlord. He shall investigate any complaint of violation of the rules to determine the facts regarding such alleged violation. Such advisor shall have no authority to impose disciplinary punishment upon the student but, after counseling with the student, may suggest that the student accept certain voluntary disciplinary punishment or counseling and, if the student agrees to accept such punishment or counseling, the resident advisor shall see that the student carries out his agreement.

If the advisor and the student cannot agree on the appropriate punishment or counseling, or if, at any time, the student so requests, the resident advisor shall then present the results of his investigation of the facts to the Residence Judicial Board.

2.23 The Residence Judicial Boards

2.231 Jurisdiction

There shall be a Residence Judicial Board for each housing unit which shall have jurisdiction over all cases involving alleged violations of the applicable rules occurring within its respective housing unit. The Residence J-Board shall be responsible for determining the guilt or innocence of the accused and shall have the primary authority for imposing punishment if it determines that the student has committed a violation. Notice of the punishment determined shall be communicated to the resident advisor for implementation.

2.232 Composition

- a. Each Residence Judicial Board shall be composed of at least five and not more than nine residents of the respective housing unit, selected by the Resident Advisor upon a recommendation of the Residence Hall Government of that unit. The Residence Hall Government shall make its recommendations representative of the individual halls within the housing unit.
- b. All members of the Residence Judicial Boards shall be full-time students. Except where the housing unit is composed primarily of freshmen, they shall have been students at the University for at least one year, resident in a residence unit for at least a semester, and shall be a member of a class other than freshman.

Section 2.3 The Punishment

The Residence Judicial Boards may impose any appropriate punishment up to and including dismissal from the housing unit, e.g., social probation, reprimand, fines, etc.

- 2.31 However, the Residence Judicial Boards may not impose disciplinary punishment on a University-wide basis, e.g., expulsion, suspension, or disciplinary probation.
- 2.32 Each Residence Judicial Board shall define a system of possible punishments for violations of the rules promulgated by the University and the corresponding Residence Hall Government.

Section 2.4 Right of Appeal

Within 30 days after receipt of the decision of the Residence J-Board, any accused student may appeal in writing to the Vice President for Student Affairs for review by the U. J-Board of the Residence J-Board's finding of guilt or for review of the nature or severity of the punishment imposed or recommended. The Vice President shall forward the appeal to the appropriate chairman of the U. J-Board for action.

ARTICLE III - RIGHTS OF THE ACCUSED

In all proceedings of the U. K. Judicial System, the accused student shall be guaranteed the rights hereinafter enumerated.

- 3.1 All students shall be guaranteed a fair hearing in all proceedings of all judicial agencies.
- 3.2 No student shall be compelled to give testimony which might tend to incriminate him, and his refusal to do so shall not be considered evidence of his guilt.

- 3.3 The accused student shall be informed in writing of the reasons for his appearance before any judicial agency with sufficient particularity and in sufficient time to insure opportunity to prepare for the hearing.
- 3.4 The accused shall be entitled to receive upon request a copy of all rules and procedures governing the judicial agency within 24 hours prior to his appearance before the agency.
- 3.5 The accused student shall enjoy the right to hear and question the witnesses against him and to present witnesses in his own favor.
- 3.6 The accused shall enjoy the right to have the assistance of an advisor of his choice.
- 3.7 Only impartial members of the judicial agency shall sit in judgement of any case.
- 3.8 The accused shall have access to a permanent verbal or written transcript of every hearing of every judicial agency.

ARTICLE IV - THE UNIVERSITY AS A SUPERVISOR OF STUDENT ORGANIZATIONS

4.1 The Offenses

A student organization may not retain registration or may be subject to some lesser punishment if it is guilty of the following;

- 4.11 Hazing
- 4.12 Disorderly conduct
- 4.13 Interference with activities of the University of any other registered organization, or of any individual; except that peaceful picketing will be permitted so long as it does not directly inhibit the carrying out of an activity on University property.

Any organization intending to picket shall inform the Dean of Students and Director of Safety and Security as to time and place.

- 4.14 Poor academic performance by the membership of a social or specialty organization or social misconduct by any organization.
- 4.15 Discrimination against any person due to race, color, sex or religious affiliation or belief, except when the express and legitimate purposes of the organization require limitation as to sex and religion.
- 4.16 Enrolling as an official member or electing or retaining as an officer or committee chairman any student on academic probation.
- 4.17 Being organized for an illegal or immoral purpose.

4.2 The Procedures

The supervision of student organizations shall rest with the Vice President for Student Affairs.

4.21 Registration

Any organization which wishes to use the University of Kentucky name or University property or to solicit thereon, and any organization whose voting membership is limited solely to students or students and faculty or staff, must complete the registration form available in the office of the Vice President for Student Affairs.

- 4.211 Any organization whose voting members and whose officers are limited to students, faculty and staff of the University, is automatically entitled to registration so long as it completes the required application form and abides by the rules set forth above.
- 4.212 Any other organization is required to secure the necessary registration but is not automatically entitled to registration. Its registration is at the discretion of the Vice President for Student Affairs and may depend on

additional criteria he may prescribe. Such criteria shall be established and published by the Vice President and made uniform for all similar organizations. The Vice President may limit its registration, with its concomitant permission, to a fixed period of time, the length to be determined at his discretion.

4.22 Types of Organizations

The University recognizes the following types of student organizations:

1. Social sororities and fraternities
2. Honors and Leadership organizations and recognition societies
3. Departmental organizations and professional fraternities
4. Political organizations
5. Government organizations
6. Specialty groups (religious, athletic, military, etc.)

4.23 Advisors

4.231 Each such organization must comply with the following requirements for advisors upon submission of an application for registration:

- a. Every social fraternity or sorority shall have a University advisor, who shall be appointed by the Vice President for Student Affairs, and shall elect at least one faculty representative.
- b. Every honors, leadership, or recognition society is required to have a faculty representative.

- c. Every departmental organization and professional fraternity shall have a faculty consultant. If no such faculty member is available for the departmental organization, then upon approval of the department, the Vice President for Student Affairs may waive this requirement for a period not to exceed five years.
- d. Every political organization shall have a University advisor elected by the membership or, failing that, appointed by the Office of the Vice President for Student Affairs as well as a faculty representative.
- e. Every government organization shall have a University advisor appointed by the Office of the Vice President for Student Affairs. Such organizations shall also have a faculty representative.
- f. Every specialty organization shall have a sponsor, who must be approved by the Office of the Vice President for Student Affairs. Such organizations shall also have a faculty representative.

4.232 The definition and scope of the various advisors required above is as follows:

a. University Advisor

a member of the staff of the Office of the Vice President for Student Affairs or any administrative office under his jurisdiction whose duty shall be to counsel and advise the organization and its officers as to their powers and responsibilities. However, he shall have no authority to prohibit any proposed action by a political organization.

b. Faculty Representative

a member of the tenured faculty of the University whose sole function is to make himself available to meet with members

of the organization at their regular meetings or at a special meeting called for that purpose. He can use this opportunity to breach the wall between faculty and students and discuss matters of interest in a more informal atmosphere.

c. Faculty Consultant

a member of the tenured faculty of the University connected with or interested in the organization, who shall offer support and advice to the officers in carrying out the purposes of the organization.

d. Sponsor

a responsible adult interested in the purposes of the organization who shall give guidance and counsel to the officers in carrying out the purposes of the organization.

4.3 The Punishment

Student organizations are creatures of the University and without legal status. Accordingly, no specific procedures for adjudicating the commission of any of the offenses have been established other than that the Vice President for Student Affairs may impose punishment up to and including refusal or cancellation of registration.

4.4 Right of Appeal

Within 30 days of receipt of notice that the Vice President has refused or cancelled the registration of an organization whose voting membership is limited to students, faculty, or staff of the University, the officers or proponents of such organization shall be given the right to appeal, in writing, to the President via the Appeals Board. The President's decision, after receipt of the recommendation of the Appeals Board, shall be final.

ARTICLE V - STATEMENT OF FINANCIAL DELINQUENCY

The University expects the student to be financially responsible and not be delinquent in his financial obligations to the University or to any Department or Division of it. Such obligations shall not include fines and penalties assessed against the student by other than University officers.

Financial obligations that are not met within 10 days after the date due shall be reported in writing to the proper office. Obligations to any Division or Department of the University shall be reported to the office designated by the Vice President for Business Affairs and Treasurer.

The appropriate business office shall notify the student of the financial obligation. If the student does not settle the obligation by the date designated on the notice, he shall be considered delinquent, and that office shall notify the Registrar.

After the Registrar has been notified that a student is delinquent, he shall not allow the student to register, or to transfer credits or certify him for graduation, until he has been notified in writing by the appropriate business office that the obligation has been settled.